

DISCLOSURES

A personal investment proposal for
(Client Name)
(Date)

prepared by (Advisor Name)
phone (555.555.5555)
email (advisor@stanfordeagle.com)

The Total Portfolio Index used in this presentation is weighted with the same asset mix as the recommended Total Portfolio. The index for each asset class is:

Large-Cap Blend	S&P 500	Small-Cap Blend	Russell 2000
Large-Cap Growth	Russell 1000 Growth	Small-Cap Growth	Russell 2000 Growth
Large-Cap Value	Russell 1000 Value	Small-Cap Value	Russell 2000 Value
All-Cap Blend	Russell 3000	Mid-Cap Blend	Russell Mid-Cap
All-Cap Growth	Russell 3000 Growth	Mid-Cap Growth	Russell Mid-Cap Growth
All-Cap Value	Russell 3000 Value	Mid-Cap Value	Russell Mid-Cap Value
Mid-Cap Blend	Russell 2500	Cash	Salomon 3-Month T-Bill
Small-Cap Growth	Russell 2000 Growth	Certificate of Deposit	6-Month Jumbo CD Index
Small-Cap Value	Russell 2000 Value	Fixed Income	Lehman Bros. Govt/Credit
Fund of Funds	HFRI Fund of Funds Index	Commodities	Goldman Sachs Commodity Index

Calculation of historical returns for the Total Portfolio and Total Portfolio Index are based on the proposed asset allocation and uses the same allocation for each historical period. Historical periods for money managers are calendar quarters and calendar months for mutual funds. In cases where mutual funds and money managers are both utilized, the historical periods are calendar quarters. These Hypothetical Historical Performance returns are Net of manager fees only, and does not account for fees wrapped of any associated account. The Hypothetical Historical Performance allocation assumes a Quarterly re-balance. These are not actual current portfolios, but hypothetical historical allocation based on the allocation assumptions made in the proposal. Actual performance can and will vary, past performance is not an indication of any future results.

The annualized Total Returns in this report are gross of fees.

SAM Global portfolio performances based on the historic Salomon Brothers 5-Year Treasury Index yield plus 250bps respectively.

These results do not reflect a deduction of the wrap account fee, which is inclusive of the advisory fee to the manager, commissions charged on transactions, and fees for related services. The composition of gross returns varies by manager, but for most managers the returns do not reflect a deduction of the advisory fee charged and are net of commissions charged on transactions.

When comparing the performance of different managers, keep in mind that there may be differences in the investment styles of managers even though they may be grouped in the same asset category. The choice of any money manager should not be based on performance alone. Other factors must be taken into consideration including your investment objective, risk tolerance, and investment time horizon. Actual results may differ from the performance shown herein.

Indices are unmanaged and are not subject to fee or commissions/transaction costs.

The information contained herein is based on data from multiple sources. Stanford Group makes no representation as to its accuracy or completeness nor should it be considered as the sole basis for investment decisions.

Past performance and the investment return and principal value of an investment may fluctuate so that upon liquidation, it may be worth more or less than its original cost.

The hypothetical calculations are based upon quarterly performance data. This is for illustrative purposes only. Past performance is no guarantee of future results. The charts and graphs assume an initial investment of \$1,000,000 over a 10-year time horizon with dividends and capital gains reinvested.

Fixed income rates may be fixed at the time of issuance, and payment of principal and interest is guaranteed by the issuer, and, in the case of U.S. Treasury obligations, backed by the full faith and credit of the U.S. Treasury. The market value of such obligations will generally fluctuate inversely with interest rates and other market conditions prior to maturity and will equal par value at maturity. The value of Treasury bonds will fluctuate more than Treasury bills since maturities are longer. The market value of stocks will fluctuate with market conditions. All fixed income investments, including Treasury bonds, are subject to day-to-day market value fluctuations. If sold prior to maturity, they could be worth more, or less, or just the same as your initial investment. Specified municipal issues may be subject to the Alternative Minimum Tax.

Standard Deviation (Std. Dev): This indicates the volatility of total returns as measured against its mean performance. Standard deviation is investment specific, not compared against a market index. In general, the higher the standard deviation, the greater the volatility or risk.

Any mutual funds listed in this report are sold by prospectus only. This presentation is not a solicitation or recommendation to purchase any of the mutual funds listed. Please contact your Financial Advisor for a prospectus containing more complete information, including charges and expenses. Please read the prospectus carefully before investing or sending money.



STANFORD INTERNATIONAL
BANK LTD.

A personal investment proposal for
(Client Name)
(Date)

prepared by (Advisor Name)
phone (555.555.5555)
email (advisor@stanfordeagle.com)

General Terms & Conditions

The Bank's General Terms and Conditions, as set forth herein shall govern the relationship between the Depositor and the Bank.

1. The Bank agrees to open any account, upon its acceptance of the Depositor's Account Application and receipt of the minimum required deposit together with such other documentation as may be required. As used in these Terms and Conditions, the singular term "Depositor" shall mean the owner of the account and in the case of joint accounts or partnerships, unincorporated associations or unincorporated business accounts, shall refer to each of the signatories on the account.
2. The Bank reserves the right, at its sole discretion, to accept or reject any new Account Application. Notwithstanding anything else to the contrary contained herein, the Bank reserves the right, in its sole discretion, to close a Depositor's account, at anytime. The Bank shall have full discretion to initiate, modify or increase any amount and assess any fees or other charges, which the Bank may deem appropriate or necessary for any customer transactions or administrative costs.
3. By submitting a completed and signed Account Application the Depositor is requesting and authorizing the Bank to review and verify any and all information submitted or necessary to substantiate the information provided. Any and all deposits enclosed with the Account Application shall only begin to accrue interest upon approval of the account by the Bank in accordance with the Bank's normal practice. Any instructions provided by the Depositor at the time the Account Application is submitted shall only be executed when the Bank has informed the Depositor that the Depositor's Account Application has been approved. The Bank shall take a reasonable period of time to conduct its due diligence in the investigation and documentation of all information required. In the event the Depositor's Account Application is rejected, the Depositor's funds shall be returned, along with any items or documents, within a reasonable period of time. All deposits and items are accepted provisionally until the Bank has accepted the account and all items have cleared.
4. The Bank may request, at its sole discretion, any documentation that it may deem necessary to substantiate or authenticate any information provided by the Depositor. Such documents may include but are not limited to, a valid and legible photo identification, bank references, financial reports, certificate of good standing, corporate resolutions or partnerships agreements. Furthermore, the Bank reserves the right to request such additional information, as it may deem necessary during the term of any account.
5. The Bank shall exercise due care in executing instructions given by the Depositor. In the event that ambiguous or conflicting instructions are given regarding any account, the Bank shall be entitled to act or decline to act as the Bank sees fit without incurring any liability to the Depositor. In the performance of its duties a default may occur, for which the Bank might be held responsible, the Bank will only reimburse those charges that it may deem reasonable. In any event, the Bank will not accept any liability for loss of income, profit, or missed investments and the like. The Depositor, explicitly accepts the Bank's limit of liability and hereby irrevocably agrees, upon demand to indemnify the Bank and hold the Bank harmless from and against any and all claims for damage or losses arising from such default.
6. No signatories to an account may be amended, changed or substituted until such written request has been submitted and acknowledged by the Bank.
7. In any event, the Bank shall not be liable for any damages that may arise from the forgery or misuse of any signature in this or any account, which may be opened subsequently, except for the Bank's gross negligence.
8. In the event there are multiple Depositors on one account, the Bank is expressly authorized to recognize and honor any of the signatures subscribed on the Account Application as the Bank's authorization for the payment of funds from the account. Each Depositor hereby agrees with the right of survivorship. The form of ownership of the account may be changed only with instructions signed by all of the Depositors and acknowledged by the Bank. The account shall be subject to payment upon the cheque, draft, item or withdrawal of any of the Depositors, and the payment thereof upon the order of any one of the Depositors shall discharge the Bank from liability to all of the Depositors and of their beneficiaries, executors, administrators, successors or assigns. Furthermore, each Depositor in the account, in addition, subject to the provisions of these Terms and Conditions, each Depositor may pledge or assign, or dispose of, any funds in the account.
9. The Bank shall have a right of lien on all assets in any accounts held at the Bank or elsewhere for the Depositor and a right to offset all monies owing to Depositor against any amounts owed by Depositor to the Bank, irrespective of maturity date or prescription. Such rights shall also apply to all early withdrawal penalties, advances, loans or credit card balances, whether secured or unsecured. The Bank shall be entitled to realize the pledged assets without further formality, court order or government action at its discretion, should the customer be in default of payment. Depositor agrees to release and indemnify the Bank from all liability for its actions.
10. The use of postal service, telephone, facsimile, cable telex services, e-mail or any other means of communication or data transport by or on behalf of Depositor, shall be the sole responsibility of the Depositor, shall do so at his own risk. While the Bank shall seek to comply with the Depositor's instructions, the Bank shall have no liability or responsibility for the veracity or authenticity of such transmissions and for failure to execute these instructions unless it shall have acted with gross negligence or willful misconduct. Any monetary damages or loss that may arise from such use, by reason of omission, loss, fraud, delay, erasure, misunderstanding, mutilation or duplication shall be the sole responsibility of the Depositor. The Depositor hereby irrevocably agrees, upon demand, to indemnify and hold the Bank harmless from and against any and all monetary damages or losses. This indemnification shall survive the termination of any authorization or any account.



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General Terms & Conditions (continued)

11. The Bank does not accept cash, cash equivalents or third party items for deposit in any account.
12. Cheques are mailed in accordance with the Depositor's instructions at the Depositor's risk. THE BANK MAY FORWARD ITEMS TO ITS CORRESPONDENT BANKS FOR COLLECTION, AND SHALL NOT BE LIABLE FOR DEFAULT OR THE NEGLIGENCE OF CORRESPONDENT BANKS OR FOR LOSSES IN TRANSIT.
13. All items are credited to the account conditionally and are subject to collection by the Bank. Deposits of cheques and wire transfers in the account shall begin to earn interest when funds are collected by the Bank, in accordance with the Bank's normal practice. The Depositor shall be responsible for any exchange and handling fees, which may be incurred in connection with any item and such fees shall be for account of the Depositor.
14. All interest income shall be paid TAX-FREE at source. The Depositor understands and Agrees that it is the Depositor's responsibility to comply with any laws or regulations regarding the establishment and/or maintenance of an account or any interest earned thereon in the Depositor's domicile or legal jurisdiction.
15. The offer and acceptance of the deposits provided for herein may be prohibited or limited in certain jurisdiction. It is understood that it is the responsibility of the Depositor, or any person who is considering making a deposit in the Bank, to inform himself regarding, and to comply with, all the legal provisions and regulations in force in his jurisdiction with respect to the making and delivery of the deposit, exchange controls, taxes and similar matters.
16. Any complaint by the Depositor regarding withdrawals, deposits or any other instructions executed or omitted by the Bank, shall be made in writing and received by the Bank within thirty (30) days of the most recent statement in question, or the period in which the Depositor may be reasonably deemed to have received such acknowledgements. Failure to inform the Bank, as stated, shall constitute an absolute acceptance and approval of the action or omission by the Depositor, notwithstanding any other standing arrangements between the Depositor and the Bank. The Depositor agrees that in case of such dispute, the Bank's General Ledger, general accounting books and Depositor's instructions shall be sufficient and complete evidence of the transaction unless the Depositor is able to prove otherwise.
17. A statement of Account will be tendered periodically as indicated by the Depositor and mailed to the Depositor at the last known address shown by the Bank's records, unless the Depositor advises otherwise in writing. In the event the Depositor receives quarterly or half-yearly statements, the Bank's liability shall be limited to the declared value of the item or items concerned.
18. No delay or omission on the part of the Bank in the enforcement or exercise of any of its rights in connection with an account shall operate nor be construed as a waiver of such rights, and no such delay or omission shall prejudice the Bank in its later enforcement or exercise of such rights afforded to the Bank by law or by separate agreement between the Depositor and the Bank.
19. The Depositor agrees to indemnify and to hold the Bank harmless upon demand, from, against and in respect of any and all costs, expenses, losses and damages, including all reasonable attorneys fees and expenses, whether or not suit is brought, incurred by the Bank in connection with any controversy, official or governmental investigation, claim or dispute relating to an account or to any transaction effected through an account, by whomsoever brought or made, unless such costs, expenses, losses and damages are held by a court in Antigua and Barbuda W.I. to have been incurred as the result of the Bank's gross negligence or willful misconduct.
20. The present Terms and Conditions express the definitive legal rights and obligations of the Depositor and the Bank. No agreement or representation, unless incorporated in these Terms and Conditions, shall be binding upon either party. The Bank shall have the right to amend these Terms and Conditions at any time. Any deviation from these General Terms and Conditions shall be valid only when agreed upon in writing and properly acknowledged by both parties.
21. The Bank shall have the right at any time to amend the General Terms and Conditions. Such amendments shall be notified to the Depositor in an appropriate manner and in the absence of any written objections shall be deemed to have been accepted after a period of one month has elapsed.
22. The account(s) are subject to the terms and restrictions contained in the applicable Terms of Deposit. The Depositor acknowledges receipt of said Terms of Deposit, which forms an integral part hereof by reference.
23. These Terms and Conditions shall be interpreted in accordance with the laws of Antigua and Barbuda, W.I. For any action or proceeding which the Bank or the Depositor may commence in connection with the account or with any operation or transaction involving payment to or from the account, the Depositor irrevocably submits to the jurisdiction of the courts of Antigua and Barbuda, W.I., and to the fullest extent permitted by law, as well as waiving any claim that such courts would be an inconvenient forum. Jurisdiction for all legal proceedings shall be in Antigua. The Bank furthermore shall have the right to take legal action against Depositor before the competent court in Depositor's place of domicile or before any other competent court.

By signing the Account Application Form and other pertinent Bank documentation, the Depositor(s) acknowledge(s) receipt of a copy of and express(es) agreement with the General Terms and Conditions of the Bank along with specific information as it relates to the particular accounts requested. The Depositor(s) further acknowledge(s) that the General Terms and Conditions are provided in Spanish solely as an informational service but that in the event of any legal action arising in connection with an account or Depositor in a competent jurisdiction, the Depositor agreed to be bound by the English version.



STANFORD GROUP COMPANY

STANFORD INTERNATIONAL
BANK LTD.

A personal investment proposal for
(Client Name)
(Date)

prepared by (Advisor Name)
phone (555.555.5555)
email (advisor@stanfordeagle.com)

Terms of Deposit

CERTIFICATE OF DEPOSIT

Your account at Stanford International Bank LTD. ("Bank") is governed by the General Terms and Conditions of the Bank and the specific Terms of Deposit. Your deposit is not negotiable and is not transferable (except on the books and records of the Bank) and is subject to the applicable laws and regulations of Antigua and Barbuda W.I. "CD" means the certificate or receipt or investment confirmation in any format whatsoever issued by the Bank to evidence your time deposit.

TYPES OF CERTIFICATE OF DEPOSIT

FLEX CD

Minimum initial deposit of US\$10,000 is required to open this account. Minimum additional deposits in increments of US\$5,000. Interest shall be compounded daily and may be withdrawn at any time. Withdrawals of up to 25% of the principal deposited are allowed without penalties, provided that the Bank has been properly notified at least five (5) working days in advance. There is a limit of four (4) withdrawals per year. Any withdrawals over and above 25% of the principal balance as stated above, shall be subject to early withdrawal penalties. Available in most international currencies (applicable amounts will be the equivalent of the US Dollar amount in the foreign currency). Deviations from any of these terms shall automatically be considered as an early withdrawal, which shall be subject to penalties.

FIXED CD

Minimum initial deposit of US\$10,000 is required to open this account. Interest shall be compounded daily. Interest is accumulated and is payable at maturity. No additional deposits may be made. No withdrawals (including accumulated interest) are permitted. Available in most international currencies (applicable amounts will be the equivalent of the US Dollar amount in the foreign currency). Deviations from any of these terms shall automatically be considered as an early withdrawal which shall be subject to any penalty.

ADDITIONAL CONDITIONS

MATURITY CONDITIONS, AUTOMATIC RENEWAL OF CDs

Unless the Bank has in its possession instructions at least five (5) working days before the maturity date ("notification period") of your CD, your CD will automatically be renewed for a period equal to the maturing term at the current interest rate. Once the notification period has lapsed, any withdrawals or changes shall be subject to early withdrawal penalties. The new interest rate will be that which is paid by the Bank on deposits of like maturity and amount at the time of such renewal, or as disclosed to you by prior written notice. The type of account you hold may be discontinued upon prior written notice as prescribed by applicable rules and regulations. Interest earned during any preceding period, but not paid to you, shall become part of principal and shall be subject to a penalty for early withdrawal during the renewal terms.

INTEREST CALCULATION METHOD

The Bank uses the daily compounding method to calculate interest on your deposit. The annual percentage yield (APY) disclosed on the face of the CD and, if applicable, the CD maturity notice assumes interest will remain on deposit until maturity. A withdrawal will reduce earnings. Interest for all CDs is calculated on a basis of 365 days. Deposits and withdrawals of principal are not permitted except at maturity or unless specifically permitted except under the terms of your deposit.

PENALTY FOR EARLY WITHDRAWAL

You have agreed to keep funds on deposit for the stated maturity for the deposit. The following penalties for early withdrawal apply: for terms of up to 6 months, the penalty shall be one month's interest; for terms up to 12 months, the penalty shall be two months interest; for terms greater than 12 months, the penalty shall be three months interest. The Bank will use the compounded daily interest rate in effect to calculate the amount of the penalty. Early withdrawal penalties may require a reduction in the principal if the amount of accrued interest on the deposit is less than the penalty. ASSIGNMENTS No assignment of the deposit will valid, without the Bank's prior written consent.

PERFORMANCE AND PREMIUM ACCOUNTS

A minimum initial deposit of US\$10,000 is required to open this account. A minimum balance of US\$10,000.00 shall be maintained on deposit at all times. Deposits may be made at any time. Withdrawals of all or any part of the available balance may be at any time after deposits have been credited to the account with written notice given to the Bank fifteen (15) days prior to the value date for such withdrawal. Available in most international currencies (applicable amounts will be equivalent of the US Dollar amount in foreign currency). Interest may be adjusted without prior notice. Interest shall be compounded daily.

PREMIUM ACCOUNT

A minimum initial deposit of US\$50,000 is required to open this account. A minimum balance of US\$50,000 shall be maintained on deposit at all times. Deposits may be made at any time. Withdrawals of all or any part of the available balance may be made at any time after deposits have been credited to the account with written notice given to the Bank fifteen (15) days prior to the value date for such withdrawal. Available only in US Dollars. Interest rate shall be adjustable an equivalent to the performance averages of selected United States Treasury bills and notes. Interest shall be compounded daily.

ADDITIONAL CONDITIONS

The Bank will issue a duly executed New Account Confirmation to the Depositor within thirty (30) days from the date of receipt and approval by the Bank of the Account Application.

ASSIGNMENTS

No assignment of the deposit will be valid, without the Bank's prior written consent.

EXPRESS ACCOUNT

Only available to Depositor with a currently existing additional account relationship. A minimum initial deposit of US\$1,000 is required to open this account. Deposits may be made at any time. Withdrawals from the Express Account will be affected on the available balance on the next banking day after receipt by the Bank of proper withdrawal instructions from the Depositor. Available in most international currencies (available amounts will be equivalent of the US Dollar amount in the foreign currency). Interest may be adjusted without prior notice. Interest shall be compounded daily. Interest shall be paid at the current rate established by the Bank on balances of US\$5,000.00 or more. Balances between US\$1,000 and US\$5,000 shall earn interest rate of 1%, any balances below US\$1,000 shall not earn interest. In the event the balance on the Express Account falls below US\$150, the account will become subject to an administration fee of US\$10 per month.

ASSIGNMENTS

No assignments of the deposit will be valid, without the Bank's prior written consent.



STANFORD GROUP COMPANY

**CONFIDENTIAL INVESTMENT
POLICY QUESTIONNAIRE**

A personal investment proposal for
(Client Name)
(Date)

prepared by (Advisor Name)
phone (555.555.5555)
email (advisor@stanfordeagle.com)

Client Type

Primary Owner

Accredited Non-Accredited

Joint Owner

Accredited Non-Accredited

Client Information

Notice

The information provided herein will be used to determine your investment goals and risk tolerance with regard to your overall investment portfolio. You understand that any investment allocation recommendations made by Stanford Group or any of its financial consultants will be based on such overall goals and may not necessarily be reflected in the investments of assets held in any one account, from time to time. Additionally, you understand that any recommendations made are intended for your use for arriving at a reasonable, fully explained investment decision and not as a compilation of the only possible investment vehicle and modes.

Account Registration

Primary Owner

Name

Telephone Number (home)

Telephone Number (work)

Social Security (or TaxID)

Date of Birth

Country of Residence

Joint Owner

Name

Telephone Number (home)

Telephone Number (work)

Social Security (or TaxID)

Date of Birth

Country of Residence

Assets, Income & Taxes

Primary Owner	
Assets	Income
\$	\$
Cash	Annual Income
\$	
Bonds	
\$	
Stock	
\$	%
Retirement Funds	Current Federal Income Tax Rate
\$	%
Real Estate	Estimated Tax Rate for the next 2 years
\$	
Alternative Assets	
\$	
Total Assets	
\$	
Total Net Worth	

Joint Owner	
Assets	Income
\$	\$
Cash	Annual Income
\$	
Bonds	
\$	
Stock	
\$	%
Retirement Funds	Current Federal Income Tax Rate
\$	%
Real Estate	Estimated Tax Rate for the next 2 years
\$	
Alternative Assets	
\$	
Total Assets	
\$	
Total Net Worth	



STANFORD GROUP COMPANY

CONFIDENTIAL INVESTMENT
POLICY QUESTIONNAIRE
 (CONTINUED)

A personal investment proposal for
 (Client Name)
 (Date)

prepared by (Advisor Name)
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 email (advisor@stanfordeagle.com)

Portfolio Type

Please select the Investment Advisory Group program to be used in this proposal.

- Mutual Fund Partners (Mutual Funds)
 Portfolio Advisors (Money Managers)
 Stanford Asset Management (SAM - Fixed Income)
 Stanford Investment Plan (All-inclusive strategy)
 Flexible Asset Management

Account Type & Assets

Please select **one** of the following account descriptions:

- | Employer Sponsored Retirement Plan | Individual Retirement Plan | Investment Accounts | Bank/Savings Accounts |
|------------------------------------|---------------------------------------|---|------------------------------------|
| <input type="radio"/> 401(k) | <input type="radio"/> Traditional IRA | <input type="radio"/> Brokerage | <input type="radio"/> Money Market |
| <input type="radio"/> 403(b) | <input type="radio"/> Rollover IRA | <input type="radio"/> Mutual Funds Direct | <input type="radio"/> CD |
| <input type="radio"/> 457 | <input type="radio"/> Roth IRA | <input type="radio"/> Annuities | <input type="radio"/> Savings |
| <input type="radio"/> Keogh | <input type="radio"/> Education IRA | <input type="radio"/> Personal Trust | |
| <input type="radio"/> SEP IRA | | <input type="radio"/> Corporate | |
| <input type="radio"/> Simple IRA | | | |

Are there any tax considerations relating to the management of this portfolio? Yes No

If yes, please explain briefly: (If applicable to this portfolio, you may specify that the fixed income allocation be invested in tax-free municipal bond funds)

What is the beginning asset balance to be invested in this strategy? \$ _____
 This is equal to what percentage of the client's total assets? _____ %

Portfolio Objectives & Risk Tolerance (Risk/Return)

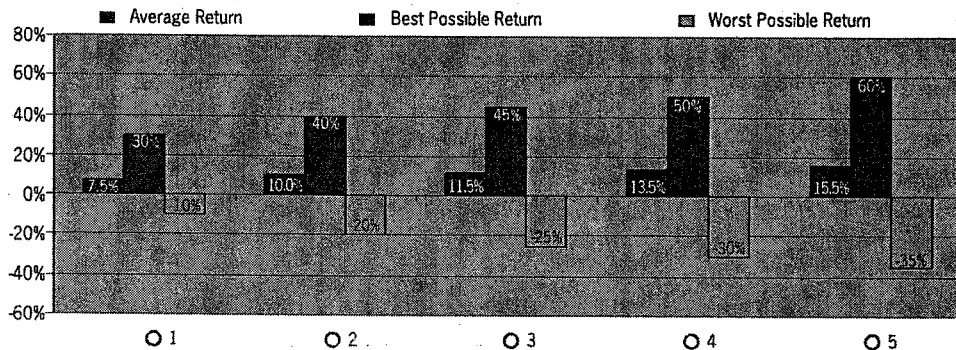
How would you best characterize your goals for these investments?

- Capital Preservation – principal protection with income as a secondary consideration
 Income – income with capital appreciation as a secondary goal
 Balanced – capital appreciation and income
 Growth – capital appreciation with income as a secondary goal
 Aggressive Growth – capital appreciation only

How much above inflation do you expect this account to earn?

- 0% to 3% 4% to 6% 6% to 8% 8% to 10% Above 10%

The following chart shows best and worst one-year returns. Please indicate your risk tolerance. For example: a 3 indicates you are willing to risk losing as much as 25% of your portfolio for an opportunity to gain as much as 45%.



What is your typical response to market fluctuations?

- I will sell quickly any time an investment loses value
 If my investment loses value over a 3-6 month period, I am likely to sell
 If my investment loses value over a 7-12 month period, I am likely to sell
 I usually watch my investments for at least a year before making changes
 Realizing that long-term investing maximizes potential returns, I will stay invested, even if poor market conditions result in sizeable losses in a given year



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Portfolio Objectives & Risk Tolerance (Risk/Return)
 Continued

Which of the following best describes how you feel about downside portfolio volatility?

- I would rather have no return as long as principal is protected
- I would rather have minimal returns than risk losing money
- I would like to achieve higher returns with some downturns in my portfolio
- Priority is to achieve higher returns and will accept significant downturns in value

Expected Time Horizon & Cashflow Summary

How long do you anticipate investing these funds with your current account objectives?

- Under 2 years
- 2 to 3 years
- 4 to 5 years
- 6 to 7 years
- 8 or more years

Contributions

Do you expect to make contributions to your account?

- Yes
- No

Frequency:

- Monthly
- Quarterly
- Annually
- As a lump sum

Amount: _____

Starting: _____

Ending: _____

Withdrawals

Do you expect to make contributions to your account?

- Yes
- No

Frequency:

- Monthly
- Quarterly
- Annually
- As a lump sum

Amount: _____

Starting: _____

Ending: _____

Additional Constraints & Considerations

Indicate if any of the following investment instruments that should be excluded from the allocation strategy?

- Cash & Fiduciary Deposits
- Government Bonds
- Corporate Bonds
- Equity
- Coins & Bullion
- Alternative Investment Strategies
- Real Estate
- Private Equity

Are there any asset allocation constraints or restrictions for your portfolio?

- Yes
- No

If yes, please explain: _____

Do you have specific instructions regarding your portfolio?

- Yes
- No

If yes, please explain: _____

Signatures

THE INFORMATION PROVIDED IN THIS DOCUMENT IS COMPLETE AND ACCURATE TO THE BEST OF MY KNOWLEDGE. (I UNDERSTAND THAT MY SIGNATURE ON THIS QUESTIONNAIRE DOES NOT OBLIGATE ME TO ENTER INTO A RELATIONSHIP WITH THE STANFORD GROUP AND THAT ALL INFORMATION PROVIDED WILL BE HELD IN THE STRICTEST OF CONFIDENCE).

 X
 Signature
 Name & Title
 Date

 X
 Signature
 Name & Title
 Date

X

Financial Advisor



STANFORD GROUP COMPANY