

R E C E I V E D

**United States District Court
For the District of New Jersey**

JUN 09 2016

AT 8:30 _____ M
WILLIAM T. WALSH
CLERK

UNITED STATES OF AMERICA : **WAIVER OF INDICTMENT**
v. : *16-269(AET)-01*
ROBERT SPALLINA :

I, Robert Spallina, the above-named defendant, who is charged with securities fraud, in violation of Title 15, United States Code, Sections 78j(b) and 78ff and Title 17, Code of Federal Regulations, Section 240.10b-5, being advised of the nature of the charges, the proposed Information, and my rights, hereby waive in open court on June 9, 2016, prosecution by indictment and consent that the proceeding may be by information rather than by indictment.

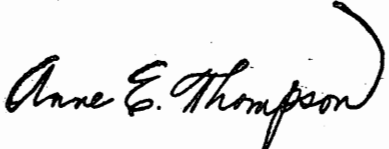


Robert Spallina
Defendant



Lawrence S. Lustberg
Counsel for Defendant

Before:



Honorable Anne E. Thompson
United States District Judge