

The Law Offices
of
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May 4, 2016

VIA FED EX

Federal Bureau of Investigation
505 South Flagler Street, Suite 500
West Palm Beach, FL 33401

Re: White Collar Crimes Division

Dear Special Agent:

I recently spoke to your office and reported that I had information concerning potential white collar crimes. I was informed that someone from the White Collar Crimes Division would get back to me. Since I have not been contacted, I am taking the liberty of sending this package of information on:

1. Robert L. Spallina, formerly licensed as an attorney in the state of Florida; and
2. Mr. Spallina's client, Theodore Bernstein, a/k/a Ted S. Bernstein.

--- Background Facts ---

Robert L. Spallina was an attorney licensed to practice law in the state of Florida. During the relevant time period (2012 through 2015) he practiced law at the law firm of Tescher and Spallina, P.A., located in Boca Raton, Palm Beach County, Florida. Ted S. Bernstein was a client of Robert Spallina. Ted Bernstein introduced Mr. Spallina to his father and mother, Simon Bernstein and Shirley Bernstein, for the preparation of estate planning documents, including a Last Will & Testament and a Trust.

Shirley Bernstein passed away on December 8, 2010. A probate estate was opened in Palm Beach County Circuit Court shortly thereafter. Her husband, Simon Bernstein later passed away on September 13, 2012. A probate estate was opened by Mr. Spallina in Palm Beach County Circuit Court shortly thereafter.

The conduct complained of in this submission arises out of the conduct of Messrs. Spallina and (Ted) Bernstein in connection with the administration and handling the estates of both Shirley Bernstein and Simon Bernstein.

I. Presentation of Fraudulent Documents to the Circuit Court of Palm Beach County in connection with the Estate of Shirley Bernstein.

In 2014, Robert Spallina admitted to Judge Martin Colin, Circuit Court Judge of Palm Beach County Probate Court, Delray Beach branch, that his employee, Kimberly Moran, fraudulently notarized the signature of Simon Bernstein and forged the signature of Simon Bernstein because Simon Bernstein was deceased at the time of the forging of the signature and the fraudulent notarization of the forged signature. A copy of the fraudulently notarized document of the forged signature of Simon Bernstein is attached hereto as **Exhibit “1.”** Kimberly Moran subsequently pleaded guilty of a third-degree felony. She remained in the employ of Tescher and Spallina, P.A.

At the time, Judge Colin opined that he had probably heard enough to read Mr. Spallina his Miranda rights. Incredibly, Judge Colin failed to take any action with regard to the conduct which took place in his court. The relevant pages of the hearing transcript (pages 15, 16) are attached hereto as **Exhibit “2.”** There were actually six (6) forged signatures submitted by Mr. Spallina and six (6) fraudulent notaries which involved the five adult children of Simon Bernstein wherein their signatures were fraudulently notarized. The five adult children are:

1. Theodore Bernstein
2. Pamela Beth Simon
3. Eliot Bernstein
4. Lisa Friedstein
5. Jill Iantoni

On December 15, 2015, Mr. Spallina admitted under oath that he submitted these fraudulently notarized documents to the Court when he testified as follows:

Question: What law firm submitted those documents to the Court?

Answer (by Mr. Spallina): Tescher and Spallina, P.A.

Question: Are you a partner in that firm?

Answer: I was.

Question: Did Tescher and Spallina law firm submit Kimberly Moran’s forged and fraudulent waivers to the Court?

The Court: He already said he did.

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Question: Did your office -- did you submit documents to close the Estate of Shirley with Simon as the Personal Representative at the time Simon was dead?

Answer: We did.

Question: So Shirley's Estate was closed by a dead Personal Representative. Can you give me a time when the estate was closed by Simon while he was dead?

Answer: I believe it was October, November, 2012.

Answer: We filed all of the waivers originally with the Court all signed by the appropriate parties and the Court kicked those back and she forged and notarized new documents and sent them to the Court...

The relevant pages of the transcript (pages 105-106) are attached hereto as **Exhibit "3."**

II. Fraudulent Altering of a Trust Document (Shirley Bernstein Trust) and then Submission of the Fraudulently Altered Trust Document through the U.S. Mail.

On or about January, 2013, Robert Spallina, acting as counsel on behalf of the Shirley Bernstein Trust, responded to an inquiry from a Christine Yates, attorney-at-law, who, at the time, was representing one of the five Bernstein children (Eliot Bernstein). Ms. Yates asked Mr. Spallina to send to her a copy of the Shirley Bernstein Trust. Mr. Spallina altered the Trust document to mislead Christine Yates as to who the intended beneficiaries of the Shirley Bernstein Trust were. Mr. Spallina admitted to the Palm Beach County Sheriff's office that he fraudulently altered the document and submitted it to attorney Yates. A copy of the Sheriff's report is attached hereto as **Exhibit "4."**

Mr. Spallina admitted to this under oath, testifying on December 15, 2015, where he admitted that he mailed the "fraudulently signed document to Christine Yates, the attorney for Eliot Bernstein's minor children." At page 96 of the transcript, a copy of which is attached hereto as **Exhibit "5,"** Mr. Spallina admits the following:

Question: Did you mail a fraudulently signed document to Christine Yates, the attorney for Eliot Bernstein's minor children?

Witness: Yes.

III. Attempted Fraud on Heritage Union Insurance Company

Simon Bernstein died on September 13, 2012. At that time, it was alleged that there was in existence an insurance trust allegedly entitled, "The Simon Bernstein Irrevocable Insurance Trust dated 6/21/1995." At the time of his death, Simon owned a life insurance policy issued by Heritage Union Life Insurance Company with a face amount of approximately \$1,750,000.00. In order to fraudulently convince Heritage to pay the \$1.75 million death benefit to this so-called Irrevocable Insurance Trust, Mr. Spallina wrote, in September, 2012, that he was the Trustee of the Irrevocable Insurance Trust. The insurance company responded on October 9, 2012 requesting a copy of the Trust Agreement and any Amendments. On November 1, 2012, Robert Spallina replied to the insurance company, enclosing a "Claimant Statement" where he signed as the Trustee of the Simon Bernstein Irrevocable Insurance Trust. A copy of the November 1, 2012 letter, including his fraudulent statement that he was the Trustee is attached hereto as **Exhibit "6."**

On December 6, 2012, Mr. Spallina again wrote to the Heritage Union Life Insurance Company and continued his attempt to fraudulently coax the death benefit out of the insurance company, requesting that "the proceeds from the Heritage policy (be) released to our firm's trust account..." A copy of this letter is attached hereto as **Exhibit "7."** Thereafter, the insurance company simply placed the money in the Registry of the Court and washed its hands of it.

--- TED BERNSTEIN ---

At all times, Ted Bernstein was aware of Mr. Spallina's false representations concerning Mr. Spallina posing as the purported Trustee of the purported Life Insurance Trust. When Mr. Spallina was unable to convince Heritage to pay the death benefit to him or his trust account, Ted Bernstein picked up the fraud where Mr. Spallina left off. Ted Bernstein is now continuing the fraud. In U.S. District Court in Illinois, Bernstein now alleges that he is the Trustee of the same insurance trust that Robert Spallina represented he was the Trustee! A copy of the first three pages of Plaintiff's First Amended Complaint filed in U.S. District Court in the Northern District of Illinois is attached hereto as **Exhibit "8."** Ted Bernstein represents to the Court that he is the Trustee of the Simon Bernstein Irrevocable Insurance Trust dated 6/21/95. The so-called insurance trust has never been located, and not even a copy of it has been produced anywhere.

IV. Wrongful Distribution of Real Estate Sale Proceeds under the Shirley Bernstein Trust

As set forth above, Shirley Bernstein died on December 8, 2010. She left a Trust which held title to an oceanfront condominium in Boca Raton. On April 18, 2013, that condominium was sold for approximately \$1,400,000.00. The proceeds of the sale (over half a million dollars) were distributed in direct contravention to the language of her Trust. Specifically, the Trust language specifically disinherited and excluded two of Shirley's five children, Pam Simon and Ted Bernstein, and their respective children. Notwithstanding the plain language of the Shirley Bernstein Trust, and the statement provided by Spallina to the Sheriff of Palm Beach County [**Exhibit 4**], the proceeds of the sale of the condominium were distributed to, among others, the children of Pam Simon and Ted Bernstein. Ted Bernstein directed this wrongful distribution, then lied to the Palm Beach County Sheriff about it, saying the Trust allowed it.

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Donald Tescher, Spallina's law partner and the attorney for the Personal Representatives of the Estate (who were Mr. Tescher and Mr. Spallina), wrote on January 14, 2014 that the proceeds should not have been distributed to Pam Simon or Ted Bernstein or their respective children. A copy of the January 14, 2014 letter is attached hereto as **Exhibit "9."** (See paragraph 2.) As a result of that wrongful distribution, Donald Tescher resigned from any further representation in all matters relating to the estates and trusts of Simon Bernstein and Shirley Bernstein. (See paragraph 2 on page 2 of the letter.) That letter also makes reference to the correspondence in January of 2013, where there was a fraudulent modification to the language of the Trust. (See section II, above.)

V. Missing Personal Property from the Estate of Simon Bernstein

Shortly after the death of Simon Bernstein, an appraisal of the personal property was prepared. A copy of this appraisal is attached hereto as **Exhibit "10."** Sometime later, a new appraisal was submitted. Numerous items of personal property have simply disappeared during the time that Tescher and Spallina were Personal Representatives of the Estate. A copy of the new appraisal is attached hereto as **Exhibit "11."** Additionally, the jewelry insurance Declarations Page and Schedule of Items is attached as **Exhibit "12."**

VI. Spallina Pleads Guilty to Violating Federal Securities Laws

On September 16, 2015, Mr. Spallina pled guilty to an insider trading crime in the U.S. District Court for the District of New Jersey and has now voluntarily given up his law license. See Consent Decree and Final Judgment attached hereto as Composite **Exhibit "13."**

Thereafter, on December 15, 2015, Mr. Spallina stated under oath that he had not been found guilty of any crime. This was a false statement under oath. See transcript attached as **Exhibit "14."** This testimony also violates paragraph 12 of the Consent Decree.

All of the foregoing is submitted in order to bring this to the attention of law enforcement. Thank you.

Very truly yours,

PETER M. FEAMAN, P.A.

By: _____

Peter M. Feaman

PMF/mk
Enclosures

1

IN THE CIRCUIT COURT FOR PALM BEACH COUNTY, FL
IN RE: ESTATE OF File No. 502011CP000653XXXXSB
SHIRLEY BERNSTEIN, Probate Division
Deceased. Division

2012 OCT 24 PM 1:31
SHIRLEY BERNSTEIN
PALM BEACH COUNTY, FL
SOUTH CITY BRANCH-FILED

**WAIVER OF ACCOUNTING AND PORTIONS OF PETITION
FOR DISCHARGE; WAIVER OF SERVICE OF PETITION FOR
DISCHARGE; AND RECEIPT OF BENEFICIARY AND
CONSENT TO DISCHARGE**

The undersigned, Simon L. Bernstein, whose address is 7020 Lions Head Lane, Boca Raton, Florida 33496, and who has an interest in the above estate as beneficiary of the estate:

- (a) Expressly acknowledges that the undersigned is aware of the right to have a final accounting;
- (b) Waives the filing and service of a final or other accounting by the personal representative;
- (c) Waives the inclusion in the Petition for Discharge of the amount of compensation paid or to be paid to the personal representative, attorneys, accountants, appraisers, or other agents employed by the personal representative, and the manner of determining that compensation;
- (d) Expressly acknowledges that the undersigned has actual knowledge of the amount and manner of determining the compensation of the personal representative, attorneys, accountants, appraisers, or other agents; has agreed to the amount and manner of determining such compensation; and waives any objections to the payment of such compensation;
- (e) Waives the inclusion in the Petition for Discharge of a plan of distribution;
- (f) Waives service of the Petition for Discharge of the personal representative and all notice thereof upon the undersigned;
- (g) Acknowledges receipt of complete distribution of the share of the estate to which the undersigned was entitled; and
- (h) Consents to the entry of an order discharging the personal representative without notice, hearing or waiting period and without further accounting.

Signed on 4/9/12, 2012.

Beneficiary

By:

SIMON L. BERNSTEIN

April 09, 2012 Alleged Signature of Simon Bernstein. Note, in the un-notarized document the date number 9 crosses the date line and the two separators // for day/year cross the date line, in the notarized document the entire date and separators never cross the date line, indicating these are wholly dissimilar documents regarding the date. That in the un-notarized document date, the 2 in the year 12 is closed at the bottom and in the notarized document the 2 is open at the bottom, making them appear dissimilar documents. That the Signature of Simon appears wholly dissimilar as the S in Simon in the un-notarized waiver crosses through the word "Beneficiary" and in the notarized Waiver it never touches the word "beneficiary." The S in Simon in the un-notarized Waiver never touches the signature line and in the notarized Waiver the S rests on the signature line. That Simon was deceased in November 2012 when Moran notarized the Waiver at the Court's request and therefore this cannot be Simon's signature, especially if it is dissimilar to the alleged April 09, 2012 Waiver and the notarization took place in November 2012.

Therefore, Moran did not just affix a stamp to the alleged original document. Moran recreated the document and added the date and the signature and forged the signatures as that cannot be Simon's signature on the Notarized Document after he was deceased. Therefore, Moran did not just affix a stamp to the alleged original Waiver allegedly signed in April. Moran instead recreated the Waiver and added the date, forged the signature and therefore these are dissimilar Waivers and Prima Facie evidence of Forgery and Fraud. As the Waiver was submitted to the Probate court constitutes alleged Fraud on the Court and more. The Notary is also fraudulent as Simon could not have appeared on April 09, 2012.

UN-NOTARIZED WAIVER SIGNATURE AND DATE

(h) Consents to the entry of an order discharging the personal representative without notice, hearing or waiting period and without further accounting.

Signed on 4/9/12, 2012.

Beneficiary

By:

SIMON L. BERNSTEIN

Note that "S" in Simon crosses through the "ici" in "Beneficiary and circles "iciary" in beneficiary

Note remainder of signature above signature line

Note the "S" in Simon crosses through the "MO" in the Print Name

NOTARIZED WAIVER SIGNATURE AND DATE

(h) Consents to the entry of an order discharging the personal representative without notice, hearing or waiting period and without further accounting.

Signed on 4/9/12, 2012.

Beneficiary

By:

SIMON L. BERNSTEIN

Note the "S" in Simon crosses through the "N" and "L" NOT the "MO" in the Print Name.

Note remainder of signature BELOW signature line

Sworn to before me on April 9, 2012 by SIMON L. BERNSTEIN, who is personally known to me or who produced Kimberly Moran as identification.

(Affix Notarial Seal)



Note that "S" in Simon DOES NOT cross through the word "Beneficiary" at all and DOES NOT circle "iciary" in

Note the date change not initiated that it was changed and by who

2

In Re_ The Estate of Shirley Bernstein.txt

00001

1 IN THE CIRCUIT COURT OF THE 15TH JUDICIAL CIRCUIT,
2 IN AND FOR PALM BEACH COUNTY, FLORIDA
3 PROBATE/GUARDIANSHIP DIVISION IY
4 CASE NO.: 502011CP000653XXXXSB

IN RE: THE ESTATE OF:

4 SHIRLEY BERNSTEIN,
5 Deceased

5 _____/
6 ELIOT IVAN BERNSTEIN, PRO SE,
7 Petitioner,

8 VS.

9 TESCHER & SPALLINA, P.A., (AND ALL PARTNERS,
10 ASSOCIATES AND OF COUNSEL); ROBERT L. SPALLINA
11 (BOTH PERSONALLY & PROFESSIONALLY); DONALD
12 R. TESCHER (BOTH PERSONALLY & PROFESSIONALLY);
13 THEODORE STUART BERNSTEIN (AS ALLEGED PERSONAL
14 REPRESENTATIVE, TRUSTEE, SUCCESSOR TRUSTEE) (BOTH
15 PERSONALLY & PROFESSIONALLY); AND JOHN AND JANE
16 DOE'S (1-5000),
17 Respondents.

18 _____/
19 TRANSCRIPT OF PROCEEDINGS
20 BEFORE
21 THE HONORABLE MARTIN H. COLIN

22 South County Courthouse
23 200 West Atlantic Avenue, Courtroom 8
24 Delray Beach, Florida 3344

25 Friday, September 13, 2013
26 1:30 p.m. - 2:15 p.m.

27 Stenographically Reported By:
28 JESSICA THIBAULT

29

00002

1 APPEARANCES

2

3 On Behalf of the Petitioner:
4 ELIOT IVAN BERNSTEIN, PRO SE
5 2753 NW 34th Street
6 Boca Raton, Florida 33434

7 In Re_ The Estate of Shirley Bernstein.txt
8 MR. MANCERI: That's when the order was
9 signed, yes, your Honor.
10 THE COURT: He filed it, physically came
11 to court.
12 MR. ELIOT BERNSTEIN: Oh.
13 THE COURT: So let me see when he actually
14 filed it and signed the paperwork. November.
15 What date did your dad die?
16 MR. ELIOT BERNSTEIN: September. It's
17 hard to get through. He does a lot of things
18 when he's dead.
19 THE COURT: I have all of these waivers by
20 Simon in November. He tells me Simon was dead
21 at the time.
22 MR. MANCERI: Simon was dead at the time,
23 your Honor. The waivers that you're talking
24 about are waivers from the beneficiaries, I
25 believe.
26 THE COURT: No, it's waivers of
♀
00026
1 accountings.
2 MR. MANCERI: Right, by the beneficiaries.
3 THE COURT: Discharge waiver of service of
4 discharge by Simon, Simon asked that he not
5 have to serve the petition for discharge.
6 MR. MANCERI: Right, that was in his
7 petition. When was the petition served?
8 THE COURT: November 21st.
9 MR. SPALLINA: Yeah, it was after his date
10 of death.
11 THE COURT: Well, how could that happen
12 legally? How could Simon --
13 MR. MANCERI: Who signed that?
14 THE COURT: -- ask to close and not serve
15 a petition after he's dead?
16 MR. MANCERI: Your Honor, what happened
17 was is the documents were submitted with the
18 waivers originally, and this goes to
19 Mr. Bernstein's fraud allegation. As you know,
20 your Honor, you have a rule that you have to
21 have your waivers notarized. And the original
22 waivers that were submitted were not notarized,
23 so they were kicked back by the clerk. They
24 were then notarized by a staff person from
25 Tesch and Spallina admittedly in error. They
♀
00027

1 In Re_ The Estate of Shirley Bernstein.txt
2 should not have been notarized in the absentia
3 of the people who purportedly signed them. And
4 I'll give you the names of the other siblings,
5 that would be Pamela, Lisa, Jill, and Ted
Bernstein.

6 THE COURT: So let me tell you because I'm
7 going to stop all of you folks because I think
8 you need to be read your Miranda warnings.

9 MR. MANCERI: I need to be read my Miranda
10 warnings?

11 THE COURT: Everyone of you might have to
12 be.

13 MR. MANCERI: Okay.

14 THE COURT: Because I'm looking at a
15 formal document filed here April 9, 2012,
16 signed by Simon Bernstein, a signature for him.

17 MR. MANCERI: April 9th, right.

18 THE COURT: April 9th, signed by him, and
19 notarized on that same date by Kimberly. It's
20 a waiver and it's not filed with The Court
21 until November 19th, so the filing of it, and
22 it says to The Court on November 19th, the
23 undersigned, Simon Bernstein, does this, this,
24 and this. Signed and notarized on April 9,
25 2012. The notary said that she witnessed Simon

♀

00028

1 sign it then, and then for some reason it's not
2 filed with The Court until after his date of
3 death with no notice that he was dead at the
4 time that this was filed.

5 MR. MANCERI: Okay.

6 THE COURT: All right, so stop, that's
7 enough to give you Miranda warnings. Not you
8 personally --

9 MR. MANCERI: Okay.

10 THE COURT: Are you involved? Just tell
11 me yes or no.

12 MR. SPALLINA: I'm sorry?

13 THE COURT: Are you involved in the
14 transaction?

15 MR. SPALLINA: I was involved as the
16 lawyer for the estate, yes. It did not come to
17 my attention until Kimberly Moran came to me
18 after she received a letter from the Governor's
19 Office stating that they were investigating
20 some fraudulent signatures on some waivers that
21 were signed in connection with the closing of

3

Direct Cross Vol 1
December 15, 2015

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE No. 502014CP003698XXXXNB

TED BERNSTEIN,

Plaintiff,

-vs-

DONALD R. TESCHER, ELIOT IVAN BERNSTEIN,
LISA SUE FRIEDSTEIN, JILL MARLA IANTONI, et al.,

ORIGINAL

Defendants.

TRIAL BEFORE THE HONORABLE
JOHN L. PHILLIPS
VOLUME 1 PAGES 1 - 114

Tuesday, December 15, 2015
North County Courthouse
Palm Beach Gardens, Florida 33410
9:43 a.m. - 4:48 p.m.

Reported By:
Shirley D. King, RPR, FPR
Notary Public, State of Florida
West Palm Beach Office Job #1358198 - VOL 1

1 BY MR. BERNSTEIN:

2 Q. What law firm submitted those documents to the
3 court?

4 A. Tescher & Spallina, P.A.

5 Q. Are you a partner in that firm?

6 A. I was.

7 Q. So your firm that you were a partner with sent
8 in documents that were fraudulent to the court?

9 MR. ROSE: Objection. Cumulative.

10 THE COURT: Sustained.

11 BY MR. BERNSTEIN:

12 Q. Did Tescher & Spallina law firm submit
13 Kimberly Moran's forged and fraudulent document waivers
14 to the court?

15 MR. ROSE: Objection. Cumulative.

16 THE COURT: He already said he did.

17 MR. BERNSTEIN: What is that?

18 THE COURT: Cumulative means you've already
19 had that answer given.

20 MR. BERNSTEIN: No, I didn't have that.

21 THE COURT: He's already said that he did.

22 MR. BERNSTEIN: I'm asking if they deposited
23 them with the court.

24 THE COURT: And he said they didn't.

25 MR. BERNSTEIN: Well, I asked him, and he

1 said --

2 THE COURT: I won't argue with you. Do you
3 want to go on to the next item or not?

4 MR. BERNSTEIN: Oh, okay, I do.

5 THE COURT: Okay. Next question, please.

6 BY MR. BERNSTEIN:

7 Q. Did your office -- did you submit documents to
8 close the estate of Shirley with Simon as the personal
9 representative at a time Simon was dead?

10 A. We did.

11 Q. You did? Excuse me? I didn't hear an answer.

12 A. I said yes.

13 Q. So Shirley's estate was closed by a dead
14 personal representative.

15 Can you give me the time that the estate was
16 closed by Simon while he was dead?

17 MR. ROSE: Objection. Argumentative.

18 THE COURT: Overruled.

19 You can answer.

20 THE WITNESS: I believe it was October,
21 November 2012.

22 BY MR. BERNSTEIN:

23 Q. Do you want to check your records on that?

24 A. I believe it was after his death. I know he
25 died September 13, 2012. And we had received late from

4

PALM BEACH COUNTY SHERIFF'S OFFICE PAGE 5
 CASE NO. 14029489 OFFENSE REPORT CASE NO. 14029489
 DISPOSITION: ZULU

ROLE:

OTHER ROLE NO. 18

NAME	LAST	FIRST	MIDDLE	J/S	R/S	DOB
REAL...	CUNHA	JAMES	S		W M	

ADDRESS	NO.	STREET	SFX	DIR	APT#	CITY	ST	ZIP
BUSINESS	250	AUSTRALIAN		AV	S 1402	WEST PALM BEACH	FL	33401

PHONE #	HOME	OTHER	BUSINESS
	(818) 000 0000	(561) 000 0000	(561) 429 3924

ON 01/21/14 AT 1:45 PM I MET WITH ROBERT SPALLINA AND HIS ATTORNEY DAVID ROTH. SGT. DAVID GROOVER WAS ALSO PRESENT DURING THE INTERVIEW. WE MET AT THE PALM BEACH COUNTY SHERIFF'S OFFICE, DISTRICT 1 CONFERENCE ROOM, WHICH IS LOCATED AT 3228 GUN CLUB ROAD, WEST PALM BEACH, FL. ROBERT SPALLINA STATED THAT HE AND HIS PARTNER, DONALD TESCHNER, MET SIMON AND SHIRLEY BERNSTEIN IN 2007. HE SAID THAT IN 2008 THE BERNSTEIN'S CAME TO THE TESCHNER AND SPALLINA FIRM. HE SAID THAT THEY (THE ATTORNEY'S OFFICE) CREATED WILLS AND TRUSTS FOR BOTH SIMON AND SHIRLEY IN 2008, AMONG OTHER PLANNING. SPALLINA TOLD US THAT SIMON HAD BEEN IN THE INSURANCE BUSINESS FOR 40 YEARS.

HE SAID THAT THE SUBJECT OF THE FIRST MEETINGS WAS THE SALE OF THE INSURANCE BUSINESS DOWN THE ROAD, AS WELL AS MOVING AROUND SOME STOCKS. SPALLINA STATED THE CONVERSATIONS WITH SIMON AND THE THOUGHT PROCESS WAS THAT ONCE SIMON SOLD THE INSURANCE BUSINESS HE OWNED, ALL THE FAMILY WOULD BENEFIT FROM IT (FINANCIALLY). HE SAID THE BUSINESS WAS NEVER SOLD, BUT A LOT OF PLANNING AND PREPARATION WAS DONE FOR IT, TO INCLUDE SETTING UP A FLORIDA LIMITED PARTNERSHIP AND A DELAWARE ASSET PROTECTION TRUST. SPALLINA STATED THAT SIMON WAS ALWAYS CONCERNED WITH CREDITOR PROTECTION. HE SAID THAT IS QUITE COMMON IN THE INSURANCE BUSINESS WORLD.

SPALLINA REITERATED THAT IN 2008, THE LAW FIRM DID THE DOCUMENTS FOR THE WILLS AND TRUSTS. HE STATED THEY (SIMON & SHIRLEY) HAVE FIVE CHILDREN AND 10 GRANDCHILDREN, AS WELL AS A STEP-GRANDCHILD.

SPALLINA SAID THAT THE ESTATE PLAN WAS SIMILAR TO MOST OTHERS, IT SAID SHOULD ONE SPOUSE DIE FIRST, THE OTHER WILL RECEIVE EVERYTHING (ALL ASSETS). HE SAID THAT UNDER BOTH TRUSTS, THE INITIAL DOCUMENTS READ THAT UPON THE SECOND DEATH, TWO CHILDREN (TED AND PAM) WHERE EXCLUDED. HE TOLD US THIS TOOK PLACE SINCE BOTH TED AND PAM WERE SET UP WITH LIFE INSURANCE BUSINESSES AND THEY WANTED TO MAKE THE REMAINING CHILDREN (ELIOT, LISA, AND JILL) AS WHOLE AS THEY COULD. NOTE: TED WAS WORKING WITH SIMON IN THE INSURANCE BUSINESS

 printed by Employee Id #: 8604 on July 29, 2015 10:01:34AM

P A L M B E A C H C O U N T Y S H E R I F F ' S O F F I C E P A G E 6
C A S E N O . 1 4 0 2 9 4 8 9 O F F E N S E R E P O R T C A S E N O . 1 4 0 2 9 4 8 9
D I S P O S I T I O N : Z U L U

DOWN HERE IN FLORIDA AND PAM RECEIVED A COMPANY IN ILLINOIS.

SPALLINA REITERATED THAT UPON THE DEATH OF THE SECOND SURVIVOR, EVERYTHING FROM BOTH TRUSTS GOES TO JILL, LISA, AND ELIOT ADDING THAT SHIRLEY HAD ONE OTHER STIPULATION IN HER TRUST, WHICH STATED THAT TED'S STEPSON, (MATTHEW LOGAN) RECEIVED \$200,000. HE TOLD ME THAT SHIRLEY HAD A LIKING TO MATTHEW SO SHE ADDED THAT TO HER TRUST, BUT THAT SIMON DID NOT BELIEVE IN THAT, THAT HE FELT EVERYTHING SHOULD GO TO BLOOD (A BIOLOGICAL CHILD). SPALLINA SAID THAT LATER ON IN 2008, SHIRLEY STATED SHE WANTED TO CHANGE HER TRUST DOCUMENTS IN REFERENCE TO THE MONEY LEFT TO MATTHEW LOGAN. HE STATED THAT AN AMENDMENT WAS CREATED, WHICH WAS SIGNED BY SHIRLEY ON NOV. 18, 2008 TAKING LOGAN OUT OF THE TRUST.

SPALLINA STATED THAT HE FELT THAT SIMON'S WISHES OVERRODE SHIRLEY'S IN THIS SITUATION. SPALLINA SAID THAT HE AND KIMBERLY MORAN (HIS EMPLOYEE & A NOTARY) WENT TO SHIRLEY'S HOME FOR THE DOCUMENT TO BE SIGNED. HE SAID THAT RACHEL WALKER, SHIRLEY'S ASSISTANT, WAS PRESENT WHEN THE DOCUMENT WAS SIGNED. SHE AND SPALLINA ARE ON THE DOCUMENT AS WITNESSES, MORAN IS THE NOTARY FOR SHIRLEY'S SIGNATURE. HE TOLD ME THAT WAS THE LAST CHANGE SHIRLEY EVER MADE TO HER DOCUMENTS AND THAT SHE PASSED ON DECEMBER 2010. SIMON WAS STILL ALIVE AND THE TRUST READ THAT EVERYTHING WENT TO HIS BENEFIT. SPALLINA REITERATED THAT HER DOCUMENTS READ THAT UPON SIMON'S DEATH, EVERYTHING (HER ASSETS) WENT TO JILL, LISA, AND ELIOT.

SPALLINA STATED THAT IN 2012, SIMON CONTACTED HIM STATING THAT HE WAS HAVING CONCERN'S ABOUT HOW HE HAD ELIMINATED TED AND PAM FROM HIS TRUST. HE STATED THAT IT IS POSSIBLE THAT THESE THOUGHTS CAME ON BECAUSE PAM STARTED SENDING HIM LETTERS. HE SAID THAT SHE (PAM) HAD A LAWYER CONTACT HIS OFFICE AND ASK FOR COPIES OF SHIRLEY'S TRUST DOCUMENTS. SPALLINA SAID THAT HE MET WITH SIMON, WHO SAID THAT HE WAS CONSIDERING CHANGING HIS DOCUMENTS. HE SAID THAT ONE OF THE CHANGES DISCUSSED WAS HOW TO INCLUDE TED AND PAM'S CHILDREN.

SPALLINA STATED THAT SIMON HAD A LIFE INSURANCE POLICY WITH THE BENEFIT OF \$1,600,000. HE SAID THAT THE POLICY READ THAT IF SIMON PASSED BEFORE SHIRLEY SHE RECEIVED THE BENEFIT, BUT IF SHIRLEY PASSED BEFORE HIM, THE FIVE CHILDREN RECEIVED THE BENEFITS ONCE HE PASSED. THIS POLICY ORIGINATED OUT OF ILLINOIS. SPALLINA ADDED THAT THIS POLICY AND ITS DISTRIBUTION OF FUNDS ARE CURRENTLY IN A FEDERAL COURT BATTLE.

SPALLINA STATED THAT A DISCUSSION TOOK PLACE WITH HIM AND SIMON IN 2012; REFERENCE THE FACT THAT SIMON HAD ISSUES ON HOW AND WITH WHOM FUNDS WERE GOING TO BE DISTRIBUTED TO UPON HIS DEATH. HE TOLD ME SIMON WAS HAVING RESERVATIONS ABOUT TED AND PAM NOT BEING IN HIS TRUST, AS WELL AS THAT FACT THAT HE THEN HAD A GIRLFRIEND BY THE NAME OF MARITZ PUCCIO THAT HE WANTED TO PROVIDE FOR. HE ADDED THAT NO ONE IN THE FAMILY WAS HAPPY THAT PUCCIO WAS IN SIMON'S LIFE. HE ALSO TOLD ME THAT SIMON WANTED HIS GRANDCHILDREN TO RECEIVE BENEFITS FROM

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C A S E N O. 14029489 O F F E N S E R E P O R T C A S E N O. 14029489
D I S P O S I T I O N: Z U L U

THE TRUST.

SPALLINA SAID THAT SIMON FIRST SUGGESTED MAKING BENEFICIARY CHANGES ON THE AFOREMENTIONED LIFE INSURANCE POLICY. SPALLINA SAID THAT HE TOLD SIMON THAT WAS A VERY BAD IDEA. HE TOLD ME THAT THERE WAS SOMETHING CALLED AN EXERCISE OF POWER OF APPOINTMENT, PUT IN BOTH SIMON AND SHIRLEY'S TRUST DOCUMENTS. HE SAID THIS GAVE THE LIVING SPOUSE THE ABILITY TO MAKE CHANGES ON THE DECEASED SPOUSE'S DOCUMENTS. HE SAID THAT HE TOLD SIMON, THAT MAYBE THEY SHOULD EXPLORE OPTIONS WITH THAT. HE SAID SIMON TOLD HIM THAT HE WANTED TO MAKE THE NECESSARY CHANGES TO HAVE BOTH TRUSTS READ THAT THE 10 GRANDCHILDREN WERE THE BENEFICIARIES. HE TOLD ME THAT HE TOLD SIMON (SI AS HE CALLS HIM) THAT HE COULD NOT MAKE THOSE CHANGES TO SHIRLEY'S TRUST BECAUSE SHE HAD WROTE TED AND PAM AND THEIR CHILDREN AS PREDECESSED IN HER TRUST.

SPALLINA REITERATED THAT SIMON CAN DO WHATEVER HE WANTS WITH HIS ESTATE, BUT ALL HE CAN DO WITH SHIRLEY'S TRUST IS GIVE IT TO LISA, JILL, AND ELIOT'S CHILDREN. HE SAID THAT SIMON WAS NOT HAPPY ABOUT THIS. HE SAID THAT SIMON WAS VERY ADAMANT ABOUT LEAVING EVERYTHING IN THE ESTATES TO THE GRANDCHILDREN. HE ALSO SAID THAT HE ADVISED SIMON TO NOT MAKE CHANGES TO THE LIFE INSURANCE POLICY OR THE ESTATES, MAKING PUCCIO A BENEFICIARY. HE STATED THAT THIS WILL ONLY CAUSE PROBLEMS AND CREATE LITIGATION. SPALLINA SAID THE AFOREMENTIONED DISCUSSION AND MEETING TOOK PLACE IN FEBRUARY 2012. HE SAID THE MEETING CONCLUDED WITH SIMON SAYING HE NEEDED TO THINK ABOUT THINGS.

HE TOLD ME THAT THREE MONTHS LATER, SIMON CONTACTED HIM STATING HE KNEW WHAT HE WANTED TO DO. HE SAID THAT SIMON TOLD HIM HE WANTED TO LEAVE HIS INSURANCE POLICY ALONE, BUT THAT HE WANTS BOTH TRUSTS TO GO TO HIS 10 GRANDCHILDREN. SPALLINA SAID THAT HE EXPLAINED TO HIM AGAIN, THAT ONLY HIS TRUST, NOT SHIRLEY'S CAN GO TO BOTH GRANDCHILDREN, UNLESS HE TAKES ALL OF THE ASSETS OUT OF THE SHIRLEY TRUST AND PUTS THEM INTO HIS NAME. HE SAID THE COST OF TAKING THE ASSETS OUT OF SHIRLEY'S TRUST WOULD HAVE BEEN SIGNIFICANT, BECAUSE SHIRLEY'S DEATH OCCURRED BEFORE FEDERAL ESTATE TAX CHANGES TOOK PLACE, SO AS LONG AS IT STAYED IN HER ESTATE IT WOULD BE FREE OF TAX, BUT SHOULD IT GO TO SIMON'S TRUST IT WILL BE TAXED.

THERE WAS ALSO AN ISSUE OF SUBJECTING THE ASSETS FROM SHIRLEY'S ESTATE TO CREDITORS IF IT WENT TO SIMON'S ESTATE. SPALLINA TOLD ME THAT AT THIS TIME, SIMON SAID "GET MY CHILDREN ON THE PHONE". HE SAID THAT SIMON TOLD HIM THAT HE WANTED HIS CHILDREN TO AGREE THAT ALL ASSETS FROM BOTH TRUSTS GO TO THE 10 GRANDCHILDREN. HE SAID THAT SIMON TOLD HIM HE (SIMON) COULD GET THEM TO AGREE. SPALLINA CONFIRMED THAT THIS CONVERSATION OCCURRED ON THE SAME DATE, DURING THE SAME PHONE CALL (CONFERENCE CALL), REGARDING THE WAIVER OF ACCOUNTING FORM FOR SHIRLEY'S ESTATE IN PBSO CASE #13-097087.

FROM A PREVIOUS INVESTIGATION DONE BY ME, I FOUND THAT SIMON SIGNED THE WAIVER OF ACCOUNTING ON 04/09/12, SO IT IS POSSIBLE THAT THE PHONE CALL

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PALM BEACH COUNTY SHERIFF'S OFFICE

PAGE 8

PAGE NO. 14029489

OFFENSE REPORT

PAGE NO. 14029489

DISPOSITION: ZULU

OCCURRED ON THAT DATE. I HAD ALSO NOTED IN MY REPORT THAT THERE WAS SOME DISCUSSION OF INHERITANCE AND WHO WAS TO GET WHAT. SPALLINA SAID THAT DURING THE PHONE CALL, ALL FIVE KIDS AGREED THAT CHANGING THE INHERITANCE OF BOTH ESTATES TO THE GRANDCHILDREN WAS A GREAT IDEA. HE SAID THAT ELIOT SPOKE THE MOST, STATING THINGS SUCH AS, GREAT IDEA DAD, WHATEVER YOU WANT TO DO, WHATEVER MAKES YOU FEEL BEST, WHATEVER IS BEST FOR YOUR HEALTH DAD.

SO, AFTER THE AFOREMENTIONED PHONE CALL, NEW DOCUMENTS WERE DRAWN UP FOR SIMON'S ESTATE. THESE NEW DOCUMENTS GAVE EVERYTHING TO ALL 10 GRANDKIDS. HE ALSO EXERCISED HIS POWER OF SHIRLEY'S ESTATE, LEAVING EVERYTHING TO ALL 10 GRANDKIDS, EVEN THOUGH LEGALLY HE COULD NOT INCLUDE TED AND PAM'S KIDS BECAUSE OF THE PREDECESSED LIMITATION. HE SAID THESE DOCUMENTS WERE EXECUTED AT THE END OF JULY 2012. HE SAID SEVEN WEEKS LATER SIMON DIES, UNEXPECTEDLY. I FOUND THAT SIMON PASSED ON SEPTEMBER 13, 2012 OF A HEART ATTACK.

SPALLINA SAID APPROXIMATELY TWO MONTHS AFTER THAT, HIS OFFICE RECEIVED A REQUEST FROM ELIOT'S ATTORNEY, CHRISTINE YATES, FOR ALL DOCUMENTS RELATING TO SIMON AND SHIRLEY BERNSTEIN, TO INCLUDE DOCUMENTS RELATING TO BERNSTEIN FAMILY REALITY, WHICH OWNS A HOME THAT ELIOT AND HIS FAMILY LIVE IN. HE SAID THAT HIS HOME IS ACTUALLY OWNED AND IS FUNDED BY THREE TRUSTS THAT SIMON CREATED. THE THREE TRUSTS ARE IN THE NAME OF ELIOT'S THREE CHILDREN, (JACK, JAKE, AND DAN).

SPALLINA TOLD ME THAT HE AND HIS PARTNER HAD DISCUSSIONS REFERENCE TO FULFILLING SIMON'S WISHES OF ALL 10 GRANDCHILDREN RECEIVING THE BENEFITS FROM BOTH SIMON AND SHIRLEY'S TRUSTS. HE SAID THAT HE AND HIS PARTNER, DONALD TESCHNER, DISCUSSED DOING A SCRIVENER'S AFFIDAVIT REFERENCE REINSTATING TED AND PAM'S CHILDREN INTO SHIRLEY'S TRUST, SINCE THEIR NOTES WERE UNCLEAR TO AS IF THE GRANDCHILDREN WERE OR WERE NOT DEEMED PREDECESSED, AS TED AND PAM WERE. HE TOLD ME THAT THE DECISION WAS MADE TO NOT DO THE SCRIVENER'S AFFIDAVIT, DUE TO THE CHANCE THAT IT MAY NOT WORK. HE SAID THOUGH, THAT AGAINST HIS BETTER JUDGMENT HE ALTERED THE FIRST PAGE OF THE FIRST AMENDMENT TO THE SHIRLEY BERNSTEIN TRUST AGREEMENT, BEFORE HE TURNED IT OVER TO YATES. THE ORIGINAL WAS MENTIONED EARLIER ON IN THIS REPORT AND STATES THAT SHIRLEY SIGNED IT ON NOVEMBER 18, 2008. IT TOOK MATTHEW LOGAN OUT OF THE TRUST.

SPALLINA SAID THAT THEY NOTICED THAT THE FIRST PAGE OF THE DOCUMENT SKIPPED FROM ONE TO THREE, SO HE TOOK IT UPON HIMSELF TO ADD IN NUMBER TWO, BEFORE SENDING IT TO YATES. THE CHANGE THAT NUMBER TWO MADE TO THE TRUST, AMENDED PARAGRAPH E OF ARTICLE III, MAKING IT READ THAT ONLY TED AND PAM WERE CONSIDERED PREDECESSED, NOT THEIR CHILDREN. HE SAID THE ORIGINAL TRUST STATES THAT TED, PAM, AND THEIR CHILDREN ARE DEEMED PREDECESSED. SPALLINA SAID HE DID THIS AT THIS OFFICE IN BOCA RATON, FLORIDA. HE SAID THAT NO ONE ELSE TOOK PART IN ALTERING THE DOCUMENT. HE SAID THAT HE DID IT TO MAKE SIMON'S WISHES AND THE VERBAL AGREEMENT FROM THE APRIL 2012 PHONE CONVERSATION COME TRUE.

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D I S P O S I T I O N : Z U L U

✓ SPALLINA STATED THAT ALTHOUGH HE CREATED THE ALTERED FORM AND ATTACHED IT TO THE ORIGINALLY SIGNED/NOTARIZED FORM, HE RECEIVED NO INCOME OR GAIN FROM IT. HE STATED HE SOLELY DID IT TO FULFILL SIMON'S WISHES. HE CONFIRMED THAT THIS ALTERED DOCUMENT DID NOT GET FILED WITH THE COURTS.

SPALLINA STATED THAT AGAINST HIS ADVICE, A DISTRIBUTION WAS MADE FROM ONE OF THE TRUSTS AFTER SIMON'S DEATH. HE STATED THAT HE ADVISED AGAINST THIS AND WHEN SIMON PASSED, A FORMER PARTNER FILED A CLAIM AGAINST THE ESTATE FOR \$2,500,000.

✓ SPALLINA ALSO TOLD ME THAT IN 2006, ALL OF THE GRANDCHILDREN RECEIVED TRUSTS FROM SHIRLEY AND SIMON. HE STATED THAT YATES WAS ACTUALLY THE ATTORNEY FOR ELIOT'S CHILDREN'S TRUSTS. SPALLINA STATED THAT SIMON WANTED ELIOT'S KIDS TO HAVE A HOME, BUT DID NOT WANT THE HOME IN ELIOT'S NAME.

SPALLINA ALSO TOLD ME THAT IN 2009 SIMON CAME TO HIM AND SAID HE IS BUYING A HOUSE FOR ELIOT AND HIS FAMILY TO LIVE IN, BUT HE DOES NOT WANT ELIOT TO OWN THE HOME. HE SAID THAT SIMON TOLD HIM THAT HE WANTED ELIOT'S CHILDREN'S THREE TRUSTS TO OWN THE HOME. HE THEN SET UP A LIMITED LIABILITY COMPANY, WHICH IS BERNSTEIN FAMILY REALTY. HE SAID THAT SIMON SET UP AN ACCOUNT AT LEGACY BANK. HE SAID THAT SIMON FUNDED THE ACCOUNT, TO PAY FOR THE EXPENSES AT THE HOUSE. RACHEL WALKER WAS IN CHARGE OF PAYING THOSE EXPENSES. HE SAID THAT AT SIMON'S DEATH THE ACCOUNT HAD VERY LITTLE MONEY IN IT. HE SAID THIS WAS THE TYPE OF ACCOUNT THAT ONLY ENOUGH MONEY WENT INTO IT EACH MONTH TO COVER THE NECESSARY EXPENSES FOR THE HOME, SUCH AS POWER, WATER, AND MORTGAGE.

SPALLINA STATED THAT PRIOR TO SIMON'S DEATH, HE WAS THE MANAGER OF BFR, BUT AFTER HIS DEATH IT WAS TRANSFERRED TO OPPENHEIMER TRUST COMPANY, BECAUSE NO ONE IN THE FAMILY WANTED TO MANAGE IT. HE STATED THIS WAS BECAUSE NO ONE WANTED TO DEAL WITH ELIOT. HE SAID OTC BECAME THE TRUSTEE AND THE LEGACY BANK ACCOUNT GOT CLOSED OUT SINCE THE ACCOUNT HAD MINIMAL FUNDS IN IT AND SIMON WAS NO LONGER ALIVE TO FUND IT. HE STATED THAT OTC OPENED UP THEIR OWN BFR TRUST ACCOUNT. HE SAID THAT WHEN THIS OCCURRED, THERE WAS APPROXIMATELY \$80,000 IN EACH OF ELIOT'S CHILDREN'S TRUSTS. HE SAID THAT ELIOT STARTED CALLING UP OTC ASKING FOR THEM TO PAY BILLS.

SPALLINA SAID THE PROBLEM IS THAT SINCE NEITHER ELIOT NOR HIS WIFE WERE WORKING, THEY WERE ALSO ASKING FOR THEIR CREDIT CARD BILLS TO BE PAID, ALONG WITH THE NORMAL LIVING EXPENSES. HE STATED THAT THE CREDIT CARD BILLS SHOWED CHARGES TO HIGH END RESTAURANTS, SUCH AS CAPITAL GRILL. SPALLINA SAID THAT DUE TO THE EXPENSES BEING PAID BY THE THREE CHILDREN'S TRUST, TO INCLUDE PRIVATE SCHOOL, THE TRUSTS WERE DRAINED BY AUGUST 2013.

✓ SPALLINA STATED THAT TED BERNSTEIN IS THE TRUSTEE FOR SHIRLEY'S TRUST. HE SAID THAT SHIRLEY HAD A CONDO THAT WAS SOLD FOR \$1,400,000 AND THAT MONEY WENT INTO THE TRUST. HE SAID THAT TED DISCUSSED WITH HIS SIBLINGS, POSSIBLY

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O F F E N S E R E P O R T D I S P O S I T I O N : Z U L U

EXCLUDING ELIOT, THAT THERE WAS CONCERN ABOUT A CREDITOR GETTING SOME OF THE MONEY. HE SAID THAT TED MADE A DISTRIBUTION TO SEVEN OF THE 10 GRANDCHILDREN'S TRUSTS. FOUR OF WHICH INCLUDE TED'S THREE CHILDREN AND PAM'S CHILD. SPALLINA SAID THAT TED ONLY FUNDED SEVEN OF THE GRANDCHILDREN, BECAUSE ELIOT REFUSED TO OPEN ACCOUNTS FOR HIS THREE KIDS SO THAT TED COULD FUND THEM. HE SAID THAT IN SEPTEMBER OF 2013, \$80,000 WAS DISTRIBUTED TO EACH OF THE SEVEN TRUSTS, WHICH IS A TOTAL OF \$560,000. SPALLINA REITERATED THAT TED WAS TOLD TO NOT MAKE DISTRIBUTIONS.

SPALLINA WAS ASKED AND CONFIRMED THAT THE ALTERED DOCUMENT REFERENCE SHIRLEY'S TRUST, IS THE ONLY MISTAKE THAT HE MADE. HE IS NOT AWARE OF ANY OTHER MISTAKES.

I WAS SUPPLIED A COPY OF THE ALTERED DOCUMENT BY SPALLINA ON 01/22/14. THIS NARRATIVE IS NOT A VERBATIM ACCOUNT OF THE INTERVIEW WITH SPALLINA. FURTHER INVESTIGATION WILL CONSIST OF MEETING WITH SIMON AND SHIRLEY'S CHILDREN, IN ATTEMPT TO GAIN STATEMENTS FROM THEM.

THIS CASE REMAINS OPEN.
DETECTIVE RYAN W. MILLER #7704
01/24/14 @ 1153 HRS.
TRANS. VIA EMAIL/COPY/PASTE: 01/29/2014/MDR/#6405

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5

IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT
IN AND FOR PALM BEACH COUNTY, FLORIDA
CASE No. 502014CP003698XXXXNB

TED BERNSTEIN,

Plaintiff,

-vs-

DONALD R. TESCHER, ELIOT IVAN BERNSTEIN,
LISA SUE FRIEDSTEIN, JILL MARLA IANTONI, et al.,

ORIGINAL

Defendants.

TRIAL BEFORE THE HONORABLE
JOHN L. PHILLIPS
VOLUME 1 PAGES 1 - 114

Tuesday, December 15, 2015
North County Courthouse
Palm Beach Gardens, Florida 33410
9:43 a.m. - 4:48 p.m.

Reported By:
Shirley D. King, RPR, FPR
Notary Public, State of Florida
West Palm Beach Office Job #1358198 - VOL 1

1 Q. Have you been charged with that by the Palm
2 Beach County Sheriff yet?

3 A. No, I have not.

4 Q. Okay. How many times were you interviewed by
5 the Palm Beach County Sheriff?

6 MR. ROSE: Objection. Relevance.

7 THE COURT: Sustained.

8 BY MR. BERNSTEIN:

9 Q. Did you mail a fraudulently signed document to
10 Christine Yates, the attorney for Eliot Bernstein's
11 minor children?

12 MR. ROSE: Objection. Relevance.

13 THE COURT: Overruled.

14 THE WITNESS: Yes.

15 BY MR. BERNSTEIN:

16 Q. And when did you acknowledge that to the
17 courts or anybody else? When's the first time you came
18 about and acknowledged that you had committed a fraud?

19 A. I don't know that I did do that.

20 Q. Well, you just said you went to the Palm Beach
21 County Sheriff and admitted altering a document and put
22 it in the mail.

23 THE COURT: Let me stop you there. If you
24 want to ask the witness questions, you're permitted
25 to do that. If you would like to argue with the

6

LAW OFFICES
TESCHER & SPALLINA, P.A.

ATTORNEYS
DONALD R. TESCHER
ROBERT L. SPALLINA
LAUREN A. GALVANI

BOCA VILLAGE CORPORATE CENTER I
4855 TECHNOLOGY WAY, SUITE 720
BOCA RATON, FLORIDA 33431

TEL: 561-997-7008
FAX: 561-997-7308
TOLL FREE: 888-997-7008
WWW.TESCHERSPALLINA.COM

SUPPORT STAFF
DIANE DUSSEN
KIMBERLY MORAN
SUANN TESCHER

November 1, 2012

VIA FEDERAL EXPRESS
Claims Department
Heritage Union Life Insurance Company
1275 Sandusky Road
Jacksonville, IL 62651

Re: Insured: Simon L. Bernstein
Contract No.: 1009208

Dear Sir or Madam:

Enclosed is the Claimant's Statement for the above referenced policy, together with an original death certificate for the insured, Simon Bernstein. We are also enclosing a copy of Internal Revenue Service Form SS-4, Application for Employer Identification Number for the Simon Bernstein Irrevocable Insurance Trust dated June 1, 1995, which is the trust listed as beneficiary of the above referenced policy. We will provide wiring instructions for the trust bank account when you have processed the claim, if possible, in lieu of a check. Finally, we are enclosing a copy of the obituary for the decedent which was published in the Palm Beach Post. We are unable to locate a copy of the original insurance policy.

If you have any questions with regard to the foregoing, please do not hesitate to contact me.

Sincerely,

Robert L. Spallina/km
ROBERT L. SPALLINA

RLS/km

Enclosures

JCK001277

CLAIMANT STATEMENT

Heritage Union Life Insurance Company

Mailing Address
P.O. Box 1600
Jacksonville, IL 62651-1600

Proof of Loss

Part I

INSTRUCTIONS TO THE INSURED AND CLAIMANT

The following items are required for all claims:

- An original certified death certificate showing the cause of death. Photocopies are not acceptable.
- The original policy or, if unavailable, an explanation provided in Decedent Information section, space 5 of this form.
- This claim form completed and signed by the claimant(s).

If the policy has been in force for less than two years during the lifetime of the Insured or if the policy has been reinstated within two years of the Insured's death, then we may perform a routine inquiry into the answers on the application for the policy or reinstatement application of the lapsed policy.

If the death occurred outside of the United States, we will require a Report of the Death of an American Citizen Abroad.

Special Instructions and additional requirements may apply.

- If the beneficiary is the Estate of the Insured, we will also require evidence of the court approved legal representative over the Estate. Please provide the Tax ID number of the Estate of the Insured.
- If the beneficiary is a trust, we will also require a copy of the trust agreement and any amendments, including the signature page(s). Please note the Trustee Certification section of the claim form will also need to be completed by all trustees. Please use the trust's name when completing the Claimant Information section of the claim form and provide the Tax ID number of the trust.
- If the beneficiary is a minor, we will require evidence of court appointed guardianship of the Minor's Estate.
- If the policy is collaterally assigned, we will require a letter from the collateral assignee stating the balance due under the collateral assignment. If the collateral assignee is a corporation, please include a copy of the corporate resolution verifying who is authorized to sign on behalf of the corporation.
- If the primary beneficiary(ies) is (are) deceased, we will require a death certificate for each deceased beneficiary.
- If the policy has a split dollar agreement associated with it, we will require a copy of said agreement.
- If the policy is subject to a Viatical or a Life Settlement transaction, and if the beneficiary is a viatical settlement provider, life settlement provider, the receiver or conservator of viatical or life settlement company, a viatical or life financing entity, trustee, agent, securities intermediary or other representative of a viatical or life settlement provider or an individual or entity which invested in this policy as a viatical or life settlement, please complete questions 19 and 30.

Other requirements may be needed depending on the individual facts of the claim. The company will advise you if other documentation is required.

CLAIMANT STATEMENT

FRAUD INFORMATION

For Residents of Alaska, Arizona, Nebraska, New Hampshire and Oregon: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance may be guilty of a crime and may be subject to fines and confinement in prison.

For Residents of California: For your protection California law requires the following notice to appear on this form. Any person who knowingly presents a false or fraudulent claim for the payment of a loss is guilty of a crime and may be subject to fines and confinement in state prison.

For Residents of Colorado: It is unlawful to knowingly provide false, incomplete, or misleading facts or information to an insurance company for the purpose of defrauding or attempting to defraud the company. Penalties may include imprisonment, fines, denial of insurance and civil damages. Any insurance company or agent of an insurance company who knowingly provides false, incomplete, or misleading facts or information to a policyholder or claimant for the purpose of defrauding or attempting to defraud the policyholder or claimant with regard to a settlement or award payable from insurance proceeds shall be reported to the Colorado division of insurance within the department of regulatory agencies.

For Residents of Florida: Any person who knowingly and with intent to injure, defraud, or deceive any insurer files a statement of claim or an application containing any false, incomplete, or misleading information is guilty of a felony of the third degree.

For Residents of Kentucky, Ohio and Pennsylvania: Any person who knowingly & with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information or conceals for the purpose of misleading, information concerning any fact material thereto commits a fraudulent insurance act, which is a crime & subjects such person to criminal and civil penalties.

For Residents of Maine, Tennessee and Washington: It is a crime to knowingly provide false, incomplete or misleading information to an insurance company for the purpose of defrauding the company. Penalties include imprisonment, fines and denial of insurance benefits.

For Residents of Minnesota: A person who files a claim with intent to defraud or helps commit a fraud against an insurer is guilty of a crime.

For Residents of New Jersey: Any person who knowingly files a statement of claim containing any false or misleading information is subject to criminal and civil penalties.

For Residents of New Mexico: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to civil fines and criminal penalties.

For Residents of New York: Please see the Signature section of this form.

For Residents of Puerto Rico: Any person who, knowingly and with intent to defraud, presents false information in an insurance request form, or who presents, helps or has presented a fraudulent claim for the payment of a loss or other benefit, or presents more than one claim for the same damage or loss, will incur a felony, and upon conviction will be penalized for each violation with a fine no less than five thousand (\$5,000) dollars nor more than ten thousand (\$10,000) dollars, or imprisonment for a fixed term of three (3) years, or both penalties. If aggravated circumstances prevail, the fixed established imprisonment may be increased to a maximum of five (5) years; if attenuating circumstances prevail, it may be reduced to a minimum of two (2) years.

For Residents of All Other States: Any person who knowingly presents a false or fraudulent claim for payment of a loss or benefit or knowingly presents false information in an application for insurance is guilty of a crime and may be subject to fines and confinement in prison.

CLAIMANT STATEMENT

DECEDENT INFORMATION

1. Name of Deceased (Last, First Middle)	2. Last 4 digits of Deceased's Social Security No:
Bernstein, Simon Leon	5241

3. If the Deceased was known by any other names, such as maiden name, hyphenated name, nickname, derivative form of first and/or middle name or an alias, please provide them below.

4. Policy Number(s)	1009208	5. If policy is lost or not available, please explain
		unable to locate, policy is 30 years old

6. Deceased's Date of Death	7. Cause of Death	8.
04/13/12	natural causes	<input checked="" type="checkbox"/> Natural <input type="checkbox"/> Accidental <input type="checkbox"/> Suicide <input type="checkbox"/> Homicide <input type="checkbox"/> Pending

CLAIMANT INFORMATION

9. Claimant Name (Last, First, Middle). If trust, please list trust name and complete Trustee Certification section.

Simon Bernstein Irrevocable Insurance Trust

10. Street Address	11. City	12. State and Zip	13. Daytime Phone Number

14. Date of Birth	15. Social Security or Tax ID Number	16. Relationship to Deceased

17. I am filing this claim as:

an individual who is named as a beneficiary under the policy
 a Trustee of a Trust which is named as a beneficiary under the policy
 an Executor of Estate which is named as a beneficiary under the policy
 Other

18. Are you a U.S. Citizen? Yes No

If "No" please list country of citizenship.

19. Policies subject to Viatical / Life Settlement transactions - Are you a viatical settlement provider, life settlement provider, the receiver or conservator of viatical or life settlement company, a viatical or life financing entity, trustee, agent, securities intermediary or other representative of a viatical or life settlement provider, or an individual or entity which invested in this policy as a viatical or life settlement?

Yes

No

CLAIMANT INFORMATION (to be completed by 2nd claimant, if any)

20. Claimant Name (Last, First, Middle). If trust, please list trust name and complete Trustee Certification section.

21. Street Address	22. City	23. State and Zip	24. Daytime Phone Number

25. Date of Birth	26. Social Security or Tax ID Number	27. Relationship to Deceased

28. I am filing this claim as:

an individual who is named as a beneficiary under the policy
 a Trustee of a Trust which is named as a beneficiary under the policy
 an Executor of Estate which is named as a beneficiary under the policy
 Other

29. Are you a U.S. Citizen? Yes No

If "No" please list country of citizenship.

30. Policies subject to Viatical / Life Settlement transactions - Are you a viatical settlement provider, life settlement provider, the receiver or conservator of viatical or life settlement company, a viatical or life financing entity, trustee, agent, securities intermediary or other representative of a viatical or life settlement provider, or an individual or entity which invested in this policy as a viatical or life settlement?

Yes

No

YOUR SIGNATURE IS REQUIRED ON THE NEXT PAGE.

CLG012F LIP Claimant Statement No 3AA 12/7/2011

Page 3

JCK001271

CLAIMANT STATEMENT

SETTLEMENT OPTIONS

The policy may contain one or more settlement options, such as Interest Payments, Installments for a Specified Amount, Life Annuity, Life Annuity with Period Certain, and/or Joint Life and Survivorship Annuity. You may choose to receive a lump sum payment or another settlement option available in the policy under which a claim is made. For more information, refer to the optional methods of policy settlement provision in the policy or contact us at the mailing address noted on the front of the claim form.

If you wish to select a settlement option, please indicate your settlement selection by name (not by number) on the line below after you have carefully reviewed the options available in the policy. Availability of settlement options are subject to the terms of the policy. If you do not choose a settlement option, we will send a lump sum settlement to you.

Name of Settlement Option from Policy

Important Information About the USA PATRIOT Act

To help fight the funding of terrorism and money-laundering activities, the U.S. government has passed the USA PATRIOT Act, which requires banks, including our processing agent bank, to obtain, verify and record information that identifies persons who engage in certain transactions with or through a bank. This means that we will need to verify the name, residential or street address (no P.O. Boxes), date of birth and social security number or other tax identification number of all account owners.

SUBSTITUTE FOR IRS FORM W-9

This information is being collected on this form versus IRS form W-9 and will be used for supplying information to the Internal Revenue Service (IRS). Under penalty of perjury, I certify that 1) the fax ID number above is correct (or I am waiting for a number to be issued to me), 2) I am not subject to backup withholding because (a) I am exempt from backup withholding, or (b) I have not been notified by the IRS that I am subject to backup withholding as a result of failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding, and 3) I am a U.S. person (including a U.S. resident alien). Please cross through item 2 if you have been notified by the IRS that you are subject to backup withholding because you have failed to report all interest and dividends on your tax return.

SIGNATURES

I/We do hereby make claim to said insurance, declare that the answers recorded above are complete and true, and agree that the furnishing of this and any supplemental forms do not constitute an admission by the Company that there was any insurance in force on the life in question, nor a waiver of its rights or defenses.

For Residents of New York: Any person who knowingly and with intent to defraud any insurance company or other person files an application for insurance or statement of claim containing any materially false information, or conceals for the purpose of misleading, information concerning any fact material thereto, commits a fraudulent insurance act, which is a crime, and shall also be subject to a civil penalty not to exceed five thousand dollars and the stated value of the claim for each such violation.

For Residents of All Other States: See the Fraud Information section of this claim form.

The Internal Revenue Service does not require your consent to any provision of this document other than the certifications required to avoid backup withholding.

Signature of Claimant and Title

Date

Signature of Second Claimant, if any, and Title

Date

7

LAW OFFICES
TESCHER & SPALLINA, P.A.BOCA VILLAGE CORPORATE CENTER I
4855 TECHNOLOGY WAY, SUITE 720
BOCA RATON, FLORIDA 33431

ATTORNEYS

DONALD R. TESCHER
ROBERT L. SPALLINA
LAUREN A. GALVANITEL: 561-997-7008
FAX: 561-997-7308
TOLL FREE: 888-997-7008
WWW.TESCHERSPALLINA.COMSUPPORT STAFF
DIANE DUSTIN
KIMBERLY MORAN
SUANN TESCHER

December 6, 2012

VIA FACSIMILE: 803-333-4936Attn: Bree
Claims Department
Heritage Union Life Insurance Company
1275 Sandusky Road
Jacksonville, IL 62651Re: Insured: Simon L. Bernstein
Contract No.: 1009208

Dear Bree:

As per our earlier telephone conversation:

- We are unable to locate the Simon Bernstein Irrevocable Insurance Trust dated June 1, 1995, which we have spent much time searching for.
- Mrs. Shirley Bernstein was the initial beneficiary of the 1995 trust, but predeceased Mr. Bernstein.
- The Bernstein children are the secondary beneficiaries of the 1995 trust.
- We are submitting the Letters of Administration for the Estate of Simon Bernstein showing that we are the named Personal Representatives of the Estate.
- We would like to have the proceeds from the Heritage policy released to our firm's trust account so that we can make distributions amongst the five Bernstein children.
- If necessary, we will prepare for Heritage an Agreement and Mutual Release amongst all the children.
- We are enclosing the SS4 signed by Mr. Bernstein in 1995 to obtain the EIN number for the 1995 trust.

If you have any questions with regard to the foregoing, please do not hesitate to contact me.

Sincerely,


ROBERT L. SPALLINA

RLS/km

Enclosures

IN THE CIRCUIT COURT FOR PALM BEACH COUNTY, FL

IN RE: ESTATE OF

PROBATE DIVISION

SIMON L. BERNSTEIN,

File No. 502C12010041391

Deceased.

*JZ**KLXSB*

LETTERS OF ADMINISTRATION

CIRCUIT - 2 AM 9:31
PALM BEACH COUNTY, FL
SOUTH BRANCH-FILED

TO ALL WHOM IT MAY CONCERN

WHEREAS, Simon L. Bernstein, a resident of Palm Beach County, died on September 13, 2012, owning assets in the State of Florida, and

WHEREAS, Robert L. Spallina and Donald R. Tescher have been appointed as co-Personal Representatives of the Estate of the decedent and has performed all acts prerequisite to issuance of Letters of Administration in the estate,

NOW, THEREFORE, I, the undersigned Circuit Judge, declare Robert L. Spallina and Donald R. Tescher as duly qualified under the laws of the State of Florida to act as co-Personal Representatives of the Estate of Simon L. Bernstein, deceased, with full power to administer the estate according to law; to ask, demand, sue for, recover and receive the property of the decedent; to pay the debts of the decedent as far as the assets of the estate will permit and the law directs; and to make distribution of the estate according to law.

DONE and ORDERED in Chambers at Delray, Palm Beach County, Florida, on this 2 day of
Oct, 2012.

Estate must be closed 12 months from the date of order

Circuit Judge



STATE OF FLORIDA - PALM BEACH COUNTY

I hereby certify that the foregoing is a true copy as recorded in my office and the same is in full force and effect.

THE 2 DAY OF Oct, 2012

SHARON R. BOCK

CLERK & COMPTROLLER

By Deputy Clerk

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**IN THE UNITED STATES DISTRICT COURT
FOR THE NORTHERN DISTRICT OF ILLINOIS
EASTERN DIVISION**

SIMON BERNSTEIN IRREVOCABLE)
INSURANCE TRUST DTD 6/21/95,)
by Ted S. Bernstein, its Trustee, Ted)
Bernstein, an individual,)
Pamela B. Simon, an individual,)
Jill Iantoni, an individual and Lisa S.)
Friedstein, an individual.)
)
Plaintiff,) **Case No. 13 cv 3643**
) **Honorable Amy J. St. Eve**
) **Magistrate Mary M. Rowland**
v.)
)
HERITAGE UNION LIFE INSURANCE)
COMPANY,)
)
Defendant,)
-----)
HERITAGE UNION LIFE INSURANCE)
COMPANY)
)
)
)
)
Counter-Plaintiff)
)
v.)
)
SIMON BERNSTEIN IRREVOCABLE)
TRUST DTD 6/21/95)
)
Counter-Defendant)
and,)
)
FIRST ARLINGTON NATIONAL BANK)
as Trustee of S.B. Lexington, Inc. Employee)
Death Benefit Trust, UNITED BANK OF)
ILLINOIS, BANK OF AMERICA,)
Successor in interest to LaSalle National)
Trust, N.A., SIMON BERNSTEIN TRUST,)
N.A., TED BERNSTEIN, individually and)
as purported Trustee of the Simon Bernstein)

Irrevocable Insurance Trust Dtd 6/21/95,)
and ELIOT BERNSTEIN)
)
Third-Party Defendants.)
)
)
ELIOT IVAN BERNSTEIN,)
)
)
Cross-Plaintiff)
)
)
v.)
)
TED BERNSTEIN, individually and)
as alleged Trustee of the Simon Bernstein)
Irrevocable Insurance Trust Dtd, 6/21/95)
)
)
Cross-Defendant)
and,)
)
)
PAMELA B. SIMON, DAVID B. SIMON,)
both Professionally and Personally)
ADAM SIMON, both Professionally and)
Personally, THE SIMON LAW FIRM,)
TESCHER & SPALLINA, P.A.,)
DONALD TESCHER, both Professionally)
and Personally, ROBERT SPALLINA,)
both Professionally and Personally,)
LISA FRIEDSTEIN, JILL IANTONI)
S.B. LEXINGTON, INC. EMPLOYEE)
DEATH BENEFIT TRUST, S.T.P.)
ENTERPRISES, INC. S.B. LEXINGTON,)
INC., NATIONAL SERVICE)
ASSOCIATION (OF FLORIDA),)
NATIONAL SERVICE ASSOCIATION)
(OF ILLINOIS) AND JOHN AND JANE)
DOES)
)
Third-Party Defendants.)
)

PLAINTIFFS' FIRST AMENDED COMPLAINT

NOW COMES Plaintiffs, SIMON BERNSTEIN IRREVOCABLE INSURANCE TRUST dtd 6/21/95, and TED BERNSTEIN, as Trustee, (collectively referred to as "BERNSTEIN TRUST"), TED BERNSTEIN, individually, PAMELA B. SIMON, individually, JILL IANTONI, individually, and LISA FRIEDSTEIN, individually, by their attorney, Adam M. Simon, and complaining of Defendant, HERITAGE UNION LIFE INSURANCE COMPANY, ("HERITAGE") states as follows:

BACKGROUND

1. At all relevant times, the BERNSTEIN TRUST was a common law irrevocable life insurance trust established in Chicago, Illinois, by the settlor, Simon L. Bernstein, ("Simon Bernstein" or "insured") and was formed pursuant to the laws of the state of Illinois.
2. At all relevant times, the BERNSTEIN TRUST was a beneficiary of a life insurance policy insuring the life of Simon Bernstein, and issued by Capitol Bankers Life Insurance Company as policy number 1009208 (the "Policy").
3. Simon Bernstein's spouse, Shirley Bernstein, was named as the initial Trustee of the BERNSTEIN TRUST. Shirley Bernstein passed away on December 8, 2010, predeceasing Simon Bernstein.
4. The successor trustee, as set forth in the BERNSTEIN TRUST agreement is Ted Bernstein.
5. The beneficiaries of the BERNSTEIN TRUST as named in the BERNSTEIN TRUST Agreement are the children of Simon Bernstein.

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SUPPORT STAFF
DIANE DUSTIN
KIMBERLY MORAN
SUANN TESCHER

January 14, 2014

VIA U.S. MAIL AND EMAIL

Ted S. Bernstein
880 Berkeley Street
Boca Raton, FL 33487

Eliot Bernstein
2753 NW 34th Street
Boca Raton, FL 33434

Lisa S. Friedstein
2142 Churchill Lane
Highland Park, IL 60035

Patnela B. Simon
950 North Michigan Ave.
Suite 2603
Chicago, IL 60606

Jill Iantoni
2101 Magnolia Lane
Highland Park, IL 60035

Re: Estates and Trusts of Shirley Bernstein and Simon Bernstein

Dear Ladies and Gentlemen:

It has been brought to my attention that a document was prepared in our office that altered the disposition of the Shirley Bernstein Trust subsequent to Simon Bernstein's death. Information provided to me appears to indicate that there were two versions of the First Amendment to the Shirley Bernstein Trust Agreement, both executed on November 18, 2008. Under one version the children of Pam Simon and Ted Bernstein would not be permissible appointees of Simon Bernstein's exercise of the power of appointment while under the second version that restriction was removed. As you all know, Simon Bernstein's dispositive plan, expressed to all of you during his lifetime on a conference call, was to distribute the Estate to all ten of his grandchildren. That was the basis upon which the administration was moving forward.

Under the Shirley Bernstein Trust, there is a definition of children and lineal descendants. That definition excluded Pam Simon, Ted Bernstein and their respective children from inheriting. The document also contained a special Power of Appointment for Simon wherein he could appoint the assets of the Trust for Shirley's lineal descendants. Based upon the definition of children and lineal descendants, the Power of Appointment could not be exercised in favor of Pam Simon, Ted Bernstein or their respective children, although we believe it was Simon Bernstein's wish to provide equally for all of his grandchildren.

On November 18, 2008, it does appear from the information that I have reviewed that Shirley Bernstein executed a First Amendment to her trust agreement. The document as executed appears to make only one relatively minor modification to her trust disposition by eliminating a specific gift to Ted

2014CPW3498

EXHIBIT B

A2

Bernstein Family

January 14, 2014

Page 2

Bernstein's stepson. In January of 2013 a First Amendment to the Shirley Bernstein Trust Agreement was provided to Christine Yates, Esq. who, at that time, was representing Eliot Bernstein. The document provided contained a paragraph number 2 which modified the definitional language in Shirley's document so as to permit, by deleting the words "and their respective lineal descendants" from the definition, an exercise of the power of appointment by Simon Bernstein over the Shirley Bernstein Trust to pass equally to all ten grandchildren rather than only six of the grandchildren.

By virtue of The Florida Bar Rules of Professional Conduct, I am duty bound to provide this information to you. Obviously, as a result of the issues and ramifications raised by the allegations, my firm must resign from further representation in all matters relating to the Estates and Trusts of Simon Bernstein and Shirley Bernstein. Furthermore, it is my intent, and I assume also the intent of Robert Spallina, to tender our resignations as personal representatives of the Simon Bernstein Estate and as trustees of the Simon Bernstein Trust. If the majority of the Bernstein family is in agreement, I would propose to exercise the power to designate a successor trustee by appointing Ted Bernstein in that capacity. With regard to the Simon Bernstein Estate, the appointment of the successor would require a court proceeding.

I am obviously upset and distraught over this chain of events and will do all that I reasonably can to correct and minimize any damages to the Bernstein family. As I believe you know, to date there has only been a modest funding of some, but not all, of the continuing trusts for the grandchildren emanating from Shirley's Trust assets.

Very truly yours,

DONALD R. TESCHER

DRT/km

cc: Alan Rose, Esq.

LAW OFFICES
TESCHER & SPALLINA, P.A.

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DESCRIPTIONS AND VALUES

Any damages will be noted in the appraisal report. Measurements, if provided, are in the order of HEIGHT first, WIDTH second, and DEPTH third. Measurements are approximate. The appraiser has seen all of the items listed.

7020 LIONS HEAD LANE, BOCA RATON, FL

FOYER

1.	NEEDLEPOINT RUG – 5 ½' X 3 ½' All over floral design with border, with additional colors of green, pink and brown	\$60.00
2.	COPPER BOWL – 36" Turned, circular, undecorated	100.00
3.	BENCH Early 20 th Century, Art Nouveau, mahogany, inlaid decoration, upholstered seat and back	400.00
4.	STATUES (2) Carved wood Lion, one standing, one sitting, glass eyes, modern decorative	700.00
5.	ORIENTAL RUG – 6' 2" X 3' 11" Hand knotted, wool on cotton, blue field, leafy scrolls and flowers, three borders, additional colors of tan, pink and ivory	100.00
6.	CENTER TABLE Octagonal beveled glass top, cast urn base with ring handles	350.00
7.	DECORATIONS <ul style="list-style-type: none"> - Ceramic sculpture by Fisher, couple kissing 20.00 - (4) Glass cylinders with twigs, stones and silk 175.00 orchids, various heights - Large covered pot with incised decoration, 50.00 pottery 	245.00
8.	TALL CASE CLOCK 19 th Century, oak, scroll bonnet, full turned columns flanking handpainted dial, Roman numerals, two key holes, second hand, calendar aperture, dial signed, "Hugh Roberts, Llangefni, Wales", paneled door flanked by half turned columns, bracket feet CONDITION: Refinished, restored	400.00

9.	PAINTING – 60" X 40" sight size Oil on canvas, flowering branches, no apparent signature, in wood frame	100.00
	TOTAL FOR FOYER:	\$2,455.00

LIVING AREA

10.	MIRROR – 87" X 31 ½" Square, gold and silver lacquered frame	\$200.00
11.	LITHOGRAPH – 12 ½" X 9 ¾" paper size Pierre August Renoir, French, (1841-1919) "Claude Renoir, Tête Baisée", "Cat. # Ruger-Marx #14", in gold frame Provenance: The J. L. Hudson Gallery, Michigan	1,000.00
12.	MISCELLANEOUS DECORATIONS - (2) White composition floor vases, over-all circle decoration - Mirror, gold oval frame - Statue - 60", pottery, Pre-Colombian style figure wearing head ornament and traditional costume - Large metal bowl and pot, each with ring handles - (2) Chargers, 31" and 27" round, lacquered on stands, Made in Vietnam	320.00
13.	ARTIFICIAL FLORAL ARRANGEMENTS Silk lily and hydrangea in glass vase; silk orchid in ceramic vase; (7) silk calla lilies	55.00
14.	STANDS (2) Metal powder coated square tops on four footed pedestal base, two heights	75.00
15.	FLOOR SCREEN 6-fold, gold background with purple glitter flower outlines, black lacquer "frame", mounted on wall	300.00
16.	SECTIONAL SOFAS (2) Gold fabric upholstery, each in two sections, curved, skirted, together with eight toss pillows	1,000.00
17.	COCKTAIL TABLE Oval glass top, "hammered" metal base with concave shelf	300.00
18.	ORIENTAL RUG – 12' X 9' Hand knotted, wool on cotton, black field with all-over flower design, three borders, additional colors of tan and ivory, "washed" finish	600.00

19.	BOWL – 19" diameter Waterford, "Evolution", round amber colored glass	75.00
20.	MISCELLANEOUS	85.00
	- Bowl - 18", ceramic with handpainted woman holding flower vase, signed, "Marsha B"	30.00
	- Candlesnuffer	10.00
	- (3) Silver composition candlesticks	45.00
21.	MANTEL CLOCK – 12 ½" X 19 ½" Onyx, round enamel dial with Roman numerals, time and strike, sold through J.E. Caldwell & Co., Philadelphia	500.00
22.	FIREPLACE ACCESSORIES (2) Metal gate; black iron grate	50.00
23.	MISCELLANEOUS	215.00
	- (3) Silver metal candlesticks	30.00
	- Standing iron Menorah	35.00
	- Covered Urn – 56", pottery, with incised decoration	150.00
TOTAL FOR LIVING AREA:		\$4,775.00

POWDER ROOM

24.	MIRROR	\$100.00
	Carved and painted wood, birds on flowering trees, Mexico	
25.	MISCELLANEOUS	125.00
	Plastic figural towel holder; mirror with woven frame; framed print of four 19 th century girls, in gold frame; domed trunk with floral painted decoration; framed portrait of a man, signed, "Daniel Lanzig, 1977", in silver frame	
TOTAL FOR POWDER ROOM:		\$ 225.00

DINING AREA

- MISSING

26.	MISCELLANEOUS DECORATIONS	\$285.00
	- Blue ceramic vase with dried material	15.00
	- Lucite Menorah – 24", blue and clear	50.00
	- (3) Vases, purple and clear cased glass, elongated, various heights, "Nouvell"	60.00
	- (2) Murano glass birds, pink	30.00
	- Mezuzah, Lladro doves; enamel tulip decoration and Jay Strongwater box	55.00
	- Cobalt blue glass vase with Orcidium orchids	50.00
	- Mirrored vase with silk flowers	25.00

DAVID ROSENTHAL
50TH ANNIVERSARY
GOV ME 2020

27.	SCULPTURE – 15 ½" X 31" Lladro, "Gondola of Love", Ref. No: 1870	550.00
28.	ENGRAVINGS (8) – 7" X 10" image size Scenes of Jewish figures engaged in various gatherings, each signed and titled, in wood frames	200.00
29.	PAINTING – 28" X 19" sight size William Weintraub, American, 20 th Century Oil on canvas, signed and dated, "Weintraub '73", young man with rifle, in wood frame	200.00
30.	LITHOGRAPH William Weintraub, American, 20 th Century 85/200, portrait of two elderly men, in wood frame	75.00
31.	ARMOIRE English pine with whitewashed finish, carved basket and scroll crest, two paneled doors above two short and two long drawers, interior with shelves and three drawers	750.00
32.	CONTENTS OF ARMOIRE <ul style="list-style-type: none"> - Dinnerware (68) piece set, Wedgwood Etruria, "Embossed Queensware", blue and white, consisting of (16) cups, (14) saucers, (13) dinner plates, (14) bowls, (14) bread and butter plates, (13) luncheon plates - Pair English porcelain salt and pepper shakers, birds; (9) colored glass water goblets; (6) colorless glass champagne stems - (7) Waterford crystal wine glasses - Pair of ceramic Garson Pakele candleholders - Lenox footed cup; (8) glass cordial stems; (14) colorless glass balloon wines - Versace plate, "Le Jardin de Versace" - Portuguese ceramic dinner service, (12) pieces; (5) handpainted wine glasses; (4) martini glasses - Group of (5) modern Asian porcelain plates and bowl; ceramic handpainted bowl; handmade ceramic sugar bowl; (3) metal and enamel Julia Knight bowls - (8) Tracy Porter ceramic square plates; personalized ceramic wedding tray; Mexican ceramic tray - Assorted napkin rings; chopsticks; napkins; (6) servicing pieces with crystal handles (matches set in Family Room); placemats - Wood and sterling silver Judaica tray marked "Hazorfim" 925, (weight of silver unknown) 10 ½" x 13" 	1,180.00

33.	FARM TABLE - 10' Bleached oak, rectangular top with breadboard ends, trestle base	750.00
34.	DINING CHAIRS (10) Whitewashed with rush seats, ladder back, consisting of (2) arm and (8) side chairs	550.00
35.	ETCHING - 7" X 5" sight size 3 / 4, portrait of a man, illegible pencil signature lower right, in frame	25.00
TOTAL FOR DINING AREA:		\$4,565.00

KITCHEN

36.	MISCELLANEOUS - MacKenzie-Child's 2-handled vase and glass cake cover; (8) assorted ceramic bowls and pitchers; porcelain compote; glass pitcher with insert decoration; glass vase; glass bowl on stand - (10) Assorted Italian/Portuguese ceramics, including vases, covered jars and planters	\$430.00 200.00 230.00
37.	MISCELLANEOUS AND KITCHENWARE Serving trays and bowls; ceramic platters; assorted ceramic and porcelain dishes, plates; glass vase; (8) pieces Portuguese dinnerware (matches #32 in Dining Room); glass plates; stemware; Waterford crystal salt and pepper shakers; Japanese porcelain tea service; Franciscanware bowls; Waterford crystal pitcher; (8) Tracy Porter plates (matches #32 in Dining Room); MacKenzie-Child's three piece canister set; (2) pieces purple enamel and metalware bowl and tray	800.00 MISSING
38.	STEMWARE (16) Waterford crystal, consisting of red wines, white wines and champagne flutes	240.00 MISSING
39.	MISCELLANEOUS KITCHENWARE Assorted everyday bake ware, cookware, flatware, serving pieces, cutlery, utensils; trivets; microwave oven; Keurig coffee machine; toaster; can opener; blender	160.00 MISSING
40.	SCULPTURES (3) Contemporary glazed pottery, signed, "Polly Cook", bust of man holding arrow, bust of a women holding heart and bust of man and woman kissing	150.00

41.	COMPUTER Dell, model no. 1908PL, LCD monitor, wireless key pad, together with Altec Lansing speakers	Missing	60.00
42.	PRINTER HP All-In-One Deskjet F380	Missing	20.00
43.	SIDE CHAIRS (3) Brown leather and chrome, contemporary style	Missing	150.00
44.	DISH - 7" Daum, France, purple and green pate de verre glass with lizard rim	Missing	80.00
45.	MIRROR Metal, enamel and crystal, oval mirror plate	Missing	25.00
TOTAL FOR KITCHEN:			\$2,115.00

FAMILY ROOM

46.	MISCELLANEOUS		\$200.00
	- Giusepppe Armani porcelain figural group, boy and girl on swing	50.00	
	- Ceramic Menorah; clock; glass and metal box; pair Italian glass figural candlesticks; crystal bunny figure; crystal rhinoceros - chipped; etched glass paperweight of soldier at Wailing Wall - chipped	45.00	Missing
	- Swarovski flower vase and humming bird on branch	30.00	
	- Murano glass fish - 15" x 15"	60.00	Missing
	- Waterford crystal golf club	15.00	
47.	SCREEN PRINT / GICLEE Ben (Benjamin) Schonzeit, American, (b.1942) Still life of flowers in a vase, signed, "Schonzeit", in silver metal frame		300.00
48.	SERVER Rectangular glass top and carved sliding door, wood base with chrome supports and rail, interior shelves and two drawers		375.00
49.	CONTENTS OF SERVER Assorted plates; plastic and silver metal flatware; flatware set with crystal handles		50.00
50.	BAR STOOLS (4) Steel with blue ultrasuede seats, contemporary style		300.00

51.	SCULPTURES (2) – 36 ½"	250.00
	Black metal, each an abstract bust with cutout, no apparent signature, together with wood cube pedestals	
52.	SECTIONAL SOFA	650.00
	Leather and suede, brown and beige with sections, contemporary style, with toss pillows and throws	
53.	COCKTAIL TABLE	100.00
	Two round glass tops on circular chrome supports, round base	
54.	LACQUER BOXES	105.00
	Set of three, graduated sizes, Sklar Furnishings	
55.	DISPLAY CABINET	150.00
	Oval wood with silver finish, two curved glass doors, lighted	
56.	<u>CONTENTS OF DISPLAY CABINET</u>	1,100.00
	<ul style="list-style-type: none"> - Shofar horn; (3) hand blown Italian glass pitchers; Mezuzah Halcyon Days enamel box - Lladro – 12", Japanese woman and bocage, "Geisha", Ref. No. 4807 - Pair of Lladro porcelain nudes, approximately 10" - Lladro – 10", "Puppy Love", Ref. No. 1127 - (2) Figures, composition, Bichon dog - Lladro – 12 ½", Japanese rickshaw with man and passenger, "Ride in China", Ref. No. 1383 - Lladro – 11", girl seated in chair, "Appreciation", Ref. No. 1798 - Royal Doulton, "Queen of the Dawn" 	
		50.00
		75.00
		300.00
		50.00
		10.00
		500.00
		100.00
		15.00
57.	MISCELLANEOUS	655.00
	<ul style="list-style-type: none"> - Large Shofar, metal mounted - Lladro, Moses with tablets, "Moses", Ref No. 5170 - Green glass figure of an Asian man - Lladro – 15", woman on balcony with flower pots, "Far Away Thoughts", Ref. No. 1798 - Metal sculpture of dancer - Lladro – 14", "Wine Taster", Ref. No. 5239 	
		45.00
		60.00
		25.00
		400.00
		25.00
		100.00
58.	ELECTRONICS	125.00
	<ul style="list-style-type: none"> - VCR, Sanyo, model no. VWM900 - DVD player, Panasonic, model no. DVD-527 - Compact Disc Player Player, Nakamichi, model no. CDC-3A - Audio Speaker/Amp Selector, Niles, model no. MSA-10A - Receiver, Onkyo, model no. TX-SR602 	
		5.00
		10.00
		40.00
		25.00
		45.00

MISSING

59.	TELEVISION – 60"	0.00
	Mitsubishi, model no. VS60111, rear screen projection, 2002	
	CONDITION: Obsolete – no commercial value	
60.	CARD TABLE SET (5)	200.00
	Square red glass top, metal base, contemporary style, together with (4) side chairs, gray plastic and silver metal frame	
61.	VASE – 15 ½"	125.00
	Anthony Corradetti, art glass, signed, "Corradetti 1995", hand blown with colored abstract decoration	
	TOTAL FOR FAMILY ROOM:	\$4,685.00

POWDER ROOM II

62.	MISCELLANEOUS	\$120.00
	- Pietra dura clowns - 6 ½" x 4 ½"	30.00
	- Phantom of the Opera poster, basket; (2) painted wood boxes; "Princess" painting	40.00
	- Indian wood carved mirror	50.00
	TOTAL FOR POWDER ROOM II:	\$ 120.00

WEST HALL

63.	CONTENTS OF CLOSET	\$40.00
	Assorted pillows	
64.	ETCHING – 11" X 9" sight size	25.00
	Pencil signed lower right, "H. Bender", seated man, in wood frame	
65.	SERIGRAPH – 18" X 12" sight size	25.00
	Stanley Handelman, American / Israeli, (20 th – 21 st Century) 28/250, pencil signed lower right, "Handelman (imp)", Israeli soldiers, in gold metal frame	
66.	DRAWING – 27" X 18" sight size	150.00
	Moshe Gat, Israeli, (b.1935) Ink and wash on paper, signed lower right, "Moshe Gat", portrait of a man, in silver wood frame	
67.	SERIGRAPH – 12" X 18" sight size	25.00
	Stanley Handelman, American / Israeli, (20 th – 21 st Century) 32/250, pencil signed lower right, "Handelman (imp)", Wailing Wall, in silver wood frame	

68.	ETCHING – 12" X 9" sight size Pencil signed lower right, "H. Bender", two figures, in wood frame	25.00
69.	PHOTOGRAPHS (5) Assorted travel subjects, portraits, in silver wood frame (4) – 7" x 9" (1) – 12" x 10"	50.00
70.	SERIGRAPH – 20" X 23" sight size Yaacov Agam, American/Israeli, (b.1928) "EA", pencil signed lower right, "Agam", multicolor geometric design, in silver metal frame	350.00
71.	LITHOGRAPHS (3) – 22" X 18" sight size Romain (Erte) de Tirtoff, American/Russian, (1892-1990) Figures "6", "8" and "9", in wood frames	225.00
72.	CHEST Floral decorated wood, nailhead trim	25.00
73.	SCULPTURE – 28" Porcelain, standing lady, Marked "Austin Productions 1988"	50.00
74.	LITHOGRAPH – 28" X 26" sight size Norman Rockwell, "Shuffleton's Barbershop", in gold metal frame	75.00
75.	MIRROR – 32" X 30" Round top, beveled mirror plate, wormwood frame	50.00
76.	WALL PLAQUE – 38" X 20" Resin, female figure in relief	25.00
77.	MIRROR – 29" Painted and carved wood, circular, with conforming beveled mirror plate	100.00
78.	VASES (2) - 14", glazed pottery, Polly Cook, woman with a hat - 13", glass, Kosta Boda, woman	125.00 50.00 75.00
79.	NEEDLEPOINT PICTURE – 12" X 14" Young man seated, in silver wood frame	10.00
80.	CONTENTS OF CLOSET Assorted kitchenware, appliances, vases; (2) silverplate trays; cleaning equipment	100.00
TOTAL FOR WEST HALL:		\$1,475.00

FIRST FLOOR NORTHWEST GUEST ROOM

81.	PAINTING Oil on ridged canvas, multicolor, in gallery wrap frame	\$100.00
82.	MIRRORS (2) – 30" X 18" Metal and glass, rectangular mirror plate	80.00
83.	SIDE TABLE Painted wood, circular top with shell decoration, "Made in China"	25.00
84.	LAMP Glass and polished metal, square fabric shade NOTE: Matches # 96 and 179	25.00
85.	SLEEPER SOFA Blue and beige striped fabric, with accessory pillows	75.00
86.	END TABLES (2) Polished metal cubes	40.00
87.	FIGURAL GROUP – 12" X 19" X 14" Capo-di-Monte, children around a table	60.00
88.	FLOOR LAMP Black metal tripod body and base, white ceramic shade	30.00
89.	SIDE CHAIR Seated woman painted on wood, metal base, "Made in China" NOTE: Matches # 109	25.00
90.	CABINET Medium stain pine, rectangular top, golfing scene on two painted doors, single drawer	40.00
91.	WALL PLAQUE – 32" X 44" Oval painted wood, "St. Andrews", with two wood shaft club attached	25.00
92.	MISCELLANEOUS (2) Glass stemware; lamp with white fabric shade; porcelain sculpture of woman, repairs - no commercial value; figural sculpture; assorted games	75.00
TOTAL FOR FIRST FLOOR NORTHWEST GUEST ROOM:		\$ 600.00

FIRST FLOOR WEST GUEST ROOM

93.	FIGURINE – 13 ½' Lladro, "Golfing Couple", Ref. No. 1453	\$100.00
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94.	CONTENTS OF CLOSET Luggage; (2) lamps; pillows; table linens	75.00
95.	NESTING TABLES (3) Lacquered wood, tapered legs, "Made in Vietnam"	30.00
96.	LAMP Glass and polished metal, square fabric shade NOTE: Matches # 84 and 179	25.00
97.	BED Maple and maple parquet veneer headboard, queen size, together with mattress only	100.00
98.	NIGHTSTAND Faux painted wood, single drawer, single shelf	10.00
99.	FIGURINE Plaster, standing man, Austin Production	25.00
100.	LAMP Silver wood, glass decoration, gray fabric shade	25.00
101.	PAINTING – 30" X 23" sight size Oil on canvas, Van Gogh copy, in wood frame	50.00
102.	MISCELLANEOUS (2) Porcelain figurines; purple glass plate; vase with artificial arrangement	30.00
103.	DESK CHAIR Black fabric, metal base	10.00
104.	ARMOIR Green antique stain wood with floral painted panels, single door, interior shelves, single drawer	100.00
105.	SIDE CHAIR Seated woman painted on wood, metal base, "Made in China" NOTE: Matches # 89	25.00
TOTAL FOR FIRST FLOOR WEST GUEST ROOM:		\$ 605.00

EAST SITTING ROOM

106.	BAR Brown metal and oval clear glass top, bow front, polished metal support	\$100.00
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107.	BARSTOOLS (2) Enameled wood and metal, black fabric seat, swivel	90.00
108.	VASES (2) Clear glass, with arrangement; polished metal in rectangular glass stand	50.00
109.	SERIGRAPH – 20" X 15" sight size Woman holding a cigarette, no apparent signature, in gold metal frame	50.00
110.	CONTENTS OF CABINET Small amount of assorted every day dinnerware, glassware, flatware	40.00
111.	DECORATIVE ITEMS Metal openwork footed bowl; fruit decorated painted chest, ring pulls, metal paw feet	50.00
112.	PAINTING – 60" X 24" sight size Oil on canvas, flowers in vase, no apparent signature, in black wood frame	50.00
113.	CONTENTS OF CABINET Small amount of dinner and glass ware; Murano green glass vase; (4) painted terracotta female figures	60.00
114.	END TABLE Circular glass top, metal base	10.00
115.	LAMP Bronze color wood, glass decoration, purple fabric shade	25.00
116.	SOFAS (2) Red Ultrasuede upholstery, crescent form, with single ottoman, by "American Leather"	250.00
117.	AREA RUG – 5' 3" X 8' Wool, hand tufted, multicolor circles, "Made in China"	75.00
118.	END TABLE Half oval glass top, polished metal support and base	40.00
119.	ELECTRONICS - Television – 50", Samsung, DLP, model no. HLR5087W, 2005 - DVD, Sony, model no. DVP-NS41P	55.00 50.00 5.00
120.	MISCELLANEOUS Color halftone print, "St. Andrews"; ceramic vase with artificial arrangement; pewter bowl	25.00

121.	MIRROR Whitewashed wood, rectangular frame, conforming beveled mirror plate	25.00
TOTAL FOR EAST SITTING ROOM:		\$ 995.00

STAIRWELL

122.	PAINTING – 72" X 64" sight size Oil on canvas, abstract scene, no apparent signature, in wood frame	\$100.00
TOTAL FOR STAIRWELL:		\$ 100.00

STUDY

123.	TILT TOP TABLE Late 19 th – early 20 th Century, papier maché, shaped top, painted and mother-of-pearl decoration	\$250.00
124.	FLOOR VASES (2) Beige ceramic, with artificial tree arrangements	100.00
125.	GLOBE Plastic, earth with mother-of-pearl countries, on polished metal stand	35.00
126.	BOOKS Approximately (500) books, hardcover, novels, cookery, art, reference	150.00
127.	FIGURINES (4) <ul style="list-style-type: none"> - Lladro – 4 ½", seated clown, "Pierrot with Puppy", Ref. No: 5277 25.00 - Lladro – 3", "Rabbit Eating, Ref. No: 4772 25.00 - Lladro – 4" X 6", plaque, seated Don Quixote 10.00 - Lladro – 4 ¾", kneeling clown, "Pierrot with Puppy and Ball", Ref. No: 5278 25.00 	85.00
128.	TELEVISION – 17" Zenith, LCD, model no. L17W36, 2003	25.00
129.	DESK ORGANIZER Painted wood, gallery, two doors, interior shelves and cubbies	25.00
130.	FIGURINES (4) <ul style="list-style-type: none"> - Lladro – 12", "Anniversary Dance", Ref. No: 1372 75.00 - Lladro – 13 ¼", "Golfing Couple", Ref. No: 1453 100.00 - Lladro – 10 ½", "Golfer", Ref. No: 4824 50.00 - Lladro – 10 ½", "Daisy", Ref. No: 5118 100.00 	325.00

156.	GARMENT STEAMER Rowenta	Missing	10.00
TOTAL FOR UPSTAIRS BATH / CLOSET:			\$ 225.00
WEST UPSTAIRS BEDROOM			
157.	CHEST OF DRAWERS Late 19 th – early 20 th Century, stripped finish, shaped top, three drawers		\$75.00
158.	MISCELLANEOUS ON CHEST Plaster sculpture - 22", girl with dog; artificial arrangement in wood pot; lamp, ceramic and wood body, fabric shade		30.00
159.	ARMOIRE Whitewashed pine, overhanging rounded cornice, two doors, fitted interior		400.00
160.	ELECTRONICS - Television - 47", Vizio, model no. M470NV, 2011 - VCR, Panasonic, model no. DVD-S27	100.00 10.00	110.00
161.	DOUBLE CHAIR Carved wood frame, leather with nailhead trim, floral fabric seat with accent pillows, by Robb and Stuckey		250.00
162.	NIGHTSTAND Mirror and silver metal, single drawer, two doors		50.00
163.	LAMP Polished metal, white glass shade, adjustable		10.00
164.	SLEIGH BED Medium stain wood, kingsize, together with mattress, box spring and frame		150.00
165.	CHEST Whitewashed pine, carved decoration		40.00
166.	AREA RUG – 8 ½' X 5' Wool, all over floral decoration on tan field, lightly sculpted		50.00
167.	NIGHTSTAND Medium stain wood, bow front, three drawers, by Tommy Bahama		50.00
168.	LAMP Tiffany style, bronze colored metal base, stained glass shade		45.00

169.	MISCELLANEOUS Upholstered stool; (2) throw rugs – 24" x 34"	<i>Missing</i>	30.00
170.	TREADMILL Life Fitness, T3		100.00
171.	PAINTING – 54" X 60" Multi media, abstract flowers, squares, no apparent signature		100.00
172.	FIGURINE – 28" Lladro, Gres finish, woman with paint palette, "Portrait", Ref. No: 4942		600.00
173.	PLANTER Painted with relief decoration, made in Mexico		50.00
174.	BISTRO SET (3) Black painted metal, circular table top, together with (2) side chairs		60.00
175.	BENCH Black painted metal, scroll arms		40.00
TOTAL FOR WEST UPSTAIRS BEDROOM:			\$2,240.00

NORTH UPSTAIRS GUEST ROOM

176.	CHILD'S BENCH Pine, "L" form with storage	\$40.00
177.	CHILD'S ROCKER Rattan and wicker, with pink cushion	25.00
178.	NIGHTSTAND Pine, rectangular top, single drawer	20.00
179.	LAMP Glass and polished metal, square fabric shade NOTE: Matches # 84 and 96	25.00
180.	BED Light stain wood, fullsize, together with mattress, box spring and frame	75.00
181.	SCREEN – 61" X 36" Light stain wood, three panels, cutouts for photographs	10.00
182.	TELEVISION – 20" JVC, CRT, with VCR CONDITION: Obsolete - no commercial value	0.00

183. STAND 25.00
Medium stain wood, bamboo and cane accents

TOTAL FOR NORTH UPSTAIRS GUEST ROOM: \$ 220.00

SOUTH UPSTAIRS GUEST ROOM

184. CHEST \$30.00
Bombé, shaped marble top, medium stained wood, floral decorations, three drawers

185. LOW CHAIR 35.00
Woven rattan, wood legs

186. ARMOIRE 75.00
Medium stain wood, overhanging cornice, two doors with lattice inserts, over two solid doors

187. TELEVISION – 32" 45.00
Vizio, LCD, model no. VO32OE, 2009

188. WOOD CARVING 20.00
Painted and carved, seated figure

Missing

189. GICLÉE – 46" X 64" 100.00
On canvas, "The Beatles", 353/950, stylized photograph of the album cover of the Beatles first album release in the United States in 1964

190. NIGHTSTANDS (2) 65.00
- Early 20th Century, stripped pine, painted floral decoration, single drawer over door 40.00
- Medium stain pine, rectangular top, three drawers, bracket feet 25.00

191. LITHOGRAPHS (2) – 11" X 17" 50.00
Late 19th Century, landscape with figures and animals, in black and gold wood frames

192. SLEIGH BED 75.00
Medium stain wood, Queen size, head and foot board, together with mattress, box spring, and frame

193. LAMP 25.00
Gold wood, glass decoration, purple shade

Missing

TOTAL FOR SOUTH UPSTAIRS GUEST ROOM: \$ 520.00

WEST GARAGE

194.	GOLF CART	\$2,000.00
	Club Car, white, roof console, headlights, Serial No. CCI102519301, 2010	
195.	WALL UNITS (2)	0.00
	Mica, brass pulls – no commercial value	
196.	CONTENTS OF WALL UNIT	0.00
	Assorted shoes, towels – no commercial value	
197.	PAINTING – 84" X 48" sight size	100.00
	Barry Leighton Jones, English, (1932 – 2011) Oil on canvas, signed lower right, "Leighton Jones", European dock scene with boats, in gallery wrap frame	
198.	CABINET	25.00
	Medium stain and painted wood, magazine rack	
199.	MISCELLANEOUS	100.00
	(2) Sets golf clubs, with bags; assorted golf bags; step ladder; assorted household items	
TOTAL FOR WEST GARAGE:		\$2,225.00

EAST GARAGE

200.	MISCELLANEOUS	\$30.00
	Mica cabinet - no commercial value; step ladder; cleaning equipment, vases; shredder	
201.	REFRIGERATOR	75.00
	Amana, top freezer, red, 18 cubic foot, Model No. ATF1822MRH00	

TOTAL FOR EAST GARAGE: **\$ 105.00**

PATIO / POOL AREA

202.	PATIO FURNITURE	\$2,000.00
	Woodard, brown powder coated aluminum with sling seating, consisting of (2) double gliders, serving cart, (6) dining arm chairs, oval dining table/umbrella, (8) swivel bar stools, (2) ottoman, (4) chaise lounges, (3) side tables	

missing missing missing

203.	METAL STATUARY	2,800.00
	- (2) Metal cranes, 5' and 6'	400.00
	- (3) Cherubs on a swan	300.00
	- (3) Children on a log fishing	300.00
	- Angel holding fish	100.00
	- Seated boy on stump with fishing pole	300.00
	- Nude woman on chaise, Leonardo Rossi	1,000.00
	- Golfer holding clubs and lantern	150.00
	- Young girl dressed in her mother's clothes, Jim Davidson (located at front entrance)	250.00
204.	PLANTERS	500.00
	- (4) Large pottery with incised decoration	200.00
	- (2) Mexican, round	100.00
	- (3) Assorted ceramic frogs and turtle	100.00
	- Tall pottery with line decoration (front entrance)	50.00
	- Pottery with fish decoration	50.00
205.	MISCELLANEOUS	440.00
	- Cast cement pelican	100.00
	- Metal pedestal	50.00
	- Cast cement turtle	35.00
	- Curved cement and tile bench	50.00
	- Assorted silk flowers – no commercial value	0.00
	- Cement succulent; (2) ladles; (6) metal watering cans; (2) terracotta clocks; terracotta pot	60.00
	- Mexican ceramic giraffe	40.00
	- (2) Mexican sun plaques	70.00
	- (2) Plastic pots with silk plant	35.00
TOTAL FOR PATIO / POOL AREA:		\$5,740.00
TOTAL FOR LIONS HEAD LANE:		\$36,270.00

2494 SOUTH OCEAN BLVD, BOCA RATON, FL**ELEVATOR AREA**

206.	ARM CHAIRS (2) Tan leather, wood legs, designed by Umberto Assnago for Giorgetti	Missing	\$600.00
207.	CONSOLE TABLE Rectangular marble top, chrome "U" shape support	Missing	150.00
208.	OCCASIONAL TABLE Brown metal, circular top, shaped base	Missing	50.00
209.	MISCELLANEOUS Painted fabric sun; pewter bowl; artificial arrangement with ceramic vase	Missing	40.00
TOTAL FOR ELEVATOR AREA:			Missing \$ 840.00

LIVING / DINING AREA

210.	PAINTING – 48" X 48" sight size Oil on canvas, signed lower left, "R. A. Braudes", landscape with abstract squares, in gold wood frame	Missing	\$250.00
211.	WALL UNIT Dark stain oak, three cabinets, two open shelves NOTE: Attached to wall	Missing	500.00
212.	ELECTRONICS <ul style="list-style-type: none"> - Television – 46", Samsung, LCD, model no. 150.00 LNT4665 FX/XAA, 2007 - Bose AV18 Media Center, with subwoofer and 300.00 (5) speakers - Dish box, VIP 822 DVR – not owned N/A 	Missing	450.00
213.	MISCELLANEOUS DECORATIVE ITEMS (3) Wood picture frames on stands; (3) vases; candlestick; vase; bowl; floor vase with artificial arrangement	Missing	75.00
214.	MIRROR – 23" X 21" Jay Strongwater, enameled birds and butterflies, style no. SHM3012455	Missing	2,000.00
215.	SECTIONAL SOFA Beige upholstery, square form, "L" shape, with accessory pillows, rear wood shelving, Bowetepi	Missing	400.00
216.	COCKTAIL TABLE Square glass top, chrome supports, smoked glass base	Missing	150.00

217.	AREA RUG - 7' X 5' Wool, light tan with black stripes, made in India	Missing	30.00
218.	CANDELABRA - 7" X 30" Jay Strongwater, 54/250, branches with leaves and butterflies	Missing	1,000.00
219.	TUB CHAIRS (2) Beige leather with dark stain wood trim, by Montbel, with accessory pillows	Missing	400.00
220.	END TABLE Circular glass mirror top, metal tulip base, by Arteriors	Missing	100.00
221.	FLOOR LAMP - 75" Designed by Orlando Favaretto, (6) gooseneck lamps, chrome body, plastic shades, by De Majo	Missing	200.00
222.	MISCELLANEOUS (4) <ul style="list-style-type: none"> - (2) Orange glass, (1) black and white terracotta, all with artificial arrangements - Artificial arrangement, in glass vase 	Missing	125.00
223.	TILES (7) Multicolor abstract geometric designs, verso reads, "Lineage Tile"		175.00
224.	SOFA AND OTTOMAN Designed by Rick Lee, rust leather upholstery, symmetrical form, "Arclounge" by American Leather	Missing	300.00
225.	END TABLE - 18" X 10" X 12" Circular top, appears to be petrified wood, tripod tapered leg base	missing	250.00
226.	PLANT STAND Polished gray metal, square form, single shelf	missing	50.00
227.	MISCELLANEOUS Artificial arrangement in glass vase; black metal candlestick	missing	40.00
228.	DINING TABLE - 72" diameter Circular glass top, circular six legged metal base	missing	250.00
229.	DINING CHAIRS (8) Designed by Chi Wing Lo, tan leather back and seat, maple trim and legs, by Giorgetti	missing	1,200.00
230.	ARTIFICIAL ARRANGEMENT In white glazed pot	missing	20.00
231.	MIRROR - 84" X 48" Silver wood, wavy form, rectangular mirror plate	missing	150.00

232.	SIDE TABLE Designed by Fabio Di Bartolomei, circular glass top, twist glass support, on wood base, by "Fiam", Italy	Missing	200.00
233.	VASE Painted frosted glass with artificial arrangement CONDITION: Paint loss		5.00
234.	FLOOR CANDLESTICKS (3) Dark stain wood, Arts and Craft style	Missing	30.00
235.	SCULPTURE - 92" Wood, resin, glass, folk art style, painted and decorated board, with glass inset and resin base	Missing	100.00
236.	CONTENTS OF HALL BATH Mirror - 28"x 24", gold wood, beveled mirror plate; (2) wall sconces; (2) artificial arrangements in pots	Missing	75.00

TOTAL FOR LIVING / DINING AREA: \$8,525.00

MASTER BEDROOM

237.	PAINTINGS (2) - 18" X 14" sight size Larry Laslo, American, (20 th - 21 st Century) Picasso style figures, in wood frames	Missing	\$600.00
238.	PAINTING - 30" X 43" sight size Kirt G. Brown, American, (20 th - 21 st Century) Oil on board, titled, "River Bend" signed lower right, "Kirt G. Brown", riverscape, in silver wood frame	Missing	150.00
239.	SOFA Tan leather, single arm, loose cushion back and seat, polished metal legs	Missing	200.00
240.	OCCASIONAL TABLE Circular glass top, painted metal base	Missing	40.00
241.	VASE Blown glass, brown color, bulbous form, with artificial arrangement	Missing	25.00
242.	FLOOR LAMP Gray metal base and body, square beige fabric shade	Missing	75.00
243.	DOUBLE DRESSER Medium stain wood veneer, rectangular top, six drawers with metal pulls	Missing	250.00
244.	TELEVISION - 40" Samsung, LCD, model no. LN40A650S1FXZA, 2008	Missing	150.00

245.	BED Medium stain wood veneer and polished metal, king size, two built in night stands, together with mattress, box spring and frame	missing	350.00
246.	LAMPS (2) Plastic and brushed metal, square form, gray fabric shade, by Anthony	missing	80.00
247.	PAINTING – 17" X 16" sight size Kirt G. Brown, American, (20 th – 21 st Century) Oil on board, titled, "Forever", signed lower right, "Kirt G. Brown", landscape with trees, in silver wood frame		100.00
248.	CONTENTS OF BATH Assorted vases; flower arrangements; bathroom accessories	missing	25.00
TOTAL FOR MASTER BEDROOM:			\$2,045.00

NORTHEAST GUEST ROOM

249.	BED Queen size, together with mattress, box spring and frame, accessory pillows	missing	\$75.00
250.	SIDE TABLE Demilune, glass top, black and brown metal base, single shelf	missing	60.00
251.	LAMP Brass and leather, oval body, beige fabric shade	missing	40.00
252.	PRINTS (4) Color halftones, assorted tropical subjects, in wood frames	missing	60.00
253.	CHEST OF DRAWERS Light stain wood, pinched waist, seven drawers	missing	100.00
254.	ARTIFICIAL ARRANGEMENTS (2) Roses, in glass vase; lilac flowers in green bowl	missing	35.00
255.	END TABLE Circular wood top, gray metal base	missing	30.00
256.	LOUNGE CHAIR Black leather and tubular steel, recliner, with matching ottoman	missing	100.00

257. FLOOR LAMP - Missing 15.00
Chrome, adjustable

TOTAL FOR NORTHEAST GUEST ROOM: \$ 515.00

KITCHEN / FAMILY AREA

258. PAINTING - 60" X 36" sight size \$100.00
Oil on canvas, abstract square, yellow, beige, brown,
no apparent signature, in gallery wrap frame

259. TREADMILL - Missing 75.00
Life Fitness, T3.0

260. SOFA - Missing 300.00
Beige leather, two seats with attached circular glass
table and lamp

261. NESTING TABLES (3) - missing 75.00
Maple tops, triangle form, tripod base

262. MIXED MEDIA (2) - 16" X 16" sight size 100.00
Scott Hile, American (20th - 21st Century)
Abstracts, titled "Shifting gears", "Germination", pencil
signed lower right, "S. Hile", in gold wood frame

263. COCKTAIL TABLE - Missing 250.00
Multi-pane rectangular glass tops on metal supports,
rectangular wood base, metal legs

264. MISCELLANEOUS - missing 75.00
Pewter tray; (3) artificial arrangements in vases;
"Athletic Club" plate; fish tray; bowl; assorted books

265. AREA RUG - 6' X 8' - missing 35.00
Dhurrie, flat weave, geometric design

266. PRINTS (2) - 0.00
Color halftone, abstract, in silver wood frames
CONDITION: Faded - no commercial value

267. DECANTERS (2) - Missing (1) 50.00
Clear glass

268. TOP SHELF 55.00
(2) Miscellaneous Asian style bowls 20.00
- Shallow glass bowl - 11", with decoration in
relief 15.00
- Asian style bowl, George Briard 20.00

269.	SECOND SHELF		40.00
	- (8) Glass and metal shakers	10.00	
	- 9" - Glass religious object	MISSING	10.00
	- Cream jug and covered sugar on plate	MISSING	20.00
	Cut glass bowl, chips, imperfections – no commercial value		0.00
270.	THIRD SHELF		90.00
	- (2) Bavarian plates with cups	MISSING	15.00
	- (2) Laure Japy plates - 12", green trim, decorative center		15.00
	- (8) Laure Japy plates - 12 ½", full color center		60.00
271.	FOURTH SHELF		85.00
	- (5) Tulip flutes - 8"		25.00
	- (8) Martinis, clear glass bowl, green bubble base		40.00
	- (4) Martinis, clear glass bowl, twist glass stem		20.00
272.	BOTTOM SHELF	MISSING	25.00
	Serving platter, with matching dip bowl, "China"		
273.	TELEVISION - 40"	missing	150.00
	Samsung, LCD, model no. LNT4065FX, 2008		
274.	POT	missing	10.00
	Terracotta		
275.	BAR STOOLS (6)	missing	240.00
	Maple with polished steel support, fabric pillow back with upholstered seat, by Heltzer		
276.	SERIGRAPH - 33" X 23" sight size		50.00
	Janet Bauman, American (20 th – 21 st Century)		
	Abstract, Resten Series, I2P-1, pencil signed lower right, "J. Baughman ©2000", in silver wood frame		
277.	DINNERWARE		110.00
	Laure Japy, consisting of (6) plates - 12"; (8) plates - 9"; (8) bowls - 9"		
278.	MISCELLANEOUS KITCHENWARE	missing	150.00
	Assorted everyday dinnerware, glassware, cookware, small appliances		
	TOTAL FOR KITCHEN / FAMILY AREA:		\$2,065.00

NORTHWEST GUEST ROOM

279.	WALL MOUNTED SHELF UNIT		\$150.00
	Medium stain wood, two panels, adjustable shelves	missing	

280.	MISCELLANEOUS ON SHELF UNIT (5) Wood artist mannequins; (2) hurricane candle holders; (2) artist signed pottery vases, brown and tan; (4) assorted vases; artificial plant in pot	- Missing	100.00
281.	LOUNGE CHAIR AND OTTOMAN Tan leather, art deco style	- missing	250.00
282.	NIGHTSTANDS (2) Light stain wood, two drawers, tapered legs	- missing	80.00
283.	LAMPS (2) Gold and silver wood, bulbous stepped form, silver paper shade	- missing	70.00
284.	BED Polished metal headboard and footboard, full size, together with mattress, box spring and frame	- missing	75.00
285.	LITHOGRAPHICS (3) - 10" X 5" Abstracts, Geometric "J", Geometric "I", Geometric "H", illegibly pencil signed lower right, in silver frames		60.00
286.	MISCELLANEOUS Green vase with dried branches; color halftone geometric abstract in wood frame	- Missing	40.00
TOTAL FOR NORTHWEST GUEST ROOM:			\$ 825.00

BALCONY

287.	OUTDOOR FURNITURE Brown Jordan, consisting of (4) chaise lounges, (2) end tables CONDITION: Pitted	- Missing	\$50.00
TOTAL FOR BALCONY:			\$ 50.00

TOTAL FOR SOUTH OCEAN BLVD: **\$14,865.00**

REPORT TOTAL: **\$51,135.00**

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Hall & Hall Appraisals, Inc.
2801 South Federal Highway, #22388, Ft. Lauderdale, Fl 33335
Phone:(954)600-8585
www.hallandhallappraisals.com

Richard Wm. Hall (1919-2001)
Annette Hall (1921-2000)
Gilbert Kerry Hall

Professional Certification
Board of Real Estate Appraisal
Association of Online Appraisers



April 21, 2015

Joelle A. Foglietta, Esq.
Ciklin Lubitz & O'Connell
515 N. Flagler Drive, 20th Floor
West Palm Beach, Fl 33401

Re: Estate of Simon L. Bernstein, Deceased
7020 Lions Head Lane, Boca Raton, Fl 33496
DOD: 09/13/2012

Dear Ms. Foglietta:

As you requested, this appraisal of the personal property belonging to the estate of Simon L. Bernstein , deceased, has been prepared for estate settlement purposes.

This transmittal letter contains the assignment-specific elements of information that are required to be included in this report by the *Uniform Standards of Professional Practice* (USPAP) as promulgated by The Appraisal Foundation of Washington, DC. This report also satisfies the IRS requirements for what constitutes a "qualified appraisal."

The required item-specific information including the identity and value-relevant characteristics of the subject property along with my opinions of value and any required disclosure of the market data on which my opinions of value were based are contained in the Valuation Section of this report along with thumbnail images of the subject property.

Executive Summary

On March 27th and 30th I conducted an appraisal inspection in the presence of Cynthia J. Thomas of personal property belonging to the estate of Simon L. Bernstein located at 7020 Lions Head Lane, Boca Raton, Fl 33496 . The inspection was conducted in order to identify the subject property and its value-relevant characteristics so that I could properly develop an opinion of its fair market value for estate settlement purposes. In summary, the fair market value of the subject property as of the date of death was **\$43,623.00**.

Intended Use of the Appraisal

The intended use of this appraisal assignment report is to determine the Fair Market Values of the listed items for the express function of determining Federal estate tax liability. Any other use renders this appraisal null and void.

Report Option Used

This appraisal report has been prepared making use of USPAP's Self-Contained appraisal report option.

Identity of Client and Other Intended Users

This report is intended for use only by you, my client, your agent and by the Federal entities involved in the estate settlement process.

With the exception of you and the appraiser-identified intended users listed herein, this appraisal report is not intended to be used by or influence any particular person(s) or class(es) of persons which might take some action in reliance upon it. Unless otherwise stated, I am not aware that you or your agent intend to transmit any information contained in this report to any other person(s) or group(s) other than to the appraiser-identified intended users listed herein. My liability is limited to you and to the identified intended users of this report to the exclusion of all others. Parties other than those specifically listed as authorized intended users of this report who take some action in reliance upon this report do so at their own risk.

Ownership Interest Being Appraised

The appraised values are based upon 100% of the estate's interest in the property undiminished by any liens, fractional interests or any other form of encumbrance. I understand that the estate is the sole owner of the property listed in this report; however, mere possession of this appraisal report is not an indication or certificate of title or ownership. Ownership and ownership interest have been represented to me by you, my client, and no inquiry or investigation has been made nor is any opinion to be given as to the accuracy of such representation.

Type and Definition of Value Used

In this appraisal assignment I developed an opinion of Fair Market Value.

Fair Market Value is defined in Estate Tax Regulation §20.2031-1(b) which states "The fair market value is the price at which the property would change hands between a willing buyer and a willing seller, neither being under any compulsion to buy or to sell and both having reasonable knowledge of relevant facts. The fair market value of a particular item of property includable in the decedent's gross estate is not to be determined by a forced sale price. Nor is the fair market value of an item of property to be determined by the sale price of the item in a market other than that in which such item is most commonly sold to the public, taking into account the location of the item wherever appropriate."

Relevant Dates

Relevant dates associated with this assignment include the following:

- **Date of Inspection:** The subject property was inspected on **March 27th and 30th 2015**.
- **Effective Date of Appraisal:** Value opinions are effective as of the death which you stated was **September 13, 2012**.
- **Date of Report:** This report was prepared and signed this date, **April 21, 2015**

Most Appropriate Market to Research

This assignment requires the use of fair market value, the definition of which mandates the use of the market in which comparable items are most commonly sold at retail to the public, i.e., to the end user. In my opinion, for this assignment the most appropriate markets in which to conduct market data research are [for example: yard sales, flea markets, local estate

auctions, and Internet website specializing in the sale of used household goods. or: local, national and international auction houses.] Because quality, condition, and desirability affect the appropriate market where items would most commonly be purchased by the public, I may have considered different markets for different items of personal property depending on the above factors.

Scope of Work

Scope of work is defined by USPAP as the work actually performed in order to develop credible assignment results. The scope of work employed in this assignment, including the report content and the detail of that content, was dependent upon the needs of the client, the intended use of the report, the definition of value that I used, the effective date of the report, and the subject property's value relevant characteristics.

The scope of work for this assignment included:

- A personal inspection of the subject property was conducted in order to properly determine its identity and value-relevant property characteristics.
- While on site, I documented the relevant information in writing and took detailed high resolution digital images of the property.
- Identification research was later conducted, if necessary, making use of relevant books authored by subject property experts, or relevant periodicals and Internet websites.]
- Value research for past sales of comparable properties was conducted making use as necessary of relevant resource books, value guides, national auction houses, and specialized Internet websites, as well as personal observations made at secondary market retail establishments, local auction and estate sales.]
- For appraised items found to be common, depreciable property of minimal value for which extensive research is neither necessary, cost-effective, nor required by the client, limited research was conducted. Rather, my personal experience, knowledge and past personal undocumented observations were used as a basis for my value opinions.
- Low-value, depreciable items such as everyday pots and pans or common hand tools may have been grouped together and minimally described or researched.
- In general, the condition of the items examined was good. Any alterations from original condition or damage beyond that usual and common for items of similar age are so noted in the appraisal report. I did not test any mechanical, electrical or electronic item of property. I made the extraordinary assumption that all such devices were in good working order.
- I analyzed the market data, making adjustments as necessary for differences in value characteristics between the comparable and subject property, and arrived at my final opinion of value.
- No one provided me with significant assistance (either appraisal or otherwise) in the development of my opinions, analyses or conclusions.
- Where this appraisal is based not only on the items, but also on factual data or documentation supplied therewith, this appraisal report shall so state by making reference thereto and, where appropriate, attaching copies thereto.

Approach to Value

In this assignment, the sales comparison approach to value was employed to determine fair market value. In the sales comparison approach, the most appropriate market is researched to locate comparable items which have sold in the past on which an opinion of value can be based. Adjustments in value are made to reflect differences (if any) in value relevant characteristics between the comparable properties and the subject properties.

Neither the cost approach to value nor the income approach to value was employed:

- In the cost approach to value, the basis for value is formed by the cost to reproduce or purchase a new suitable replacement property (adjusted downwards to reflect all forms of depreciation in the subject property, if any). Since the use of the cost approach would result in "depreciated cost new" and not in "fair market value," the cost approach to value was not applicable to this assignment, so it was not considered.
- In the income approach, anticipated future income of investment property (i.e., income-generating property) is capitalized in order to calculate its present worth. Since the subject property is not investment property, the income approach to value was not applicable to this assignment, so it was not considered.

Assignment Conditions Encountered

- Limiting Conditions: There was a time restriction put on me not enabling me to take as detailed photographs of some items that had been in boxes as I normally do. I also used descriptions that had been used by a previous appraiser on an earlier document in order to meet the time restrictions. Where I felt the description needed to be amended I did so.
- Extraordinary Assumptions: **none**
- Hypothetical Conditions: **none**
- Jurisdictional Exceptions: **none**
- Other: I was provided with an appraisal that had been done by two other appraisers in the area in 2013. As stated above I used those descriptions as often as possible. All valuations were my own. Many of my valuations were the same as the previous appraisers, simply because many items in the home were nice items, though just "furniture and decorative pieces".

Listings/Offers/Past Sales

There are no known current agreements of sale, validated offers or third-offers to sell, options, or listings of the subject property as of the effective date of the appraisal. There are no known prior sales of the subject property that have occurred within a reasonable time preceding the effective date of this appraisal.

Disclosure of Interest/Past Services Rendered

I have no current or prospective interest in the subject properties or parties involved. Neither have I performed any services (appraisal or otherwise) related to the subject property within the preceding three years.

Disclaimers and Terms and Conditions

Unless otherwise noted in the Valuation Section of this report, this appraisal is based only on the readily apparent identity of the items appraised. In my opinion, no further opinion or guarantee of authenticity, genuineness, attribution or authorship is necessary.

With the exception of you, my client, possession of this report or its copy does not carry with it the right of publication, nor may this report be used for any purpose by anyone other than you or your agent without my previous written consent. If this report is reproduced, copied or otherwise used by those authorized, the report must be used in its entirety which includes this transmittal letter and all enclosures and attachments. Furthermore, no changes can be made to this report by anyone other than myself. I am not responsible for any unauthorized changes to this report, and any such unauthorized changes immediately render this report null and void.

USPAP Certification

With the below signature I certify that, to the best of my knowledge and belief:

- The statements of fact contained in this report are true and correct.
- The reported analyses, opinions, and conclusions are limited only by the reported assumptions and limiting conditions and are my personal, impartial, and unbiased professional analyses, opinions, and conclusions.
- I have no present or prospective interest in the property that is the subject of this report and no personal interest with respect to the parties involved.
- I have no bias with respect to the property that is the subject of this report or to the parties involved with this assignment.
- My engagement in this assignment was not contingent upon developing or reporting predetermined results.
- My compensation for completing this assignment is not contingent upon the development or reporting of a predetermined value or direction in value that favors the cause of the client, the amount of the value opinion, the attainment of a stipulated result, or the occurrence of a subsequent event directly related to the intended use of this appraisal.
- My analyses, opinions, and conclusions were developed, and this report has been prepared, in conformity with the Uniform Standards of Professional Appraisal Practice.
- I have made a personal inspection of the property that is the subject of this report.
- No one provided significant personal property appraisal assistance to the person signing this certification.

Statement of Confidentiality

I regard all information concerning this appraisal assignment as confidential. I retain a copy of this document along with my original notes in the assignment workfile, and I will not allow others to have access to these records without your written permission unless so ordered by a court of law.

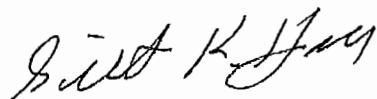
Declaration

My background, education, experience and membership in professional associations qualify me to make appraisals of the type of property that is the subject of this appraisal. A complete list of my qualifications can be found in my Professional Profile which is attached in the addenda to this report.

Electronic Transmission of Report

This appraisal report has been transmitted to you electronically and includes my signatures in electronic form. I affirm that I maintain sole personal control over the use of the electronic signature appended hereto. Electronically affixing my signature to this report carries the same level of authenticity and responsibility for this report's content, analyses and conclusions as would appending an original ink signature on a paper copy of this report.

Sincerely,



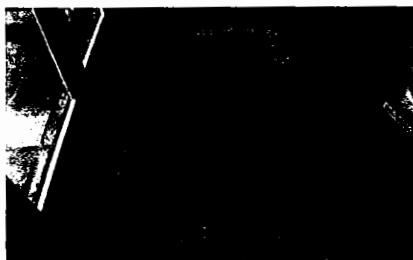
Gilbert K Hall
Enclosures:

1. Valuation Section with images
2. Appraisers Professional Profile

Estate of Simon
L. Bernstein

NO	ITEM/DESCRIPTION	FMV
	FOYER	
1	NEEDLEPOINT RUG - 5 1/2" X 3 1/2" - ALL OVER FLORAL DESIGN WITH BOARDER WITH ADDITIONAL COLORS OF GREEN, PINK, AND BROWN	\$50.00
		
2	COPPER BOWL - 36" - TURNED, CIRCULAR, UNDERCOATED (ON WALL)	\$75.00
		
3	BENCH - EARLY 20TH CENTURY, ART NOUVEAU, MAHOGANY, INLAID DECORATION UPHOLSTERED SEAT AND BACK	\$350.00
		
4	STATUES - (2) - CARVED WOOD LION - ONE STANDING - ONE SITTING - GLASS EYES - MODERN DECORATIVE	\$550.00
		
5	ORIENTAL RUG - 6'2" X 3'11" - HAND KNOTTED - WOOL ON COTTON - BLUE FIELD LEAFY SCROLLS AND FLOWERS - 3 BORDERS - ADDITIONAL COLORS OF TAN - PINK AND IVORY	\$75.00

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6 CENTER TABLE - OCTAGONAL BEVELED GLASS TOP - CAST URN BASE WITH RING HANDLES \$500.00



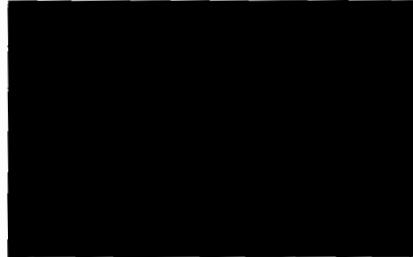
7 CERAMIC SCULPTURE BY FISHER - COUPLE KISSING - 21" \$20.00



8 4 GLASS CYLINDERS WITH TWIGS, STONES, AND SILK ORCHIDS - VARIOUS HEIGHTS \$125.00



9 LARGE COVERED POT WITH INCISED DECORATION - POTTERY \$40.00

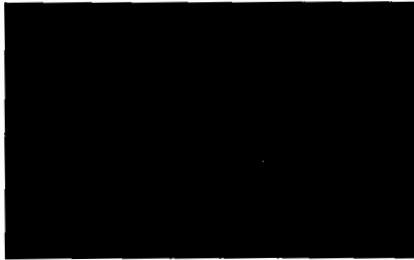


10 TALL CASE CLOCK - 19TH CENTURY - OAK - SCROLL BONNET - FULL TURNED FLANDING HAND PAINTED DIAL - ROMAN NUMERALS - TWO KEY HOLES - SECOND HAND - CALENDAR APERTURE - DIAL SIGNED - "HUGH ROBERTS LLANGEFRI WALES" - PANELED DOOR FLAKED BY HALF TURNED COLUMNS - BRACKET FEET CONDITION - REFINISHED - RESTORED \$425.00

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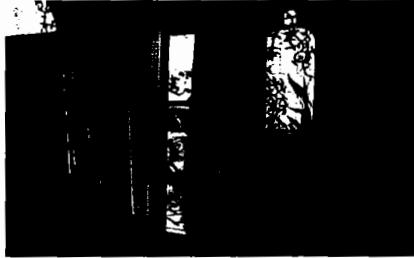
11 PAINTING - 60" X 40" - SIGHT SIZE - OIL ON CANVAS - FLOWERING BRANCHES - NO APPARENT SIGNATURE - IN WOOD FRAME \$95.00



TOTAL FOR FOYER \$2,305.00

LIVING AREA

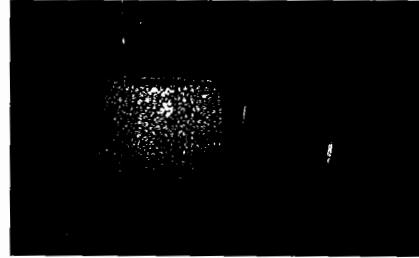
1 MIRROR - 87" X 31 1/2" - SQUARE - GOLD AND SILVER LACQUERED FRAME \$175.00



2 LITHOGRAPH - 12 1/2" X 9 3/4" - PAPER SIZE PIERRE AUGUST RENOIR - FRENCH (1841-1919) "CLAUDE RENOIR - TETE BAISEE" "CAT. # ROGER-MARX #14" IN GOLD FRAME - PROVENANCE: THE J.L. HUDSON GALLERY, MICHIGAN \$1,000.00



3 2 WHITE COMPOSITION FLOOR VASE- OVER-ALL CIRCLE DECORATION ONE NOW IN THE EAST GARAGE \$70.00



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4 MIRROR - GOLD OVAL FRAME - NOW IN EAST GARAGE \$10.00



5 STATUE - 60" POTTERY - PRE-COLUMBIAN STYLE FIGURE WEARING BEAD
ORNAMENT AND TRADITIONAL COSTUME \$100.00

6 2 LARGE METAL BOWL AND POT - EACH WITH RING HANDLES \$70.00



7 2 CHARGERS - 31" AND 27" ROUND - LACQUERED ON STANDS - MADE IN VIETNAM \$70.00

8 ARTIFICIAL FLORAL ARRANGEMENT - SILK LILLY AND HYDRANGEA IN GLASS VASE
SILK ORCHID IN CERAMIC VASE - 7 SILK CALLA LILIES - PLASTIC - 17" \$50.00

9 STANDS (2) - METAL POWDER COATED SQUARE TOPS ON FOUR FOOTED
PEDESTAL BASE - TWO HEIGHTS - 34" X 12" - 28" X 10" \$70.00



10 FLOOR SCREEN - 6-FOLD - GOLD BACKGROUND WITH PURPLE GLITTER FLOWER
OUTLINES - BLACK LACQUER "FRAME" MOUNTED ON WALL - ARTIST ROBERT
KUSHNER - 2001 - 2003 - 67" X 12" \$550.00



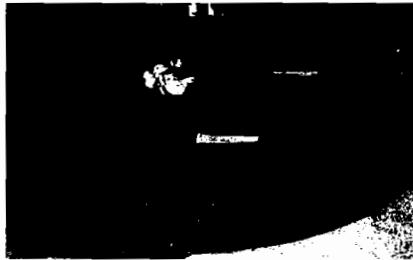
11 2 SECTIONAL SOFAS - GOLD FABRIC UPHOLSTERY - EACH IN TWO SECTIONS -
CURVED - SKIRTED - TOGETHER WITH EIGHT TOSS PILLOWS \$750.00

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12 COCKTAIL TABLE - OVAL GLASS TOP - "HAMMERED" METAL BASE WITH CONCAVE SHELF - 22" X 51" X 60" \$300.00

13 ORIENTAL RUG - 12' X 9' - HAND KNOTTED - WOOL ON COTTON - BLACK FIELD WITH ALL-OVER FLOWER DESIGN - THREE BORDERS - ADDITIONAL COLORS OF TAN AND IVORY "WASHED" FINISHED \$495.00



14 BOWL - 19" DIAMETER - WATERFORD - "EVOLUTION" - ROUND AMBER COLORED GLASS \$75.00



15 BOWL (DECORATIVE PLATE)- 18" CERAMIC WITH HAND PAINTED WOMAN HOLDING FLOWER VASE - SIGNED "MARSHA B" \$10.00

16 CANDLE SNUFFER \$10.00



17 3 SILVER COMPOSITION CANDLESTICKS - 25" TO 29" \$45.00



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18 MANTLE CLOCK - 12 1/2" X 19" 1/2" - ONYX - ROUND ENAMEL DIAL WITH ROMAN NUMERALS - TIME AND STRIKE - SOLD THROUGH J.E. CALDWELL & Co
PHILADELPHIA \$495.00



19 2 FIREPLACE ACCESSORIES - METAL GATE - BLACK IRON GRATE
3 SILVER METAL CANDLESTICKS - 13" (IN FIREPLACE) \$50.00
\$30.00



20 STANDING IRON MENORAH 42" X 34" \$35.00



21 COVERED URN - 56" - POTTERY - WITH INCISED DECORATION - 56" X 39" \$95.00



TOTAL FOR LIVING AREA \$4,555.00

POWDER ROOM

1 MIRROR - CARVED AND PAINTED WOOD - BIRDS ON FLOWERING TREES - MEXICO \$95.00

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2 PLASTIC FIGURAL HOLDER \$5.00



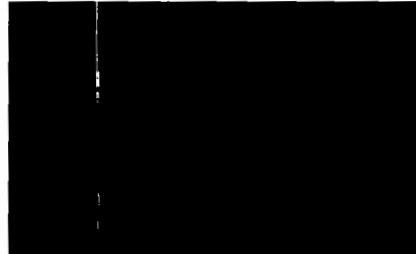
3 MIRROR WITH WOVEN FRAME WITH RAISED FLOWERS - 21" X 15" \$15.00
4 FRAMED PRINT OF FOUR 19TH CENTURY GIRLS - IN GOLD FRAME - 23" X 19" \$15.00



5 DOMED TRUNK WITH FLORAL PAINTED DECORATION - 23" X 72" X 17" \$20.00



6 FRAMED PORTRAIT OF A MAN - SIGNED - "DANIEL LANZING - 1977" - IN SILVER FRAME - 32" X 26" \$5.00

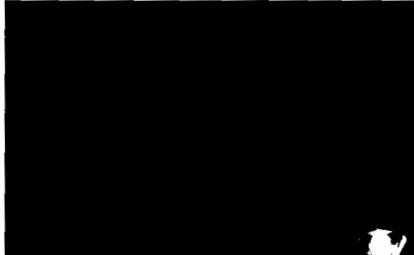


TOTAL FOR POWDER ROOM \$155.00

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DINING AREA

1 BLUE CERAMIC VASE WITH DRIED MATERIAL - 28" X 52" \$10.00



2 LUCITE MENORAH - 24" - BLUE AND CLEAR \$50.00

3 3 VASES - PURPLE AND CLEAR CASED GLASS - ELONGATED - VARIOUS HEIGHTS
"NOUVELL" - 23" TO 33" \$60.00

4 2 MURANO GLASS BIRDS - PINK 4" X 6" \$30.00



5 MEZUZAH ITEM WAS NOT IN HOME AT TIME OF INSPECTION

6 LLADRO KISSING DOVES \$35.00



7 ENAMEL TULIP DECORATION \$5.00

8 JAY STRONGWATER BOX - 2" X 4" \$35.00



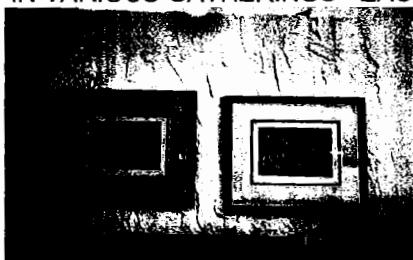
9 COBALT BLUE GLASS VASE WITH ORCIDIUM ORCHIDS - 28" X 51" \$50.00

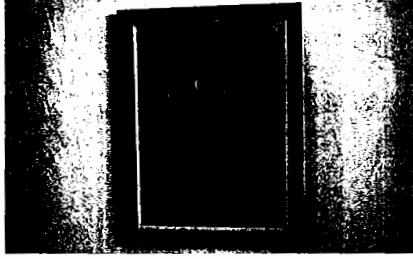


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10 MIRRORED VASE WITH SILK FLOWERS - 16" X 36" \$25.00


11 SCULPTURE - 15 1/2" X 31" - LLADRO - "GONDOLA OF LOVE" - REF. NO: 1870 \$975.00


12 8 ENGRAVINGS - 7" X 10" IMAGE SIZE - SCENES OF JEWISH FIGURES ENGAGED IN VARIOUS GATHERINGS - EACH SIGNED AND TITLED - IN WOOD FRAMES \$200.00


13 PAINTING - 28" X 19" SIGHT SIZE - WILLIAM WEINTRAUB, AMERICAN, 20TH CENTURY - OIL ON CANVAS, SIGNED AND DATED, "WEINTRAUB '73" - YOUNG MAN WITH RIFLE IN WOOD FRAME \$300.00


14 LITHOGRAPH - WILLIAM WEINTRAUB, AMERICAN - 20TH CENTURY - 85/200 - PORTRAIT OF TWO ELDERLY MEN - IN WOOD FRAME \$35.00


15 ARMOIRE - ENGLISH PINE WITH WHITEWASHED FINISH - CARVED BASKET AND SCROLL CRET, TWO PANELED DOORS ABOVE TWO SHORT AND TWO LONG \$625.00

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DRAWERS - INTERIOR WITH SHELVES AND THREE DRAWERS



16	DINNER WARE (68) PIECE SET - WEDGWOOD ETRURIA - "EMBOSSED QUEENSWARE" - BLUE AND WHITE - CONSISTING OF 16 CUPS - 14 SAUCERS - 13 DINNER PLATES - 14 BOWLS - 14 BREAD AND BUTTER PLATES - 13 LUNCHEON PLATES	\$175.00
17	PAIR ENGLISH PORCELAIN SALT AND PEPPER SHAKERS - BIRDS - 9 COLORED GLASS WATER GOBLETS - 7 COLORLESS GLASS CHAMPAGNE STEMS	\$30.00
18	PAIR OF CERAMIC GARSON PAKELE CANDLEHOLDERS	\$40.00
19	7 WATERFORD CRYSTAL WINE GLASSES	\$140.00



20	LENOX FOOTED CUP - 8 GLASS CORDIAL STEMS - 14 COLORLESS GLASS BALLOON WINES	\$30.00
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21	VERSACE PLATE "LE JARDIN DE VERSACE"	\$40.00
22	PORTUGUESE CERAMIC DINNER SERVICE - 12 PIECES; 5 HAND PAINTED WINE GLASSES - 4 MARTINI GLASSES	\$35.00



23	GROUP OF MODERN ASIAN PORCELAIN PLATES AND BOWL - CERAMIC HAND PAINTED BOWL - HANDMADE CERAMIC SUGAR BOWL - 3 METAL AND ENAMEL JULIA KNIT BOWLS	\$100.00
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Estate of Simon
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24 8 TRACY PORTER CERAMIC SQUARE PLATES - PERSONALIZED CERAMIC
WEDDING TRAY - MEXICAN CERAMIC TRAY \$50.00



25 ASSORTED NAPKIN RINGS - CHOPSTICKS - NAPKINS - 6 SERVICING PIECES WITH
CRYSTAL HANDLE (MATCHES SET IN FAMILY ROOM) - PLACEMATS \$50.00
26 WOOD AND STERLING SILVER CHALLAH TRAY MARKED "HAZORFIM" 925 (WEIGHT
OF SILVER UNKNOWN) 10 1/2" X 13" \$100.00



27 FARM TABLE - 10' - BLEACHED OAK - RECTANGULAR TOP WITH BREADBOARD
ENDS - TRESTLE BASE \$750.00
28 DINING CHAIRS - 10 - WHITEWASHED WITH RUSH SEATS - LADDER BACK
CONSISTING OF 2 ARM AND 8 SIDE CHAIRS \$500.00



29 ETCHING - 7" X 5" SIGHT SIZE - 3/4 PORTRAIT OF A MAN - ILLEGIBLE PENCIL
SIGNATURE LOWER RIGHT - IN FRAME \$25.00

TOTAL FOR DINING AREA \$4,500.00

KITCHEN

1 MACKENZIE - CHILD'S 2-HANDED VASE AND GLASS CAKE COVER \$50.00
2 8 ASSORTED CERAMIC BOWLS AND PITCHERS - \$80.00

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3	PORCELAIN COMPOTE	\$10.00
4	GLASS PITCHER WITH INSERT DECORATION	\$10.00
5	GLASS VASE	\$10.00
6	GLASS BOWL ON STAND	\$10.00



7	10 ASSORTED ITALIAN/PORTUGUESE CERAMICS INCLUDING VASES - COVERED JARS AND PLANTERS	\$250.00
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8	SERVING TRAYS AND BOWLS CERAMIC PLATTERS ASSORTED CERAMIC AND PORCELAIN DISHES - PLATES - GLASS VASE 3 PIECES PORTUGUESE DINNER WARE (MATCHES #32 IN DINING ROOM) (MATCHES #32 IN DINING ROOM) 5 PIECES NOT FOUND DURING INSPECTION GLASS PLATES STEMWARE WATERFORD CRYSTAL PITCHER 2 PIECES PURPLE ENAMEL & METALWARE BOWL & TRAY- NOT IN HOME 8 TRACY PORTER PLATES (MATCHES #32 IN DINING ROOM)	\$500.00
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9	STEMWARE - 17 - WATERFORD CRYSTAL CONSISTING OF RED WINES - WHITE WINES - AND CHAMPAGEN FLUTES	\$255.00
10	MCKENZIES CHILD THREE PIECE CANNISTER SET- ABOVE ITEMS NOT FOUND DURING INSPECTION	NA
11	ASSORTED EVERYDAY BAKE WARE - COOKWARE - FLATWARE - SERVING PIECES - CUTLERY - UTENSILS - TRIVETS -	\$175.00
12	MICROWAVE OVEN	\$30.00
13	KEURIG COFFEE MACHINE	\$25.00
14	TOASTER ITEM NOT IN HOME AT TIME OF INSPECTION	NA
15	CAN OPENER ITEM NOT IN HOME AT TIME OF INSPECTION	NA
16	BLENDER	\$10.00

Estate of Simon
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17 JUICER - JACK LALANE \$25.00



18 SCULPTURES - 3 - 9" - CONTEMPORARY GLAZED POTTERY - SIGNED - "POLLY COOK" \$135.00
BUST OF MAN HOLDING ARROW - BUST OF A WOMAN HOLDING HEART AND BUST
OF MAN AND WOMAN KISSING

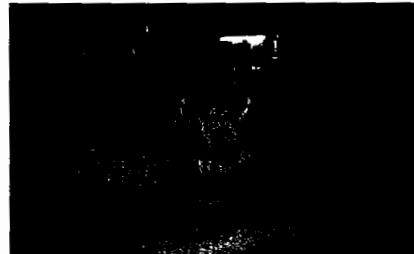


19 COMPUTER - DELL - MODEL NO. 1908PL - LCD MONITOR - WIRELESS KEY PAD - NA
TOGETHER WITH ALTEC LANSING SPEAKERS
20 PRINTER - HP - ALL-IN-ONE DESKJET F380 NA
COMPUTER SPEAKERS, PRINTER WERE NOT IN HOME AT TIME OF INSPECTION
21 SIDE CHAIRS - 5 - BROWN LEATHER AND CHROME - CONTEMPORARY STYLE \$250.00
22 DISH - 7" - DAUM - FRANCE - PURPLE AND GREEN PATE DE VERRE GLASS WITH NA
LIZARD RIM --- **ITEM WAS NOT IN HOME AT TIME OF INSPECTION**
23 MIRROR - METAL - ENAMEL AND CRYSTAL - OVAL MIRROR PLATE NA
ITEM WAS NOT IN HOME AT TIME OF INSPECTION

TOTAL FOR KITCHEN \$1,825.00

FAMILY ROOM

1 GIUSEPPE ARMANI PORCELAIN FIGURAL GROUP - BOY AND GIRL ON SWING \$50.00



2 CERAMIC MENORAH **ITEM WAS NOT IN HOME AT TIME OF INSPECTION** NA
3 CLOCK \$5.00
4 GLASS AND METAL BOX **ITEM NOT IN HOME AT TIME OF INSPECTION** NA
5 PAIR ITALIAN GLASS FIGURAL CANDLESTICKS \$30.00
6 CRYSTAL BUNNY FIGURE \$5.00
7 CRYSTAL RHINOCEROS - CHIPPED \$2.00
8 ETCHEDE GLASS PAPERWEIGHT OF SOLDIER AT WAILING WALL - CHIPPED \$5.00
9 SWAROVSKI FLOWER VASE AND HUMMING BIRD ON BRANCH \$30.00
10 MURANO GLASS FISH - 15" X 15" - **DAMAGED** \$25.00

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11 WATERFORD CRYSTAL GOLF CLUB

\$15.00



12 SCREEN PRINT - GICLEE - BEN (BENJAMIN) SCHONZEIT - AMERICAN - (B.1942)
STILL LIFE OF FLOWERS IN A VASE - SIGNED - "SCHONZEIT" - IN SILVER METAL
FRAME - 74" X 96"

\$1,500.00



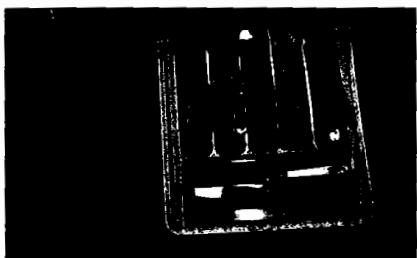
13 SERVER - RECTANGULAR GLASS TOP AND CURVED GLASS - SLIDING DOOR -
WOOD BASE WITH CHROME SUPPORTS AND RAIL - INTERIOR SHELVES AND TWO
DRAWERS - 31" X 82" X 22"

\$750.00



14 CONTENTS OF SERVER: PLASTIC & METAL FLATWARE, FLATWARE SET
WITH CRYSTAL HANDLES, ASSORTED PLATES

\$75.00



15 BAR STOOLS-4-STEEL WITH BLUE ULTRASUEDE SEATS - CONTEMPORARY STYLE

\$400.00

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16 COMPOTE FRUIT BOWL - METAL WITH PURPLE ENAMEL - 7 1/2" X 12" \$15.00



17 BLUE COVERED BOX - ISRAEL - 4" X 7"
SCULPTURES - 2 - 36 1/2" - BLACK METAL - EACH AN ABSTRACT BUST WITH
CUTOUT - NO APPARENT SIGNATURE - TOGETHER WITH WOOD CUBE PEDESTALS \$10.00
\$250.00



18 SECTIONAL SOFA - LEATHER AND SUEDE - BROWN AND BEIGE WITH SECTIONS - \$1,200.00
CONTEMPORARY STYLE - WITH TOSS PILLOWS AND THROWS



19 COCKTAIL TABLE - TWO ROUND GLASS TOPS ON CIRCULAR CHROME SUPPORTS
ROUND BASE - 18" X 40" \$175.00



20 LACQUER BOXES - SET OF THREE - GRADUATED SIZES - SKLAR FURNISHINGS
21 DISPLAY CABINET - OVAL WOOD WITH SILVER FINISH - TWO CURVED GLASS
DOORS - LIGHTED - 73" X 36" \$75.00
\$135.00



CONTENTS OF DISPLAY CABINET

22	SHOFAR HORN -	\$70.00
23	LLADRO - 12" - JAPANESE WOMAN AND BOCAGE - "GEISHA" - REF NO. 4807	\$100.00
24	3 HAND BLOWN ITALIAN GLASS PITCHERS - 5"	\$15.00
25	MEZUZAH - THIS ITEM WAS NOT IN HOME AT TIME OF INSPECTION	NA
26	HALCYON DAYS ENAMEL BOX - 2"	\$10.00
27	LLADRO BISQUE FIGURE OF A WOMAN WITH "WALL"	\$350.00
28	LLADRO BISQUE FIGURE OF A KNEELING WOMAN	\$150.00
29	LLADRO - 10" - "PUPPY LOVE" - REF NO. 1127	\$50.00
30	2 FIGURES - COMPOSITION - BICHON FRISE DOG -	\$10.00
31	LLADRO - 12 1/2" JAPANESE RICKSHAW WITH MAN AND PASSENGER - "RIDE IN	\$575.00

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CHINA" - REF NO. 1383



32 LLADRO - 11" - GIRL SEATED IN CHAIR - "APPRECIATION" - REF. NO. 1396 \$275.00



33 ROYAL DOULTON - "QUEEN OF THE DAWN" \$30.00



34 LARGE SHOFAR - METAL MOUNTED \$75.00

35 LLADRO - MOSES WITH TABLETS - "MOSES" - REF. NO. 5170
GREEN GLASS FIGURE OF AN ASIAN MAN \$125.00
\$15.00



36 LLADRO - 15" - WOMAN ON BALCONY WITH FLOWER POTS - "FAR AWAY THOUGHTS" \$750.00
REF. NO. 1798



37 METAL SCULPTURE OF DANCER \$25.00

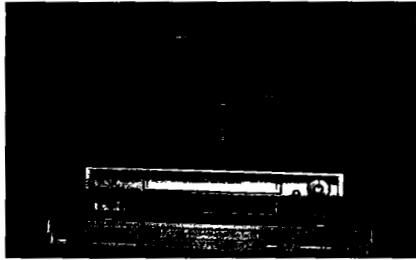
38 LLADRO - 14" - "WINE TASTER" - REF. NO. 5239 \$140.00

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ELECTRONICS

39	VCR - SANYO - MODEL NO. VWM900	\$5.00
40	DVD PLAYER - PANASONIC - MODEL NO. DVD-527	\$10.00
41	COMPACT DISC PLAYER - NAKAMICHI - MODEL NO. CDC-3A	\$40.00



42	AUDIO SPEAKER/AMP SALEOTOR - NILES - MODEL NO. MSA-10A	\$25.00
43	RECEIVER - ONKYO - MODEL NO. TX-SR602	\$45.00
44	AMP - NHT - MA-1	\$25.00
48	140 CD'S @ \$.50	\$70.00



49	TELEVISION - 60" - MITSUBISHI - MODEL NO. VS60112 - REAR SCREEN PROJECTOR 2002 - CONDITION - OBSOLETE - NO COMMERCIAL VALUE	\$0.00
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50	CARD TABLE SET - 5 - SQUARE RED GLASS TOP - METAL BASE - CONTEMPORARY STYLE - TOGETHER WITH 4 SIDE CHAIRS - GRAY PLASTIC AND SILVER METAL FRAME	\$200.00
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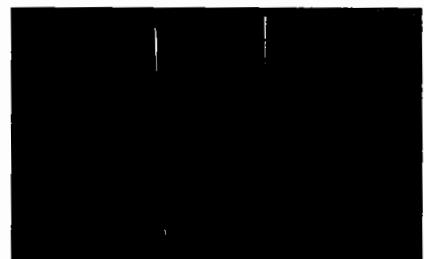
52 VASE -15 1/2" - ANTHONY CORRADETTI - ART GLASS - SIGNED - "CORRADETTI 1995" \$125.00
HAND BLOWN WITH COLORED ABSTRACT DECORATION



TOTAL FOR FAMILY ROOM \$8,062.00

POWDER ROOM II

1 PLETRA DURA CLOWNS - 6 1/2" X 4 1/2" \$25.00
2 PHANTOM OF THE OPERA POSTER \$5.00



3 BASKET \$5.00
4 2 PAINTED WOOD BOXES \$20.00
5 PRINCESS PAINTING \$5.00



6 INDIAN WOOD CARVED MIRROR \$65.00

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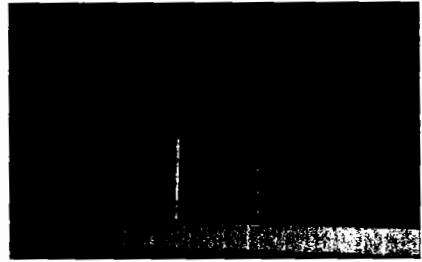
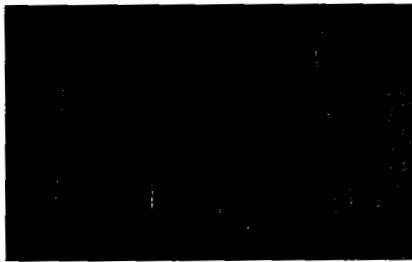


TOTAL FOR POWDER ROOM II

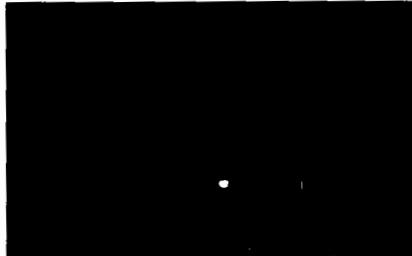
\$125.00

WEST HALL

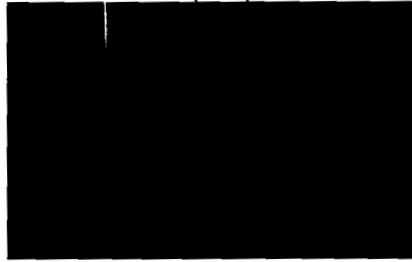
1 ASSORTED PILLOWS \$40.00
POKER CHIP SET \$10.00



2 ETCHING - 11" X 9" SIGHT SIZE - WITHOUT FRAME - PENCIL SIGNED LOWER RIGHT - \$25.00
"H. BENDER" - SEATED MAN - WOOD FRAME



3 SERIGRAPH - 18" X 12" - WITHOUT FRAME - STANLEY HANDELMAN - AMERICAN/ \$25.00
ISRAELI - (20TH - 21ST CENTURY) - 28/250 - PENCIL SIGNED LOWER RIGHT -
"HANDELMAN (IMP)" - ISRAELI SOLDERS - IN GOLD METAL FRAME



4 DRAWING - 27" X 18" - WITHOUT FRAME - MOSHE GAT -ISRAELI - (B.1935) - INK \$75.00
AND WASH ON PAPER - SIGNED LOWER RIGHT - "MOSHE GAT" - PORTRAIT OF A
MAN - IN SILVER WOOD FRAME

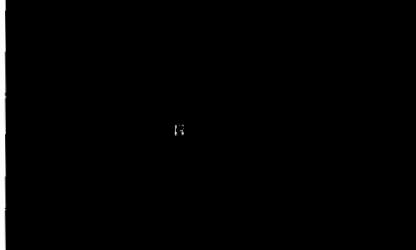
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5 SERIGRAPH - 12" X 18" - WITHOUT FRAME - STANLEY HANDELMAN - AMERICAN/ISRAELI - (20TH - 21ST CENTURY) - 32/250 - PENCIL SIGNED LOWER RIGHT - "HANDELMAN (IMP" - WAILING WALL - IN SILVER WOOD FRAME \$25.00
6 ETCHING - 12' X 9" WITHOUT FRAME - PENCIL SIGNED LOWER RIGHT - "H. BENDER" TWO FIGURES - IN WOOD FRAME \$25.00



7 PHOTOGRAPHS - 4- ASSORTED TRAVEL SUBJECTS - PORTRAITS - IN SILVER WOOD FRAME - 7" X 9" - 1 - 12" X 10" \$40.00
ONE SMALLER PHOTO WAS NOT FOUND DURING INSPECTION IN HOME

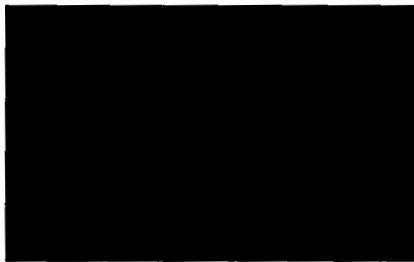


8 SERIGRAPH - 20" X 23" - SIGHT SIZE - YAACOV AGAM - AMERICAN/ISRAELI - (B. 1928 "EA" - PENCIL SIGNED LOWER RIGHT - "AGAM" - MULTICOLOR GEOMETRIC DESIGN - IN SILVER WOOD FRAME \$275.00



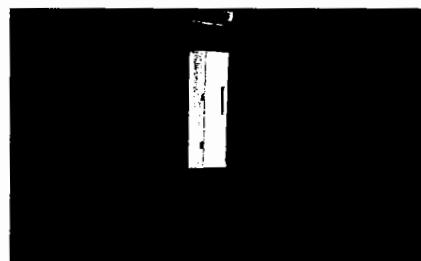
9 LITHOGRAPHS - 3 - 22" X 18" - SIGHT SIZE - ROMAN (ERTE) DE TIRTOFF - AMERICAN RUSSIAN - (1892- 1990) - FIGURES 6" - 8" 9" IN WOOD FRAME - NO VISIBLE SIGNATURE \$150.00

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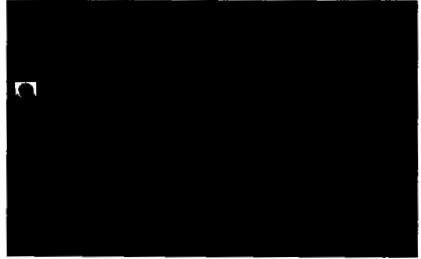
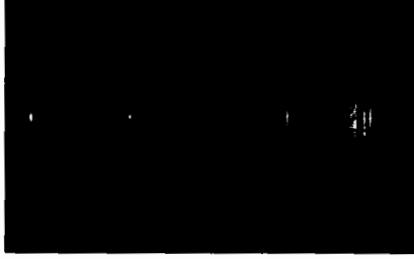
10 CHEST - FLORAL DECORATED WOOD - NAIL HEAD TRIM - 17" X 15" X 14" - BATHROOM SCULPTURE - 28" - BATHROOM - PORCELAIN - STANDING LADY - MARKED "AUSTIN PRODUCTIONS - 1988" \$25.00
\$40.00

11 MIRROR - 32" X 30" - ROUND TOP - BEVELED MIRROR PLATE - WORMWOOD FRAME BATHROOM \$50.00



12 LITHOGRAPH - 28" X 26" SIGHT SIZE - BATHROOM - NORMAN ROCKWELL - "SHUFFELTON'S BARBERSHOP" - IN GOLD METAL FRAME \$75.00

13 WALL PLAQUE - 38" X 20" - RESIN - FEMALE FIGURE IN RELIEF \$20.00



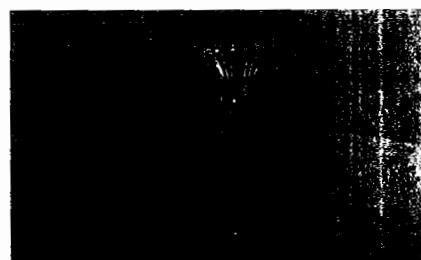
14 MIRROR - 29" - PAINTED AND CARVED WOOD - CIRCULAR - WITH CONFORMING BEVELED MIRROR PLATE \$65.00



15 VASE - 14" - GLAZED POTTERY - POLLY COOK - WOMAN WITH A HAT - BATHROOM \$50.00

16 VASE - 13" - GLASS - KOSTA BODA WOMAN \$75.00

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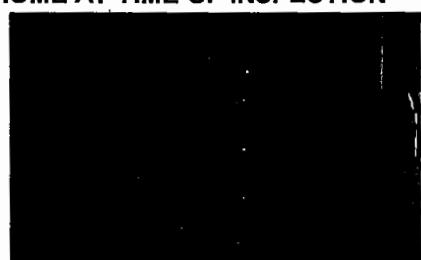
17 NEEDLEPOINT PICTURE - 12" X 14" - YOUNG MAN SEATED - IN SILVER WOOD FRAME \$10.00
18 CONTENTS OF CLOSET - ASSORTED KITCHENWARE - APPLIANCES - 2 SILVER PLAT \$100.00
TRAYS - CLEANING EQUIPMENT



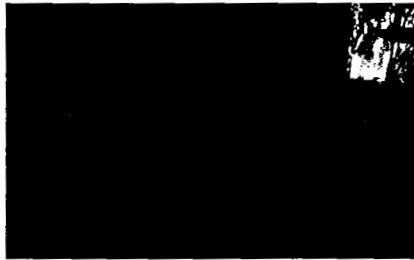
TOTAL FOR WEST HALL \$1,200.00

FIRST FLOOR NORTHWEST GUEST ROOM

1 PAINTING - OIL ON RIDGED CANVAS - MULTICOLOR - IN GALLERY WRAP FRAME, 3'X6' \$100.00
2 1 MIRROR - 30" X 18" - METAL AND GLASS - RECTANGULAR MIRROR PLATE \$50.00
ONE OF THE ABOVE MIRRORS WAS NOT IN THE HOME AT TIME OF INSPECTION



3 SIDE TABLE - PAINTED WOOD - CIRCULAR TOP WITH SHELL DECORATION - "MADE \$40.00
IN CHINA" - 26" X 24"
4 LAMP - GLASS AND POLISHED METAL - SQUARE FABRIC SHADE - NOTE: MATCHES \$25.00
#96 AND #179 - 23" X 6"
5 SLEEPER SOFA - BLUE AND BEIGE STRIPED FABRIC - WITH ACCESSORY PILLOWS \$75.00

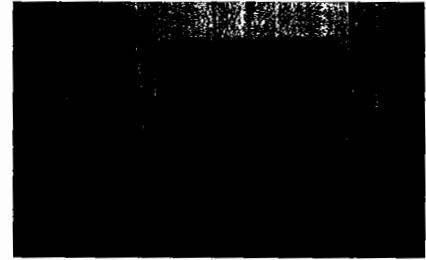


6 END TABLE - 2 - POLISHED METAL CUBES - 19" X 18" - EACH \$40.00
7 FIGURAL GROUP - 12" X 19" X 14" - CAPO-DI-MONTE - CHILDREN AROUND A TABLE \$25.00
CRACKED -

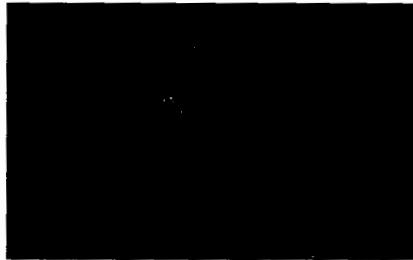
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8 FLOOR LAMP - BLACK METAL TRIPOD BODY AND BASE - WHITE CERAMIC SHADE \$30.00
9 SIDE CHAIR - SEATED WOMAN PAINTED ON WOOD - METAL BASE - "MADE IN CHINA" \$25.00
NOTE: MATCHES #109
10 CABINET - MEDIUM STAIN PINE - RECTANGULAR TOP - GOLFING SCENE ON TWO PAINTED DOORS - SINGLE DRAWER - 32" X 38" X 24" \$50.00



11 WALL PLAQUE - 32" X 44" - OVAL PAINTED WOOD - "ST. ANDREWS" - WITH TWO WOOD SHAFT CLUB ATTACHED \$25.00



12 **IN SHELF IN CLOSET** \$50.00
2 GLASS STEMWARE
LAMP WITH WHITE FABRIC SHADE
PORCELAIN SCULPTURE OF WOMAN - REPAIRS - NO COMMERCIAL VALUE
FIGURAL SCULPTURE
ASSORTED GAMES

TOTAL FOR FIRST FLOOR NORTHWEST GUEST ROOM \$535.00

FIRST FLOOR WEST GUEST ROOM

1 FIGURINE - 13 1/2" - LLADRO - "GOLFING COUPLE" - REF. NO. 1453, NOW IN BAR \$100.00



2 **CONTENTS OF CLOSET** \$25.00

LUGGAGE

PILLOWS

TABLE LINENS - NOT IN CLOSET AT TIME OF INSPECTION

2 LAMPS



3 NESTING TABLES - 3 - LACQUERED WOOD - TAPERED LEGS - "MADE IN VIETNAM" \$30.00

4 LAMP - GLASS AND POLISHED METAL - SQUARE FABRIC SHADE - NOTE: MATCHES #84 AND 179 \$25.00

5 BED - MAPLE AND MAPLE PARQUET VENEER HEADBOARD - QUEEN SIZE - \$125.00
TOGETHER WITH MATTRESS ONLY

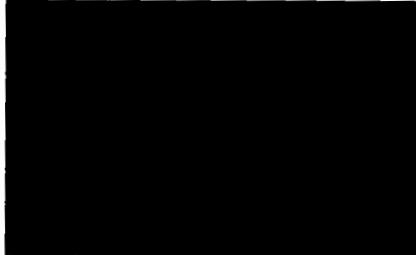
6 NIGHTSTAND - FAUX PAINTED WOOD - SINGLE DRAWER - SINGLE SHELF \$10.00

7 FIGURINE - PLASTER - STANDING MAN WITH PROPER BOOK - AUSTIN PRODUCTION \$25.00

8 LAMP - SILVER WOOD - GLASS DECORATION - GRAY FABRIC SHADE \$25.00



9 PAINTING - 30" X 23" SIGHT SIZE - OIL ON CANVAS - VAN GOGH COPY - WOOD FRAME \$75.00



10 PORCELAIN FIGURINE IN BATHROOM ONE ONLY \$5.00

11 PURPLE GLASS PLATE \$5.00

12 VASE WITH ARTIFICIAL ARRANGEMENT (BATHROOM) \$10.00



13	DESK CHAIR - BLACK FABRIC -METAL BASE NOT IN HOME AT TIME OF INSPECTION	NA
14	ARMOIRE - GREEN ANTIQUE STAIN WOOD WITH FLORAL PAINTED PANELS - SINGLE INTERIOR SHELVES - SINGLE DRAWER - 6' X 3' X 15"	\$100.00
15	SIDE CHAIR - SEATED WOMAN PAINTED ON WOOD - METAL BASE - "MADE IN CHINA NOTE: MATCHES #89	\$25.00



TOTAL FOR FIRST FLOOR WEST GUEST ROOM **\$585.00**

EAST SITTING ROOM

1	BAR - BROWN METAL AND OVAL CLEAR GLASS TOP - BOW FRONT - POLISHED METAL SUPPORT - 41" X 46" X 17"	\$125.00
2	BAR STOOLS - 2 - ENAMELED WOOD AND METAL - BLACK FABRIC SEAT - SWIVEL	\$150.00
3	VASES - 2 - CLEAR GLASS WITH ARRANGEMENTS - POLISHED METAL IN RECTANGULAR GLASS STAND - 12"	\$50.00



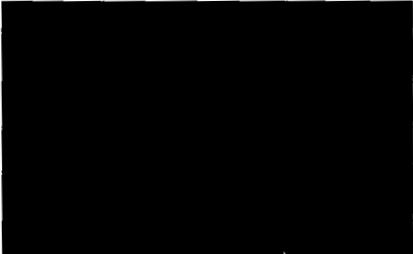
4	SERIGRAPH - 20" X 15" - SIGHT SIZE - WOMAN HOLDING A CIGARETTE - NO APPARENT SIGNATURE - IN GOLD METAL FRAME - 30 1/2" X 25" WITH FRAME	\$50.00
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5	CONTENTS OF CABINET SMALL AMOUNT OF ASSORTED EVERY DAY DINNERWARE	\$50.00
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GLASS WARE
FLATWARE



6 METAL OPENWORK FOOTED BOWL - 14" X 28" \$25.00
7 FRUIT DECORATED PAINTED CHEST - RING PULLS - METAL PAW FEET - 22" X 20" \$35.00



8 PAINTING - 60" X 24" - SIGHT SIZE - OIL ON CANVAS - FLOWERS IN VASE - NO APPARENT SIGNATURE - IN BLACK WOOD FRAME \$50.00



CONTENTS OF CABINET

9 SMALL AMOUNT OF DINNER AND GLASS WARE \$10.00
10 MURANO GREEN GLASS VASE \$10.00
11 4 - PAINTED TERRACOTTA FEMALE FIGURINES - IN TOP - 17" \$20.00



12 END TABLE - CIRCULAR GLASS TOP - METAL BASE - 25" X 12" \$10.00
13 LAMP - BRONZE COLOR WOOD - GLASS DECORATION - PURPLE FABRIC SHADE - 25 \$10.00
14 MICROWAVE \$20.00

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15	SOFA - 2 - 6' EACH - RED ULTRA SUEDE UPHOLSTERY - CRESCENT FORM - WITH SINGLE OTTOMAN BY "AMERICAN LEATHER" SEAMS PULLING APART	\$250.00
16	AREA RUG - 5' 3" X 8' - WOOL - HAND TUFTED - MULTICOLOR CIRCLES - "MADE IN CHINA"	\$75.00
17	END TABLE - HALF OVAL GLASS TOP - POLISHED METAL SUPPORT AND BASE - 22" X 19" X 13"	\$40.00
18	TELEVISION - 50" X SAMSUNG - DLP - MODEL NO HLR5087W - 2005	\$75.00
19	DVD - SONY - MODEL NO DVP-NS41P	\$5.00



20	COLOR HALFTONE PRINT - "ST. ANDREWS" - IN BATHROOM	\$10.00
21	CERAMIC VASE WITH ARTIFICIAL ARRANGEMENT - PEWTER BOWL - TERRACOTTA WOMAN	\$20.00
22	MIRROR - WHITEWASHED WOOD - RECTANGULAR FRAME - CONFORMING BEVELED MIRROR PLATE - 32" X 36"	\$25.00



TOTAL FOR EAST SITTING ROOM \$1,115.00

STAIRWELL

1	PAINTING - 72" X 64" - SIGHT SIZE - OIL ON CANVAS - ABSTRACT SCENE - NO APPARENT SIGNATURE - IN WOOD FRAME	\$75.00
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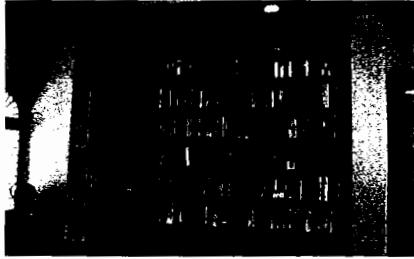
TOTAL FOR STAIRWELL \$75.00

STUDY

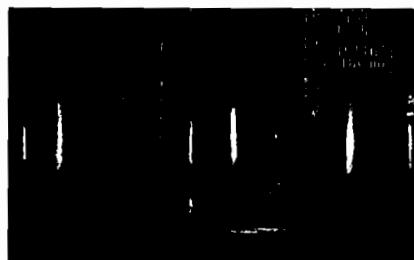
1	TILT TOP TABLE - LATE 19TH - EARLY 20TH CENTURY - PAPER MACHE - SHAPED TOP - PAINTED AND MOTHER-OF-PEARL DECORATION - 28" X 29" X 29"	\$275.00
2	FLOOR VASES - 2 - BEIGE CERAMIC - WITH ARTIFICIAL TREE ARRANGEMENTS 48" X 21"	\$100.00
3	GLOBE - PLASTIC - EARTH WITH MOTHER-OF-PEARL COUNTRIES - ON POLISHED METAL STAND	\$30.00



4	BOOKS - APPROXIMATELY 500 BOOKS - HARDCOVER - NOVELS - COOKERY - ART - REFERENCE	\$125.00
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5	FIGURINE - LLADRO - 4 1/2" SEATED CLOWN - "PIERROT WITH PUPPY" - #5277	\$40.00
6	FIGURINE - LLADRO - 3" - "RABBIT EATING" - REF NO. 4772	\$40.00
7	FIGURINE - LLADRO - 4" X 6" - PLAQUE - SEATED DON QUIXOTE	\$10.00
8	FIGURINE - LLADRO - 4 3/4" - KNEELING CLOWN - "PARROT WITH PUPPY AND BALL" REF NO. 5278	\$50.00



9	TELEVISION - 17" - ZENITH - LCD - MODEL NO. L17W36 - 2003	\$25.00
10	DESK ORGANIZER - PAINTED WOOD - GALLERY - TOW DOORS - INTERIOR SHELVES	\$25.00

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AND CUBBIES

11 FIGURINE - LLADRO - 12' - "ANNIVERSARY DANCE" - REF NO. 1372	\$120.00
12 FIGURINE - LLADRO - 13 1/4" - "GOLFING COUPLE" - REF. NO. 1453	\$100.00



13 FIGURINE - LLADRO - 10 1/2" - "GOLFER" - REF. NO. 4824	\$75.00
14 FIGURINE - LLADRO - 10 1/2" - "DAISY" - REF. NO. 5118	\$125.00



15 KALEIDOSCOPE - 2- BRASS - MIRROR AND GLASS - PLASTIC ON LUCITE STAND	\$20.00
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16 LAPTOP - DELL LATITUDE - MODEL NO. D830 - 2008	NA
17 SCANNER - VISIONEER - MODEL NO. 9750USB	NA

18 MONITOR - 17" - DELL - FLAT PANEL	\$10.00
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#S 16 AND 17 NOT IN HOME AT TIME OF INSPECTION

19 MISCELLANEOUS	\$40.00
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PORCELAIN DOG

MURANO STYLE GLASS WOMAN WITH MURANO GLASS DOG

PLASTER FIGURE - "FIDDLER ON THE ROOF" - CHIPPED - NO COMMERCIAL VALUE

RESIN FIGURE - SEATED WOMAN - "FLORENCE"

"RED RYDER" BB GUN - NO BB GUN **NOT IN HOME AT TIME OF INSPECTION**

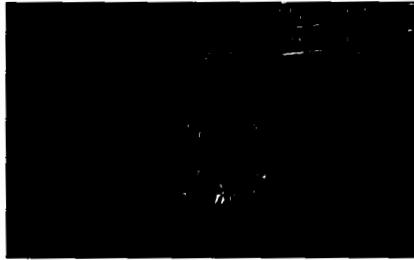


20 9" - LLADRO - STANDING GIRL - 8 3/8" - CONDITION - MISSING STICK # 4678	\$25.00
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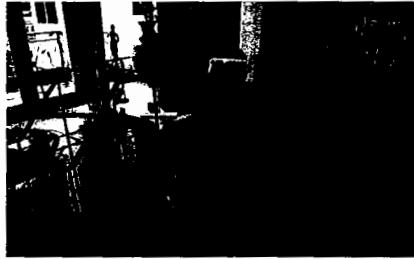
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21 STEUBEN GLASS - ETCHED SIGNATURE - "AMERICAN EAGLE" - 6 1/4" - #8304 \$225.00
22 STEUBEN GLASS - ETCHED SIGNATURE - SEASHELL - 3 1/2" X 4" \$75.00



23 TABLE - LIGHT STAIN WOOD - RECTANGULAR TOP - TRESTLE SUPPORT - 31" X 50" X 29" \$75.00
24 DESK CHAIR - EXECUTIVE - GRAY UPHOLSTERY - METAL BASE - FLIPPER ARMS \$10.00



25 SIDE TABLE - CIRCULAR MOSAIC TOP - METAL BASE - 24" X 21" \$20.00
26 ARM CHAIRS - 2 - RATTAN AND METAL - CIRCLE BACK - TAPERED LEGS - 26" X 7 \$50.00
27 SIDE TABLE - CIRCULAR MARBLE TOP - TRIPOD METAL BASE - 28" X 24" \$25.00



28 TELESCOPE - 21" - REPRODUCTION 18TH CENTURY STYLE REFLECTING TELESCOPE BRASS - "CULPEPPER INSTRUMENTS - ENGLAND" - "12-059/1982" - TRIPOD BASE \$350.00
29 LIBRARY STEPS \$75.00



TOTAL FOR STUDY **\$2,140.00**

BALCONY

1	PLANTER - PAINTED WITH RELIEF DECORATION - MADE IN MEXICO - 29" X 31"	\$30.00
2	BENCH - BLACK - PAINTED METAL - SCROLL ARMS - 34"S X 40" - PAINT PEELING	\$25.00

TOTAL FOR STUDY BALCONY **\$55.00**

UPSTAIRS WEST HALL

1	PAINTING - 23" X 31" - SIGHT SIZE - OIL ON CANVAS - RIVER - TREES AND CITY - ILLEGIBLE SIGNATURE - IN GOLD WOOD FRAME	\$50.00
2	LITHOGRAPH - 22/275 - PENCIL SIGNED LOWER RIGHT - "JOY" - JAPANESE STYLE - SEATED WOMAN WITH BIRD - IN WOOD FRAME	\$30.00



3	FLOOR VASE - 23" X 8" - ASIAN STYLE PAINTED CERAMIC - WITH ARTIFICIAL ARRANGEMENT	\$25.00
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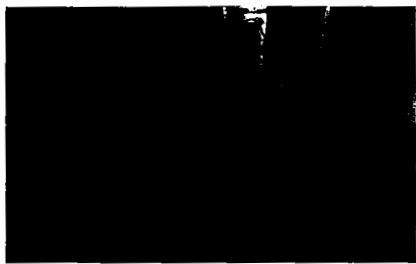
CONTENTS OF KITCHEN

4	SMALL AMOUNT OF EVERYDAY DINNERWARE - GLASSWARE -	\$15.00
	MICROWAVE	\$20.00
	COFFEEMAKER	\$10.00



5	<u>RUNNER - 2 1/2" X 10" - WOOL - BEIGE AND TAN SQUARES - BLACK ACCENT</u>	<u>\$20.00</u>
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TOTAL FOR UPSTAIRS WEST HALL

\$170.00

WEST UPSTAIRS BATH/CLOSET

1 TELEVISION - 18" - VIZIO - LCD- MODEL NO. VA19LHDTV10T \$30.00



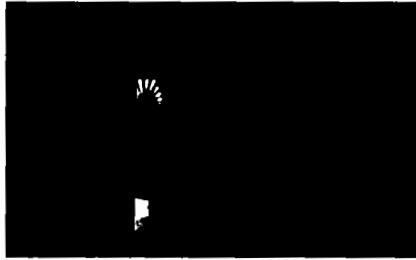
2 MISCELLANEOUS ON COUNTER NA

ENAMELED CRAB, 9 ASSORTED GLASS PERFUME BOTTLES
SOME WITH CHIPS- SILVER PLATER TRAY

ABOVE ITEMS WERE NOT IN HOME AT TIME OF INSPECTION

3 PRINT - 12" X 9" SIGHT SIZE - 96/200 - "713" - PENCIL SIGNED LOWER RIGHT - "MIKE LEVY" - KING DAVID AT JERUSALEM - IN SILVER METAL FRAME \$25.00

4 BAKER'S RACK - BROWN METAL - SCROLL LEGS - FOUR TIERS \$75.00



5 POTPOURRI BASKET \$5.00

6 VASE WITH ARTIFICIAL ARRANGEMENT \$5.00

7 POT WITH ARRANGEMENT \$5.00

8 TREE FORM METAL TOWEL RACK \$10.00

9 CERAMIC COUPLE \$2.00

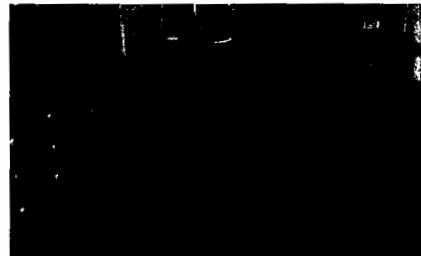


10 ROUND AREA RUG - 5' DIAMETER \$50.00

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11	AREA RUG - 2' X 4'	\$10.00
12	SLIPPER CHAIR - GREEN FLORAL UPHOLSTERY	\$50.00
13	TELEVISION - 19" - VIZIO - MODEL NO. VA19LHDTV10T	\$30.00

IT APPEARS THERE HAD BEEN A DUPLICATION OF THIS TV ON THE PREVIOUS REPORT



14	GARMENT STEAMER - ROWENTA- NOT IN HOME AT TIME OF INSPECTION	\$10.00
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TOTAL FOR UPSTAIRS BATH/CLOSET \$307.00

WEST UPSTAIRS BEDROOM -MASTER BEDROOM

1	CHEST OF DRAWERS - LATE 19TH - EARLY 20TH CENTURY - STRIPPED FINISH - SHAPED TOP - THREE DRAWERS - 32" X 37" X 20"	\$75.00
2	PLASTER SCULPTURE - 22" - GIRL WITH DOG	\$10.00
3	ARTIFICIAL ARRANGEMENT IN WOOD POT	\$5.00
4	LAMP - CERAMIC AND WOOD BODY - FABRIC SHADE	\$10.00

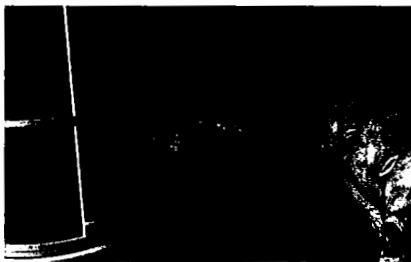


5	ARMOIRE - WHITEWASHED PINE - OVERHANGING ROUNDED CORNICE - 2 DOORS FITTED INTERIOR - 8' X 80" X 24"	\$375.00
6	TELEVISION - 47" X - VIZIO - MODEL NO. M470NV - 2011	\$100.00
7	VCR - PANASONIC - MODEL NO. DVD S27	\$10.00
8	DOUBLE CHAIR - CARVED WOOD FRAME - LEATHER WITH NAIL HEAD TRIM-FLORAL FABRIC SEAT WITH ACCENT PILLOWS - BY ROBB STUCKEY	\$295.00

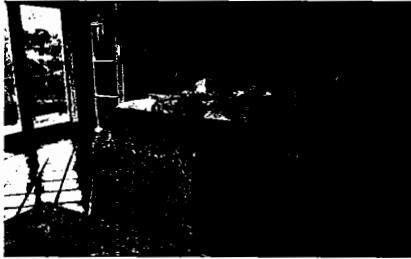


9	NIGHTSTAND - MIRROR AND SILVER METAL - SINGLE DRAWER - TWO DOORS - 30" X 30" X 17"	\$95.00
10	<u>LAMP - PEWTER FINISH - POLISHED METAL - WHITE GLASS SHADE - ADJUSTABLE</u>	\$10.00

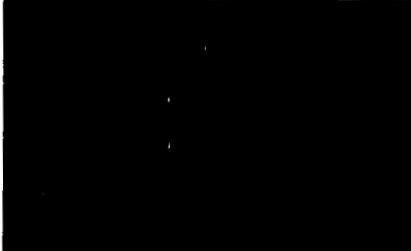
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11	SLEIGH BED - KING SIZE - MEDIUM STAIN WOOD - TOGETHER WITH MATTRESS BOX SPRING AND FRAME	\$175.00
12	BLANKET CHEST - WHITEWASHED PINE - CARVED DECORATION	\$50.00
13	AREA RUG - 8 1/2" X 5" - WOOL - ALL OVER FLORAL DECORATION ON TAN FIELD - LIGHTLY SCULPTED	\$65.00



14	NIGHTSTAND - MEDIUM STAIN WOOD - BOW FRONT - THREE DRAWERS - BY TOMMY BAHAMA - 30" X 2" X 17"	\$75.00
15	LAMP - TIFFANY STYLE - BRONZE COLORED METAL BASE - STAINED GLASS SHADE - 22"	\$45.00



16	UPHOLSTERED STOOL -NOT IN HOME AT TIME OF INSPECTION	NA
17	2 - THROW RUGS - 24" X 34" - WOOL -	\$40.00
18	TREADMILL - LIFE FITNESS - T3	\$100.00

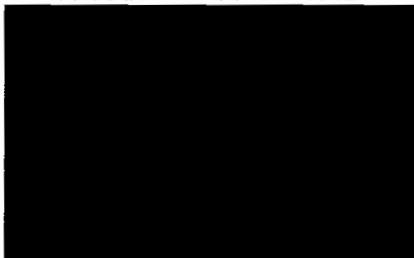


19	PAINTING - 54" X 60" - MULTI MEDIA - ABSTRACT FLOWERS - SQUARES - NO APPARENT SIGNATURE	\$75.00
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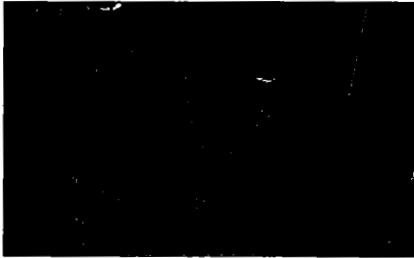
20 FIGURINE - 28" - LLADRO - GRES FINISH - WOMAN WITH PAINT PALETTE - \$500.00
"PORTRAIT" - REF. NO. 4942



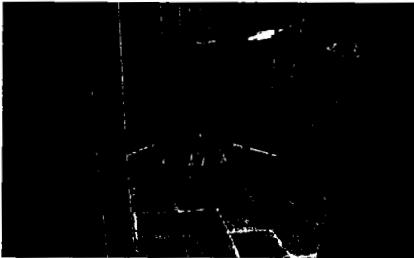
TOTAL FOR WEST UPSTAIRS BEDROOM /MASTER BEDROOM \$2,110.00

BALCONY

1 PLANTER - PAINTED WITH RELIEF DECORATION - MADE IN MEXICO - 29" X 30" \$50.00



2 BISTRO SET - 3 - BLACK PAINTED METAL - CIRCULAR TABLE TOP - TOGETHER WITH \$50.00
2 SIDE CHARS - CORRODED FINISH - 28" X 26"



3 BENCH - BLACK PAINTED METAL - SCROLL ARMS \$25.00



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TOTAL FOR BALCONY \$125.00

NORTH UPSTAIRS GUEST ROOM

1	CHILD'S BENCH - 28" X 5' - LAMINATE - PINE - "L" SHAPE WITH STORAGE	\$75.00
2	CHILD'S ROCKER - RATTAN AND WICKER - WITH PINK CUSHION	\$25.00
3	NIGHTSTAND - KID'S DESK - 24" X 30" X 20" - PINE - SINGLE DRAWER	\$20.00
4	LAMP - GLASS AND POLISHED METAL -SQUARE FABRIC SHADE - NOTE: MATCHES #84 AND 96	\$20.00



5	BED - LIGHT STAIN WOOD - FULL SIZE - WITH MATTRESS - BOX SPRING-FRAME	\$75.00
6	SCREEN - 61" X 36" - LIGHT STAIN WOOD - THREE PANELS - CUTOUTS FOR PHOTOGRAPHS	\$15.00



7	TELEVISION -20" - JVC - CRT - WITH VCR - CONDITION - OBSOLETE -	\$0.00
8	STAND - MEDIUM STAIN WOOD - BAMBOO AND CANE ACCENTS - 38" X 18" X 18" 2 DRAWER -	\$35.00

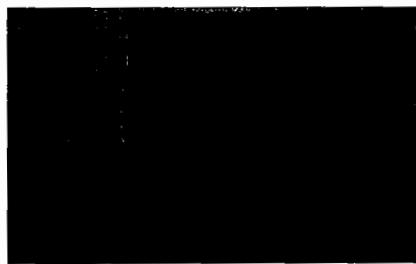


TOTAL FOR NORTH UPSTAIRS GUEST ROOM \$265.00

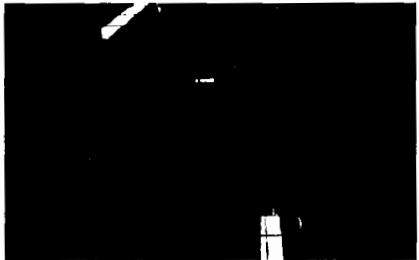
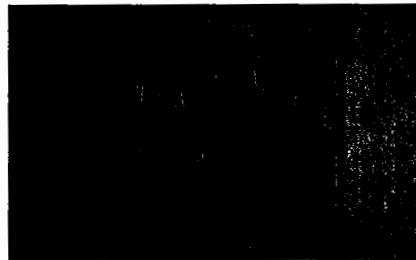
SOUTH UPSTAIRS GUEST ROOM

1	CHEST - SEMI BAMBOO STYLE - SHAPED MARBLE TOP - MEDIUM STAINED WOOD - FLORAL DECORATIONS - THREE DRAWERS - 33" X 36" X 17"	\$40.00
2	LOW CHAIR - WOVEN RATTAN - WOOD LEGS - 34" X 32" X 27"	\$35.00
3	ARMOIRE - MEDIUM STAIN WOOD - OVERHANGING CORNICE - TWO DOORS WITH WITH LATTICE INSERTS - OVER TWO SOLID DOORS - 71" X 36" X 21"	\$75.00

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4 TELEVISION - 32" - VIZIO - LCD - MODEL NO. VO32OE - 2009	\$45.00
5 WOOD CARVING - PAINTED AND CARVED - SEATED FIGURE #5 NOT IN HOME AT TIME OF INSPECTION	NA
6 GICLEE - 46" X 64" - ON CANVAS - "THE BEATLES" - 353/950 - STYLIZED PHOTOGRAPH OF THE ALBUM COVER OF THE BEATLES FIRST ALBUM RELEASE IN UNITED STATES IN 1964	\$100.00
7 NIGHT STAND - EARLY 20TH CENTURY - STRIPPED PINE - PAINTED FLORAL DECORATION - SINGLE DRAWER OVER DOOR - 29" X 19" X 15"	\$50.00



8 NIGHT STAND - MEDIUM STAIN PINE - RECTANGULAR TOP - THREE DRAWERS BRACKET FEET	\$25.00
9 LITHOGRAPHS (2) - 11" X 17" - LATE 19TH CENTURY - LANDSCAPE WITH FIGURES AND ANIMALS IN BLACK AND GOLD WOOD FRAMES - LOWER RIGHT -	\$50.00



10 SLEIGH BED - MEDIUM STAIN WOOD - QUEEN SIZE - HEAD AND FOOT BOARD - TOGETHER WITH MATTRESS - BOX SPRING - FRAME	\$75.00
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11 LAMP - GOLD WOOD - GLASS DECORATION - PURPLE SHADE ITEM NOT IN HOME AT TIME OF INSPECTION	NA
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TOTAL FOR SOUTH UPSTAIRS GUEST ROOM \$495.00

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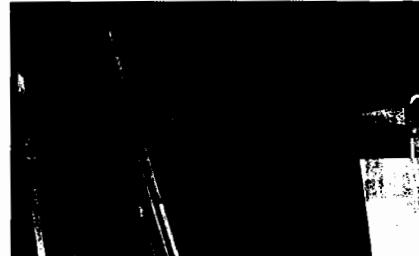
WEST GARAGE

1 GOLF CART - CLUB CAR - WHITE - ROOF CONSOLE - HEADLIGHTS - SERIAL NO. \$2,500.00
COI102519301 - 2010

2 1 SET OF GOLF CLUBS - WITH 2 BAGS, STEP LADDER, ETC.
ONE SET OF CLUBS NOT FOUND DURING INSPECTION \$75.00



3 WALL UNITS - 2 - MICA - BRASS PULLS - NOT MATCHING \$20.00



4 CONTENTS OF WALL UNIT - SHOES, TOWELS, HELMETS, BATS \$5.00



5 PAINTING - 84" X 48" SIGHT SIZE - BARRY LEIGHTON JONES - ENGLISH - (1932-2011) \$175.00
OIL ON CANVAS - SIGNED LOWER RIGHT - "LEIGHTON JONES" - EUROPEAN DOCK
SCENE WITH BOATS - IN GALLERY WRAP FRAME



6 END TABLE - MEDIUM STAIN AND PAINTED WOOD - MAGAZINE RACK/GOLF CLUBS \$25.00

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TOTAL FOR WEST GARAGE

\$2,800.00

EAST GARAGE

1	MICA CABINET -		\$5.00
2	STEP LADDER, CLEANING EQUIPMENT, VASE, SHREDDER, WET DRY VAC NO VASE OR SHREDDER WERE FOUND DURING INSPECTION		\$50.00
3	REFRIGERATOR - AMANA - TOP FREEZER - RED - 18 CUBIC FOOT - MODEL NO. ATF1822MRH00 - NOT IN HOME AT TIME OF INSPECTION		NA
4	METAL FOUNTAIN SCULPTURE - BOY, GIRL, DOLPHIN 3'		\$275.00

TOTAL FOR EAST GARAGE

\$330.00

PATIO / POOL AREA

1	PATIO FURNITURE - WOODARD, BROWN POWDER COATED ALUMINUM WITH SLING SEATING - CONSISTING OF 2 DOUBLE GLIDERS - SERVING CART - 6 DINING ARM CHAIRS - OVAL DINING TABLE/UMBRELLA - 8 SWIVEL BAR STOOLS - 2 OTTOMAN - 4 CHAISE LOUNGES - 1 SIDE TABLES - ITEMS NOT PRESENT AT TIME OF INSPECTION (SEE BELOW)	\$1,200.00
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CART-ONE BAR STOOL-FOUR CHAISE-TWO SIDE TABLES



TOAL FOR PATIO POOL AREA

\$1,200.00

METAL STATUARY

1 2 METAL CRANES - 5' AND 6'

\$450.00



2 3 CHERUBS ON A SWAN

\$275.00



3 3 CHILDREN ON A LOG FISHING

\$725.00



4 ANGEL HOLDING FISH

\$125.00

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5 SEATED BOY ON STUMP WITH FISHING POLE \$275.00



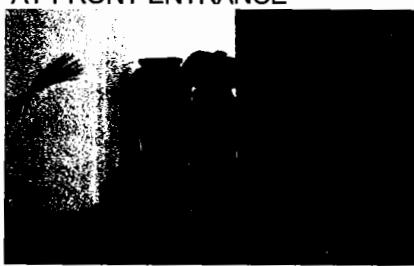
6 NUDE WOMAN ON CHAISE - LEONARDO ROSSI \$1,200.00



7 GOLFER HOLDING CLUBS AND LANTERN \$125.00



8 YOUNG GIRL DRESSED IN HER MOTHER'S CLOTHES - JIM DAVIDSON - LOCATED AT FRONT ENTRANCE \$175.00



PLANTERS

9 4 LARGE POTTERY WITH INCISED DECORATION \$200.00

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10 2 MEXICAN - ROUND \$100.00



11 3 ASSORTED CERAMIC FROGS AND TURTLE \$75.00



12 TALL POTTERY WITH LINE DECORATION - FRONT ENTRANCE \$50.00



13 POTTERY WITH FISH DECORATION \$50.00



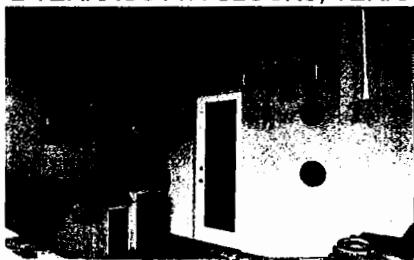
MISCELLANEOUS

14 CAST CEMENT PELICAN	\$100.00
15 METAL PEDESTAL	\$50.00
16 CAST CEMENT TURTLE	\$25.00
17 CURVED CEMENT AND TILE BENCH	\$50.00

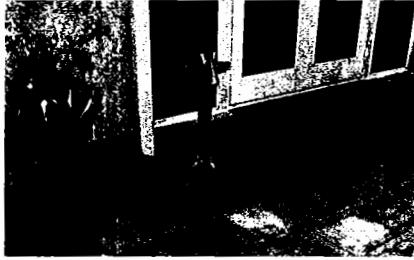
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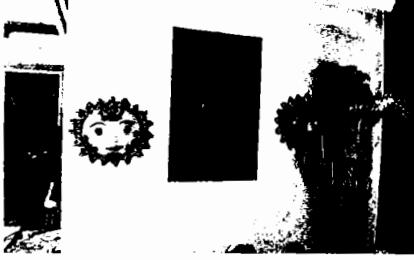
18 ASSORTED SILK FLOWERS - NO COMMERCIAL VALUE \$0.00
19 CEMENT SUCCULENT, 2 LADLES, 5 METAL WATERING CANS
2 TERRACOTTA CLOCKS, TERRAPOTTA POT \$75.00



20 MEXICAN CERAMIC GIRAFFE \$50.00



21 2 MEXICAN SUN PLAQUES \$50.00



22 2 PLASTIC POTS WITH SILK PLANT \$0.00
23 METAL ALLIGATOR - 7' \$750.00

TOTAL FOR STATUARY / ETC. \$4,975.00

**GARAGE - ITEMS STORED IN BOXES ALONG WITH ART WORK THAT I WAS
INFORMED HAD BEEN IN THE CONDO AT 2494 S. OCEAN BLVD, BOCA RATON, FL**

1 CUT CRYSTAL - LOW BOWL - INCISED FLORAL DESIGN - 2" X 11 1/4"-SIGNED GINORI \$15.00

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2 CERAMIC VASE - NARROW NECK BULBOUS SHAPE - ON BOTTOM
JACEINTO CHIROQUE CHULUEANOS 2001 -8" X 9" \$25.00



3 PAIR OF MARBLE BOOK ENDS - MOTTLED - BEIGE - HALF PEDESTAL - 7" X 2" X 5" \$30.00



4 BOWL - ORIENTAL STYLE - WHITE WITH SCALLOPED TOP 3 1/2" X 8" \$2.00



5 STEMWARE (4) - CURLED STEMS IN COLOR - 7 1/2" X 5" \$20.00



6 CLEAR GLASS VASE - 9" X 4
7 GREEN GLASS VASE - 9" X 5"

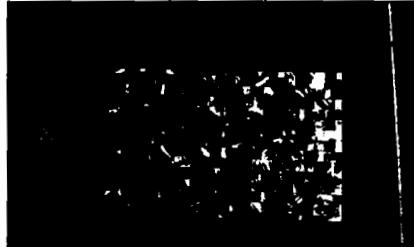
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8 7 - GLASSES IN DIFFERENT COLOR WITH LITTLE THUMB PRINT \$21.00



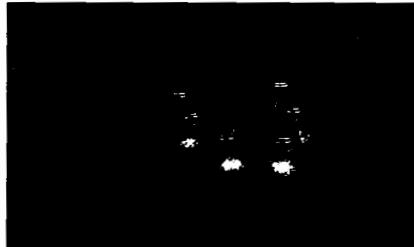
9 HOT PLATE (TRIVET)- MADE IN PHILLIPINES - MOTHER OF PEARL - 1" X 8" X 12"



10 1 BOTTLE - SILVER PALM CABERNET SAUVIGNON \$5.00



11 8 - SALT SHAKER - GLASS - 1 3/4" \$16.00



12 8 - CRYSTAL GLASSES GREEN ORB BASE - CLEAR TAPERED TOP - 5" X 4" \$40.00

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13 5 - STEM - WINE - PAINTED TULIP DESIGN - 7" \$25.00



14 1 CRYSTAL DECANTER - HORIZONTAL RIDGE \$8.00



15 1 RUBY GLASS CANDY COMPOTE - BRASS BASE - 7" \$10.00



16 CRYSTAL VASE - WITH FAUX FLOWER SET IN RESIN - 10" X 10" \$15.00



17 STEMWARE - LENOX - COLOR TOP - 8"
6 - CHAMPAIGNE - 1 BROKEN
5 - WINE _____ \$120.00

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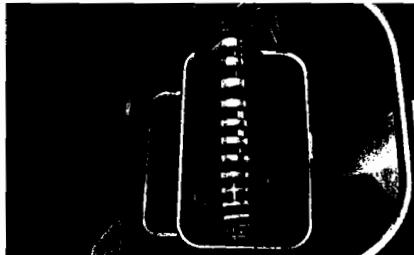
18 1 - BLOWN GLASS DISH - BLUE - 3" X 3" - K. DAHLGLASS \$10.00



19 1 - VASE - ART GLASS WITH PAINTED FLORAL DESIGN 15" X 8" \$5.00
20 1 - CRYSTAL VASE - TIFFANY - 10" X 6" \$25.00



21 1 - CRYSTAL VASE - YELLOW HORIZONTAL STRIPE - TRIANGULAR - 14" X 5 1/2" \$75.00
SIGNED CERREDSE - MURANO



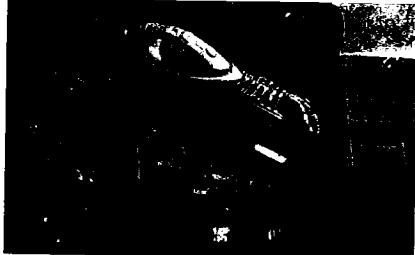
22 FLATWARE - TOWLE - SILVER PLATE - 18/10 - SET
23 SET OF FLATWARE - ONIEDA - 18/10



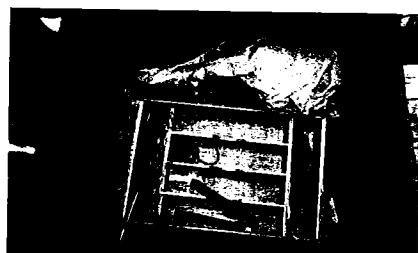
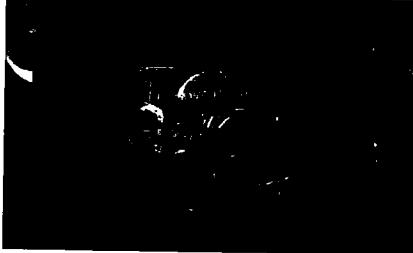
23 SCALE - HEALTH METER - FLOOR \$5.00
24 WOK \$2.00
25 CUISINART \$5.00

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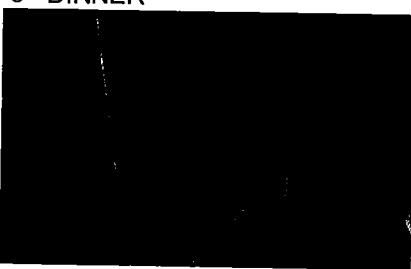
26	TEA KETTLE	\$2.00
27	2 - MIXING BOWLS	\$4.00
28	BRAUN COFFEE MACHINE	\$5.00
29	IRON	\$2.00



30	ALUMINUM FISH TRAY	\$5.00
31	SALAD SPINNER	\$1.00
32	BAKING TRAY	\$1.00
33	CURVED CONTAINER - ALUMINUM - RABBIT HANDLE	\$5.00
34	MARTINI MAKER	\$10.00

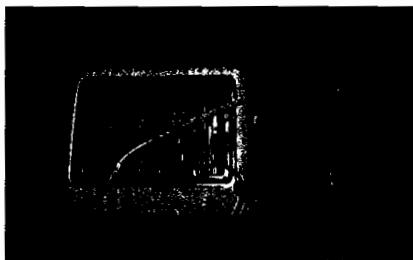


35	CHINA WARE BY LIMOGE - LAURE JAPEY - PARIS AQUERELLE	\$50.00
8	- BREAD & BUTTER PLATES	
8	- SOUPS	
8	- LUNCH	
8	- DINNER	



36	KNIFE BLOCK - 9 HEINKLES KNIVES	\$20.00
37	COLLANDER - STAINLESS	\$2.00
38	WOOD SALAD BOWL	\$5.00
39	CHINWARE - SUSAN WINSET - VARIOUS PIECES -	\$15.00
40	WATER PITCHER - ALUMINUM - ARTHUR COURT	\$35.00
41	LEAF TRAY - ALUMINUM - (OLIVES)	\$10.00
42	MOSAIC - VASE - CHINA	\$5.00
43	APPLE TRAY	\$5.00
44	ELECTRIC CAN OPENER - ARM MISSING	\$0.00
45	<u>4 SLICE TOASTER - CUISINART</u>	\$10.00

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46 1 - BOX OF TOWELS \$0.00



47 UNIVERSAL REMOTE CONTROL \$0.00
48 2 - THROW PILLOWS - WHITE & BLACK \$4.00
49 VASE - BLACK GLASS - 28" \$10.00
50 FISH TRAY - ARTHUR COURT - ALUMINUM - 23" X 12" \$50.00



51 ALUMINUM PLATE - NY ATHLETIC CLUB - 14 1/2" \$1.00
52 ALUMINUM TRAY - 1992 - MARIPOSA \$5.00
53 7 - MOUNTED TILE BY LINEAGE 19" X 12" X 19 1/2"
ARTIST - JAN RICHARDSON BAUGHMAN \$175.00



54 LARGE CANDLE \$1.00
55 WAY FLORAL BASKET \$5.00
56 FURNITURE CARE SET \$2.00
57 2 WOOD CUTTING BOARDS \$6.00
58 2 - CONTAINER \$4.00
59 STEP LADDER - ALUMINUM \$6.00
60 DECORATIVE PLATE - MADE IN CHINA - 20" \$2.00
61 SMALL BACARRAT CRYSTAL DISH - 1 1/2" X 3" \$20.00
62 METAL TISSUE BOX HOLDER \$4.00
63 3 FOLDING BRIDGE CHAIRS - RATTAN - \$30.00

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64 WOOD FOLDING CABINET - PARTICAL - 60" X 26" CLOSED \$5.00



65 CHILD'S BICYCLE - PINK \$20.00



66 TOWEL RACK - STAINLESS- 6' \$5.00

67 HAND HELD ELECTRIC CAN OPENER \$2.00

68 ACRYLIC PAINTING ON CANVAS - ABSTRACT YELLOW SQUARES - 5' X 3' \$75.00

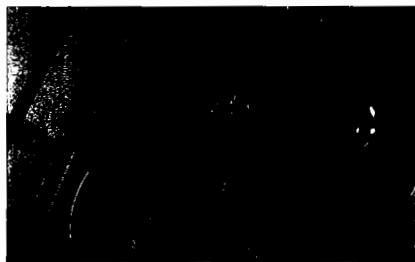


69 ORIENTAL RUG - SILK BLEND - 5' X 8' \$200.00

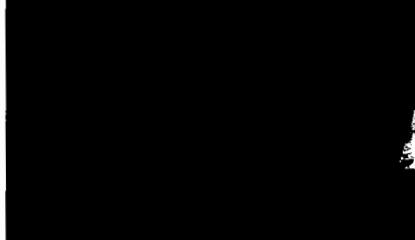


70 ORIENTAL RUG - WOOL - \$100.00

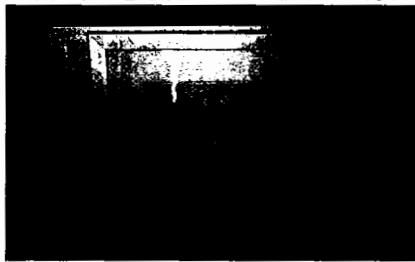
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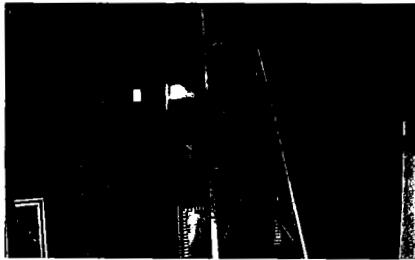
71 6 - GOLF PUTTERS \$60.00
72 2 FRAMED GRAPHICS - SURREALISTIC STYLE - ON BACK TAG-GOODMAN - 35" X 31" \$15.00



73 2 FRAMED PRINTS - ABSTRACT - BLUE - 19" X 20" \$30.00



74 1 FRAMED GRAPHIC - ABSTRACT - 31" X 32" \$10.00
75 1 BRONZE TONE CRYSTAL VASE - BULBOUS - 18' X 14" \$15.00
76 1 - 4 DRAWER FILE CABINET - LETTER SIZE
77 MITSUBISHI ELECTRIC GOLF TRAINER
78 EXECUTIVE CHAIR
79 1 - 8' ALUMINUM LADDER \$10.00
\$20.00



80 1 - TOOL BOX WITH 20 HAND TOOLS \$15.00



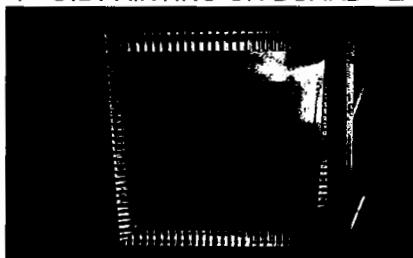
81 1 - OIL PAINTING ON BOARD - LOWER LEFT - RIVER BEND - LOWER LEFT - \$125.00

Estate of Simon
L. Bernstein

KIRT G BROWN - 36" X 49"



82	1 - LITHOGRAPH FRAMED ABSTRACT - LOWER RIGHT - J. BAUGHMAN - 2000 45" X 36"	\$25.00
83	3 - FRAMED- GRAPHIC - GEOMETRICS - LOWER RIGHT - ILLEGIBLE - 22" X 15"	\$45.00
84	2 - MIXED MEDIA - FRAMED - SHIFTING GEARS - ART - S. HILE 16" X 16" SIGHT SIZE	\$60.00
85	1 - OIL PAINTING ON BOARD - LANDSCAPE - "FOREVER" -KIRT G BROWN - 31" X 29"	\$75.00



86	2 PAINTINGS - PICASSO STYLE IMAGES -18" X 14" SIGHT SIZE IN WOOD FRAMES WITH MATTING-LOWER IGBT LARRY LASLO	\$500.00
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ITEMS IN GARAGE FROM CONDO \$2,408.00

LIGHTING FIXTURES IN HOME

1	CHANDELIER IN STAIRWELL 2' X 3' BRONZE WITH MARBLE - 3 TIER -	\$500.00
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2	CHANDELIER(S) IN DINING ROOM 2 - CHANDELIER - WOOD CENTER HOLLOW GLASS ARMS - 6 ARMS - 34" X 24"	\$450.00
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Estate of Simon
L. Bernstein



3 CHANDELIER IN FOYER	\$375.00
INVERTED DISH SHAPE OF WHAT APPEARS TO BE FAUX MARBLE	
TOTAL FOR LIGHTING FIXTURES	\$1,325.00

ROOM TOTALS:

FOYER	\$2,305.00
LIVING AREA	\$4,555.00
POWDER ROOM	\$155.00
DINING AREA	\$4,500.00
KITCHEN	\$1,825.00
FAMILY ROOM	\$8,062.00
POWDER ROOM	\$125.00
WEST HALL	\$1,200.00
1ST SLOOR NW GUEST BEDROOM	\$535.00
1ST FLOOR WEST GUEST BEDROOM	\$585.00
EAST SITTING ROOM	\$1,115.00
STAIRWELL	\$75.00
STUDY	\$2,140.00
STUDY BALCONY	\$55.00
UPSTAIRS WEST HALL	\$175.00
UPSTAIRS BATH/CLOSET	\$307.00
WEST UPSTAIRS BEDROOM /MASTER BEDROOM	\$2,110.00
NORTH UPSTAIRS GUEST BEDROOM	\$265.00
SOUTH UPSTAITS GUEST BEDROOM	\$495.00
WEST GARAGE	\$2,800.00
EAST GARAGE	\$330.00
PATIO / POOL AREA	\$1,200.00
TOTAL FOR STATUARY/ ETC.(OUTSIDE)	\$4,975.00
TOTAL FOR 7020 LIONS HEAD ROAD	\$39,889.00
ITEMS STORED IN GARAGE THAT HAD BEEN AT 2494 S. OCEAN BLVD	\$2,408.00
TOTAL FOR LIGHTING FIXTURES IN 7020 LIONS HEAD ROAD	\$1,326.00
TOTAL:	\$43,623.00

12



American Home Assurance Co.
Name of Issuing Company

Renewal Declarations Page

Declarations Page

Your Declarations Page shows at a glance the coverage you have and your premium. Your Declarations Page is part of your policy. Please read your policy carefully, including your Declarations Page and any attached Endorsements, for a description of your coverage.

Policy Number:

PCG 0001332360

Policy Period: 08/10/2009 - 08/10/2010

At 12:01 A.M. standard time at your mailing address shown below

Name of Insured and Mailing Address:

Simon & Shirley Bernstein
7020 Lions Head Lane
Boca Raton, FL 33496

Agency Name, Address, Phone # & Code:

MarketScout Corporation-II
40 W222 LaFox Rd Suite Q2
St. Charles, IL 60175

(630) 377-9430

0053923

YOU WILL BE BILLED SEPARATELY FOR ANY PREMIUM DUE.

The kind of losses that are covered and any special limits or deductibles that apply are explained in detail in your Policy.

Summary of Coverage

Class	Scheduled Items Amount of Coverage	Blanket Items Amount of Coverage	Blanket Items Single Article Limit	Premium
JEWELRY				

Citizens Property Insurance Corporation Emergency Assessment: \$80.00

Total Premium: \$8,061.00

ENDORSEMENTS ATTACHED TO THIS POLICY:

PCP (03/06), PCG-GLBA (03/06), PCP-AEFL (03/06)

Schedule of Items

Endorsement Effective Date : 08/10/09

Policy Number: PCG 0001332360

JEWELRY

Item Description	Amount Insured
1 1 LDS 18K YG DIA BANGLE BRACELET 4.70 CT	\$22,045
2 LDS 18K YG ROLEX WATCH PRESIDENT MODEL	\$12,175
3 LDS 18K YGDIAMOND AND PEARL RING	\$14,995
4 1 PR 18K YG DIAMOND & PEARLEARRINGS 1.50 CTS	\$16,406
5 18K YG & WG DIAMOND RING	\$19,097
6 18K WG DIAMOND & JADE RING SET	\$4,357
7 18K YG & PLAT DIAMOND RUBY SAPP EMERALD RING	\$16,406
8 18K YG LAPIS & DIAMOND MARQUISE SHAPE	\$3,460
9 18K YG PLAT DIA DOME RING 71 ROUND DIAMONDS	\$9,869
10 PR 18K YG DIAMOND HOOP EARRINGS 4.48 CTS	\$13,842
11 18K YG AND WG MOBE PEARL & DIAMOND RING	\$2,820
12 18K YG PLAT MULTI COLOR DIAMOND CLUSTER RING	\$30,503
13 14K YG PLAT DIAMOND CLUSTER RING 2.25 CTS	\$6,023
14 18K YG & WG BLACK OPAL & DIAMOND RING	\$23,454
15 18K YG 3/8" WIDE DOG COLLAR NECKLACE 38.5 DWT	\$3,717
16 18K YG GARNET & DIAMOND PENDANT	\$13,202
17 18K YG COMMEMORATIVE ISRAEL MEDALLION PEND WATCH	\$8,587
18 18K WG CHOPARD LDS DIA BANGLE BRACELET WATCH	\$17,302
19 18K YG & DIAMOND W/ GREEN ENAMEL BANGLE BRACELET	\$7,691
20 18K YG BANGLE BRACELET SET W/ OPALS RUBY SAP DIA	\$8,587
21 18K YG 27" OVAL AND TWIST LINK NECKLACE	\$3,460
22 18K YG TWISTED LINK& ROPE BAR LINK NKLC 15 1/2	\$3,844
23 18K YG & WG 36" DOUBLE ROPE CIRCLE WG ROPE SQUARE	\$4,486
24 1 JADE BEAD NECKLACE 1 JADE PENDANT	\$6,153
25 LADIES DIAMOND RING 1 ROUND 4.77 CT & 2 TAPERED BAGUETTE .60 TOTAL WEIGHT SET INPLATINUM	\$51,652
26 ONE PAIR DIA/PLATINUM EARRINGS 2.07 CTW H/SI	\$17,174
27 18K DIAMOND NECKLACE	\$12,303
28 18KT LADIES DIAMOND NECKLACE SET WITH ROUND BRILLIANT CUT PAVE DIAMONDS 5.81 CTSVS1 CLARITY, F-G COLOR	\$13,072
29 RING SET IN PLATINUM, MTG RECTANGLE CUT DIAMOND 7.17 CARAT WEIGHT, COLOR H, CLARITY SI-2, WITH TWO TRILLIANTS DIAMONDS 1.45 CARAT	\$247,250

TOTAL JEWELRY AMOUNT COVERED \$613,932

Schedule of Items

Endorsement Effective Date : 08/10/09

Policy Number: PCG C001332360

JEWELRY

Item Description	Amount Insured
1. 1 LGS 18K YG DIA BANGLE BRACELET 4.70 CT - MISSING	\$22,045
MISSING 2. LGS 18K YG ROLEX WATCH PRESIDENT MODEL - MISSING	\$12,175
3. LGS 18K YG DIAMOND AND PEARL RING - MISSING	\$14,895
4. 1 FR 18K YG DIAMOND & PEARL EARRINGS 1.60 CTS - MISSING	\$16,406
5. 18K YG & WG DIAMOND RING - missing	\$18,087
6. 18K WG DIAMOND & JADE RING SET - missing	\$4,357
7. 18K YG & PLAT DIAMOND RUBY SAPPH EMERALD RING - missing	\$18,406
8. 18K YG LAPIS & DIAMOND MARQUISE SHAPE - MISSING	\$3,465
9. 18K YG PLAT DIA DOME RING 71 ROUND DIAMONDS - missing	\$2,889
10. PR 18K YG DIAMOND HOOP EARRINGS 4.48 CTS - MISSING	\$13,842
11. 18K YG AND WG MOBE PEARL & DIAMOND RING - MISSING	\$2,520
12. 18K YG PLAT MULTI COLOR DIAMOND CLUSTER RING - missing	\$30,503
13. 14K YG PLAT DIAMOND CLUSTER RING 2.25 CTS - missing	\$3,028
14. 18K YG & WG BLACK OPAL & DIAMOND RING - MISSING	\$23,484
15. 18K YG 3 3/8" WIDE DOG COLLAR NECKLACE 38.5 DWT - MISSING	\$3,717
16. 18K YG GARNET & DIAMOND PENDANT - missing	\$13,202
17. 18K YG COMMEMORATIVE ISRAEL MEDALLION PEND WATCH ON INVENTORY	\$8,587
18. 18K WG CHOPARD LGS DIA BANGLE BRACELET WATCH - missing	\$17,302
19. 18K YG & DIAMOND W/ GREEN ENAMEL BANGLE BRACELET - MISSING	\$7,691
20. 18K YG BANGLE BRACELET SET W/ OPALS RUBY SAP DIA - MISSING	\$6,587
21. 18K YG 27" OVAL AND TWIST LINK NECKLACE - MISSING	\$3,465
22. 18K YG TWISTED LINK & POPE BAR LINK NECKLACE 15 1/2 - MISSING	\$3,344
23. 18K YG & WG 36" DOUBLE ROPE CIRCLE WG ROPE SQUARE - MISSING	\$4,486
24. 1 JADE BEAD NECKLACE 1 JADE PENDANT - missing	\$8,153
25. LADIES DIAMOND RING 1 ROUND 4.77 CT & 2 TAPERED BAGUETTE .60 TOTAL WEIGHT SET IN PLATINUM (MISSING, not same)	\$51,682
26. ONE PAIR DIA/PLATINUM EARRINGS 2.07 CTW H.SI - MISSING	\$17,074
27. 18K DIAMOND NECKLACE - missing	\$12,305
28. 18KT LADIES DIAMOND NECKLACE SET, WITH ROUND BRILLIANT CUT PAVE DIAMONDS 5.81 CTS VS1 CLARITY, F/G COLOR - MISSING	\$13,072
29. RING SET IN PLATINUM, MTG RECTANGLE CUT DIAMOND 7.17 CARAT WEIGHT, COLOR H, CLARITY SI 2, WITH TWO TRILLIANTS DIAMONDS 1.45 CARAT - missing (NOT SAME)	\$247,250
TOTAL JEWELRY AMOUNT COVERED	\$613,932

- 8,587

• 605,345.00
(MISSING)
SCAND R/T

13

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ROBERT L. SPALLINA, et al.,

Defendants.

CONSENT OF DEFENDANT ROBERT L. SPALLINA

1. Defendant Robert L. Spallina ("Defendant") waives service of a summons and the complaint in this action, enters a general appearance, and admits the Court's jurisdiction over Defendant and over the subject matter of this action.

2. Defendant has agreed to plead guilty to criminal conduct relating to certain matters alleged in the complaint in this action and acknowledges that his conduct violated the federal securities laws. Specifically, Defendant has agreed to plead guilty to a one count information which charges him with committing securities fraud involving insider trading in the securities of Pharmasset, Inc. in a matter to be filed in the United States District Court for the District of New Jersey (the "Criminal Action").

3. Defendant hereby consents to the entry of the Final Judgment in the form attached hereto (the "Final Judgment") and incorporated by reference herein, which, among other things:

- (a) permanently restrains and enjoins Defendant from violation of Sections 10(b) and 14(e) of the Securities Exchange Act of 1934 ("Exchange Act")

[15 U.S.C. §§ 78j(b) and 78n(e)] and Rules 10b-5 and 14e-3 thereunder

[17 C.F.R. §§ 240.10b-5 and 240.14e-3];

- (b) orders Defendant to pay disgorgement in the amount of \$39,156, plus prejudgment interest thereon in the amount of \$1,794; provided, however, that \$39,156 shall be deemed satisfied in light of Defendant's consent to the entry of a forfeiture money judgment in the amount of \$39,156 in connection with the Criminal Action; and
- (c) orders Defendant to pay a civil penalty in the amount of \$39,156 under Section 21A of the Exchange Act [15 U.S.C. § 78u-1].

4. Defendant agrees that he shall not seek or accept, directly or indirectly, reimbursement or indemnification from any source, including but not limited to payment made pursuant to any insurance policy, with regard to any civil penalty amounts that Defendant pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors. Defendant further agrees that he shall not claim, assert, or apply for a tax deduction or tax credit with regard to any federal, state, or local tax for any penalty amounts that Defendant pays pursuant to the Final Judgment, regardless of whether such penalty amounts or any part thereof are added to a distribution fund or otherwise used for the benefit of investors.

5. Defendant waives the entry of findings of fact and conclusions of law pursuant to Rule 52 of the Federal Rules of Civil Procedure.

6. Defendant waives the right, if any, to a jury trial and to appeal from the entry of the Final Judgment.

7. Defendant enters into this Consent voluntarily and represents that no threats, offers, promises, or inducements of any kind have been made by the Commission or any member, officer, employee, agent, or representative of the Commission to induce Defendant to enter into this Consent.

8. Defendant agrees that this Consent shall be incorporated into the Final Judgment with the same force and effect as if fully set forth therein.

9. Defendant will not oppose the enforcement of the Final Judgment on the ground, if any exists, that it fails to comply with Rule 65(d) of the Federal Rules of Civil Procedure, and hereby waives any objection based thereon.

10. Defendant waives service of the Final Judgment and agrees that entry of the Final Judgment by the Court and filing with the Clerk of the Court will constitute notice to Defendant of its terms and conditions. Defendant further agrees to provide counsel for the Commission, within thirty days after the Final Judgment is filed with the Clerk of the Court, with an affidavit or declaration stating that Defendant has received and read a copy of the Final Judgment.

11. Consistent with 17 C.F.R. § 202.5(f), this Consent resolves only the claims asserted against Defendant in this civil proceeding. Defendant acknowledges that no promise or representation has been made by the Commission or any member, officer, employee, agent, or representative of the Commission with regard to any criminal liability that may have arisen or may arise from the facts underlying this action or immunity from any such criminal liability. Defendant waives any claim of Double Jeopardy based upon the settlement of this proceeding, including the imposition of any remedy or civil penalty herein. Defendant further acknowledges that the Court's entry of a permanent injunction may have collateral consequences under federal or state law and the rules and regulations of self-regulatory organizations, licensing boards, and

other regulatory organizations. Such collateral consequences include, but are not limited to, a statutory disqualification with respect to membership or participation in, or association with a member of, a self-regulatory organization. This statutory disqualification has consequences that are separate from any sanction imposed in an administrative proceeding. In addition, in any disciplinary proceeding before the Commission based on the entry of the injunction in this action, Defendant understands that he shall not be permitted to contest the factual allegations of the complaint in this action.

12. Defendant understands and agrees to comply with the terms of 17 C.F.R. § 202.5(e), which provides in part that it is the Commission's policy "not to permit a defendant or respondent to consent to a judgment or order that imposes a sanction while denying the allegations in the complaint or order for proceedinga." As part of Defendant's agreement to comply with the terms of Section 202.5(e), Defendant acknowledges that he has agreed to plead guilty for related conduct as described in paragraph 2 above, and: (i) will not take any action or make or permit to be made any public statement denying, directly or indirectly, any allegation in the complaint or creating the impression that the complaint is without factual basis; (ii) will not make or permit to be made any public statement to the effect that Defendant does not admit the allegations of the complaint, or that this Consent contains no admission of the allegations; (iii) upon the filing of this Consent, Defendant hereby withdraws any papers filed in this action to the extent that they deny any allegation in the complaint; and (iv) stipulates for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. §523, that the allegations in the complaint are true, and further, that any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under the Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this

proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. §523(a)(19). If Defendant breaches this agreement, the Commission may petition the Court to vacate the Final Judgment and restore this action to its active docket. Nothing in this paragraph affects Defendant's: (i) testimonial obligations; or (ii) right to take legal or factual positions in litigation or other legal proceedings in which the Commission is not a party.

13. . Defendant hereby waives any rights under the Equal Access to Justice Act, the Small Business Regulatory Enforcement Fairness Act of 1996, or any other provision of law to seek from the United States, or any agency, or any official of the United States acting in his or her official capacity, directly or indirectly, reimbursement of attorney's fees or other fees, expenses, or costs expended by Defendant to defend against this action. For these purposes, Defendant agrees that Defendant is not the prevailing party in this action since the parties have reached a good faith settlement.

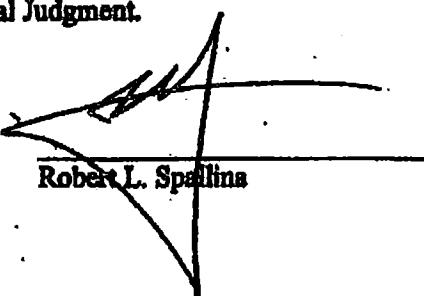
14. In connection with this action and any related judicial or administrative proceeding or investigation commenced by the Commission or to which the Commission is a party, Defendant (i) agrees to appear and be interviewed by Commission staff at such times and places as the staff requests upon reasonable notice; (ii) will accept service by mail or facsimile transmission of notices or subpoenas issued by the Commission for documents or testimony at depositions, hearings, or trials, or in connection with any related investigation by Commission staff; (iii) appoints Defendant's undersigned attorney as agent to receive service of such notices and subpoenas; (iv) with respect to such notices and subpoenas, waives the territorial limits on service contained in Rule 45 of the Federal Rules of Civil Procedure and any applicable local

rules, provided that the party requesting the testimony reimburses Defendant's travel, lodging, and subsistence expenses at the then-prevailing U.S. Government per diem rates; and (v) consents to personal jurisdiction over Defendant in any United States District Court for purposes of enforcing any such subpoena.

15. Defendant agrees that the Commission may present the Final Judgment to the Court for signature and entry without further notice.

16. Defendant agrees that this Court shall retain jurisdiction over this matter for the purpose of enforcing the terms of the Final Judgment.

Dated: 9/16/15


Robert L. Spallina

On Sept 16, 2015, Robert Spallina, a person known to me, personally appeared before me and acknowledged executing the foregoing Consent.


Alexa Collevechio
Notary Public
Commission expires:

Approved as to form:



Lawrence S. Lustberg, Esquire
Gibbons P.C.
One Gateway Center
Newark, NJ 07102-5310
Counsel for Robert L. Spallina



Alexa Collevechio
COMMISSION # FPA5402
EXPIRED: December 29, 2018
www.AAPDNOTARY.COM

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ROBERT L. SPALLINA, et al.,

Defendants.

FINAL JUDGMENT AS TO DEFENDANT ROBERT L. SPALLINA

The Securities and Exchange Commission having filed a Complaint and Defendant Robert L. Spallina having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; waived any right to appeal from this Final Judgment; and Defendant having admitted the facts set forth in the Consent of Robert L. Spallina and acknowledged that his conduct violated the federal securities laws:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

- (a) purchasing or selling or causing to be purchased or sold the securities sought or to be sought in such tender offer, securities convertible into or exchangeable for any such securities or any option or right to obtain or dispose of any of the foregoing securities while in possession of material information relating to such tender offer that Defendant knows or has reason to know is nonpublic and knows or has reason to know has been

acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee or other person acting on behalf of the offering person or such issuer, unless within a reasonable time prior to any such purchase or sale such information and its source are publicly disclosed by press release or otherwise; or

- (b) communicating material, nonpublic information relating to a tender offer, which Defendant knows or has reason to know is nonpublic and knows or has reason to know has been acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee, advisor, or other person acting on behalf of the offering person of such issuer, to any person under circumstances in which it is reasonably foreseeable that such communication is likely to result in the purchase or sale of securities in the manner described in subparagraph (a) above, except that this paragraph shall not apply to a communication made in good faith

- (i) to the officers, directors, partners or employees of the offering person, to its advisors or to other persons, involved in the planning, financing, preparation or execution of such tender offer;
 - (ii) to the issuer whose securities are sought or to be sought by such tender offer, to its officers, directors, partners, employees or advisors or to other persons involved in the

planning, financing, preparation or execution of the activities of the issuer with respect to such tender offer; or

(iii) to any person pursuant to a requirement of any statute or rule or regulation promulgated thereunder.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$39,156, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$1,794; provided, however, that \$39,156 shall be deemed satisfied in light of Defendant's consent to the entry of a forfeiture money judgment in the amount of \$39,156 in connection with the resolution of a parallel criminal action instituted in this Court; and a civil penalty in the amount of \$39,156 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$40,950 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Robert L. Spallina as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this

Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated:

Sept 29, 2015



UNITED STATES DISTRICT JUDGE

IN THE UNITED STATES DISTRICT COURT
FOR THE DISTRICT OF NEW JERSEY

SECURITIES AND EXCHANGE COMMISSION,

Plaintiff,

v.

ROBERT L. SPALLINA, et al.,

Defendants.

FINAL JUDGMENT AS TO DEFENDANT ROBERT L. SPALLINA

The Securities and Exchange Commission having filed a Complaint and Defendant Robert L. Spallina having entered a general appearance; consented to the Court's jurisdiction over Defendant and the subject matter of this action; consented to entry of this Final Judgment; waived findings of fact and conclusions of law; waived any right to appeal from this Final Judgment; and Defendant having admitted the facts set forth in the Consent of Robert L. Spallina and acknowledged that his conduct violated the federal securities laws:

I.

IT IS HEREBY ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating, directly or indirectly, Section 10(b) of the Securities Exchange Act of 1934 (the "Exchange Act") [15 U.S.C. § 78j(b)] and Rule 10b-5 promulgated thereunder [17 C.F.R. § 240.10b-5], by using any means or

instrumentality of interstate commerce, or of the mails, or of any facility of any national securities exchange, in connection with the purchase or sale of any security:

- (a) to employ any device, scheme, or artifice to defraud;
- (b) to make any untrue statement of a material fact or to omit to state a material fact necessary in order to make the statements made, in the light of the circumstances under which they were made, not misleading; or
- (c) to engage in any act, practice, or course of business which operates or would operate as a fraud or deceit upon any person.

II.

IT IS HEREBY FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant and Defendant's agents, servants, employees, attorneys, and all persons in active concert or participation with them who receive actual notice of this Final Judgment by personal service or otherwise are permanently restrained and enjoined from violating Section 14(e) of the Exchange Act [15 U.S.C. § 78n(e)] and Rule 14e-3 [17 C.F.R. § 240.14e-3] promulgated thereunder, in connection with any tender offer or request or invitation for tenders, from engaging in any fraudulent, deceptive, or manipulative act or practice, by:

- (a) purchasing or selling or causing to be purchased or sold the securities sought or to be sought in such tender offer, securities convertible into or exchangeable for any such securities or any option or right to obtain or dispose of any of the foregoing securities while in possession of material information relating to such tender offer that Defendant knows or has reason to know is nonpublic and knows or has reason to know has been

acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee or other person acting on behalf of the offering person or such issuer, unless within a reasonable time prior to any such purchase or sale such information and its source are publicly disclosed by press release or otherwise; or

- (b) communicating material, nonpublic information relating to a tender offer, which Defendant knows or has reason to know is nonpublic and knows or has reason to know has been acquired directly or indirectly from the offering person; the issuer of the securities sought or to be sought by such tender offer; or any officer, director, partner, employee, advisor, or other person acting on behalf of the offering person of such issuer, to any person under circumstances in which it is reasonably foreseeable that such communication is likely to result in the purchase or sale of securities in the manner described in subparagraph (a) above, except that this paragraph shall not apply to a communication made in good faith

- (i) to the officers, directors, partners or employees of the offering person, to its advisors or to other persons, involved in the planning, financing, preparation or execution of such tender offer;
 - (ii) to the issuer whose securities are sought or to be sought by such tender offer, to its officers, directors, partners, employees or advisors or to other persons involved in the

planning, financing, preparation or execution of the activities of the issuer with respect to such tender offer; or

(iii) to any person pursuant to a requirement of any statute or rule or regulation promulgated thereunder.

III.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that Defendant is liable for disgorgement of \$39,156, representing profits gained as a result of the conduct alleged in the Complaint, together with prejudgment interest thereon in the amount of \$1,794; provided, however, that \$39,156 shall be deemed satisfied in light of Defendant's consent to the entry of a forfeiture money judgment in the amount of \$39,156 in connection with the resolution of a parallel criminal action instituted in this Court; and a civil penalty in the amount of \$39,156 pursuant to Section 21A of the Exchange Act [15 U.S.C. § 78u-1]. Defendant shall satisfy this obligation by paying \$40,950 to the Securities and Exchange Commission within 14 days after entry of this Final Judgment.

Defendant may transmit payment electronically to the Commission, which will provide detailed ACH transfer/Fedwire instructions upon request. Payment may also be made directly from a bank account via Pay.gov through the SEC website at <http://www.sec.gov/about/offices/ofm.htm>. Defendant may also pay by certified check, bank cashier's check, or United States postal money order payable to the Securities and Exchange Commission, which shall be delivered or mailed to

Enterprise Services Center
Accounts Receivable Branch
6500 South MacArthur Boulevard
Oklahoma City, OK 73169

and shall be accompanied by a letter identifying the case title, civil action number, and name of this Court; Robert L. Spallina as a defendant in this action; and specifying that payment is made pursuant to this Final Judgment.

Defendant shall simultaneously transmit photocopies of evidence of payment and case identifying information to the Commission's counsel in this action. By making this payment, Defendant relinquishes all legal and equitable right, title, and interest in such funds and no part of the funds shall be returned to Defendant. The Commission shall send the funds paid pursuant to this Final Judgment to the United States Treasury.

The Commission may enforce the Court's judgment for disgorgement and prejudgment interest by moving for civil contempt (and/or through other collection procedures authorized by law) at any time after 14 days following entry of this Final Judgment. Defendant shall pay post judgment interest on any delinquent amounts pursuant to 28 U.S.C. § 1961.

IV.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that the Consent is incorporated herein with the same force and effect as if fully set forth herein, and that Defendant shall comply with all of the undertakings and agreements set forth therein.

V.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that, for purposes of exceptions to discharge set forth in Section 523 of the Bankruptcy Code, 11 U.S.C. § 523, the allegations in the Complaint are true and admitted by Defendant, and further, any debt for disgorgement, prejudgment interest, civil penalty or other amounts due by Defendant under this

Final Judgment or any other judgment, order, consent order, decree or settlement agreement entered in connection with this proceeding, is a debt for the violation by Defendant of the federal securities laws or any regulation or order issued under such laws, as set forth in Section 523(a)(19) of the Bankruptcy Code, 11 U.S.C. § 523(a)(19).

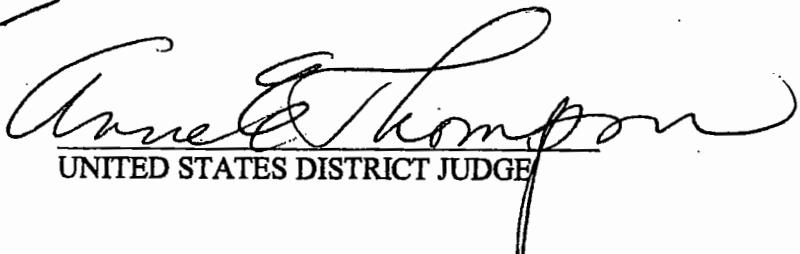
VI.

IT IS FURTHER ORDERED, ADJUDGED, AND DECREED that this Court shall retain jurisdiction of this matter for the purposes of enforcing the terms of this Final Judgment.

VII.

There being no just reason for delay, pursuant to Rule 54(b) of the Federal Rules of Civil Procedure, the Clerk is ordered to enter this Final Judgment forthwith and without further notice.

Dated:

Sept 29 2015 
Anne E. Thompson
UNITED STATES DISTRICT JUDGE

14

1 BY MR. BERNSTEIN:

2 Q. Are you a convicted felony?

3 THE COURT: Let's back up a second.

4 MR. BERNSTEIN: Yes, sir.

5 THE COURT: When you're asking for a ruling,
6 and I make one, then we're going to have the
7 witness answer.

8 MR. BERNSTEIN: Okay.

9 THE COURT: I made my ruling. I'm letting the
10 witness answer your earlier question, unless you're
11 withdrawing it. Are you withdrawing your earlier
12 question?

13 MR. BERNSTEIN: No.

14 THE COURT: You can answer the question, which
15 is, did you plead to a felony?

16 MR. BERNSTEIN: Sorry, sir.

17 THE WITNESS: I have not.

18 THE COURT: Okay. Next question.

19 BY MR. BERNSTEIN:

20 Q. Have you pled guilty to a misdemeanor?

21 A. I have not.

22 Q. Were you involved in a insider trading case?

23 MR. ROSE: Objection. Relevance.

24 THE COURT: Sustained. Next question.

25 MR. BERNSTEIN: Does that mean he doesn't have