

Dallas Business Journal

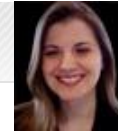
Choose Another City:

[Site](#) | [News](#) | [Videos](#) | [Jobs](#)
[Search Archive](#)
[Home](#) | [News](#) | [Small Business](#) | [Sales & Mktg](#) | [Real Estate](#) | [Events](#) | [Directory](#) | [Careers](#) | [Travel](#) | [More Topics](#)
[Beginners to Bigshots](#)U.S. business news





[Event Photos](#)[Email Alerts](#)[Book of Lists](#)[Subscribe - 4 Free Issues](#)
[Dallas](#) > [DBJ Confidential](#) > [2010](#) > [September](#) > SEC facing scrutiny of its own?

Blog: DBJ Confidential

SEC facing scrutiny of its own?

 Kerri Panchuk
Web Reporter

 Search more *DBJ Confidential*
 [Subscribe to DBJ Confidential RSS Feed](#)

Wednesday, September 22, 2010, 3:22pm CDT | Modified: Wednesday, September 22, 2010, 3:30pm

-  Print
-  Email
-  RSS Feed
-  Share

[Comments](#)

As Mark Cuban continues his battle against the Securities and Exchange Commission, the federal regulatory agency could be facing a battle of its own in Washington, D.C.

[MarketWatch says the SEC is facing tough questioning](#) in Washington over allegations that the regulator's Fort Worth office knew something was amiss at Stanford Financial Group as early as 1997.

Houston-based Stanford Financial Group operated a wealth management office in the Crescent office building in Uptown Dallas. On Feb. 17, 2009, the SEC's Fort Worth office accused Stanford Financial, company founder R. Allen Stanford and other executives of fraud. The complaint alleged that the Stanford companies managed to sell approximately \$8 billion in so-called "certificates of deposit" to investors, but those funds were instead directed to other sorts of investment.

The MarketWatch report says the inspector general testified Wednesday saying the SEC is "still focused too much on the number of cases it has brought and not enough on the number of investors protected."

DBJ Confidential Archive

- [September 2010 \(36\)](#) • [August 2010 \(57\)](#)
- [July 2010 \(53\)](#) • [June 2010 \(86\)](#)
- [May 2010 \(35\)](#)

The insider allegations against Mark Cuban involved the trading of 600,000 shares of Mamma.com stock. Mamma.com is a Canadian Internet search engine company.

The Cuban case was dismissed by a federal district court before being reinstated this week by an appellate court. Cuban scored a legal victory over the discovery of documents Wednesday.

[Read more about the slippery slope](#) securities rules have become for lawyers and businesses.

Companies: [Securities and Exchange Commission](#), [The MarketWatch](#)

[< Previous Post](#)

[Next Post >](#)

[Community](#) [Discus US](#)

Glad you liked it. Would you like to share?

Facebook

Twitter

Share [No thanks](#)

[Add New Comment](#)



eliot b. [\(change settings\)](#)

1 comment 1 like received

[Logout](#)

Post as eliot b.

Showing 2 comments

Sort by

[Subscribe by email](#) [Subscribe by RSS](#)

eliot b. ★ 0 minutes ago

Guess someone took my advice in last post and sued Proskauer Rose and Sjoblom for the entire billions of the Stanford Ponzi. Hear also that Madoff Victims, Proskauer's clients mostly, are being investigated not as victims but actual conspirators. Here comes the judge. Keep in mind FBI investigations are ONGOING, International Criminal Cartels, this could be StanfordGate with connections pointing to CIA involvement in drug running, sounds so...Contraisn

- Eliot I. Bernstein
- Inventor
- Iviewit Holdings, Inc. – DL
- Iviewit Holdings, Inc. – DL (yes, two identically named)
- Iviewit Holdings, Inc. – FL
- Iviewit Technologies, Inc. – DL
- Uviewit Holdings, Inc. - DL
- [Uview.com](#), Inc. – DL
- [Iviewit.com](#), Inc. – FL
- [Iviewit.com](#), Inc. – DL
- I.C., Inc. – FL
- [Iviewit.com](#) LLC – DL
- Iviewit LLC – DL

Featured Dallas Jobs

powered by



- [Academic Pathologist / Neuropathologist](#) - Texas Children's Hospital
- [Utilization Management Clinical RN \(Health Plan\)](#) - Texas Children's Hospital
- [Director, Transplant Services](#) - Texas Children's Hospital
- [Earn What You're Worth - Hiring 23 People Now](#) - Integrated Logistics Group
- [Financial Advisor - Edward Jones seeks former finance, management and sales professionals](#) - Edward Jones

[More](#)

- Most Viewed
- Most Commented
- [Blockbuster shareholders not backing down](#)
- [Pre-paid legal fees upfront](#)
- [SEC facing scrutiny of its own?](#)
- [American adds more Wi-Fi convenience](#)
- [Economic bailout "dreadful" UTA prof says](#)

Iviewit Corporation – FL
Iviewit, Inc. – FL
Iviewit, Inc. – DL
Iviewit Corporation
2753 N.W. 34th St.
Boca Raton, Florida 33434-3459
(561) 245.8588 (561) 245.8588 (o)
(561) 886.7628 (561) 886.7628 (c)
(561) 245-8644 (f)
iviewit@iviewit.tv
<http://www.iviewit.tv>
<http://iviewit.tv/wordpress>
<http://iviewit.tv/wordpresseliot>

Other Websites I like:

<http://www.deniedpatent.com>
<http://exposecorruptcourts.blogspot.com>
<http://www.judgewatch.org/index.html>
<http://www.enddiscriminationnow.com>
<http://www.corruptcourts.org>
<http://www.changecourtsnow.com>
<http://www.makeourofficialsaccountable.com>
<http://www.parentadvocates.org>
<http://www.newyorkcourtcorruption.blogspot.com>
<http://cuomotar.blogspot.com>
<http://www.disbarthefloridabar.com>
<http://www.VoteForGreg.us> Greg Fischer
<http://www.liberty-candidates.org/greg-fischer/>
<http://www.facebook.com/pages/Vote-For-Greg/111952178833067>
<http://www.killallthelawyers.ws/law> (The Shakespearean Solution)

Also, check out

Eliot's Testimony at the NY Senate Judiciary Committee Hearings Part 1
http://www.youtube.com/watch?v=8Cw0gogF4Fs&feature=player_embedded
and Part 2 @
http://www.youtube.com/watch?v=Apc_Zc_YNik&feature=related
and
Christine Anderson Whistleblower Testimony @
<http://www.youtube.com/watch?v=6BIK73p4Ueo>
and Eliot Part 1 - The Iviewit Inventions @
www.wethepeopletv.com

[Edit Reply](#)

eliot b.  0 minutes ago

Did I hear Stanford SEC failure, here is why it was not accidental but rather planned failure by insiders.

MADOFF + STANFORD + DREIER + SATYAM + FISERV + ALBERT HU + The 1031 Tax Group LLC - Edward H. Okun = PROSKAUER ROSE & FOLEY & LARDNER

Check out Inventor Eliot Bernstein Testimony @ The New York Judiciary Committee headed by John L. Sampson @

<http://www.fiiqz.com/aspx/permalink.aspx?vid=01cfeacdd71147dba54b363fdb6defe>

Check out Iviewit News - IVIEWIT TRILLION \$\$ FED SUIT DEFENDANT PROSKAUER ROSE SUED IN GLOBAL CLASS ACTION RE STANFORD PONZI @

<http://www.free-press-release.com/news-iviewit-trillion-fed-suit-defendant-proskauer-rose-sued-in-global-class-action-re-stanford-ponzi-1252249099.html>

Investors burned in these scams should seek redress from the lawyers who were involved. I personally have been trying to notify regulators & authorities of a ONE TRILLION DOLLAR scam that is putting states like NY & FL at huge risk, as well as, shareholders of companies including Intel, Lockheed, SGI, Warner Bros., Time Warner, AOL & IBM. The states & companies involved in the fraud fail to acknowledge the risk exposing shareholders and citizens to impending MASSIVE liabilities. Investigators, courts, Judiciary Committees & fed agents investigating the crimes & evidence, including a car-bombing attempt on my life. I know how Harry Markopolos felt trying to expose Madoff in a world without regulation.

Did I hear Proskauer Rose is involved in Madoff (involved many clients too) and acted as Allen Stanford's attorney. Investors who lost money in these scams should start looking at the law firm Proskauer's assets for recovery. First, Proskauer partner Gregg Mashberg claims Madoff is a financial 9/11 for their clients, if they directed you to Madoff sue them. Then, Proskauer partner Thomas Sjoblom former enforcement dude for SEC and Allen Stanford attorney, declares PARTY IS OVER to Stanford employees and advises them to PRAY, this two days before SEC hearings. Then at hearings, he lies with Holt to SEC saying she only prepared with him but fails to mention Miami meeting at airport hanger. Then Sjoblom resigns after SEC begins investigation and sends note to

SEC disaffirming all statements made by him and Proskauer, his butt on fire. If you were burned in Stanford sue Proskauer. Both damning SEC reports on failures of Madoff & Stanford find Proskauer partners mentioned throughout!!

Proskauer and Foley & Lardner are also named Defendants in my TRILLION dollar FEDERAL RICO and ANTITRUST LAWSUIT now marked legally related to a WHISTLEBLOWER CASE also in FEDERAL COURT. Marc S. Dreier, brought in through Raymond A. Joao of Meltzer Lippe after putting 90+ patents of mine in his own name, is also a Defendant in my Federal Case.

The Trillion Dollar suit according to Judge Shira Scheindlin is one of PATENT THEFT, MURDER & A CAR BOMBING. For graphics on the car bombing visit www.iviewit.tv.

The Federal Court cases

Cases @ New York Second Circuit

1.08-4873-cv US Court of Appeals for the Second Circuit - Bernstein v Appellate Division First Department Disciplinary Committee - TRILLION DOLLAR LAWSUIT

2.Capogrosso v NY State Commission on Judicial Conduct

3.Esposito v The State of NY

4.McKeown v The State of NY.

Related Cases @ US District Court - Southern District NY

5.07cv09599 Anderson v The State of New York - WHISTLEBLOWER LAWSUIT which other cases have been marked legally "related" to by Fed. Judge Shira A. Scheindlin

6.07cv11196 Bernstein v Appellate Division First Department Disciplinary Committee

7.07cv11612 Esposito v The State of NY

8.08cv00526 Capogrosso v NY State Commission on Judicial Conduct

9.08cv02391 McKeown v The State of NY

10.08cv02852 Galison v The State of NY

11.08cv03305 Carvel v The State of NY

12.08cv4053 Gizella Weissshaus v The State of NY

13.08cv4438 Suzanne McCormick v The State of NY

14.08 cv 6368 John L. Petrec-Tolino v. The State of NY

[Edit Reply](#)

[comments powered by Disqus](#)

Use of, or registration on, this site constitutes acceptance of our [User Agreement](#) and [Privacy Policy](#).

ONLINE: [home](#) | [business news](#) | [small business](#) | [sales & marketing](#) | [real estate](#) | [events](#) | [directory](#) | [careers](#) | [city guide](#) | [advertise](#) | [about us](#) | [rss](#)

PRINT: [subscribe](#) | [renew](#) | [advertise](#) | [book of lists](#) | [business intelligence](#) | [classifieds](#) | [view digital edition](#) | [article reprints rights](#) | [purchase single copies](#)

AFFILIATES: [Portfolio](#) | [SportsBusiness Journal](#) | [SportsBusiness Daily](#) | [Sporting News](#) | [Mass High Tech](#) | [TechFlash](#) | [Sustainable Business Oregon](#) |

[ABJ Entrepreneur](#)

© 2010 [American City Business Journals](#), Inc. and its licensors. All rights reserved. The material on this site may not be reproduced, distributed, transmitted, cached or otherwise used, except with the prior written permission of bizjournals.