

State of New York  
ETHICS COMMISSION FOR THE UNIFIED COURT SYSTEM  
80 Centre Street/New York, NY 10013/Room 570

**Annual Statement of Financial Disclosure: For calendar year 1996**

1. NAME

E. Leo Milonas

2. (a) JOB TITLE Associate Justice of the Appellate Division of the Supreme Court  
First Department

(b) CURRENT WORK ADDRESS

27 Madison Avenue, New York, New York 10010

(c) CURRENT WORK TELEPHONE NUMBER

212-340-0438

3. (a) MARITAL STATUS

IF MARRIED, PLEASE GIVE SPOUSE'S FULL NAME  
(INCLUDING MAIDEN NAME WHERE APPLICABLE)

Married

Helen (Gamanos) Milonas

(b) LIST THE NAMES OF ALL UNEMANCIPATED CHILDREN

None

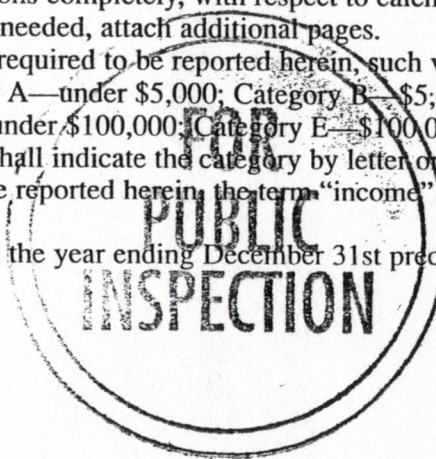
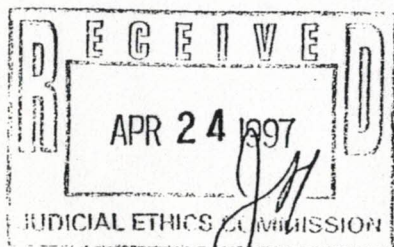
Answer each of the following questions completely, with respect to calendar year 1996, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories: Category A—under \$5,000; Category B—\$5,000 to under \$20,000; Category C—\$20,000 to under \$60,000; Category D—\$60,000 to under \$100,000; Category E—\$100,000 to under \$250,000; and Category F—\$250,000 or over. A reporting individual shall indicate the category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending December 31st preceding the date of filing of the annual statement.

UCS-956 (10/90)



PAGE 2 NAME: E. Leo Milonas

4. (a) List any office, trusteeship, directorship, partnership, or position of any nature whether compensated or not, held by the reporting individual with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

☐ NONE

<u>POSITION</u>	<u>ORGANIZATION</u>	<u>STATE OR LOCAL AGENCY</u>
Director	New York Urban League	New York City Human Resources Administration and Dept. of Employment and New York State Dept of Social Services.

4. (b) List any office, trusteeship, directorship, partnership, or position of any nature whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

☐ NONE

<u>SPOUSE OR CHILD</u>	<u>POSITION</u>	<u>ORGANIZATION</u>	<u>STATE OR LOCAL AGENCY</u>
Spouse	Part-time Psychiatric Consultant	East Bronx Day Care Center	New York City Human Resources Administration, Agency for Child Development



5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

☒ NONE

<u>POSITION</u>	<u>NAME AND ADDRESS OF ORGANIZATION</u>	<u>DESCRIPTION</u>	<u>STATE OR LOCAL AGENCY</u>
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5. (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

☐ NONE

<u>SPOUSE OR CHILD</u>	<u>POSITION</u>	<u>NAME AND ADDRESS OF ORGANIZATION</u>	<u>STATE OR LOCAL AGENCY</u>
Spouse.....	Social Worker.....	Dept. of Education.....	New York State.....
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6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties.

☒ NONE

SELF, SPOUSE  
OR CHILD

ENTITY WHICH HELD  
INTEREST IN CONTRACT

RELATIONSHIP TO ENTITY  
& INTEREST IN CONTRACT

CONTRACTING STATE  
OR LOCAL AGENCY

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7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

☒ NONE

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VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



8. (a) If the reporting individual practices law, works as a real estate broker or agent licensed by the department of state, or practices a profession licensed by the department of education, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation. Do NOT list the names of the individual clients, customers or patients. If the reporting individual is licensed to practice law, is a licensed real estate broker or agent, or is licensed by the department of education, but did not actually engage in such work or practice, so indicate.

☒ NONE

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8. (b) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

☒ NONE

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9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in Item 10. Indicate the value and nature of each such gift.

☒ NONE

SELF, SPOUSE OR CHILD	NAME OF DONOR	ADDRESS	NATURE OF GIFT
.....	.....	.....	.....
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10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursement" does NOT include gifts reported under Item 9.

☒ NONE

SOURCE	DESCRIPTION
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VALUE/AMOUNT  
CATEGORIES

A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over



11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans other than retirement plans of the State of New York or the City of New York, and deferred compensation plans (e.g., 401, 403b, 457, etc.) established in accordance with the Internal Revenue Code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

☐ NONE

**IDENTITY (INCLUDING BANK/FINANCIAL INSTITUTION)**

New York State Deferred Compensation Plan (The Copeland Companies Administrator)

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\*The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

☒ NONE

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VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over

12. (b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

☒ NONE

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. **YOU MUST REPORT EMPLOYMENT INCOME IN EXCESS OF \$1,000 EARNED BY YOU AND YOUR SPOUSE.** Nature of income includes, but is not limited to, all income **EARNED BY YOU AND YOUR SPOUSE** (other than that received by you from the employment listed under item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

☐ NONE

SELF/SPOUSE

SOURCE (Identify Bank/Financial Institution/Issuing Entity)

NATURE

Spouse

East Bronx NAACP Day Care Center

Salary

Spouse

Private Practice of Psychotherapy

Fees

VALUE/AMOUNT  
CATEGORIES

A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in Item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

☒ NONE

SOURCE

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15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

☒ NONE

ITEM ASSIGNED  
OR TRANSFERRED

ASSIGNED OR  
TRANSFERRED TO

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VALUE/AMOUNT  
CATEGORIES



A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over

16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than 50 percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item, the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in Item 8(b) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

☐ NONE

SELF/  
SPOUSE

ISSUING ENTITY

TYPE OF  
SECURITY

PERCENTAGE OF CORPORATE STOCK  
OWNED OR CONTROLLED

(If more than 5% of publicly traded stock,  
or more than 10% of stock not publicly  
traded, is held)

See Attached

VALUE/AMOUNT  
CATEGORIES

A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over



☐ NONE

16.	SELF/ SPOUSE	ISSUING ENTITY	TYPE OF SECURITY	PERCENTAGE OF CORPORATE STOCK OWNED OR CONTROLLED *
Self	Chase Manhattan Bank	C.D. 1-027-029735	1430647	N.A.
Self	Chase Manhattan Bank	C.D. 1-027-050246	1893377	N.A.
Self	Chemical Bank	C.D. 008-6064356-19		N.A.
Self	Chemical Bank	C.D. 124605126119		N.A.
Spouse	Merrill Lynch	SEP Plus 831-82763		N.A.
Spouse	Chase Manhattan Bank	C.D. 1-027-029727-1430646		N.A.
Spouse	The Bank of New York	C.D. 140-115-121-1512		N.A.
Spouse	Chemical Bank	C.D. 008606436419		N.A.

\* IF MORE THAN 5% OF PUBLICLY TRADED STOCK, OR MORE THAN 10% OF STOCK NOT PUBLICLY TRADED, IS HELD.

\*\* CATEGORY OF MARKET VALUE AS OF THE CLOSE OF THE TAXABLE YEAR LAST OCCURRING PRIOR TO THE FILING OF THIS STATEMENT.

VALUE/AMOUNT CATEGORIES	A - UNDER \$5,000	B - \$5,000 to under \$20,000	C - \$20,000 to under \$60,000	D - \$60,000 to under \$100,000	E - \$100,000 to under \$250,000	F - \$250,000 or over
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17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than 50 percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

☒ NONE

SELF/SPOUSE CORPORATION	LOCATION*	SIZE	GENERAL NATURE	ACQUISITION DATE	PERCENTAGE OF OWNERSHIP
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....
.....	.....	.....	.....	.....	.....

\*Including number, street, town and state.

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in Item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

☒ NONE

NAME OF DEBTOR	TYPE OF OBLIGATION, DATE DUE, AND NATURE OF COLLATERAL, IF ANY
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VALUE/AMOUNT CATEGORIES	A—UNDER \$5,000 B—\$5,000 to under \$20,000	C—\$20,000 to under \$60,000 D—\$60,000 to under \$100,000	E—\$100,000 to under \$250,000 F—\$250,000 or over
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19. List below all liabilities of the reporting individual and such individual's spouse in EXCESS of \$5,000 as of the date of filing of this statement, other than liabilities to a relative. DO NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

☒ NONE

NAME OF CREDITOR  
OR GUARANTOR

TYPE OF LIABILITY AND  
COLLATERAL, IF ANY

VALUE/AMOUNT  
CATEGORIES



A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over

*The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.*

X

Signature of Reporting Individual

4/24/97  
Date (month/day/year)



State of New York  
**ETHICS COMMISSION FOR THE UNIFIED COURT SYSTEM**  
 25 Beaver Street/New York, NY 10004/Room 875

## Annual Statement of Financial Disclosure: For calendar year 1997

## 1. NAME

E. Leo Milonas

## 2. (a) JOB TITLE

Associate Justice of the Appellate Division of the Supreme Court First Department

## (b) CURRENT WORK ADDRESS

27 Madison Avenue, New York, New York 10010

## (c) CURRENT WORK TELEPHONE NUMBER

212-340-0438

## 3. (a) MARITAL STATUS

Married

IF MARRIED, PLEASE GIVE SPOUSE'S FULL NAME  
 (INCLUDING MAIDEN NAME WHERE APPLICABLE)

Helen (Gamanos) Milonas

## (b) LIST THE NAMES OF ALL UNEMANCIPATED CHILDREN

None

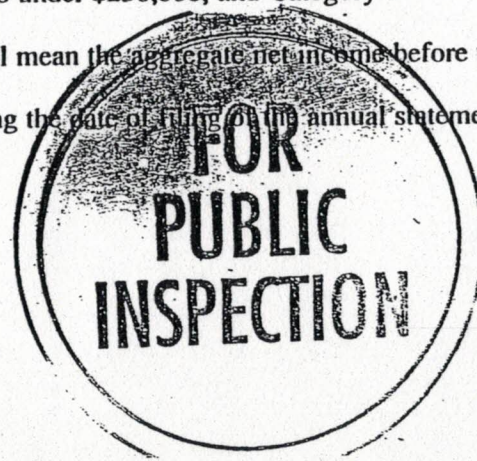
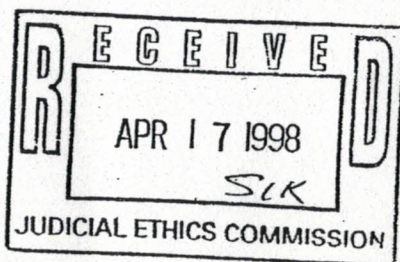
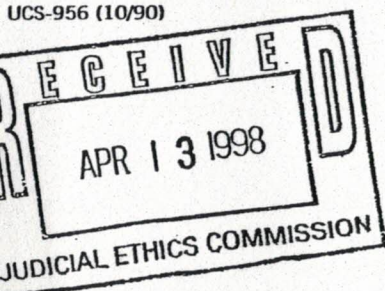
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The term "calendar year" shall mean the year ending December 31st preceding the date of filing of the annual statement.

UCS-956 (10/90)





PAGE 2 NAME: E. Leo Milonas

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☐ NONE

<u>POSITION</u>	<u>ORGANIZATION</u>	<u>STATE OR LOCAL AGENCY</u>
Director	New York Urban League	New York City, Human Resources Administration and Dept. of Employment and New York State Department of Social Services

4. (b) List any office, trusteeship, directorship, partnership, or position of any nature whether compensated or not, held by the spouse or unemancipated child of the reporting individual, with any firm, corporation, association, partnership, or other organization other than the State of New York. Include compensated honorary positions; do NOT list membership or uncompensated honorary positions. If the listed entity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

☒ NONE

<u>SPOUSE OR CHILD</u>	<u>POSITION</u>	<u>ORGANIZATION</u>	<u>STATE OR LOCAL AGENCY</u>



PAGE 3 NAME: E. Leo Milonas

5. (a) List the name, address and description of any occupation, employment (other than the employment listed under Item 2 above), trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

☒ NONE

<u>POSITION</u>	<u>NAME AND ADDRESS OF ORGANIZATION</u>	<u>DESCRIPTION</u>	<u>STATE OR LOCAL AGENCY</u>
.....	.....	.....	.....
.....	.....	.....	.....
.....	.....	.....	.....
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5. (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

☐ NONE

<u>SPOUSE OR CHILD</u>	<u>POSITION</u>	<u>NAME AND ADDRESS OF ORGANIZATION</u>	<u>STATE OR LOCAL AGENCY</u>
Spouse .....	Social Worker .....	Department of Education .....	New York State .....
.....	.....	.....	.....
.....	.....	.....	.....
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.....	.....	.....	.....
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6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties.

☒ NONE

SELF, SPOUSE  
OR CHILD

ENTITY WHICH HELD  
INTEREST IN CONTRACT

RELATIONSHIP TO ENTITY  
& INTEREST IN CONTRACT

CONTRACTING STATE  
OR LOCAL AGENCY

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

☒ NONE

VALUE/AMOUNT  
CATEGORIES

A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



8. (a) If the reporting individual practices law, works as a real estate broker or agent licensed by the department of state, or practices a profession licensed by the department of education, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation. Do NOT list the names of the individual clients, customers or patients. If the reporting individual is licensed to practice law, is a licensed real estate broker or agent, or is licensed by the department of education, but did not actually engage in such work or practice, so indicate.

☒ NONE

8. (b) List the name, principal address and general description or the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 excluding investments in securities and interests in real property.

☒ NONE



9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in Item 10. Indicate the value and nature of each such gift.

☒ NONE

SELF, SPOUSE  
OR CHILD

NAME OF DONOR

ADDRESS

NATURE OF GIFT

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursement" does NOT include gifts reported under Item 9.

☒ NONE

SOURCE

DESCRIPTION

VALUE/AMOUNT  
CATEGORIES



A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over



11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans other than retirement plans of the State of New York or the City of New York, and deferred compensation plans (e.g., 401, 403b, 457, etc.) established in accordance with the Internal Revenue Code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

☒ NONE

**IDENTITY (INCLUDING BANK/FINANCIAL INSTITUTION)**

New York State Deferred Compensation Plan (The Copeland Companies Administrator).....

\*The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

☒ NONE





12. (b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORT-  
ING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or  
contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

☒ NONE

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual  
and such individual's spouse for the taxable year last occurring prior to the date of filing. **YOU MUST REPORT**  
**EMPLOYMENT INCOME IN EXCESS OF \$1,000 EARNED BY YOU AND YOUR SPOUSE.** Nature of income  
includes, but is not limited to, all income EARNED BY YOU AND YOUR SPOUSE (other than that received by you  
from the employment listed under item 2 above) from compensated employment whether public or private, directorships  
and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant  
fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale  
or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the  
source identified by the building address in case of real estate rents and otherwise by the name of the entity and not by the  
name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or  
entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments  
shall not be listed.

☐ NONE

SELF/SPOUSE

SOURCE (Identify Bank/Financial Institution/Issuing Entity)

NATURE

Spouse

Private Practice of Psychotherapy

Fees

VALUE/AMOUNT  
CATEGORIES

A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over



14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in Item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

☒ NONE

SOURCE

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15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

☒ NONE

ITEM ASSIGNED  
OR TRANSFERRED

ASSIGNED OR  
TRANSFERRED TO

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16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than 50 percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item, the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in Item 8(b) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

☐ NONE

SELF/  
SPOUSE

ISSUING ENTITY

TYPE OF  
SECURITY

PERCENTAGE OF CORPORATE STOCK  
OWNED OR CONTROLLED

(If more than 5% of publicly traded stock,  
or more than 10% of stock not publicly  
traded, is held)

See Attached

VALUE/AMOUNT  
CATEGORIES

A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over



☐ NONE

16.

SELF/SPOUSE	SECURITY	TYPE OF SECURITY	PERCENTAGE OF CORPORATE STOCK OWNED OR CONTROLLED *
Self	Chase Manhattan Bank	C.D. 1-027-029735 1430647	N.A.
Self	Chase Manhattan Bank	C.D. 1-027-050246 1893377	N.A.
Self	Chemical Bank	C.D. 008-6064356-19	N.A.
Self	Chemical Bank	C.D. 124605126119	N.A.
Spouse	Merrill Lynch	SEP Plus 831-82763	N.A.
Spouse	Chase Manhattan Bank	C.D. 1-027-029727-1430646	N.A.
Spouse	The Bank of New York	C.D. 140-115-121-1512	N.A.
Spouse	Chemical Bank	C.D. 008606436419	N.A.

\* IF MORE THAN 5% OF PUBLICLY TRADED STOCK, OR MORE THAN 10% OF STOCK NOT PUBLICLY TRADED, IS HELD.

\*\* CATEGORY OF MARKET VALUE AS OF THE CLOSE OF THE TAXABLE YEAR LAST OCCURRING PRIOR TO THE FILING OF THIS STATEMENT.

VALUE/AMOUNT	A - UNDER \$5,000	C - \$20,000 to under \$60,000	E - \$100,000 to under \$250,000
CATEGORIES	B - \$5,000 to under \$20,000	D - \$60,000 to under \$100,000	F - \$250,000 or over



17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than 50 percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

☒ NONE

SELF/SPOUSE CORPORATION

LOCATION\*

SIZE

GENERAL NATURE

ACQUISITION DATE

PERCENTAGE OF OWNERSHIP

\*Including number, street, town and state.

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in Item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

☒ NONE

NAME OF DEBTOR

TYPE OF OBLIGATION, DATE DUE, AND NATURE OF COLLATERAL, IF ANY

VALUE/AMOUNT CATEGORIES

A-UNDER \$5,000

B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000

D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000

F-\$250,000 or over



19. List below all liabilities of the reporting individual and such individual's spouse in EXCESS of \$5,000 as of the date of filing of this statement, other than liabilities to a relative. DO NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

☒ NONE

NAME OF CREDITOR  
OR GUARANTOR

TYPE OF LIABILITY AND  
COLLATERAL, IF ANY

VALUE/AMOUNT  
CATEGORIES



A—UNDER \$5,000  
B—\$5,000 to under \$20,000

C—\$20,000 to under \$60,000  
D—\$60,000 to under \$100,000

E—\$100,000 to under \$250,000  
F—\$250,000 or over

The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.

X

Signature of Reporting Individual

4/9/98  
Date (month/day/year)



State of New York  
ETHICS COMMISSION FOR THE UNIFIED COURT SYSTEM

**25 Beaver Street/New York, NY 10004/Room 875**

**Intranet address: UCS Home Page under "Featured Sites"**

**Internet address: [www.courts.state.ny.us](http://www.courts.state.ny.us)**

## Annual Statement Of Financial Disclosure For Calendar Year 2002

1. NAME  
E. LEO MILONAS
2. (a) JOB TITLE  
BOARD MEMBER - NEW YORK STATE BOARD OF LAW EXAMINERS
- (b) CURRENT WORK ADDRESS  
ONE BATTERY PARK PLAZA N.Y. N.Y. 10004
- (c) CURRENT WORK TELEPHONE NUMBER  
212-858-1615
3. (a) MARITAL STATUS IF MARRIED, PLEASE GIVE SPOUSE'S FULL NAME (INCLUDING MAIDEN NAME WHERE APPLICABLE)  
MARRIED - HELEN (GAMANDOS) MILONAS
- (b) LIST THE NAMES OF ALL UNEMANCIPATED CHILDREN

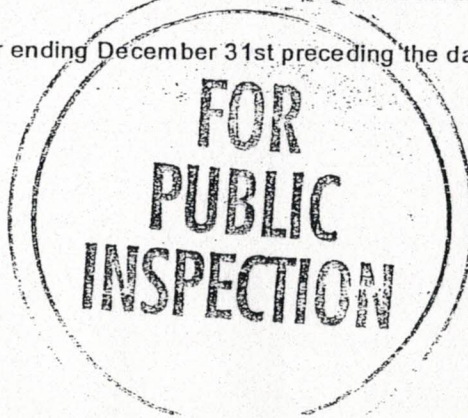
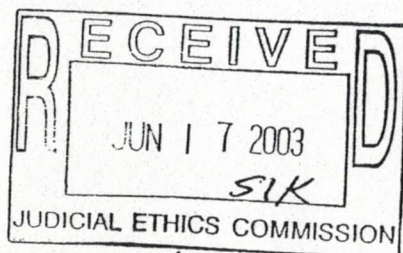
Answer each of the following questions completely, with respect to calendar year 2002, unless another period or date is otherwise specified. If additional space is needed, attach additional pages.

Whenever a "value" or "amount" is required to be reported herein, such value or amount shall be reported as being within one of the following Categories: Category A—under \$5,000; Category B—\$5,000 to under \$20,000; Category C—\$20,000 to under \$60,000; Category D—\$60,000 to under \$100,000; Category E—\$100,000 to under \$250,000; and Category F—\$250,000 or over. A reporting individual shall indicate the category by letter only.

Whenever "income" is required to be reported herein, the term "income" shall mean the aggregate net income before taxes from the source identified.

The term "calendar year" shall mean the year ending December 31st preceding the date of filing of the annual statement.

UCS-956(10/90)





☐ NONE

## ORGANIZATION

STATE OR  
LOCAL AGENCY

PARTNER

PILLSBURY WINTHROP LLP  
LAW FIRM

NONE

AND SEE RIDER 4(a) ATTACHED

☒ NONE

### POSITION

## ORGANIZATION

STATE OR  
LOCAL AGENCY



RIDER 4 (a)

E. Leo Milonas

ASSOCIATION OF THE BAR OF THE CITY OF NEW YORK - President; Does business with New York State

NATIONAL CENTER FOR STATE COURTS – Board of Directors; Does business with New York State

THE LEGAL AID SOCIETY – Board of Directors; Does business with New York City and New York State

THE FUND FOR MODERN COURTS – Board of Directors; No business with New York City or New York State

NEW YORK URBAN LEAGUE – Board of Directors; Does business with New York City

PRACTICING ATTORNEYS FOR LAW STUDENTS (PALS) – Board of Directors; No business with New York City or New York State

VOLUNTEERS OF LEGAL SERVICE (VOLS) – Board of Directors; Does business with New York State

NEW YORK LAWYERS FOR THE PUBLIC INTEREST – Board of Directors; Does business with New York State

FUND FOR THE CITY OF NEW YORK – Board of Directors; Does business with New York City and New York State

RIDER 4 (a)

E. Leo Milonas

ASSOCIATION OF THE BAR OF THE CITY OF NEW YORK - President; Does business with New York State

NATIONAL CENTER FOR STATE COURTS – Board of Directors; Does business with New York State

THE LEGAL AID SOCIETY – Board of Directors; Does business with New York City and New York State

THE FUND FOR MODERN COURTS – Board of Directors; No business with New York City or New York State

NEW YORK URBAN LEAGUE – Board of Directors; Does business with New York City

PRACTICING ATTORNEYS FOR LAW STUDENTS (PALS) – Board of Directors; No business with New York City or New York State

VOLUNTEERS OF LEGAL SERVICE (VOLS) – Board of Directors; Does business with New York State

NEW YORK LAWYERS FOR THE PUBLIC INTEREST – Board of Directors; Does business with New York State

FUND FOR THE CITY OF NEW YORK – Board of Directors; Does business with New York City and New York State



PAGE 3 NAME:

5. (a) List the name, address and description of any occupation, employment, (other than the employment listed under Item 2 above) trade, business or profession engaged in by the reporting individual. If such activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name of any such agency.

☒ NONE

## POSITION

NAME AND ADDRESS  
OF ORGANIZATION

### DESCRIPTION

STATE OR  
LOCAL AGENCY

5. (b) If the spouse or unemancipated child of the reporting individual was engaged in any occupation, employment, trade, business or profession which activity was licensed by any state or local agency, was regulated by any state regulatory agency or local agency, or, as a regular and significant part of the business or activity of said entity, did business with, or had matters other than ministerial matters before, any state or local agency, list the name, address and description of such occupation, employment, trade, business or profession and the name of any such agency.

☒ NONE

SPOUSE OR CHILD

### POSITION

NAME AND ADDRESS  
OF ORGANIZATIONSTATE OR  
LOCAL AGENCY



PAGE 4 NAME:

6. List any interest, in EXCESS of \$1,000, held by the reporting individual, such individual's spouse or unemancipated child, or partnership of which any such person is a member, or corporation, 10% or more of the stock of which is owned or controlled by any such person, whether vested or contingent, in any contract made or executed by a state or local agency and include the name of the entity which holds such interest and the relationship of the reporting individual or such individual's spouse or such child to such entity and the interest in such contract. Do NOT include bonds and notes. Do NOT list any interest in any such contract on which final payment has been made and all obligations under the contract except for guarantees and warranties have been performed, provided, however, that such an interest must be listed if there has been an ongoing dispute during the calendar year for which this statement is filed with respect to any such guarantees or warranties.

☒ NONE

SELF, SPOUSE  
OR CHILD

ENTITY WHICH HELD  
INTEREST IN CONTRACT

RELATIONSHIP TO ENTITY  
& INTEREST IN CONTRACT

CONTRACTING STATE  
OR LOCAL AGENCY

VA

7. List any position the reporting individual held as an officer of any political party or political organization, as a member of any political party committee, or as a political district leader. The term "party" shall have the same meaning as "party" in the election law. The term "political organization" means any party or independent body as defined in the election law or any organization that is affiliated with or a subsidiary of a party or independent body.

☒ NONE

VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000

B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000

D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000

F-\$250,000 or over



8. (a) If the reporting individual practices law, works as a real estate broker or agent licensed by the department of state, or practices a profession licensed by the department of education, give a general description of the principal subject areas of matters undertaken by such individual. Additionally, if such an individual practices with a firm or corporation and is a partner or shareholder of the firm or corporation, give a general description of principal subject areas of matters undertaken by such firm or corporation. Do NOT list the names of the individual clients, customers or patients. If the reporting individual is licensed to practice law, is a licensed real estate broker or agent, or is licensed by the department of education, but did not actually engage in such work or practice, so indicate.

☐ NONE

*I am a partner in Pillsbury Winthrop LLP, a national law firm. My practice involves litigation of commercial matters, appeals, and alternative dispute resolution.*

8. (b) List the name, principal address and general description of the nature of the business activity of any entity in which the reporting individual or such individual's spouse had an investment in excess of \$1,000 EXCLUDING investments in securities and interests in real property.

☒ NONE



9. List each source of gifts, EXCLUDING campaign contributions, in EXCESS of \$1,000, received during the reporting period for which this statement is filed by the reporting individual or such individual's spouse or unemancipated child from the same donor, EXCLUDING gifts from a relative. INCLUDE the name and address of the donor. The term "gifts" does not include reimbursements, which term is defined in Item 10. Indicate the value and nature of each such gift.

☒ NONE

SELF, SPOUSE  
OR CHILD

NAME OF DONOR

ADDRESS

NATURE OF GIFT

CA  
VA

10. Identify and briefly describe the source of any reimbursements for expenditures, EXCLUDING campaign expenditures and expenditures in connection with official duties reimbursed by the state, in EXCESS of \$1,000 from each such source. For purposes of this item, the term "reimbursements" shall mean any travel-related expenses provided by nongovernmental sources and for activities related to the reporting individual's official duties such as, speaking engagements, conferences, or factfinding events. The term "reimbursement" does NOT include gifts reported under Item 9.

☐ NONE

SOURCE

DESCRIPTION

Pittsburg Anthrop & P - re-embursement for travel  
and business development

Association of the Pacific - re-embursement for  
Sheely of New York travel and travel  
related meals

VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



11. List the identity and value, if reasonably ascertainable, of each interest in a trust, estate or other beneficial interest, including retirement plans (other than retirement plans of the State of New York or the City of New York) and deferred compensation plans (e.g., 401, 403b, 457, etc.) established in accordance with the Internal Revenue Code, in which the REPORTING INDIVIDUAL held a beneficial interest in EXCESS of \$1,000 at any time during the preceding year. Do NOT report interests in a trust, estate or other beneficial interest established by or for, or the estate of, a relative.

☐ NONE

IDENTITY (INCLUDING BANK/FINANCIAL INSTITUTION)

- (1) Pillsbury Winthrop LLP 401K plan administered by Fidelity Investments - Fidelity Growth Co Janus Fund
- (2) NYS Deferred Compensation Plan - Fixed Income Contract Janus Capital Corp Stable Income

\*The value of such interest shall be reported only if reasonably ascertainable.

12. (a) Describe the terms of, and the parties to, any contract, promise or other agreement between the reporting individual and any person, firm, or corporation with respect to the employment of such individual after leaving office or position (other than a leave of absence).

☒ NONE

VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



12. (b) Describe the parties to and the terms of any agreement providing for continuation of payments or benefits to the REPORTING INDIVIDUAL in EXCESS of \$1,000 from a prior employer OTHER THAN the State. (This includes interests in or contributions to a pension fund, profit-sharing plan, or life or health insurance; buy-out agreements; severance payments; etc.)

☒ NONE

13. List below the nature and amount of any income in EXCESS of \$1,000 from EACH SOURCE for the reporting individual and such individual's spouse for the taxable year last occurring prior to the date of filing. **YOU MUST REPORT EMPLOYMENT INCOME IN EXCESS OF \$1,000 EARNED BY YOU AND YOUR SPOUSE.** Nature of income includes, but is not limited to, all income **EARNED BY YOU AND YOUR SPOUSE** (other than that received by you from the employment listed under item 2 above) from compensated employment whether public or private, directorships and other fiduciary positions, contractual arrangements, teaching income, partnerships, honorariums, lecture fees, consultant fees, bank and bond interest, dividends, income derived from a trust, real estate rents, and recognized gains from the sale or exchange of real or other property. Income from a business or profession and real estate rents shall be reported with the source identified by the building address in case of real estate rents and otherwise by the name of the entity and not by the name of the individual customers, clients or tenants, with the aggregate net income before taxes for each building address or entity. The receipt of maintenance received in connection with a matrimonial action, alimony and child support payments shall not be listed.

☐ NONE

SELF/SPOUSE


SOURCE (Identify Bank/Financial Institution/Issuing Entity)

NATURE

self	Pittsburg, NY	Self Partnership Income
spouse	Self employed psychotherapist 105 E 63 St NY NY 10021	Psychotherapist

VALUE/AMOUNT

CATEGORIES

PAGE 9 NAME: 

A-UNDER \$5,000

B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000

D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000

F-\$250,000 or over



14. List the sources of any deferred income (not retirement income) in EXCESS of \$1,000 from each source to be paid to the reporting individual following the close of the calendar year for which this disclosure statement is filed, other than deferred compensation reported in Item 11 hereinabove. Deferred income derived from the practice of a profession shall be listed in the aggregate and shall identify as the source, the name of the firm, corporation, partnership or association through which the income was derived, but shall not identify individual clients.

☒ NONE

SOURCE

15. List each assignment of income in EXCESS of \$1,000, and each transfer other than to a relative during the reporting period for which this statement is filed for less than fair consideration of an interest in a trust, estate or other beneficial interest, securities or real property, by the reporting individual, in excess of \$1,000, which would otherwise be required to be reported herein and is not or has not been so reported.

☒ NONE

ITEM ASSIGNED  
OR TRANSFERRED

ASSIGNED OR  
TRANSFERRED TO

VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



16. List below the type and market value of securities held by the reporting individual or such individual's spouse from each issuing entity in EXCESS of \$1,000 at the close of the taxable year last occurring prior to the date of filing, including the name of the issuing entity exclusive of securities held by the reporting individual issued by a professional corporation. Whenever an interest in securities exists through a beneficial interest in a trust, the securities held in such trust shall be listed ONLY IF the reporting individual has knowledge thereof except where the reporting individual or the reporting individual's spouse has transferred assets to such trust for his or her benefit in which event such securities shall be listed unless they are not ascertainable by the reporting individual because the trustee is under an obligation or has been instructed in writing not to disclose the contents of the trust to the reporting individual. Securities of which the reporting individual or the reporting individual's spouse is the owner of record but in which such individual or the reporting individual's spouse has no beneficial interest shall not be listed. Indicate percentage of ownership ONLY if the reporting person or the reporting person's spouse holds more than five percent (5%) of the stock of a corporation in which the stock is publicly traded or more than ten percent (10%) of the stock of a corporation in which the stock is NOT publicly traded. Also list securities owned for investment purposes by a corporation more than 50 percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. For the purpose of this item, the term "securities" shall mean mutual funds, bonds, mortgages, notes, obligations, warrants and stocks of any class, investment interests in limited or general partnerships and certificates of deposits (CDs) and such other evidences of indebtedness and certificates of interest as are usually referred to as securities. The market value for such securities shall be reported only if reasonably ascertainable and shall not be reported if the security is an interest in a general partnership that was listed in Item 8(a) or if the security is corporate stock, NOT publicly traded, in a trade or business of a reporting individual or a reporting individual's spouse.

☐ NONE

<u>SELF/ SPOUSE</u>	<u>ISSUING ENTITY</u>	<u>TYPE OF SECURITY</u>	<u>PERCENTAGE OF CORPORATE STOCK OWNED OR CONTROLLED</u> <small>If more than 5% of publicly traded stock, or more than 10% of stock not publicly traded, is held)</small>
Self	Chase	CD	none
Self	Chase	CD	none
Self	Bank of N.Y.	CD	none
Spouse	Merrill Lynch - SEP. Basic Value Fund		none
Spouse	WG Market Portfolio - 401K - money market		none
Spouse	Vanguard Group - SEP. Windsor II		none
Spouse	Chase	CD	none

VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000

B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000

D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000

F-\$250,000 or over



17. List below the location, size, general nature, acquisition date, market value and percentage of ownership of any real property in which any vested or contingent interest in EXCESS of \$1,000 is held by the reporting individual or the reporting individual's spouse. Also list real property owned for investment purposes by a corporation more than 50 percent (50%) of the stock of which is owned or controlled by the reporting individual or such individual's spouse. Do NOT list any real property which is the primary or secondary personal residence of the reporting individual or the reporting individual's spouse, except where there is a co-owner who is other than a relative.

☒ NONE

SELF/SPOUSE  
CORPORATION

LOCATION\*

SIZE

GENERAL  
NATURE

ACQUISITION  
DATE

PERCENTAGE  
OF OWNERSHIP

C/  
M/

\*Including number, street, town and state.

18. List below all notes and accounts receivable, other than from goods or services sold, held by the reporting individual at the close of the taxable year last occurring prior to the date of filing and other debts owed to such individual at the close of the taxable year last occurring prior to the date of filing, in EXCESS of \$1,000, including the name of the debtor, type of obligation, date due and the nature of the collateral securing payment of each, if any, excluding securities reported in Item 16 hereinabove. Debts, notes and accounts receivable owed to the individual by a relative shall not be reported.

☒ NONE

NAME OF DEBTOR

TYPE OF OBLIGATION, DATE DUE,  
AND NATURE OF COLLATERAL, IF ANY

VALUE/AMOUNT  
CATEGORIES



A-UNDER \$5,000  
B-\$5,000 to under \$20,000

C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over



19. List below all liabilities of the reporting individual and such individual's spouse in EXCESS of \$5,000 as of the date of filing of this statement, other than liabilities to a relative. DO NOT list liabilities incurred by, or guarantees made by, the reporting individual or such individual's spouse or by any proprietorship, partnership or corporation in which the reporting individual or such individual's spouse has an interest, when incurred or made in the ordinary course of the trade, business or professional practice of the reporting individual or such individual's spouse. Include the name of the creditor and any collateral pledged by such individual to secure payment of any such liability. A reporting individual shall not list any obligation to pay maintenance in connection with a matrimonial action, alimony or child support payments. Any loan issued in the ordinary course of business by a financial institution to finance educational costs, the cost of home purchase or improvements for a primary or secondary residence, or purchase of a personally owned motor vehicle, household furniture or appliances shall be excluded. If any such reportable liability has been guaranteed by any third person, list the liability and name the guarantor.

☒ NONE

NAME OF CREDITOR  
OR GUARANTOR

TYPE OF LIABILITY AND  
COLLATERAL, IF ANY

.....

.....

.....

.....

VALUE/AMOUNT  
CATEGORIES



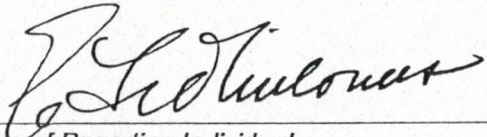
A-UNDER \$5,000  
B-\$5,000 to under \$20,000

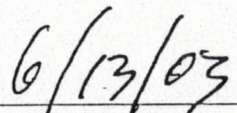
C-\$20,000 to under \$60,000  
D-\$60,000 to under \$100,000

E-\$100,000 to under \$250,000  
F-\$250,000 or over

*The requirements of law relating to the reporting of financial interests are in the public interest and no adverse inference of unethical or illegal conduct or behavior will be drawn merely from compliance with these requirements.*

X

  
Signature of Reporting Individual

  
Date (month/day/year)