# IVIEWIT HOLDINGS, INC. 

## Eliot I. Bernstein

Founder \& President
Direct Dial: 561.364.4240

## By Overnight Mail

March 22, 2004

Noel D. Sengel

Senior Assistant Bar Counsel
Virginia State Bar
100 N. Pitt Street, Suite 310
Alexandria, Va. 22314-3133

## Re: Rebuttal of Iviewit Holdings, Inc. to Response of William J. Dick, VSB Docket \#04-052-1366

Dear Attorney Sengel:
By way of introduction, I am Founder \& President (Acting) of Iviewit Holdings, Inc. and its subsidiaries (collectively, "Company"), I have co-authored this document and signed with P. Stephen Lamont Chief Executive Officer (Acting). I write to rebut all those material feints and contradictions in the response of William J. Dick, Esq. ("Respondent") to the Company's Virginia Bar Complaint of September 23, 2003, more precisely defined by the Company's letter of October 30, 2003 (collectively, "Complaint").

Moreover, Respondent's feints are so numerous, so outlandish of nature, and, hence, so consumed with falsehoods, akin to the falsehoods he attempted to inflict upon the Company, that prior to arriving at the very hearts of the matters, it is only fair to outline them in paragraph form with corresponding headings, the contents of which shall clearly show Respondent's bountiful attempts at insults to the intelligences of the Virginia Bar, and what follows below is an introduction to those feints and the eventual evidentiary materials of the Complaint.

Lastly, from the benefit of the narrative and attached exhibits below, the Company shall prove beyond a reasonable doubt that Respondent, though not directly prosecuting patents himself, otherwise oversaw, directed, controlled, feloniously opined, sometimes impeded, altogether unfavorably aided and abetted, and otherwise positioned himself

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between said patent prosecutions, Utley, and the bona fide inventors all to the detriment of the patent filings and fortunes of the Company.

Accordingly, on behalf of the Company, and for ease of reference I insert the major allegations of the Complaint within the framework of the Rules of Professional Conduct of the Virginia State Bar, so that the Virginia Bar may further quantify Respondent's professional misconduct as to Virginia attorneys, and shall cite specific documentation in exhibits attached hereto.

Finally, per our conversation last week, we went to secure the patent files from the patent office on several patents listed on the Company portfolio and filed by William Dick and his underlings at Foley \& Lardner and we were unable to get records because to our surprise the Company is not listed on these filings in Brian Utley's sole name. The Company and the Company inventors are not listed on the application and therefore have no rights, title or interest in some of these patents and await further confirmation from the USPTO of such. We may, according to the USPTO, in fact have to sue Mr. Brian Utley under his employment contract to receive these patents back and therefore we request the Virginia Bar allow us to submit these documents as we receive them, as you stated that would be allowable. Therefore, we submit the complaint response without them. Further, if this information gathered from the United States Patent \& Trademark Office is correct, it then becomes apparent that the portfolio submitted to the Virginia Bar in Mr. Dick's response is materially false as patents that are not the property of Iviewit should not be listed on an Iviewit Intellectual Property docket. This docket similarly has been used to raise capital for the Company and thus constitutes fraud upon the shareholders and the Virginia Bar.

Again, we have filed this complaint without access to our original files (a large part of the delay caused by lost files by our former attorneys) and therefore since we are awaiting further evidence to support the allegations accurately we have signed this copy and yet remain obligated to update you as new information is forwarded or secured. We also explained the problems we faced this weekend and hoped you would grant time and leeway but we were unable to reach you today and therefore we send this incomplete draft with much of the pertinent information and thank you for the opportunity to send a final copy shortly.

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Due to the volume of information contained in the exhibits, we have submitted this response and all the documentation on a CD-ROM in Adobe format for ease of review. If the Virginia Bar would like a printed copy of the several thousand pages contained therein we would be more than happy to comply with such request. If viewing the Adobe document it is extremely helpful to use the "bookmark" tab along the left side of the page for easy indexing.

Very truly yours,

IVIEWIT HOLDINGS, INC.

By:

the company's end with respect to the complaint is charging the respondent with the professional misconducts cited herein, and not as a means to try any civil, criminal, or patent rule violations through THE MECHANISM OF THE VIRGINLA BAR.

Iviewit Holdings, Inc.
10158 Stonehenge Circle
Boynton Beach, Pla. 33437

To:
Eliot I. Bernstein
Fax Number:
1-561-364-4240

| From: | P. Stephen Lamont |
| :--- | :--- |
| Fax Number: | $845-279-7710$ |
| Business Phone: | $914-217-0038$ |
| Home Phone: | $845-279-7710$ |
|  |  |
| Pages: | 2 |
| Date/Time: | $3 / 22 / 20048: 25: 37$ PM |
| Subject: |  |

## LIMITED POWER OF ATTORNEY

## I. PARTIES. I, P. Stephen Lamont ("Principal"), with a principal address of Four

 Ward Street, Brewster, New York hereby appoint Eliot I. Bernstein ("Attorney-in-Fact") with a priucipal address of 10158 Stonehenge Circle, Suite 801, Boynton Beach, Fla. and telephone number of 561-364-4240 as attomey-in-fact to represent me in affairs consisting only of those powers listed in Section II herein.
## II. POWERS.

1. Execution of Signature Page for Iviewit Bar Complaint of William J. Dick..
III. DURATION. Said Attorney-in-Fact shall, subject to revocation in writing, have authority to conduct items one (1) above and perform on behalf of Principal: All acts necessary and requisite to facilitate said functions and/or proceedings from the period March 21, 2004 through April 20, 2004 ("Duration").

## IV. OTHERACTS.

1. None.

## V. MISCELLANEOUS.

1. NOTICES. Copies of notices and other written communications addressed to the Principal in proceedings involving the above matters should be sent to the address set forth above.
2. CONFORMANCE TO STATE LAW. It is the intention of the parties that this Limited Power of Attornsy conform to the laws of the State of New York, and should any section of this Limited Power of Attorney not conform to the laws of the State of New York, it is the intention of the parties that said section(s) be substituted for that section that would other wise conform to the laws of the State of New York. Should the laws of the State of New York require any other section(s) other than the sections of this Limited Power of Attorney, it is the intention of the parties, that said section(s) be construed to be included in this Limited Power of Attorney, as if said sections were included herein.
3. NO PRIOR POWERS. This Limited Power of Attorney revokes all prior powers of attomey by and between Principal and Attorney-in-Fact with respect to the same matters and years or periods covered by this instrument.

P. Stephen Lamont, Principal

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## I. INTRODUCTION

This subsection provides a convenient reference to the point in time and unequivocally points to Respondent's involvement in the patent prosecution process with Brian G. Utley ("Utley") who, from this point forward, aids and abets Respondent's professional misconducts in representation of the Company.

Moreover, upon referral by a one Christopher C. Wheeler ("Wheeler"), a Partner in the Boca Raton, Fla. office of Proskauer Rose LLP ("Proskauer"), the Company appoints Utley as President \& Chief Operating Officer of the Company in 1999; Utley began working for the Company and reviewing the provisional patent filings on or about June 1999. Furthermore, in Respondent's role as overseer of the Company's patent portfolio, the evidence of which is attested to by Section II and III and the Exhibits thereto in collusion with Mr. Utley, the Company finds Mr. Utley, after his termination by the Company, and through the work of Blakely Sokoloff Zafman \& Taylor LLP ("BSTZ"), writing Company inventions into his own name without assignment to the Company, and sent to his home address, using Respondent; Wheeler played a role in recommending Respondent as the Company's new patent counsel with Utley to replace Raymond A. Joao ("Joao"), formerly of counsel to Meltzer Lippe Goldstein \& Schlissel LLP ("MLGS") and Respondent, factually, attended several of the initial patent meetings with his then employer, Foley \& Lardner LLP ("Foley"), of which full disclosures by the inventors was given to Mr. Dick and the strategy the Company would follow was determined by Mr. Dick and Mr. Dick was hired to execute his strategy for the Company. Mr. Dick then brought in two of his underlings he mentored at Foley and later was aware of several meetings in which the errors of Joao (including fraud upon the United States Patent and Trademark Office) were discussed with his associates, as well as discussions of further errors by Foley, under Respondent's direction that have caused the Company further hardships. Moreover, Respondent was the patent attorney for Utley in his alleged misappropriations of intellectual property from his past employer, Diamond Turf Equipment, Inc. ("DTE") (see Section II, paragraph A below) and the events are so similar in nature to Iviewit's problems with Mr. Dick and Mr. Utley as to leave one wondering how Mr. Dick can now try and say that he was not involved in these events blaming his underlings instead.

Moreover, in an effort to aid the Virginia Bar in its review of the circumstances surrounding the factual allegations of the Complaint, the Company attaches as Exhibit A

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herein the submitted resume of Utley by Wheeler and excerpts from depositions in a certain litigation titled Proskauer Rose LLP v. Iviewit.com, Inc. et. al., Case No. CA 0104671 AB (Circuit Court of the 15th Judicial Circuit in and for Palm Beach County, Florida filed May 2, 2001) ("Florida State Litigation"), the resume of which misrepresents Utley's tenure and termination at DTE, and the involvement of, recommended by Wheeler and Mr. Utley, Respondent in said DTE circumstances. Moreover, from the first of Wheeler's introduction of Utley to the Company, and although Wheeler is continually copied on Utley's biography in various drafts of Company business plans authored and disseminated by Wheeler, and Wheeler's representations of Utley to various Board members of the Company, Wheeler claims he was uncertain of the Utley resume misrepresentations, was negligent in recommending and forcing the appointment of Utley as the only means for the Company to secure the seed investment of Huizenga Holdings, without Wheeler's own prior independent background checks of Utley, though by Wheeler's testimony in the Florida State Litigation, states he had known Mr. Utley in social surroundings since 1990, had formed a corporation with him, upon information and belief the Premiere Connections company referenced by Respondent, on or about 1994, continues to know Utley in social surroundings until introduction to the Company in 1999, and thereafter serves as chief counsel to the Company and self-appointed overseer of the Company's patent portfolio with Rubenstein and Joao prior, in collusion with Mr. Utley, to the arrival of Respondent in or about 2000; Utley, for his part, and under deposition in the Florida State Litigation, claims Wheeler was fully cognizant of the circumstances surrounding his patent malfeasances at DTE.

Additionally, the Company's references the sworm testimony of Utley in the Florida State Litigation wherein Utley directly refutes oral statements collected by the Company from Monte Friedkin, the principal of DTE ("Friedkin") and a resident of the State of Florida with a telephone number of 954-972-3222 x310, who relates to the Company and Caroline P. Rogers, Esq. ("Rogers") a resident of the State of Illinois with a telephone number of (708) 450-9400 x19, that Utley's tenure was marred by misappropriations of intellectual property naming Utley as an inventor, with no assignment to his employer, for inventions learned while working for said employer and written with the assistance of Respondent. Moreover, Friedkin stated that these circumstances led to the closure of his business in diametric opposition to the aforementioned resume of Utley, a biography that Wheeler authored, reviewed, and approved for many business plans which states that due to Utley's inventions in his position, DTE proceeded to good fortunes. It is interesting to note that, in his resume, Utley claims the innovative designs were done on the job by Friedkin's engineering group and yet he attempts to patent those ideas as his own in a separate company set up by Wheeler and prosecuted by Respondent. Equally interesting, to note that Utley states to the Virginia Bar, that he told Eliot I. Bernstein ("Bernstein"),

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founder of the Company, of the past patent disputes, yet submits through Wheeler, a resume remiss of these facts and with information that is contrary to the truth.

Furthermore, upon the conclusion that the provisional patent filings for the Company by Joao, and under the oversight of Rubenstein, that Joao had done a poor job of capturing the inventions, that Joao had failed to list all bona fide inventors, and was found to be writing patents in his own name similar to disclosures made to him by the Company, Wheeler and Utley suggest, as Mr. Joao's replacement, Respondent and that Rubenstein would work well with the Respondent who would handle the filings while Rubenstein would handle potential litigations. Unbeknownst to the Company, it was Respondent who had been involved with Utley regarding intellectual property disputes at the DTE company; Respondent, owing a duty of forthrighteousness, absent of any suspicions of wrongdoings alleged in the Complaint, did not disclose such happenings by and between Respondent, Utley, and DTE. Had these issues been exposed by Respondent, Utley and Wheeler truthfully, it would be highly unlikely that the Company and its Board of Directors would have ever retained Respondent, Utley or Wheeler. Further, Utley in his deposition states that Iviewit never hired Mr. Dick and that he only referred the Company to Foley, yet the Foley bills are full of entries by Dick and in meetings with Wachovia and other investors who relied on Mr. Dick's assessments and strategies and not his underlings.

Moreover, equally devastating to the Company's prospects, under the oversight of Respondent as the overseer of the Company's patent portfolio, the evidence of which is attested to by Section III, paragraphs A to F below, the oversight of Respondent results in the filing of patents with: (i) incorrect math; (ii) further filing patents with missing inventors with full knowledge of the rightful inventors; (iii) changing patent titles and content to harm the Company's prospects; (iv) without any knowledge of the Company, filing of patents into Utley's own name, with no assignment to the Company for Company inventions in an attempt by Utley and Respondent to make a "spectacular grab" for the Company inventions, heralded as having the potential to generate billions of dollars in revenue on an annual basis, and similar to the contentions of Utley's past employer, DTE; and (v) the knowing and willful incorrect conclusions that certain patent bodies could not be rewritten as they contain new subject matter, and inventors can be easily changed (except in instances where intent to deceive the USPTO is the case as is the case with Iviewit) in contradiction to the views of the Company's current patent review counsel and the United States Patent \& Trademark Office.

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## II. RESPONSE TO RESPONDENT'S MISINFORMATION

DISCLAIMER: Throughout this Section II, the Company does not attest to the validity of any documents as the Company cannot source original documents that have been lost by past patent counsels and, further, that it is the Company's contention that many of such documents may be fraudulent representations of the originals in an effort by past patent counsels, including Foley, to cloak the professional misconducts enumerated herein. Both Joao and Foley maintain that they have not even kept copies, including their retainer agreements, which have not been forwarded, nor does the Company have copies in its possession.

## A. Respondent's Reference to the Company's Mention of Respondent's Involvement in the Diversion of Patents to DTE as Not Relevant to Iviewit ${ }^{1}$.

First, the Company instructs Respondent that the Complaint is not akin to the procedural rules in a court of law, whereby the Federal Rules of Civil Procedure, or its counterpart in any State court, may preclude pleadings in support of the pattern of professional misconduct by Respondent and the breaching of fiduciary duties by Utley. Moreover, following upon the introduction to this misinformation above, the Company submits this pattern of misconduct by Respondent to support the factual allegations below.

Consequently, when Respondent embraces the argument that the diversion of intellectual property by Respondent and Utley is not relevant to the Complaint, Respondent's rationale is a feint that constitutes the first of Respondent's copious attempts at insults to the intelligences of the Virginia Bar.

Furthermore, and upon information and belief, the Company is aware of certain patent assignments by the Premier Connections company of Utley, formed by Wheeler, and attested as the applicant by Respondent of those certain DTE inventions therefore, the Company suspects that this pattern of professional misconduct by Respondent, with the collusion of Utley and Wheeler, may have a history prior to the DTE circumstances, but more investigation would be needed.

Finally, while it is the Company's end with respect to the Complaint to charge the Respondent with the professional misconducts cited herein, it is only fair to advise the

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Virginia Bar that, upon investigation, the allegations cited in this paragraph, interalia, constitute intent to deceive the United States Patent and Trademark Office ("USPTO").

## B. Respondent's Reference to No Granted Patent in the Name of Utley ${ }^{2}$.

In this respect, Respondent's attempt to mislead the Virginia Bar is frighteningly misrepresentative, and bordering on the outright falsehoods he inflicted upon the Company, to the Virginia Bar on account of the patent filing following lineage attached herein as Exhibit B.

Consequently, when Respondent challenges the Company to put forth one granted patent (the eight step process described in Exhibit B) in the name of Utley, Respondent conveniently fails to mention the patterns of deception that occurred according to the lineage of Exhibit B, and where he conveniently fails to mention the patterns of deception, but steadfastly clings to the singular argument of one granted patent, Respondent's argument is a feint that constitutes the second of Respondent's ample attempts at insults to the intelligences of the Virginia Bar.

In fact, the mere application by Utley, the neglect in assignment by Utley, Dick and Wheeler, currently leaves the shareholders of the Company in a state of loss that borders on catastrophic. Finally, while it is the Company's end with respect to the Complaint to charge the Respondent with the professional misconducts cited herein, it is only fair to advise the Virginia Bar that, upon investigation, the allegations cited in this paragraph, interalia, constitute intent to deceive the USPTO.

## C. Respondent's Reference to Lack of Assignment Provisions Under Utley's Employment Contract with DTE ${ }^{3}$.

In this instance, Respondent again attempts to mislead the Virginia Bar by citing the technical shortcomings of the Utley employment contract with DTE, Utley's just prior employer to the Company, by citing the technical aspect of a lack of an intellectual property assignment provision in Utley employment contract with DTE, a company manufacturing golf course equipment.

Moreover, what Respondent fails to mention to the Virginia Bar is that under common law as well as decisional law, courts have held that all work product of an employee becomes property of his or her employer, for, if not, then all employees, of any company,

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in any State, and in any country on the planet, could then become competitors of their employers, thus confounding workplace productivity gains and the bond of trust inherent in any employee-employer relationship. Furthermore, recall the Utley resume of Exhibit A that states that his inventions contributed to the success of DTE and then claims that he would not assign them to DTE when Friedkin inadvertently discovered the patents Utley was claiming in his individual capacity; relying on the oral statements of Friedkin, this is why Utley was fired (also not in the resume and cloaked in his Virginia Bar statements of Utley to mislead ones of the truth) and Friedkin dissolved DTE incurring an approximate Three Million Dollar $(\$ 3,000,000)$ loss. Respondent and Utley both attempt to mislead the Virginia Bar to believe that Mr. Utley "left" DTE when in fact he was terminated with cause, as is the exact case with Iviewit.

Additionally, by the formation of a separate company with Wheeler, to misappropriate inventions with Respondent, whereby it is already part of the record in the response of Respondent, that Respondent was aware of Utley's employment with DTE and, therefore, knew the relation of the patent pending invention, a hydraulic motor circuit device, to the position held by Utley, and the Company finds itself asking "Was the intention of Utley and Respondent to form a licensor-licensee relationship with DTE as well as Utley's role as President," and finds itself answering "No, but only when Utley and Respondent continue their pattern of invention misappropriation similar in respect to the Complaint of the Company. This shows the diabolical nature of Utley and Respondent and their continued pattern of lies and deceit that have now caused great harm upon two South. Florida businesses.

Moreover, Utley, in his deposition statement in the Florida State Litigation, states that Wheeler never did any work for him in the past and then in Wheeler's deposition in the Florida State Litigation, he states that he formed the Premiere Connections company for Utley, and one may conclude that Respondent, Utley, and Wheeler, have had similar involvement in the circumstances surrounding DTE, and then proceeded on to cause problems at the Company that acted as the trigger for the Complaint, but more investigation would be needed as to the tripartite or dual nature of the Respondent/Utley pattern of deception.

Consequently, when Respondent clings to the technical aspects of Utley's employment contract with DTE, and where he conveniently fails to mention the common law, as well as decisional law, requirements of all an employee's work product becoming property of his or her employer, but steadfastly embraces to the singular argument of the absence of an intellectual property clause in Utley's DTE employment contract, that was the basis for attempting invention theft from DTE and Friedkin, Respondent's argument is a feint

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that constitutes the third of Respondent's abundant attempts at insults to the intelligences of the Virginia Bar.

Finally, while it is the Company's end with respect to the Complaint to charge the Respondent with the professional misconducts cited herein, it is only fair to advise the Virginia Bar that, upon investigation, the allegations cited in this paragraph, interalia, constitute intent to deceive the USPTO.

## D. Respondent's Reference to Assignment Requirements Under Utley's Employment Contract With the Company ${ }^{4}$.

Here, Respondent again attempts to mislead the Virginia Bar by citing the technical positive aspects of the Utley employment contract with the Company, by citing an intellectual property assignment provision in Utley employment contract with the Company, a technology company designing and developing video frame manipulation techniques and digital zoom and pan systems.

Furthermore, what Respondent fails to mention to the Virginia Bar is that under the Patent Act, courts have held that where all bona fide inventors fail to be named on any provisional or non-provisional patent application, the assignment of that patent application fails, but only for any correctly named bona fide inventor. In other words, where Respondent knowingly and willfully inserts the name of Utley on any of the Company's provisional or non-provisional patent applications, the Company only benefits from the eventual assignment of a bona fide inventor, but not the other bona fide inventors that Respondent effectively "bumps off" the patent application and substitutes them with Utley; in some cases, the Company benefits from only two thirds of the invention (Bernstein/Utley/Shirajee or Rosario patent applications) or one-third of the invention (Bernstein and Utley patent applications) or none of the invention (Utley only patent applications), and irrespective of whether said patent application was abandoned or continued or denied or issued, the subjects of which are described below and all according the intellectual property docket of Blakely Sokoloff Zafman \& Taylor LLP ("BSTZ"), the Company's most recent patent counsel, attached herein as Exhibit C. We have also enclosed a new portfolio updated with the USPTO that confirms many of the Company's allegations.

Moreover, as it is plain to see, Exhibit C contains patent applications written into Utley; the filing of a patent application requires the submission of Declaration that requires bona fide inventors to sign oaths and Respondent had those oaths signed and submitted to the

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USPTO with knowingly wrong information by both the Respondent in his supervisory capacity and Utley. Still further, in Utley's deposition in the Florida State Litigation when asked about his knowledge of patent applications in his name, Utley denies such knowledge, and such patent applications in Utley are not the property of the Company, and further, when asked about the Company's technology being embedded into digital cameras, Utley steadfastly, again denies such knowledge, when it is plain to see from Exhibit C that Utley is the named inventor on a Foley patent application, written under the supervision of Respondent, titled "Zoom and Pan Imaging Design Tool" and "Zoom and Pan Imaging Using a Digital Camera;." Respondent withholds these records from the Company.

Additionally, where harm befalls the Company, it similarly befalls its stakeholders such as its shareholders, fraud on a registered bank holding company Wachovia Corporation through its affiliate Wachovia Securities, Inc., and others who were under the impression that the Company had full right, title, and interest in the patent applications; the uncovering of this debacle led Wachovia to remove itself from a potential private placement of $\$ 12$ million for the Company, as well as the likes of AOL/Time Warner and SONY Corporation canceling licensing agreements and strategic investments in the Company, where, upon information and belief, the lieutenant of Respondent, Douglas A. Boehm ("Boehm") of Foley, was terminated as a result of these circumstances under the direction of Respondent in the continuance of his pattern of invention misappropriations with Utley ${ }^{5}$. Many assignments were never made and many patents have been allowed to lapse due to this negligence.

Consequently, when Respondent clings to the technical aspects of Utley's employment contract with the Company, and where he conveniently fails to mention the Patent Act's requirements of all bona fide inventors named on a patent application for undisputed assignment of the invention to the Company, but steadfastly embraces to the singular argument of the intellectual property clause in the Utley employment contract with the Company, Respondent's argument is a feint that constitutes the fourth of Respondent's profuse attempts at insults to the intelligences of the Virginia Bar.

Finally, while it is the Company's end with respect to the Complaint to charge the Respondent with the professional misconducts cited herein, it is only fair to advise the

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Virginia Bar that, upon investigation, the allegations cited in this paragraph, interalia, constitute intent to deceive the USPTO.

## E. Respondent's Reference to Listed Inventors Bernstein, Utley, Rosario, Shirajee, and Friedstein in Various Combinations ${ }^{6}$.

In this respect, Respondent's attempt to mislead the Virginia Bar is frighteningly misrepresentative, and bordering on the outright falsehoods he inflicted upon the Company, to the Virginia Bar on account when he so casually inserts Paris Conference Treaty ("PCT") cover pages into his response citing listed inventors as Bernstein, Utley, Rosario, Shirajee, and Friedstein, in various combination.

Furthermore, and as stated in paragraph D above, what Respondent fails to mention to the Virginia Bar is that under the Patent Act, courts have held that where all bona fide inventors fail to be named on any provisional or non-provisional patent application, the assignment of that patent applications fails, but only for any correctly named bona fide inventor. In other words, where Respondent knowingly and willfully inserts the name of Utley on any of the Company's provisional, non-provisional, or PCT patent applications, the Company only benefits from the eventual assignment of a bona fide inventor, but not the other bona fide inventors that Respondent effectively "bumps off" the patent application and substitutes them with Utley; in some cases, the Company benefits from only two thirds of the invention (Bernstein/Utley/Shirajee or Rosario patent applications) or one-third of the invention (Bernstein and Utley patent applications) or none of the invention (Utley only patent applications), and irrespective of whether said patent application was abandoned or continued, the subjects of which are described below, and all according to Exhibit C. Again, since Utley should not be an inventor on these applications as the inventions were done by others, these points become mute in light of the fact that the Company has now been forced to file with the USPTO the claim of "intent to deceive" when changing the patents as the simple fix attested to by Respondent only works when clerical errors are the cause. The Company now must have inventors added or subtracted through a much more time consuming and costly fashion.

Moreover, the Company theorizes, that the only reason these combinations exist is as a result of the confrontation between the inventors and Utley (see Section III for the many differing and incorrect combinations of inventors) and that Respondent had to account for a second set of patents naming Utley as sole inventor; several of these filings have no inventor oaths or signatures which is not uncommon except in instances whereby
${ }^{6}$ Ibid.
THE COMPANY'S END WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS a means to try any civil, criminal, or patent rule violations through THE MECHANISM OF THE VIRGINIA BAR.

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inventors are added without knowledge of other inventors, all part and parcel of Respondent's elaborate cover up.

Consequently, when Respondent embraces the various combinations of bona fide inventors, and where he conveniently fails to mention the Patent Act's requirements of all bona fide inventors named on a patent application for undisputed assignment of the invention to the Company, but steadfastly clings to the singular argument of the various combinations of bona fide inventors, except for Utley, Respondent's argument is a feint that constitutes the fifth of Respondent's copious attempts at insults to the intelligences of the Virginia Bar. When Mr. Utley is included in application this is materially false and now causes the Company harm in fixing such applications with USPTO. Were assignments are today still incomplete, investors and potential investors are concerned over what they own.

Finally, while it is the Company's end with respect to the Complaint to charge the Respondent with the professional misconducts cited herein, it is only fair to advise the Virginia Bar that, upon investigation, the allegations cited in this paragraph, interalia, constitutes intent to deceive the USPTO, the European Patent Office ("EPO"), and the Japanese Patent Office ("JPO").

## F. Respondent's Lack of Understanding of the Term "Burying'."

In this instance, Respondent admits to having no knowledge of the term "burying" when referencing patent applications originally filed by Joao under the direction of Rubenstein, but at the same time denies any and all of the Company's charges of the knowing, willful, and with malice "burying" of the Company's inventions, and the Company finds itself asking "Is this a non-denial denial by Respondent," and answers itself by stating "No, but only when Respondent attempts, yet again, to mislead the Virginia Bar by his supposed lack of understanding of the term "burying" when referencing patent applications."

Moreover, following upon this seemingly non-denial denial, and for Respondent's benefit, the Company turns to the URL at http//www onelook.com/ and selects the Merriam-Webster's Online Dictionary, 10th Edition's definition of "burying" finding, interalia:

1 to dispose of, and
2 to conceal; and
3 to cover from view.

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More specifically, example of burying the patent would be whereby Respondent directs Boehm and Becker to file patents with wrong or sparing information in the Company patents so as to prevent their issuance ${ }^{8}$, while writing patents into Utley without knowledge, consent, or authorization of the Company and fails to disclose these patents to the Company until after the fact, further after being caught with this other set of patents, Foley is ordered to carbon copy Company inventors and Bernstein and yet we find them filing these patents without a single acknowledgement of anyone but Utley who stood to prosper from such. Moreover, had this series of events continued, patent applications for inventions such as digital zoom and pan systems on a digital camera would been in the sole possession of Utley; circa 1998, the time period of this invention, there was no other effective way of zooming on a digital image, let alone panning, without significant distortion for the viewer.

Consequently, when Respondent's non-denial denial of the term "burying" when referenced to patent applications, Respondent's argument is a feint that constitutes the sixth of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar.

## G. Respondent's Reference to the Company's New York Bar Complaints against Kenneth Rubenstein and Raymond A. Joao as "dismissed. ${ }^{9}$ "

Here, Respondent again attempts to mislead the Virginia Bar by reciting the status, and incorrectly I might add, of the Company's New York bar complaints against individuals, that bear not one scintilla of relevance to the Company's Complaint against Respondent.

Nevertheless, to set the record straight, and for Respondent's information, the above referenced bar complaints have NOT been dismissed, but were temporarily deferred pending the outcome of the Florida State Litigation, and since the final adjudication of the Florida State Litigation where no trial of the matters contained herein ever occurred, the Company has requested the reinstatement of the above referenced bar complaints with the New York bar and have resubmitted same for investigation which is currently under way.

Consequently, when Respondent knowingly and willfully recites misinformation concerning the status of the above referenced bar complaints, Respondent's argument is a

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material falsehood that, while it constitutes the seventh of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar, factually should make the Virginia Bar stand up and take notice of what material falsehood one of its members, in Respondent, would bring forth in a matter as serious as the Complaint.

## H. Respondent's Reference to the Company's Florida Bar Complaints against Christopher C. Wheeler as "dismissed. ${ }^{10 "}$

In this respect, Respondent again attempts to mislead the Virginia Bar by reciting the status, and incorrectly again I might add, of the Company's Florida Bar complaint against an individual, that bears not one iota of relevance to the Company's Complaint against Respondent. The Florida Bar has never formerly investigated the case and therefore the Florida Bar cannot make an opinion in favor of either party at this time.

Still further, and as of January 2004, the complaint stands before the Chairperson of the Grievance Committee of The Florida Bar, and the Company fully expects the opening of an investigation, and that Wheeler shall receives discipline, whether by admonishment, reprimand, suspension, resignation, or disbarment.

Consequently, when Respondent knowingly and willfully recites misinformation concerning the status of the above referenced bar complaint, Respondent's argument is a material falsehood that, while it constitutes the eighth of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar, factually should make the Virginia Bar stand up and take notice of what material falsehood one of its members, in Respondent, would bring forth in a matter as serious as the Complaint.

## I. Respondent's Reference to the Denial of the Conıpany's Counterclaim in the Florida State Litigation and Subsequent Proceedings Going to Trial ${ }^{11}$.

Here, Respondent again attempts to mislead the Virginia Bar by intentionally misconstruing the ruling on the Company's motion to assert a counterclaim for damages, whereby said counterclaim was denied, not heard, but for the singular reason of the amount of time between February 2002 to January 2003 that the Company's counsel, Steven M. Selz, Esq., took to review what amounts to tens of thousands of pages of evidence procured from a variety of sources and build the counterclaim.

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Secondly, the proceedings did not go to trial, but resulted in a default judgment against the Company for failure to timely retain replacement counsel for what had begun as a simple billing dispute to circumstances of grand proportions. Moreover, this feint when combined with the bar complaint misinformation, is intended to lead the Virginia Bar to think that a trial was held where the allegations were tried and that the Company lost after a trial, and after all the allegations of the counterclaim were heard and tried. Respondent then attempts to link the outcome of this case with the false statements he makes about the Bar complaints to give the impression that the respective State Bars have decided in favor of the respective respondents, where again it is implied that the respective State Bars had reviewed the facts of the case in an investigation and similarly concluded with the results of the trial to vindicate the various respondents.

Consequently, when Respondent knowingly and willfully recites misinformation concerning the final adjudication of the above referenced case, Respondent's argument is a material falsehood that, while it constitutes the ninth of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar, factually should make the Virginia Bar stand up and take notice of what material falsehood one of its members, in Respondent, would bring forth in a matter as serious as the Complaint.

LASTLY, FOR THE CONVENIENCE OF THE VIRGINIA BAR, THE COMPANY ATTACHES THE COUNTERCLAIM HEREIN AS EXHIBIT D, BUT UNLIKE THE COUNTERCLAIM, THE COMPANY'S ONLY GOAL WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS A MEANS TO TRY THE CIVIL ACTIONS OF THE COUNTERCLAIM THROUGH THE MECHANISM OF THE VIRGINIA BAR.

## J. Respondent's Reference to all the Company's Bar Complaints Being Dismissed ${ }^{12}$.

See paragraphs G to I above.

## K. Respondent's Reference to the Unpaid Legal Bills of Foley \& Lardner LLP ("Foley").

In this respect, Respondent again attempts to mislead the Virginia Bar by recalling the past due payables of the Company from the professional misconducts of Respondent, Boehm, and Becker of Foley. Moreover, the Company accounts for those payables on its

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general ledger as long-term accounts payable that at the resolution of the facts of the counterclaim in the Florida State Litigation in any court of competent jurisdiction at the Federal or State level, the Company is highly confident that those payables shall be reduced to nil upon the final adjudication or settlement of any claims according to the counterclaim in the Florida State Litigation; the Company notes with interest that, suddenly, Foley through Respondent claims that they have an unpaid bill, and without one telephone call or one letter asking for payment, but now in response to the Complaint the Company finds itself threatened with litigation, perhaps another attempt at cloak the professional misconducts of Respondent.

Consequently, when Respondent rolls to the right and hopes that the Virginia Bar looks to the left on this issue, Respondent's argument is feint that constitutes the eleventh of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar.

## L. Hearsay Statements of P. Stephen Lamont

In this instance, Respondent points to the signature of P. Stephen Lamont, the duly appointed Chief Executive Officer of the Company since December 3, 2001 ("CEO Lamont"), who executes the Complaint along with Eliot I. Bernstein, the Company's Founder \& President, whereby Mr. Lamont's participation has not relied upon the statements of Mr. Bernstein, but, rather relies upon his review, along with Selz and Rogers, Esq., of the tens of thousands of pages of documents which include patent documents, teleconference transcripts, and taped conversations surrounding the circumstances of the Complaint, and the documentation of which, in part, the Company submits as conclusive evidence of professional misconducts in Section III below.

Consequently, when Respondent's charges that by virtue of Mr. Lamont's signature on the Complaint, he has benefited by the hearsay statements of Mr. Bernstein, despite Mr. Lamont's review of tens of thousands of pages of documentation that include, but are not limited to patent documents, teleconference transcripts, and taped conversations, Respondent's argument is a feint that constitutes the twelfth of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar. Additionally, the initial complaint was co-signed by Mr. Bernstein and duly noted by Noel Sengel of the Virginia Bar.
M. Respondent made no legal decisions concerning Iviewit ${ }^{13}$.

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In this respect, the Company points to Respondent's own statements that he did the initial legal work, made the decision to assign the day-to-day duties to Boehm and Becker, under his supervision, and billed for various services as the lead on the Company's account. Moreover, Utley points to Respondent as a world renowned patent expert from IBM, and it is this status the Company sought and secured for its patent prosecution process, but like any other engagement, the Company must accept the junior patent attorneys of Boehm and Becker, in handling the more mundane day to day duties under the supervision of Respondent, all documentation attached herein as Exhibit E. Moreover, as exhibited in the letters from Alan Epstein, Esq. of Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Morris, letters reviewed and approved by Utley, it is Respondent who is headlined for investors as the person in charge of the Company's account; all investors and the Board of Directors were reliant on Respondent leadership and supervision of the filings, and where the experience of subordinate attorneys such as Boehm and Becker, the Florida based Company did not need to travel to Wisconsin to secure, but could have done so in its own backyard. As shown by Exhibit E, all decisions were made by Respondent with Utley and their past history at DTE points to a pattern of invention misappropriation that can only be cloaked where Respondent hides behind his subordinate attorneys, whom he admittedly mentors and teaches, but "mentors and teaches what?", asks the Company.

Further, Dick states to the Virginia Bar that he was not involved with Wachovia Securities and inducing them, with others into working with Iviewit and yet as is illustrated from the billing entries for Mr. Dick he was present at several of the key meetings with Wachovia Securities and Maurice Buchsbaum (representative of Crossbow Ventures the Companies lead investor) wherein it is apparent that it was Mr. Dick whom everyone turned to in regard to Iviewit patent matters and certainly not his underlings. Mr. Dick at these meetings made the major representations regarding Iviewit and the patent filings.

Consequently, when Respondent knowingly and willfully claims to have made no legal decisions when viewing the documentation of Exhibit E, Respondent's argument is a material falsehood that, while it constitutes the thirteenth of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar, factually should make the Virginia Bar stand up and take notice of what material falsehood one of its members, in Respondent, would bring forth in a matter as serious as the Complaint.

## N. Respondent has no knowledge of lapses by Joao ${ }^{\mathbf{1 4}}$.

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In this instance, the reasons for the engagement of Respondent, through the introduction by Utley and Wheeler, was a result of the missteps by Joao, wherein Respondent was engaged to calm the outcries of investors, and throughout that period, in supervision of Boehm and Becker, the discussions surround correcting Joao's work, identifying problems in Joao's patent applications, as Respondent was monitoring work according to the teleconference transcripts and letters attached herein as Exhibit F. These conversations took place after Utley was found with two sets of patent book and whereby the Company found patents fraught with errors and incorrect inventors and fragments of what appeared to be evidence indicating that Utley was patenting concepts in his own name learned in the Iviewit lab and invented by others and not disclosing his intentions to anyone. Prior to these taped transcripted meetings contained herein Bernstein found Foley taping meetings in which they were asking many strange questions regarding the patents and the inventorship, trying to have Bernstein agree to Utley as an inventor and all after months of knowing and having met with the inventors in an attempt to cover up what had occurred. When Bernstein asked if they were taping the call Foley's patent group replied that indeed they were. After Bernstein advised Board members of this, it was determined that Bernstein would tape all subsequent meetings. Bernstein was outraged at the attempt to have him answer questions while taping him without his knowledge and stated that no tapes without his authorization should be done again and that all prior tapes be destroyed.

Moreover, a series of taped meetings transpired wherein the discussion, the first centered around many of the problems with Joao's initial patent filings, Respondent was then charged with correcting the errors of Joao's filings through his orders to his underlings and was to investigate and report Joao for the errors while the underlings corrected them. None of the changes were completed, including assigning the patents to Company that prior had been claimed to be assigned by Foley and in the transcript it is clear that the Board members were very concerned about investor fraud, including fraud upon the seed investor Wayne Huizenga. Further, Foley states that Joao's work is so off base that they would need to completely re-write a new patent and that the Company would have some risks. As Board members become very concerned it then was decided that Foley would go back and amend the Joao work to save the original filing date but that because Joao failed to patent key elements the patent office could reject the work as new matter therefore causing loss of the invention. Even in the new filing they filed the Company is at the same risk of rejection and now stands with more problems than when they took over. After the meetings Dick and his team are charged with making the inventor changes and content changes and reporting Joao for his errors.

From the Company's recent work with the patent office to correct these errors caused by Respondent which are still wrong as of this date, we find that the process whereby

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inventors were left off applications with intent to deceive which is what the Company claimed to Foley regarding Joao's work, would have taken a petition to the Commissioner of Patent \& Trademarks stating fraud upon the United States Patent and Trademark Office. All changes to inventors from the Joao filings to the Foley filings would have had to undergone this procedure of petitioning the commissioner and therefore we ask how could these changes have been made by Foley without this process. Finally, the changes to inventors even if done without claiming fraud upon the USPTO would have had to written statements filed by each inventor approving of the inventor changes and certain forms would have had to be filed in this regard, the Company has no record of these, nor are they in any copies of any law firms files.

The assignments that were to be filed on Joao's filings were not made and Foley claims they were filed to Virginia Bar but have no files to verify their claim. The reason they have no copies of these is that they remain today not filed. Furthermore, several of the new filings Foley made are still unassigned. The fact that Foley lawyers state in the transcripts that they are completing the assignments that week, although the Company had been prior told they were filed, Foley still never filed them and they remain unassigned as of this date. Again, this is in response to the issue of fraud raised in the transcripts committed upon the shareholders of Iviewit if they were not filed and the lack of filing them indicates that fraud indeed has been committed upon the shareholders of Iviewit. Maurice Buchsbaum, an agent for Crossbow Ventures and Simon Bernstein then (Chairman of the Board), brought up the question of fraud. Mr. Wheeler was charged with answering the question and reporting back to the Company, which he failed to ever do.

Consequently, when Respondent claims to have no knowledge of the lapses by Joao, and when viewing the documentation of Exhibit F , Respondent's argument is a material falsehood that, while it constitutes the fourteenth of Respondent's numerous attempts at insults to the intelligences of the Virginia Bar, factually should make the Virginia Bar stand up and take notice of what material falsehood one of its members, in Respondent, would bring forth in a matter as serious as the Complaint.

## III. SPECIFIC RULES VIOLATIONS AND EVIDENCE THERETO

DISCLAIMER: Throughout this Section III, the Company does not attest to the validity of any documents as the Company cannot source original documents that have been lost by past patent counsels and, further, that it is the Company's

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## contention that many of such documents may be fraudulent representations of the originals in an effort by past patent counsels to cloak the professional misconducts enumerated herein.

For ease of reference and to pictorially frame for the Virginia Bar the specific rules violations, the Company references the intellectual property docket ("IP Docket") attached herein as Exhibit $G$, constructed from the dockets of past patent counsel, and continually cited by the Company in this Section III.

## A. RULE 1.8 (b) Conflict of Interest: Prohibited Transactions.

That Respondent used information relating to the representation of the Company, for the advantage of Respondent and a third party, and to the disadvantage of the Company by knowingly, willfully, and with malice, transferring patents using Boehm, Becker, and Foley so as to name Utley as the sole holder of multiple patents in his individual name and capacity when in fact they were and arose from the technologies developed by Bernstein and others and held by the Company prior to Utley's employment with the Company.

That Utley confronted Mr. Bernstein, on the night before filings were due at the USPTO, to sign blank signature pages for patent applications, contrary to the assertion of Respondent that inventors had time to review or correct applications ${ }^{15}$. Moreover, when Mr. Bernstein demanded to review the patent applications, Utley refused, forcing Mr. Bernstein and another employee, James F. Armstrong ("Armstrong") a resident of Fair Haven, N.J. with a telephone number of 732-747-4353, to seize the patent books from Utley who became irate, and wherein Mr. Bernstein and his assistant, Jennifer Kluge, photocopied the two sets of books.

Moreover, what Mr. Bernstein and Armstrong then discovered was: (i) patent applications in one book did not match at all the patent applications in the other book and that in one book, the inventors were all changed and incorrect and the content was not describing to one skilled in the art how to make and use the invention; ${ }^{16}$ (ii) fragmented evidence of patent materials indicating that Utley might be filing other patents as his own that the Company had never been aware of; and, (iii) later, what Respondent ended up filing is completely different than what the inventors changed and signed for. After meetings in which the errors are addressed and corrected Foley still files incorrect filings leaving the Company to hire counsel to fix the mistakes at further expense to the

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Company as Foley billed for these mistakes. Further, after all this was exposed they began an assault on Bernstein that lingers in the response of Dick submitted, as well as, a host of letters and calls insinuating that he was not a good inventor or a wildcard, Bernstein has felt slandered by such insults.

That Respondent, Utley, Wheeler, Rubenstein, Joao, Foley, and Proskauer with such intent, directed that certain patent rights be put in the name of Utley (indicating future benefits to Respondent) and/or that such patent rights were modified or negligently pursued so as to fail to provide protection of the intellectual property, resulting in the ability of other clients of Respondent, Wheeler, Rubenstein, Joao, and Proskauer to make use of such technologies without being liable to the Company for royalties normally arising from such use as described in the specific patent applications below, and attached herein as Exhibit H. All information in the patent documents contained herein is second hand information as our former patent counsel has now lost the Company's original files. We had informed our former counsel that we needed them for many of the Federal and State complaints now filed, including the Virginia Bar and they claim to have sent them with no receipts or verification that they were received and the Company. The Company is now preparing yet another attorney complaint for this. Therefore, the records the Company maintains of the patents are missing filing stamps for verification and we await the help of the USPTO who is working overtime to help the Company get its patents suspended while these matters can finally after years of attorneys failing to report other attorneys and thereby neglecting to fix the patents so as to not expose their brethren is finally about to come undone and the wrongs may get righted. We are currently in the process of securing a set of documents for verification from the USPTO and per the Virginia Bars direction we are submitting the complaint with the documents in the Company's possession yet we attest not to the validity of any of them. In speaking with the Virginia Bar we were requested upon asking for an extension to send them in without verification as the Virginia Bar was in a hurry to begin work on this matter and the Company was informed that we would be able to supplement the complaint as this additional information is gathered:

## 1. Provisional Patent Application 60/233,341

## Areas of Professional Misconduct

- An invention of learned in the Iviewit labs is written and filed in the singular name of Utley at the direction of Respondent; and
- The invention, according to the IP Docket of Exhibit G, provides for no assignment to the Company, and contrary to the representations of Respondent to

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Company investors. The inclusion of this patent in the portfolio that the Company has no rights, title or interests in, is again a fraud upon the shareholders and investors of Iviewit, as well as, the Virginia Bar as it is included in Dick's response; and

- As this patent application does not appear in the Foley docket from which, in part, Exhibit G, was constructed until after Utley was terminated for cause and Respondent was discharged; the Company states this patent application was one contained in a second set of patent books at the direction of Respondent; and
- The Company and its Counsel have an incomplete record of this filing, missing virtually all filing information and no signatures or verification of any of the information regarding this application. The Company is waiting for information from the USPTO who has informed the Company that they may have to sue Utley under his employment contract to get them returned to Iviewit, as, while listed on the Company's IP Docket, the Company presently has no right, title, or interest, in this application; and
- Despite repeated requests throughout the transcripts Exhibit F to have the Company notified and copied on any correspondences regarding the patents, including copies to Bernstein, Foley fails to copy anyone but Mr. Utley regarding these filings and it is the contention of the Company that these letters were created after the fact; and
- The listing of this patent on the portfolio also constitutes shareholder fraud.
- Finally, this patent work for Utley then gets included in Iviewit's billings, and it is the Companies contention that this occurred only after Utley was caught with his second set of filings. As a means to cover up, they later went back and changed the billing records, yet it appears unethical to bill a Company for a patent they do not own.


## 2. Provisional Patent Application 60/233,344

## Areas of Professional Misconduct

- An invention of Bernstein, Zakirul Shirajee ("Shirajee"), and Jude Rosario ("Rosario") is written and filed, on information and belief, in the singular name of Utley at the direction of Respondent; and
- The invention, according to the IP Docket of Exhibit G, provides for no assignment to the Company, and contrary to the representations of Respondent to Company investors. The inclusion of this patent in the portfolio that the

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Company has no rights, title or interests in, is again a fraud upon the shareholders and investors of Iviewit; and

- An invention of Bernstein, Shirajee, and Rosario fails to list them as inventors; and
- As this patent application does not appear in the Foley docket from which, in part, Exhibit G, was constructed until after Utley was terminated for cause and Respondent was discharged; the Company states this patent application was one contained in a second set of patent books at the direction of Respondent; and
- The Company and its Counsel have an incomplete record of this filing, missing virtually all filing information and no signatures or verification of any of the information regarding this application. The Company is waiting for information from the USPTO who has informed the Company that they may have to sue Utley under his employment contract to get them returned to Iviewit, as, while listed on the Company's IP Docket, the Company presently has no right, title, or interest, in this application according to the invention of Bernstein, Shirajee, and Rosario; and
- Despite repeated requests throughout the transcripts Exhibit F to have the Company notified and copied on any correspondences regarding the patents, including copies to Bernstein, Foley fails to copy anyone but Mr. Utley regarding these filings and it is the contention of the Company that these letters were created after the fact; and
- Although Foley \& Lardner claims this patent was invented by Utley and Bernstein, both the USPTO and counsel BSZT \& Greenberg Traurig have verified that Mr. Bernstein is not listed as an inventor; and
- Finally, this patent work for Utley then gets included in Iviewit's billings, and it is the Companies contention that this occurred only after Utley was caught with his second set of filings. As a means to cover up, they later went back and changed the billing records, yet it appears unethical to bill a Company for a patent they do not own.


## 3. Non-Provisional Patent Application 09/630,939

## Areas of Professional Misconduct

- An invention of Bernstein, Zakirul Shirajee ("Shirajee"), and Jude Rosario ("Rosario") is written and filed in the name of Utley and Bernstein at the direction of Respondent; and

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- The invention, according to the IP Docket of Exhibit G, has no assignment to the Company, and contrary to the representations of Respondent to Company investors. Foley states in the transcripts that assignments have been filed and no assignment as of this date is on file with the USPTO; and
- A BLANK Power of Attorney is submitted to the patent office and five months later Foley secures a supposed signature of Bernstein \& Utley, although Bernstein never saw an application for this and claims his signature on the Power of Attorney and Declaration and Oath were switched. Either way, one year later the Oath and Declaration are still missing from the patent office and BSZT then has to file it again and they amazingly file one for Utley and Bernstein.
- An invention of Bernstein, Shirajee, and Rosario fails to list them as inventors; and
- As this patent application does not appear in the Foley docket from which, in part, Exhibit G, was constructed until after Utley was terminated for cause and Respondent was discharged; the Company states this patent application was one contained in a second set of patent books at the direction of Respondent; and
- The Company and its Counsel have an incomplete record of this filing, missing virtually all filing information and no original signatures or verification of any of the information regarding this application.; and
- Despite repeated requests throughout the transcripts Exhibit F to have the Company notified and copied on any correspondences regarding the patents, including copies to Bernstein, Foley fails to copy anyone but Mr. Utley regarding these filings and it is the contention of the Company that these letters were created after the fact; and
- Finally, this patent work for Utley then gets included in Iviewit's billings, and it is the Companies contention that this occurred only after Utley was caught with his second set of filings. As a means to cover up, they later went back and changed the billing records, yet it appears unethical to bill a Company for a patent they do not own.


## 4. PCT Patent Application US00/15602

That Respondent, through the Declaration of Becker in an attached exhibit from Grossman, claims "There is no file for Foley \& Lardner 57103/117. This number was skipped in our numbering sequence ${ }^{17}$." Yet, Respondent submits, through the Declaration of Becker in an attached exhibit addressed to Utley with a carbon copy to Boehm, the

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existence of a Foley "Our Ref.: 57103/117 ${ }^{18}$;" the Company maintains that Mr. Bernstein and Friedstein saw the 117 filing with the bona fide inventors and this file 117 was replaced by Foley docket no. 118 naming Utley as an inventor, the day before filing. It would appear highly unusual that the inventors would change after months of work, the day before the filing and that applications would be thrown out and portfolio numbers such as 117, that were billed for would disappear instead of being corrected on the docket. In all drafts reviewed by inventors Friedstein and Bernstein, of either 117 or 118 there is no Utley listed as an inventor on the application and only on the filed document the next day does Utley's signature appear. This file was not maintained in the Company files and was only found when files were transferred from Foley, after Utley was caught with two sets of patent books. Foley claims that on the day before filing 117 after drafting the application that it was Bernstein whom cancelled the application in one of the letters the Company cannot attest to the validity of. As mentioned, the Company found that Foley and Utley were taping conversations in which they were trying to couch the inventors into statements regarding the inventors. Once the Company found out they were taping we requested that no tapes be made without all parties agreeing and asked them to destroy any such recordings. These tapes are what led to the Company taping all further calls at the direction of several Board members so as to protect the Company. In fact, in the taped calls that are exhibited herein, Foley is made to explain what would allow Utley to be on any applications and continuously state that he should not be on any applications whatsoever in the event that any previous unauthorized taping is exhibited as any defense of any actions they may have taken.

One must understand that finding Mr. Utley with a second set of "cooked" patent books led the Company to take very calculated steps, such as playing along to find out more information while we ascertained the scope of the problems that confronted the shareholders. Several letters drafted by Foley, all indicate them trying to change these inventors the day before filing, letters the Company cannot attest to the validity of, other than as attempts to cover up for these malfeasances after the fact. Further, the letters try and indicate that these changes were approved, yet it would have taken more than verbal approval according to the patent procedures to change inventors, such as filing forms with all inventors agreeing to such changes in writing or declaring intent to deceive regarding the provisional filings to have any new inventors added to the non-provisional filings. Finally, due to the gross neglect of Foley and Respondent in properly correcting the inventors and further trying to add Utley to the patents, the Company has now had to petition the Commissioner directly with the USPTO.

## Areas of Professional Misconduct

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- Utley in deposition states he invented nothing on this application yet he is listed as an inventor.
- Utley reviews a copy of Foley Docket 118 without him as an inventor and makes no changes.
- An invention of Bernstein and Friedstein before Utley was even at the Company, originates as the Foley file 117, where Respondent fails to file the application with the USPTO, blaming it on conversations with Bernstein. Bernstein requests that all written documentation regarding this decision be procured by the Virginia Bar to ascertain this claim. In all drafts reviewed by inventors Friedstein and Bernstein, of either 117 or 118 there is no Utley listed as an inventor on the application and only on the filed document does Utley's signature appear. This file was not maintained in the Company files and was only found when files were transferred from Foley, after Utley was caught with two sets of patent books.
- An invention of Bernstein and Friedstein is written and filed in the names of Bernstein, Friedstein and Utley at the direction of Respondent. Inventors Friedstein and Bernstein review 117 and then the very next day, upon filing the inventors change to Friedstein, Bernstein and Utley and the docket 117 is lost and is replaced with 118 .
- A US filing is missed and may be unrecoverable
- Utley's employment contract is submitted to remove him from the patent


## 5. Non-Provisional Patent Application 09/587,734

## Areas of Professional Misconduct

- An invention of Bernstein, Shirajee, and Rosario is written and filed in the names of Bernstein, Rosario, and Utley at the direction of Respondent; and
- Application is made with blank power of attorneys for Bernstein, Rosario and Utley; and
- Utley signs and executes as an inventor knowing that he was not and Respondent submitted it.


## 6. PCT Patent Application US00/15406

## Areas of Professional Misconduct

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- An invention of Bernstein, Shirajee, and Rosario is written and filed in the names of Bernstein and Shirajee (or just Bernstein as the Company awaits information) at the direction of Respondent; and
- As this patent application does not appear in the Foley docket from which, in part, Exhibit G, was constructed until after Utley was terminated for cause and Respondent was discharged; the Company states this patent application was one contained in a second set of patent books at the direction of Respondent..
- Filed without inventor signatures on Oath and Declaration

The remaining patents are shown with evidence to the misconduct committed after these have been illustrated, we will update the Virginia Bar on these shortly.

To the best of my knowledge I, Eliot I. Bernstein, have not invented anything with Brian Utley. I can attest that I know that Shirajee, Rosario and Friedstein have never invented anything with Brian Utley. In any instance where Brian Utley is an inventor a fraud upon the USPTO has been committed by Dick and Utley, the other attorneys were merely casualties of their behavior who were "just following orders." Dick was fully apprised of the problems with the Joao and Rubenstein filings, he was the person in charge of fixing everything, any attempt at a mentor to hide behind his underlings is ridiculous, he must be held accountable for all errors. Letting him cloak behind his juniors would be similar to saying that Osama Bin Laden is not guilty for the World Trade Center because he just gave the orders and did not pilot the plane.

What the inventor's saw and what was filed is materially different and Dick and Utley were reviewing all applications. I have met Dick several times and he was the person that every investor relied on in charge of overseeing the patents and what they now have to show for his work is a travesty. The cost of repair is an unknown at this point because of Respondent and the inventions may be lost. Certainly the Virginia Bar must see a pattern here that has cost two companies their fortunes and must revoke Dick's license immediately to prevent further instances of damage to the trusting public.

## B. RULE 3.3 Candor Towards the Tribunal.

That Respondent, in his representation of the Company, interalia, has made a false statement of fact and law to a tribunal, and failed to disclose a fact to a tribunal when disclosure is necessary to a criminal or fraudulent act, and has offered documentations that the lawyer knows to be false, or has offered documentations that the lawyer comes to know as being false and fails to take remedial measures whereby Respondent had

Noel D. Sengel
March 22, 2004
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knowledge that Mr. Bernstein and several members of the Company's board of directors ("Board") members were not content with the provisional filings of Joao.

More specifically, and as background to the Joao deficiencies, the Company did not seek simple verbal assurances, but wanted a review of the patents applications, akin to the 1999 review of the patent applications by a one Steven Filipek, Esq, engaged for that specific purpose by the Company's seed investor, an affiliate of Wayne Huizenga, of Blockbuster and Waste Management fame. Furthermore, during the Joao engagement, the invention description of the patents seemed altered, and, factually, Joao did alter the invention descriptions before being filed with the USPTO, instances of which Respondent was apprised.

That Respondent knowingly, willfully, and with malice, transferred patents using Foley so as to name Utley as the sole holder of multiple patents in his individual name and capacity when in fact they were and arose from the technologies developed by Bernstein and others and held by the Company prior to Utley's employment with the Company
That Respondent, knowingly, willfully, and with malice fails to list proper inventors of the technologies, resulting in the failure of the patents to include their rightful and lawful inventors.
That Respondent, knowingly, willfully, and with malice changed the titles of patent applications so as to limit their scope and the claims they stake.

That Respondent knowingly, willfully, and with malice failed to file copyrights for the source code linking the Company's inventions.
That Respondent, Utley, Wheeler, Rubenstein, Joao, Foley, and Proskauer with such intent, directed that certain patent rights be put in the name of Utley (indicating future benefits to Respondent) and/or that such patent rights were modified or negligently pursued so as to fail to provide protection of the intellectual property, resulting in the ability of other clients of Respondent, Wheeler, Rubenstein, Joao, and Proskauer to make use of such technologies without being liable to the Company for royalties normally arising from such as described in the specific patent applications below, and attached herein as Exhibit I:

1. PCT Patent Application US00/15408

Refer to CD ROM exhibit
2. Non-Provisional Patent Application 09/522,721

Refer to CD ROM Exhibit

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See also Section III, paragraph A, subparagraphs $1,2,3,4,5$, and 6 above.

## C. RULE 1.6 (c) (3) Confidentiality of Information (Reporting Misconduct of Anotlier Attorney).

That Respondent had information concerning the misconduct of another attorney and did not reveal that information to the appropriate professional authority, upon the discovery of the "lapses" by Joao, that caused Wheeler and Proskauer to refer the patent matters to Respondent who equally becomes aware of such "lapses" (where lapses as referenced herein are termed knowing, willful, and with malice burying of the Company's inventions in patent applications) as described in the specific patent applications below, and attached herein as Exhibit J:

## 1. Non-Provisional Patent Application 09/630,939

## Areas of Professional Misconduct

- That the predecessor Non-Provisional Patent Application 09/522,721 of Joao when considering the knowing, willful, and with malice burying of the Company's inventions, Respondent should have reported the misconduct of Joao; and
- That if preparing and filing this patent application, Respondent, Boehm, and Becker should have consulted the bona fide inventors; and
- An invention of Bernstein, Shirajee, and Rosario is written and filed in the names of Bernstein and Utley at the direction of Respondent; and
- The invention, according to the IP Docket of Exhibit G, provides for no assignment to the Company, and contrary to the representations of Respondent to Company investors; and
- An invention of Bernstein, Shirajee, and Rosario fails to list Shirajee and Rosario as inventors.


## D. RULE 8.3 Reporting Misconduct

That Respondent had reliable information that another lawyer has committed a violation of the rules of professional conduct that raises a substantial question as to that lawyer's honesty, trustworthiness, or fitness to practice law and failed to inform the appropriate professional authority, as a result of the discovery of the "lapses" by Joao, where Respondent equally becomes aware of such "lapses" (where lapses as referenced herein

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are termed knowing, willful, and with malice burying of the Company's inventions in patent applications), as described in the specific patent applications below, and attached herein as Exhibit J (See Section III, paragraph C, subparagraph 1):

## E. RULE 1.16 (e) Delivery of Former Client's File

That Respondent shall return all original, client-furnished documents and any originals of legal instruments upon termination of representation, but that Respondent knowingly, willfully, and with malice destroyed Company documents to insert reasonable doubt as to the above allegations, and failed to ensure their proper transfer to new patent counsel.
That, the Company maintains, Respondent and the subordinate attorneys, once Mr . Bernstein and Armstrong discovered the second set of patent books, began to falsify their billings records, to insert reasonable doubt as to the above allegations, as described in the specific patent applications below, and attached herein as Exhibit K:

## 1. PCT Patent Application US00/15602

## Areas of Professional Misconduct

Contained on CD ROM with evidence

## F. RULE 1.8 (c) Conflict of Interest: Prohibited Transactions

That a lawyer shall not prepare an instrument giving the lawyer a substantial benefit in representation of a client, wherein Respondent, Utley, Wheeler, Rubenstein, Joao, Foley, and Proskauer with such intent, directed that certain patent rights be put in the name of Utley (indicating future benefits to Respondent) and/or that such patent rights were modified or negligently pursued so as to fail to provide protection of the intellectual property, resulting in the ability of other clients of Respondent, Wheeler, Rubenstein, Joao, and Proskauer to make use of such technologies without being liable to the Company for royalties normally arising from such use (See Section III, paragraph A, subparagraphs $1,2,3,4,5$, and 6 .

## IV. Conclusion

## A. Declaration of Brian G. Utley

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As to the declaration of Utley in support of Respondent, the Company references an electronic mail message from William R. Kasser, a former accounting consultant of the Company to Mr. Bernstein attached herein as Exhibit L.

In the electronic mail message sent by Mr. Kasser to Eliot Bernstein on April 23, 2002, Mr. Kasser, as a result of an account reconciliation, alleges gross fraud in the booking of Company revenues by Utley and a one Raymond T. Hersch, former Chief Financial Officer of the Company.

Thus, and combined with the misrepresentations of Utley as to the circumstances surrounding the intellectual property of DTE and the circumstances surrounding the distorted resume of Utley and the patent application in the singular name of Utley and the Boca Raton, Fla. Police Department report surrounding the theft of the Company's proprietary equipment by Utley and the inconsistencies in his deposition in the Florida State Litigation, as to the reliance in any of Respondent's filings, and/or proceedings in this matter on the testimony of Utley that would seemingly exculpate Respondent, it should be clear to the Virginia Bar that the testimony of Utley is utterly worthless.

## B. Declaration of Douglas A. Boehm

As to the Declaration of Boehm, that Boehm, through the Declaration of Becker in an attached exhibit from Grossman, claims "There is no file for Foley \& Lardner 57103/117. This number was skipped in our numbering sequence ${ }^{19}$." Yet, Respondent submits, through the Declaration of Becker in an attached exhibit addressed to Utley with a carbon copy to Boehm, the existence of a Foley "Our Ref.: 57103/1170;" the Company maintains that Mr. Bernstein and Friedstein saw the 117 filing with the bona fide inventors and this file 117 was replaced by Foley docket no. 118 naming Utley as an inventor.

Thus, as to the reliance in any of Respondent's filings, and/or proceedings in this matter on the testimony of Boehm that would seemingly exculpate Respondent, it should be clear to the Virginia Bar that the testimony of Boehm is utterly worthless.

## C. Declaration of Steven C. Becker

As to the Declaration of Becker, that Becker, through his Declaration in an attached exhibit from Grossman, claims "There is no file for Foley \& Lardner 57103/117. This

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number was skipped in our numbering sequence ${ }^{21}$." Yet, Respondent submits, through the Declaration of Becker in an attached exhibit addressed to Utley with a carbon copy to Boehm, the existence of a Foley "Our Ref.: $57103 / 117^{22}$;" the Company maintains that Mr. Bernstein and Friedstein saw and reviewed the 117 filing with the bona fide inventors and this file 117 was replaced by Foley docket no. 118 naming Utley as an inventor.

Thus, as to the reliance in any of Respondent's filings, and/or proceedings in this matter on the testimony of Becker that would seemingly exculpate Respondent, it should be clear to the Virginia Bar that the testimony of Becker is utterly worthless.

## D. Declaration of Barry L. Grossman

As to the Declaration of Grossman, that Grossman, through the Declaration of Becker in an attached exhibit from Grossman, claims "There is no file for Foley \& Lardner $57103 / 117$. This number was skipped in our numbering sequence ${ }^{23}$." Yet, Respondent submits, through the Declaration of Becker in an attached exhibit addressed to Utley with a carbon copy to Boehm, the existence of a Foley "Our Ref.: 57103/1174";" the Company maintains that Mr. Bernstein and Friedstein saw the 117 filing with the bona fide inventors and this file 117 was replaced by Foley docket no. 118 naming Utley as an inventor.

Thus, as to the reliance in any of Respondent's filings, and/or proceedings in this matter on the testimony of Grossman that would seemingly exculpate Respondent, it should be clear to the Virginia Bar that the testimony of Grossman is utterly worthless.

## v. Testimonials in Support of Company's Complaint

Lastly, in addition to the counterclaim filed in the Florida State Litigation by Selz and the review of documentation by Rogers in supervision of Selz and the review of documentation by CEO Lamont as a basis for the filing of the Complaint along with Mr . Bernstein, in support of the Company's Complaint, we attach as Exhibit M:

[^26]
## iviewit

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## A. Stephen J. Warner and Crossbow Ventures, Inc.

Mr. Warner, the Chairman and Co-Founder of Crossbow Ventures, Inc., and the Company's lead investor, knowing and willing executes an inventor change submission submitted by the Company to the USPTO, wherein that submission contains allegations sufficiently similar to those of the Complaint.

[^27]
## CONFIDENTIALFACSIMILE COVER PAGE

## MESSAGE:

Ken,
Attached is the inventor change form for 09630939 signed by the assignor on the patents. I am still awaiting the other inventors to sign and will forward when I get them. Also, I will be sending in similar signatures for the other applications.

Eliot

| To: Kenneth Weider | From: Eliot I Bernstein |
| :--- | :--- |
| Fax \#: 17033053991 | Fax \#: 5613644240 |
| Company: United States Patent \& | Tel \#: 5613644240 |

Subject: 09630939 Iviewit Inventor Change Form

Sent: $3 / 3 / 2004$ at $2: 39: 52$ PM Pages: 9 (including cover)

# IVIEWIT HOLDINGS, INC. 

```
Eliot I. Bernstein
Founder
Direct Dial: 561.364.4240
VIA-FASCIMILE
```

Thursday, February 12, 2004

## U.S. Patent and Trademark Office

Commissioner of Patent \& Trademarks

## Re: CHANGE OF INVENTOR REQUEST - INTENT TO DECIEVE AND COMMITT FRAUD UPON THE USPTO IS CLAIMED

US SERIAL NO, 09630939

Dear Commissioner of Patent \& Trademarks:
Please let the attached changed of inventors request serve as an official request pursuant Section 37CFR 1.48 to change the inventors. Whereby, intent to commit fraud on the USPTO is the listed reason.

Very truly yours,


Eliot I Bernstein
President
I View It Holdings, Inc. and any/all affiliates
U.S. Patent and Trademark Office Comrnissioner of Patent \& Trademarks
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## CHANGE OF INVENTOR REQUEST USSERIAL NO. 09630939

## PURSUANT TO 37CFR 1.48 INTENT TO DECIEVE AND COMMITT FRAUD UPON THE USPTO

I, Eliot I. Bernstein, as acting President of Iviewit and its affiliates, and as a named inventor on this application, hereby request that the true and correct inventors be added and the wrong inventors removed from this Non Provisional application 09630939 to properly name the inventors of this invention.

The listed and incorrect inventors for this application are:
Eliot I. Bernstein
Brian G. Utley
The true and correct inventors for this application are:

Eliot I. Bernstein

Zakirul Shirajee
Jude Rosario
The reason for this correction:
The true and correct inventors have been purposefully been left off this patent application by three different counsels all failing to correctly fix the inventor issues and wrong disclosures. Since the creation of the invention, our initial counsel in the Provisional filing 60125824 attorneys Kenneth Rubenstein of Proskauer Rose LLP ("PR") and Raymond Joao of Meltzer, Lippe, Goldstein, Wolf \& Schlissel, P.C., ("MLGS") failed after repeated requests to make the inventor and content changes, although they had full knowledge of the correct inventors and the correct invention. In addition, the content of the Provisional application had changed from what the inventors disclosed initially and pertinent disclosures were left out with malice and intent to deceive the USPTO and further deprive the inventors of their inventions. Subsequent counsel to "PR" attorneys William Dick, Douglas Boehm and Steven Becker of Foley \& Lardner ("FL") on this Non Provisional filing, created further errors with the inventors and failed to correct either the inventors or the content of the Provisional. This may now leave the pertinent disclosures left off and incorrect inventors, to serve as new matter in the in subsequent
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Non Provisional filings that claim priority to the Provisional application. Successor counsel to "FL" attorneys Norman Zafman, Thomas Coester and Farzad Amini of Biakely Sokoloff Taylor \& Zafman LLP ("BSZT") also failed to file the corrections despite repeated requests by the Company to get the corrections to the patent office.

Initially, attorneys Kenneth Rubenstein of "PR" and Raymond Joao of "MLGS" knowingly, with malice and intent to commit fraud upon the USPTO, left inventors off the Provisional application after obtaining their signatures and disclosures in meetings. Mssrs: Rubenstein and Joao, on the subsequent Non Provisional Filing (09 522 721) and the PCT ( 0007772 ) filings, despite being aware of the prior problems discovered, made no attempt to fix their errors on the Non-Provisional filing. They further continued the errors of their Provisional filing, despite having the inventors sign and fix the new NonProvisional filings; these changes and signatures were completely discarded by them and again a different application was filed. Mr. Rubenstein, an Advisor to the Board and Shareholder, who under deposition claimed to not know the Company now, had been the first patent attorney to meet with the inventors and receive the disclosures and he represented that he was directing his underling Mr. Joao to do the Provisional filings with his oversight. Raymond Joao was terminated as counsel for this and other patent malfeasances that became uncovered.

To replace "MLGS", "FL" was retained to make corrections to the patents and get the correct inventors listed. Again, it was fully disclosed who the correct inventors were and what the inventions were to each of these attorneys at "FL" for this application and other applications of the Company. After reviewing Mssrs: Joao and Rubenstein's work "FL" found that Raymond Joao had failed to properly list the inventors and left out pertinent disclosures on the filings. Upon finding out about the correct inventors, "FL" attomeys stated that the corrections were being made to the Provisional \& Non-Provisional applications. After meeting with and taking disclosures and signatures of the true inventors, "FL" failed to make the corrections knowingly, with malice and intent to further commit fraud on the USPTO in their Provisional, Non-Provisional and PCT applications filed by them. Further, in instances such as this application where Brain G. Utley is a listed inventor, "FL" added inventor Brian G. Utley, knowingly, with malice and intent to further commit fraud upon the USPTO, knowing that he was not an inventor in any material way to the patents and was not even there when they were invented. Finally, in instances such as this filing, true and correct inventors have been partially left off the application and others were replaced by Mr . Utley as a new inventor.

This application is also a replacement of the original patent the Company had filed with Mssrs: Joao and Rubenstein for the original invention in an effort to let the original patent expire and replace it with this application. Yet, amazingly, the application does not get
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corrected it further gets an entirely new set of inventors, again these inventors are wrong knowingly, with malice and intent to commit fraud on the USPTO. In this Non Provisional application, some of the true and correct inventors were dropped and replaced by Brian G. Utley. Mr. Utley should not be on any applications for the Company, as he has not invented anything.

It will serve to note here that it has come to the attention of the Company after an investigation into Mr. Utley's background that quite the opposite of what his resume states about his prior employment to the Company is true. At his former job as President of Diamond Turf Equipment Inc. in Florida, a company owned by a Mr. Monte Friedkin of Benada Aluminum of Fiorida, Mr. Utley with the aid of Mr. William Dick of "FL", had stolen off with ideas learned while employed at Friedkin's company relating to turf equipment. Mr. Utley had written these patents into his own company, Premiere Consulting, and his own name as inventor with no assignment to the company he worked for, Premiere Consulting was separate and apart from his employer. Upon discovering the absconded with patents, Mr. Friedkin demanded that the patent applications be turned over to the company as they were learned while working at his company by Mr. Utley. Mr. Utley refused to sign them over to his employer and was fired with cause immediately for these patent malfeasances. Mr. Friedkin was forced to immediately close the business and take a substantial multi-million dollar loss on the company due directly to this incident. Additionally, the company, Premiere Consulting, that was set up to receive the patents Mr. Utley misappropriated, was set up by Christopher Wheeler of Proskauer Rose LLP, who was the first person to see the technologies, who then brought to the Company to handle our patents Mssrs: Rubenstein, Joao, Utley and Dick. What Mssrs: Wheeler, Utley and Dick failed to disclose to our Company was the past patent malfeasances and the damage caused to Mr. Friedkin by their actions. I quote from the resume Mr . Wheeler submitted on behalf of his dear friend Mr. Utley to the Company to hire him as President and handle our most prized possession the patents:

## Pervonal Resume

## Profexsional Hotory:



 mote mand hacurer of aew machines whith compere farorably wh the tes of we marke

 suppoting the fapid growth of the compant.
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This resume is materially different from the truth. Mr. Utley was fired for cause and the company Diamond Turf Equipment Inc. closed upon his firing. Understanding that the same people (Wheeler, Utley \& Dick) who had caused this calamity are the very same people who have caused similar harm to our Company, using similar patent malfeasances is core to understanding why our patents have such a bizarre array of problems. The very fact that this was not disclosed in writing and waivers, by any of the attomeys and further lied about in Utley's resume by Mr. Wheeler who procures the false resume to cover this up, is a sign of their intent to commit similar crime upon our Company and perpetrate similar fraud upon the USPTO. Had the Company been aware of this past patent malfeasance they were involved with the Company surely would have never hired any of them.

With this understanding, it appears that the intent of "FL" was to replace patents of the original inventions with patents whereby Mr. Utley was now named an inventor and finally in some instances Mr. Utley was named sole inventor of certain inventions of the Company. These applications in Utley's sole name are for part of the core technology that he did not invent such as this application. Further, "Zoom and Pan Imaging Design Tool" Provisional patent 60233341 and "Zoom and Pan Imaging Using A Digital Camera" Provisional patent 60233344 are further instances whereby "FL" writes patents directly into Mr. Utley's name in an attempt to abscond with core formula's and ideas of the original inventions by the true and correct inventors. These Provisional patents with Mr. Utley as sole inventor with no assignment to the Company, were not disclosed to the Company or its shareholders and were only revealed when the Company found in Mr. Utley's possession a set of patents that was markedly different than what the inventors were seeing and signing for. These inventions were undisclosed to the Company and appear to be filed in an attempt to abscond with core features of the original inventions from the true and correct inventors listed above. When caught with two sets of patent books, similar to maintaining cooked accounting books, Mr. Utley was terminated with cause and "FL" was terminated as patent counsel. This patent 09630939 , has similar elements to their prior patent scam at Diamond Turf, Inc. in that Mr. Utley rewrites with the aid of Mr. Dick and other "FL" attorneys, patents again into his name that were not his inventions. This Non Provisional patent 09630939 was replacing the original Provisional, which Joao had already filed as Non Provisional, which "FL" then claimed Joao's work was so wrong, that correcting it was impossible, and this new NonProvisional needed to be filed with the correct content and correct inventors. Knowing the true and correct inventors and having had them sign applications for what appeared the true invention, "FL" attorneys then threw those signatures and the application out and replaced it with this application before the USPTO, claiming Mr. Utley as an inventor and replacing himself with inventors Mssrs: Rosario and Shirajee.
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Finally, "BSZT" the last attomeys of record handling the patents, also failed to file the correct inventors knowingly, with malice and intent to further perpetrate and cover up such fraud of prior attorneys to the USPTO, after repeatedly being requested to make the changes to them. Upon finding that Mr. Utley was not an inventor of anything and that the inventors were wrong, "BSZT" assured the Company that these issues were being corrected. They had me sign a power of attorney on Mr. Utley's behalf to turn the inventions back over to the Company in his name and remove him from any applications his name appeared on, due to his employment and invention agreements signed with the Company that strictly prohibited such misappropriations. Mr. Utley was to be removed from any/all patents that have his name on them and the ones in which he was named as the sole inventor, were to be corrected and turned back over to the Company. Now, upon contacting the USPTO we find that many of these changes remain unchanged, in what appears another attempt to continue this fiasco and cover up for the attorneys before them, "BSZT" made virtually no changes requested by the Company.

At all times, all attorneys were fully cognizant of the true inventors and the true invention for this application. Finally, all these attorneys failed to report the prior counsels misconduct in these matters to the OED Director or any other department at the USPTO or other Federal Agencies and left the Company with many serious problems in the patents. The incorrect inventors are a great risk to the shareholders of the Company and need to be remedied immediately if possible, as the assignment of these patents to the Company and any successive assignments are not signed by the true and correct inventors and thus pose the question of what they currently have rights to in relation to their investments. Finally, many of the attomeys involved in these patents appear to have financial interests and severe conflicts of interest with the Company whereby the company's inventions being approved would stand in direct conflict with either with inventions of their own (Raymond Joao) or patent pools overseen be them (Kenneth Rubenstein).

Currently, I am listed on the patents for examination purposes and after reviewing the inventors listed have determined on behalf of Iviewit and its affiliates, and, on my own behalf as an original inventor at the time of creation, that the true inventors are as listed above and not what exists currently on this application. I was there at the time of invention and all times relevant hereto, and, swear that all of the following statements are true and correct statements to the best of my knowledge.
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These issues and many other of attorney misconduct in the above mentioned application are currently under a pending investigation with the Director of OED whom advised me to begin correcting the inventor issues with the USPTO Examiners.

Signed on this $11^{\text {th }}$ day of February 2004,

By:

X
Eliot I. Bernstein
President Iviewit and any/all affiliates

X
Eliot I. Bernstein
Inventor
U.S. Patent and Trademark Office

Commissioner of Patent \& Trademarks
Thursday, February 12, 2004
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I have read the attached reasons for change in inventor with the USPTO and approve of the changes.

By:
$\qquad$
Zakirul Shirajee - Inventor
On this $\qquad$ day of February 2004

By:

X
Jude Rosario - Inventor

Stephen Warner - Assignee
Anpine Venture Capital Partners LP

## Eliot I. Bernstein

| From: | Eliot I. Bernstein [iviewit@adelphia. net] |
| :---: | :---: |
| Sent: | Tuesday, March 23, 2004 5:23 PM |
| To: | 'Huizenga Holdings, Inc. - H. Wayne Huizenga Jr.'; 'The Goldman Sachs Group, Inc.'. 'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Morris - Alan Epstein, Esq.''; 'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Morris - Michele Mulrooney, Esq. Michele Mulrooney, Esq.';' 'Huizenga Holdings Incorporated - Cris Branden'; 'Crossbow Ventures ${ }^{T M}$ - Stephen J. Warner'; 'Atlas Entertainment - Allen Shapiro President'; 'Benada Aluminum of Florida - Monte Friedkin, President'; 'Bridge Residential Advisors, LLC - James A. Osterling, President'; 'Cornell Partners - Caroline Prochotska Rogers, Esq.'; 'Crossbow Ventures ${ }^{\text {TM }}$ - René P. Eichenberger, Managing Director'; 'Flaster Greenberg P.C. - Marc R. Garber, Esq.'; 'dg_kane@msn.com'; P. Stephen Lamont (E-mail); Jude Rosario (E-mail 2); Zakirul Shirajee (E-mail); 'Law Office of Mark W. Gaffney'; 'UBS/Paine Webber Inc. - Mitchell Welsch'; 'Quintile Wealth Management - Kenneth Anderson, Partner'; 'Patty Daniels Town \& Country Studio - Patty Daniels, Owner'; 'Ellen Degeneres c/o Amber Cordero'; 'Richard D. Rosman, APC - Richard D. Rosman, Esq.';' 'Rock-lt Cargo USA Incorporated LA - Andrew R. Dietz'; 'Rock-lt Cargo USA Incorporated LA - Barry Becker'; 'Selz \& Muvdi Selz, P.A. - Steven Selz, Esq.'; 'Silver Young Fund - Alan Young'; 'Sony Pictures Digital Entertainment - Divisional ClO of Motion Pictures and Television'; 'Vulcan Ventures - David J. Colter, Vice President Technology'; 'Warner Bros. - John D. Calkins, Senior Vice President New Media Business Development'; 'Air Apparent Incorporated - Donna Dietz, President'; 'Anderson Howard Electric Inc.',' 'jarmstrong1@comcast.net'; John Bartosek (Business Fax); <br> 'anthony. frenden@disney.com'; Chuck Brunelas (E-mail); Guy T. lantoni (E-mail); Jack P. Scanlan (E-mail); Jill lantoni (E-mail); Joan \& Jeff Stark (E-mail); Joseph A. Fischman (E-mail); Lisa Sue Friedstein (E-mail); Maurice R. Buchsbaum (E-mail); Mitchell Zamarin (E-mail); Mitchell Zamarin (E-mail 2); Mollie Anne DeKold (E-mail); Robert Roberman (E-mail); Sal Gorge (E-mail); George deBidart (E-mail); Ginger Ekstrand (E-mail) |
| Cc: | 'Harry I. Moatz - OED Director of the United States Patent and Trademark Office' |
| Importance: High |  |
| Sens | dential |

## Tracking: Recipient

Delivery
'Huizenga Holdings, Inc. - H. Wayne Huizenga Jr.'
'The Goldman Sachs Group, Inc.'
'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Marris - Alan Epstein, Esq.'
'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Marris - Michele Mulrooney, Esq.

- Michele Mulrooney, Esq.'
'Huizenga Holdings Incorporated - Cris Branden'
'Crossbow Ventures ${ }^{\text {TM }}$ - Stephen J. Warner'
'Atlas Entertainment - Allen Shapiro President'
'Benada Aluminum of Florida - Monte Friedkin, President'
'Bridge Residential Advisors, LLC - James A. Osterling, President'
'Comell Partners - Caroline Prochotska Rogers, Esq.'
'Crossbow Ventures ${ }^{\text {TM }}$ - René P. Eichenberger, Managing Director'
'Flaster Greenberg P.C. - Marc R. Garber, Esq.'
'dg_kane@msn.com'
P. Stephen Lamont (E-mail)

Jude Rosario (E-mail 2)
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Zakirul Shirajee (E-mail)
'Law Office of Mark W. Gaffney'
'UBS/Paine Webber Inc. - Mitchell Welsch'
'Quintile Wealth Management - Kenneth Anderson, Partner'
'Patty Daniels Town & Country Studio - Patty Daniels, Owner'
'Ellen Degeneres c/o Amber Cordero'
'Richard D. Rosman, APC - Richard D. Rosman, Esq.'
'Rock-It Cargo USA Incorporated LA - Andrew R. Dietz'
'Rock-It Cargo USA Incorporated LA - Barry Becker'
'Selz & Muvdi Selz, P.A. - Steven Selz, Esq.'
'Silver Young Fund - Alan Young'
'Sony Pictures Digital Entertainment - Divisional CIO of Motion Pictures and Television'
'Vulcan Ventures - David J. Colter, Vice President Technology'
'Warner Bros. - John D. Calkins, Senior Vice President New Media Business Development'
'Air Apparent Incorporated - Donna Dietz, President'
'Anderson Howard Electric Inc.'
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John Bartosek (Business Fax) Failed: 3/23/2004
5:23 PM
'anthony.frenden@disney.com'
Chuck Brunelas (E-mail)
Guy T. Iantoni (E-mail)
Jack P. Scanlan (E-mail)
Jill Iantoni (E-mail)
Joan & Jeff Stark (E-mail)
Joseph A. Fischman (E-mail)
Lisa Sue Friedstein (E-mail)
Maurice R. Buchsbaum (E-mail)
Mitchell Zamarin (E-mail)
Mitchell Zamarin (E-mail 2)
Mollie Anne DeKold (E-mail)
Robert Roberman (E-mail)
Sal Gorge (E-mail)
George deBidart (E-mai)
Ginger Ekstrand (E-mail)
'Harry I. Moatz - OED Director of the United States Patent and Trademark Office'
Dear Shareholders and Friends of Iviewit,
Today Iviewit's worst fears were realized when the United States Patent and Trademark Office (USPTO) contacted me regarding a certain provisional patent application in Mr. Brian Utley's name that we are supposed to have as the possession of Iviewit. I have attached the correspondence from the USPTO, which basically states that since neither Iviewit nor myself are listed on such applications we have no rights, title or interest in the patent application. Therefore, the USPTO cannot disclose any information regarding the application to us. I am astounded that our counsel Foley \& Lardner who filed the application for Utley and Blakely Sokoloff Zafman and Taylor have never told us of this issue and never reported this to any authorities. In fact they made it part of the
``` Company portfolio.

More disturbing is that this patent application has been listed on all of our portfolios (I have attached an excerpt from our most recent portfolio) prepared by the law firms Foley and Lardner and distributed to shareholders and investors as property of Iviewit. I am uncertain which application of Utley's this is ("Zoom \& Pan Imaging on a Digital Camera" or "Zoom \& Pan Imaging Design Too|") but either way it is not our property as represented on the portfolios. There has never been assignment by Utley or any of the law firms to the Company. I am saddened to report this loss to all of you but this is the case. There are several other patents Utley has found his way onto and we are also attempting to correct those. I am not sure what crimes this constitutes but I am checking with counsel as to our remedies.

As I have stated prior, Mr. Utley and Mr. William Dick, Esq. of Foley and Lardner have had similar patent problems in the past, which led to the loss of a business Utley ran for another South Florida businessman. Chris Wheeler our attorney from Proskauer Rose had set a company up for Utley, in which Dick and Utley wrote patents into, patents that related to Mr. Utley's employment as President of a lawnmower company Diamond Turf Equipment. The patent applications were for lawnmower stuff and Utley would not assign them to his employer when he was caught, he was fired with cause (opposite of what the resume submitted to all of you stated) and the company was forced to close, the owner taking a three million dollar loss.

I have been working with the USPTO who is looking into these matters and a team of their agents to attempt to attempt correct everything so that your investment may one day inure benefits to you, not Utley et al. I have found out that several patents we thought were assigned to the Company and its investors by our attorneys also have never been completed despite what we have been told. I will keep everyone posted as we find out more. Finally, I have attached an inventor change form, one of several that we have filed with the USPTO to correct this Utley insertion and deletion of Zakirul and Jude and inventors and it is signed by Stephen Warner of Crossbow Ventures who has recently been very helpful in his efforts to help the Company.

I truly am sorry for any misleading information that was distributed by these firms and it was no fault of the Companies (except in regards to Utley et al.) as we too were misrepresented. My heart nevertheless is truly broken with this news for all concerned.

Thank you,

Eliot I Bernstein
Founder
I View It Technologies, Inc.
10158 Stonehenge Circle
Suite 801
Boynton Beach, FL 33437-3546
561.364.4240
iviewit@adelphia.net

THIS MESSAGE AND ITS EMBEDDED FILES INCORPORATED HEREIN CONTAIN INFORMATION THAT IS PROPRIETARY AND CONFIDENTIAL PRIVILEGED INFORMATION. IF YOU ARE NOT THE INTENDED RECIFIENT, YOU ARE PROHIBITED FROM READING, OPENING, PRINTING, COPYING, FORWARDING, OR SAVING THIS MALL AND IT'S ATTACHMENTS. PLEASE DELETE THE MESSAGE AND ITS EMBEDDED FILES WITHOUT READING, OPENING, PRINTING, COPYING, FORWARDING, OR SAVING THEM, AND NOTIFY THE SENDER IMMEDIATELY AT 561.364 .4240 . IF YOU ARE THE INTENDED REGIFIENT, YOU ARE PROHIBITED FROM FORWARDING THEM OR OTHERWISE DISCLOSING THESE CONTENTS TO OTHERS, UNLESS EXPRESSLY DESGNATED BY THE SENDER. THANK YOU!

Aricle 1, section 3, clanar 8 of the Unibs Stats Censtiution provides:



\section*{CONFIDENTIAL}

Ken,
Thank you again for your most valuable information. I was inquiring regarding application number \(\mathbf{6 0 / 2 3 3}, 341\) and if \(I\) could get the owner, inventor and assignee information on this application.

Thank you,

\section*{Eliot Bernstein}

To: Kenneth Weider
From :
Pages: 1
For Information Call:
Fax Number :


\title{
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DATE: \(3 / 23 / 03\)
SERIAL \#: \(\qquad\) Ref \#: \(\qquad\) TO: \(\qquad\)
\(\qquad\)

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FROM:
\(\frac{K}{(\text { NAME })}\)
\(\frac{703-30.5-47 C O}{\text { (VOICELINE NO.) }}\)

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\section*{CONFIDENTIAL}

\section*{Ken,}

Thank you again for your most valuable information. I was in purring regarding application number \(\mathbf{6 0 / 2 3 3 , 3 4 1}\) and if \(I\) could get the owner, in mentor and assignee information on this application.

Thank you,

\section*{Eliot Bernstein}


\section*{To: Kenneth Weider}

From:
Pages: 1
For Information Call:
Fax Number:

\section*{CONFIDENTIAL}

Ken,
Can you please state the reason that you cannot provide such information to me or Iviewit.

Eliot

To: Kenneth Weider
From :
Pages: 3
For Information Call:
Fax Number :


\title{
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DATE:


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\section*{CONFIDENTIAL}

Ken,
Can you please state the reason that you cannot provide such information to me or Iviewit.

\section*{Eliot}


\section*{Eliot Note:}

USPTO cannot give information to Iviewit or Eliot Bernstein because we are not listed on the application and have no rights, title or interest in it. USPTO will not even discuss with lviewit any details of this patent which is listed in the name of Brian Utley. All portfolios prepared by our attorneys with this patent as the property of Iviewit are blatantly false and misleading.

\section*{To: Kenneth Weider}

From:
Pages: 3
For Information Call:
Fax Number :

Nov. 29, 2000; paras. (a)(1) and (a)(2) revised, 68 FR 48286, Aug. 13, 2003, effective Sept. 12, 2003]

\section*{§ 1.13 Copies and certified copies.}
(a) Non-certified copies of patents, patent application publications, and of any records, books, papers, or drawings within the jurisdiction of the United States Patent and Trademark Office and open to the public, will be furnished by the United States Patent and Trademark Office to any person, and copies of other records or papers will be furnished to persons entitled thereto, upon payment of the appropriate fee. See \(\S 2.201\) of this chapter regarding copies of trademark records.
(b) Certified copies of patents, patent application publications, and trademark registrations and of any records, books, papers, or drawings within the jurisdiction of the United States Patent and Trademark Office and open to the public or persons entitled thereto will be authenticated by the seal of the United States Patent and Trademark Office and certified by the Director, or in his or her name, upon payment of the fee for the certified copy.
[Revised, 58 FR 54504 , Oct. 22, 1993, effective Jan. 3, 1994, revised, 65 FR 57024, Sept. 20, 2000, effective Nov. 29, 2000; para. (b) revised, 68 FR 14332, Mar. 25, 2003, effective May 1, 2003; revised, 68 FR 48286, Aug. 13, 2003, effective Sept. 12, 2003; para. (b) revised, 68 FR 70996 , Dec. 22, 2003, effective Jan. 21, 2004]

\section*{\(\S 1.14\) Patent applications preserved in confidence.}
(a) Confidentiality of patent application information. Patent applications that have not been published under 35 U.S.C. 122 (b) are generally preserved in confidence pursuant to 35 U.S.C. 122(a). Information concerning the filing, pendency, or subject matter of an application for patent, including status information, and access to the application, will only be given to the public as set forth in \(\S 1.11\) or in this section.
(1) Records associated with patent applications (see paragraph (g) for international applications) may be available in the following situations:
(i) Patented applications and statuiory invention registrations. The file of an application that has issued as a patent or published as a statutory invention registration is available to the public as set forth in \(\S 1.11\) (a). A copy of the patent application-as-
filed, the file contents of the application, or a specific document in the file of such an application may be provided upon request and payment of the appropriate fee set forth in \(\S 1.19\) (b).
(ii) Published abandoned applications. The file of an abandoned application that has been published as a patent application publication is available to the public as set forth in \(\S 1.11(a)\). A copy of the application-as-filed, the file contents of the published application, or a specific document in the file of the published application may be provided to any person upon request, and payment of the appropriate fee set forth in § \(1.19(\mathrm{~b})\).
(iii) Published pending applications. A copy of the application-as-filed, the file contents of the application, or a specific document in the file of a pending application that has been published as a patent application publication may be provided to any person upon request, and payment of the appropriate fee set forth in \(\S 1.19\) (b). If a redacted copy of the application was used for the patent application publication, the copy of the specification, drawings, and papers may be limited to a redacted copy. The Office will not provide access to the paper file of a pending application that has been published, except as provided in paragraph (c) or (h) of this section.
(iv) Unpublished abandoned applications (including provisional applications) that are identified or relied upon. The file contents of an unpublished, abandoned application may be made available to the public if the application is identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application publication of an international application that was published in accordance with PCT Article 21(2). An application is considered to have been identified in a document, such as a patent, when the application number or serial number and filing date, first named inventor, title and filing date or other application specific information are provided in the text of the patent, but not when the same identification is made in a paper in the file contents of the patent and is not included in the printed patent. Also, the file contents may be made available to the public, upon a written request, if benefit of the abandoned application is claimed under 35 U.S.C. 119 (e), 120, 121, or 365 in an application that has issued as a U.S. patent, or has published as a statutory invention registration,
a U.S. patent application publication, or an international patent application that was published in accordance with PCT Article 21(2). A copy of the application-as-filed, the file contents of the application, or a specific document in the file of the application may be provided to any person upon written request, and payment of the appropriate fee (§ 1.19(b)).
(v) Unpublished pending applications (including provisional applications) whose benefit is claimed. A copy of the file contents of an unpublished pending application may be provided to any person, upon written request and payment of the appropriate fee ( \(\S 1.19(\mathrm{~b})\) ), if the benefit of the application is claimed under 35 U.S.C. \(119(\mathrm{e}), 120,121\), or 365 in an application that has issued as a U.S. patent, an application that has published as a statutory invention registration, a U.S. patent application publication, or an international patent application publication that was published in accordance with PCT Article 21(2). A copy of the application-as-filed, or a specific document in the file of the pending application may also be provided to any person upon written request, and payment of the appropriate fee ( \(\$ 1.19(\mathrm{~b})\) ). The Office will not provide access to the paper file of a pending application, except as provided in paragraph (c) or (h) of this section.
(vi) Unpublished pending applications (including provisional applications) that are incorporated by reference or otherwise identified. A copy of the application as originally filed of an unpublished pending application may be provided to any person, upon written request and payment of the appropriate fee ( \(\S 1.19(\mathrm{~b})\) ), if the application is incorporated by reference or otherwise identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application publication that was published in accordance with PCT Article 21(2). The Office will not provide access to the paper file of a pending application, except as provided in paragraph (c) or (h) of this section.
(vii) When a petition for access or a power to inspect is required. Applications that were not published or patented, that are not the subject of a benefit claim under 35 U.S.C. 119(e), 120, 121, or 365 in an application that has issued as a U.S. patent, an application that has published as a statutory invention registration, a U.S. patent application publication, or an
international patent application publication that was published in accordance with PCT Article 21(2), or are not identified in a U.S. patent, a statutory invention registration, a U.S. patent application publication, or an international patent application that was published in accordance with PCT Article 21(2), are not available to the public. If an application is identified in the file contents of another application, but not the published patent application or patent itself, a granted petition for access (see paragraph (h)) or a power to inspect (see paragraph (c)) is necessary to obtain the application, or a copy of the application.
(2) Information concerning a patent application may be communicated to the public if the patent application is identified in paragraphs (a)(1)(i) through (a)(1)(vi) of this section. The information that may be communicated to the public (i.e., status information) includes:
(i) Whether the application is pending, abandoned, or patented;
(ii) Whether the application has been published under 35 U.S.C. 122(b);
(iii) The application "numerical identifier" which may be:
(A) The eight-digit application number (the two-digit series code plus the six-digit serial number); or
(B) The six-digit serial number plus any one of the filing date of the national application, the international filing date, or date of entry into the national stage; and
(iv) Whether another application claims the benefit of the application (i.e., whether there are any applications that claim the benefit of the filing date under 35 U.S.C. 119(e), 120,121 or 365 of the application), and if there are any such applications, the numerical identifier of the application, the specified relationship between the applications (e.g., continuation), whether the application is pending, abandoned or patented, and whether the application has been published under 35 U.S.C. 122 (b).
(b) Electronic access to an application. Where a copy of the application papers or access to the application may be made available pursuant to paragraphs (a)(1)(i) through (a)(1)(vi) of this section, the Office may at its discretion provide access to only an electronic copy of the specification, drawings, and file contents of the application.
(c) Power to inspect a pending or abandoned application. Access to an application may be provided to any person if the application file is available, and the application contains written authority (e.g., a power to inspect) granting access to such person. The written authority must be signed by:
(1) An applicant;
(2) An attomey or agent of record;
(3) An authorized official of an assignee of record (made of record pursuant to \(\S 3.71\) of this chapter); or
(4) A registered attorney or agent named in the papers accompanying the application papers filed under \(\S 1.53\) or the national stage documents filed under \(\S 1.495\), if an executed oath or declaration pursuant to \(\S 1.63\) or \(\S 1.497\) has not been filed.
(d) Applications reported to Department of Energy. Applications for patents which appear to disclose, purport to disclose or do disclose inventions or discoveries relating to atomic energy are reported to the Department of Energy, which Department will be given access to the applications. Such reporting does not constitute a determination that the subject matter of each application so reported is in fact useful or is an invention or discovery, or that such application in fact discloses subject matter in categories specified by 42 U.S.C. 2181 (c) and (d).
(e) Decisions by the Director or the Board of Patent Appeals and Interferences. Any decision by the Director or the Board of Patent Appeals and Interferences which would not otherwise be open to public inspection may be published or made available for public inspection if:
(1) The Director believes the decision involves an interpretation of patent laws or regulations that would be of precedential value; and
(2) The applicant, or a party involved in an interference for which a decision was rendered, is given notice and an opportunity to object in writing within two months on the ground that the decision discloses a trade secret or other confidential information. Any objection must identify the deletions in the text of the decision considered necessary to protect the information, or explain why the entire decision must be withheld from the public to protect such information. An applicant or party will be given time, not less than twenty days, to request reconsideration and seek court review before any portions of a deci-
sion are made public under this paragraph over his or her objection.
(f) Publication pursuant to § 1.47. Information as to the filing of an application will be published in the Official Gazette in accordance with § 1.47(c).
(g) International applications. (1) Copies of international application files for international applications which designate the U.S. and which have been published in accordance with PCT Article 21(2), or copies of a document in such application files, will be furnished in accordance with PCT Articles 30 and 38 and PCT Rules 94.2 and 94.3 , upon written request including a showing that the publication of the application has occurred and that the U.S. was designated, and upon payment of the appropriate fee (see § 1.19(b)), if:
(i) With respect to the Home Copy (the copy of the international application kept by the Office in its capacity as the Receiving Office, see PCT Article 12(1)), the international application was filed with the U.S. Receiving Office;
(ii) With respect to the Search Copy (the copy of an international application kept by the Office in its capacity as the International Searching Authority, see PCT Article 12(1)), the U.S. acted as the International Searching Authority, except for the written opinion of the International Searching Authority which shall not be available until the expiration of thirty months from the priority date; or
(iii) With respect to the Examination Copy (the copy of an international application kept by the Office in its capacity as the International Preliminary Examining Authority), the United States acted as the International Preliminary Examining Authority, an International Preliminary Examination Report has issued, and the United States was elected.
(2) A copy of an English language translation of a publication of an international application which has been filed in the United States Patent and Trademark Office pursuant to 35 U.S.C. 154 (d)(4) will be furnished upon written request including a showing that the publication of the application in accordance with PCT Article 21(2) has occurred and that the U.S. was designated, and upon payment of the appropriate fee (§ \(1.19(b)(4)\) ).
(3) Access to international application files for international applications which designate the U.S. and which have been published in accordance with

PCT Article 21(2), or copies of a document in such application files, will be permitted in accordance with PCT Articles 30 and 38 and PCT Rules \(44^{\text {ter }}\).1, 94.2 and 94.3 , upon written request including a showing that the publication of the application has occurred and that the U.S. was designated.
(4) In accordance with PCT Article 30, copies of an international application-as-filed under paragraph (a) of this section will not be provided prior to the international publication of the application pursuant to PCT Article 21(2).
(5) Access to international application files under paragraphs (a)(1)(i) through (a)(1)(vi) and \((\mathrm{g})(3)\) of this section will not be permitted with respect to the Examination Copy in accordance with PCT Article 38.
(h) Access or copies in other circumstances. The Office, either stua sponte or on petition, may also provide access or copies of all or part of an application if necessary to carry out an Act of Congress or if warranted by other special circumstances. Any petition by a member of the public seeking access to, or copies of, all or part of any pending or abandoned application preserved in confidence pursuant to paragraph (a) of this section, or any related papers, must include:
(1) The fee set forth in \(\S 1.17(\mathrm{~h})\); and
(2) A showing that access to the application is necessary to carry out an Act of Congress or that special circumstances exist which warrant petitioner being granted access to all or part of the application.
[42 FR 5593, Jan. 28, 1977; 43 FR 20462, May 11, 1978; para. (e) added, 47 FR 41273 , Sept. 17, 1982, effective Oct. 1, 1982; para. (b), 49 FR 552, Jan. 4, 1984, effective Apr. 1, 1984; para. (d), 49 FR 48416, Dec. 12, 1984, effective Feb. 11, 1985; para. (b), 50 FR 9378, Mar. 7, 1985, effective May 8, 1985; 53 FR 23733, June 23, 1988; para. (e), 54 FR 6893, Feb. 15, 1989, effective April 17, 1989; para. (b) revised, 58 FR 54504 , Oct. 22, 1993 , effective Jan. 3, 1994; para. (e) amended, 60 FR 20195, Apr. 25, 1995, effective June 8, 1995; paras. (a), (b) and (e) amended, 61 FR 42790, Aug. 19, 1996, effective Sept. 23, 1996; para. (a) revised \& para. (f) added, 62 FR 53131, Oct. 10, 1997, effective Dec. 1, 1997; para. (g) added, 63 FR 29614, June 1, 1998, effective July 1, 1998, (adopted as final, 63 FR 66040, Dec. 1, 1998); revised, 65 FR 54604, Sept. 8, 2000, effective Nov. 7, 2000; paras. (a), (b), (c), (e), (i) and (j) revised, 65 FR 57024 , Sept. 20, 2000, effective Nov. 29, 2000; para (h) corrected, 65 FR 78958 , Dec.

18, 2000; para.(i)(2) revised, 66 FR 67087, Dec. 28, 2001, effective Dec. 28, 2001; para. (d)(4) revised, 67 FR 520 , Jan. 4, 2002, effective Apr. 1, 2002; paras. (g) \& (g) (1) revised, 68 FR 14332, Mar. 25, 2003, effective May 1, 2003, revised, 68 FR 38611, June 30, 2003, effective July 30, 2003; paras. (g)(1)(ii) \& (g)(3) revised, 68 FR 58991, Oct. 20, 2003, effective Jan. 1, 2004; para. (g)(1)(ii) corrected, 68 FR 67805, Dec, 4, 2003; para. (g)(5) revised, 68 FR 67805, Dec. 4, 2003, effective Jan. 1, 2004; para. (g)(2) revised, 68 FR 70996, Dec. 22, 2003, effective Jan. 21, 2004]

\section*{§ 1.15 [Removed and Reserved]}
(Editor's note: substance supplanted by Part 102)
[32 FR 13812, Oct. 4, 1967; 34 FR 18857, Nov. 26, 1969; amended 53 FR 47685, Nov. 25, 1988, effective Dec. 30, 1988; removed and reserved, 68 FR 14332, Mar. 25, 2003, effective May 1, 2003]

\section*{FEES AND PAYMENT OF MONEY}

\section*{§ 1.16 National application filing fees.}
(a) Basic fee for filing each application for an original patent, except provisional, design, or plant applications:

By a small entity (§ 1.27(a)) . . \(\$ 385.00\)
By other than a small entity . . . . \(\$ 770.00\)
(b) In addition to the basic filing fee in an original application, except provisional applications, for filing or later presentation of each independent claim in excess of 3 :
\[
\text { By a small entity (§ 1.27(a)) . . . } \$ 43.00
\]

By other than a small entity . . . . . \(\$ 86.00\)
(c) In addition to the basic filing fee in an original application, except provisional applications, for filing or later presentation of each claim (whether independent or dependent) in excess of 20 (Note that \(\S 1.75(\mathrm{c})\) indicates how multiple dependent claims are considered for fee calculation purposes.):

By a small entity (§ 1.27(a)) . . . . \(\$ 9.00\)
By other than a small entity . . . . \(\$ 18.00\)
(d) In addition to the basic filing fee in an original application, except provisional applications, if the application contains, or is amended to contain, a multiple dependent claim(s), per application:

By a small entity (§ 1.27(a)) . . \$ \(\$ 145.00\)
By other than a small entity . . . . \$290.00
(Subsection (e) amended Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-564, 588, 589 (S. 1948 secs. 4503(b)(2), 4801 and 4802).)
(Subsections (f) and (g) added Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-589 (S. 1948 sec. 4802).)

\section*{35 U.S.C. 120 Benefit of earlier filing date in the United States.}

An application for patent for an invention disclosed in the manner provided by the first paragraph of section 112 of this title in an application previously filed in the United States, or as provided by section 363 of this title, which is filed by an inventor or inventors named in the previously filed application shall have the same effect, as to such invention, as though filed on the date of the prior application, if filed before the patenting or abandonment of or termination of proceedings on the first application or on an application similarly entitled to the benefit of the filing date of the first application and if it contains or is amended to contain a specific reference to the earlier filed application. No application shall be entitled to the benefit of an earlier filed application under this section unless an amendment containing the specific reference to the earlier filed application is submitted at such time during the pendency of the application as required by the Director. The Director may consider the failure to submit such an amendment within that time period as a waiver of any benefit under this section. The Director may establish procedures, including the payment of a surcharge, to accept an unintentionally delayed submission of an amendment under this section.
(Amended Nov. 14, 1975, Public Law 94-131, sec. 9, 89 Stat. 691; Nov. 8, 1984, Public Law 98-622, sec. 104(b), 98 Stat. 3385; Nov. 29, 1999, Public Law 106-113, sec. \(1000(\mathrm{a})(9), 113\) Stat. 1501A-563 (S. 1948 sec. 4503(b)(1)).)

\section*{35 U.S.C. 121 Divisional applications.}

If two or more independent and distinct inventions are claimed in one application, the Director may require the application to be restricted to one of the inventions. If the other invention is made the subject of a divisional application which complies with the requirements of section 120 of this title it shall be entitled to the benefit of the filing date of the original application. A patent issuing on an application with respect to which a requirement for restriction under
this section has been made, or on an application filed as a result of such a requirement, shall not be used as a reference either in the Patent and Trademark Office or in the courts against a divisional application or against the original application or any patent issued on either of them, if the divisional application is filed before the issuance of the patent on the other application. If a divisional application is directed solely to subject matter described and claimed in the original application as filed, the Director may dispense with signing and execution by the inventor. The validity of a patent shall not be questioned for failure of the Director to require the application to be restricted to one invention.
(Amended Jan. 2, 1975, Public Law 93-596, sec. 1, 88 Stat. 1949; Nov. 29, 1999, Public Law 106-113, sec. 1000(a)(9), 113 Stat. 1501A-582 (S. 1948 sec. \(4732(\mathrm{a})(10)(\mathrm{A}) \mathrm{D}\)

35 U.S.C. 122 Confidential status of applications; publication of patent applications.
(a) CONFIDENTIALITY- Except as provided in subsection (b), applications for patents shall be kept in confidence by the Patent and Trademark Office and no information concerning the same given without authority of the applicant or owner unless necessary to carry out the provisions of an Act of Congress or in such special circumstances as may be determined by the Director.
(b) PUBLICATION.-
(1) IN GENERAL.-
(A) Subject to paragraph (2), each application for a patent shall be published, in accordance with procedures determined by the Director, promptly after the expiration of a period of 18 months from the earliest filing date for which a benefit is sought under this title. At the request of the applicant, an application may be published earlier than the end of such 18 month period.
(B) No information concerning published patent applications shall be made available to the public except as the Director determines.
(C) Notwithstanding any other provision of law, a determination by the Director to release or not to release information concerning a published patent application shall be final and nonreviewable.
(2) EXCEPTIONS.-
(A) An application shall not be published if that application is-

IVIEWIT.COM PATENT PORTFOLIO


This portfolio was prepared and submitted by Williarn Dick for the Virginia Bar and further corresponds to the one prepared by Foley and Lardner after Utley was found with two sets of patent books. Prior, Utley only patents were not in any records. Further it is wrong to list assets like 341 which are not the property of the Company on a patent portfolio that is distributed to shareholders and investors.

\section*{EXHIBITS}

> ARE ALL CONTAINED IN ADOBE PDF FORMAT FOR EASE PLEASE USE THE BOOKMARK TAB ON LEFT SIDE OF THE DOCUMENT FOR BOOKMARKS FOR THE EXHIBITS OR UPON PRINTING THEY WILL PRINT IN ORDER.

\section*{EXHIBIT "A"}
-----Original Message-----
From: iviewit, inc. (E-mail) [mailto:viewmaster@iviewit.com]
Sent: Thursday, August 05, 1999 9:03 PM
To: Alan Epstein (E-mail); Michele M. Mulrooney (E-mail); James F. Armstrong (E-mail); Simon L. Bernstein (E-mail); Patti \& Lester Daniels (E-mail); Andrew R. Dietz (E-mail); Donna Dietz (Email); Gerald R. Lewin (E-mail); Guy Iantoni (E-mail); James R. Jackoway (E-mail); James A. Osterling (E-mail); Albert W. Gortz (E-mail); Christopher C. Wheeler (E-mail); Jude Rosario (Email); Jude Rosario (E-mail 2); Zakirul Shirajee (E-mail); Friedstein, Jeff; Donald G. Kane II (Email); Brian G. Utley (E-mail 2)
Subject: iviewit.com Welcomes Brian Utley.

Dear Shareholders,

As of August 3rd, 1999 the Board of Directors of iviewitcom has approved and confirmed Brian Utley as President and COO. Mr. Utley will assume leadership of the company and the responsibility for organizing our strategic initiatives and licensing opportunities. Brian brings over thirty years of management experience from IBM and is highly respected within the computer industry. We are fortunate to bring Brian to iviewitcom and look forward to his valuable contribution to the success of the company.

Brian can be reached at utley b@bellsouth. net mailto:utley bobellsouth, net or soon at utley@iviewit.com <mailto:utley@iviewit.com>.

By phone at work through Goldstein \& Lewin at 561-994-5050 or cell at 561-289-8145.

Brian's Personal Resume

Professional History:
President, Diamond Turf Equipment, Inc. July, 1995 to July 1999.

In 1995 the company was engaged in refurbishing obsolete and run-out golf course maintenance equipment and had annual sales of \(\$ 250,000\). Since that time the company has been transformed into a manufacturer of new machines that compete favorably with the best of the market leaders and expected revenue for 1999 of \(\$ 6 \mathrm{M}\). The design of the machines was by Brian and was accomplished while putting together a manufacturing and marketing team capable of supporting the rapid growth of the company.

President, Premier Connections Inc., November, 1991 to Present. Premier Connections provides consultation and support services in computer and related business management. Customers have included IBM and other small businesses.

IBM, 1955 to 1991.
Brian retired from IBM as Vice-President and General Manager, IBM Boca Raton.

Prior to his assignment in Boca Raton Brian spent 5 years in Europe as Group Director for PC's and small Systems. This responsibility covered all aspects of product management for all European, Middle East and African countries.

In 1983 Brian was appointed General Manager, IBM Biomedical Systems and asked by the IBM President, John Opel, to evaluate develop the long range strategy for this business unit. Brian subsequently reported to the President that the Business Unit, while quite viable, should be sold to a related business in the medical community. Having received approval to do so, he negotiated a profitable sale for IBM.

Between 1965 and 1983 Brian was the project and Systems manager for many major IBM computer Systems that earned IBM billions of dollars in revenue. The most notable of these was the \(5 E 3 E\) and AS400, one of IBM's most technology aggressive development programs ever and still one off IBM's most popular systems.

Brian entered the IBM laboratories in 1959 and immediately became the most prominent enqineer on his first project with many innovative designs. Because of this, he was assigned to the German IBM laboratories to train German engineers in computer technology. He has been awarded a number of patents the most recent of which was granted in 1998.

From his start in October 1955 to the time he entered the laboratories Brian was a customer engineer responsible for maintaining IBM equipment on customer premises. During this time he self-taught computer technology and transistor theory and developed the first IBM field course in transistors. This is the accomplishment, which led to his assignment in the laboratories.

Hobbies:
Brian is a jogger and for 40 years has been an avid glider pilot with many competitive successes.

Other Activities:
Brian has been a director of the Florida Atlantic University Foundation Board of Trustees since

1992 and has served as Treasurer, head of the Investment Committee, and is currently Chairman of the Board.

In addition, he is a director of the Soaring Society of America and Chairman of the Soaring Society of America Foundation. In the past, he has served on the Boca Raton Chamber of Commerce Board, the Florida Philharmonic Board of Directors, and the Florida Governor's Council of One Hundred and is past president of the Soaring Society of America.

Family:
Brian is married to Sharon, is the father to 5 children and has lived in Boca Raton since 1988.

Sincerely,

Board of Directors
iviewitcom

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Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
Q. Okay. You just failed to mention
that in your previous --
A. I'm sorry, yes.
Q. Okay. And what did you do at

Diamond Turf Lawn Mower?
A. I was president.
Q. You were president. For the full
four years?
A. Yes.
Q. Okay.
A. My recollection is a little hazy.

It could have been 95,96 when I started.
Q. Okay. So you were president of this company for approximately three to four years?
A. Yes.
Q. And what was your role at Diamond Turf Lawn Mower as president; what did you do?
A. I ran the company.
Q. Did you take on the position not only of president but also as CFO or anything of that nature, or you just did strictly like a chief operating officer; what was your role exactly?
A. I suppose you could consider it to
be a cross between a chief operating officer and

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 the chicf engineer.
Q. And what did Diamond Turf Law Mower do; what sort of company is that?
A. It produced maintenance equipment for golf courses.
Q. Okay. And were you working also doing engineering for the company as well?
A. Yes.
Q. And that engineering capabilities that you have, was that something you garnered through your employment with IBM or is that something that you had specific knowledge of outside of your employment with IBM?
A. Both.
Q. This was not engịneering of electrical components; this was engineering of mechanical systems; is that what this was?
A. Every, virtually every mechanical system has an electrical component.
Q. Okay.
A. And a hydraulic component in this particular case.
Q. And when did you -- when you ceased
worked with Diamond Turf Lawn Mower, was that an
amicable leaving or was there some problem or did

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 everything work out okay with that? 104
\begin{tabular}{|c|}
\hline A. Well, there was a, there was a \\
\hline dispute over intellectual property. There was no \\
\hline intellectual property agreement in my employment \\
\hline agreement and there were certain inventions that \\
\hline I made that we were unable to resolve ownership \\
\hline of. \\
\hline Q. Okay. So these were inventions that \\
\hline you developed while you were employed by Diamond \\
\hline Turf Lawn Mower? \\
\hline A. Yes. \\
\hline Q. Okay. Can you describe those \\
\hline inventions to me. \\
\hline A. They related to hydro-mechanical \\
\hline equipment. \\
\hline Q. Okay. What exactly with hydraulic \\
\hline mechanical equipment? \\
\hline A. How much detail you want me to go in \\
\hline to? \\
\hline Q. Well, were they related somehow to \\
\hline the operations of the hydraulics of the equipment \\
\hline or were they strictly mechanical? \\
\hline A. They related to a hydro-mechanical \\
\hline system, which means that it involves the \\
\hline integration of hydraulics into a mechanically \\
\hline
\end{tabular}
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'roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02

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    operating piece of equipment.
Q. Okay. And that's what all these patents, or were all these patents or were all these inventions, rathex, the subject of?
A. Yes. Almost all the equipment that Diamond l'urf produced or was involved with was hydro-mechanical.
Q. Are there any current patents or patents pending or applications for patents on these things that you hold?
A. No.
Q. Who holds the patent rights or if there are any patent rights, who has applied for those?
A. I'm not aware of any one.
Q. So you"re not aware of any one making claim to these intellectual properties at this point?
A. No.
Q. When were you first introduced to Iviewit or its products by Mr. Wheeler? I'm assuming that Mr. Wheeler was the one who introduced you to the company.
A. Yes.
Q. And when was the first time that you

\footnotetext{
'at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)
}
"roskauer Rose vs. Iviewit.com, et al. 8/23/02 we're talking about them because you said billing 265 statements, which could be something totally different, I don't know.

MR. SELZ: That's the attached exhibits to the Anended Complaint in this matter that we're referring to.

MR. PRUSASKI: Okay. Thanks.
By MR. SELz:
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            Q. Now, you had referenced Mr. Dick
    doing some patent work for yourself; is that
correct?

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    A. Yes.
    Q. And was that any patents arising
    from your employment with Diamond Turf?
    A. It was arising from the technology
and engineering work that I did, yes.
    Q. So the hydro-mechanical work that
    you had done at Diamond Turf?
    A. Yes.
    Q. And was there ever a dispute between
yourself and the owner of Diamond Turf with
regard to the patents involved for that
hydro-mechanical work?
MR. PRUSASKI: Objection, relevance
and to the form.
    disagreement as to ownership of the intellectual
    property.
    By MR. SEIR:
            Q. There was a dispute?
                        A. Yes.
ellot Note

Mante Friedkin his last employer has stated that he did not tell himm and that when he found out he fired him
Q. Did you ever advise the owner of

Diamond Turf that you were going to patent these
intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting
those or after?
A. They were never, they were not
patented.
Q. Okay. They were not patented. Was
the application for patent made?
A. No.
Q. Since your employment with

Iviewit. com or Iviewit, yeah, dotcom, LLC, what
patents have you taken out in your name, sir?
A. I have not taken out any patents in
my name, other than what has been appended to
patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held
by Iviewit and you're named as a co-inventor; is
;kauer Rose vs. Iviewit.com, et al. 8/23/02president and COO of Iviewit to Wachovia?243
A. We shared nondisclosure agreements md communicated as required in order to onstruct the business plan.
Q. And did they require or request that you provide them with a CV as part of the husiness plan to evidence your expertise.
A. I believe so.
MR. PRUSASKI: Objection to form.
MR. SELZ: I'll restate the
question.
1sy MR. SELZ:
Q. Did Wachovia Bank request that you rrovide personal information to them as part of I hat business plan?
A. Yes.
Q. And did you provide that personal information in the form of a curriculum vitae or IV?
A. It was integrated in prior editions of the business plan and flowed into the one that was developed with Wachovia.
Q. Now, when Chris wheeler first
introduced you to Iviewit, was he aware of the situation at Diamond Turf and yourself and

Mr. Monte Freedkin or what was Mr. Wheeler's
knowledge of your position at Diamond Turf, to the best of your knowledge?

MR. PRUSASKI: Objection to form.

MR. SELZ: Okay. I'll restate the
question. I'm sorry. Getting a little tired.
MR. PRUSASKI: I'm just objecting to
the extent that you're asking him what Chris

Whecler's personal knowledge was.
MR. SELZ: Okay.

By MR. SELZ:
Q. To the extent that you know, what
was Chris Wheeler's personal knowledge of that situation?

MR. PRUSASKI: Objection to form.

THE WITNESS: I believe Chris,

Mr. Wheeler was fully cognizant of my
relationship to Diamond Turf Equipment and to

Mr. Freedkin.

By MR. SELZ:
Q. And he was aware about your
departure from that company and that situation?
A. Yes.
Q. Involving your employed and your
change of employment when you left Diamond Turf?
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r;kauer Rose vs. Iviewit.com, et al. 8/23/02
A. Yes
Q. Other than your retirement at IBM, was there any other reason why you left IBM's rmploy?
A. No.
Q. Do you have any ongoing dispute with Cither IBM or Diamond Turf?

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A. No.
Q. Going back to the employment of an attorney when you were at Diamond Turf, was there a retainor agreement that you recall signing on behalf of Diamond Turf to employ an attorney there? Or I'll take that back. I think you said that you never employed an attorney there; is that correct?
A. Ihat is correct.
Q. When you hired an attorney personally, did you have a retainer agreement that you signed?
A. No.
Q. Do you have any letter or any other document evidencing the rates to be charged and the services to provided by that attorney?
A. I would have to research that question.
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    wegcrigme
            A. That is reedily gexved from a
    metmerattma betkquouta.
            Q. Now about the remote concerel vimeo
    sppilicationa?
            A. "mat"多 cleferent.
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\hline \(2{ }^{5}\) & Q. Yed dan't have any of the papetwozk & \\
\hline
\end{tabular} disagreement as to ownership of the intellectual property.

By MR. SET.Z:
Q. There was a dispute?
A. Yes.
Q. Did you ever advise the owner of Diamond Turf that you were going to patent these intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting those or after?
A. They were never, they were not patented.
Q. Okay. They were not patented. Was the application for patent made?
A. No.
Q. Since your employment with

Iviewit. com or Iviewit, yeah, dotcom, LLC, what
patents have you taken out in your name, sir?
A. I have not taken out any patents in
my name, other than what has been appended to
patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held
by Iviewit and you're named as a co-inventor; is
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roskauer Rose vs. Iviewit.com, et al. 8/23/02

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    that what it is?
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    A. Yes.
    Q. And Iviewit would be listed as a
    primary patent holder; is that how it would be?
A. They were assigned to Iviewit.
Q. l'hey were assigned to Iviewit. Are

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    you aware of any police report that was ever
    filed involving Mr. Mike Real and yourself?
                            MR. PRUSASKI: Objection, relevance.
    By MR. SELZ:
    Q. Go ahead and answer the question, if
    you can, sir.
    A. There was a dispute over the nature
        of the equipment that \(I\) bought from Iviewit as --
            Q. Well, that really wasn't my
        question. My question was are you aware of a
        police report? And it's really a yes or no type
        of answer.
            MR. PRUSASKI: Objection, relevance.
            THE WITNESS: I believe there was a
        report.
        By MR. SELZ:
            Q. Okay. Do you know who filed that
        report?
            A. Iviewit filed that report as far as
                'at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

\title{
U.S. PROVISIONAL PATENT APPLICATION
}

\author{
for \\ \section*{ZOOM AND PAN IMAGING DESIGN TOOL}
}

Inventors:
Brian G. Utley 1930 SW 8 \({ }^{\text {th }}\) Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.

\section*{FOLEY \& LARDNER}

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400
\begin{tabular}{|c|c|c|c|c|}
\hline APPLICATION NUMBER & FILIMGRECETPT DATE & FIRST NAMED APPLICANT & ATTORNEY DOCKET NUMBER \\
\hline \(60 / 233,341\) & \(09 / 18 / 2000\) & Brian G. Utley & \(57103 / 123\)
\end{tabular}

Foley \& Lardner
777 East Wisconsin Avenue Firstar Center Milwaukee, WI 53202-5367


FORMALITIES LETTER

\({ }^{\circ} \mathrm{OC} 000000005592300^{*}\)

Date Mailed: 12/04/2000

\title{
NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION
}

FILED UNDER 37 CFR 1.53(c)
Filing Date Granted

MP
RESPONSEDUEO4FEZ2001 DCLIFEE

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the exterision fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \$ 150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\$ 200\).

A copy of this notice MUST be returned with the reply.

\footnotetext{
Arad
Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY
}

United States Patent and Trademark Office
COMMISEIONER FOR PATENTS
United States fatent amd Trademark Office
 Firstar Center
Milwaukee, WI 53202-5367
- OCOOOOOOOO5592300*

Date Mailed: 12/04/2000

\section*{NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION}

\section*{FILED UNDER 37 CFR 1.53(c)}

\section*{Filing Date Granted}

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \$150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\mathbf{\$ 2 0 0}\).

A copy of this notice MUST be returned with the reply.

Cistomer Service Genter
Initial Patent Examifation Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline APPLICATION NUMEER & FLLING DATE & GRF ART UNTT & FILFEE REC'D & ATTYDOCKET NO & DRAWINGS & TOT CLAIMS & IND CLAIMS \\
\hline \(60 / 233,341\) & \(09 / 18 / 2000\) & 0 & \(57103 / 123\) & 7 & & \\
\hline
\end{tabular}

\title{
FILING RECEIPT
}

Foley \& Lardner
777 East Wisconsin Avenue
||||||||||||||||||||||||||||||||||||||||||||||
Firstar Center
Milwaukee, WI 53202-5367

Receipt is acknowledged of this provisional Patent A.pplication. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


Brian G. Utley, Boca Raton, FL;
Continuing Data as Claimed by Applicant

\section*{Foreign Applications}

If Required, Foreign Filing License Granted 12/01/2000


Title Zoom and pan imaging design tool
Preliminary Class

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
picture that would be transmitted across the
internet at a given speed, I identified that
which he had discovered by an ad hoc process; I
discovered the structural basis for that
optimization.
Q. Okay. So that was something that was outside the scope of what he had already, what Eliot had already discovered?

ת. It really established why it worked.
Q. And is your name on any patent or patent application with regard to that particular technology?
A. It possibly is. I don't recall how many of those my name is on since I didn't keep any of those records.
Q. How about camera zoom applications?
A. Okay. How about camera zoom
applications?
Q. Is there any patent or patent
application dealing with camera zoom
applications?
A. Not specifically. It was, it was
determined that there is a correlation between
the zoom and pan that had been developed and what
is being used in cameras.
is being used in cameras.

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multirow[t]{2}{*}{\[
\begin{gathered}
05707 \\
\quad \text { TILLE } \\
\hline
\end{gathered}
\]} & \multicolumn{5}{|l|}{IVIEWIT.COM PATENT STATUS REPORT} & \multicolumn{2}{|l|}{Lapsed Provisional U.S. Patent Applications} \\
\hline & OUR REF. & INYENTOR/ PATENTEE & COUNTR & serial, no. patent no & filedassue DSTE & assignee & remarks \\
\hline Apparatus and Method for Producing Entanced Digitai Images and/or Digital Video Files & P006Z & Elion I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No } \\
& 60 / 149.737
\end{aligned}
\] & Filed
\(08 / 19 / 49\) & \begin{tabular}{l}
Jyiewil Holdings, hac. \\
Assigned: 01/06/00 Reel/Frame \\
010523/0506
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POI8PCT flled based on this provisional application.
\end{tabular} \\
\hline Apparatus and Method for Producing Enhanced Video Images and/or Viden Files & P0072 & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 155,404
\end{aligned}
\] & Filed \(09 / 22 / 99\) & \begin{tabular}{l}
Iviewit Holdings, Inc \\
Assigned: 01/06/00 Reel/Frame 010523/0183
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POIOPCT, POIIPCT, POI2PCT, POIGPCT and POISPCT all filed based on this provisional application.
\end{tabular} \\
\hline Apparatus and Method for Prodecing Enhanced Video Inages and/or Video Files & P008Z & Elio I. Bernstein & United States & \[
\begin{aligned}
& \text { Setias No. } \\
& 60 / 169,559
\end{aligned}
\] & Filed 1208/99 & \begin{tabular}{l}
Iviewit Holdings, Inc \\
Assigned: 01/06/00 Rcel/Frame \(010523 / 0220\)
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POIOPCT, POIPCT, POI2PCT and POISPCT all filed based on this provisional application.
\end{tabular} \\
\hline Zoom and Pan Imaging Using Digital Camera &  &  & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 223,344
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 09 / 18 / 00)
\end{aligned}
\] & Not assigned. & Lapsed \\
\hline Zoom and Pan Imaging Design Tool &  & Brian Uley & United States & \begin{tabular}{l}
Serial No. \\
60/233,341
\end{tabular} & \[
\begin{aligned}
& \text { Filed } \\
& 09 / 18 / 00
\end{aligned}
\] & Not assimed. & Lapsed \\
\hline
\end{tabular}

\section*{Management}

Whereas the Company has retained Kom / Ferry to assist in the identification and recruitment of a high impact Chief Executive Officer (preferably from the media or entertainment industry) and Chief Technical Officer, iviewit has assembled a complementary and seasoned, management team with Fortune 100 and early-stage, entrepreneurial experience. This team consists of the following personnel:

Brian G. Utley, President (67) - For over 30 years, Mr. Utley was responsible for the development and world-wide management of many of IBM's most successful products such as the AS400 and the PC. His career with IBM culminated with his responsibility as Vice President and General Manager of IBM Boca Raton with a work force of over 6,000 professionals. He is a graduate of San Francisco City College.
\begin{tabular}{|l|}
\hline \begin{tabular}{l} 
eliot \\
Note
\end{tabular} \\
\hline Utley clairs he is a \\
graduate and \\
contradicts in his \\
depastian \\
\\
\\
\hline
\end{tabular}

Eliot I. Bernstein, Founder and Vice Chairman (37) - Prior to founding iviewit, Mr. Bernstein spent 15 years with SB Lexington where he was President of the West Coast Division creating and developing many innovative, computer-based multi-media marketing tools which remain in use supporting multi-billion dollar service industries. Mr. Bernstein is a graduate of the University of Wisconsin.

Michael A. Reale, Vice President of Operations (60) - Mr. Reale has over 20 years of operations experience, including P\&L, quality, and delivery performance accountability. Most recently, Mr. Reale was the Chief Operating Officer for Boca Research (Nasdaq:BOCI), a manufacturer of personal computer enhancement and Intemet thin client products. Mr. Reale received his BA and MBA from Pace University.

Raymond T. Hersh, Vice President of Finance (58) - Mr. Hersh has over 35 years of successful business and operating experience involving financial services, telecommunications, manufacturing, and corporate strategic planning. For over 20 years, Mr. Hersh has operated and grown companies in Florida, and most recently, he was co-founder and President/CEO of New Medical Concepts, Inc., a telecom company specializing in providing healthcare information. Earlier, he spent five years as an Enforcement Attorney with the U. S. Securities and Exchange Commission in New York City where he exited as a Branch Chief. He is a member of the New Jersey and New York Bars. Mr. Hersh received his BA from Lafayette College and his LLB/JD from the University of Pennsylvania.

Kevin J. Lockwood, Vice President of Sales and Business Development (40) - Mr. Lockwood joins iviewit from Cylex Systems where he held the position of Executive Vice President of Sales and assisted in securing three rounds of funding exceeding \(\$ 20\) million. He also held the position of Head of Sales for Acer America, Inc. where he increased sales from a run rate of \(\$ 150\) million annually to over \(\$ 1.5\) billion annually in only a 17 -month time. In addition, Mr. Lockwood successfully launched the Fujitsu P.C. into the U.S. and in the first year amassed revenues of over \(\$ 200\) million. He is a graduate of the University of Maryland with a Bachelor of Science degree in Business Administration.

Guy Iantoni, Vice President of Sales (35) - Prior to joining iviewit in 1999, Mr. Iantoni was Senior Financial Representative with Fidelity Investments. From 1995 to 1997, he served as an Investment Management Consultant to the private client group of Morgan Stanley Dean Witter \& Company, Inc. Mr. Iantoni has developed computer databases and systems to effectively market and target segments in both the financial markets and the healthcare industries. Mr. Iantoni is a graduate of the University of Wisconsin with an advanced degree in Pharmacy.

\section*{Strategic \\ Alliances}
iviewit is creating a stable of strategic partners in the areas of technology, R\&D, applications development, and video hosting and delivery. The Company has partnered with key industry leaders to develop precedence in the market. Partners include Greg Manning Auctions, Atlas Entertainment, Medical Online, Digital Island, Burst.com, and Versifi.

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 or where the site of that lawsuit was?
A. No.
Q. Was it in the federal court or state court?
A. \(\quad\) I don't know.
Q. Was your deposition taken in the Sate of Florida --
A. No.
Q. -- or taken elsewhere?
A. It was taken in New York.
Q. In New York. Okay. Now, going back
to something that Mr. Prusaski started but I don't think he completed with was some of your background information about your education. If you can just tell me from undergraduate onward what your educational background is, Sir, schools you attended, years of attendance and degree.
A. I don't have a degree.
Q. Okay.
A. I attended Weaver State University, which was then Weaver College, 1950.
Q. okay.
A. San Eransisco City College, 1957,
1958.
Q. Okay. And you graduated from San

\section*{"roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02}

\section*{Francisco College or did not?}
A. I don't have a degree.
Q. Okay. So you never completed your
course at San Fransisco then?
A. Right.
Q. With regard to your employment experience, you had stated your employment with IBM. What years was that from, Sir?
A. \(\quad 1955\) through 1992.
Q. 92. And your first employment with IBM in 1955, what position was that in if you can recall?
A. I was employed as a customer engineer.
Q. All right. And after that, you were promoted to what position?
A. In 1960 I was promoted to development engineer, electrical engineer.
Q. At that point, were you supervising a staff or working with other engineers below you at that point?
A. I was involved in design of a computer.
Q. Were you the leader of any design team or were you just an individual engineer

\section*{EXHIBIT "B"}

\section*{EXHIBIT "B"}

\section*{Patent Filing Process}
(i) a patent attorney's first contact with a bona fide inventor is where that attorney receives a "disclosure" from that inventor, or a series of disclosures, to begin the framework of a provisional \({ }^{25}\) patent application or a non-provisional \({ }^{26}\) patent application, and where said inventor certainly was not Utley, Utley was not there at the time of the inventions, as the first disclosures were made to Rubenstein and, upon information and belief, the patent evaluator of, among others, the multimedia patent pools commonly known as Motion Picture Experts Group ("MPEG") 2 and MPEG 4; and
(ii) from the framework of the first disclosures, a patent counsel then forms "claims" to that invention where the claims are meant to precisely identify to which areas of protection an inventor gleans from the exact description of his or her invention according to the disclosures, and where the drafting of such claims are the exclusive affair of patent counsel subject to review by the inventor, and where said inventor certainly was not Utley; and
(iii) once the framework of the invention and the claims are approved by an inventor, and in all cases herein, said inventor was not Utley, patent counsel then puts forth to a bona fide inventor what is known as the Declaration and Power of Attorney document that contains strict requirements according to the law for inventors and where said inventor was not Utley as he took no part in the formulation of the invention, took no part in the first disclosures of the inventions, took no part, or rather, should have taken only a limited role supporting the inventor in reviewing the claims, and, consequently, signing an Oath of the Applicant according to the evidence presented below, falls outside the requirements of the law in this disingenuous ploy by Respondent and Utley; and
(iv) once patent counsel has completed all steps in (i) to (iii), and only then, patent counsel actually files a patent application with the United States Patent and Trademark Office ("USPTO"), and where the damage by Respondent had already occurred in (iii); and
(v) once patent counsel has actually filed an application with the USPTO, from time to time, he or she may be called upon to respond to challenges to the inventions from the USPTO (commonly referred to as office actions) and where the damage by Respondent had already occurred in (iii); and

\footnotetext{
\({ }^{25}\) Define provisional
\({ }^{26}\) Define non-provisional
}
(vi) and once favorably responded to and having such responses accepted by USPTO to office actions, patent counsel will receive was is known as a Notice of Issuance of the patent for the inventions disclosed and where the damage by Respondent had already occurred in (iii); and
(vii) some three months or so after receiving a Notice of Issuance, the USPTO will afford the applicant (bona fide inventor or his assignee as the case may be) a granted patent, and where the damage by Respondent had already occurred in (iii).

EXHIBIT "C"
UniTEO States Patent Applications
IVIEWIT.COM PATENT STATUS REPOR
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline TITLE & OUR REE. & \[
\begin{aligned}
& \text { NVENTOR/ } \\
& \text { PATENTE }
\end{aligned}
\] & COUNTRY & \[
\begin{aligned}
& \text { SERIAL No. } \\
& \text { PATENT No. }
\end{aligned}
\] &  & ASSIGNEE & Remarks \\
\hline Systern and Method for Streaming an Enhanced Digital Video File & P010 & Eliot I. Bernstein Zakirul A. Shirajee & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 587,730
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 05 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Pending. \\
First Office Action received dated 11/10/03.
\end{tabular} \\
\hline \begin{tabular}{l}
System and Method for \\
Providing An Enhanced Digital \\
Video File
\end{tabular} & P011 & Eliot I. Bemstein Brian G. Utley Jude R. Rosario & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 587,734
\end{aligned}
\] & \begin{tabular}{l}
Filed \\
\(06 / 05 / 00\)
\end{tabular} & Iviewit Holdings, Inc. & Pending. \\
\hline System and Method for Playing a Digital Video File & P014 & Eliot I. Bernstein Zakinul A. Shirajee & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 091587,026
\end{aligned}
\] & Filed \(06 / 05 / 00\) & Iviewit Holdings, Inc. & Pending. \\
\hline \begin{tabular}{l}
System and Method for \\
Providing and Enhanced Digital Image File
\end{tabular} & P018 & Eliot I. Bernstein Brian Utey & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 630,939
\end{aligned}
\] & Filed \(08 / 02 \% 0\) & Not assigned. & \begin{tabular}{l}
Pending. \\
First Office Action received 10/29/03.
\end{tabular} \\
\hline Apparatus and Method for Producing Enhanced Digital Images & P017 & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 522,721
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 03 / 10 / 00
\end{aligned}
\] & Not assigned & \begin{tabular}{l}
Abandoned. \\
Claims benefir of Provisional Application No. 60/125,824. \\
Dead!ine to enter National Phase 9/23/01.
\end{tabular} \\
\hline
\end{tabular}

Foreign Patent Applications
IVIEWIT.COM PATENT STATUS REPORT
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline title & OUR mee. & INVENTOR/ PATENTEE & Country & serkir, No. PATENT NO. &  & ASSIGNEE & hemarks \\
\hline System and Method for Streamiag an Enhanced Digital Video File & P010EP & Bliot I. Bernstein Zakin: A. Shiraje & EPO-Europe & \[
\begin{aligned}
& \text { Serial No. } \\
& 00938126.0
\end{aligned}
\] & Filed \(0602 / 00\) & Iviewit.com, Inc. & \begin{tabular}{l}
Pending. \\
Published: 3/06/02. \\
Publication No.: 1883870 \\
First Office Action received. Request for Extension to respond pending.
\end{tabular} \\
\hline System and Method for Streaming an Enhanced Digital Video File & Poborp & Eliot Y. Bemstein Zakirul A. Shirajee & Japan & \[
\begin{aligned}
& \text { Serial No. } \\
& 2001-502364
\end{aligned}
\] & Filed 06/02/00 & Iviewitcom, Inc. & Pending. \\
\hline \begin{tabular}{l}
System and Method for \\
Streaming an Enhanced Digital \\
Vidco File
\end{tabular} & P011EP & Ehot I. Bernstem, Zakirul A. Shirajee & EPO-Europe & \[
\begin{aligned}
& \text { Serial No } \\
& 00944619.6
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 6 / 20 / 2000
\end{aligned}
\] & Iviewit.com, Inc. & \begin{tabular}{l}
Pending. \\
Published: 3/20/02. \\
Publication No.: 1188318 \\
First Office Actiou received.
\end{tabular} \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \begin{tabular}{l}
System and Method for \\
Streaming an Euhanced Digital \\
Video File
\end{tabular} & P011JP & Eliot I. Bemstein, Zakirul A. Shirajee & Japan & \[
\begin{aligned}
& \text { Scrial No. } \\
& 2001-502362
\end{aligned}
\] & \[
\begin{aligned}
& \text { Fijed } \\
& 6 / 20 / 2000
\end{aligned}
\] & Iviewitcom, me. & Pending. \\
\hline Systen and Method for Providing and Enhanced Digital Image File & P0ISEP & Eliot I. Berustein Brian Utley & EPO-Europe & \[
\begin{aligned}
& \text { Serial No. } \\
& 009553520
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 08 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Pending. \\
Publistied: 5/2/2002 \\
Publication No.: 1200935
\end{tabular} \\
\hline \begin{tabular}{l}
System and Method for \\
Providing and Enhanced Digital Image Fite
\end{tabular} & P018JP & Eliot 1. Bernstein Brian Utley & Japan & \[
\begin{aligned}
& \text { Serial No. } \\
& 2001-514379
\end{aligned}
\] & Filed \(08 / 02 / 00\) & Iviewit Holdisgs, Inc. & Pending. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multicolumn{7}{|l|}{IVIEWIT.COM PATENT STATUS REPORT} & LAPSED PCT APPLICATIONS \\
\hline TITLE & OUR REF, & INVENTOR/ patentee & country & serial No. \(f\) PATENY NO. & Flemp/isste DATE & applicant & memarks \\
\hline Apparatus and Method for Producing Enhanced Digitai Lmages & P009PCT & Eliot I. Bernstein & \begin{tabular}{l}
Patent \\
Cooperation Treaty
\end{tabular} & \[
\begin{aligned}
& \text { Serial No. } \\
& \text { PCT/US } 00 / 07772
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 03 / 23 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisionat Application No. 601125824 (P00IZ).
\end{tabular} \\
\hline System and Method for Streaming an Enhanced Digial Video File & P010PCT & Eliot I. Bernstein & Patent Cooperation Treaty & Serial No.
PCT/USO//5408 & Filed \(06102 / 00\) & Iviewit Holdings, Inc. & \begin{tabular}{l}
lapsed. \\
Filed based on Provisional Application Nos. \(60 / 137,297\) (P002Z), 60/155,404 (P007Z) and 607169,559 (P008Z).
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Video File & P011PCT & Eliot 1. Bemstein & \begin{tabular}{l}
Patent \\
Cooperation Treaty
\end{tabular} & \begin{tabular}{l}
Serial No. \\
PCT/USOO/15405
\end{tabular} & \[
\begin{aligned}
& \text { Filed } \\
& 0602 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application No. 60/137,297 (PO02Z), 60/155,404 (P0072) and 60/169.559 (P008Z).
\end{tabular} \\
\hline System and Method for Playing a Digital Video File & P012PCT & Eliot I. Bernstein & Patent Cooperation Treaty & Serial No. PCT/USO)/15406 & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application No. 60/137.297(P002Z), 60/155,404 (POO7Z) and 60/169.559 (P008Z).
\end{tabular} \\
\hline System and Method for Video Playbuck Over a Network & P016PCT & Etiot I. Bernstein & Patent Cooperation Treaty & Serial No. PCT/US00/15602 & Filed \(0607 / 00\) & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application Nos. 60/137,921 (P0032), 60/141,440 (P0042) and \(60 / 155,404\) (P007Z).
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Image File & P018PCT & Eliot 1. Bernstein & Patent Cooperation Treaty & \[
\begin{aligned}
& \text { Serial No. } \\
& \text { PCT/USO/21211 }
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 08 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application Nos. 60/125824 (POOIZ), 60/146,726 (PO05Z), 60/149,737 (P006Z), 60/155,404 (P007Z) and 60/169,559 (P0082).
\end{tabular} \\
\hline
\end{tabular}
LAPSED PROVISIONAL U.S. PATENT APPLICATIONS
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline thite & OLR REE & INVENTORi Patentee & COintry & sermal No. Patent No. & \[
\begin{gathered}
\text { MLLED/ISSUE } \\
\text { Date } \\
\hline
\end{gathered}
\] & Assignee & REmarks \\
\hline Apparatus and Method for Producing Enhanced Digital Lmapes & P0012 & Eliod I Bemstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 1758>4
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 03 / 24 / 99
\end{aligned}
\] & Bernstein to Iviewit LLC to Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed \\
P009PCT and P018PCT fled based on this
\end{tabular} \\
\hline \multicolumn{6}{|l|}{```
60/125,824
BERNSTEIN
TO
IVIEWIT LLC to IVIEWIT HOLDINGS INC.,IVIEWIT TECHNOLOGIES, INC. to ALPINE
DATE
```} & \begin{tabular}{l}
Assigned: 01/06/00 \\
Reel/Frame: 010523/0526
\end{tabular} & POO9PCT and P018PCT filed based on this provistonal application. \\
\hline Apparatus and Method for Producing Enhanced Video Images & P002Z & Eliot I. Bernstein & Vnited States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 137,297
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 0603 / 99
\end{aligned}
\] & \multirow[t]{2}{*}{\begin{tabular}{l}
Bernstein to Iviewit LLC to lviewit Holdings, Ine. \\
Assigned: 01/06/00 \\
Reel/Frame: 010523/0494
\end{tabular}} & \multirow[t]{2}{*}{\begin{tabular}{l}
Lapsed \\
POIOPCT and POIIPCT and POI2PCT filed based on this provisional application.
\end{tabular}} \\
\hline \multicolumn{6}{|l|}{} & & \\
\hline Apparatus and Method for Playing Video Files Across the Intermer & & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 137,921
\end{aligned}
\] & Filed \(0667 / 99\) & Bernstein to lviewit LLC to lviewit Holdings, lnc. & Lapsed \\
\hline \begin{tabular}{l}
60/137,921 \\
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to IVIEWIT LLC to IVIEWIT H DATE
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Assigned: 01/06/00 \\
Reel/Frame: 010523/0497
\end{tabular} & POLOPCT filed based on this provisional application. \\
\hline Apparaus and Method for Providing and/or Transmitting Video Data and/or Information in a Communication Network & P0042. & Elion I. Bernstein & United States & Serial No. 60/141,440 & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 29 / 99
\end{aligned}
\] & \begin{tabular}{l}
Iviewit Holdings, Inc. \\
Assigned: 01/03/00 \\
Reel/Frame: 010523/0574
\end{tabular} & \multirow[t]{2}{*}{\begin{tabular}{l}
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PO16PCT filed based on this provisionat application.
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\hline \multicolumn{6}{|l|}{\begin{tabular}{l}
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BERNSTEIN \\
to IVIEWIT HOLDINGS INC. to IVIEWIT TECHNOLOGIES, INC. to ALPINE DATE:
\end{tabular}} & & \\
\hline Apparatus and Methol for Producing Enhanced Digital Images & PO05Z & Ehot I. Bernstein & United States & \[
\begin{aligned}
& \text { SerialNo. } \\
& 60 / 146,726
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& 08 / 02 / 99
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Iviewit Holdings, fnc. \\
Assigned: 01/0600 \\
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P018PCT filed based on the provisional application.
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\hline \multicolumn{6}{|l|}{\begin{tabular}{l}
60/146,726 \\
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to IVIEWIT HOLDINGS, INC to IVIEWIT TECHNOLOGIES, INC. to ALPINE DATE
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\end{tabular}
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CANNOT TALK TO EIB - NOT BERSNTEIN OR IVIEWIT
\(\begin{array}{llllll}\text { Zoom and Pan Imaging Using a PO20Z } & \text { Brian Uiley } & \text { United States } & \text { Serial No. } & \text { Filed } & \text { Not assigned. } \\ \text { Digital Camera } & & & 60 / 223,344 & 09 / 18 / 00 & \end{array}\)
\(\begin{array}{lllll}\text { Zoom and Pan Imaging Using a P020Z } & \text { Brian Uiley } & \text { United States Serial No. } & \text { Filed } & \text { Not assigned. } \\ \text { Digital Camera } & & 60 / 223,344 & 09 / 18 / 00 & \end{array}\)
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\hline Appapatus and Mellod Jur Fibducjug Enhanced Digita Image; & Pu0101 & Eliel 1. Eenuseia & Unict Stalcs & \[
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Oni37.92 & Tiled (0) 007299 & \begin{tabular}{l}
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\hline Tab No. & \begin{tabular}{l}
FEL \\
Dkt. No.
\end{tabular} & \begin{tabular}{l}
MLG \\
Dkt. No.
\end{tabular} & Country (Type) & Appl, Mo. & Filing Date & Application Title & Inventor(s) & Assignee & No. Appl. Pgs/Shts & Priority \\
\hline 1 & 57103/102 & 5865-1 & U.S. (Provisional) & 60/125,824 & 3/24/1999 & Apparatus and Hethod for Producing Enhanced Digital Images & \begin{tabular}{l}
Eliot I. \\
Bemstein
\end{tabular} & Iviewit Holdings, Inc. & 15/4 & N/A \\
\hline 2 & \(57103 / 103\) & 5865-3 & U.S.
(Provisional) & 60/137,297 & 6/3/1999 & Apparalus and Wethod for Producing Enhanced Video Images & \begin{tabular}{l}
Eliot I. \\
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\end{tabular} & \begin{tabular}{l}
|viewit \\
Holdings, Inc.
\end{tabular} & 1/0 & N/A \\
\hline 3 & 57103/104 & 5865-4 & U.S. (Provisional) & 60/137,921 & \(6 / 7 / 1999\) & Apparalus and Method for Playing Video Files Across the Internet & \begin{tabular}{l}
Eliot I. \\
Bermstein
\end{tabular} & Ivlewit Holdings, Inc. & 1/0 & N/A \\
\hline 4 & 57103/105 & 5865-4.1 & U.S. (Provisional) & 60/141,440 & 6/29/1999 & Apparatus and Method for Prowiding andior Transmitting Video Data and/or Information in a Communication Netwark & \begin{tabular}{l}
Eligit 1, \\
Bernstein
\end{tabular} & Iviewit Holdings, lnc. & 25/2 & N/A \\
\hline 5 & 57103/106 & 5865-6 & \begin{tabular}{l}
U.S. \\
(Provisional)
\end{tabular} & 60/146,726 & 8/2/1999 & Apparatus and Method for Producing Enhanced Digital Ifrnages & \begin{tabular}{l}
Eliot \(I\). \\
Bemstén
\end{tabular} & Iviewit Holdings, Inc. & 18/4 & N/A \\
\hline 6 & 57103/107 & 5865-5 & U.S. (Provisional) & 60/149,737 & 8/10/1999 & Apparatus and Method for Producing Enhanced Digital Images and/or Digital VIdeo Filies & \begin{tabular}{l}
Eliot I. \\
Bemstein
\end{tabular} & Iviewit Holdings, Inc. & 21/4 & N/A \\
\hline 7 & \(57103 / 108\) & 5865-7 & U.S. (Provisional) & 60/155,404 & 9/22/1999 & Apparatus and hetthed for Producing Enhanced Video Images and/or Video Files & \begin{tabular}{l}
Eliot I. \\
Bernstein
\end{tabular} & Iviewit Holdings, Inc. & \(29 / 4\) & N/A \\
\hline B & 57103/109 & 5865-B & U.S. (Provisional) & 60/169.559 & 12/8/1999 & Apparatus and Method for Producing Enhanced Video Images andior Video Files & \begin{tabular}{l}
Eliot I. \\
Bernstein
\end{tabular} & Iviewit Holdings, Inc. & 47/5 & \(N /\) A \\
\hline 9 & 57103/410 & 5865-10 & \begin{tabular}{l}
PCT \\
(International)
\end{tabular} & \[
\begin{gathered}
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\] & \(3 / 23 / 2000\) & Apparalus and Method for Producing Enhanced Digital Images & \begin{tabular}{l}
Eliot I. \\
Bemstein:
\end{tabular} & Iviewit Holdings, Inc. & 14/4 & 60/126,824 \\
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\end{array}
\] & Country (Type) & Appl. No. & Fling Date & Application Title & Inventor(s) & Assigneg & No. Appl. Pgs/Shts & Priority \\
\hline 10 & 57103/111 & N/A & PCT (Intemational) & \[
\begin{gathered}
\text { PCTJUS00 } \\
15408
\end{gathered}
\] & 6/2/2000 & System and Method for Strearning an Enhanced Digital Video File & Bemstein, Shirajee & & 29/3 & \[
\begin{aligned}
& 60 / 137,297 \\
& 60 / 155,404 \\
& 60 / 169,559 \\
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\hline 11 & 57103/112 & N/A & \begin{tabular}{l}
PCT \\
(International)
\end{tabular} & PCT/US00
15405 & 6/2/2000 & Providing an Enhanced Digital Video File
\(\square\) & Bernstein Uley. Rosario & & 33/3 & \[
\begin{array}{r}
60 / 137,297 \\
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60 / 469,559 \\
\hline
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\] \\
\hline 12 & 57103/113 & N/A & \begin{tabular}{l}
PCT \\
(International)
\end{tabular} & \(\mathrm{PCT} / \mathrm{USOOt}\)
15406 & 6/2/2000 & System and Method for Playing a Digital Video File & Bernstein, Shirajee & & 29/3 & \[
\begin{array}{r}
60 / 137,297 \\
60 / 155,404 \\
60 / 169,559 \\
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\hline 13 & 57103/114 & N/A & \begin{tabular}{l}
U.S. \\
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\end{tabular} & 09/587,730 & 6/5/2000 & Systern and Method for Streaming an Enhanced Digital Video File & Bernstefin, Shirajee & & 29/3 & \begin{tabular}{|c|}
\hline \(60 / 137,297\) \\
\(60 / 155,404\) \\
\(60 / 169,559\) \\
\(57103 / 111 \mathrm{PCT}\) \\
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\end{tabular} \\
\hline 14 & 57403115 & N/A & \begin{tabular}{l}
U.S. \\
(Non- \\
Provisional)
\end{tabular} & 091587.026 & 6/5/2000 & System and Method for Playing a Digital Video File & Bemstein, Shirajes & & 29/3 & \begin{tabular}{c}
\(60 / 137,297\) \\
\(60 / 155,404\) \\
\(60 / 169,559\) \\
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\hline 15 & 67103/116 & N/A & \begin{tabular}{l}
U.S. \\
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Provisional)
\end{tabular} & 09/587,734 & 6/5/2000 & System and Melhod for Providing an Enhanced Digital Video File & Bernstein. Utey, Rosario & & 33/3 & \(60 / 137,297\)
\(60 / 155,404\)
\(60 / 169,559\)
\(57103 / 12 \mathrm{PCT}\) \\
\hline 16 & 57103/118 & N/A & PCT
(International) & PCTIUSOO
15602 & 877/2000 & \begin{tabular}{l}
System and Method for Video \\
Playback Over a Network
\end{tabular} & Bernstein, Friedstein, Utley & & 24/2 & \[
\begin{aligned}
& 60 / 137,921 \\
& 60 / 141,440
\end{aligned}
\] \\
\hline 17 & 57103/119 & 5865-1 & U.S. & 09/522.721 & 3/10/2000 & Apparatus and Method for Producing Enhanced Digital Images & Bemstein & & 15/4 & 601125,824 \\
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\end{tabular}
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\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline TITIE: & OUR REF. & \[
\begin{aligned}
& \text { ENVENTOR/ } \\
& \text { PATENTEE }
\end{aligned}
\] & COUNTRY & SERIAL NO. PATENT NO. & FILEDASSUE
DATE & ASSIGNET & Remarks \\
\hline Systern and Method for Streaming an Enhanced Digital Video Fíle & P010 & Efiot I Bernstein Zakirul A. Shirajee & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 587,730
\end{aligned}
\] & Filed 06/05/00 & Kviewit Holdings, Inc. & \begin{tabular}{l}
Pending. \\
First Office Action received dated 11/10/03.
\end{tabular} \\
\hline System and Method for Providing An Enhanced Digital Video File & P011 & Eliot I. Bemstein Brian G. Utley Jude R. Rosario & United States & Serial No. 09/587,734 & Filed \(0605 / 100\) & Iviewit Holdings, Inc. & Pending. \\
\hline \begin{tabular}{l}
System and Method for Playing \\
a Digital Video File
\end{tabular} & PO14 & Eliot I. Bernstein Zakinul A. Shirajee & United States & Serial No. 091587,026 & Filed \(061 / 05 / 00\) & Iviewit Holdings, Inc. & Pending. \\
\hline System and Method for Providing and Enhanced Digital Image File & P018 & Eliot I. Bemstein Brian Utey & United States & Serial No. 09/630,939 & Filed \(08 / 02 / 00\) & Not assigned. & \begin{tabular}{l}
Pending. \\
First Office Action received 10/29/03.
\end{tabular} \\
\hline Apparatus and Method for Producing Enhanced Digital Images & P017 & Eliot I. Bernstein & United States & Serial No. 09522,721 & Filed \(03 / 10 / 00\) & Not assigned & \begin{tabular}{l}
Abandoned. \\
Claims benefir of Provisional Application No. 60/125,824. \\
Deadline to enter National Phase 9/23/01.
\end{tabular} \\
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\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline title & OUR mee. & INVENTOR/ PATENTEE & Country & serkir, No. PATENT NO. &  & ASSIGNEE & hemarks \\
\hline System and Method for Streamiag an Enhanced Digital Video File & P010EP & Bliot I. Bernstein Zakin: A. Shiraje & EPO-Europe & \[
\begin{aligned}
& \text { Serial No. } \\
& 00938126.0
\end{aligned}
\] & Filed \(0602 / 00\) & Iviewit.com, Inc. & \begin{tabular}{l}
Pending. \\
Published: 3/06/02. \\
Publication No.: 1883870 \\
First Office Action received. Request for Extension to respond pending.
\end{tabular} \\
\hline System and Method for Streaming an Enhanced Digital Video File & Poborp & Eliot Y. Bemstein Zakirul A. Shirajee & Japan & \[
\begin{aligned}
& \text { Serial No. } \\
& 2001-502364
\end{aligned}
\] & Filed 06/02/00 & Iviewitcom, Inc. & Pending. \\
\hline \begin{tabular}{l}
System and Method for \\
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\end{tabular} & P011EP & Ehot I. Bernstem, Zakirul A. Shirajee & EPO-Europe & \[
\begin{aligned}
& \text { Serial No } \\
& 00944619.6
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\] & \[
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& \text { Filed } \\
& 6 / 20 / 2000
\end{aligned}
\] & Iviewit.com, Inc. & \begin{tabular}{l}
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Published: 3/20/02. \\
Publication No.: 1188318 \\
First Office Actiou received.
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\] & Iviewitcom, me. & Pending. \\
\hline Systen and Method for Providing and Enhanced Digital Image File & P0ISEP & Eliot I. Berustein Brian Utley & EPO-Europe & \[
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& \text { Serial No. } \\
& 009553520
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\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
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Publistied: 5/2/2002 \\
Publication No.: 1200935
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\hline \begin{tabular}{l}
System and Method for \\
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\end{tabular} & P018JP & Eliot 1. Bernstein Brian Utley & Japan & \[
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& \text { Serial No. } \\
& 2001-514379
\end{aligned}
\] & Filed \(08 / 02 / 00\) & Iviewit Holdisgs, Inc. & Pending. \\
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\hline \multicolumn{7}{|l|}{IVIEWIT.COM PATENT STATUS REPORT} & LAPSED PCT APPLICATIONS \\
\hline TITLE & OUR REF, & INVENTOR/ patentee & country & serial No. \(f\) PATENY NO. & Flemp/isste DATE & applicant & memarks \\
\hline Apparatus and Method for Producing Enhanced Digitai Lmages & P009PCT & Eliot I. Bernstein & \begin{tabular}{l}
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& \text { Serial No. } \\
& \text { PCT/US } 00 / 07772
\end{aligned}
\] & \[
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& \text { Filed } \\
& 03 / 23 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisionat Application No. 601125824 (P00IZ).
\end{tabular} \\
\hline System and Method for Streaming an Enhanced Digial Video File & P010PCT & Eliot I. Bernstein & Patent Cooperation Treaty & Serial No.
PCT/USO//5408 & Filed \(06102 / 00\) & Iviewit Holdings, Inc. & \begin{tabular}{l}
lapsed. \\
Filed based on Provisional Application Nos. \(60 / 137,297\) (P002Z), 60/155,404 (P007Z) and 607169,559 (P008Z).
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Video File & P011PCT & Eliot 1. Bemstein & \begin{tabular}{l}
Patent \\
Cooperation Treaty
\end{tabular} & \begin{tabular}{l}
Serial No. \\
PCT/USOO/15405
\end{tabular} & \[
\begin{aligned}
& \text { Filed } \\
& 0602 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application No. 60/137,297 (PO02Z), 60/155,404 (P0072) and 60/169.559 (P008Z).
\end{tabular} \\
\hline System and Method for Playing a Digital Video File & P012PCT & Eliot I. Bernstein & Patent Cooperation Treaty & Serial No. PCT/USO)/15406 & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application No. 60/137.297(P002Z), 60/155,404 (POO7Z) and 60/169.559 (P008Z).
\end{tabular} \\
\hline System and Method for Video Playbuck Over a Network & P016PCT & Etiot I. Bernstein & Patent Cooperation Treaty & Serial No. PCT/US00/15602 & Filed \(0607 / 00\) & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application Nos. 60/137,921 (P0032), 60/141,440 (P0042) and \(60 / 155,404\) (P007Z).
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Image File & P018PCT & Eliot 1. Bernstein & Patent Cooperation Treaty & \[
\begin{aligned}
& \text { Serial No. } \\
& \text { PCT/USO/21211 }
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 08 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application Nos. 60/125824 (POOIZ), 60/146,726 (PO05Z), 60/149,737 (P006Z), 60/155,404 (P007Z) and 60/169,559 (P0082).
\end{tabular} \\
\hline
\end{tabular}
LAPSED PROVISIONAL U.S. PATENT APPLICATIONS
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline & &  & & & & LAPSED &  \\
\hline title & OUR REE. & INVENTOR: PATENTEE & conntry & SERIAL NO. PATENT NO. & \[
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\end{aligned}
\] & Assignee & REMARKS \\
\hline Apparatus and Method for Producing Enhanced Digital Inages & P0012 & Elion 1. Bemstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 /[25824
\end{aligned}
\] & Filed 03/24/99 & \begin{tabular}{l}
Bemstein to Iviewit LLC to Iviewit Holdings, Inc. \\
Assigned: 01/00/00 \\
Reel/Frame: 010523/0526
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POO9PCT and P018PCT filed based on this provisional application.
\end{tabular} \\
\hline Apparatus and Method for Producing Enhanced Video Images & P002Z & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 137,297
\end{aligned}
\] & Filed \(0603 / 99\) & \begin{tabular}{l}
Bernstein to Iviewit LLC to Iviewit Holdings, Ine. \\
Assigned: 01/06/00 \\
Reel/Frame: 010523/0494
\end{tabular} & \begin{tabular}{l}
Lapsed \\
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\end{tabular} \\
\hline Apparatus and Mechod for Playing Video Files Across the Internet & P003Z & Eliot I. Berustein & United States & \[
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& \text { Serial No. } \\
& 60 / 137,921
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 07 / 99
\end{aligned}
\] & \begin{tabular}{l}
Bernstein to lviewit LLC to lviewit Holdings, lnc. \\
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Reel/Frame: 010523/0497
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IVIEWIT.COM PATENT STATUS REPORT
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\hline \multicolumn{6}{|l|}{05707} & \multicolumn{2}{|l|}{U.S. PATENT APPLICATION} \\
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\hline Apparatus and Method for Producing Enhanced Digital Images and/or Digital Video Files & P006Z & Eliot 2. Bernstein & United States & Serial No. 60/149.737 & \[
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\hline Apparatus and Method for Producing Enhanced Video Tnages and/or Video Files & P008Z & Eliot I. Bemstein & United States & Setial No. 60/169,559 & Filed \(12 / 08 / 99\) & \begin{tabular}{l}
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\hline Zoom and Pan Imaging Design P021Z Tool & Brian Ulley & United States & Serial No. 60/233,341 & \[
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\section*{EXHIBIT "D"}
IN THE CIRCUIT COURT OF THE[5 \({ }^{\text {TH }}\) JUDICIAL CIRCUIT IN ANDFOR PALM BEACH COUNTY,FLORIDA
PROSKAUER ROSE L.L.P, ..... CA 01-04671 AB
a New York limited partnership,
Plaintiff,
v.IVIEWIT.COM, INC., a Delawarecerporation, IVIEWIT HOLDINGS,INC., a Delaware corporation, and
COPY / ORIGINAL
IVIEWIT TECHNOLOGIES, INC.,
RECEIVED FOR FILINGa Delaware corporation.
Defendants.JAN 282003
DOROTHYH WHLKEN 
DEFENDANTS MOTION FOR LEAVE TO AMEND TO ASSERT COUNTERCLAIM FOR DAMAGES
Defendants, IVIEWIT.COM, INC., IVIEWIT HOLDINGS,
INC. and IVIEWIT TECHNOLOGIES, INC., by and through their undersigned
counsel, hercby move this Court for Leave to Amend their Answer so as to assert a
ccunterclaim in this matter pursuant to Rule \(1.170(f)\) of the Florida Rules of Civil
Procedure and as grounds therefore would state as follows:
1. That the Defendants move to amend their answer in this matter so as to
include a counterclaim in this matter, which by its nature appears to be a compulsory
counterclaim to the extent that the issues arise out of the same nexus of events, as
justice requires that the counterclaim be tried at the same time as the complaint and answer so that all pending issues between the parties may be adjudicated in this action.
2. That as a result of fact that additional evidence in support of the Defendants' counterclains is found in the Plaintiff's own files and records, the Plaintiff will not be prejudiced by the amendment of the Defendants' answer in this matter, nor will this matter be delayed as to the trial of same.
3. Defendants have attached hereto a copy of the proposed counterclaim.

WHEREFORE the Defendants, move this Honorable Court for the entry of an order permitting the Defendants to amend their answer in this matter.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this \(2 \boldsymbol{R}^{4 /}\) day of January, 2003 to: Clristopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.
SELZ \& MUVDI SELZ, P.A.
214 Brazilian Avenue, Suite 220
Palm Beach, FL 33480
Tel: ( 561 ) \(820-9409\)
Fax: ( 561 ) \(\$ 33-9715\)
By:
STEVENM. SELZ
FBN: 777420

\section*{IN THE CIRCUTT COURT OF THE \(15^{6}\) JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA}

PROSKAUER ROSE, LLP, a New York
limited partnership,
CASE NO.: CA 01-04671 AB
Plaintiff,

\section*{vs.}

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation and, IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants,

\section*{COUNTERCLAIMFOR DAMAGES}

COME NOW the Counter Plaintiffs, IVIEWIT.COM, INC., IVIEWIT
HOLDINGS, INC., IVIEWIT TECHNOLOGIES, INC. and IVIEWIT LLC,
heseinafter collectively referred to as "IVIEWIT" or Counter Plaintiffs, and hereby sues Counter Defendant, PROSKAUER ROSE, LLP, hereinafter "PROSKAUER",
a New York limited partnership, and alleges as follows:
GENERAL ALLEGATIONS COMMON TO ALL COUNTS
1. This is an action for damages in a sum greater than \(\$ 15,000,00\), exclusive
of interest, taxable costs and attorneys fees.
2. Counter Plaintiff, IVIEWIT.COM, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and the State of California.
3. Counter Plaintiff, IVIEWIT HOLDINGS, INC., is a Delaware ccrporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and California.
4. Counter Plaintiff, IVIEWIT TECHNOLOGIES, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was autherized to conduct and conducted business in Paim Beach County Florida and the State of California.
5. IVIEWIT LLC, is a Florida limited liability company, formed by PROSKAUER, which, at all times relevant hereto, was authorized to conduct and conducted business in the Palm Besch County Florida and the State of Califomia.
6. Counter Defendant PROSKAUER ROSE, LLP, (hereinafter
"FROSKAUER") is a New York limited partnership, operating a law office in
Buca Raton, Palm Beach County, Florida.
Buca Raton, Palm Beach County, Florida.
7. BRIAN G. UTLEY, (hereinafter "UTLEY") was at all times relevant hereto a sui juris resident of the State of Florida and who on or about September of 1999 was the president of Counter Plaintiff, IVIEWIT LLC.
8. CHRISTOPHER WHEELER, (hereinafter "WHEELER") is a sui juris individual and resident of Palm Beach County, Florida, who at all times relevant hereto was a partner of PROSKAUER and who provided legal services to the Counter Plaintiffs.
9. KENNETH RUBENSTEIN, (hereinafter "RUBENSTEIN") is a sui juris individual believed to be a resident of the State of New York and who various times relevant hereto was initally misrepresented by WHEELER as a partner of PROSKAUER and later became a partner of PROSKAUER, and who provided leggal services to the Counter Plaintiffs both while at Meltzer, Lippie, et al., and PROSKAUER.
10. RAYMOND JOAO, (hereinafter "JOAO") is a sui juris individual believed to be a resident of the State of New York and who at all times relevant hereto was represented to be RUBENSTEN's associate at PROSKAUER, when in fact JOAO has never been an employec of PROSKAUER but in fact was an employce of Meltzer, Lippie, et al.
11. That beginning on or about November of 1998, the Counter Plaintiff, IVIEWIT, through it's agent and principal, Eliot I. Bemstein ("Bernstein"), held discussions with WHEELER with regard to PROSKAUER providing legal services to the company involving specific tecinologies developed by Bernstein and two others, which technologies allowed for:
i) Zooming of digital images and video without degredation to the quality of the digital image due to what is commonly refereed to as "pixilation"; and,
ii) The delivery of digital video using proprietary scaling techniques; and,
iii) A combination of the image zoom techniques and video scaling techniques described above; and,
iv) The remote control of video cameras through communications
networks.
12. That Bernstein engaged the services of PROSKAUER to provide legal services to the company to be formed, including corporate formation and governance for a single entity and to obtain multiple patents and oversee US and foreign filings for such technologies including the provisional filings for the technologies as described in Paragraph 11 above, the "Technology", and such
other activities as were necessary to protect the intellectual property represented by the Technology.
13. That at the time of the engagement of PROSKAUER, Bernstein was advised and otherwise led to believe that WHEELER was the PROSKAUER partner in charge of the account.
14. Upon information and belief, WHEELER, RUBENSTENN and JOAO upon viewing the technologies developed by Bemstein, and held by IVIEWIT, realized the significance of the technologies, its various applications to communication networks for distributing video data and images and for existing digital processes, including, but not limited to digital cameras, digital video disks (DVD), digital inaging technologies for medical purposes and digital video, and that WHEELER, RUBENSTEIN and JOAO conspired to undertake and in fact undertook a deliberate course of conduct to deprive Bernstein and IVIEWIT of the beneficial use of such technologies for either the use of third parties, who were other clients of PROSKAUER and WHEELER, or for WHEELER, RUBENSTENN and JOAO's own financial gain, to the detriment and damage of the Counter Pbaintiffs.
15. That WHEELER, who was a close personal friend of UTLEY, recommended to Bernstein and other members of the board of directors of
IVIEWTT that the IVIEWIT engage the services of UTLEY to act as President of the Iviewit.com, LLC based on his knowledge and ability as to technology issues.
16. That at the time that WHEELER made the recommendation of UTLEY to the board of directors, that WHEELER knew that UTLEY was in a dispute with his former employer, Diamond Turf Products and the fact that UTLEY had misappropriated certain patents on hydro-mechanical systems to the detriment of Diamond Turf Products.
17. Additionally, WHEELER was fully aware of the fact that UTLEY was not the highly qualified "engineer" that UTLEY represented himself to be, and that in fact UTLEY lacked real engineering expertise or even an engineering degree and that UTLEY had been fired from Diamond Turf Products due to his misappropriation of patents.
18. That despite such knowledge, WHEELER never mentioned such facts concerning UTLEY to any representative of IVIEWIT and in fact undertook to "sell" UTLEY as a highly qualified candidate who would be the ideal person to undertake day to day operations of IVIEWIT and work on the patents, acting as a qualified engineer.
19. Additionally, WHEELER continued to assist UTLEY in perpetrating such fraud on both the Board of Directors of IVIEWIT and to third parties,
including Wachovia Bank, by approving a false resume for UTLEY to be included in seeking approval of a private placement for IVIEWIT.
20. That based on the recommendations of WHEELER, as partner of PROSKAUER, the board of directors agreed to engage the services of UTLEY as president.
21. That almost immediately after UTLEY's employment and almost one year after initially providing of services, WHEELER provided a retainer agreement for the providing of services by PROSKAUER to IVIEWIT LLC, ad.dressed to UTLEY, a true and correct copy of such retainer agreement (the "Fetainer") being attached hereto and made a part hereof as Exhibit "A". That the services provided were in fact to be paid out of the royalties recovered from the use of the Technology, which was to be included in patent pools overseen by RIJBENSTEIN.
22. That the Retainer by its terms contemplated the providing of corporate ar.d general legal services to IVIEWIT LLC by PROSKAUER and was endorsed by UTLEY on behalf of IVIEWIT LLC, the Board of Directors of IVIEWIT LLC would not have UTLEY authorized to endorse same as it did not include the intellectual property work which PROSKAUER had already undertaken.
23. That prior to the Retainer, PROSKAUER and WHEELER had provided
lejgal services to IVIEWIT, including services regarding patent procurement and acted to coordinate such services both intemally and with outside counsel, inslading RUBENSTEN and JOAO, including times when they were misregresented as PROSKAUER attomeys.
24. That PROSKAUER billed IVIEWIT for legal services related to corporate, patent, trademark and other work in a sum of approximately \(\$ 800,000.00\).
25. That PROSKAUER billed IVIEWIT for legal service never performed, dcuble-billed by the use of multiple counsel on the same issue, and systematically overcharged for services provided.
26. That summaries of the billing statements provided by PROSKAUER to IVIEWIT are attached hereto and made a part hereof as Exhibit " B ".
27. That based on the over-billing by PROSKAUER, IVIEWIT paid a sum in of approximately \(\$ 500,000.00\) plus together with a \(2.5 \%\) interest in IVIEWIT, which sums and interest in IVIEWIT was received and accepted by

\section*{PFIOSKAUER.}
28. That WHEELER, UTLEY, RUBENSTELN, JOAQ and PROSKAUER, conspired to deprive IVIEWIT of its rights to the technologies developed by Bernstein by:
a) Transferring patents using Foley \& Lardner so as to name UTLEY as the sole holder of multiple patents in his individual name and capacity when in fact they were and arose from the technologies developed by Bernstein and others ard held by IVIEWIT prior to UTLEY's employment with IVIEWIT, and;
b) Upon discovery of the "lapses" by JOAO, that WHEELER and PROSKAUER referred the patent matters to WILLIAM DICK, of Foley \& Lirdner, who was also a close personal friend of UTLEY and who had been involved in the diversion of patents to UTLEY at Diamond Turf Products; and,
c) Failing to list proper inventors of the technologies based on improper legal advise that foreign inventors could not be listed until their immigration status was adjusted, resulting in the failure of the patents to include their rightfil and lawful inventors and the payment by IVIEWIT for unnecessary imımigration work; and,
d) Failing to ensure that the patent applications for the technologies, contained all necessary and pertinent information relevant to the technologies and as required by law; and,
e) Failing to secure trademarks and copyrights and failing to complete trudemark and copyright work for the use of proprietary names of IVIEWIT and source code for the Technologies of IVIEWIT as intellectual property, and;
f) Allowing the infringement of patent rights of IVEIWIT and the intellectual property of IVIEWIT by other clients of PROSKAUER and WHEELER, and; g) Aiding JOAO in filing patents for IVIEWIT intellectual property by' intentionally withholding pertinent information from such patents and not filing same timely, so as to allow JOAO to apply for similar patents in his own name, bcth while acting as counsel for IVIEWIT and subsequently.
29. As a direct and proximate result of the actions of the Counter Defendant, Counter Plaintiffs have been damaged in a sum estimated to be greater than \(\$ 10,000,000,000.00\), based on projections by Gerald Stanley, CEO of Real 3-D (a consortium of Lockheed, Silicone Graphics and Intel) as to the value of the teshnologies and their applications to current and future uses together with the loss of funding from Crossbow Ventures as a result of such conduct.
30. All conditions precedent to the bringing of this action have occurred or have been waived or excused.

\section*{COUNTI-LEGAL MALPRACTICE}
31. This is an action for legal malpractice within the jurisdiction of this court.
32. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs I through 30 as if fully set forth herein.
33. PROSKAUER employed by IVIEWIT for purposes of representing VIEWIT to obtain multiple patents and oversec foreign filings for such technologies including the provisional filings for the technologies as described in Paragraph 11 above.
34. That pursuant to such employment, PROSKAUER owed a duty to ensure that the rights and interests of [VIEWIT were protected.
35. WHEELER, RUBENSTEIN, JOAO and PROSKAUER neglected that reasonable duty of care in the performance of legal services in that they:
a) Failed to take reasonable steps to ensure that the intellectual property o. IVIEWIT was protected; and,
b) Failed to complete work regarding copyrights and trademarks; and,
c) Engaged in unnecessary and duplicate corporate and other work resulting in billing for unnecessary legal services believed to be in excess of \(\$ 400,000.00\); and,
d) By redacting information from the billing statements regarding services provided so to as to give the appearance that the services provided by PROSKAUER were limited in nature, when in fact they involved various aspects of intellectual property protection; and,
e) By knowingly representing and agreeing to accept representation of
clients in conflict with the interests of IVIEWIT, without either consent or waiver by IVIEWIT.
36. That the negligent actions of PROSKAUER and its partners, WHEELER and RUBENSTEIN, resulted in and was the proximate cause of loss to IVIEWIT.

WHEREFORE, Counter Plaintiff demands judgement for damages against Defendant together with reasonable attomeys fees, court costs, interest and such other and further relief as this Court deems just and equitable.

\section*{COUNT II-CIVIL CONSPIRACY}
37. This is an action for civil conspiracy within the jurisdiction of this court.
38. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
39. Defendant, PROSKAUER and UTLEY, WHEELER, RUBENSTEIN and JOAO, jointly conspired to deprive the Counter Plaintiffs of their rights and interest in the Technology.
40. That UTLEY, WHEELER, RUBENSTEN, JOAO and PROSKAUER with such intent, directed that certain patent rights be put in the name of UTLEY and/or that such patent rights were modified or negligently pursued so as to fail to provide protection of the intellectual property, resulting in the ability of other clients of WHEELER, RUBENSTEIN, JOAO and PROSKAUER to make use of such
technologies without being liable to IVIEWIT for royalties normally arising from such use.
41. That PROSKAUER, without either consent of the Board of Directors or proper documentation, transferred securities to Tiedemann/Prolow Investment Group, which entity was also referred by WHEELER, who acted as counsel for such unauthorized transaction.
42. That upon the discovery of the above-described events and conspiracy, IVIEWIT's lead investor, Crossbow Ventures, ceased its funding of IVIEWIT.
43. That Crossbow Ventures, which was a referral of WHEELER, took a security interest in the Technology under the guise of protecting IVIEWIT and its shareholders from the actions of UTLEY, based on the filing of an involuntary bankruptcy (which was later withdrawn), and as to WHEELER and PROSKAUER based on the instant law suit, when in fact such conduct was motivated by Crossbow's attempts to wrongfully detain the interests of IVIEIT in the Technolegy. Such conduct, upon information and belief, was undertaken with the knowledge and assistance of WHEELER and PROSKAUER.
44. As a direct and proximate result of the conspiracy and acts of PROSKAUER, UTLEY, WHEELER, JOAO and RUBENSTEIN, the Counter Plaintiffs have been damaged.

WHEREFORE, Counter Plaintiffs demand judgement for damages against Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

\section*{COUNT III- BREACH OF CONTRACT}
45. This is an action for breach of contract within the jurisdiction of this Court.
46. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
47. Defendant, PROSKAUER, breached the contract with Counter Plaintiff, IVIEWIT LLC by failing to provide services billed for pursuant to the billing statements presented to the Counter Plaintiffs and over-billing for services provided.
48. That such actions on the part of PROSKAUER constitute beaches of the contract by and between IVIEWIT LLC and PROSKAUER.
49. That as a direct and proximate result of such conduct on the part of PROSKAUER, IVIEWIT LLC has been damaged by overpayment to PROSKAUER and the failure of PROSKAUER to perform the contracted for legal services.

WHEREFORE, IVIEWIT demands judgement for damages against Counter Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

\section*{COUNT IV- TORTIOUS INTERFERENCE WITH AN ADVANTAGEOUS BUSINESS RELATIONSHIP}

\begin{abstract}
50. This is an action for tortious interference with an advantageous business relationship within the jurisdiction of this Court.
51. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
52. Counter Plaintiff was engaged in negotiations of technology agreements with both Warner Bros. and AOL/Time Warner as to the possible use of the Technologies of the Counter Plaintiffs and investment in Counter Plaintiffs as a strategic partner.
53. That despite the prior representations of RUBENSTEIN, at a meeting held on or about November 1, 2000, by and between UTLEY, RUBENSTEIN and representatives of Warner Bros. as to the Technology of IVIEWIT and the efficacy, novelty and unique methodology of the Technology, RUBENSTEIN refused to subsequently make the same statements to representatives of AOL and Warmer Bros., taking the position that since Warner Bros./AOL is "now a big client of Proskauer, I can't comment on the technologies of Iviewit." or words to that effect in response to inquiry from Wamer Brother/AOL's counsel as to the status and condition of the pending patents on the intellectual property.
\end{abstract}
54. That RUBENSTEDN, having served as an advisor to the Board of Directors for IVIEWIT, was aware of the fact that at the time of the making of the statements set forth in Paragraph 50, above, JVIEWIT was in the midst of negotiations with AOL/Warner Bros. as to the possible funding of the operations of IVIEWIT in and sum of between \(\$ 10,000,000.00\) and \(\$ 20,000,000.00\).
55. Further, RUBENSTEIN as a partner of PROSKAUER, and despite his clear prior actions in representing the interests of IVIEWIT, refused to answer questions as to the enforcement of the Technology of IVIEWIT, with the intent and knowledge that such refusal would lead to the cessation of the business relationship by and between IVJEWIT and Warner Bros./AOL and other clients familiar with the Wamer Bros/AOL technology group then in negotiations with IVIEWIT, including, but not limited to Sony Corporation, Paramount, MGM and Fox.
56. That the actions of RUBENSTEIN were and constituted an intentional and unjustified interference with the relationship by and between IVIEWIT and Wamer Bros/AOL designed to harm such relationship and further motivated by the atternpls to "cover-up" the conflict of interest in PROSKAUER's representation of both JVIEWIT and Warner Bros./AOL.
57. That indeed, as a direct and proximate result of the conduct of RUBENSTEIN, Warner Bros./AOL ceased business relations with IVIEWIT to the
damage and detriment of Counter Plaintiffs.
WHEREFORE, Counter Plaintiffs demand judgement for damages against Counter Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this \(18^{\text {ru }}\) day of January, 2003 to: Christopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.


FBN: 777420

EXHIBIT "E"

\section*{Law Offices OF}

\section*{ARMSTRONG HIRSCH JACKOWAY TYERMAN \& WER'THEIMER}

\section*{1888 Century Park East, 18TH Floor}

Los Angeles, Californa 90067,
TELEPHONE: (310) 553-0305 TELECOPIER: (310) 553-5036

\section*{TELECOPIER TRANSMITTAL SHEET}

\begin{abstract}
DATE:
May 19, 2000
\end{abstract}

RECIPIENT: Mr. Brian Utley
FROM
Alan J. Epstein, Esq.
FAX NUMBER:
(561) 999-8810
```

RE: Iviewit Summary Letter

```
NUMBER OF PAGES: _3_ (including cover page)

CC:

\author{
Michele M. Mulrooney, Esq.
} James R. Jackoway, Esq.

\section*{MESSAGE:}

\section*{Dear Brian}

Attached is a draft letter I would like to send to Pacific Capital Group (the company which founded Global Crossing), Waterview Partners (a \(\$ 240\) million venture fund founded by Frank Biondi, the former chairman of Universal Pictures) and KPE (New York-based venture and service firm focusing on entertainment industry internet applications). I would very much appreciate your reviewing the letter for accuracy as soon as possible and providing me with your comments.

Best regards.


\footnotetext{
This message in intended only for the use of the individual or entity to which it is addressed and may contain infomation that is privileged, confidertial or exempt from disclosure under applicable Federal or State law. If the reader of the message is not the intended recipient or the employee or agent responsible for deliveriog the message to the intended recipient, you are hereby notitied that any dissemination, distribution or copying of this communication is strictly prohibited.

If you have received this communication in error, please notify us immediately by telephone and retum the original message to us at the above address via regular U.S. mail.
}

\section*{VIA MESSENGER}

\author{
Mr. Gregg W. Ritchie \\ Pacific Capital Group, Inc. \\ 360 N. Crescent Drive \\ Beverly Hills, CA 90210
}

Re: iviewit.com
Dear Gregg:
I very much enjoyed meeting you for lunch earlier this week. As promised, enclosed is a copy of the Business Plan for our client, iviewit.com.

Iviewit has developed two proprietary and complimentary technologies to enhance video and images delivered on the internet. The first is a state-of-the-art technology which enables full-screen, full-frame rate (i.e., 30 frames per second) streaming video to be viewed by any internet video player at bandwidths as low as 150 kbps , with increased quality and reduced file size. The second digital imaging technology creates an opportunity for full screen still images and \(360^{\circ}\) panoramic views that can be magnified with minimal image distortion.

Iviewit has protected its technologies by filing and securing eight patent pending applications, and is currently buffering and expanding those patents through a significant supplemental filing. Iviewit is represented by several of the most prominent patent law firms and attorneys in the world. Bill Dick, who is the head of the intellectual property department of Foley \& Lardner in Milwaukee, Wisconsin, was formerly in charge of IBM's foreign patent division. Mr. Dick and his patent team of attorneys are preparing all of iviewit's supplemental patent filings and are drafting all of iviewit's license agreements. Iviewit's potential patent litigation (if any) will be handled by Ken Rubenstein, who is the head of intellectual property litigation group at the law firm of Proskauer Rose in New York City. Mr. Rubenstein is in charge of all patent litigation on behalf of the MPEG patent pool, in addition to a number of other high-profile technology litigation matters.

Mr. Gregg W. Ritchie
May 19, 2000
Page 2

Iviewit has licensed its technology and providing services to a number of substantial clients, such as hollywood. com, broadway. com, Hyatt Horels and Resorts, and Great Expectations Dating Service. Iviewit also is in final negotiations to license its technology to playboy com, medicalonline.com (x-rays, MRI's CT-scans, etc.) americanenterprise.com (multi-hour surgical and educational videos), gregmanningauctions.com (one of the largest auction houses) and many other clients in the entertainment, health care, automotive and other industries.

Iviewit initially raised \(\$ 500,000\) of seed capital from Wayne Huizenga's venture group (at a \(\$ 10\) million post-money value). Within the last few months, Iviewit raised \(\$ 1.5\) million in a Series A round at a \(\$ 25\) million post-money valuation from an investment group led by several individuals who previously ran Merrill Lynch's venture division. Iviewit is currently seeking an additional \(\$ 1-\$ 2\) million in the Series A round, with a Series B round ( \(\$ 10\) million minimum) to follow later this year. The proceeds will be used to provide working capital (including the leasing/purchase of equipment and facilities) which will enable iviewit to fulfill its substantial backlog of orders and to expand its licensing operations. Iviewit is currently in discussions with several of the nation's leading investment banks to lead the Series B fundraising efforts.

The iviewit technology is most easily explained through a demonstration. If Pacific Capital or its Venture Group are interested in learning more about the company, please let me know and I will arrange to have the principals fly to Los Angeles for a meeting. Although you can see some of the company's technology and applications on the website (www.iviewit.com), the highest-quality work is not available for public viewing and is best seen through a private demonstration.

1 look forward to hearing from you.
Best regards.
Very truly yours,

Alan J. Epstein


Law offices

\section*{Armstrong Hirsch Jackoway Tyerman of Wertheimer}

ANDREA MATIAUGA DAVID MATLOF MARCY S MORRIS MICl-AELE M. MULROO
GEOFFRY W. OELATH GEOFFRY W. OELATH
RANDY M SCHIENBERG SCOTT. STEIN ROBERT L. STULBERG GARRY TN TYERMAN ROBERTS WALLERSTEIN ERIC C WEISSLEF' ALAN S. WERTHEIMER

A FRCFEESIONAL CORPORATION

\section*{isms century park east. Is rn floor}

LOS ANGELES, CALIFORNIA 90067 -172?
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telephone
(310) 553-0305
facSimile (310) 553-5036
of COUMSEL ALLAN L ALEXANDER
ARTHUR O. ARMSTRONG RONALD J. GAS 5

May 30, 2000

\section*{VIA MESSENGER}

Ms. Kimberly Thu
Water View Advisors, LLC
2425 Olympic Boulevard
Suite 4050
Los Angeles, CA 90404
Re: iviewit.com

\section*{Dear Kimberly:}

Following up on our telephone conversation last week, enclosed is a copy of the Business Plan for our client, iviewit.com.

Iviewit has developed two proprietary and complimentary technologies to enhance video and images delivered on the internet. The first is a state of-the-art technology which enables full-screen, full-frame rate (ie., 30 frames per second) streaming video to be viewed by any internet video player at bandwidths as low as 150 kbps , with increased quality and reduced file size. The second digital imaging technology creates an opportunity for full screen still images and \(360^{\circ}\) panoramic views that can be magnified to the optical limit with minimal image distortion.

Iviewit has protected its technologies by filing and securing eight patent pending applications, and is currently buffering and expanding those patents through a significant supplemental filing. Iviewit is represented by several of the most prominent patent law firms and attorneys in the world Bill Dick, who is the most senior member of the intellectual property department of Foley \& Lardner in Milwaukee, Wisconsin, was formerly in charge of IBM's patent and licensing operations in the Far East and in other territories. Mr. Dick and his patent team of attorneys are preparing all of iviewit's supplemental patent filings and are drafting all of jviewit's license agreements. Iviewit's potential patent litigation (if any) will be handled by Ken Rubenstein, who is the head of intellectual property litigation group at the law firm of Proskauer Rose in New York City. Mr. Rubenstein is in charge of all patent litigation on behalf of the MPEG patent pool, in addition to a number of other high-profile technology litigation matters.

\author{
Ms. Kimberly Chu
}

May 30, 2000
Page 2

Iviewit has licensed its technology and providing services to a number of substantial clients, such as Hollywood.com, Broadway.com, Hyatt Hotels and Resorts, and Great Expectations Dating Service. Iviewit also is in final negotiations to license its technology to Playboy.com, MedicalOnline.com (x-rays, MRI's CT-scans, etc.) AmericanEnterprise.com (multi-hour surgical and educational videos), GregManningAuctions com (one of the largest auction houses) and many other clients in the entertainment, health care, automotive and other industries.

Iviewit initially raised \(\$ 500,000\) of seed capital from Wayne Huizenga's venture group (at a \(\$ 10\) million post-money value). Within the last few months, Iviewit raised \(\$ 1.5\) million in a Series A round at a \(\$ 25\) million post-money valuation from an investment group led by several individuals who previously ran Merrill Lynch's venture division. Iviewit is currently negotiating with an investment group for an additional \(\$ 2\) million in the Series A round, with a Series B round ( \(\$ 10\) million minimum) to follow later this year. The proceeds will be used to provide working capital (including the leasing/purchase of equipment and facilities) which will enable iviewit to fulfill its backlog of orders and to expand its licensing operations. Iviewit is currently in discussions with several of the nation's leading investment banks to lead the Series B fundraising efforts.

The iviewit technology is most easily explained through a demonstration. If you or your colieagues at Water View are interested in leaming more about the conpany in the context of a Series A and/or Series B round investment or a licensing or other strategic relationship, please let me know and I will arrange to have the principals fly to Los Angeles (or New York, if you prefer) for a meeting. Although you can see some of the company's technology and applications on the website (www.iviewit.com), the highestquality work is not available for public viewing and is best seen through a private demonstration.

I look forward to hearing from you.
Best regards.

Ms. Kimberly Chu
May 30, 2000
Page 3

\section*{AJE/rdq}

G:WPMAJELETTERSMPTCHECOM
cc. Mr. Eliot Bernstein

Mr. Brian Utley
Mr. Maurice Buchsbaum
(w/o encls.)

ARMSTRONG HIRSCH JACKOWAY TYERMAN \& WERTHEIMER

Ms. Kimberly Chu
May 30, 2000
Page 4
:
bcc: Michele M. Mulrooney, Esq. James R. Jackoway, Esq.

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(510) 553 \cdot 0.305
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Robert s getman
RAND. SCHENEERG

JONATHAN \(O\). KMUFELT ROERAT S WALLEASTEIN
JAMESTANNE MAR MEANS
LAN WEISSLER*
June 9, 1999
or coumsi.
ALLAN O ALEXANDER
ARTHUR O ARMETRON
GERALDINE S. HEMMERLING
a no morton in new rime

\section*{Via Facsimile 561-241-145}

Christoher C. Wheeler, Esq.
Proskauer Rose LLP
2255 Glades Road
Suite 340 West
Roca Ratoon, Florida 33431-7360
\(\mathrm{Re}: \quad\) iviewit

\section*{Dear Christopher}

I would very much appreciate your sending two Confidentiality Agreements to Mr. Gemal Seede, one addressed individually and one to Netcubator, the company which employs him at address below:

> Mr- Gemal Seede
> Netcubator
> 30 W . Green Street
> Pasadena, California 91105
> Facsimile: \(626-449-4395\)

Please send the Confidentiality Agreements directly to Mr. Seede, with a copy to my attention. Also please include in your cover letter a statement, similar to the one set forth in the Confidentiality Agreement you sent to Richard Rossman on April 26th, regarding Proskauer's general views on the novel and protectible nature of the patents and technology.


AJE.jon
NELHTTIRSWHEEIARIITR

Christoher C. Wheeler, Esq.
June 9, 1999
Page 2
cc: Mr. Eliot Bernstein Mr. Jeff Freedstein Michele M. Mulrooney, Esq James R. Jackoway, Esq
\begin{tabular}{|c|c|c|}
\hline \multirow[b]{5}{*}{PROSKAUER ROSE LLP} & 2255 tata Road & \multirow[b]{5}{*}{NEW YORK LOS AMGELES WASHARK PARIS} \\
\hline & Suite 1 West & \\
\hline & \begin{tabular}{l}
Boca Raton, FL \(33431-7360\) \\
Telephone 551 2417400
\end{tabular} & \\
\hline & Elsewnera in Florida & \\
\hline & \[
\begin{aligned}
& 800.432 .7746 \\
& \text { Fax } 561.241 .7145
\end{aligned}
\] & \\
\hline & Chrlstopher C . Wheeler Member of the Firm & \\
\hline & Direct Dial 561.995 .4702 cwhes|et:Gproskayer.com & \\
\hline
\end{tabular}

June 8, 1999
Via Fax

Mr. Amre Youness
Mr. Ahmed Alfi
Mr. Frank Khulusi
301 North Lake Avenue, Suite 910
Pasadena, CA 91101
Gentlemen:

At the request of Alan Epstein, I am forwarding the enclosed Confidentiaity Agreements to you. I would appreciate your signing and retuming your Agreement to me.

We have undertaken representation of iviewit, Inc. ("iviewit") and are helping them coordinate their corporate and intellectual property matters. In that regard, we have reviewed their technology and procured patent counsel for them. We believe the iviewit technology is far superior to anything presently available with which we are familiar. iviewit has filed a provisional patent application on a method for providing enhanced digital imsges on telecommunications networks. We are advised by patent counsel that the process appears novel and may be protected by the patent laws. While in all matters of this sort, it is far too early to make any final pronouncements. We do believe that there is an extremely good prospect that iviewit will protect their process which is novel and superior to any other format which we have seen.


Christopher C. Wheeler
CCW/gb
cc: Alan J. Epstein - Via Fax
world. Founded in 1875 in New York City, the firm employs 475 attorneys and has wide experience in all areas of practice important to businesses, including corporate finance, mergers and acquisitions, real estate transactions, bankruptcy and reorganizations, taxation, litigation and dispute resolution, intellectual property, and labor and employment law.

\section*{Armstrong Hirsch Jackoway Tyerman \& Wertheimer, P.C.}

One of the nation's leading entertainment law firms. Based in Los Angeles, California, it represents many of the most prominent actors, writers, directors and producers of feature films, television programming and other entertainment content. The firm also represents various content and technology companies in the Internet industry, including prominent web sites, entertainment-oriented portals, aggregated celebrity sites and various e-commerce companies. The firm is assisting in developing the business structure and strategic relationships for iviewit.

\section*{Foley \& Lardner}

One of the oldest and largest law firms in America. Founded in 1842, the firm now has more than 750 attorneys in 14 offices, following the February 1996 merger with Weissburg and Aronson, Inc. Foley \& Lardner's over 100 highly skilled intellectual property attomeys constitute one of the largest and most sophisticated technology groups in a general-practice law firm in the United States. As one of the few large national law firms with a global intellectual property law group, it is uniquely positioned to help iviewit capitalize on its foreign filings. The firm's broad-based representations in litigation, regulatory affairs and general business counseling is complemented by one of the world's most highly trained staffs, which includes 65 engineering and advanced technical degrees, including 12 Ph.D.'s. The list of clients using Foley \& Lardner to fill their intellectual property legal needs ranges from small entrepreneurial start-up companies to large intemational and multinational corporations. Foley \& Lardner attomeys provide solutions and successfully serve the needs of clients around the world, including those situated in the United States, Canada, Latin America, the European Union, Eastern Europe, the Middle East, and the Pacific Rim.
- William J. Dick - Special Counsel to the West Palm Beach office of Foley \& Lardner. A member of the firm's Intellectual Property Department (Electronics Practice Group), Mr. Dick currently focuses on mentoring other members of the Electronics and Consumer Products Practice Groups in various IP related matters. He also conducts weekly classes in patent related matters for new associates. Mr. Dick joined Foley \& Larder after 26 years with IBM. He began as a patent attorney, and has handled all phases of patent, trademark and copyright duties, including litigation. Mr. Dick's most recent position with IBM was as Assistant General Counsel to IBM Asia Pacific. Mr. Dick is a graduate of the University of Virginia (B.M.E., 1956; L.L.B., 1962 changed to J.D., 1970)
- Douglas Boehm - a partner in the Milwaukee office of Foley \& Lardner and a member of the firm's Intellectual Property Department (Consumer \& Industrial Products Practice Group and Health Information Technology Practice Group), Mr. Boehm practices in the areas of patent, trademark, copyright, and trade secret counseling; U.S. and foreign patent prosecution; and computer software and intellectual property licensing and technology transfers. Mr. Boehm's technical focus encompasses electrical and electronic engineering, including analog/digital/RF circuitry, radio telecommunications, lasers and fiber optics, and computer hardware and software. He has extensive experience in private industry, having worked as a development engineer and patent agent for Motorola, and as patent counsel for a subsidiary of Amoco Technology Company.
'roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
reason that you can recall whatsoever why these
two computers were given the names Hitro and
Bomber?
A. Well, at their inception, they were reasonably current in the state of the art.
Q. Okay. So they were basically quick and they were high-capacity machines and they were desirable; is that what they were?
A. Well, let me position that.
Q. Okay.
A. At the time of their inception, they would be considered to be reasonably current in the state of the art. But we all krow at what rate the technology moves.
Q. Okay. So about three months after they were created, they were no longer state of the art?
A. That's very often the case.
Q. Okay. With regard to william Dick and Foley \& Lardner, do you have any relationship or continue a relationship with either Foley \& Lardner or Mr. Dick?
A. No.
Q. Have you known Mr. Dick in any other setting other than related to Iviewit?

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA.(722)
A. He worked for me at IBM as manager
of the intellectual property department.
Q. And is that why -- or strike that. Did you recommend that Mr. Dick be retained for the intellectual property work for Iviewit?
A. Actually, I used Mr. Dick as a reference or a consultant to determine who Iviewit should consider retaining for its intellectual property work.
Q. And Mr. Dick was subsequently, Foley \& Lardner and Mr. Dick was subsequently employed for that purpose?
A. Mr. Dick was never employed by Iviewit, but Mr. Dick was retained by Foley \& Lardner as a senior staff member because of his broad experience both before the bench and worldwide in intellectual property matters and, and he endorsed Foley of Lardner as a competent intellectual property company that would handle our affairs. I trusted his judgment.
Q. Now, are you aware of any relationship between Iviewit and Real 3D?
A. Real 3D were brought into the picture by Mr. Wheeler. They were a resource by

\section*{WILLIAM DICK BILLING ENTRIES FOR FOLEY AND LARDNER}
\(\left.\begin{array}{lllllll}\begin{array}{ll}\text { Date } \\ 4 / 3 / 2000\end{array} & \begin{array}{l}\text { Firm } \\ \text { FL }\end{array} & \begin{array}{l}\text { Partner } \\ \text { Dick }\end{array} & \begin{array}{l}\text { Partner } \\ \text { Utley }\end{array} & \text { Partner } & \begin{array}{l}\text { Notes } \\ \text { Call to arrange meeting for } \\ 4 / / 00\end{array} & 57103 \\ 4 / 7 / 2000 & \text { FL } & \text { Dick } & \text { Utley } & & \begin{array}{l}\text { Conf Utley re meeting } \\ \text { cancellation }\end{array} \\ 4 / 10 / 2000 & \text { FL } & \text { Boehm } & \text { Dick } & & \text { Conf re IP matters }\end{array}\right] 57103\)
\(\left.\begin{array}{llllll}4 / 13 / 2000 & \text { FL } & \text { Dick } & \text { Boehm } & \begin{array}{l}\text { Re: engagement letter to Utley } \\ \text { conf }\end{array} & 57103 \\ 4 / 21 / 2000 & \text { FL } & \text { Boehm } & \text { Dick } & \text { Becker } & \begin{array}{l}\text { Office conf regarding patent } \\ \text { matters???? }\end{array} \\ \hline 4 / 21 / 2000 & \text { FL } & \text { Becker } & \text { Dick } & 57103 \\ \begin{array}{l}4 / 21 / 2000 \\ \text { cont } \\ 4 / 21 / 2000 \\ 4 / 21 / 2000 \\ \text { cont }\end{array} & \text { FL } & \text { FL } & \text { Becker } & \text { Boehm } & \text { Phone Dick?? }\end{array}\right] 57103\)
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\hline 4/25/2000 & FL & Dick & Mantecon & & Conf re copying of material, pick up, sort and send to Boehm & 57103 \\
\hline 4/25/2000 & FL & Dick & \begin{tabular}{l}
Various \\
People???
\end{tabular} & & Meeting w various people (WHO??) during visit to pick up material & 57103 \\
\hline 4/26/2000 & FL & Dick & Boehm & Becker & Note refiles & 57103 \\
\hline 4/27/2000 & FL & Boehm & Dick & & Re IP file status & 57103 \\
\hline 4/28/2000 & FL & Dick & Boehm & Becker & Meeting with? & 57103 \\
\hline 5/1/2000 & FL & Boehm & Dick & Becker & Conf re IP portfolio and Iviewit tech & 57103-0101 \\
\hline 5/1/2000 con & & Becker & Boehm & Dick & Office conf?? & 57103-0101 \\
\hline 5/1/2000 con & & & & & Search for patents and background art & 57103-0101 \\
\hline 5/1/2000 & FL & Dick & Utley & & Communications with Mr. Utley. Vague & 57103-0101 \\
\hline 5/1/2000 & FL & Dick & Becker & Boehm & Conf call (MAYBE THIS CALL IS RELATED TO WHEELER / JOAO ANONYMOUS BILLING IN PR BILL) & 57103-0101 \\
\hline 5/2/2000 con & & Boehm & Dick & & Re schedule meeting & 57103-0101 \\
\hline 5/2/2000 & FL & Becker & Dick & & Conf??? & 57103-0101 \\
\hline 5/2/2000 & FL & Dick & Boehm & & Discussion re schedule and meeting with Utley & 57103-0101 \\
\hline 5/3/2000 & FL & Boehm & Utley & Dick/Becker & Travel to Boca and discuss various ip matters & 57103-0101 \\
\hline 5/3/2000 & FL & Becker & Utley & Dick/Becker & Travel to Boca and discuss various ip matters & 57103-0101 \\
\hline 5/5/2000 & FL & Boehm & Dick & & Conf re meeting results & 57103-0101 \\
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\] & FL & Boehm & Becker & Dick & Re iviewit inventions & 57103-0101 \\
\hline 5/16/2000 & FL & Dick & Boehm & & Regarding IP matters & 57103-0101 \\
\hline 5/30/2000 & FL & Boehm & Dick/Becker & Joao & Re iviewit Technology and prov apps & 57103-0101 \\
\hline 5/30/2000 & FL & Becker & Dick & Boehm & Office conf & 57103-0101 \\
\hline 5/30/2000 & FL & Dick & Boehm/Beck er & Utley/Bernste in & Conf. Forgets Joao & 57103-0101 \\
\hline 6/9/2000 & FL & Boehm & Dick & & Conf with Dick re iviewit matters. Vagueness & 57103-0101 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline 6/12/2000 & FL & Boehm & Dick & Buchsbaum & Conf re upcoming investor (WHO??) meeting and materials required, prepare notes re same & 57103-0101 \\
\hline 6/12/2000 & FL & Becker & Dick & & Office conf??? & 57103-0101 \\
\hline 6/12/2000 & FL & Dick & Buchsbaum & & Discussion with Buchsbaum & 57103-0101 \\
\hline \[
\begin{aligned}
& 6 / 12 / 2000 \\
& \text { cont }
\end{aligned}
\] & FL & Dick & Boehm & Becker & Later discussion regarding session with investors & 57103-0101 \\
\hline 6/20/2000 & FL & Boehm & Dick & & Conf \(w\) Dick regarding NDA & 57103-0101 \\
\hline \[
\begin{aligned}
& \text { 6/20/2000 } \\
& \text { cont }
\end{aligned}
\] & FL & Boehm & Dick & Utley & Revise NDA and send to Utley & 57103-0101 \\
\hline 6/20/2000 & FL & Dick & Boehm & & Re NDA and disclosure of patent app for eval purposes & 57103-0101 \\
\hline 7/11/2000 & FL & Boehm & Dick & & Conf w Dick (WHO DOES NOT BILL FOR THIS) re technology lics agreements & 57103-0101 \\
\hline 8/7/2000 & FL & Boehm & \begin{tabular}{l}
Dick Nachovi \\
a Securities
\end{tabular} & Utley/Bernste in & Conf Bernstein Utley Dick Wachovia reps re IP licensing matters & \begin{tabular}{l}
\[
057103-0101
\] \\
General File
\end{tabular} \\
\hline 8/7/2000 con & FL & Boehm & Bernstein & & Attend to misc correspondence regarding coples of Video Imaging Apps & \begin{tabular}{l}
057103-0101 \\
General File
\end{tabular} \\
\hline 8//2000 & FL & Dick & Wachovia & \(\rightarrow\) & Tele conf with Wachovia reps (WHO??) and client (WHO???) re technolagy licensing strategy & \begin{tabular}{l}
057103-0101 \\
General File
\end{tabular} \\
\hline 8/7/2000 con & FL & Dick & Boehm & \(\rightarrow\) & Tele conf with Boehm re Tele conf with Wachovia reps (WHO??) and client (WHO???) re technolagy licensing strategy & \begin{tabular}{l}
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057103-0101
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General File
\end{tabular} \\
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\end{tabular}

EXHIBIT "F"
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        CORRECTED VERSION - CORRECTED ON 5/14/2003
        Transcription of Telephone Conference
                    Conducted July 31, 2000
                    Farticipants:
    Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum,
Brian Utley, Doug Boehm, Chris Wheeler

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Note: Square brackets [ \(]\) are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

\footnotetext{
Utley:
<begins midstream>...status of the original digital image filings, and basically the fact that the original filings do not cover the full subject matter of the imaging technology; and to wit, one of the omissions, in particular in reading the claims section of the provisional and the formal filing, relates to the zooming and panning capability that is inherent in the technology. This has become a topic due to the fact that we are currently in the second phase of filing imaging patent protection which is driven by the provisionals that were filed later last year, between August and December of last year. So the concern that were expressed by Eliot in reviewing this is that this omission of the zooming and panning capability was attributable to a failure, for whatever reason, on the part of Ray Joao, the patent attorney of record, in constructing and putting together the provisional and formal filing<tape cuts out here> did I say it is that right Eliot
E Bernstein I believe so
Utley Is that your understanding
E Bernstein Correct
Utley The purpose of this meeting is to review the facts and I think there are two particular points that are
...that are important to moving ahead. The first is: "Given that the filings are what they are, and given what we know about the filing which is scheduled to take place this week on Wednesday, What means do we have to correct the situation; and given whatever corrections we find, what then is the impact or exposure to iviewit based upon what actions we can take. Then, lastly, what, if any, recourse might iviewit have vi sa vi the omissions in the original filings Are there any other issues, Doug?
Bernstein: Yeah, Just correcting back to Ray Joao's work of the formal filing that he filed. Do we have a copy of that?
}
```

Utley: I do have that.
Bernstein: I don't. I've got the provisional and I've got...
Boehm: Everything is on the table
Utley: you should have...the formal.
Bernstein: This one?
Utley: Yes, that's the formal.
Bernstein: Okay.
Simon Bernstein: I just have one question. Does anybody have, or are
we allowed to get, the files of Ray Joao?
Boehm: I have them.
Wheeler: Do you have all of the work that he had?
Bernstein: No, not all of it.
Utley: What was purported to be in the files?
Bernstein: And he also claimed to us that he destroyed part of his files.
Boehm: And I have some of his files. I have what was purported to
be all of the fimms' files.
<Inaudible comment.>
Utley: Well, there's a whole history, then, because I tried to get
complete copies of the files originally, and found out
later that not only did he not send us all the files, he
didn't even mention that there was an extra filing out
there that we didn't even know about.
Bernstein: This one that's in question.
Boehm: Yep
Simon Bernstein: You have no notes, no data on...?
Boehm: No, I have the application. I have things that you could
get from the US patent office-that I could get from the US
patent office. I have very few notes. I do have some
scribbled Ray Joao's notes, but I think you gave me those
notes.
Utley: I did. I gave you Bill Dick after Bill yourself[ ] the
notes that I had.
Bernstein: And Ray's made disclosures to us that he destroyed the documents to protect us, which I don't know what he was thinking.

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\begin{tabular}{|c|c|c|}
\hline Wheeler: & & And did he, in front of you, write notes? \\
\hline Bernstein: & Tons. & Hundreds \\
\hline Wheeler: & & And did he then produce them on his computer and type out certain things? \\
\hline Bernstein: & Yes. & \\
\hline Wheeler: & & I was under the impression he was doing that with you. \\
\hline Bernstein: & He did & d. \\
\hline Wheeler: & & And did you read those? \\
\hline Bernstein: & I did & I did - now going to that same nature, that's the provisional I think we're talking about... \\
\hline Wheeler: & & Right. \\
\hline Bernstein: & But h & flew out here again with me and Brian and went through this as he went to file this-this is a 3/23/2000 file-that also fails to make mention of. \\
\hline Wheeler: & & So that's the formal file...the formal one? \\
\hline Bernstein: & The for & rmal file. So both also missed the point. \\
\hline Wheeler: & & I just wanted to know and to put things in proportion, when you read the provisionals, because Brian wasn't with the company right now and then, and when there were all those drafts, because obviously we didn't see them... \\
\hline Bernstein: & Well & You saw because we gave you all the documents. I'd get a document from Ray and bring it to you so you would have records of everything up to that point because I didn't want to keep them at my house. \\
\hline Wheeler: & & The final...the final...but I'm not reviewing the patent. I was keep maintaining it as... \\
\hline Bernstein: & Okay, & but you have every record... \\
\hline Wheeler: & & Everything you gave me we maintain. We don't.. \\
\hline Simon Bernst & zein: & Any notes should be produced. \\
\hline Wheeler: & & We don't throw away anything. \\
\hline Bernstein: & Yeah, & I know. \\
\hline \multicolumn{3}{|l|}{Simon Bernstein: I know you don't you're very thorough.} \\
\hline Wheeler: & & So, I'd file it away; so if you gave it to me, it's in our archives. \\
\hline
\end{tabular}

Bernstein: Right.

\begin{tabular}{|c|c|}
\hline Wheeler: & But the zooming and the panning and the scanning element was incorporated in that? \\
\hline Boehm: & Go ahead, Brian. \\
\hline Utley: & Let me make sure that we say that properly. The provisional filing had a claims section which migrated into the final filing, but Eliot is correct in saying that the provisional does not need a claims section. \\
\hline Boehm:
Bernstein: But & The provisional never gets examined, so it doesn't need the claims. It just holds your place in line for one year. then when I look through this... \\
\hline Simon Bernstein: & Hold on, Eliot, I need to understand this. What you're saying, then, is assuming any negligence on his part, to that point the negligence doesn't become realistically damaging to the company until since he actually made a claim...since he actually made a provisional filing. Which took our place in line. \\
\hline Boehm: & If the provisional filing covered the invention, your place in line is only as good as the subject matter described in accordance with the law. \\
\hline Simon: & Obviously, it should have had the panning and zooming in there. \\
\hline Boehm: & Well, the word "zoom" is in there. \\
\hline \multicolumn{2}{|l|}{Bernstein: But not really to describe what we're doing.} \\
\hline Boehm: & But do you see what I'm saying? It's only to the amount of subject matter that and attested where the average person skilled in the art could make and use an invention as it's described in this document, and without "undue" experimentation, without inventing it himself. \\
\hline Simon Bernstein: & Right. \\
\hline Boehm: & Now, this provisional application, you throw it...different patent attorneys do different things with it. On one end of the spectrum, you do an invention disclosure. Most big corporations have invention disclosure forms which leads the inventor to write out good disclosures and figures and things, and I've seen people actually file that invention disclosure because if you're coming up on a bar date, you don't have time to write an application or think about what your invention is. All you've got to do is get something on file, and then hope that it will protect...that whatever you had on file covered your invention. \\
\hline \multicolumn{2}{|l|}{Simon Bernstein: Is that what we've done so far?} \\
\hline
\end{tabular}

Bernstein: No.

\begin{tabular}{|c|c|}
\hline Wheeler: & I am asking you whether he did or not? \\
\hline Boehm: & I'm not clear on what you mean. You can't add additional subject matter after the filing date of an application or you'll lose the right to that filing date. \\
\hline Wheeler: & The provisional? You can't add subject matter to the provisional? \\
\hline Boehm: & To any application...any patent \\
\hline Wheeler: & But if he did describe the zooming, then the zooming element is not an addition in the formal. \\
\hline Boehm: & Right. It's supported. If he described it in the original, you can base claims on it later. \\
\hline Wheeler: & And have we said that the zooming is in the provisional? \\
\hline Bernstein: Nowhe & re that I can see. \\
\hline Simon Bernstein: & Wait. You're the lawyer reading another lawyer's work. Is it in there? \\
\hline Boehm: & Do you have a copy of it? \\
\hline Bernstein: Yeah, & right here. It isn't in there if it bites you. \\
\hline E. Bernstein: & It's not in the filing either. \\
\hline Simon Bernstein: & It's obviously not in the filing if it's not in the provisional. \\
\hline Bernstein: No. & \\
\hline Simon Bernstein: & Can you make reference to something. . .let's say he uses the word "zoom". \\
\hline Boehm: & Exactly. I'm pretty sure the word "zoom" is in there, isn't it Eliot? \\
\hline Bernstein: But & hat Doug's saying is that had you written the patent, you would have described the invention as the ability to do this cool zoom that we all...and just said this is the cool part of what we're doing. What Ray's missing in the outline is the ability for you to put a picture on a web page. \\
\hline Wheeler: & He did know that an important element was the fact that when we went in and made it bigger, we didn't pixelate. \\
\hline \multicolumn{2}{|l|}{Bernstein: It didn't pixelate. Not in here at all.} \\
\hline E. Bernstein: & Not even mention to that concept. \\
\hline Bernstein: Compl & ete failure. It's not. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|}
\hline Wheeler: & & But if said it doesn't distort when we zoom. \\
\hline Bernstein: & Nope. & Nothing like that. \\
\hline Wheeler: & & That's the same thing, isn't it? \\
\hline Bernstein: Y & Yeah, & but he hasn't said anything...he doesn't even tell you \\
\hline Wheeler: & & What about the panning element, or is that element not patentable? \\
\hline Bernstein: & No, th & at's part of the whole process is to be able to zoom while panning. \\
\hline Wheeler: & & Here it is. "The above process can be utilized in order to create higher zoom capabilities with each new depth layer of an image..." \\
\hline Bernstein: & No, bl & ut that's a new depth layer which is bringing in another hotspot image, so it's really a completely different subject. \\
\hline Boehm: & & Oh. Okay. \\
\hline Boehm: & & Okay. Where is that? \\
\hline E. Bernstein & : & I read it to, he's very crafty you know. \\
\hline Boehm: & & "Where the zoom capacity of up to 1700 times or greater may be easily obtained with the [present conventions.]" Are they talking about the hotspot now? \\
\hline Bernstein: & No. & \\
\hline Boehm: & & No, it's the general zooming capability. \\
\hline Wheeler: & & So it's not in addition. \\
\hline Bernstein: & Well, & explain to him where it's missing. \\
\hline Wheeler: & & You guys didn't put it in the formal...I don't mean you...he didn't put it in the formal one in the depth in that what we want to do it but he could have without it being construed as an addition. \\
\hline Boehm: & Yes. & \\
\hline Boehm: & & Well play lawyer on you now<Laughs; cannot understand his comment.> \\
\hline Wheeler: & & Right - sorry \\
\hline Boehm: & & Whether or not it's supported is a question that's going to be determined either between you and the examiner...probably not, it's between you and another \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline & lawyer someday when the case is litigated. The question is And again, the test is: Can the average person skilled in the art-the average designer of this type of software-can he read this document and make and use of your invention without inventing it? That's the test. Now, whether he uses the word "zoom" in here and "magnification" later, that doesn't mater as long as he would have gotten it. If it is so simple to build by reading this, you don't need any subject matter. If you're combining three elements \(A, B\), and \(C\), and \(A, B\), and \(C\) are standard in the art, and you tell them these are standard in the art, go combine \(A, B\), and \(C\), that could be a one-page application. The average person will pick it up and he could. It's a patent test. Are you with me? The more complex it is, the more you want it supported in this text. \\
\hline Simon Bernstein: & What if it is basically simple, and he just wrote it as basically simple, does that support our position anyway though? \\
\hline Boehm: & Does that support our...sure. \\
\hline Simon Bernstein: & I mean, if we were to litigate against another person that infringes on our... \\
\hline Boehm: & An infringer. \\
\hline Simon Bernstein: & Supportable for the sake of argument? \\
\hline Boehm: & Right. Yes. That is a fair argument \\
\hline Simon Bernstein: & OK so then \(I\) don't know that, at least from first blush \\
\hline Bernstein: That' & s the provisional you're reading though, right? \\
\hline Boehm: & Aren't they the same? I think they're identical, aren't they? \\
\hline Boehm: & You can check in his notebook. \\
\hline Boehm: & Are there differences? \\
\hline Bernstein: Where & did you find that piece that you just read? \\
\hline Wheeler: & Is the reason...now continue answering my question...is the reason we came to the formal in March of this year, which I didn't realize that Joao. I thought that we had agreements for doing everything, but apparently Joao filed... \\
\hline Boehm: & For that one, yes. \\
\hline Wheeler: & But he didn't bother telling anybody. \\
\hline Boehm: & That's the one that we didn't find out until way late. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Utley: & he was going to smash that all together and file it. \\
\hline Simon Bernstein: & Was that the same time, Brian, that he was leaving the firm? \\
\hline Bernstein: Yeah. & \\
\hline Simon Bernstein: & So would you say that probably... \\
\hline Utley: & he knew at the time that he probably would be leaving? \\
\hline Utley: & Right. \\
\hline Simon: & But he wanted to get all of this in place so he could do the billing and get that part of it in... \\
\hline Utley: & I don't know that. \\
\hline Boehm: & Just speculating. \\
\hline Eliot Bernstein: & What day did you give him those notes? \\
\hline Simon Bernstein: & I don't ever have to speculate on billing \\
\hline Utley: & I don't have my address book with me...I didn't write the date down, but it was the date that he was here. He came. \\
\hline Wheeler: & He wanted to get it done to take care of you, make sure it was filed for you. \\
\hline Simon Bernstein: & That could be too. One other reason is. \\
\hline Wheeler: & We're just speculating. \\
\hline Wheeler: & And I'm not trying to... <Everyone talking at once.> I thought he was trying to work on our best behalf, but one time or two times that I met him, it seems like he was earnestly trying to help. Who knows? Maybe he was incompetent. I mean we're only suggesting that it would have been incompetence \\
\hline Bernstein: Well, & the fact that it's not in your patents, right up front, this is the invention, is a gross neglect. And the fact that it doesn't say, "this is what the invention is trying to do. This is the feature..." \\
\hline Simon Bernstein: & The point is not whether it's gross neglect or not, it's what the damage is if there is...if, one, gross neglect is of any import; and two, what is the damage? it has caused iviewit. That's what I think we need to ascertain here, and if we can ascertain it. \\
\hline Utley: & How do we fix it? \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline & Of course lets try to fix it, if we can't fix it then we'll worry about... \\
\hline Eliot Bernstein: & Well \(1^{\text {s- }}\) lets fix it \\
\hline <Everyone talking & at once.> \\
\hline Boehm: & Let me go over the procedures so everybody's clear. Again, on one end of the spectrum you file a very sparse, like a one-page provisional application, and it's cheap, and the purpose of the provisional is to get you in line...it is to protect your date. What you're trying to do is get the benefit of your priority date. When you invented it. When you're in line in terms of whose the next guy that invented it. Whose the first inventor? \\
\hline Simon Bernstein: & Someone comes after you the second day after... \\
\hline Boehm: & Who's the first inventor, that's what you're after. \\
\hline Simon: & I understand. I really understand...you don't physically stand... \\
\hline Boehm: & Not physically in line in the patent office is right, not or even in physically in line in order as well. Okay. Oneyear letter, the provisional expires and you have to file a non-provisional patent application, okay? Many times it's identical. If you do a good job up front, you just file that, but you need to put claims on at this time. When I do a provisional, I try, if there is money and time up front, to do it once up front. I even write the claims. As a matter of fact, I don't even like to file provisionals because there's not much of an advantage. If you've got the time and the money up front to do a good job, well then, just file it as a regular application. \\
\hline Simon: & Understand that at the beginning, the time and the money...I mean, the time was certainly available, but the money was a short substance. So it was obvious that Ray would be working in a most expeditious way. \\
\hline Boehm: & Well, that's why the.. \\
\hline Simon: Which & might have short-circuited us because of all of the lack of funds. \\
\hline Wheeler: & Well, that's true because the filing date is 3/24/99 to endorse that...that was very early in the game. \\
\hline Simon: & We did it in your office Chris in your library... in your conference room. The only meeting I had with him was while we were going to file the patent and that was in your office. \\
\hline Boehm: & Okay, 3/24/99 is the provisional application. \\
\hline Bernstein: That's & s what I'm saying. Well, Chris, \\
\hline
\end{tabular}

Boehm: So even at a year, he filed the second one with claims.
Buchsbaum: Yeah two things happened during the year. One, the Company was doing other things, even though they knew that was coming up, and two, I guess there wasn't a whole lot of money to allocate towards doing that much.

Simon: Here's what we did. We hired Ray Joao on the monies that were raised by the investors; and then when Huizenga was coming in with their money, and when that money came in, we made a company decision that the first and foremost thing was to get the patent filed properly. So the fact that we were going to spend more money and get them completed at that point had already been made.

Simon: Okay, but prior to that, we were working on short forms. Then after that, we started to raise capital, and we always knew that the priority was intellectual property, so were going to make sure that those got done right. Brian's been working on it ever since, and I felt comfortable...I never did feel comfortable with Ray Joao...just an observation.

Boehm: Hmmm....is it all patent attorneys? <Laughter>
Simon: No, no, there's nothing wrong. He came in, he's a nice guy, he tried hard, you know, all the nice things, but his work always appeared sloppy, okay? And that's the only thing I can say. You're a patent attorney, you see what he did. If I'm wrong, then let me know; but to me, it looked like it was a little slipshod. And then he made some statements that really bothered me, too, that I don't think he should have made to a client, and that is that he was filing his own patent. <Chuckling.> I mean, horseshit personally, I haven't heard of a patent attorney in my life telling me that he's an inventor filing his own patent. It really did bother me.
<Everyone talking at once.>
Bernstein: Transmitting video files on a commuication network for airlines and...

Simon: It probably meant nothing because I don't think the guy was of the nature to be stealing from us, but \(I\) don't know! But I'll tell you this, it did ring a bell. From a pure novice, it made me a little nervous. I asked Eliot why he was dealing with somebody, but we were assured that this was a good firm...

Boehm: Let me look back in my own spiel...here with the provisional. You file a provisional, then within one year, you file a regular application with the claims. You can add claims to it; but if you add subject matter to it-in other words, if the zoom and pan concept wasn't well described, you have lost the benefit of that first phase. Right. Now

\begin{tabular}{|c|c|}
\hline Simon Bernstein: & Before this meeting took place, before we called this meeting, aren't you privy to everything that's been done? \\
\hline Boehm: & Oh, sure. I have everything. \\
\hline Simon Bernstein: & So when Eliot asked you that question, why can't you answer it? \\
\hline Boehm: & Because there's no... in my opinion, there's no clear-cut answer, yes or no, on the quality of the work product. It's a judgrnent call. \\
\hline Bernstein: So th & 's an exposure, and what if the judgment is against us? \\
\hline Wheeler: & It's [an examiner] judgment call is what we're saying. \\
\hline Boehm: & The damage? \\
\hline Wheeler: & No, the examiner. <Everyone talking at once.> \\
\hline Wheeler: & Whether the subject matter is new or not \\
\hline Boehm: & The examiner would...hold on...it's... \\
\hline Wheeler: & whose judgment call is it? \\
\hline Boehm: & It could be the examiner's, if he catches it. If it's not caught, and you get it to patent and you litigate the patent, ... at court. Or if the examiner catches it and I want to appeal it to the board of appeals in the patent office, it's their judgment call \\
\hline Wheeler: & Okay, so we go to court and we're fighting over the patent, we would argue that it's supported by the zoom 1700 in our language, and the other side would, say that's baloney that's too broad you didn't describe it enough \\
\hline Boehm: & You didn't have your invention... \\
\hline Bernstein: Then & ou lose. \\
\hline Boehm: & We would lose only if you had a bar date come in there if somebody else invented before you, or if you put something on sale... or if we offered something up for sale. \\
\hline Bernstein: Which & we did. \\
\hline Boehm: & But the offer-for-sale date from our first meeting is not until September. \\
\hline Bernstein: Right & \\
\hline Boehm: & So the offers for sale won't normally kick off a foreign... \\
\hline Simon Bernstein: & Could you explain to me what offer for sale means? \\
\hline
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\begin{tabular}{|c|c|}
\hline Boehm: & Sure. As soon as you.. you can't get a patent on a product after you've been using it for more than a year. As soon as you publicly disclose your invention, you've got one year in the United States to get a patent on file, okay? Even if you don't publicly disclose it...let's say I've got a method of making [ ] in my factory, but it never gets outside. I'm starting to commercialize it, I'm making money off my invention...the commercialization date a year later is you can't patent it in the U.S. So that's that one-year grace period. \\
\hline Simon Bernstein: & Aren't we within that period? \\
\hline Boehm: & Yes. As far as we know, yeah. As far as we know. \\
\hline Utley: & Yes-yes we are within that grace period \\
\hline Simon: & Okay, somebody explain to me, what am I doing here? Why am I sitting here? Are we saying that Ray Joao, other than being sloppy, but there's not much damage that could have been done or can be done because we can fix it, which really would make me the happiest to hear that. \\
\hline [not in transcrip perhaps the chan & : FSL look at change above although minor it indicates in text to match new text] \\
\hline Utley: & Can I jump in? Let's just say there are two steps. We're going to make a filing this week; and to the best of our knowledge, we have swept up all this in this filing, and that will be within the comercialization period. The second thing that we're going to do is we're going to look at filing an addendurn to the original formal filing to strengthen the claims - broaden the claims ... to the maximum extent that we can. \\
\hline Boehm: & if we need it...if we need it. \\
\hline Boehm: & It'll be a lot of this was swept up into the application. \\
\hline Utley: & What we're trying to do is protect the date day of March 24 \\
\hline Boehm: & The original \\
\hline Utley: & The original date as March the \(24^{\text {h }}\), but filing should remain an objective. \\
\hline Simon Bernstein: & Brian, if you broadened the language now, would that be a red flag to the commissioner that you should have done it earlier? Or should we just say that this has always been there? \\
\hline Buchsbaum: & You mean the examiner of the commission \\
\hline Bernstein: We're & not going to be able to say it was in the claim. \\
\hline Simon Bernstein: & What happens when you start those amendments or broaden them is you start to admit that you didn't do it. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & Um, yes and no. We... I do that all the time. \\
\hline Simon Bernstein: & It's common then? \\
\hline Bernstein: If th & y do it all the time, then we have to do it. \\
\hline Simon Bernstein: & But not until I feel more comfortable with it. \\
\hline Boehm: & We normally have a search done. The patent examiner will do a patentability search, and he will come back and reject it. The problem is if the claims are too narrow to begin with, he will not come back and reject it, he'll allow it, and boom! Now I can't amend it he's in. [ ], we're done. But I can file an continuation on it. I can keep dragging this out and get broader claims as long as the subject matter is... \\
\hline Wheeler: & So that's why he stated it broadly versus narrowly? \\
\hline Boehm: & No. \\
\hline <somebody cones & to the room to take food/and or drink orders.> \\
\hline Boehm: & No, but as far as, doing it broadly, if you're saying to claim it broadly it's our job to claim... as prior art which \(I\) doubt the claim is as broad as the [ ] allows... \\
\hline Wheeler: & Right. That's what I'm saying. \\
\hline Boehm: & And this is claimed broadly. \\
\hline Wheeler: & Right \\
\hline Boehm: & And that's the normal tactic, to claim things broadly, and then wait for the examiner to come back and say, "Oh, you can't get it that broad," and then narrow down your claim. \\
\hline Wheeler: & Okay, so isn't that what he was in part trying to do? That's what he's been saying, yeah. \\
\hline Boehm: & Yeah. \\
\hline Wheeler: & Well, would that not be consistent with how patent attorneys try to do things? \\
\hline Bernstein: Well, & claim one, if you look at their claim one, Chris, that they've written, it identifies... \\
\hline Wheeler: & Who's they? \\
\hline Bernstein: Foley [not in transcrip & ```
& Lardner. It identifies what you're trying to do.
: Stephen note how Dicks name is deleted and Foley's name
is screwed up, may indicate who was changing this
transcript]
``` \\
\hline Wheeler: & Okay, so maybe it should have been written differently. 18 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & You won't get two patent attorneys to write the same claims. \\
\hline Bernstein: Well, & no, but you try to write the claim, and that's the teaching you and steve both represented us here, to describe in its broadest term... \\
\hline Boehm: & Right. \\
\hline \multicolumn{2}{|l|}{Bernstein: ...the invention.} \\
\hline Boehm: & Well, I can't say that this isn't broad. This is very broad. This might be rejected for indefiniteness...I don't know what it is...but now he's got the opportunity to go back and... \\
\hline Bernstein: And B & rian, you know, there's print film image in here, it's all supposed to be out of here. \\
\hline Wheeler: & What you're telling me is that in your forum of law there's always going back and refining and refining and refining that was wrong. \\
\hline \multicolumn{2}{|l|}{<Everyone talking at once; two different conversations going on at once.>} \\
\hline Bernstein: This & is like he just completely ignored what we said over a year. He didn't do a thing. Nothing. No comments, nothing. \\
\hline Utley: & Almost nothing between the provisional and the formal process. \\
\hline Boehm: & And some people intentionally file narrow just to get something on file. Then they can come back and repair it without damage to it. \\
\hline \multicolumn{2}{|l|}{Bernstein: But you don't know that because an examiner...} \\
\hline Simon Bernstein: & You'll never know that until you have a litigation. \\
\hline \multicolumn{2}{|l|}{Bernstein: And then the question is what potential damage does that...} \\
\hline Simon: & That damage potential and that remedy will be then taking place at that time, not now. \\
\hline Boehm: & That I agree with. Even if we decide something now, you won't know what the outcome is for five and a half months. \\
\hline Simon Bernstein: & ...wouldn't happen anyway. You wouldn't even know that. \\
\hline Utley: & Let me come back where I was. We are going to file on the \(7^{\text {h. }}\), Wednesday. As far as we know, that will cover every element of this invention that we have our arms around at this point in time. \\
\hline \multicolumn{2}{|l|}{Boehm: I believe so, yes.} \\
\hline
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\begin{tabular}{|c|c|}
\hline Utley: & And we should go back and address what amendments we can make to the claims in the filing of March this year and determine within the spec of the filing how broad those claims can be. I mean, that's going to be the test. Within the spec of that filing, how much leverage have we got to broaden those claims so that we do have a priority date which is back about a year ago last March. \\
\hline Bernstein: & So we want to insert everything going into this one into that one? \\
\hline Utley: & No, it'll be. \\
\hline Utley: & It'll be based upon the preamble, if you will, of what's in here. \\
\hline Boehm: & We do reference it. As a matter of fact, this is the cover page, Brian, of the application we're going to file. \\
\hline Utley: & Yeah, you reference it right there. \\
\hline Bernstein: & But you can add claims to that one that you're referencing that would encompass what we have in today's filing, which is really...we do want it in there. \\
\hline Boehm: & Yes, I can claims to the zoom and pan to get you back to the original date in this one since \(I\) claim to this onto his. \\
\hline Bernstein: & Well, we should do both. \\
\hline Boehm: & Well, you can't get two patents on the same invention, so it depends on where we want to go. \\
\hline Bernstein: & Well, we want to definitely get it in on his because it gets us an earlier date. Correct? \\
\hline Boehrn: & No. It's a mess with these dates. What will happen is...nobody will worry about the date unless there's an occurrence, and that occurrence might... it's a major problem. You won't find out about that occurrence until you sue somebody, and then they go search in Australia, and they find a reference that somebody's done this before in the library, and then you worry about the date. Were you before him? \\
\hline Bernstein: & Well, that's what I'm worried about. I'd like to go back to our earliest date. \\
\hline Wheeler: & Can I point out one other thing? I know we look for the word...Eliot looks for the word...I know we look for the word "zoom," but there's also other language in here too. Sometimes we get caught up in a word "zoom," when what is zooming other than enlarging or reducing? And he does have language in here, "when enlarged or reduced, these pixels of the digital image becoming distorted a feature which \\
\hline
\end{tabular}

want to go with this. Then you spend the time and the money to do a good original provisional filing. You've got a pretty good shot that it's supported then. But when you file as, oh, I've got to try and cover this base, and when you do this kind of stuff, there's always going to be a question of what was supported when.


\begin{tabular}{|c|c|}
\hline Wheeler: & I have copies of each one of these. Can I get a copy of your [ ]? \\
\hline Boehm: & of this? Sure. \\
\hline Wheeler: & I have a copy of each one of these, I believe, or most of thern... \\
\hline Buchsbaum: obligation Boehm: & \begin{tabular}{l}
Can I ask you a question? Your saying everybody that has an to sign is on the list of names in these patents? \\
You preferably don't...well, unless you have the new ones...
\end{tabular} \\
\hline Wheeler: & I don't have the new ones, but \\
\hline Bernstein: & That's an old one. That's old. \\
\hline Buchsbaum: & You're saying everybody that has an obligation to sign is on the list of names in these patents right, because the company was part because the Company was doing, is that what you're saying? Because I don't even know if everybody has signed because you may due corporate due diligence for financial reasons or if...and they will say has everybody signed off on these patents, and if three people don't...if one person hasn't, he has an obligation to sign? \\
\hline Boehm: & Brian, have you signed? \\
\hline Buchsbaum: & Has everybody signed off on these? Brian? \\
\hline Boehm: & See these tabs [refers to tabs for inventors Bernstein, Shirajee, Friedstein and Rosario to sign] right here? That's what I'm trying to do today. As soon as...I'm going to have people sign, me sign...all the inventors sign. I've got to get a hold of Jeff \\
\hline Bernstein: & I thought we did that when we filed. \\
\hline Boehm: & You only signed one real document, didn't you? Did you actually a declaration? I know you didn't sign an assignment over but you're real clean on it because these are all based on the original filing, which is assigned to iviewit holding already \\
\hline Bernstein: & What's that mean? \\
\hline Boehm: & So all of the other inventors would have a helluva problem trying to say they owned anything. \\
\hline Simon: & Again, this is a little off the subject matter, but I have asked Chris about it before. If something were to happen to iviewit, and it were it went into bankruptcy, what would happen to those patents? How would those patents [ ]? \\
\hline eeler: & It depends on which at iviewit you're talking about \\
\hline
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\begin{tabular}{|c|c|}
\hline Simon Berns & n: ...Board if everybody that was a creditor found, everybody that was a note holder at that point there was no what would you call it - problem \\
\hline Buchsbaurn: & and that would be protected by the courts anyway usually. The court would see this probably as a you know a fraud \\
\hline Wheeler: & You could have two frauds: fraud of creditors and fraud of shareholders. \\
\hline Simon: & No, Chris I'm not worried about fraud. I'm really concerned with the fact that what we did here, the last loan that we took in, from... \\
\hline Bernstein: & Crossbow. \\
\hline Simon: & No, not from Crossbar \\
\hline Bernstein: & Crossbow. \\
\hline Wheeler: & Crossbow \\
\hline Simon: & ...is secured by the. \\
\hline Wheeler: & .the term of the deal, right. \\
\hline Simon: & And that's perfectly acceptable to me except that everybody else that had loans prior to that at that time should have been considered with the same equity because ...posses able and Chris told me that that was the perfect time to get it done \\
\hline Bernstein: & Yeah, but would Huizenga lose his? \\
\hline Bernstein: & Would Huizenga lose his stake in it to Crossbow? \\
\hline Wheeler: & No, no, no, it wasn't...I said that if there was going to be new considerations from those people, we all could of...?? \\
\hline Simon: & We all could have put in another \(\$ 10\). I mean, at the time we did it with Crossbow, we should have made sure that our other people... \\
\hline Bernstein: & Are protected. \\
\hline Utley: & No, no, no. We would have had to issue new contracts out for everyone. \\
\hline Wheeler: & There would have had to have been some material consideration, not just \(\$ 10\). It would have been... \\
\hline Simon: & So it would have been \(\$ 10,000 .\). \\
\hline Wheeler: & Well, then, you could have...Crossbow, we didn't even talk about Crossbow at that moment, and I said you couldn't go back and just collateralize. You couldn't go back for money that you already put in. But if you put in new \\
\hline
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\hline & considerations that you could demand as a condition to be collateral. \\
\hline Simon: & What we should have done, or what we maybe we still should do to protect our original group of investors, is to have them pony up a few more thousand or whatever you think is legitimate, and amend the contracts to protect them as well. \\
\hline Utley: & That's new subject matter. \\
\hline Simon: & Well, I only brought it up because it had to do with the patents. \\
\hline Utley: & I know but can we finish the patent discussions before we bring up new subject matter. \\
\hline Simon: & You can, but I want to make sure that we do finish. \\
\hline Utley: & No, I aqree with you si. \\
\hline Si: & The problem is that \(I\) made claims to certain people like Don Kane, who put oo \(\$ 100,000\), who thinks... \\
\hline Bernstein: & Let's get back to that. No, let's get back to it. It's a definite point. There are people. \\
\hline Buchsbaum: & This is a business issue for later. \\
\hline Bernstein: & No, we're asked by these very people these questions. \\
\hline Boehm: & Did you get your question answered on the. \\
\hline Buchsbaum: & Yeah, I just wanted to understand...you know, I got an answer. It had to do with the obligations si 1 was trying to understand if somebody does due diligence now with regards to understanding what is there and what has to be done, like those yellow tabs. [Yellow tabs indicate siqnatures of missing inventors] \\
\hline Boehm: & Yeah, but after...I find everybody, we can get guys to sign. \\
\hline Buchsbaum: & We aren't that many. I don't know on that sheet what you have, but I don't think there are that many names. There's what about five names? \\
\hline Buchsbaum: & Therearen't that many...you don't have that many. I don't know on that sheet you have, I don't think there's that many names. \\
\hline Boehm: & No, there's not. \\
\hline Boehm: & So we have everybody but Jeff, if we can get Jude and zak. \\
\hline Buchsbaum: & You just have to get people around and sign. \\
\hline Boehm: & No, that should not be and issue. \\
\hline
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\hline Buchsbaum: & That might be questions brought up when people do do due diligence. Is everybody else on these? \\
\hline Bernstein: & That's why we're closing it. Right? \\
\hline Boehm: & We'll record what was in the patent office (...??) can do. \\
\hline Utley: & The other piece that's not in any part of the original filings, which is the reduction of the technology to a disciplined process-the mathematical representations of what's in and how it works and stuff like that. \\
\hline Wheeler: & (..???) \\
\hline Buchsbaum: & That will also be included in there, right? \\
\hline Utley: & We'll put it in the new filing... one of the new filings. \\
\hline Wheeler: & I form my opinion of everything, and we can talk about post solutions but I think Brian wants to get this back on track, but to me there's bad news and there's good news in this. The bad news is, just like anything in life, perhaps we would have liked to have tidied up some things better, like to have had Mr. Joao tidy them up. The good news is considering the state that the corporation was in in the early stages and the variable limited resources that it had, I'm glad that we have an awful lot on record that we do have on record, to be honest with you. \\
\hline Simon: & As long as it's not to the detriment of what we thought we were filing, I have no...I couldn't agree with you more. \\
\hline Wheeler: & But I think I like your approach, and I assume it's your approach, too, in that \(I\) assume that you're doing a fairly comprehensive new one, but then you're going to probably... \\
\hline Utley: & Claim priority back to the old one. \\
\hline Wheeler: & Right, but you're also going to do your amendment because now we're finding out that it's not an uncommon procedure and it's not a red flag. \\
\hline Utley: & Two things: the new filing on Wednesday will claim priority all the way back for as much as possible back to March \(24^{\text {h }}\) last year. Second, we will look at the March \(24^{\text {h }}\) Year 2000 filing and determine how we should amend that to include additional claims and broaden that filing so that it more fully represents the knowledge of the invention as of that time. \\
\hline Bernstein: & Does it claim all the way back? \\
\hline Wheeler: & It'll go all the way back... \\
\hline Boehm: & as long as you don't go outside what was described. \\
\hline
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\hline Bernstein: & No, the math is just describing the original invention. \\
\hline Boehm: & We'll, I'll never know the answer to that until it's litigated. \\
\hline Utley: & Due diligence. \\
\hline Bernstein: & Right, but from your perspective here, that's what we're setting up. Correct? \\
\hline Boehm: & We're going to try. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & The question never even gets answered half the time in the real world. I will claim priority back on the document, and then if the examiner doesn't care, nobody cares \\
\hline Bernstein: & It gets through. \\
\hline Boehrn: & It gets through. \\
\hline Wheeler: & Would it be a fair assessment-I'm posing this more as a novice, not as an attorney here-since we're not at IBM and we don't sit down at the very beginning and work out all these equations and all that, that in an invention such as this by a Ma-and-Pa type of inventor, and now since we're getting into the nuts and bolts and really uncovering, in essence, what's behind it, as Brian dissected it as we moved along, but that's all we're doing? I mean, that Ma-and-Fa inventors do that as they go along? They add the flesh to the bones as they go along? \\
\hline Boehm: & Boy, that happens, and we try not...we try to minimize the amount because if the flesh that you have to add is new subject matter and you've already sold your invention a year ago, you're dead. \\
\hline Wheeler: & Well no, Let me at it a different way. It does this, but 1 can't describe how it does this. But now we find out...we tell you what it does, now we're telling you in detail how it does it. \\
\hline Boehm: & Yeah, in terms of we claimed it properly. \\
\hline Wheeler: & So I'm not adding flesh in defense. \\
\hline Simon: & New flesh. \\
\hline Wheeler: & ...new flesh. I've got the box, now I'm disclosing what's in the box including the gears and how it works. \\
\hline Bernstein: & No. \\
\hline Utley: & No. Here's what the big difference is. The original filing claims a process for print film imaging. \\
\hline
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\hline & distribution. But of that amount [ ] unless there's nothing to distribute. \\
\hline Simon: & Not if one of the preferred stockholders has a collateralized position and the others don't. If one of these preferred stockholders... \\
\hline Utley: & There's no stockholders that have a collateralized position. \\
\hline Simon: & That's true. \\
\hline Buchsbaum: & You're talking about the small amount of money, that have any value, it should be reasonable value, and those would be taken out anyway. \\
\hline Simon: & Except that we seem to feel that we have an obligation to those, to protect the other stockholders who...had all good...I think its prudent anybody to ask permission \\
\hline Buchsbaum: & A good way to do it is the way he said to do it, and that's to [?]. \\
\hline Utley: & Will you look it up and see what it's going to take to do it? \\
\hline Wheeler: & I'll coordinate that \\
\hline Utley: & I'm not clear. What are we trying to do? Are we trying to provide for collateral for new money coming in, or are we trying to...? We're not trying to collateralize money which has already been... \\
\hline Simon: & I don't know. Can you handle the old money the same way? I don't think so. \\
\hline Wheeler: & We have to see. We might be able to consider it for the full amount in the view of the fact that if you had enough substantial new consideration, ... \\
\hline Buchsbaum: & The problem is that you may have to go back to Crossbow to do that, and you may be better off just to do it on subsequent money. \\
\hline Simon: & Well, but to ask Don Kane to put up \(\$ 10,000\) when he's got \(\$ 160,000\) in the... \(\$ 135,000\) in the company, and then he only gets \(10 \% \ldots \$ 10,000\) worth of consideration...I'd like to protect his whole \(\$ 165,000\), which is what he has. \\
\hline Buchsbaum: & The answer is you go back and \\
\hline Utley: & I don't think you can do that because that's equity. It's in comon stock. \\
\hline Bernstein: & It's not equity. It's a loan. \\
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Bernstein: Don had the stock prior to his putting up the money. These
are loans. There's \$400,000 that's on the books. Then
there's another \$100,000 besides what he put in originally.
Sal has a loan on the books of \$25,000. Your guy should
have had a loan on the books for \$250,000.
Utley: No, that's equity. Okay.
Simon: At any rate, <tape cuts out[tape does not cut out on my
tape]>...While I got Chris here I'm going to take advantage
of his being here.
Simon: One of the issues we tried to do when we raised the last \$80,000
that came form Eliot's two friends Anderson and Mitch
Welsch. [ ]
Bernstein: Ken Anderson.
Simon: It was my knowledge, according to Jerry, that those monies
were to go to Eliot, and then Eliot was theoretically to
loan the money to the company so that Eliot would have a
loan on the books and he would have sold his stock because
Eliot has some personal needs that he needs to accomplish
as soon as we get funded or we get some money in here. I'm
under the understanding again. It could be way off.
Bernstein: How do we work that out, Brian? The 10? A loan?
Utley: Yeah, that's better because otherwise you will get taxed.
Bernstein: Will they loan me \$10,000 to pay the taxes?
Simon: Who loaned you?
Bernstein: The company just today?
Utley: So I took that as a loan?
Utley: Yes.
Bernstein: The money went to the company, which spent the money already-the
stock money-from Ken and Mitch.
Simon: You haven't sold any of your stock?
Bernstein: No.
Simon: You just made an officer's loan.
Wheeler: Right.
Simon: Is that how you handle it?
Simon: You loan the loan back by some method at some point.
Bernstein: Right. Correct.

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\hline Boehm: & & The one that's out there? \\
\hline Utley: & & Yes the PCT. Do we need to touch that? \\
\hline Boehm: & & No, no. There's a PCT and a US. \\
\hline Utley: & & Right. \\
\hline Boehm: & & The PCT, we will get a search back. In fact, we should get it in a month or so, and then you' ll decide what you want to do with that, what foreign country and possibly the Us, but he files the same thing basically in the US, and now it's in line in the US. \\
\hline Utley: & & Right, right. But what I'm saying is if the new filing that we make this week creates priority all the way back and embraces all of the teachings of the prior... \\
\hline Boehm: & & Zoom and pan stuff. \\
\hline Utley: & & Zoom and pan stuff, filings, do we need to go and modify and update and amend those earlier filings? \\
\hline Boehm: & & Those other two. \\
\hline Buchsbaum: & That's & a good question would there be new recommendation? \\
\hline Boehra: & & It depends on two things. One is how quickly do you want to get the US for the new filing? This is a PCT that we're preparing right now. If we file the US right away with it, then it makes less difference. \\
\hline Bernstein: & Less? & \\
\hline Boehm: & & Less difference because he's in line sooner. That's all. It just depends on how soon you want to get your patent. \\
\hline Bernstein: & Well, & we want to go for the sooner. \\
\hline Utley: & & The sooner the better. \\
\hline Boehm: & & The sooner the better then let me play with this \\
\hline Bernstein: & Right. & \\
\hline Boehm: & & Flus you're gonna get an office action back from the patent office on him... \\
\hline Bernstein: & On that & \\
\hline Boehm: & & For free. There's nothing involved. \\
\hline Bernstein: & Right, & but it doesn't claim anything. \\
\hline Boehm: & & I don't know yet. It claims...he'll get this blasted. It will will be rejected. \\
\hline Bernstein: & Yeah. & \\
\hline
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\hline Boehm: & It will be rejected. The question is do we want to fix this, or where are we with the other things? So there's no decisions to be made now on this, it's just that do you want to file a US and a PCT? \\
\hline Utley: & The answers yes \\
\hline Boehm & Yes \\
\hline Bernstein: & And we do want to fix the original work? \\
\hline Boehm: & We can decide that later. \\
\hline Bernstein: & Nell, why would we leave it unfixed? \\
\hline Boehm: & Because you can't get two patents on the same thing. So if we fix this, you're not going to get it over here. \\
\hline Bernstein: & But then we lose the date. \\
\hline Buchsbaum: & No we don't. \\
\hline Simon: & That's what he's saying. \\
\hline Buchsbaum: & You really don't lose the date. \\
\hline Wheeler: & So were not going to..??? \\
\hline Utley: & Because he's claiming all the way back. \\
\hline Boehm: & We may not. It depends on... \\
\hline Bernstein: & May and less, these are words that scare me. \\
\hline Boehm: & You don't like that, do you? \\
\hline Bernstein: & No, I do not. \\
\hline Boehm: & But I don't think this is the right time to make that decision now. \\
\hline Utley: & What is the right time? \\
\hline Boehm: & When we get some office action back on this patent. And when we hear from the patent office, we'll sit down say do we want to fix this, or do we want to fix this, or have we uncovered some killer Frior Art that blows this whole thing out of the water? You don't want to spend money right now if you can avoid it. \\
\hline Wheeler: & We've never done a search, have we? \\
\hline Boehm: & We did a search...I've done a search on...<Everyone talking at once.> on a dozen patents that really weren't on point. We didn't find any close Prior Art; and all I can tell these... \\
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\hline Boehm: & And from your investors because if I was working for them... \\
\hline Buchsbaum: & Let me put it another way. If you have somebody that will take this company and auction off the technology, okay? As it is existing...as it is unfolding, okay? And as the licenses come along. It's strategy. Some of these people bid on that. What are they really bidding on? It's potentials, right? Basically? \\
\hline Boehm: & Well, no, there's a present value of the technology. If you... \\
\hline Buchsbaum: & Well, not if you don't have patents issued on it. \\
\hline Boehm: & Well, sure there is. Sure there is. If he can get a royalty based on \(2 \%\) of their products-or whatever it is-per minute, whether or not it is patented, absolutely. \\
\hline Buchsbaum: & My question is at what point does it become...is the efficacy there significantly enough from the standpoint of others now that would be doing their own review. You know, like, say a firm that would do the option. They'd have their patent lawyers take a look at what you're doing to see if they think it has a real good value. At what point does that come along? Is it six or nine months from now, basically? Is that when that probably would start to unfold as far as having a real relevant potential value? I've been trying to get a general.. \\
\hline Boehm: & I understand your question. I guess I would answer \\
\hline Buchsbaum: & General idea. \\
\hline Boehm: & If your licensees are spending a lot of money. \\
\hline Buchsbaum: & On your technology. \\
\hline Boehm: & On your technology, they're going to have their patent attorneys right now, today, go do a search, and they will have a good indication. They may come up with frior Art that blows you out of the water. They may find nothing. They may not search it. They may say, we don't care about patents; it's the technology. \\
\hline Buchsbaum: & Reality, though, this is not the...more likely six to nine months as some licenses start to unfold here and as things start to come back, and that's when this thing will start to have some relevance more than it does right now? From the standpoint of the... \\
\hline Boehm: & That the patent will have relevance? \\
\hline Buchsbaum: & No, no. The technology has a value that can be created in the marketplace and turned to bidding. \\
\hline
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\hline Wheeler: & Well, you can look at the technology as almost value added to the company. I mean, the company has worth because of the process and what we can provide and we can build it up. But it'll even astronomical more worth assuming that we have...that it's totally proprietary to ourselves. Now some companies have great technology that's proprietary to themselves, and it doesn't earn them money. For instance, Wang Laboratories went down the tubes. They had the best word processing, and they had the best of everything else. And, of course, a lot of their technology is licensed out there, as \(I\) understand it, to VisionAire and to...they did the true ones, and... \\
\hline Buchsbaum: & It's was also to get to the possible strategy for the company's investors, okay? \\
\hline Utley: & Right. \\
\hline Buchsbaum: & Or it may be at some point a window of huge value placed on this technology where you may take advantage of it. \\
\hline Wheeler: & Well, and to our investors, we have said, and we can continue to say, we are attempting to create a pool of intellectual property and protect it. \\
\hline Buchsbaum: & Okay. \\
\hline Wheeler: & But there can be no assurances that this will withstand the test of time. \\
\hline Boehm: & That is exactly it. And you never want even when it issues. You will get a good comfort level when you have a us patent issued in your hands. \\
\hline Bernstein: & Why? \\
\hline Boehm: & Because you've had an examination. \\
\hline Buchsbaum: & Because you've got some review. \\
\hline Boehm: & Because you have a presumption of validity. \\
\hline Bernstein: & That's why I'd like to get that first one corrected because that's the first one that's going to be examined. \\
\hline Boehm: & No, we've got one...oh, yeah, it is. It's the US. \\
\hline Bernstein: & And therefore I want that to be approved. The investors are going to say... \\
\hline Buchsbaum: & The first one that we're going to be issued will be issued in May. \\
\hline Bernstein: & And the investors are going to say what happened to patent one. \\
\hline
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\hline Boehm: & \(3 / 10\) of 2000 was when it was filed. Typically a year...they'll get around to it within a year. Maybe it'll issue in. 18 months to two years \\
\hline Buchsbaum: & From right now or from then? \\
\hline Boehm: & From 3/10. \\
\hline Bernstein: & What is the process speed up? If you can show... \\
\hline Boehm: & If you can show somebody's infringing, you can have an expedited examination; but that doesn't always buy you much time, and you really have to get into the patent office the first time, and I'm not sure we can do that. \\
\hline Wheeler: & Wouldn't a good example of one way be that Apple had really great patents, and Microsoft was still able to come in and duplicate it, even though everyone knows they violated the hell out of the patent of Apple. \\
\hline Boehm: & Um, hum. \\
\hline Wheeler: & So I mean you could have a good patent and it could still go down the tubes. But another one I'm thinking of that did stand up was Folaroid had patents and Kodak tried to come in and do everything to distinguish, and wasn't able to and got clobbered, right? And there's probably a lot of every variation in between. \\
\hline Boehm: & Yeah. Wheeler: [Not in transcript this is strange here] \\
\hline Wheeler: & Are those the two extremes? \\
\hline Boehm: & Yeah, \\
\hline Wheeler: & those would be the two extremes. \\
\hline Utley: & Especially when it comes to method patents and software patents. \\
\hline Wheeler: & Yeah, what was the first thing that Brian \\
\hline Boehm: & ...and the more patents you have, the less chances. It's like putting out mine fields...less chances people to get around you. But if the original concept is broad enough and claimed right, Yeah, we can be okay. \\
\hline Boehm: & But what, the test - I guess what you're asking for is when we have that first claim promised, probably within two years of when you filed, which is March 10, 2000, I would probably say \\
\hline Utley <Inaudible & Doug come back, close it out again. comment.> \\
\hline
\end{tabular}

Boehm: There were two points. One was the FCT and I got that in correct.

Buchsbaum: Right.
\begin{tabular}{|c|c|}
\hline Boehm: & The second point was everybody was saying you don't destroy documents. Lawyers do destroy documents; and in the patent realm, it is comon practice to get rid of all of our attorney notes, but it depends on what the practice is in your law firm and your corporation. Most patent attorneys who use this practice that I've seen, it happens after it issues. You never do it before. I don't even like to do it then. I like to do it after all the... \\
\hline Bernstein: & I don't even understand why you're destroying it. If you've got nothing to hide and everything's on the up-and-up. \\
\hline Boehm: & But throw in the concept that I'm leaving the law firm. Let's say I'm leaving the law firm, my notes, who's going to follow up and destroy my notes to benefit you, because I do want them six months from now. Maybe that's what he's doing. \\
\hline Wheeler: & Yeah, he could have done it to protect you. He didn't want them around in the other office. \\
\hline Bernstein: & I don't know. I don't know. I don't even know if he knew he was leaving then. \\
\hline Boehm: & Now it's intentional! \\
\hline Utley: & But I want to comeback were going to file FCT and US on the new one. We're going to wait for the old one to get kicked back; and when it gets kicked back by the examiners, we'll then determine how we want to amend it. Is that what you said? \\
\hline Boehm: & No, I want to say something on that again. I think if you want a patent to pop quickly-if that's the goal, which sounds like it's a good goal-then, no, I think we should amend the claims with a preliminary amendment before the examination. \\
\hline Utley: & A preliminary amendment? \\
\hline Boehm: & A preliminary amendment. \\
\hline Bernstein: & Encompassing everything we can throw in there? \\
\hline Boehm: & Yeah, whatever support there is. But a preliminary amendment on whatever it is on the... \\
\hline Bernstein: & So we're going back to the original \\
\hline Boehm: & So I'll fix the 119 case yeah \\
\hline
\end{tabular}
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Bernstein: March 3, 2000, to encompass what we've embraced.
Utley: When will you be in a position to recommend what that
amendment will look like?
Bernstein: It should look a lot like the one we just did.
Boehm: Yeah, that's...
Bernstein: That's my guess.
Utley: When will you be in a position to...
Boehm: I'd have to...a few days...
Utley: About a week or so?
Boehm: Oh, Yeah, within a week, sure.
Bernstein: Okay. That's good.
<End of meeting.>

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Transcription of Telephone Conference
Conducted August 2, 2000
Participants:
Eliot Participant, Jim Armstrong,
Brian Utley, Doug Boehm
Docket 57103-120

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Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified.

\begin{tabular}{|c|c|}
\hline & what's displayed versus what's pre-packed in; and maybe the whole string of claims wouldn't make sense unless you were talking in terms of pixels or picture elements. Maybe we don't have the word "pixel" in every claim, but my point is that... \\
\hline \multicolumn{2}{|l|}{Armstrong Bernstein: Is there any way to do it vector based?} \\
\hline Boehm: & Yeah, there are generic mays...we'll, I'm not sure you want to do it vector based because now we can't draw the line in the sand. \\
\hline Bernstein: & Okay. I was thinking a separate filing if there was a way. \\
\hline Boehm: & Yeah, but I'm thinking of...you brought up a good point. We're thinking pixels or a digitized image. Technically, a photograph is grains. \\
\hline Utley: & Right. \\
\hline Boehm: & Is there a grain-based quality factor that we can tap onto? \\
\hline Utley: & No, not really. I think the closest...the part of that that [varies] is when you do the enlargement of the source photo image. \\
\hline Boehm: & Maybe we should talk data elements. Is there \\
\hline Bernstein: & That's the word I liked versus this...value data, additional data. \\
\hline Boehm: & But this is not...in the product, there is a big difference. \\
\hline Utley: & But because there's a big [batch] of formulas, you can't...<unclear; everyone talking at once.> \\
\hline Bernstein: & I understand that, I understand that. \\
\hline Armst rong: & But we could use data elements with pixels being an exemplary method for using data elements...here's one example of a data element, it's a pixel. \\
\hline Boehm: & The problem with that, though, is somebody could find a piece of prior Art that uses the data for vector based. \\
\hline Bernstein: & Oh, [then we are beat] on vector base? \\
\hline Boehm: & No, if it's Prior Art...if it's done ten years ago...vector based... and you're saying in your spec that your claim language data elements cover is broad enough to cover pixel, vector based, and everything. Your claim now reads on the Prior Art, and your patent would be invalid. It could be interpreted that way. \\
\hline Bernstein: & Brian, were we ever able to do it vector based? \\
\hline Utley: & Well, there are certain things which you can do vector based. \\
\hline Bernstein: & That you can't do pixel based? \\
\hline Jtley: & That you can do pixel based as well as vector based. \\
\hline Bernstein: & So we've got to be careful that they can't cross that same line. \\
\hline Utley: & Certain kind of graphics are done in vector based. For instance, AUTOCAD works on a vector-based system. CAD programs are typically vector based. \\
\hline Boehm: & Does the concept of your invention, of pre-packing the number of picture elements so you can zoom it and pan it, does that have anything to do with vectorbased systems? \\
\hline \multicolumn{2}{|l|}{Armstrong Utley: No.} \\
\hline Utley: & I think, Doug, it really doesn't. Vector-based systems don't play here, and I don't think the data elements buy you a thing. \\
\hline Boehm: & I think it could buy you trouble. \\
\hline
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\hline Bernstein: & Okay. \\
\hline Boehm: & A good point in trying to broaden it, and we'll keep that in mind... \\
\hline Bernstein: & My question is, so does this cover if you could do it on a TV for a set-top box? \\
\hline Boehm: & I thought it did until... \\
\hline Bernstein: & That's what I was after. I didn't know if a pixel was involved in a TV. \\
\hline Utley: & Not in a direct sense. \\
\hline Bernstein: & In the display sense, though? \\
\hline Utley: & No. \\
\hline Bernstein: & Because we make a distinction between... \\
\hline Armstrong: & <Inaudible comment.> \\
\hline Utley: & But I told you that. But you do \\
\hline Bernstein: & Then were covered \\
\hline Utley & Yeah...everything is carried up to a scane convertor which is simply a translation medium to translate from pixels into a raster. \\
\hline Bernstein: & Gotcha. Okay. \\
\hline Utley: & So you can display all of that on a laptop, and then it's direct mapping, pixel to pixel. \\
\hline Bernstein: & Or you can convert it to whatever you want. \\
\hline Utley: & Right. That's right. You can convert it to a NTSC or [PAL] or C-CAM or...HDTV \\
\hline Bernstein: & Or any display. \\
\hline Bernstein: & What about a game? What about a game are we set up to cover a game. \\
\hline Utley: & Yeah \\
\hline Armstrong: & I think if we look through this, You said that it need's to be... \\
\hline Utley: & Any kind of display device. \\
\hline Armstrong: & In it's invention, it's a calculation based on pixels, and we just need to be careful that the way this is worded doesn't preclude us from displaying it on a non-pixel-based system. \\
\hline Bernstein: & And that's what he just said. He said that.. \\
\hline Armstrong: & He said we' re converting the wordage here because we ran into it a number of times. As we all look at it together, let's just be cognizant of that. \\
\hline Boehm: & Absolutely. When we go through, we'll all keep an eve out for it. But when you say a non-pizel-based system is a raster system, I really don't agree with that. A raster is derived from the picture elements, right? \\
\hline Bernstein: & From the pixels. That's what Brian just said. \\
\hline Boehm: & Yeah. \\
\hline Bernstein: & So we can convert the pixels to any display medium we want. \\
\hline Boehm:
Bernstein: & ```
Yeah, but even if you look at the...when we get to some of the claims, when you
                look at the resolution of the monitors, they talk about pixels.
Well, that's what I'm concerned about a little bit.
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\hline utley: & Because what they' re referencing when they talk about pisels on the monitor, they' re really referencing the scan buffer that scans it out to the monitor, and it's not a representation inherently within the monitor itself. \\
\hline Boehm: & Oh, okay. \\
\hline Utley: & The monitor has no pixels. But the monitor has a [shatter vast] which, depending on the monitor technology, whether it's a Sony or a non-Sony-a Sony has an aperture grill-but they have a three-color dot matrix which has no direct connection with a bitmap. \\
\hline Boehm: & Oh, really? No association to the pixel elements coming in? \\
\hline Utley: & No, none whatsoever. A standard TV tube...a 17 " TV tube has a dot-spacing at a [4.?] [4.2] inches, and a good display tube has got a lot of the diodes at \(.26, .27, .28\) at the higher resolution, but it's where it's visually higher resolution, not necessarily what your scan buffer has. \\
\hline Boehm: & You don't turn the screen...the gun on and off for each pixel. \\
\hline Utley: & Right. It's a continuous beam scan, and you' re modulating the beam. \\
\hline Boehm: & So I think the best we can do is keep that in mind when we talk about the language to converting this over to television display stuff[ ]. \\
\hline Utley: & Yeah, on this page I did have an additional comment. On line 18 and 19 , where it talks about ideal image quality requiring a minirnum bandwidth for transmission. All \(I\) was saying here is instead of a limited bandwidth, it works regardless of the bandwidth, but it has less demand on bandwidth. \\
\hline Boehm: & Okay. \\
\hline Bernstein: & Let me ask you this. Let's say [Take bandwidth out of the equation and just say you play our image off your hard drive. It's still cool, so it has all the features we're patenting. Why do I even care about a limited bandwidth? why do I care that that statement be there at all? \\
\hline Boehm: & Do you have any knowledge...I mean, \\
\hline Bernstein: & No, I have no knowledge that in Prior Art you can pull off your hard drive any differently. People did not say to me, "oh, what you did is cool because you can play it over a network." They said, "it's cool, so I'm gonna play it off my hard drive." And by the way, in the end, the file is on your hard drive. Even if you had a 2400 -baud modem, the only difference is you have to wait 11 minutes to get the cool image. The coolness did not decrease by the time it took to download the image. \\
\hline Boehm: & okay, again, we'll keep that in mind. I believe it's covered when we claim the digital image file because \(I\) don't think the digital image file claims pertain to a network. Let me... \\
\hline Bernstein: & None of it should pertain to a network really. It's an added benefit that we're able to transnit these rich pictures over a limited bandwidth network, but it is nothing even close to dependent or part of the coolness of the invention. \\
\hline Boehm: & Can I take a counter-position, Eliot? \\
\hline Bernstein: & Sure. \\
\hline Boehm: & The reason that you'd want...first of all, you have to describe the best mode of your invention. There's no question we have to leave network in there. \\
\hline Bernstein: & That's fine. Okay. \\
\hline Boehm: & And the more stuff you leave in there, the better it is for us. \\
\hline Bernstein: & As long as we're not limited to it. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & The claims are what defines what's limited, but again, if we are so broad brush in...the claims are interpreted in the language in this... \\
\hline Bernstein: & Well, don't be broad here. If you want to be broad, it can be your hard drive or it could be over a network, it doesn't matter. \\
\hline Boehm: & Right. The problem would be if the digital file that we're claiming in some claim \#29 or whatever, if the wording of that claim says "uploading"...no, it says "transferring data," and that would mean over an Internet, and in the spec we come back and say that means over the Internet or... \\
\hline Bernstein: & . or your hard drive, yes, I agree. That's why I put in some places upload, download. I don't care what you call it, don't limit as to download what I see in some places. \\
\hline Boehm: & Okay, that patent issue is three years down the road you sue your closest competitor. They had a guy that just came out of the print industry, and they have been downloading images in print off of hard drives for photoprocessing applications for the past twenty years. He comes out with one article. Your claim directly reads on it because it's off the hard drive now because you have interpreted that claim to mean off a network. Your claim is dead. \\
\hline Bernstein: & No, but that's not... \\
\hline Boehm: & Then you run to claim two, which is dependent on one, which is going to say, by the way, it's over a network. \\
\hline Bernstein: & But it doesn't have to be. \\
\hline Boehm: & Claim two will have to say that, yeah, because otherwise your patent will fall, Eliot. \\
\hline Bernstein: & Why? \\
\hline Boehm: & What? \\
\hline Bernstein: & What my question is... \\
\hline Boehm: & Why will it fall? \\
\hline Bernstein: & Let's stick to the invention. \\
\hline Utley: & What he's saying is...let me see if \(I\) can...claim one is as broad as possible. Now, if something happens to lay[ ] on claim one in the Prior Art, you bypass that by going to claim two, which reads on claim one, which further defines claim one, which takes you out of the concept situation and into [cleaner]. \\
\hline Boehm: & Right. You've narrowed the scope of your part of this technology world-the part that's protected-but you want to make sure that part is over what your business is. \\
\hline Bernstein: & Well, my business is...you see, my business doesn't need to involve a network. I could send somebody a CD of their hotel properties, like Hyatt, and say, "Here, you still have all the cool effects of my digital imaging products..." \\
\hline Utley: & But claim one doesn't say anything about networks. \\
\hline Bernstein: & Okay. That's all I'm saving, Brian. As long as you're not limiting me to a network. \\
\hline Utley: & Claim one doesn't. We used that deliberately. \\
\hline Bernstein: & Okay. \\
\hline Utley: & I'm going to talk about that again when we get to the claims. \\
\hline Boehm: & Let's talk about that when we get there, but again, my point is is you've got to be careful about what you say in the spec because the way claims are 5 \\
\hline
\end{tabular}
interpreted is by referring to the spec. When you say "providing a digital image file," that doesn't mean much in the claim. The guy's going to go read the spec, see how you did it, look at your figures, and that's how this language is going to be interpreted. There's no broad brush back there. And you say, "oh, well it means everything," now claim one means everything, it reads on all the old prior art.
\begin{tabular}{|c|c|}
\hline Bernstein: & But it does, Doug, mean the hard drive, the CD \\
\hline Armstrong: & Let me suggest something else. Let me suggest that what wer re really saying, whether it's over a network or off the hard drive, is that the unique nature of our process results in high-quality images at low-file size, and so whether that low-file size... \\
\hline Utley: & <Inaudible comment.> \\
\hline Boehm: & Well, it's not file size. \\
\hline Armstrong: & Right. It could be huge files. \\
\hline Bernstein: & It could be huge files. \\
\hline Armst rong: & Hold on. Isn't it true that it is a low-file size given the amount of information that you're able to draw from it? \\
\hline Bernstein: & No, it's the large-file size. It's the opposite. You gave them more data. The file size is not relevant, nor is the bandwidth. That is a must-understand. This idea is cool whether it's played off your hard drive, played off the CD, played off the tape back-up, whatever you want to call it, over a network...whether you Fed-ex, this, Doug, because its the same effect whether you send it over the Internet, and that effect is the same as if it is a 2400 -baud modem receiving it or a super-high-speed, as if the only difference between Fed-ex and the Fony Express is three days' wait, but you still are going to have this same package. \\
\hline Utley: & Right. But what you want to do in your claim is make sure that you're not. \\
\hline Bernstein: & Limited. \\
\hline Wtley: & No, make sure that you haven't stated your claim so broadly that what is over here excludes your ability to claim over here. So you parse the claims, one very broadly, and then you keep narrowing it down so that if something happened over here, you've got...the network delivers it to you. \\
\hline Bernstein: & Okay. And if you're saying that limited bandwidth isn't in claim one, then we don't care. \\
\hline Utley: & Right. \\
\hline Bernstein: & But I just wonder why it needs to be here other than to describe what we did. You don't, by the way, need a network to describe what we did. \\
\hline Utley: & So we can strike network. We don't have to. \\
\hline Boehm: & Well, we're not striking it. We can take it from the claims when we get there, but I've got to leave it in as your preferred embodiment. That's important. \\
\hline 凹tley: & Right. We're on page one. \\
\hline Bernstein: & Why? Let me ask you why. \\
\hline Boehm: & Okay, there's something called the best mode... \\
\hline Bernstein: & Okay, let me explain that to you because I do understand that. The best mode of this invention stops as soon as you have the image, whether you ever sent it or not, or played it on your computer for that matter. \\
\hline Boehm: & Okay, Eliot, I don't think you would want to stick to that statement. You're saying right now that your invention is so bloody broad that if somebody had done this before on cDs but never done it on the Internet, and we can go sue them because they' re now doing it on the Internet and your claim is valid, and when you interpret your claim to read "on the Internet," and \\
\hline
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that's all you ever care about, but now we're going to get you up on the...
\begin{tabular}{|c|c|}
\hline Bernstein: & That's not all I ever care about. \\
\hline Boehm: & You care about stopping your competitors. \\
\hline Bernstein: & No, but I care about putting it on CDs and all those kind of things or using it for any other application. That's the key here, Doug...the file creationthe concept, the invention-stops before it ever hits the network. \\
\hline Armst rong: & What Eliot's really saying is that our invention does not include a delivery system. \\
\hline Boehm: & Right. So in one embodiment, it would. If you wanted to put a picture claim on your business, it's going to be one of our claims that includes a network, that includes pixels, that includes...I want a pixel claim... \\
\hline Bernstein: & That can include. \\
\hline Boehm: & Pardon? \\
\hline Bernstein: & That can include as one of the methods of delivery, but the delivery method could be a network, a hard drive, a CD-ROM, etc. As long as you cover all that, I'm fine. \\
\hline Boehm: & Okay, what I think we're arguing about is the mine field. You want a claim that's broad enough that if it gets blown away, okay it gets blown away; but I want a claim that's narrow enough that there's a less chance of it being blown away by Prior Art sneaking out of the woodwork because we're not experts in this field like Chris Taylor or something that could say, "Oh, you can't do that...here's a reference." That's why I'm a little... \\
\hline Bernstein: & Can't we say that that's an added benefit of what we've done? I mean, I don't want to be confined to a network, that's for certain. \\
\hline Utley: & You're not. \\
\hline Boehm: & Okay. In one claim, you're not; in another claim, I want you to be. \\
\hline Bernstein: & Okay, that's great. That's what I'm saying. AS long as you've got me covered on CDS or DVDs-somewhere in the future-that's fine. \\
\hline Boehm: & Okay. \\
\hline Bernstein: & Um, page 1. \\
\hline Utley: & In fact...I just want to cap this conversation. Wihen you go through the methodology of the creation of the image and the display of the image and the formulas, there's nothing about a network. \\
\hline Bernstein: & Nothing. \\
\hline Utley: & Okay. So all of that gives you total freedom to claim wherever you want to place that...whatever enviroment you want to place that. I did have a thought, Doug, on the display and a way to kind of be a little bit more embracing. \\
\hline Boehm: & Than pixel based you mean? \\
\hline Wtley: & Yeah, instead of saying display monitor, we just say display system, and display system can be defined as anything with a visual user interface, be it a TV or... \\
\hline Boehm: & That sounds...where is that? \\
\hline Utley: & Page 2 , line 6, is the first one. It says "display monitor." If we just say "display system" wherever we have "display monitor"... \\
\hline Boehm: & Okay, not everywhere. This is the background. We're not really talking about our invention yet. The first time we talk about display is describing figure 7 \\
\hline
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\hline & 1, which is element 3, is the display, so that's where we'll stick in it's the display...just the word "display." \\
\hline Utley: & okay. \\
\hline Bernstein: & Yeah, system. Right. \\
\hline Boehm: & So, let's hold that in abeyance. \\
\hline Bernstein: & I had a comment on line 15: "Improved resolution for zooming and/or paning within a single image." \\
\hline Boehm: & This is the field of the invention. That's fine if you want to say that. All this paragraph does is points the examiner in the right art for the search. \\
\hline Bernstein: & Okay, and I would just clarify, too, on that, it's a single image, not a bunch image-the zooming and everything. This is one file that has all these attributes. \\
\hline Boehm: & Right. So it's "and..." \\
\hline Bernstein: & It can be additional files added into it, but those files retain the single image. \\
\hline Utley: & As long as it's understood that a single image embraces fixed images. \\
\hline Boehm: & How about a single window? \\
\hline Bernstein: & How about a single file? \\
\hline Armst rong: & That's probably good. \\
\hline Bernstein: & That's the right terminology. \\
\hline Armet rong : & Line 22 seems to me to be an incomplete sentence. \\
\hline Utley: & It is. \\
\hline Armst rong: & It should say, "It is known that one can view a digital image on a display." \\
\hline Utley: & That's what we talked about yesterday, Doug. \\
\hline Boehm: & Yeah. \\
\hline Utley: & And you had terminology for that. \\
\hline Boehm: & Yeah, let me find that. I know I do, but apparently it's not that handy where did it go. Yeah, here. We're talking about in the Prior Art it is knom. \\
\hline Utley: & Right. \\
\hline Boehm: & And that's the concept. I can fix that sentence by saying. \\
\hline Bernstein: & That "one can" instead of "two." \\
\hline Boehm: & <Speaking as he writes.> "It is known that one can view a digital image on the display screen..." \\
\hline Bernstein: & In other words, it is known in Prior Art or whatever. \\
\hline Boehm: & How about "It is previously known that..."? \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & Okay. "It is known." \\
\hline Armst rong: & "It is known." Period. Previously or now. "It is known that one can view..." \\
\hline Boehm: & "...one can viem a digital image..." and get rid of two..."and zoom and pan within that image." Right? \\
\hline
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\hline Utley: & Uh, huh. \\
\hline Boehm: & Boy, at this rate this is going to be a long conversation. \\
\hline Bernstein: & Yeah, it is because we go now to the next page, too, thank God, and where it's circled pixels, let's just be clear. \\
\hline Boehm: & I'm sorry, I've got to back up. "The limited bandwidth network," how are we changing that? \\
\hline utley: & We're going to remove that. \\
\hline Boehm: & We're going to get rid of what? \\
\hline Bernstein: & Well, you don't need it. \\
\hline Armst rong: & Delivered through its display system. "The viewer desires ideal image quality delivered to his display system." \\
\hline Boehm: & Okay. \\
\hline utley: & And then you can go on. "In a network environment..." \\
\hline Bernstein: & This is even more... \\
\hline utley: & Right. And then you say, "In the network environment, it's important to transfer an [idea or image] in a reasonable amount of time." \\
\hline Bernstein: & There you go. That covers everything. Okay, so we go to pixels and pixelization terms, and my question is, and Brian will help me here, when you' re looking at the screen and you zoom, are you seeing pizels? \\
\hline Utley: & You are seeing pixels. \\
\hline Bernstein: & But the screen has no pixels. \\
\hline utley: & No, but pixels are maped into a raster-based generation; but yes, there are pirels. \\
\hline Bernstein: & Okay. \\
\hline Utley: & You see pixels. \\
\hline Bernstein: & Okay. You do? \\
\hline Bernstein: & Yes. There's no pixels but yet you see them? \\
\hline utley: & It's not a pirel-based medium, but the raster presents pizels. \\
\hline Bernstein: & And it presents them distorted? \\
\hline Utley: & It will present them distorted. \\
\hline Bernstein: & Once you magnify them? \\
\hline Bernstein: & Okay. \\
\hline Utley: & It may take more than one raster to represent a pixel. In other words, a pixel is a composite. If it's a very large pixel, it'll take several raster scans to create a pixel. \\
\hline Bernstein: & But you will still see... \\
\hline Utley: & To see a pixel. \\
\hline Bernstein: & And then when you blow it up, you'll still see a distorted... \\
\hline Utley: & Right. \\
\hline Bernstein: & Okay. \\
\hline
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\begin{tabular}{|c|c|}
\hline Armstrong: & This is the first opportunity where if we wanted to, we could say, in line 3 , "...in which the pixels comma (data elements) comprising the image"... \\
\hline Bernstein: & We can't. \\
\hline utley: & We don't want data elements, we don't want data elements. \\
\hline Bernstein: & Because do you see what's happening? You still are drawing off a pizel base. \\
\hline Boehm: & Pixel, and then this is goofy because in the next paragraph, we define what a pirsel is. we're defining pirelation first, and then next we're defining pixel. Why don't we not define pixel up above...oh, yeah, I have to. "In which the pixels, i.e. picture elements..." How's that? \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & In other words, everybody knows what a pixel is, but we're just throwing it... \\
\hline Utley: & It's a picture element. \\
\hline Bernstein: & Okay, that's fine. \\
\hline Boehm: & "Pixels, i.e., picture elements." \\
\hline Bernstein: & Right. That might even cover \(u s\) in other space that we don't even know yet. Okay. Go to page 3... \\
\hline utley: & On line 6, this is an opportunity to introduce the notion of a display system instead of a display monitor. \\
\hline Boehm: & It is... \\
\hline Utley: & Is that a problem to you? \\
\hline Boehm: & It depends on where we have to go with it, Brian. Where do you see us going? Do we need the differences defined later? \\
\hline Bernstein: & You could be very accurate here, Doug, because when this was invented, I ran my computer slash my TV at times. So it was through both displays. Remember, Brian? I brought you over. So I've always beer running through a scan converter, my TV. \\
\hline Boehm: & So where do you want to go with this, Brian? \\
\hline Utley: & I just want to say "display system," and the reason I say that is because if you say display systern, you integrate into the description the scan converter. If you say "display monitor" itself, a display monitor does not contain the scan converter. That's generally housed outside in a set-top box or in the computer hardware itself. \\
\hline Boehm: & Okay, I agree with you that you want to make that distinction; but do we want to make that distinction? We' re still in the [background]. We're describing somebody else's, not ours yet. \\
\hline Utley: & Yeah, you tell us where you think the best place is to put it, Doug. \\
\hline Boehm: & Well, I definitely want to put it when we first represent what display 30 is. \\
\hline utley: & Okay. \\
\hline Boehm: & What I don't know if... \\
\hline Bernstein: & Okay. By the way, here it's true, too, [what he says]. Brian's saying. \\
\hline Boehm: & It really is. \\
\hline Bernstein: & Yeah. In Prior Art, if you play that on your TV, it's still pixelated. It didn't matter if it's a monitor or TV. So Frior Art, no matter how you played it, did that, and that's good to say. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & In the background here, it's not important. What we do in the background is try and set up that the strawman of the Prior Art had these problems, and then you knock them down with your invention. So whether you set him up with the display or display system and you knock him down, he' ll fall just as hard. I don't think that's going to make a big. \\
\hline Utley: & That's not a big deal here. \\
\hline Bernstein: & But it has to come somewhere in here. \\
\hline Boehm: & What if we' re making some stupid statement here. Let me read those paragraphs to you real slow here, and then let's make a call on it because; or if this is a good opportunity where we have to figure out the difference between a raster and pixel-based system or scanning lines or anything, if we have to make that...if we have to educate the people in order to interpret the claims later, then now is as good a time to educate them as far as what's the background. I don't think we need to do that. \\
\hline Armstrong: & I personally feel that if we're going to be later using display system to define more broadly how we display things, we might as well refer to Prior Art in the same way since it does include it. \\
\hline Bernstein: & It doesn't hurt. It can't hurt because all it's saying is that Prior Art, no matter what system you played on. Here what you're saying is Prior Art, when you play it on a monitor. \\
\hline Boehm: & I guess just from experience, there's really no right or wrong answer on this, guys; but just from experience, I would tend to disagree with you. Whenever I define a term that I care about, I always define it in the spec, especially if it's not a normal, comon, everyday-type term that you want to talk about in the background because when you're saying that it's the same in the frior Art as it is in your invention, you say that today and you may want to argue that today, but maybe you want to change your mind tomorrow; and when the litigators litigate this, they' re going to wish like hell you never said that it was the same in the prior Art. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & You can point to your spec and say, "voila!" \\
\hline Bernstein: & And say we were working on onr TV... \\
\hline Boehm: & ...our display system, and it's defined as such. \\
\hline Bernstein: & That's fair. \\
\hline Boehm: & And you can't go back to the Prior Art to define it. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & So there's legal ways of doing it. \\
\hline Bernstein: & Okay, so let's see where we insert that correctly. \\
\hline Boehm: & Yeah, I think it'll be later when we're talking about element 30 when he defines the blocks of the system. Let's see... \\
\hline Utley: & On line 14, where you say "represented as a triple..." \\
\hline Boehm: & Yeah? \\
\hline Utley: & I think the correct word is "triplet." \\
\hline Boehm: & Okay, Steve pulled this from something on the Internet, so if you say "triplet," that is right, yeah. Triplet. Good catch. It took five of us to catch that! <Reading ont loud to himself...> Here's the bandwidth. \\
\hline Bernstein: & Yeah, "...thereby speeding the transmission." Now that is true, but it's not necessary. \\
\hline Boehm: & "...decrease the file size..." No, he's got it right here, right? \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Well, "...this results in a small source image file size," period. \\
\hline Boehm: & No. The whole sentence says "plus the teaching in the art..." \\
\hline Bernstein: & Okay, okay, yeah. That's right. \\
\hline Boehm: & No background. We're still setting up the strawman to knock him down. \\
\hline Bernstein: & Gotcha, but we don't need a network or Internet. \\
\hline Utley: & We're not talking about us-we're talking about the other guys. \\
\hline Boehm: & Yeah, Prior Art, and they were compressing the hell out of it and moving information because they knew they had to go through this limited... \\
\hline Bernstein: & No, but let me ask you this. Let's say you just set it up on your computer-you never put it on a network, I'll just give you the same argument-you wanted to display your family photos on your own display system. You built a frame, you put a picture, matched the size to the frame; and voila! It's on your systen, you can't do anything with it. You can't zoom. You haven't commuicated it over a network; you haven't sent it to anybody...but you still can't zoom on it. \\
\hline Boehm: & But you still can zoom and pan, you mean? \\
\hline Bernstein: & You still can't. \\
\hline Boehm: & Oh, you still cannot? \\
\hline Bernstein: & No, because you built the frame wrong. \\
\hline Boehm: & oh! okay, okay. \\
\hline Bernstein: & So I mean you built the image to target wrong. \\
\hline Boehm: & Oh, I see what you're saying. \\
\hline Bernstein: & Yeah. So it didn't matter if you transmitted over any network. \\
\hline Boehm: & Now this will get really hairy...how did you build it wrong? \\
\hline Bernstein: & Well, because you only gave the frame the appropriate size as was necessary to fill the frame. \\
\hline Utley: & You designed the frame to the image. \\
\hline Boehm: & Yeah, so it doesn't magnify at all? \\
\hline Utley: & Right. \\
\hline Bernstein: & Right, whether you had a network involved or not. \\
\hline Boehm: & Okay, and if it's a little bit bigger than the frame, you can still pan but not magnify-but not \(z 00 \mathrm{~m}\). \\
\hline Bernstein: & Right, but the teaching in the Prior Art would be to match the frame to the image. \\
\hline Boehm: & Okay. \\
\hline Bernstein: & That's how the world's been working for a long time. \\
\hline Boehm: & I totally agree. Maybe we should throw that...does that say that here? \\
\hline Bernstein: & Well, I gress there are a lot of places where we're going to add it. \\
\hline Boehm: & Well, that's a great line what you just said..."ratch the frame to the image." \\
\hline Bernstein: & You see, that's going to become critical when you say that "two times magnification at least" because the truth is nobody built a picture \\
\hline
\end{tabular}
saying, "I'm going to give it a little more edge \(s 0\) I get a little more zoom." That's the difference. You built the picture...you had a Erame size...you popped in their image to be that frame size...you created the image for that size. You didn't say, "I'm going to give them an extra pixel so they can zoom a little," or an extra pixel or two, or two times. So one drop, one pixel more than is recuired, is the new out.
Boehm: Or it sits in the frame.

Utley:

Boehm
msoe.edu, and Dr. Chris Taylor is his name, and it'll have a subdirectory for him. Then on his subdirectory, it"ll say, "Go see images Erom my own website," and then he has his own personal thing; and in that, he has pictures of stuff. And he says those pictures, which mere done way before you guyswhen he first got here to Milwakee, I guess is what he said-but he said that there are more pixels there than are needed, and that's just the way it just happened to come out. There was no intent to do it one way or the other wav-he didn't intentionally match the frame size to the image-that's what happened when he did it. But he's not providing scanning and zooming, and...

Bernstein: Well, that's the difference.

Boehn: And, but he is not providing move than two times the pixels.
Bernstein: Well, that doesn't matter [ ] because really we're just saying that our art is based on the fact that we're providing extra data that allows, whether it's one pixel or not. One pixel might give you a zoom factor of . \(00004 .\).

Boehm: I see what you're saying...
Bernstein: So why should be ever limit...the object of the invention is to create zoom by giving more data.

Boehtu: Right. Can You zoom, Brian, without going twice the number of pixels?
Ttley: Sure. Well, you can zoom to...what you're saying is you have a target image which is 2 x the window, or 2 x the viewing image, and, \(y e s, i t\) can be anything over and above the size of the viewing image. It's just a practical question of does it have any value...

\section*{Boehn: Right.}

Utley: \(\quad .\). when they have such a limited...
Boehn: Magnification factor.
Bernstein: But we don't know into the future if it will. Somebody could get around us by getting it somehou under a 2 , or, as a matter of fact, what if you only need 1.5 ? why should we limit ourselves because that's not what was created?
\begin{tabular}{|c|c|}
\hline Boehm: & Okay. \\
\hline Bernstein: & You know what I mean? we didn't pencil it out and say two times is what we need to do this. \\
\hline Boehm: & That's a good...we got that...steve and I must have come up with that two times. \\
\hline Bernstein: & We all came up with it just because the first button on your magnifying glass is two times. \\
\hline Boehm: & Okay. \\
\hline Bernstein: & And we were thinking...here was our thinking...that you were able to click that button on a regular image, and you were still okay-a little fuzzy-but you hadn't blown apart. So we were thinking anything beyond that. But actually as I re-thought that, I said that's not the issue here. If you're designing screen size to match Erame size, it doesn't matter if you give it one drop more. That adds to the zoom capability by some factor... that extra data. But one and half times. What if you only wanted...what if your client says "I've got a picture of my wife, and she's ugly, so I only want a one and a half times magnification on her face." \\
\hline Utley: & Okay, we all agree. \\
\hline Armetrong: & Anything more than one times is the definition. \\
\hline Boehm: & And I think he's got it in claim 1. He scratched out "at least twice" and put in "is greater than." \\
\hline Bernstein: & Right. \\
\hline Utley: & So the right way to say that is that the target image is larger than the viewing image, and you've said it. \\
\hline Boehm: & But just claiming that concept. \\
\hline Bernstein: & Right. \\
\hline Boehm: & No...and providing zoming capability? \\
\hline Bernstein: & Absolutely. \\
\hline Boehm: & Right. \\
\hline utley: & Which is the way you have it worded in claim 1. \\
\hline Bernstein: & I don't care if you built the picture and your Erame size was " \(\mathrm{x}^{\prime \prime}\), but that wouldn't achieve anything that we thought was cool. That would just mean you have an oversize picture in a frame and you could move around by grabbing the picture. By the way, that brings me to what made us start thinking about this was your Adobe example. You are grabbing a larger image, but you're moving it around kind of clumsily and it's not achieving what we achieve. Do you follow? Because you' re just grabbing and kind of moving. As a matter of fact, there's a technology that's out... \\
\hline Boehm: & Yeah, but you can zoom, zoom, zoom in there. \\
\hline Bernstein: & Yes, straight in, and then You've got to grab it. \\
\hline Boehm: & Yes. \\
\hline Bernstein: & And move the larger image from that portion around. There's a technology called [Zif X\(]\) out today that allows for something similar to that, but yet it's very anmoying that once you're in, you're in and have to grab and move around. It's a much different effect and feel than what you get when you look at our images and grab and move around. You know what I mean? In our image, the whole thing is there pretty much. \\
\hline Armstrong: & And this is a distinction that we begin to make on page three where the ability...our art allows you to, on a single image, once that image is \\
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\end{tabular}
received by the system or displayed on the display system, it is complete. You're able to zoom in and pan around on \(i t\), and there isn't a requirement for the system to re-draw the image or any section of the image.

\begin{tabular}{|c|c|}
\hline Boehm: & Because now you're getting real technical, and I don't know that you're not doing that. \\
\hline Utley: & The problem is that as you try to increase the precision of what you're saying, you have to be very careful. \\
\hline Bernstein: & Well, then we've got to take back "... one drawback of this type of system is that each zoom or pan operation requires the domloading..."-domloading is definitely the wrong word-"...of additional data over the network..." \\
\hline Armstrong: & Line 8? \\
\hline Bernstein: & Yeah. That's all wrong. \\
\hline Boehm: & What page are we on? \\
\hline Bernstein: & We're on page 3, line 8: "One drawback of this type of system is that each zoom or pan operation requires the downloading of additional data over the network connection." Well, that's not exactly what we're... \\
\hline Utley: & We're talking about the art...the state-of-the-art now. We're not talking about... \\
\hline Bernstein: & Or mapping the travel? \\
\hline Utley: & We're not talking about our system. \\
\hline Bernstein: & Okay. Then that's fine. \\
\hline Boehm: & That's exactly what the advantage of this system is, isn't it? \\
\hline Utley: & Yeah, exactly. It's not designed to work that way. It's desiqned to be an integral component of the displaying systern. \\
\hline Boehm: & And also we're talking about the Yahoo! Map. \\
\hline Bernstein: & Once again, however, it's not linited...Let me ask you this question because I don't see networks at all, right? Let's just look at the Yahoo! Map program. \\
\hline Armetrong: & I've got it on CD RoM. \\
\hline Bernstein: & No, no, that's okay. I know what we're doing. When you move, whether you move on a network or off the network, it grabs that image, and it's different than what we look like. There's a definite difference of how those two things work. Do you follow me, Doug? So it doesn't matter whether it's on your hard drive drawing the data, over a network drawing the data, what matters is that you perceive a difference between the way that the Yahoo! Map goes and grabs another closer section of the map and you' re stuck there. Now you can't move back without going backwards to that other image or to the left to that other image or to the right to that other image. All these things are broken down into "other image" basically. \\
\hline Boehm: & Right, you' re getting another what you called the hotspot. These are all hotspots. \\
\hline Bernstein: & Right. Which is that technically a new file? \\
\hline Boehm: & That's a new file. \\
\hline Bernstein: & Then maybe that's our differentiation. \\
\hline Utley: & We have to be careful. \\
\hline Bernstein: & Well, we don't go from another file. \\
\hline Utley: & No, but they're going to go... \\
\hline Bernstein: & They may say that's all in one file. Fight. \\
\hline Utley: & So that would be... \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & I think that they are going for another file because whenever you click on another map... \\
\hline Bernstein: & So Brian's right. So what? Even if they were and there were 8 million files, we could combine it into one and call it one. But then if you just made what we do... \\
\hline Utley: & Wou really have to be very careful because you don't know how they organized and structured that whole mapping system. \\
\hline Bernstein: & You know what you do, Doug? You describe the optic. You say this is what you see with their system, and this is what you see with ours. \\
\hline Boehm: & That's what we're trying to do here. \\
\hline Bernstein: & And let's not let that get too complicated. Let's what we're trying to get explained out over time. \\
\hline Boehm: & You can't. \\
\hline Bernstein: & If somebody wants.... \\
\hline Boehm: & That's the key. That's what our frustration is as patent attorneys. We have to define Your invention in the legal technical words. You can't wave your hands at it. If you do, you won't get a clean street of passage. \\
\hline Bernstein: & You can't say it looks prettier? \\
\hline Boehm: & It won't be upheld in court. \\
\hline Bernstein: & You can't say it looks prettier, huh? \\
\hline Boehm: & No, you can't. And that's what I'm saying. I agree with you...I'd love to say, "when it looks like idea technology..." \\
\hline Bernstein: & Well, explain to me what's happening in my brain, then, on an electrical signal impulse, because there's a definite perception definite between what \(I\) see, why I see it differently, and how it relates to what I do, which gives you a completely different spatial representation within an image because of the way that I'm manipulating data. See, I always looked at our technology-and maybe this stupidity might define something here-I always looked that when you take that big image of ours versus one technology where you could...let's say we both have big pictures, okay? Let's just say we both go with the big picture in a small viewing frame. One says you can move the frame or the picture and get a new image of that image, or you can go deeper on it by drawing another whole separate image, okay? Mine, I always looked at it is that it puts the frame in the center; and as you hit zoom, you're sucking in data towards you that's coming from the outside peripheral, not in separate little chunks and new images, but as one image, and it's pulling it into like a vortex, so to speak, and giving you that new data to let you zoom or move. Follow me? \\
\hline Armstrong: & Let me ask a clarifying question of Brian. When we transmit a file to a user, he gets the entire file into a . TMP file? \\
\hline Bernstein: & No, it's just hard drive. \\
\hline Armstrong: & Right onto a hard drive. Now, as he manipulates the image on his screen...as I zoom to level one and then to level two or level three, or I pan within it, what sort of access to that file is made inside the computer, let's say? \\
\hline Utley: & It varies. \\
\hline Armstrong: & Okay, but there is regular access back and forth to data points within the file? \\
\hline Utley: & Yeah. Part of the picture may be residing on the file; part of it may be in active RAM. \\
\hline Bernstein: & Doug? \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & Um, hum? \\
\hline <utley and Boehm. \(>\) & trong continue their conversation in the background as Bernstein continues with \\
\hline Bernstein: & Let me ask you to try and help me define something. Take a frame...take a small piece of paper and make a frame, okay? You ready? You got a square piece of paper? \\
\hline Boehm: & You want me to cut it? Yeah, I've got a sticky yellow pad here. \\
\hline Bernstein: & Perfect. Use that on top of your patent application and put it in the center. In my thinking where I don't understand that, when we do the Prior Art, when we take that frame and we want to see the upper-left corner-now remember, our piece of sticky is in the center-we now want to go to the upper-left corner, we've got to move the frame over the upper-left corner and now we're seeing that part of the paper. \\
\hline Boehm: & Which means your re moving the viewing window over a huge image. \\
\hline Bernstein: & Right. Or, you're moving the image to fit in the frame. \\
\hline Boehm: & Right. \\
\hline Bernstein: & Okay. Now with mine, put that viewing window in the center again; and let's say you want to zoom in or go to the... zoom in, what you're really doing is sucking in this data, aren't you? You'd be almost pulling through the paper through that frame. That's why you have that attached. \\
\hline Boehm: & No, what you're doing is you're scaling the... \\
\hline Utley: & You're scaling the total image. \\
\hline Boehm: & Yeah, the total image specifically or to Eit a reduced frame. \\
\hline Utley: & But they're leaving the image as it is. \\
\hline Bernstein: & Ah, then there is a defining difference. \\
\hline Utley: & I know, that's why you call it zooming. That's why the invention is described the way it is. That's why when I do all the pictures and show all those relationships, that's why it's designed...it's laid out that way. \\
\hline Boehm: & Can we define our zooming in... \\
\hline Utley: & In fact, there's a scaling... \\
\hline Boehm: & ...as a scaling mechanism? \\
\hline Utley: & ...viewing window. \\
\hline Bernstein: & That's the question...can you... \\
\hline Boehm: & Can we define our zooming as the scaling of the image to a different window, which is the nomal way, I think, of zooming and scaling. I don't think of... \\
\hline Utley: & The effect of zooming is to rescale the target image into the viewing window, or some portion of the target image. What you' re doing when you zoom in, you're now scaling the complete target image to a portion of the target image, and then what you're able to do is take that scaled portion and move it around to the entire image, but it's at its given scale level. You don't have to re-compute the for every portion of the image. \\
\hline Boehm: & Right. I think we're fine with what we're doing. I just think we've got to...there's this topic 14 , to be cognizant of as we go through this, to make sure that we distinguish our zooming from hotspot zooming by zooming by grabbing another file. \\
\hline
\end{tabular}

Bernstein: Right.

Boehm: Our zoming is scaling.
\begin{tabular}{|c|c|}
\hline Bernstein: & It's also by grabbing another file because it would be our view that that set of hotspots could be combined into a single file, and it definitely could be designed that way. I mean, I could write the file to be that. \\
\hline Utley: & But it would be another file? \\
\hline Bernstein: & No. I could take all five hotspots and write them into one file. \\
\hline Utley: & So the [ ] will be in one file? \\
\hline Bernstein: & Right. Exactly. \\
\hline Bernstein: & So you've got to be very careful here of what the difference is. It's such a minute, yet it's such a profound difference what we do... \\
\hline UtIey: & But it is another image. \\
\hline Bernstein: & It is another...right. Not another file. That's the difference, right. \\
\hline Boehm: & It's another image? \\
\hline utley: & Yes. \\
\hline Bernstein: & Of course. A hotspot would be second shot of that image at a closer scale...at a closer view...but it could be combined in one file in the end, even though it's two separate images. And the hotspot could drive right through it in that single file source. \\
\hline Boehm: & I'm thinking that if the mechamism for our zoom is to do the scaling kind of on the fly as you're walking around, we never go grab for another file... \\
\hline Bernstein: & Or we never... \\
\hline Boehm: & Hotspot or not-I don't know how many you put in there-you see, what I'm worried about is, guys, don't you have hotspoting on your website? \\
\hline Utley: & No, here's what. \\
\hline Boehm: & Yeah, we've had them. \\
\hline Boehm: & You've had them, right. \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & And that concept of zooming is grabbing another image file. \\
\hline Bernstein: & Well, but it has the same attributes as our current file, so it's just grabbing another enhanced digital image. \\
\hline Utley: & It's grabbing another image, and you don't have to define it as a separate file. Just grabbing another image. Let me tell you what I think differentiates between one of these systems and what we have. We have, if you think of the target image as the user interface, we have an encapsulated image. It is an encapsulated image that is [ ] into a file that is transported as a an encapsulated object, and it is manipulated as an object, and you zoom into that object. It is an object whereas in a mapping system, your object is really the whole map system, whatever that is, and... \\
\hline Boehm: & It's the system application for that. \\
\hline Utley: & Right. What happens with the mapping system is the application will create mapped objects according to what you... \\
\hline \(\leqslant\) End Side 1 & ape 1; begin Side 2, Tape 1.> \\
\hline Utley: & ...which are them handled individually as you need them or as you request then. What we're talking about is an encapsulated image which has all these attributes contained within that encapsulation. \\
\hline
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\hline Boehm: & And that would be true whether or not it's on a hard drive? \\
\hline Utley: & Right. \\
\hline Boehm: & I guess that's right...yeah. \\
\hline Bernstein: & And then, so we are striking downloading and additional data over the network connection. \\
\hline Utley: & Prior Art doesn't need that either. \\
\hline Bernstein: & Prior Art doesn't need that. To do Yahoo!'s Map, you don't need a network and you don't need more data over that network. You see, you'd never beat this argument. A network is just a hard drive because really in the end all you've done is like added a cable to your hard drive, and all you're talking about is the length of that cable, really. So networks are not applicable really to what we do. They are an added-value benefit that we can get through that cable quicker or whatever, but they are not the key. The network could be considered the cable between your hard drive and the display. You know what I mean, Brian? We don't need any... \\
\hline Utley: & No, but what you want to do is you want to make sure that you specifically address a network environment. \\
\hline Bernstein: & I wholly agree. \\
\hline Utley: & In addition. You absolutely want to make sure that the. \\
\hline Bernstein: & That's huge, as an additional wire, meaning it's got different... \\
\hline Utley: & Then that's the way to approach it. \\
\hline Bernstein: & Okay. You Eollow that, Doug? \\
\hline Boehm: & No. Slow it down. \\
\hline Bernstein: & Okay, it's all based on this. You can do our invention off a hard drive, and it's still cool. The fact that you can transmit it over a fat pipe or a small pipe or FedEx it has no bearing. It does what Brian says: it is more valuable in a network environment because it now has the attributes to give greater, richer data that you didn't think was possible in the shorter time. In the end, you see the network and the limited bandwidth, that never mattered because no matter, let's say your pipe was a toothpick, it would get there by tomorrow. Let's say your pipe is a direct line to the hard drive that's able to suck it up at 10,000 RPM or whatever...what's the term? \\
\hline Boehm: & RPM, yeah. \\
\hline Bernstein: & What's the term? Not R.PM. \\
\hline Boehm: & Bits per second or... \\
\hline Utley: & BPMS. \\
\hline Bernstein: & Is that what you talk about a hard drive? \\
\hline Utley: & Well, RPMs. \\
\hline Bernstein: & Is it? \\
\hline Utley: & Well, yeah. Techically. \\
\hline Boehm: & The data transfer rate... \\
\hline Bernstein: & Yeah, I'm talking about how fast you can access your hard drive as a number that you buy hard drives based on 7200... \\
\hline Utley: & Oh, no, you buy millisecond access time. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Okay. So we're now 20 years into the future, and Brian invented a pipe that can suck down that speed he just said-hard drive speed. Well, there's no difference now, is there? \\
\hline Boehm: & Sell me on the concept that there's no difference between one hard drive and a network, and you' re going to put the whole network industry out of business if you go there. \\
\hline Bernstein: & No, no, because it's a cabling system. \\
\hline Boehm: & I know, but... \\
\hline Bernstein: & It's just an accessed tour drive. \\
\hline Boehm: & And I think your point, and I think we've been there, that we're going to try and claim the broadest embodiment of your invention to be independent of any network or any hard drive or any... \\
\hline Bernstein: & And Prior Art also doesn't need any downloads or any networks. Prior Art, you can do Yahoo! zoom and pari, and Jim Armstrong just said it a minute ago, "I have it on my hard drive." And the program still operates by moving and grabbing this additional data. It has no network attached to it. He's doing it off his hard drive on a CD. \\
\hline Utley: & I thought we already covered that. \\
\hline Bernstein: & well, I'm just saying one drawloack of this type of system is that...and what it should say, if you want, is one drawback of this type of system in a network environment... \\
\hline Boehm: & I'd be happy to say that, but that says that over the network conmection. The whole paragraph is to describe another example of prior systems. \\
\hline Bernstein: & But those prior systems don't require networks. \\
\hline Armstrong: & Let me suggest that... \\
\hline Utley: & Wait a minute, wait a minute. Come on. The first sentence says, "...over websites." \\
\hline Bernstein: & But all I'm saying is it doesn't have to. \\
\hline utley: & Well, it's describing Prior Art, and this particular example is over web sites. \\
\hline Bernstein: & Ah, versus Web cDs? \\
\hline Utley: & Yeah. \\
\hline Bernstein: & Or Jim's Yahoo! CD. \\
\hline Bernstein: & Okay, then I understand one example. \\
\hline Boehm: & And that's where we're going with the background. We' re spinning our wheels here. Now if you want to add that to clarify, that's fine; but I don't want you to take away the distinguishing features that you have over networks because you may have to go run there some day. \\
\hline Bernstein: & Then do what Brian said: add it as an additional factor. \\
\hline Boehm: & Absolutely, but I guarantee you're going to have to argue this when we go to the foreign countries, like at the European office examiner, they' re going to be really mean and nasty. I will bet you that they will find some very, very close art, and we will have to be throwing in all kinds...and my guess is that we' re going to have to be throwing in all kinds of words that will have to be supported in the spec now to come up with something to survive a European examination if they find anything close, if we're not right that this is totally a broad concept. I'm just trying to... \\
\hline Bernstein: & Okay. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & We have to have a direction to run, and I need the amo to do that; and I can't run to the network argument if you've either taken it out... \\
\hline Bernstein: & No, we're not taking it out, we' re just... \\
\hline Boehm: & Or just minimized it. \\
\hline Bernstein: & We're not minimizing it. We' re just saying you don't need it, but in that environment, it is also added value. \\
\hline Utley: & Let me point out one other thing why it's important...even more so. If you take the implementation like a Zif \(X\), if it's local on your local system on your hard drive, you wouldn't care whether when you pan you reconstruct the piece that you're moving to. \\
\hline Bernstein: & Sure, that's different from what we do. \\
\hline Utley: & Because it moves very quickly. \\
\hline Bernstein: & But it still looks different from what we do. \\
\hline Utley: & But I'm not talking about that. I'm talking about when you move the magnifier, you reconstruct the piece that's coming into the window, but you would never see that. But over the network where you have elements which extend the response time of the system, it becomes a big deal, and therefore what You've got becomes more valuable...even more valuable over a network. \\
\hline Bernstein: & That's what I'm saying. Okay, I agree with that. Sowe're all hip on that. \\
\hline Boehm: & I think so. \\
\hline Bernstein: & Okay. \\
\hline Bernstein: & All right, let's move on. \\
\hline Boehm: & Where were we? \\
\hline Bernstein: & We're on page... \\
\hline Boehm: & Three, right? \\
\hline Bernstein: & We're through page 3. We're onto page 4. \\
\hline Armst rong: & Oh, and by the way, we also had a change on 21 , if you noticed. \\
\hline Boehm: & Okay, let me run over the changes on page 3. <Reading out loud> "...additional map data" should read just "additional new images and sends it over..." that would be fine with me. Oh, how about "additional data as additional new images"? \\
\hline Bernstein: & "Additional source material"? \\
\hline Boehm: & That would be... \\
\hline Bernstein: & "Additional source data..." \\
\hline Boehm: & "...as additional map images"? \\
\hline Bernstein: & Yeah. \\
\hline Armstrong: & I don't think you need "new images." I think just "source data." \\
\hline Boehm: & But it's really getting a new image. It's getting a new map. You're looking to the file. You're going back every time. \\
\hline Bernstein: & Okay, "additional source data." hell, ours goes back and grabs more source data, too. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & Exactly. But we've got to be careful. But we're not going getting additional new images. I may have to argue that, so you've got to have that in there. \\
\hline Bernstein: & Yeah, okay. \\
\hline Boehm: & "...retrieves additional source data..." how about ", e.g.r additional new map images"? \\
\hline Bernstein: & Right. That's fine. \\
\hline Boehm: & \begin{tabular}{l}
Okay, "...and sends it to the user computer." wonderful. Okay. Next change. \\
<Reading out loud.>
\end{tabular} \\
\hline Bernstein: & We already dealt with that. \\
\hline Boehm: & So what do I do? Scratch it? Do I leave it in there, or what do I do? \\
\hline \begin{tabular}{l}
Bernstein: \\
Boehm:
\end{tabular} & Well, that's just saying our stuff, so you're still talking about the prior stuff. We're talking prior art. \\
\hline Bernstein: & Okay, so that's scratched. \\
\hline Boehm: & Okay. Next comment... \\
\hline Bernstein: & And that, again, scratch that one. \\
\hline Boehm: & Okay. \\
\hline Bernstein: & Because we' re talking Prior Art here. \\
\hline Boehm: & I'm setting up the strawman, but now we're starting to knock him down. \\
\hline Bernstein: & Okay. Also, "there's a need for a system and method for providing a digital image suitable for suEficient file transfers." I don't care if it's hi, lowi, medium. \\
\hline Utley: & On 21. \\
\hline Bernstein: & 21. \\
\hline Boehm: & It says...I just think that "high-speed file transfers" is a pretty good term of art. \\
\hline Bernstein: & Well, but then somebody will say, "Is that high-speed cable or modem?" \\
\hline Boehm: & Oh, I see what you're saying. \\
\hline Bernstein: & Efficient. \\
\hline Boehm: & Higher speed? \\
\hline Bernstein: & No, we don't care about speed. You could do it at 2400 baud. \\
\hline Boehm: & We don't care. whether... \\
\hline Bernstein: & The other system didn't care either. \\
\hline Boehm: & Okay, that's fine. I'll leave it, then get rid of high... \\
\hline Bernstein: & You're just stuck with whatever speed the guy's got. \\
\hline Boehm: & <Reading out loud.>..."to engage in long and slow conventional contimucus file downloads..." \\
\hline Armstrong: & And that's fine. \\
\hline Boehm: & What's a continuous file versus a regular file? \\
\hline Armstrong: & Get rid of continuous. You don't need it. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Yeah, you don't. What we were trying to say is that additional data there, but we've already got that. \\
\hline Boehm: & As long as...yeah. And that's why I need that language up top to say, "...additional data," "additional new images..." Somewhere where I can go argue that this is what we meant, and that's what the Frior Art does. Okay. Whew! What's on the bottom? \\
\hline Armst rong: & What we've been talking about. It's all we just discussed. \\
\hline Bernstein: & Forget the top comment. \\
\hline Boehm: & I can't really...it's cut off at the top. \\
\hline Bernstein: & That's fine. It's not relevant here. \\
\hline Boehm: & Okay. \\
\hline Bernstein: & "At least twice greater than..." \\
\hline Boehm: & "At least greater than..." that's good. \\
\hline Armstrong: & Not "at least," just "an image size greater than..." \\
\hline Bernstein: & Right. \\
\hline Boehm: & Yeah, duh! okay. <Laughter> I'll tell you why I'm kind of groggy here now, later. \\
\hline Bernstein: & We were groggy, too. Wie were doing pans 'til 4:00. \\
\hline Boehm: & Til 4:00? \\
\hline Bernstein: & Yes. \\
\hline Boehm: & And then...so how many hours sleep did you get? \\
\hline Bernstein: & None. \\
\hline Armstrong: & Four. \\
\hline Bernstein: & Well, Jimgot four. I got none. \\
\hline Boehm: & Okay, I got ya beat. You got none? \\
\hline Bernstein: & No. \\
\hline Boehm: & I spent the night at \(0^{\prime}\) Hare. \\
\hline Bernstein: & Oh! That's my favorite place to sleep on a bench. \\
\hline Boehm: & On the bench. You got it! \\
\hline Bernstein: & I've been there a bunch of times! \\
\hline Boehm: & Yep, I hear you. I think Doug's black cloud follows him when he travels. Every plane I got on was delayed or broken! \\
\hline Bernstein: & It just follows the travel industry. \\
\hline utley: & You should have rented a car and driven home. \\
\hline Bernstein: & I almost...I was thinking about that, but I was worried about falling asleep too. \\
\hline Armstrong: & So we're into line 15, 16. \\
\hline Boehm: & Okay, and that's the one that's scratched. \\
\hline Bernstein: & No, and 15 I would say, "The enhanced digital image file replayed on a client viewing device..." \\
\hline Armstrong: & "...displayed on a client's display system." \\
\hline & 24 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Yeah. The viewing window having a pre-determined franchise. \\
\hline BoEhm: & Do that again. On line 15... \\
\hline Armstrong: & "The enhanced digital image file is displayed on a client's displaying system..." \\
\hline Boehm: & Instead of just... \\
\hline Bernstein: & ". ..downloadable..." \\
\hline Boehm: & Oh, I see. "...is displayed." I'm sorry, the same sentence is up above. "...is displayed..." \\
\hline Armstrong: & "...on a client's display system, the viewing window..." and then the rest is fine. And then line 22, get rid of "at least two" and create "greater than." \\
\hline Bernstein: & Where? \\
\hline Armstrong: & Line 22: "...a magnification factor greater than..." wait, what does it say. Hold on. <Reading out loud.> "...a magnification factor..." \\
\hline Boehm: & Oh, "...a magnification factor of at least two..." \\
\hline Bernstein: & No, "...greater than one." \\
\hline Boehm: & Okay. \\
\hline Armstrong: & "...greater than one without pixilization." \\
\hline Bernstein: & We don't mean without pixilization. No. \\
\hline Armst rong: & Yeah, that's right. It should be, "...a magnification factor greater than one." we haven't yet, I don't believe, defined a magnification factor yet, though. \\
\hline Boehm: & No. \\
\hline Armetrong: & It comes later. \\
\hline Utley: & It comes later, Yes. \\
\hline Bernstein: & And you can use, where I was telling you, Doug, where it was built onto a frame size, so therefore there's not additional data to draw from, therefore your zoom is zoomed to expanding the fixed pixel set. \\
\hline Armstrong: & And the next sentence, "The enhanced digital file further includes control data to allow the user to control the magnification factor." The question we had here was it seems as though we might be talking about the applet here. \\
\hline Boehm: & Yes, we're talking about the applet. \\
\hline Bernstein: & Then it's two different files. \\
\hline Utley: & Yeah, but... \\
\hline Boehm: & Yeah, but the file...oh, I see, we' re calling the enhanced digital image file the encapsulated [sloping? full thing?]. \\
\hline Bernstein: & Yeah, and there are two separate files that go to the user. \\
\hline Utley: & But it's encapsulated. It's actually [copied]. They always travel together. \\
\hline Bernstein: & They travel together, separately. \\
\hline Utley: & Right. Then we shift. \\
\hline Armstrong: & They travel together, but they are two separate files. \\
\hline Bernstein: & Virtually \(i t ' s\) one, but really it's two. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Utley: & And associated with each other. \\
\hline Armst rong: & But we're calling it "the enhanced digital image file," but that's not necessarily true, Brian? \\
\hline Bernstein: & No, he's saying...here's what the story is, Doug. You got one file above an image, and there's not a single drop of other data in that file. It's called a .JPG...we use it. There's also a file...there's two or three files actually that get downloaded to the computer-or he has it on his system already, it doesn't matter to us-that allow him to zoom and pan. \\
\hline Armst rong: & And those are transmitted simultaneously. \\
\hline Utley: & There is additional data that is required, whether you have a plug-in or not. \\
\hline Armstrong: & Really? \\
\hline Utley: & A plug-in by itself has no information relative to the size of the image, to the number of steps you're going to take to drive into it. \\
\hline Armstrong: & But that's all built in... \\
\hline Utley: & There's another file, like an index file. \\
\hline Bernstein: & Oh, there is. Okay. \\
\hline Utley: & That's what I'm saying. \\
\hline Bernstein: & Okay. I gotcha. The person could have pieces of the file, like the applet, already on his system. But what Brian's saying is there's control data that goes with the image that was based on the image specs that then tells that interface to operate according to a set of assumptions. Right, Brian? \\
\hline Boehm: & Okay. I agree with you. I think what we're... \\
\hline Bernstein: & No, not within the .JPG file. You've got to be very careful. So we're not talking the same thing. Those are additional files. \\
\hline Bernstein: & ```
Okay. Look at page 24, claim 1. "A method of dividing a digital image file
    comprising two elements, a digital image file...having an image file..."
    and "2. A user interŁace for the digital image Eile."
``` \\
\hline Bernstein: & But we don't have to provide that. That could already be on his system. \\
\hline Boehm: & Oh, my gosh. \\
\hline Bernstein: & He has to have one to view the image; we don't have to provide it. \\
\hline Utley: & But he has to have the control data. \\
\hline Bernstein: & He has to have the control data to tell him how to view the picture, and that could one day be part of the .JPG file, I don't know. But today how we do it is as three separate pieces: an applet, a control file that tells him certain things about the .JPG, and a .JPG. Those things come packaged together. Now, a guy might already have the applet on his machine; therefore, I don't need to send him that packet-the user interface. \\
\hline Armstrong: & If that's true, don't we send it anyway? \\
\hline Bernstein: & No. \\
\hline Armstrong: & We don't? \\
\hline utley: & we do. \\
\hline Bernstein: & We don't have to, but like... \\
\hline Utley: & We always do. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehim: & But that doesn't matter with your infringer. That's how you have to think when we're talking about the claims. \\
\hline Bernstein: & What do you mean? \\
\hline Boehtu: & Would the infringer on your patent send it? \\
\hline Bernstein: & No. \\
\hline Boeltu: & No, he'd send it to you once on the hard drive... \\
\hline Bernstein: & He'd Fed-ex it to you, and then not... \\
\hline Boelini: & He'd start sending you images, and each time he wouldn't be sending...but each time you would be sending some kind of control data? \\
\hline Armetrong: & Yeah. \\
\hline Boehm: & That's what I'm thinking, and that could be interpreted as the second element of the claim here. \\
\hline Utley: & And that control data really controls the motion of the zooming and panning. \\
\hline Boehti: & Right. \\
\hline Bernstein: & But that could even lie technically on his computer. \\
\hline Boehm: & Right. \\
\hline Utley: & The only case where that would actually work is if you knew that the... \\
\hline Bernstein: & The size of the. JPG. \\
\hline Utley: & The size of the. JPG and the size of the window. \\
\hline Bernstein: & And that could be for medical inaging where they give you the specs and say send me every image of this size, you don't have to send every single little thing. \\
\hline utley: & If that was the only kind of image that everyone wanted. \\
\hline Bernstein: & Okay, we're doing \(x\)-rays. There's an \(X, Y\), and \(Z\) size; here's our frame size; we never need to get that control data from you because it's built in. \\
\hline Utley: & <Inaudible comment.> \\
\hline Bernstein: & Okay, but let's say we' re dealing with a bone doctor who takes strictly legs, and it's always the same. I'm just giving you the case. \\
\hline Utley: & I know, I'd <Inaudible comment.> \\
\hline Bernstein: & Right, and I don't want to get around that. \\
\hline Utley: & The fact that there's radiography is a little bit more than one image size. Theoretically, you're right. You could find an application where there's one and only one image size, then you could put everything in the system. \\
\hline Bernstein: & A size. \\
\hline Armstrong: & MRI always have the same size, don't they? \\
\hline Bernstein: & Right-2' \(\mathrm{m}^{\prime}\). \\
\hline Boelini: & We thought we had this one nailed down. \\
\hline Armstrong: & In MRIs you also... \\
\hline Bernstein: & You did have it nailed. We're missing...you just want to say "optionally provided." \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & You can't use the word "optionally" in the claim. \\
\hline Bernstein: & We'll change that rule. \\
\hline Boehm: & No you won't. What we'll do is isn't the user interface provided from somewhere...we don't say where it's provided. \\
\hline Bernstein: & It could be any of four hundred of them. \\
\hline Boehm: & It could be provided from his hard drive. \\
\hline Bernstein: & Absolutely. It could be provided from somebody else's hard drive through a network. \\
\hline Boehm: & Exactly. That's why this covers it because the word "providing" is so broad it doesn't mean that we're sending it. See, we' re providing a file...the digital image..we're fine. \\
\hline Bernstein: & Okay, I see exactly what you just said. \\
\hline Boehm: & We're providing a file for viewing. \\
\hline Bernstein: & Totally. I totally understand. Fell, now we might not be providing the user interface... \\
\hline Armstrong: & Yeah, this still reads that it is "...a method comprising the providing of..." \\
\hline Boehm: & Yeah, you might be doing only step \(A\) at a time. \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & Oh, damm. Okay. \\
\hline Bernstein: & Oh, yeah. \\
\hline Boehm: & Okay, that's a good...let's...boy. Let me think about that. What I want to do is make the element \(A\) that you're providing a digital image file having this and that, and you're also having control data to work with the user interface for the digital image. \\
\hline Bernstein: & But you might not need the control data. That's what I just said to Brian. What if a client says to you every one of my images is going to be a \(2^{\prime} \mathrm{x} 2^{\prime}\), and I want 50 time magnification. You never have to give him control data, you just have to... \\
\hline Boehm: & If we make the second part, \(B\), a dependent claim. we can try it. \\
\hline Bernstein: & Do you see what we're saying? \\
\hline Boehm: & Yeah, absolutely. We don't... \\
\hline Bernstein: & And we don't want somebody to get around this. \\
\hline Boehm: & Absolutely. Great broadening work here. We've been through this claim, I thought we had it, everybody agreed to it! And that's where I'm going to run into a problem. I can't re-write this from scratch and get it on file today from working at half-speed here, you know what I mean? \\
\hline \begin{tabular}{l}
Bernstein: \\
Boehm:
\end{tabular} & Yeah, we've got to change that, though. These claims are not final. \\
\hline Bernstein: & No, I know, I know. \\
\hline Boehm: & We can file the claims as-is without one word. What we need to do is correct and amplify the specification because we can never add to the specification and keep the same priority date. I can go change the wording of the claims as long as that wording and explanation and interpretation is in the spec. \\
\hline Bernstein: & Okay. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehin: & So I agree with you. This should be a dependent claim for the bottom half of claim one. \\
\hline Bernstein: & He's going to make that dependent because we don't have to do it but we do. \\
\hline Boehtil: & Right. That's how you do optional. \\
\hline Bernstein: & Yeah. I like that. And theri claim 1. \\
\hline Boelim: & Now does that read on the Prior Art? You provide a digital image file, having an image... \\
\hline Bernstein: & No, that's the invention right there. \\
\hline Boehm: & Right. \\
\hline Bernstein: & That if you ever looked at what I did back there, it was create a bigger picture for a small frame. \\
\hline Armstrong: & Now if we circle back to where this started on page 4 , in the last sentence, that refers to "a digital image file, including control data," which is not correct. \\
\hline Bernstein: & Where? \\
\hline Armstrong: & The last sentence of page 4 . It's not "the enhanced digital image file" that provides that, it's an additional option file... \\
\hline Bernstein: & Element. \\
\hline Armstrong: & Right...that would provide that. \\
\hline Boehm: & Okay. This is the sumary. I can throw the word "optional" in here. So the "enhanced digital image file A..." \\
\hline Armstrong: & "...may be accompanied by..." \\
\hline Boelin: & I think just "...may further include..." most of the time, right? \\
\hline Armstrong: & Well, no, the file itself won't. It may be accompanied by additional files. \\
\hline Bernstein: & It could be in the file. We don't know. One day you could write [ ] that has a header inside it that says, "Here's your information." all bundled into... \\
\hline Armstrong: & But this is an exemplary embodiment, which means that today the enhanced digital image file may be accompanied by an additional file which... \\
\hline Boehm: & How about "associated with"? \\
\hline Armstrong: & That's fine, I think. Brian? "Associated with an additional file containing control data." \\
\hline Utley: & I think you should have a very general statement which will always be true, and then you could say, "The enhanced digital image file is associated with control data which allows the user to control the magnification factor." \\
\hline Armstrong: & And the control data... \\
\hline Bernstein: & That absolutely always has to be there, Brian. \\
\hline Utley: & Yeah, okay. \\
\hline Armstrong: & Otherwise you don't have our invention, so that's the right answer. Did you hear that, Doug? "The enhanced digital image file is associated with control data," and that's the only change right there. Strike "further includes" and replace it with... \\
\hline Boelini: & But I thought you said that once you've associated the first one, you never have to associate the rest of them. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Armstrong: & When we said the associated was something that was on the hard drive, so we don't necessarily send it, but it will continue to be associated. \\
\hline Boehm: & Okay, okay. \\
\hline Utley: & Yeah, it's always associated. The data always has to be there to prevent zooming and panming. \\
\hline Bernstein: & Right, whether it's in the file, out of the file, with three files, nine files, however the hell you want. \\
\hline Armstrong: & So it will finally read, "The enhanced digital image file is associated with control data." \\
\hline Bernstein: & Hold it. "The enhanced digital image file is associated with control data to allow the user to..." \\
\hline Armstrong: & So "is associated with" replaces the two words "further includes". \\
\hline Bernstein: & Beautiful. \\
\hline <Inaudible & ments.> \\
\hline Boehm: & The rest of this is just comments? \\
\hline Armstrong: & Just on this page, and actually we struck this...5, we struck that. You have to put digital later. \\
\hline Boehm: & I'm still on page 4 , guys. With the comments on the bottom, can \(I\) scratch them? \\
\hline Armstrong: & No, we took care of that. \\
\hline Boehm: & Yes, I think we've discussed this. \\
\hline Bernstein: & No, that seamless zoom, I love that word because everybody else isn't a seamless zoom, we are. We seamlessly zoom around an image. Everybody else has to grab and give you another frame and stop you and you have to remove to a different image in the picture. we' re seamless. You can just go around and move and go, and it's in a virtual environment really. \\
\hline Boehm: & Now is not the place. \\
\hline Bernstein: & No, I know. I just want you to know. \\
\hline Boehm: & We can if you want. \\
\hline Bernstein: & No. \\
\hline Boehm: & "Seamless..." I like that. Let's... \\
\hline Utley: & Seamless may mean continuous motion and zoming is a step procedure. There's steps. \\
\hline Bernstein: & That's true. \\
\hline Armstrong: & It's not seamless. \\
\hline utley: & The panning is seamless, but the zooming is not. \\
\hline Bernstein: & Right. \\
\hline Boehm: & But, in fact, what I'm trying to get at is Eliot is trying to claim and describe the invention in terms of what the user sees, which is great. As long as you can come up with good words and descriptions, we'll throw that in becanse we may have to mun there if our technical description, which is what I've been trying to do to define the boundaries, fall because some bozo did this before and didn't really make it very public because he didn't know what the hell he was doing. We may have to say, "Oh, yeah, but try putting it on his. It doesn't do what ours does even though we couldn't Eigure out technically distinguish it in our..." \\
\hline
\end{tabular}

\begin{tabular}{|c|c|}
\hline Boehm: & Esactly. \\
\hline Armstrong: & Yeah, I've seen that too. \\
\hline Bernstein: & But that's just trying to give you what we give you in a pre-pack...ours encompasses that without having to need that. See, there's a difference that every engineer in graphics that's ever seen that has said "cool," not "oh, I can go over in Adobe and move around images." \\
\hline Boehm: & That's why I wish I were an expert in this graphics area. I would have figured this out...the difference. \\
\hline Bernstein: & Well, now that you say you're not, I need somebody to step in who can because I think that we should file with what we have here, but this area needs to be absolute, not less kind of vague. Because there is a critical difference. It is something that can be optically seen, so therefore it can be electrically defined. \\
\hline Boehm: & And wer re trying to do that in the video side with Chris Taylor. \\
\hline Bernstein: & Maybe we do that with him on this. You want to ask him? \\
\hline Boehm: & I don't...he's going to have a kid this summer, and \(I\) think he's not even going to be around much in August. \\
\hline Bernstein: & Well, maybe he's got somebody. \\
\hline Boehm: & Yeah, maybe he knows of somebody. \\
\hline Bernstein: & Or go over to my old alumi at Madison, their graphics engineer. \\
\hline Boehm: & This is a...in order to protect our butts, we have to do that by september 1, and that's a big thing to do. \\
\hline Bernstein: & Why? I thought we could always go in and amend our claims on this stuff. \\
\hline Boehm: & You can amend the claims as long as it's supported in the spec. Now if we have to get down to the nitty-gritty of the definition of the technical excuse to amend your claims to distinguish over what has been done before...in other words, if we have to limit our claim to the histogram between a range of \(X\) frames per second and \(Y\) frames per second, that is our invention. If you incurred less than-I don't know what the histogram shows...17.6 frames per second-that is not our invertion, and we may have to go there, to be that narrow to survive if somebody else has done it at 17. \\
\hline Bernstein: & Wow, wait a minute! I hope that's not correct because at lower bandwidth you might have only 17 frames, but you have greater data. But let's get to video later, right? \\
\hline Boehm: & But my point is that you want support in specification, including technical excuse language, because I made need that to put that in the claim to make your patent survive. \\
\hline Bernstein: & Well, let's put something in here that defines this. \\
\hline Boehm: & Yeah. \\
\hline Bernstein: & Something that defines the... \\
\hline Boehm: & But the point is that we can't hire a technical expert to get the... \\
\hline Bernsteir: & Then let's get someone in then we'll get a techrical expert to define later. \\
\hline Boehm: & If it's to be considered new subject matter... \\
\hline Bernstein: & No, it's never a new subject matter because the first image that did this, did this. \\
\hline Boehm: & No, no, no...new subject matter for the document on the day you file it. They don't care about what you did in your basement. The patent office doesn't care. They care about the words and figures that you put on this paper \\
\hline
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when you file it. That's all the patent office cares about. You can't go back...
\begin{tabular}{|c|c|}
\hline Bernstein: & That's not what you told chris the other day. Chris said, "what happens with the Mom-and-Pop inventor who later discovers the equation to what they did?" \\
\hline Boehm: & Finding who was the first inventor, that's in an interference. The question isn't whether the patent's valid or not in the scope of your claims, the question then is was Mom and Pop doing it a year betore the other guy? But if Mom and Pop didn't describe their invention in the spec, they will never get to an interference or the interference will be blown away because it didn't meet the rule that you have to clearly and distinctly and accurately describe the invention. \\
\hline Bernstein: & Well, we clearly describe it, but we might not know the technical underpinnings, and I've got to go recheck my notes, but I think that's exactly what Chris Wheeler asked you. \\
\hline Boehm: & Absolutely, and I agree with you. We don't need to know the reason why. \\
\hline Bernstein: & But later we can put it in? \\
\hline Boehm: & If it does not add new subject matter. \\
\hline Bernstein: & But this wouldn't be new subject matter, this would just be an explanation of why. \\
\hline Boehm: & Yeah, but if we're up in the fog right now and we are using words that are so broad...let's say our claim said, "Our \(z 0 \mathrm{~m}\) and pan works really neat." That's our claim. There's no way we're going to be able to go back and say it really means having a frame rate between 30 and... \\
\hline Bernstein: & Then you know what? Put in the word "seamlessly" because I' ll be able to argue that until the cows come home that there's a difference between what we do and what they do, and somebody will argue out what seamless meant. \\
\hline Boehm: & You won't get the chance to argue. If we put the word "seamlessly" in the claim and it's not supported in the spec, the court will determine by itself without ever talking to you what it thinks "seamlessly" is. \\
\hline Armstrong: & Do we have to then, in order to cover this particular issue, do we have to get into a description of Prior Art and the standard by which zooming and panning is occurring in Prior Art, and then distinguish as clearly as possible in words, how ours is differentiated from it? \\
\hline Boehn: & That's the ideal way to do it, Jim. That's why I'm saying, and if all of us knew that technical underpinnings, this would be a much more [ ] written document... \\
\hline Armstrong: & Is it necessary, Doug, to describe it in terms of technical underpinnings, or can we describe it in terms of a user's observation? \\
\hline Boehm: & You're halfway there. "User's observations" would probably give us sufficient. \\
\hline Utley: & "...allows you to seamlessly pan..." and all the <inaudible comment> \\
\hline Boehm: & The claim will be interpreted by the spec. \\
\hline Bernstein: & That's true. <Responding to Utley above.> \\
\hline Wtley: & Now the only differentiation is the zoom without pixelating. \\
\hline Bernstein: & Okay. I'll agree with that. \\
\hline Boehm: & And then you saw that I went to umpteen degrees to define what the hell pixelation was because that's a word in my claim. Do you see that? \\
\hline Bernstein: & Yeah, that's fine, and I'm going to concede on that because Brian just made a good point. \\
\hline
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\hline Boehm: & Because [ ] will know the reason why in terms of [ ], but you do have to know enough about what you're doing in order to convey to the average person skilled in the art so he can make and use it and he understands just what the hell it is. \\
\hline Bernstein: & You see, Brian, that's my question now. That comes back to what's different between our zoom without pixelating versus theirs, but we've already described it in the way we built the frame. \\
\hline Utley: & Right. \\
\hline Bernstein: & That's the difference. \\
\hline Armet rong: & Okay, let's stick with that. \\
\hline Utley: & No, we bounded how you prevent pixelation. \\
\hline Bernstein: & Okay, then that's the key. \\
\hline Utley: & We totally bounded it. \\
\hline Boehm: & Okay, I'm lost now. \\
\hline Bernstein: & We're fine. \\
\hline Boehm: & ..."Seamlessly..." \\
\hline Bernstein: & Forget "seamlessly." \\
\hline Boehm: & Okay. \\
\hline Armstrong: & Page 6...we're off of 6 . \\
\hline Bernstein: & No, on page 5, I only had one more question. Figure 2, just print film is what it's showing...it can be digital, and we talk about that later, correct? \\
\hline Utley: & We separate it now. \\
\hline Bernstein: & That's where I'm confused. \\
\hline Armst rong: & Page 6, "24 to 32-mu lenses.." \\
\hline Bernstein: & Why? we can use any lens. \\
\hline Boehm: & It's a "such as"...it's an example. \\
\hline Armstrong: & Okay. \\
\hline Bernstein: & As long as it includes every lens. \\
\hline Boehm: & Oh, sure. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & And it says, "may include," but then if we ever needed...you see the reason we get so specific on this, Eliot, is because if somebody else just happens to be doing it out there in the world with a 2 mm lens and it doesn't haven anything to do...it doesn't come out looking like yours at all, but it just so happens our claim reads on what the hell he was doing, we can come back and say, "Oh, no, that's not really what we were doing. We really meant this; and if this is important enough, we'll put the words ' 24 to 32 mm' as a dependent claim." \\
\hline Bernstein: & But it's any lens, isn'tit? \\
\hline Boehm: & Yeah. My point is if this 24-32 means anything... \\
\hline Bernstein: & But we can still say any? we are saying "any," but we've defined something. \\
\hline Boehm: & If that was your preferred embodiment, that's the other reason. \\
\hline
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\hline Bernstein: & Okay, that's fine. \\
\hline Boehm: & It's not limiting. \\
\hline Armstrong: & As long as it's not limiting. \\
\hline Bernstein: & And then "the image of a scene..." \\
\hline Armstrong: & On 10. \\
\hline Bernstein: & Just strike it...."of a scene." \\
\hline Boehm: & Okay. \\
\hline Bernstein: & "...has utilized an image which is being photographed." \\
\hline Boehm: & Okay. \\
\hline Bernstein: & And then you see "The image may be a print film image, analog image, digital image, negative, TV signals..." Can that be, Brian? \\
\hline Utley: & No, \\
\hline Bernstein: & No? "The camera captures shoot.." \\
\hline Utley: & Well, yes, you can use TV signals to create an image, but you can't enlarge TV signals. \\
\hline Bernstein: & Okay. \\
\hline Utley: & But you can use TV signals to get an image. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & Isn't an image just broader than that? It's what the eye perceives; and once it's digitized, then it's a signal... or it's analogized, once it's captured in some format. So an image isn't really captured. It's a captured image when it's analog or digital or negative or film or something, right? \\
\hline Bernstein: & Um, hum. \\
\hline Boehm: & So a TV signal is already captured. \\
\hline Bernstein: & Gotcha. Okay. \\
\hline Boehm: & So what I'm saying here is the image...that the camera is ntilized to do the capture. \\
\hline Bernstein: & I've got you. I'm set with that point, actually. Okay? \\
\hline Boehm: & And again, this isn't really...as long as you're best-moded in there, we're fine. And we shouldn't use the wishy-washy language "may be." That's not restrictive. \\
\hline Armstrong: & In line 20 , we inserted the word "may" only because it also may not include a developing device. \\
\hline Boehm: & That's fine. The next sentence says that, though. \\
\hline Armstrong: & Oh, it daes? \\
\hline Bernstein: & Jim, I noticed that after the "may" came in. And we definitely talked abont a digital file, although... \\
\hline Boehm: & Then should we leave the "may" ont? Because it will include some...you've got to develop print. I think he was right. \\
\hline Bernstein: & Well, what if it did it all on one system? \\
\hline Utley: & Well, it doesn't matter. It's still developed. Like a Polaroid. \\
\hline
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\hline Bernstein: & That's what I was thinking was polaroid. \\
\hline Utley: & That's what I thought, but it does have a developing device. \\
\hline Bernstein: & Yeah, actual images developing device. \\
\hline Utley: & Well, it's self contained. \\
\hline Bernstein: & Right, but it's still... \\
\hline Utley: & Part of the film. \\
\hline Bernstein: & And it's still developing it. \\
\hline Boehm: & I think you better leave the word "may" out. \\
\hline Bernstein: & Yeah, that's what we're talking about. Now my bottom comment is wrong here, but it definitely comes in when we describe a digital image because I'm completely confused by some of the logic there. \\
\hline Boehm: & Where? At the bottom of the page? \\
\hline Bernstein: & Yeah. On a digital image, when we size it, we say we don't make a bigger target frame than we have sourcing for. \\
\hline Utley: & Because as soon as you do, you introduce pixelation. \\
\hline Boehm: & You don't want to enlarge anything unless it's not been digitized yet. \\
\hline \multicolumn{2}{|l|}{<Everyone talking at once.>} \\
\hline Armstrong: & It's part of the shooting. \\
\hline Utley: & A digital image is a digital image. It has pixels, and it has a height and a width. \\
\hline Bernstein: & And it's just placing them all in the frame. \\
\hline Utley: & You see, you place it into... \\
\hline Bernstein: & We've gone over all the developing and scanning and art Erame, Brian. Right, by picking "I want my limiting size to be four football fields. \\
\hline Boehm: & Exactly! Now you've got the...[ ] source image. <Everyone talking at once.> But you don't...usually you don't enlarge that because if you do... \\
\hline Bernstein: & You start pixelating... \\
\hline Boehm: & ...pixelating, and that's. \\
\hline Utley: & Because you're enlarging pixels. \\
\hline Armetrong: & We just create it large, we don't create it small and enlarge it. \\
\hline Bernstein: & But we don't create it to fit the frame, we create it to blow away the frame. \\
\hline Boehm: & Am I understanding correct, though, that you never enlarge a digital image before you process it? That's not a step for a digital image. You only enlarge a print-film image, correct? \\
\hline Utley: & Right. \\
\hline Bernstein: & But what you do do is set... \\
\hline Armstrong: & Set your...the image that you're taking, your target image, add a size so that when it is taken, it is already at a size that exceeds the view window. \\
\hline Boehm: & I see what you're saying, but I don't call that enlarging, I guess. \\
\hline Bernstein: & Okay. \\
\hline
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\hline Boehm： & Good，good． \\
\hline Armstrong： & okay． \\
\hline Bernstein： & But let＇s make this clear because I don＇t understand it still within the verbiage that＇s here． \\
\hline Boehm： & Okay，what line？ \\
\hline Bernstein： & Well，we＇ll get to it．That＇s why I said it＇s there because I started to get confused，and then later we＇ll get into it．．．into the mathematics of it． Just wanted you to be aware of that． \\
\hline Ttley： & Okay，page 7. \\
\hline Armstrong： & Page 7．．． \\
\hline Boehm： & I＇m sorry，I want to back up．Did he get into the enlarger？The only enlarging is on page 6，line 24．＂System can also include［ ］ 16 for enlarging the image which is developed by developing ．．．＂ \\
\hline Bernstein： & Yeah，that＇s fine． \\
\hline Boehm： & 99．9⿳⺈⿴囗口一寸 of the time，this is going to be a photographic enlarging device． \\
\hline Anmstrong： & Right． \\
\hline Boehm： & Right？ \\
\hline Bernstein： & Yeah． \\
\hline Boehm： & You may have to use that word someday．I＇ve got to have it in here． \\
\hline Bernstein： & Okay，yeah，because we wouldn＇t call it a＂pixel－enlarging device．＂ \\
\hline Boehm： & Exactly．＂．．．the image may be photographically enlarged from a print film image，＂ okay？ \\
\hline utley： & We said earlier＂non－digital image source，＂in this section，did we not？ \\
\hline Boehm： & Yeah．I just want to get the word＂photographically＂in there． \\
\hline Armetrong： & Okay． \\
\hline Bernstein： & Yeah，and then．．． \\
\hline Boehm： & ＂．．．be photographically enlarged．．．＂ \\
\hline Bernstein： & Well，it doesn＇t have to be photograph．Is it negative of a photograph．．．？ \\
\hline Boehm： & Okay，what＇s the word then？I think it is． \\
\hline Utley： & Yes，it is． \\
\hline Bernstein： & Yeah，you would say enlarging a negative is a photographic enlargement． \\
\hline Utley： & It＇s your choice whether you enlarge it as a positive or a negative． \\
\hline Boehm： & What we＇re really talking is analog enlargement as opposed to digital． \\
\hline Utley： & Right． \\
\hline Bernstein： & Correct． \\
\hline Utley： & Optical and analog． \\
\hline Boehm： & Optical analog，yeah．＂Optically enlarged＂？No．Yeah．well，photographically．． \\
\hline Utley： & I wouldr＇t be restrictive．．．we＇ll，you don＇t have to be restrictive in that． \\
\hline Boehm： & Right．And photographically is generic． \\
\hline & 37 \\
\hline
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\hline Bernsteir: & Cool. \\
\hline Armstrong: & Page 7, line 19. The question here was just to clarify really more for Brian than anybody is are we able to take our digital image and, and \(I\) think we talked about this earlier, but send it right to a set-top box or something else. \\
\hline Utley: & Absolutely. \\
\hline Armstrong: & We don't say set-top box, and I think we said earlier set-top box presupposes that it includes a computer element within a set-top box. \\
\hline Wtley: & But Doug, we could externalize that. Fe could make it explicit that there'd be personal computer, laptop computer, so and so, and set-top box...we could include set-top box in that string of definitions. \\
\hline Boehin: & Right, and technically, this is a little bit goofy. The way we're supposed...and maybe he did it, I don't know, but the first time the number 22 appears in the spec, should be the definition. And the numbers, if you noticed, are in order. The number 10 is the first reference number; 12, 14...that's how you find the reference numbers in a well-drafted patent application. \\
\hline Bernstein: & Well, that confused the shit out of me. Where the 10 came from, why it was there... \\
\hline Boehm: & Well, you start at lo...look at page....and this isn't a big deal for you guys, but look at page 5. You want to go straight lo. System 10, boink! system 10 includes camera 12 . We go on \(u p 12,14,16\), and on up. \\
\hline Bernstein: & Yeah, I followed that. \\
\hline Boehtin: & So you never need to know where those reference numbers were defined. That's why you define them up front, and that's where he missed it because 22 hasn't been defined yet but he's using it-computer 22 . \\
\hline Armstrong: & I first shows up in line 17? \\
\hline Boelhili: & Exactly. So let's genericize that and define it later. <Reading out loud.> "Alternately, a digital image may be provided from camera 12...may be provided directly..." \\
\hline Armstrong: & ..."to the user." In line 17. \\
\hline Boehm: & Wm, hm. \\
\hline Armstrong: & "...to the user," and then the first reference is in line 21 , where we define computer 22. That's fine. \\
\hline Utley: & That's good. \\
\hline Armstrong: & And then add to that "set-top box." \\
\hline Boehtil & Yeah, down below. \\
\hline Bernstein: & Or TV. \\
\hline Boehtit & Or whatever. We're getting there. Hang on. So it's "...to the user via a communication link..." I'm getting rid of "or cable" because again he hasn't defined 23 yet. 23 will be defined later because he hasn't even introduced 22 yet. That's what happens wher you edit a patent application. \\
\hline Utley: & Doug, how would you take care of the situation where the set-top box may be integrated into the display device? \\
\hline Armstrong: & I think that might be covered in the next thing, where we say, "Computer 22 includes the CPU, a ROM, a RAM, and a display device... \\
\hline Boehtim: & Exactly. \\
\hline
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\hline Armstrong: & ..."or input device. It also may include any hardware device, peripheral device, or software necessary to perform the Eunctions described herein." \\
\hline Utley: & Right. \\
\hline Boehm: & Yep. I guess we're there. \\
\hline Armstrong: & That does get us through that. \\
\hline Boehm: & That's the function of computer 22. Processes the digital image file, correct? \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & We're talking about figure 1 still, right? You've got the figures pulled out to the side like I do, right? Sure. \\
\hline Bernstein: & Sure. \\
\hline Boehm: & Yeah, you're supposed to because when you're reading this, you're supposed to have the... \\
\hline Bernstein: & Mine are so bent up, it's not that hard to get to. \\
\hline Boehm: & Wsually the paces are so out of order, you can't find the figures anymore. So "System lo..." see the number \(10 ?\) \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & "...includes computer 22 "-that's his box \(22-\) "...configured to process computer image file created by the above-mentioned devices." That's the definite of 22. Now we...so it's a processing device, right? \\
\hline Ut1ey: & Right. \\
\hline Boehm: & It processes...right, okay. "Computer 22 may be a personal computer, a laptop computer, a mini-computer, a microprocessor, mainframe computer..." He's going bonkers here..."a network computer..." \\
\hline Bernstein: & That's good. A set-top box? \\
\hline Boehm: & Yeah, we can throw "a set-top box" in there. \\
\hline Bernstein: & You want these words. \\
\hline Boehm: & A toaster in there if you want! \\
\hline Bernstein: & Yeah, a toaster with a display. \\
\hline Boehm: & Right, and a processor. \\
\hline Armstrong: & The following sentence kind of wraps it all up or anything Doug has. \\
\hline Boehm: & Okay, so where am I putting set-top box? \\
\hline Bernstein: & Under... \\
\hline Armstrong: & After one of those things...after... \\
\hline Boehm: & But we haven't shown NTD yet. \\
\hline Bernstein: & Yeah, but set-top box should be TV, too. Well, a set-top box plays through a TV...well, no, it doesn't have to. \\
\hline Armstrong: & Let's put set-top box after server computer... \\
\hline Bernstein: & And TV. . or TV. \\
\hline Utley: & You said set-top box goes between... \\
\hline Armstrong: & The TV. \\
\hline
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\hline Bernstein: & Or if it does what you said and the TV comes.. \\
\hline Utley: & But we have to take care of the case where it's built into the.. \\
\hline Bernstein: & Right. TV. \\
\hline Utley: & But then you depend on the CPU, the read-only memory, the RAM. \\
\hline Armstrong: & Does all that need to be in the TV? \\
\hline Utley: & Yeah. \\
\hline Armst rong: & okay. \\
\hline Boehm: & My question is if you define computer, 22, as the thing...oh, my gosh, 22 isn't the user's...is this... \\
\hline Bernstein: & No, isn't that our computer? \\
\hline Boehm: & This is our computer. 26 is the user's computer and display and set-top boz...I mean, 28 and 30, that's what I was thinking about. Where it says later in the next page, and say, if you look at the figure 1,28 and 30 , could be combined to be a set-top bok or a TV or you name it. \\
\hline Utley: & Yeah, user computer. \\
\hline Boehm: & Or a toaster with a display. \\
\hline Utley: & Right. \\
\hline Boehm: & The point is, what is computer 22? That's the one that puts it on the Internet. That's your server. \\
\hline Utley: & Right. \\
\hline Boehm: & So you don't put the set-tops... \\
\hline Utley: & That's the one that processes the source image. It creates the file. \\
\hline Boehm: & It's the digital image file. \\
\hline Utley: & And it may be the server. \\
\hline Boehm: & It may be the server, but no matter what, it would be a computer. To put it on a hard drive or to put it on a CD ROM, right? \\
\hline Utley: & Right. \\
\hline Boehm: & That's what we're getting at here. It's the processor. It's the encoder, isn't it? \\
\hline Utley: & Yes. \\
\hline Bernstein: & Yes. \\
\hline Boehm: & So could it be a personal computer? Sure. It could be any of these he's got. \\
\hline Bernstein: & Yep. \\
\hline Boehm: & So, he's correct so far without changing. \\
\hline Bernstein: & Right. \\
\hline Boehm: & "Computer 22 includes a CPU, a ROM, a RAM, a display device, input device..." I would...he's defining it there. I would say, "...typically includes." \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & Because it may be missing one of those. \\
\hline Bernstein: & Yeah, absolutely. \\
\hline
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\hline Boehm: & "...typically includes..." blah, blah, blah. Good. "... computer. It may also include any other hardware device..." \\
\hline Bernstein: & That covers it all. \\
\hline Utley: & I've got to go back to page 7, because we made a change I don't think we should have. \\
\hline Boehm: & I'm still on 7. \\
\hline Utley: & Okay. Line 17. "Computer" is correct-we shouldn't put "user" there. \\
\hline Boehm: & You're absolutely right, but I can't call it computer 22 yet. \\
\hline Utley: & You're defining the processing unit. \\
\hline Boehm: & But the whole point of the paragraph is to say print film versus digital, and this print film is going to be enlarged and scanned. Alternatively, digital file is provided directly without first creating a print image. It doesn't matter where it's housed. \\
\hline Utley: & Right, just say that. \\
\hline Bernstein: & Let me ask you this. Did you ever create a case where the analog camera takes the pictures according to the right specs and puts them on the film that way, or would you have to use larger film Eormat? \\
\hline Utley: & No, you can't. \\
\hline Bernstein: & We can't go that way? \\
\hline Utley: & Well, but we do that with \(4 \times 5 s\) and fill. \\
\hline Bernstein: & But it's still 4x5? \\
\hline Utley: & Right. \\
\hline Bernstein: & When you've shot the picture, it's \(4 x 5\). You can't tell the camera to shoot this 10 times bigger? \\
\hline Utley: & No, but what you do is you scan it at different density. \\
\hline Bernstein: & And that gets it the bigger... \\
\hline Wtley: & That creates the. \\
\hline Bernstein: & That's where I'm probably getting confused on this digital image thing. Yeah. \\
\hline Armstrong: & What if you just moved....what if you left line 16 and 17 alone and just moved the whole paragraph, beginning with line 21 , in front of that, where you defined computer 22 before it's used in that sentence in line \(17 ?\) \\
\hline Boehm: & Because it doesn't really flow there. What you're trying to do in that paragraph, he's says, "If the images obtained are digital...." he's describing the printing device 20 there, and that has to be described... 20 has to go before 22 . That's where he's introducing what 20 is. \\
\hline Armstrong: & Yeah, although with line 15 , the sentence starting with "alternatively," that actually does not talk about printing. \\
\hline Boehm: & Let me read it again. I think you're right. "...if the image is obtained with a digital camera, a print image may first be obtained..." \\
\hline Armstrong: & What he's saying, you took a digital picture and you want to print it and then enlarge it. \\
\hline Boehm: & Yeah, he sends it to a printer, 20. "In this manner, print image can then be enlarged and scanned." \\
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Boehm: How about grammatically, Brian?
utley: Pardon?
Boehm: But I'm partially kidding on that, but when you use the phrase "target image
size," go to...well, you don't have...
Utley: Let's come domn...
Armstrong: Let's come down. Let's say if that's false.
Boehm: My question is the language. You say on line 23, 24..."a target image size TIS has
a TIW and a TIH." Does that make sense to call the window a TIS or a VWS
for size? It's the same concept-width times height equals something. You
want to call it area? That's even clearer.
Utley: Yeah, size and area are interchangeable.
Boehm: Well, maybe we should say that the target image area-TIA. "Having a target image
width times the height..." That's beautiful.
Utley: They're interchangeable, so it'll work either way.
Boehm: Okay.
Armstrong: Let's just continue.
Utley: Let's say we're defining size as area, size is total number of pixels, which is
area.
Boehm: My only question would be can I make the...at the top of page l2 where you said,
"...the viewing image height, gauge, and viewing image width (VIw) within
the viewing window area..." VW'A?
Bernstein: Yes, that would be very clear.
Boehm: Yeah. You're also consistent with the target image area, but am I doing the wrong
thing here, Brian, because you used VW earlier. Are you using it
consistently?
Utley: Yeah.
Armstrong: Yeah.
Utley: Yeah, it's consistent.
Armstrong: See, just continuing with my thought pattern in the [us?] statement, I would just
reverse the order of these and put VIW.
Utley: It's right there.
Armetrong: Okay, okay.
Utley: So if you pick it up off the computer copies that I sent, it's correct.
Boehm: Oh, okay. Is that what he did? Just plugged it in?
Utley: Yeah, this is what I sent him last Thursday.
Boehm: I see.
Utley: I had written it. This is where it was transcribed.
Boehm: Okay, well clue me in where this started, Brian.
Utley: So you go down to...
Boehm: Is this page ll on vour sheet, or not?
Utley: Page 1 on the aging process.

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Boehm: We're at page ll of the text. The formula starts on figure 7.
Bernstein: Yeah, you've got to go back to 11 and start him at figure 7.
Boehm: Yeah, start me off here so I don't blow this.
Bernstein: Right, he wants to get every term.
Utley: Oh, okay. On page 11, we define the aspect ratio.
Boehtil: Right, which is on page 1 of your new...
Utley: Right, got it.
Boehm: Okay, that's what I wasn't sure. Brian, you still want me to use his text because
it looks like...
Utley: Yeah, his text is fine.
Boehm: Yeah, it looks like he added words to your...
Utley: Yeah, no, he expanded...
Boelill: He expanded to make it readable.
Utley: Right.
Boehm: I see what you're saying. But the formulas, let's go through each one of the
formulas and make sure they're correct, right?
Armstrong: That's what I'd like to do now before I run out of time. Brian, down in...You're
on 12?
Utley: Yeah, right.
Armstrong: On 12 when we, after line 25, when we start talk about these equations, the
statement here I don't see as being expressed right. Now' I could be wrong,
but the TIS = the TIW times the TIH, agreed; but that also equals VIS
times the magnification factor.
Utley: That's correct.
Armstrong: when I re-do this formula, I understand that the magnification factor is VIS/TIS.
Wtley: No. Not true. The magnification factor is TIS/VIS.
Armstrong: Actually I had it the other way-VIS/TIS.
Utley: TIS/VIS.
Armstrong: It's the ratio of the viewing image to the target image?
Utley: Right.
Armstrong: So it would be VIS/TIS.
Utley: What's the ratio?
Armstrong: It's got to be one over the other, so we express it right.
Utley: It's the TIS divided by the VIS.
Armstrong: Okay, let's go to line 19. Then this is stated wrong: "The magnification factor is
defined as the ratio of the viewing image to the target image." So that
needs to be reversed.
Boehm: Right, but that's word for word what you had on top of page...

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\begin{tabular}{|c|c|}
\hline Armstrong: & So it's defined as the ratio of the target image to the viewing image. okay, so those need to be reversed, in which case we've got TIS/VIS = MF. If I'm solving for \(T S, I^{\prime} v e\) got \(T S=V I S\) * ME. Now you're right. So down below is fine. \\
\hline Boehm: & Yeah, because the target is going to generally greater than the viewing image window. \\
\hline Bernstein: & Yeah, I just couldn't reconcile the Eormulas because of that transposition, that's all. \\
\hline Boehm: & Brian, what are You rationing? The size? The area? \\
\hline Utley: & You're rationing the area. \\
\hline Boehm: & The area. \\
\hline Armstrong: & And I like that clarification because it's. \\
\hline Boehm: & Didn't we way it's the ratio of the areas, or of...you mean the ratio of the viewing image area to the target image area? \\
\hline Utley: & Yeah, because when you magnify, you magnify an area. Magnification [is an aerial?] a function. \\
\hline Boehm: & Excellent. \\
\hline Anmstrong: & So height time width is area, which would be good every time we referred to target image or viewing image, we called it viewing image area or target image area. \\
\hline Utley: & Yeah. The only reason why I picked size instead of area is because we talk about aspect ratio, and you begin to use the \(A .\). .the \(A\) shows up in a muber of different forms, and therefore I didn't want to confuse you with A in one being \(A\), aspect ration, being the same as \(A\) in area. That's the reason why I did that. \\
\hline Armstrong: & Okay. \\
\hline Utley: & But we understand that area and size are symonymous. \\
\hline Boehm: & Let's just get rid of the \(A\) in aspects and make it sIR. That solves your problem, and then everything else is also... \\
\hline Armst rong : & That's right. \\
\hline Boehm: & ...three digits, right? \\
\hline Utley: & That's okay because that would define aspect ratio as R. \\
\hline Utley: & Yeah, that's fine. \\
\hline Armstrong: & And it goes on line 4 as well. \\
\hline \begin{tabular}{l}
Utley: \\
Armstrong:
\end{tabular} & \begin{tabular}{l}
Yeah, all the way through. \\
Again, Doug, just in the interest of time, I think what I'd like to do is apply the semantic changes to the text after we've made sure that some of my math questions...that Brian and \(I\) are on the same page before \(I\) have to leave.
\end{tabular} \\
\hline Boehm: & And I'm not even sure we'll have to do that because I have to go through it when I do the edits here, and I will do the same thing that you are doing and make sure it makes sense. \\
\hline Armstrong: & Okay. On the top of page 13, then, what \(I\) was able to determine from this and confirm with Brian is that the source image aspect ratio is equal to the target image aspect ratio. The question is was this intended because, and we started to have this conversation, is that we may not have...we may crop photographs, we may want to stretch a photograph... \\
\hline
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\hline Utley: & Let me explain how that works. First of all, in the image sizing progran, you have the ability to change the size of the source image from a standard image. In other words, if you are cropping, you can specify the crop, and it will give you the right dimensions and it will fit it into the viewing window. So a cropped image... \\
\hline Armet rong: & But it lost data. When you crop, don't you cut away a piece of data? \\
\hline Utley: & It's before you get data. It's while it's still an image. \\
\hline Armstrong: & So it just smushes it in right? \\
\hline Utley: & Yeah. It puts it into the viewing window properly. So you can specify a cropped image source without any problem. But the case that isn't covered yet...there are two cases that aren't covered yet. One is where you digitally crop an image, akay, which you can do. You can get there, but you have to know how to get there. The second is, we have not covered the case of the panorama or stitch images. \\
\hline Bernstein: & But it's all one image in the end. \\
\hline Utley: & I know, but we want to cover the case of the dimensions because it assumes that you always fit the image into the viewing window, but in the case of stitched images, you don't. \\
\hline Bernstein: & Why? \\
\hline Utley: & You let the... \\
\hline Armstrong: & The image flows beyond it. \\
\hline Utley: & The image flows beyond the edges of the viewing window. \\
\hline Armst rong: & It does? \\
\hline Utley: & Yeah. \\
\hline Armstrong: & When you first look at a Hyatt Hotel pool shot, you don't see the whole shot. You see one shot, and then you pan around it. So you're not fitting the whole image into the viewing windowi. \\
\hline Utley: & Right. \\
\hline Bernstein: & We never were. Prior Art does that. \\
\hline Boehm: & On a photo you are. \\
\hline Armst rong: & And a Prior Art also gave you the ability to do that. \\
\hline Utley: & Yeah. \\
\hline Bernstein: & But on a MAC standpoint, he's not creating that formula for fitting a panoramic image... \\
\hline Armst rong: & Into a viewing window because you don't do that. \\
\hline Bernstein: & Gotcha. \\
\hline Boehm: & What do you do in tems of actually doing the math, Brian? \\
\hline Utley: & When I get that built into the image sizing program, what it will do is it will size the panorama into the viewing window vertically, and then it will allow you to pan horizontally across the image. \\
\hline Boehm: & Or vice-versa. \\
\hline Utley: & Theoretically, yes, although we don't have any examples of that. \\
\hline Boehm: & What happens if you wanted to...well, panning bigger, if you want it bigger... \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & You could do what you're saying and pan vertically and horizontally, we just don't do it. \\
\hline Utley: & But if you did that, then what I'm saying is you size the image into the viewing window so always see one dimension completely until you start zooming. \\
\hline Boehm: & My suggestion is that we don't...of course, we're not going to worry about that today, we don't have the time to do that. When we get to the end of this, then we'll say, of course, when you stitch images together to do pick your pan, you would first do the small size, and then whatever. \\
\hline Utley: & Right. \\
\hline Boehm: & We'll just handle it because the point of this math is to give us a basis for putting technical definitions into the claims if we run into the problem that we need it during prosecution. \\
\hline Utley: & Not only that, but it's also very helpful when we' re talking to... when we're doing due diligence and we're talking to people about how does it work. This is how we sit down with them and say here's how it works. This is not something that's off the seat of the pants. This is something that follows a disciplined structure. \\
\hline Boehm: & Absolutely, and that buys you credibility. \\
\hline Utley: & Right, and then when we license someone, this is part of the documentation. \\
\hline Boehm: & The biggest thing is is it the best mode? Remember, we have to disclose the best mode of making and using your invention. \\
\hline Utley: & Yeah, and this is the best mode. \\
\hline Bernstein: & This better than blowing up images. \\
\hline Armstrong: & Let me just further throw into this source image aspect ratio equaling the target image aspect ratio for a second. I've got just an example written on the side with those people in a box. If we start with the small image here, which is a 6x8, and we blow it up to an \(8 \times 10\)...that doesn't matter, we end up with a source image that's \(4 \times 5\), and then we just make the target image 4*5, right? \\
\hline Utley: & Right. \\
\hline Armstrong: & So it's not that one is...because the little one is not the source image, it's the bigger one that's the source image after we've done the cropping. \\
\hline Bernstein: & No, that's the target image. \\
\hline Armstrong: & No, that's the source image that goes into the system. Then we create the target image. \\
\hline Bernstein: & No. \\
\hline Armstrong: & Yeah, this is before it goes in. \\
\hline Utley: & If the source image is, say, at \(8 \times 10\), what the formula does is tell you how to scan it so that you arrive at the right target image. So if you go down further, it says, "And, by the way, if you follow the program, the program says the right scanned density for this is this many pisels per inch," and that will produce you a digital image which has a magnification factor... \\
\hline Armstrong: & That's the next step. I think I know the answer to this, but in this example, which of these two things is considered the source image? \\
\hline Utley: & The source image is the \(8 \times 6\). \\
\hline Armstrong: & The target is the \(8 \times 10\) ? \\
\hline Boehm: & We have to define that, guys. \\
\hline
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\begin{tabular}{|c|c|}
\hline Armstrong: & okay, hold on because me've got...let me just follow this math. I just want to understand why \(I^{\prime} m\) wrong here. In the source image then, we have an aspect ratio of \(3: 4\) on an \(8 \times 6\). \\
\hline Utley: & Because it's a portrait. \\
\hline Armetrong: & It's a landscape. \\
\hline Utley: & Okay. \\
\hline Armstrong: & This is a picture of my kids on the beach, but I want to frame it in a portrait Erame, so I'm going to go in and I'm going to crop the edges and turn it into an \(8 \times 10\) and blow it up. \\
\hline Utley: & Where do you crop it? \\
\hline Armetrong: & I'm going to crop it on the scanning program, let's say. I've got a print image, and I'm going to throw it on the scanner. I'm going to throw this on the scanner. I'm going to crop the edges of, blow it up to an \(8 \times 10\). \\
\hline Utley: & You don't do that on the scanner. You don't blow it up on the scanner. \\
\hline Armst rong: & Okay, well let's say then \(I\) blow it up on a...let's say I blow it up before I scan it. \\
\hline utley: & Right. \\
\hline Armstrong: & And then I crop it. I end up with an \(8 \times 10\), which is an aspect ratio of \(4: 5\). \\
\hline Utley: & Right. It's not portrait. \\
\hline Armst rong: & It's not portrait? \\
\hline Utley: & Right. \(5 \times 4\). \\
\hline Armstrong: & Exactly, right, 5x4. I did that backwards. So now I've got... \\
\hline Utley: & An aspect ratio of 1.25. \\
\hline Armstrong: & Right, I've got a three-quarter aspect ratio for what you're calling my source image. \\
\hline Utley: & No, you've got...I don't know anything about that. All I know is that is this. \\
\hline Armstrong: & This is the source image. That's why I asked you which one was the source. This ends up being the source. <Everyone talking at once.> ...the original picture... \\
\hline Utley: & I don't know anything about that. \\
\hline Bernstein: & Why? \\
\hline Utley: & Because what you put in the scanner, the system considers to be the source image. \\
\hline Armstrong: & Although there is a step here... \\
\hline Bernstein: & Well, this is what I was putting in the scanner. \\
\hline Armstrong: & There is a potential step here is not a part then... \\
\hline Armet rong: & Because then the enlarged image is the source image. \\
\hline Utley: & Right. \\
\hline Armstrong: & Becanse there's a step before digitizing that says we can take an image of any size, create the ultimate source image from that in any dimension yourd like via cropping and enlarging, and then we will end up with what we are calling in these formulas a source image. But we don't talk about this. I don't know if it's important, but it's a step that confused me. \\
\hline
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\hline Armstrong: & It's not important to this formula; but what I'm wondering if it's important to our process. \\
\hline Bernstein: & Well, certainly it's part of the process. \\
\hline Utley: & Well, no, if you go back through and you understand this, what your controllables are, what your scan density is, what your aspect ratio is, how you fit it into the viewing window, what your target size is, and if you know all of that, you can determine the trade-off between your ultimate source, whatever that be, I know nothing about that, and what you put in your system. \\
\hline Bernstein: & I recognize that. I'm one step before that whole process, and now we' re taking ourselves outside of the math, and then we can table this and you guys can talk about it later. You just told me that this lower image is the source image. \\
\hline Utley: & Right. \\
\hline Bernstein: & Yet it's not what the client gave me. The client gave me this picture. Greg Manning gave me a baseball card with a whole bunch of header information and said take the header out, give me just the picture of [Newell] Lowell or whatever his name was...just the picture. So, I don't know if we want to include anywhere, if it's important, the step that is our editing of an original image before it becomes what we are calling a source image. That's all. And let's leave it there for right now. \\
\hline Boehtim: & That's the same problem I was confused with, and when I look at Erian's figure to say that the target image is surrounding the viewing image, I get confused as to what he means by the target image. \\
\hline Utley: & Well, the target image is the file \\
\hline Boehtio & What's the word "target" mean? \\
\hline Utley: & Because what you are doing is you create a virtual image into which you zoom and pan. It is a virtual image. \\
\hline Boelitu: & Oh, so you're targeting to the virtual image? \\
\hline Utley: & Yeah. \\
\hline Bernstein: & Or you're targeting that big [ ] . \\
\hline Armstrong: & What you're talk about is semantics, and I had the same conceptual problem on my first read, understanding the difference between target, source, and viewing. \\
\hline Boehtio & And viewing, yeah. \\
\hline Armstrong: & And that's something you guys can talk about, and that's just really a global change in semantics if you decide to do that. But I'd like to move onto the next question I have that's formula driven, and it's the scan density. \\
\hline Wtlev: & Right. It's not in here, it's on the image sizing program. \\
\hline Armstrong: & This? \\
\hline Wtley: & No, the other one that I have where you put in your parameters, and it automatically computes. \\
\hline Boehtio: & You can use an Exel spreadsheet with macros to do that with code. We have not written an application on that yet. \\
\hline Bernstein: & Well, that should be here. \\
\hline Armstrong: & Yeah, because we reference it. We reference... \\
\hline Boehtin: & You reference the program? \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & We reference the math. \\
\hline Armstrong: & In line 11, we're talking about how we determine a minimum scan density, and we actually have a formula here, which I don't understand. \\
\hline Utley: & The minimum scan density says that you will scan at that DPI or... \\
\hline Armstrong: & That's height, or is it area? \\
\hline Utley: & No, it's scan density. \\
\hline Armstrong: & Okay, let's look at this formula then. \\
\hline Boehm: & Where are you? what page? \\
\hline Armst rong: & I'm on page 13 , line 13. \\
\hline utley: & It's the ratio of the source image height to the target image height. \\
\hline Armst rong: & Just height? There's no width... \\
\hline utley: & No. \\
\hline Armstrong: & ...in there at all? \\
\hline Utley: & No, because you've got a fixed aspect ratio. The two aspect ratios are the same, therefore it doesn't matter. And if you try to use area, it's a square function, so it doesn't give you the right answer. \\
\hline Armst rong: & And does this end up giving us the dots-per-inch result? \\
\hline Utley: & Yeah. \\
\hline Armst rong: & So if I've got a height, then you're saying we're expressing the height in terms of pixels? \\
\hline Utley: & It can be either in inches or in pixels. Typically it's in inches because when you want a...your scan density is when you're scanning, and you're scanning is in... \\
\hline Armst rong: & Okay, then let's use an example I put here. If we have a source image of an \(8 \times 10\), and our target image is going to be...let's fix this and call it an 80x100 so we keep the same aspect ratio... \\
\hline Utley: & Right. \\
\hline Armstrong: & We then end up with an MSD of the height... \\
\hline Utley: & Your target's going to be in pixels. \\
\hline Armstrong: & Okay, well this is what I want to clarify, then, because that doesn't say it. So the target... \\
\hline Utley: & The target is always defined in pixels. It says area in there, but it's always pixels. \\
\hline Armstrong: & Okay. But we don't always use the TIH in a formula in a pixel form. \\
\hline Utley: & You always use it in pisel form. \\
\hline Armstrong: & We do? \\
\hline Utley: & Yeah. That's the only way it's ever expressed. \\
\hline Armstrong: & Even up in these formulas and everything? \\
\hline Utley: & Yeah. \\
\hline Boehm: & Does it have to be in pixel? Can it be units? \\
\hline
\end{tabular}
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\hline Utley: & No, it has to be pixels. \\
\hline Armstrong: & And the viewing image width is always in pixels? \\
\hline Utley: & Yep. \\
\hline Boehm: & Viewing target but not source? \\
\hline Utley: & Well, the source is whatever mediurn the source is in. If it's a \(4 \times 5\) piece of film or an \(8 \times 10\) enlargement, or whatever. \\
\hline Armst rong: & Well, let's follow this through then. So... \\
\hline Bernstein: & Then that's not a source image. The source image is what you create by forming the \(4 \times 5\). \\
\hline Armstrong: & That's something we need to clarify. \\
\hline Boehm: & Exactly. \\
\hline Armstrong: & We need to be able to say the target image in these formulas... or to calculate them, target image heights, widths, and sizes are all... \\
\hline Utley: & Viewing window is in pixels, height in pixels. Okay. Source image generally in inches. I mean, I laid that out. \\
\hline Armetrong: & Okay. It wasn't in this one. But let's just look at this for a second. What would the number of pixels be on something like this if \(I^{\prime} v e g o t ~ 80 " x l o 0^{\prime \prime}\) ? what would \(80^{\circ}\) be in pixels? \\
\hline Utley: & That's probably around 8,000. \\
\hline Armstrong: & 8,000. So we've got 8,000 pixels divided by the height of the source image is 8 , so we have a scan density of 1,000 . \\
\hline Utley: & Right. \\
\hline Armstrong: & Okay. That works. Fine. Okay, example l. Let's go to example l. Your assumptions, for example, you want to change from this sheet to the next, Brian? \\
\hline Utley: & Right. \\
\hline Boehm: & Are we doing example 1 of the... \\
\hline Armstrong: & On page 13, begimming on line 17. \\
\hline Boehm: & Are there changes, Brian? \\
\hline Armstrong: & Perhaps. We're going to go through it. \\
\hline Utley: & I'll look at it and see. \\
\hline Boehm: & Why don't we just go to the example 1 in your new? \\
\hline Utley: & Okay. \\
\hline Boehm: & Unless it's the furthest. \\
\hline Armstrong: & It's not furthest. Okay. So we've got a source. Everything's the same here. \(320 \times 48\) is 400 pixels. Viewing image size is equal to 128 . Target image size is equal to 2560 . Good, we've got the square root in the formula now. The 1789. Target image height is 1431. Minimum scan density, I think is wrong. \\
\hline Utley: & No, it's not. \\
\hline Armstrong: & The minimum scan density is said to be... \\
\hline Utley: & You multiply the minimum scan density... \\
\hline
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\hline Armstrong: & Right, but let's just do the formula. The minimum scan density is what? Defined as the target image height, which is what? 143l, right? \\
\hline Utley: & Right. \\
\hline Armstrong: & Okay. You have 1789. \\
\hline Utley: & Oh, I'm sorry. The target image height... you start with the target image width...you can do it either way. It's l43l is the target image height. \\
\hline Armstrong: & Right. That's the formula. So in order to use your formula, it's l43l divided by what? \\
\hline Utley: & By 4. \\
\hline Armstrong: & Not by 5? \\
\hline Utley: & No. \\
\hline Armstrong: & Okay, so that's just a general correction. You actually end up with a very similar answer, mildly different by only, I think. .75, but it is different. oh, no, you don't. You get...wait a minute. <reading to himself> ... is the target image height in example l...it may just be a rounding function... \\
\hline Utley: & It is. \\
\hline Armstrong: & But as we show the math, we should show it consistent with the formula, right? \\
\hline Utlev: & Yeah. What does it say? \\
\hline Armstrong: & 357.75. \\
\hline Utley: & Right. Just round it up to 358. There are no such things as fractions of pixel settings. \\
\hline Armstrong: & 1789/5 = 357.8, so it's slightly different, so that's why. \\
\hline Utley: & It's not different. You can't have a fraction of a pisel. \\
\hline Armstrong: & Don't get upset about this. You have an error in the way you show this, and all I'm doing is pointing out that we can't have it in the patent that way. we have a formula that says it's height divided by...it should be 1431 divided by 4 . We just went over that on the previous page. That's all I'm saying. Either way, we need to express it as 1431...that's all I'm saying. \\
\hline Utley: & I see what you're saying. I understand. \\
\hline Armstrong: & Do you have that, Doug? \\
\hline Boehm: & No, I'm still trying to figure out... \\
\hline Armstrong: & Okay, look on his new sheet. \\
\hline Boehm: & Yeah. \\
\hline Armstrong: & The only correction to his new sheet on page 1 is the second to the last line. "The minimum scan density equals 1431 divided by 4 equals 358 ." That's the only change. \\
\hline Boehm: & Okay. \\
\hline Armstrong: & Now, let's see...did I have anything on this one? \\
\hline Boehm: & Good catch, Jim. Thanks. \\
\hline Bernstein: & On 2, I think we have the same file [ ]. \\
\hline Boehm: & What? \\
\hline Bernstein: & We're best friends for this very reason. I sucked in math. \\
\hline
\end{tabular}
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\hline Armstrong: & This one's just the exact same thing on 2 where we're just using the wrong number. We get the same answer, but we're using the wrong number. The minimum scan density, second to the last line, should be 1431 divided by 4 equals 358. \\
\hline Boehm: & Which is the same... \\
\hline Utlev: & It's the same number. \\
\hline Boehm: & The same fixes as... \\
\hline Armstrong: & Exactly. Same number, it's just the equation is expressed incorrectly. \\
\hline Boehm: & It's the same text...the same change we made to example 1. \\
\hline Armstrong: & Exactly, exactly. In the middle of that example 2 on page 4 , there's a statement that says, "The target image size equals the viewing image size times twenty." I can't find where that relationship is defined in a previous example where we say that the target image size equals the magnification factor times the viewing image size. And if it's not, we need to just put it in because it's obviously right, it's just not stated. \\
\hline Boehm: & On the top of page 2, when you define magnification factor, and you're saying it's a ratio of the viewing image to the behind-the-scenes target image, so it's going to be a less-than-a-one number. See, we're getting targets and viewing screwed up. The teminology, I think, is screwing us up, right? Because weren't you using magnification factors of twenty? That would have a viewing image of twenty sizes larger than the target image. \\
\hline Bernstein: & That's what we found last night. \\
\hline Utley: & No, the magnification factor is the...the target image size is the viewing image size times the magnification factor. \\
\hline Boehm: & Right, how do you define the magnification factor...oh, that's what...the viewing size... \\
\hline Armstrong: & But in the patent pages, do we have that, Brian, somewhere? Because I looked for it, and \(I\) couldn't find it. \\
\hline Boehm: & It's page 12, the middle, it's where he defines magnification factor, and it's not where it should be. \\
\hline Armstrong: Boehm: & \begin{tabular}{l}
Right, but I don't see it there. \\
"...ratio of the target..." we're going to call it "...the target image area."
\end{tabular} \\
\hline utley: & It's down on the bottom. "The target image size is the target image weight times the..." "...which is equal to the..." \\
\hline Armst rong: & There it is. "...viewing image size times magnification factor." \\
\hline Boehm: & Right. \\
\hline Armstrong: & Okay, so that's the one I had a problem with because we inverted those two things, so that explains that. That's fine. Let's move on. Now, did I have anything else on examples? Example \(2 . .\). example \(2 .\). \\
\hline Boehm: & One more point. When You say the magnification factor, it's really the maximum desired, isn't it? Magnification factor, Brian? \\
\hline Utley: & Yeah. \\
\hline Boehm: & Because magnification factor is any zoom. What you're worried about is the maximum, your deepest, right? \\
\hline utley: & Right. \\
\hline Boehm: & Okay. So I want to make this MME for Maximum Magnification Factor, okay? \\
\hline Armstrong: & Okay. \\
\hline Bernstein: & Where was that? \\
\hline
\end{tabular}

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\hline Bernstein: & Doug, you're supposed to be picking up these square root issues. \\
\hline Boehtu: & Hey, you guys are supposed to be picking this up. Actually, I was hoping you could work closer with Steve than the timeframe we had; but I'm taking the last pass at it here, so I'm going to try and get another pass at it. \\
\hline Bernstein: & Cool. \\
\hline Boehm: & And I am trying to get another pass at it, and I would have done the same number exercise that you've done for us, Jim. \\
\hline Utley: & <As an aside to Jim and Eliot.> Can you meet me in Philadelphia on Friday morning? \\
\hline Armstrong: & I think so. \\
\hline Bernstein: & This Eriday? I don't know. \\
\hline Armstrong: & Well, I need to check my calendar. \\
\hline Bernstein: & Other than just checking? \\
\hline Armstrong: & Yeah. What time? \\
\hline Utley: & Can you piok me up at the airport? \\
\hline Armstrong: & Yeah. \\
\hline ccontinued & kground conversation between Utley and Armstrong.> \\
\hline Bernstein: & Doug, why don't you make these changes? \\
\hline Boelini: & Yeah, I will. \\
\hline Bernstein: & Start with this because I think we can pick up our changes later, can't we? \\
\hline Armstrong: & Well, let me give you a few more that I wasn't real sure about. \\
\hline Boehtu: & Well, what's the...what do you think is the extent? If we go through page by page, you're right, it's going to be forever. \\
\hline Bernstein: & No, I just want to go through my comments real quick. If Brian has any additional, that's fine. \\
\hline Boehm: & Okay, and remember we can change the wording of the claim as long as it's recorded. \\
\hline Bernstein: & No, no, this is the body. These are minor fixes. \\
\hline <Everyone t & ing at once. Shuffling as Amstrong leaves the room.> \\
\hline Boehm: & Thanks, Jim, for leaving. \\
\hline Utley: & <chuckles.> He's going to catch a plane. \\
\hline Boehm: & Hope you had better luck than I did. \\
\hline Utley: & Yeah, really, and the weather here right now is pretty bad. \\
\hline Boehm: & Yeah, that's what it was yesterday. \\
\hline Utley: & Where did you get stranded? \\
\hline Boeltu: & It's a long story. Is now a good time? \\
\hline Utley: & How long is it going to take? \\
\hline Boehtu: & What happened was I left about 6:00. Everybody said it's no problem to catch the 7:25. There was a terrific traffic jam just north of the airport, bumper to bumper for miles, and it got to be \(7: 10\) before I was at the airport. I was flying around lost, trying to find the Avis. Got to the bus at 7:15. \\
\hline
\end{tabular}

Yelled at the driver and said, "I've got a 7:25 flight. Can we do it?" He goes, "I don't know!" So we got in and tried it. They dropped me off at the United gate. And I dropped up the Avis car without filling it with gas, you know, just get my butt over there. I get up to the drop-off, and thinking, oh, I gotta run, and so I run like crazy to get to the get and find out different. There's a whole bunch of people standing there, you don't have to rum. It's been delayed. So my flight out of Ft . Lauderdale to Chicago was delayed first of all for storms, and then mechanical problems. I got switched all over the place. 7:30, B:30, 9:30, and you wonder what's going on. Half the people bail out and go to Miami and fly out of there, and they keep saying, oh, we don't know when it's coming. I'm thinking, oh, crap, I'm going to miss my \(10: 30\) connection in Chicago to go to Milwaukee, so I called and found that there was a bus. There was an 11:30 bus, and I thought, hey, I'm gonna make this, no problem. The stupid plane didn't leave until 10:00, got in Chicago at 12:30, missed the bus, missed the only connection out to Milwaukee. I'm thinking the next one's B:00 in the morning, I'll just go get a hotel, and it should be on the airline, right? Because they made me miss my connection. So I stood in line 45 minutes with other people who had missed their connections to try and get the hotel or the baggage lost or some damn thing. Here it is 1:15, 1:30, and they say... and this guy in line behind me, he's in line for the second time because they sent him in a cab off to a suburban hotel-not the Hotel Hilton, no way-some suburban hotel-sent him out there, he got there and there was no rom, so they brought him back, and now he's in line again! Talk about getting doubly screwed. Anyway, I get up to the counter, and she says, well, we can send you out to Arlington Heights-and I know the area, I grew up around there-a hotel, and we've got to get you a cab, and we'll bring you back, and we'll pay for the hotel. And I'm saying, when's my flight? 8:15. I've got to be in there by 7:30. You're going to get me out there, and I would have gotten maybe three hours of sleep. It was just ridiculous. So I said, what are my other options? I'm pissed. So she turns around and grabs a pillow and a blanket and says here's your other option. Everybody is kind of fuming, but we're all taking it with a grain of salt. The guy next to me says, oh, you get the Hotel o'Hare, and you're headed over to Gate \(B-20\) ? That's Suite \(B-20\) !
\begin{tabular}{|c|c|}
\hline Bernstein: & And it is. I've done that so many times. Slept there many a night. Slept on my bag. \\
\hline Boehm: & I got in here this morning, landed at 9:00, and drove to work at 9:30. I haven't been home. \\
\hline Utley: & And you are feeling the same. \\
\hline Boehm: & Oh, yeah, really crunchy! \\
\hline Bernstein: & okay, let me whip through this real quick. Skip the comment on top of page 9. On the botton of 9 , why can't these images from videos be put back into video format and then zoomed on? Why can't you take the images you captured in video, enhance them, and then put the 29 -per-second back in, thereby have zoomable video? And panable? You can because a video is simply 29 images. So if we've captured the ability to do this on an image, we can create video by creating a series of 29 images per second. \\
\hline
\end{tabular}

Boehm: Okay.
Bernstein: It can be easily done. Here's the change. On line 21, just add: "A single or all captured frames from the video camera may be further processed as a digital image, and then reassembled back to video." Take all the frames, do...

Utley: What are you going to do with it?
Bernstein: You're going to enlarge them, however you do that once you capture them. If you were just printing them, you could print the images, enlarge them, put them in a viewing window, and run that video through there and let somebody zoom in.

Utley: How do you run the video through a viewing window?
\begin{tabular}{|c|c|}
\hline Bernstein: & Doesn't the video have its own viewing window? \\
\hline Utley: & You run it through a player. \\
\hline Bernstein: & And the player has a viewing window. \\
\hline Utley: & And the player has a viewing window. \\
\hline Bernstein: & Now, if the image is bigger than the viewing window, you'll be able to...if the picture is bigger than the set viewing image, your ll still be able to... \\
\hline Utley: & The picture will still be in the standard frame size of \(320 \times 240\). \\
\hline Bernstein: & They \({ }^{\text {l }}\) be in a frame size, but the picture will be much bigger than 320 x 240 . \\
\hline Utley: & No, the picture can't be bigger than the frame size. \\
\hline Bernstein: & On a video? why? \\
\hline Utley: & Because that's what you cover. \\
\hline Bernstein: & I see what you're saying. But would you be able to take a 20...no...and play thern through...okay, scratch it. \\
\hline Boehm: & All this stuff at the bottom of the page? \\
\hline Bernstein: & No, left side. \\
\hline Boehm: & Just the left side? \\
\hline Bernstein: & And then still make the change on 21: "A single or all captured Erames from the video camera may be further processed." \\
\hline Boehm: & But we're only talking a single frame is processed as a digital inage. \\
\hline Bernstein: & So it won't matter if you do it multiple? \\
\hline Boehm: & Yeah, but you're doing single, multiple times. \\
\hline Bernstein: & Yes, okay, fine. \\
\hline Boehm: & Maybe we should say...no, single is fine. I think... \\
\hline Bernstein: & Is a scanning a digital enlarger to me? To my thinking? \\
\hline Utley: & Yes. \\
\hline Bernstein: & I just don't understand why? \\
\hline Utley: & Yeah, it's a digital...well, yeah, the way it is is you change the scan density. That will give the effect of the enlargement. \\
\hline Boehm: & Is that said here, Erian? \\
\hline Utley: & Yeah. \\
\hline Boehm: & Does that say that in here? That changing the scan density is effectively enlarging it? \\
\hline Utley: & It's enlarging it because you're increasing the number of files. \\
\hline Bernstein: & What you said, sir, because it confuses me! \\
\hline Boehm: & And not only that, we may need it. If we' re practicing that...are we practicing that? \\
\hline Utley: & That was the whole point of going through the magnification factor and creating a scan density because you've got to create a picture large enough to be what you want it to be as a target image. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & Never mind! \\
\hline Bernstein: & Okay, but you see it now, right? \\
\hline Boehm: & Yeah, absolutely. I just didn't. \\
\hline Bernstein: & And to me, who doesn't understand the math of all that, it seems very strange that you can take a digital image and it's achieved everything by blowing up... \\
\hline Utley: & Optically. \\
\hline Bernstein: & ...optically. And then you're still putting it in the frame and framing it right until it works in a zoom environment. If I could understand the math, I'd understand that. I understand the theory. \\
\hline Boehm: & Brian, I know what I'm talking about. \\
\hline Utley: & I never questioned that! <Laughter> \\
\hline Boehm: & I do, all the time! No, when I'm thinking enlarging, I'm thinking of analog development enlarging. \\
\hline Utley: & Optically. \\
\hline Boehm: & Optically enlarging, not digitally enlarging. Are you digitally enlarging the photo when you up the scan density? No. \\
\hline Utley: & Let me give you the ... \\
\hline Boehm: & You're upping the scan density. \\
\hline Utley: & Yeah. If you enlarge a photograph, you can set it at a lower scan density than if you don't, then you have to scan at the high density to get the same result. \\
\hline Boehm: & Exactly, That's why I don't think that a scanner is technically an enlarger. \\
\hline Utley: & It performs a function. Because it has a variable scan density... \\
\hline Boehm: & Yeah. \\
\hline Utley: & Enlarging is better [in] the size of the file that is produced. And the size of the file is proportional to the size of the image. \\
\hline Bernstein: & Oh, I see. I see it! It has to be. It effectively does the same thing. \\
\hline Boehm: & Yeah, I guess the scamer can do enlarging, yeah. \\
\hline Utley: & It can produce two different-sized files based on the same photograph being scanned at two different densities. \\
\hline Bernstein: & Which is two different sizes. You see, the brain doesn't think that. You just think 600 versus 900 just means more dots or something. Period. It doesn't mean that you have more area. \\
\hline Wtley: & That's right. \\
\hline Bernstein: & But is it? \\
\hline Utley: & When you have more area, you scan at a lower density. You scan at 200 DPI versus 600 DPI. \\
\hline Bernstein: & But what if there's no scan, no density...the camera does that? \\
\hline Utley: & Then it's fixed by what the camera does. \\
\hline Bernstein: & Can you set the camera to be the enlarger? \\
\hline Utley: & No, you have very little control over that. When you go through the digital example, that's what happens. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & What? \\
\hline Utley: & You say, well, I got this digital picture, and I want to get a 20-times magnification, and you go through the math and it says "stop!" You can't get 20 times; you can only get 11.1 times. \\
\hline Bernstein: & Why? \\
\hline Utley: & Because you can't get more pixels in the target image than you've got in the source. \\
\hline Bernstein: & So hom do youl achieve 20 times? \\
\hline Utley: & You have to have better digital equipment. \\
\hline Bernstein: & Okay, so you can buy a better digital camera that gives you more [pells?] for the shot? \\
\hline Utley: & Right. \\
\hline Bernstein: & And as that comes due, that's going to give us greater magnification. \\
\hline Boehm: & Brian, if you took a normal enlargement on a photo, you will keep enlarging until you get the grain level of the photo. \\
\hline Utley: & Right. \\
\hline Boelini: & On a scanner, you won't keep enlarging...I mean, you're going to hit the grain level of the photo, but it's going to be limited by the scamner. \\
\hline Utley: & Well, yes, there are some limitations. For instance, ... \\
\hline Boehm: & An optical one is never limited by the optics, right? \\
\hline Utley: & Yes, optics have limitations. \\
\hline Boehm: & Okay, but way far... \\
\hline Utley: & But what happens is the scanner can't put detail into a picture where it isn't in the picture. So you can take a snapshot, for instance, and try to scan it at 1000 DPI , but it won't look any better than if it was scanned at 150 DPI because that's all the information there is on the image that you can resolve. In other words, you have been destroyed by the processes that printed it. But if you take a transparency-the original source transparency, whether it be a positive or a negative-you can scan that at a very high density, and you can get every bit of information that there is to be obtained by increasing the scan density assuming that you have a scanner that is capable of that. \\
\hline Boeltu: & Yeah, with no limitations. Yeah, you're right. \\
\hline Bernstein: & So, should we say all of that? \\
\hline Boelini: & The math is saying it, you just have to sit back and think about it. \\
\hline Bernstein: & Okay, that's good. \\
\hline Boelim: & But your re right, it wonld be a good thing to say. If you could... \\
\hline Utley: & That's why the math is there. \\
\hline Boehm: & But Brian, if you could say that in English, it would be even better. If, after we cut the phone call, if you wanted to jot a note... \\
\hline Utley: & My brain doesn't work very well in English. <Laughter> \\
\hline Bernstein: & Do you want English American or English British? Let's define that. Let's go right here and define that because that is important. \\
\hline
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\begin{tabular}{|c|c|}
\hline Boehm: & Okay, so what wer re saying is that by increasing the scan density, it effectively...well, first of all, what is it actually doing? It's more data or pixels per image which lets you magnify deeper or more... \\
\hline Utley: & Let's back it up. What we want is a large enough...our objective is to get a large enough digital image file to permit the zooming and panning at the desired magnification to take place. There are two ways to obtain that size file. One is by enlarging photographically and scanning at a relatively low density, or by that the source image is of sufficient precision to scan at a high density to create the same size file. \\
\hline Boehn: & But, wait, you don't scan, if it's digital. If you have a digital, you're not... \\
\hline Bernstein: & Wow, you see, you've got to clarify two things: scanning and digitally shooting the photograph with the right specs. \\
\hline Utley: & When you scan, you create a digital file. Alternatively, you have a digital camera which gives you a fixed file size. \\
\hline Bernstein: & Although later in the future, you should be able to do inside the digital camera what you're doing with the scanner, and create, when you get a good enough [pell] count, so to speak, right? \\
\hline Boehm: & But it's technically not scanning, it's the digital files coming directly from the camera is what he was trying to get across. \\
\hline Bernstein: & But it's creating a size. \\
\hline Boehm: & What? \\
\hline Utley: & The end result is to have a file which is sufficiently large...the file of an image when expressed in [pells?] has a large enough area to allow you to zoom into the image and obtain the desired level of magnification. \\
\hline Boehm: & Without pivelating. \\
\hline Utley: & Without pixelating. \\
\hline Boehm: & Okay, that makes perfect sense. Now, when we were talking about a scanner being essentially an enlarger, if you...you see, when \(I\) think of a scanner, I think of analog to digital. It's taking optical and digitizing it. It's doing the capturing. \\
\hline Bernstein: & Right, but... \\
\hline Boehm: & On a digital camera, the [CCD] is doing the capturing. \\
\hline Utley: & Which is an array. \\
\hline Boehm: & Which is a scamer. I see what you' re saying. \\
\hline Utley: & Right. It is a scanner. Now, the. \\
\hline Boehm: & The scan density number you're spitting out for your He scanner or for to go buy a new proper... \\
\hline Utlev: & No, You can control the scan density of a scanner. You can determine what your scan ratio is. \\
\hline Boehm: & Of a scanner? \\
\hline Utley: & Yes. \\
\hline Boehm: & But not of a digital camera yet. \\
\hline Utley: & No. \\
\hline Bernstein: & Sure. You can go from 300 DPI to 600 DPI . \\
\hline utley: & Not on digital cameras. \\
\hline
\end{tabular}

\begin{tabular}{|c|c|}
\hline Utley: & It's optical. \\
\hline Bernstein: & If you're compressing by resizing, you've lowered the pixel count. If you're compressing l:l, you haven't. Do you follow? So Erian's saying you might have a large image and the compressor says, what do you really need here? Let's get rid of some color. Do you need all this extra size and that height and width? And if not, it does all that for you. Lowest makes the smallest picture, thereby reducing pizel count and providing a less-thanoptimum enhanced digital image. \\
\hline Boehm: & Help me figure out what that sentence should say. \\
\hline Bernstein: & I'd strike the whole sentence, to be honest with you. \\
\hline Boehm: & That sounds fine with me. Will we ever need the concept that we preserve the number of pixels, at least at the 1:1? \\
\hline Bernstein: & No. \\
\hline Boehm: & Through compression? \\
\hline Bernstein: & No. Compression is optional. Do you know what I mean? \\
\hline Boehm: & Okay, scratch the damn sentence. \\
\hline Bernstein: & Yeah, okay. Good. I see that we can explain all of this. I would just leave it right after "...be set to other compression factors..." \\
\hline Boehm: & Yes, absolutely. That's the end of the sentence, end of the paragraph. \\
\hline Bernstein: & Exactly. That "64 user interface or control data is associated with enhanced digital image file if necessary and may already be on the user computer." \\
\hline Boehm: & You got it. \\
\hline Bernstein: & It's still associated, even if it is on his computer, so that, in this sense, you might be right here, because you still need to associate the control data user interface with the picture. So that's true. \\
\hline Boehm: & So I'll move your comment down to clarify it later. \\
\hline Bernstein: & Okay. And the only other thing is right after you say on line 6: "...graphic user interface on display upon loading..." Forget "downloading" and just put "loading of the image." \\
\hline Boehm: & Okay. \\
\hline Utley: & Doug, I can give you the wording that I used. At the bottom of page 17, in place of that last sentence which spills over it, I said, "The target image dimensions can be set as parameters for compression thus ensuring an optimum enhanced digital image." \\
\hline Bernstein: & One more time? \\
\hline Utley: & "The target image dimensions can be set as parameters for compression, thus ensuring an optimum enhanced digital image." \\
\hline Boehm: & But what are we optimizing? \\
\hline Utley: & File size here. \\
\hline Boehm: & The file size? \\
\hline Utley: & We're getting the maximum image quality and minimum file size. \\
\hline Bernstein: & For what we need to achieve. That's right. That's fine. Because that's here... \\
\hline Boehm: & "...thus ensuring optimum quality and file size"? \\
\hline
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\hline Bernstein: & You're not ensuring any optimum qualities by compressing. You' re actually decreasing quality at that point. \\
\hline Boehm: & That's right. So, "...thus ensuring an optimum compressed file size"? \\
\hline Bernstein: & Yes. "...compressed file to image size," right? \\
\hline Utley: & No, file size. \\
\hline Bernstein: & And that does say it, by the way. Okay. Now, go down to line 8: "Image file [ ]. The user interface program is associated with the enhanced digital image file such that the combined"...take "download" out... \\
\hline Boehm: & Okay. \\
\hline Bernstein: & "...the combined file or files..." \\
\hline Boehm: & Well, it's not combined if it's files. \\
\hline Bernstein: & Well, no, it could be three files, or it could be all packaged into the image file someday. We don't know. \\
\hline Boehm: & Well, I've got to define that better when we hit the claims because I'm thinking about not... wie're claiming that wie' re...that the end product is a file, an enhanced file, and then we get lost in the mucky-muck when we say what we are really providing. we're providing \(a\). JPG and an applet. \\
\hline Bernstein: & Well, we don't have to provide...they just need to combine somehow. We don't need to provide all the elements. \\
\hline Boehm: & Yeah. The ultimate thing that mia provide may not be a file-an enhanced digital image file-does it have to be a file? \\
\hline Bernstein: & An enhanced digital signal? \\
\hline Boehm: & Data? \\
\hline Bernstein: & Data? \\
\hline Boehm: & Or signal. \\
\hline Bernstein: & Yeah, okay, so make that global change. That's fine. It doesn't have to be a file necessarily. \\
\hline Utley: & No. \\
\hline Boehm: & Well, it would have to be digital data, though. \\
\hline Bernstein: & Right. \\
\hline Boehm: & It doesn't have to be an analog signal. \\
\hline Bernstein: & Right. That's true. \\
\hline Boehm: & So I don't need the word "signal." \\
\hline Bernstein: & But you might not have to save it as a file at some point in life. \\
\hline Boehm: & Well, data incorporates if it's a signal or not because the data would be on the signal, right? \\
\hline Bernstein: & Perfect. \\
\hline Boehm: & So I don't need signal because I don't want to go analog on people. \\
\hline Bernstein: & No, I understand. "The combined files, like computer [ ], will automatically launch the graphic user interface.." It doesn't have to automatically, but it can. \\
\hline Boehm: & Okay, "can." \\
\hline
\end{tabular}

\begin{tabular}{|c|c|}
\hline Boehm: & But I usually say "can be" or "is" or "may be," and he doesn't like to say "preferably," but I do. It's just a semantics. \\
\hline Bernstein: & No problem. Go down to line 19, "...and it should be the result of a download/upload..." \\
\hline Boehm: & What's being... \\
\hline Bernstein: & "...the results of loading the enhanced digital image to a user computer..." would be the right way to say it. \\
\hline Boehm: & Yeah. \\
\hline Bernstein: & So take out the "down" and trim it to "loading." \\
\hline Boehm: & Yeah. The same thing to the network server. If you load it to the computer 22, it's providing it to a network server. I don't like this loading... \\
\hline Bernstein: & Yeah, I don't. It's very tight on us. \\
\hline Boehm: & Yeah, and it infers uploading and downloading. \\
\hline Bernstein: & I agree. \\
\hline Boehm: & But, again, he's right because in the preferred embodiment. \\
\hline Bernstein: & That's fine as long as later we clarify and make sure we're protected on all of these issues. \\
\hline Boehm: & <Reading out loud to himself.> "...but domioad of the enhanced digital image file to a user computer..." \\
\hline Bernstein: & And that's true in the preferred embodiment. \\
\hline Boehm: & But that's for load over the Internet is what he means. \\
\hline Bernstein: & What? \\
\hline Boehm: & He means download off the Internet. \\
\hline Bernstein: & It could be an intranet. It could be... \\
\hline Boehm: & The network. Off the network is what he means. \\
\hline Bernstein: & Right. \\
\hline Boehm: & But isn't it a domload off the network? Because when you put something on a network, you upload through the network and download from the network. So he's right, but... \\
\hline Bernstein: & Yeah, you see the issue. \\
\hline Boehm: & Okay, it doesn't have to be. I agree. \\
\hline Bernstein: & Okay, and I've got that next one covered. Go now to page 20. Okay, we've got a big problem here. "The program loads additional digital image data from the enhanced digital file..." Scratch "image stored in memory, for example hard drives," and just say, "program loads additional digital image data from the enhanced digital file to the display viewing window by providing additional data from the source to the viewing area seamlessly..." or something. Or just make it a period after "...display viewing window." You follow me? \\
\hline Boehm: & Uh, huh. \\
\hline Bernstein: & "...to the user display viewing window." \\
\hline Boehm: & "...viewing window." Period. \\
\hline Bernstein: & Okay, any of that other stuff. Okay. \\
\hline
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\hline Boehm: & <Reading out loud to himself.> "...the user computer provides the zoom..." Oh, "to provide the zoom to view..."? \\
\hline Bernstein: & Excuse me? \\
\hline Boehm: & Holy smokes. Wow, the paragraph starts out in figure 4, which is figure 4, take a look at, we're about ready to zoom or we have zoomed. He has, "The user has actuated the zoom buttons to zoom in to the digital image data..." \\
\hline Bernstein: & What line are you on? \\
\hline Boehm: & Page 19 at the bottom. \\
\hline Bernstein: & Line what? \\
\hline Ut1ey: & The bottom part. \\
\hline Boehm: & Figure 4. "The user has actuated zoom buttons 88 to zoon into the digital image." Period. I don't want to say "data," right? \\
\hline Bernstein: & Right. \\
\hline Boehm: & "In response, the user interface program, which is the applet, loads additional digital image data..." Eesh, I don't like the "loads" because that says it might be loading it off the network. \\
\hline Utley: & Yeah, I don't either. \\
\hline Bernstein: & "The digital program..." \\
\hline Boehm: & "Provides"? \\
\hline Bernstein: & Yeah, because it's providing it from the enhanced digital file. \\
\hline Boehm: & "From the enhanced digital inage file..." \\
\hline Bernstein: & Right. "...to a user's display viewing window." \\
\hline Boehm: & And then, "2. Provide a magnified view of the digital image" or "zoomed visual..." I don't like the word "zoomed." \\
\hline Bernstein: & Yeah. \\
\hline Boehm: & What I would like to say is, "Provided additional image data from the enhanced digital image file, without..." I want to make the clarification the re that... \\
\hline Bernstein: & "Without additional ..." \\
\hline Boehm: & "without another download from the network"? \\
\hline Bernstein: & No. \\
\hline Boehm: & We may not need it here if it's there before, but that's my...I may have to... \\
\hline Bernstein: & Put a question mark there because I see it, too. \\
\hline Utley: & Why can't you say...why can't you relate it to your resolution: "Provides additional image resolution data" because you're improving, increasing the resolution of the image. \\
\hline Bernstein: & As you zoom. \\
\hline Utley: & As you are zooming. \\
\hline Bernstein: & That's actually a great way to start the whole damn thing! \\
\hline Boehm: & Now he tells me! \\
\hline
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\hline Bernstein: & No, but what we've been looking to describe, he just.. \\
\hline Boehm: & I agree. Steve and I have this battle too because you guvs weren't.. \\
\hline Bernstein: & Struggling. \\
\hline Boehm: & Consistent in the terminology either. No, I agree. If you're talking length times...numbers of pixels, that is resolution. \\
\hline Utley: & So you're providing additional resolution data. \\
\hline Boehm: & That doesn't sound right to me, Brian. \\
\hline Utley: & Well, what you're doing is is you're taking a portion of the image and you're expanding it, really, with additional pixels. So that's additional resolution data. \\
\hline Boehm: & Oh, I see. \\
\hline Bernstein: & Right. \\
\hline Boehm: & What you're saying is it's an adjective...it's digital data that has an image encoded with enhanced resolution. What are you providing? You' re not providing resolution, you' re providing data. \\
\hline Bernstein: & Data evaluation, necessary for the resolution. \\
\hline Utley: & But it's pizel-based data. \\
\hline Boehm: & And since it's pixel-based data, it will... \\
\hline Bernstein: & Or additional data for higher resolutions. \\
\hline Boehm: & Yeah, you're getting warm. \\
\hline Utley: & See, what's happening is that, to put it in discrete terms, you're going from a case where you have many source pisels per viewing window pisel, and you're reducing that ratio as you zoom in. \\
\hline Boehm: & Right, until you hit the pixelation limit. \\
\hline Utley: & Until you hit l:1. \\
\hline Boehm: & Yeah. Okay, let me see if I can patch that up. \\
\hline Utley: & That's why you are providing additional... \\
\hline Boehm: & We've got a lot of clean talking about to do, so let's move on. Line ly now, page 20. \\
\hline Utley: & "...greater than two times." \\
\hline Boehm: & "...It is important that the digital camera is configured to accuire a digital image. In this step, the camera is..." I would say, "...preferably set to the highest resolution." \\
\hline Utley: & I think in principal, that's what we mould intuitively do; but essentially, should be generalize on that? \\
\hline Bernstein: & Yeah, there'll be variations on that. \\
\hline Utley: & Because as techmology evolves... \\
\hline Boehm: & Right. You set the camera to acquire at least enough pisels to magnify... \\
\hline Bernstein: & For the magnification process. \\
\hline Boehm: & But if it's high resolution. \\
\hline Bernstein: & Right, then you get more, even if there's higher resolution available. \\
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\] \\
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\hline Utley: & You see, we don't have cameras that give us very much flexibility here today, but tomorrow we'll have more flexibility. \\
\hline Boehm: & "...In this step..." it's possible the camera is set to acquire? "...at least enough pizels..." \\
\hline Utley: & Well, you notice that the camera [ ]. The camera has a storage device. It always takes the same number of pixels; it's what it stores that counts. \\
\hline Boehm: & Does a user have any clue of what that is? in other words, how would I know that my camera would do a magnification of 20 on this file? \\
\hline Bernstein: & You don't. \\
\hline Utley: & What it tells you is you create a high-resolution picture or a low-resolution picture, and if you set it to high, you'll only get a few pictures for whatever your storage medium is. \\
\hline Bernstein: & But I'll be you later, the camera will come out with a dial-in-your-zoom feature. Boom! It'll size it and appropriately.... \\
\hline Utley: & In fact, they're already saying if you set it at this setting, it's equal to an \(8 \times 10\); and if you set it to this setting, it's a 587 . \\
\hline Bernstein: & Which is doing what we're doing. \\
\hline Utley: & That's already happening. \\
\hline Bernstein: & Right, well catch them, because I doubt it was happening in the past. \\
\hline Utley: & It wasn't. \\
\hline Bernstein: & Right. So it's new camera technology that might be infringing already. which, you know' I see it going in the scanner...all these things. \\
\hline Utley: & You know, sooner or later, Brian, if what you said yesterday was correct, that this is all new, the ability to do it, we'll probably get every single facet of imaging that you know have a zoom factor. Why wouldn't you apply it to every facet? \\
\hline Utley: & Um, hm. \\
\hline Bernstein: & Okay. \\
\hline Boehrn: & We could get tripped up, though, since I'm not an expert in the camera art. It might have been done on purpose; and if our claim reads on it, ... \\
\hline Bernstein: & Okay, but what if it was done for a different purpose, and we have a different purpose? \\
\hline Boehm: & If our claim reads on what was done, and it was. \\
\hline Bernstein: & So it wasn't done because I mean. \\
\hline Boehm: & Our camera will already have the capability of providing this file, and our clain says "providing this file," we're in trouble. \\
\hline Bernstein: & So optionally do it. Make it dependent. \\
\hline Boehm: & My secretary is saying we've got to get the checks today. \\
\hline Bernstein: & Okay, but definitely cover that because that's what's going to happen. \\
\hline Boehm: & In the future to set the camera. \\
\hline Bernstein: & No, it's happening. According to Brian, it's happening right now as me speak, so definitely get it in here. \\
\hline Boehm: & "...set the camera, if possible, to the desired magnification or scanned density." \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Correct. "...to create that maximum zoom factor." Okay, claim 1, we dealt with. Now "providing" on line 2 , we're going to make this a dependent claim now? \\
\hline Boehim: & I'm sorry, I lost you again. \\
\hline Bernstein: & I'm on page 24, claim 1, third paragraph of it, "...providing..." \\
\hline Boehim: & Did he skip some more math, Brian? \\
\hline Utley: & Beg your pardon? \\
\hline Boehm: & Did he skip some more math? \\
\hline Utley: & We just skipped over it. We've already done it. \\
\hline Bernstein: & I've never had to grade Jim and his math. Nor Erian's. I've got to tell you, I'm very impressed with Brian's whole grasp of the situation of the math here. \\
\hline Boehim: & Oh, the analysis is great. \\
\hline Bernstein: & It's incredible. It helps me understand it. \\
\hline Boehm: & Are we on 22, Eliot. \\
\hline Bernstein: & We're on 24. \\
\hline Boehm: & On 22, do we not worry about...oh, these aren't your scribbles, okay. I'll play with that. 23? \\
\hline Bernstein: & 24. \\
\hline Boehtir: & 24. Got it. \\
\hline Bernstein: & Get rid of the "...size at least twice..." \\
\hline Boelini: & Yep, we'll do the "...greater than..." \\
\hline Bernstein: & "Providing" on line 8 becomes a dependent claim. \\
\hline Boehtin: & Right. \\
\hline Bernstein: & Why do we have 10 times 100 times? \\
\hline Boehm: & Because if, again, the Prior Art happens to do it at two times but they do it... \\
\hline Bernstein: & Well then you should do \(10,11,12 .\). \\
\hline Boehm: & Our claim 1 is gone. \\
\hline Bernstein: & But then you should do \(10,11,12,13,14\), up to an infinite number. \\
\hline Boehm: & No, and here's the theory, and this is how dependent claims work, and here's the analysis that we go through. If claim 1 now says "greater than one," if anybody is doing it at greater than one, then claim 1 will fall. It's dead. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & If anybody's done it before, your priority date's before you. Now we move to claim 2. Has anybody done it at least ten times or at least twice will be my next claim. \\
\hline Bernstein: & Right. Ah, that's where you get your "at least twice" in here. \\
\hline Boelim: & Exactly. If the guy didn't do it at least twice, was there a good reason? Did he really not have the same invention? Well, hell, yes, he didn't have the same invention. \\
\hline Bernstein: & That's right. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & But it just so happened that he did more than one. My claim 1 is dead, and I can now jump to claim 2, which there are at least. \\
\hline Bernstein: & Got Ya. \\
\hline Boehm: & That's how dependent claims work. That's why I have dependent claims there because you can't modify claims once they' re issued. \\
\hline Bernstein: & Right. \\
\hline Boehm: & The reason why steve had all those goofy 100 s , and 2005 and 3005 in the spec... \\
\hline Bernstein: & Right? \\
\hline Boehm: & Is because during prosecution, we have them in the claims. And if the Prior Art comes out of the woodmork during prosecution, you might have to go say, oh, well, we didn't mean that, we meant above 100 or above 200 . \\
\hline Bernstein: & Gotcha. \\
\hline Boehm: & It's pretty important. \\
\hline Bernstein: & Claim 6, "A single data file to be two files, three files, four files..." \\
\hline Boehm: & Right. \\
\hline Bernstein: & Why? \\
\hline Boehm: & Well, I like that claim. \\
\hline Bernstein: & Well, it could be in the digital image file, I like that, but it could be two, three, or four. \\
\hline Boehm: & But without the claim, \\
\hline Utley: & It says you have now to literally [ ] everything into a single file.. \\
\hline Bernstein: & One file. \\
\hline Utley: & ...and you hold that patent. \\
\hline Bernstein: & Oh. \\
\hline Boehm: & And you see, claim 6... \\
\hline Bernstein: & <Talking in background to Utley.> He's got it in one file. \\
\hline Utley: & Right. \\
\hline Bernstein: & [ ] an image file with an applet built into each. \\
\hline Utley: & I'm on that path. \\
\hline Bernstein: & Beautiful. \\
\hline Utley: & If I had some time to mork on it. \\
\hline Bernstein: & I got it. <Laughter.> Is the method of claim 6-we' re in the user interface-a Java applet? Why limit it to Java? There are other forms that do this. \\
\hline Utley: & It's already in there. \\
\hline Bernstein: & Where? \\
\hline Utley: & It says in the first claim. \\
\hline Bernstein: & Okay, "...providing a..." which is now going to be a dependent claim. \\
\hline Utley: & It's "...providing the user interface continues to display..." \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Okay, mhich is dependent on it. \\
\hline Utley: & It then further says, okay, and it may be a Java applet. \\
\hline Bernstein: & Right. Okay. \\
\hline Boehm: & Which sort of narrows it up. \\
\hline Bernstein: & Right. Okay. There are other things we could nane there-plug-insurance, acrobats. \\
\hline Boehtit & It's only limited if you enforce that claim and if the guy infringes that claim. If it's not a Java applet, this claim won't do you any good. If it is a Java applet that the infringer uses, then you get to not only throw two claims or three claims at him... \\
\hline Bernstein: & What if it's Active X ? \\
\hline utley: & Yeah, Eliot brings up a good point. There's another technology, which is called Active X... \\
\hline Boelinit & wonderful. \\
\hline Utley: & ...which already works with windows, but... \\
\hline Boehtim: & But the idea about...can you genericize that to say it's a data code segment? What's an applet? It's a small applications program, right? \\
\hline Bernstein: & Right. \\
\hline Boehm: & Well, let's say that. \\
\hline Bernstein: & Okay. \\
\hline Boelin: & Or we could just say an applet. \\
\hline Utley: & You could say Active \(\mathrm{K} . .\). \\
\hline Bernstein: & Java applet, Active \(X\) applet, or other... \\
\hline Utley: & You want a separate claim. Each one should be a separate claim. \\
\hline Bernstein: & Right. \\
\hline Boehnim: & What I have to say is "...when the user interface is one of the following, Java or Active X." \\
\hline Utley: & or "other." \\
\hline Boehm: & No, I can't do "other." \\
\hline Bernstein: & Can you do "other" in a new claim? \\
\hline Boelini: & Nope. The word "other" is invalid in a claim. \\
\hline Utley: & <Inaudible comment.> \\
\hline Bernstein: & In frame l because the [ ] user interface. \\
\hline Boehim: & Yeah, other claims have... \\
\hline Bernstein: & Okay, that's perfectly fine. In that "...Eurther comprising, compressing...." that's optional, right? \\
\hline Utley: & Yeah. \\
\hline Boeliti: & Everything here that's dependent is optional. \\
\hline Bernstein: & okay. "...to a network server..." how about "to a set-top box"? \\
\hline Boehm: & Is that worth a separate dependent... \\
\hline
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\begin{tabular}{|c|c|}
\hline Bernstein: & Absolutely! It's one of the raging things coming down the pipes. \\
\hline Boehm: & Okay, "...set-top box." Can you give me an example of a today techmology set-top bos that this will work in, and then tell me on figure \(1 .\). \\
\hline Utley: & We just happen to know there's development activities going on in a number of different of companies, and they're heading in this direction. \\
\hline Boehm: & Yeah, but your claims have to be buildable by somebody with ordinary skill in the art. Set-top box... \\
\hline Bernstein: & That's just a display system. \\
\hline Utley: & Web TV in an example of a set-top box. \\
\hline Boehm: & Oh, okay, that's right. \\
\hline Utley: & A set-top box that takes streaming information and then presents it on a TV screen. \\
\hline Boehm: & So what's the cable box sitting on my mom's TV? It's just a cable box that goes to the VCR on your TV. That's not a set-top box? \\
\hline Utley: & That is a set-top box. A very simple one. \\
\hline Boehm: & Yeah, it doesn't have a display on it. It uses your TV. \\
\hline Utley: & It uses your \(T V\) but the newer ones all have computers in them and memory and can convert different formats. \\
\hline Boehm: & Right, but the set-top bor itself doesn't have a video display. \\
\hline Utley: & No, it uses the TV as the video. \\
\hline Boehm: & Yeah, which is web TV. \\
\hline Bernstein: & So to put in a TV claim. \\
\hline Boehm: & I don't have time to go look at claims right now. We' re going to be crunched to get this on file tonight. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & Okay. So my question, again, can you give me an example...you did, web TV. It's a set manufactured by who? Or is it in there already. \\
\hline Utley: & Microsoft has a web TV. And Dell had a Web TV; they just pulled it off the market. But web TV is a primitive form of what's coming. \\
\hline Boehm: & I know, but you can't patent what's coming unless you can give an example of what you can build today,. \\
\hline Utley: & Web TV. \\
\hline Boehm: & Good. And I wanted to find out where that was in. Do you remember? I'll find it later. \\
\hline Bernstein: & Okay. Page 26, lines... \\
\hline Utley: & I'm not through with this one. \\
\hline Bernstein: & Okay. \\
\hline Utley: & Back to the mid area again. "The advantage of claim 1 is it applies to enhanced digital files which are not compressed." file have to make a provision as a dependent claim for transmitting over a network because the one that provides for transmitting over a network is tied to a compressed file. \\
\hline Boehm: & True, but what steve is doing here in claim 8 , there's the compression hanging on claim 1. Now if you do a compression, you can throw claim 8 and claim 1 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline & and them. And if you upload it to a network server, now you can throw claim 9 and 8... \\
\hline Utley: & As long as you don't. \\
\hline Boehm: & iE it's on a network server, but it would have to be compressed then. Oh, this is the one you talked about before. \\
\hline Utley: & Right. \\
\hline Boehm: & I'm sorry. So you need number 9 dependent on 1. \\
\hline Utley: & Right. \\
\hline Boehm: & Thank you. That's what we talked about yesterday. \\
\hline Bernstein: & That was it? <to utley> Okay. 26, line 6, \\
\hline Boehm: & Of what claim? \\
\hline Bernstein: & 13. \\
\hline Boehm: & Line 6 of claim 13...oh, the "...least twice. \\
\hline Bernstein: & ...greater than." \\
\hline Boehm: & Okay. I don't remember...do we have a picture claim, meaning an independent claim that's fairly narrow that reads on your preferred embodiment in your product? we should because that's maybe what we have to go with because now that we've...here's my thinking... and this, Steve and I discussed this ad nauseam here. We' re going to go with claim l in the EPO. The reason is we're filing a PCT application now and a U.S. Claims are cheap and freenot free, but they're cheap-and they take multiple claims in the FCT and in the U.S. But when we split to Europe, they don't like multiple claims. They will give you one apparatus and one method only if they are related. So we basically pick our best independent claim, but not all the time your broadest, believe it or not because they may find something that is...since you can't...how do I explain this? If you have your best claim... our claim 1 now is kind of reaching over our product that we really know we can...that we' re comfortable with what we can get, and your product with what you can get right now is too narrow because somebody can modify it. What I usually do in Europe is go somewhere in the middle to get something that's broad enough to cover your product but still in a real-world application and not trying to claim the world because you don't get that much leeway to amend in Europe. \\
\hline Bernstein: & Is this to the "...least twice versus greater than one"? \\
\hline Boehm: & If there's little or no chance that an infringer is not going to do at least two, that will buy you... \\
\hline Bernstein: & There are chances that it might be. \\
\hline Boehm: & But what's the chance that Prior Art is going to come out of the woodwork.. \\
\hline Bernstein: & None because... \\
\hline Boehm: & No, there's very good chance that frior Art's going to come out of the woodwork and blow all of it... \\
\hline Bernstein: & Between 1 and 2? \\
\hline Boehm: & It's possible. \\
\hline Bernstein: & Well, that's what I'm saying, if that's the case and somebody else beat us to the punch, we should have a claim that takes us all the way fron "greater than one." You don't want to box me into that statement; just make it as a claim. \\
\hline Boehm: & Okay, so you're saying that we would file the broadest idea in Europe? Well, we' ll discuss that later when Europe rolls around. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Okay. \\
\hline Boehm: & That was why I did...the practice is to do different claims of varying scope and different formats. \\
\hline Bernstein: & Okay, on 15-claim 15-"moving"...is that panning? \\
\hline Boehm: & Yes. \\
\hline Bernstein: & Okay. [Plurality] Jim didn't like that because it means at least two. \\
\hline Boehm: & Jim didn't like it? \\
\hline Bernstein: & Yeah, that's his note. Yeah, absolutely, and that's what it means. That's the whole point of claim 16, which is dependent on 14 , which is dependent on 13. Are you with me? \\
\hline Bernstein: & Yes. \\
\hline Boehm: & You're down in the mud now, and plurality may be thing that saves your butt. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & Because your product does these, right? \\
\hline Utley: & Yes. \\
\hline Boehm: & Your preferred embodiment product does these. \\
\hline Bernstein: & Okay. Then my only last question was, can you throw something in to cover the game world? That specifically relates to gaming and flight simulation? \\
\hline Boehm: & How would we do this? In figure 1. \\
\hline Bernstein: & You'd be able to now use games differently, and you differently can use flight simulators differently. \\
\hline Boehm: & Okay, look at figure 1, Eliot. \\
\hline Bernstein: & Yep. \\
\hline Boehm: & What changes? Just the definition of what 28 is, right? \\
\hline Bernstein: & Figure 2l, the display monitor, the display systern is what you're saying? \\
\hline Boehm: & No, no, no. Figure 1. What changes when you go to games and flight simulators? \\
\hline Bernstein: & Because in those, the displays become now dependent of parameters of the game or the flight simulator that drive the display window. \\
\hline Boehm: & That's fine, but there's nothing structurally here that changes other than the user computer. It has now the flight program or the game program on it, right? \\
\hline Utlev: & What happens is the user interface actually becones an application-driven interface. \\
\hline Bernstein: & Right. \\
\hline Utley: & That's probably the key difference. And the question was, can we convert that user-driven interface to an application-driven interface? \\
\hline Boehm: & Meaning like a flight simulator program? \\
\hline Bernstein: & Absolutely. \\
\hline Utley: & So that what is displayed is under control of the program and not under control of the user. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Right. Because, you see, you don't want the pilot maybe to be able to do a nosedive. You might want him to be flying along and have a program that says...shock him, make him dive... \\
\hline Utley: & Well, with all the graphics, that the program knows where he is, and therefore moves the display according to where he is and what he's doing. \\
\hline Bernstein: & Right. \\
\hline Utlev: & So it's... \\
\hline Boehm: & But under the control of the computer application of its own as opposed to. \\
\hline Utlev: & Right. \\
\hline Boehm: & I see. So basically, it's not a network anymore. It basically doesn't fall into figure 1. \\
\hline Utley: & Well, it... \\
\hline Bernstein: & Figure 1 better not tie me to a network. \\
\hline Boehm: & Figure 1 ties you to a network as your preferred embodiment. This'll be probably what's on your... \\
\hline Utley: & It doesn't matter whether it's on a network or not. \\
\hline Bernstein: & Okay, as long as that's true, that's fine. \\
\hline Utley: & What we want is to make sure that what we call the user interface in here can also be a computer-based application interface. \\
\hline Boehm: & Okay, in order to do that, we've got to stick a claim on it or we have to put support in the spec now to do that. So what would we do? All I see that we'd do is... \\
\hline Bernstein: & You could broaden user interface. \\
\hline Boehm: & Yeah, we'll broaden the definition of user interface in the claim. \\
\hline Bernstein: & And then \(I^{\prime} d\) throw in a quick claim that says those two things as examples of it. \\
\hline Boehm: & Good idea. \\
\hline Bernstein: & And we'll let you get to re-writing. \\
\hline Boehm: & Hang on a second...don't go away. "...broaden the user interface to have a control of either the server competitor or the user computer." Right? \\
\hline Utlev: & Right. \\
\hline Utley: An & \(n\) we do that, that really then lays right on top of that disclosure that made that we had talked about because what one of the items that \(I\) wanted to accomplish was to be able to control the display from the computer itself, or from an application, rather than from the user. Remember that? \\
\hline Boehm: & Yeah, sure. And in this example, the flight simulator application program would control the zoom and pan of the display? \\
\hline Bernstein: & Yes. \\
\hline Boehm: & That means you still provided a digital...claim 1 would still say that somebody at sometime provided digital image files for viewing on a computer... \\
\hline \multicolumn{2}{|l|}{Utley: Yes, right.} \\
\hline Boehm: & So claim 1 would catch that. \\
\hline \begin{tabular}{l}
Utley: \\
Boehm:
\end{tabular} & Claim 1, as long as the user interface can be expanded to include a... Either a downloaded applet. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Utlev: & A program interface. \\
\hline Boehtin: & Any program. \\
\hline Bernstein: & Right \\
\hline Utley: & Right. And we won't have to say where the program resides. \\
\hline Boehtu: & Yeah. \\
\hline Bernstein: & Yeah, because definitely in this world, gaming wouldn't know where to put it because now multiple users can access one central game. \\
\hline Boelini: & Great thought, guys. \\
\hline Armstrong: & Always save some of it for your last-minute work. \\
\hline Boelini: & Yeah, yeah. well, I've got an hour. That's all. Brian, let's talk about the definition of force target and all that other garbage because I got confused in there, Jim got confused. It's in the specs...Steve did that. Do you know what page it's on because it's all over my desk. \\
\hline Utley: & Yeah, it starts at... \\
\hline Bernstein: & Okay, Doug, I'm done, right? \\
\hline Utley: & Yep. \\
\hline Bernstein: & Can you send me a copy of that? when do you think you'll be sending out a final copy? \\
\hline Boehm: & What is your...it's going to take me hours now. \\
\hline Bernstein: & I know. \\
\hline Boehm: & worse case, \(I\) would say \(I\) could send you a draft at 8:00...something like that. \\
\hline Bernstein: & Okay, perfect. \\
\hline Boehm: & Now, are you going to be there today? Mail it or what? I could email it to you if you want. That would be easiest. \\
\hline Armstrong: & Then we' re going to need some prints, right? Some signed copies? \\
\hline Boehtio: & No, all you have to do... \\
\hline Bernstein: & Is verbally. \\
\hline Boehm: & All you have to do is [ ] that this is your invention; and when you go to read it the second time and sign the declaration, that you won't make any changes to the spec, and that was your invention the date that we filed it. \\
\hline Utley: & You can send one to me at my home. \\
\hline Bernstein: & And send one to Jim. \\
\hline Boehtin: & But do you guys want another pass tonight. \\
\hline Bernstein: & Another what? \\
\hline Boehm: & Another pass at it. Do you want to re-read it? \\
\hline Bernstein: & I do. I absolutely will re-read the changes. I'll have Jim re-read them, and by 9:30 hopefully we'll be done reading it and be done. \\
\hline Boehtu: & Okay, I'll see what I can do to push this through then. \\
\hline Bernstein: & Cool. Hopefully it'll just be a re-read and we'll say it's right. \\
\hline
\end{tabular}
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Boehm: Yeah.
Bernstein: Because if something jumps at us...
Boehm: How do I get it to you? Do you want me to fax it? I want to email it. That's
quicker for me.
Bernstein: Great, email it.
Boehm: To whom? where? When?
Bernstein: Eliotoiviewitcom, brianguiewitcom, and jmoviewitcom
Utley: No, send it to me at...<end of tape>

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\author{
Transcription of Patent Meeting \\ Conducted August 4, 2000 \\ Participants: \\ Eliot Bemstein, Jim Armstrong Brian Utley, Steven Becker, Simon Bernstein Docket 57103-120
}

Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified.
\begin{tabular}{|c|c|}
\hline Armstrong: & Bre me aures of all tiee mportant clates I know you probably are, but are we f BHAB made aware of all of our deadlines and contingencies relative to those deadlines \(\downarrow\) that we that we' ne not left with...I was a little surprised that a final pack that's been in the works for a year, and I know you weren't involved for a year, but in the works for a year required that Eliot and I spent the entire night and morning reviewing it in order to get it done. \\
\hline Bernstein: & What bothered me about that as well is that we'd go through the math, and then suddenly you have a document Brian sent you several days earlier regarding the math that has a bunch of changes in it, and none of that's in there. I mean, I don't understand that. \\
\hline Bersammatroma & :. . was changed from that document anyway. \\
\hline Boehm: & Yeah, it was changed from that document anyway. I was working with Brian, who I thought was the master of that math, but... \\
\hline Bernstein: & But he had sent you an updated \(\begin{gathered}\text { math maree days earlier that didn't appear in }\end{gathered}\) the final document that we were trying to... \\
\hline Boehm: & Okay, I don't know. Steve was handing that. I don't know whether...you know, Steve says he did put it in there, but then I don't... \\
\hline Bernstein: & But then we go through the document that we're filing, and it's not there. \\
\hline Boehrn: & Okay, but we were on the third draft when I took it over. You guys had opportunities like crazy to... \\
\hline Bernstein: & But that's the thing. Brian had sent it to you earlier, and it still wasn't appearing in final drafts. \\
\hline Boehm: & If that's true, then something crossed in the email because steve said he put it in there, and maybe there's a piece of the math missing between the crossing the emails. You're right in terms of... \\
\hline Bernstein: & Is Steve there? \\
\hline Boehm: & I don't know. He probably is. \\
\hline Bernstein: & And then my other question is quite a simple question my dad asked about electrical engineers being mathematicians and said, "Didn't they sit and pencil out the math of all this themselves?" \\
\hline Boehm: & Wh, huh. Here's what happened on that. Steve was filling the application. We worked with Brian and you, Eliot, on the application. In some of the letters and emails he said that he doesn't understand the math. \\
\hline Bernstein: & I'm not getting any of those. \\
\hline Boehm: & Huh? \\
\hline Bernstein: & I'm not getting any of those emails. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & Well, then, talk to Brian because we were corresponding with Brian on that, and I don't know why you weren't getting it if that was the case, and I don't know which letter went to who, blah, blah, blah, but I do know that we mentioned that we didn't understand the math, and we were up to the third draft, if I recall; and you're right, Jim, that it shouldn't have taken...it shouldn't have been last minute and you should have had time to do it. I totally agree, but I can't take total blame for that... \\
\hline Bernstein: & But wait a minute. Steve has fundemental errors on understanding the math, and yet we're going to file it with him having math problems? \\
\hline Boehm: & It's your duty to either help us to understand. \\
\hline Bernstein: & But then I've got a point. We did help you. We sat on the phone for an entire day, walked through this... \\
\hline Boehm: & The day of the filing you mean? \\
\hline Bernstein: & And if this math is still wrong, I mean, there's something really fumdamentally wrong here. \\
\hline Armstrong: & Let me check it again. \\
\hline Bernstein: & Yeah, let us call you back in a while. Is Steve in today, too? \\
\hline Armst rong: & I didn't get involved until wiednesday. \\
\hline Boehm: & Right. \\
\hline Armst rong: & I' ll tell you one thing, Doug, that you should do as just a matter of course going forward. Eliot being the owner of the company and the person that Brian reports to is any future email correspondence should always be copied to him. That's kind of just a standard practice we all do in the company. \\
\hline Boehm: & To copy? \\
\hline Armstrong: & Yeah. \\
\hline Boehm: & Okay. I didn't know that. \\
\hline Bernstein: & You ask me to review and sign these patents, and you' re not sending me information. what do you mean. \\
\hline Armstrong: & I think had we known that the re was a question of validating Brian's math, Eliot would have brought me in a lot earlier. \\
\hline Bernstein: & I would have brought a mathematician in. I mean, this is ridiculous. \\
\hline Armstrong: & Yeah, I'm just a friend that's good at math, not a mathematician. \\
\hline Boehm: & Right, well. \\
\hline Armstrong: & Go to your meeting. We're going to check theis patert ftato out, and we'll talk to you letter. \\
\hline Boehm: & Well, you've got to talk to Brian, too. \\
\hline Armstrong: & Yeah. \\
\hline Bernstein: & I think because I now seriously have to report a lot of things to a board of people that we're going to have to have a meeting at some point either today or Monday with a few of the key people in the company who are investors, etc., so that they understand what they are investing or not investing in. \\
\hline Eeckexamstrong & : Lon't jump to conclusions. \\
\hline Bernstein: & No, I'm not, but if this is correct, we've got some fundamental things that need to be discussed. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & If what's correct? \\
\hline Armstrong: & If he's correct about the math being wrong, but let's check it.. \\
\hline Boehm: & No, I'll bet we could get a good patent if the math is totally wirong. I think we're barking up a tree here that's not a big wall. \\
\hline Bernstein: & But wait a minute. The question is if it still remains wrong and we gave you the right changes, it should have been filed right. All the sudden I'm left with a patent that... \\
\hline Boehm: & Okay, talk to Brian. \\
\hline Bernstein: & I will. \\
\hline Boehm: & Brian gave me the right changes. I filed what Brian gave me. \\
\hline Bernstein: & Okay. \\
\hline Armstrong: & Okay. \\
\hline Boehm: & And I don't mean to...you know...yell out of that, but that's what happened. \\
\hline Bernstein: & That's no problem. I totally hear that. \\
\hline Armet rong: & Thanks, Doug. \\
\hline Boehm: & Okay. Talk to you Monday. \\
\hline <Hang up phon & . \(>\) \\
\hline Bernstein: & 8/4/2000. 8:30 Doug Boehm conference call. Jim Armstrong, Eliot Bemstein. Steve, Jim, everybody, I'm taping the conversation, \(8 / 4 /\) patent discussion regarding Docket 57103-120 with Brian Utley, Steve Becker, Jim Armstrong, Si Bernstein, and Eliot. Okay, guys. \\
\hline Becker: & [ ], too, if that's all right with everybody. \\
\hline Bernstein: & Yeah, did you get the fax from Jim? \\
\hline Becker: & I haven't received it yet. \\
\hline Armstrong: & It was sent actually to Doug on the "cc" line, but to a machine at 297-4900. \\
\hline Becker: & That's right. It'll go to our central fax department, and I just phoned up there and asked them to deliver that to me when it comes in. \\
\hline Bernstein: & Okay, but you've got the patent in front of you? \\
\hline Becker: & I don't. I don't, but \(I\) can get it. \\
\hline Bernstein: & Okay, well, let's do that. \\
\hline Becker: & Okay. I'll need a minute. I've got to go over the Doug's office. \\
\hline Bernstein: & Okay. \\
\hline Armstrong: & The fax is on its way to you now. \\
\hline zensessimon: & It's on the way to me? \\
\hline Armstrong: & Yeah. \\
\hline Peukersimon: & Okay, then I'm going to put you guye on hold... \\
\hline Armstrong: & It's not done yet. \\
\hline Becker: & Well, I've got to go upstairs and get it, so hold on. \\
\hline Armetrong: & Never a dull moment. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline \multicolumn{2}{|l|}{} \\
\hline Utley: & And I did it again on wednesday night. \\
\hline Bernstein: & And he said to me all these changes were in when I went through them at 11:15 at night with them. That all the math has been changed. I was looking at him and said these haven't been changed. He said, "No, I'm working on a copy that's been changed. I'm going to send it to Brian, and sign off..." So, well, now, again, we're back at this same thing. How do we change things? What effects does it have on us? \\
\hline Utley: & This has no effect. Mathematically, that's. \\
\hline S. Bernstein: & Were those faxed? \\
\hline Bernstein: & \%rates. \\
\hline \multicolumn{2}{|l|}{S.Eermfedmarnetyont Okay. Nine pages.} \\
\hline Utley: & But obviously this has an effect. \\
\hline Bernstein: & A huge effect because you have completely altered numbers. \\
\hline Utley: & Well, you could explain it; but the only way you could derive this is by having that be the square root. \\
\hline Bernstein: & But this is wrong that he missed this, and isn't that on your current math? Do you have your sheet that you did...current math...that he said he didn't have, had, whatever? Brian, do you have the patent book? \\
\hline Utley: & Yes. \\
\hline Bernstein: & Okay. I need to borrow that. \\
\hline Armstrong: & I would think that in a patent document being as important to us as it is, there's not an acceptable level of error. It's either got to be all right, or it's not acceptable. \\
\hline Bernstein: & Oh, and that's what we heard from Doug this morning. So, I mean it's hard to fathom this. \\
\hline S. Bernstein: & You know what guys? I don't understand. Why doesn't sonebody... take five minutes, and tell me what...because \(I\) saty it in a meeting with all the lawyers, and... \\
\hline Bernstein: & Here, Dad, let me give you an example. Is 2,560,000 times . 8 the same as 2,560,000 times 1.25? Yes or no? \\
\hline S. Bernstein: & I doubt it! \\
\hline Bernstein: & Okay, well, that's the flols issue. That's how far off these are. \\
\hline S. Bernstein: & Okay. \\
\hline Becker: & This is Steve. I'm back, and I can't seem to find that file. Doug is out today. You guys may know. So, I don't know how much help I'm going to be. \\
\hline Bernstein: & Okay, well, do you have the fax? Hey, P-Man, you've got the file right the re. Just email it to him. \\
\hline Becker: & Here it is. I've got the fax now. \\
\hline Bernstein: & Ofive- Steve, Doug also mentioned that you had emailed some correspondence to us that you didn't think the math was right earlier? I have no records of any of that. \\
\hline
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\begin{tabular}{|c|c|}
\hline Becker: & No, what I did was I faxed the draft over on Monday night, which incorporated some additional disclosure that Brian had sent. Basically, it was examples. It had the equations set out for both print film and digital examples, and then he had three examples for print film and one example for digital, and I essentially...I exactly basically cut and pasted that into the application. \\
\hline Bernstein: & \begin{tabular}{l}
Well, the application we got from Doug didn't have any of that on anc raste \\
 Fhis to yo severg deve earlier erdyet towert fherere.
\end{tabular} \\
\hline \multicolumn{2}{|l|}{WISSTHE SECTUON GO BAXE} \\
\hline Becker: & I don't really know because at that point Doug was down the re with you guys, and I presumed you wiere reviewing it on like Tuesday and Wednesday. And the Doug said he would take care of just...because we figured there would just be some minor changes after we'd incorporate all of that. \\
\hline Bernstein: & Well, it wasn't incorporated, so there were huge changes. \\
\hline Becker: & Oh. \\
\hline Bernstein: & And it would have been filed completely wrong had it not been for Jim Armstrong reviewing it. Everybody would have nodded off on this and accepted wrong, completely wrong, filings. \\
\hline Becker: & Maybe he should be part of this conversation. \\
\hline Bernstein: & He's on this conversation. \\
\hline Becker: & Oh, good. Hi, Brian. \\
\hline Utley: & Hi, Steve. \\
\hline Bernstein: & Brian's here and Jim Armstrong's here. \\
\hline Becker: & Okay. Wiell, the only link we're missing here is Doug because Doug took the last few steps of incorporating comments and actually filing the application on Wednesday. \\
\hline Bernstein: & Hey, \(\overrightarrow{3 B}\)... 할-man, forward hin a copy of the final draft, would you? \\
\hline Armstrong: & And that, Steve, I think the most important question to have answered is what are our rights and oblilgations and opportunities relative to correcting this without any ill effects to us? \\
\hline Becker: & Yeah. There's plenty of opportunity essentially. We can file...if there are substantial errors in the application as it was filed, we can simply file a new application as soon as we get those fixed either on Monday or Tuesday or what have you. The goal of filing on mednesday was to maintain priority back to the provisional application, which was filed a year ago. \\
\hline Bernstein: & So, did we lose that if they' re wrong? \\
\hline Becker: & No, because we can only claim priority back to the extent that the subject matter was originally disclosed in the provisional filing of August \(2^{\text {nd }}\) of last year, and none of these equations were filed back then. \\
\hline Bernstein: & But the original process was. \\
\hline Becker: & Right. And the original process is try Jyevaryyed in the application. We're just talking about the details of the math examples that are in here. So we haven't lost anything. \\
\hline Bernstein: & Will we lose claiming back to the priority of the original provisional? So we did lose something, or am I incorrect in what I'm hearing. \\
\hline Becker: & Feat, No, we didn't lose...the original provisional can only provide priority for what was originally disclosed, and the math was not originally disclosed, right? \\
\hline
\end{tabular}

\begin{tabular}{|c|c|}
\hline & The claims can be amended as long as they are still fully supported by the matter that's in the specification that's originally filed. Now, if you want to change your claims and they' re not supported by the specification as originally filed, then you have to file a whole new application adding new matter to your specification that will support those claims. \\
\hline Armetrong: & Does the fact that a direct interpretation of what in general amounts to typos and oversights, but a direct interpretation of that affect our ability to change that supporting matter of that matter? Because if we directly interpret the math in the certain circumstances here, it will bring you to a wrong conclusion if it's a direct interpretation without having to reverse met mexfertengineex what was meant to occur. \\
\hline Becker: & Well, I see. Then we need to get the math right, but it doesn't affect aur priority. Only by a few days essentially. \\
\hline Bernstein: & Well, do we lose the ability to claim priority to what we were trying to claim here... \\
\hline Becker: & No. \\
\hline Bernstein: & ...by that date? So you can go back in and change the matter of this? \\
\hline Becker: & You don't go back and change the matter, you just file a new application which claims priority back to a prior application only for the subject matter that was... \\
\hline Bernstein: & But we missed that application. \\
\hline Becker: & No, we've got it in the form of this continuation, or this PCT, that we filed claiming priority back to that patent application. So we've preserved that chain of priority. \\
\hline Armstrong: & Are you then completely confident that errors that we need to correct right now then are not going to hurt us in any way, shape, or form as being able to claim as part of our invention all of the correct things that we want in there? \\
\hline S. Bernstein: & That's what I heard at that meeting, that we could go back and re-do that at a later date without having any implication. \\
\hline Bernstein: & As long as it wasn't new subject matter. \\
\hline S. Bernstein: & Exactly right. These are just corrections to the... \\
\hline Bernstein: & They' re corrections, they're math, whatever. \\
\hline S. Bernstein: & Okay, but we're not saying this is a new way to get to that. \\
\hline Bernstein: & No. \\
\hline S. Bernstein: & Okay, that's what I heard. That's the notes I took. Eliot, you should have that on the tape recorder so that we know that. \\
\hline Armstrong: & Well, we do, and that would also support, I think, another issue, which is that we now have to go through the refiling of something else which was originally corrected several days ago and was somehow ignored so that this whole refiling shouldn't even cost us anything. \\
\hline Bernstein: & Well, and beyond that, Doug <sic>, what I'd like to really get down to is a letter from you, in writing, explaining all of my, you know, both from the Ray [Joa] patent forward, and I think you need to talk to Doug about it, of what our potential pitfalls are here with these filing errors, what our potential pitfalls are, what it caused to happen with that priority, priority equals, and if there's any harm to us. Because we keep just slipping back by these things. This should have been right. I mean, we have well documented, and Brian's well documented, that these changes were sent, and now we've missed a priority claim to that by not being able to go back and change our last filing. I need to know the liability here. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Becker: & You know, I was not there on wednesday night. Erian talked to Doug on this and then made final changes, and then... \\
\hline Utley: & Yeah, Dong sent me a next-to-last copy, which \(I\) went through and there were a number of errors-I have my notes on each one of those at home-and then I reviewed each one of those with Doug, agreed on what they were, and then Doug was going to send me the last copy, which apparently he didn't because I never received it. At that point in time, it was, I guess, about 11:30 or 11:45 our time. \\
\hline Bernstein: & And these were also discussed in great length with him for a whole day on the phone. \\
\hline S. Bernstein: & Yes, well, how about in the... \\
\hline Bernstein: & No, no, Dad, this is separate. But at great length this was discussed, every one of these changes. \\
\hline Becker: & The changes you sent me here, is this Brian's handwriting? \\
\hline Utley: & No, some of it isn't. In't correct. \\
\hline Bernstein: & Well, let's go through it because I'd like to... \\
\hline Armstrong: & Yeah, let's go through it. \\
\hline Becker: & I don't know if that's going to help that much because it's a question of what actually was filed and whether it incorporated the changes that Brian asked for the last minute. \\
\hline Bernstein: & It didn't. \\
\hline Armstrong: & We know that. This is what was filed. \\
\hline Becker: & Brian, didn't you just say that Doug didn't send you the final draft of what was filed? \\
\hline Bernstein: & He did it the next day. \\
\hline Becker: & Oh, he did the next day? \\
\hline Abstaryeners & Ei.r: Yeah, Jim, can you forward that to Steve real quick? \\
\hline Armstrong: & What? \\
\hline Bernstein: & Email it to him...the final draft? \\
\hline Armstrong: & Yeah. \\
\hline Becker: & Well, I'm not going to question.. \\
\hline Bernstein: & Okay, but we need to go through and get the changes acknowledged, accepted, have you put it into the next whatever you' re going to do to solve this, with a letter explaining what we've lost here. \\
\hline Becker: & All right. \\
\hline Bernstein: & Okay. Any liability, potential liability where we're exposed to from this. \\
\hline Becker: & Oh, I wouldn't worry about it. You guys are making a mountain. \\
\hline Bernstein: & Well, you know, I gotta tell you, I worry a lot about it from what Doug told us. So, you know what I mean? You tell me not to worry, but then you tell me it's very important that we're accurate in this filing; and then we' re very inaccurate in the filing, and then we're not supposed to worry. I'll feel much better not worrying with a letter from you explaining why I shouldn't worry. \\
\hline Armstrong: & Steve, what's at your email? \\
\hline Becker: & Sbeckergfoleylaw com. \\
\hline
\end{tabular}
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\hline Armstrong: & Sbecker? \\
\hline Becker: & Yeah, "S" as in Steven, "becker." \\
\hline Armstrong: & Got it. \\
\hline Bernstein: & okay. Let's just go through this with you, Steve, so we can get the next step done. \\
\hline Beaker: & All right. \\
\hline Bernstein: & Which is correcting the issues. Are you with us on page 13 ? \\
\hline Becker: & Right. \\
\hline Bernstein: & Okay. Jim? \\
\hline Armstrong: & On page 13, 1ine 19, the expression of VNH should follow the way we express it in our definitions, which is VIH. Even though the two are equal, let's just follow the way that we have it expressed in our definitions on page 12. \\
\hline Becker: & Oh, I see. Okay. \\
\hline Armst rong: & Then on line 23, each of those expressions is not congruent with the way we've defined them. Despite the fact that we arrive at the same results, it doesn't apply the formula in exactly the same way. So for a reader, it ought to be the same. So for line 23 , it should be the "square root of 2,560,000 times 1.25." \\
\hline Becker: & All right. \\
\hline Armstrong: & Okay. Not "2560 divided by . \(8 . ⿱\) \\
\hline Becker: & Okay. \\
\hline Armstrong: & On line 24, it ought to be "1789 divided by 1.25." \\
\hline Becker: & I see. Okay. \\
\hline Armstrong: & Then on line 25, it ought to be "1441 divided by 4." Again, the results are the same; the expressions are not. \\
\hline Utley: & Nom, on that last one, Jim, it's correct. \\
\hline Armetrong: & It's mhat? \\
\hline utley: & The scan density is 1789 divided by 5 . \\
\hline Armstrong: & Okay, hold on. Scan density is defined by us as being... where the heck is it...oh, it's right up above..."target image height..." right up above on line 7..."minimum scan density is target image height," which in this case we just defined to be 1431... \\
\hline Utley: & Where are you reading from? \\
\hline Armstrong: & Line 7 of the same page. Line 7, page 13. So target image height is 1431 divided by the source image height, which is 4, so it should be 1431 divided by 4. \\
\hline Utley: & Well, the...yeah I quess that that equation, "MSD equals TIH/SIH," did not come from my documentation. \\
\hline Pratamandrama & Hold on, let me look at this documentation. I've got it right here, too. \\
\hline Bernstein: & Well, Steve, you have copies of this, too, that were sent to you... \\
\hline Becker: & Right. \\
\hline
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\hline Bernstein: & .. Of what Brian's looking at, several days ago. So how isn't this stuff flowing Eorward into the patents, especially when we pointed it out two times before filing? I mean, I'm just dumbfounded at this. \\
\hline Utley: & There was a change, Steve, which you were not involved... \\
\hline Becker: & The proper equations, that \(I\) wasn't there the last night when the last changes were put in, so I can't really speak to it. \\
\hline Bernstein: & No, but he sent you his changes several days ago. \\
\hline Utley: & Steve, there was a change that we decided on wit on whednesday afternoon, which was to reflect aspect ratio as width divided by height, which wh I wh made, and that was created by the desire to reflect aspect ratio the way that displays are wh wh expressed as opposed to the way photographic images are expressed. Photographic images are expressed the opposite way, and that's the way the documentation mh whad been originally prepared. But it was thought that it was wh perhaps more consistent with current technology to express it the way that displays are expressed. So I went through and changed... \\
\hline Becker: &  \\
\hline Utley: & Yes. So that caused the equations to be reconstructed to reflect the mhe inverse of what was there before because the affect ratio now is inverted. \\
\hline Becker: & I see. \\
\hline Utley: & And what happened was Doug apparently did not pick up all of those changes, even though I went through them very methodically the last thing thimednesday night when he sent me \(h=4 \mathrm{f}\) whis ahost-final draft. \\
\hline Becker: & I see. \\
\hline Utley: & Wh And un, Jim, just for your uh edification, that also affected the MSD shifting from a height to a width orientation. The number is the same, but it changed it from a height to a width. \\
\hline Armetrong: & So what's the correct formula for MSD? \\
\hline Utley: & It's TIW/SIW. \\
\hline Armet rong: & Okay. \\
\hline Bernstein: & So, you made this change with Doug, and it's still wrong in the patent? \\
\hline btisu; & Fictt \\
\hline S. Bernstein: & I'm a little concerned about the proficiency of the legal aspect of this. We sat there for hours, and then Brian stayed late into the night with this guy, and then he comes back and we don't file it right anyway? It seems like there's something wrong here. I mean, ... \\
\hline Bernstein: & I mean this is, yeah... \\
\hline S. Bernstein: & I mean, I'm just budding in because I have little or no knowledge as to what the numbers mean, I'm just listening to a conversation in which I'm hearing is that after four or five hours in a room locked together with lawyers and everybody else, we reach an agreement that those changes will be made. Now, my understanding is Brian stayed and made those changes, and then the lawyer didn't file the changes? what's the sense of that? \\
\hline Bernstein: & These are good points. Let's move forward, Jim. \\
\hline S. Bernstein: & These are points that have to go back to stockholders with money invested. \\
\hline Bernstein: & That's why I've asked Steve to send us a letter of what's happening, what our exposure is, by Monday or Tuesday, explaining how this didn't occur, get in, and what we're going to do to resolve it, and what that resolve initiates in the chain of events. \\
\hline
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\hline & Well, the other side of it is this. If after all of this precaution has been taken-and Brian, you can correct me if you think different-but after all of this precaution has been taken, it appears that the fallacy of worrying about it ever gets accomplished. Brian stays, everybody works on it, it's still filed wrong. Now what if Jim Armstrong hadn't caught it. Brian was on a plane today... \\
\hline Bernstein: & Then none of Brian's changes even sent several days ago even would have even been in there. Math would have been wrong, equations would have been wrong, verbiage would have been wrong. \\
\hline S. Bernstein: & Am I right, Brian, in having this concern? \\
\hline Utley: & G Well, yeah, obviously it's uh clearly \(\qquad\) h a major concern because there's nothing more disciplined than the \(\qquad\) mathematical expressions. \\
\hline S. Bernstein: & And you're comfortable that what you did, even if some of them were wrong, that we could have later corrected... \\
\hline Bernstein: & No, Dad, we sat here with Brian and Jim and Dolug, and we went through it, and we all agreed it was right, and those changes do not appear. \\
\hline Utley: & No, we... \\
\hline S. Bernstein: & That makes me very nervous. Well, it makes me nervous to the extent that are all of the other patents done right? \\
\hline Bernstein: & Well, that's what I'm...I'm going to start having somebody review all of this. I mean, obviously there's...it opens up a whole can of worms. \\
\hline S. Bernstein: & Well, the other thing that \(I\) heard was-and not negatively or anything else-but I heard that perhaps Ray [Joa" did this work and he was either concerned about it being a bit sloppy, blah, blah, blah, blah. What is the excuse for this law firm? \\
\hline Bernstein: & Well, let them write us what's happened here. I mean, I definitely need to see on paper, Steve, some kind of report on this. That it describes what occurred, why it's not reflected in the patent filings, and what our exposures are, and that'll tell us what we're dealing with in firm, etc., liabilities. I mean, we don't know that. \\
\hline Armst rong: & We should continue to look at the changes so that he's copy that reflects everything. \\
\hline S. Bernstein: & Well, even if there is no liability, what I'm still concerned about, even if it can be corrected, it's the exact same position-Brian, am I right?-that we found ourselves in with the last lawyer who did it. Okay, thank God we can make changes, but that isn't the answer. why not just get it right, get it filed... \\
\hline Bernstein: & No, don't just say thank God we can make changes, Dad, because all of that brings additional liability to you. You miss dates, you miss claiming, you miss this and that-words that are very tricky and confusing, and only these guys can understand. So that's why I need it to be put in writing so \(I\) can have it analyzed... \\
\hline S. Bernstein: & Absolutely, I want it definitely, because I need to take it...you know, I need to have board member approval... \\
\hline Bernstein: & Oh, I think our board is going to be disastrous with this stuff about several things when we take this to them. And we need to know from the Ray [Joac\} level to the Foley-[Larber] level, how this is going to be cleared up and what the problems were that occurred. \\
\hline S. Bernstein: & Okay, let's get that part in process; and it's unfortunate that Doug's not here because maybe it's something he could explain. \\
\hline
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\hline Bernstein: & No, I talked to him this morming; and as a matter of fact, he said steve had the math Erom Brian days before and by the time he got it, he thought it was all input correctly, and that was his excuse. \\
\hline S. Bernstein: & Well, what was he doing here with Brian? \\
\hline Bernstein: & Well, then we spent a whole day with him correcting it all so that it was right; and then by filing time, none of it was right. So, let's go forward. Let's just stay on track. We'll deal with all of these issues on Monday. \\
\hline Utley: & Uh I just say one thing. Wh Fotutately, un i dort facur The most important part of the math is all of the definitions. The examples are examples; but the most important part of the math is the are the definitions. \\
\hline S beckerbemut & etr: Okay, are those right? \\
\hline Armstrong: & No. Well, there's one that's not, we just fomain ont flat 7 of page 13... \\
\hline Bernstein: & Is wrong. \\
\hline Armstrong: & Is wrong. It should read. \\
\hline Bernstein: & ..."[ ] equals TIW/SIf." \\
\hline Utley: & They are mathematically whe equal. Both will give the same results. Eo It's a al consistency question as opposed to an accuracy question. \\
\hline S. Eernstein: & And for a reader, it would probably be easier to be consistent. \\
\hline Wtley: & Absolutely. \\
\hline S. Bernstein: & That's what we want. As long as we' re spending all of this money and everybody's devoting their time to it, we want it to right-as right as you can possibly get it at any rate. \\
\hline Bernstein: & Okay, Dad, let's move forward. \\
\hline Armetrong: & That changes one thing on line 25. The expression on line 25 is now correct as it was typed, so scratch out my handwriting. okay? All the other corrections stand as I explained them earlier. Now, on the last line of this page, that should read: "480 x 320." \\
\hline Utley: & That's correct. \\
\hline Armetrong: & Okay. Then on line 6 of page 14, I think we should consistently state which is width and which number is height becalse it's such an important distinction in the calculations. Wie did it on the previous example, but not on this one. \\
\hline Bernstein: & Maje then is midth hesphe \\
\hline Emetrama & Whathe [\} height ie a \\
\hline Utley: & And that is what we had agreed upon on Wednesday afternoon. \\
\hline Bernstein: & Right fhat chanxes aqum in ambute \\
\hline Armetrong: & Okay. Line 17, again we're just missing that square root symbol in order to make that equation work. Without the square root, it's millions instead of thousands. Now, in line 19, I had originally indicated this was correct; it's now incorrect because of our change in the formula for the density for the maximum scan density. \\
\hline Bernstein: & Steve, are you getting all of these? \\
\hline Becker: & Yep. \\
\hline Armetrong: & This should now read in line 19: "1789 divided by 5 equals 358." \\
\hline
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Becker: "1789 divided by 5 equals 358?"
Armstrong: Yes.
Becker: All right.
S. Bermstein: Steve, I have a question to ask you.
Becker: Yes.
S. Bermstein: when Jim or Brian or anybody gives you these numbers, are they checked out by
anybody, or do you just copy what we say and that's it?
Bernstein: No, they definitely don't copy what we say. That's an initial problem here, Dad.
S. Bernstein: Okav, I don't mean to be sarcastic.
Bernstein: No, but they would normally as mathematical people add up the equations.
S. Bernstein: Yeah, because your partner was telling me that most patent lawyers are engineers,
which would lead me to believe that somebody would say, "well, I better
check the math to make sure that guys who are not engineers know what the
hell they're talking about." Is that done by your firm, or is it just
accepted as gospel what we give you?
Becker: We don't have engineers or technical people check the math that you provide us.
S. Eemstein: Okay, so what we provide you, then, we live and die by?
Becker: Okay. Your job is to get that right.
Bernstein: Right, but what we did give you, you didn't provide in the patent.
S. Bernstein: Okay, we're trying to say the same thing.
Bernstein: Okay.
Armstrong: Let's just get it right.
8. Pegmstein: At this point we're only interested in getting it right.
Ambtronc: Line 27, that should be "36@H" for the height.
Bernstein: Which page?
Armstrong: Line 14, third-to-last line of the page.
Bernstein: Okay.
Armstrong: Now we're onto page 15. Again, we just need that square root symbol as indicated
there.
Becker: Okay.
Armstrong: Then there is nothing on the next few pages until we get to page 18, this is an
important omission for our calculation standpoint, but we need that square
root symbol.
Becker: Okay.
Armstrong: Then I'm going to skip for a second this discussion on minimum scan density here
because I want to talk to...go with Brian's comments, too, but on line 10,
the correct figure is "l.33 equals l.33."
Becker: Okay.
Utley: Yeah, that wasn't picked up from the other...from above, the aspect ratio.

```
\begin{tabular}{|c|c|}
\hline Armstrong: & Line 15 , the square root symbol again is missing from that sane equation. And then finally, I don't see why, in this example, or any digital example where we have no scanning to do, why we should even include any reference to minimum scan density because the only application of scanning in a digital world is if we were to print a digital photograph and later scan it, in which case we'd follow the print formulas, not the digital formulas. So, my suggestion here is that we change the sentence, beginning on line one, to end after the word "dimensions"...actually, strike the mords "and minimum scan density" and also to eliminate line 23. Do you agree, Brian, that there's no reason to have that there? \\
\hline Utley: & It certainly doesn't add anything. Uh It doesn't ut ye subtract anything. \\
\hline Armstrong: & It just added confusion to me as a reader when I thought, "How do I calculate that?" and then realized it's not...we're not scanning anyway. why ask someone to determine something that is not included as a step of the process? So I think if everyone agrees, we should strike the words "...and minimum scan density" in line 1 and 2... \\
\hline Utley: & No, what I would do, I wouldn't do that. what I would do is simply say, "...image size and dimensions" and then add a new sentence which says, "Minimum scan density is not required since we are dealing with a digital image." \\
\hline Armst rong: & That's fine, too. Then let's strike line 23. \\
\hline Utley: & No, I'd leave that in. \\
\hline Armstrong: & It's redundant, but that's okay. Do you see any other problems with the formulas? Did you review all of this again today, Brian? \\
\hline Utley: & Hi Ife fret ar In here not reviewed anything today. I wasn't aware of the \\
\hline Armst rong: & Okay. So that covers my comments on that. \\
\hline Bernstein: & And, steve, do me a favor. When you guys draft this letter, draft it to si and Brian. Okay? I'd like to be cc:'d on... and by the way, I'd like to be cc:'d on any correspondence of anybody to do with the patents. \\
\hline Becker: & Okay. \\
\hline Bernstein: & One last thing. Doug mentioned that you had a file from Brian, a spreadsheet that part of the spreadsheet matter is not incorporated in here. He didn't know why...he couldn't explain why. I was wondering what that matter is, and where is it? Are you aware of that? Because he referred to you. \\
\hline Becker: & Dis nemo me? \\
\hline Bernstein: & Yes. \\
\hline Utley: & Gh mithat's probably the image sizing spreadsheet. \\
\hline Becker: & Image sizing? \\
\hline Utley: & Yeah, I sent you two files on Monday. \\
\hline Becker: & Okay. Actually, you sent three all together. Oh, you sent three emails, and then the last one had two of them. \\
\hline Ttley: & Right, the last one had two files: both the image sizing and the process. \\
\hline Becker: & Oh, you'faye got the macro, and then you've also got the description of the math. Now, what did you want included that wasn't? \\
\hline Bernstein: & Well, Doug said it should have been included, but it wasn't...the rest of that sheet. \\
\hline Becker: & What? \\
\hline Bernstein: & I don't know. Whichever half's missing. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Armstrong: & Hold on one second...I don't want to confuse Steve. We do not want you to cut and paste out of those documents into thise patent filing. Those documents do not reflect the way we want to express the math. \\
\hline Bernstein: & Right, but we might want then in there, B , correctly. \\
\hline Armstrong: & What? \\
\hline Bernstein: & We might want them in thein corsectiv... \\
\hline Armstrong: & yrymerne just went through it. It's now correct. If he employs all of the changes we just all agreed to... \\
\hline Bernstein: & No, but there's another sheet that's not reflected here. \\
\hline Armstrong: & Well, yes, I do want to talk about that. The macro, right? \\
\hline Bernstein: & Right. Can you forward that file to us-the Excel sheet-to Jim, me. \\
\hline Armst rong: & Just have Steve forwarded the whole email back to you. \\
\hline Bernstein: & Well, he doesn't have it in front of him, and Erian's got it right here. \\
\hline Utley: & No, I sent it to you. You were copied on it. \\
\hline Bernstein: & Okay. Let's just get the most up to date...any changes. \\
\hline Becker: & Yeah, Brian, remember, we made a decision not to file the claims directed to your macro-we made that decision last...a week before the... \\
\hline Bernstein: & Why? \\
\hline Becker: & ```
Because it was going to involve some additional work, and we didn't have time at
    that point; and it was all new matter that wasn't going to claim priority
    to anything, so...
``` \\
\hline Bernstein: & Well, what's new matter? If the math is part of describing the invention, then it's not new matter, according to what Doug's told me four times now. \\
\hline Becker: & Well, Eliot, as you recall, you always have to look at the claims of the application, and that defines the scope of your protection. The claims will also define...also have to be supported by the specifications. We were going to direct claims to the idea of using... of having a macro program, which is useful as a tool, to do these calculations in a rather simple process. \\
\hline Bernstein: & Okay, that's fine if you want to just claim a macro. That does it as a simplified process and add that as an additional patent for us, but the underlying math of it should all be applicable to the invention since it's just derived off the invention. \\
\hline Becker: & Yeah, math... \\
\hline Bernstein: & So it's not new matter, it's just an understanding of the matter. I mean, I swear we went through this four times the other day with that conclusion. \\
\hline Becker: & There are two files that Brian sent me. One of them was an Excel spreadsheet having six pages, and all of that material was included in the application in pretty much cut-and-paste format. His pages 2, 3, 4, and 6 were the examples, which I just cut and pasted as soon as I got them from Brian because they defined it all very particularly. \\
\hline Bernstein: & Okay, now you need to get back your record of that because \(2,3,4,5\), and 6 that Brian is sitting here showing me, were never in these patents yesterday. So cutting and paste, you must have put them in the wrong document. \\
\hline Utley: & Those are the examples. \\
\hline Bernstein: & \[
\begin{gathered}
\text { But those weren't...that's not what ended up in there. } \\
15
\end{gathered}
\] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Utley: & They pulled these pictures out and put them as a \(\qquad\) figure sheet on the back, un and then whe we mentered... \\
\hline Bernstein: & Wrong math. \\
\hline Utley: & ...the formulas in the body of the... \\
\hline Armstrong: & Hey, right. B, are those images...are you looking at the figures? Are all of these figures in the patent application. \\
\hline Utley: & We should be on figure 7 . \\
\hline Bernstein: & Steve, figure 7? \\
\hline Becker: & Okay. \\
\hline Bernstein: & Are you looking at it? \\
\hline Becker: & Not in front of me, but I recall writing it. \\
\hline Bernstein: & Tim, figure 7, what do you see? \\
\hline Armst rong: &  patent application, that I was mailedhit 1. \\
\hline Bernstein: & It's not part of that final patent? \\
\hline Armet rong: & I don't know about that, but it didn't come as part of that word document. \\
\hline Bernstein: & Mat'e mat I just sert yoy, That's supposed to be the final revision of the patent. \\
\hline Becker: & We have to scan the drawings into a word document; so if you just mailed the word document, you probably didn't get any figures yet. \\
\hline Emstronc: & Probably the figures were left off of that zi. \\
\hline Bernstein: & Okay, do you have your patent application? \\
\hline Armstrong: & I've go the one we reviewed on Tuesday dedinesiay. \\
\hline Bernstein: & And what's in there? \\
\hline Armstrong: & All the figures. \\
\hline Bernstein: & Right or wrong? \\
\hline Armstrong: & You know, I don't know. I didn't...Brian, was figure 7 changed at all with the restatement of our aspect ratio? \\
\hline Utley: & Yest There were some additions that I made for clarification purposes. il If you look at the first page of the imaging process, where it says, ali the third box down, it says "viewing image," wh inserted wh "sIR less than DwR" to tie it to the equation above it. And then in the one, the bottom \({ }_{-}\)
\(\qquad\) it has the expression "sIR greater than BwR," again, \(\qquad\) tie it to the equation above it. \\
\hline Armstrong: & Yeah, because those two don't have a distinction, figure 7 as it is now. \\
\hline Utley: & Right. So that simply ties the image to the equation. \\
\hline Armstrong: & So do they have...have you sent them an updated amendment? \\
\hline Utley: & Yeah, that went out ur late mednesday afternoon. \\
\hline Armstrong: & Okay, we've just got to make sure that the corrected figure 7. \\
\hline Bernstein: & Steve, can you fax us the fileg patent? \\
\hline Becker: & No, I can't find it. I guess Doug took care of this Erom.. \\
\hline
\end{tabular}

\begin{tabular}{|c|c|}
\hline Armstrong: & Yes, page 22 in my printed on. \\
\hline Becker: & Okay. \\
\hline Bernstein: & Okay, hold on one second because I want to get my notes. \\
\hline \(y+7 \mathrm{ys}\), 5emi & -i: What page is that, Jim? \\
\hline Armst rong: & Rake 2 Y You don't have it, Etane. \\
\hline S. Bermstein: & Because I don't have 22. \\
\hline Armstrong: & Want me to fax it.. email it to you? \\
\hline S. Bermstein: & No, that's okay, he's going to explain it to me. I want to see if I can't understand this. \\
\hline Becker: & Sure. It's very sort claims, seven lines long. It actually defines the scope of the patent protection that we are trying to obtain in this filing. \\
\hline Armstrong: & Who are we waiting for, Eliot? \\
\hline Becker: & I titambelieve so. \\
\hline Bernstein: & Yeal I'm up front. We're waiting for Brian again. \\
\hline Becker: & Let me know when you're ready. \\
\hline Bernstein: & Okay, Steve, Brian stepped out for a minute, but I still want to address this issue. We invent something. I hire a mathematician. The mathematician solves the \(X, Y\), and \(Z\) of the invention. Does he claim a new patent for himself? \\
\hline Becker: & Probably not. [Inventorship] typically follows with the comeption oz invention. If somebody else figures out how it was done, generally speaking that would. \\
\hline Bernstein: & Well, I want to be very gls aleax on this because Doug's thinking...I don't even know if then the next statement is correct or incorrect, but if a macro was created using the math that comes from the imvention, where does it Eollow? Brian, I just asked him, if I hired a mathematician to do the math, put all of this into a thing, where does this follow. He says the invention, the inventor, etc. The guy you hired to do math wouldn't claim a new patent or a new invention, which is confusing to me because Doug now, as of this morning, told me that you're planing on filing a separate patent as inventor of a macro that just spawns off the math entitled to this invention. So I'm confused, and I want to be very specific on this of what our strategy is here on all of these peripheral pieces. \\
\hline
\end{tabular}
\(<\) End Side 1 ; begin Side \(2>\)

Bernstein: Why don't you explain that to me again.
Becker: Can we go ahead with describing the claims?

Bernstein: well, do you want to just finish that real guick, and then we' ll go right back to the claims?

Becker: Okay, now what was the question you posed me, Eliot?

Bernstein: I hired a mathematician to solve for what I did. He comes up with an equation. Where does that equation belong? Does it belong filed as another aatent? What's the inventorship, so to speak? And then, I design Erom that math a macro that solves that math with input fommula. How should we be protecting that the whole way through, because I seem to be very confused about what I'n being told each day.
S. Bermstein: Okay, let him answer the question.
\begin{tabular}{|c|c|}
\hline & Inventorship follows whoever conceived the invention as claimed, and that's why the claim is so important because when you set Eorth in your claim what it exactly is that you're claiming, you have to ask who conceived of that idea-who was the first one to come up with it. So, typically if somebody really reduces your idea to equations that describe why it works or how it works, typically they would not be named as a co-inventor because they really didn't invent the idea. Now if you wanted to claim a macro which has user-input displays for receiving certain data that can be used by, say, a technician to determine the scan density of a print film image that would allow for the desired enlargement ratios and the desired target image size, that kind of is a separate idea, and that's why we thought it would be useful to claim that as a tool as well. \\
\hline Bernstein: & Okay, and I understand that part. I don't mind claiming that all day long. \\
\hline Becker: & Brian really was the one that built that and came up with it. It's based on principles that you learned, you know, a few years ago that maybe you didn't understand the math behind them, but certainly, I would think, be named an inventor on that. \\
\hline Utley: & I think that would probably claim both Eliot: and myself as it relates to both aspects. \\
\hline Becker: & Right. But the important thing with the patent office is that it is...the patent office realizes that it is a bit of a grey issue in terms of who conceived what, so the important thing is not to have any deceptive intent. \\
\hline Sowectrexta
B. Pemetein: & \begin{tabular}{l}
rong: I think the most important thing is the distinction between inventorship and ownership. As I understand, all of this, every one of the patents that we have filed, all rights, title, and interests are iviewit's, regardless of who the author/inventor is; and any revenue stream derived therefrom are iviewit's, and that's the important thing. Is that true, despite and in light of the [ \(\qquad\) ]? \\
well min that e Mry very next question
\end{tabular} \\
\hline Ematyonas & ```
, because we could put anybody as an inventor; but as long as that doesn't
entitle them to a disproportionate share of any revenues derived
therefrom, then I don't care.
``` \\
\hline Becker: & Yeah, inventorship or ownership initially vests in the inventor or inventors who are named in the application; but typically, inventors are under some obligation to assign to a corporate entity, either written or by cause of their employment-and you can get into the issues of shop right...you know, if somebody invented something on the corporate time and then went and. . you know, it wasn't really part of his job description, I know this issue's going to be a little more tricky. But I think in this case... what we do typically as a practice to confirm omership is to have the inventors sign a written assignment document over to whichever corporate entity they want to... \\
\hline S. Bernstein: & But haverit we followed that? \\
\hline Becker: & We've got those documents. I don't think we have them all signed and filed yet. \\
\hline A Bembetma & trong: Let's get them. \\
\hline Utley: & Well, Doug was doing that on Tuesday while he mas here. \\
\hline Becker: & Okay. Did you do some signing of documents, Jim and Eliot? \\
\hline prarstara\% & Yeah. Right. \\
\hline Becker: & Okay, so that's in process. \\
\hline Bernstein: & Okay, and masn't really the intent of my question. The intent of my question is to define, for my understanding, what should claim back to Ray [Joao's] patent, and that means that everything other than a macro shell should define back to the original patent and be filed, corrected, anended, however we get it in to the original patent documents since none of it's \\
\hline
\end{tabular}
new matter, it's just an explanation mathematically on every equation of what happens.
S. Bernstein: That's what I heard at the meeting.

Bernstein: And that is exactly what I've heard, repeated; and then this morning, it was completely opposite, and yesterday is was a little opposite-a little-and, you know, I've become very confused about which strategy we're taking, which road, because we decide something, and then it's changed, and we're doing something else, and I'm completely lost.

Becker: I think I can make this very clear for you if you'll give me an opportunity.
Bernstein: I will.
Becker: Let's take a look at claim one. Claim one states that what you're claiming is a method of providing a digital image file for viewing on a user display in a viewing window that has a predetermined size, and the method includes one step. The step is, very broadly stated-so bear with me here-providing a digital image file having a image size comprising a fised number of pizels representative of an image wherein that inage size is greater than that of the viewing window size. Now the broad concept that we're trying to claim here is being the first ones to provide a digital image file that has more data than is needed for the window size. And why are we trying to claim that? Because that allows you to zoon into the image without pixelation, and it allows you to pan around the image to corners that maybe are not shown in the original viewing window. Does everybody understand that?

Bulug. Berneten: I think so.

\section*{Armstrong: Yes.}
S. Bernstein: I think we're on the same line.

Becker: Okay. So now the question becomes: Did we support that claim with relevant descriptions in the specifications. And what's our standard? Our standard is that we have to provide enough disclosure in the specifications to enable somebody to make and use that invention as claimed. This person needs to be somebody of ordinary skill in the art-in other words, sonebody who can read this document and maybe has some technical background in imaging or image processing, for example, and can read what we've put in our document and can perform our methods claimed. Okay? Everyone with me so far?

Bernstein: Um, hm.
Becker: So we look back into the document that was filed on fednesday and we say to ourselves, "Did we provide enough information in that docurnent to allow somebody to teach somebody how to make and use a digital inage file that has an image size greater than the viewing window size?* And one might argue that stating the solution in itself almost provides enough information to one of ordinary skill in the art to actually reduce this to practice and to make and use one. However, we've provided not only a description of several different ways of doing it, but also some examples, including math, that should make it abundantly clear to one of ordinary skill in the art how to do it. The test is whether it would require undue experimentation on the part of this fictitious person of ordinary skill in the art to make and use a digital image file having these characteristics. So the question you need to ask yourself with respect to this application is: "okay, maybe there was an error or two in how it was expressed in examples or the number of pixels counted or division here or subtraction there, but was there enough in there to enable somebody, based on those teachings alone and, of course, their background, to make and use an image file having those characteristics?"
okay.
Becker: And I think, based on a reading of it and based on what Jim just walked me through in these corrections that need to be made, that there probably was enough
\begin{tabular}{|c|c|}
\hline & in there. That there probably is. I mean, we've described in several different ways how to do it with print film images or with digital images. We described in generally, and then we went and described it specifically. \\
\hline S. Bernstein: & Okay. Can I ask you a question? \\
\hline Bernstein: & Wait, Dad, because that still doesn't answer my question. That answers this issue here. \\
\hline S. Bermstein: & Let him finish with it. \\
\hline Bernstein: & Okay. Are you going to take this back to Ray's original filing on our.. \\
\hline Becker: & Let me do that next, okay? Now, with respect to Ray's original filing on August \(2^{\text {nd }}\) of last year, we asked the exact same inquiry when we review the specification that w'e filed on Wednesday: Did Ray's filing back on August \(2^{\text {nd }}\) of 1999 provide enough disclosure and enough teaching to enable one of ordinary skill in the art to make this file? \\
\hline Bernstein: & And we have a lot of disputes on that because it doesn't even cover zooming. \\
\hline Becker: & Right, but what it does describe, if I recall correctly, is it does describe that you want to enlarge a print film image to a certain size and then scan it at a high density. Now it doesn't tell what density, it doesn't give a number of pixels, .... \\
\hline Bernstein: & It doesn't talk about zooming in on the image. \\
\hline Becker: & It doesn't tell the number of pixels, but it does show one way of doing it with a print film image. It doesn't talk about digital images...doing it specifically with digital images. It may refer to it generally, I don't know. But that is the inquiry. \\
\hline B. Bremeteina & nstrong: If I hear you correctly, it is less important in the claim to say anything relative to zooming was in the claim to illustrate or to claim that the target image size is larger than the viewing image window because that is, in itself, your ability to have the zoom capability. \\
\hline Becker: & You're right. You can claim it all different kinds of ways. This was one way that we worked out in conjunction with Eliot and Brian two weeks ago. This is one of the ways we worked out claiming the invention. \\
\hline Epantremar & nsturne Because ultimately zooming is simply a feature of the invention. \\
\hline Bernstein: & Okay, hold on one second. Steve? \\
\hline Becker: & Yeah. \\
\hline Bernstein: & When I look at Ray's claim one, "What is claimed: An apparatus for producing a digital image comprising a device for generating a digital signal file from a print film image and a processor for processing said digital signal file and for generating an image file wherein said processor generates a first signal file from said digital signal file, and further wherein said processor processes said first signal file and generates set image file." \\
\hline Becker: & Okay. \\
\hline Bernstein: & Okay, we all agreed that that is completely insane...to describe anything about our invention...whatever. \\
\hline Becker: & I know it's all completely insane; but I think that with the claim that we drafted, ... \\
\hline Bernstein: & Yeah, he missed the point. \\
\hline Becxer: & Okay. \\
\hline Bemstein: & Well, then, the claim we drafted, this was my question. It should be right here, in this claim, in the patent he filed to date back as far as I can to protect our dates, should be changed to the claim we just created. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Becker: & Oh, no, this application died on Wednesday, and it doesn't proceed to a patent. A provisional application... \\
\hline Bernstein: &  Fe- \\
\hline Utley: & This is the one that was filed March \(24^{\text {th }}\). \\
\hline Becker: & Oh, okay. \\
\hline Bernstein: & By Ray [Joas]. \\
\hline Utley: & So this was the PCT Eiling on March \(24^{\text {th }}\). \\
\hline Becker: & Okay, thanks. \\
\hline Bernstein: & And my question is shouldn't the claims in this patent we just filed be exactly, if not identical, to the one...or should they be transposed to Ray [Joas's]? And it was my understanding from Dolug that for speed and if the patent gets through, etc., that we would rather have it be based on that first patent filing. \\
\hline Becker: & That could be a recommended course of action. \\
\hline Bernstein: & And this is going to get dejected. \\
\hline Utley: & What we discussed on Tuesday...no, on Monday afternoon, was that one of our action items was to go back and review the wh March \(24^{\text {th }}\) filing and decide exactly how we were going to integrate into that filing the whe che wh claims that \(\qquad\) should be in there vis-a-vis the specification. \\
\hline Becker: & Okay. \\
\hline utley: & That was one of the action items that we wh covered on Monday afternoon. \\
\hline Bernstein: & And now my question further goes to say that once we amend the claims, is there any way to amend the body? \\
\hline Becker: & No. \\
\hline Bernstein: & Even if we' re not adding new subject matter? \\
\hline Becker: & You can amend the body if you don't add new subject matter. \\
\hline Bernstein: & Okay, so we can fix Ray's mess. \\
\hline Becker: & You can't add what we added in this application. \\
\hline Bernstein: & which part? The math is just a description of the old matter, so therefore we should be able to add it. \\
\hline Becker: & It's not supported. It's not suggested in the prior applications. \\
\hline Bernstein: & Oh, it's all suggested because by the nature of the invention it's suggested. \\
\hline Becker: & I think the patent office will never allow us to add all of that matter into the application. \\
\hline Bernstein: & Okay, but we should add as much matter as we feel comfortable with to buff up Ray's original Eiling. \\
\hline Becker: & Sometimes if you change a word or a sentence in a specification... \\
\hline Bernstein: & Yeah? \\
\hline Becker: & The examiner will outright reject it for new matter. \\
\hline Bernstein: & Well, who cares? He's going to reject this for insanity in the first place. I mean, he's going to reject this for "what did you patent? Nothing?" \\
\hline
\end{tabular}

[Centrec? Centrack?] to use and distribute your product. So that's well before 9/1; and these are some real critical things that depend on that date, if I'm not mistaken.
\begin{tabular}{|c|c|}
\hline Utley: & What contract? \\
\hline Bernstein: & [Centrec? Centrack?]. The license agreement was signed on 8/10. \\
\hline Utley: & The only thing we signed was a demo. \\
\hline Bernstein: & A demo license, yeah. well, you were putting it up to comercialize on their siteon a public site. \\
\hline 凹tley: & But there was no charge. \\
\hline Bernstein: & But it's not a question of charge, according to Doug. Correct, Steve? \\
\hline Becker: & I need to have some facts. \\
\hline Bernstein: & Okay. We signed a demo to put up on a company's web site, and we did, our materials for public viewing so that they could identify customer response. \\
\hline Becker: & Oh. When was this? \\
\hline Bernstein: & 8/10. \\
\hline Becker: & Okay. \\
\hline Bernstein: & Now, there were conversations prior to that. \\
\hline Becker: & Well, the upside is that we've got an application on file as of this past wednesday. \\
\hline Bernstein: & well, what about changes? \\
\hline 5 S Eextrei & atrorl: We have to deal with that one year of commercialization. \\
\hline Bernstein: & If we're not wrong, and I hate to preach to a lawyer, but that seems to be my understanding. So I'd like to get what is claimed in this one into Ray [Joac's] immediately, if not, somehow sooner. \\
\hline Armstrong: & Well, hold on, let him answer the question about commercialization. Would that be considered the first date of commercialization or a date of commercialization if there's one prior to it? \\
\hline Bernstein: & There's not, but... \\
\hline Becker: & Again, we have to start with the claimed invention... \\
\hline Armstrong: & This was f foom \& mar imagery that we did for him. \\
\hline Becker: & Okay. And the inquiry is whether or not... \\
\hline Bernstein: & No, it's video, too, B, that we did. \\
\hline Armst rong: & There was video, too? \\
\hline Bernstein: & Sure. \\
\hline Becker: & The inquiry was whether or not the claimed invention was on sale more than one year before the filing date of the application. \\
\hline Utley: & This was a test program to determine feasibility. \\
\hline Becker: & That actually works in our favor. The laws recognize sort of experimental use as sort of being a mitigating factor in some types of public disclosure. Typically i. it's a commercialization use, or to test the commercialization of the imvention, they're less likely to find it to be \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Well, then, that's definitely what it was. \\
\hline Becker: & ...commercial use. \\
\hline Utley: & Is there any difference, Steve, between...we signed an agreement to do that. \\
\hline Becker: & Okay. \\
\hline Utley: & There was no public visibility for another month. So which date will be the reference date? \\
\hline Becker: & Would you call that a sale, that agreement? \\
\hline Utley: & No. \\
\hline Becker: & Okay. \\
\hline Armstrong: & Were we ever paid anything by [Centrec? Centrack?]? \\
\hline Bernstein: & No. \\
\hline Utley: & No. \\
\hline Armstrong: & Never. \\
\hline Becker: & Okay, that certainly works in our favor if it wasn't an actual sale of your product. In that case, you look more at the public disclosure date. \\
\hline Bernstein: & Well, that was the public disclosure date. \\
\hline Utley: & No, that was September. \\
\hline Bernstein: & No, it was this date because...well, whenever you put it up on the site publicly. \\
\hline Becker: & When did you put it up on the site publicly? \\
\hline Utley: & It was in September. It took us awhile to get there. \\
\hline Becker: & Okay. No problem, then, right? \\
\hline Bernstein: & If that's...I'm hanging my hat on a lot of things right there. \\
\hline Utley: & If that's the date of reference. \\
\hline Bernstein: & You know, I want to beat the \(8 / 10\) day of signing a license agreement because \(I\) don't know how that's going to be construed in court, nor do I care, when I can beat it right now. \\
\hline Becker: & Let me ask the question again, Eliot, do you think that the application that we filed on wednesday does not provide enough information to enable somebody of ordinary skill in the art to practice or to make and use what we claim in claim one? \\
\hline Armstrong: & I could argue it doesn't. \\
\hline Becker: & Go ahead. \\
\hline Armstrong: & I might just simply because the actual deployment of it...or employment of it...does require the correct execution of those formulas; and other than one particular error that is very, very difficult to moderstand unless you have been part of one of these conversations about the formulas. I mean, that you have to reverse-engineer the formulas to find out that the square root in that definition is missing, otherwise you'll end up with target image areas of an enormous size and be totally lost. You'll end up just having a goofy result. I mean, I think it could be argued, that you need to be able to apply the math to create the image. It could be argued that you can conceptually create what it is that we are conceptually defining, but it's more difficult to do that without a precise understanding of the relationship of targets of subject images and viewing windows. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Becker: & Well, let me turn it against you, Jim. That's a good analysis. I think it's interesting, but let me turn it against you and say if that's true, then our August 2, l999, filing doesn't provide enough disclosure to enable one of ordinary skill in the art to make this claim. \\
\hline Bernstein: & On Ray [Joa's]? \\
\hline Becker: & Guracteigit, what he. \\
\hline Bernstein: & Yeah, that's why we want to change it before August \(10^{\text {th }}\). \\
\hline Armstrong: & You said the August \(2^{\text {nd }}\) filing. This is the one we just did. \\
\hline Bernstein: & No, the March \(3^{\text {rd }}\) filing you mean. \\
\hline Utley: & March \(24^{\text {th }}\). \\
\hline Bernstein: & March \(24^{\text {th }}\), whatever. \\
\hline Becker: & well, I guess I'm going as early as I can, which is why we tried to file on Wednesday...which is why we filed on wednesday, so we could get the priority on the provisional application which, if I recall, read very much like the March 2000 application. \\
\hline ETEmbtedun & rstrone: The one you're referring to is the original provisional from August of 1999. \\
\hline Becker: & Yeah. \\
\hline  & metron: Saying that if my argument holds, we have nothing of solid validity in that particular document. \\
\hline Becker: & No, what I'm telling you is that that document won't provide priority to this claim. In other words, our priority date will be wednesday of this year, not Wednesday of last year... or not... \\
\hline S. Bernstein: & Because that provisional didn't provide somebody with ordinary skill in the art the ability to replicate what we did? \\
\hline Becker: & That's exactly right. \\
\hline Ranctayutue & : March \(24^{\text {th }}\) \\
\hline Bernetan & ..isn't that the one we're looking for? \\
\hline Utley: & March \(24^{\text {th }}\) ? \\
\hline Bernstein: & Oh, no, that's the... \\
\hline Utley: & We're looking for the August one. \\
\hline \begin{tabular}{l}
Bernstein: \\
\(<\) Two separate
\end{tabular} & No, I'm looking for the provisional this claime to. conversations going on at once; difficult to hear and follow...> \\
\hline Becker: & Let me ask you this... \\
\hline S. Bemstein: & Then that's to say-and maybe I'll question my own logic now-is it enough to say that somebody understands that in the viewing window that you create zoom and then create [ ] ability? \\
\hline Becker: & As long as we just... \\
\hline Armstrong: & That optimized the particular... \\
\hline \multicolumn{2}{|l|}{S. Eemetwinamstrone: And all we did was help to clarify...} \\
\hline Becker: & I think that's pretty convincing. You know, you don't have to enable all the ways of doing it; you just have to enable essentially one way of doing it. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Okay. Despite all of this, I still want a firm yes or no. \\
\hline Becker: & I think was actually critically really finally getting to the issue. \\
\hline Bernstein: & No, yeah, we are. \\
\hline Becker: & Away from the rhetoric of accusations and... \\
\hline Bernstein: & Okay, okay, right, but. \\
\hline Becker: & And fear-mongering and calling the investors. I think we've gotten to. \\
\hline Bernstein: & Well, I mean, we've got to deal with things. These are real fears meaning we definitely have real issues. But looking beyond that, which is fine, I've got still an unanswered question: Does Ray [Joad's] set of claims change tomorrow, Monday, whatever, so that we can protect ourselves? Now you've agreed that's a good strategy, Doug's agreed that's a good strategy, but yet I hear no execution strategy, and that's what I want to make 100 s sure that I can get as much of what we've discovered into Ray's incompetent work, and I will call it that, as possible. And your work is far more superior. These are some issues, but, you know, there's issues...it's a large thing to grasp, and we'll get through it. But I want to change what Ray [Joao's] done, and that was my understanding that we're going to take the claims that we've discovered in this application you just filed and put them into that one, and that the worst that's going to happen is that the examiner will approve the earlier one of Ray and yours will fall away, the second one. \\
\hline Armstrong: & Did somebody just join this call? \\
\hline Bernstein: & No. \\
\hline Armstrong: & Did you hear that beep, beep, beep? \\
\hline Becker: & I did. I don't know if anyone has joined. \\
\hline Bernstein: & Si? Si? \\
\hline Armstrong: & Maybe he got off. \\
\hline Bernstein: & Yeah. \\
\hline Armstrong: & Okay. \\
\hline Becker: & Well, let's do this, Eliot. Let's say that... I know you are concerned about the August \(10^{\text {th }}\) date, why don't we say that we will make sone amendments to the claims in the prior filings you're referring to, and we'll clean that up as best we can and make sure that we have the claim amendments... \\
\hline Bernstein: & <Aside to utley> This is the one we filed? \\
\hline Utley: & <To Bernstein> That's the provisional. \\
\hline Bernstein: & <To Utley> That's the provisional? \\
\hline Wtley: & <To Bemstein> Right. \\
\hline Armstrong: & What about correcting the math in the one from two days ago? \\
\hline Becker: & Yeah, then again, I don't know what was filed; and again it appears...I really need to consult with Doug on that. \\
\hline Armstrong: & Yeah, but if we're of understanding what we talked about today is what he filed, and I believe that's it, then what do we do to correct that? We should probably correct that by the \(10^{\text {th }}\) as well. \\
\hline Becker: & Okay. Right. That actually was more important with the \(8 / 10\) date because these changes are considered to be better, then we need to get a filing out by that date. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Armstrong: & okay. \\
\hline Bernstein: & And Steve, just to remind you on this point, I still definitely for a comfort level and to keep accusations at bay, just a letter of what's occurred, what my risks are, and what our strategies for execution are on this filing relating to as well fixing this one as well as relating it to Ray [Joad's]. If you could write that clearly to us, that gives us a lot of comfort level. \\
\hline Becker: & All right. Hopefully what I explained today about priority will help. \\
\hline Bernstein: & Well, this gives it the final touch of you can rest assured, I've got it in writing. That's what I need to comfort me that I've got a strategy, that everybody's on the same page, so to speak, so that page doesn't shift, so that we don't get off that strategy and we all stay focused on that one sheet. So that would be critical. And what is our next due date? Is that on the \(10^{\text {th }}\) or the \(8^{\text {th }}\) or something, or am \(I\) missing... \\
\hline Utley: & Well, the only reason the \(10^{\text {th }}\) has any potential bearing is because that's when the test license... \\
\hline Bernstein: & I'd like to beat that here, on this claim; because if we can beat the \(10^{\text {th }}\) here on Ray [Joas's] filing, that's what we need to do there, right? \\
\hline Wewnemanetyong & : That's actually not an important date for Ray [Joads] filing. \\
\hline Bernstein: & Yes, it is. \\
\hline Patatametroma & An important date for the Eiling that we did a few [weeks? days?] ago. \\
\hline Bernstein: & No, no, it's the same date. Commercialization is commeroialization, and how it relates is the same here to us. \\
\hline Wemewaymetromo & : okay. \\
\hline Bernstein: & You know what I mean? \\
\hline Becker: & Yeah, I guess I do. \\
\hline Armst rong: & I'll make just one other general comment, Steve. Everyone else knows this, but you don't. I was just brought into this process Tuesday as the first time I've ever reviewed any patents. I've held them for Eliot in the past but never reviewed them; and was probably surprised with what I found was that it was an extremely important and at least, to my understanding, we had very little time to get it right, and we're now paying the price, of course. To the extent that that can be avoided in the future through careful planning, updates, and contingencies, I suggest we have a plan for that. \\
\hline Becker: & Yep. \\
\hline Armstrong: & So. Just an overall comment. \\
\hline Becker: & That's a good comment. I think it's important to get things done as early as possible, and we certainly have tried to do that throughout the process. \\
\hline Bernstein: & Steve, can you do me one last favor? \\
\hline Becker: & Yes? \\
\hline Bernstein: & Shoot over to Jim the three video patents we filed. He's signed a disclosure on it-the one you gave us-encompassing him for all patents. \\
\hline Becker: & All right. Jim, what's your role? \\
\hline Armstrong: & I'm the Director of Sales and Marketing. \\
\hline Bernstein: & But he's also a shareholder. \\
\hline Becker: & okay. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Armstrong: & I've been with this since before anybody else. \\
\hline Becker: & I see. \\
\hline Armstrong: & It was just basically me and Eliot and Guy before anybody else started, but I've never been involved in the patent review. \\
\hline Becker: & Now you want me to send a copy of the filings...the video filings? \\
\hline Bernstein: & Yeah. Can you just fax them to him? \\
\hline Becker: & Sure. Let me make sure I've got this right. Okay. We've got three... no, five applications, about 100 pages. Is that fine? \\
\hline Armstrong: & Yes. \\
\hline Bernstein: & we have four. Sorry. \\
\hline Armstrong: & Are they emailable, or no? \\
\hline Becker: & Yeah, they are emailable. \\
\hline Armstrong: & Let's do that instead. \\
\hline Becker: & But then you don't have the figures. We can email.... \\
\hline Armst rong: & Email those, and then just fax the figures? \\
\hline Becker: & Yeah. \\
\hline Armst rong: & Okay, cool. The fax number is 732-747-5569. Email is jimgiviewit.com. \\
\hline Bernstein: & And there's five video patents now. Correct, Steve? \\
\hline Becker: & I'm looking at my chart here: three US and three corresponding PCT [ ] applications that we wrote, and then there's a PCT video playback-that was the video playback invention- \\
\hline Bernstein: & Right. \\
\hline Becker: & And I think that's all. \\
\hline Bernstein: & Great. Let's get those out to Jim real quick. I'd like him reviewing those by the \(8 / 10\) date. Any changes, we're obviously going to try to revert to keep our 8/10 day as onr commercialization day, giving us a little buffer if we're wrong. \\
\hline Becker: & All right. \\
\hline Bernstein: & You know what I mean? I mean because we don't know how people will interpret in the end what [Centrec? Centrack?] was, but to beat it would definitely give us a greater argument. \\
\hline Becker: & Yep. \\
\hline Bernstein: & So, all right, we'll pick this up...you're going to make those changes on this patent, correct? \\
\hline Becker: & I'm going to wait until I speak with Dong. \\
\hline Bernstein: & Okay, great. \\
\hline Becker: & To find out what was actually filed, and then we'll decide how best to proceed with anending that. \\
\hline Armstrong: & Steve, one more clarification. Did you say we have or have not had successful closure on the signing over of inventors' patents to the company? \\
\hline Becker: & I can't speak to that; Doug is working on that. 29 \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Armstrong: & Okay, will you put that in our list of things to do...or your strategy that that gets completed? \\
\hline Becker: & Yeah. \\
\hline Bernstein: & Yeah, and \(E, ~ I ~ j u s t ~ s i g n e d ~ a s ~ w e l l ~ a s ~ B r i a n ~ a n d ~ J u d e ~ a n d ~ e v e r y b o d y . ~ I t ' s ~ a ~ l a r g e, ~\) thick document, so Doug should have an update, Steve, as to what is exactly signed. I think it was everything, correct? And we've got everybody here. \\
\hline Armstrong: & I've got emails that indicate that that was all done mine months ago. \\
\hline Bernstein: & No, it was, \(B\), but then we filed patents; and then we thought the past was done, and now these new ones had to be done, so he came here, there was notaries here...it was, you know, it was a lot, but let's get an update on it. \\
\hline Anetromg : & If fuet want to mee it in muthey \\
\hline Utley: & In addition to that, everyone has individually signed a separate agreement with the company, cheving assigning to the company any intellectual property that's created as a result of their employment. \\
\hline Armstrong: & That I know. The key inventions, I just want to see that they've signed over because that's the value of the company right there. That's what I owin stock in. \\
\hline Bernstein: & Correct. Okay. So let's get an update, and I think we're pretty close. \\
\hline Armstrong: & Okay. \\
\hline Becker: & Eliot, why don't we go through the list of things that you've asked me to do so we can be perfectly clear on this? \\
\hline Bernstein: & Okay. \\
\hline Becker: & The first is to amend Ray's PCT application, at least the claims, so that we have a good filing there, at least based on whatever Ray has in his specification. That's task \#1. \\
\hline Bernstein: & Claims plus any additional language that's not new matter. \\
\hline Becker: & All right. \\
\hline Bernstein: & Okay. \\
\hline Becker: & You want a letter describing the... what was omitted or what was incorrect in this application filed Wednesday and to what extent that may have any bearing on rights. \\
\hline Bernstein: & Correct. \\
\hline Becker: & And also a course of action we feel is necessary to file new applications to amend these, make these corrections, or if there's something we feel we can do in an amendment that would not introduce new matter. \\
\hline Bernstein: & And our strategy going forward on this. By the way, that would mean our strategy as well on the video, correct? Because if there needs to be changes and the date did stick at \(8 / 10\), we need to make any changes we find by \(8 / 10\), correct? \\
\hline Becker: & Only if the changes are so substantial that they would jeopardize the ability of one skilled in the art to understand. \\
\hline Bernstein: & Okay, so critical errors. Okay. If we find them. \\
\hline Becker: & And that's why I think, you know...and if you're describing in your specifications how to make one, how to do it, provide most of the details. I mean, me've done a very detailed job of ... \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & No, I agree. I'm not...I agree. I see all that here. \\
\hline Becker: & Any time whatever we can get out of you guys in terms of describing how it works...that, in there when you describe a claim and there's an error, you know, there's an error in the math, will that dramatically affect and make it so somebody can't practice the invention at all, I don't know. \\
\hline Bernstein: & Right. So if it's critical by 8/10, it should be resolved. Correct? \\
\hline Becker: & With the video application, it doesn't help for us to go back and look at those. You guys go back and look at those and see if there's anything in there that you don't like. \\
\hline Bernstein: & Right. And if we find something in the claim, for example, that we don't like, we need to amend it by \(8 / 10\), right? \\
\hline Becker: & No. \\
\hline Bernstein: & Why? \\
\hline Becker: & Because the claims have to be supported by the specification as filed back on those dates, which were sometime in June... \\
\hline Bernstein: & Okay, but let's say all that fits, we also have the comercialization date. \\
\hline Becker: & The commercialization date... \\
\hline Utley: & I though simaudible comment to Bernsteins \\
\hline Bernstein: & So we can go change the claims. \\
\hline Becker: & Typically [ ] prosecutions, as long as they' re still supported by the specifications filed... \\
\hline Bernstein: & Right. So if we find any mistakes, we should change them, correct? In the video patents? \\
\hline Becker: & Yeah, as a general principle, that's a good idea. \\
\hline Bernstein: & okay, good. All right. I think that sums up what we need. Send the letter to Si, myself, and Brian. \\
\hline Becker: & That's not a complete list of what you asked for me to do. \\
\hline Bernstein: & What else have we got? Sorry? \\
\hline Becker: & You've asked me to email to Jim Armstrong the three video applications and the playback application-the one playback application- \\
\hline Bernstein: & Right. \\
\hline Becker: & Now with respect to the video application, we have both PCT and US filings. Do you want us to send both of those? They've essentially identical-in fact, they are identical except the... \\
\hline Bernstein: & No. Just one. \\
\hline Utley: & Send the US. \\
\hline Becker: & ```
All right, we'll send the US versions of those two. And we'll fax the figures. And
    element #4...Item #4 is to provide a written letter to Jim Armstrong
    regarding the assiqnment status of...
``` \\
\hline Bernstein: & Well, that's to everybody. That's to brian, Si, myself, Jim. \\
\hline Becker: & Brian, Si, Eliot, and Jim. \\
\hline Bernstein: & Right. Just giving us the update of where we are. \\
\hline Armstrong: & I think it's helpful to commuicate to the shareholders. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Well, let's get it first, then we'll commuicate at discretion, but I think we're there. \\
\hline Becker: & Okay, then, in terms of general things going forward: Eliot needs to be cc: d on all correspondence relating to patents. Should we continue our practice of sending things to Brian? \\
\hline Bernstein: & Yeah. \\
\hline Becker: & All right, we'll continue our practice of sending things to Brian and cc:ing Eliot with copies. \\
\hline Bernstein: & Right, and I'd appreciate if all that email comes to iviewit.com. Therefore, I have copied records. \\
\hline Becker: & Are you saying you only want us to correspond with you via email, not letters? Not... \\
\hline Bernstein: & No, but if it is emails, iviewit.com emails because that gives me complete copied records on tape backups. \\
\hline Armstrong: & Dewdon't send anything to any of us at a domain name other than iviewit.com, if you send it in email. \\
\hline Becker: & That's the instructions? \\
\hline Bernstein: & Right. \\
\hline Armstrong: & correct. \\
\hline Becker: & Don't send to any other email address besides one of your names at iviewit.com. \\
\hline Bernstein: & Correct. \\
\hline Becker: & Okay. Anything else in addition to those items? \\
\hline Bernstein: & Nope. Steve, I appreciate your taking the blunt end of this, I really do. \\
\hline Becker: & Well, I just wish you would not... \\
\hline Bernstein: & Well, we freaked out a little bit. You can understand that there's a reason to freak...I'm not just making this up. So based on that, let's try to resolve and move forward. \\
\hline Becker: & Anything else? \\
\hline Bernstein: & Nope. Thanks very much. \\
\hline
\end{tabular}
UniTEO States Patent Applications
IVIEWIT.COM PATENT STATUS REPOR
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline TITLE & OUR REE. & \[
\begin{aligned}
& \text { NVENTOR/ } \\
& \text { PATENTE }
\end{aligned}
\] & COUNTRY & \[
\begin{aligned}
& \text { SERIAL No. } \\
& \text { PATENT No. }
\end{aligned}
\] &  & ASSIGNEE & Remarks \\
\hline Systern and Method for Streaming an Enhanced Digital Video File & P010 & Eliot I. Bernstein Zakirul A. Shirajee & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 587,730
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 05 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Pending. \\
First Office Action received dated 11/10/03.
\end{tabular} \\
\hline \begin{tabular}{l}
System and Method for \\
Providing An Enhanced Digital \\
Video File
\end{tabular} & P011 & Eliot I. Bemstein Brian G. Utley Jude R. Rosario & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 587,734
\end{aligned}
\] & \begin{tabular}{l}
Filed \\
\(06 / 05 / 00\)
\end{tabular} & Iviewit Holdings, Inc. & Pending. \\
\hline System and Method for Playing a Digital Video File & P014 & Eliot I. Bernstein Zakinul A. Shirajee & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 091587,026
\end{aligned}
\] & Filed \(06 / 05 / 00\) & Iviewit Holdings, Inc. & Pending. \\
\hline \begin{tabular}{l}
System and Method for \\
Providing and Enhanced Digital Image File
\end{tabular} & P018 & Eliot I. Bernstein Brian Utey & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 630,939
\end{aligned}
\] & Filed \(08 / 02 \% 0\) & Not assigned. & \begin{tabular}{l}
Pending. \\
First Office Action received 10/29/03.
\end{tabular} \\
\hline Apparatus and Method for Producing Enhanced Digital Images & P017 & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 09 / 522,721
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 03 / 10 / 00
\end{aligned}
\] & Not assigned & \begin{tabular}{l}
Abandoned. \\
Claims benefir of Provisional Application No. 60/125,824. \\
Dead!ine to enter National Phase 9/23/01.
\end{tabular} \\
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Foreign Patent Applications
IVIEWIT.COM PATENT STATUS REPORT
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline title & OUR mee. & INVENTOR/ PATENTEE & Country & serkir, No. PATENT NO. &  & ASSIGNEE & hemarks \\
\hline System and Method for Streamiag an Enhanced Digital Video File & P010EP & Bliot I. Bernstein Zakin: A. Shiraje & EPO-Europe & \[
\begin{aligned}
& \text { Serial No. } \\
& 00938126.0
\end{aligned}
\] & Filed \(0602 / 00\) & Iviewit.com, Inc. & \begin{tabular}{l}
Pending. \\
Published: 3/06/02. \\
Publication No.: 1883870 \\
First Office Action received. Request for Extension to respond pending.
\end{tabular} \\
\hline System and Method for Streaming an Enhanced Digital Video File & Poborp & Eliot Y. Bemstein Zakirul A. Shirajee & Japan & \[
\begin{aligned}
& \text { Serial No. } \\
& 2001-502364
\end{aligned}
\] & Filed 06/02/00 & Iviewitcom, Inc. & Pending. \\
\hline \begin{tabular}{l}
System and Method for \\
Streaming an Enhanced Digital \\
Vidco File
\end{tabular} & P011EP & Ehot I. Bernstem, Zakirul A. Shirajee & EPO-Europe & \[
\begin{aligned}
& \text { Serial No } \\
& 00944619.6
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 6 / 20 / 2000
\end{aligned}
\] & Iviewit.com, Inc. & \begin{tabular}{l}
Pending. \\
Published: 3/20/02. \\
Publication No.: 1188318 \\
First Office Actiou received.
\end{tabular} \\
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\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \begin{tabular}{l}
System and Method for \\
Streaming an Euhanced Digital \\
Video File
\end{tabular} & P011JP & Eliot I. Bemstein, Zakirul A. Shirajee & Japan & \[
\begin{aligned}
& \text { Scrial No. } \\
& 2001-502362
\end{aligned}
\] & \[
\begin{aligned}
& \text { Fijed } \\
& 6 / 20 / 2000
\end{aligned}
\] & Iviewitcom, me. & Pending. \\
\hline Systen and Method for Providing and Enhanced Digital Image File & P0ISEP & Eliot I. Berustein Brian Utley & EPO-Europe & \[
\begin{aligned}
& \text { Serial No. } \\
& 009553520
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 08 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Pending. \\
Publistied: 5/2/2002 \\
Publication No.: 1200935
\end{tabular} \\
\hline \begin{tabular}{l}
System and Method for \\
Providing and Enhanced Digital Image Fite
\end{tabular} & P018JP & Eliot 1. Bernstein Brian Utley & Japan & \[
\begin{aligned}
& \text { Serial No. } \\
& 2001-514379
\end{aligned}
\] & Filed \(08 / 02 / 00\) & Iviewit Holdisgs, Inc. & Pending. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multicolumn{7}{|l|}{IVIEWIT.COM PATENT STATUS REPORT} & LAPSED PCT APPLICATIONS \\
\hline TITLE & OUR REF, & INVENTOR/ patentee & country & serial No. \(f\) PATENY NO. & Flemp/isste DATE & applicant & memarks \\
\hline Apparatus and Method for Producing Enhanced Digitai Lmages & P009PCT & Eliot I. Bernstein & \begin{tabular}{l}
Patent \\
Cooperation Treaty
\end{tabular} & \[
\begin{aligned}
& \text { Serial No. } \\
& \text { PCT/US } 00 / 07772
\end{aligned}
\] & \[
\begin{aligned}
& \text { Filed } \\
& 03 / 23 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisionat Application No. 601125824 (P00IZ).
\end{tabular} \\
\hline System and Method for Streaming an Enhanced Digial Video File & P010PCT & Eliot I. Bernstein & Patent Cooperation Treaty & Serial No.
PCT/USO//5408 & Filed \(06102 / 00\) & Iviewit Holdings, Inc. & \begin{tabular}{l}
lapsed. \\
Filed based on Provisional Application Nos. \(60 / 137,297\) (P002Z), 60/155,404 (P007Z) and 607169,559 (P008Z).
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Video File & P011PCT & Eliot 1. Bemstein & \begin{tabular}{l}
Patent \\
Cooperation Treaty
\end{tabular} & \begin{tabular}{l}
Serial No. \\
PCT/USOO/15405
\end{tabular} & \[
\begin{aligned}
& \text { Filed } \\
& 0602 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application No. 60/137,297 (PO02Z), 60/155,404 (P0072) and 60/169.559 (P008Z).
\end{tabular} \\
\hline System and Method for Playing a Digital Video File & P012PCT & Eliot I. Bernstein & Patent Cooperation Treaty & Serial No. PCT/USO)/15406 & \[
\begin{aligned}
& \text { Filed } \\
& 06 / 02 / 00
\end{aligned}
\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application No. 60/137.297(P002Z), 60/155,404 (POO7Z) and 60/169.559 (P008Z).
\end{tabular} \\
\hline System and Method for Video Playbuck Over a Network & P016PCT & Etiot I. Bernstein & Patent Cooperation Treaty & Serial No. PCT/US00/15602 & Filed \(0607 / 00\) & Iviewit Holdings, Inc. & \begin{tabular}{l}
Lapsed. \\
Filed based on Provisional Application Nos. 60/137,921 (P0032), 60/141,440 (P0042) and \(60 / 155,404\) (P007Z).
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Image File & P018PCT & Eliot 1. Bernstein & Patent Cooperation Treaty & \[
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\] & Iviewit Holdings, Inc. & \begin{tabular}{l}
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\hline Apparatus and Method for Producing Enhanced Digital Lmapes & P0012 & Eliod I Bemstein & United States & \[
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\] & Bernstein to Iviewit LLC to Iviewit Holdings, Inc. & \begin{tabular}{l}
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60/125,824
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IVIEWIT LLC to IVIEWIT HOLDINGS INC.,IVIEWIT TECHNOLOGIES, INC. to ALPINE
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Assigned: 01/06/00 \\
Reel/Frame: 010523/0526
\end{tabular} & POO9PCT and P018PCT filed based on this provistonal application. \\
\hline Apparatus and Method for Producing Enhanced Video Images & P002Z & Eliot I. Bernstein & Vnited States & \[
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Bernstein to Iviewit LLC to lviewit Holdings, Ine. \\
Assigned: 01/06/00 \\
Reel/Frame: 010523/0494
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POIOPCT and POIIPCT and POI2PCT filed based on this provisional application.
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\hline \multicolumn{6}{|l|}{} & & \\
\hline Apparatus and Method for Playing Video Files Across the Intermer & & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 137,921
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\] & Filed \(0667 / 99\) & Bernstein to lviewit LLC to lviewit Holdings, lnc. & Lapsed \\
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\end{tabular} & POLOPCT filed based on this provisional application. \\
\hline Apparaus and Method for Providing and/or Transmitting Video Data and/or Information in a Communication Network & P0042. & Elion I. Bernstein & United States & Serial No. 60/141,440 & \[
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Reel/Frame: 010523/0574
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BERNSTEIN \\
to IVIEWIT HOLDINGS INC. to IVIEWIT TECHNOLOGIES, INC. to ALPINE DATE:
\end{tabular}} & & \\
\hline Apparatus and Methol for Producing Enhanced Digital Images & PO05Z & Ehot I. Bernstein & United States & \[
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Assigned: 01/0600 \\
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Lapsed Provisional U.S. Patent Applications




CANNOT TALK TO EIB - NOT BERSNTEIN OR IVIEWIT
\(\begin{array}{llllll}\text { Zoom and Pan Imaging Using a PO20Z } & \text { Brian Uiley } & \text { United States } & \text { Serial No. } & \text { Filed } & \text { Not assigned. } \\ \text { Digital Camera } & & & 60 / 223,344 & 09 / 18 / 00 & \end{array}\)
\(\begin{array}{lllll}\text { Zoom and Pan Imaging Using a P020Z } & \text { Brian Uiley } & \text { United States Serial No. } & \text { Filed } & \text { Not assigned. } \\ \text { Digital Camera } & & 60 / 223,344 & 09 / 18 / 00 & \end{array}\)
,

\section*{EXHIBIT "G"}
\begin{tabular}{|c|c|}
\hline Comments & Problems with Application \\
\hline \multicolumn{2}{|l|}{101 - Here References 112 +113 claims, notitles 0101 - Here references (0118 but letter attached to fax is 117 , whats attached is} \\
\hline & This folder disappears from MLGS files, it is referenced as general which then becomes 5865 2 \\
\hline & Was this assigned MLGS/PR - Fail to disclose inventors - Failure to disclose invention process - Files 3 months \\
\hline & Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline & Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
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\end{tabular}

\(\stackrel{25}{3}\)

            True \& Correct
Inventors
Inventors
Listed On
Application

Eliot Bernstein \begin{tabular}{l} 
Eliot Bernstein + \\
Zakirul Shirajee + \\
Eliot Bosario
\end{tabular}
Eliot Bernstein +
Zakirul Shirajee +
Jude Rosario

\(\stackrel{\text { ® }}{\stackrel{\text { ® }}{\text { © }}}\)
Yellow \(=\) Mellzer Lippe (From Undated File \#196675.1
Green \(=\) Foley \& Lardner (Porffolio Date 102-119 6/13/2000) (Porlfolio Date 122,122,123 11/30/2000)
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        \(\begin{array}{ll}\substack{\text { Inventors } \\ \text { Listed On } \\ \text { Title of invention Application }} & \begin{array}{l}\text { True \& correct } \\ \text { Inventors }\end{array}\end{array}\)
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            Eliot Bernstein
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                    Operating other equipment
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\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline Application Number & MLGS & F\&L & BLAKELY & Greenberg & Status & Ttile of Invention & Inventors Listed On Application & True \& Correct Inventors & Filing Date & Priority & Country & Assignee Type & Comments & Problems with Application \\
\hline & & & P003 & & Prov Lapse & & Eliot Bernstein & & & & & Bernstein to lviewit LLC to IH Assigned 01/06/00 Reel Frame 0105230497 & & Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline 60/141,440 & 5865-4.1 & & & & & Apparatus \& Method for Providing and/or transmitting Video Data and/or Information in a Communication Network & Ellot Bernstein & Eliot Bernstein + Zakirul Shirajee + Jude Rosario + Jeff (Eliot + Jeff on remote concept using video from EIB + ZS + JR & 6/29/1999 & & & Not Filed & & Remote control camera with video and zoom and cameramounts. Goes missing this invention. Joao has similar patents now. Missing inventors \\
\hline 60/141,440 & & 57103/105 & & & Prov US & \begin{tabular}{l}
Apparatus \& Method for \\
Providing and/or transmitting Video Data and/or Information in a Communication Network
\end{tabular} & Eliot Bernstein & & 6/29/1999 & & US & IH Provisional & & Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline & & & P004 & & Prov Lapse &  & Eliot Bernstein & & & & & IHI 01/03/00 Reel Frame 0105230574 & & Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline \(60 / 146.726\)
60/146.726 & 5865-6 & & & & Prov US & \begin{tabular}{l}
Apparatus \& \\
Method for \\
Producing \\
Enhanced Digital \\
Images \\
Apparatus \& \\
Method for \\
Producing \\
Enhanced Digital
\end{tabular} & Eliot Bernstein
Eliot Bernstein & Eliot Bernstein + Zakirul Shirajee + Jude Rosario & \(8 / 2 / 1999\)
\(8 / 2 / 1999\) & & & Not Filed & & \begin{tabular}{l}
Missing inventors, missing proper disclosure \\
Fail to correct inventors, fix missing discloures \& notify
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\hline 60/146.726 & & 57103/106 & P005 & & Prov US

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Eliot Bernstein & & 8/2/1999 & & US & \begin{tabular}{ll} 
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Frame & \\
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\hline Application Number & MLGS & F\&L & BLAKELY

P017 & Greenberg & Status
us Pending & Title of Invention & \begin{tabular}{l}
Inventors \\
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Application
\end{tabular} & True \& Correct Inventors & Filing Date & Priority Country & \begin{tabular}{l}
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\end{tabular} & Comments & Problems with Application Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems. Never assign. BSZT lets this lapse losing original date, decide with Crossbow and Mondragon \\
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\end{tabular} & & 57103/111 & & & 4 PCT Pending & System \& Method for Streaming an Enhanced Digital Video File & \[
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& \text { Eliot Bernstein } \\
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This becomes limited to streaming and has wrong inventors, even after they meet with inventors \\
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\hline PCTNUSOOM? ??? & & 57103/112 & & & 2 PCT Pending & System \& Method for Providing an Enhanced Digital Video File & Eliot Bernstein
+ Jude Rosario
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\hline 09/???? & & 57103/114 & & & 4 US Pending & System \& Method for Streaming an Enhanced Digital Video File & \[
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& \text { Eliot Bernstein } \\
& \text { + Zakirul } \\
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Application
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\hline Assignee Type & Comments \\
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\hline International & Fax refers to 117 but 118 is attached, the client matter is bizarre \\
\hline Applicant IHI & Not only Foley Spread on Blakely find \\
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 Apparatus \&
Method for
Producing
Enhanced Digital
Images Apparatus \&
Method for
Producing
Enhanced Digital
Images
Enhanced Digital
Images Eliot Bernstein \(0002 / 01 / \varepsilon\)

d Eliot Bernstein +
Zakirul Shirajee +



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\section*{Title of Invention Application \(\begin{gathered}\text { Inventors } \\ \text { Listed On }\end{gathered}\)}
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Inventors \\
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\(\begin{array}{ll}\text { System \& Method } & \\ \text { for Video } & \text { Eliot Bernstein } \\ \text { Playback Over a } & + \text { Jeffrey } \\ \text { Network } & \text { Friedstein }\end{array}\)

Eliot Bernstein
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+ Jeffrey
Friedstein +
Brian Utley
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\section*{EXHIBIT H}

US PATENT 60/233,341

\section*{Eliot I. Bernstein}
\begin{tabular}{|c|c|}
\hline From: & Eliot I. Bernstein [iviewit@adelphia. net] \\
\hline Sent: & Tuesday, March 23, 2004 5:23 PM \\
\hline To: & \begin{tabular}{l}
'Huizenga Holdings, Inc. - H. Wayne Huizenga Jr.'; 'The Goldman Sachs Group, Inc.'. 'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Morris - Alan Epstein, Esq.''; 'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Morris - Michele Mulrooney, Esq. Michele Mulrooney, Esq.';' 'Huizenga Holdings Incorporated - Cris Branden'; 'Crossbow Ventures \({ }^{T M}\) - Stephen J. Warner'; 'Atlas Entertainment - Allen Shapiro President'; 'Benada Aluminum of Florida - Monte Friedkin, President'; 'Bridge Residential Advisors, LLC - James A. Osterling, President'; 'Cornell Partners - Caroline Prochotska Rogers, Esq.'; 'Crossbow Ventures \({ }^{\text {TM }}\) - René P. Eichenberger, Managing Director'; 'Flaster Greenberg P.C. - Marc R. Garber, Esq.'; 'dg_kane@msn.com'; P. Stephen Lamont (E-mail); Jude Rosario (E-mail 2); Zakirul Shirajee (E-mail); 'Law Office of Mark W. Gaffney'; 'UBS/Paine Webber Inc. - Mitchell Welsch'; 'Quintile Wealth Management - Kenneth Anderson, Partner'; 'Patty Daniels Town \& Country Studio - Patty Daniels, Owner'; 'Ellen Degeneres c/o Amber Cordero'; 'Richard D. Rosman, APC - Richard D. Rosman, Esq.';' 'Rock-lt Cargo USA Incorporated LA - Andrew R. Dietz'; 'Rock-lt Cargo USA Incorporated LA - Barry Becker'; 'Selz \& Muvdi Selz, P.A. - Steven Selz, Esq.'; 'Silver Young Fund - Alan Young'; 'Sony Pictures Digital Entertainment - Divisional ClO of Motion Pictures and Television'; 'Vulcan Ventures - David J. Colter, Vice President Technology'; 'Warner Bros. - John D. Calkins, Senior Vice President New Media Business Development'; 'Air Apparent Incorporated - Donna Dietz, President'; 'Anderson Howard Electric Inc.',' 'jarmstrong1@comcast.net'; John Bartosek (Business Fax); \\
'anthony. frenden@disney.com'; Chuck Brunelas (E-mail); Guy T. lantoni (E-mail); Jack P. Scanlan (E-mail); Jill lantoni (E-mail); Joan \& Jeff Stark (E-mail); Joseph A. Fischman (E-mail); Lisa Sue Friedstein (E-mail); Maurice R. Buchsbaum (E-mail); Mitchell Zamarin (E-mail); Mitchell Zamarin (E-mail 2); Mollie Anne DeKold (E-mail); Robert Roberman (E-mail); Sal Gorge (E-mail); George deBidart (E-mail); Ginger Ekstrand (E-mail)
\end{tabular} \\
\hline Cc: & 'Harry I. Moatz - OED Director of the United States Patent and Trademark Office' \\
\hline \multicolumn{2}{|l|}{Importance: High} \\
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\end{tabular}

\section*{Tracking: Recipient}

Delivery
'Huizenga Holdings, Inc. - H. Wayne Huizenga Jr.'
'The Goldman Sachs Group, Inc.'
'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Marris - Alan Epstein, Esq.'
'Hirsch Jackoway Tyerman Wertheimer Austen Mandelbaum \& Marris - Michele Mulrooney, Esq.
- Michele Mulrooney, Esq.'
'Huizenga Holdings Incorporated - Cris Branden'
'Crossbow Ventures \({ }^{\text {TM }}\) - Stephen J. Warner'
'Atlas Entertainment - Allen Shapiro President'
'Benada Aluminum of Florida - Monte Friedkin, President'
'Bridge Residential Advisors, LLC - James A. Osterling, President'
'Comell Partners - Caroline Prochotska Rogers, Esq.'
'Crossbow Ventures \({ }^{\text {TM }}\) - René P. Eichenberger, Managing Director'
'Flaster Greenberg P.C. - Marc R. Garber, Esq.'
'dg_kane@msn.com'
P. Stephen Lamont (E-mail)

Jude Rosario (E-mail 2)
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Zakirul Shirajee (E-mail)
'Law Office of Mark W. Gaffney'
'UBS/Paine Webber Inc. - Mitchell Welsch'
'Quintile Wealth Management - Kenneth Anderson, Partner'
'Patty Daniels Town \& Country Studio - Patty Daniels, Owner'
'Ellen Degeneres c/o Amber Cordero'
'Richard D. Rosman, APC - Richard D. Rosman, Esq.'
'Rock-It Cargo USA Incorporated LA - Andrew R. Dietz'
'Rock-It Cargo USA Incorporated LA - Barry Becker'
'Selz \& Muvdi Selz, P.A. - Steven Selz, Esq.'
'Silver Young Fund - Alan Young'
'Sony Pictures Digital Entertainment - Divisional CIO of Motion Pictures and Television'
'Vulcan Ventures - David J. Colter, Vice President Technology'
'Warner Bros. - John D. Calkins, Senior Vice President New Media Business Development'
'Air Apparent Incorporated - Donna Dietz, President'
'Anderson Howard Electric Inc.'
'jarmstrong1@comcast.net'
John Bartosek (Business Fax) Failed: 3/23/2004
5:23 PM
'anthony.frenden@disney.com'
Chuck Brunelas (E-mail)
Guy T. Iantoni (E-mail)
Jack P. Scanlan (E-mail)
Jill Iantoni (E-mail)
Joan \& Jeff Stark (E-mail)
Joseph A. Fischman (E-mail)
Lisa Sue Friedstein (E-mail)
Maurice R. Buchsbaum (E-mail)
Mitchell Zamarin (E-mail)
Mitchell Zamarin (E-mail 2)
Mollie Anne DeKold (E-mail)
Robert Roberman (E-mail)
Sal Gorge (E-mail)
George deBidart (E-mai)
Ginger Ekstrand (E-mail)
'Harry I. Moatz - OED Director of the United States Patent and Trademark Office'
Dear Shareholders and Friends of Iviewit,
Today Iviewit's worst fears were realized when the United States Patent and Trademark Office (USPTO) contacted me regarding a certain provisional patent application in Mr. Brian Utley's name that we are supposed to have as the possession of Iviewit. I have attached the correspondence from the USPTO, which basically states that since neither Iviewit nor myself are listed on such applications we have no rights, title or interest in the patent application. Therefore, the USPTO cannot disclose any information regarding the application to us. I am astounded that our counsel Foley \& Lardner who filed the application for Utley and Blakely Sokoloff Zafman and Taylor have never told us of this issue and never reported this to any authorities. In fact they made it part of the

``` Company portfolio.

More disturbing is that this patent application has been listed on all of our portfolios (I have attached an excerpt from our most recent portfolio) prepared by the law firms Foley and Lardner and distributed to shareholders and investors as property of Iviewit. I am uncertain which application of Utley's this is ("Zoom \& Pan Imaging on a Digital Camera" or "Zoom \& Pan Imaging Design Too|") but either way it is not our property as represented on the portfolios. There has never been assignment by Utley or any of the law firms to the Company. I am saddened to report this loss to all of you but this is the case. There are several other patents Utley has found his way onto and we are also attempting to correct those. I am not sure what crimes this constitutes but I am checking with counsel as to our remedies.

As I have stated prior, Mr. Utley and Mr. William Dick, Esq. of Foley and Lardner have had similar patent problems in the past, which led to the loss of a business Utley ran for another South Florida businessman. Chris Wheeler our attorney from Proskauer Rose had set a company up for Utley, in which Dick and Utley wrote patents into, patents that related to Mr. Utley's employment as President of a lawnmower company Diamond Turf Equipment. The patent applications were for lawnmower stuff and Utley would not assign them to his employer when he was caught, he was fired with cause (opposite of what the resume submitted to all of you stated) and the company was forced to close, the owner taking a three million dollar loss.

I have been working with the USPTO who is looking into these matters and a team of their agents to attempt to attempt correct everything so that your investment may one day inure benefits to you, not Utley et al. I have found out that several patents we thought were assigned to the Company and its investors by our attorneys also have never been completed despite what we have been told. I will keep everyone posted as we find out more. Finally, I have attached an inventor change form, one of several that we have filed with the USPTO to correct this Utley insertion and deletion of Zakirul and Jude and inventors and it is signed by Stephen Warner of Crossbow Ventures who has recently been very helpful in his efforts to help the Company.

I truly am sorry for any misleading information that was distributed by these firms and it was no fault of the Companies (except in regards to Utley et al.) as we too were misrepresented. My heart nevertheless is truly broken with this news for all concerned.

Thank you,

Eliot I Bernstein
Founder
I View It Technologies, Inc.
10158 Stonehenge Circle
Suite 801
Boynton Beach, FL 33437-3546
561.364.4240
iviewit@adelphia.net

THIS MESSAGE AND ITS EMBEDDED FILES INCORPORATED HEREIN CONTAIN INFORMATION THAT IS PROPRIETARY AND CONFIDENTIAL PRIVILEGED INFORMATION. IF YOU ARE NOT THE INTENDED RECIFIENT, YOU ARE PROHIBITED FROM READING, OPENING, PRINTING, COPYING, FORWARDING, OR SAVING THIS MALL AND IT'S ATTACHMENTS. PLEASE DELETE THE MESSAGE AND ITS EMBEDDED FILES WITHOUT READING, OPENING, PRINTING, COPYING, FORWARDING, OR SAVING THEM, AND NOTIFY THE SENDER IMMEDIATELY AT 561.364 .4240 . IF YOU ARE THE INTENDED REGIFIENT, YOU ARE PROHIBITED FROM FORWARDING THEM OR OTHERWISE DISCLOSING THESE CONTENTS TO OTHERS, UNLESS EXPRESSLY DESGNATED BY THE SENDER. THANK YOU!

Aricle 1, section 3, clanar 8 of the Unibs Stats Censtiution provides:



\section*{CONFIDENTIAL}

Ken,
Thank you again for your most valuable information. I was inquiring regarding application number \(\mathbf{6 0 / 2 3 3}, 341\) and if \(I\) could get the owner, inventor and assignee information on this application.

Thank you,

\section*{Eliot Bernstein}

To: Kenneth Weider
From :
Pages: 1
For Information Call:
Fax Number :


\title{
TELECOPY/FACSIMILE TRANSMISS:":ON COVER SHEET
}

DATE: \(3 / 23 / 03\)
SERIAL \#: \(\qquad\) Ref \#: \(\qquad\) TO: \(\qquad\)
\(\qquad\)

COMPANY OR FIRMS)
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\]
(VOICELINE NO.)
FROM:
\(\frac{K}{(\text { NAME })}\)
\(\frac{703-30.5-47 C O}{\text { (VOICELINE NO.) }}\)

NUMBER OF PAGES 2 (including tais page)

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TECHNOLOGY CENTER 2600
TELEFAX MACHINE: 703-305-3991
TELEFAX LOCATION: CRYSTAL PARE 2, ROOM 8A36

\section*{CONFIDENTIAL}

Ken,
Thank you again for your most valuable information. I was in juiring regarding application number \(60 / 233,341\) and if I could get the owner, in enter and assignee information on this application.

Thank you,
Eliot Bernstein
Reply:

I am unable to provide you time InFORmation requested above

KENNETH WILDER
SPECIAL PROGRAM EXAMINER TECHWLOGYCENTEA ISO
\begin{tabular}{|l|}
\hline To: Kenneth Weider \\
\hline From: \\
\hline Pages: 1 \\
\hline For Information Call: \\
\hline Fax Number: \\
\hline
\end{tabular}

Fax Number :

\section*{CONFIDENTIAL}

Ken,
Can you please state the reason that you cannot provide such information to me or Iviewit.

Eliot

To: Kenneth Weider
From :
Pages: 3
For Information Call:
Fax Number :


\title{
TELECOPY/FACS MILE TRANSMISSION COVER SHE: \(T\)
}

DATE:


SERIAL \#: \(\qquad\) \(/\) \(\qquad\) Ref \#: \(\qquad\)


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TELEFAX MACHINE: 703-305-3991
TELEFAX LOCATION: CRYSTAL PARK 2, ROOM BA 36

\section*{CONFIDENTIAL}

Ken,
Can you please state the reason that you cannot provide such information to me or Iviewit.

\section*{Eliot}


\section*{Eliot Note:}

USPTO cannot give information to Iviewit or Eliot Bernstein because we are not listed on the application and have no rights, title or interest in it. USPTO will not even discuss with lviewit any details of this patent which is listed in the name of Brian Utley. All portfolios prepared by our attorneys with this patent as the property of Iviewit are blatantly false and misleading.

\section*{To: Kenneth Weider}

From:
Pages: 3
For Information Call:
Fax Number :

IVIEWIT.COM PATENT PORTFOLIO


This portfolio was prepared and submitted by Williarn Dick for the Virginia Bar and further corresponds to the one prepared by Foley and Lardner after Utley was found with two sets of patent books. Prior, Utley only patents were not in any records. Further it is wrong to list assets like 341 which are not the property of the Company on a patent portfolio that is distributed to shareholders and investors.

\title{
U.S. PROVISIONAL PATENT APPLICATION
}

\author{
for \\ \section*{ZOOM AND PAN IMAGING} DESIGN TOOL
}

\section*{Inventors:}


Brian G. Utley
1930 SW \(8^{\text {th }}\) Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.
disagreement as to ownership of the intellectual property.

By MR. SELZ:
Q. There was a dispute?
A. Yes.
Q. Did you ever advise the owner of Diamond Turf that you were going to patent these intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting those or after?
A. They were never, they were not patented.
Q. Okay. They were not patented. Was the application for patent made?
A. No.
Q. Since your employment with Iviewit.com or Iviewit, yeah, dotcom, LLC, what patents have you taken out in your name, sir?
A. I have not taken out any patents in my name, other than what has been appended to patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held by Iviewit and you're named as a co-inventor; is

\footnotetext{
'at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)
}
A. Yes.
Q. And Iviewit would be listed as a primary patent holder; is that how it would be?
A. They were assigned to Iviewit.
Q. 'lhey were assigned to Iviewit. Are you aware of any police report that was ever filed involving Mr. Mike Real and yourself?

MR. PRUSASKI: Objection, relevance.

By MR. SELZ:
Q. Go ahead and answer the question, if you can, sir.
A. There was a dispute over the nature of the equipment that \(I\) bought from Iviewit as --
Q. Well, that really wasn't my
question. My question was are you aware of a police report? And it's really a yes or no type of answer.

MR. PRUSASKI: Objection, relevance.

THE WITNESS: I believe there was a report.

By MR. SELZ:
Q. Okay. Do you know who filed that report?
A. Iviewit filed that report as far as

\author{
Foley \& Laroner \\ ATTORNEYSATTAW \\ FIRSTAR CENTER \\ 777 EAST WISCONSIN AVENUE \\ MILWAUKEE, WISCONSIN 53209-5367 \\ TELEX 26-819 \\ (FOLEY LARD MIL) \\ FACSMILE (4:4) 297-4900 \\ TELEPHONE (414) 271-2400
}
```

IVIEWIT.COM DATE: October 11, 2000
Attn: Mr. Brian G. Jtley, President
One Boca Elace
255 Glades Road, Suite 337 Nest
Boca Raton, EL 33431

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                    SUMMAPV OE OUTSTANDING INVOICES
                    TOTA DUE FROM ENCLOSED INVOICE S 5.258.52

TOTAL AMOUNE DUE \(\$ \quad 173.925 .35\)
    Please Reference Your Aceount Number 057103-0101 And Invcice Number (s) With
        Your Remittance Payable To FOLEY \& LARDNER.
        Foley \(\dot{6}\) Lardner Federal Employe: Numbe: : 35-0473800

FOLEY \& LARDNER
ATORAEYSATLAW
FIRSTAR CENTER
777 EAST WISCONSIN A VENUE
MILWALKEE, WISCONSIN 53202-5367
TELEX 26-819
(FOLEY LARD MIL)
FACSMAJE (414) 297-4900
TELEPHONE (414) 271.2400
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IVEEWIT.COM
AEtri: Mr. Brian G. TEley, Dresicent
One Boca Flace
2255 Glades Roaci, Suite 337 Wesc
Boca Ratorl, FL 33437

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September 1, 2000
through
September 30. 2000
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GENERAZ FILE \& S. \&0.82
057103-0101
PCT INTERNATIONAL APPL, FOR "APRARATUS AND \$ \$ 527.85
MENHOD FOR FRODUCING ENHANCED DIGITAL IMAGES"
(BERNSTEIN, ESTOT) (MIG \#5865-20]
057103-0110
ECT INTERNATIONAL DAT. APPL. FOR "GYSEEM AND \$ \$08,75
METHOD FOR STREAMING AN ENEZNCED SIGITAL VIDEO
FILE" (BERNSTEIN ET A...
057203-0111
PCG INTERNATIONAL, PAT. APRL, FOR MSYSTEM ANE \$ \$ 180.00
METHOD FOR GENEPATING MN ENHANCED UIGITAL VEDEO
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PCT PATENT APFL. FOR "SYSTEM AND METHOD FOR \$0, 75
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057103-0113

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STREAMING AN ENHAREED DIGITAS VEDEO FIIE"
(BERNSTEIN ET AL.) (3ASED ON 057103-0111)
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057103-0116

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(BERNSTETN EE RL.)
(BERNSTETN EE RL.)
057103-0118.
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057103-0118.

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METHOD EOR EROVIDING AN ENHANCED DIGITAL IMBGE"
(BERNSTEIN)
057203-0120
U.3. PROVIGIONAL PATENT APPLICATION FOR "ZOOM 3 2.001.00
AND PAN IMAGING USING A DIGITAL CAMERA."
(UTLEY ET AE.)
057103-0122



Please Reference Your Account Number 057103-0101 And Youn Invoice Number 21071917 with Your Remittance payable To FOLEY \& LARDNER.
\[
\text { Foloy be Eardney Federal Employer Number: } 39-0473000
\]
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U.S. PROVISIONAL PATENT APPLICATION FOR "ZOOM
AND PAN IMAGING USING A DIGITAL CAMERA"
(UTLEY ET AL.)
057103-0122

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\section*{SERVICES}
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00/14/00 DABO Conference with Mr. Utley regazding Hew invention;pexform prei minary background search and reviewresults.

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09/18/00 DAEO Conferences with and sorresponcence with Mr. Weieyregarding imvention; prepare, revise, and fileProvisional Datent Application.

प9/20/00 DABO COnEerence witi. Mr. Uteley regarding invention and grion art: review file and prepare notes megarding same.
\begin{tabular}{|c|c|c|c|c|}
\hline ATTORNEY/ 2 ARALEGAL & INIT & HOUPS & F2TE & DOLLARS \\
\hline \multirow[t]{2}{*}{Douglas A. Boehm} & DAB0 & 5.80 & 343.00 & 2,001.00 \\
\hline & TOTALS: & 5.80 & \$ & 2,001.00 \\
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\section*{EXPENSES INCURRED}

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U.S. PROYISIONAL PATENT' APPL.-- FOR "GOOM AND PAN
IMAGING DESIGN TOOL"
(UTLEX. GRIAN G.)
057203-0123
SERYICES
$09 / 13 / 00$ DABO Conferences with and correspondence with Mr. Utley regarding invention: prepare, revise, and Eils Provisional Patent Application.

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A Limited Liabu ti farthens hip including Law Corporations

Telephicne 1310; 207.3800
Factumle (310)820-5988 (310) 820-5270
astz_mall © C 日STE.COM
HWN BETZ COM
in IELLECTUAL PROPEATY LAW
12400 WILSHIFE BOULEVARD
SEventh Floor
LOS ANGELES, CA 90025-1026

August 4, 2001

\author{
Othea Offices \\ Austis, TX \\ Sunnryale, CA \\ Costamesa, CA \\ §AN DREOi La JOLLA, CA \\ Portand / Lake oswego, on \\ SEATLE/Klikland, WA \\ Denver/ Ehgleyodo co
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\section*{Sonifmatio: Copy}

CONFIDENTIAL COMMUNICATJON
ATTORNEY+ClIENT PRIVILEGED
VIA E-MAlL
(And Confirmation By Mail)

Eliot Bernstein
IvIEWIT.COM, Inc.
505 North Brand Boulevard, Suite 1420
Glendale, California 91203
Re: Powers of Attorney for Six PCT Applications:
\begin{tabular}{|c|c|}
\hline \begin{tabular}{l}
Apparatus and Method for Producing \\
Enhanced Digital Images \\
Serial No. PCT/U500/07772 \\
Our File No. 005707.P009PCT \\
Foley's Reference No. 110
\end{tabular} & \begin{tabular}{l}
System and Method for Playing a Digital \\
Video File \\
Serial No. PCT/US00/15406 \\
Our File No. 005707. P012PCT \\
Foley's Reference No. 113
\end{tabular} \\
\hline \begin{tabular}{l}
System and Method for Streaming an Enhanced Digital Video File \\
Serial No. PCT/US00/15408 \\
Our File No. 005707.P010PCT \\
Foley's Reference No. 111
\end{tabular} & \begin{tabular}{l}
System and Method for Video Playback Over \\
a Network \\
Serial No. PCT/US00/15602 \\
Our File No. 005707. P016PCT \\
Foley's Reference No. 118
\end{tabular} \\
\hline System and Method for Providing an Enhanced Digital Video File Serial No. PCT/US00/15405 Our File No. 005707.P011PCT Foley's Reference No. 112 & System and Method for Providing an Enhanced Digital Image File Serial No. PCT/US00/21211 Our File No. 005707.P018PCT Foley's Reference No. 120 \\
\hline
\end{tabular}

Dear Eliot:
Being e-mailed (and enclosed herewith) are six (6) Powers of Attorney for the subject PCT Patent Applications, one Power for each inventor named in any one or more of the PCT patent applications, and one Power for the corporation, Iviewit Holdings, Inc. Three of the Powers reguire your signature, as follows: (i) one by you in your individual capacity; (ii) a second by you in your capacity as designee of the corporation to sign on behalf of Brian Utley (we hope the PCT Office will recognize Utley's having granted a Power of Attorney to his corporate employer); and (iii) a third by you for the corporation in your capacity as its Secretary. Kindly sign where your

\section*{BLAKELY SOKOLOFF TAYLOR \(\mathcal{Q}\) ZAFMAN LLP}

A LIMITED LIABILTY Paftenehship
Imcludine Law Corporations
Eliot Bernstein lviewit.com, lNC.

August 4, 2001
Page 2 of 2
signature is indicated on the three Powers of Attorney and return the original executed Powers to our office via mail (we need to have each Power with an original signature). Also fax each Power to us at (310) \(820-5988\), to expedite the process.

As we discussed, we request that you also forward each of the three remaining Powers to Jude R. Rosario, Jeffrey S. Friedslein and Zakirul A. Shirajee, respectively, for their signatures. Kindly instruct each of them to execute the Powers and to return the originals to our office by mail. In order to expedite the matter, request each of them to fax a copy to us, if possible.

If you have any questions, please feel free to contact my Assistant, Jan Gass. We appreciate your attention to getting the subject Powers executed and returned to us. We will then attend to their filing with the PCT Office.

Best personal regards,
BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN, LLP


Norman Zafman
NZ/jg
Enclosures
cc: Ross Miller (w/Enclosures via E-Mail)

\section*{P.S. to Ross Mitier:}

Ross, please attend to getting a Board Resolution appointing Eliot as the corporation's designee for signing the subject Power on behalf of Brian Utiey. We talked about this in the context of giving Eliot comfort; however, the PCT Office may well request such a Resolution (in addition to a copy of Utley's Employment Agreement, which we already have).
NODE 0 PT 10 A AOBRESS (GROUP)

\section*{Facsimile Transmission}

Total \# of Pages 4 (including this page)


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Total \# of Pages 4 (including this page)
\begin{tabular}{|l|c|c|}
\hline \multicolumn{1}{|c|}{ TO: } & PHONE: & FAX \#: \\
\hline Brian Utley & \(561-999-8899\) & \(561-999-8810\) \\
\hline
\end{tabular}

From: Barry L. Grossman
Sender's Direct Dial: 4142975724
Date: May 2, 2001
Cllent/Matter No: 057103/0101
Uner ID No: 2030
'01MAH2 16:45

\section*{MESSAGE:}


This comes after Utiley is fired with cause and this file number does not match the patent fille number

If there are any problems with this transmission or if you have not received all of the pages, please call (414) 297-5444.
\begin{tabular}{|lr|}
\hline Operator: & Time Sent: \\
& Return Original To: \\
& Barry L. Grossman \\
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\end{tabular}

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\section*{FOLEY \& LARDNER \\ ```
ATTORNEYS ATMLAW
```}

BRUSSELS
CHICAGO
DENVER
DETROT JACKSONVILE
LOS ANGELES
MADISON
MILWAUKEE

Emall ADDRESS bgrossman@foleylaw.com

FIRSTAR CENTER 777 EAST WSCONSIN AVENUE MILWAUKEE, WSCONSIN 53202-5367

TELEPHONE \((414\} 271-2400\)
FACSIMILE (414)297-4900

WRTER'S DIRECT LINE
(414) 297-5724

ORLANDO
SACRAMENTO
SAN DIEGO
SAN FRANCISCO
TALLAHASSEE
TAMPA
WASHINGTON, D.C. WEST PALM BEACH


BY FACSIMILE

Mr. Brian G. Utley
President \& COO
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: Provisional Patent Application No. 60/233,341
(Our File No. 57103/0123)
Dear Mr. Utley:
I sent you an e-mail message concerning the referenced application. As stated in that message, on September 18, 2000 a provisional patent application was filed on your behalf. The title of the application is "Zoom and Pan Imaging Design Tool". The application number is \(60 / 233,341\). Our file number is \(57103 / 123\). Mr. Boehm informed you of the filing of the provisional application in a letter dated September 22.

The application was filed without paying a filing fee in accordance with Patent Office rules that permit this procedure. In my letter of February 26, 2001, I advised you that the filing fee must be paid in order to maintain the pendency of this application. I received no response to my letter.

The time for paying the filing fee may be extended until June 4 at the latest by paying substantial extension fees to the Patent and Trademark Office. If you choose to send in the fees, I am forwarding to you a copy of the form that must be returned with your reply to the Patent Office, the Notice to File Missing Parts.

\section*{Foley \& Lardner}
- Mr. Brian G. Utley

Iviewit.com, Inc.
May 2, 2001
Page 2

We will take no further action in this case. Please let us know if you would like us to return this file to you.

\begin{tabular}{l} 
eliot \\
Note \\
\hline There is mo carbon copy on this letter as Foley \\
was requested to provide on all patent matters \\
and it cones after Utley is terminated. Frior to \\
this the Company had no records of this \\
application. \\
\\
\hline
\end{tabular}
APPLICATION NUNIBER \(\quad\) FILING/RECEIPT DATE \(\quad\) FIRST NAMED APPLICANT \(\quad\) ATTORNEY DOCKET NUMBER

\title{
NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION
}

FILED UNDER 37 CFR 1.53(c)

Filing Date Granted
An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \$150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\$ \mathbf{2 0 0}\).

A copy of this notice MUST be returned with the reply.

\section*{Grossman, Barry L.}
\begin{tabular}{ll} 
From: & Grossman, Barry L. \\
Sent: & Wednesday, May 02, 2001 4:11 PM \\
To: & 'Brian G. Utley' \\
Subject: & RE: Provisional Patent Application
\end{tabular}

On September 18, 2000 a provisional patent application was filed on your behalf. The title of the application is "Zoom and Pan Imaging Design Tool". The application number is \(60 / 233,341\). Our file number is \(57103 / 123\). Mr. Boehm informed you of the filing of the provisional application in a letter dated September 22. The application was filed without paying a filing fee in accordance with Patent Office rules that permit this procedure. In my letter of February 26, 2001, I advised you that the filing fee must be paid in order to maintain the pendency of this application. I received no response to my letter. The time for paying the filing fee may be extended until June 4 at the latest by paying substantial extension fees to the Patent and Trademark Office.

We will take no further action in this case. Please let us know if you would like us to return this file to you.
Barry Grossman
Foley \& Lardner
ph.: 414-297-5724
e-mail: bgrossman@foleylaw.com
The information transmitted is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you received this in error, please contact the sender and delete the material from any computer.

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elfot 3/24/2004 10:49:5s PM \\
Note \\
\hline Supposedly sent to lviewit fax \\
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\end{tabular}

FOLEY \& LARDNER ATTDRNEYS AT L-AW FIRTTAR CENTE

\section*{FACSIMILE TRANSMISSION}

Total \# of Pages \(\mathbf{3}\) (including this page)
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\hline TO: & PHONE: & FAX \#: \\
\hline Mr. Brian G. Utley (Iviewit.com) & (561) 999-8899 & (561) 999-8810 \\
\hline
\end{tabular}

From: Barry Grossman
Sender's Direct Dial: (414)297-5.571
Date: February 26, 2001
Client/Matter No; 57103/123
User ID No: 2030

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\hline Mr. Brian G. Utley (Iviewit.com) & \((561) 999-8899\) & \((561) 999-8810\) \\
\hline
\end{tabular}
\begin{tabular}{|rl|}
\hline From: & Barry Grossman \\
Sender's Direct Dial: & \((414) 297-5571\) \\
Date: & February 26, 2001 \\
Client/Matter No: & \(57103 / 123\) \\
User ID No: & 2030 \\
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\section*{MESSAGE:}

The Company has ho record of this untilliles are transferred from Foley to BSZT

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\begin{tabular}{|lc|}
\hline Operator: & Time Sent: \\
& Return Original To: \\
& JLB \\
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\end{tabular}

CONFIDENTIALITY NOTICE: THE INFORMATION CONTAINED IN THIS FACSIMMLE MESSAGE IS INTENDED ONLY FOR THE PERSONAL AND CONFIDENTIAL USE OF TME DESIGNATED RECIPIENTS NAMED ABOVE. THIS MESSAGE MAY BE AN ATTORANYYCLIENT COMMUNICATION, AND AS SUCH IS PRFVILEGED AND CONFIDENTIAL. IF THE READER OF THIS MESSAGE IS NOT THE INTENDED recipient or any agent responsigle for delivering it to the intended recipient, you are hereby notified that you HAVE RECEIVED THIS DOCUMENT IN ERROR, AND THAT ANY REVIEW, DISSEMINATION, DISTRIEUTION OA COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR, PLEASE NOTIFY US IMMEDIATELY BY telephone and return the original message to us by mail. thank you.
\begin{tabular}{|c|c|c|}
\hline & Attornets at law & ORLANDO \\
\hline BRUSSELS & FIRSTAR CENTER & SACFAMENTO \\
\hline CHICAGO & 777 EAST WSCONSIN AVENUF & SAN [YEGO \\
\hline DENVER & MILWAUKEE, WISCONSIN 53202-5367 & SAN FRANCISCO \\
\hline DEIROT & TELEPHONE (4 14: \(271-2400\) & TALLAHASSEE \\
\hline JACKSONVILLE & FACSIMILE (4|4) 297.4900 & TAMPA \\
\hline LOS ANGELES & & WASHINGTON, D.C. \\
\hline MADISON & & WEST PALM BEACH \\
\hline MILWAUKEE & & \\
\hline EMAIL ADDRES5 bgrossman@foleylaw.com & VIA FACSIMILE & WRITER'S DIRECT LINE
(414) 297-5724 \\
\hline
\end{tabular}

February 26, 2001

Mr. Brian G. Utley
President \& COO
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: U.S. Patent Application No. Filing Date: 8/02/2000
Title: Zoom and Pan Imaging Design Tool
Inventor(s): Utley, Brian G.
Our Ref.: 57103/123

Dear Mr. Utley:
A payment is due to the Patent Office in order to keep the referenced application pending. In order to expedite filing of the application and to defer payment of the filing fee, the referenced application was filed in the Patent Office without paying the filing fee. Patent Office rules permit this procedure. The filing fee is now due. In order to avoid abandonment of the application, we will need to file the executed Declaration with the necessary fees on or before April 04, 2001. If you want to maintain this application, please send us a certified check in the amount of \(\$ \mathbf{4 2 0 . 0 0}\) as soon as possible so we can get this taken care of in an orderly fashion.

Because of the long overdue status of your account, we cannot pay the fees on your behalf without advance payment in full. We will require a certified check or if you prefer you may wire transfer the payment. I will send you account information if you want to use a wire transfer.

\section*{Foley \& Lardner}

Mr. Brian G. Utley
February 26, 2001
Page 2
If you have any questions regarding this matter, please do not hesitate to contact me.

\section*{cc: Raymond Hersh}



United States Patent and Trademark Office
COMMISSIONER FOR PATENTS Unite o States Patent and Trademark Office WASHINGTON. DEC. 20 ElI Wrwiuspogor
\begin{tabular}{|c|c|c|c|}
\hline APPLICATIONNLMBER & FILINGRECEIPTDATE & FIRST NAMED APPLICANT & ATTORNEY DOCKET NUMBER \\
\hline \(60 / 233,341\) & \(09 / 18 / 2000\) & Brian \(G\). Utley & \(57103 / 123\)
\end{tabular}

Foley \& Lardner
777 East Wisconsin Avenue Firstar Center Milwaukee, WI 53202-5367


An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \$ 150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\$ 200\).

A copy of this notice MUST be returned with the reply.

United States Patent and Trademark Office
COMMISEIONER FOR PATENTS
United States fatent amd Trademark Office
 Firstar Center
Milwaukee, WI 53202-5367
- OCOOOOOOOO5592300*

Date Mailed: 12/04/2000

\section*{NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION}

\section*{FILED UNDER 37 CFR 1.53(c)}

\section*{Filing Date Granted}

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \$150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\mathbf{\$ 2 0 0}\).

A copy of this notice MUST be returned with the reply.

Cistomer Service Genter
Initial Patent Examifation Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline APPLICATION NUMEER & FLLING DATE & GRF ART UNTT & FILFEE REC'D & ATTYDOCKET NO & DRAWINGS & TOT CLAIMS & IND CLAIMS \\
\hline \(60 / 233,341\) & \(09 / 18 / 2000\) & 0 & \(57103 / 123\) & 7 & & \\
\hline
\end{tabular}

Foley \& Lardner
777 East Wisconsin Avenue Firstar Center
Milwaukee, WI 53202-5367


FILING RECEIPT

*OC000000005592299*

Date Mailed: 12/04/2000

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


Brian G. Utley, Boca Raton FL;
Continuing Data as Claimed by Applicant

\section*{Foreign Applications}

If Required, Foreign Filing License Granted 12/01/2000


Title Zoom and pan imaging design tool
Preliminary Class

\section*{LICENSE FOR FOREIGN FILING UNDER}

\section*{Title 35, United States Code, Section 184}

\section*{Title 37, Code of Federal Regulations, 5.11 \& 5.15}

\section*{GRANTED}

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15 (b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14 .

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 C.FR 1.53 (d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical cata. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121 128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury ( 31 CFR Parts \(500+\) ) and the Department of Energy.

\section*{NOT GRANTED}

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181 , the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

\section*{PLEASE NOTE the following information about the Filing Receipt:}
- The articles such as "a," "ari" and "the" are not included as the first words in the titie of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFF 1.10 , your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

\section*{Assistant Commissioner for Patents}
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}


\section*{PROVISIONAL PATENT APPLICATION TRANSMITTAL}

Assistant Commissioner for Patents Box PROVISIONAL PATENT APPLICATION
Washington, D.C. 20231

Sir:
Transmitted herewith for filing under 37 C.F.R. § 1.53(c) is the provisional patent application of:
\(\longrightarrow\)\begin{tabular}{l} 
Brian G. Utley \\
1930 S.W. 8th Street \\
Roca Rato, Florida 33486
\end{tabular}

Enclosed are:
[ X ] Specification, Claim (s), Abstract, and Figures pages).
[ ] Assignment of the invention to Iviewit.com, Inc..
[ ] Small Entity statement.

The filing fee is calculated below:

[ ] A check in the amount of \(\$ 75.00\) to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

\section*{Date \\ \(\qquad\)}

FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014
 Respectfully,
Foley \& Lardner

Title: Zoom and Pan Imaging Design Tool Inventor(s): Utiey

Dkt. No. \(57103 / 123\)
Appl. No.: Unknown DABO (9/18/00)
112
- Transmittal of Patent Application (2 pgs.);
- Patent Application Specification and Figures

Assistant Commissioner for Patents:
Please acknowiedge receipt of the above-identified docyments by applying the U.S. Fatent and Trademark Office reçipt stamp hereto and mailing this card.

Respectfully,
Foley \& Lardner

0
\(n\)
\(n\) \(\underset{4}{\infty}\)


 L. 57103 FRESS HARD.
You are makng 3 copies. FOR PICKUP OR TRAGKING CALL 1-800-222-1811

\title{
U.S. PROVISIONAL PATENT APPLICATION
}

\author{
for \\ \section*{ZOOM AND PAN IMAGING} DESIGN TOOL
}

\section*{Inventors:}


Brian G. Utley
1930 SW \(8^{\text {th }}\) Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.

\section*{FoLEY \& LARDNER}
attorneys firstar cen 777 EAST WISCONS MILWAUKEE, WISCONSIN TELEPHONE (4 | 4) FACSIMILE (4|-4)
ylar Bhe

CHICAGO
DENVER JACKSONVILLE. LOS ANGELES madison Milwaukee ORLANDO

EMAIL ADORESS daboehm@foleylaw.com

No carbon copy to ANYONE despite repeated requests in the transcripts to serd co to others. Campany cannet verify this as it was not in the Company files until transfer of files from Foley

SACRAMENTO
SAN DIEGO SAN FRANCISCO

Mr. Brian G. Utley
President \& COO
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: U.S. Provisional Patent Application
Title: Zoom and Pan Imaging Using a Digital Camera
Inventor(s): Utley et al.
Our Ref.: 57103/122
U.S. Provisional Patent Application

Title: Zoom and Pan Imaging Design Tool Inventor(s): Utley, Brian G.
Our Ref.: 57103/123
Dear Brian:

Enclosed for your information and files are copies of the above-referenced patent applications that were filed with the U.S. Patent and Trademark Office on September 18,2000 . As soon as we receive the application numbers and confirmed filing dates, we will forward this information to you.

If you have any questions regarding this application, please do not hesitate to contact me.
Very truly yours,
Dorg. Beter
Douglas A. Boehm

Enclosure(s)
\begin{tabular}{|c|c|}
\hline Boehm: & Well, then, talk to Brian because we were corresponding with Brian on that, and I don't know why you weren't getting it if that was the case, and I don't know which letter went to who, blah, blah, blah, but I do know that we mentioned that we didn't understand the math, and we were up to the third draft, if I recall; and you're right, Jim, that it shouldn't have taken...it shouldn't have been last minute and you should have had time to do it. I totally agree, but I can't take total blame for that... \\
\hline Bernstein: & But wait a minute. Steve has fundemental errors on understanding the math, and yet we're going to file it with him having math problems? \\
\hline Boehm: & It's your duty to either help us to understand. \\
\hline Bernstein: & But then I've got a point. We did help you. We sat on the phone for an entire day, walked through this... \\
\hline Boehm: & The day of the filing you mean? \\
\hline Bernstein: & And if this math is still wrong, I mean, there's something really fundamentally wrong here. \\
\hline Armstrong: & Let me check it again. \\
\hline Bernstein: & Yeah, let \(u s\) call you back in a while. Is Steve in today, too? \\
\hline Armst rong: & I didn't get involved until miednesday. \\
\hline Boehm: & Right. \\
\hline Armst rong: & I' ll tell you one thing, Doug, that you should do as just a matter of course going forward. Eliot being the owner of the company and the person that Brian reports to is any future email correspondence should always be copied to him. That's kind of just a standard practice we all do in the company. \\
\hline Boehm: & To copy? \\
\hline Armstrong:
Boehm: & \begin{tabular}{l}
Yeah. \\
Boenm directed to copy company on \\
okay. I didn't know that. patents and other matters
\end{tabular} \\
\hline Bernstein: & You ask me to review and sign these patents, and you' re not sending me information. what do you mean. \\
\hline Armstrong: & I think had we known that there was a question of validating Brian's math, Eliot would have brought me in a lot earlier. \\
\hline Bernstein: & I would have brought a mathematician in. I mean, this is ridiculous. \\
\hline Armstrong: & Yeah, I'm just a friend that's good at math, not a mathematician. \\
\hline Boehm: & Right, well. \\
\hline Armstrong: & Go to your meeting. We're going to check theis patert ftato out, and we'll talk to you letter. \\
\hline Boehm: & Well, you've got to talk to Brian, too. \\
\hline Armstrong: & Yeah. \\
\hline Bernstein: & I think because I now seriously have to report a lot of things to a board of people that wie're going to have to have a meeting at some point either today or Monday with a few of the key people in the company who are investors, etc., so that they understand what they are investing or not investing in. \\
\hline Eechexamstrong & : Lion't jump to conclusions. \\
\hline Bernstein: & No, I'm not, but if this is correct, we've got some fundamental things that need to be discussed. \\
\hline
\end{tabular}


Titlo: Zoom and Pan Imaging Design Tool Inventor(s): Utley

Dkt. No. \(57103 / 123\)
Appl. No.: 601233,341

\section*{BLG}
-
- PToISB/83 Request For Withdrawal As Attorney Or Agent/in triplicate \((2\) pgs.)
- Declaration Of Barry L. Grossman in Support Of Notice Of

Withdrawal, and Tabs 1-3/in triplicate ( 8 pgs. )
Commissioner for Patents:
Please acknowledge receipt of the above-identified ddcuments by applying the U.S. Patent and Trademark Office reefipt stamp hereto and mailing ohis card.

Respectfuly, Foley \& Lardner


FTOTSER3 (11-96)
Approwed for use through asarge. CME O8B1-0035 Pulan and Tradernark Office: US, DEPARTMEAT OF COMMERCE

\begin{tabular}{|c|c|}
\hline Appilication Humber & 60/233,341 \\
\hline Filing Dite & 09/18/2000 \\
\hline Firat Named Inventor. & Utley \\
\hline Group Ant Unt & Unknown \\
\hline Examirer Name & Unknown \\
\hline Attomby Docket Number & 57103/123 \\
\hline
\end{tabular}


10: Assistant Cormmissioner for Patents
Washington, DC 20231
See attached explanation.
1. \(\square\) The correspondence address is NOT affected by this withdrawal.
2. \([x]\) Change the correspondence address and direct all future correspondence to:
\begin{tabular}{|c|c|}
\hline \(\square\) Customer Number or & CCRRESPONDENCE ADDRESS \(\quad\)\begin{tabular}{l} 
Phace Customer Number \\
Bar Codo Labol hero
\end{tabular} \\
\hline Firm or Individual Name & Brian G. Utley, PresIdent \\
\hline Address & Iviewit.com, Inc. \\
\hline Addrass & One Boca Place, 2255 Glades Road, Suite 337 Nest \\
\hline Cliy & Boca Raton Stata \(^{\text {FTorida }}\) [ \(740 / 33431\) \\
\hline Country & U.S.A. \\
\hline Telephone & 561 9998899 \\
\hline This request is enclose & ed in triollcate. \\
\hline Name & Barcy L. Grossmang Reg. No. 30,844 \\
\hline Signature & \[
1,6 \times 1 \times 1+2
\] \\
\hline Data & \[
1 / 0 \quad 5 / 4101
\] \\
\hline \multicolumn{2}{|l|}{NOTE: Whidrawalis affective when appreved rather than when recaived. Uniass there are at jasst 30 days between approval of withdrawal and the expiratien date of a thme period for rasponse or possible extension pertiod, the request to withdraw is normally disapproved.} \\
\hline
\end{tabular}


 Watingtar, \(\mathrm{CC} 202 \mathrm{z1}\).


On behalf of myself and the following attorneys of record who are, or were, with the firm of Foley \& Lardner, we hereby apply to withdraw as attorney or agent for the above-identified application.
\begin{tabular}{ll}
\hline & \\
RUSSELL. J. BARRON & Reg. No. 29,512 \\
DAVID J. BATES & Reg. No. 39,902 \\
STEVEN C. BECKER & Reg. No. 42,308 \\
DOUGLAS A. BOEHM & Reg. No. 32,014 \\
EDWARD W. BROWN & Reg. No. 22,022 \\
CHARLES G. CARTER & Reg. No. 35,093 \\
ALISTAIR K. CHAN & Reg. No. 44,603 \\
JOHN C. COOPER III & Reg. No. 26,416 \\
JEFFREY N. COSTAKOS & Reg. No. 34,144 \\
WILLIAM J. DICK & Reg. No. 22,205 \\
BARRY L. GROSSMAN & Reg. No. 30,844 \\
PAUL S. HUNTER & Reg. No. 44,787 \\
KATHERINE D. LEE & Reg. No. 44,865 \\
KEITH D. LINDENBAUM & Reg. No. 40,365 \\
DAVID G. LUETTGEN & Reg. No. 39,282 \\
RICHARD J. MC KENNA & Reg. No. 35,610 \\
JAMES G. MORROW & Reg. No. 32,505 \\
TODD A. RATHE & Reg. No. 38,276 \\
MICHAEL D. RECHTIN & Reg. No. 30,128 \\
CHRISTOPHER M. TUROSKI & Reg. No. 44,456 \\
JAMES A. WILKE & Reg. No. 34,279 \\
JOSEPH N. ZIEBERT & Reg. No. 35,421 \\
WALTER E. ZIMMERMAN & Reg. No. 40,883 \\
\hline
\end{tabular}

The reasons for this request are: the client has a large and long-standing unpaid bill, and has advised the undersigned that it is unable to pay for past work or for current additional work, as explained in the attached supporting declaration.

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}
\begin{tabular}{ll} 
Applicant: & Utley \\
Title: & \begin{tabular}{l} 
Zoom and Pan Imaging Design \\
Tool
\end{tabular} \\
Appl. No.: & \(60 / 233,341\) \\
Filing Date: & \(09 / 18 / 2000\) \\
Examiner: & Unknown \\
Art Unit: & Unknown
\end{tabular}

\section*{CERTIFICATE OF MAILING}

Thereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents. Washington, D.C. 20231, on the date below.


DECLARATION OF BARRY L. GROSSMAN
In Support of And As A Part Of
Notice Of Withdrawal Under 37 CFR 1.36

I, Barry L. Grossman, declare as follows.
1. I am an attorney with the law firm of Foley \& Lardner. I am a partner in the Milwaukee office of the firm. I am one of the attorneys of record in the referenced application. Several other attorneys at Foley \& Lardner also are named as attorneys of record in the referenced patent application. The attorneys are all individually identified by name and registration number on the accompanying Form PTO/SB/83.
2. I am a member of the Bars of Virginia, the District of Columbia, and Wisconsin.
3. I am registered to practice before the Patent and Trademark Office. My registration number is 30,844 .
4. This Declaration is submitted in support of and as part of the accompanying Notice of Withdrawal under 37 CFR 1.36 .
5. The Notice of Withdrawal is submitted on behalf of all Foley \& Lardner attorneys who would be named in the Power of Attorney to be submitted in the referenced application. In accordance with MPEP 402.06, I am signing the Notice of Withdrawal on behalf of each Foley \& Lardner attorney who would be named as an attorney of record. Mr. Douglas A. Boehm, Registration No. 32,014, would also be named as an attorney of record. Mr. Boehm is no longer associated with Foley \&

Lardner. However, I have been authorized to act on his behalf (Tab 1). Accordingly, this request includes a request to withdraw on behalf of Mr. Boehm.
6. The attorneys at Foley \& Lardner are seeking to withdraw as counsel in this application because the client responsible for the application, Iviewit.com, Inc. has a large and long-standing unpaid bill for legal services related to this application and other pending applications which it refuses to pay. Iviewit owes Foley \& Lardner \(\$ 142,531.08\). This amount includes \(\$ 117,396.16\) for legal services, and \(\$ 25,134.92\) for disbursements that we have paid on their behalf. These fees and disbursements relate to services we have provided on multiple different United States and PCT patent applications. We are seeking to withdraw in all pending applications.
7. On or about March 5, 2001, I was advised in a telephone discussion with Mr. Raymond Hersh, Chief Financial Officer of IViewit, that Iviewit would not pay its past due bill and would not make any future payments to Foley \& Lardner for legal services unless and until it was successful in obtaining additional financing.
8. On March 19, 2001, we advised Iviewit that not being paid for our past work, and not being paid for future work, was not an acceptable arrangement for Foley \& Lardner. We offered to discuss a reasonable payment plan with lviewit. A copy of our letter of March 19 is attached at Tab 2. We have received no response to this letter.
9. On April 3, 2001, having received no response to the March 19 letter, we again wrote to lviewit to advise them that we were initiating steps to withdraw as their counsel in all pending matters. A copy of the April 3 letter is attached at Tab 3. We have had no response or reaction by Iviewit to our April 3, 2001 letter.
10. We have continued to advise Iviewit of all communications received from the Patent and Trademark Office, so they are aware of all actions in all their pending applications.
11. The status of the referenced application is as follows: As of the date of mailing the Notice To File Missing Parts Of Provisional Application mailed December 4, 2000, remains outstanding with the United States Patent and Trademark Office.

I FURTHER DECLARE THAT all statements made herein of my own
knowledge are true, and that all statements made on information and belief are believed to
be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

Respectfully submitted,

Date


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5724
Facsimile: (414) \(297-4900\)


\section*{FOLEY \& LARDNER}

ERUSSELS
chicago
DENVER
OETROT JACKSONVILLE LOS ANGELES MADISON MILWAUKEE

FIRSTAR CENTER
777 EAST WISCONSIN AVENUE MILWALKEE, WSCONSIN 53202-53E7 TELEPHONE (4 (4) 271-2400 FACSIMILLE \((414) 297-4000\)

414-297-5724

\section*{EMAIL ADDRESS}

April 19, 2001

Doug Boehm, Esq.
7518 N. Crossway Road
ellot
Note
Love how they paper
the fles with these ES
letters:

Fox Point, WI. 53217

\section*{Re: Withdrawal as Counsel for Iviewit}

Dear Doug:
I am writing to inquire if you want to remain as counsel of record in the pending Iviewit.com patent applications.

Foley is initiating procedures to withdraw as counsel in all the Iviewit pending applications. The Power of Attorney form names individual attorneys, not a law firm, as the attorneys of record. Each attorney of record must withdraw. You are one of the attorneys named as attorney of record, along with other Foley attorneys. We can withdraw as counsel for all the Foley attomeys. However, since you are no longer a Foley attorney, we cannot withdraw on your behalf unless you authorize us to do so. Accordingly, I am asking whether you authorize us to act on your behalf and state to the PTO that you also wish to withdraw as attorney of record. If we do not include you in our withdrawal papers, you will remain as attomey of record. You can then either continue to prosecute the applications on behalf of Iviewit or submit your own withdrawal, as you choose.

If you want us to withdraw on your behalf, please so indicate by signing below and return the original signed letter to us. We plan on submitting our materials no later than April 25. If we do not hear from you by then, we will submit our papers without including you.

If you have any questions about this matter, please do not hesitate to contact me. I hope all is well. Best regards.


I authorize Foley \& Lardner to act on my behalf and withdraw me as an attorney of record in all pending patent applications in which I am named as an attorney of record and which are assigned to Iviewit.com.

Drghna Ream
Doug Boehm, Reg. No. 32,014
Date: \(4 / 21 / 01\)

\section*{FOLEY \& LARDNER}

\section*{arussels}

CHICAGO

March 19, 2001

Mr. Brian G. Utley
President \& COO
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: Status of Pending Matters and Account
Dear Mr. Utley:
We need to resolve our continued representation of Iviewit in light of the overdue status of your account.

Iviewit owes Foley \& Lardner approximately \(\$ 140,000\). This amount has been outstanding for a significant period of time. I understand that Iviewit has adopted a policy of not paying service providers until it is able to obtain additional financing from investors. This arrangement is not acceptable to Foley \& Lardner. We are not willing to remain as counsel to Iviewit or retain responsibility for your pending matters without getting paid for our past or future services. Accordingly, unless we reach an mutually acceptable accommodation about payment, we will timely and properly withdraw as your counsel in all matters in which we are representing Iviewit.

We will be willing to remain as counsel to Iviewit under the following conditions: (1) Iviewit begins immediately to make monthly payments of a minimum of \(\$ 20,000\) per month, and agrees to pay interest at the prime rate for the unpaid balance, until the past due amount is fully paid; and (2) Iviewit pays in advance, both for professional services and for disbursements on your behalf, for any future work we are asked to perform.

If the foregoing is acceptable to Iviewit, please so indicate by signing below and returning a signed copy of this letter to us, along with your first monthly payment of \(\$ 20,000\). If we do not hear from you by March 31, we will begin to take all necessary steps to withdraw as your counsel. In withdrawing as counsel, we will not waive any of our rights to recover the money due to us. If you choose not to make a payment by March 31, please provide us with mailing instructions for return of your file materials to you or to substitute counsel.

I regret that we must take this action. However, your stated policy of not paying service providers, like Foley \& Lardner, leaves us no choice. We cannot continue to represent Iviewit without payment for our past or future services. If you have any questions about this matter, please do not hesitate to contact me.


By signing below and sending the enclosed check for \(\$ 20,000\) to be applied against its past due account, Iviewit.com agrees to the terms stated in this letter.

By:
Brian G. Utley
President, Iviewit.com
cc: Raymond Hersh

\section*{FOLEY \& LARDNER}

ATTORHEYSAT LAW

BRU5SELS
chicago
DENVER
DETROTT JACKSONVLLE
LOS ANGELES MADISON MILWAUKEE

FIRSTAR CENTER
777 EAST WISCCNSIN AVENUE MILWAUKEE, WISCONSIN 53202.53e7

TELEPHONE (4| 4) 271-2400
FACSIMILE (4 14 ) 297~4900

April 3, 2001

\author{
Mr. Brian G. Utley \\ President \& COO \\ Iviewit.com, Inc. \\ One Boca Place \\ 2255 Glades Road, Suite 337 West \\ Boca Raton, Florida 33431
}

Re: Pending Matters; Withdrawal As Counsel
Dear Mr. Utley:
We have not received any response to our letter of March 19. Accordingly, as stated in that letter, we are initiating steps to advise the U.S. Patent and Trademark Office and all applicable foreign patent offices or international organizations that we are no longer serving as counsel to Iviewit. We will instruct all of these organizations to send future correspondence directly to your attention. If you would prefer to have future correspondence sent to a different address, please provide us with the pertinent mailing information as soon as possible.

Enclosed are copies of Written Opinions received in your PCT International Application No. PCT/US00/15405 and PCT International Application No. PCT/US00/15406.

\section*{Enclosures}
cc: Raymond Hersh (w/enclosures).


United States Patent and Trademark Office
COMMISSIONER FOR PATENTS Unite o States Patent and Trademark Office WASHINGTON. DEC. 20 ElI WW.Usptogor
\begin{tabular}{c|c|c|c|}
\hline APPLICATION NUMBER & FILAGRECETPTDATE & FIRST NAMED APPLICANT & ATTORNEY DOCKET NUMBER \\
\hline \(60 / 233,341\) & \(09 / 18 / 2000\) & Brian G. Utley & \(57103 / 123\)
\end{tabular}

Foley \& Lardner
777 East Wisconsin Avenue Firstar Center Milwaukee, WI 53202-5367


FORMALITIES LETTER

*OC000000005592300*

Date Mailed: 12/04/2000

\section*{NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION}

FILED UNDER 37 CFR 1.53(c)
Filing Date Granted

MP
RESPONSEDUE SAFE 2001 DCLIFEE

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the exterision fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \$ 150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\$ 200\).

A copy of this notice MUST be returned with the reply.

Unted States Patent and Trademark Office
\begin{tabular}{c|c|c|c|} 
APPLICATION NUMEER & FILING/RECEIPT DATE & FIRST NAMED APPLICANT & ATTORNEY DOCKET NUMBER \\
\hline \(60 / 233,341\) & \(09 / 18 / 2000\) & Brian G. Utley & \(57103 / 123\)
\end{tabular}

FORMALITIES LETTER
Foley \& Lardner
777 East Wisconsin Avenue

\section*{แilliliniliniminiluminili} Firstar Center
Milwaukee, WI 53202-5367

Date Mailed: 12/04/2000

\section*{NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION}

\section*{FILED UNDER 37 CFR 1.53(c)}

\section*{Filing Llate Granted}

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
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- The balance due by applicant is \(\$ 200\).

A copy of this notice MUST be returned with the reply.

Castomer Service Center
Inifial Patent Examization Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline APPLICATION NUMBER & FILING DATE & GRF ART UNIT & FIL FEE REC'D & ATTY DOCKET NO & DRAWINGS & TOT CLAIMS & IND CLAIMS \\
\hline 60/233,341 & 09/18/2000 & & 0 & 57103/123 & 7 & & \\
\hline
\end{tabular}

\section*{FILING RECEIPT}

Foley \& Lardner
777 East Wisconsin Avenue
Firstar Center
Milwaukee, WI 53202-5367
||||||||||||||||||||||||||||||||||||||||||||||
*00000000005592299*

Receipt is acknowledged of this provisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


Continuing Data as Claimed by Applicant

Foreign Applications

If Required, Foreign Filing License Granted 12/01/2000


Title
Zoom and pan imaging design tool

Preliminary Class

\section*{LICENSE FOR FOREIGN FILING UNDER}

\section*{Title 35, United States Code, Section 184}

\section*{Title 37, Code of Federal Regulations, 5.11 \& 5.15}

\section*{GRANTED}

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15 (b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14 .

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 C.FR 1.53 (d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical cata. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121 128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury ( 31 CFR Parts \(500+\) ) and the Department of Energy.

\section*{NOT GRANTED}

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181 , the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

\section*{PLEASE NOTE the following information about the Filing Receipt:}
- The articles such as "a," "ari" and "the" are not included as the first words in the titie of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFF 1.10 , your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

\section*{Assistant Commissioner for Patents}
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}


Transmitted herewith for filing under 37 C.F.R. § 1.53(c) is the provisional patent application of:

\[
/ 12
\]
[ X ] Specification, Claims), Abstract, and Figures pages).
[ ] Assignment of the invention to Iviewit.com, Inc..
[ ] Small Entity statement.

The filing fee is calculated below:

[ ] A check in the amount of \(\$ 75.00\) to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

\section*{Date \\ \(\qquad\)}

FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014
 Respectfully,
Foley \& Lardner

Title: Zoom and Pan Imaging Design Tool Inventor (s): Utiey

Dat. No. \(57103 / 123\)
Apple. No:: Unknown DABO (9/18/00)
\(1 / 2\)
- Transmittal of Patent Application (2 pos.);
- Patent Application Specification and Figures

Assistant Commissioner for Patents:
Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully,
Foley \& Lardner

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\(n\)
\(n\) \(\underset{4}{\infty}\)


 L. 57103 FRESS HARD.
You are makng 3 copies. FOR PICKUP OR TRAGKING CALL 1-800-222-1811

\section*{U.S. PROVISIONAL PATENT APPLICATION}

\author{
for
}

\section*{ZOOM AND PAN IMAGING}

DESIGN TOOL


\section*{FOLEY \& LARDNER}

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

\section*{ZOOM AND PAN IMAGING DESIGN TOOL}

\section*{CROSS-REFERENCE TO RELATED APPLICATIONS}

The present application is related to U.S. Patent Application No. 09/630,939, filed August 2, 2000، and U.S. Provisional Patent Application entitled "Zoom and Pan Imaging Using a Digital Camera" filed on even date herewith, a copy of each is attached hereto and incorporated herein by reference.

\section*{FIELD OF THE INVENTION}

The present invention is directed to a system and a method for producing enhanced digital images and, in particular, to a design tool for producing enhanced digital images having improved resolution for zooming and/or panning within an image.

\section*{BACKGROUND OF THE INVENTION}

See Background in related applications.

\section*{DESCRIPTION OF THE INVENTION}

The object of this invention is to provide a design tool for provide pan and zoom capabilities as described in the related applications.

Note that the relationship between the target image and the viewing image is very important, as described in the related applications. By panning the viewing window, every portion of the target image may be viewed from each level of zooming. Zoom capability is provided up to a maximum level where the image begins to pixelate.

The preferred embodiment of the present invention is a computer spreadsheet program written in Microsoft Excel and its associated Visual Basic for Applications (VBA) macro language.

Attached hereto and incorporated by reference herein are the spreadsheets, forms, and VBA program code for the preferred embodiment. Examples are given for different target and source image sizes. The design tool works for both digital and analog source images, but the scan density parameter is not applicable to digital images.

\section*{iviewit Imaging Setup}

\section*{Application Overview}

The iviewit proprietary imaging system is designed to provide clear, sharp images which can be zoomed into at great depth, and panned around at the discretion of the viewer, or under program control. The resulting experience is one of immersion into the image and an ability to view detail at a level heretofore not thought possible.
The fundemental concept of the imaging system is the creation of a 'Virtual lmage' which is designed to be large enough to provide the level of detailed viewing desired. The Virtual Image is viewed through a 'Viewing Window' which is an allocated space on the user display device. The initial presentation of the image in the viewing window is normally a view of the total image. Since this is smaller than the Virtual Image, the Virtual Image must be scaled down to fit the viewing window. This scaling factor is refered to as the 'Maximum Magnification Factor', since, from the viewers perspective, zooming into the image has the appearance of magnifying the image.

\section*{iviewit Imaging Setup}
Viewing Window Size in Pixels
\begin{tabular}{|lr|}
\hline Width & 640 \\
Height & 480 \\
Pixels & 307,200 \\
\hline
\end{tabular}
Minimun Image Size in Pixels
\begin{tabular}{|lr|}
\hline Width & 600 \\
Height & 480 \\
Pixels & 288,000 \\
\hline
\end{tabular}

\section*{Desired Maximum Magnification}
Source Image Size in Pixels
\begin{tabular}{|lr|}
\hline Width & 1,500 \\
Height & 1,200 \\
Pixels & \(1,800,000\) \\
Bit Map MB & \(5,130,000\) \\
\hline
\end{tabular}

Input Format
Image Format \(1500 \times 1200\)

Image Orientation
Orientation Landscape
Magnification \(\quad 5.0\)

Virtual Image Size in Pixels
\begin{tabular}{|lr|}
\hline Width & 1,342 \\
Height & 1,073 \\
Pixels & \(1,440,000\) \\
Bit Map MB & \(4,104,000\) \\
\hline
\end{tabular}

\section*{Minimum Scan Density in dpi}
Scan Density N/A

1. Fress "Start" button to initiate program
2. Follow intructions in order to set virtual image size and scan density where applicable.
3. Virtual Image size provides the necessary settings for the JPEG compression of the image. Compression factor should be 80 (Corel Draw).

Copyright by iviewit.com, Inc.
Usage of this program is restricted to authorized iviewit personnel only

\section*{iviewit Imaging Setup}
Viewing Window Size in Pixels
\begin{tabular}{|lr} 
Width & 640 \\
Height & 480 \\
Pixels & 307,200 \\
\hline
\end{tabular}

\section*{Source Image Size in Pixels}
\begin{tabular}{|lr|}
\hline Width & 1,533 \\
Height & 2,147 \\
Pixels & \(3,291,429\) \\
Bit Map MB & \(9,380,571\) \\
\hline
\end{tabular}
Minimun Image Size in Pixels
\begin{tabular}{|lr|}
\hline Width & 343 \\
Height & 480 \\
Pixels & 164,571 \\
\hline
\end{tabular}
Input Format
Image Format \(5^{\prime \prime} \times 7^{\prime \prime}\)
Image Orientation
Desired Maximum Magnification

\section*{Orientation}
Portrait
Magnification 20.0

Virtual Image Size in Pixels
\begin{tabular}{|lr|}
\hline Width & 1,533 \\
Height & 2,147 \\
Pixels & \(3,291,429\) \\
Bit Map MB & \(9,380,571\) \\
\hline
\end{tabular}

\section*{Minimum Scan Density in dpi}

Scan Density \(\quad 307\)

1. Press "Start" button to initiate program
2. Follow intructions in order to set virtual image size and scan density where applicable.
3. Virtual Image size provides the necessary settings for the JPEG compression of the image. Compression factor should be 80 (Corel Draw).

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Usage of this program is restricted to authorized iviewit personnel only

\section*{iviewit Imaging Setup}
\begin{tabular}{|c|c|}
\hline Viewing Window Size in Pixels & Source Image Size in Pixels \\
\hline Width 320 & Width 949 \\
\hline Height 240 & Height 759 \\
\hline Pixels 76,800 & Pixels \(\quad 720,000\) \\
\hline & Bit Map MB \(\quad 2,052,000\) \\
\hline \multicolumn{2}{|l|}{Minimun Image Size in Pixels} \\
\hline Width 300 & Input Format \\
\hline Height 240 & Image Format \(8^{\text {n }} \times 10^{\text {n }}\) \\
\hline Pixels \(\quad 72,000\) & \\
\hline & Image Orientation \\
\hline Desired Maximum Magnification & Orientation Landscape \\
\hline Magnification 10.0 & \\
\hline & Minimum Scan Density in dpl \\
\hline Virtual Image Size in Pixels & Scan Density 95 \\
\hline Width 949 & \\
\hline Height 759 & \\
\hline Pixels \(\quad 720,000\) & tart \\
\hline Bit Map MB \(\quad 2,052,000\) & \\
\hline \multicolumn{2}{|l|}{1. Press "Start" button to initiate program} \\
\hline \multicolumn{2}{|l|}{2. Follow intructions in order to set virtual image size and scan density where applicable.} \\
\hline \multicolumn{2}{|l|}{3. Virtual Image size provides the necessary settings for the JPEG compression of the image. Compression factor should be 80 (Corel Draw).} \\
\hline \multicolumn{2}{|l|}{Copyright by iviewit.com, Irc.} \\
\hline \multicolumn{2}{|l|}{Usage of this program is restricted to authorized iviewit personnel only} \\
\hline
\end{tabular}

Digframe


\section*{digframe 1}


digsource 1


Dlgsource2

digmag

```

)imn rtmag As Variant
Copywrite by iviewit.com, Inc.
Button3_Click Macro
Start bütton from sheet I
sub startbutton_Click()
icancel = 0 'initialize cancel button state
framew = Range("framewidth").Value 'capture current frame size
frameh = Range("frameheight").Value
'set dlgframe dialog box radio button to 1st button
Range("framewidth").Value = 1
DialogSheets("dlgframe").Show
If icancel = l Then 'Test for cancel button
Range("framewidth").Value = framew
GoTo cancelop
End If
'check for frame selection and set up framew and frameh
framecase = Range("framewidth").Value
Select Case framecase 'Determine vewing frame size
Case 1 '640 X 480
Range("framewidth").Value = 640
framew = 640
Range("frameheight").Value = 480
frameh = 480
Case 2 +320 X 240
Range("framewidth").Value = 320
framew = 320
Range("frameheight").Value = 240
frameh = 240
Case 3 'Custom Frame
'set up custom frame dimensions in dialog box from last frame defined
DialogSheets("Dlgframel").EditBoxes("Edit Box 5").Text = framew
DialogSheets("Dlgframel").EditBoxes("Edit Box 6").Text = frameh
'show custom frame dialog box
DialogSheets("dlgframel").Show
If icancel = 1 Then 'Test for cancel button
Range("framewidth").Value = framew
GoTo cancelop
End If
framew = DialogSheets("Dlgframel").EditBoxes("Edit Box 5").Text
frameh = DialogSheets("Dlgframe1").EditBoxes("Edit Box 6").Text
Range("framewidth").Value = framew
Range("frameheight") = frameh
End Select
'initialize orientation and source format in dialog box
Range("orient").Value = 1
Range("informat").Value = 1
'show source dialog box
DialogSheets("dlgsource").Show
If icancel = 1 Then 'Test for cancel button
GoTo cancelop
End If
formatno = Range("informat").Value 'formatno specifies the source format radio button
Select Case formatno
Case 1 '35mm
sourcew = 15 / 8
sourceh = 15/16
Range("informat").Value = "35mm"
Case 2 '60m x 6 cm
sourcew = 6 / 2.54
sourceh = 6 / 2.54
Range("informat").Value = "6cm x 6cm"
Case 3 16cm x 9cm
sourcew = 9/2.54
sourceh = 6/2.54
Range("informat").Value = "6cm x 9cm"
Case 4 '4 x 5
sourcew = 5

```
```

Yodulél - 2
Range("informat").Value = "4"" x 5"""
Case 5 .5 x 7
sourcew = 7
sourceh = 5
Range("informat").Value = "5"" x 7"""
Case 6 18 < 10
sourcew = 10
sourceh = 8
Range("informat").Value = "8"" x 10"""
Case 7 'digital input format
DialogSheets("dlgsource2"). Show
If icancel = l Then 'Test for cancel button
GoTo cancelop
End If
sourcew = DialogSheets("Dlgsource2").EditBoxes("Edit Box 4").Text
sourceh = DialogSheets("Dlgsource2").EditBoxes("Edit Box 5").Text
Range("informat").Value = sourcew \& " X " \& sourceh
Case 8 'Special input source image size
DialogSheets("dlgsourcel").Show
If icancel = 1 Then 'Test for cancel button
GoTo cancelop
End If
sourcew = DialogSheets("Dlgsource1").EditBoxes("Edit Box 7").Text
sourceh = DialogSheets("Dlgsource1").EditBoxes("Edit Box 8").Text
Range("informat").Value = sourcew \& """ X " \& sourceh \& """"
End Seleet
'check for image orientation
If Range("orient"). Value = 1 Then
Range("orient").Value = "Landscape"
Else
Range ("orient"). Value = "Portrait"
'if orientation is not landscape and "other" input was not selected then swap height and width
If formatno < 8 Then
temp $=$ sourcew
sourcew = sourceh
sourceh = temp
End If
End If
'get ratio of hieght to width for source and target
frameratio $=$ frameh / framew
sourceratio $=$ sourceh / sourcew
'initialize magnification value in dialog box to 10
Range("magfactor").Value $=2$
DialogSheets("dlgmag"). Show
If icancel $=1$ Then 'Test for cancel button
GoTo cancelop
End If
'multiply the radio button for magnification factor by 5 to obtain real magnification factor magtgt = Range("magfactor").Value * 5
Range ("magfactor"). Value = magtgt 'put magnification factor into spread sheet
'determine aspect ratio of source relative to target to determine whethe fit is to height or width
'determine size of the target image based upon multiplying the used partion of the window ne glecting
'unused portions of the minimum image size
If frameratio $>$ sourceratio Then
'image width is equal to frame width and image height is equal to frame with * image rat
tgtw $=$ framew * Sqr (magtgt)
tgth $=$ tgtw $*$ sourceratio
Range("minimagew").Value $=$ framew
Range("minimageh"). Value $=$ framew * sourceratio
minimagesize $=$ framew * framew * sourceratio
Else
'frame height is maximum
tgth $=$ frameh $*$ Sqr (magtgt)
tgtw $=$ tgth $*$ sourcew / sourceh
Range("minimageh").Value =: frameh

```
```

Modulé1 - 3`
Range("minimagew").Value = frameh * sourcew / sourceh
minimagesize = frameh * frameh * 1 / sourceratio
End If
'insert values into spread sheet
Range("tgtw").Value = tgtw
Range("tgth").Value = tgth
'scan ppi is equal to target pels divided by the source image in inches
scanppi = tgth / (sourceh)
Range("scantgt"). Value = scamppi
Range("sourcen").Value = sourceh * scanppi.
Range("sourcew").Value = sourcew * scanppi
If formatno = 7 Then
Range ("sourceh"). Value = sourceh
Range ("sourcew").Value = sourcew
Range("scantgt").Value = "N/A"
If tgtw * tgth > sourcew * sourceh Ther
Range("tgtw").Value = sourcew
Range("tgth").Value = sourceh
Range("magfactor").Value = sourceh * sourcew / minimagesize
End If
End If
cancelop:
End Sub

```

Public icancel As Integer
Button2 Click Macro
- OK buttón

Sub Button2_click()
End Sub
Button3_Click Macro
'
Sub Button3_click()
icancel \(=1\)
End Sub


\section*{U.S. PATENT APPLICATION}
for

\title{
SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE
}

\author{
U.S. Application No.: 09/630939 \\ U.S. Filing Date: \(\quad\) August 2,2000
}

\author{
Inventors: Eliot I. Bernstein \\ 500 S.E. Mizner Boulevard Boca Raton, FLORIDA 33432 \\ Citizenship: U.S. \\ Brian G. Utley 1930 SW 8 \({ }^{\text {dh }}\) Street Boca Raton, FLORIDA 33486 \\ Citizenship: U.S.
}

\section*{FOLEY \& LARDNER}

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

\section*{U.S. PATENT APPLICATION}
for

\section*{SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE}

\author{
Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FLORIDA 33432 Citizenship: U.S. \\ Brian G. Utley \\ 1930 SW \(8^{\text {th }}\) Street \\ Boca Raton, FLORIDA 33486 \\ Citizenship: U.S.
}

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400
kt. No. 57103/121

\title{
SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE
}

\section*{CROSS-REFERENCE TO RELATED APPLICATIONS}

The present application is a continuation-in-part of U.S. Patent Application No. 09/522,721, filed March 10, 2000, which claims the benefit of priority from U.S. Provisional Application No. 60/125,824, filed March 24, 1999. The present application also claims the benefit of priority from U.S. Provisional Application Nos. 60/146,726, filed August 2, 1999, 60/149,737, filed August 19, 1999, 60/155,404, filed September 22, 1999, and 60/169,559, filed December 8, 1999.

\section*{FIELD OF THE INVENTION}

The present invention is directed to a system and a method for producing enhanced digital images and, in particular, to a system and a method for producing enhanced digital images having improved resolution for zooming and/or panning within a single file.

\section*{BACKGROUND OF THE BNVENTION}

In the field of digital imaging, the primary design challenge is that the viewer desires ideal image quality delivered to the viewer's display system. In a limited-bandwidth network, such as the Internet, it is important to transfer the image data in a reasonable amount of time. However, ideal image quality requires an enormous amount of digital data. Today's networks are not capable of transferring an ideal digital image in a reasonable time.

It is known that one can view a digital image on a display screen and "zoom" (i.e., magnify a portion of an image and
appearing to move into the image) and "pan" (i.e., move across or around within the plane of that image). However, prior attempts have failed to produce high-quality, high-resolution digital images having the ability to zoom within the image and pan around the image without pixelation. "Pixelation" generally refers to the effect a digital image has when magnified, in which the pixels (i.e., picture elements) comprising the image become readily apparent to the human eye. More specifically, pixelation occurs when more than one pixel of the display monitor is used to represent one pixel of information of the digitized source image. In prior digital image systems, when the image is magnified, pixelation occurs almost immediately and is very noticeable to the user as a substantial degradation in the quality of the image.

As used herein, the term "pixel" refers to the smallest resolvable element of an image, either on a screen or stored in memory. Each pixel in a monochrome image has its own brightness, from 0 for black to the maximum value (e.g., 255 for an eight-bit pixell for white. In a color image, each pixel has its own brightness and color, usually represented as a triplet of red, green, and blue intensities.

The teaching in the art is to generate a digital image file having the same number of pixels, or less, as the number that can be shown in a target viewing window. This results in a small source image file size, thereby speeding the transmission of the image file across a network. The target viewing window is typically maintained very small, e.g., \(160 \times 120\) pixels, to further limit the number of pixels needed in the digital image file. Thus, the teaching in the art is to reduce the number of pixels in the digital image file to decrease the size of the image file before compression, so that the
compressed image file can be more quickly transmitted over a limited-bandwidth network. However, this teaching has been unsatisfactory in providing high-resolution digital images. It has also been unsatisfactory in providing digital images in large viewing screens, such as, for example, full-sized VGA display monitor screens of \(640 \times 480\) pixels.

Another example of prior systems is mapping or travel web sites. A user selects a desired location and the mapping web site responds by downloading map data from a map database. When the user wishes to zoom into or pan around the selected location, the web site retrieves additional source data, e.g., additional new map images, and sends it to the user computer. One drawback of this type of system is that each zoom or pan operation requires the downloading of additional data over the network connection. This method is slow, and does not allow the user to zoom and pan around a set of data unless the network connection is maintained.

Accordingly, there is a need for a system and a method for providing enhanced digital images. Further, there is a need for a system and a method for providing enhanced digital images within which a user can zoom or pan without loss of resolution and without pixelation. Further still, there is a need for a system and method for providing enhanced digital images that can be transmitted over a network in a reasonable amount of time. Further yet, there is a need for a system and a method for producing enhanced digital images suitable for uploading and for downloading to a display. Also, there is a need for a system and method for providing a digital image file suitable for efficient file transfers of high resolution digital images,
thereby dispensing with the need to engage in long and slow, conventional file downloads in order to maintain viewing quality.

\section*{SUMMARY OF THE INVENTION}

According to an exemplary embodiment, a method of providing a digital image file for viewing in a viewing window of a user display, the viewing window having a predetermined size, includes providing a digital image file having an image size comprising a fixed number of pixels representative of an image. The image size to be displayed is greater than that of the predetermined viewing window size. The method further includes the step of associating a user interface with the digital image file. The user interface is configured to display the digital image file in the viewing window and to allow a user to zoom into the image displayed in the viewing window.

According to another exemplary embodiment, a method of providing an enhanced digitized image file to a user includes predefining a viewing window size in which the digitized image file is to be displayed to a user; providing a digitized image file having an image size greater than of the predefined viewing window size; compressing the digitized image file; and providing the compressed image file to a network server.

According to yet another exemplary embodiment, an enhanced digital image file is disclosed. The enhanced digital image file is displayed on a client computer display system having a viewing window, the viewing window having a predetermined frame size. The enhanced digital image file includes digitized image data representative of an image, wherein the digitized image data has a number of pixels sufficient to allow a user to magnify the digitized
image in the viewing window by a magnification factor of greater than one without appreciable pixelation. The enhanced digital image file further includes control data associated therewith for permitting the user to control the magnification factor.

\section*{BRIEF DESCRIPTION OF THE DRAWINGS}

The invention will become more fully understood from the following detailed description, taken in conjunction with the accompanying drawings, wherein like reference numerals refer to like parts, in which:

FIG. 1 is a block diagram of a system for providing an enhanced digital image file according to an exemplary embodiment;

FIG. 2 is a flowchart of a method for providing an enhanced digital image file from a print film image according to an exemplary embodiment;

FIG. 3 is a screen print of a display screen on a user display illustrating an enhanced digital image file according to an exemplary embodiment;

FIG. 4 is a screen print of a display screen on a user display illustrating a zoomed view of the enhanced digital image of FIG. 3;

FIG. 5 is a screen print of a display screen on a user display illustrating a panned and zoomed view of the enhanced digital image of FIG. 3;

FIG. 6 is a flowchart of a method for providing an enhanced digital image file from a digital image according to an exemplary embodiment; and

FIG. 7 is an illustration relating a source image, a viewing image, and a viewing window to one another.

\section*{DETAILED DESCRIPTION OF THE INVENTION}

FIG. 1 illustrates a system 10 for providing an enhanced digital image file according to an exemplary embodiment. System 10 includes a camera 12 which may be a conventional print film camera, such as, print film cameras manufactured by Nikon, Canon, Hasselblad, Kodak, or other manufacturers, or may alternatively be a digital camera, a digital video recording device (e.g., including 3CCD technology), an analog recording device such as a reel-to-reel recording device, a live video recording system, etc. In the case where camera 12 is a digital camera, camera 12 may further include a solid state storage medium or memory. Camera 12 may be mountable, such as on a tripod or on a stand, hand-held or fixed, and may include a \(24-32 \mathrm{~mm}\) lens. Camera 12 is utilized to obtain an image of a scene that is being photographed or video recorded. The image may be a print film image (e.g., a high gloss, photographic print), analog image, digital image, negative, transparency, etc.

As a further alternative, system 10 may be utilized in conjunction with any imaging or video recording system, such as, medical imaging equipment. In this case, camera 12 may be an imaging device, such as a magnetic resonance imaging (MRI) device, an X-ray device, a microscope with a camera attached thereto, etc.

In the case where camera 12 is a print film camera, system 10 also includes a developing device 14 , which can be any device or collection of devices, for developing the print film image taken by camera 12. In some cases, such as a POLAROID brand camera, developing device 14 is combined with and integral to camera 12. Developing device 14 is not required in an embodiment in which the image is a digital image.

System 10 also includes an enlarging device 16 for enlarging the image which is developed by developing device 14. The image may be photographically enlarged from a print film image, a negative, or other transparency.

The system of FIG. 1 further includes a scanning device 18, for scanning images or photographs in order to obtain a digitized representation of the source image in the form of a digital image file. Any suitable scanning software may be utilized. In an exemplary embodiment, a UMAX Astra scanner is utilized in conjunction with Microsoft Photo Editor software. Scanning device 18 outputs the digital image file in a bitmapped format (e.g., BMP, TIF, GIF, etc.) The device may include compression software to compress the digital image file into a compressed format (e.g., JPEG). Note that, depending upon the specific type of camera 12 and desired processing steps, a print film image from camera 12 may be provided directly to enlarging device 16 or directly to scanning device 18.

If the source image is obtained with a digital camera of sufficient resolution, the digitized image file from camera 12 may be used directly without first creating a print image. On the other hand, a print image may first be obtained from the camera's digitized source image by sending it to a suitable printing device 20. In this manner, the printed image can then be optically enlarged and scanned to provide the enhanced digitized image.

System 10 also includes a computer 22 configured to process the digital image file created by the above-mentioned devices. Computer 22 may be a personal computer, a laptop computer, a mini computer, a microprocessor, a mainframe computer, a network computer, a server computer, or any other
suitable computer or computer system. Computer 22 typically includes a central processing unit (CPU), a read only memory (ROM), a random access memory (RAM), a display device such as an SVGA display monitor, an input device and/or an output device. Computer 22 may also include any other hardware device, peripheral device, or software necessary to perform the functions described herein. The input device may include a keyboard, a mouse, or other pointing device, or other devices for allowing user input. The output device may include a printer (e.g., a black-and-white or color laser or inkjet printer). Computer 22 also includes an interface circuit for transmitting and/or receiving data over a network or link 24, such as, a local area network (LAN), a wide area network (WAN), an internet protocol network (e.g., the Internet, an intranet), a broadcast network, a satellite or cable television network, a digital video transmission path, etc. Computer 22 may further act as a network server or may be in communication with such a network server. Furthermore, as will be seen below, the function of network 24 may be, in a simple case, performed by other components of the system. In this exemplary embodiment, computer 22 is accessible by the Internet 26 via network 24 (e.g., a local area network).

A user computer 28 is used to access the enhanced digital image file stored in or provided by computer 22 (acting as a network server). Computer 28 may also load the image file to a storage device (e.g., a hard disk drive) to be used for display on a display 30. User computer 28 may operate an Internet browser, such as Netscape Navigator configured to communicate with the Internet 26 or an intranet or other network.

Display 30 may be any type of user display, such as a cathode ray tube (CRT), liquid crystal display (LCD), hand-held
personal digital assistant (PDA) display, mobile phone display, etc. Display 30 normally has a predetermined display resolution (e.g., \(1,280 \times 1,024\) pixels, \(640 \times 480\) pixels, \(320 \times 240\) pixels, etc.). Note that user computer 28 may be combined with display 30 in a single, integrated system, such as would be the case for a WebTV brand system, a high-definition television (HDTV), a PDA, etc. The combined user computer and display systern may be referred to herein as the display system.

As will be described in more detail below, the computer display system typically has a viewing window on the display for viewing the image in a particular frame. The viewing window may be all or a portion of the total viewing area of display 30. The viewing window parameters, such as the viewing window area size and aspect ratio (i.e., viewing window width divided by viewing window height) may be under the control of user computer 28 . In one embodiment, the viewing window area may be no more than \(160 \times 120\) pixels in size, which is just a portion of the display area of an SVGA display monitor at \(800 \times 600\) pixels.

References herein to frame sizes in pixels (such as, 320 \(\times 240\) pixels, \(640 \times 480\) pixels, etc.) are intended to include equivalent frame sizes thereto. As an example, when rectangular pixels are used, the exact pixel count differs from the stated frame size. Thus, one equivalent to a \(320 \times 240\) pixel frame size is \(352 \times\) 240. Accordingly, references to frame sizes in pixels are intended to included these and other equivalent frame sizes, and the teachings herein include any and all such insubstantial variations.

Referring now to FIGS. 2 and 6, exemplary methods 50 and 100 of providing an enhanced digital image file will be described. The enhanced digital image file can be generated from a
print film image or a digital image. The enhanced digital image file is a digitized image acquired with a digital camera, scanner, or other device suitable for digitizing an image into pixels. The method of FIG. 2 is suitable for processing a print film image; the method of FIG. 6 is suitable for processing a digital image.

At step 52 of FIG. 2, an image is photographed or recorded by using camera 12. If camera 12 is a video camera, the video data is captured using a suitable capture device le.g., an internal or external capture card, a Dazzle LAV-1000S capture device manufactured by Dazzle, Inc. of Fremont, California, etc.). A single captured frame from the video camera may be further processed as a digital image.

At step 54, the image is developed by developing device 14 in order to produce a photographic print, such as a high gloss photographic print. As mentioned, the step of developing may not be necessary in all cases (e.g., where the print film image of camera 12 is in a suitable format for subsequent enlarging or scanning).

At step 56, the developed image is enlarged by enlarging device 16 , if needed. In this exemplary embodiment, the developed image can be enlarged to sizes of between \(8^{\prime \prime} \times 6^{\prime \prime}\) and \(8^{\prime \prime} \times 12^{\prime \prime}\), or to any other appropriate size. The developed image is enlarged to provide additional photo information to scanning device 18. The developed image can be enlarged many times before the granularity of the image is visible to the human eye. A photographic enlargement magnification capability of up to 1700 times or more may be attained for most views or scenes. It is, however, recommended that larger enlargement sizes be obtained for smaller developed images. As mentioned, the step of enlarging may not be
necessary in all cases (e.g., where the size of the print film image or developed image is large enough to provide sufficient data to scanning device 18 ).

At step 58, the enlarged image is scanned by scanning device 18 in order to generate a bitmap image file or other digital image file, such as, JPEG, GIF, or other files. Scanning should be performed at a scan density that will provide the requisite number of pixels in the resulting digital image file (e.g., \(100 \mathrm{dpi}, 200 \mathrm{dpi}, 600\) dpi, 1400 dpi, etc.) Contrary to the teachings of the prior art, a large number of pixels are provided in the digital image file such as would be within the particular file size and loading time constraints. According to one example, a sufficient number of pixels are provided in the enhanced digital image file to allow a user to magnify the digitized image in the viewing window of display 30 by a magnification factor of greater than one without pixelation. Alternatively, a sufficient number of pixels are provided to allow the user to magnify the digitized image by a magnification factor of 1.5 , \(5,10,20,100\), or more.

According to one exemplary embodiment, the number of pixels provided in the enhanced digital image file is based on a viewing window size and the desired magnification ratio. By providing more pixels in the enhanced digital image file than is required for a full-window view in the viewing window, the user is able to zoom and pan within the digital image during viewing without pixelation.

FIG. 7 illustrates the parametric details and relationships between the different images and viewing window sizes. These parameters and description are for the purpose of creating large, clear, zoomable and pannable images from a variety of photographic,
source images. First, a "source image" (si) provides the original source of the graphical image information before it is digitally processed, as opposed to a "target image" (ti) that is the destination image to be transferred to the computer display system. In the analog case, the source image is not yet digitized. In other words, it has not been converted to a bitmapped format. A source image could be a photograph, a handwritten sketch, a computer-generated graphic, etc. In this case, source image is what is fed to the scanning device 18. In the digital case, the source image has already been digitized, such as the digital output of a CCD camera taking a photograph.

The source image (si) has a source image height (sih) and a source image width (siw). The source image aspect ratio (sir) is the width of the image divided by the height of the image, generally in inches:
\[
\text { sir }=\operatorname{siw} / \text { sih }
\]

The viewing window (vw) is the window, defined in pixels, within which the target image, when scaled to fit, is to be displayed as the viewing image (vi). The viewing window (vw) has a viewing window width (vww) and a viewing window height (vwh), both defined in pixels. Thus, the viewing window aspect ratio (vwr) can be determined as:
\[
v w r=v w w / v w h
\]

Note that the source image (si) may have a different aspect ratio than the viewing window (vw). To place the viewing image (vi) in the viewing window (vw), a subset of pixels from the source image (si) must be selected and scaled. The viewing image height (vih) and viewing image width (viw) within the viewing window (vw) can be determined by comparing the source image
aspect ratio (sir) to the viewing window aspect ratio (vwr), as shown:
if sir < vwr then:
\[
\begin{aligned}
& \text { vih }=v w h \\
& \text { viw }=v i h * \operatorname{sir}
\end{aligned}
\]
but if sir > = vwr then:
\[
\begin{aligned}
& \text { viw }=v w w \\
& \text { vih }=v i w / s i r
\end{aligned}
\]

This relationship is illustrated in FIG. 7.
Note that the target image ( t ) is created from the source image (si), by scaling the image (si) down to fit within the viewing window (vw). When the target image (ti) is scaled down by the desired maximum magnification factor ( mmf ) to fit within the viewing window ( vw ), the scaled target image is called the viewing image (vi).

The maximum magnification factor (mmf) is defined as the ratio of the target image area (tia) to the viewing image area (via). This ratio will determine the amount of zoom available without causing the image to distort due to pixelation, i.e., when fewer pixels are in the viewing image being displayed than available in the viewing window. So:
target image area (tia) \(=\) tiw \(\times\) tih
and since
\[
v i a=v i w \times v i h
\]
then
\[
\text { tia }=\text { via } \times \mathrm{mmf}
\]

To obtain the target image width and height:
\[
\begin{gathered}
\text { tiw }=\text { set } / \text { tia }{ }^{*} \text { sir } \\
\text { tih }=\text { tiw } / \text { sir }
\end{gathered}
\]

The relationship between the target image and the viewing image is shown in FIG. 7. The relationship between the target image and the viewing window is also shown. A zoom to the maximum level will be shown in the viewing window as illustrated at representation 120 of FIG. 7. By panning the viewing window, every portion of the target image may be viewed from each level of zooming.

To determine the minimum scan density (msd) to avoid pixelation at the desired maximum magnification factor (mmf):
\[
\mathrm{msd}=\text { tih/sih. }=\text { T/w }^{2} / \text { sic }
\]

\section*{EXAMPLE 1}

Determine the Target Image Area and dimensions, and minimum scan density for the following case:

Source Image \(=5^{n}\) wide \(\times 4^{n \prime}\) high
Desired Magnification Factor \(=20\)
Source Image Aspect Ratio \(=5 / 4=1.25\)
Define the Viewing Window: assume \(480 \mathrm{w} \times 320 \mathrm{~h}\) pixels
Viewing Window Aspect Ratio \(=480 / 320=1.5\)
The Source Image Aspect Ratio is < the Viewing Window Aspect Ratio:
\(1.25<1.5\) therefore:
vih \(=\mathrm{wwh}=320\) pixels
viw \(=\) vifh * \(1.25=320 * 1.25=400\) pixels
The Viewing Image Area \(=\) vis \(=320 \times 400=128,000\) pixels
The Target Image Area \(=\) vis \(\times 20=128,000 \times 20=2,560,000\)
pixels
The Target Image width \(=\sqrt{2,560,000 / 0.8}=1789\) pixels
The Target Image height \(=1789 \times 0.8=1431\) pixels

The Minimum Scan Density \(=1789 / 5=358\) pixels per inch
The photo scan can be any scan density > 357 pixels per inch

Thus, a \(5 \times 4^{\prime \prime}\) print film image should be scanned at

Determine the Target Image Area and dimensions, and minimum scan density for the following case: wide

Source Image \(=5^{\prime \prime} \times 4^{\prime \prime} /\) Wigh
Desired Maximum Magnification Factor \(=20\)
Source Image Aspect Ratio \(=5 / 4=1.25\)
Define the Viewing Window: assume \(400 \mathrm{w} \times 360 \mathrm{~h}\) pixels
Viewing Window Aspect Ratio \(=400 / 360=1.11\)
The Source Image Aspect Ratio is > the Viewing Window Aspect Ratio:
\(1.25>1.11\) therefore:
viw \(=\mathrm{vww}=400\) pixels
vih \(=\) viw \(/ 1.25=400 / 1.25=320\) pixels
The Viewing Image Area \(=\) via \(=400 \times 320=128,000\) pixels
The Target Image Area \(=\) via \(\times 20=128,000 \times 20=2,560,000\) pixels
The Target Image width \(=\sqrt{2,560,000 * 1.25}=1789\) pixels
The Target Image height \(=1789 / 1.25=1431\) pixels
The Minimum Scan Density \(=1431 / 4=358\) pixels per inch
The photo scan can be any scan density > 357 pixels per inch

\section*{EXAMPLE 3}

Determine the Target Image Area and dimensions, and minimum scan density for the following case:

Source Image \(=4^{\prime \prime}\) wide \(\times 5^{\prime \prime}\) high (portrait orientation)
Desired Magnification Factor \(=20\)
Source Image Aspect Ratio \(=4 / 5=0.8\)
Define the Viewing Window: assume 400w \(\times 360\) pixels
Viewing Window Aspect Ratio \(=400 / 360=1.11\)
The Source Image Aspect Ratio is < the Viewing Window Aspect Ratio:
\(0.8<1.11\) therefore:
\[
\begin{aligned}
& \text { vih }=\text { vwh }=360 \text { pixels } \\
& \text { viw }=\text { vih * } 0.8=360 \text { * } 0.8=288 \text { pixels }
\end{aligned}
\]

The Viewing image area \(=\) via \(=360 \times 288=103,680\) pixels
The Target Image area \(=\) via \(\times 20=103,680 \times 20=2,073,600\) pixels
The Target Image width \(=\sqrt{2,073,600^{*} 0.8}=1288\) pixels
The Target Image height \(=1288 / 0.8=1610\) pixels
The Minimum Scan Density \(=1610 / 5=322\) pixels per inch
The photo scan can be any scan density \(>321\) pixels per inch
Returning now to FIG. 2, at step 60, the enhanced digital image file is provided to computer 22 in a digitized format, i.e., pixel-based, bitmapped, etc. (as opposed to vector graphics based format), such as in either in a bitmap BMP format or a compressed JPEG format. Computer 22 performs a touch-up operation on the scanned image in order to make refinements or enhancements thereto. This touch-up operation is accomplished by utilizing imaging software. Touch-up steps may include cleaning the edges of the image, adjusting lighting, adjusting colors, etc. Adobe PhotoShop software, manufactured by Adobe Systems Inc., San Jose, California, can be used as the imaging software for touching up the images.

According to one example, multiple images can be stitched together after scanning, and before or after compression, thereby creating a panoramic scene or image, or simply a scene requiring a plurality of photographs. This stitching operation can be performed by utilizing photo stitching software such as, for example, Photo Vista software by Live Picture, Live Picture Reality Studio or Live Picture Object Modeler. Stitching may comprise sufficient photos for a 360 degree panoramic image of a scene. If images are stitched, they may be touched-up at step 60.

At step 62, if desired, and if the enhanced digital image file has not yet been compressed (e.g., by scanning device 18 or the touch-up software), the image is then converted from a bitmap file format (e.g., BMP) to a compressed file format (e.g., JPEG). Other compression algorithms are contemplated. Adobe Image Ready software is utilized to perform the BMP-to-JPEG file conversion in this exemplary embodiment. The compression is set to a very high compression factor, such as, \(70 \%\) to \(90 \%\), but may alternatively be set to other compression factors. The target image area be set as one of the parameters for compression, thus ensuring an optimum compressed file size.

At step 64, user interface or control data is associated with the enhanced digital image file. The user interface data is a program or code segment (e.g., a Java applet) that provides a graphic user interface on display 30 upon loading of the image. The user interface program is associated with the enhanced digital image file such that the combined file or files can automatically launch the graphic user interface, decompress the digital image data, and display at least a portion of the digital image data within a viewing window having a predetermined viewing size on display 30.

The user interface data may alternatively be a plug-in, applet, or other software program, such as, Photo Vista, Reality Studio, or Object Modeler manufactured by Live Picture Inc., San Francisco, California, or an lpix plug-in manufactured by Internet Pictures Corporation of Oak Ridge, Tennessee. The user interface data may be either associated with the enhanced digital image file such that it is downloaded with the enhanced digital image data, or it may be launched independentiy from the enhanced digital image data as, for example, an applet or plug-in on user computer 28. If the user interface data is launched independently of the image data, it may either be first opened by the user before downloading the enhanced digital image file, or it may be automatically opened by the enhanced digital image file, such as, via a script or other code segment within the enhanced digital image file.

Referring to FIG. 3, an exemplary screen print 80 from display 30 is shown illustrating the graphical user interface 82 generated by the user interface program. User interface 82 includes a viewing window or frame 84 for displaying the digital image data 86. User interface 82 further includes zoom buttons 88 for allowing the user to zoom into and out of digital image data 86. By actuating one of zoom buttons 88 , user interface program resizes digital image data 86 within viewing frame 84 . User interface 82 further includes panning buttons 90 to allow the user to pan up, down, left, and right within image data 86.

Once the user interface program is associated with the enhanced digital image data, the resulting image is ready for providing to a network server, projection from a projector, display system, posting, or playback, to or from a host computer, a Web server, a Web site, or a Web page. At step 66, the enhanced digital
image is uploaded to a network server. In the instance where the enhanced digital image is posted to an Internet Web server, the upload from computer 22 to the respective server can be performed by utilizing file uploading software, such as, Web FTP (file transfer protocol) Pro software, manufactured by lpswitch, Inc., Lexington, Massachusetts.

Referring now to FIGS. 3, 4, and 5, exemplary print screens are shown illustrating the result of an upload or download of the enhanced digital image file to user computer 28 for display on display 30. In FIG. 3, digital image data 86 of a collectible stamp image is shown within a viewing window 84. Although viewing window 84 is slightly smaller than the full-screen size of display 30 (e.g., \(640 \times 480\) pixels in this example), viewing window 84 can alternatively be configured for full-screen display, or display in other sizes or resolutions. As shown, digital image data 86 shows no sign of pixelation.

In FIG. 4, a user has actuated zoom buttons 88 to zoom-in to the digital image. In response, the user interface program provides additional digital image data from the enhanced digital image file stored in a memory (e.g., a hard drive) of user computer 28 , to provide a zoomed view of the digital image. Thus, the view of FIG. 4 also shows little sign of pixelation even though the image has been magnified many times.

In FIG. 5, a user has actuated pan buttons 90 to display the lower left-hand corner of the digital image data within viewing window 84. The user has also actuated zoom buttons 88 to zoomin to the digital image data. Again, little pixelation is visible.

As mentioned, the principles described herein are also operable with a digital image taken by a digital camera. Referring
now to FIG. 6, a method 100 of providing an enhanced digital image file utilizing a digital camera is shown. At step 102, the digital camera is configured to acquire a digital image. In this step, the camera is set with a high resolution to acquire at least enough pixels for a magnification of two times the size of the viewing window provided on display 30, though higher numbers of pixel data may also be acquired.

Again referring to FIG. 7 and the corresponding description hereinabove, with a digital source image, the maximum magnification factor (mmf) should not produce a target image larger than the source image in pixels because of the pixel distortion or pixelation effect, i.e., distortion due to fewer pixels in the image being displayed than available in the viewing window. Since:
target image area (tia) \(=\) tiw \(x\) tih \(=\) via \(\times \mathrm{mmf}\)
then to obtain the target image width and height:
\[
\begin{aligned}
& \text { tiw }=\text { tia * sir } \\
& \text { tih }=\text { tiw } / \text { sir }
\end{aligned}
\]

If tih \(>\) sih then set tih \(=\) sih and tiw \(=\) siw

\section*{EXAMPLE 4}

Determine the Target Image size and dimensions, and minimum scan density for the following case:

Source Image \(=1600 \times 1200\) pixels
Desired Magnification Factor \(=20\)
Source Image Aspect Ratio \(=1600 / 1200=1.33\)
Define the Viewing Window: assume \(480 \mathrm{w} \times 360 \mathrm{~h}\) pixeis
Viewing Window Aspect Ratio \(=480 / 360=1.33\)
The Source Image Aspect Ratio is = the Viewing Window Aspect Ratio:
1.33
\(0.75=0.35\)
\[
\text { vih }=v w h=360 \text { pixels }
\]
\[
\text { viw }=\text { vih * } 1.33=360 * 1.33=480 \text { pixels }
\]

The Viewing Image area \(=\) via \(=480 \times 360=172,800\) pixels
The Target Image area \(=\) via \(\times 20=172,800 \times 20=3,456,000\) pixels
The Target Image width \(=\sqrt{3,456,000 * 1.33}=2147\) pixels

The Target Image height \(=2147 / 1.33=1610\) pixels
But tih of 1610 pixels is \(>1200\) pixels therefore:
\[
\begin{aligned}
& \text { tih }=1200 \text { pixels } \\
& \text { tiw }=1600 \text { pixels } \\
& \text { tia }=1200 \times 1600=1,920,000 \text { pixels }
\end{aligned}
\]

Effective Maximum Magnification Factor = tia / via
\[
=1,920,000 / 172,800=11.1
\]

The Minimum Scan Density = N/A
Steps 104 (touch-up image), 106 (compress file), 108 (associate user interface data), and 110 (upload file) may proceed as described with reference to FIG. 2 in the print film image exemplary method.

The above method can be repeated using different depth images or digital photographs for the images in order to create areas of higher resolution or "hot spots" within an image for detailed close-up inspection or viewing. These depth images can be linked to the respective image or image segment. The above method can be utilized in order to create higher zoom capabilities with each new depth layer of an image.

The above method can be utilized for applications including single images, single panoramic images, stitched images, non-stitched images or any other suitable image type.

The system and method of the present invention can also be utilized in conjunction with three-dimensional images in order to produce high resolution, three-dimensional digital images and 3-D texturings.

The resulting images which are obtained via the exemplary system and method are characterized by a high definition resolution and are suitable for high definition television, Web television, and large, panoramic or object models, Internet applications, which preserve resolution upon image magnification or reduction. The exemplary embodiment also dispenses with the need for plug-in software during download or file transfer operations.

\section*{EXAMPLE 5}

A variety of photographs were taken using several different types of cameras. A digital camera was used to take several digital images. A Hasselblad camera was used to take several print film images, some of which were \(21 / 4^{n}\) square and others of which were \(4 \times 5^{\prime \prime}\) square. The print film images were taken to a film developing center to be enlarged to \(8 \times 12^{\prime \prime}\) pictures.

The enlarged pictures were scanned with UMAX Astra scanner using Adobe Photo Editor. Some bitmap files were created and some JPEG files were created. In spite of conventional teaching to the contrary, the scanner was set for a high resolution: 600 dpi . For the JPEG files, compression was set to 30:1.

Some of the images were stitched together using Photo Vista. The stitched images were then compressed at a high ratio of compression to generate JPEG files. The compressed files were touched up using Adobe Photo Editor and then uploaded to an Internet server. The uploaded files were then downloaded from the Internet server. The download took only a short time. The images were observed to have exceptionally high quality.

In review, a method is disclosed of providing a digital image file for viewing in a viewing window of a user display, the
viewing window having a predetermined size. The method includes providing a digital image having an image size comprising a fixed number of pixels representative of an image, the image size being greater than the predetermined viewing window size. The digital image file is associated with a user interface that is configured to display the digital image in the viewing window and to allow a user to zoom into and pan around in the image displayed in the viewing window while maintaining high image quality.

While the exemplary embodiments illustrated in the FIGS. and described above are presently preferred, it should be understood that these embodiments are offered by way of example only. For example, the specific pixel counts and display sizes disclosed herein are merely exemplary and are used to illustrate the pertinent principles. Also, not all of the steps of the exemplary embodiments need be performed in all embodiments, nor need they be performed in the specific order recited. Accordingly, the present invention is not limited to a particular embodiment, but extends to various modifications that nevertheless fall within the scope of the appended claims.

\section*{WHAT IS CLAIMED IS:}
1. A method of providing a digital image file for viewing on a user display in a viewing window having a predetermined size, the method comprising:
providing a digital image file having an image size comprising a fixed number of pixels representative of an image, wherein the image size is greater than that of the predetermined viewing window size.
2. The method of claim 1, further comprising providing a user interface for the digital image file, the user interface configured to display the digital image file in the viewing window and to allow a user to 200 m into the image displayed in the viewing window,
3. The method of claim 1 , wherein the image size is at least ten times that of the predetermined viewing window size.
4. The method of claim 1 , wherein the user interface is configured to allow the user to pan across the image.
5. The method of claim 1, wherein the user interface prevents the user from zooming into the image to the point of pixelation.
6. The method of claim 1, wherein the digital image file includes the user interface in a single data file.
7. The method of claim 1, wherein the user interface is an application program applet.
8. The method of claim 1, wherein the user interface is an application program controlled by the user's computer.
9. The method of claim 1, further comprising compressing the digital image file.
10. The method of claim 1, further comprising uploading the digital image file to a network server.
11. The method of claim 1, wherein the digital image file is generated from a print film image.
12. The method of claim 1, wherein the digital image file is acquired with a digital camera.
13. The method of claim 1, wherein the predetermined size represents a full-screen size of the user display.
14. A method of providing an enhanced digitized image file to a user, comprising:
providing a viewing window size in which the digitized image file is to be displayed to a user;
providing a digitized image file having an image size greater than that of the predefined viewing window size;
compressing the digitized image file; and providing the compressed image file to a network server.
15. The method of claim 13, further comprising: under user control, transmitting the compressed image file over the network;
displaying the transmitted image file to the user in a viewing window having the predefined viewing window size; and under user control, magnifying the displayed image within the viewing window.
16. The method of claim 14, further comprising, under user control, moving the displayed image in the predefined viewing window size.
17. The method of claim 14, further comprising providing the user with a plurality of selectable magnification levels to view the displayed image within the viewing window.
18. The method of claim 14, wherein the resolution of the digitized image is greater than that of the image displayed to the user in the predefined viewing window size without image magnification.
19. The method of claim 16, wherein the selectable magnification levels are limited such that no more than one pixel of the user display can display one pixel of the digitized image.
20. The method of claim 13, wherein the digitized image file is compressed to a JPEG format.
21. The method of claim 13, wherein the step of generating includes enlarging and scanning a print film image to provide the digitized image file.
22. The method of claim 19, wherein the print film image is scanned with a density of at least 100 dots per inch.
23. The method of claim 13, wherein the step of generating includes acquiring the digitized image file with a digital camera.
24. The method of claim 13, wherein the compressed image file is accessible via the Internet.
25. The method of claim 14, wherein magnifying the displayed image does not degrade the image quality.
26. An enhanced digital image file downloadable to a client computer having a viewing window on a display, the viewing window having a predetermined frame size, the digital image file comprising:
digitized image data representative of an image, wherein the digitized image data has a number of pixels sufficient to allow a user to magnify the digitized image in the viewing window by a magnification factor of at least two without pixelation; and control data to allow the user to control the magnification factor.
27. The enhanced digital image file of claim 25, wherein the digitized image data is compressed.
28. The enhanced digital image file of claim 25 , wherein the control data is configured to provide zoom buttons and pan buttons to a user.
29. The enhanced digital image file of claim 27, wherein the control data includes a Java applet.
30. The enhanced digital image file of claim 25, wherein the digitized image data has a number of pixels sufficient to allow a user to magnify the digitized image in the viewing window by a magnification factor of at least ten without pixelation.
31. The enhanced digital image file of claim 25, wherein the digitized image data has a number of pixels sufficient to allow a user to magnify the digitized image in the viewing window by a magnification factor of at least one hundred without pixelation.
32. The enhanced digital image file of claim 25, wherein the 2 control data is configured to prevent the user from magnifying the 3 digitized image to the point of pixelation.

\section*{ABSTRACT OF THE DISCLOSURE}

A method of providing a digital image file for viewing in a viewing window of a user display, the viewing window having a predetermined size. The method includes providing a digital image having an image size comprising a fixed number of pixels representative of an image, the image size being greater than the predetermined viewing window size. The digital image file is associated with a user interface that is configured to display the digital image in the viewing window and to allow a user to zoom into and pan around in the image displayed in the viewing window while maintaining high image quality.




FIG. 3


FIG. 4


FIE. 5


FIG. 6


FIG. 7


\section*{U.S. PROVISIONAL PATENT APPLICATION}
for

\section*{ZOOM AND PAN IMAGING USING A DIGITAL CAMERA}

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\title{
ZOOM AND PAN IMAGING \\ USING A DIGITAL CAMERA
}

\section*{CROSS-REFERENCE TO RELATED APPLICATIONS}

The present application is related to U.S. Patent Application No. 09/630,939, filed August 2, 2000, a copy of which is attached hereto and incorporated herein by reference.

FIELD OF THE INVENTION
The present invention is directed to a system and a method for producing enhanced digital images and, in particular, to a system and a method for producing enhanced digital images having improved resolution for zooming and/or panning within an image downloaded from a digital camera to an external display system such as to a computer display or directly to a monitor.

BACKGROUND OF THE INVENTION
See attached information regarding digital cameras which is incorporated herein by reference.

See Background in related application.

\section*{DESCRIPTION OF THE INVENTION}

The object of this invention is to enable a digital camera to provide pan and 200 m capabilities to the digital pictures taken by the camera. The pictures may be viewed, either on the camera through the viewing screen usually provided, or through a display attached to a computer which has received a file comprising the digital images taken by the digital camera, or through any other display system such as a television or monitor.

See attached Figure A1.
There are several embodiments:
In one embodiment, the software for viewing the images in pan and zoom mode is contained within the camera \#1.

The photographer selects the image to be displayed on the viewing screen \#2 and then selects the pan and zoom mode by use of control buttons which may be existing buttons multiplexed for this purpose. The necessary controls are: pan left, right, up, down, zoom in, zoom out. Including the pan and zoom facility in the camera enables the close inspection of particular features of the image thereby ensuring that the image is meeting the objectives of the photographer.

In another embodiment, the digital file containing the images is transferred to the computer \(\# 10\) through a cable connection connecting the USB port \#3 to USB port \#11 or equivalent ports such as a serial port. Alternatively the digital file may be transferred by means of a media device such as a floppy disc or flash card. In this latter case, the file is written onto the media device using data media port \#4 and read into the computer using data media port \#12. The computer \#10 should have already been loaded with user interface software which provides the controls for displaying the digital images on computer display \#20 and also for providing the pan and zoom feature controls.

An alternative embodiment is to include into the digital file transferred from the camera \#1 to the computer \#10 the user interface software necessary to control the display and zoom and pan of the digital images in the computer. In this way no software is necessary to be pre-loaded into the computer \#10.

Another alternative embodiment is to pre-load some software into computer \#10 and load the remainder from the camera \#1 through the digital file containing the images being transferred.

Note that the relationship between the target image and the viewing image is very important, as described in the related application. By panning the viewing window, every portion of the target image may be viewed from each level of zooming. Zoom capability is provided up to a maximum level where the image begins to pixelate.

In the related application, user interface or control data is associated with the enhanced digital image file. The user interface data is a program or code segment (e.g., a Java applet) that provides a graphic user interface on the display upon loading of the image.

In one embodiment of the present invention, the user interface program is associated with the digital image file in the camera and downloaded with the file or files so the computer or other display system can automatically launch the graphic user interface, decompress the digital image data if necessary, and display at least a portion of the digital image data within a viewing window having a predetermined viewing size. The user interface program can be written in \(\mathrm{C}+\) or \(\mathrm{C}++\) or other common languages. The user interface data may alternatively be a plug-in, applet, or other software program.

The user interface data may be either associated with the enhanced digital image file such that it is downloaded with the enhanced digital image data, or it may be launched independently from the enhanced digital image data as, for example, an applet or plug-in on computer \#10. If the user interface data is launched independently of the image data, it may either be first opened by the
user before downloading the enhanced digital image file, or it may be automatically opened by the enhanced digital image file, such as, via a script or other code segment within the enhanced digital image file.

The user interface includes a viewing window or frame for displaying the digital image data, and further includes zoom and pan functions as described in the related application.

Note that the amount of zoom capability provided by the user interface will vary depending upon the display size and resolution. Nevertheless, the zoom capability should reach a maximum when the image begins to pixelate as defined in the related application.

For example, if the original image is 1500 (width) x 1200 (height) pixels, and the camera viewfinder display has a \(256 \times\) 180 screen size, then the image can be magnified to 39 times, whereas the same image can only be magnified to 3.75 times in an \(800 \times 600\) computer monitor. In either case, at the maximum zoom, the zoomed portion of the original image will be fully detailed pixel-for-pixel.

If the digital image file, including the user interface program, is provided directly to a television or monitor that does not have 200 m or pan controls or buttons, the controls or buttons on the camera will be used.

As mentioned in the related application, the digital camera is typically set with a high resolution to acquire at least enough pixels for a magnification of two times the size of the viewing window provided on the display, though higher numbers of pixel data may also be acquired. The same or related mathematical formulas for determining the maximum magnification factor ( mmf ) in the related application apply in the preferred embodiment.

Note in the preferred embodiment that the display is viewing all or a portion of the entire image that was downloaded to the computer \#10, and not downloading portions "on the fly" as they are needed.

In the preferred embodiment, the image can be panned while zoomed in to the maximum.

\section*{PAN AND ZOOM IMAGING USING A DIGITAL CAMERA}


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Olympus C-3030
Olympus extends their popular high-end compact to 3.3 megapixels, adds sound to its movies!
(Review first posted 5/10/2000)


\section*{Manufacturer Overview}

With one of the broadest digicam lineups in the industry, Olympus is a traditional camera manufacturer who's successfully making the transition to the digital era. A little over a year ago (this is being written in May, 2000) they introduced one of the first 2 megapixel digicams on the market, the C-2000 Zoom. It proved to be extremely popular, offering a compelling combination of features and excellent picture quality. They subsequently upgrade it with the \(\mathrm{C}-2020 \mathrm{Zoom}\), a model offering improved functionality and user interface design. Now, they've extended the same basic (and successful) design to the three megapixel arena, with the C-3030 Zoom. The new model is again evolutionary, rather than revolutionary, but once again, Olympus appears to have listened to customer input and incorporated many of the most-requested features. Overall, the new camera is a very strong entry in the three megapixel arena, and should compete well in that market.

\section*{Highlights}
- 3.34 megapixel ( 3.14 effective), \(1 / 2\) inch CCD delivering up to \(2048 \times 1536\) pixel images.
- 1.8 inch, TFT color LCD display.
- \(3 x, 6.5\) to 19.5 mm lens (equivalent to a 32 to 96 mm lens on a 35 mm camera) with auto and manual focus.
- \(2.5 x\) digital telephoto.
- Apertures from F/2.8 to F/11.
- Shutter speed from 1 to \(1 / 800\) seconds in Auto exposure mode ( 16 to \(1 / 800\) in Manual).
- Variable ISO settings of Auto, 100,200 or 400.
- Exposure compensation from -2 to +2 in \(1 / 3\) EV increments.
- Adjustable white balance with Auto, Clear, Cloudy, Tungsten and Fluorescent settings.
- Digital ESP (matrix) and Spot metering options.
- Program AE, Aperture Priority, Shutter Priority and Manual exposure modes.
- Movie recording mode with sound and shutter speeds from \(1 / 30\) to \(1 / 10,000\) seconds.
- Continuous Shooting, Auto-Bracketing, Black \& White, Sepia and other special exposure modes.
- Built-in flash with Auto, Red-Eye Reduction, Fill-In, Off and Slow-Sync modes.
- External flash PC sync.
- 12 second self-timer with remote control.
- JPEG, uncompressed TIFF, Wave and QuickTime Motion JPEG file formats.
- Image capture on SmartMedia.
- USB and serial computer connections.
- Direct print capabilities with optional Olympus printer.

\section*{Executive Overview}

A few months ago (early 2000), Olympus introduced the C2020 as a welcome upgrade to their previous C-2000 Zoom digicam: Olympus obviously listened closely to users, and implemented many of the most-requested features in the new model. Now, they've taken the same basic (successful) design and created the C-3030, giving it a larger, 3.3 megapixel CCD, sound recording capabilities and several other feature additions and user-interface improvements. The net result is very pleasing, certain to appeal to fans of the former models, or to anyone looking for a high-performance "prosumer" digicam. Design-wise, the \(\mathrm{C}-3030\) looks much like its predecessor, with the exception of its monotone black body (the C-2020 featured a silver and black design). The C-3030 retains the lightweight portability of its predecessor, easily slipping into a large coat pocket or purse. The only design complaint we have is the lens cap, which doesn't tether to anything and can be easily lost. It's a minor issue, but one we're compelled to harp on just the same: We've lost too many lens caps in our lives, and a tether strap is just too easy to add for manufacturers to have an excuse not to. On the plus side, we were glad to see that Olympus redesigned the previously awkward battery compartment cover. Now, you just slide a lock and then slide the cover open, without needing superhuman hand strength or more fingers than nature gave us.

The C-3030 offers a \(3 \mathrm{x}, 6.5\) to 19.5 mm lens (equivalent to a 32 to 96 mm lens on a 35 mm camera) with both auto and manual focus options. This looks like it's physically the same lens as on the C-2020 Zoom, with the difference in focal length resulting from the slightly larger physical dimensions of the CCD. We were very happy to see the continuance of the distance scale that appears on the LCD when using manual focus, as it greatly helps in hard to focus situations. There's now also a handy focus-assist feature, whereby the LCD display zooms to a larger scale whenever you actuate the manual focus adjustment. Apart from the temporary "zoom" while focusing, the 2.5 x digital telephoto is activated through the Record menu, preventing you from accidentally sliding into the digital zoom range, a feature we like to see. The C-3030 sports both optical and LCD viewfinders for composing images. As with its
predecessors, power consumption is exceptionally low when the LCD is off, meaning you can leave the camera on all day without worrying about draining your batteries.

Exposure-wise, we appreciate the degree of control the C-3030 provides. Although many of the camera's settings rely on the LCD menu system, you can still set the flash, macro and metering options without resorting to the LCD. Unfortunately, changing the exposure compensation or altering other exposure settings requires accessing the LCD menu system. (In general, we prefer to see digicams that permit significant control via the top-panel data readout, rather than the LCD panel. This really helps to conserve battery power!) However, in Playback mode, functions like Delete, Write Protect and Print can now be controlled by pressing a single button (previously requiring use of the menu system). You get as much or as little exposure control as you want with the C-3030, via Program AE, Aperture Priority, Shutter Priority and Manual exposure modes. In all modes except for manual, you have an aperture range from \(\mathrm{F} / 2.8\) to \(\mathrm{F} / 11\) and shutter speeds from one to \(1 / 800\) seconds. In Manual mode, the shutter speed range extends to 16 to \(1 / 800\) seconds, giving you much longer exposure times. We liked the fact that, in all modes except Program AE, the camera indicates whether an exposure is going to be too dark or too light, giving you a chance to alter the exposure settings before snapping the picture. We also really like the on-screen display of the aperture and shutter speeds the camera has chosen.

White balance and exposure compensation offer the traditional settings and you have a choice between Spot and Digital ESP (matrix) exposure metering. Thus far, Olympus digicams haven't offered a "manual" white balance mode, and the C-3030 doesn't either. We really like to see manual white-balance options (also called "preset" or "one-push" white balance by some manufacturers), especially on cameras as advanced as the C-3030 Zoom. The built-in flash provides the standard Auto, Red-Eye Reduction, Fill-In and Off modes, but can be also combined with slow shutter speeds to achieve various low light exposures through the Slow-Sync setting. In slow sync mode, the flash may be synchronized with either the opening or closing of the shutter. There's also a sync socket for an external flash, which can be used either with or without the built-in flash. You can control flash exposure independently of that for ambient light, via the flash intensity setting, which is adjustable from -2 to +2 in \(1 / 3\) EV increments. Combine this with the variable ISO option (100, 200 or 400 ) and you get an excellent range of exposure control options, especially in low-light situations.

The Sequence, Auto Bracketing and Panorama shooting modes provide a nice range of exposure options and cater to a number of shooting situations. We also like the Picture Effects menu, which offers Black and White, Sepia, White Board or Black Board shooting modes, helpful in a variety of scenarios. A nice bonus on the \(\mathrm{C}-3030\) is the ability to record sound, both with movies and still images. This makes movies a little more interesting and can be really helpful in labeling still images. (The lack of sound recording in its movies was a frequent complaint we heard from owners of the earlier C-2020.) Do note though, that the C-3030 Zoom has no internal speaker, so you'll have to download your movies to a computer to hear the audio track.

The C-3030 offers a range of image resolution sizes, from \(2048 \times 1536\) to \(640 \times 480\) (five sizes in all) with a variety of quality settings. Files are saved as JPEGs with an option for uncompressed TIFF at all image sizes. Images are stored on SmartMedia cards and an 16 mb card is packaged with the camera. The C-3030 supports both USB and the standard serial interface, accommodating both PC and Mac users. Additionally, an NTSC video cable means that you can play back movies and captured images on your television set, or even use the TV as an expanded LCD monitor for image composition. (European models come equipped for the PAL video standard.)

Overall, the C-3030 Zoom is a very worthy extension to the previous C-2020 Zoom: Combining lightweight portability, a 3.3 megapixel CCD, wide array of resolution choices, and excellent exposure controls, it's flexible, user-friendly, and high performance. We own a C-2020 Zoom and use it for all our product shots that appear on the web site: We have to confess to being sorely tempted by the new 3 megapixel C-3030 Zoom, even though our C-2020 is less than six months old. (This is an occupational hazard in the digicam world, where there's always something coming out better than the product you acquired just months before...) Whether you're entering the market for the first time, or upgrading from an earlier model though, the C-3030 Zoom presents a wealth of features and capabilities, and excellent
image quality in the bargain.

\section*{Design}

With the C-3030 Zoom, Olympus has kept the familiar lightweight, compact styling of earlier models in the line, but this time with an all black facade. In fact, it incorporates all the features of the C-2020 Zoom, but now with a 3.3 megapixel CCD and sound recording capability (not to mention a wider array of image resolution sizes). The very rugged plastic body gives the camera a low weight of 10.6 ounces (300.5g). Dimension-wise, the camera measures \(4.3 \times 3.0 \times 2.6\) inches ( \(107.5 \times 76.4 \times 66.4 \mathrm{~mm}\) ), so it's easily stashed in a coat pocket or purse. Overall, the design is almost identical to the C-2020 Zoom, with the sole exceptions of a larger handgrip area and a much easier to operate battery compartment lid.


Aside from the monotone body, the C-3030 doesn't look too different from the previous C-2020. The front of the camera is relatively clean, featuring the telescoping lens, built-in flash, optical viewfinder front and the remote control infrared sensor. When fully retracted, the lens only adds about a quarter of an inch protrusion beyond the handgrip to the front of the camera. When the camera is turned on, the lens comes out of hiding and likewise retracts when the camera is switched off. A minor gripe here is that the lens is protected by a removable lens cap that doesn't have a tether or any place to attach one. While this isn't a big deal, lens caps have a habit of disappearing, so we like to see designs that either omit them, or provide some sort of tether.


We're glad to see the continuance of the hefty handgrip on the side of the camera which holds the SmartMedia slot (beneath a snug plastic cover that snaps tightly into place). As noted above, the handgrip on the \(\mathrm{C}-3030 \mathrm{Zoom}\) is a little larger than that on the earlier 2020, making for a bit more secure grip.


On the opposite side of the camera are the digital, AC and video input jacks, also protected by a snug plastic cover. The dioptric adjustment dial for the optical viewfinder and the external flash sync connector (notably, not a standard "PC" sync connector) are also on this side of the camera. Users will want to be careful with the flash sync cover, as it's tiny and can be easily lost.


Up top is a small information display panel, the mode dial, shutter release button and zoom control. The small information display reports many of the camera's exposure settings, but you still need to rely on the LCD for exposure compensation adjustments, aperture, and shutter settings. (Note to Olympus: Black \& White readouts are cheap, both in terms of materials cost and battery power: We'd really like to see you make more use of them for routine operating controls!)


Most of the controls are on the back panel of the camera with the LCD monitor in the center. The flash and macro controls, arrow keys, manual focus, display and menu buttons live back here. There's also a small, red LED on the side closest to the SmartMedia slot that lets you know when the card is in use (and therefore not to open the slot).


Both the locking battery compartment and plastic tripod mount are located on the bottom of the camera. Unfortunately, they are too close to each other to allow quick battery changes when the camera is mounted on a tripod. Frankly though, we don't know how Olympus could have gotten around that problem on this model, as the bottom of the camera body isn't wide enough to allow any leeway in this area and at the same time keep the tripod mount centrally located. We are glad to report that the battery compartment is now much easier to open than the previous design, as you just slide the lock and push the compartment door outwards as it flips open. (The previous model really required both hands to get it open without dropping the camera). A minor quibble on this part of the camera: The C-3030 Zoom uses a plastic tripod socket, albeit a replaceable one. Metal tripod sockets are the exception rather than the rule, but we really like the added durability that metal provides. Accordingly, we try to mention the tripod socket material in our reviews, as an encouragement to digicam makers to use metal more frequently.

We're also glad to see the return of the small infrared remote control which lets you trip the shutter, operate the zoom lens and scroll through recorded images in Playback mode. We really enjoyed this feature and the amount of freedom it gives. (We make continual use of the IR remote on our C-2020 Zoom in all our studio shooting: It's incredibly handy!)


\section*{Viewfinder}

The C-3030 features both a "real image" optical viewfinder and an LCD monitor for image composition. The optical viewfinder has central autofocus crosshairs to help you line up shots and two small external LEDs that report whether or not the focus and/or flash is ready. There's also a small dioptric adjustment dial on the left side of the optical viewfinder, to assist eyeglass wearers, but the eyepoint is a bit lower than we'd like to see for use with glasses. The viewfinder zooms along with the lens, but
naturally doesn't respond to the \(2.5 x\) digital telephoto, which is dependent on the LCD monitor.
A 1.8 inch, TFT, color LCD monitor provides detailed feedback about the current exposure settings, showing the currently selected f-stop, shutter speed and exposure compensation in a row of numbers across the top. In Aperture and Shutter Priority modes, the aperture or shutter value appears continuously, along with the exposure compensation setting, while the second, automatically determined exposure value (either shutter speed or f-stop) appears whenever the shutter button is half pressed, triggering the autofocus and autoexposure
 systems. The same goes for Manual mode, except both values are displayed together. When the LCD monitor is turned on in record mode, some of the camera's exposure settings are listed as well, such as flash, exposure, etc.

When using the LCD monitor to review captured images, you can actually zoom in on displayed images up to \(3 x\), as shown in the screen shot at right. This is very handy for checking focus, small details or precise framing. When you're zoomed in, the jog dial buttons let you scroll around within the larger image. There's also the index display option, which displays either four, nine or 16 images at a time.

We found the C-3030's optical viewfinder to be a little tight, showing
 approximately 82 percent frame coverage at wide angle and about 81 percent at telephoto. (Note that we've changed our nomenclature on this to better reflect what you see looking into the viewfinder: We previously would have referred to the C-3030's viewfinder as "loose"...) These numbers are from the \(2048 \times 1536\) resolution size but the smaller \(640 \times 480\) resolution size numbers are similar at 83 percent accuracy for both wide angle and telephoto. We also noticed that the framing here slants just a little to the left vertically, possibly the CCD on our test unit was shifted a little. The LCD monitor proved to be much more accurate, showing about 97 percent frame coverage at wide angle and slightly over 100 percent at the telephoto setting. (The covered area at the telephoto end is just barely inside the darker lines we use to frame the viewfinder accuracy target). As with the optical viewfinder, the smaller, 640 x 480 image sizes weren't too far off from the larger ones (about 96 percent coverage at wide angle and just over 100 percent accuracy at telephoto). We generally like to see the LCD monitor as close to 100 percent accuracy as possible, so the C-3030 does a very good job in that respect. We also shot at the 2 x digital telephoto setting (our studio isn't long enough to accommodate the full 2.5 x ), which probably would have produced close to 100 percent accuracy if framed properly. One problem with the digital telephoto is that framing is difficult because of the softer, slightly distorted image on the LCD. Additionally, the resulting image is somewhat soft, which is a usual side effect of the digital zoom.

\section*{Optics}

The C-3030 Zoom comes with a \(3 \mathrm{x}, 6.5\) to 19.5 mm , all glass aspheric lens (equivalent to a 32 to 96 mm lens on a 35 mm camera) with eight elements in six groups. This appears to be physically the same lens as in the C-2020 Zoom, with the wider-angle coverage being due to the larger size of the \(3030^{\prime} \mathrm{s}\) CCD sensor. Further evidence of the tight fit between lens and CCD is the set of small notches cut into the bezel around the lens' front element, to avoid vignetting in the corners of the final images. Despite the cutouts in the lens bezel though, we did notice a slight vignetting (darkness in the corners of the images) when the lens was set to its widest angle. This disappeared fairly quickly as we zoomed
 toward telephoto settings, but was noticeable in shots of flat-tinted subjects at full wide angle zoom settings. (We confess to being puzzled though, by the smaller \(f / 2.8\) maximum aperture of the 3030 's lens, compared to the \(f / 2.0\) of the 2020.) Apertures can be manually adjusted in both Manual and Aperture Priority mode from F/2.8 to F/11, in 1/3 f-stop increments. The contrast-detect TTL autofocus system covers a range from 31 inches \((0.8 \mathrm{~m})\) to infinity in normal mode and from eight to 31 inches ( 0.2 to 0.8 m ) in macro. The green LED next to the optical viewfinder lights solid when the autofocus system achieves a lock on the subject. Low light focusing performance is fairly good, with the camera able to achieve focus down to about 1 footcandle ( 11 lux, or about the brightness level of a well-lit nighttime
street scene). Below that level, you'll need to resort to manual focusing.
A manual focus option is available by simply pressing the MF button on the back panel which displays a small distance readout to help you gauge distance (in meters or feet). The screen shot at right shows the focusing scale in manual focus mode. The up and down arrow buttons adjust the focus along the scale and pressing the MF (or OK) button again cancels the mode. We liked the fact that the distance scale displayed is split into two segments, one ranging from 2.6 feet to infinity, the other from 8 to 31 inches. This provides the necessary resolution to focus accurately, without forcing you to squint and guess at
 single scale ranging from 8 inches to infinity. One nice feature of the 3030 's manual focus operation is that the LCD viewfinder display enlarges by about \(2 x\) whenever the manual focus setting is changed. This is very helpful in deciding whether you've achieved good focus or not. (Although it's still difficult to judge critical focus from an LCD panel.) Here's a trick though, for further improving your focus accuracy using the LCD screen: Activate the digital zoom function, to get an additional 2.5 x magnification of the subject. Once you're focused, you can back the lens off to frame the picture accordingly. (Actually, we're not certain that the C-3030 Zoom's lens doesn't change focus as you zoom it, but this technique seemed to work fairly well for us.)

As with other Olympus cameras in this series (the C-2000 Zoom and C-2020 Zoom), the C-3030 Zoom has body-mounted threads that accept an accessory lens adapter, the CLA-1. This adapter is a small cylinder that gives you a set of 43 mm filter threads just flush with the furthest forward extent of the lens when it telescopes out. NOTE though, that we said "just flush" - If you by chance were able to obtain an accessory lens or filter with 43 mm threads on it, it wouldn't fit: You need a millimeter or so ahead of the adapter before the glass starts. This usually isn't a problem, since you'd almost always have a thread adapter tacked on the front of the CLA-1 anyway, the 43 mm being such an odd size. Still, you can find 43 mm accessories out there, so we thought we should at least mention this...

While the C-3030's lens provides up to 3 x optical zoom, an additional 2.5 x digital zoom can be activated through the Record menu, albeit with noticeable quality degradation in the resulting images at the larger image sizes. (The "digital zoom" options on all digicams simply crop into the CCD array to reduce the angle of view. They thus directly trade resolution for "magnification.") Note that the digital zoom cannot be used with the uncompressed TIFF mode and is only accessible with the LCD monitor on.

With a measured visual resolution of 850-900 lines per picture height in our resolution tests, the C-3030 Zoom is just a hair off the highest we've seen to date (May, 2000). Olympus deserves credit though, for not trying for a snappier-looking picture by over-sharpening the image in the camera. Our philosophy on image sharpening is that the capture device (camera, scanner, whatever) should do the bare minimum, compensating only for the blurring tendencies of its sensor. Once an image has been over-sharpened, detail is irrevocably lost and objectionable artifacts appear. To our eye, the C-3030 Zoom gets it about right, applying some sharpening, but not too much. Even at that, it offers a "soft" image-sharpening option that provides images without any in-camera sharpening, for those times when you need to perform critical manipulations on the image in Photoshop(tm) or other editing program post-capture.

The lens appears to be of good albeit not unusual quality, turning in fairly typical distortion and aberration numbers for lenses at the higher end of the consumer digicam spectrum: Geometric distortion on the C-3030 was moderate at the wide angle end, as we measured a 0.76 percent barrel distortion. The telephoto end showed a smaller, 0.29 percent pincushion distortion. Both numbers are about typical among digicams we've tested, but we do prefer to see lower distortion at the wide angle end. (Just to be clear, roughly 0.8 percent is pretty typical among digicams we've tested, we'd just like to see *all* digicams have lower barrel distortion.) Do note though, that there's an excellent, easy solution to barrel or pincushion distortion available, in the form of the optional "dewarp" plugin for our favorite image tweaker, PhotoGenetics. Read our review of PhotoGenetics for more details. Chromatic aberration was fairly pronounced at wide angle settings, with several pixels of color showing at the edges of elements in the comers of our resolution test target. At the telephoto end of the lens' range though, chromatic
aberration was essentially invisible. (This distortion is visible as a very slight colored fringe around objects at the edges of the field of view on the resolution target). The chromatic aberration was more severe at the wide angle end than the average for cameras we've tested, while it was much better than average at the telephoto end. We also noticed some slight vignetting of the image in the very corners, at the widest-angle lens setting. This last disappeared pretty quickly though, as we moved the lens out of the wide angle position.

\section*{Exposure}

Exposure control is similar to the setup of the C-2020 Zoom, with an LCD menu system that controls most of the camera's settings. Four exposure modes are accessible through the mode dial: Program AE, Aperture Priority, Shutter Priority and Manual. Shutter speeds in all modes except Manual range from one to \(1 / 800\) seconds. The range broadens under the Manual setting to 16 to \(1 / 800\) seconds. A useful added feature relative to the previous model is that as you scroll through the various aperture/shutter settings in manual mode, the camera's exposure system remains "live". The camera tells you how it judges the exposure setting you've chosen, showing not only whether it thinks you're high or low, but by how much. It does this by displaying what it believes the over- or under-exposure to be using the digits on the LCD screen that normally indicate exposure compensation in automatic exposure modes. If you're more than plus or minus 3 EV units away from the correct exposure, the digits light up red, showing just \(+/-3 \mathrm{EV}\). This is really very helpful as it gives you a good idea of what the exposure will be like before snapping the shutter. (Other manufacturers take note: This is a nice feature, and shouldn't be too hard to add, if a camera already has a manual exposure mode.)

Exposure compensation is adjustable in \(1 / 3 \mathrm{EV}\) increments from -2 to +2 , in all exposure modes except for Manual, by pressing the right and left arrow buttons. Additionally, the auto exposure bracketing function ensures you'll get a correct exposure by automatically bracketing up to \(-/+2 \mathrm{EV}\) in steps ranging from \(1 / 3\) to 1 EV unit. This feature lets you select either 3 or 5 shots in the series, and steps of \(0.3,0.6\), or 1.0 EV units. Thus, the bracketing range could be as small as \(+/ 10.3 \mathrm{EV}\), or as great as \(+/-2 \mathrm{EV}\). Once set, you just hold down the shutter button until all 3 or 5 exposures are captured. Very slick!


ISO is also adjustable, with available settings of Auto, 100, 200 and 400 . The more sensitive settings do produce noisier images, but they provide welcome exposure flexibility. The default setting in Program exposure mode is Auto, whereas Aperture, Shutter, or Manual exposure modes force you to choose one of the explicit ISO settings. In Auto ISO mode, the camera will normally shoot at ISO 100 , but will gradually increment the ISO setting in very dim conditions, trying achieve the best tradeoff between shutter speed and image noise. We're not sure what the thresholds are for increasing the ISO rating in Auto mode, but it does seem to be pretty conservative about it: In some brief experimentation, it arrived at a shutter speed of \(1 / 2\) second (pretty slow) in a dimly-lit interior scene, yet still only bumped the ISO up to 200. "Automatic" systems like this are always a compromise, but we felt the C - 3030 Zoom was doing about what we would have most of the time. It's also interesting to note that the Auto ISO settings aren't restricted to the 100/200/400 available manually - At least one of our experimental shots showed an ISO setting of 180 in the playback picture-info display.

The C-3030 Zoom provides two choices for exposure metering, Spot or the default Digital ESP metering system. Digital ESP is Olympus' name for matrix metering, but we don't know the specifics of it, how many segments it uses, etc. - For those of you unfamiliar with the term "matrix metering", it refers to a sophisticated exposure-metering technique that samples the brightness from multiple points across the image, and then applies some intelligence to set the exposure so as to not blow out highlights, plug shadows, etc.

The C-3030 offers a 12 second self-timer. You can also use the infrared remote to trigger the camera from a distance, which decreases the time delay to only three seconds. (This is one of our few quibbles with the otherwise excellent IR remote unit: Why are we forced to wait three seconds when using the remote? We'd greatly prefer relatively instantaneous triggering of the camera!) White balance can be set to Auto, Clear, Cloudy, Tungsten or Fluorescent to accommodate a variety of lighting situations. White
balance is another area where we have a request to make of Olympus: So-called "manual" white balance options are becoming more and more common on high-end digicams, and (properly implemented) they're very useful. Manual white balance options generally let you set the camera's white balance by pointing it at a white card and clicking the shutter (after appropriate menu setup, etc.) This usually provides a more accurate white balance than the automatic or preset options. The C-3030 Zoom is a sufficiently advanced camera that we'd expect to see a feature like this on it. Not a crippling omission by any means, but one that we think would be appreciated by the 3030's targeted audience of enthusiast-photographers.

The C-3030 Zoom incorporates a few entertaining options on the Picture Effect menu, enabling you to capture images in black and white or sepia tone. There are also White and Black Board settings for capturing text on light or dark backgrounds. These could be useful if you needed to grab meeting or lecture notes in a hurry. Oddly though, the resulting images, while purely black and white, are stored as RGB JPEGs, taking about the same amount of memory space as normal full-color images. This is rather odd: Overall, we'd strongly suggest just leaving the camera in color mode, and using a program like Pixid's White Board Photo to clean up the images later. (See our review of White Board Photo for more info on this unique program.)

\section*{Flash}

The built-in flash on the C-3030 Zoom offers four main modes: Auto, Red-Eye Reduction, Fill-In and Off. According to Olympus' specs, the flash is effective out to
 18.4 feet ( 5.6 m ) in wide angle and to about 12.5 feet \((3.8 \mathrm{~m})\) in telephoto. The internal flash provides good coverage in all but the widest-angle lens position: It's angle of coverage appears to correspond to a lens focal length more on the order of 35 mm than the 32 mm equivalent of the 3030 . (Another holdover from the C-2020 Zoom?) You can adjust the flash intensity setting from -2 to +2 in \(1 / 3 \mathrm{EV}\) increments. Any of the flash modes can be combined with the Slow Sync setting, which allows the ambient lighting to make a greater contribution to the final exposure of the image. You can also produce shots which combine a motion blur on the subject (from the long ambient light exposure) with a sharp initial or final image (caught by the flash exposure). The C-3030 supports both "front curtain" and "rear curtain" triggering in Slow Sync mode, firing the flash at either the beginning of the exposure or at the end. So-called rear curtain sync is necessary to produce motion blurs on moving objects that trail the sharp, flash-exposed image, rather than precede it. A plus with the design of the C-3030 is the inclusion of an external flash sync socket, giving you even more exposure flexibility. It's important to note though, that the sync socket on the C-3030 is a proprietary design, set up for use with Olympus' FL-40 flash unit. Olympus apparently offers an accessory grip/cable combination that serves as an adapter for third-party flashes, but we don't know the model number or details on its availability.

To use an external FL-40 flash unit, the camera should be set to Aperture Priority or Manual exposure mode to control the amount of flash illumination reaching the CCD via the lens aperture setting (standard operating procedure for film cameras as well). The camera will attempt to produce a good exposure with its automatic settings, whether involving its own flash or not. Thus, if you've disabled its internal flash, you'll get a rather long exposure time in Aperture Priority mode, rather like the Slow Sync mode, whether you want that or not. Use Manual exposure mode with faster shutter speeds selected to avoid this problem. The C-3030 Zoom is unusual in that when you couple it to the FL-40 external flash, you have the option of using the internal and external flashes together. - This could be a bit of a help when you're really reaching for a distant subject, or for interesting bounce/direct flash lighting. The FL-40 also cooperates with the camera by allowing its power level to be controlled by the camera's flash exposure adjustment, mentioned above.


The manual is a bit unclear on using the 3030 with flash units other than the FL-40. To use any external flash (FL-40 or other unit), you do apparently need both the optional flash holder and flash cable. Info in the manual about third-party flashes is confusing and contradictory: In one place, it says the external flash must be used with the intemal all the time. In another place, though, it says the external flash will
always fire, regardless of whether flash is enabled in the camera or not. We'll seek clarification from Olympus, but here's what we think the case is: 1) The external flash will always fire, as the sync contacts are linked to the shutter, and not affected by the internal flash status. 2) Third-party flashes won't accept flash-metering information from the camera, meaning that you'll have to regulate the light either by running the flash in Auto mode and making whatever adjustments it provides onboard, or by essentially operating the flash in "manual" mode, controlling the exposure via the camera's lens aperture.

A few caveats about external flashes with the C-3030 Zoom, or digicams in general for that matter: 1) Some external strobes have the polarity reversed on their sync connectors, and won't fire. (Cameras these days use SCRs to trigger strobes, rather than mechanical contacts, and SCRs are polarity sensitive.) 2) Some flashes put their full voltage on the sync connector, which is virtually guaranteed to blow the inner circuitry of the camera. (!) Always check the voltage on your sync connector before plugging a non-manufacturer flash into your digicam! - If you find more than a few volts there, save yourself an expensive repair, and buy a flash with a lower trigger voltage. (Studio strobe packs are particularly prone to this: Use extreme caution before attaching one to your digicam!) 3) If you're going to be shooting at wide angle, make sure your flash will cover a field of view equivalent to a 32 mm lens on a 35 mm camera. (Most will, some won't.) 4) If you get unexpectedly dim shots when operating at full flash power (distant subjects or small apertures), it may be that your flash is producing a light pulse longer than \(1 / 200\) of a second (Olympus' spec), so not all the light from the flash may be contributing to the exposure.

We didn't test the FL-40 with the C-3030 Zoom, but did have an opportunity to use one earlier, when we reviewed the Olympus C-2500L SLR camera. You can read our review of the C-2500L for more info on how it worked with the flash: We suspect the story with the \(\mathrm{C}-3030\) would be much the same. (To save you clicking the link, the short of it was that we liked the FL-40 very much indeed, and found it worked exceptionally well with the C-2500L's internal flash.)

\section*{Sequence Shooting Mode}

The C-3030 offers a Sequence mode that mimics a motor drive, letting you capture between six and 12 separate pictures (depending on the complexity of the image, selected image size/quality, and the available SmartMedia space) at approximately 1.4 frames per second. In our own measurements, we indeed measured a frame rate of 1.39 frames per second at all resolutions, in autofocus mode. We discovered though, that the non-autofocus mode increased the frame rate to 3.17 frames per second (!), since the camera didn't have to wait for the lens to focus each time. The manual states that the maximum shutter speed in sequence shooting mode is \(1 / 30\) of a second, to avoid blurring. (Seems odd, we suspect it has more to do with managing the timing of the shots, rather than a concern over camera shake.) It also notes that the mode is available with all compression levels except for uncompressed TIFF. One obvious limitation of sequence mode is that the camera's internal flash may not be used with it. (The flash can't cycle at nearly 1.4 frames per second.) However, if you have an external flash capable of cycling at the 1.4 frame per second rate and shoot in aperture priority mode, you can use a flash with this mode.

\section*{Movies and Sound}

The C-3030 continues the ability to record short movies, now extended to include sound. Movie mode is entered as a separate option on the main command dial. Movies may be recorded in either HQ ( 320 x \(240)\) or \(S Q(160 \times 120)\) resolution modes. Thanks to the C-3030 Zoom's huge buffer memory, the maximum recording time is limited only by memory card capacity, apparently up to a 32 megabyte limit. (The manual lists maximum seconds of recording time as a function of card size, but just lists "Larger than 32 megabytes" as the highest category, implying that large cards convey no additional recording time. - This makes sense, given that 32 megabytes is the size of the RAM buffer memory the C-3030 Zoom carries on board.) Here's a copy of the recording-time table from the manual:
\begin{tabular}{|c|c|c|c|c|c|c|}
\hline Recording & \multirow[t]{2}{*}{Resolution} & \multicolumn{5}{|c|}{Memory Card Capacty} \\
\hline Mode & & 2 MB & 4MB & 8 MB & 16MB & Over 32MB \\
\hline HQ & \[
\begin{gathered}
320 \times 240 \\
(15 \text { frames } / \mathrm{sec})
\end{gathered}
\] & 5 & 11 & 23 & 46 & 75 \\
\hline SQ & \(160 \mathrm{x} / 20\)
\((15 \mathrm{frames} / \mathrm{sec})\) & 22 & 45 & 92 & 186 & 300 \\
\hline
\end{tabular}

The available seconds of recording time appear in the status display panel (and in the LCD monitor if activated), based on the quality mode selected and space remaining on the card. You can use the zoom control while recording movies, but the motion of the zoom is somewhat slower than in still recording, and the zoom is apparently only a digital zoom. (Not an issue though, given the large difference between the CCD resolution and movi recording resolutions - This means that digital zoom in movie mode has the same effect as optical zoom in normal still photography, in that no image degradation should be visible as a result of using the zoom.) Manual focus, exposure compensation, focus lock, the self-timer, ISO setting, white balance and picture effects are also available while in Movie mode.

A first among cameras we've tested (May, 2000), the C-3030 even offers in-camera "editing" of movies in Playback mode. This capability is accessed via the Function->Movie Edit option on the playback menu. In this mode (see screen shot above), you can scroll forward and backward in the movie, and set cut points at the beginning and end of the sequence. Movie content between the two cut points will be preserved, the rest discarded. In a nice touch though, Olympus allows you to choose whether to modify the original movie file, or
 just save a new copy of it, reflecting the effect of the edit you've made. A very nice feature that we're surprised we haven't seen before. (Kudos to Olympus for thinking of it first.)

You can also record small "sound bites" to accompany images (both in Record and Playback modes). You get approximately eight seconds of record time for each image, assuming of course that there's enough space left on the memory card. This is a handy feature for "labeling" photos.

The only quibble we have with Olympus' implementation of Movie mode on the C-3030 Zoom (and it's a significant one) is that you don't get to hear the movies you've recorded when playing them back on the camera. Adding sound recording is a big feature improvement relative to the C-2020 Zoom, but it sure would be nice to at least be able to hear what you've recorded during playback. (The camera can output both video and sound to a TV or VCR via the included A/V cable, making that an effective playback mode if you have a TV handly. Still, it would be preferable to have some ability to hear a movie's soundtrack without resorting to external equipment.)

\section*{Panorama Mode}

As with most Olympus digicams, the C-3030 offers a Panorama exposure mode when operating with Olympus' own panorama-enabling SmartMedia memory cards. In this mode, the exposure and white balance for a series of shots are determined by the first one taken. Images are saved individually and can then be assembled on a computer after they've been downloaded. While Panorama mode provides a useful function, it's less of an issue on cameras like the C -3030, which offer full manual exposure control. True, the panorama mode does lock the white balance in addition to the exposure, and does provide outline guides on the LCD screen to help align successive images, but doesn't offer the "ghost" images provided by some cameras to further assist image alignment. (Cameras with this feature retain a small portion of the previous image each time, moved to the other side of the LCD display, to help you line up objects in the scene with those in the frame you just captured.) The C-3030's Panorama mode also limits you to 10 exposures in the series before resetting the white balance and exposure values. Ten pictures is plenty for most situations, but almost certainly not enough if you're interested in stitching full 360 degree panoramas. For those, use Manual exposure mode, and a fixed white balance preset, such as "daylight." Overall, Panorama mode on the C-3030 Zoom is handy, but less useful than it could be, and
in our mind largely obviated by the camera's manual mode.

\section*{Shutter Lag/Cycle Times}

When you press the shutter release on a camera, there's usually a lag time before the shutter actually fires. This time is to allow the autofocus and autoexposure mechanisms time to do their work, and can amount to a fairly long delay in some situations. Since this number is almost never reported on, and can significantly affect the picture taking experience, we now routinely measure it.

While the C-3030 Zoom is a very fast camera in other respects (see below), it's shutter lag in full autofocus mode is at the slower end of the range: We measured shutter delay at 1.40 seconds using full autofocus. The manual focus option brought the delay down to 0.48 seconds, and prefocusing by half-pressing the shutter button before the exposure dropped the delay to only 0.15 seconds. The camera does have a continuous autofocus mode, which we expected to decrease the shutter delay considerably, since the lens should more or less always be in focus at the time of the exposure. Unfortunately, this turned out not to be the case, with shutter delays in continuous autofocus mode being on the order of 1.15 seconds (varying from 0.9 to as high as 1.3 seconds: 1.15 seconds seemed to be typical of most shots.) The C-3030 Zoom's autofocus delay is longer than most cameras we've tested, manual focus delay is about average (among camera that offer a manual focus option), and the prefocus delay is shorter than average. (Do note though, that for sports shooting, the impact of the long autofocus delay may be considerably offset by the availability of a very fast continuous-shooting mode - see below.)

Thanks to an enormous 32 megabyte RAM buffer memory, the C-3030 Zoom is an unusually fast camera from shot to shot. We've heard claims that it can capture a shot every second, but our own evaluations fell a little short of that mark. The fastest single-shot (that is, non-continuous mode) shot to shot time we measured was with manual focus selected. In that mode, the C3030 Zoom could capture an image every 1.75 seconds in its lowest resolution mode, and every 2.2 seconds in high resolution (non-TIFF) mode. It's possible there may be some additional delay if you ever managed to fill up the buffer, but we never encountered this while using the 16 MB SmartMedia card supplied with our test unit. (We filled up the memory card in a about 16.3 seconds, capturing a total of 8 shots at maximum resolution.) In autofocus mode, the lens-focusing delay increased the cycle time by about 0.9 seconds, to 2.65 and 3.1 seconds, for the low and high resolution images respectively.

We mentioned the C-3030's high speed in continuous or "sequence" mode carlier. Sequence mode has two options, normal and autofocus. In normal sequence mode, the camera focuses and calculates exposure and white balance once, as soon as the shutter button is pressed. These settings are then held for the entire series of five rapid-fire shots. In our tests, that series of five shots happened very quickly indeed, clocked at a frame rate of 3.17 frames per second. This is seriously fast for full-resolution images! In autofocus sequence mode, the camera focuses and calculates exposure and white balance for each shot in the series. This doesn't slow it down as much as you might expect though, as the camera apparently only has to make minor adjustments to the focus from one shot to the next. The end result is a frame rate of 1.74 frames per second, a very respectable performance.

\section*{Operation and User Interface}

The user interface on the C-3030 Zoom relies heavily on the LCD monitor for menu selections and feedback on current settings during use. The resulting interface will be pretty clear to most users, but we do wish there were an "advanced" mode that would make greater use of the top-panel LCD data readout. The camera's non-LCD power consumption is so low that it's a shame to spoil it by requiring the LCD to be used for all but the simplest option selections. The top-panel data readout does display status information for a wide range of camera functions (see the scan below, taken from the manual), but changing most of them requires returning to the rear-panel LCD display. Her's an illustration showing the various elements of the top-panel LCD readout, courtesy of Olympus:


As with the C-2000 and C-2020, we liked the user interface of the C-3030 a great deal. We generally prefer mode dial interfaces like the C-3030's, as they greatly simplify the menu structure and allow faster operation. One of our favorite user interface features is that the camera tells you what aperture and shutter speed it's selected whenever the shutter button is half pressed. For photographers accustomed to knowing what their camera is doing, this sort of feedback is invaluable, and present on very few digicams we've tested. We also especially like the distance display employed in the manual focus option:
 Too many digicams with manual focus options give you no feedback as to the actual distance the focus is set to. In situations where there's too little light to see the subject well (or when the subject perhaps isn't in position yet), an actual distance readout is invaluable. We also liked the way the manual focus indicator has two ranges, one running from 2.6 feet to infinity, the other from 8 to 31 inches. This makes it much easier to set the focal distance accurately.

As mentioned earlier, we really like the tiny infrared remote control provided with the C-3030 Zoom, as it greatly reduces any disturbance of the camera when taking long exposures on a tripod. (This IR remote has been a feature in the Olympus line since the original C-2000 Zoom, and we've used it heavily in our own studio work, taking product shots for use on our web site.) The remote also allows you to change the exposure compensation setting or zoom the lens in and out. In Playback mode, you can scroll between pictures and move in or out of thumbnail and zoom playback modes (also helpful when viewing images on a television screen). Olympus states the range of the remote as five meters ( 16.4 feet) when aimed at the camera from straight ahead, and three meters ( 9.8 feet) when aimed from an angle of 15 degrees to either side of center. These range numbers may be correct in outdoor conditions, with lots of stray IR from the sun bouncing around: In practice, under studio conditions, we've had great luck at what seem to be greater distances, even bouncing the IR signal from the remote off the subject. A very, very handy gadget in the studio, perhaps even more so for those photographers working with children or other subjects requiring a lot of hands-on interaction. (You could connect the camera to a video monitor as a "remote viewfinder", and control most of the picture-taking from the remote.)


Located on the top of the camera, this dial selects the various camera operating modes (Playback, Off, Program, Aperture/Shutter Speed/Manual and Movie). As on the C-2020 Zoom, this dial also controls power, eliminating the frequent confusion between the power button and shutter release that plagued owners of the original C-2000 Zoom camera.


\section*{Shutter Button}

Located in the center of the optical zoom control lever, the shutter button sets focus and exposure settings when halfway pressed and triggers the shutter button when fully pressed. In Playback mode, the shutter button works in conjunction with the printing function to select the number of prints to make.

\section*{Zoom Lever}

Located on top of the camera, surrounding the shutter button (see photo above), the zoom lever controls the optical zoom in all exposure modes. In Playback mode, the lever switches back and forth between index view, normal image display and playback zoom.


\section*{Flash/Erase Button}

Located at the top of the back panel, this button controls the flash mode in all exposure modes. Pressed sequentially, it cycles through Auto, Red-Eye Reduction, Fill-In and Off modes. In Playback mode, this button pulls up the Erase menu which allows you to erase the current image displayed or all images.

\section*{FP \\ Macro/Spot Metering / Print Button}

Directly beneath the Flash / Erase button on the back panel is the Macro / Spot Metering / Print button. In all exposure modes, this button cycles between Macro, Spot and Digital ESP metering modes. In Playback mode, it accesses the Print screen, which allows you to set up the individual images for printing. (While we haven't reported on it, the print-setup function on the C-3030 Zoom is much more powerful than we've seen in other cameras to date (May, 2000), even allowing you to specify cropping for each picture!)


\section*{Four Way Jog Dial}

Not really a "dial", but rather a set of four pushbuttons, arranged in a circular layout. Olympus moved to the four buttons on the C-2020 Zoom, from the rocker-button design of the C-2000 Zoom. The four buttons are much more sure-footed to navigate than the earlier toggle design, in that you never have
problems of inadvertently actuating more than one direction control at a time. Also located on the top of the back panel, a lot of the camera's operation revolves around this control. In all capture modes except Manual, a left/right actuation increases or decreases the exposure compensation setting (provided the LCD view screen is active). In Aperture or Shutter priority exposure modes, up/down actuation of the jog dial adjusts the setting of the lens aperture or shutter speed, depending on the mode you're in. In Manual mode, the up and down arrows control shutter speed while the left and right control aperture. In Playback mode, left/right actuation moves forward or back among the pictures in memory, or scrolls around the expanded image in zoomed playback mode. In the LCD menu system, the jog control steps between menus and selects settings.

\section*{鲜 \\ OK \\ 0}

OK / MF Button
Located on the back panel, on the right side of the LCD monitor, this button confirms selected menu settings when in the LCD menu screen. If pressed when not in the menu, it activates the manual focus option, which pulls up a distance scale on the LCD to assist in focusing. In Playback mode, this button write protects individual images from being accidentally erased. (Note though, that "protected" images aren't preserved if the memory card is formatted!)

\section*{ID}

\section*{Display Button}

Located beneath the OK button, this turns the LCD monitor on or off.

\section*{붕}

Menu Button
Located directly beneath the Display button, this activates the menu system on the rear panel LCD monitor (it also activates the LCD monitor if it was disabled).


Dioptric Adjustment Dial: Located on the left side of the optical viewfinder, this dial alters the optical viewfinder to accommodate eyeglass wearers.

\section*{Camera Modes and Menus}


Movie Mode
Accessed by turning the mode dial to the movie camera symbol, this mode allows you to capture up to 60 second SQ movies and up to 15 second HQ movies with sound. Shutter speed is automatically set anywhere from \(1 / 30\) to \(1 / 10,000\) seconds.

\section*{Astm}

Aperture Priority: Accessed by tuming the mode dial to the \(\mathrm{A} / \mathrm{S} / \mathrm{M}\) symbol, and then selecting the " A " option from the \(A / S / M\) Mode setup submenu, this mode allows the user to select the desired lens aperture as the camera adjusts the shutter speed to achieve the correct exposure. If the required shutter speed is beyond the camera's capabilities, the aperture status number in the LCD will flash and an arrow
will indicate if under or over exposed.

\begin{abstract}
Astr
Shutter Priority: Accessed by tuming the mode dial to the A/S/M symbol, and then selecting the "S" option from the \(A / S / M\) Mode setup submenu, this mode allows the user to select the desired shutter speed while the camera adjusts the aperture to achieve the correct exposure. If the required aperture is beyond the camera's capabilities, the shutter speed status number in the LCD will flash and an arrow will indicate whether it's over or under exposed.
\end{abstract}

\begin{abstract}
Asthis
Manual Mode: Also accessed via the \(A / S / M\) setting on the mode dial, and then selecting the " \(M\) " option from the \(\mathrm{A} / \mathrm{S} / \mathrm{M}\) Mode setup submenu, Manual mode allows the user to select both the desired aperture ( \(\mathrm{F} / 2.8\) to \(\mathrm{F} / 11\) ) and shutter speed ( 16 to \(1 / 800\) seconds, depending on the ISO setting). As noted earlier, the camera tells you what it thinks of the exposure setting you've chosen, showing not only whether it thinks you're high or low, but by how much. It does this by displaying what it believes the over- or under-exposure to be using the digits on the LCD screen that normally indicate exposure compensation in automatic exposure modes. If you're more than plus or minus 3 EV units away from the correct exposure, the digits light up red, showing just \(+/-3 E V\).
\end{abstract}

\section*{P}

Programmed Exposure: Accessed by turning the mode dial to the \(P\), this mode lets the camera select both shutter speed and lens aperture, but does so in a fairly intelligent manner, opting for faster shutter speeds when the lens is in the telephoto position than when it's working in wide angle mode.

\section*{E}

Playback Mode: Accessed by turning the mode dial to the playback symbol, this mode allows the user to view previously captured images. Here, the jog dial advances between successive frames stored in memory. The zoom toggle switches the display to an index mode when moved in the wide angle direction and zooms in on the currently displayed image by 3 x when moved in the telephoto direction. When zoomed in on an image, the jog control can be used to move the enlarged view around the full image area, letting you inspect all parts of it.

\section*{Capture Mode Menu}
- Drive: selects between One-Shot, Sequence, AF Sequence (exposure metered with each frame), Self-Timer/Remote and Auto Bracketing.
- White Balance: selects between Auto, Clear, Cloudy, Tungsten and Fluorescent white balance options.
- ISO: sets the ISO at Auto, 100, 200 or 400.
- Flash \(+/-:\) adjusts the flash intensity from -2 to +2 in \(1 / 3\) EV increments.

- Slow: accesses the camera's slow-sync mode with options for front-curtain sync (Slow 1) or rear curtain sync (Slow 2)
- External Flash: sets the camera either to use both the internal and external flashes or just the external.
- Digital Zoom: turns the 2.5 x digital telephoto feature on or off.
- Function (Picture Effect): allows the user to shoot in Black \& White, Sepia, White Board or Black Board modes.

- Sound: activates the sound recording function.
- Panorama: activates the panorama mode (only enabled with Olympus SmartMedia cards).
- Card Setup: formats the SmartMedia card.
- Mode Setup: pulls up the mode submenu (shown below)
- Quality: sets image quality at TIFF, SHQ, HQ, SQ1 or SQ2.
- A/S/M: sets the exposure mode at Aperture Priority, Shutter Prionity or Manual.


\section*{Mode Setup sub-menu (Capture Modes)}
- All Reset: turns the all reset function on or off (on resets all settings to factory default whenever the camera is turned on). A new option on the C-3030 is the "Custom" selection here. This takes you to a five screen (!) sub-menu, letting you select default settings that will be selected whenever the camera is powered up. Settable options include flash mode, macro/spot combinations, lens zoom setting (conveniently expressed in 35 mm -equivalent terms), f-stop, shutter speed, exposure compensation, manual/auto focus, LCD on/off, "drive" (single, continuous, remote/self-timer, or bracket modes), white balance, ISO, flash
 exposure compensation, slow sync flash mode, internal/external flash enable, digital zoom, image functions (black/white, sepia, etc), sound recording, still-mode resolution, \(\mathrm{A} / \mathrm{S} / \mathrm{M}\) mode, movie-mode resolution, and info display enable.(Whew! - Basically any function of the camera can be programmed to be automatically set up when the camera powers-on. Note though, that some settings will depend on others: If the camera is set to default to aperture-priority mode, the shutter speed will adjust as required, based on the preprogrammed aperture value. Only if manual mode is preselected will the preprogrammed values for both the shutter speed and aperture be used.)
- Sharpness: sets image sharpness to Hard, Normal or Soft.
- TIFF: sets the TIFF resolution size to \(2048 \times 1536,1600 \times 1200,1280 \times 960,1024 \times 768\) or \(640 \times\) 480.
- SQ1: sets the SQ resolution to \(1600 \times 1200\) or \(1280 \times 960\), High or Normal.
- SQ2: sets the SQ resolution size to \(1024 \times 768\) or \(640 \times 480\), High or Normal.
- Volume: sets camera volume to Off, Low or High.
- Fulltime AF: turns continuous autofocus on or off. Use in situations requiring slightly faster shutter response. Don't use if battery life is critical. In fast-action situations (sports, etc), use Sequence mode to rapidly shoot multiple frames, then discard unneeded shots.
- Record View: tums the instant image review function on or off.
```

M, OFF

```
M, OFF
FLDT\E&:POFF
FLDT\E&:POFF
    F2tym bON
    F2tym bON
    FILEGSE bAUTO
```

    FILEGSE bAUTO
    ```
- File Name: resets file numbers with each new card or continues in sequential order.
- LCD Brightness: adjusts the brightness of the LCD.
- Date/Time: sets the camera date and time.
- M/Ft: sets manual focus distance display to meters or feet.

- Play: activates a slide show display for still images or plays back movies.
- Info: turns the image information display on and off.
- Sound: activates the sound recording function.
- Function: for use with Olympus special function cards.

- Card Setup: formats the SmartMedia card.
- Mode Setup: brings up the following submenu:
- All Reset: turns the all reset function on or off (on resets all settings to factory default on power-up), or select a preset group of Custom settings (as described above under the capture-mode setup menu).
- Volume: sets annunicator beep volume to Off, Low or High.
- Index Display Adjustment: sets index display to four, nine or 16 images.
- Brightness: adjusts the LCD brightness.
- Date/Time: adjusts the camera's date and time setting.

\section*{Image Storage and Interface}

The C-3030 uses SmartMedia memory cards and comes packaged with a 16 megabyte card. You can upgrade to sizes as large as 64 megabytes. (From third parties, anyway: As of this writing in May, 2000, the largest card sold by Olympus themselves was a 32 meg unit. SmartMedia cards as large as 128 megabytes are planned by the end of 2000.) We like the C-3030's file naming protocol, which optionally numbers each image shot with the camera progressively, also including the month and day at the beginning of the file name. (This last is really handy for those of us who are organizationally-challenged: You can immediately tell when your photos were
 taken, even if you never took time to organize them by date or event.)

Entire SmartMedia cards can be write protected by placing a write protection sticker over a specific spot on the card. Stickers can only be used once and must be clean to be effective. (We're not wildly enthusiastic about the write-protect capabilities of SmartMedia cards.) Additionally, the C-3030 allows you to write protect individual images by pressing the MF/OK button on the back panel while in Playback mode. It's important to note that write-protecting individual images does not prevent them from being deleted when the card is reformatted, though.

The \(\mathrm{C}-3030\) comes with interface software and cables for both Mac and Windows computers, namely, a cable for the super-speedy USB interface, as well as a standard serial cable.

Following are the approximate resolution/quality and compression ratios for an 8 mb card (compression numbers based on our own computations):
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|}
\hline \multirow[b]{2}{*}{Resolution/Quality vs Image Capacity} & \multicolumn{2}{|l|}{\(2048 \times 1536\)} & \multicolumn{2}{|l|}{\(1600 \times 1200\)} & \multicolumn{2}{|r|}{\(1280 \times 960\)} & \multicolumn{2}{|r|}{\(1024 \times 768\)} & \multicolumn{2}{|r|}{\(640 \times 480\)} \\
\hline & Images & Approx:
Compression & Images & Approx
Compression & Images & Approx
Compression & Images & Approx. & Images & Approx.
Compression \\
\hline \[
\begin{aligned}
& \text { Uncompressed } \\
& \text { Quality }
\end{aligned}
\] & 0 & 0:0 & 1 & 1:1 & 2 & 1:1 & 3 & 1:1 & 8 & 1:1 \\
\hline SHQ & 3 & \(4: 1\) & N/A & N/A & N/A & N/A & N/A & N/A & N/A & N/A \\
\hline Fine Quality & 10 & 12:1 & 5 & \(4: 1\) & 8 & 4:1 & 13 & 4:1 & 32 & 4:1 \\
\hline Normal Quality & N/A & N/A & 16 & 12:1 & 24 & 11:1 & 38 & 11:1 & 82 & 9:1 \\
\hline
\end{tabular}

And here's a more comprehensive list, showing capacities of different-sized cards. (Extracted from the C-3030 Zoom's manual.

\section*{Number of Still Pictures that can be Taken (without sound)}
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|}
\hline \multicolumn{2}{|l|}{\multirow[t]{2}{*}{Recording Mote}} & \multirow[t]{2}{*}{Number of Pixels} & \multirow[t]{2}{*}{Pile} & \multicolumn{6}{|c|}{Memory Capacity} \\
\hline & & & & 2MB & 4MB & 8MB & 16MB & 32MB & 64 MB \\
\hline \multicolumn{2}{|r|}{\multirow{5}{*}{TIFF}} & 2048 X 1536 & \multirow{5}{*}{TIFF} & 0 & 0 & 0 & 1 & 3 & \(\overline{6}\) \\
\hline & & 1600 X 1200 & & 0 & 0 & 1 & 2 & 5 & 11 \\
\hline & & \(1280 \times 960\) & & 0 & 1 & 2 & 4 & 8 & 17 \\
\hline & & \(1024 \times 768\) & & 0 & 1 & 3 & 6 & 13 & 27 \\
\hline & & \(640 \times 480\) & & 2 & 4 & 8 & 17 & 34 & 68 \\
\hline & SHQ & 2048 X 1536 & \multirow{10}{*}{JPEG} & 0 & 1 & 3 & 6 & 13 & 27 \\
\hline & HQ & \(2048 \times 1536\) & & 2 & 4 & 10 & 20 & 40 & 81 \\
\hline \multirow{4}{*}{SQ1} & HIGH (quality) & \multirow[t]{2}{*}{\(1600 \times 1200\)} & & 1 & 2 & 5 & 11 & 22 & 45 \\
\hline & NORMAL & & & 3 & 7 & 16 & 31 & 64 & 128 \\
\hline & HIGH (quality) & \multirow[t]{2}{*}{\(1280 \times 960\)} & & 2 & 4 & 8 & 17 & 34 & 70 \\
\hline & NORMAL & & & 5 & 12 & 24 & 49 & 99 & 199 \\
\hline \multirow{4}{*}{SQ2} & HIGH (quality) & \multirow[t]{2}{*}{\(1024 \times 768\)} & & 3 & 6 & 13 & 26 & 53 & 107 \\
\hline & NORMAL & & & 9 & 18 & 37 & 76 & 153 & 306 \\
\hline & HIGH (quality) & \multirow[t]{2}{*}{\(640 \times 480\)} & & 7 & 16 & 32 & 66 & 132 & 266 \\
\hline & NORMAL & & & 20 & 40 & 82 & 165 & 331 & 665 \\
\hline
\end{tabular}

\section*{Video Out}

The C-3030 has a video-out port which supports the NTSC timing format on US and Japanese models (we assume that the PAL standard is supported on European models). The video output can be used for reviewing previously shot images or running slide shows from the camera, but also shows all the LCD menu screens as well as the preview display from the LCD viewfinder. Combined with the very flexible infrared remote control we mentioned carlier, the availability of a live viewfinder display via the video signal opens interesting possibilities for portrait photography, using a video monitor as a remote
viewfinder.
Actually, the output cable is a true A/V cable, as it fans out into two RCA jacks, one for video, and one for audio. As noted above, this is the only way to hear the sounds you've recorded directly from the camera, since there's no internal speaker. Plugged into any video monitor (or TV with direct video and audio inputs), the audio capabilities of the C-3030 Zoom make for an unusually effective portable presentation device.

\section*{Power}

The C-3030 is powered by four internal AA Ni-Mh, Ni-Cd, alkaline or lithium batteries or two CR-V3 (Olympus LB-01) batteries. The camera ships with two of the new CR-V3 batteries in the box, but no rechargeable batteries. Do yourself a favor, and don't even use the CR-V3s in the camera, but instead put them immediatcly into your camera bag as a backup power source for such time as your rechargeable batteries (inevitably) are dead when just when you need them the most. Go out and buy a couple of sets of high-capacity NiMH rechargeable AA cells and a good-quality charger, and plan to use these for the main power source of the camera. The CR-3V lithium cells provide great battery life, and more or less indefinite shelf life (something like 5 years or so) in your camera bag. They thus make an absolutely excellent backup power source that'll always be ready when you need it. For routine use though, they're just too expensive, at something like \(\$ 10\) apiece. (A pair? - no matter, still way too pricey, IOHO.)

Earlier cameras in this series from Olympus (the C-2000 Zoom and C-2020 Zoom) were surprisingly efficient in their battery usage, particularly if you kept the LCD display screen turned off. The C-3030 Zoom continues this happy tradition: It's "idling" power in capture mode with the display off is almost nil, meaning you can comfortably leave the camera on all day, so it'll always be ready whenever you want to take a picture. This is a very nice feature, and really increases its usefulness. Despite this thrifty battery usage, we still strongly recommend that you pick up a couple extra sets of high-capacity rechargeable batteries and a decent charger. Here's a table showing the C-3030's power consumption in a variety of operating modes. (Overall, it has very good power efficiency for a three megapixel camera: A good set of NiMH AA cells should easily last for a full day's shooting, if you can just avoid the temptation to ogle your pictures on the LCD monitor.)


\section*{Memory Retention}

We don't normally comment about memory retention in digicams (clock/calendar, settings, etc), but at least one other internet reviewer has knocked the C-3030 Zoom for losing its memory if the batteries are removed for more than an hour or so. So much has been made of this in various discussion forums and newsgroups that we felt compelled to comment. While it is certainly convenient for cameras to have a separate lithium battery to provide backup power to the clock/calendar chip and settings memory, the C-3030 is by no means unique in lacking that feature. The earlier C-2000 had a backup battery, but the

C-2020 did not. More to the point, the Nikon Coolpix 990 (with which the C-3030 directly competes) does not either, meaning that it will lose its date/time setting at least as fast as the C-3030 Zoom will. True, the Canon Powershot S-20 does have a clock backup battery, but we don't feel it's fair to single out the C-3030 Zoom on the basis of this one deficiency. For our part, we invariably leave one set of our NiMH batteries in our digicams all the time anyway. It's true that NiMH cells have a relatively short shelf life, but not nearly to the extent that other authors have represented: Some have claimed that NiMH cells lose \(5 \%\) of their charge per day: While some cells may show this level of self-discharge, we've more often heard quoted a figure of \(1 \%\) per day, and this matches our own (non-quantitative) experience more closely. Thus, while we would clearly like to see a lithium clock-backup battery in the C-3030 Zoom, we don't feel it deserves any special condemnation for its lack thereof.

\section*{Included Software}

The C-3030 comes with a very nice complement of software on an included CD. Direct camera control and image downloading are provided by an updated version of Olympus' own Camedia software package (version 2.0 ) which allows you to download and save images to your hard drive, and provides rudimentary organization capabilities. We confess to slightly mixed feelings on the other half of the package though: On the downside, we were chagrined to see that the excellent panorama-making program QuickStitch (from Enroute Software) is no longer included in the software bundle. On the upside though, the even more useful (at least to the majority of users) Photoshop LE version 5.0 is included. (Even better, all software packages provided are fully functional on both Mac and PC.) While there are many other image-processing programs out there, Photoshop is consistently our favorite, thanks to the level of control and features it provides. The "LE" version of Photoshop lacks only a few of the features of the full-retail version (notably color management tools and support for non-RGB color spaces), and is entirely suitable for use by the serious digicam owner. Adobe recently began selling Photoshop LE on the open market (previously, it was only available in software bundles like this), and its \(\$ 99\) retail price is a very valid representation of its value. Thus, if you don't already own Photoshop, buying a C-3030 Zoom could end up saving you a very real \(\$ 99\) relative to where you'd likely end up anyways. So, while we miss the incredible QuickStitch panorama-maker, including Photoshop LE is a huge plus.

\section*{Test Results}

In keeping with our standard policy, our comments here are rather condensed, summarizing our key findings: For a full commentary on each of the test images, see the C-3030 Zoom's "pictures" page.

As with all Imaging Resource camera tests, we encourage you to let your own eyes be the judge of how well the devices performed: Explore the images on the pictures page, to see how well the C-3030 performed, and how its images compare to other cameras you may be considering buying.

Overall, the C-3030 Zoom produced exceptional images, befitting its status as a top-of-the-line 3.3 megapixel digicam. Color accuracy was good, although our shots of the MacBeth( tm ) chart showed a somewhat warm cast with all white balance settings, and the bright yellow patch had slightly lower color saturation. (A common digicam problem.) Overall, we felt the color was quite good, however.

The Olympus C-3030 performed toward the top of the current range of 3.3 megapixel digicams in the resolution department, with a resolution that we "called" as between 850 and 900 lines per picture height in both the horizontal and vertical directions. While just a hair off from the sharpest performance we've seen to date, the 3030 deserves credit for not applying heavy-handed in-camera sharpening in an attempt to boost the apparent resolution.

As did the 2 megapixel C-2020 Zoom before it, the C-3030 Zoom offers excellent exposure control, with choices of matrix or spot metering, full aperture and shutter control, including an optional manual mode, and ISO speeds ranging from 100 to 400 . The C3030 Zoom performed very well in our low light tests, producing very usable images down to light levels of \(1 / 8\) of a foot-candle. (We're chiding ourselves for not having gone down to \(1 / 16\) of a foot-candle, since it's our guess that we would have obtained usable if not somewhat dim pictures at that level as well.) At these low light levels, with exposure times of 2 to 10 seconds, there is a moderate amount of noise present in the images. (We direct readers to Mike Chaney's
excellent Qimage Pro program, for a tool with an amazing ability to remove image noise without significantly affecting detail.) To put the C3030's low light performance in perspective, an average city night scene under modern street lighting corresponds to a light level of about 1 foot-candle.

We found the C-3030's optical viewfinder to be a little tight, showing approximately 82 percent accuracy at wide angle and about 81 percent at telephoto. (Note that we've changed our nomenclature on this to better reflect what you see looking into the viewfinder: We previously would have referred to the C-3030's viewfinder as "loose"...) These numbers are from the \(2048 \times 1536\) resolution size but the smaller, \(640 \times 480\) resolution size numbers are similar at 83 percent accuracy for both wide angle and telephoto. We also noticed that the framing here slants just a little to the left vertically, possibly the CCD on our test model was shifted a little. The LCD monitor proved to be quite accurate, showing about 97 percent frame coverage at wide angle and slightly over 100 percent accuracy at the telephoto setting. (The covered area is just barely inside the darker lines we use to frame the viewfinder accuracy target). As with the optical viewfinder, the smaller, \(640 \times 480\) image sizes weren't too far off from the larger ones (about 96 percent accuracy at wide angle and over 100 percent accuracy at telephoto). We generally like to see the LCD monitor as close to 100 percent accuracy as possible, so the \(\mathrm{C}-3030\) does a pretty good job in that respect.

The C-3030 does pretty good job in the macro category, capturing a minimum area of \(3.35 \times 2.52\) inches ( \(85.21 \times 63.91 \mathrm{~mm}\) ). This is about an average minimum coverage area among digicams we've tested, but the body threads of the C-3030 in conjunction with Olympus' CLA-1 filter adapter permit the addition of external macro lenses for much tighter focusing.

Overall, we found the C-3030 Zoom to be a very worthy contender at the upper end of the current (May 2000) digicam spectrum. Image quality, resolution, and sharpness are all very good, and the camera provides excellent exposure control as well as very good low light capability: An impressive performance!

\section*{Conclusion}

Well, from our previous review of it, it's probably pretty clear that we really liked the previous Olympus \(\mathrm{C}-2020\) Zoom. (We like it well enough in fact that it's the camera we use to do all our studio photography with.) The C-3030 Zoom is a very worthy upgrade, bringing not only increased resolution but numerous feature and user-interface enhancements as well. It's probably safe to say that if you liked the C-2020 Zoom, you'll love the C-3030 Zoom. All in all, another great digcam from Olympus, and one that we think competes very strongly at the upper end of the current "prosumer" digicam spectrum.

\section*{Reader Comments!}

See what other Imaging Resource readers have had to say about the C-3030, or add comments of your own. (Read what's here, then add your own!)

For even more feedback, read the User Reviews from PCPhotoREVIEW

\section*{Reader Sample Images!}

Do you have a Olympus C-3030 camera? If you'll post an album of your samples that we can point to with a single URL (not all services permit this, some require you visit the main site and type a name and password) and email us at web@imaging-resource.com, we'll list the album here for others to see!
- Sample pictures from PCPhotoREVIEW readers

\section*{For More Info:}

\title{
Yiew the Imaging Resource Data Sheet for the C-3030
}

Visit the Comparometeritm) to compare with other cameras.

\section*{Visit the Olympus home page for the C-3030}

\section*{Back to the Imaging Resource Digital Cameras Page}

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Nikon Coolpix 990
Nikon updates the hugely successful Coolpix 950 , with 3.34 megapixels and numerous enhancements
(Review first posted 3/1/2000)

* Full 3.34 megapixel sensor delivers \(2048 \times 1536\) jmages
*Exceptionally well-designed user interface and controls
* 32 Megabyte SDRAM buffer for 2 second cycle time
*Enormous creative control and flexibility
*Excellent color and tonality

\section*{Manufacturer Overview}

By carefully applying their camera-building expertise honed in the professional and advanced-amateur segments of the film-camera market, Nikon has developed a commanding position in the digicam world, even though their consumer digital camera lineup has consisted of only two models. The key has been the exceptional image quality and picture-taking feature set embodied in their cameras, which have obviously struck a responsive chord with digicam enthusiasts. When the 1.3 megapixel Coolpix 900 first burst on the digicam scene almost two years ago, it was an immediate hit. The 2.1 megapixel Coolpix 950 a year later extended the winning streak, and now the 3.34 megapixel Coolpix 990 appears poised to do the same again. (Meanwhile, the Coolpix 800 has been a popular choice for an inexpensive 2 megapixel digicam with a slightly less robust feature set.)

Besides increasing the 990's resolution to a full 3.34 million pixels, Nikon has also upgraded several camera functions, added numerous features, and improved the camera's physical design.
- 3.34 megapixel, 1.13 inch, high-density CCD delivering image sizes up to \(2048 \times 1536\) pixels (including a \(3: 2\) aspect ratio \(2048 \times 1360\) pixel size).
- 1.8 inch, 110,000 dot, low temperature, polysilicon TFT LCD display.
- 3 x optical zoom, 8 to 24 mm lens (equivalent to a 38 to 115 mm lens on a 35 mm camera).
- User activated digital telephoto in incremental steps from 1.1x to 4.0x.
- Continuous and Single autofocus modes with multiple focus areas and manual focus with peaking and distance readouts.
- Infinity and Macro focus modes.
- Variable ISO at Auto, 100,200 or dEF.
- Full Automatic and Manual exposure modes with several manual options (Program, Flexible Program, Aperture Priority, Shutter Priority and full Manual).
- Single, Continuous, Ultra High Speed Continuous, VGA Sequence, Multi-Shot 16 and Movie shutter modes.
- White balance with Auto, Manual, Fine (Outdoors), Incandescent, Fluorescent, Cloudy and Speedlight options.
- Shutter speeds from eight to \(1 / 1000\) seconds as well as a Bulb setting for longer exposures.
- 10 or three second self-timer.
- Built-in flash with Auto, On, Off, Slow Sync and Red-Eye Reduction modes.
- External flash sync terminal.
- USB and Serial interfaces.
- Image capture in compressed JPEG or uncompressed TIFF.

\section*{What's New}

Since many people reading this review will be familiar with the previous Coolpix 950, it makes sense to begin with a list of the new features Nikon has included on the 990 . As you can see, engineering the 990 was more than a matter of just slapping a larger sensor into an existing design. Here's a (doubtless partial) list of what's new:
- 3.34 million pixel sensor, up from 2.11 on the 950 .
- 5-zone autofocus system, for greater AF accuracy (inherited from Nikon's pro film and digital SLR cameras.
- 50-step manual focus, with "peaking" indicator.
- 7-blade iris diaphragm, providing true \(1 / 3\)-stop aperture control.
- 256 -element Matrix white balance for improved auto white balance accuracy.
- Optional user "fine tuning" of white balance settings.
- Both full-manual and auto-bracketing exposure options.
- A rugged, side-opening CompactFlash memory slot. (BIG kudos for that!)
- "Stepless" digital zoom, from 1.1 to 4.0 x magnification
- USB interface option for high-speed file downloads
- Easier menu navigation via a rear-panel jog control
- Spot metering optionally linked to area autofocus system
- Variable image sharpness settings
- Ability to use self-timer function while in macro focusing mode. (A top request!)
- User-selectable NTSC or PAL video output
- Histogram-based exposure confirmation option
- Smaller, but higher-resolution LCD panel
- "Memory" for last-used zoom lens setting
- Optional fixed-aperture zoom mode

\section*{Executive Overview}

We're pleased to report that the Coolpix 990 takes all the best features of the previous 900 and 950 models and combines them with a host of new ones that make this camera really shine. The swivel-lens design is one of our favorite design elements, as it greatly enhances the camera's optical flexibility. Additionally, the control layout stayed relatively the same but with a few additional features, such as the programmable Function buttons. (These programmable buttons make one handed operation of the camera much more feasible under varying conditions.) The camera provides both a real-image optical viewfinder and an LCD monitor display for image composition. A nice feature on the LCD is the very extensive information display that reports a variety of exposure information, including aperture and shutter speed settings. In Play mode, the LCD gives an equally informative readout on captured images and also offers an index display of thumbnails and a playback zoom option.

Optically, the Coolpix 990 is equipped with a 8 to \(24 \mathrm{~mm}, 3 \mathrm{x}\) zoom lens (equivalent to a 38 to 115 mm lens on a 35 mm camera), made up of nine elements in eight groups (all made from environmentally friendly glass, we might add). New to the 990 is the seven blade iris diaphragm design, which greatly extends aperture control over the earlier 950 . Zoom is easily controlled via the W and T buttons on the back panel and the settings menu even allows you to select the Fixed Aperture feature, which keeps the aperture constant while the lens zooms. A \(4 x\) digital zoom can be tumed on and off through the settings menu and offers an "stepless" incremental zoom range from 1.1x to 4.0x. We should also mention here, that the 990 has a nice variety of focusing options, including Continuous and Single autofocus as well as a manual control. Under the autofocus setting, you can set the desired focus area, or let the camera decide on its own (which displays a complex target series on the LCD panel and bases focus on the object closest to the lens). With manual focus, you can select a peaking feature that shows what part of the image is in focus, as well as a distance scale to help in difficult situations.

Exposure-wise, we greatly enjoyed the flexible options under the Manual Record setting. When you turn the camera on, you have the option of a completely Automatic or Manual Record mode, in addition to the Play mode. Under the Automatic Record mode, the camera handles everything, from the shutter speed to the white balance, but when you switch to Manual Record, your options multiply greatly. Within the Manual Record mode, you can select either Program, Flexible Program, Aperture Priority, Shutter Priority or Manual exposure modes. Program does exactly as it sounds and selects the aperture and shutter speed, but you now have absolute control over white balance, exposure compensation, etc. Flexible Program does the same but instead lets the user select from a variety of aperture and shutter speed combinations. Aperture Prionty and Shutter Speed Prionty are also pretty self-explanatory, letting the user select one value while the camera selects the other. Finally, Manual gives you total control over everything, a feature we really like. Shutter speeds are adjustable from eight to \(1 / 1000\) seconds (with a bulb setting for longer exposures) and apertures range from \(\mathrm{F} / 3.5\) to \(\mathrm{F} / 9.8\).

The Coolpix 950 already offered outstanding features like Best Shot Select and a variety of continuous shooting modes. These are all repeated on the 990 and accompanied by a few new ones. In addition to the Continuous and Multi-Shot 16 shooting modes, the 990 also offers an Ultra High-Speed Continuous (approximately 30 frames per second with a total of 80 QVGA shots) and a Movie mode (up to 40 seconds of QVGA sized images at 15 frames per second). There's also an Auto Bracketing feature that brackets as much as two stops up and two stops below the set exposure, producing a total of five images. We really enjoyed these features and the amount of creativity and flexibility they allow. We were also
pleased with the return of the extensive white balance menu from the 950 (Auto, Preset, Fine, Cloudy, Incandescent, Fluorescent and Speedlight) and the variety of metering options (the famous 256 -element Matrix mode, Center-Weighted and Spot). Also, under the settings menu, we enjoyed the ability to alter the in-camera sharpening as well as increase or decrease the contrast or tum the image into monochrome black and white. Not to mention the ability to connect an external flash and use with or without the built-in flash. This camera is so feature laden, it's hard to find lack to complain of.

The Coolpix 990 uses CompactFlash for image storage and runs on four AA batteries. We found the camera a little power hungry (partly because of our reliance on the LCD monitor during the studio shots), so we highly recommend keeping a couple sets of spares around or working with the AC adapter when possible. The camera supports both USB and standard serial connections (using a dual purpose port), for quick connection to a PC or Mac. (The availability of a USB connection is decidedly good news on a high-resolution camera, especially one that can make nearly 10 megabyte uncompressed TIFF files like the '990!) There's also an NTSC video cable (European models ship with PAL) for connecting to a television set.

What a camera! We really love the almost excessive amount of control and think that you will too. The Coolpix 990 gives you as much control as you want, but also offers the luxury of sitting back and letting the camera do all the work as well. With its bevy of exposure options, compact portability, and high image quality we think this camera will be very popular.

\section*{Design}

Nikon continues the swivel-lens design of the Coolpix 900 and 950 models with the Coolpix 990. With its ability to swivel just shy of 360 degrees, the lens can be pointed back towards the user, straight ahead or straight down to the ground, while keeping the LCD screen oriented for easy viewing. The camera body is compact and light weight, measuring approximately \(5.9 \times 3.1 \times 1.5\) inches ( \(15 \times 7.87 \times 3.81 \mathrm{~cm}\) ) with the lens stowed in its upright position and weighing about \(13.1 \mathrm{oz}(371.4 \mathrm{~g})\) without the batteries.


With the lens facing forward in its normal "stowed" position, the design of the front of the camera remains quite minimal. The lens itself doesn't protrude much from its barrel and the built-in flash and front side of the optical viewfinder fit snugly beside it. Beneath the lens barrel are the external flash sync socket and the dioptric adjustment dial for the optical viewfinder. On the very inside of the hand grip is the DC power input jack, covered by a soft rubber flap. Interestingly enough, Nikon swayed from their usual black and red design features to an updated combination of black and purple, and a rainbow reflective logo on the front.


This shot shows the camera with the lens unit rotated to the position most people will use it in. This orientation orients the LCD panel vertically, while the lens, flash, and optical viewfinder face forward.


Looking at the top of the camera body, there's a small status display panel (helpful for conserving batteries by not using the LCD monitor), the Power/Mode dial, shutter button, a couple of function buttons and a small command dial that 's used to change certain camera settings. An exceptional feature on the 990 is that the Mode and \(+/\) - buttons on the top panel also double as Function buttons, programmable through the Setup menu in Manual exposure mode to access various exposure options. This was designed specifically to allow one handed operation, as you can hold down one of the buttons with your index finger and scroll through the chosen options with the command dial. This is a nice design change from the earlier Coolpix models, which were decidedly two-handed cameras.


The majority of the controls are located on the back panel of the camera, along with the LCD monitor. The layout of the controls is, again, very similar to the preceding Coolpix models. The Monitor and Menu buttons live at the top of the LCD panel, with the zoom controls and rocker toggle button off to the right side. Beneath the LCD are several controls for macro, manual focus, flash, quality, size and a few others. When the lens is pointed frontwards, the optical viewfinder is visible from the back panel. Two LEDs located directly beside the viewfinder report the status of the autofocus and flash.


We like the bulky hand grip on the right side of the camera which enables a firm, secure hold on the camera. (We noted that this feature is somewhat larger on the 990 than the earlier 950, making for a more secure grip.) The soft rubber surface fits directly under your fingers, providing additional friction for a good grip. Located inside the hand grip are the serial and USB I/O jack (a dual interface), the video output jack, the CompactFlash compartment and an attachment for the carrying strap. The digital and video inputs live beneath a soft, flexible rubber flap that quickly and securely snaps into place. The placement of the CompactFlash slot makes it easy to change cards when mounted to a tripod and the plastic door simply flips open and snaps shut. (The sturdy design of the CompactFlash door addresses the single most frequent complaint of 950 owners: The flimsy plastic flap used to cover the memory slot on that model. There is no access light to let you know when the camera is accessing the card, so you'll have to pay attention to the LCD monitor or the small status display to know when it's OK to change cards. (It's important to never remove a memory card while the camera is writing to it, lest you cormpt your images or even damage the card.)


Finally, the flat bottom of the camera holds the battery compartment and a metal tripod mount that are unfortunately too close together to allow battery changes while on a tripod. The battery compartment has a sliding lock that keeps the door tightly shut.

\section*{Viewfinder}

The Coolpix 990 offers both an optical viewfinder and an LCD monitor display. The real-image zoom viewfinder, located on the backside of the lens barrel, provides about 85 percent frame coverage according to Nikon. In our own tests, it ranged from 86 percent coverage at wide angle to 91 percent at telephoto. A center focus target helps line up shots while two LEDs beside the viewfinder indicate the status of the flash and autofocus systems. Additionally, a dioptric adjustment dial lies on the underside of the lens barrel to accommodate eyeglass wearers.

The 1.8 inch, 110,000 dot, low temperature, polysilicon TFT LCD monitor on the back panel operates both as a viewfinder and information display while in any capture mode. The LCD on the 990 is smaller than that on the earlier 950, but is higher resolution. It also sports a very high refresh rate, so images of moving objects are sharp and clear. Nikon estimates its frame coverage at 97 percent, a good deal more accurate than the optical viewfinder, which is usually the case. Our own tests showed it 97 percent accurate at wide angle, and nearly 100 percent at telephoto. When the camera is in autofocus mode, a series of targets can be continually displayed on the LCD. This shows you all the areas that the camera looks at to determine focus (in this autofocus mode, the part of the subject closest to the camera determines the focus). The LCD monitor display can be quickly called up and canceled by hitting the Monitor button just above it and we applaud the amount of exposure information that's displayed. Nearly all the settings are reported, including aperture and shutter speed (when in Manual exposure mode).

We felt the LCD screen was a minor weakness in earlier Coolpix cameras, as the unit used by Nikon was very difficult to read in sunlight. (This is true of all LCD screens, but seemed more so of the displays on the Coolpix 900 and 950.) We felt the viewscreen on the 990 was much better in this respect: It seemed to trade off a narrower usable viewing angle (you need to look at it pretty much straight on, for the best view) in favor of less tendency to wash out in very bright ambient light. The 990's LCD is also the first we've seen (February, 2000) that had an adjustment in the setup menu to control the screen's color balance, in addition to the common brightness setting. (!) We found this very interesting, perhaps useful as a way to adapt the camera's behavior to surroundings with strong tints in the ambient lighting.

As was the case with the Coolpix 950, an exceptional level of exposure information can also be displayed in Play mode. The screens at right show the three successive information screens that are accessed by rotating the command dial in playback mode. (The image in the screen shots here was deliberately underexposed using Manual capture mode, to make the information display more visible.)


Completely new in the Coolpix 990 (and very welcome!) is the histogram display shown at right. This is a feature that has been common in professional digicams for some time now, but that has seldom been seen in "prosumer" models. The graph shows the distribution of brightness values in he image, with the left edge corresponding to pure black, and the right edge to pure white. Once you leam how to read it, a histogram is phenomenally useful in determining whether you've managed a good exposure or not. There's hardly room or time here to go into histograms in full detail, but we thought it would
 be useful to show you two examples: In the screen shot at top, the image was deliberately underexposed. Note how the peaks in the histogram are all bunched toward the left-hand side of the graph, and how little there is going on at the right side. By contrast, the lower image was overexposed. Note how the graph is bunched against the right edge. Ideally, a well-exposed image would produce a histogram curve that just filled the graph from left to right, indicating that it contained a full range of tonal values. We really like the histogram feature, and hope other digicam makers will be motivated to include it in their cameras as well.

A final Play-mode information display is also new to the 990 , showing lens, shutter and focus settings, and indicating (by the green brackets) what the autofocus system had locked onto when the picture was taken. -Another very handy way to check that you actually got the shot you were looking for!


In Play mode, the LCD can also display a thumbnail index page, showing either four or nine images to a page depending on the setting. You can cycle between single-image, four or nine image views by pressing the flash/thumbnail button under the LCD display. You can also mark images for deletion in this mode.


There's also a playback zoom feature, which enlarges captured images up to \(3 x\), letting you get a reasonably good idea of how well-focused the image is, check the framing, and examine details to see if you got the shot you wanted. (Did anyone blink?)


A Nikkor 3 x zoom, 8 to 24 mm lens comes with the camera (the equivalent of a 38 to 115 mm lens on a 35 mm camera), with nine elements in eight groups (all made up of environmentally friendly glass). Aperture ranges from \(F / 3.5\) to \(F / 9.8\). New to the 990 model is the seven blade iris diaphragm, which gives very fine-grained aperture control, useful for controlling depth of field, but more so for working with extemal flash and precisely controlling the balance between flash and ambient exposure. The contrast-detect TTL autofocus features a 4,896 step autofocus mechanism with a working range from 0.8 inches ( 2.0 cm ) to infinity (this includes the macro range). When shooting in the Auto exposure mode, the autofocus remains in the Continuous setting while using the LCD monitor but reverts to the Single autofocus mode when the LCD is off (which means you must halfway press the shutter button to set focus). However, the Manual capture mode gives you the freedom to choose between Continuous or Single autofocus, regardless of LCD status.

The Continuous focus mode results in the lens continually "hunting" for the best focus as you move the camera around, settling down when the camera and/or subject stops moving. Autofocus tracking speed isn't terribly high (mentioned in case you were expecting AF tracking as on Nikon's F5 pro film camera), but the continuous option would definitely be a benefit with moving subjects. The downside is that it burns more battery power.

The manual focus option is controlled through the settings menu and offers choices between a peaking scale or a distance readout (extremely beneficial in hard to focus situations). Once enabled, just press the Manual Focus button (lower left of the LCD monitor) and simultaneously turn the command dial to adjust the focus in 50 steps.

As a focus aid in manual focus mode (it can also be enabled in autofocus modes as well), the Coolpix 990 has a clever "peaking" display. This appears to be some sort of on-screen sharpening function that tends to exaggerate the current state of focus of the camera: In-focus objects look extra-sharp on the LCD when "peaking" is enabled. With textured objects, the peaking display mode produces a glimmering, moire-type effect on the display screen. We didn't test manual-focus accuracy extensively, so can't comment on just how well this works, but it is clearly a step ahead of most digicams, in which
between the Auto and Manual exposure options on the Power/Mode dial is the availability of certain functions. For example, in Auto exposure mode, the camera completely controls the exposure, from shutter speed to white balance. The Manual setting provides the full range of options mentioned above, giving you as much or as little control as you need. We'll explain the various modes here.

Program mode gives the camera control over shutter speed and aperture but lets you set the white balance, exposure compensation, etc. The Flexible Program option goes a step further by letting you select from a range of shutter speed and aperture combinations. (The camera determines the required exposure, but you can choose whether it achieves that exposure with a shorter shutter speed and wider aperture, or a longer shutter speed and smaller aperture. This strikes us as a very nice option, achieving much of what people want from Shutter or Aperture priority modes, but without limiting the camera's ability to respond to widely varying light conditions.) Shutter Priority lets you select from eight to \(1 / 750\) second shutter speeds while the camera selects the appropriate corresponding aperture. Likewise, under Aperture Priority, you can select apertures from F/3.5 to F/9.8 while the camera selects the best shutter speed. However, in Full Manual mode, the camera increases the shutter options to include a bulb setting for long exposures and a quick shutter of \(1 / 1000\) seconds, with the same aperture range as in Aperture Priority. In any mode, if the camera disagrees with your exposure choices, the shutter and aperture values will flash in the display to indicate that this may not be the best exposure option.

Exposure compensation is adjustable from -2 to +2 in \(1 / 3\) EV increments by pressing the \(+/\) - button and turning the command dial. Additionally, under the Image Adjustment option on the settings menu, you can increase or decrease contrast, lighten or darken the entire image or switch into black and white mode, giving you a few more exposure adjustment options. These tonal compensation adjustments are quite a bit more sophisticated than simple exposure compensation found in most digital cameras. Conventional exposure compensation adjustments simply allow you to adjust overall exposure up or down relative to that selected by the camera's exposure system. By contrast (no pun intended), the "lighten" and "darken" adjustments on the Coolpix 990 preferentially adjust the midtone values of the image, without affecting the white and black values of the image. (That is, "lighten" will brighten the middle brightness values in the image, without blowing-out white areas, or lightening black ones.) There are also options to adjust contrast, and capture images in black and white.

The Coolpix 990's default ISO rating is 80 but is variable when shooting in Manual exposure mode. ISO values of dEF (default), 100,200 , and Auto are available by pressing the ISO button and rotating the command dial. When shooting in the Auto exposure mode, the ISO value is left at its default setting of 80. In addition to the exposure value settings, you can adjust the in-camera sharpening to Auto, High, Normal, Low or Off under the Sharpening option of the settings menu. This is a useful feature, especially in situations where digicams tend to oversharpen such as high contrast boundaries within images. Also, we generally find that post-exposure sharpening in Photoshop(tm) or other image-manipulation program generally gives better results than the in-camera sharpening functions provided by most cameras. Thus, you may find it best to leave the in-camera image sharpening in the Coolpix 990 off for critical images, and apply unsharp masking in the computer later.

\section*{Histogram-based exposure confirmation.}

We showed examples of the Coolpix 990's histogram display earlier, under our discussion of the viewfinder functions. Given that prior coverage, we'll make only brief mention here, but do want to underscore the significance of this feature. Shooters moving from the color-negative world will be accustomed to routinely favoring overexposure in their pictures, to insure optimum shadow detail. Digital cameras are quite different though, and need to be exposed more like slide film, with an eye to retaining detail in the highlights: Once the sensor hits an exposure value of 255 (in a system with 8 -bit brightness values), any additional illumination has no effect, and all highlight detail is lost. It's thus very important to be able to recognize when parts of the image are being "blown out", as opposed to merely being very bright. LCD viewscreens aren't accurate enough to be trusted for this evaluation, so an explicit graph of brightness values (the histogram display) is exceptionally useful. We don't expect most casual users to routinely use the histogram exposure confirmation display on the 990 , but for pros or others working with critical exposure requirements, it's indispensable.
the LCD screen has far too little resolution to be of practical use in evaluating focus.
We should note here that the 990's autofocus mechanism is quite sophisticated, with several operating modes. It has five possible focus zones (center, top, bottom, left, right), which can be very useful for achieving accurate focus on off-center subjects. The screenshots above right show the camera preferentially focusing on two objects at very different distances, based on the focus area selected. It could also take some getting used to if you're accustomed to lesser cameras with only a single focus zone. The Focus option under the settings menu does allow you to choose modes in which the camera chooses the focus zone, or in which you can explicitly set the location of the focus area (a nice feature that works well when combined with the spot metering mode, which can likewise be directed to determine exposure from the same 5 zones). In the "Auto" option for focus-area selection, the camera chooses the area corresponding to the object closest to the camera. When the area focus option is set to Off, the camera bases focus on the central area. (One playback mode displays a focus-area overlay, and shows which focus area was chosen for each image, by highlighting the appropriate set of marks in green. - This last function wasn't yet operational on our test unit.) Overall, the 990's focusing system is by far the most sophisticated we've seen on any digicam to date


The lens itself has the same filter threads as the preceding Coolpix models, which accommodate Nikkor accessory lenses for wide-angle, telephoto, macro and fisheye focal lengths. Once a lens is attached, you'll need to select the corresponding lens type in the lens settings menu, shown at right. (The camera adjusts its operation for different lens types by restricting the zoom range to avoid vignetting, switching to center-weighted metering for the fisheye adapter, etc.) A digital telephoto feature can be turned off and on through the Zoom option under the Settings menu, enlarging images up to 4 x . The 990's
 digital zoom is different from most in that it provides a smoothly-varying range of magnifications. An indicator on the LCD monitor displays the range of digital zoom at each step (from 1.1x to 4.0x). Like this feature on most digicams, digital telephoto on the Coolpix 990 enlarges the center of the image, resulting in reduced resolution and more artifacts as more digital zoom is used. The camera automatically switches to center-weighted metering and a center autofocus target when digital zoom is active. Also under the Zoom option, you can set the startup position of the lens (either wide or telephoto) and activate the Fixed Aperture function, which keeps the aperture fixed as the lens zooms. The startup position option was another highly-requested feature among '950 users, and can really save precious seconds in fast shooting situations. Likewise, the fixed-aperture zoom option is very useful when working with external flash units, to avoid varying the exposure as a function of lens focal length.

\section*{Exposure}

Sophisticated, accurate exposure control has been a hallmark of Nikon cameras, both in the film-based and digital realms. The Coolpix 990's exposure system incorporates several enhancements over that of previous models, through the addition of a 7 -blade lens aperture, and a 256 -element matrix white balance system. Losing no ground in the process, the 256 -element matrix exposure metering system of the Coolpix 950 has been retained.

The 990 offers very flexible exposure control, with Program, Flexible Program, Aperture Priority, Shutter Priority and full Manual modes to choose from when set to the Manual exposure mode on the Power/Mode dial. Although it took a little figuring out at first (due in part to the fact that our evaluation model was a prototype and without a manual), we eventually discovered that changing modes and exposure features could be done quickly and painlessly without having to rely on the LCD monitor. The combination of the command dial and the control buttons gave complete access to most of the normal exposure functions. We also enjoyed the variety of Manual exposure mode settings. The main difference

\section*{White Balance}

The Coolpix 990 offers a lot of flexibility in its white balance settings, with a matrix-based Auto setting as well as Fine (Outdoors), Incandescent, Fluorescent, Cloudy, Speedlight (flash balanced) and Preset (or Manual). We were able to get reliable results with the Auto and Fine settings, although we attempted Preset and had varying results (it's highly possible that this feature was not yet functional on our prototype test unit). Particularly interesting is the
 ability to "fine tune" the white balance setting in all modes except Auto or Preset. We've frequently found the various white balance options on digital cameras to produce images with an overall warm or cool color cast, depending on the manufacturer's biases, the current lighting conditions, etc. On the 990 , Nikon gives you the option of tweaking the white balance to your own preferences. When you access the white balance sub-menu and select a white balance option, rotating the command dial will adjust the white balance up or down over a range of \(+/-3\) arbitrary units. Positive adjustments shift the color toward bluish hues, while negative adjustments move it toward warmer tones. (In the screen shot above, we've adjusted the incandescent white balance up by 2 units.)

\section*{Metering Options}

The Coolpix 990 also offers several metering options, with 256 segment Matrix metering (intelligently examining 256 segments across the entire image), Center-Weighted, Spot and Spot AF Area. This last is another option that's entirely unique to the Coolpix 990 (February 2000). Taking advantage of the multi-spot autofocus capability, Spot AF Area exposure setting determines exposure based on a spot reading centered on the location designated as the autofocus target under the Focus Options menu.

\section*{Other Features}

We liked the fact that you can save up to three sets of user settings for focus, exposure, and other camera options, which can be a real time saver in rapidly switching between widely different sets of options. We also greatly appreciated the programmable Function buttons, which were designed to allow one handed operation of the camera. (These normally control exposure mode and exposure compensation, but can be reprogrammed to control macro/manual focus, flash settings, white balance, or metering options.) An Auto Bracketing feature brackets five steps (two above and two below) the set exposure value while the (amazing) Best Shot Select (BSS) takes several images and allows the camera to choose only the sharpest (least blurred) to be saved. Best Shot Select makes it feasible to hand-hold the camera for surprisingly long exposures. You can also check your own work immediately as the camera gives you a quick preview of the captured image (when shooting with the LCD monitor) and gives you an option to delete or save the image (this function can be turned off through the Setup menu, under Monitor Options).


The Coolpix 990's built-in five mode flash (Auto, On, Off, Red-Eye Reduction and Slow-Sync settings) gives you a lot of flexibility: Through the settings menu, you can adjust the flash power from -2 to +2 EV units (!), as well as completely deactivate it. The Slow-Sync option is useful when shooting subjects with dark backgrounds (such as night scenes) because the camera actually leaves the shutter open longer and then fires the flash before the shutter closes. This lets a good amount of ambient light in and can be used to get a nice motion-blur effect. The "Red-Eye Reduction" mode fires a pre-flash before the main exposure, to try to get people's eyes to "stop down", reducing the intemal reflection from the back of their eyeballs. Unfortunately, this is one of the real weaknesses of the 990's flash system (and the 950 too): The flash tube is so close to the lens (as seen in the photo above) that there's essentially no way you aren't going to end up getting red-eye, regardless of how much you get people's pupils to constrict. While an extemal flash will avoid this, it's a shame to need one to achieve good results on basic
people-pictures. Keep in mind that the flash is automatically switched off when shooting in the Infinity focus mode; the Continuous, 16 Shots or VGA Sequence modes; when using the Best Shot Selector; using a lens converter; or when the AE Lock option is on. An external sync socket means you can connect a more powerful external flash, and the camera allows both external and internal flashes to work together. (The socket connects to Nikon Speedlight models SB-28, 28DX, 26, 25, 24 and 22.) If the 990's internal flash is anything like that of its predecessors', we should be extremely pleased with its performance. One puzzling note: The preliminary documentation we received from Nikon was self-contradictory, in it stated the flash's range as 2 meters ( 6.6 feet) in telephoto mode, but also gave it a guide number rating of \(9 / 30(\mathrm{~m} / \mathrm{ft})\). In our own tests, the flash worked fine out to a distance of 14 feet, the limit imposed by the dimensions of our test studio.

\section*{Continuous Shooting Mode}

The Coolpix 990 offers several "motor drive" rapid-exposure modes for capturing quick sequences of images. Our information here is a little sketchy, as the modes on the prototype unit we tested didn't match those in the (equally prototype) documentation we received. Production cameras may behave quite differently than our prototype unit did. Our understanding of the production features is listed in the table at the end of this review section. The documentation showed five modes (Continuous, Multi-Shot 16, Ultra High-Speed Continuous, VGA Sequence and Movie), all selectable under the Continuous option of the settings menu. Our test unit didn't have the Ultra High-Speed setting, but had instead an option named "9 Shot Frame". (We're told that the 9 Shot Frame function may not be on the production cameras.) Several of the Continuous-mode options on our test unit appeared to be mis-labeled, so we'll just describe them using the names from the documentation, ignoring the spurious " 9 Shot Frame" label.

The Continuous mode captures frames very quickly, at whatever resolution and image quality the user has selected. We measured continuous-mode frame rates at approximately 1.0 frames per second at full resolution (3 frames maximum in a sequence), 1.62 frames per second for a maximum of 8 frames at XGA resolution, and 1.72 frames per second for a maximum of 21 frames in VGA mode. We're not sure where the 9 Shot Frame mode's name came from: Probably due to a firmware bug, it actually did what we expected the Multi-Shot 16 mode to do: It subdivided the image area into 16 sections and captured a "mini-movie" of small images ( \(400 \times 300\) resolution), which filled-in a \(4 \times 4\) array within a single high-resolution image as the shooting progressed, at a rate of 2.0 frames per second on our prototype unit. The mode labeled "Multi-Shot \(16^{\prime \prime}\) on our test unit actually captured a long series of VGA-resolution images at 1.76 frames per second. Depending on the subject characteristics (e.g., how well it would JPEG-compress), it captured \(40-50\) pictures at a time. (We imagine this will be the production "VGA Sequence" mode.)

The VGA Sequence captures a sequence of VGA-resolution frames, stored as separate files on the CF card, also at a very quick rate. (Maximum sequence length and capture speed are dependent on image information and available CF card space.) New to the 990 is the Ultra High-Speed Continuous mode, which captures approximately 30 frames per second, totaling about 80 QVGA-sized images ( \(320 \times 240\) pixels). Finally, the Movie mode is another new feature for the 990 model. Movie mode captures up to 40 seconds of moving images without sound at approximately 15 frames per second (QVGA size). Movie mode worked quite well on our prototype model, especially when combined with the swiveling lens design (we could nun the camera and swivel the lens back on ourselves to get into the picture).

\section*{Shutter Lag/Cycle Times}

When you press the shutter release on a camera, there's usually a lag time before the shutter actually fires. This time is to allow the autofocus and autoexposure mechanisms time to do their work, and can amount to a fairly long delay in some situations. Since this number is almost never reported on, and can significantly affect the picture taking experience, we now routinely measure it, using an electronic test system accurate to 0.01 seconds.

The Coolpix 990 autofocus speed is about average, compared to other digicams we've tested (January, 2000 ), with a shutter lag of 1.13 seconds in full autofocus mode, 0.18 seconds when the lens is
prefocused by half-pressing the shutter button, and 0.49 seconds in manual-focus mode. NOTE: Reader Bryan Biggers wrote in to report that a number of 990 owners have consistently measured shutter lag times of under 0.1 seconds in manual-focus mode. Unfortunately, we had already sent our test unit back to Nikon by the time we heard this, so couldn't repeat the test. We're pretty confident of our result though, since we (a) use an electronic test system that really couldn't produce an error of that magnitude, and (b) repeated this particular test several times, since we ourselves were surprised that the manual-focus delay was so long. We stand behind the number we measured, but given Bryan and others' experience, it's entirely possible that a modification was made in later production units. We tested a production model, but obviously one of the first off the line. A firmware change could easily account for this discrepancy. Thanks for the note, Bryan!

Shot to shot cycle time is very good though, at only 1.7 seconds for the first two shots in highest-quality JPEG mode, at which point the buffer memory is full and you have to wait something on the order of 7 seconds or so for it to empty. Lower resolutions increase the number ßof shots you can take in quick succession, and reduce the amount of time you need to wait for the buffer to clear again. At XGA resolution, you can shoot 7 frames at 1.62 second intervals, after which the next two frames will require 3 seconds each. If you continue shooting at maximum speed, the cycle time will alternate between 1.65 and 3.0 seconds indefinitely. We never found a maximum number of frames that filled the buffer in VGA mode, but it's something over 20. Cycle time in VGA mode was 1.65 seconds. (Note that all these cycle times were measured in manual focus mode: Autofocus mode would add about 0.6 seconds to the cycle times, to allow for focus-system operation.

Frame rates in continuous mode also varied as a function of resolution (albeit only slightly), as did the number of exposures we could capture before waiting for the buffer to empty. In high resolution mode, we could capture 3 frames at roughly 1 frame per second. At XGA resolution, we captured 8 frames at 1.62 frames per second. At VGA resolution, we captured 21 frames at 1.72 frames per second. The "VGA Sequence" option captured 40 frames at a rate of 1.76 frames per second. The 16 -shot mode captured 16 quarter-resolution frames at a frame rate of 2.0 fps . Ultra high speed mode captured 80320 x 240 frames at 30 fps (saving the results as individual image files in memory), while the Movie mode also captured \(320 \times 240\) frames at 30 fps , with the resulting motion sequence saved in a single MOV file. The table below summarizes our cycle-time measurements:


\section*{Operation and User Interface}

As with the previous 950 model, we greatly appreciated the ease of the user interface on the Coolpix 990. The 950's interface was great, but the 990 goes quite a bit beyond it, making for what we feel is the best user experience in the industry. The LCD menu system is available for novices, or for less commonly-used controls, but experienced users will find they can make virtually all the most necessary adjustments without resorting to the LCD screen. Once you learn where the functions are, operation is quick and intuitive, thanks to the multiple control buttons and the excellent use Nikon makes of the black/white LCD readout. The inclusion of programmable Function keys simplifies operation even more, letting you assign common combinations of settings to a single key. Exposure compensation, exposure mode, ISO value, image quality and size, as well as focus controls (manual focus setting, macro, and infinity focus) and flash mode; can all be adjusted without the LCD. The small topside status display panel provides feedback for all these settings in a very clear fashion. When you do have to delve into the (very extensive) LCD menu system, navigation via the rocker toggle is easy, intuitive, and very rapid. In Record mode, the menu system is split into 3 pages of options(!), and a nice touch is the tabbed interface, by which you can jump between pages with only a few clicks of the rocker toggle, rather than having to scroll through every option to get to one buried on the second or third screen. Most of the camera's functions are controlled by a combination of hitting a button and tuming the command dial. Functions that are accessed in this manner are delineated on the camera body with a very light purple lettering. This system makes it extremely fast and efficient to change settings without eating up too much battery power by using the LCD menu system. Control layout is also very logical, allowing one-handed operation for commonly-changed functions, requiring a two-handed approach only for manual focus setting, ISO, flash mode, and size/quality adjustment.

\section*{Control Enumeration}


LCDData Readout: Located on top of the camera, this readout displays status of a wide range of camera functions. More importantly, as noted above, you can manipulate and set most of these functions by using the camera controls and readout display together, letting you avoid the LCD menu system. This both saves power, and makes the camera functions much faster to navigate.


Shutter Button: Located on top of the camera and encircled by the Power/Mode dial, this button sets focus and exposure when halfway pressed and fires the shutter when fully pressed.

Power/Mode Dial: Also located on the top panel of the camera, surrounding the shutter button, this dial selects between Off, Auto Record, Manual Record and Play modes.

FUNC. 1
Mode/Func. 1 Button: Located on the top panel, this button selects the exposure system mode (Program,

Flexible Program, Shutter Priority, Aperture Prionity and Manual) when held down while turning the command dial with the camera in Manual record mode. This button can also be programmed through the Setup menu to access various exposure functions with a single button actuation.
+/-7 Func. 2 Button: Located directly to the right of the Mode button, this adjusts the amount of exposure compensation (from -2 to +2 EV in \(1 / 3\) EV steps) when held down while turning the command dial. This button can also be programmed through the Setup menu to access various exposure functions.


Command Dial: Located on the top right of the camera, this dial is used in conjunction with various controls in Record mode to adjust exposure options. In Play mode, the dial cycles through the five information pages associated with each captured image, giving the user an unparalleled amount of information about the exposure (image information page, camera firmware page, image adjustment page, exposure histogram and focus confirmation).

\section*{MONITER}
-
Monitor Button: Located on the top of the rear panel of the camera, this button recalls or cancels the color LCD screen information display and viewfinder.

\section*{hENU}

Menu Button: Located directly to the right of the Monitor bution, this button pulls up the settings menu in all capture modes as well as in Play mode. Pressing it again cancels the menu.


W and TMuttons: Located further to the right from the Menu button, these buttons control the optical zoom in all capture modes. Likewise, when the digital telephoto option is enabled, these buttons control the amount of digital zoom (from 1.1x to 4.0x). In single-image playback mode, pressing the " T " button repeatedly zooms in on the image (you can scroll around in the zoomed image by using the rocker toggle control). Pressing the "W" button cancels zoomed playback.


Rocker Toggle Control: Located on the right side of the LCD monitor, this button features four arrows that allow the user to navigate through the LCD menu system and make selections in Record and Play modes. We found menu navigation on the Coolpix 990 particularly straightforward, in that all menu actions are taken via the rocker toggle: There's no need to confirm a selection by pressing a different
button. Different menu items are selected via the up/down arrows on the toggle control. Pressing the right arrow selects that item, generally taking you into a sub-menu. Pressing the left arrow takes you back out again. Once in a sub-menu, the up/down arrows again step between items, while a night-arrow selects. This process continues until you arrive at the final point of selection, upon which another right-arrow actuation makes that selection and returns you to the main menu. We were also pleased to see that we could left-arrow from the initial screen, to go to a set of tabs that let you quickly jump from menu screen one to two, or to the setup screen very quickly, without scrolling through all the menu entries first. All this takes much longer to describe than do: The 990's menu design and use of the rocker toggle made it by far the quickest system to navigate we've seen yet.

In Play mode, the right and left buttons scroll through captured images one at a time. As noted above, in zoomed playback mode, this control lets you scroll around within the enlarged image.

48
Manual Focus/Macro Button: Located directly beneath the LCD display, on the left side, this button has several functions. When held down while turning the command dial, this button controls the manual focus option in Record mode. Also in Record mode, this button (when pressed on its own) cycles through Infinity focus, Macro and Self-Timer modes. In Play mode, this button acts as the Delete command for the currently displayed image (designated by the trash can symbol). In a welcome addition, Macro and Self-Timer modes can be used at the same time, even though they're controlled by the same button. (As you cycle through the modes accessible with this button, one mode has both macro and the self-timer enabled simultaneously.)

\section*{䱚}

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\section*{4 ©}

Flash/ISO Button: Centered under the LCD panel, this button cycles through the flash modes (Auto, On, Off, Red-Eye Reduction and Slow-Sync). In Manual Record mode, this button cycles through the variable ISO settings (Auto, 100, 200 and dEF). In Play mode, this button pulls up a four or nine image index display of all captured images.

\section*{-size}

QUAL
Quality/Size Button: Located directly to the right of the Flash button, this button cycles between image quality options (Basic, Normal, Fine and Hi) in all record modes. In any record mode, this button also cycles through the image size settings ( \(3: 22048 \times 1360\), VGA \(640 \times 480\), XGA \(1024 \times 768\) and 2048 x 1536) when held down while turning the command dial. In playback mode, if the currently-selected picture is a movie sequence, this button initiates playback of it.

Dioptric Adjustment Dial: Located directly beneath the optical viewfinder (on the underside of the lens half of the case), this small, black dial adjusts the viewfinder to accommodate eyeglass wearers.

\section*{Camera Modes and Menus}

Automatic Record Mode: Accessed by turning the Power/Mode dial to the "A" setting, this mode puts the camera in charge of exposure and focus, but still leaves settings like flash, exposure compensation, size, quality and the self-timer under the user's control. Pressing the Menu button in this mode pulls up the following Setup menu:
- Folders: pulls up a sub-menu that allows users to create, delete and
 rename image storage folders in separate groups (much like the 950). This also allows users to select which folder images will be saved to.
- Monitor Options: pulls up a sub-menu that allows users to adjust the brightness and hue of the LCD monitor display.
- Shutter Sound: turns the shutter sound on or off.
- Auto Off: allows users to set the auto-off delay to 30 seconds, one minute, five minutes or 30 minutes.
- Seq. Numbers: turns the file numbering sequence on or off as well as resets the current sequence.
- Card Format: Formats the CompactFlash card and erases all folders and images.
- Date: sets the camera's internal date and time.

Manual Record Mode: Accessed by turning the Power/Mode dial to the " \(\mathrm{M}^{\prime}\) setting, this mode offers several options for manually controlling exposure (Program, Flexible Program, Aperture Priority, Shutter Priority and Manual). Program puts the camera in charge of aperture and shutter speed, while the user can control things like white balance, motor drive, etc. Flexible does the same, but allows the user to select between various combinations of shutter speed and aperture settings. Aperture and Shutter Priority put the user in charge of either the aperture or shutter speed while the camera controls the other value. And finally, Manual lets the user control both aperture and shutter speed, independent of the camera's exposure system. All of these capture modes are accessible by pressing the Mode button and tuming the command dial until the desired mode appears on the status display. Pressing the Menu button in this mode pulls up the following settings menus:

\section*{Screen One}
- White Balance: pulls up a sub-menu with seven white balance options: Auto, White Balance Preset, Fine (Outdoors), Incandescent, Fluorescent, Cloudy and Speedlight (flash balanced).
- Metering: offers 256 Segment Matrix, Center-Weighted, Spot and Spot AF Area metering options.
- Continuous: offers six "motor-drive" options: Single exposure, Continuous Shooting, Multi-Shot 16, VGA Sequence, Ultra-High Speed, and Movie.
- BSS: turns the Best Shot Selector function on or off.
- Lens: lets the user select between Normal (built-in lens), Wide Adapter, Telephoto 1 (a 2 x adapter), Telephoto 2 (a 3 x adapter), Fisheye 1 and Fisheye 2 options to accommodate the specified accessory lens. As noted in the main review, the different options adjust various exposure and zoom setting options to best accommodate the chosen lens.
- Image Adjust: allows the user to further alter the image with options of Auto, Normal, More Contrast, Less Contrast, Lighten Image, Darken Image and Black \& White. (See discussion under Exposure section of the review, above.)
- Image Sharpening: adjusts the in-camera sharpening to Auto, High, Normal, Low or Off.)

\section*{Screen Two}
- User Setting: allows the user to save up to three different sets of user settings to be recalled at any given time (useful for quickly switching between groups of settings for different shooting scenarios).
- Exposure Options: allows the user to turn the AE Lock and Auto Bracketing on or off as well as adjust the exposure compensation.
 (While exposure compensation can be set from this menu entry, you would more commonly do so via the top-panel \(+/-\) button and function wheel.)
- Focus Options: selects between AF Area Modes (Auto, tracking/manual/center, or off), Autofocus Modes (Continuous or Single AF), Peaking (On, Off, Manual Focus only), and Distance Units (feet/meters) focusing modes.
- Zoom Options: allows the user to activate the digital telephoto, designate the startup position (wide, telephoto, or the last-used position, a feature we found particularly welcome). Also lets you select the Fixed Aperture option, which keeps the aperture fixed as the lens zooms. (This last useful for flash photography, with external strobe units.)
- Speedlight Option: allows the user to adjust the flash intensity ( \(+/-2 \mathrm{EV}\) unit range in \(1 / 3 \mathrm{EV}\) steps) and set up the internal and/or external speedlight options (both active or internal flash off).
- Reset All: resets all the menu options to factory defaults (it thankfully does give you an option to back out if you decide you want to).

\section*{Setup Screen}
- Folders: pulls up a sub-menu that lets users create, delete and rename image storage folders in separate groups (much like the 950). This also lets users select which folder images will be saved to.
- Monitor Options: pulls up a sub-menu that allows users to adjust the brightness and hue of the LCD monitor display.

- Shutter Sound: turns the shutter sound on or off.
- Controls: allows the user to program the Function 1 and 2 buttons (Mode and \(-/+\) buttons) to set up specific exposure functions. (VERY handy!)
- Auto Off: allows users to set the auto-off delay to 30 seconds, one minute, five minutes or 30 minutes.
- Seq. Numbers: turns the file numbering sequence option on or off as well as resets the current sequence.
- Card Format: Formats the CompactFlash card and erases all folders and images.
- LED Shot Confirm: turns the shot confirmation light on and off. (This is a small orange LED on the front of the lens housing that illuminates briefly after the shutter trips, as an indication that the shot has been taken. Useful for self-timer shots taken without flash.)
- Date: sets the camera's internal date and time.
- Video Mode: sets the video format to NTSC or PAL.
- Language: sets the camera language to German, English, French or Japanese.

Play Mode: Accessed by turning the Power/Mode dial to the Play position, this mode allows users to view captured images and movies. The right and left arrow buttons scroll through images while the Delete and Index Display buttons (beneath the LCD panel) offer quick image deletion and display. Pressing the Menu button in this mode pulls up the Play settings menu:

\section*{Screen One}
- Delete: lets the user delete a Selected Image, All Images, Erase Folder or Print Set. (A "Print Set" refers to the set of images currently marked for printing, using the DPOF (Digital Print Order Format))
- Folders: allows the user to create, delete or rename folders as well as select the playback folder from a list.

- Slide Show: starts a slide show playback of all images in a folder and allows the user to set the frame interval.
- Protect: allows the user to select individual images for write protection.
- Hide Image: allows users to hide selected images from view.
- Print Set: allows users to select individual images and mark them on the memory card for subsequent printing by a standalone printer. (This uses the standard Digital Print Order Format (DPOF) protocol.)

\section*{Setup Screen}
- Monitor Options: pulls up a sub-menu that allows users to adjust the brightness and hue of the LCD monitor display.
- Shutter Sound: turns the shutter sound on or off.
- Auto Off: allows users to set the auto-off delay to 30 seconds, one minute, five minutes or 30 minutes.

- Seq. Numbers: turns the file numbering sequence option on or off as well as resets the current sequence.
- CF Card Format: Formats the CompactFlash card and erases all folders and images.
- LED Shot Confirm: turns the shot confirmation function on and off.
- Date: sets the camera's internal date and time.
- Video Out: sets the video format to NTSC or PAL.
- Language: sets the camera language to German, English, French or Japanese.

\section*{Image Storage and Interface}

Like the 950 and the 900 before it, the Coolpix 990 uses CompactFlash memory cards for image storage, shipping with a 16 MB card. We suspect that most users will almost immediately want to purchase a larger card though, as 64 MB cards have become quite reasonable in price, and cards as large as 128 MB are currently available (February, 2000). We were glad to find the new location of the CompactFlash slot in the hand grip (the 950 model's slot was on the bottom of the camera, making it difficult to change cards while mounted to a tripod). The 990 also has a very nice, functional cover door for the CF card slot, a huge improvement over the 950 's less-substantial arrangement.) The 990 includes several subtle niceties that make life a little easier, including a folder arrangement that allows users to organize images in the camera, a sequential frame counter option to avoid problems with overwriting files when copying them to your computer, some powerful in-camera tonal adjustment controls and the much appreciated Best Shot Selector option that automatically chooses the least blurry image, when shooting under difficult conditions.

Captured images can be individually write protected through the Play mode settings menu. Write protected files are only immune to accidental deletion, not card reformatting. File formats include several levels of compressed JPEG files as well as an uncompressed TIFF mode (Hi quality setting).
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\hline Normal Quality & 20 & 12:1 & 79 & 12:1 & 187 & 12:1 \\
\hline Basic Quality & 40 & 20:1 & 150 & 20:1 & 332 & 20:1 \\
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\section*{Video Out}

One significant difference between the Coolpix 990 and its predecessors is that there now appears to be only a single international version of the camera, supporting either NTSC or PAL standards. The US version of the Coolpix 990 includes a video out jack and connection cable, defaulting NTSC-formatted video output. European models will doubtless support PAL timing and connections. You can switch between NTSC or PAL timing through a setting in the Setup menu. Connecting the video output provides a signal to an external device, without disabling the internal LCD display screen. All images that would normally appear on the LCD are also routed to the external video display so that the television screen becomes an enlarged version of the LCD monitor and can be used both for image playback and composition.

\section*{Power}

The Coolpix 990 runs on four AA batteries, housed inside the hand grip, or an external \(A C\) adapter which plugs into the front of the camera. Nikon estimates about 1.5 hours of operating time when using the LCD monitor and four 1.5 V LR6 (alkaline AA L40) batteries at a normal temperature of 68 degrees Fahrenheit. (We find this a trifle optimistic.) In our own tests, the Coolpix 990 prototype consumed about as much power as typical 2 megapixel digicams, despite the larger sensor and large SDRAM buffer memory. As always, we
 highly recommend keeping a couple sets of freshly charged rechargeable NiMH
 cells nearby. We should note here that the ability to switch the autofocus mode from Continuous to Single saves significant battery power. Enormous power savings are possible if you leave the LCD monitor off, and rely on the small top-panel readout when adjusting camera settings. Power consumption with the LCD screen turned off was very low indeed: You could easily run all day on a single set of batteries in this mode.


\section*{Included Software}

As of this writing, Nikon had not yet finalized the software bundle for the 990 . We'll update accordingly as the information becomes available.

\section*{Test Results}

In keeping with our standard policy, our comments here are rather condensed, summarizing our key findings: For a full commentary on each of the test images, see the Coolpix 990's "pictures" page.

As with all Imaging Resource camera tests, we encourage you to let your own eyes be the judge of how well the devices performed: Explore the images on the pictures page, to see how well the Coolpix 990 performed, and how its images compare to other cameras you may be considering buying.

The first thing that struck us about the Coolpix 990 was how sharp its pictures were! The earlier Coolpix 950 showed excellent sharpness and detail, but the 990 clearly raises this performance to a new level. Resolution was the best we've seen out of the digicams we've tested to date (early March, 2000), although in fairness, we have a number of 3 megapixel models yet to go. We "called" the 990's resolution at 800-850 lines per picture height in both horizontal and vertical directions, with significant detail visible all the way out to \(900-1000\) lines. By comparison, the 950 tested out at about 800 lines horizontally (and a bit of a stretch at that), and 650-700 vertically. Overall, a significant step up in resolution, much more than we saw in digicams when going from the 1.5 to 2.1 megapixel level. (These numbers were obtained with the lens at its wide angle setting: Telephoto numbers were slightly lower, as is usually the case.)

Color was very good as well, with excellent saturation across the spectrum. The only weaknesses we could find were a slight tendency to undersaturation in bright yellow hues, and the (very common) problem with the tricky blues in the model's pants and flowers in the outdoor shots. (For whatever reason, many digicams tend to produce rather purplish hues in these colors, and the 990 fell prey to that tendency somewhat as well.) Overall color was very good though.

True to its heritage from Nikon's high-end film cameras, exposure accuracy and control were excellent in the 990 . Nikon's apparently added a matrix-evaluating white balance function to the 990 , making its auto white balance algorithm a bit more sure-footed. For really tough lighting situations though, we still found ourselves using the manual preset white balance option, a feature we really like to see in digicams we test. (Note to other high-end digicam manufacturers: This is a "must-have" feature for high-end prosumer cameras!) The addition of a true inis-based aperture in the 990 was a significant improvement over the 950 's three-aperture system, and very welcome.

In our low-light tests, the Coolpix 990 did exceptionally well, producing usable but dark images in light levels as low as \(1 / 16\) of a foot-candles, and very usable ones at levels of \(1 / 8\) of a foot-candle. Noise was
also quite low (camera was stabilized at a temperature of 70 degrees \(\mathrm{F}(\sim 21 \mathrm{C}\) ) for these shots). For comparison, a well-lit city scene under typical modern street lighting corresponds to a level of about 1 foot-candle. The Coolpix 990 is a great low-light shooter!

As is the case with most digicams, the Coolpix 990's optical viewfinder shows less of the subject than the final image does, displaying a fairly typical \(86 \%\) of the final area in wide angle and \(88 \%\) in telephoto mode. The LCD viewfinder was almost \(100 \%\) accurate though, a very welcome feature for shots involving critical framing (as so many of our test shots do).

Like the ' 950 before it, the Coolpix 990 is a spectacular macro performer, with a minimum area coverage of only \(0.78 \times 0.58\) inches ( \(19.69 \times 14.77 \mathrm{~mm}\) ). Combined with the 990 's 3 megapixel resolution, the detail it can record is literally microscopic!

At the bottom line, the Coolpix 990 delivers a solid upgrade in image quality and resolution relative to the already-excellent Coolpix 950 , with some of the best detail and sharpness we've seen yet in a digicam. (At least, as of early March, 2000.)

\section*{Conclusion}

The Coolpix 990 is an exceptional follow-on to the already excellent Coolpix 950. The list of added features, options, and capabilities is too long to include in a brief conclusion, but suffice to say they're both extensive and eminently useful. Virtually every aspect of the camera's performance has been enhanced or extended, and the result is a true 3 megapixel powerhouse. Despite its incredible array of features though, its fully-automatic Auto record mode makes it easy enough for even the rankest amateur to use. (Set it in "Auto" mode, and hand it to your spouse with no worries or explanations.) For power users, the 990 sports one of the best-designed user interfaces we've had the pleasure to work with. Nikon clearly listened to users of the Coolpix 900 and 950 in developing the 990 , and the results show: We're confident in predicting that this will be a very popular digicam, among both amateurs and professionals!

\section*{Reader Comments!}

See what other Imaging Resource readers have had to say about the Coolpix 990, or add comments of your own. (Read what's here, then add your own!)

For even more feedback, read the User Reviews from PCPhotoREVIEW

\section*{Reader Sample Images!}

Do you have a Nikon Coolpix 990 camera? If you'll post an album of your samples that we can point to with a single URL (not all services permit this, some require you visit the main site and type a name and password) and email us at web@imaging-resource.com, we'll list the album here for others to see!
- Sample pictures from PCPhotoREVIEW readers
- Chris Arellano's CoolPix 990 album (Here's Chris's...where's yours?)
- Steve Schnoor's Sample Images
- Rex Steyskal's Sample Images - Very nice pictures taken at the Detroit Zoo! Also, if you go to his main album page, you can find tons of pictures for the CoolPix 950 album. Not what you were interested in, if you're visiting this page, but definitely worth taking a look!

\section*{For More Info:}

\title{
View the Imaging Resource Data Sheet for the Coolpix 990
}

Visit the Comparometerim to compare with other cameras.

Visit the Nikon home page for the Coolpix 990

Back to the Imaging Resource Digital Cameras Page

Or, Return to the Imaging Resource home page.

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Management Assibme.
Date: \(\qquad\) File Mangement heistant Signature:


\section*{EXHIBIT H}

US PATENT 60/233,344
training that you've had or maybe you -- you did
113 indicate that you had any degree in mathematics. Is that something that you have some experience from from some other portion of your employment or background?
A. I have training and experience in mathema:ics.
Q. I'm sorry.
A. I say I have training and experience in mathematics.
Q. How about in the scaling video invention; is that part of what you've already described?
A. That is readily derived from a mathematical background.
Q. How about the remote control video applications?
A. That's different.
Q. Okay. Now going back to --
A. What --
Q. -- the patent dealing specifically with remote control applications.
A. What I did there was I established the fact that the design point that Eliot had discovered in optimizing the quality of the

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
picture that would be transmitted across the internet at a given speed, I identified that which he had discovered by an ad hoc process; I discovered the structural basis for that optimization.
Q. Okay. So that was something that was outside the scope of what he had already, what Eliot had already discovered?

ת. It really established why it worked.
Q. And is your name on any patent or patent application with regard to that particular technology?
A. It possibly is. I don't recall how many of those my name is on since I didn't keep any of those records.
Q. How about camera zoom applications?
A. Okay. How about camera zoom applications?
Q. Is there any patent or patent application dealing with camera zoom applications?
A. Not specifically. It was, it was determined that there is a correlation between the zoom and pan that had been developed and what is being used in cameras.

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)
```

Proskauer Fose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
development of future cameras or was that simply
an observation that was made?
A. It was an observation that current
camera technology incorporates zoom and pan
technology.
Q. Okay. How about any patent or
patent applications dealing with scales video or
zoom video imaging applications other than what
we've already discussed?
A. Without looking, and I apologize for
this, without looking at the specific patent
filings by name and number, I think, you know,
we're not really going to be able to get much
further on this discussion.
Q. Okay.
A. I don't want to put you off at all,
but I just want to say that to pursue a detailed
questioning in this specific area, I need to be
able to refresh my mind with what is in the
record.
Q. Okay. And are those documents that
you have in your possession someplace?
A. No.
Q. You don't have any of the paperwork

```
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline \multirow[t]{2}{*}{\[
\begin{gathered}
05707 \\
\quad \text { TILLE } \\
\hline
\end{gathered}
\]} & \multicolumn{5}{|l|}{IVIEWIT.COM PATENT STATUS REPORT} & \multicolumn{2}{|l|}{Lapsed Provisional U.S. Patent Applications} \\
\hline & OUR REF. & INYENTOR/ PATENTEE & COUNTR & serial, no. patent no & filedassue DSTE & assignee & remarks \\
\hline Apparatus and Method for Producing Entanced Digitai Images and/or Digital Video Files & P006Z & Elion I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No } \\
& 60 / 149.737
\end{aligned}
\] & Filed
\(08 / 19 / 49\) & \begin{tabular}{l}
Jyiewil Holdings, hac. \\
Assigned: 01/06/00 Reel/Frame \\
010523/0506
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POI8PCT flled based on this provisional application.
\end{tabular} \\
\hline Apparatus and Method for Producing Enhanced Video Images and/or Viden Files & P0072 & Eliot I. Bernstein & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 155,404
\end{aligned}
\] & Filed \(09 / 22 / 99\) & \begin{tabular}{l}
Iviewit Holdings, Inc \\
Assigned: 01/06/00 Reel/Frame 010523/0183
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POIOPCT, POIIPCT, POI2PCT, POIGPCT and POISPCT all filed based on this provisional application.
\end{tabular} \\
\hline Apparatus and Method for Prodecing Enhanced Video Inages and/or Video Files & P008Z & Elio I. Bernstein & United States & \[
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& \text { Setias No. } \\
& 60 / 169,559
\end{aligned}
\] & Filed 1208/99 & \begin{tabular}{l}
Iviewit Holdings, Inc \\
Assigned: 01/06/00 Rcel/Frame \(010523 / 0220\)
\end{tabular} & \begin{tabular}{l}
Lapsed \\
POIOPCT, POIPCT, POI2PCT and POISPCT all filed based on this provisional application.
\end{tabular} \\
\hline Zoom and Pan Imaging Using Digital Camera &  &  & United States & \[
\begin{aligned}
& \text { Serial No. } \\
& 60 / 223,344
\end{aligned}
\] & \[
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& \text { Filed } \\
& 09 / 18 / 00)
\end{aligned}
\] & Not assigned. & Lapsed \\
\hline Zoom and Pan Imaging Design Tool &  & Brian Uley & United States & \begin{tabular}{l}
Serial No. \\
60/233,341
\end{tabular} & \[
\begin{aligned}
& \text { Filed } \\
& 09 / 18 / 00
\end{aligned}
\] & Not assimed. & Lapsed \\
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\hline \(\begin{array}{l}\text { ellot } \\
\text { Note }\end{array}\) & 319/2004 10:20:04 PM \\
\hline \(\begin{array}{l}\text { This portfolio sheet has two numbers } 122 \text { and they both say assigned to Wiewit. Additionally, it does } \\
\text { not match up with the sheets it was sent with, See next pages. It has Eliot Bernstein on one but } \\
\text { patent filing per BSZT does not. No signature of ElB in files. }\end{array}\) \\
\hline
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\] & Appl. Mo. & Filing Date & Appilcallon Titio & inventor(3) & Asalgnes & Mo. Appl Pgeshth & Priority \\
\hline  & 1 & 57103162 & 5865-1 & US. (Prowisional) & 60/125,824 & 3/24/1999 & Apperreys and Melhod for Producing Enthanced Dfotial Imapes & 태tot 1. Bernstoin & IViewit Holdinges, Inc. & (15/4 & **A \\
\hline  & 2 & 57503/103 & 5885-3 & U.S. (Prowisichial) & 60/137,297 & 6/3/7999 & Apperatua and Meithod for Proctuchng Entranced Video Imerges & EFot I. Bemastern & Hiewit Holdings. he. & 110 & N/A \\
\hline  & 3 & 67103/104 & 5885-4 & U.S. (Provisional) & 60/137.921 & 6/7/4999 & Apperalus and Mfathod For Playhy Videc FHes Across the internet & Eghot 1. Bemstetn & Niewit Hoddenge, Ince & 14 & NHA \\
\hline  & 4 & 57103/105 & 5885-4.t & U.S. (Provisional) & 60/141,440 & 8120/1999 & Asparalusis and Mothod for Frowiting endior Transmilting Video Dala andifor information in a Commzunicalon Network & Eflot 1 . Bemstein & lyiewit Hoddings, lic. & 25/2 & N/A \\
\hline  & 5 & 57103/106 & 5865-4 & 1.5. (Prewtsional) & 60/146,728 & 9/2/1999 & Apparalus and Mathod for Frockicing Enthenced Digital Imapers & \begin{tabular}{l}
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\] & 57103/107 & 5865-5 & U.S. (Provisional\} & 601449,737 & 8/49/1990 & Apparatus and Modhod for Producirg Enhanced Digital irregen and/or Digital Video Files & Elior : Bermatein & lilewith Holdingat, Inc. & 21/4 & NUIA \\
\hline  & 7 & 57103/108 & 5865-7 & US. (Provisionsi) & *01155,404 & 0/22/1899 & Apperalus end Method for Producing Enhanced Video hrapaes andior Vldeo Fllas & Eliol I. Bematon & Iviewit Hoidings, inc. & \(29 / 4\) & N/A \\
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\] & 8 & 57103/109 & 5665-8 & U.S. (Prowitional) & 60/169,559 & 12/6/1999 & Apparatustand Method fire Produchng Erbenced Video Imeges andior Videa Fitos & Elot 1. Bemstinn & Alowit Hottinge, Inc. & \(47 / 5\) & N/A \\
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\] & 3/23/2000 & Apparalus and Mathod for Proxucting Entenced Digltad tmaper & \begin{tabular}{l}
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\] & Country (Typa) & Appli Mo. & FIMm Dato & Application Titis & Inwentords) & Assignop & No. Appl. PaEtshin & Priority \\
\hline 10 & 57103/111 & N/A & \begin{tabular}{l}
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& 60 / 137,297 \\
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\hline 41 & 571031112 & N/A & \begin{tabular}{l}
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\end{tabular} & PCT/Usow & 6/2/2000 & Sysiem and Mathod for Pleying a Digital Video File & Elomabian, Shtrajee & & 2973 & \[
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& 60155,404 \\
& 60+169,559
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\hline 13 & 57103/114 & N/A & \begin{tabular}{l}
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\(60 / 455,404\)
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\(57103 / 111 \mathrm{PCT}\) \\
\hline 14 & 57103/115 & N/A & \begin{tabular}{l}
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\end{tabular} & 091 & 855/2000 & Syslam and Mathod for Pleyitu a Dlgital Videc Fife & Bemetain, Shirgioe & & 297 & \(60 / 137,297\)
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\hline 15 & \(57103 / 116\) & N/A & \begin{tabular}{l}
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601155,404 \\
601189,559 \\
57105112 CCF \\
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& 60 / 137,901 \\
& 60 / 141,440
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\hline 17 & 57103/1 96 & 5885-1 & U.S. & (08522,721 & 3/10/2000 & Apparifue and Mathod for Producing Enhanced Dirital menes & Bemstatr & & 15/4 & B1/152,324 \\
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(Typa) & Appli No. & Filsag Pate & Appliention Title & Invendor(t) & Asplpee &  & Priority \\
\hline 18 & 571031122 & \[
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\] & \begin{tabular}{l}
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\end{tabular} & 96 & N/A \\
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\hline APPLICATION MIMER & FLINGGECEIPT DATE & FIRST NAMED APPLICANT & ATTORNEY DUET NUMBER \\
\hline \(60 / 233,344\) & \(09 / 18 / 2000\) & Brian G. Utley & \(57103 / 122\) \\
\hline
\end{tabular}

Foley \& Lardner Firstar Center 777 East Wisconsin Avenue Milwaukee, WI 53202-5367


\title{
NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION
}

FILED UNDER 37 CFR 1.53(c)

RESPONSEDUE \(2 \geq M E Z U O I\) DClFEE

Filing Date Granted
An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CPR 1.136 (a).
- The statutory basic filing fee is missing.

Applicant must submit \(\$ 150\) to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16 (e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is \(\$ 200\).

A copy of this notice MUST be returned with the reply.

United States Patent and Trademark Office
NITE STATES COMMISSIONER FOR PATENTS
NT AND TFADEMARK OFFICE
Wh.SHINCTON, DE, ECEミ1
ww usptogov


Date Mailed: 01/03/2001

\section*{NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION}

\section*{FILED UNDER 37 CFR 1.53(c)}

\section*{Filing Date Granted}

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136 (a).
- The statutory basic filing fee is missing.

Applicant must submit \(\$ 150\) to complete the basic filing fee andfor file a small entity statement claiming such status (37 CFR 1.27).
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 50\) for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is \(\$ 200\).

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE
Respectfully,
Foley \& Lardner
documents by applying the U.S. Patent and Trademark Office
receipt stamp hereto and mailing this card. Please acknowledge receipt of the above-identified 4sBd S6 semby pue uonemperds vonemadry wered Appli No.: Unknown. \(\quad\) DABO (9/18/00)
- Transmittal of Patent Application (2 pgs.); 95
Title: Zoom and Pan Imaging Using a Digital Camera.
Inventoris): Utiey et al.
Qkt.No. \(57103 / 122\) \(57103 / 122\)

Title: Zoom and Pan Imaging Using a Digital Camera Inventor(s): Utley et al.

Dkt. No, 57103/122
Appl. No:: Unknown
DABO \((9 / 18 / 00)\)
- Transmittal of Patent Application (2 pgs.);
- Patent Application Specification and Figures ( 95 pgs.);

Assistant Commissioner for Patents:
Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.
ellot
Note

No filing stamps are on this at all as with other applications??

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}


\section*{PROVISIONAL PATENT APPLICATION TRANSMITTAL}

\section*{Assistant Commissioner for Patents}

\section*{Box PROVISIONAL PATENT APPLICATION}

Washington, D.C. 20231

\section*{Sir:}

Transmitted herewith for filing under 37C.F.R. § 1.53(c) is the provisional patent application of:

\author{
Brian G. Utley 1930 S.W. 8th Street Boca Raton, Florida 33486 \\ Eliot I. Bernstein \\ 500 S.E. Mizner Boulevard Boca Raton, Florida 33432-6080
}
\begin{tabular}{|c|c|}
\hline ellot Note & 3492004 10.39:02 PM \\
\hline Nosig and B only U patent legit. until \(F\) & for Eliot is in the files tes in portfolio that it is here is no stamps from or other proof this is in the Company files BSZT transfer. \\
\hline
\end{tabular}

Enclosed are:
[ X ] Specification, Claim(s), Abstract, and Figures( 95 pages).

] Assignment of the invention to lviewit.com, Inc.
[ ] Small Entity statement.

Assignment never filed and small entity never filed.

The filing fee is calculated below:

[ ] A check in the amount of \(\$ 75.00\) to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,
Date \(\qquad\)

FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

\title{
U.S. PROVISIONAL PATENT APPLICATION
}
for

\section*{ZOOM AND PAN IMAGING USING A DIGITAL CAMERA}

\section*{ellot}

Note
No signature in files for Eliot and BSZT and patent office state
Eliat is not listed???

Inventors:
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Note \\
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No signature in files for Eliot and \\
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\end{tabular} \\
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Brian G. Utley
1930 SW \(8^{\text {th }}\) Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.
Eliot I. Bernstein
500 S.E. Mizner Boulevard
Boca Raton, FLORIDA 33432
Citizenship: U.S.

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

\section*{EXHIBIT H}

US PATENT 09/522,721

Atty. User ID: 0128
Cliont/Matter Code:
57103/101

Foley \& LARDNER
Attorneys at Law

\section*{Sender'e Direct Line:}
1414) 297-5718

Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
TELEPHONE (414) 271-2400
FACSIMILE (414) 297-4900

\section*{FACSIMILE TRANSMISSION COVER SHEET}

TO:
COMPANY NAME:
COMPANY LOCATION:
COMPANY PHONE NUMBER:
COMPANY FAX NUMBER:
FROM:
DATE:

Mr. Brian G. Utley
Wiewit.com, Inc.
Boca Raton, Florida
TEL: (561) 999-8899
FAX: (561) 999-8810
Douglas A. Boehm
June 6, 2000

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET: 8
If THERE AfR ANY PROELEMS WITH THIS FACSIMILE TAANSMISSION, OR IF YOU have NOT received ALL OF THE PAGES, PLEASE CALL 414/297-6444,
CONRDENTLADTY NOTICE: THE NFODRMATION CONTANED IN THIS FACSIMILE MESSAGE IBINTENDED ONLY FOA THE PERSONAL
AND CONFIDENTAL USE OF THE DESIGNATIT REC[IENTS NAMED ABOV/G. THIS MEBSAGE MAY GE AN ATTORNEY-CLIENT
COMMUNICATION, AND AS SLCH IS PFIVLEGED AND CONFIDENTIAL. IF YHE READEF OF THS MESEAGE IS NOT THE INTENDBC
RECIPIENT OR ANY AGENT RESPONGILLE FDR DELVERING IT TO THE INTENDED FECIPGENT, YOU ARE HEAEEY NOTIFED THAT YOU
have recelved this message in errof, and that any review, dissemination, distrubution, of copming of this
message is strictly prohfelted. If you hava received this message in error, pleage notify us immediately by
TELEPHONE ANO AITTURN THE GRIGINAL MESSAGE TO US EY MAILL THANK YOU.

Brian, Here is your copy of what was just faxed to Mr, Lewis S. Meltzer.
\(\qquad\)

FRESTAR CENTER 777 EAST WSCONSIN AVENUE MLWAUKEE, WSCONGIN E32O要-53e7 THEPHONE (4 14) 271.2400 FACSIMILE (4|4) 2974900

\author{
Yia Facsimile
}

June 6, 2000

\author{
Mr. Lewis S. Meltzer \\ Meltzer, Lippe, Goldstein \& Schlissel, P.C. \\ 190 Willis Avenue \\ Mineola, New York 11501
}

Re: Transfer of IP files for IVIEWIT.COM
Dear Mr. Melzer:
As you may recall, I am the patent attorney at Foley \& Lardner that is currently handling the Iviewit.com IP matters that were previously handled by Ray Joao of your firm.

I recently received the following correspondence from your firm: (1) the original Assigament recorded in the United States Patent and Trademark Office (USPTO) for your Docket No. 5865-8 (U.S. Provisional Patent Application No. 60/169,559); and (2) the USPTO Filing Receipt (copy attached) for your Docket No, \(5865-1\) for Application No. \(09 / 522,721\). Although I sincerely appreciate your firm's diligence in continuing to forward Iviewit materials to me, this latest correspondence raises some very serious issues with respect to the Iviewlt.com IP matters that were supposed to have been transferred to Foley \& Lardner.

I was not previously told about this U.S. Non-Provisional Application being filed (item 2 above). It does not appear in any of the correspondence previously sent to Foley \& Lardner. This raises the question of exactly what was filed in the U.S. Patent and Trademark Office, since I do not have a copy of any filing papers for this application. Was a U.S. Declaration filed? What specification and claims were filed? Was an Assignment filed for this application? I must have this information in order to take over prosecution of this application.

More importantly, however, this raises the question of whether any other provisional or non-provisional applications bave been filed in the United States or any other country. Both the client, Brian Utley, President of Iviewit.com, and myself have previously asked your firm to transfer all of the Iviewit. com Intellectual Property files to me. (See attached letter to you dated April 28, 2000.) When the files that were sent to me were incomplete, I sent an e-mail (copy atrached) to Dawn Laffin of your firm, asking her to look for other Iviewit matters. I subsequently requested that Nicole, Ray's former secretary,

\section*{Foley \& LARDNER}

Mr. Lewis S. Melter
June 6, 2000
Page 2
double-check that there were no other miscellaneous files that were not on the list of applications (also attached). Now I find out that, after three or four separate requests, all of the Iviewt patent matters were not transferred to us.

I formally request that you have your firm's Docket Administration Department review all of Ray Joan's files to ensure that all of the Iviewiticom materials have been transferred to me. Please forward all letters, memorandums, faxes, e-mails, notes, CD's, disks, and other correspondence between Iviewit.com and your firm, and between any third parties and your firm on behalf of Iviewit.com. It is particularly important that I know which patent applications were filed and what correspondence was submitted to the U.S. Patent \& Trademark Office before the expiration of a critical date. Otherwise, the client could possibly lose patent rights. Please confirm that the attached "Iviewit.com Patent Portfolio" table, which lists the patent applications filed for Iviewit.com by your firm, is accurate and complete.

I also request that you contact Ray Joan to confirm which applications were filed in what countries and whether or not Ray has any additional Iviewit correspondence or materials that were not transferred to Foley \& Lardner.

Furthermore, the client requests that I obtain a written confirmation from both you and Ray that all files, materials, and correspondence have been transferred to Foley \& Lardner.

Please confirm receipt of this facsimile and let me know that these matters will be handled promptly and appropriately.

Very truly yours,


Douglas A. Boebm
Enclosure (s)
cc: Mr. Brian Utley, Iviewit.com

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT SECRETARYAND
COMMSSGNER CF PATENT AND TRADEMARKS
Washington, D.C. 20231
\begin{tabular}{|c|c|c|c|c|c|c|c|}
\hline application nlmmer & FILNG DATE & GRP ART UNTT & FIL FEE REC'D & ATTY.DOCKET.NO & DRAMINGS & CLATME & NDC CLAMS \\
\hline 09/522,721 & 03/10/2000 & 2722 & 354 & 5865-9 & 4 & 21 & 3 \\
\hline
\end{tabular}

Raymond A Joao Esq
Meitzer Lippe Goldstein \& Schlissel PC
The Chancery
190 Willis Avenue
Mineola, NY 11501

Date Mailed: 05/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the \(U, S\). APPLICATION NUMBER, FILING DATE, NAME OF APFLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Recelpt, plaase write to the Office of initial Patent Examinatlon's Customer Service Cenier. Please provide a copy of this Fling Recelpl with the changes noted therson. If you recelvad a "Notica to File Missing Parta" for this application, pleate submit any corrections to this Filing Recelpt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filling Receipt incorporating the requested corrections (if approprlate).

Applicant(s)
Eliot I Bernstein, Boca Raton, FL;
Continuing Data as Claimed by Applicant
THIS APPLN CLAIMS BENEFIT OF 60/125,824 03/24/1999

Forelgn Applications

If Required, Foreign Filing License Granted 05/09/2000
** SMALL ENTITY **

Title
Apparatus and method for producing enhanced digltal Images
Prellminary Class
356
Data entry by : KING, DORIS
Team : OIPE
Date: 05/10/2000


\section*{Foley \& LARDNER}

CHICAGO
DENVER JACKSONVILE LOG ANGELES MA더SON MilWAUKEE ORLANDO

April 28, 2000

Mr. Lewis S. Meltzer
Melter, Lippe, Goldstein \& Schlissel, P.C.
190 Willis Avenue
Mineola, New York 11501
Re: Transfer of IP files for IVIEWIT.COM
Dear Mr. Meltzer:
As you are aware, Mr. Brian Utey, President of IVIEWIT.COM, LLC, has requested in a previous letter that all Intellectual Property files for NVEWTT.COM be transferred from Meltzer, Lippe to Foley \& Lardner. Please have the files forwarded to me at the above address as soon as possible. Upon receipt of the IVIEWIT.COM files, Foley \& Lardner will be responsible for taking action in these matters.

These Intellectual Property files include the eight provisional patent application matters listed on the attached sheet, as well as any other Intellectual Property matters that may be missing from this list. Furthermore, I would sincerely appreciate it if you could include copies on disk of the electronic word processing files for these matters, so the eight patent applications wont have to be retyped. Any electronic word processor format will suffice.

Of course, if any questions or problems arise, please do not hesitate to contact me. I thank you in advance for your cooperation.

Very truly yours,


Douglas A. Boehm
Attachment

\author{
cc: Ms. Nicole Pinon, Meltzer, Lippe \\ Mr. Brian Utley, IVIEWIT.COM
}

\section*{Patent Applications}
\begin{tabular}{|c|c|c|c|c|}
\hline Docket No.
5865-1 & \begin{tabular}{l}
Serial No. \\
60/123,824
\end{tabular} & \begin{tabular}{l}
Title \\
Apparams and mechod for producing enhanced digital Irasges
\end{tabular} & \begin{tabular}{l}
Date Filed \\
d Marcil 24, 1999
\end{tabular} & \begin{tabular}{l}
Dare Assigned \\
August 5, 1999
\end{tabular} \\
\hline -5865-3 & 60/137,297 & Apparatus and method for producing enhaneed video images & June 3, 1999 & August 5, 1999 \\
\hline \(\checkmark\) 5869-4 & 60/137,291 & Apparatus and method for playing video files across the Internet & June 7, 1999 & August 5, 1999 \\
\hline \(\checkmark\) 3865-4.1 & 60/141,440 & Apparaus and method for providing and/or for transmitting video data and/or information in a communicalion network & June 29, 1999 & Not Filed \\
\hline \(v^{5865-5}\) & 60/149,737 & Apparatus and melhod for producing enhanced digital images and/or digital video Altes & August 19. 1999 & Not Filed \\
\hline \(\checkmark\) - 5865-6 & 60,146,726 & Apparatus and melhod for producing enhanced digital images & August 2, 1999 & Not Filed \\
\hline \(\checkmark\) 5865-7 & 60/155,404 & Apparatus and method for producing enhanced video images and/or video files & Seplember 22,
1999 & Not Filed \\
\hline \(\checkmark 5865.8\) & 60/169,559 & Apparans and method for producing enhanced video images and/or video files & cember 8, 1999 & Not Filed \\
\hline
\end{tabular}

\section*{Boohm, Douglas A.}
\begin{tabular}{ll} 
From: & Boehm, Douglas A. \\
Sont: & Tuesday, May og, \(20005: 28\) PM \\
Toi: & 'dlaffingmig.oom' \\
subject: & Iviewt.comFiles
\end{tabular}

Dawn -
As i mentioned on the telephone this aflemoon, I received your Federal Express package this morning containing the Melizer, Lippe flles for Iviewit.com. The package contained 7 folders corresponding to your dooket numbers 5885-1,3,4,4.1,5,6, and 7. However, the file folder for your docket no. \(5865-8\) is miseing. Furthermore, not all of the paperwork for the PCT appllcation (your dooket no. 5865-10) was inciuded in the first file 5865-1 (whioh fo the PCT's parent case), Is there a 5865-10 fle also?

During our phone conversation, you agreed to review your docket and files for 5865-8, 5865-10, and any other 5865 matters for Iviewit.com tomorrow, and forward these files to me right away,

Thanka for your assistance.
-Doug
Douglas A. Boehm
Folay \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
Tel: (414)297-5718
Fax:(414)297-4900
Emall: daboehm@foleylaw.com


 malartal from any compuiar.
IVIEWIT.COM PATENT PORTFOLIO
\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|}
\hline \[
\begin{array}{|l|l}
\text { Ref. } \\
\text { No. }
\end{array}
\] & \[
\begin{gathered}
\text { FKiL } \\
\text { Dkt. No. }
\end{gathered}
\] & \[
\begin{gathered}
\text { MLG } \\
\text { Dkt No. }
\end{gathered}
\] & Country (Type) & Appl. No. & Flling Date & Application Title & Inventor(s) & Assignee & No. Appl. Pgs/Shts & Comments \\
\hline 1 & 57103/102 & 5865-1 & \[
\begin{aligned}
& \text { U.S. } \\
& \text { (Provisional) }
\end{aligned}
\] & 60/125,824 & 3/24/1999 & Apparatus and Method for Producing Enhanced Digital Images & Eliot 1. Bemstein & Iviewit Holdings, Inc. & 15/4 & \\
\hline 2 & 57103/103 & 5865-3 & U.S. (Provislonal) & 60/137,297 & 6/03/1999 & Apparatus and Method for Producing Enhanced Video Images & Eliot J. Bernstein & Iviewt Holdings, Inc. & 1\% & \\
\hline 3 & 57103/104 & 5865-4 & \begin{tabular}{l}
U.S. \\
(Provisional)
\end{tabular} & 60/137,921 & 6607/1999 & Apparatus and Method for Playing Video Files Across the Internet & Eliot 1 Bemstein & Iviewit Holdings, Inc. & 1\% & \\
\hline 4 & 57103/105 & 5865-4.1 & \[
\underset{\text { U.S. }}{\text { (Provisional) }}
\] & 60/141,440 & 6/29/1999 & Apparatus and Method for Providing andfor Transmitting video Data and/or information in a Communication Network & Eliot 1. Bernstein & Iveewit Holdings, Inc. & 25/2 & \\
\hline 5 & 57103/106 & 5865-6 & U.S. (Provisional) & 60/146,726 & 8\%2/1999 & Apparatus and Method for Producing Enhanced Digital Images & Eliot I. Bernstein & Jviewit Holdings, Inc. & \(18 / 4\) & \\
\hline 6 & 57103/107 & 5865-5 & \begin{tabular}{l}
U.S. \\
(Provisional)
\end{tabular} & 60/149,737 & 8/19/1999 & Apparatus and Method for Producing Enhanced Digital Inages and/or Digital Video Files & \[
\begin{gathered}
\text { Eliot I. } \\
\text { Bernstein }
\end{gathered}
\] & Iviewit Holdings, Inc. & \(21 / 4\) & \\
\hline 7 & 57103/108 & 5865-7 & U.S. (Provisional) & 60/155,404 & 9122/1999 & Apparatus and Method for Producing Enhanced Video Images and/or Video Files & Eliot I. Bernsteln & Ivlewit Holdings, Inc. & \(29 / 4\) & \\
\hline 8 & 57103109 & 5865-8 & U.S. (Provisional) & 60/169,559 & 12/08/1999 & Apparatus and Method for Producing Entranced Video Images anc/or Video Fijes & Eliot 1. Bemstein & Iviewit Holdings, Inc. & 47/5 & \\
\hline 9 & 57103/110 & 5865-10 & PCT
(International) & PCTASSOO/
07772 & 3/23/2000 & Apparatus and Method for Producing Enhanced Digital Images & Eriot I. Bernstein & & 14/4 & ins Pricrity 0/125,824 4/99 (1102) \\
\hline
\end{tabular}
516.747 .0653
\(3 / 9 / 2000\)


Ray, there are major missing items in docket's 1+6:
1. Claims do not reference stitching
2. Process is ammended as phonon on the diagram
3. The relationship between the enhanced digital image and the zoom and pan function together with couctrels is not clear
4. The dexiption and claims stop at the production and Loading of the iniage.
5. Object model

\section*{Becker, Steven C.}

From:
ent:
To:
Cc:
Subject:

Becker, Steven C.
Monday, July 24, 2000 4:44 PM
Eliot I. Bernstein (E-mail); Brian G. Utley (E-mail)
Boehm, Douglas A.
PCT Patent Application for "Zoom and Pan" tmaging

Re: PCT Patent Application for System and Method for Providing an
Enhanced Digital Image File
Inventor: Bernstein
Our Ref. No.: 57103/120
Brian:
During our brief telephone conversation today, you provided a few comments in response to my letter to you dated Ju 2000. These comments were based on your review of the prior provisional applications, and are summarized below.
1. The step of "enlarging" is not essential for all embodiments of the invention.
2. The aspects of zooming and panning, and the function of the applet must be described in greater detail.
3. The disclosure relating to acquiring a photograph of a film video should be removed. However, the disclosure relat hg to processing one frame of a video according to the process steps of the invention should be retained.
4. In the provisional patent application having our reference number 57103/108, the flowchart in FIG. 2A does not mat in the corresponding description in the specification. Correction is needed
5. Again, in the application for \(57103 / 108\), the claims in their current form may not be of the proper scope and should t revised.
5. Yoücommented that the prior-filed PCT applications relating to efinanced video files did not specifically mention , stential applications in radiodraphic images, X-rays, MRIs, etc. 'Regardless of whether these specific applications are whe supported, additional subiect matter cannot be introduced to the prior-filed PCT applications uniess additional patent applications are filed. Please advise if you would like us to file patent applications directed to these specific application.

We discussed the possibility that the provisional applications currenty on fite may not provide sufficient disclosure to support all of the claims we may eventually want to file in the PCT patent application we are currently preparing and, therefore, the sale of images using this process-in sepmer, fesf itiay bar patentabity in some foreign countries. instructed us to proceed with the PCT fliing to preserve whichever foreign filing rights are avaiiable.
Accordingly, comments \(1-5\) will be incorporated in the above-referenced PCT patent application questions or comments, please do not hesitate to contact me.

\section*{Steve Becker \\ Foley \& Lardner \\ (414)297-5571}

NOTE: The information transmitted in this correspondence is intended only for the person or entity to which it is addressed an may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you receive thi correspondence in error, please contact the sender and delete the material from any computer.
```

    CORRECTED VERSION - CORRECTED ON 5/14/2003
            Transcription of Telephone Conference
                    Conducted July 31, 2000
                                    Farticipants:
    Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum, Brian Utley, Doug Boehrn, Chris Wheeler

```

Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

\footnotetext{
Utley:
<begins midstream>...status of the original digital image filings, and basically the fact that the original filings do not cover the full subject matter of the imaging technology; and to wit, one of the omissions, in particular in reading the claims section of the provisional and the formal filing, relates to the zooming and panning capability that is inherent in the technology. This has become a topic due to the fact that we are currently in the second phase of filing imaging patent protection which is driven by the provisionals that were filed later last year, between August and December of last year. So the concern that were expressed by Eliot in reviewing this is that this omission of the zooming and panning capability was attributable to a failure, for whatever reason, on the part of Ray Joao, the patent attorney of record, in constructing and putting together the provisional and formal filing<tape cuts out here> did I say it is that right Eliot
E Bernstein I believe so
Utley Is that your understanding
E Bernstein Correct
Utley The purpose of this meeting is to review the facts and I think there are two particular points that are
...that are important to moving ahead. The first is: "Given that the filings are what they are, and given what we know about the filing which is scheduled to take place this week on Wednesday, what means do we have to correct the situation; and given whatever corrections we find, what then is the impact or exposure to iviewit based upon what actions we can take. Then, lastly, what, if any, recourse might iviewit have vi savithe omissions in the original filings Are there any other issues, Doug?
Bernstein: Yeah, Just correcting back to Ray Joao's work of the formal filing that he filed. Do we have a copy of that?
}

\section*{UNITED STATES PATENT APPLICATION}
for

\section*{SYSTEM AND METHOD FOR}

\section*{PLAYING A DIGITAL VIDEO FILE}


FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

\section*{TITLE OF THE INVENTION}

\section*{SYSTEM AND METHOD FOR PLAYING A DIGITAL VIDEO FILE}

\section*{CROSS-REFERENCE TO RELATED APPLICATIONS}

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S.

Provisional Application No. 60/169,559, filed December 8, 1999 and PCT international Application No. \(\qquad\) , filed June 2, 2000.

\section*{FIELD OF THE INVENTION}

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

\section*{BACKGROUND OF THE INVENTION}

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection le.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

\section*{EXHIBIT H}

US PATENT 09/587,026
\begin{tabular}{l}
\(\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\|\) \\
Bib Data Sheet \\
\begin{tabular}{|c|c|c|c|c|}
\hline SERIAL NUMBER \\
09/587,026
\end{tabular} \\
\begin{tabular}{c} 
FILING DATE \\
06/05/2000 \\
RULE
\end{tabular} \\
\hline
\end{tabular}

\section*{APPLICANTS}

Eliot I. Bernstein, Boca Raton, FL:
Zakirul A. Shirajee, Baco Raton, FL;

\section*{CONTINUING DATA}

THIS APPLN CLAIMS BENEFIT OF 60/137,297 06/03/1999
WHICH CLAIMS BENEFIT OF \(60 / 155,404\) 09/22/1999
WHICH CLAIMS BENEFIT OF 60/169,559 12/08/1999
** FOREIGN APPLICATIONS ******************

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 08/04/2000


ADDRESS
Steven C Becker
Foley \& Lardner
Firstar Center
777 East Wisconsin Avenue
Milwaukee ,WI 53202-5367
TITLE
System and method for playing a digital video file
\begin{tabular}{|c|c|c|}
\hline \multirow{6}{*}{FILING FEE RECEIVED 474} & \multirow{6}{*}{FEES: Authority has been given in Paper No. \(\qquad\) to charge/credit DEPOSIT ACCOUNT No. \(\qquad\) for following:} & All Fees \\
\hline & & 1.16 Fees (Filing) \\
\hline & & \(\qquad\) time) \\
\hline & & 1.18 Fees ( Issue) \\
\hline & & \(\square\) Other \\
\hline & & \(\square\) Credit \\
\hline
\end{tabular}

\section*{THE U.S. PATENT \& TRADEMARK OFFICE OFFICIAL MAILROOM STAMP AFFIXED HERETO, ACKNOWLEDGES RECEIPT OF THE ITEMS CHECKED BELOW:}

Serial No.:
Applicant: Bernstein et al.
Filing Date:
Title: System and Method for Flaying a Digital Video Fib
1. 1 Patent Application


Drawing (s) 3 shade (r)
[1 Check \(\qquad\) No.: (Inf. 1 \(\qquad\)
[ \(\triangle 1\) Declaration \& Power of Attorney (Unexecuted)
1.] Extension of Time (duplicate)
| I Preliminary Amendment
[ ] Amendment
1-] Amendment After final Rejection
[ \(\div\) Issue Fee (Base and/or Balance)
[ ] Letter to Official Draftsman
[ ] Small Entity Statement
[ ] Assignment/Fee \& Form PTO No. '
[..] Trademark Application
[ \(\because\) ] Form PTO No. 1449 \& cited references
Application Transmittal


FOREIGN FILING
FR 6
FER 8
FIR 11
OUSE 2000

FED
05 MY 2001
INITIALS


DATE



\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}

\section*{Applicant: Bernstein et al.}
Title: \(\quad\)\begin{tabular}{l} 
System And Method For Playing \\
\\
\\
A Digital Video File
\end{tabular}

Appl. No.: Unknown
Filing Date: Unknown
Examiner: Unknown


Art Unit: Unknown

\section*{UTILITY PATENT APPLICATION \\ TRANSMITTAL}

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231
Sir:

Transmitted herewith for filing under 37 C.F.R. § \(1.53(b)\) is the nonprovisional utility patent application of:

\begin{tabular}{|l|}
\hline ellof \\
Note \\
Jude Rosariois missing
\end{tabular}

Enclosed are:
[ X ] Specification, Claim(s), and Abstract (29 pages).
[ X] Informal drawings (3 sheets, Figures 1-3).
I X ] Unexecuted Declaration and Power of Attorney (4 pages).
[ ] Assignment of the invention to Iviewit.com, Inc..
[ ] Assignment Recordation Cover Sheet.
[ ] Check in the amount of \(\$ 40.00\) for Assignment recordation.
] Small Entity statement.
[ ] Information Disclosure Statement.
[ ] Form PTO-1449 with copies of \(\qquad\) listed reference(s).

The filing fee is calculated below:

[ ] A check in the amount of \(\$ 798.00\) to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5571
Facsimile: (414) 297-4900

Respectfully submitted,


\section*{DECLARATION AND POWER OF ATTORNEY}

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe! am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

\section*{System And Method For Playing A Digital Video File}
(Attorney Docket No. 57103/115)
the specification of which (check one)
X is attached hereto.
- was filed on \(\qquad\) as United States Application Number or PCT International Appiication Number \(\qquad\) and was amended on \(\qquad\) (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one vear prior to the filing date of this United States application:

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application:

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

1 HEREBY CLAIM foreign priority benefits under Title 35, United States Code \(\S 119\) (a)-(d) or \(\S 365(b)\) of any foreign application(s) for patent or inventor's certificate, or \(\$ 365(a)\) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.


1 HEREBY CLAIM the benefit under Title 35, United States Code \(\$ 119(\mathrm{e})\) of any United States provisional application(s) listed below.
\begin{tabular}{|c|c|}
\hline U.S. Provisional Application Number & Filing Däte \\
\hline & \\
\hline & \\
\hline
\end{tabular}

1 HEREBY CLAIM the benefit under Title 35 , United States Code, \(\$ 120\) of any United States application(s), or \(£ 365(c)\) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, \(\$ 112\), 1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, \(\$ 1.56\) which became available between the filing date of the prior application and the national or PCT international filing date of this application.
\begin{tabular}{|c|c|c|c|}
\hline \begin{tabular}{c} 
U.S. Parent \\
Application Number
\end{tabular} & \begin{tabular}{c} 
PCT Parent \\
Application Number
\end{tabular} & \begin{tabular}{c} 
Parent \\
Filing Date
\end{tabular} & \begin{tabular}{c} 
Parent \\
Patent Number
\end{tabular} \\
\hline & & & \\
\hline & & & \\
\hline
\end{tabular}

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:
\begin{tabular}{lll} 
JOHN C. COOPER III & Reg. No. 26,416 \\
JEFFREY N. COSTAKOS & Reg. No. & 34,144 \\
WILLIAM J. DICK & Reg. No. & 22,205 \\
BARRY L. GROSSMAN & Reg. No. & 30,844 \\
PAUL. S. HUNTER & Reg. No. 44,787 \\
KATHERINE D. LEE & Reg. No. 44,865 \\
KEITH D. LINDENBAUM & Reg. No. 40,365 \\
DAVID G. LUETTGEN & Reg. No. 39,282 \\
FICHARD J. MC KENNA & Reg. No. 35,610 \\
JAMES G. MORROW & Reg. No. 32,505 \\
RICHARD B. O'PLANICK & Reg. No. 29,096 \\
TODD A. RATHE & Reg. No. 38,276 \\
MICHAEL D. RECHTIN & Reg. No. 30,128 \\
CHRISTOPHER M. TUROSKI & Reg. No. 44,456 \\
JAMES A. WILKE & Reg. No. 34,279 \\
JOSEPH N. ZIEBERT & Reg. No. 35,421 \\
WALTERE. ZIMMERMAN & Reg. No. & 40,883 \\
\hline
\end{tabular}
and I request that all correspondence be directed to:
Steven C. Becker
FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin \(53202-5367\)

Telephone: \(\quad\)\begin{tabular}{ll} 
Facsimile: & (414) \(297-5571\) \\
(414) \(297-4900\)
\end{tabular}

1 UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.
\begin{tabular}{lc} 
Name of first inventor & Eliot I. Bernstein \\
\cline { 2 - 3 } Residence & Boca Raton, Florida \\
\cline { 2 - 3 } Citizenship & USA \\
Post Office Address & 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432- \\
& 6080 \\
\hline
\end{tabular}

Inventor's signature
\begin{tabular}{ll} 
Name of second inventor & \multicolumn{2}{c}{ Zakirul A. Shirajee } \\
Residence & Boca Raton, Florida \\
Citizenship & Bangladesh \\
Post Office Address & -3485 Boca Cove Circle, \#708, Boca Raton, Florida \\
Inventor's signature & \\
Date &
\end{tabular}

\section*{UNITED STATES PATENT APPLICATION}

\section*{for \\ SYSTEM AND METHOD FOR}

\section*{PLAYING A DIGITAL VIDEO FILE}


FOLEY \& LARDNER

\section*{Attorneys at Law}

777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202

\section*{TITLE OF THE INVENTION}

\section*{SYSTEM AND METHOD FOR PLAYING A DIGITAL VIDEO FILE}

\section*{CROSS-REFERENCE TO RELATED APPLICATIONS}

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S.

Provisional Application No. 60/169,559, filed December 8, 1999 and PCT international Application No. \(\qquad\) , filed June 2, 2000.

\section*{FIELD OF THE INVENTION}

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

\section*{BACKGROUND OF THE INVENTION}

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection le.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

\section*{FoLEY \& LARDNER}

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EMALL ADDRESS
sbeckergtoleylaw.com

FRSTAR CENTEA 777 EAST WHSCONEIN AVENUE MILWAMMEE, WFTONSIN 53202-53ET TEEPHONE (414)271-2400



SACRAMENTS EAN DIEGO SAN FRANCISCO TALLAHARSEE ТААРРА WASHINGTON. D.C. WEST PALM BEACH

WTRTER; 5 PIREET EINE (414) 297-557l

Mr. Brian G. Utley
President
Iviewit.com, Inc.
Ore Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: U.S. Patent Applicati Tite: System And Inventor(s): Bernste Our Ref.: 57103/11
\begin{tabular}{|c|c|}
\hline eliot Note & \\
\hline This sent months after filing for review by inventors of first draft? Dicks VA Bar response said all imentors had time to review and sim. & al Video File \\
\hline
\end{tabular}

Dear Brian:
Enclosed please find the final draft of the above-referenced patent application which was filed with the U. S. Patent and Trademark Office on June 5, 2000 as Application No. 09/587,026. I have also enclosed various format papers which require the inventors' signatures.

Please have the inventors thoroughly read the patent application, including the specification, claims, and drawings, to ensure that it provides an accurate and complete description of the invention. The written description should be sufficiently complete to enable someone of ordinary skill in the art to make and use the invention. The application must describe the "best mode" contemplated by the inventors for carrying out the invention, i.e., in order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret. If, after review of the application, the inventors find that anything in the application is incomplete, inaccurate, untrue, or possibly misleading. please contact me immedjately so we can discuss the matter. If any typographical, grammatical, or other minor formal errors are found, please have them noted in a separate memo to me such that I can correct them by amendment at a later date.

If everything appears to be in order, please bave the inventors read, sign, and date the Declaration and Power of Attorney form at the colored tags. By executing this document, they will be verifying the originality of their invention and acknowledging their duty to disclose to the U.S. Patent and Trademark Office any information of which they are

Mr. Brian G. Utley
July 27, 2000
Page 2
aware that could be material to the examination of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or coworker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Note that the Declaration is signed under penalty of perjury, so careful consideration should be paid to its contents before signing.

Each inventor should also read, sign, and date the enclosed Assignment and Agreement, which must be executed in the presence of a Notary Public. By executing the Assignment, they will be acknowledging their obligation to Iviewit Holdings, Inc. by assigning all their rights in the invention to the company.

After the inventors execute the formal! papers, please return the fully executed documents to me as soon as possible. You may keep the copy of the patent application for your records.

Now that the patent application is on file, any device covered by the claims of the application may be marked "Patent Applied For" or "Patent Pending" or an abbreviation thereof. This "Patent Pending" marking is not mandatory, as it only serves as a cautionary warning to would-be competitors that the device may be subject to patent protection in the future. However, this marking should be continued only as long as the application is still pending in the United States Patent and Trademark Office. If the application issues as a patent, the appropriate patent number should replace this marking. If the application should become abandoned and this is the only application pertinent to the device, then the marking should be eliminated entirely.

If you have any questions regarding any of the above, please do not hesitate to contact me.


Very truly yours,


Douglas A. Boehm
Enclosure (s)

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Tradenark Office
Address: COMMISSIONER OF PATENT AND TRADEMARKS Washinglon, D.C. 20231
\begin{tabular}{|c|c|c|c|}
\hline APPLICATION NUMBER & FILING/RECEPT DATE & FRRST NAMED APPLICANT & ATTORNE Y DOCKET NUMBER \\
\hline 09/587,026 & 06/05/2000 & Ehot I. Bernstein & 57103/115 \\
\hline
\end{tabular}

Steven C Becker
Foley \& Lardner
Firstar Center
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367
NOLEY \& LARDNER Date Mailed:

FILED UNDER 37 CFR 1.53(b)
Filing Date Granted
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).
- The statutory basic filing fee is missing.

Applicant must submit \(\$ 690\) to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \(\mathbf{\$ 1 0 8}\).

The oath or declaration is unsigned.
- To avoranuaturnititatutming ee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \(\$ 130\) for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \(\$ 928\).

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE


Atty. Dkt. No. 57103/115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicant: Bernstein et al.
Title: \(\quad\) System and Method for Playing a Digital Video File

Appl. No.: 09/587,026
Filing Date: 6/05/2000
Examiner: N/A

Art Unit: 2771

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.


\title{
TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION
}

Commissioner for Patents
Washington, D.C. 20231
\begin{tabular}{|c|}
\hline \begin{tabular}{l}
ellot \\
Note
\end{tabular} \\
\hline These are signed on duly 31 and sent an 10/300?? \\
\hline
\end{tabular}

Attn: BOX MISSING PARTS
Sir:
In response to the Notice to File Missing Parts of Application mailed on August 4, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

\section*{[ X ] Declaration and Power of Attorney (4 pages) enclosed}
[ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
[ X ] Applicant is entitled to Small Entity status
[ X ] Check in the amount of \(\$ 474.00\) in payment of \(\$ 355.00\) Basic filing fee, \$54.00 additional total claims fee, \(\$ 65.00\) late filing fee (37 C.F.R. § \(1.16(\mathrm{e})\) ) enclosed
[ ] Please charge Deposit Account No. 06-1447 in the amount of \(\qquad\) in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. \(\S \S\) 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise

\section*{DECLARATION AND POWER OF ATTORNEY}

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration of the subject matter which is claimed and for which a patent is sought on the invention entitled

\author{
System And Method For Playing A Digital Video File
}
(Attorney Docket No. 57103/115)
the specification of which (check one)
```

_ is attached hereto.

```
\(\qquad\)
``` was filed on June 5, 2000 as United States Application Number 09/587,026.
```

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application:

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT 1 believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $\$ 1.56$.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\S 119$ (a)-(d) or $\S 365(\mathrm{~b})$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(\mathrm{a})$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Nurmber | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| U.s. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 137,297$ | June 3, 1999 |
| $60 / 155,404$ | September 22, 1999 |
| $60 / 169,559$ | December 8, 1999 |

1 HEREBY CLAIM the benefit under Title 35, United States Code, $£ 120$ of any United States application(s), or $\$ 365$ (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Titie 35, United States Code, 5 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, \& 1,56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  | PCT/US00/15406 | June 2, 2000 |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM
EDWARD W. BROWN
CHARLES G. CARTER
ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg. No. 35,093
Reg. No. 44,603

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. 39,282 |  |
| RICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. | 32,505 |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. 40,883 |  |

and $I$ request that all correspondence be directed to:
Steven C. Becker
FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin $53202-5367$

Telephone: (414) 297-5571
Facsimile: $\quad$ (414) 297-4900
1 UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


| Name of second inventor | Zakirul A. Shirajee |
| :--- | :--- |
| Residence | Boca Raton, Florida |
| Citizenship | Bangladesh |
| Post Office Address | D485 Boca Cove Circle, \#708, Boca Raton, Florida |
| Inventor's signature | Date |

## U.S. PATENT APPLICATION

for

## SYSTEM AND METHOD FOR PROVIDING AN

 ENHANCED DIGITAL IMAGE FILE

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a continuation-in-part of U.S. Patent Application No. 09/522,721, filed March 10, 2000, which claims the benefit of priority from U.S. Provisional Application No. $60 / 125,824$, filed March 24, 1999. The present application also claims the benefit of priority from U.S. Provisional Application Nos. 60/146,726, filed August 2, 1999, 60/149,737, filed August 19, 1999, 60/155,404, filed September 22, 1999, and 60/169,559, filed December 8, 1999.

FIELD OF THE INVENTION
The present invention is directed to a system and a method for producing enhanced digital images and, in particular, to a system and a method for producing enhanced digital images having improved resolution for zooming and/or panning within a single file.

## BACKGROUND OF THE INVENTION

In the field of digital imaging, the primary design challenge is that the viewer desires ideal image quality delivered to the viewer's display system. In a limited-bandwidth network, such as the Internet, it is important to transfer the image data in a reasonable amount of time. However, ideal image quality requires an enormous amount of digital data. Today's networks are not capable of transferring an ideal digital image in a reasonable time.

It is known that one can view a digital image on a display screen and "zoom" (i.e., magnify a portion of an image and

## EXHIBIT H

US PATENT 09/630,939


Date Mailed: 09/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16 (e) of $\$ 130$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\mathbf{\$ 1 3 0}$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| $09 / 630,939$ | $08 / 02 / 2000$ | Eliot I. Berstein | $57103 / 121$ |

#  

Foley \& Lardner
*OC000000005438065*

## Firstar Cneter

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted
An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

The oath or declaration is unsigned.

- To avoid abandonment a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 130$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ 130$.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

United States Patent and Trademark Office
COMMISSIONER FOR PATENTS Unite o States Patent and Trademark Office Washington, D.C. 20231 wwwlisplogor


FILING RECEIPT
Douglas A Boehm Foley \& Lardner


Firstar Cneter
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367

*OC000000005438064*

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


# LICENSE FOR FOREIGN FILING UNDER <br> Title 35, United States Code, Section 184 <br> Title 37, Code of Federal Regulations, $5.11 \& 5.15$ 


#### Abstract

GRANTED The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15 (a) unless an earlier license has been issued under 37 CFR 5.15 (b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14 .

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (0)); the Office of Foreign Assets Control, Department of Treasury ( 31 CFR Parts $500+$ ) and the Department of Energy.


## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, If a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the titie of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10 , your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Title: System and Method for Providing an Enhanced Digital Image File
Inventor(s): Bernstein et al.
Appl, No.: Unknown
Okt. No. 57103/121
DABO (8/2/00)

- Transmittal of Fatent Application (2 pgs.):
- Patent Application Specification (20 pgs.);
- Informal Drawings ( 7 shets: Figures 1.7): 30
- Check Number $\$ 511344$ for $\$ 888.00$.

Assistant Commissioner for Patents:
Please acknowledge receip
docurnents by applying the $u$. Peipt of the above-identified receipt stamp hereto and mailing this card Trademark Office

Respectiully, Foley \& Lardner



[^28]| Applicant: | Bernstein et al. |  |
| :---: | :---: | :---: |
| Title: | System and Method for Providing an Enhanced Digital Image File | CERTIFICATE OF EXPRESS MALLING <br> I hereby certify thet this corfespondence is being deposited with the United States Postal Service"s "Express Mail Post Office To Addresses service under 37 C.F.R. \& 1.10 on the date indicated below and is addressed to: Assialant Commissioner for Patents. Washington, D.C. 20231. |
| Appl. No.: | Unknown | EL470736521US $8 / 2 / 00$ <br> (Express Mail Lobel Number) [Date of Daposit) |
| Filing Date: | Unknown | Douglas A. Boehm |
| Examiner: | Unknown | Printed Namel <br> Jorglas a Bceham |

## Art Unit: Unknown

## UTILITY PATENT APPLICATION TRANSMITTAL

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231
Sir:
Transmitted herewith for filing under 37 C.F.R. § $1.53(\mathrm{~b})$ is the nonprovisional utility patent application of:

Eliot I. Bernstein<br>Brian G. Utley

Enclosed are:
[ X] Specification, Claim(s), and Abstract ( 30 pages).
[ X ] Informal drawings (7 sheets, Figures 1-7).
$\longrightarrow$ [ X] Unexecuted Declaration and Power of Attorney (4 pages).
$[$ ] Assignment of the invention to lviewit.com, Inc..
[ ] Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
$\longrightarrow$ [ ] Small Entity statement.
[ ] Information Disclosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed reference(s).

The filing fee is calculated below:

[ X ] A check in the amount of $\$ 888.00$ to cover the filing fee is enclosed.
[ ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ $\mathbf{X}$ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S 1.16-1.17$, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

# U.S. PATENT APPLICATION 

for

# SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE 

Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FLORIDA 33432<br>Citizenship: U.S.<br>Brian G. Utley<br>1930 SW $8^{\text {th }}$ Street<br>Boca Raton, FLORIDA 33486<br>Citizenship: U.S.

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, 1 HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor lif only one inventor is named below) or an original, first, and joint inventor (if plural irventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System and Method for Providing an Enhanced Digital Image File
(Attorney Docket No. 57103/121)
the specification of which (check one)
X is attached hereto.
__ was filed on ___ as United States Application Number or PCT International Application Number ____ and was amended on ____ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before ! (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Requlations, $\mathbf{5 1 . 5 6 .}$

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\$ 119(\mathrm{a})$-(d) or $\$ 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :---: | :---: | :---: | :---: | :---: |
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I HEREBY CLAIM the benefit under Titie 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 125,824$ | $3 / 24 / 1999$ |
| $60 / 146,726$ | $8 / 2 / 1999$ |
| $60 / 149,737$ | $8 / 19 / 1999$ |
| $60 / 155,404$ | $9 / 22 / 1999$ |
| $60 / 169,559$ | $12 / 8 / 1999$ |

I HEREBY CLAIM the benefit under Title 35, United States Code, $\$ 120$ of any United States application(s), or \& 365 (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, 5 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37. Code of Federal Regulations, $\$ 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Fling Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
| $09 / 522,721$ |  | $3 / 10 / 2000$ |  |
|  |  |  |  |
|  |  |  |  |

1 HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL S. HUNTER | Reg. No. | 44,787 |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. | 39,282 |
| RICHARD J. MC KENNA | Reg. No. | 35,610 |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| RICHARD B. O'PLANICK | Reg. No. 29,096 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be directed to:

Douglas A. Boehm<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue Milwaukee, Wisconsin 53202-5367<br>Telephone: $\quad(414) 297-5718$<br>Facsimile: (414) 297-4900

1 UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Name of first inventor | Eliot I. Bernstein |
| :--- | :--- |
| Residence | Boca Raton, Fiorida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432- |
|  | 6080 |

Inventor's signature
Date
O-

| Name of second inventor | Brian G. Utley |
| :--- | :--- |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 1930 S.W. 8th Street, Boca Raton, Florida 33486 |
| Inventor's signature |  |
| Date |  |

United States Patent and Trademark Office

Douglas A Boehm
Foley \& Lardner
FORMALITIES LETTER

Firstar Cneter
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367

Date Mailed: 09/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted


Delia

An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fest oath or declaration surcharge as set forth in 37 CFR 1.16(e)

- The balance due by applicant is $\mathbf{\$ 1 3 0}$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
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THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System and Mathod for Providing an Enhanced Digltal Image File
(Attorney Docket No. 67103/121)
the specification of which (check one)


THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in eny country, before I (we) invented it;

THAT I do not know and do not believe that the same Invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

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THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Titie 37, Code of Federal Regulations, \$1.68.

I HEREBY CLAIM foreign priority benefits under Title 35 , United States Code $\$ 119(a)$-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or $\$ 365(\mathrm{a})$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international appllcation having a filing date before that of the application on which priority is claimed.

| Prlor Forsign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimedt | Certified <br> Copy <br> Attachod? |
| :---: | :---: | :---: | :---: | :---: |
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|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 36, United States Code § $119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provislonal Application Number | Fling Date |
| :---: | :---: |
| $60 / 125,824$ | $3 / 24 / 1999$ |
| $60 / 146,726$ | $8 / 2 / 1999$ |
| $60 / 149,737$ | $8 / 19 / 1999$ |
| $60 / 166,404$ | $9 / 22 / 1999$ |
| $60 / 169,659$ | $12 / 8 / 1999$ |

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| U.S. Parent <br> Applioatlon Number | PCT Parent <br> Applicatlon Number | Parent <br> Fllng Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
| $09 / 522,721$ |  | $3 / 10 / 2000$ |  |
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| JOHN C. COOPER Ilk | Reg. No, | 26,416 |
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| Name of first inventor | Eliot 1. Bernstein |
| :---: | :---: |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432 |
| Inventor's signature |  |
| Date |  |
| Name of second Inventor | Brian G. Utley |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 1930 S.W. 8th Street, Boca Raton, Florida 33486 |
| Inventor's signature |  |
| Date $\qquad$ | $12129100$ |

## DECLABATION AND POWER OF ATTORNFY

As a bolow named inventor，（ HEREBY DECLARE：
THAT my residenae，post office address，and citizenship are as stated below next to my name：

THAT I beliave I am the original，first，and sole inventor if only one inventor is namad below）or an original，first，and joint inventor（if plural inventors are named aalow or in an attached Deciaration）of the subject matter which is claimed and for which a patent is sought an the invention entitied

System and Method for Providing an Enhanced Digital Image Flle
（Atcornoy Docket No． $57103 / 121$ ］＿＿＿＿
the specifioation of which（chack one）
Is attached hereto．
Was filed on 8／2／2000 as United States Appliagtion Number or
PCT Internatlonal Application Number 09／830．939 and was
emended on＿（t applicable）．

THAT I do not know and do not believe that the same invention was ever known of used by others in the United States of Amerlca，or was patented or described in any printed publication in any country，before I（we）Invented it；

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Page 1 of 4

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THAT \& acknowiadge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentabillty as defined in Titie 37, Code of Pederal Regulations, 51.56.

I HEREEY CLAlM foreign phority beneftrs under Titla 36, Unized Stetes Code $\$ 119(\mathrm{a})$-(d) ar 5365 (b) of any foreign application(s) for patent or inventor's certficete, of $5365(a)$ of any PCT internetional opplioation which designated at least one country other then the United States of America, listed below and have also identifled below eny foreign application for patent of Inventer's certificate or of any PCT international application having a filing date before that of the application on whioh priority is claimed.


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| $60 / 125,824$ | $3 / 24 / 1999$ |
| $60 / 146,726$ | $8 / 2 / 1999$ |
| $60 / 149,737$ | $8 / 19 / 1999$ |
| $60 / 165,404$ | $9 / 22 / 1999$ |
| $60 / 169,569$ | $12 / 8 / 1999$ |

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| U.S, Persit <br> Applioation Number | PCT Parent <br> Appilceatlon Number | Parent <br> Fling Dare | Parant <br> Patant Number |
| :---: | :---: | :---: | :---: |
| $09 / 522,721$ |  | $3 / 10 / 2000$ |  |
|  |  |  | $\vdots$ |

Page 2 of 4

I HEREEY APPOINT the following reglatersd attorneys and egents of the law firm of FOLEY \& LARDNER to have full power to prosocute this application and any cont|nuations, alvisions, relssues, and reexaminations therect, to receive the patent, and to cransact all buainess in the United States Patent and Trademark Office connected therowith:

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| CHARLES G, CARTER | Reg. No. | 35,093 |
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| JOHN C. COOPEA III | Hag. No . | 26,416 |
| JEFFREY N, COSTAKOS | Reg. No, | 34,144 |
| WILLIAM J. DICK | Heg. No. | 22.205 |
| BARRY L. GROSSMAN | Rag. No. | 30,844 |
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| KATHERINE D. LEE | Reg. No. | 44,865 |
| KEITH D. LINDENBAUM | Feg. No. | 40,365 |
| DAVID G. LUETTGEN | Rag. No, | 39,282 |
| AICHARD J. MC KENNA | Reg. No. | 35,610 |
| JAMES G. MORROW | Rog. No. | 32,605 |
| TODD A, RATHE | Reg. No. | 38,276 |
| MICHAEL. D. RECHTIN | Reg. No, | 30,128 |
| CHAISTOPHER M. TUROSKI | Reg. No, | 44,456 |
| JAMES A. WILKE | Reg. No. | 34,279 |
| JJSEPH N. ZIEBERT | Feg. No. | 36,421 |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be dilrected to:
Douglas A. Boehn
FOLEY \& LARDNER
Flrstar Center
777 East Wisconsin Avenue
Milweukee, Wisconsin $53202-5$

| Telephone: | (414) 297.5718 |
| :--- | :--- |
| Facsimile: | (414) $297-4900$ |

1 UNDERSTAND AND AGREE THAT the foragoing attomeys and agents appointed by me to prosecute this application do not parsonally represent me or my legal interesta, but instead represent the interests of the legal owner(s) of the inventlon described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowiodge are true, and that ali statements made on information and beligf are believed to be true; and further that these statements were made with the knowledge that wilifut faise statements and the like so made are punishable by fine or imprisonment, or both, under Seetion 1001 of Title 18 of the United States Code, and that such whliful false atatemente may jeopardize the validity of the application or any patent issuing thereon.

Page 3 of 4
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Residence
Citizenship
Pos: Offioe Address
Inventor's signature Date

Name of second inventor
Residence
Citizenship
Post Office Address
Inventor's signature
Date

Boca Raton, Florida
USA


Brian G. Utiey
$\frac{\text { Boca Raton, Florids }}{\text { USA }}$

| elliot Note | 3201407 $1.45 \times 77 \mathrm{Am}$ |
| :---: | :---: |
| This signature could lave been taken from an any application that I saw and then switched. |  |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In ie the Application of:
Elior I. Bernstein and Brian Utley
Serial No.: 09/630,939
Filed: 08/02/00
For: SYSTEM AND METHOD FOR PROVIDING AN

## ENHANCED DIGITAL IMAGE FILE

Art Unit:
Examiner:

## REVOCATION AND POWER OF ATTORNEY

The Fon. Commissioner of
Patents and Trademarks
Wasinington, D.C. 20231
Dear Sir:
The Applicant of the above-identified Application, hereby revokes all previous powers of stioney given in this Application, and appoints the firm of:

BLAKELY SOKOLOFF TAYLOR \& ZAFMAN LLP, a fim including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Andrew C. Chen, Reg. No. 43,544; Jae-Hee Choi, Reg. No. 45,288; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Donaa Jo Coningsby, Reg. No. 41,584; Florin A. Corie, Reg. No. 46,244; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. P46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486;.Sanjeet Dutta, Reg. No. P46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Mark C. Fartell, Reg. No. 45,988; George Fountain, Reg. No. 36,374; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; Gecrge W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. Ma 3.772; Sang Hui Kin, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King,

Reg. No. 44,188; Steven Lat, Reg. No. 47,736; George Brian Leavell, Reg. No. 45,436; Samuel S. Lee, Reg. No. 42,791; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181 ; Robert G. Litts, Reg. No. 46,876; Julio Loza, Reg. No. 47,758; Joseph Luz, Reg. No. 43,765 ; Lawrence Lycke, Reg. No. 38,540; Michael J. Mallee, Reg. No. 36,591; Andre L. Maras, under 37 C.F.R. $\S 10.9$ (b); Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Chum M. NE, Reg. No. 36,878; Then T. Nguyen, Reg. No. 43,835; Thigh V. Nguyen, Reg. No. 42,034; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Pornova, Reg. No. P45,750; Michael A. Proksch, Reg. No. 43,021; Randol W. Read, Reg. No. 43.876; William F. Ryan, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Res. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Soiroioff, Reg. No. 25,128; Edwin H. Taylor, Reg. No. 25,129; Lance A. Termes, Reg. No, 43,34; John F. Travis, Reg. No. 43,203; Joseph A. Twarowski, Reg. No. 42,191; Kerry D. Wrest. Reg. No. 45,959 ; Mark C. Van Ness, Reg, No. 39,865; Thomas A. Van Zandt, Reg. No. $43.219 ;$ Lester J. Vincent, Reg. No. 31,460; Glenn E. Yon Tersch, Reg. No. 41,364; John Patrick Wace. Reg. No. 40,216; Mark L. Watson, Reg. No. P46,322; Thomas C. Webster, Reg. No. P46, 154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45715 ; and Richard A, Nakashima, Reg. No. 42,023; my patent agents, of BLAKELY SOXOLOFF TAYLOR \& ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor. Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Please direct all communications concerning this Application to:

Thomas M. Coester, Esq. BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN 12400 Wilshire Boulevard, Seventh Floor Los Angeles, CA 90025<br>(310) 207-3800



Daze: $\qquad$ By: $\qquad$


UNITED STATES PATENT APPLICATION
for

SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE



777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S. Provisional Application No. 60/169,559, filed December 8, 1999, and PCT International Application No. $\qquad$ , filed June 2, 2000.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection (e.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

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Sent two months after filing for imentor revien, this contradicts statements to $\mathrm{V} / \mathrm{A}$ Ear that inventors had time to revien and change applisations.

CACRARAENTI SAN CIEFO gAM FRANEIEEO TALLAHASSEE TAMPA WASHINGTOH, D.C WEST PALM BEACH

July 27, 2000

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: U.S. Patent Application
Title: System And Method For Providing An Enhanced Digital Video File
Inventor(s): Bernstein et al.
Our Ref.: 57103/116
Dear Brian:

Enclosed please find the final draft of the above-referenced patent application which was filed with the U. S. Patent and Trademark Office on June 5, 2000 as Application No. 09/587,734. I have also enclosed various formal papers which require the inventors' signatures.

Please have the inventors thoroughly read the patent application, including the specification, claims, and drawings, to ensure that it provides an accurate and complete description of the invention. The written description should be sufficiently complete to enable someone of ordinary skill in the art to make and use the invention. The application must describe the "best mode" contemplated by the inventors for carrying out the invention, i.e., in order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret. If, after review of the application, the inventors find that anything in the application is incomplete, inaccurate, untrue, or possibly misteading, please contact me immediacely so we can discuss the matter. If any typographical, grammatical, or other minor formal errors are found, please have them noted in a separate memo to me such that I can correct them by amendment at a later date.

If everything appears to be in order, please tave the inventors read, sign, and date the Declaration and Power of Attorney form at the colored tags. By executing this document, they will be verifying the originality of their invention and acknowledging their

## Foley § LaRDner

Mr. Brian G. Utley
July 27, 2000
Page 2
duty to disclose to the U.S. Patent and Trademark Office any information of which they are aware that could be material to the examination of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or coworker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Note that the Declaration is signed under penalty of perjury, so careful consideration should be paid to its contents before signing.

Each inventor should also read, sign, and date the enclosed Assignment and Agreement, which must be executed in the presence of a Notary Public. By executing the Assignment, they will be acknowledging their obligation to Iviewit Holdings, Inc. by assigning all their rights in the invention to the company.

After the inventors execute the formal papers, please return the fully executed documents to me as soon as possible. You may keep the copy of the patent application for your records.

Now that the patent application is on file, any device covered by the claims of the application may be marked "Patent Applied For" or "Patent Pending" or an abbreviation thereof. This "Patent Pending" marking is not mandatory, as it only serves as a cautionary warning to would-be competitors that the device may be subject to patent protection in the future. However, this marking should be continued only as long as the application is still pending in the United States Patent and Trademark Office. If the application issues as a patent, the appropriate patent number should replace this marking. If the application should become abandoned and this is the only application pertinent to the device, then the marking should be eliminated entirely.

If you have any questions regarding any of the above, please do not hesitate to contact me.

Very truly yours,


Enclosure (s)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| $09 / 630,939$ | $08 / 02 / 2000$ | Eliot I. Berstein | $57103 / 121$ |

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.534t




Filing Date Granted

An application number and filing date have been accorded to this app Exzt

1 year after filing and 5 months after supposedly signing an oath for Foley, however, are missing. Applicant is given TWO MONTHS from the date or mis Notice withir which to mie all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

Le mynid abandonment, a ate filinytue or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 130$ for a non-smalrentity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is $\mathbf{\$ 1 3 0}$.

A copy of this notice MUST be returned with the reply.


## EXHIBIT H

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Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: PCT International Patent Application
Title: System and Method for Video Playback Over a Network Inventor(s): Bernstein et al.
Our Ref.: 57103/117
Dear Brian:
Enclosed please find the first draft of the above-referenced patent application (last page marked 001.793381 .1 ), which has been prepared in accordance with the previously filed U.S. provisional patent applications (MLG Docket Nos. 5865-4 and 5865-4.1). As you know, a careful and critical review of this draft application by vou and the inventors is imperative to ensure that the you are all satisfied with the content of the application and the proposed claim scope.

I note that Jeff Friedstein is named as a co-inventor on this application pursuant to Eliot Bernstein's instructions. Accordingly, Jeff must review a draft of the application before filing.

I also note that the deadline for filing this application in order to claim priority to all related provisional applications is Wednesday, June 7, 2000. Therefore, we must receive your comments as soon as possible.

Please have the inventor(s) thoroughly read the application draft, including the specification, claims, and drawings, to ensure that it provides a complete and accurate description of the invention. The attached "Inventor Information Sheet" provides a brief explanation of the parts of a utility patent application, the duty of disclosure, and inventorship. I would also like you to personally read and comment on this draft.

Mr. Brian G. Utley
June 6, 2000
Page 2
This PCT application incorporates all of the subject matter of U.S. Provisional Patent Application No. 60/137,921, filed June 7, 1999 (MLG Docket No. 5865-4) and U.S. Provisional Patent Application No. 60/141,440, filed June 29, 1999 (MLG Docket No. 58654.1).

You and the inventors should feel free to supplement, correct, or modify any part of the application. In particular, please review the subject matter disclosed in the two above-referenced provisional patent applications. After your review, if you or the inventors feel that any subject matter from these three provisional patent applications should be reflected in the draft PCT application, but is not, please notify me immediately.

The drawings attached to the application are informal sketches that will suffice for purposes of filing. Formal drawings will be prepared at a later date, as they are required for publication of the PCT application.

During the review, please keep in mind that independent claims $1,12,19,24$, 31 , and 38 are the broadest statements of the invention, and the remaining dependent claims add limitations to further define different embodiments of the invention. Please note that it is the inventors' legal obligations to "read and understand" the contents of the application including the claims. Each inventor will have to sign a declaration attesting that they did so.

Please have the inventors mark the appropriate changes on this copy of the application, make a copy of the changes, and return the hand-corrected copy to me via facsimile. A revised application incorporating the changes will then be submitted to you for your approval. We will handle execution of the formal papers at a later date.

Pursuant to your instructions, to preserve foreign filing options, I plan to designate all of the countries for filing under the PCT (see attached list of PCT Contracting States). Note, however, that not all foreign countries are members of the PCT (e.g., Taiwan), so to maintain the benefit of priority to the U.S. applications, we would have to file foreign national applications immediately in those non-PCT countries. This would require up-front translation costs and sufficient time for our foreign associates to prepare and file the applications before June 7, 2000. It is my understanding that you DO NOT want us to file in any countries other than those that are members of the PCT. Please let me know IMMEDIATELY if this understanding is not correct.


Mr. Brian G. Utley
June 6, 2000
Page 3
If you or the inventors have any questions, please do not hesitate to contact me. I look forward to receiving at least your preliminary comments on the application by tomorrow.

## Enclosure(s)


cc: Douglas A. Boehm

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elot
Note
Strange that Foley starts suddenly putting all these disclaimers regarding inventors.

Inventor(s): Bernstein, et al.

# INVENTOR INFORMATION SHEET 

## Sections of a Utility Patent Application

## - Background of the Invention

The Background of the Invention describes the technology that existed before your invention, i.e., the "prior art". This section typically discusses how the need for your invention arose, describes how others attempted to satisfy that need prior to the time of your invention, and points out the deficiencies of the prior art in meeting that need. If you aware of any other prior art that should be mentioned in this background section, please supplement this section and forward any literature that you might have to me.

- Summary of the Invention

The Summary of the Invention section is merely a brief paraphrasing of the basic claims, along with a statement of the objectives and advantages of the present invention.

- Brief Description of the Drawings

The Brief Description of the Drawings is merely a listing of the figures, and should be self-explanatory.

- Detailed Description of the Preferred Embodiments

The Detailed Description of the Preferred Embodiments section should provide a full, clear, and concise description of your invention so that any person skilled in the art could make or use the invention. Furthermore, the application must describe the "best mode" contemplated by the inventor(s) for carrying out the invention. In order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret.

- Claims

The claims are the most important part of the patent application. They precisely define the invention and determine the scope of legal protection granted by the patent. The claims must particularly point out and distinctly claim the invention. The claimed subject matter must be distinguishable over that which the prior art suggests to those skilled in this field. Accordingly, the claims should be scrutinized with a view toward protecting your precise
invention and those concepts which could be considered an outgrowth of it, yet not encompassing knowledge from the prior art or obvious extensions thereof. In other words, the scope of the claims must be broad enough to provide that patent protection to which you ate entitled, yet natrow enough to be distinguishable over the prior art. The terminology of the claims must be adequately supported by the description contained in the specification. Please keep in mind that all dependent claims, which are identifiable by the phrase "according to claim $x$ " or "of claim x", are interpreted as containing all of the limitations of the other claims which are referred to by that dependent claim. Thus, the independent claims are the broadest statement of your invention, and the dependent claims provide additional limitations to narrow the scope of your invention. Although the inventive concepts of each set of independent claims are interrelated, please keep in mind that they must be critically distinguished from each other. Each set of claims must stand on its own merit.

- Abstract of the Disclosure

The Abstract of the Disclosure section is a very brief description of what the application generally discloses.

- Drawings

The Drawings section should be self-explanatory.

## Duty of Disclosure

All individuals associated with the filing or prosecution of a patent application are under a duty of candor and good faith to the U.S. Patent and Trademark Office. This duty specifically includes a duty to disclose any information known to be material to the patentability of any claim of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or co-worker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Pursuant to this duty of disclosure, we will file an Information Disclosure Statement with the U.S. application listing the patent documents found in any prior art searches, as well as any technical articles mentioned in the disclosure materials. Accordingly, if any additional information relevant to your invention should come to your attention at any time before issuance of the patent, please immediately let us know so we can either include it in the Information Disclosure Statement or submit a Supplemental Information Disclosure Statement.

## Inventorship

The proper inventors would be those people who contributed to the subject matter of the invention as defined in the claims of the application. There may be joint
inventors even though they did not physically work together or at the same time, did not make the same type or amount of contribution, or did not make a contribution to the subject matter of every claim in the patent. It is our understanding that the above-identified persons are to be named as co-inventors of this application. If this is not accurate, please call us to discuss the conception and development of each of the different embodiments of the invention, so that we will be able to confirm your determination of proper inventorship before filing the application.

Foley \& Lardner

## PCT CONTRACTING STATES AND TWO-LETTER CODES

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VN Viet Nam
YU Yugoslavia
ZA South Africa
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## 57103/117

# pCt internationpatent application <br> for 

SYSTEM AND METHOD FOR VIDEO PLAYBACK

## OVER A NETWORK



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENITION <br> SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

 CROSS-REFERENCE TO RELATED APPLICATIONSThis application claims the benefit of U.S. Provisional Application No. 60/137,921, filed June 7, 1999, and U.S. Provisional Application No, 60/141,440, filed June 29, 1999.

FIELD OF THE INVENTION
The present invention relates generally to a system and method for playing a video program over a network. The present invention also relates to a system and method for controlling a video dewir -war $\mathfrak{c}$ network.

## BACKGROUND OF THE INVENTION

The widespread and ever-growing use of communication networks, such as the Internet and other computer-to-computer communication networks, for the dissemination of information, has fueled the need to provide for the transmission of video data over these networks. Currently, the transmission of video data over networks has been less than optimal, given current bandwidth and technology constraints. These constraints have impeded the ability to offer enhanced resolution and/or full motion video data over these networks.

The Internet marketplace is demanding enhanced resolution and high definition streaming video and precise representations of video images, objects, and events. Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file


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June 6, 2000

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Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431


Dear Brian:

Enclosed please find a first draft of the claims of the above-referenced patent application, which have been prepared in accordance with oral disclosure provided to us by Eliot Bernstein yesterday and further in accordance with two former provisional applications (i.e., old docket numbers 5865-4 and 5865-4.1). As you know, a careful and critical review of these claims by you and the inventors is imperative to ensure that the you are all satisfied with the proposed claim scope.

Eliot has informed us that Jeff Friedstein is to be named as a co-inventor of this invention. Accordingly, please provide a copy of these claims and these instructions to Jeff at your earliest opportunity.

During the review, please keep in mind that independent claims $1,12,19,24$, 31 , and 38 are the broadest statements of the invention, and the remaining dependent claims add limitations to further define different embodiments of the invention. Please note that it is the inventors" legal obligations to "read and understand" the contents of the application including the claims. Each inventor will have to sign a declaration attesting that they did so.

If you need any assistance in reviewing the claims, please feel free to contact me.

Mr. Brian G. Utley
June 6, 2000

## Page 2

We are currently drafting the corresponding specification for this application and will forward this to you for your review as soon as possible.

## Enclosure(s)

Very truly yours,
cc: Douglas A. Boehm

WHAT IS CLAIMED IS:

1. A system for video playback over a ne comprising:
a video playback device configured to transmit a video signal from a non-volatile storage medium; and
a computer coupled to the video playback device configured to receive the video signal and to transmit the video signal over a network.
2. The system of claim 1, further comprising a capture device coupled between the video playback device and the computer, the capture device configured to receive the video signal and to generate a digital video signal based on the video signal.
3. The system of claim 2, wherein the computer utilizes video conferencing software to receive the digital video signal, to perform at least one processing step thereon, and to provide the digital video signal over the network.
4. The system of claim 3, wherein the processing step includes configuring the digital video signal for full screen display on a user computer.
5. The system of claim 4, wherein the capture device is configured to capture the video signal at a full screen size.
6. The system of claim 1, wherein the network includes the Internet.


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## Foley \& Lardner

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June 6,2000

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Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Dear $B_{1}$ ian:
PCT International Patent Application
Title: System and Method for Video Playback Over a Network Inventor(s): Bernstein et al.
Our Ref.: 57103/117

Enclosed please find the first draft of the above-referenced patent application (last page marked 001.793381 .1 ), which has been prepared in accordance with the previously filed U.S. provisional patent applications (MLG Docket Nos. 5865-4 and 5865-4.1). As you know, a careful and critical review of this draft application by you and the inventors is imperative to ensure that the you are all satisfied with the content of the application and the proposed claim scope.

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Mr. Brian G. Utley
June 6, 2000
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Mr. Brian G. Utley
June 6, 2000
Page 3
If you or the inventors have any questions, please do not hesitate to contact me. I look forward to receiving at least your preliminary comments on the application by tomorrow.

## Enclosure(s)


cc: Douglas A. Boehm

PCT International Patent Application entitled System and Method for Video Playback Over a Network Inventor(s): Bernstein, et al.

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Claims
The claims are the most important part of the patent application. They precisely define the invention and determine the scope of legal protection granted by the patent. The claims must particularly point out and distinctly claim the invention. The claimed subject matter must be distinguishable over that which the prior art suggests to those skilled in this field. Accordingly, the claims should be scrutinized with a view toward protecting your precise
invention and those concepts which could be considered an outgrowth of it, yet not encompassing knowledge from the prior art or obvious extensions thereof. In other words, the scope of the claims must be broad enough to provide that patent protection to which you are entitled, yet narrow enough to be distinguishable over the prior art. The terminology of the claims must be adequately supported by the description contained in the specification. Please keep in mind that all dependent claims, which are identifiable by the phrase "according to claim x " or "of claim x ", are interpreted as containing all of the limitations of the other claims which are referred to by that dependent claim. Thus, the independent claims are the broadest statement of your invention, and the dependent claims provide additional limitations to narrow the scope of your invention. Although the inventive concepts of each set of independent claims are interrelated, please keep in mind that they must be critically distinguished from each other. Each set of claims must stand on its own merit.

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inventors even though they did not physically work together or at the same time, did not make the same type or amount of contribution, or did not make a contribution to the subject matter of every claim in the patent. It is our understanding that the above-identified persons are to be named as co-inventors of this application. If this is not accurate, please call us to discuss the conception and development of each of the different embodiments of the invention, so that we will be able to confirm your determination of proper inventorship before filing the application.

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# PCT INTERNATIONAL PATENT APPLICATION 

## for <br> SYSTEM AND METHOD FOR VIDEO PLAYBACK

## OVER A NETWORK



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,921, filed June 7, 1999, and U.S. Provisional Application No. 60/141,440, filed June 29, 1999.

## FIELD OF THE INVENTION

The present invention relates generally to a system and method for playing a video program over a network. The present invention also relates to a system and method for controlling a video device over a network.

## BACKGROUND OF THE INVENTION

The widespread and ever-growing use of communication networks, such as the Internet and other computer-to-computer communication networks, for the dissemination of information, has fueled the need to provide for the transmission of video data over these networks. Currently, the transmission of video data over networks has been less than optimal, given current bandwidth and technology constraints. These constraints have impeded the ability to offer enhanced resolution and/or full motion video data over these networks.

The Internet marketplace is demanding enhanced resolution and high definition streaming video and precise representations of video images, objects, and events. Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file


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## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431


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Foley \& Lardner

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# PCT INTERNATIONAL PATENT APPLICATION 

for

## SYSTEM AND METHOD FOR VIDEO PLAYBACK

## OVER A NETWORK



FOLEY \& LARDNER
Attomeys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

## CROSS-REFERENCE TO RELATED APPLICATIONS

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## PCT INTERNATIONAL PATENT APPLICATION

for
SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

| International Application No:: | PCT/US00 |
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| International Filing Date: | June 2,200 |



FOLEY \& LARDNER

Attorneys at Law
777 E . Wisconsin Avenue
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(414) 271-2400

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B. [ ] There is no prior $\mathrm{U} . \mathrm{S}$. applicalion relaring to his invention.
C. [X] The followinf prior U.S. application(g) contain subjeet mater which is felated to the invernion disclosed in the amached intrimaional application. (NOTE; priority to strese applicaions may or may not be ctaimed on fonn PCT/RO/IOt


| Application no. | 60137,921 | application no. | 60141,440 |
| :--- | :--- | :--- | :--- | :--- |
| Application no. |  | applicationin. |  |

D. [ ]The prestent imernarional application [] is identical to [ ] tonains less sutyect matter than that found in the prior U.S. applicarion(s) idenifited in paragraph C above.
E. [X]The present imernational application [X] comains additional subject maner not found in the prior U.S. applicavion(g) identufied in parapraph C above. The additional subjoul matter is foumd throughoul the application and [ X$]$ DOES NOT ALTER [] MIGHT BE
 made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.l. Sere 37 CFR 5.15

A. [] A Response to the Invitacion to Eorrect Defects in the International Application
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D. [] Submission of Ptiority Doruments




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| \% | Applizant |  |
| 17-1 | This peren is: | applicant only |
| 11.2 | Aappieant tor | all dasignated gtates except us |
| II-4 | Name | IVIEFIT HOLDINGS, INC, |
| 11-5 | Address: | One Boca place <br> 2255 Glades Road <br> Suite 337 Hest <br> Boca Raton, FL 33431 <br> United States of America |
| [\|-1-9 | State of nalionality | US |
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| H-1 | Telephtone No. | 5619998899 |
| 11-9 | Facsalme No. | 5619998810 |
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| 111-ヶ-2 | Applicant for | OS oniy |
| 117-14 | Hame [LAST. First] | BERtsteIM, Eliot, I. |
| III-1-5 | A ddress: | 500 S.E. Miznex Boulevard Boca Raton, FL 33432-6080 United States of America |
| 1II-1-5 | State of nationally | DS |
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| :--- | :--- | :--- |

## Foley \＆LARDNER

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EMAIL ADDRESS dabothmofoleylaw．com

FIRGIAR CENTER

## 7フJ EAST WISCONSIN AVENUE

MLMAUMEE．WiSCONSIN ESEDZ－53日T

FACSIMILE（4｜4）207－4000

August 23， 2000


Mr．Brian G．Utley
President \＆COO
Iviewit．com，Inc．
One Roca Place
2255 Glades Road，Suite 337 West Bora Raton，Florida 33431

Re：PCT International Patent
Application No．：PCT／US00／15602
Filing Date：6／07／2000
Title：System and Method for Video Playback Over a Network Applicant：Iviewit Holdings，Inc．
Out Ref．$\ddagger \quad 57103 / 118$
Dear Brian： $\square$
Enclosed for your records is a copy of the Response to the Invitation to Correct Defects in the International Application，which was filed with the United States Patent and Trademark Office on August 18，2000，in connection with the above－identified application．

We will keep you informed of all future developments as they occur．Please feel free to contact me with any questions or comments regarding this matter．

Very truly yours，


Douglas A．Boehn
Enclosure（s）


## TRANSMTTTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

| Date | 18 August 2000 |
| :--- | :--- |
| International Application No. | PCT/195001 15602 |
| Attorney Docket No. | $57103 / 118$ |

1. Certification under 37 CFR 1.10 (if applicable)

| EE I67291378 US |
| :---: |
| Express Mail mailing number |


| 18 Augusi 2000 |
| :---: |
| Date of Deposil |

I hereby cortify that the applicationfoorrespondence atrached hereto is being deposited with the United Stares Pustal Serwice "Express Mail Post Office to Addressec" service under 37 CFR J .10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

| Shather H. Ludeca | Shirley M. Miksa |
| :---: | :---: |
| Signalute of person mailing cotresponderner | Typed or printed name of person mailing corsespordence |

## II. [] New Intemational Application

| TITLE | SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK |
| :--- | :--- |
|  |  |


| Earliksi prionity date <br> (Day/Morth'Year) |
| :--- |
|  |

SCREENING DISCLOSURE INFORMAT1ON: In onder to assist in screening the acompanying international application for purposes of determinite whether a licenge for foreign uansmital shenlid and could be granted and for of her purposer, the following information is supplied. (Nore: check as many boxes as apply):
A. I] The invention digclosed was nor made in the United States.
B. [] There is no prior U.S. application relating to this invention.
C. [] The following jrior $U . S$, apptication(s) contain aubject mater which is related to the imention disclosed in the atached
incternalionul application. (NOTE: prionty to dhese applications may or maf not be chaimed on form PCTROROS (Requesi) and this listing does not comstitute a claim for prionity)

| Application no. |  | application no. |  |
| :--- | :--- | :--- | :--- |
| Application no. |  | application no. |  |

D. [ TThe present international applicarion [ ] is identical to [ ] onotaing ]ess subject matter than that fouth in the prive U.S. application(s) idencifjed in paragraph C above.
E. [ ]The present international application [ ] contains anditional subject matter not found in the prior U.S. application(g) identified in paragraph Cabove. The additional subjeet matter is found throughout the application and \| ] DOES NOT ALTER [] MIGFT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have becn made available for intspection by the appropriace defense agenciex under 35 U.S.C. 181 and 37 CFR 5.1. Sce 37 CFR 5.15.
III. [X] Repponse to an Invitation from the ROUS. The following docuntent( 5 ) is(art) enclosed:
A. [X] A Response to the Invitation to Correct Deftets in the Internacienal Application
E. [X] Four Powers of Atcorney (General)
C. [] Replacment pages:

| pages | of the request (PCT/RO/T01) | Pages | 1-2 | of the figures |
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| pages | of the claims |  |  | absiract |

D. [] Submissidn of Priority Documents
E. I] Fees as specified on attached Fee Caleulation shect form PCTIROILOL annex


| Typed name of signer | Douglas A. Roehra | Signtare |  |
| :---: | :---: | :---: | :---: |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicants | Iviewit Holdings, Inc., et al. |  |
| :--- | :--- | :--- |
| IntemationaI |  |  |
| Application No. | $:$ | PCT/USOO/15602 |
| International | $: \quad 07$ June $2000(07.06 .2000)$ |  |
| Filing Date |  |  |
| Title of <br> Invention | SYSTEM AND METHOD FOR VIDEO PLAYBACK <br> OVER A NETWORK |  |

## RESPONSE TO THE INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

Assistant Conmissioner for Patents<br>ATTN: RO/US

Bor PCT
Washington, D.C. 20231

Sir or Madam:
In response to the Invitation to Correct Defects in the International Application (Form PCT/RO/106) dated 24 July 2000, Applicant respectfully submits four (4) dulyexecuted PCT General Appoinments of Agent, and two (2) sheets of formal drawings, for filing in connection with the above-identified application.

Respectfully submited,

Dated: 18 August 2000
Foley \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The andersigned applicant hereby appoints, individually and collectively,

## FOLEY \& LARDNER

and

| RUSSELL J. BARERON | Rce. No. | 29,512 |
| :---: | :---: | :---: |
| DAYID J. BATES | Ref. Na . | 39,902 |
| STEVEN C. BECKER | Reg. Ma. | 42,308 |
| EDGARA A. BOEHM | Reg. No. | 32,014 |
|  | Kci. No. | 22,022 |
| CHARLES G. CARTER ALISTAR K CHA | Res. No. | 35,093 |
| jOHE C, COOPER $נ$ I | Reg. No. | 44,603 |
| JEFPREY N. COSTAKOS | Reg. No. | 26,416 |
| WILIJAM I, DICK | Reg. No. | 34,144 |
| HARRY L. GROSSMAN | Refe No. | 22,205 |
| PAULS. HUNTER | Reg. No, | 44.787 |
| KATHERNE D. LEE | Beg. No. | 44,865 |
| KETIH D. LINDENBAUM | Reg. No. | 40,365 |
| DAVID G. LUETTGEN | Reg. No. | 39,282 |
| RICHARD J. MC KENTA | Rag. No. | 35,610 |
| JAMES G. MOPROW | Refe Na , | 32,905 |
| RLCHARD B. OPLANICK | Reg. 40. | 29,096 |
| TODD A. RATHE | Reg. No. | 39,276 |
| MLCRAEL D. RBCHIIN | Rep. No. | 30,128 |
| CERHSTOFHER M. TUROSK | Ret. No. | 44,456 |
| TAMES A WILKE | Rep. Mo. | 34,279 |
| JOSEPH N, ZIEBERT | Rep. No. | 35,421 |
| WALTER E. ZDMMERMAM | Rta. No. | 40,983 |

attorbeys at law of Firstar Center, 777 East Wisconsin Aventre, MIwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in connection with any and all international applications fuled by it. and to receive payments on its behalf.

| ellot: |
| :--- |
| Note |
| Utley signature after the |
| filing |



BY:
Title


## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigns applicant bercby appoints, individually and collectively,
FOLEY \& LARDNER
and

RUSSELL J. BARRON DAVID I. BATES STEVEN C. BECKER DOUGLAS A BOEFM CHARLES G. CARTER ALISTAiR K. CHAN JOHN. COOPER II JEFFREY N. COSTAKOS WTLIANO I. DICK GARRY L. GROSSMAN
PAL S. HUNTER CATHERINE D. LEE RET D, LNDENBAUM DAVID G. LUETTGEN RICHARD I. MC GENA JAMES C. MORROW TODD A RATHE MICHAEL D. RECHINN CISHSTOFHER M TUROSKI MMES A. WaKE
 WALTER EIMMERMAN

Ref. No. 29,512
Fed. No. 29,900
Bat No. 42,308
Ref. No. 32,014
Ret. No. $\quad 35,093$
Ran, No. 44,605
Rag. No. 26,46
Ref. N4, $\quad 34,144$
Ref. No. 22,205
Ref. No. 30,844
Rep, No. 44, 787
R en No 4, 4, 46
Rot. No. 40.365
Ref. No. 79,212
Reg. No. $\quad 35,610$
Ref. No. 32,505
Ret. No. 38.276
Ret. No. $30,12 \mathrm{~s}$
State. Nu. 4,456
R4. No. 34,279
Res. No. 35,421
Pe No. $40, \mathrm{EES}$
attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wheconsin $53202-5367$, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in compaction with any and all international applications filed by it, and to receive payments on its behalf.


Eliot I. BERNSTEN

## PATENT COOPRRATION TREATY

## GENERAL APRONTMENT OFAGENS

The undersigned applicant bereby appoints, individailly and collectively,
FOLEY R LARDNER
and

 53202-5367, telephone (414) 271-2400 to act 'on its behalf before the competent International Authorities in comection with any and all lnternational applications filed by It, and to recelve payments on its bealf.


## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before che competent International Authorities is connection with any and all international applications filed by it, and to receive payments on its behalf.



## PCT-EASY INFORMATION SHEET

(For applicant use only no NمT cubmithic chant with she international application)

## VALIDATION LOG

## Contents

Yelfow! $\quad$ The power of attorney or a copy of the general power of attomey will need to be furnished unless all applicants sign the request form.

Before submilting turnmemational_Application, please carefully verity that:
-the information contained on printed Request form is correct;
-Box IX of the Request form has been signed;
-all elements of the international application as indicated in Box VIII of the Request form have been attached; and, -the diskette containing the PCT-EASY zip file of the International Application has been enclosed and has been clearly labeled "PCT-EASY", with the applicant's or agent's file reference, and the first applicant's name.

## ATTENTION

DO NOT modify any indications on the Request form printout. The attached PCT-EASY application has been locked. If an error or an omission is discovered at this time, you must copy the submitted application as a template and make the change or correction in a new application (using the submitted application as a template). You may create such a template by copying the submitted application from the "Stored Forms" folder to the "New PCT Forms" folder. Open the new (OWO) file created in the "New PCT Forms" folder, correct the errors and proceed with the submission process again.

## FOLEY \& LARDNER

| CHicago | FIRSTAR CENTER | SACRAMENTO |
| :---: | :---: | :---: |
| DENVER | 777 EAST WISCONSIN AVENUE | SAN DIE30 |
| JACKSONYILLE | MILWAUKEE, WISCONSIN 53202-5367 | SAN FRANEISCO |
| Los angeles | TELEFHONE (4\|4) $271-2400$ | TALLAHASSEE |
| MADISON | FACSIMILE (4)43 297-4900 | TAMPA |
| MILWAUKEE |  | WASHINGTON. D.C. |
| ORLANDO |  | WEST PALM BEACH |
|  | WRIER'S DIRECT LINE (414) 297-5571 |  |
| EMAIL ADDRESS sbecker@foleylaw.com |  | CLIENTMATIER NUMEER 57103/118 |

August 1, 2000

Mr. Brian G. Utlley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Rcad, Suite 337 West
Boca Raton, Florida 33431
Le: International Application No. PCT/US00/15602
Corresponding to U.S. Application Nos. 60/137,921 \& 60/141,440
Title: System and Method for Video Playback Over a Network Our Ref.: 57103/118

Dear Brian:
We are pleased to confirm that the above-identified application was filed with the U.S. Receiving Office on June 7, 2000, and accorded Application Number PCT/US00/15602. In accordance with your instructions, all PCT member countries were designated, and the European Patent Office was appointed as the International Searching Authority for this matter. Enclosed for your records is a copy of the application as filed, and related notifications from the United States Receiving Office.

Flease note that the deadline for entering the national phase of this application is February 7, 2001. National phase may be deferred for another ten months, until December 7, 2001, by filing a Chapter II Demand for International Preliminary Examination. This Demand must be filed by January 7, 2001. For any country in which we do not meet the national phase deadline or, alternatively, the International Preliminary Examination deadline, the PCT application will be considered withdrawn.

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CHICAGO
DENVER
JACKSONVILLE
LOS ANGELES
MADISON
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FIRSTAR CENTER
777 EAST WSCONSIN AVENUE
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SAN DIEGO
SAN FRANCISCO
TALLAMASSEE
TAMPA
WASHINGION, DC.
WEST PALM EEACH
WRIER'S DIRECT LINE
(414) 297-5571

July 21, 2000

Mr. Brian G. Utley President<br>Iviewit.com, Inc.<br>One Boca Place<br>2255 Glades Road, Suite 337 West<br>Boca Raton, Florida 33431

Re: U.S. Patent filing corresponding to
PCT International Patent Application No. PCT/USOO/15602
Title: System and Method for Video Playback Over a Network
Inventor(s): Bernstein et al.
Filed: June 7, 2000
Our Ref.: 57103/118
Dear Brian:
The above-referenced PCT patent application relates to video playback over a network (e.g., the Internet). As indicated, the PCT application was filed on June 7, 2000.

As you may know, a U.S. patent application may be filed at any time during the pendency of the corresponding PCT patent application in order to claim priority to the PCT patent application. However, pursuant to instructions from Eliot Bernstein on June 7, 2000, the corresponding U.S. patent application (i.e., our docket number 57103/117) has not yet been filed.

One advantage of waiting to file the U.S. patent application until the corresponding PCT patent application process is complete is that the cost of the U.S. application can be delayed until after a search report is received from the PCT search authority. The search report can provide an indication of the patentability of the invention, and a decision can then be made whether or not to continue with the U.S. application. One disadvantage is that it will take longer to bring the U.S. application to issuance if it is not filed until later.

$$
\text { ESTABLISHED 1 a } 4 \text { ? }
$$





2. The international Bureau hereby notifies the applicant that the following change has been recorded concerning:

3. Further observations, if necessary:
Please also note change of agent, as in the addressee box above.
4. A copy of this notification bas been sent to:

| X | the receiving Office <br> the International Searching Authority <br> the International Preliminary Examining Authority |  | the designated Offices concerned |
| :---: | :---: | :---: | :---: |
|  |  | X | the elected Offices concerned |
|  |  |  | other: |


| The Irternational Bureau of WiPO <br> 34, chemin des Colombettes <br> 1211 Geneva 20, Switzerland | Authorized officer |
| :---: | :--- |
| Facsimile No.: (41-22) 740.14.35 |  |

Form PCT/IB/306 \{March 1994\}


3. Further observations, if necessary:

Please also note change of agent, as in the addressee box above.
4. A copy of this notification has been sent to:


| The International Bureau of WIPO 34, chemin des Cadombettes 1211 Geneva 20. Switzerland | Authorized officer Jean-Marie MCADAMS |
| :---: | :---: |
| Faosimile No.: (41-22) 740.14.35 | Telephone No.: (41-22) 338.83 .38 |
| Form PCT//B/306 (March 1994) | 004543480 |





## Blakely Sokoloff TaylorqZafman

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## *

## Blakely Sokolofe TaylorgZafman

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## Blakely Sokoloff TaylorQZafman

A LJMITED [.IABILITY PARTNERSHIP INCLUDING LAW CORPORATIONS

TELEPHONE (310) 207-3800
FACSIMILE (310) 820-5988 (310) 820-5270

ESTZ_MAl@estzicom www bstz com November 21, 2001

Intellectual Property Law
Other Offices
12400 Wilshife Boulevard SEventh Floor
Los Angeles, California 90025-1030

INTERNATIONAL BUREAU OF WIPO
Attn: International Bureau
34, Chemin des Colombettes
1211 Geneva 20
Switzerland
Re: RECORDING CHANGE OF AGENT and CHANGE OF ADDRESSES OF APPIICANTS/INVENTORS UNDER PCT RULE 92 BIS
International Application No.: PCT/USOO/15602
Applicant: IVIEWIT HOLDINGS, INC, et al
For all designated States but the US and BERSTEIN, Eliot, I.,
FRIEDSTEIN, Jeffrey J. and UTLEY, Brian, G. for the ES
Our File No. 005707 PO16PCT (New) $57103 / 118$ (Old)
Gentlemen
The Agent appointed to act on behalf of the above-captioned international application has changed as follows:

Thomas M. Coester
BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN 12400 Wilshire Boulevard, 7th Floor Los Angeles, California 90025

Attached are four General Power of Attorney forms in order for you to proceed with this request. Please note that also included is the Executive Employment Agreement authorizing Eliot I. Bernstein to sign documents on behalf of Brian G. Utley (see page 7 , $8[e]$ Attorney-in-Fact). Furthermore, the addresses of the applicants and/or inventors. IVIEWIT HOLDINGS, INC., Eliot I. Bemstein, Jeffrey J. Friedstein and Brian G. Utley, have also changed.

Kindly acknowledge receipt of this fax.

Attachments
Very truly yours,


[^31]
## GENERAL POWER OF ATTORNEY

(for scveral intentational applicatious filed under the Patent Cooperation Treaty) (PCT Rule 90.5)
The undersigned person(s): (Tamily name followed by given name; for a legal entity, full official designation. The address must inelude postal code and namre of country.)
IVIEWIT HOLDINGS, INC.
BERNSIFTN, Eliot 1., Secretary
505 Nurth Brand Boulevard
Suite 1420
Glendale. California 91203
United States of Anterica
hereby appoint(s) the following person as $O$ agent common representative
Name and address
(family name followed by given name; for a legal entity, funl official designation. The address raust include postal code and name of country )

ZAFMAN, Norman
COESTER Thomas M.
AMIN1, Farzad E
HYMAN, Bitic S.
HOOVER, George $W$
BLAKELY, Roger $W$
SOKOI OHF Stanley W
TAYLOR, Edwin H.
Blakely, Sokoloff, Taylor \& 7afman
12400 Wilshire Bouleward, $7^{\text {th }}$ Floor
Los Angeles, Califormia 90025-1026
United States of America

To represent the undersigned before $\quad$| all the competent International Authorities |
| :--- |
| the International Searching Authority only |
| the International Preliminary Examining Authority only itı | connection with any and all intemational applications filed by the undersigned with the following Office

(US) United States $\qquad$ as receiving Office and to make or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of them must sign; nest to each signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):


Forn ICT/Model of general power of atomey (for several international applications) (July 1992)

GENERAL POWER OF ATTORNEY
(For several international applications tiled under the Patent Cooperation Treaty) (PCT Rule 90.5)
 connection with any and all international applications filed by the undersignud with the following Office
(US) United States $\qquad$ as receiving Office and to make or receive payments on behalf of the undersigned.

Signaturess) (where there are several persons, each of them must sign; mext to each signature, indieate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):


Date:



## PCT

GENERAL POWER OF ATTORNEY
(for several international applications filed under the Patent Cooperation Treaty) (PCT Rule 90.5)


PCT
GENERAL POWER OF ATTORNEY
(for several intemational applications filed under the Patent Cooperation Treaty)
(PCT Rule 90.5)
The undersiened person(s): (Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country.)

UTLEY, BRIAN G.
by BERNSIEIN, Eliol L., Atorney in Fact
IVIEWTH HOLDINGS, INC.
505 North Brand Boulcvard
Suite 1420
Glendale, Calitomia 91203
United States of Anerica
hereby appoint(s) the tollowing person as agent 0 common representative
Nume and address
(Family name followed by given name; for a legal entity, full official designation. The address must include postal code and name of country:)

ZAFMAN, Norman
COESTER, Thomas M
AMINI, Farzad E.
HYMAN, Eric S.
ILOOVER, George W
BLAKELY, Roger W
SOKOLOFF, Stanley W.
TAYLOR, Edwin H .
Blakely, Sokoloff, Taylor \& Zatiman
12400 Wilshire Boulevard, $7^{\text {4h }}$ Floor
Los Angeles, California 90025-1026
United States ol Anerica
To represent the undersigned before all the competent International Authorities
O Uhe Intemational Scarchinge Authority only
Q the International Preliminary Examining Authority only in
conneetion with any and all internutional applications filed by the undersigned with the following Oflise
CLS) United Slates $\qquad$ as receiving Office and to inake or receive payments on behalf of the undersigned.

Signature(s) (where there are several persons, each of thern must sign; nest to cach signature, indicate the name of the person signing and the capacity in which the person signs, if such capacity is not obvious from reading this power):


Fonn PCT/Model of gencral power of atorney (for several international applications) (July 1992)

## EXECUTIVE EMPLOYMENT AGREEMENT

EXECUTIVE EMPLOYMENT AGREEMENT ("Agreement") made as of the 3rd day of August, 1999, by and between iviewit.com LLC, a Delaware limited liability company with an address at 2255 Glades Road, Suite 337 West, Boca Raton, Florida 33431 (the "Company"), and BRIAN G. UTLEY with an address at 1930 Southwest $8^{\text {th }}$ Street, Boca Raton, Florida 33486 (the "Executive").

WHEREAS, the Company desires to employ Executive and to ensure the continued avaitability to the Company of the Executive's services, and the Executive is willing to accept such eroployment and render such services, all upon and subject to the terms and conditions contained in this Agreement:

NOW, THEREFORE, the Company and the Executive hereby agree as follows with respect to the Executive's employment with the Company:

1. Employment. The Company shall employ the Executive and the Executive shall be employed with the Company, on the terms and conditions hereinafter set forth, for a period commencing as of the date hercof (the "Effective Date") and ending ahree (3) years after the date hereof, unless sooner terminated pursuant to the provisions of this Agreement. Such period of employment shall be automatically extended for successive one-year terms of employment, unless either the Company or the Executive notifies the other in writing at least ninety (90) days prior to the end of the then current term that it or he does not intend to renew such employment, in which case such employment will expire at the end of che chen current term. All references herein to the "Employment Period" shall refer to both the initial term and any such successive renewal terms. During his employment hereunder, the Executive shall be the President and Chief Operating Officer of each of the Company, uview.com, Inc., a Delaware corporation ("uview") and iviewit LLC, a Delaware limited liability company ("iviewit") (uview and iviewit are collectively referred to herein as the "Affiliates").
2. Exclusive Efforts. The Executive shall devote his full time, best efforts, skills and attention to the business and affairs of the Company and the Affiliates, shall serve the Company and the Affiliates faithfully and competently and shall at all times act in the Company's and the Affiliates' best interests. The services to be reudered by Executive during the Employment Period shall be the normal duties of a person employed as a President and Chief Operating Officer by a corporation in the Company's business, subject at all times to the direction and control of the Company's Board of Difectors (the "Board").
3. Compensation and Benefits. The Company shall pay to the Executive, and the Executive agrees to accept, compensation as follows:
(a) Subject to the provisions of Section 3(b) below, the Company shall pay to the Executive, and the Executive agrees to accept, an initial base compensation of Fifty Thousand Dollars ( $\$ 50,000$ ) per year (the "Initial Salary"). All compensation payable to Executive hereunder shall be payable in accordance with the normal payroll policies of the Company and shall be subject to all usual and customary payoll deductions, including all applicable withholding axics.
(b) The Initial Salary shall be increased by: (i) Fitiy Thousand Dollars ( $\$ 50,000$ ) to One Hundred Thousand Dollars $(\$ 100,000)$ per year on September 3, 1999 (the "Salary Increase Date"), (ii) an additional Fifty Thousand Dollars ( $\$ 50,000$ ) to One Hundred Fifty Thousand Dollars ( $\$ 150,000$ ) per year beginning six (6) months following the Salary Increase Date, and (iii) an additional One Hundred Thousand Dollars ( $\$ 100,000$ ) to Two Hundred Fifty Thousand Dollars ( $\$ 250,000$ ) per year beginning twelve (12) months following the Salary Increase Date.
(c) In addition to the compensation provided for in Sections 3(a) and 3(b), on the Effective Date, the Company shall cause uview to issue in the Executive's name seventeen thousand one hundred thirty-eight (17,138) shares of uview's Class B Common Stock (the "Initial Shares"), for the consideration of $\$ .05$ per sharc. The Executive shall be entitled to receive up to seventeen thousand one hundred thirty-eight $(17,138)$ additional shares of uview's Class B Common Stock at future dates during the Employment Period as determined in the Board's sole discretion, subject to Executive's fulfillment of certain performance standards established, from time to time, by the Board.
(d) The compensation provided for in Sections 3(a) and 3(b) shall be in addition to any pension or retirement benefiss, hospital and medical, disability, and other benefits, if any, made generally available by the Company, in its sole discretion, to its executive officers.
(e) Executive acknowledges that it is the Company's intent to purchase a "keyman" life insurance policy on the life of Executive for the benefit of the Company (the "Insurance Policy"). Executive agrees to cooperate fully in the acquisition, modification, amendment or supplement of the Insurance Policy, including submitting to any physical examination and providing any medical information as may be required by the insurer. In the event Executive dies within the Employment Feriod, the Personal Representative(s) (the "Personal Representatives") of Executive's estate (the "Estate") shall, at the Personal Representatives' sole discretion, elect to surrender to the Company all right, title and interest in and to the Initial Shares and obtain payment from the Company of the proceeds (the "Proceeds") of the Insurance Policy (the "Election"). The Election shall be in writing and delivered to and received by the Company at its then corporate headquarters within four (4) months from the date of Executive's death. Within seven ( 7 ) busincss days after the Company's dated receipt of the Election, if the Personal Representatives elect to receive the Proceeds, the Personal Representatives shall deliver the Initial Shares (along with executed stock powers) to the Company at its then corporate headquarters at which time the Company shall issue a centified or cashier's check payable to the Estate for the full amount of the Proceeds; provided, however, that if at the time of the Election the Company has not received the Proceeds, and if the Personal Representatives elect to receive the Proceeds, the

Company shall notify the Personal Representatives at such time as it receives the Proceeds, and, upon receipt of such not:ficacion, the Personal Representatives shall then be required to tender the Initial Shares to the Company at its corporate headquarters within seven (7) days of said notification, upon which the Company shall comply with the provisions of this Section regarding remitance of the Proceeds. If the Personal Representatives fail to comply with the provisions of the preceding sentence, the Company shall, at its sole discretion, notify the Personal Representatives as to whether it will demand the surrender of the Initial Shares (and make the corresponding payment of the insurance proceeds) no later than nine (9) months from the date of Exacutive's death or the deadline for filing Executive's Federal estate tax return, whichever occurs later.
(f) The Executive shall be entitled to four (4) weeks paid vacation per year. Such vacation time ailowance shall not cumulatively accure, and any unused vacation time for each year of the Employment Period shall be forfeited by Executive if not used during each year.
4. Business Expenses. The Executive shall be reimbursed for all usual and reasonable expenses incurred on behalf of the Company and the Affiliates, as applicable, as approved by the Board, in accordance with Company practices and procedures, provided that:
(a) Each such expenditure is of a nature qualifying it as a proper deduction on the federal and state income tax returns of the Company and any of the Affiliates, as applicable, as a business expense and not as deductible compensation to Executive; and
(b) Executive furnishes the Company with adequate documentary evidence required by federal and state statutes and regutations for the substantiation of such expenditures as deductible business expenses of the Company and of any of the Affiliates, as applicable, and not as deductible compensation to Executive.

Executive agrees that, if at any time, any payment made to Executive by the Company as a business expense reimbursement shall be disallowed in whole or in part as a deductible expense to the Company or any of the Affiliates, as applicable, by the appropriate taxing authorities, Executive shall reimburse the Company or any of the Affiliates, as applicable, to the full extent of such disallowance.

## 5. Termination.

(a) This Agreement may be immediately terminated by the Company at any time during the Employment Period for cause. In such an event of termination, the Company shall be obligated only to continue to pay to Executive his compensation, if any, eamed up to the effective date of termination. "Cause" for purposes hereof shall mean (i) a breach of any of the provisions of this Agreement by Executive, (ii) conviction for any criminal offense involving a felony or (iii) willful misconduct, gross negligence or malfeasance.
(b) Except as otherwise provided herein, this Agreement and the obligations of uhe Company hereunder will terminate upon the death or at the Company's option, the disability
of the Executive．For purposes of this Section 5（b），＂disability＂shall mean that for a period of four consecutive months or six months in any 12 －month period the Executive is incapable of substantially fulfilling the duties set forth in Section 2 or hereafter assigned to him because of physical，mental or emodional incapacity resulting from injury，sickness or disease as determined by an independent physician selected by the Company．Upon any such termination upon death or disability，the Company will pay the Executive or his legal representative，as the case may be，his compensation（including the Initial Shares issuable to Executive pursuant to Section 3（c）above）， if any，earned through the date of such termination of employment．

## 6．Restrictive Covenants．

（a）Executive acknowledges that his services and responsibilities are unique in character and are of particular significance to the Company and to the Affiliates，that the Company and iss Affiliates are competitive businesses and Executive＇s continued and exclusive service to the Company and the Affiliates under this Agreement is of a high degree of importance to the Company and the Affiliates．Therefore，during the Employment Period and for a period of two （2）years thereafter（the＂Noncompete Period＂），Executive shall not，directly or indirectly，as owner，parmer，joint venturer，employee，broker，agent，comporate officer，principal，licensor， shareholdet（unless as owner of no more than one percent（ $1 \%$ ）of the issued and outstanding capital stock of such entity if such stock is traded on a major securities exchange）or in any other capacity whassoever，engage in or have any connection with any business which is＂competitive＂ with the Company or any of its Affiliates，and which operates anywhere in the＂Restricted Tcrritory＂（as bereinafter defined）．For purposes of this Agreemeat，a business will be deemed to be＂competitive＂with the Company and its Affiliates if it is engaged in the same business that the Company or any of its Affiliates are engaged in，or contemplates engaging in，including，but not limited to，any business engaged in whole or in part in developing，marketing，and implemenuing technology that allows products and services to be advertised and marketed via the intermet．In recognition of the world wide access afforded by the internet，the parties agree that for purposes of this Agreement，＂Restricted Territory＂shali mean worldwide．
（b）During the Noncompete Period，the Executive shall not：
（i）directly or indirectly，by initiating contact or otherwise，induce， influence，combine or conspire with，or attempt to induce，influence，combine or conspire with，any of the officers，employees or agents of the Company to terminate his，her or its employment or relationship with or to compete against the Company or any of the Affiliates；
（ii）directly or indirectly，by initiating contact or otherwise，divert or attempt to divert any or all of any customers＇or suppliers＇business with the Company or any of the Affiliates．
（c）If，in any judicial proceedings，a court shall refuse to enforce any of the covenants included in this Section 6，then such unenforceable covenant shall be amended to reate to such lesser scope，period or geographical area as shall be enforceable．In the event the

Company or any of its Affiliates should bring any legal action or other proceeding against Executive for enforcement of this Agreement, the calculation of the Noncompete Period shall not include the period of time commencing with the filing of legal action or other proceeding to enforce this Agreement through the date of final judgment or final resolution, including all appeals, if any, of such legal action or other proceeding unless the Company and the Affiliates are receiving the practical benefits of this Section 6 during such time. The existence of any claim or cause of action by Executive against the Company or any of the Affiliates predicated on this Agreement or otherwise shall not constitute a defense to the enforcement by the Company or any of the Affiliates of these covenants.
(d) Executive bereby acknowledges that the restrictions on his activity as contained in this Agreement are required for the Company's reasonable protection and are a material inducement to the Company to enter into this Agreement. Executive hereby agrees that in the event of the violation by him of any of the provisions of this Agreement, the Company and its Affiliates and its or their permitted assigns (which are intended third-party beneficiaries of these covenants) will be entitled to instutute and prosecute proceedings at law or in equity to obtain damages with respect to such viotation, to enforce the specific performance of this Agreement by Executive, to enjoin Executive from engaging in any activity in violation hereof, or any combination of the foregoing remedies together with any other remedies available at law or in equity.

## 7. Treatment and Ownership of Confidential Information.

(a) The parties hereto acknowledge that Executive shall or may be making use of, acquiring and adding to Confidential Information (as that term is defined in subparagraph (b) below). Executive covenants and agrees that during the Employment Period and at all times thereafter he shall not, except with the prior written consent of the Company, or except if he is acting during the Employment Period solely for the benefit of the Company or any of the Aftiliates in connection with the Company's or any of the Affiliates' business and in accordance with the Company's business practices and policies, at any time, disclose, divulge, report, transfer or use, for any purposes whatsoever, any of such Confidential Information, including Confidential Information obrained, used, acquired or added by, or disclosed to, Executive prior to the date of this Agreement.
(b) For purposes of this Agreement, the term "Confidential Information" shal! mean all of the following materials and information which Executive receives, conceives or develops or has received, conceived or developed, in whole or in part, in connection with Executive's employment with the Company:

The Company's and the Affiliates' materials and information (regardiess of the form of such information, including without limitation, in writing. electronic, computerized or other recorded form, oral or visual) conceming, or related in any way to, the Company and the Affiliates' of its or their businesses, including without limitation: (i) the contents of any Business Plan, projections or financial or credit information or data relating to the Company or any of its Affliates; (ii) the contents of any manuals or written materials of the Company or any of its Affiliates; (iii) the
names and records of actual or prospective clients, customers, suppliers, lenders, financing sources, or related persons; (iv) the terms of various agreements between the Company or the Affiliates and third parties; ( $v$ ) any data or database, or other information compiled or developed by the Company or its Affiliates; (vi) any computer programs and listings, source codes and/or object codes, file structures, trademarks, trade secrets, patents, patent designs, patent applications, copyrights, forms, procedures, processes, training methods, developments, technical information, marketing activities and procedures and methods of operation, together with any other information, data, know-how or knowledge of a confidential or proprietary nature; and (vii) any information of a type described above derived or obtained from the internet or any website of the Company or its Affliates, including without limitation the file structure relating to such website or the content of such website.
(c) Executive covenants and agrees that all right, citte and interest in any Confidential Information shali be and shall remain the exclusive property of the Company and the Affiliates, as applicable. Executive agrees to promptly disclose to the Company all Confidential Information developed in whole or in part by Executive within the scope of this Agreement and to assign to the Company or any of the Affiliates, as the Company determines in its sole discretion, any right, bitle or interest Executive may have in such Confidential Information. Executive agrees to turn over to the Company all physical manifestations of the Confidential Information in his possession or under his control at the request of the Company.

## 8. Inventions.

(a) Exccutive agrees to promprly inform and to disclose to the Company, in writing, all inventions, developments, procedures, ideas, innovations, systems, programs, techniques, processes, information, discoveries, improvements and modifications which Executive creates (colleclively the "Inventions"), either alone or with others, while in the Company's employ, or while performing services for the Affiliates, whether or not during working hours, and at all times thereafter if the Inventions:
(i) relate to the present or anticipated business of the Company or any of the Affiliates;
(ii) relate to any actual or demonstrably anticipated research or development work of the Company or any of the Affiliates;
(iii) result from any work performed by the Executive for the Company or any of the Affiliates or customers of either; or
(iv) were invented utilizing the Company's or any of the Affiliates equipment, supplies, facilities, ume or any information (whether or not considered Confidential Information) obtained from or usefui to the Company or any of the Affiliates.
（5）Assignment．All of the above－described Inventions，and all rights relating thereto，shall be assigned by virtue of this Agreement and without further action by Execucive to the Company and shall be and shall remain the exclusive property of the Company．
（c）Ownership．With respect to each Invention assigned to the Company， Execuuive hercby grants，transfers and assigns to the Company all of his rights，title and interest， if any，in any and all written materials（including but not jimited to programmed instructiona！ material），pictorial reproductions，drawings and other graphic representations and works of similar nature upon which he may be engaged in，including rights to translation and reproductions in all forms or formats and the copyrights thereto，if any，and Executive agrees that the Company may copyright said materials in the Company＇s name and secure renewal，reissues and extensions of such copyrights for such periods of time as the law may permit．
（d）Executive Assistance，During the Eraployment Period and at all times thereafter，the Executive agrees to assist the Company and the Affiliates in obtaining patents or copyrights on any Inventions assigned to the Company that the Company or any or all of the Affiliates，in the Company＇s sole discretion，seeks to patent or copyright．Executive also agrees to sign all documents，and do all things necessary to obtain such patents or copyrights，to further assign them to the Company or any of the Affiliates，as applicable，and to protect the Company and the Affiliates against infringement by other parties．Executive agrees that such actions will be without compensation，but at no expense to the Executive．
（e）Attorney－in－Fact，Executive irrevocably appoints any Company－selected designee to act as his agent and attorney－in－fact to perform all acts necessary to obtain patents and／or copyrights as required by this Agreement if Executive（i）refuses to perform those acts or （ii）is unavailable，within the reeaning of the United States Patent and Copyright Laws．It is expressly intended by Executive that the foregoing power of atwoney is coupled with an interest．
（f）Records．Executive shall keep complete，accuratc and authentic information and records on all Inventions in the manner and form reasonably requested by the Company．Such information and records，and all copies thereof，shall be the property of the Company as to any Inventions within the meaning of this Agreement．In addition，Executive agrees to promptly surrender all such original and copies of such information and records at the request of the Company．

9．Executive Representations and Warranties．The Executive represents and warrants to the Company that he is free of known physical and mental disabilities that would，with or without reasonable accommodations that would not creatc an undue hardship for the Company， impair his performance hereunder and he is fully empowered to enter and perform his obligations under this Agreement．Without limiting the generality of the foregoing，Executive represents and warrants that he is under no restrictive covenants to any person or entity that will be violated by his entering into and performing this Agreement．The Executive shall indemnify the Company on demand for and against any and all judgments，losses，claims，damages，expenses and costs （inciuding without limitation all legal fees and costs，even if incident to appeals）incurred or
suffered by the Company as a result of any breach by Executive of this representation and warranty．

10 Binding Effect．Except as herein otherwise provided，this Agreement shall inure to the benefit of and shall be binding upon the parties hereto，their personal representatives， successors，heirs and assigns．

11．Severability，Invalidity or unenforceability of any provision hereof shall in no way affect the validity or enforceability of any other provisions．

12．Governing Law．This Agreement shall be governed and construed in accordance with the laws of the State of Florida．

13．Entire Agreement．This Agreement and the Confidentiality Agreement dated July 9， 1999 by and between iviewit，Inc．（togecher with its direct and indirect subsidiaries and affiliates）and Executive contains the entire understanding between the parties and this Agreement may not be changed or modified except by an Agreement in writing signed by all the parties hereto．

14．Notice．Any notice required or permitted to be delivered hereunder shall be deemed to be delivered when either hand delivered or deposited in the United States mail，postage prepaid，registered or certified mail，return receipt requested，addressed to the parties at the addresses first stated herein，or to such other address as either party hereto shall from time to time designate to the other party by notice in writing as provided herein．

15．Prevailing Parties．If any legal action or other proceeding is brought for the enforcement of this Agreement，or because of an alleged dispute，breach，default or musrepresentation in connection with any provision of this Agreement，the successful or prevailing party or parties shall be entitied to recover reasonable attorneys＇fees，sales and use taxes，court costs and all expenses even if not taxable as court costs（including，without limitation，all such fees，taxes，costs and expenses incident to arbitration，appellate，bankruptcy and post－judgment proceedings），incurred in that action or proceeding，in addition to any other relief to which such party or parties may be entitled．

16．Survival．Notwithstanding any termination of this Agreement，the provisions of Sections 6 through 16 shall survive such termination．

IN WTTNESS WHEREOF，this Agreement has been duly signed by the Executive and on behalf of the Company as of the day and year first above written．

## iviewit．com LLC



Eliot I．Bemstein，Vice President


## FOLEY \& LARDNER

## BRUSSELS <br> CHICAGO <br> DENVER <br> OETROT <br> JACKSONVILLE <br> LOS ANGELES <br> MADISON

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EMALL ADORESS

October 9, 2001

Norman Zafman, Esq.
Blakely, Sokoloff, Taylor \& Zafman LLP 12400 Wilshire Boulevard, Seventh Floor Los Angeles, California 90025-1026

Re: Transferred Iviewit.com, Inc. Files
Dear Mr. Zafman:
Enclosed please find correspondence recently received relative to the PCT/US00/15602, $\mathrm{PCT} / \mathrm{US} 00 / 15405$, and $\mathrm{PCT} / \mathrm{S} 00 / 21211$ patent applications which were transferred to you on July 2, 2001.


Enclosure
cc: Ross Miller (w/o encl.)
Eliot Bernstein (w/o encl.)

2. COMMUNICATION:

This is in response to the "NOTIFICATION OF RENOUNCEMENT OF POWER OF ATTORNEY" filed 16 April 2001 in the above-identified PCT application. Applicants are hereby notified thatBamy L. Grossman, the attorney who requested withdrawal, is considered to be withdrawn. All the other 22 attorneys listed in the Power of Attornay filed 18 August 2000 still remain as attomeys of record and correspondence will be mailed to them at the address listed in Box No. IV of the REQUEST filed 07 June 2000.



Form PCTIRO/132 (July 1992)

## EXHIBIT H

PCT US 0021211

developed image can be enlarged to sizes of tretweten $8^{\prime \prime} \times \theta^{\circ}$ and B"×12", or to any other appropriate size. The developed image is enlarged to provide additional photo information to scanning device

10 78. The developed image can be enlarged many times before the granularity of the image is visible to the human eve. An enlargement magnification capability of up to 1700 times or more may be attelned for most views of scenes. It is, however, recommended that larger enlargement sizes be obtained for smaller developed images. As mentioned, the step of enlarging may not be necessary in all cases leg., where the size of the print film image or developed image is large enghigh to provide sufficient data to scanning devices 18 ).


At step 58 , the enlarged image is scanned by scanning device 18 in order to penerace a bitmap image file or other digital
16 Image file, such as./SPEG, GIF, or_other files. Scanning occurs at a

rout 600 dpi to provide a large number orfincers in thee resulting
 20 one example, a sufficient number of plats ate provided in the enhanced digital image file to allow a user to magnify the digitized image in the viewing window of depiay 30 by a magnification factor ${ }^{〔}$ minot without pixelation.
-of-prictiv are provided to allow the veer to magnify the digitized

According to one exemplary embodiment, the number of pixels provided in the enhanced digital image file is based on a viewing window size and the desired magnification ratio. By

[^32]\[

$$
\begin{aligned}
& \sin \\
& \text { C-204 } \\
& { }^{4} 7 \mathrm{CH}=1 \\
& \text { roger }
\end{aligned}
$$
\]

providing more pixels in the enhanced digital Image file than is required for a full-window view in the viewing window, the user is able to $\mathbf{z o o m}$ and pan within the digital image during viewing without pixelation.


FIG. 7 illustrates relationships between a source image (erg., a scanned print film ímagol, a viewing window leg., a portion
 soufer-matesto be displayed in the viewing window), and a target
 parameters and description are for the purpose of creating large, clear, zoomable end pansble images from a variety of photographic, non-digital source images.
$\xrightarrow{3}$ The source image (si) has a source image hight (sit) and a source image width (six). Thus, the source Image aspect ratio (alar) on be determined as:


$$
40.1 \overline{3} 50, \quad 5 / 40
$$





from the source image (cEil) must ba selected and seeled. The viewing image helght (yin) and viewing inge width (vip) within viewing window (wwheld be determined by comparing the sour oe

Image aspect ratio (alder) to the viewing window aspect rato (war),

SI as shown:
 the veavirie The magnification factor, (mf) is defined as the fatso af amount of zoom available without otusing the image to distort due to few or pixels in the image being displayed than available in the
 (tia) having a target [mage width (tiv) and a target image height (til) can be determined as the magnification factor $\mid \mathrm{mfj}$ times the viewing irene size (wis):


[^33]$T /(s) \mu / \alpha=V / s$

 viewing image is shown in FIG. 7. The relationship between the target image and the viewing window is also shown. A zoom to themaximum level will be shown in the viewing window as illustrated at drawing 120. By panning the viewing window, every portion of the target image may be viewed from esth level of zooming.

To determine the minimum scan density (med) to avoid


Whinow Aspect Ratio:


The Viewing [mage size $=v / s=320 \times 400=128.000$
 The Target image size $=$ vis $\times 20=128,000 \times 20=\Pi \quad T S=\frac{\mathrm{VIS}}{\mathrm{m}^{F}}$ 2,560,000 pixels

The MInimum Scan Density $=1789 / 5=359$ plxels per Inch

$$
1,789 / 4=447.25
$$

The photo scan can be any scan density > 357 pixela per inch greater than \$5y pixels per inch to allow magnification/2oom up to 20 times In a viewing window of $320 \times 240$ pixels. An enhanced 5 digital image the having 2,560,000 pixels provides a sufticient number of pixels for this example.

## EXAMPLE 2

Determine the Target image size and dimensions, and minimum stan density for the following case:

```
H w
Source Image \(=4^{11} \times 5^{n}\)
Desired Magnification Factor \(=20\)
Source image Aspect Ratio \(=4 / 5=0.8\)
```

Define the Viewing Window: assume 360h $\times 400 \mathrm{w}$ pixels
Viewing Window Aspect Ratio $=360 / 400=0.0$

The Source Image Aspect Ratio is < the Viewing Window Aspect Ratio:

$$
\begin{aligned}
& 0.8<0.9 \text { therefore; } \\
& \text { wis }=v w w=400 \text { pixels } \\
& \text { viM }=y \text { y if } \times 0.8=400 \times 0.8=320 \mathrm{plxele} \\
& V W W
\end{aligned}
$$

The VIewing Image size $=$ via $=320 \times 400=128$, 000 pixels
The Target liege size n wis $\times 20=128,000 \times 20=$ 2,560,000 pixels

The Target Image width $=\sqrt{2,560,000 / 0.8}=1789$ pixels

The Target Image height $=1789 \times 0.6=1431$ pixels

The Minimum Scan Density $=1789 / 5=389$ pixels per inch $\quad\left(\begin{array}{ll}\text { O. } / 5 \quad \text { MS F } & =7 / h / 5 / H=1431 / 4=357.75\end{array}\right.$

The photo scan can be any scan density $>307$ pixels per Inch

## EXAMPLE 3

Determine the Target image size and dimensions, and minimum sean density for the following case:


Desired Magnification Factor $=20$
Source Image Aspect Ratio $=5 / 4=1.25$

Define the Viewing Window: assume 360h $\times 400 \mathrm{w}$ pixels VIewing Window Aspect Ratio $=360 / 400=0.9$

The Source Image Aspect Ratio is > the Viewing Window Aspect Ratio:

$$
\begin{aligned}
& 1.26>0.9 \text { therefore: } \\
& \text { wi }=\text { wh }=360 \text { pixels } \\
& \text { vi }=\text { th } / 1.25=360 / 1.25=288 \text { pixels } \\
& \text { vFW }
\end{aligned}
$$

The Viewing Image size $=\mathrm{v} / \mathrm{s}=\mathbf{3 6 0} \times 288=103,680$ pixels The Target Image size $=$ wis $\times 20=103,680 \times 20=2,073,600$ pIxels

The Target Image width $=\quad 2,073,600 / 1.25=1288$ pixels
The Target Image height $=\mathbf{1 2 8 8} \times 1.25=1510$ pixels

The Minimum Scan Density $=1288 / 4=322$ pixels per inch

$$
M B A=+\operatorname{tin} / \sin C t 258 / s=257.6
$$

The photo scan can be any scan density $>\boldsymbol{3} / 1$ pixels per inch
257.6

| To: | Doug Hoehm | Fin: | 414-297-4900 |
| :---: | :---: | :---: | :---: |
| From: | Jim Anmstrong | Dute: | 8/4/00 |
| Rat | iviewit. com, LLC. | Paptes: | 9 (inciuding cover) |

cc: Eliot Berustein, Steve Becker


-Jim
determined by comparing the souree image aspect ratio (sir) to the viewing window aspect ratio (wwr), as shown:
if sir < ww then:

$$
\begin{aligned}
& \text { vih }=\text { vih } \\
& \text { viw }=\text { vih * sir }
\end{aligned}
$$

but if sir $>=v w$ ther:

$$
\begin{aligned}
& \text { viw }=\text { vww } \\
& \text { vih }=\text { viw } / \text { sir }
\end{aligned}
$$

This relationship is illustrated in FIG. 7.
Note that the target image ( t ) is created from the source image (si), by 8caling the image (si) dowt to fit within the viewing window (vw). When the target image (ti) is scaled down by the desired maximum magnification factor (mmf) to fit within the viewing window (ww), the scaled target image is called the viewing image (vi).

The maximum magnification factor (monf) is defined as the ratio of the target image area (ita) to the viewing image area (via). This ratio will detemine the amount of zoom available without causing the image to distort due to pixclation, i.e., when fewer pixels are in the viewing image being displayed than available in the viewing window.
So:
target image area (tia) $=$ tw $\times$ tin
and since

$$
\text { via }=\text { viw } \times \text { vih }
$$

then

To obtain the target ifnage width and height:

$$
\begin{gathered}
\text { tiw }=\text { squareroot (ija * sir) } \\
\text { th }=\text { tiw } / \text { sit }
\end{gathered}
$$

The relationship between the target imege and the viewing image is shown in FIG. 7. The relationship between the larget image
and the viewing window is also shown. A zoom to the maximum level will be shown in the viewing window as illustrated at representation 120 of FIG. 7. By panning the viewing window, every portion of the target image may be viewed from each level of zooming.

To determine the minimum scan density (med) to avoid pixelation at the desired maximum magnification factor (imp):

$$
m s d=\operatorname{tilhsih} .=+1 w / s \dot{N}
$$

## EXAMPLE 1

Determine the Target Image Area and dimensions, and minimum scan density for the following case:

Source Image $=5^{\prime \prime}$ wide $\times 4^{4 \prime}$ high
Desired Magnification Factor $=20$
Source Image Aspect Ratio $=5 / 4=1.25$
Define the Viewing Window: assume $480 \mathrm{w} \times 320 \mathrm{~h}$ pixels

The Source Image Aspect Ratio is < the Viewing Window Aspect Ratio:
$1.25<4.5$ therefore: with

$$
\begin{aligned}
& \text { vi }=\text { why } ; 320 \text { pixels } \\
& \text { ww }=\left(w w^{*}: 1.25=320 * 1.25=400\right. \text { pixels }
\end{aligned}
$$

The Viewing Image Area $=$ xis $=320 \times 400=128,000$ pixels
The Target Image Area $=v i s \times 20=128,000 \times 20=2,560,000$
pixels
$71 \mathrm{~m}^{2} / \sqrt{2560000} \cdots 1.25=1789$

The Minimum Scan Density $=1789 / 5=358$ pixels per inch -
The photo scan can be any scan density > 357 pixels per inch
Thus, a $5 \times 4^{\prime \prime}$ print film image should be scanned at
greater than 357 pixels per inch to allow magnification/zoom up to 20 times in a viewing window of $320 \times 240$ pixels) An eninanced digital
$480 \times 320$
-13-
image file having 2，560，000 pixels provides a sufficient number of pixels for this example．

## EXAMPLE 2

Determine the Target Image Area and dimensions，and minimum scan density for the following case：

Desired Maximum Magnification Factor $=20$
Source Image Aspect Ratio $=5 / 4=1.25$
Define the Viewing Window：assume 400w $\times 350 \mathrm{~h}$ pixels
Viewing Window Aspect Ratio $=400 / 360=1.11$
The Source Image Aspect Ratio is＞the Viewing Window Aspect Ratio：
$1.25>1.11$ therefore：

$$
\begin{aligned}
& \text { viw }=\text { vww }=400 \text { pixels } \\
& \text { vih }=\text { viw } / 1.25=400 / 1.25=320 \text { pixels }
\end{aligned}
$$

The Viewing image Area $=$ via $=400 \times 320=128,000$ pixels
The Target Image Area $=$ via $\times 20=120,000 \times 20=2,560,000$ pixels
$\rightarrow$ The Target Image width $=2,560,000 * 1.25=1789$ pixels $\mathrm{Ti} \mathrm{N}=42,54,4,4 \times 1.25=1784$
The Target Image height $=1789 / 1.25=1431$ pixels
The Minimum Scan Density $=1431 / 4,535$ pixals per inch－icarrect

## EXAMPLE 3

Determine the Target Image Area and dimensions，and minimum scan density for the following case：

Source Image $=4^{4 *}$ wide $\times 5^{\prime \prime}$ hign（portrait orientation）
Desired Magnitication Factor $=20$
Source Image Aspect Ratio $=4 / 5=0.8$
Define the Viewing Window：assume $400 \mathrm{w} \times 36$ w bixels
Viewing Window Aspect Ratio $=400 / 360=1.11$
The Source Image Aspect Ratio is＜the Vewing Window Aspect Ratio：

$$
\begin{aligned}
& 0.8<1.11 \text { therefore: } \\
& \text { vih }=\text { vwh }=360 \text { pixels } \\
& \text { viw }=\text { vih } * 0.8=360 * 0.8=288 \text { pixels }
\end{aligned}
$$

The Viewing Image area $=$ via $=360 \times 288=103,680$ pixels
5 The Target Image area $=$ via $\times 20=103,680 \times 20=2,073,600$ pixals
 The Target Image height $\leq 1280 / 0.8=1610$ pixels The Minimum Scan Density $=1610 / 5=322$ pixels per inch The photo scan can be any scan densily > 321 pixels per inch

Returning now to FIG. 2، at step 60 , the enhanced digital image file is provided to computer 22 in a digitized tomat, i, i., pixelbased, bitmapped, etc. (as opposed to vector graphics based format), such as in either in a bitmap BMP format or a compressed JPEG format. Computer 22 performs a touch-up operation on the scanned image in order to make refinements or enhancements thereto. This touch-up operation is atcomplished by utilizing imaging software. Touch-up steps may inclute cleaning the edges of the image, adjusting lighting, adjusting colors, ete. Adobe PhotoShop soflware, manufactured by Adobe Systems Inc., San Jose, Califomia, can be used as the imaging sotware for touching up the images.

According to one example, multiple images can be stitched together after scanning, and before or after compression, thereby creating a panoramic scene or image, or simply a scene requifing a plurality of photographs. This stitching operation can be performed by utilizing photo stitching sotware such as. for example, Photo Vista software by Live Picture, Live Picture Reality Studio or Live Picture Object Modeler. Stitching may comprise sufficient photos for a 380 degree panoramic image of a scene. If images are stitched, they may be touched-up at step 60 .

At step 62, if desired and if the enhanced digital image file has not yet been compressed (e.g., by scanning device 10 or the touchup software), the image is then converted from a bitmap file format (e.g., BMP) to a compressed file format (e.g. JPEG), Other compression algorithms are contemplated. Adobe Image Ready software is utilized to perform the BNP-to-JPEG file conversion in this exemplary embodiment. The compression is set to a very high compression factor, such as, 70\% to $90 \%$, but may atternatively be set to other compression factors. The target image araa be set as one of the parameters for compression, thus ensuring an optimum compressed file size.

At step 64, user interface or control data is associated with the enhanced digital image file. The user interface data is a program or code segment (e.g., a Java applet) that provides a graphic user interface on display 30 upon loading of the image. The user interface program is associated with the enhanced digital image file such that the combined file or files can automatically launch the graphic user interface. decompress the digital image data, and display at least a portion of the digital image data within a viowing window having a predetermined viewing size on display 30.

The user imterface data may alternatively be a plug-in, applet, or other software program, such as, Photo Vista, Reality Studio, or Object Modeler manufactured by Live Picture Inci, San Francisco, California, or an lpix plug-in manufactured by Internet Pictures Corporation of Oak Ridge, Tennessee. The user interface data may be either associated with the enhanced digital image file such that it is downloaded with the enhanced digital image data, or it may be launched independently from the enhanced digital image data as, for example, an applet or plug-in on user computer 28. If the user interface data is taunched independently of the image data, it may either be first opened by the user before dowtoading the enhanced digital image file, or it may
be automatically opened by the enhanced digital image file, such as, via a script or other code segment within the enhanced digital image file.

Refarting to FIG. 3, an exempiary screen print 80 from display 30 is shown illwstrating the Graphical user interface 82 generated by the user interface program. User interface 82 includes 3 viewing window or frame 84 for displaying the digital image data 88. User intertace $\mathbf{8 2}$ further includes zoom buttons 88 for allowing the user to zoom into and out of digital image data 86. By actuating one of zoom buttons 88 , user interface program resizes digital image data 86 within viewing frame 84. User intertace 82 further includes panning buttons 90 to allow the user to pan up, down, left, and right within image dala 86.

Once the user interface program is associated with the onhanced digital image data, the resulting image is ready for providing to a network server, projection from a projector, display system, posting, or playback, to or from a host computer, a Web server, a Web site, or a Web page. At step E6, the enhanced digital image is uploaded to a network server. In the Instance where the enhanced digital Image is posted to an Intemet Web server, the upload from computer 22 to the respective server can be performed by utilizing file uploading software, such as, Web FTP (file transfer protocol) Pro sotware, manufactured by Ipswitch, Inc., Lexington, Massachusetts.

Refering now to FIGS. 3, 4, and 5, exemplary print screens are shown illustrating the result of an upload or download of the enhanced digitat image file to user computer 28 for display on display 30. In FIG. 3. digitat image data 86 of a collectible stamp inage is shown within a viewing window 84 . Although viewing window 84 is sighly smaller than the full-screen size of display 30 (e.g. $840 \times 480$ pixels in this example), viewing window 84 can altematively be configured for full-screen display, or display in other sizes or resolutions. As shown, digitat Image data 86 shows no sign of pixelation.

In FIG. 4, e user has actuated zoom buttons 88 to zoom-in to the digital image. In response, the user interface program provides additional digital image data from the enhanced digital image file stored in a memory (e.g., a hard driva) of user computer 28, to provide a zoomed view of the digital image. Thus, the view of FIG. 4 also shows litlle sign of pixelation even though the image has been magnified many times.

In FIG. 5, a user has actuated pan buttons 90 to diaplay the lower lett-hand comer of the digital image data within viewing window 84. The user has also actuated zoom buttons 89 to zoom-in to the digital image data. Again, litte pixelation is visible.

As mentioned, the principles described herein are also operable with a digital image taken by a digital camera. Refeming now to FIG. 6, a method 100 of providing an enhanced digital image file utilizing a digital camera is shown. At stap 102, the digital camera is confegured to acquire a dipital image. In this step, the camera is set with a high resolution to acquire at least enough pixels for a magnification of two times the size of the viewnhe window provided on display 30, though higher numbers of pixel data may also be acquired. Again referming to $F[G .7$ and the corresponding description hereinabove, with a digital source image, the maximum magnfication factor (mmif) should not produce a target image larger than the source image in pixels because of the pixel distortion or pixelation effect, i.e., distortion due to fewer pixels in the image being cisplayed than available in the viewing window. Since:
target image area (tia) $=$ tiw $\times$ tih $=$ via $\times$ mmf
then to obtain the target image width and height:

tih $=$ tiw $/ \mathrm{sir}$
If th $>$ sih then set tih $=\sin$ and tiw $=\sin$

Atty. Dkt. No. 57103/120

## EXAMPLE 4

Dotermine the Target lmage size and dimensions, and finimum scan
(densijy for the following case:
Source Image $=1600 \times 1200$ pixets

Detired Magnification Factor $=20$
Source Image Aspect Rato $=1800 / 1200=1.33$
Define the Viewing Window: assume $480 \mathrm{w} \times 360 \mathrm{~h}$ pixels
Viewing Window Aspect Ratio $=480 / 360=1.33$
The Source Image Aspect Ratio is $=$ the Viewing Window Aspect Ratio:

$$
0.75=0.75 \text { herefore, }>1.33=1.33
$$

vih $=$ wh $=360$ pixels
viw $=$ vih * $1.33=360 * 1.33=480$ pixels
The Viewing Image area $=$ via $=400 \times 360=172,800$ pixels
The Target Image area $=$ via $\times 20=172,000 \times 20=3,456,000$ pixels
15-The Target image width $=\sqrt{3,456,000} \times 1,33=2147$ pixals
The Target Image height $=2147 / 1.33=1610$ pixels
But tih of 1610 pixels is > $\mathbf{1 2 0 0}$ pixels therefore:
th $\overline{=} 1200$ pixels
liw $=1800$ pixels
tia $=1200 \times 1800=1,920,000$ pixels
Effective Maximum Magnification Factor $=$ tia $/$ via

$$
=1,920,000 / 172,800=11.1
$$

The Minimum Scan Density = N/A
Steps 104 (touch-up image), 106 (compress file), 108 (associate user interface data), and 110 (upload file) may proceed as described with reference to FIG. 2 in the print fimm image exemplary method.

The above method can be repeated using different depth images or digital photographs for the images in order to create areas of higher resolution or "hot spots" within an image for detailed close-up
camera with you at any of those meetings?
A. I didn't take a camera with me.
Q. Now, going back to the Iviewit
technologies, the heart of the company's property, intellectual property, was there ever any concern expressed to you, as COO and president of the company, concerning the math, the poor math that was submitted to the patent office with errors? Is there anyone who expressed any concern to you about that?

MR. PRUSASKI: Object to the form.
THE WITNESS: There was a dispute as to the consistency of the mathematical representation, not to accuracy.

By MR. SELZ:
Q. Okay. So it wasn't dealing with the accuracy of the math or computational errors; it was dealing with whether or not the math properly applied the processes involved?
A. No. It was, I said, consistency.
Q. Consistency, okay. Well, explain to me what you mean by consistency, then, sir, so I can understand.
A. Well, there may be several different ways of deriving the same number, and it could be

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derived using one form in one place and another form in another place. The result is always the same and both forms are accurate.
Q. But they're not consistent?
A. They are not -- they don't show the same format, but the values and the value derived is always the same. It is mathematically correct.
Q. Okay. So did anyone ever express to you a concern about those particular issues?
A. There was a concern expressed, yes.
Q. By who?
A. By Eliot Bernstein.
Q. How about Murice Buchsbaum, did he ever express any concern to you about the math submitted?
A.. Murice Buchsbaum didn't understand the math.
Q. Okay. So he never, he never expressed any concern to you then?
A. Not on that score.
Q. Did the board of directors ever question you about the patent materials submitted or any problems with the patent submitted to these intellectual property rights?

```
        By MR. SELZ:
```

Q. Did you ever discuss with the board of directors any of the problems with Foley $\&$ Lardner or Meltzer Lippy's work with regard to the patent?
A. I don't recall discussing any problem with respect to Foley \& Lardner's work because I don't recall any problem with Foley \& Lardner's work.
Q. Okay. How about Meltzer Lippy, I think you described yesterday, there were some concerns; were those discussed with the board of directors?
A. The work done with Meltzer Lipper, was done mostly before my time.
Q. What about the part that was done during your time, were you concerned about any of the quality of the work that was performed or any problems you felt might arise from that work?
A. I did not, during that time, discover any problems.

If I may ask the question, I'm puzzling here to understand why this form of
questionjng is relevant to the Proskauer
litigation?
Q. Well, actually, sir, and I don't mean to be impolite in any manner whatsoever, but the role here for you is not really to ask these questions, but rather to answer the questions that are posed. So, although I appreciate your concerns, that's not something really for you to determine, but rather for posing counsel to bring before the court, if these matters should ever be submitted.

So, again, I'm not attempting to be rude or impolite in any manner, but these are the questions we can pose to you and you are duty bound to answer them.

MR. PRUSASKI: I would just say to some extent, because he's not represented here, I think he's got the right to --

MR. SELZ: He doesn't have any right. to object. And you know, Chris, you and I both know, that even if you object to the form of a question or relevancy, the witness still has to answer it.

MR. PRUSASKI: I agree, and I think Mr. Utley knows that he needs to answer the

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questions, but I think because he's not
represented, to some extent, he does have the right to question the relevancy.

MR. SELZ: Well, I mean, he can object kased on the relevancy or you can object based or the relevancy, but he cannot question the validity of what I'm asking based on a relevancy objection.

MR. PRUSASKI: Okay. I just think that his last comment was basically just a lay person's objection to the relevance.

MR. SELZ: That's fine. I mean, you know --

MR. PRUSASKI: And I'd like to
state --

MR. SELZ: I don't even know if he has standing to interpose an objection because he's not a party to the case. So, well, I guess whatever, but the bottom line is we'll proceed so we can hopefully get through this as quickly as possible and release Mr. Utley from his obligations here.

MR. PRUSASKI: Okay. And I'd like to join Mr. Utley with objecting to the relevance of the question too. Go ahead.

MR. SELZ: (No response.)
MR. PRUSASKI: Steve?
MR. SELZ: Yeah, I'm still here.
MR. PRUSASKI: Okay. Go ahead when you're ready. I guess we're done.

MR. SELZ: You're done with your objections. Okay, fine.

MR. BERNSTEIN: Could somebody repeat the last question for me, please.

MR. SELZ: The last question was are you, are, were you aware of any situations according to -- Madam Court Reporter, actually if you could do me a favor, if you read back that last question, I would appreciate it.
(Whereupon, the requested portion
was read back.)
MR. SELZ: That's fine, thank you.
By MR. SELZ:
Q. Did Foley \& Lardner ever discuss with you any potential errors in the patents and any potential liabilities that would arise from those errors?

MR. PRUSASKI: Object to the form.
Assumes facts not in evidence.
MR. SEL.Z: Well, let me start off

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with the basic question then.
By MR. SFiLZ:
Q. Did Foley \& Lardner ever advise you
that there were any errors in the patents?
A. NO.
A. No.
Q. So, then, they never advised you of
any liabilities or any errors that might arise
from any errors because there weren't any; is
that your testimony is today?
A. They never advised me that there
were any errors in the patents.
Q. Okay. Now, with regard to the

Proskauer Rose billing, you had indicated that you had authorized certain payments to be made and Mr. Prusaski had showed you a series of letters sent to you by Chris Wheeler and your responses on a couple of those.

Were those payments ever authorized
by the board of directors of Iviewit?
A. The board of directors normally does not become involved in the administration of accounts receivable and accounts payable.
Q. Okay. Well, you, in your own testimony, sir, indicated that the company was in a cash poor position; is that true?

## Eliot I. Bernstein

From: Eliot I. Bernstein [alps1@bellsouth.net]
Sent: Wednesday, August 09, 2000 11:10 AM
To: Douglas Boehm (E-mail); James F Armstrong (E-mail); Simon L. Bernstein (E-mail); brian@iviewit.com


Doug - As you can imagine I am a bit upset myself with last Friday's conversations but for different reasons. Thave made not one single unfoumded accusation. My remarks were based on facts. If you read the transcript what initated that call was that when we received the patent that was filed, many of our changes were not incorporated. Most of the changes in the math that we spent the entire day with you laboring over were not ineorporated in the patent. The math had fundanemal errers still such as missing satare roots etc. Also, if you liston to the tapes you will find that Brian was also upset that the math that he had sent several days earlier was also not included. Therefore, we seem to see widd accusations as separate itens.

I would have liked to have more time to review the patent nyself but you and Steve were working with Brian and I did mot really have time to review until the week prior to filing when I first received the first draft. This gave me very little time to review prior to filling, so If am undear as te how I could have done things any faster as you said in your letter. Ifigured we had established most of the meat of the invention when you came to our offices several months ago and that the finall patent would have been well thought out, and that the nath would be correct and tested. When I received the first drafi of the filing, again, we had failed to cover zoon without pixelation. When I saw the complexity of the math, I asked ny friend to explain to me. What Jim fond were huge errors that we spent our entire day reviewing wilh you. We agreed to those changes and we trusted that they would be incorporated in our filing. They were not. You now refer iop these as minor changes but while we first reviewed them you called them very significant.

As you articulately pointed out, I am neifher a patent attomey nor an engineer nor a mathematician, so I have hired people II do trust. What was shocking to me as naive as I may be was that these were items that were discussed to full understanding and yet they remained wrong. You canot argue that there is much wrong with my anger since it remains founded in facts. Anger is an associated with fear, and this seemed to put me and my partners in danger locanse of the errors. Thus, I roacted to these fads by asking Steve what liabilities we would now encumber and this is of majur concem to my sharcholders etc. 萑 there were liahilities that arose.

Regarding the patents Ray fled, it was you and Steve that pointed out that there could be problems in Ray's filings. Again, Ire-acted in fear. How can the people we are entrusting to our inventions fail to serve us well? Thus, I allerted those involved and you presented your position on Ray Joad since yot were the one who made the accusations in the first place. After listoning to you we had decided to go back and amend Rays application to clam priority of all matter to that initial date. When we alked with Steve it was unclear if we were sill on that same path and that
was because of the bar date. We revisited the bar date issue and it became nnelear as to whether it was September or August based on Centrack. When we noticed that the math and illustrations were mot based on the stuff Brian had sent you we asked Steve to explain, he had no answer as to why it had been filed without it. Then, if you listen to the tapes, you will see that Brian was very upset that these changes had not been entered. He was shocked and so expresses his emotions on the tape. Have you written hin an angry letter, calling him a widcard that has unfounded accusations.

As to bolding the meeting without you, we were very concerned about the mistakes in the filing and we did try several times to call you. Per Steve and your secretary it was determined that you were totally unreachable by any communication methods. We did not know that this was a foul and had Steve felt uncomfortable he should have passed on the call or brought Bill Dick in.

I have not gone behind your baek and made accusations, I am simply trying to moderstand why the fling was missing the corrections we had worked on, and why Brian's math he had sent days eatier was mot ineluded and what this would expose us to. If the math mistakes were critical, it would have been I who would have had to explain to our board why heir investments may have not been adequately protected. How would you feel in that position?

Since these items that will be corrected are in hact mistakes and not accusations of mistakes, I fail to understand why your retort appears so hostile. Why yon feel the need to attack my personality ele. 'The point of Friday's conversation was to malke changes where change is due and move. forward, together. We asked for a clear and concise letter addressing these and other issues that would help clear up the mistatises elc.

I do understand why you want to seek wrath against me or my company for founded factual mistakes, made on your part. I was expecting an apology from you as to why this occurred in the first place, not a letter aceusing me of any wrong doings. I did nothing wrong. Ifeel that the bill for that fling should instead be reduced for the time and effort that was wasted and will be further wasted fixing the errors.

I am a little confused by the statement; "Since you seem to have a predisposition to sue your patent lawyers, I now have to religiously follow all of our firms practices and procetures for documenting everything I say and do with you." Why were we not doing this all along, as it seems a practice of the firm to protect your clients and why would this sulbeet me to additional rates?

I am also puzeled as to why you chose to write me directly and not include the ofher people involved in his matier. First, Brian was also very upset and puzaled by this. Briam, unlike me, has good knowledge in this arena; he has leeen hired to handle the intellectual property of the firm. If anyone has lailed to minderstand any of the things you lave mentioned, you must confront hin. Brian bas been working with you to develop and cultivate the groperty; if we are in the dark you must contront him. I am naive in this world and this is why I have entrusted both him and you to protect the company. Also, my father was on the phone and Jim Armstrong and neither have felt that I did anything wrong or umjust. Thus, I fect obligated to let then respond to your letter as well.

I am sorry for your feeling that I have accused you of wrong doings. I was just upset with the facts of the matter and may have seemed scared and afraid. Tike both Steve and yourself and do appreciate all you have done. It does not negate the problems though. I am unsure of how you
want to proceed with the firm but I think this need to be handled by all involved parties.
Best regards,
Eliot

- -momoriginal Message man-

From: Boelm, Douglas A.
'To: Eliot Bermstein (viewit)
Sent: 8/9/00 2:46 AM
Sumjeet: PLRSONAL AND CONETHENTHAL
PERSONAL AND CONIIDENTIAL ATTORNE -CLIENT PRULLEGED

Eliot-
I need to discuss something personally with you that is wery important. to our working relationship. Iam sending this viad-mail and only to you, withont copying Hrian or anybody else, so whether yon share it or not is entirely up to you. Please take the time to read and consider the following.

I am very upset with the way you handled the situation at last Friday's teleconference with Steve Becker regarding the latest patent application filings, and 11 am particularly offended by youm exaggerations, accusations, and criticisms of our work. Ilistened to the tape of the teleconference, and I was shocked.

First, you know that 1 am the Foley ${ }^{2}$ Lardner partner responsible for Iviewit work, and Steve Becker is the associate who reports to me. I can't believe that you decided to hold that teleconference Friday with Steve without me being present. That was really low. If you want to fly off the handle and jump to conclusions without talking to Brian first, that's your business. But when it comes to making aceusations about the quality of my work product to my associate, that's my business.

Second, Steve and I have consistently pui $110 \%$ effort into everything we have done for you. Last week, I put in $200 \%$ eflort, flying down to Florida on short notice so you can hold a meeting to fyere out if you were gaing to sue your former patent attorney, having me spending all the next day with your investment bankers, and then spending the night in the O'Hare airport and coming directly to work the very next day to revise and file a patent application for you by midnight. Idon't know very many people that woull have done hat for a client. Now you get all bent out of shape over a few minor math nistakes - which are readily correctable.

Third, during the Friday teleconference, you aceused us of changed strategies, filing delays, and huge mistakes. If there were any strategy changes, they were partly your fanlt -- hecanse yon don't understand what's happening on the IP side of your business, even though we have tried to explain it all to you many times. But that's fime if you trust your people. You have cxechent people working with you, but you simply don't listen to us. Instead, you make wild accusations and inflammatory statements about thing you know nothing about. The delays and the mistakes were also, to a large extent, your fanlt. Had you gothen Jim involved earlier, had you worked closer with Brian to understand the math, had yobspent nore time reviewing the application dralls, then perhaps none of this would have happened. Yon canid just sit back now and blame us. Sorry, but I won't put up with it.

Fourth, you have strained our working relationship. We now have to tape each others' telephone conversations so we can point fingers and threaten to sue each other? What kind of a working relationship is that? I Higured out from day bae that you were a wildcard, but didn't mind that hecause I can relate to wildeards. A lot of brilliant inventors are wildcards. Ihave even heen accased of being a wildeard mysell. Wut just because you're a wildcard doesn't mean you have the right to make unfonaded accusations and cot people ofl st the knees.

I'm afraid this latest episode is going to cost you. Steve Becker won't work on any Iwewit matters any longer for ne. That's going to cost you an additional $\$ 40.00$ per hour in legal fees, mow that Thave to do the work myself. Since you seem to have a predisposition to sue your patent lawyers, I now have to religiously follow all of our firms practices and procedures for documentingeverything I say and do with you. That's yoing to take me extra lime and cost yon extra money. Folley \& Lavdner raises its billing rates on September ist, and I was previously consitering discouming our rates for hiewit as I have done in the past. After all of your accusations, Idon'thave any inclimation to do so. I've also been dragging my fect on providing you with our bills, as a favor to you and brian, since I knew you were cash-strapped. No more. I'm sending your bills as soon as I can. Thave somehow lost my motivation to get into hot water with my firm for such an unappreciative ctient.

The way I see it, you owe us an apology. Steve worked many long, frustrating hours trying to pull an invention out of your head and get it down on paper. Apparently Ray Joa had the same problem. You owe Steve an apology for blaming him, without proof, of cutting and pasting the mathematical formulas into the wrong document, and for accusing him of not copying you on the patent correspondence, and for getting angry and using prolanity at the meeting. No lawyer should have to put up with that kind of abuse from a client -- Het alone a bright young associate like Steve. Fortunately for him, Foley \& Lardner has enough work that he floesn't need to work for me on Iwiewit patent applications for billable hours -30 be's not going to anymore.

I think yon owe me an apology loo, and I consider myself pretty thickeskinned when it comes to these kinds of things. Ihave spent numerous mights and weekends working on your agreements and patent applications in order to satisly your unreasonably short deadlines. Then you accused me in front of everybody - - but behind ny back $-=$ of changing the math without your knowledge, allering numbers, nissing a priority date, not filing the changes everyborly agreed to, missing diagrams from final patent documents, changing filing strategies, and generally providing you with inferior work product. As you can see from my letter explaining the so-called errors, you blew everything out of proportion, and without even talking to Erian or me. Yon got everybody all excited, including your Father, and you're also talking about notifying the stockholders. Notifying them of what? Your unfounded accusations?

In order for me fo contimue working with you, you need to change. Vou need to promise ne that you will act in a civil and professional monner from here on ont. If you don't like the way I'm doing something eall me on it -- don't hold a meeting about it without me. If you don't understand a particular patent strategy, just ask me --instead of accesing me of changing the strategy. If a problem occurs on a team of which you're a member, try to resolve it as a team effort $-=$ dion't distance yourself and blame il on somehody else when you are partly at fautt.

Tou firs bad problems with Ray Joao, so you came to Foley ${ }^{\text {P Lardner. }}$ Now yor have problems with us, and Steve bailed ont. Are yof still going to have problems with me and my work product? Well, you can either work with me to resolve your problems in a civil and professional manner, or you can find another patent haw firm that will pat up with your umreasonable manner and abuse.

Eliot, by spending time on holding meetings to blame your lawyers, you are missing the bigger issues with your technology. Corrections to the math of that last patent application are relatively meaningless. You've got much bigger things you should be worrying about. We have told you alout them before, but I'm not sure you're listening.

Firsi, you don't seem to have a good feel whether or not your technology is patentable. You don't personally have the background to tell whether your technology is new. You don't appreciate that this technology is in a very crowded and fast-paced field, and will be difficult to obtain broad patent coverage. Vou have not performed any technical searches to determine what the state of the art was at the time of your invention. Fou don't know how to help us describe your inwention or distinguish it from the prior art hat we do know about. You just seem to be assuming that everything you did is patentable or can be made so. And if not? Oh, that's casy. Then blame the lawyers.

Second, you essentially argued to Wachovia that it doesn't matter il your competitors are currently using the same or similar techology as

Iviewit, hecause you were the first one to do it. Don't you realize that this argument doesn't fly if you don't have granted patents? When our PCT applications publish within the next six months, most if not all of your trade secrets will be lost. So then you want to go license the technology and know-how? And riewit is a neweoner in the industry? This could be tough. Even if the patents do issue, hut a competifor refuses to accept your technology license, do you have a spare million dollars or two to sue them for patent infringement? Have you thonght about any of this?

Third, I doubt if you have never checked to see if your competitors have patents covering your technology. You may find ont, rather abruptly I'm afraid, that the peopte yon're going to attempt to license may have a better patent portolio then you do. All of a sudden you'll end up being the licensee. Or what's worse, you may have to shut down and re-engineer your business to avoid a multimillion dollar patent infringement lawsuit. 'This is a real risk -m much more of a risk than losing a priority date because a square roo sign was missing from a math formala of an example in one of your patent applications. You should keep things in perspective.

During the Friday feleconference, you say that you don't know why we came up with a potential bar date of September 1,2000 , yet when Erian tried to explain it to you, you refused to listen. Now you independently decide that everything must be done by August 10th. That cannot realisticalfy be acomplished. Furthermere, I don't think it is necessary. Based on owr muderstanding when we were there in May, and based on 故riants comments on Friday, it does not appear that $8 / 10 / 99$ started the one-vear clock. Accorling to both you and Hrian, there was no public diselosure of the invention on that date, and there was no offer for sale of the invention. If you know of contrary facts, please provide then. But I refuse to jump through hoops that you arbitrarily set up jusi because you don't understand the law, or just because you get a kick ouf of seeing lawyers jump through hoops.

I realize that it is not commonplace for oulside counsel to be so blunt and upset with a client, so I apologize for sending this e-mail. However, I felt that you needed to be told these things now, and in a straightorward manmer, and in writing, in order to salvage our relationship. We camot go on working like this. If you don't like the quality of our services or work product, then please fre us and go find yourself mother group of lawyers who will put up with you. Otherwise, if you value our working relationship, yon"ll simply have to change the way you deal with people.

Please let me know what you decide.
--.Doug
$>$ Douglas A. Boehm
$>$ Foley \& Lardner
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$>\operatorname{Fax}:(414) 297.4900$
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$>$$>$ NOTE: The information transmitted in and/or attached to this message>is intended only for the person or entity to which it is addressed and>may contain confidential and/or privileged material. Any review,>retranmission, dissemination, or other use of, or taking ary action in>reliance upon, this information by persons or entities other than the>intended recipient is prohibited. If you received this information in>error, please contact the semder ant delete the materid from any$>$ computer.
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## Becker, Steven C.

'rom;
ent:
To:
Cc:
Subject:

Becker, Steven C.<br>Monday, July 24, 2000 4:44 PM<br>Eliot !. Bermstein (E-mail); Brian G. Utley (E-mail)<br>Boehm, Douglas A.<br>PGT Patent Application for "Zoom and Fan" Imatging

Re: PCT Patent Application for System and Method for Providing an Enhanced Digital Image File
Inwentor: Bernstein
Our Ref. No.: 57103/120
Brian:
During oul brief telephone conversation today, you prowided a few comments in response to my letter to you dated July 21, 2000. These comments were based on your review of the prior provishonal applications, and are summarized below.

1. The step of "enlarging" is not essential for ail embodiments of the invention.
2. The aspects of zooming and panning, and the function of the applet must be described in greater detail.
3. The disclosure relating to acquiring a photograph of a film video should be removed. However, the disclosure relating to processing ons frame of a video according to the process steps of the invention should be retained.
4. In the provisional patent application having our reterence number $57103 / 108$, the flowchart in FIG. 2A does not match: the corresponding description in the specification. Correction is needed.
5. Again, in the application for $57103 / 108$, the claims in their current form may not be of the proper scope and should be revised.
\&. You commented that the prior-filed PCT applications relating to enhenced video files did not specifically mention ptential applications in radiographic images, X-rays, MRIs, etc. Regardless of whether these specific applications are supported additional zubject matter cannot be introduced to the pris-filed PCT applications unless acditional patent applications are filed. Please advise if you would like us to file patent applications directed to these specific applications.

We discussed the possibility that the provislonal applications currently on flee may not provide sufficient disclosure to support all of the ciaims we may eventually want to fle in the PCT palent application we are currently preparing, and, therefore, the sale of images using this process in September, 1999 may bar patentability in some foreign countries. You instructed us to proceed with the PCT filing to preserve whichever foreign filing rights are available.

Accordingly, comments 1.5 will be incorporated in the above-referenced PCT patent application. If you have any further questions or comments, please do not hesitate to contact me.

## Steve Becker

Foley \& Lardner
(414)297-5571

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August 9, 2000

Mr. Eliot I. Bernstein<br>Founder and CTO<br>Iviewit.com, Inc.<br>One Boca Place<br>\section*{CONFIDENTIAL ATTORNEY-CLIENT PRIVILEGED}

2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: Correspondence and Issues regarding PCT International Patent Application entitled "System and Method for Providing an Enhanced Digital Image File" Filed: August 2, 2000 Inventors: Bernstein, et al. Our Reference: 57103/120

Dear Eliot:
Pursuant to your e-mail instructions sent Friday, August 4, 2000, I forwarded a notebook to you containing a copy of all correspondence relating to the above-referenced patent filing. Furthermore, pursuant to your request during the telephone conference of Friday morning with Steve Becker, the following describes what occurred during the preparation of this application, any errors made in the application, how they were made, what risks are involved, and how the errors can be corrected.

## Overview

Before discussing the details, I would like to put things into perspective and comment on the magnitude of the errors and the extent of their repercussions. I believe that the errors in the filed specification are of a very minor, technical nature, which can be readily corrected in the various patent offices in due course, and which will have no negative impact whatsoever. The errors in the math will not affect our priority claim back to the August 2, 1999, provisional application, because the math examples were not originally in there. As Steve explained during the Friday teleconference, the worst thing that could happen is that we could lose the benefit of priority for the mathematical examples for a short period of time, i.e., from the August $2^{\text {nd }}$ filing date to the filing date of a continuation-in-part application which could be prepared and filed this month, if we decide to do so. In my opinion, the entire

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situation surrounding these errors has been overstated, and your concerns expressed during the Friday teleconference are unwarranted.

## Correspondence

In order to explain exactly what happened, the following paragraphs set forth a brief description of the enclosed correspondence surrounding the preparation and filling of the PCT application, and points out where and why the errors occurred. The numbers below correspond to the tabs in the correspondence notebook.

1. July 21, 2000, Letter from Steve Becker to Brian Utley

This letter encloses the "Zoom and Pan" invention materials on which the above-referenced PCT application is based.
2. July 24, 2000, 4:44 p.m., E-mail from Steve to You and Brian

This e-mail summarizes the recent conversation regarding the zoom and pan invention, and sets forth our strategy for preparing and filing the application.
3. July 24, 2000, 5:02 p.m., E-mail from Steve to You

This E-mail attached a copy of the previous letter Steve sent to Brian on July 21, and asked you for any additional comments you may have.
4. July 25, 2000, 7:35 p.m., E-mail from Steve to You and Me

This e-mail just confirms the time for the next teleconference for discussing the patent application.
5. July 26, 2000, 3:01 p.m. and 3:06 p.m., Letter from Steve to You and Brian

This letter encloses the first draft of the PCT patent application and the inventor information sheet. The letter says that Steve will call both you and Brian at 5:00 p.m. Eastern Time that day.

Note that this first draft includes several blank spaces, question marks, and comments indicating where Steve thought that additional support was needed.
6. July 27, 2000, 11:43 a.m., Fax from Brian to Steve

This fax, sent from Kinko's in Ogden, Utah, when Brian was on vacation, encloses the first examples of the mathematical formulas and a single Example that will be added to the first draft of the application, Note that Brian originally defined the source image aspect ratio (siar) as the height over the width.

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7. July $27,2000,2: 07-3: 45$ p.m., Fax from Steve to You and Brian

This fax includes only the nine pages of the application that were revised, including the background section and claims.
8. July 28, 2000, 4:56 p.m., Letter from Steve to You

This letter encloses the second draft of the patent application, which includes additional disclosure received from Brian. Since Brian was still on vacation, Steve asked you to make a copy of this letter and draft for Brian's review.

Note that in this second draft, that Steve's comment on page 13 points to an inconsistency between the math formulas and examples provided in this draft versus Brian's macro Excel spreadsheet output.
9. July 31, 2000, 8:43 a.m., 9:27 a.m., and 1:45 p.m., E-mails from Brian to Steve

Here, Brian sent Steve three different versions of the imaging math formulas and examples. Note that the aspect ratio is still being defined as height over width.
10. July 31, 2000, 3:58 p.m., E-mail from Steve to Brian and You

This e-mail acknowledges receipt of Brian's three versions of the imaging math formulas and asks whether the latest e-mail is inclusive of all prior changes. Steve states that he will now amend the specification of the PCT application based on this latest mathematical formulas and examples.
11. July 31, 2000, 7:09 p.m., Fax from Steve to You and Brian

Steve faxed you the third draft of the patent application. Steve asked for comments as soon as possible, but in no event later than 10:00 a.m., Wednesday, August 2, which is the day that the application had to be filed.
12. August 1, 2000, 7:38 a.m., E-mail from Brian to Steve

This e-mail confirms that the last e-mail included all the changes to the imaging mathematics.
13. August 1, 2000, 7:42 a.m., E-mail from Brian to Doug

In this e-mail, Brian forwarded the July 31 e-mail to me, including the latest imaging mathematics.

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14. August 1, 2000, 8:30 a.m., Copy of Brian's Comments

This document shows Brian's comments on the second draft of the application. Brian gave this marked-up version to me when I was at your offices that morning.
15. August 2, 2000, 9:06 a.m., Fax from You to Steve and Me

This document sets forth the changes made to the third draft of the application by the Iviewit reviewing team, which now included Jim Armstrong. This document was the basis of our telephone conference from 10:00 a.m. to $3: 30 \mathrm{p} . \mathrm{m}$. that day. Note that this is the first time Jim provided his comments to us. Also note the extent of the comments, which, at this late stage in the process when the application had to be filed that same day, caused me some concem. During our teleconference, it became clear that we were revisiting old topics and decisions we previously made with you and Brian in the previous drafts of the application. Particularly note the extent of the mark-ups on the imaging mathematics beginning at page 11. A significant amount of time was spent discussing the particulars of the math formulas between Brian and Jim, and we all agreed that Brian would modify the math and examples and send them to me. Note that when the call ended, the source image aspect ratio was still being defined as height over width (see page 11).

## 16. August 2, 2000, 5:49 p.m., E-mail from Brian to Me

This e-mail attached the latest modifications to the mathematics and examples that Brian said he would send me. Note that this is the first time the aspect ratio is defined as width over height, since, in response to my pointing out the inconsistency between photography versus computer display aspect ratio conventions, Brian determined that it would be more consistent to express the math in the patent application in accordance with the computer display convention. This version of the imaging mathematics is what I used as the basis for the final draft of the patent application that was filed that night.
17. August 2, 2000, 9:39 p.m., E-mail from Me to Brian (at home) and You

This e-mail contained two versions of the same document, which represent where I was in the editing process at that time. The first document was in Word version 6.0/95 for Brian to be able to read at home. The second version was in Word 97 as usual. Note that my e-mail told you and Brian that you could send a copy to Jim if you want.

As you can see, I was fighting the clock since the application had to be on file before midnight that night, and I had to allow sufficient time to drive to the airport post office to obtain the filing date. Note that, beginning on page 13 (of the second version), and through to page 18, the imaging process mathematics and examples are set forth substantially in accordance with Brian's latest revisions. However, the digital example, beginning on page 22, had not yet been edited to pick up the change in aspect ratio convention. Also note in this

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draft that independent claim 1 has not yet been changed to make the user interface element a dependent claim.

After additional discussions with both you and Brian that night, you both gave me the verbal "okay" to file the application. We obviously did not have time to let all three of you review it again before it was filed. At that time, it was all I could do to finish making the changes you requested throughout the day. I did that. I then briefly checked over the final documents, worked with my foreign filing coordinator to prepare the formal filing papers, and drove to the airport post office. The PCT and corresponding U.S. patent applications were properly filed that night.
18. August 3, 2000, 11:55 a.m., E-mail from Me to Brian, You, and Steve

This e-mail simply confirms that the applications were filed last night, and that copies would be forthcoming.
19. August 3, $2000,1: 35$ p.m., E-mail from Brian to Me

This e-mail from Brian, thanking me for the "supreme effort to get the job done," was appreciated.
20. August 3, 2000, 2:47 p.m., E-mail from Me to You and Brian

After being informed that you wanted a copy of the application right away, I sent this e-mail attaching the Word document for the PCT application as filed. The e-mail clearly says that the drawings didn't change, which meant that you already had copies of the drawings from the previous drafts. I could not e-mail the drawings, and I thought since you already had a fax copy, this would suffice. I also said I would send full copies next week. This Word document does exactly represent what was filed in the PCT that night.
21. August 4, 2000, 11:34 a.m., Fax from Jim Armstrong to Me, copying You and

This facsimile contains eight pages from the filed PCT application, which have been marked up to show what Jim believes are either typographical errors or improper formula expression. This fax was apparently the basis of the telephone call between you, Brian, Sy, Jim, and Steve on Friday. Each one of these purported "errors" will be discussed in detail below.

## Friday Teleconference

In your extended teleconference with Steve Becker on Friday, of which I was not a participant, you made several inaccurate statements, accusations, and remarks regarding the errors in the application and, in general, the proficiency of Foley \& Lardiner's services.

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Now that I have had the opportunity to review the tapes of the Friday teleconference, the patent application, and the application correspondence set forth above, I would like to explain exactly what errors were made, how they were made, why they were not caught, and what issues they raise. Although Steve did a masterful job of trying to educate you on the fundamentals of patent law in an attempt to put the errors in perspective, Steve was not involved in the preparation of the final draft of the application and so could not be expected to know how these errors arose.

## Discussion of Changes

Please refer to the August 4, 2000, 11:34 a.m., facsimile from Jim Armstrong, which can be found at tab 21 of the correspondence notebook.
(1) Page 12, line 27

Although this is not an error, and Jim did not mark it as such, I want to point out that the formula "tiw = squareroot (tia*sir)" uses the word "squareroot" instead of the square root symbol. Either way, this formula is correct, and provides sufficient basis, in my opinion, to correct subsequent errors in this formula, particularly where they don't make sense.

I do recall Brian mentioning, late Wednesday night, that a square root symbol was missing. I understcod his comment to mean that I used the word "squareroot" instead of the square root symbol in this line of the application. I might have told Brian I would fix this in the final draft, but I probably ran out of time. Nevertheless, this is not an error. In fact, I am thankful that I did not remove the word "squareroot" intending to insert a square root symbol which may have been forgotten in the rush.

## (2) Page 13, line 7

The minimum scan density (msd) is defined here as "msd $=$ tih/sih" (target image height over source image height). This is mathematically equivalent to "tiw/siw" (target image width over source image width), which is apparently what Jim and Brian want it to be for consistency with the last-minute change in aspect ratio convention. I agree. This formula can easily be changed to read "msd $=$ tiw/siw $=$ tih/sih," particularly because of the equivalency. It is my opinion that this is a very minor technical change, it should not be considered an error in any sense of the word, and I don't believe we will encounter any problems changing it in both the United States Patent and Trademark Office (USPTO) and the World Intellectual Property Office (WIPO) where the PCT (Patent Cooperation Treaty) International applications are filed, searched, and, optionally, examined. Recall that the same patent application was filed as both a PCT and US application Wednesday.

Note that this is the first time anybody pointed out a problem with this equation. The same equation appears in the previous drafts which you reviewed, and no reference to

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correcting this equation appears in Brian's latest imaging process mathematical spreadsheet. Therefore, your accusation that Brian made this change with me, and it's still wrong in the patent, is, itself, wrong.

The best-case scenario, which I predict will occur, is that the USPTO and WIPO will permit me to make a preliminary amendment to the specification to make this change. In the worst-case scenario, the USPTO or WIPO will consider the change to be impermissible new matter, and the equation will have to remain as it was filed. In that case, there is an extremely remote chance that someone, someday, could argue that the inconsistency could cause the patent to be invalid for lack of enablement, i.e., that the specification does not "contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or which it is most nearly connected, to make and use the same, and shall set forth the best mode contemplated by the inventor of carrying out his invention." ( 35 U.S.C. § 112, 11.) However, I highly doubt that such a minor inconsistency could warrant such a drastic effect, especially since the mathematics itself isn't claimed.

## (3) Page 13, line 19

For consistency's sake, "viw $=\mathrm{vwh}^{*} 1.25$ " should be changed to "viw $=$ vih"1.25", even though the previous line states that "vih" and "vwh" are equal to each other. Again, I would consider this a minor technical modification to a mathematical example that is not necessary for validity of the patent. Nevertheless, I think that we will be able to make this minor correction in both the USPTO and WIPO without any problem or repercussions. Not only is it simply a more preferred way of stating the same mathematical value, it is supported in the terminology of Examples 2 and 3. It is also an obvious inconsistency which would be known to those skilled in the art.

Note that this inconsistency appears in the latest version of Brian's mathematical formula spreadsheet under Example 1, which was essentially cut and pasted from his spreadsheet into the patent application shortly before it was filed. I did not have time that night to double-check all of the mathematical formulas.
(4) Page 13, line 23

The square root symbol is missing over the expression " $2,560,000 / 0.8^{\prime \prime}$. This is an oversight on my part. The square root symbol does appear in Brian's Excel spreadsheet. I simply cut and pasted the text from Brian's Excel spreadsheet into a Microsoft Word document. Apparently, when this occurs, the square root symbol disappears. I simply did not have sufficient time to double-check all of the math.

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As mentioned above, I do recall Brian mentioning, late Wednesday night, that a square root symbol was missing. However, I thought he was referring to the word "squareroot" on Page 12, line 27, and not here.

I now see that Jim also discovered this error on page 14 of his marked-up third draft. I did not see it at the time, because I did not go through, line-by-line, all of Jim's changes to the math since Brian was going to revise it anyway. Furthermore, I could not rely on all of Jim's mark-ups as the basis of the changes, since they appeared to me to essentially be the mathematical scratchpad he used in trying to understand the invention. It would have made no sense to follow all of his changes. Furthermore, Jim missed other changes that Brian and I caught later that night.

Once again, I do not believe that this "missing square root symbol" error is of a major concern. I believe that it would be considered a typographical error in the math, which can easily be corrected in the USPTO and WIPO by a preliminary amendment. Support for such a preliminary amendment is found at page 12, line 27, where the same formula appears correctly stated using the word "squareroot." Furthermore, any person skilled in the art would realize that $2,560,000$ divided by 0.8 does not equal 1789 as set forth in the description, but that the square root of such a quantity would make the equation correct.

Again, let me discuss a worst-case scenario. If, on the remote chance that the USPTO or WIPO determines that the addition of a square root symbol is not a simple typographical error but instead constitutes new subject matter that cannot be added to the specification, we would have to determine at that time the proper course of action. First, such a determination can be appealed if we believe it is warranted. Second, the entire Example 1 can be stricken from the application if we feel that the remainder of the specification provides sufficient enablement for the claimed invention, and that leaving Example 1 in the specification without the square root symbol somehow takes away from enablement. Third, we can file another patent application in both the USPTO and WIPO, with the corrected formula. This would ensure that we would only lose priority from August 2 to the date of the filing of the corrected application. Since this mathematical example isn't in the original priority documents, it cannot be said that we would lose any benefit of priority from the original provisional applications.

I do not agree with Jim's argument that the missing square root symbol makes the entire patent application so difficult to understand that correction would be needed to apply the math to create the image. Steve's counter-argument is directly on point: if correct math was required to create the innage, then the August 2, 1999, provisional filing would be essentially worthless for lack of enablement, because it has no math. I simply do not believe that perfectly correct math is required for enablement.

It is my opinion that there is no need to file a corrected application as a continuation-in-part to remedy the situation. I plan to file a preliminary amendment in the

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USPTO and WIPO to correct the formula, which I believe will be accepted without an argument. I have successfully made changes of similar scope by preliminary amendment in both the USPTO and WIPO without encountering any problems. If I am proved wrong, and we decide not to appeal, I still think there is little downside risk in leaving the application as it is. In fact, I believe that there is more risk involved in filing a continuation-in-part application to correct such minor errors, since it could be argued that such a new filing constitutes an admission against us that the addition of the square root symbol is new subject matter.

## (5) Page 13, lines 23-25

Since the aspect ratio convention was changed at the last minute, these three formulas should have been changed to precisely correspond to that convention. However, with the addition of the square root symbol in the formula in line 23, the three lines are actually correct as set forth in the patent application, but somewhat inconsistent with the new convention. Moreover, the final number result is identical to that which would be obtained by reversing the formulas as now requested. Once again, I believe that both the USPTO and WIPO will permit us to change these formulas to make them consistent and easier to read.

Note that, with the exception of the square root symbol disappearing as discussed above, these formulas were cut and pasted from Brian's latest Excel spreadsheet, and appeared as set forth here in the 9:39 p.m. application draft sent to you and Brian.
(6) Page 13, line 29

The viewing window stated as " $320 \times 240$ pixels" should read " $480 \times 320$ pixels" as set forth on line 14 of the same page. This was simply an oversight by all of us. Neither you nor Brian caught the mistake in the second draft sent July 28th (at page 13) or the third draft sent July 31st (at page 14), and Jim also missed it in his August 2nd mark-up. I missed it also during my final edits.

Once again, I believe that this would be considered a minor typographical or technical error, which can readily be corrected in both patent offices with a preliminary amendment. It is clearly supported at lines 14 and 15 on the same page of the patent application. The reader would know that this is an obvious typographical error, and correcting it does not constiute new matter. On the other hand, if somehow it does not get corrected, I do not believe that this error would render the patent invalid for lack of enablement.

## (7) Page 14 , line 6

I agree with Jim's suggestion that the width and height be stated here, as was done in Examples 1 and 3. Again, I do not believe this is a major concern, and I think we will be able to add the width and height labels with a preliminary amendment. It is clearly supported elsewhere in the specitication. Note that this oversight could have been caught by

## Foley \& Lardner

Mr. Eliot I. Bernstein
August 9, 2000
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you or Brian before filing, since it appears the same way on page 16 of the $9: 39$ p.m. draft. Nevertheless, this is a very minor point, which will have no affect whatsoever.

## (8) Page 14, line 17

Again, the square root symbol is missing, but this time the formula itself does not need to be changed other than adding the square root symbol. See my previous comments regarding addition of the square root symbol.
(9) Page 14, line 27

Here, " 400 w by 360 w " should read " 400 w by 360 h ". This is an extremely minor typographical error, which can readily be corrected by preliminary amendment. This particular error should have been caught by all of us a long time before the filing date, since it also appears in the third draft.

## (10) Page 15, line 6

Once again, the square root symbol is missing, but the underlying equation is otherwise correct. Refer to my previous comments regarding addition of the square root symbol.

## (11) Page 18, line 28

Again, the square root symbol is missing. This example provides even a stronger argument that omission of the square root symbol is a typographical error, since the exact same formula is stated correctly on page 12 at line 27 using the word "squareroot". Again, refer to my previous comments regarding the omission of the square root symbol.

## (12) Page 19, lines 2, 3, and 23

According to the Friday discussion between Jim and Brian, the question arose whether the minimum scan density should be stricken from these lines (since it doesn't add anything and could possibly confuse the reader), or whether it should be left in there, but with the addition of a new sentence that states that minimum scan density is not required since we are dealing with a digital image. Brian and Jim agreed on the latter.

This time, however, I don't agree and I recommend that we do not make such a change by adding a sentence. There is much higher likelihood that the addition of such a sentence would trigger a new natter rejection. Furthermore, it may contravene any argument we have that all of the changes to the specification are simply typographical errors in very technical formulas and do not constitute new matter. The addition of such a sentence in this example could be a red flag. The only way I would recommend adding such a statement would be if you could show me that it was clearly supported elsewhere in the specification.

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Mr. Eliot I. Bernstein

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Page 11
Otherwise, I think that the statement "minimum scan density equals N/A" on line 23 says the same thing, and is actually an important part of the teaching of this example to instruct the reader that scan density is not a concern with a digital image.

If you don't agree that leaving the language in is the appropriate thing to do, then I would be willing to try to amend the specification by striking the minimum scan density language in this example. At least there is a harder argument that the patent offices would have to make if they were to hold that removal of this text represents new matter or renders the specification non-enabling.

No matter what we decide to do on this point, it is also minor concern.
(13)

Page 19, line 10
Changing " $0.75=0.75$ " to " $1.33=1.33$ " should not be a problem, since it is fully supported in the previous lines of that example. The mistake is obvious, and we would not be adding new matter to make the change. I believe that this can also be done by a preliminary amendment in the USPTO and WIPO.
(14) Page 19, line 15

Again, the square root symbol is missing, but the equation is otherwise correct. Refer to my previous comments regarding the square root symbol.

## Summary

As you can now appreciate, the application as filed was not "completely wrong" as you first thought. True, Brian and I changed the math at the last moment to improve the readability, which I believe was successfully accomplished. Even if I had time, I could not have entered all of Jim's last-minute comments and corrections myself, because they were also wrong. We mutually agreed to let Brian take another pass and correct the math. He did. I took his work and pasted it into the specification. Unfortunately, the computer "ate" the square root symbol, and I didn't catch it. You had an opportunity to review it, and you didn't catch it. Brian had an opportunity to review it, and, if he did catch it and mention it to me, then I must have misunderstood him. Both you and Brian gave me the verbal OK to file it. Looking back, I think Brian did an outstanding job of changing the aspect ratio conventions at the last minute. I think we ended up with a much-improved patent application than we had with the third draft.

No matter how these "errors" arose, I believe that they are all of a minor technical and typographical nature, and that corrections can readily be made by preliminary amendment in both the USPTO and WIPO. Regarding the timing for making the preliminary amendments, I do not believe there is any rush. Even if there was, we would have a problem

# Foley \& Lardner 

Mr. Eliot I. Bernstein
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Page 12
in making such amendments now without filing an entirely new patent application in both the USPTO and WIPO.

In the USPTO, for example, it is not standard practice to file any amendments before we have received the filing receipt and application number. Otherwise, there is a very good chance the amendment will be lost in the Patent Office. Furthermore, there is essentially no rush to file the amendment, so long as it does not include new matter. We can also wait until after the first office action. Furthermore, we will not know whether our preliminary amendment will be accepted until the Examiner reviews the amendment during examination, which may not occur until a year from now.

Similarly, in WIPO, the PCT rules do not even allow us to file a preliminary amendment to amend the specification until the PCT Chapter 2 demand is filed at the 19-month point (seven months from now). Again, even then, we won't know whether the PCT Examiner accepts the amendment until months after that.

## Conclusion

As I stated above, I believe that the "errors" are of a very minor, technical nature, that they can be readily corrected in the various patent offices in due course, and that they will have no negative repercussions whatsoever. I think there is very little risk in waiting a few weeks to file a preliminary amendment, and very little advantage in filing all new applications to make these corrections. Since the math was not in the original provisional patent applications filed by Ray Joan, there can be no loss of priority claim for that subject matter.

I hope you can now appreciate why I think that your fears about these "errors" are exaggerated, your accusations that we didn't follow your directions are unfounded, and your criticism of Foley \& Lardner work product is unwarranted.

Of course, if you have any questions or comments on any of the above, please do not hesitate to contact me.

Very truly yours,


cc: Brian G. Utley<br>Simon L. Bernstein<br>James F. Armstrong<br>William J. Dick<br>Steven C. Becker

-----Original Message-----
From: iviewit, inc. (E-mail) [mailto:viewmaster@iviewit.com]
Sent: Thursday, August 05, 1999 9:03 PM
To: Alan Epstein (E-mail); Michele M. Mulrooney (E-mail); James F. Armstrong (E-mail); Simon L. Bernstein (E-mail); Patti \& Lester Daniels (E-mail); Andrew R. Dietz (E-mail); Donna Dietz (Email); Gerald R. Lewin (E-mail); Guy Iantoni (E-mail); James R. Jackoway (E-mail); James A. Osterling (E-mail); Albert W. Gortz (E-mail); Christopher C. Wheeler (E-mail); Jude Rosario (Email); Jude Rosario (E-mail 2); Zakirul Shirajee (E-mail); Friedstein, Jeff; Donald G. Kane II (Email); Brian G. Utley (E-mail 2)
Subject: iviewit.com Welcomes Brian Utley.

Dear Shareholders,

As of August 3rd, 1999 the Board of Directors of iviewitcom has approved and confirmed Brian Utley as President and COO. Mr. Utley will assume leadership of the company and the responsibility for organizing our strategic initiatives and licensing opportunities. Brian brings over thirty years of management experience from IBM and is highly respected within the computer industry. We are fortunate to bring Brian to iviewitcom and look forward to his valuable contribution to the success of the company.

Brian can be reached at utley b@bellsouth. net mailto:utley bobellsouth, net or soon at utley@iviewit.com [mailto:utley@iviewit.com](mailto:utley@iviewit.com).

By phone at work through Goldstein \& Lewin at 561-994-5050 or cell at 561-289-8145.

Brian's Personal Resume

Professional History:
President, Diamond Turf Equipment, Inc. July, 1995 to July 1999.

In 1995 the company was engaged in refurbishing obsolete and run-out golf course maintenance equipment and had annual sales of $\$ 250,000$. Since that time the company has been transformed into a manufacturer of new machines that compete favorably with the best of the market leaders and expected revenue for 1999 of $\$ 6 \mathrm{M}$. The design of the machines was by Brian and was accomplished while putting together a manufacturing and marketing team capable of supporting the rapid growth of the company.

President, Premier Connections Inc., November, 1991 to Present. Premier Connections provides consultation and support services in computer and related business management. Customers have included IBM and other small businesses.

IBM, 1955 to 1991.
Brian retired from IBM as Vice-President and General Manager, IBM Boca Raton.

Prior to his assignment in Boca Raton Brian spent 5 years in Europe as Group Director for PC's and small Systems. This responsibility covered all aspects of product management for all European, Middle East and African countries.

In 1983 Brian was appointed General Manager, IBM Biomedical Systems and asked by the IBM President, John Opel, to evaluate develop the long range strategy for this business unit. Brian subsequently reported to the President that the Business Unit, while quite viable, should be sold to a related business in the medical community. Having received approval to do so, he negotiated a profitable sale for IBM.

Between 1965 and 1983 Brian was the project and Systems manager for many major IBM computer Systems that earned IBM billions of dollars in revenue. The most notable of these was the $5 E 3 E$ and AS400, one of IBM's most technology aggressive development programs ever and still one off IBM's most popular systems.

Brian entered the IBM laboratories in 1959 and immediately became the most prominent enqineer on his first project with many innovative designs. Because of this, he was assigned to the German IBM laboratories to train German engineers in computer technology. He has been awarded a number of patents the most recent of which was granted in 1998.

From his start in October 1955 to the time he entered the laboratories Brian was a customer engineer responsible for maintaining IBM equipment on customer premises. During this time he self-taught computer technology and transistor theory and developed the first IBM field course in transistors. This is the accomplishment, which led to his assignment in the laboratories.

Hobbies:
Brian is a jogger and for 40 years has been an avid glider pilot with many competitive successes.

Other Activities:
Brian has been a director of the Florida Atlantic University Foundation Board of Trustees since

1992 and has served as Treasurer, head of the Investment Committee, and is currently Chairman of the Board.

In addition, he is a director of the Soaring Society of America and Chairman of the Soaring Society of America Foundation. In the past, he has served on the Boca Raton Chamber of Commerce Board, the Florida Philharmonic Board of Directors, and the Florida Governor's Council of One Hundred and is past president of the Soaring Society of America.

Family:
Brian is married to Sharon, is the father to 5 children and has lived in Boca Raton since 1988.

Sincerely,

Board of Directors
iviewitcom

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Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
Q. Okay. You just failed to mention
that in your previous --
A. I'm sorry, yes.
Q. Okay. And what did you do at

Diamond Turf Lawn Mower?
A. I was president.
Q. You were president. For the full
four years?
A. Yes.
Q. Okay.
A. My recollection is a little hazy.

It could have been 95,96 when I started.
Q. Okay. So you were president of this company for approximately three to four years?
A. Yes.
Q. And what was your role at Diamond Turf Lawn Mower as president; what did you do?
A. I ran the company.
Q. Did you take on the position not only of president but also as CFO or anything of that nature, or you just did strictly like a chief operating officer; what was your role exactly?
A. I suppose you could consider it to
be a cross between a chief operating officer and

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 the chicf engineer.
Q. And what did Diamond Turf Law Mower do; what sort of company is that?
A. It produced maintenance equipment for golf courses.
Q. Okay. And were you working also doing engineering for the company as well?
A. Yes.
Q. And that engineering capabilities that you have, was that something you garnered through your employment with IBM or is that something that you had specific knowledge of outside of your employment with IBM?
A. Both.
Q. This was not engịneering of electrical components; this was engineering of mechanical systems; is that what this was?
A. Every, virtually every mechanical system has an electrical component.
Q. Okay.
A. And a hydraulic component in this particular case.
Q. And when did you -- when you ceased
worked with Diamond Turf Lawn Mower, was that an
amicable leaving or was there some problem or did

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 everything work out okay with that? 104

| A. Well, there was a, there was a |
| :---: |
| dispute over intellectual property. There was no |
| intellectual property agreement in my employment |
| agreement and there were certain inventions that |
| I made that we were unable to resolve ownership |
| of. |
| Q. Okay. So these were inventions that |
| you developed while you were employed by Diamond |
| Turf Lawn Mower? |
| A. Yes. |
| Q. Okay. Can you describe those |
| inventions to me. |
| A. They related to hydro-mechanical |
| equipment. |
| Q. Okay. What exactly with hydraulic |
| mechanical equipment? |
| A. How much detail you want me to go in |
| to? |
| Q. Well, were they related somehow to |
| the operations of the hydraulics of the equipment |
| or were they strictly mechanical? |
| A. They related to a hydro-mechanical |
| system, which means that it involves the |
| integration of hydraulics into a mechanically |

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'roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
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    operating piece of equipment.
    Q. Okay. And that's what all these patents, or were all these patents or were all these inventions, rathex, the subject of?
A. Yes. Almost all the equipment that Diamond l'urf produced or was involved with was hydro-mechanical.
Q. Are there any current patents or patents pending or applications for patents on these things that you hold?
A. No.
Q. Who holds the patent rights or if there are any patent rights, who has applied for those?
A. I'm not aware of any one.
Q. So you"re not aware of any one making claim to these intellectual properties at this point?
A. No.
Q. When were you first introduced to Iviewit or its products by Mr. Wheeler? I'm assuming that Mr. Wheeler was the one who introduced you to the company.
A. Yes.
Q. And when was the first time that you

[^34]"roskauer Rose vs. Iviewit.com, et al. 8/23/02 we're talking about them because you said billing 265 statements, which could be something totally different, I don't know.

MR. SELZ: That's the attached exhibits to the Anended Complaint in this matter that we're referring to.

MR. PRUSASKI: Okay. Thanks.
By MR. SELz:

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            Q. Now, you had referenced Mr. Dick
doing some patent work for yourself; is that
correct?
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    A. Yes.
    Q. And was that any patents arising
    from your employment with Diamond Turf?
    A. It was arising from the technology
    and engineering work that I did, yes.
Q. So the hydro-mechanical work that
you had done at Diamond Turf?
A. Yes.
Q. And was there ever a dispute between
yourself and the owner of Diamond Turf with
regard to the patents involved for that
hydro-mechanical work?
MR. PRUSASKI: Objection, relevance
and to the form.
disagreement as to ownership of the intellectual
property.
By MR. SEIR:
Q. There was a dispute?
A. Yes.
ellot Note

Mante Friedkin his last employer has stated that he did not tell himm and that when he found out he fired him
Q. Did you ever advise the owner of

Diamond Turf that you were going to patent these
intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting
those or after?
A. They were never, they were not
patented.
Q. Okay. They were not patented. Was
the application for patent made?
A. No.
Q. Since your employment with

Iviewit. com or Iviewit, yeah, dotcom, LLC, what
patents have you taken out in your name, sir?
A. I have not taken out any patents in
my name, other than what has been appended to
patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held
by Iviewit and you're named as a co-inventor; is
;kauer Rose vs. Iviewit.com, et al. 8/23/02president and COO of Iviewit to Wachovia?243
A. We shared nondisclosure agreements md communicated as required in order to onstruct the business plan.
Q. And did they require or request that you provide them with a CV as part of the husiness plan to evidence your expertise.
A. I believe so.
MR. PRUSASKI: Objection to form.
MR. SELZ: I'll restate the
question.
1sy MR. SELZ:
Q. Did Wachovia Bank request that you rrovide personal information to them as part of I hat business plan?
A. Yes.
Q. And did you provide that personal information in the form of a curriculum vitae or IV?
A. It was integrated in prior editions of the business plan and flowed into the one that was developed with Wachovia.
Q. Now, when Chris wheeler first
introduced you to Iviewit, was he aware of the situation at Diamond Turf and yourself and

Mr. Monte Freedkin or what was Mr. Wheeler's
knowledge of your position at Diamond Turf, to the best of your knowledge?

MR. PRUSASKI: Objection to form.

MR. SELZ: Okay. I'll restate the
question. I'm sorry. Getting a little tired.
MR. PRUSASKI: I'm just objecting to
the extent that you're asking him what Chris

Whecler's personal knowledge was.
MR. SELZ: Okay.

By MR. SELZ:
Q. To the extent that you know, what
was Chris Wheeler's personal knowledge of that situation?

MR. PRUSASKI: Objection to form.

THE WITNESS: I believe Chris,

Mr. Wheeler was fully cognizant of my
relationship to Diamond Turf Equipment and to

Mr. Freedkin.

By MR. SELZ:
Q. And he was aware about your
departure from that company and that situation?
A. Yes.
Q. Involving your employed and your
change of employment when you left Diamond Turf?

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r;kauer Rose vs. Iviewit.com, et al. 8/23/02
    A. Yes
Q. Other than your retirement at IBM, was there any other reason why you left IBM's rmploy?
A. No.
Q. Do you have any ongoing dispute with Cither IBM or Diamond Turf?
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A. No.
Q. Going back to the employment of an attorney when you were at Diamond Turf, was there a retainor agreement that you recall signing on behalf of Diamond Turf to employ an attorney there? Or I'll take that back. I think you said that you never employed an attorney there; is that correct?
A. Ihat is correct.
Q. When you hired an attorney personally, did you have a retainer agreement that you signed?
A. No.
Q. Do you have any letter or any other document evidencing the rates to be charged and the services to provided by that attorney?
A. I would have to research that question.

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| 24 | A. we. |  |
| $2{ }^{5}$ | Q. Yed dan't have any of the papetwozk |  | disagreement as to ownership of the intellectual property.

By MR. SET.Z:
Q. There was a dispute?
A. Yes.
Q. Did you ever advise the owner of Diamond Turf that you were going to patent these intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting those or after?
A. They were never, they were not patented.
Q. Okay. They were not patented. Was the application for patent made?
A. No.
Q. Since your employment with

Iviewit. com or Iviewit, yeah, dotcom, LLC, what
patents have you taken out in your name, sir?
A. I have not taken out any patents in
my name, other than what has been appended to
patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held
by Iviewit and you're named as a co-inventor; is

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roskauer Rose vs. Iviewit.com, et al. 8/23/02
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    that what it is?
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    A. Yes.
    Q. And Iviewit would be listed as a
primary patent holder; is that how it would be?
    A. They were assigned to Iviewit.
    Q. l'hey were assigned to Iviewit. Are
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    you aware of any police report that was ever
    filed involving Mr. Mike Real and yourself?
                            MR. PRUSASKI: Objection, relevance.
    By MR. SELZ:
    Q. Go ahead and answer the question, if
    you can, sir.
    A. There was a dispute over the nature
        of the equipment that \(I\) bought from Iviewit as --
            Q. Well, that really wasn't my
        question. My question was are you aware of a
        police report? And it's really a yes or no type
        of answer.
            MR. PRUSASKI: Objection, relevance.
            THE WITNESS: I believe there was a
        report.
        By MR. SELZ:
            Q. Okay. Do you know who filed that
        report?
            A. Iviewit filed that report as far as
                'at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)
    
# U.S. PROVISIONAL PATENT APPLICATION 

for<br>\section*{ZOOM AND PAN IMAGING DESIGN TOOL}

Inventors:
Brian G. Utley 1930 SW 8 ${ }^{\text {th }}$ Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.

## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

| APPLICATION NUMBER | FILIMGRECETPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: | :---: |
| $60 / 233,341$ | $09 / 18 / 2000$ | Brian G. Utley | $57103 / 123$ |

Foley \& Lardner
777 East Wisconsin Avenue Firstar Center Milwaukee, WI 53202-5367


FORMALITIES LETTER

${ }^{\circ} \mathrm{OC} 000000005592300^{*}$

Date Mailed: 12/04/2000

# NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION 

FILED UNDER 37 CFR 1.53(c)
Filing Date Granted

MP
RESPONSEDUEO4FEZ2001 DCLIFEE

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the exterision fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 50$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ 200$.

A copy of this notice MUST be returned with the reply.

[^35]United States Patent and Trademark Office
COMMISEIONER FOR PATENTS
United States fatent amd Trademark Office
 Firstar Center
Milwaukee, WI 53202-5367

- OCOOOOOOOO5592300*

Date Mailed: 12/04/2000

## NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(c)

## Filing Date Granted

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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Applicant must submit \$150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 50$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\mathbf{\$ 2 0 0}$.

A copy of this notice MUST be returned with the reply.

Cistomer Service Genter
Initial Patent Examifation Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

| APPLICATION NUMEER | FLLING DATE | GRF ART UNTT | FILFEE REC'D | ATTYDOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $60 / 233,341$ | $09 / 18 / 2000$ | 0 | $57103 / 123$ | 7 |  |  |  |

# FILING RECEIPT 

Foley \& Lardner
777 East Wisconsin Avenue
||||||||||||||||||||||||||||||||||||||||||||||
Firstar Center
Milwaukee, WI 53202-5367

Receipt is acknowledged of this provisional Patent A.pplication. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


Brian G. Utley, Boca Raton, FL;
Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/01/2000


Title Zoom and pan imaging design tool
Preliminary Class

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
picture that would be transmitted across the
internet at a given speed, I identified that
which he had discovered by an ad hoc process; I
discovered the structural basis for that
optimization.
Q. Okay. So that was something that was outside the scope of what he had already, what Eliot had already discovered?

ת. It really established why it worked.
Q. And is your name on any patent or patent application with regard to that particular technology?
A. It possibly is. I don't recall how many of those my name is on since I didn't keep any of those records.
Q. How about camera zoom applications?
A. Okay. How about camera zoom
applications?
Q. Is there any patent or patent
application dealing with camera zoom
applications?
A. Not specifically. It was, it was
determined that there is a correlation between
the zoom and pan that had been developed and what
is being used in cameras.
is being used in cameras.

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)


| $\begin{gathered} 05707 \\ \quad \text { TILLE } \\ \hline \end{gathered}$ | IVIEWIT.COM PATENT STATUS REPORT |  |  |  |  | Lapsed Provisional U.S. Patent Applications |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | OUR REF. | INYENTOR/ PATENTEE | COUNTR | serial, no. patent no | filedassue DSTE | assignee | remarks |
| Apparatus and Method for Producing Entanced Digitai Images and/or Digital Video Files | P006Z | Elion I. Bernstein | United States | $\begin{aligned} & \text { Serial No } \\ & 60 / 149.737 \end{aligned}$ | Filed $08 / 19 / 49$ | Jyiewil Holdings, hac. <br> Assigned: 01/06/00 Reel/Frame <br> 010523/0506 | Lapsed <br> POI8PCT flled based on this provisional application. |
| Apparatus and Method for Producing Enhanced Video Images and/or Viden Files | P0072 | Eliot I. Bernstein | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 155,404 \end{aligned}$ | Filed $09 / 22 / 99$ | Iviewit Holdings, Inc <br> Assigned: 01/06/00 Reel/Frame 010523/0183 | Lapsed <br> POIOPCT, POIIPCT, POI2PCT, POIGPCT and POISPCT all filed based on this provisional application. |
| Apparatus and Method for Prodecing Enhanced Video Inages and/or Video Files | P008Z | Elio I. Bernstein | United States | $\begin{aligned} & \text { Setias No. } \\ & 60 / 169,559 \end{aligned}$ | Filed 1208/99 | Iviewit Holdings, Inc <br> Assigned: 01/06/00 Rcel/Frame $010523 / 0220$ | Lapsed <br> POIOPCT, POIPCT, POI2PCT and POISPCT all filed based on this provisional application. |
| Zoom and Pan Imaging Using Digital Camera |  |  | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 223,344 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 09 / 18 / 00) \end{aligned}$ | Not assigned. | Lapsed |
| Zoom and Pan Imaging Design Tool |  | Brian Uley | United States | Serial No. <br> 60/233,341 | $\begin{aligned} & \text { Filed } \\ & 09 / 18 / 00 \end{aligned}$ | Not assimed. | Lapsed |

## Management

Whereas the Company has retained Kom / Ferry to assist in the identification and recruitment of a high impact Chief Executive Officer (preferably from the media or entertainment industry) and Chief Technical Officer, iviewit has assembled a complementary and seasoned, management team with Fortune 100 and early-stage, entrepreneurial experience. This team consists of the following personnel:

Brian G. Utley, President (67) - For over 30 years, Mr. Utley was responsible for the development and world-wide management of many of IBM's most successful products such as the AS400 and the PC. His career with IBM culminated with his responsibility as Vice President and General Manager of IBM Boca Raton with a work force of over 6,000 professionals. He is a graduate of San Francisco City College.

| eliot <br> Note |
| :--- |
| Utley clairs he is a |
| graduate and |
| contradicts in his |
| depastian |
|  |
|  |

Eliot I. Bernstein, Founder and Vice Chairman (37) - Prior to founding iviewit, Mr. Bernstein spent 15 years with SB Lexington where he was President of the West Coast Division creating and developing many innovative, computer-based multi-media marketing tools which remain in use supporting multi-billion dollar service industries. Mr. Bernstein is a graduate of the University of Wisconsin.

Michael A. Reale, Vice President of Operations (60) - Mr. Reale has over 20 years of operations experience, including P\&L, quality, and delivery performance accountability. Most recently, Mr. Reale was the Chief Operating Officer for Boca Research (Nasdaq:BOCI), a manufacturer of personal computer enhancement and Intemet thin client products. Mr. Reale received his BA and MBA from Pace University.

Raymond T. Hersh, Vice President of Finance (58) - Mr. Hersh has over 35 years of successful business and operating experience involving financial services, telecommunications, manufacturing, and corporate strategic planning. For over 20 years, Mr. Hersh has operated and grown companies in Florida, and most recently, he was co-founder and President/CEO of New Medical Concepts, Inc., a telecom company specializing in providing healthcare information. Earlier, he spent five years as an Enforcement Attorney with the U. S. Securities and Exchange Commission in New York City where he exited as a Branch Chief. He is a member of the New Jersey and New York Bars. Mr. Hersh received his BA from Lafayette College and his LLB/JD from the University of Pennsylvania.

Kevin J. Lockwood, Vice President of Sales and Business Development (40) - Mr. Lockwood joins iviewit from Cylex Systems where he held the position of Executive Vice President of Sales and assisted in securing three rounds of funding exceeding $\$ 20$ million. He also held the position of Head of Sales for Acer America, Inc. where he increased sales from a run rate of $\$ 150$ million annually to over $\$ 1.5$ billion annually in only a 17 -month time. In addition, Mr. Lockwood successfully launched the Fujitsu P.C. into the U.S. and in the first year amassed revenues of over $\$ 200$ million. He is a graduate of the University of Maryland with a Bachelor of Science degree in Business Administration.

Guy Iantoni, Vice President of Sales (35) - Prior to joining iviewit in 1999, Mr. Iantoni was Senior Financial Representative with Fidelity Investments. From 1995 to 1997, he served as an Investment Management Consultant to the private client group of Morgan Stanley Dean Witter \& Company, Inc. Mr. Iantoni has developed computer databases and systems to effectively market and target segments in both the financial markets and the healthcare industries. Mr. Iantoni is a graduate of the University of Wisconsin with an advanced degree in Pharmacy.

## Strategic <br> Alliances

iviewit is creating a stable of strategic partners in the areas of technology, R\&D, applications development, and video hosting and delivery. The Company has partnered with key industry leaders to develop precedence in the market. Partners include Greg Manning Auctions, Atlas Entertainment, Medical Online, Digital Island, Burst.com, and Versifi.

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 or where the site of that lawsuit was?
A. No.
Q. Was it in the federal court or state court?
A. $\quad$ I don't know.
Q. Was your deposition taken in the Sate of Florida --
A. No.
Q. -- or taken elsewhere?
A. It was taken in New York.
Q. In New York. Okay. Now, going back
to something that Mr. Prusaski started but I don't think he completed with was some of your background information about your education. If you can just tell me from undergraduate onward what your educational background is, Sir, schools you attended, years of attendance and degree.
A. I don't have a degree.
Q. Okay.
A. I attended Weaver State University, which was then Weaver College, 1950.
Q. okay.
A. San Eransisco City College, 1957,
1958.
Q. Okay. And you graduated from San

## "roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02

## Francisco College or did not?

A. I don't have a degree.
Q. Okay. So you never completed your
course at San Fransisco then?
A. Right.
Q. With regard to your employment experience, you had stated your employment with IBM. What years was that from, Sir?
A. $\quad 1955$ through 1992.
Q. 92. And your first employment with IBM in 1955, what position was that in if you can recall?
A. I was employed as a customer engineer.
Q. All right. And after that, you were promoted to what position?
A. In 1960 I was promoted to development engineer, electrical engineer.
Q. At that point, were you supervising a staff or working with other engineers below you at that point?
A. I was involved in design of a computer.
Q. Were you the leader of any design team or were you just an individual engineer

## EXHIBIT "B"

## Patent Filing Process

(i) a patent attorney's first contact with a bona fide inventor is where that attorney receives a "disclosure" from that inventor, or a series of disclosures, to begin the framework of a provisional ${ }^{25}$ patent application or a non-provisional ${ }^{26}$ patent application, and where said inventor certainly was not Utley, Utley was not there at the time of the inventions, as the first disclosures were made to Rubenstein and, upon information and belief, the patent evaluator of, among others, the multimedia patent pools commonly known as Motion Picture Experts Group ("MPEG") 2 and MPEG 4; and
(ii) from the framework of the first disclosures, a patent counsel then forms "claims" to that invention where the claims are meant to precisely identify to which areas of protection an inventor gleans from the exact description of his or her invention according to the disclosures, and where the drafting of such claims are the exclusive affair of patent counsel subject to review by the inventor, and where said inventor certainly was not Utley; and
(iii) once the framework of the invention and the claims are approved by an inventor, and in all cases herein, said inventor was not Utley, patent counsel then puts forth to a bona fide inventor what is known as the Declaration and Power of Attorney document that contains strict requirements according to the law for inventors and where said inventor was not Utley as he took no part in the formulation of the invention, took no part in the first disclosures of the inventions, took no part, or rather, should have taken only a limited role supporting the inventor in reviewing the claims, and, consequently, signing an Oath of the Applicant according to the evidence presented below, falls outside the requirements of the law in this disingenuous ploy by Respondent and Utley; and
(iv) once patent counsel has completed all steps in (i) to (iii), and only then, patent counsel actually files a patent application with the United States Patent and Trademark Office ("USPTO"), and where the damage by Respondent had already occurred in (iii); and
(v) once patent counsel has actually filed an application with the USPTO, from time to time, he or she may be called upon to respond to challenges to the inventions from the USPTO (commonly referred to as office actions) and where the damage by Respondent had already occurred in (iii); and

[^36](vi) and once favorably responded to and having such responses accepted by USPTO to office actions, patent counsel will receive was is known as a Notice of Issuance of the patent for the inventions disclosed and where the damage by Respondent had already occurred in (iii); and
(vii) some three months or so after receiving a Notice of Issuance, the USPTO will afford the applicant (bona fide inventor or his assignee as the case may be) a granted patent, and where the damage by Respondent had already occurred in (iii).


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| Appapatus and Mellod Jur Fibducjug Enhanced Digita Image; | Pu0101 | Eliel 1. Eenuseia | Unict Stalcs | $\begin{aligned} & \text { Sebial No. } \\ & 60125^{2}-1 \end{aligned}$ | $\begin{aligned} & \text { Pind } \\ & 0,2495 \end{aligned}$ | Bensatina a lviawil LLC <br> to [viewit Hivadings, Lic <br> Assighted 01/06ת0 <br> Reed'Franc <br> $010523 / 0526$ | Abrawisional Ayplicaticny |
| Apparalus and Method for Peduricis Emanced Viees timapes |  | Elial Rematein | Unied Stales | $\begin{aligned} & \text { Serial No: } \\ & 60137,297 \end{aligned}$ |  | Remstein to Iviewil Llet in IV <br> Assigntal: 010Kan Rec交imme: 016.52 .30454 | Abandoned (Ptovisional Applicalicu) |
| A pratalus ald Methaxl for <br> Playing पideo Files meruso the Intennel | $\begin{aligned} & \text { P603 } \\ & (\mathbf{k a n a l}) \end{aligned}$ | Eljut 1. Eeniskin | Cuptal Slates | Serial No. Oni37.92 | Tiled (0) 007299 | Bemstein to Iviswit LLC <br> to J viewn Huldings. Inc. <br> Assighord: 01/Otivo <br> Rcel'Frame: <br> $0105_{2}^{2} 3 / 2497$ | Abandone: <br>  |
| Appactus and Meliond fon Fraviding andor Transmilinge аСоamanimich Nelwork vide 1 Eta andiar Intumation iu |  | Eliol I. Beimein | Unite, slates | Serial Siv) 6) 141.440 | $\begin{aligned} & \text { Filed } \\ & \text { vonow } \end{aligned}$ | kiewil hertinge lic. <br> Assigled. 01/230(x) <br> Reebilimane <br>  | Abunchat (formixivat Alplicatibel) |
| Aptarame amil Meithed for Producing Enbarace Tigital trubers | $\begin{aligned} & \text { P90 } \\ & (\mathrm{fk} ; \mathbf{1 0 6 9} \end{aligned}$ |  | Linild Sitices |  | Filed $08 \cdot 1249$ |  | Ahandirnaci (Trovisi ional Applizatien) |
| Apparatus and mathod fior <br> Pronllecing E:thanced Digita! <br> latuges allitux Digital Yideo tities | $\begin{aligned} & \text { Com } \\ & \text { (1ha } i 07) \end{aligned}$ | Elina 1. itemsem | : Jnitei S Satics | $\begin{aligned} & \text { Senal No } \\ & \text { for } 14+737 \end{aligned}$ | liled <br>  |  | $\begin{aligned} & \text { Abantural } \\ & \text { (Provisional Applicationi) } \end{aligned}$ |
| Appritus and Mellasi for Pirduruive Filhancel Viriter mus minker Yister I file: | $\begin{aligned} & \hline \text { roon } \\ & (1 \mathbf{k a} \mid n \dot{k}) \end{aligned}$ | Elial 1. Bernsteim | United Shat3 | $\begin{aligned} & \text { Serial Moo } \\ & \text { Sili } 5,404 \end{aligned}$ |  |  | Abantumed \{Pruvisisual Aprlication) |

[^37]

| Tab No. | FEL <br> Dkt. No. | MLG <br> Dkt. No. | Country (Type) | Appl, Mo. | Filing Date | Application Title | Inventor(s) | Assignee | No. Appl. Pgs/Shts | Priority |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 57103/102 | 5865-1 | U.S. (Provisional) | 60/125,824 | 3/24/1999 | Apparatus and Hethod for Producing Enhanced Digital Images | Eliot I. <br> Bemstein | Iviewit Holdings, Inc. | 15/4 | N/A |
| 2 | $57103 / 103$ | 5865-3 | U.S. (Provisional) | 60/137,297 | 6/3/1999 | Apparalus and Wethod for Producing Enhanced Video Images | Eliot I. <br> Bemstern | \|viewit <br> Holdings, Inc. | 1/0 | N/A |
| 3 | 57103/104 | 5865-4 | U.S. (Provisional) | 60/137,921 | $6 / 7 / 1999$ | Apparalus and Method for Playing Video Files Across the Internet | Eliot I. <br> Bermstein | Ivlewit Holdings, Inc. | 1/0 | N/A |
| 4 | 57103/105 | 5865-4.1 | U.S. (Provisional) | 60/141,440 | 6/29/1999 | Apparatus and Method for Prowiding andior Transmitting Video Data and/or Information in a Communication Netwark | Eligit 1, <br> Bernstein | Iviewit Holdings, lnc. | 25/2 | N/A |
| 5 | 57103/106 | 5865-6 | U.S. <br> (Provisional) | 60/146,726 | 8/2/1999 | Apparatus and Method for Producing Enhanced Digital Ifrnages | Eliot $I$. <br> Bemstén | Iviewit Holdings, Inc. | 18/4 | N/A |
| 6 | 57103/107 | 5865-5 | U.S. (Provisional) | 60/149,737 | 8/10/1999 | Apparatus and Method for Producing Enhanced Digital Images and/or Digital VIdeo Filies | Eliot I. <br> Bemstein | Iviewit Holdings, Inc. | 21/4 | N/A |
| 7 | $57103 / 108$ | 5865-7 | U.S. (Provisional) | 60/155,404 | 9/22/1999 | Apparatus and hetthed for Producing Enhanced Video Images and/or Video Files | Eliot I. <br> Bernstein | Iviewit Holdings, Inc. | $29 / 4$ | N/A |
| B | 57103/109 | 5865-B | U.S. (Provisional) | 60/169.559 | 12/8/1999 | Apparatus and Method for Producing Enhanced Video Images andior Video Files | Eliot I. <br> Bernstein | Iviewit Holdings, Inc. | 47/5 | $N /$ A |
| 9 | 57103/410 | 5865-10 | PCT <br> (International) | $\begin{gathered} \text { PCTMUSOOI } \\ 07772 \end{gathered}$ | $3 / 23 / 2000$ | Apparalus and Method for Producing Enhanced Digital Images | Eliot I. <br> Bemstein: | Iviewit Holdings, Inc. | 14/4 | 60/126,824 |


| $\begin{array}{\|l\|} \hline \text { Tab } \\ \text { No. } \\ \hline \end{array}$ | $\begin{gathered} \text { FRL } \\ \text { Dkt. No. } \end{gathered}$ | $\begin{array}{\|c\|} \hline \text { MLG } \\ \text { Dkt. No. } \\ \hline \end{array}$ | Country (Type) | Appl. No. | Fling Date | Application Title | Inventor(s) | Assigneg | No. Appl. Pgs/Shts | Priority |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 10 | 57103/111 | N/A | PCT (Intemational) | $\begin{gathered} \text { PCTJUS00 } \\ 15408 \end{gathered}$ | 6/2/2000 | System and Method for Strearning an Enhanced Digital Video File | Bemstein, Shirajee |  | 29/3 | $\begin{aligned} & 60 / 137,297 \\ & 60 / 155,404 \\ & 60 / 169,559 \\ & \hline \end{aligned}$ |
| 11 | 57103/112 | N/A | PCT <br> (International) | PCT/US00 15405 | 6/2/2000 | Providing an Enhanced Digital Video File $\square$ | Bernstein Uley. Rosario |  | 33/3 | $\begin{array}{r} 60 / 137,297 \\ 60 / 155,404 \\ 60 / 469,559 \\ \hline \end{array}$ |
| 12 | 57103/113 | N/A | PCT <br> (International) | $\mathrm{PCT} / \mathrm{USOOt}$ 15406 | 6/2/2000 | System and Method for Playing a Digital Video File | Bernstein, Shirajee |  | 29/3 | $\begin{array}{r} 60 / 137,297 \\ 60 / 155,404 \\ 60 / 169,559 \\ \hline \end{array}$ |
| 13 | 57103/114 | N/A | U.S. <br> (NonProvisional) | 09/587,730 | 6/5/2000 | Systern and Method for Streaming an Enhanced Digital Video File | Bernstefin, Shirajee |  | 29/3 | $60 / 137,297$ <br> $60 / 155,404$ <br> $60 / 169,559$ <br> $57103 / 111 \mathrm{PCT}$ |
| 14 | 57403115 | N/A | U.S. <br> (Non- <br> Provisional) | 091587.026 | 6/5/2000 | System and Method for Playing a Digital Video File | Bemstein, Shirajes |  | 29/3 | $60 / 137,297$ <br> $60 / 155,404$ <br> $60 / 169,559$ <br> $57103 / 113 P C T$ |
| 15 | 67103/116 | N/A | U.S. <br> (Non- <br> Provisional) | 09/587,734 | 6/5/2000 | System and Melhod for Providing an Enhanced Digital Video File | Bernstein. Utey, Rosario |  | 33/3 | $60 / 137,297$ $60 / 155,404$ $60 / 169,559$ $57103 / 12 \mathrm{PCT}$ |
| 16 | 57103/118 | N/A | PCT (International) | PCTIUSOO 15602 | 877/2000 | System and Method for Video <br> Playback Over a Network | Bernstein, Friedstein, Utley |  | 24/2 | $\begin{aligned} & 60 / 137,921 \\ & 60 / 141,440 \end{aligned}$ |
| 17 | 57103/119 | 5865-1 | U.S. | 09/522.721 | 3/10/2000 | Apparatus and Method for Producing Enhanced Digital Images | Bemstein |  | 15/4 | 601125,824 |

Uniteo States Patent Applications

| TITIE: | OUR REF. | $\begin{aligned} & \text { ENVENTOR/ } \\ & \text { PATENTEE } \end{aligned}$ | COUNTRY | SERIAL NO. PATENT NO. | FILEDASSUE DATE | ASSIGNET | Remarks |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Systern and Method for Streaming an Enhanced Digital Video Fíle | P010 | Efiot I Bernstein Zakirul A. Shirajee | United States | $\begin{aligned} & \text { Serial No. } \\ & 09 / 587,730 \end{aligned}$ | Filed 06/05/00 | Kviewit Holdings, Inc. | Pending. <br> First Office Action received dated 11/10/03. |
| System and Method for Providing An Enhanced Digital Video File | P011 | Eliot I. Bemstein Brian G. Utley Jude R. Rosario | United States | Serial No. 09/587,734 | Filed $0605 / 100$ | Iviewit Holdings, Inc. | Pending. |
| System and Method for Playing <br> a Digital Video File | PO14 | Eliot I. Bernstein Zakinul A. Shirajee | United States | Serial No. 091587,026 | Filed $061 / 05 / 00$ | Iviewit Holdings, Inc. | Pending. |
| System and Method for Providing and Enhanced Digital Image File | P018 | Eliot I. Bemstein Brian Utey | United States | Serial No. 09/630,939 | Filed $08 / 02 / 00$ | Not assigned. | Pending. <br> First Office Action received 10/29/03. |
| Apparatus and Method for Producing Enhanced Digital Images | P017 | Eliot I. Bernstein | United States | Serial No. 09522,721 | Filed $03 / 10 / 00$ | Not assigned | Abandoned. <br> Claims benefir of Provisional Application No. 60/125,824. <br> Deadline to enter National Phase 9/23/01. |

Foreign Patent Applications
IVIEWIT.COM PATENT STATUS REPORT

| title | OUR mee. | INVENTOR/ PATENTEE | Country | serkir, No. PATENT NO. |  | ASSIGNEE | hemarks |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| System and Method for Streamiag an Enhanced Digital Video File | P010EP | Bliot I. Bernstein Zakin: A. Shiraje | EPO-Europe | $\begin{aligned} & \text { Serial No. } \\ & 00938126.0 \end{aligned}$ | Filed $0602 / 00$ | Iviewit.com, Inc. | Pending. <br> Published: 3/06/02. <br> Publication No.: 1883870 <br> First Office Action received. Request for Extension to respond pending. |
| System and Method for Streaming an Enhanced Digital Video File | Poborp | Eliot Y. Bemstein Zakirul A. Shirajee | Japan | $\begin{aligned} & \text { Serial No. } \\ & 2001-502364 \end{aligned}$ | Filed 06/02/00 | Iviewitcom, Inc. | Pending. |
| System and Method for <br> Streaming an Enhanced Digital <br> Vidco File | P011EP | Ehot I. Bernstem, Zakirul A. Shirajee | EPO-Europe | $\begin{aligned} & \text { Serial No } \\ & 00944619.6 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 6 / 20 / 2000 \end{aligned}$ | Iviewit.com, Inc. | Pending. <br> Published: 3/20/02. <br> Publication No.: 1188318 <br> First Office Actiou received. |


| System and Method for <br> Streaming an Euhanced Digital <br> Video File | P011JP | Eliot I. Bemstein, Zakirul A. Shirajee | Japan | $\begin{aligned} & \text { Scrial No. } \\ & 2001-502362 \end{aligned}$ | $\begin{aligned} & \text { Fijed } \\ & 6 / 20 / 2000 \end{aligned}$ | Iviewitcom, me. | Pending. |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Systen and Method for Providing and Enhanced Digital Image File | P0ISEP | Eliot I. Berustein Brian Utley | EPO-Europe | $\begin{aligned} & \text { Serial No. } \\ & 009553520 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 08 / 02 / 00 \end{aligned}$ | Iviewit Holdings, Inc. | Pending. <br> Publistied: 5/2/2002 <br> Publication No.: 1200935 |
| System and Method for <br> Providing and Enhanced Digital Image Fite | P018JP | Eliot 1. Bernstein Brian Utley | Japan | $\begin{aligned} & \text { Serial No. } \\ & 2001-514379 \end{aligned}$ | Filed $08 / 02 / 00$ | Iviewit Holdisgs, Inc. | Pending. |


| IVIEWIT.COM PATENT STATUS REPORT |  |  |  |  |  |  | LAPSED PCT APPLICATIONS |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| TITLE | OUR REF, | INVENTOR/ patentee | country | serial No. $f$ PATENY NO. | Flemp/isste DATE | applicant | memarks |
| Apparatus and Method for Producing Enhanced Digitai Lmages | P009PCT | Eliot I. Bernstein | Patent <br> Cooperation Treaty | $\begin{aligned} & \text { Serial No. } \\ & \text { PCT/US } 00 / 07772 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 03 / 23 / 00 \end{aligned}$ | Iviewit Holdings, Inc. | Lapsed. <br> Filed based on Provisionat Application No. 601125824 (P00IZ). |
| System and Method for Streaming an Enhanced Digial Video File | P010PCT | Eliot I. Bernstein | Patent Cooperation Treaty | Serial No. PCT/USO//5408 | Filed $06102 / 00$ | Iviewit Holdings, Inc. | lapsed. <br> Filed based on Provisional Application Nos. $60 / 137,297$ (P002Z), 60/155,404 (P007Z) and 607169,559 (P008Z). |
| System and Method for Providing an Enhanced Digital Video File | P011PCT | Eliot 1. Bemstein | Patent <br> Cooperation Treaty | Serial No. <br> PCT/USOO/15405 | $\begin{aligned} & \text { Filed } \\ & 0602 / 00 \end{aligned}$ | Iviewit Holdings, Inc. | Lapsed. <br> Filed based on Provisional Application No. 60/137,297 (PO02Z), 60/155,404 (P0072) and 60/169.559 (P008Z). |
| System and Method for Playing a Digital Video File | P012PCT | Eliot I. Bernstein | Patent Cooperation Treaty | Serial No. PCT/USO)/15406 | $\begin{aligned} & \text { Filed } \\ & 06 / 02 / 00 \end{aligned}$ | Iviewit Holdings, Inc. | Lapsed. <br> Filed based on Provisional Application No. 60/137.297(P002Z), 60/155,404 (POO7Z) and 60/169.559 (P008Z). |
| System and Method for Video Playbuck Over a Network | P016PCT | Etiot I. Bernstein | Patent Cooperation Treaty | Serial No. PCT/US00/15602 | Filed $0607 / 00$ | Iviewit Holdings, Inc. | Lapsed. <br> Filed based on Provisional Application Nos. 60/137,921 (P0032), 60/141,440 (P0042) and $60 / 155,404$ (P007Z). |
| System and Method for Providing an Enhanced Digital Image File | P018PCT | Eliot 1. Bernstein | Patent Cooperation Treaty | $\begin{aligned} & \text { Serial No. } \\ & \text { PCT/USO/21211 } \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 08 / 02 / 00 \end{aligned}$ | Iviewit Holdings, Inc. | Lapsed. <br> Filed based on Provisional Application Nos. 60/125824 (POOIZ), 60/146,726 (PO05Z), 60/149,737 (P006Z), 60/155,404 (P007Z) and 60/169,559 (P0082). |

LAPSED PROVISIONAL U.S. PATENT APPLICATIONS

|  |  |  |  |  |  | LAPSED |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| title | OUR REE. | INVENTOR: PATENTEE | conntry | SERIAL NO. PATENT NO. | $\begin{aligned} & \text { rluedissue } \\ & \text { Date } \end{aligned}$ | Assignee | REMARKS |
| Apparatus and Method for Producing Enhanced Digital Inages | P0012 | Elion 1. Bemstein | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 /[25824 \end{aligned}$ | Filed 03/24/99 | Bemstein to Iviewit LLC to Iviewit Holdings, Inc. <br> Assigned: 01/00/00 <br> Reel/Frame: 010523/0526 | Lapsed <br> POO9PCT and P018PCT filed based on this provisional application. |
| Apparatus and Method for Producing Enhanced Video Images | P002Z | Eliot I. Bernstein | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 137,297 \end{aligned}$ | Filed $0603 / 99$ | Bernstein to Iviewit LLC to Iviewit Holdings, Ine. <br> Assigned: 01/06/00 <br> Reel/Frame: 010523/0494 | Lapsed <br> POIOPCT and POI PPCT and POI2PCT filed based on this provisional application. |
| Apparatus and Mechod for Playing Video Files Across the Internet | P003Z | Eliot I. Berustein | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 137,921 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 06 / 07 / 99 \end{aligned}$ | Bernstein to lviewit LLC to lviewit Holdings, lnc. <br> Assigned: 01/06/00 <br> Reel/Frame: 010523/0497 | Lapsed <br> POIOPCT filed based on this provisional application. |

IVIEWIT.COM PATENT STATUS REPORT
Lapsed Provisional U.S. Patent Applications

| 05707 |  |  |  |  |  | U.S. PATENT APPLICATION |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| title | OUR REE. | $\begin{aligned} & \text { INVENTOR/ } \\ & \text { PATENTEE } \\ & \hline \end{aligned}$ | country | SERIAL NO. patenf no. | $\begin{gathered} \text { FLLED/SSUE } \\ \text { DASE } \\ \hline \end{gathered}$ | Assignee | REMARKS |
| Apparatus and Method for Producing Enhanced Digital Images and/or Digital Video Files | P006Z | Eliot 2. Bernstein | United States | Serial No. 60/149.737 | $\begin{aligned} & \text { Filed } \\ & 08 / 19 / 99 \end{aligned}$ | Iyiewit Holdings, Inc. <br> Assigned: 01/06/00 Reel/Frame $010523 / 0506$ | Lapsed <br> POHPPCT filed based on this provisional application. |
| Apparatus and Methed for Producing Enlanced Video Images and/or Video Files | P007Z | Eliot I. Bernstein | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 155,404 \end{aligned}$ | Filed 09/22/99 | Iviewt holdings, Inc. <br> Assigued: 01/06/00 Reel/Frame 010523/0183 | Lapsed <br> POIOPCT, POHIPCT, POI2PCT, POIOPCT and POISPCT all filed based on this provisional application. |
| Apparatus and Method for Producing Enhanced Video Tnages and/or Video Files | P008Z | Eliot I. Bemstein | United States | Setial No. 60/169,559 | Filed $12 / 08 / 99$ | Iviewit Holdings, Inc. <br> Assigned: 01/06/00 <br> Reel/Frame <br> 010523/0220 | Lapsed <br> P010PCT, POIIPCT, P012PCT and POI $8 P C T$ all filed based on this provisional application. |


| Zoom and Pan Imaging Using a P020Z. Digital Camera | Brian Utey | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 223,344 \end{aligned}$ | Fifled $09 / 18 / 00$ | Not assigned. | Lapsed |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Zoom and Pan Imaging Design P021Z Tool | Brian Ulley | United States | Serial No. 60/233,341 | $\begin{aligned} & \text { Yiked } \\ & 09 / 18 / 00 \end{aligned}$ | Not assigned. | Lapsed |


| YIEWITCOM PATENT STATUS REPGRT |  |  |  |  |  |  |  |
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| TCTLB | Matter | INFENTUK PATENTEE | cunn'ray | SERIAd. No's <br> PATEN'I NO. | $\begin{aligned} & \text { EILEDNSSUE } \\ & \text { EATE } \end{aligned}$ | ASSIGNEE | REMAKKS |
| Apparatus and Method for Producing Erhanced Digital Images | P 0 O <br> (fkal02) | Eliot I. Bemstitin | United States | Serial No. $6 . W 125424$ | Filed $93 / 24 / 99$ | Bemstein to lviewit LLC to Jviewit Haldings, Inc. <br> Assigned: 01/06/00 <br> Reel/rame: <br> 010523/0526 | Abandoned (Provisional Application) |
| Apparatus and Method for Producing Enhanced Victiou Images | P002 <br> (ika H03) | Eliot 1. Bemstein | United States | Serial No. 60137,297 | Filed [1603999 | Bennstein to lyiewit LLC to Iviewit Foldings, Fnc. <br> Assigned: 0 L/06NOO <br> Reel/Frame: <br> $010523 / 0494$ | Abandoned (Ptovisional Application) |
| Apparalus and Method for Playing Video Files Across the lotenter | $P 003$ <br> (fka 104) | Eliat I. Bemstein | United States | Serial No. $60,137,921$ | Filed 0610799 | Bernstein to Iviewit LLC to Iviewil Holdings. Ine. <br> Assigned: 01/0600 <br> Reel'Frame: <br> $010523 / 497$ | Abandened <br> (Ptovisional Applicadion) |
| Apparatus and Melhad for Providing and lor Transmitting Video Deata andfor lnfommation int a Commumicalion Network | P604 <br> (tika 105) | Eligt 1. Bemstein | United Sares | Serial No. 60141,440 | Filed $06 / 29 / 99$ | Iviewit Holdings, Inc. <br> Assigned: 01/03/00 Reel/Frante: $010523 / 0574$ | Abardoned <br> (Provisional Application) |
| Apparatus and Method for Proxucing Enhanced Digital Iniages | PO 05 <br> (fka 106) | Eviot 1. Bermstein | United Stanes | Serial No. 60146,726 | Filed $08 / 02 / 99$ | Iviewit Holdings, lic. <br> Assigned: OIMONOU Reel/Frame: 0105230509 | Abandoned <br> (Puyrisional Application) |
| Apparalus and Method for Producing Enhanced Digital Images andor Digital Video l'iles | POO6 <br> (fka 107) | Elich I. Bernstein | United States | Scrial No. (601149,737 | $\begin{aligned} & \text { Filed } \\ & 08119 / 99 \end{aligned}$ | Iwiewit Foldings, Jnc. <br> Assigned: 01/0th(0) <br> RteliFrame <br> 010523/0506 | Abundined <br> (Provisional Application) |
| Apparalus and Method for Producine Enhanced Video Images and hor Video Files | P007 <br> (fka 108) | Elioh I. Bernstein | United Stales | Serial No. 601155,404 | Filed 09/22/99 | lviewit Holdings, Inc. <br> Assimned: [1/W6/6O <br> Reel/Frame <br> $010523 / 0183$ | Abaudoned (Pruvisional Application) |

VIEWIT.COM PATENT STATUS REPORT

| 115707 <br> TITLE | Matten | INYENTAR HATENTEE | Counthy | SERIAL NOA PATENT NO. | fllegnlssue BATE: | assignee | REMARES |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| Apparatus and Method for Producing Enbanced Video Images ard/or Video Files | P608 <br> (fka 109) | Eliot I. Bernstein | United States | Serial No. 601169599 | Filed 120899) | Iviewil Holdings, lre. <br> Assigned: 0l/0GHO Reel/rame $010523 / 0220$ | Abandoned (Provisional Applicalion) |
| Apparatus and Method for Producing Enhanced Digital Inages | POMPCT <br> ( Fka 110 ) | Eligt I. Bernstein | Patent Cocperatiun Treaty | Serial No. PCTIUSOOMO7 772 | Filed <br> $03 / 23 / 00$ <br> Ptiority 03/24/99 | Not assigned. | Pending. |
| System and Method fier Sireuming an Erbhanced Digital Video File | PAIOPCT (fka lll) | Eliot 1. Berristein | Pacent Coperation Treaty | Serial No. <br> FCT/USOO/ 15 40 s | Filed W602N0 | Not assigned. | Pending. |
| System and Method for Prowidiug an Enhaneed Digital Video File | $\begin{aligned} & \text { P01tPCT } \\ & \text { (fkalt2) } \end{aligned}$ | Elior 1. Remsteith | $\begin{aligned} & \text { Fatent } \\ & \text { Cocperation } \\ & \text { Trealy } \end{aligned}$ | Serial Nu. PCT/USOON 15 405 | Filed $06102 / 00$ <br> Priurity $03 \pi 6495$ | Applicant Iviewit Huldings, Inc. | Fending. <br> Writion opihivn dae 0620 OH . |
| Systern and Method for Playing a Digital Video Fille | $\begin{aligned} & \text { PO12PCT } \\ & \text { (ika } 113 \text { ) } \end{aligned}$ | Eliot I. Bemstein | Patent Cooperation Treaty | Serial Na. PCTMSOW/15 406 | Filed 0602100 <br> Priority <br> 0310694 | Applicant [wiewil Holdings, Ine. | Pending. <br> Written opirliten due $06 / 2[p / 01$. |
| System and Mcthod jor Streaming an Enhanced Digital Video File | P013 <br> (ika I 14) | Eliot 1. Bermstcin Zakinul A. Shírajere | United Slatey | $\begin{aligned} & \text { Serial No } \\ & 095587,730 \end{aligned}$ | Filed $00 / 45 / 20$ | Applicant Iviewil Heldings, Inc. | Pending |
| System und Method for Playing a Digital Video File | P014 (fka 115) | Eliot 1. Bemstein Zakinil A. Shirajee | United Stales | $\begin{aligned} & \text { Serial No. } \\ & 094587,026 \end{aligned}$ | $\begin{aligned} & \text { Filesd } \\ & 06 / 05 / 00 \end{aligned}$ | Applicant lyiewit Holdings, Inc. | Pending- |


|  |  | INVENTORA PATENTEE COUNTEY | SERLAL NO | FILEDNSSUE | assicmer | remarks |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | $\underbrace{\text { Pal }}_{\text {(Ral }}$ |  | $\begin{gathered} \text { SerialNo. } \\ \text { P9887,34} \end{gathered}$ | ${ }_{\text {cosem }}^{\text {Fika }}$ |  | Pending. |
| System art Method for Vide Playback Over a Network | $\begin{gathered} \text { Polifect } \\ (\text { fat } 118) \end{gathered}$ | Eliout. Bcmstein PCT | $\begin{aligned} & \text { Serial No. } \\ & \text { PCT/USOMI5 } \\ & 602 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 06070100 \end{aligned}$ |  | Pending <br> Response due 6r29/01 |
|  |  | Elioli Benstein Unietd States |  | ${ }_{\text {Filud }}^{\text {ciliow }}$ | Norassigned | Pending <br> Claims benefit of 60125,824 |
|  |  | Eliot. . Beensein PCT |  | $\begin{aligned} & \text { Filed } \\ & \text { O8N0200 } \end{aligned}$ |  | Perding <br> Deadline liur ancring ith Nariontat Plase $04 / 02+61$ |
| Innage File <br> System and Methrod for Providily and Enhanced Digital Irnage File | ${ }_{\text {Pral9 }}^{\text {(Ral } 121)}$ | Fliot I. Bernstein United Sutes Brian Utley |  | $\underbrace{}_{\substack{\text { Filided } \\ 080200}}$ |  | Pending- <br> Deadline to lile missiug parts I $/ / 29 / 00$ |
| Zoom and Pan Imaging Using a Digital Camera | $\xrightarrow[\substack{\text { P020 } \\ \text { (1a2 } 122)}]{\text { a }}$ | Brian Uitiey United States |  |  | Noa |  |
|  | ${ }_{\substack{\text { P021 } \\ \text { (Ra) 123) }}}^{\text {P1 }}$ | ${ }^{\text {Brian Uliey }}$ United Stales | ${ }_{\substack{\text { SecialNo. } \\ 6033,341}}$ |  |  |  |

IN THE CIRCUIT COURT OF THE[5 ${ }^{\text {TH }}$ JUDICIAL CIRCUIT IN ANDFOR PALM BEACH COUNTY,FLORIDA
PROSKAUER ROSE L.L.P, ..... CA 01-04671 AB
a New York limited partnership,
Plaintiff,
v.IVIEWIT.COM, INC., a Delawarecerporation, IVIEWIT HOLDINGS,INC., a Delaware corporation, and
COPY / ORIGINAL
IVIEWIT TECHNOLOGIES, INC.,
RECEIVED FOR FILINGa Delaware corporation.
Defendants.JAN 282003
DOROTHYH WHLKEN 
DEFENDANTS MOTION FOR LEAVE TO AMEND TO ASSERT COUNTERCLAIM FOR DAMAGES
Defendants, IVIEWIT.COM, INC., IVIEWIT HOLDINGS,
INC. and IVIEWIT TECHNOLOGIES, INC., by and through their undersigned
counsel, hercby move this Court for Leave to Amend their Answer so as to assert a
ccunterclaim in this matter pursuant to Rule $1.170(f)$ of the Florida Rules of Civil
Procedure and as grounds therefore would state as follows:

1. That the Defendants move to amend their answer in this matter so as to
include a counterclaim in this matter, which by its nature appears to be a compulsory
counterclaim to the extent that the issues arise out of the same nexus of events, as
justice requires that the counterclaim be tried at the same time as the complaint and answer so that all pending issues between the parties may be adjudicated in this action.
2. That as a result of fact that additional evidence in support of the Defendants' counterclains is found in the Plaintiff's own files and records, the Plaintiff will not be prejudiced by the amendment of the Defendants' answer in this matter, nor will this matter be delayed as to the trial of same.
3. Defendants have attached hereto a copy of the proposed counterclaim.

WHEREFORE the Defendants, move this Honorable Court for the entry of an order permitting the Defendants to amend their answer in this matter.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this $2 \boldsymbol{R}^{4 /}$ day of January, 2003 to: Clristopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.
SELZ \& MUVDI SELZ, P.A.
214 Brazilian Avenue, Suite 220
Palm Beach, FL 33480
Tel: ( 561 ) $820-9409$
Fax: ( 561 ) $\$ 33-9715$
By:
STEVENM. SELZ
FBN: 777420

## IN THE CIRCUTT COURT OF THE $15^{6}$ JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

PROSKAUER ROSE, LLP, a New York
limited partnership,
CASE NO.: CA 01-04671 AB
Plaintiff,

## vs.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation and, IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants,

## COUNTERCLAIMFOR DAMAGES

COME NOW the Counter Plaintiffs, IVIEWIT.COM, INC., IVIEWIT
HOLDINGS, INC., IVIEWIT TECHNOLOGIES, INC. and IVIEWIT LLC,
heseinafter collectively referred to as "IVIEWIT" or Counter Plaintiffs, and hereby sues Counter Defendant, PROSKAUER ROSE, LLP, hereinafter "PROSKAUER",
a New York limited partnership, and alleges as follows:
GENERAL ALLEGATIONS COMMON TO ALL COUNTS

1. This is an action for damages in a sum greater than $\$ 15,000,00$, exclusive
of interest, taxable costs and attorneys fees.
2. Counter Plaintiff, IVIEWIT.COM, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and the State of California.
3. Counter Plaintiff, IVIEWIT HOLDINGS, INC., is a Delaware ccrporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and California.
4. Counter Plaintiff, IVIEWIT TECHNOLOGIES, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was autherized to conduct and conducted business in Paim Beach County Florida and the State of California.
5. IVIEWIT LLC, is a Florida limited liability company, formed by PROSKAUER, which, at all times relevant hereto, was authorized to conduct and conducted business in the Palm Besch County Florida and the State of Califomia.
6. Counter Defendant PROSKAUER ROSE, LLP, (hereinafter
"FROSKAUER") is a New York limited partnership, operating a law office in
Buca Raton, Palm Beach County, Florida.
Buca Raton, Palm Beach County, Florida.
7. BRIAN G. UTLEY, (hereinafter "UTLEY") was at all times relevant hereto a sui juris resident of the State of Florida and who on or about September of 1999 was the president of Counter Plaintiff, IVIEWIT LLC.
8. CHRISTOPHER WHEELER, (hereinafter "WHEELER") is a sui juris individual and resident of Palm Beach County, Florida, who at all times relevant hereto was a partner of PROSKAUER and who provided legal services to the Counter Plaintiffs.
9. KENNETH RUBENSTEIN, (hereinafter "RUBENSTEIN") is a sui juris individual believed to be a resident of the State of New York and who various times relevant hereto was initally misrepresented by WHEELER as a partner of PROSKAUER and later became a partner of PROSKAUER, and who provided leggal services to the Counter Plaintiffs both while at Meltzer, Lippie, et al., and PROSKAUER.
10. RAYMOND JOAO, (hereinafter "JOAO") is a sui juris individual believed to be a resident of the State of New York and who at all times relevant hereto was represented to be RUBENSTEN's associate at PROSKAUER, when in fact JOAO has never been an employec of PROSKAUER but in fact was an employce of Meltzer, Lippie, et al.
11. That beginning on or about November of 1998, the Counter Plaintiff, IVIEWIT, through it's agent and principal, Eliot I. Bemstein ("Bernstein"), held discussions with WHEELER with regard to PROSKAUER providing legal services to the company involving specific tecinologies developed by Bernstein and two others, which technologies allowed for:
i) Zooming of digital images and video without degredation to the quality of the digital image due to what is commonly refereed to as "pixilation"; and,
ii) The delivery of digital video using proprietary scaling techniques; and,
iii) A combination of the image zoom techniques and video scaling techniques described above; and,
iv) The remote control of video cameras through communications
networks.
12. That Bernstein engaged the services of PROSKAUER to provide legal services to the company to be formed, including corporate formation and governance for a single entity and to obtain multiple patents and oversee US and foreign filings for such technologies including the provisional filings for the technologies as described in Paragraph 11 above, the "Technology", and such
other activities as were necessary to protect the intellectual property represented by the Technology.
13. That at the time of the engagement of PROSKAUER, Bernstein was advised and otherwise led to believe that WHEELER was the PROSKAUER partner in charge of the account.
14. Upon information and belief, WHEELER, RUBENSTENN and JOAO upon viewing the technologies developed by Bemstein, and held by IVIEWIT, realized the significance of the technologies, its various applications to communication networks for distributing video data and images and for existing digital processes, including, but not limited to digital cameras, digital video disks (DVD), digital inaging technologies for medical purposes and digital video, and that WHEELER, RUBENSTEIN and JOAO conspired to undertake and in fact undertook a deliberate course of conduct to deprive Bernstein and IVIEWIT of the beneficial use of such technologies for either the use of third parties, who were other clients of PROSKAUER and WHEELER, or for WHEELER, RUBENSTENN and JOAO's own financial gain, to the detriment and damage of the Counter Pbaintiffs.
15. That WHEELER, who was a close personal friend of UTLEY, recommended to Bernstein and other members of the board of directors of
IVIEWTT that the IVIEWIT engage the services of UTLEY to act as President of the Iviewit.com, LLC based on his knowledge and ability as to technology issues.
16. That at the time that WHEELER made the recommendation of UTLEY to the board of directors, that WHEELER knew that UTLEY was in a dispute with his former employer, Diamond Turf Products and the fact that UTLEY had misappropriated certain patents on hydro-mechanical systems to the detriment of Diamond Turf Products.
17. Additionally, WHEELER was fully aware of the fact that UTLEY was not the highly qualified "engineer" that UTLEY represented himself to be, and that in fact UTLEY lacked real engineering expertise or even an engineering degree and that UTLEY had been fired from Diamond Turf Products due to his misappropriation of patents.
18. That despite such knowledge, WHEELER never mentioned such facts concerning UTLEY to any representative of IVIEWIT and in fact undertook to "sell" UTLEY as a highly qualified candidate who would be the ideal person to undertake day to day operations of IVIEWIT and work on the patents, acting as a qualified engineer.
19. Additionally, WHEELER continued to assist UTLEY in perpetrating such fraud on both the Board of Directors of IVIEWIT and to third parties,
including Wachovia Bank, by approving a false resume for UTLEY to be included in seeking approval of a private placement for IVIEWIT.
20. That based on the recommendations of WHEELER, as partner of PROSKAUER, the board of directors agreed to engage the services of UTLEY as president.
21. That almost immediately after UTLEY's employment and almost one year after initially providing of services, WHEELER provided a retainer agreement for the providing of services by PROSKAUER to IVIEWIT LLC, ad.dressed to UTLEY, a true and correct copy of such retainer agreement (the "Fetainer") being attached hereto and made a part hereof as Exhibit "A". That the services provided were in fact to be paid out of the royalties recovered from the use of the Technology, which was to be included in patent pools overseen by RIJBENSTEIN.
22. That the Retainer by its terms contemplated the providing of corporate ar.d general legal services to IVIEWIT LLC by PROSKAUER and was endorsed by UTLEY on behalf of IVIEWIT LLC, the Board of Directors of IVIEWIT LLC would not have UTLEY authorized to endorse same as it did not include the intellectual property work which PROSKAUER had already undertaken.
23. That prior to the Retainer, PROSKAUER and WHEELER had provided
lejgal services to IVIEWIT, including services regarding patent procurement and acted to coordinate such services both intemally and with outside counsel, inslading RUBENSTEN and JOAO, including times when they were misregresented as PROSKAUER attomeys.
24. That PROSKAUER billed IVIEWIT for legal services related to corporate, patent, trademark and other work in a sum of approximately $\$ 800,000.00$.
25. That PROSKAUER billed IVIEWIT for legal service never performed, dcuble-billed by the use of multiple counsel on the same issue, and systematically overcharged for services provided.
26. That summaries of the billing statements provided by PROSKAUER to IVIEWIT are attached hereto and made a part hereof as Exhibit " B ".
27. That based on the over-billing by PROSKAUER, IVIEWIT paid a sum in of approximately $\$ 500,000.00$ plus together with a $2.5 \%$ interest in IVIEWIT, which sums and interest in IVIEWIT was received and accepted by

## PFIOSKAUER.

28. That WHEELER, UTLEY, RUBENSTELN, JOAQ and PROSKAUER, conspired to deprive IVIEWIT of its rights to the technologies developed by Bernstein by:
a) Transferring patents using Foley \& Lardner so as to name UTLEY as the sole holder of multiple patents in his individual name and capacity when in fact they were and arose from the technologies developed by Bernstein and others ard held by IVIEWIT prior to UTLEY's employment with IVIEWIT, and;
b) Upon discovery of the "lapses" by JOAO, that WHEELER and PROSKAUER referred the patent matters to WILLIAM DICK, of Foley \& Lirdner, who was also a close personal friend of UTLEY and who had been involved in the diversion of patents to UTLEY at Diamond Turf Products; and,
c) Failing to list proper inventors of the technologies based on improper legal advise that foreign inventors could not be listed until their immigration status was adjusted, resulting in the failure of the patents to include their rightfil and lawful inventors and the payment by IVIEWIT for unnecessary imımigration work; and,
d) Failing to ensure that the patent applications for the technologies, contained all necessary and pertinent information relevant to the technologies and as required by law; and,
e) Failing to secure trademarks and copyrights and failing to complete trudemark and copyright work for the use of proprietary names of IVIEWIT and source code for the Technologies of IVIEWIT as intellectual property, and;
f) Allowing the infringement of patent rights of IVEIWIT and the intellectual property of IVIEWIT by other clients of PROSKAUER and WHEELER, and; g) Aiding JOAO in filing patents for IVIEWIT intellectual property by' intentionally withholding pertinent information from such patents and not filing same timely, so as to allow JOAO to apply for similar patents in his own name, bcth while acting as counsel for IVIEWIT and subsequently.
29. As a direct and proximate result of the actions of the Counter Defendant, Counter Plaintiffs have been damaged in a sum estimated to be greater than $\$ 10,000,000,000.00$, based on projections by Gerald Stanley, CEO of Real 3-D (a consortium of Lockheed, Silicone Graphics and Intel) as to the value of the teshnologies and their applications to current and future uses together with the loss of funding from Crossbow Ventures as a result of such conduct.
30. All conditions precedent to the bringing of this action have occurred or have been waived or excused.

## COUNTI-LEGAL MALPRACTICE

31. This is an action for legal malpractice within the jurisdiction of this court.
32. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs I through 30 as if fully set forth herein.
33. PROSKAUER employed by IVIEWIT for purposes of representing VIEWIT to obtain multiple patents and oversec foreign filings for such technologies including the provisional filings for the technologies as described in Paragraph 11 above.
34. That pursuant to such employment, PROSKAUER owed a duty to ensure that the rights and interests of [VIEWIT were protected.
35. WHEELER, RUBENSTEIN, JOAO and PROSKAUER neglected that reasonable duty of care in the performance of legal services in that they:
a) Failed to take reasonable steps to ensure that the intellectual property o. IVIEWIT was protected; and,
b) Failed to complete work regarding copyrights and trademarks; and,
c) Engaged in unnecessary and duplicate corporate and other work resulting in billing for unnecessary legal services believed to be in excess of $\$ 400,000.00$; and,
d) By redacting information from the billing statements regarding services provided so to as to give the appearance that the services provided by PROSKAUER were limited in nature, when in fact they involved various aspects of intellectual property protection; and,
e) By knowingly representing and agreeing to accept representation of
clients in conflict with the interests of IVIEWIT, without either consent or waiver by IVIEWIT.
36. That the negligent actions of PROSKAUER and its partners, WHEELER and RUBENSTEIN, resulted in and was the proximate cause of loss to IVIEWIT.

WHEREFORE, Counter Plaintiff demands judgement for damages against Defendant together with reasonable attomeys fees, court costs, interest and such other and further relief as this Court deems just and equitable.

## COUNT II-CIVIL CONSPIRACY

37. This is an action for civil conspiracy within the jurisdiction of this court.
38. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
39. Defendant, PROSKAUER and UTLEY, WHEELER, RUBENSTEIN and JOAO, jointly conspired to deprive the Counter Plaintiffs of their rights and interest in the Technology.
40. That UTLEY, WHEELER, RUBENSTEN, JOAO and PROSKAUER with such intent, directed that certain patent rights be put in the name of UTLEY and/or that such patent rights were modified or negligently pursued so as to fail to provide protection of the intellectual property, resulting in the ability of other clients of WHEELER, RUBENSTEIN, JOAO and PROSKAUER to make use of such
technologies without being liable to IVIEWIT for royalties normally arising from such use.
41. That PROSKAUER, without either consent of the Board of Directors or proper documentation, transferred securities to Tiedemann/Prolow Investment Group, which entity was also referred by WHEELER, who acted as counsel for such unauthorized transaction.
42. That upon the discovery of the above-described events and conspiracy, IVIEWIT's lead investor, Crossbow Ventures, ceased its funding of IVIEWIT.
43. That Crossbow Ventures, which was a referral of WHEELER, took a security interest in the Technology under the guise of protecting IVIEWIT and its shareholders from the actions of UTLEY, based on the filing of an involuntary bankruptcy (which was later withdrawn), and as to WHEELER and PROSKAUER based on the instant law suit, when in fact such conduct was motivated by Crossbow's attempts to wrongfully detain the interests of IVIEIT in the Technolegy. Such conduct, upon information and belief, was undertaken with the knowledge and assistance of WHEELER and PROSKAUER.
44. As a direct and proximate result of the conspiracy and acts of PROSKAUER, UTLEY, WHEELER, JOAO and RUBENSTEIN, the Counter Plaintiffs have been damaged.

WHEREFORE, Counter Plaintiffs demand judgement for damages against Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

## COUNT III- BREACH OF CONTRACT

45. This is an action for breach of contract within the jurisdiction of this Court.
46. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
47. Defendant, PROSKAUER, breached the contract with Counter Plaintiff, IVIEWIT LLC by failing to provide services billed for pursuant to the billing statements presented to the Counter Plaintiffs and over-billing for services provided.
48. That such actions on the part of PROSKAUER constitute beaches of the contract by and between IVIEWIT LLC and PROSKAUER.
49. That as a direct and proximate result of such conduct on the part of PROSKAUER, IVIEWIT LLC has been damaged by overpayment to PROSKAUER and the failure of PROSKAUER to perform the contracted for legal services.

WHEREFORE, IVIEWIT demands judgement for damages against Counter Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

## COUNT IV- TORTIOUS INTERFERENCE WITH AN ADVANTAGEOUS BUSINESS RELATIONSHIP


#### Abstract

50. This is an action for tortious interference with an advantageous business relationship within the jurisdiction of this Court. 51. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein. 52. Counter Plaintiff was engaged in negotiations of technology agreements with both Warner Bros. and AOL/Time Warner as to the possible use of the Technologies of the Counter Plaintiffs and investment in Counter Plaintiffs as a strategic partner. 53. That despite the prior representations of RUBENSTEIN, at a meeting held on or about November 1, 2000, by and between UTLEY, RUBENSTEIN and representatives of Warner Bros. as to the Technology of IVIEWIT and the efficacy, novelty and unique methodology of the Technology, RUBENSTEIN refused to subsequently make the same statements to representatives of AOL and Warmer Bros., taking the position that since Warner Bros./AOL is "now a big client of Proskauer, I can't comment on the technologies of Iviewit." or words to that effect in response to inquiry from Wamer Brother/AOL's counsel as to the status and condition of the pending patents on the intellectual property.


54. That RUBENSTEDN, having served as an advisor to the Board of Directors for IVIEWIT, was aware of the fact that at the time of the making of the statements set forth in Paragraph 50, above, JVIEWIT was in the midst of negotiations with AOL/Warner Bros. as to the possible funding of the operations of IVIEWIT in and sum of between $\$ 10,000,000.00$ and $\$ 20,000,000.00$.
55. Further, RUBENSTEIN as a partner of PROSKAUER, and despite his clear prior actions in representing the interests of IVIEWIT, refused to answer questions as to the enforcement of the Technology of IVIEWIT, with the intent and knowledge that such refusal would lead to the cessation of the business relationship by and between IVJEWIT and Warner Bros./AOL and other clients familiar with the Wamer Bros/AOL technology group then in negotiations with IVIEWIT, including, but not limited to Sony Corporation, Paramount, MGM and Fox.
56. That the actions of RUBENSTEIN were and constituted an intentional and unjustified interference with the relationship by and between IVIEWIT and Wamer Bros/AOL designed to harm such relationship and further motivated by the atternpls to "cover-up" the conflict of interest in PROSKAUER's representation of both JVIEWIT and Warner Bros./AOL.
57. That indeed, as a direct and proximate result of the conduct of RUBENSTEIN, Warner Bros./AOL ceased business relations with IVIEWIT to the
damage and detriment of Counter Plaintiffs.
WHEREFORE, Counter Plaintiffs demand judgement for damages against Counter Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this $18^{\text {ru }}$ day of January, 2003 to: Christopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.


FBN: 777420

## Law Offices OF

## ARMSTRONG HIRSCH JACKOWAY TYERMAN \& WER'THEIMER

## 1888 Century Park East, 18TH Floor

Los Angeles, Californa 90067,
TELEPHONE: (310) 553-0305 TELECOPIER: (310) 553-5036

## TELECOPIER TRANSMITTAL SHEET


#### Abstract

DATE: May 19, 2000


RECIPIENT: Mr. Brian Utley
FROM
Alan J. Epstein, Esq.
FAX NUMBER:
(561) 999-8810

```
RE: Iviewit Summary Letter
```

NUMBER OF PAGES: _3_ (including cover page)

CC:

Michele M. Mulrooney, Esq. James R. Jackoway, Esq.

## MESSAGE:

## Dear Brian

Attached is a draft letter I would like to send to Pacific Capital Group (the company which founded Global Crossing), Waterview Partners (a $\$ 240$ million venture fund founded by Frank Biondi, the former chairman of Universal Pictures) and KPE (New York-based venture and service firm focusing on entertainment industry internet applications). I would very much appreciate your reviewing the letter for accuracy as soon as possible and providing me with your comments.

Best regards.


[^38]
## VIA MESSENGER

Mr. Gregg W. Ritchie<br>Pacific Capital Group, Inc.<br>360 N. Crescent Drive<br>Beverly Hills, CA 90210

Re: iviewit.com
Dear Gregg:
I very much enjoyed meeting you for lunch earlier this week. As promised, enclosed is a copy of the Business Plan for our client, iviewit.com.

Iviewit has developed two proprietary and complimentary technologies to enhance video and images delivered on the internet. The first is a state-of-the-art technology which enables full-screen, full-frame rate (i.e., 30 frames per second) streaming video to be viewed by any internet video player at bandwidths as low as 150 kbps , with increased quality and reduced file size. The second digital imaging technology creates an opportunity for full screen still images and $360^{\circ}$ panoramic views that can be magnified with minimal image distortion.

Iviewit has protected its technologies by filing and securing eight patent pending applications, and is currently buffering and expanding those patents through a significant supplemental filing. Iviewit is represented by several of the most prominent patent law firms and attorneys in the world. Bill Dick, who is the head of the intellectual property department of Foley \& Lardner in Milwaukee, Wisconsin, was formerly in charge of IBM's foreign patent division. Mr. Dick and his patent team of attorneys are preparing all of iviewit's supplemental patent filings and are drafting all of iviewit's license agreements. Iviewit's potential patent litigation (if any) will be handled by Ken Rubenstein, who is the head of intellectual property litigation group at the law firm of Proskauer Rose in New York City. Mr. Rubenstein is in charge of all patent litigation on behalf of the MPEG patent pool, in addition to a number of other high-profile technology litigation matters.

Mr. Gregg W. Ritchie
May 19, 2000
Page 2

Iviewit has licensed its technology and providing services to a number of substantial clients, such as hollywood. com, broadway. com, Hyatt Horels and Resorts, and Great Expectations Dating Service. Iviewit also is in final negotiations to license its technology to playboy com, medicalonline.com (x-rays, MRI's CT-scans, etc.) americanenterprise.com (multi-hour surgical and educational videos), gregmanningauctions.com (one of the largest auction houses) and many other clients in the entertainment, health care, automotive and other industries.

Iviewit initially raised $\$ 500,000$ of seed capital from Wayne Huizenga's venture group (at a $\$ 10$ million post-money value). Within the last few months, Iviewit raised $\$ 1.5$ million in a Series A round at a $\$ 25$ million post-money valuation from an investment group led by several individuals who previously ran Merrill Lynch's venture division. Iviewit is currently seeking an additional $\$ 1-\$ 2$ million in the Series A round, with a Series B round ( $\$ 10$ million minimum) to follow later this year. The proceeds will be used to provide working capital (including the leasing/purchase of equipment and facilities) which will enable iviewit to fulfill its substantial backlog of orders and to expand its licensing operations. Iviewit is currently in discussions with several of the nation's leading investment banks to lead the Series B fundraising efforts.

The iviewit technology is most easily explained through a demonstration. If Pacific Capital or its Venture Group are interested in learning more about the company, please let me know and I will arrange to have the principals fly to Los Angeles for a meeting. Although you can see some of the company's technology and applications on the website (www.iviewit.com), the highest-quality work is not available for public viewing and is best seen through a private demonstration.

1 look forward to hearing from you.
Best regards.
Very truly yours,

Alan J. Epstein


Law offices

## Armstrong Hirsch Jackoway Tyerman of Wertheimer

ANDREA MATIAUGA DAVID MATLOF MARCY S MORRIS MICl-AELE M. MULROO
GEOFFRY W. OELATH GEOFFRY W. OELATH
RANDY M SCHIENBERG SCOTT. STEIN ROBERT L. STULBERG GARRY TN TYERMAN ROBERTS WALLERSTEIN ERIC C WEISSLEF' ALAN S. WERTHEIMER

A FRCFEESIONAL CORPORATION

## isms century park east. Is rn floor

LOS ANGELES, CALIFORNIA 90067 -172?
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telephone
(310) 553-0305
facSimile (310) 553-5036
of COUMSEL ALLAN L ALEXANDER
ARTHUR O. ARMSTRONG RONALD J. GAS 5

May 30, 2000

## VIA MESSENGER

Ms. Kimberly Thu
Water View Advisors, LLC
2425 Olympic Boulevard
Suite 4050
Los Angeles, CA 90404
Re: iviewit.com

## Dear Kimberly:

Following up on our telephone conversation last week, enclosed is a copy of the Business Plan for our client, iviewit.com.

Iviewit has developed two proprietary and complimentary technologies to enhance video and images delivered on the internet. The first is a state of-the-art technology which enables full-screen, full-frame rate (ie., 30 frames per second) streaming video to be viewed by any internet video player at bandwidths as low as 150 kbps , with increased quality and reduced file size. The second digital imaging technology creates an opportunity for full screen still images and $360^{\circ}$ panoramic views that can be magnified to the optical limit with minimal image distortion.

Iviewit has protected its technologies by filing and securing eight patent pending applications, and is currently buffering and expanding those patents through a significant supplemental filing. Iviewit is represented by several of the most prominent patent law firms and attorneys in the world Bill Dick, who is the most senior member of the intellectual property department of Foley \& Lardner in Milwaukee, Wisconsin, was formerly in charge of IBM's patent and licensing operations in the Far East and in other territories. Mr. Dick and his patent team of attorneys are preparing all of iviewit's supplemental patent filings and are drafting all of jviewit's license agreements. Iviewit's potential patent litigation (if any) will be handled by Ken Rubenstein, who is the head of intellectual property litigation group at the law firm of Proskauer Rose in New York City. Mr. Rubenstein is in charge of all patent litigation on behalf of the MPEG patent pool, in addition to a number of other high-profile technology litigation matters.

Ms. Kimberly Chu

May 30, 2000
Page 2

Iviewit has licensed its technology and providing services to a number of substantial clients, such as Hollywood.com, Broadway.com, Hyatt Hotels and Resorts, and Great Expectations Dating Service. Iviewit also is in final negotiations to license its technology to Playboy.com, MedicalOnline.com (x-rays, MRI's CT-scans, etc.) AmericanEnterprise.com (multi-hour surgical and educational videos), GregManningAuctions com (one of the largest auction houses) and many other clients in the entertainment, health care, automotive and other industries.

Iviewit initially raised $\$ 500,000$ of seed capital from Wayne Huizenga's venture group (at a $\$ 10$ million post-money value). Within the last few months, Iviewit raised $\$ 1.5$ million in a Series A round at a $\$ 25$ million post-money valuation from an investment group led by several individuals who previously ran Merrill Lynch's venture division. Iviewit is currently negotiating with an investment group for an additional $\$ 2$ million in the Series A round, with a Series B round ( $\$ 10$ million minimum) to follow later this year. The proceeds will be used to provide working capital (including the leasing/purchase of equipment and facilities) which will enable iviewit to fulfill its backlog of orders and to expand its licensing operations. Iviewit is currently in discussions with several of the nation's leading investment banks to lead the Series B fundraising efforts.

The iviewit technology is most easily explained through a demonstration. If you or your colieagues at Water View are interested in leaming more about the conpany in the context of a Series A and/or Series B round investment or a licensing or other strategic relationship, please let me know and I will arrange to have the principals fly to Los Angeles (or New York, if you prefer) for a meeting. Although you can see some of the company's technology and applications on the website (www.iviewit.com), the highestquality work is not available for public viewing and is best seen through a private demonstration.

I look forward to hearing from you.
Best regards.

Ms. Kimberly Chu
May 30, 2000
Page 3

## AJE/rdq

G:WPMAJELETTERSMPTCHECOM
cc. Mr. Eliot Bernstein

Mr. Brian Utley
Mr. Maurice Buchsbaum
(w/o encls.)

ARMSTRONG HIRSCH JACKOWAY TYERMAN \& WERTHEIMER

Ms. Kimberly Chu
May 30, 2000
Page 4
:
bcc: Michele M. Mulrooney, Esq. James R. Jackoway, Esq.


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LAN WEISSLER*
June 9, 1999
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ALLAN O ALEXANDER
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## Via Facsimile 561-241-145

Christoher C. Wheeler, Esq.
Proskauer Rose LLP
2255 Glades Road
Suite 340 West
Roca Ratoon, Florida 33431-7360
$\mathrm{Re}: \quad$ iviewit

## Dear Christopher

I would very much appreciate your sending two Confidentiality Agreements to Mr. Gemal Seede, one addressed individually and one to Netcubator, the company which employs him at address below:

> Mr- Gemal Seede
> Netcubator
> 30 W . Green Street
> Pasadena, California 91105
> Facsimile: $626-449-4395$

Please send the Confidentiality Agreements directly to Mr. Seede, with a copy to my attention. Also please include in your cover letter a statement, similar to the one set forth in the Confidentiality Agreement you sent to Richard Rossman on April 26th, regarding Proskauer's general views on the novel and protectible nature of the patents and technology.


AJE.jon
NELHTTIRSWHEEIARIITR

Christoher C. Wheeler, Esq.
June 9, 1999
Page 2
cc: Mr. Eliot Bernstein Mr. Jeff Freedstein Michele M. Mulrooney, Esq James R. Jackoway, Esq

| PROSKAUER ROSE LLP | 2255 tata Road | NEW YORK LOS AMGELES WASHARK PARIS |
| :---: | :---: | :---: |
|  | Suite 1 West |  |
|  | Boca Raton, FL $33431-7360$ <br> Telephone 551 2417400 |  |
|  | Elsewnera in Florida |  |
|  | $\begin{aligned} & 800.432 .7746 \\ & \text { Fax } 561.241 .7145 \end{aligned}$ |  |
|  | Chrlstopher C . Wheeler Member of the Firm |  |
|  | Direct Dial 561.995 .4702 cwhes\|et:Gproskayer.com |  |

June 8, 1999
Via Fax

Mr. Amre Youness
Mr. Ahmed Alfi
Mr. Frank Khulusi
301 North Lake Avenue, Suite 910
Pasadena, CA 91101
Gentlemen:

At the request of Alan Epstein, I am forwarding the enclosed Confidentiaity Agreements to you. I would appreciate your signing and retuming your Agreement to me.

We have undertaken representation of iviewit, Inc. ("iviewit") and are helping them coordinate their corporate and intellectual property matters. In that regard, we have reviewed their technology and procured patent counsel for them. We believe the iviewit technology is far superior to anything presently available with which we are familiar. iviewit has filed a provisional patent application on a method for providing enhanced digital imsges on telecommunications networks. We are advised by patent counsel that the process appears novel and may be protected by the patent laws. While in all matters of this sort, it is far too early to make any final pronouncements. We do believe that there is an extremely good prospect that iviewit will protect their process which is novel and superior to any other format which we have seen.


Christopher C. Wheeler
CCW/gb
cc: Alan J. Epstein - Via Fax
world. Founded in 1875 in New York City, the firm employs 475 attorneys and has wide experience in all areas of practice important to businesses, including corporate finance, mergers and acquisitions, real estate transactions, bankruptcy and reorganizations, taxation, litigation and dispute resolution, intellectual property, and labor and employment law.

## Armstrong Hirsch Jackoway Tyerman \& Wertheimer, P.C.

One of the nation's leading entertainment law firms. Based in Los Angeles, California, it represents many of the most prominent actors, writers, directors and producers of feature films, television programming and other entertainment content. The firm also represents various content and technology companies in the Internet industry, including prominent web sites, entertainment-oriented portals, aggregated celebrity sites and various e-commerce companies. The firm is assisting in developing the business structure and strategic relationships for iviewit.

## Foley \& Lardner

One of the oldest and largest law firms in America. Founded in 1842, the firm now has more than 750 attorneys in 14 offices, following the February 1996 merger with Weissburg and Aronson, Inc. Foley \& Lardner's over 100 highly skilled intellectual property attomeys constitute one of the largest and most sophisticated technology groups in a general-practice law firm in the United States. As one of the few large national law firms with a global intellectual property law group, it is uniquely positioned to help iviewit capitalize on its foreign filings. The firm's broad-based representations in litigation, regulatory affairs and general business counseling is complemented by one of the world's most highly trained staffs, which includes 65 engineering and advanced technical degrees, including 12 Ph.D.'s. The list of clients using Foley \& Lardner to fill their intellectual property legal needs ranges from small entrepreneurial start-up companies to large intemational and multinational corporations. Foley \& Lardner attomeys provide solutions and successfully serve the needs of clients around the world, including those situated in the United States, Canada, Latin America, the European Union, Eastern Europe, the Middle East, and the Pacific Rim.

- William J. Dick - Special Counsel to the West Palm Beach office of Foley \& Lardner. A member of the firm's Intellectual Property Department (Electronics Practice Group), Mr. Dick currently focuses on mentoring other members of the Electronics and Consumer Products Practice Groups in various IP related matters. He also conducts weekly classes in patent related matters for new associates. Mr. Dick joined Foley \& Larder after 26 years with IBM. He began as a patent attorney, and has handled all phases of patent, trademark and copyright duties, including litigation. Mr. Dick's most recent position with IBM was as Assistant General Counsel to IBM Asia Pacific. Mr. Dick is a graduate of the University of Virginia (B.M.E., 1956; L.L.B., 1962 changed to J.D., 1970)
- Douglas Boehm - a partner in the Milwaukee office of Foley \& Lardner and a member of the firm's Intellectual Property Department (Consumer \& Industrial Products Practice Group and Health Information Technology Practice Group), Mr. Boehm practices in the areas of patent, trademark, copyright, and trade secret counseling; U.S. and foreign patent prosecution; and computer software and intellectual property licensing and technology transfers. Mr. Boehm's technical focus encompasses electrical and electronic engineering, including analog/digital/RF circuitry, radio telecommunications, lasers and fiber optics, and computer hardware and software. He has extensive experience in private industry, having worked as a development engineer and patent agent for Motorola, and as patent counsel for a subsidiary of Amoco Technology Company.
'roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
reason that you can recall whatsoever why these
two computers were given the names Hitro and
Bomber?
A. Well, at their inception, they were reasonably current in the state of the art.
Q. Okay. So they were basically quick and they were high-capacity machines and they were desirable; is that what they were?
A. Well, let me position that.
Q. Okay.
A. At the time of their inception, they would be considered to be reasonably current in the state of the art. But we all krow at what rate the technology moves.
Q. Okay. So about three months after they were created, they were no longer state of the art?
A. That's very often the case.
Q. Okay. With regard to william Dick and Foley \& Lardner, do you have any relationship or continue a relationship with either Foley \& Lardner or Mr. Dick?
A. No.
Q. Have you known Mr. Dick in any other setting other than related to Iviewit?

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA.(722)
A. He worked for me at IBM as manager
of the intellectual property department.
Q. And is that why -- or strike that. Did you recommend that Mr. Dick be retained for the intellectual property work for Iviewit?
A. Actually, I used Mr. Dick as a reference or a consultant to determine who Iviewit should consider retaining for its intellectual property work.
Q. And Mr. Dick was subsequently, Foley \& Lardner and Mr. Dick was subsequently employed for that purpose?
A. Mr. Dick was never employed by Iviewit, but Mr. Dick was retained by Foley \& Lardner as a senior staff member because of his broad experience both before the bench and worldwide in intellectual property matters and, and he endorsed Foley of Lardner as a competent intellectual property company that would handle our affairs. I trusted his judgment.
Q. Now, are you aware of any relationship between Iviewit and Real 3D?
A. Real 3D were brought into the picture by Mr. Wheeler. They were a resource by

## WILLIAM DICK BILLING ENTRIES FOR FOLEY AND LARDNER

$\left.\begin{array}{lllllll}\begin{array}{ll}\text { Date } \\ 4 / 3 / 2000\end{array} & \begin{array}{l}\text { Firm } \\ \text { FL }\end{array} & \begin{array}{l}\text { Partner } \\ \text { Dick }\end{array} & \begin{array}{l}\text { Partner } \\ \text { Utley }\end{array} & \text { Partner } & \begin{array}{l}\text { Notes } \\ \text { Call to arrange meeting for } \\ 4 / / 00\end{array} & 57103 \\ 4 / 7 / 2000 & \text { FL } & \text { Dick } & \text { Utley } & & \begin{array}{l}\text { Conf Utley re meeting } \\ \text { cancellation }\end{array} \\ 4 / 10 / 2000 & \text { FL } & \text { Boehm } & \text { Dick } & & \text { Conf re IP matters }\end{array}\right] 57103$
$\left.\begin{array}{llllll}4 / 13 / 2000 & \text { FL } & \text { Dick } & \text { Boehm } & \begin{array}{l}\text { Re: engagement letter to Utley } \\ \text { conf }\end{array} & 57103 \\ 4 / 21 / 2000 & \text { FL } & \text { Boehm } & \text { Dick } & \text { Becker } & \begin{array}{l}\text { Office conf regarding patent } \\ \text { matters???? }\end{array} \\ \hline 4 / 21 / 2000 & \text { FL } & \text { Becker } & \text { Dick } & 57103 \\ \begin{array}{l}4 / 21 / 2000 \\ \text { cont } \\ 4 / 21 / 2000 \\ 4 / 21 / 2000 \\ \text { cont }\end{array} & \text { FL } & \text { FL } & \text { Becker } & \text { Boehm } & \text { Phone Dick?? }\end{array}\right] 57103$

| $4 / 24 / 2000$ | FL | Becker | Dick |  | Conf??? |
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|  |  |  |  | 57103 |  |


| 4/25/2000 | FL | Dick | Mantecon |  | Conf re copying of material, pick up, sort and send to Boehm | 57103 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 4/25/2000 | FL | Dick | Various <br> People??? |  | Meeting w various people (WHO??) during visit to pick up material | 57103 |
| 4/26/2000 | FL | Dick | Boehm | Becker | Note refiles | 57103 |
| 4/27/2000 | FL | Boehm | Dick |  | Re IP file status | 57103 |
| 4/28/2000 | FL | Dick | Boehm | Becker | Meeting with? | 57103 |
| 5/1/2000 | FL | Boehm | Dick | Becker | Conf re IP portfolio and Iviewit tech | 57103-0101 |
| 5/1/2000 con |  | Becker | Boehm | Dick | Office conf?? | 57103-0101 |
| 5/1/2000 con |  |  |  |  | Search for patents and background art | 57103-0101 |
| 5/1/2000 | FL | Dick | Utley |  | Communications with Mr. Utley. Vague | 57103-0101 |
| 5/1/2000 | FL | Dick | Becker | Boehm | Conf call (MAYBE THIS CALL IS RELATED TO WHEELER / JOAO ANONYMOUS BILLING IN PR BILL) | 57103-0101 |
| 5/2/2000 con |  | Boehm | Dick |  | Re schedule meeting | 57103-0101 |
| 5/2/2000 | FL | Becker | Dick |  | Conf??? | 57103-0101 |
| 5/2/2000 | FL | Dick | Boehm |  | Discussion re schedule and meeting with Utley | 57103-0101 |
| 5/3/2000 | FL | Boehm | Utley | Dick/Becker | Travel to Boca and discuss various ip matters | 57103-0101 |
| 5/3/2000 | FL | Becker | Utley | Dick/Becker | Travel to Boca and discuss various ip matters | 57103-0101 |
| 5/5/2000 | FL | Boehm | Dick |  | Conf re meeting results | 57103-0101 |
| $\begin{aligned} & 5 / 16 / 2000 \\ & \text { cont } \end{aligned}$ | FL | Boehm | Becker | Dick | Re iviewit inventions | 57103-0101 |
| 5/16/2000 | FL | Dick | Boehm |  | Regarding IP matters | 57103-0101 |
| 5/30/2000 | FL | Boehm | Dick/Becker | Joao | Re iviewit Technology and prov apps | 57103-0101 |
| 5/30/2000 | FL | Becker | Dick | Boehm | Office conf | 57103-0101 |
| 5/30/2000 | FL | Dick | Boehm/Beck er | Utley/Bernste in | Conf. Forgets Joao | 57103-0101 |
| 6/9/2000 | FL | Boehm | Dick |  | Conf with Dick re iviewit matters. Vagueness | 57103-0101 |


| 6/12/2000 | FL | Boehm | Dick | Buchsbaum | Conf re upcoming investor (WHO??) meeting and materials required, prepare notes re same | 57103-0101 |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 6/12/2000 | FL | Becker | Dick |  | Office conf??? | 57103-0101 |
| 6/12/2000 | FL | Dick | Buchsbaum |  | Discussion with Buchsbaum | 57103-0101 |
| $\begin{aligned} & 6 / 12 / 2000 \\ & \text { cont } \end{aligned}$ | FL | Dick | Boehm | Becker | Later discussion regarding session with investors | 57103-0101 |
| 6/20/2000 | FL | Boehm | Dick |  | Conf $w$ Dick regarding NDA | 57103-0101 |
| $\begin{aligned} & \text { 6/20/2000 } \\ & \text { cont } \end{aligned}$ | FL | Boehm | Dick | Utley | Revise NDA and send to Utley | 57103-0101 |
| 6/20/2000 | FL | Dick | Boehm |  | Re NDA and disclosure of patent app for eval purposes | 57103-0101 |
| 7/11/2000 | FL | Boehm | Dick |  | Conf w Dick (WHO DOES NOT BILL FOR THIS) re technology lics agreements | 57103-0101 |
| 8/7/2000 | FL | Boehm | Dick Nachovi <br> a Securities | Utley/Bernste in | Conf Bernstein Utley Dick Wachovia reps re IP licensing matters | $057103-0101$ <br> General File |
| 8/7/2000 con | FL | Boehm | Bernstein |  | Attend to misc correspondence regarding coples of Video Imaging Apps | 057103-0101 <br> General File |
| 8//2000 | FL | Dick | Wachovia | $\rightarrow$ | Tele conf with Wachovia reps (WHO??) and client (WHO???) re technolagy licensing strategy | 057103-0101 <br> General File |
| 8/7/2000 con | FL | Dick | Boehm | $\rightarrow$ | Tele conf with Boehm re Tele conf with Wachovia reps (WHO??) and client (WHO???) re technolagy licensing strategy | $057103-0101$ <br> General File |

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        CORRECTED VERSION - CORRECTED ON 5/14/2003
        Transcription of Telephone Conference
                    Conducted July 31, 2000
                    Farticipants:
Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum,
                Brian Utley, Doug Boehm, Chris Wheeler
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Note: Square brackets [ $]$ are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

[^39]```
Utley: I do have that.
Bernstein: I don't. I've got the provisional and I've got...
Boehm: Everything is on the table
Utley: you should have...the formal.
Bernstein: This one?
Utley: Yes, that's the formal.
Bernstein: Okay.
Simon Bernstein: I just have one question. Does anybody have, or are
    we allowed to get, the files of Ray Joao?
Boehm: I have them.
Wheeler: Do you have all of the work that he had?
Bernstein: No, not all of it.
Utley: What was purported to be in the files?
Bernstein: And he also claimed to us that he destroyed part of his files.
Boehm: And I have some of his files. I have what was purported to
    be all of the fimms' files.
<Inaudible comment.>
Utley: Well, there's a whole history, then, because I tried to get
    complete copies of the files originally, and found out
    later that not only did he not send us all the files, he
    didn't even mention that there was an extra filing out
    there that we didn't even know about.
Bernstein: This one that's in question.
Boehm: Yep
Simon Bernstein: You have no notes, no data on...?
Boehm: No, I have the application. I have things that you could
    get from the US patent office-that I could get from the US
    patent office. I have very few notes. I do have some
    scribbled Ray Joao's notes, but I think you gave me those
    notes.
Utley: I did. I gave you Bill Dick after Bill yourself[ ] the
    notes that I had.
Bernstein: And Ray's made disclosures to us that he destroyed the documents to protect us, which I don't know what he was thinking.
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| Wheeler: |  | And did he, in front of you, write notes? |
| :---: | :---: | :---: |
| Bernstein: | Tons. | Hundreds |
| Wheeler: |  | And did he then produce them on his computer and type out certain things? |
| Bernstein: | Yes. |  |
| Wheeler: |  | I was under the impression he was doing that with you. |
| Bernstein: | He did | d. |
| Wheeler: |  | And did you read those? |
| Bernstein: | I did | I did - now going to that same nature, that's the provisional I think we're talking about... |
| Wheeler: |  | Right. |
| Bernstein: | But h | flew out here again with me and Brian and went through this as he went to file this-this is a 3/23/2000 file-that also fails to make mention of. |
| Wheeler: |  | So that's the formal file...the formal one? |
| Bernstein: | The for | rmal file. So both also missed the point. |
| Wheeler: |  | I just wanted to know and to put things in proportion, when you read the provisionals, because Brian wasn't with the company right now and then, and when there were all those drafts, because obviously we didn't see them... |
| Bernstein: | Well | You saw because we gave you all the documents. I'd get a document from Ray and bring it to you so you would have records of everything up to that point because I didn't want to keep them at my house. |
| Wheeler: |  | The final...the final...but I'm not reviewing the patent. I was keep maintaining it as... |
| Bernstein: | Okay, | but you have every record... |
| Wheeler: |  | Everything you gave me we maintain. We don't.. |
| Simon Bernst | zein: | Any notes should be produced. |
| Wheeler: |  | We don't throw away anything. |
| Bernstein: | Yeah, | I know. |
| Simon Bernstein: I know you don't you're very thorough. |  |  |
| Wheeler: |  | So, I'd file it away; so if you gave it to me, it's in our archives. |

Bernstein: Right.


| Wheeler: | But the zooming and the panning and the scanning element was incorporated in that? |
| :---: | :---: |
| Boehm: | Go ahead, Brian. |
| Utley: | Let me make sure that we say that properly. The provisional filing had a claims section which migrated into the final filing, but Eliot is correct in saying that the provisional does not need a claims section. |
| Boehm: Bernstein: But | The provisional never gets examined, so it doesn't need the claims. It just holds your place in line for one year. then when I look through this... |
| Simon Bernstein: | Hold on, Eliot, I need to understand this. What you're saying, then, is assuming any negligence on his part, to that point the negligence doesn't become realistically damaging to the company until since he actually made a claim...since he actually made a provisional filing. Which took our place in line. |
| Boehm: | If the provisional filing covered the invention, your place in line is only as good as the subject matter described in accordance with the law. |
| Simon: | Obviously, it should have had the panning and zooming in there. |
| Boehm: | Well, the word "zoom" is in there. |
| Bernstein: But not really to describe what we're doing. |  |
| Boehm: | But do you see what I'm saying? It's only to the amount of subject matter that and attested where the average person skilled in the art could make and use an invention as it's described in this document, and without "undue" experimentation, without inventing it himself. |
| Simon Bernstein: | Right. |
| Boehm: | Now, this provisional application, you throw it...different patent attorneys do different things with it. On one end of the spectrum, you do an invention disclosure. Most big corporations have invention disclosure forms which leads the inventor to write out good disclosures and figures and things, and I've seen people actually file that invention disclosure because if you're coming up on a bar date, you don't have time to write an application or think about what your invention is. All you've got to do is get something on file, and then hope that it will protect...that whatever you had on file covered your invention. |
| Simon Bernstein: Is that what we've done so far? |  |

Bernstein: No.


| Wheeler: | I am asking you whether he did or not? |
| :---: | :---: |
| Boehm: | I'm not clear on what you mean. You can't add additional subject matter after the filing date of an application or you'll lose the right to that filing date. |
| Wheeler: | The provisional? You can't add subject matter to the provisional? |
| Boehm: | To any application...any patent |
| Wheeler: | But if he did describe the zooming, then the zooming element is not an addition in the formal. |
| Boehm: | Right. It's supported. If he described it in the original, you can base claims on it later. |
| Wheeler: | And have we said that the zooming is in the provisional? |
| Bernstein: Nowhe | re that I can see. |
| Simon Bernstein: | Wait. You're the lawyer reading another lawyer's work. Is it in there? |
| Boehm: | Do you have a copy of it? |
| Bernstein: Yeah, | right here. It isn't in there if it bites you. |
| E. Bernstein: | It's not in the filing either. |
| Simon Bernstein: | It's obviously not in the filing if it's not in the provisional. |
| Bernstein: No. |  |
| Simon Bernstein: | Can you make reference to something. . .let's say he uses the word "zoom". |
| Boehm: | Exactly. I'm pretty sure the word "zoom" is in there, isn't it Eliot? |
| Bernstein: But | hat Doug's saying is that had you written the patent, you would have described the invention as the ability to do this cool zoom that we all...and just said this is the cool part of what we're doing. What Ray's missing in the outline is the ability for you to put a picture on a web page. |
| Wheeler: | He did know that an important element was the fact that when we went in and made it bigger, we didn't pixelate. |
| Bernstein: It didn't pixelate. Not in here at all. |  |
| E. Bernstein: | Not even mention to that concept. |
| Bernstein: Compl | ete failure. It's not. |


| Wheeler: |  | But if said it doesn't distort when we zoom. |
| :---: | :---: | :---: |
| Bernstein: | Nope. | Nothing like that. |
| Wheeler: |  | That's the same thing, isn't it? |
| Bernstein: Y | Yeah, | but he hasn't said anything...he doesn't even tell you |
| Wheeler: |  | What about the panning element, or is that element not patentable? |
| Bernstein: | No, th | at's part of the whole process is to be able to zoom while panning. |
| Wheeler: |  | Here it is. "The above process can be utilized in order to create higher zoom capabilities with each new depth layer of an image..." |
| Bernstein: | No, bl | ut that's a new depth layer which is bringing in another hotspot image, so it's really a completely different subject. |
| Boehm: |  | Oh. Okay. |
| Boehm: |  | Okay. Where is that? |
| E. Bernstein | : | I read it to, he's very crafty you know. |
| Boehm: |  | "Where the zoom capacity of up to 1700 times or greater may be easily obtained with the [present conventions.]" Are they talking about the hotspot now? |
| Bernstein: | No. |  |
| Boehm: |  | No, it's the general zooming capability. |
| Wheeler: |  | So it's not in addition. |
| Bernstein: | Well, | explain to him where it's missing. |
| Wheeler: |  | You guys didn't put it in the formal...I don't mean you...he didn't put it in the formal one in the depth in that what we want to do it but he could have without it being construed as an addition. |
| Boehm: | Yes. |  |
| Boehm: |  | Well play lawyer on you now<Laughs; cannot understand his comment.> |
| Wheeler: |  | Right - sorry |
| Boehm: |  | Whether or not it's supported is a question that's going to be determined either between you and the examiner...probably not, it's between you and another |


|  | lawyer someday when the case is litigated. The question is And again, the test is: Can the average person skilled in the art-the average designer of this type of software-can he read this document and make and use of your invention without inventing it? That's the test. Now, whether he uses the word "zoom" in here and "magnification" later, that doesn't mater as long as he would have gotten it. If it is so simple to build by reading this, you don't need any subject matter. If you're combining three elements $A, B$, and $C$, and $A, B$, and $C$ are standard in the art, and you tell them these are standard in the art, go combine $A, B$, and $C$, that could be a one-page application. The average person will pick it up and he could. It's a patent test. Are you with me? The more complex it is, the more you want it supported in this text. |
| :---: | :---: |
| Simon Bernstein: | What if it is basically simple, and he just wrote it as basically simple, does that support our position anyway though? |
| Boehm: | Does that support our...sure. |
| Simon Bernstein: | I mean, if we were to litigate against another person that infringes on our... |
| Boehm: | An infringer. |
| Simon Bernstein: | Supportable for the sake of argument? |
| Boehm: | Right. Yes. That is a fair argument |
| Simon Bernstein: | OK so then $I$ don't know that, at least from first blush |
| Bernstein: That' | s the provisional you're reading though, right? |
| Boehm: | Aren't they the same? I think they're identical, aren't they? |
| Boehm: | You can check in his notebook. |
| Boehm: | Are there differences? |
| Bernstein: Where | did you find that piece that you just read? |
| Wheeler: | Is the reason...now continue answering my question...is the reason we came to the formal in March of this year, which I didn't realize that Joao. I thought that we had agreements for doing everything, but apparently Joao filed... |
| Boehm: | For that one, yes. |
| Wheeler: | But he didn't bother telling anybody. |
| Boehm: | That's the one that we didn't find out until way late. |


| Utley: | he was going to smash that all together and file it. |
| :---: | :---: |
| Simon Bernstein: | Was that the same time, Brian, that he was leaving the firm? |
| Bernstein: Yeah. |  |
| Simon Bernstein: | So would you say that probably... |
| Utley: | he knew at the time that he probably would be leaving? |
| Utley: | Right. |
| Simon: | But he wanted to get all of this in place so he could do the billing and get that part of it in... |
| Utley: | I don't know that. |
| Boehm: | Just speculating. |
| Eliot Bernstein: | What day did you give him those notes? |
| Simon Bernstein: | I don't ever have to speculate on billing |
| Utley: | I don't have my address book with me...I didn't write the date down, but it was the date that he was here. He came. |
| Wheeler: | He wanted to get it done to take care of you, make sure it was filed for you. |
| Simon Bernstein: | That could be too. One other reason is. |
| Wheeler: | We're just speculating. |
| Wheeler: | And I'm not trying to... <Everyone talking at once.> I thought he was trying to work on our best behalf, but one time or two times that I met him, it seems like he was earnestly trying to help. Who knows? Maybe he was incompetent. I mean we're only suggesting that it would have been incompetence |
| Bernstein: Well, | the fact that it's not in your patents, right up front, this is the invention, is a gross neglect. And the fact that it doesn't say, "this is what the invention is trying to do. This is the feature..." |
| Simon Bernstein: | The point is not whether it's gross neglect or not, it's what the damage is if there is...if, one, gross neglect is of any import; and two, what is the damage? it has caused iviewit. That's what I think we need to ascertain here, and if we can ascertain it. |
| Utley: | How do we fix it? |


|  | Of course lets try to fix it, if we can't fix it then we'll worry about... |
| :---: | :---: |
| Eliot Bernstein: | Well $1^{\text {s- }}$ lets fix it |
| <Everyone talking | at once.> |
| Boehm: | Let me go over the procedures so everybody's clear. Again, on one end of the spectrum you file a very sparse, like a one-page provisional application, and it's cheap, and the purpose of the provisional is to get you in line...it is to protect your date. What you're trying to do is get the benefit of your priority date. When you invented it. When you're in line in terms of whose the next guy that invented it. Whose the first inventor? |
| Simon Bernstein: | Someone comes after you the second day after... |
| Boehm: | Who's the first inventor, that's what you're after. |
| Simon: | I understand. I really understand...you don't physically stand... |
| Boehm: | Not physically in line in the patent office is right, not or even in physically in line in order as well. Okay. Oneyear letter, the provisional expires and you have to file a non-provisional patent application, okay? Many times it's identical. If you do a good job up front, you just file that, but you need to put claims on at this time. When I do a provisional, I try, if there is money and time up front, to do it once up front. I even write the claims. As a matter of fact, I don't even like to file provisionals because there's not much of an advantage. If you've got the time and the money up front to do a good job, well then, just file it as a regular application. |
| Simon: | Understand that at the beginning, the time and the money...I mean, the time was certainly available, but the money was a short substance. So it was obvious that Ray would be working in a most expeditious way. |
| Boehm: | Well, that's why the.. |
| Simon: Which | might have short-circuited us because of all of the lack of funds. |
| Wheeler: | Well, that's true because the filing date is 3/24/99 to endorse that...that was very early in the game. |
| Simon: | We did it in your office Chris in your library... in your conference room. The only meeting I had with him was while we were going to file the patent and that was in your office. |
| Boehm: | Okay, 3/24/99 is the provisional application. |
| Bernstein: That's | s what I'm saying. Well, Chris, |

Boehm: So even at a year, he filed the second one with claims.
Buchsbaum: Yeah two things happened during the year. One, the Company was doing other things, even though they knew that was coming up, and two, I guess there wasn't a whole lot of money to allocate towards doing that much.

Simon: Here's what we did. We hired Ray Joao on the monies that were raised by the investors; and then when Huizenga was coming in with their money, and when that money came in, we made a company decision that the first and foremost thing was to get the patent filed properly. So the fact that we were going to spend more money and get them completed at that point had already been made.

Simon: Okay, but prior to that, we were working on short forms. Then after that, we started to raise capital, and we always knew that the priority was intellectual property, so were going to make sure that those got done right. Brian's been working on it ever since, and I felt comfortable...I never did feel comfortable with Ray Joao...just an observation.

Boehm: Hmmm....is it all patent attorneys? <Laughter>
Simon: No, no, there's nothing wrong. He came in, he's a nice guy, he tried hard, you know, all the nice things, but his work always appeared sloppy, okay? And that's the only thing I can say. You're a patent attorney, you see what he did. If I'm wrong, then let me know; but to me, it looked like it was a little slipshod. And then he made some statements that really bothered me, too, that I don't think he should have made to a client, and that is that he was filing his own patent. <Chuckling.> I mean, horseshit personally, I haven't heard of a patent attorney in my life telling me that he's an inventor filing his own patent. It really did bother me.
<Everyone talking at once.>
Bernstein: Transmitting video files on a commuication network for airlines and...

Simon: It probably meant nothing because I don't think the guy was of the nature to be stealing from us, but $I$ don't know! But I'll tell you this, it did ring a bell. From a pure novice, it made me a little nervous. I asked Eliot why he was dealing with somebody, but we were assured that this was a good firm...

Boehm: Let me look back in my own spiel...here with the provisional. You file a provisional, then within one year, you file a regular application with the claims. You can add claims to it; but if you add subject matter to it-in other words, if the zoom and pan concept wasn't well described, you have lost the benefit of that first phase. Right. Now


| Simon Bernstein: | Before this meeting took place, before we called this meeting, aren't you privy to everything that's been done? |
| :---: | :---: |
| Boehm: | Oh, sure. I have everything. |
| Simon Bernstein: | So when Eliot asked you that question, why can't you answer it? |
| Boehm: | Because there's no... in my opinion, there's no clear-cut answer, yes or no, on the quality of the work product. It's a judgrnent call. |
| Bernstein: So th | 's an exposure, and what if the judgment is against us? |
| Wheeler: | It's [an examiner] judgment call is what we're saying. |
| Boehm: | The damage? |
| Wheeler: | No, the examiner. <Everyone talking at once.> |
| Wheeler: | Whether the subject matter is new or not |
| Boehm: | The examiner would...hold on...it's... |
| Wheeler: | whose judgment call is it? |
| Boehm: | It could be the examiner's, if he catches it. If it's not caught, and you get it to patent and you litigate the patent, ... at court. Or if the examiner catches it and I want to appeal it to the board of appeals in the patent office, it's their judgment call |
| Wheeler: | Okay, so we go to court and we're fighting over the patent, we would argue that it's supported by the zoom 1700 in our language, and the other side would, say that's baloney that's too broad you didn't describe it enough |
| Boehm: | You didn't have your invention... |
| Bernstein: Then | ou lose. |
| Boehm: | We would lose only if you had a bar date come in there if somebody else invented before you, or if you put something on sale... or if we offered something up for sale. |
| Bernstein: Which | we did. |
| Boehm: | But the offer-for-sale date from our first meeting is not until September. |
| Bernstein: Right |  |
| Boehm: | So the offers for sale won't normally kick off a foreign... |
| Simon Bernstein: | Could you explain to me what offer for sale means? |


| Boehm: | Sure. As soon as you.. you can't get a patent on a product after you've been using it for more than a year. As soon as you publicly disclose your invention, you've got one year in the United States to get a patent on file, okay? Even if you don't publicly disclose it...let's say I've got a method of making [ ] in my factory, but it never gets outside. I'm starting to commercialize it, I'm making money off my invention...the commercialization date a year later is you can't patent it in the U.S. So that's that one-year grace period. |
| :---: | :---: |
| Simon Bernstein: | Aren't we within that period? |
| Boehm: | Yes. As far as we know, yeah. As far as we know. |
| Utley: | Yes-yes we are within that grace period |
| Simon: | Okay, somebody explain to me, what am I doing here? Why am I sitting here? Are we saying that Ray Joao, other than being sloppy, but there's not much damage that could have been done or can be done because we can fix it, which really would make me the happiest to hear that. |
| [not in transcrip perhaps the chan | : FSL look at change above although minor it indicates in text to match new text] |
| Utley: | Can I jump in? Let's just say there are two steps. We're going to make a filing this week; and to the best of our knowledge, we have swept up all this in this filing, and that will be within the comercialization period. The second thing that we're going to do is we're going to look at filing an addendurn to the original formal filing to strengthen the claims - broaden the claims ... to the maximum extent that we can. |
| Boehm: | if we need it...if we need it. |
| Boehm: | It'll be a lot of this was swept up into the application. |
| Utley: | What we're trying to do is protect the date day of March 24 |
| Boehm: | The original |
| Utley: | The original date as March the $24^{\text {h }}$, but filing should remain an objective. |
| Simon Bernstein: | Brian, if you broadened the language now, would that be a red flag to the commissioner that you should have done it earlier? Or should we just say that this has always been there? |
| Buchsbaum: | You mean the examiner of the commission |
| Bernstein: We're | not going to be able to say it was in the claim. |
| Simon Bernstein: | What happens when you start those amendments or broaden them is you start to admit that you didn't do it. |


| Boehm: | Um, yes and no. We... I do that all the time. |
| :---: | :---: |
| Simon Bernstein: | It's common then? |
| Bernstein: If th | y do it all the time, then we have to do it. |
| Simon Bernstein: | But not until I feel more comfortable with it. |
| Boehm: | We normally have a search done. The patent examiner will do a patentability search, and he will come back and reject it. The problem is if the claims are too narrow to begin with, he will not come back and reject it, he'll allow it, and boom! Now I can't amend it he's in. [ ], we're done. But I can file an continuation on it. I can keep dragging this out and get broader claims as long as the subject matter is... |
| Wheeler: | So that's why he stated it broadly versus narrowly? |
| Boehm: | No. |
| <somebody cones | to the room to take food/and or drink orders.> |
| Boehm: | No, but as far as, doing it broadly, if you're saying to claim it broadly it's our job to claim... as prior art which $I$ doubt the claim is as broad as the [ ] allows... |
| Wheeler: | Right. That's what I'm saying. |
| Boehm: | And this is claimed broadly. |
| Wheeler: | Right |
| Boehm: | And that's the normal tactic, to claim things broadly, and then wait for the examiner to come back and say, "Oh, you can't get it that broad," and then narrow down your claim. |
| Wheeler: | Okay, so isn't that what he was in part trying to do? That's what he's been saying, yeah. |
| Boehm: | Yeah. |
| Wheeler: | Well, would that not be consistent with how patent attorneys try to do things? |
| Bernstein: Well, | claim one, if you look at their claim one, Chris, that they've written, it identifies... |
| Wheeler: | Who's they? |
| Bernstein: Foley [not in transcrip | ```& Lardner. It identifies what you're trying to do. : Stephen note how Dicks name is deleted and Foley's name is screwed up, may indicate who was changing this transcript]``` |
| Wheeler: | Okay, so maybe it should have been written differently. 18 |


| Boehm: | You won't get two patent attorneys to write the same claims. |
| :---: | :---: |
| Bernstein: Well, | no, but you try to write the claim, and that's the teaching you and steve both represented us here, to describe in its broadest term... |
| Boehm: | Right. |
| Bernstein: ...the invention. |  |
| Boehm: | Well, I can't say that this isn't broad. This is very broad. This might be rejected for indefiniteness...I don't know what it is...but now he's got the opportunity to go back and... |
| Bernstein: And B | rian, you know, there's print film image in here, it's all supposed to be out of here. |
| Wheeler: | What you're telling me is that in your forum of law there's always going back and refining and refining and refining that was wrong. |
| <Everyone talking at once; two different conversations going on at once.> |  |
| Bernstein: This | is like he just completely ignored what we said over a year. He didn't do a thing. Nothing. No comments, nothing. |
| Utley: | Almost nothing between the provisional and the formal process. |
| Boehm: | And some people intentionally file narrow just to get something on file. Then they can come back and repair it without damage to it. |
| Bernstein: But you don't know that because an examiner... |  |
| Simon Bernstein: | You'll never know that until you have a litigation. |
| Bernstein: And then the question is what potential damage does that... |  |
| Simon: | That damage potential and that remedy will be then taking place at that time, not now. |
| Boehm: | That I agree with. Even if we decide something now, you won't know what the outcome is for five and a half months. |
| Simon Bernstein: | ...wouldn't happen anyway. You wouldn't even know that. |
| Utley: | Let me come back where I was. We are going to file on the $7^{\text {h. }}$, Wednesday. As far as we know, that will cover every element of this invention that we have our arms around at this point in time. |
| Boehm: I believe so, yes. |  |


| Utley: | And we should go back and address what amendments we can make to the claims in the filing of March this year and determine within the spec of the filing how broad those claims can be. I mean, that's going to be the test. Within the spec of that filing, how much leverage have we got to broaden those claims so that we do have a priority date which is back about a year ago last March. |
| :---: | :---: |
| Bernstein: | So we want to insert everything going into this one into that one? |
| Utley: | No, it'll be. |
| Utley: | It'll be based upon the preamble, if you will, of what's in here. |
| Boehm: | We do reference it. As a matter of fact, this is the cover page, Brian, of the application we're going to file. |
| Utley: | Yeah, you reference it right there. |
| Bernstein: | But you can add claims to that one that you're referencing that would encompass what we have in today's filing, which is really...we do want it in there. |
| Boehm: | Yes, I can claims to the zoom and pan to get you back to the original date in this one since $I$ claim to this onto his. |
| Bernstein: | Well, we should do both. |
| Boehm: | Well, you can't get two patents on the same invention, so it depends on where we want to go. |
| Bernstein: | Well, we want to definitely get it in on his because it gets us an earlier date. Correct? |
| Boehrn: | No. It's a mess with these dates. What will happen is...nobody will worry about the date unless there's an occurrence, and that occurrence might... it's a major problem. You won't find out about that occurrence until you sue somebody, and then they go search in Australia, and they find a reference that somebody's done this before in the library, and then you worry about the date. Were you before him? |
| Bernstein: | Well, that's what I'm worried about. I'd like to go back to our earliest date. |
| Wheeler: | Can I point out one other thing? I know we look for the word...Eliot looks for the word...I know we look for the word "zoom," but there's also other language in here too. Sometimes we get caught up in a word "zoom," when what is zooming other than enlarging or reducing? And he does have language in here, "when enlarged or reduced, these pixels of the digital image becoming distorted a feature which |


want to go with this. Then you spend the time and the money to do a good original provisional filing. You've got a pretty good shot that it's supported then. But when you file as, oh, I've got to try and cover this base, and when you do this kind of stuff, there's always going to be a question of what was supported when.



| Wheeler: | I have copies of each one of these. Can I get a copy of your [ ]? |
| :---: | :---: |
| Boehm: | of this? Sure. |
| Wheeler: | I have a copy of each one of these, I believe, or most of thern... |
| Buchsbaum: obligation Boehm: | Can I ask you a question? Your saying everybody that has an to sign is on the list of names in these patents? <br> You preferably don't...well, unless you have the new ones... |
| Wheeler: | I don't have the new ones, but |
| Bernstein: | That's an old one. That's old. |
| Buchsbaum: | You're saying everybody that has an obligation to sign is on the list of names in these patents right, because the company was part because the Company was doing, is that what you're saying? Because I don't even know if everybody has signed because you may due corporate due diligence for financial reasons or if...and they will say has everybody signed off on these patents, and if three people don't...if one person hasn't, he has an obligation to sign? |
| Boehm: | Brian, have you signed? |
| Buchsbaum: | Has everybody signed off on these? Brian? |
| Boehm: | See these tabs [refers to tabs for inventors Bernstein, Shirajee, Friedstein and Rosario to sign] right here? That's what I'm trying to do today. As soon as...I'm going to have people sign, me sign...all the inventors sign. I've got to get a hold of Jeff |
| Bernstein: | I thought we did that when we filed. |
| Boehm: | You only signed one real document, didn't you? Did you actually a declaration? I know you didn't sign an assignment over but you're real clean on it because these are all based on the original filing, which is assigned to iviewit holding already |
| Bernstein: | What's that mean? |
| Boehm: | So all of the other inventors would have a helluva problem trying to say they owned anything. |
| Simon: | Again, this is a little off the subject matter, but I have asked Chris about it before. If something were to happen to iviewit, and it were it went into bankruptcy, what would happen to those patents? How would those patents [ ]? |
| eeler: | It depends on which at iviewit you're talking about |



| Simon Berns | n: ...Board if everybody that was a creditor found, everybody that was a note holder at that point there was no what would you call it - problem |
| :---: | :---: |
| Buchsbaurn: | and that would be protected by the courts anyway usually. The court would see this probably as a you know a fraud |
| Wheeler: | You could have two frauds: fraud of creditors and fraud of shareholders. |
| Simon: | No, Chris I'm not worried about fraud. I'm really concerned with the fact that what we did here, the last loan that we took in, from... |
| Bernstein: | Crossbow. |
| Simon: | No, not from Crossbar |
| Bernstein: | Crossbow. |
| Wheeler: | Crossbow |
| Simon: | ...is secured by the. |
| Wheeler: | .the term of the deal, right. |
| Simon: | And that's perfectly acceptable to me except that everybody else that had loans prior to that at that time should have been considered with the same equity because ...posses able and Chris told me that that was the perfect time to get it done |
| Bernstein: | Yeah, but would Huizenga lose his? |
| Bernstein: | Would Huizenga lose his stake in it to Crossbow? |
| Wheeler: | No, no, no, it wasn't...I said that if there was going to be new considerations from those people, we all could of...?? |
| Simon: | We all could have put in another $\$ 10$. I mean, at the time we did it with Crossbow, we should have made sure that our other people... |
| Bernstein: | Are protected. |
| Utley: | No, no, no. We would have had to issue new contracts out for everyone. |
| Wheeler: | There would have had to have been some material consideration, not just $\$ 10$. It would have been... |
| Simon: | So it would have been $\$ 10,000 .$. |
| Wheeler: | Well, then, you could have...Crossbow, we didn't even talk about Crossbow at that moment, and I said you couldn't go back and just collateralize. You couldn't go back for money that you already put in. But if you put in new |


|  | considerations that you could demand as a condition to be collateral. |
| :---: | :---: |
| Simon: | What we should have done, or what we maybe we still should do to protect our original group of investors, is to have them pony up a few more thousand or whatever you think is legitimate, and amend the contracts to protect them as well. |
| Utley: | That's new subject matter. |
| Simon: | Well, I only brought it up because it had to do with the patents. |
| Utley: | I know but can we finish the patent discussions before we bring up new subject matter. |
| Simon: | You can, but I want to make sure that we do finish. |
| Utley: | No, I aqree with you si. |
| Si: | The problem is that $I$ made claims to certain people like Don Kane, who put oo $\$ 100,000$, who thinks... |
| Bernstein: | Let's get back to that. No, let's get back to it. It's a definite point. There are people. |
| Buchsbaum: | This is a business issue for later. |
| Bernstein: | No, we're asked by these very people these questions. |
| Boehm: | Did you get your question answered on the. |
| Buchsbaum: | Yeah, I just wanted to understand...you know, I got an answer. It had to do with the obligations si 1 was trying to understand if somebody does due diligence now with regards to understanding what is there and what has to be done, like those yellow tabs. [Yellow tabs indicate siqnatures of missing inventors] |
| Boehm: | Yeah, but after...I find everybody, we can get guys to sign. |
| Buchsbaum: | We aren't that many. I don't know on that sheet what you have, but I don't think there are that many names. There's what about five names? |
| Buchsbaum: | Therearen't that many...you don't have that many. I don't know on that sheet you have, I don't think there's that many names. |
| Boehm: | No, there's not. |
| Boehm: | So we have everybody but Jeff, if we can get Jude and zak. |
| Buchsbaum: | You just have to get people around and sign. |
| Boehm: | No, that should not be and issue. |


| Buchsbaum: | That might be questions brought up when people do do due diligence. Is everybody else on these? |
| :---: | :---: |
| Bernstein: | That's why we're closing it. Right? |
| Boehm: | We'll record what was in the patent office (...??) can do. |
| Utley: | The other piece that's not in any part of the original filings, which is the reduction of the technology to a disciplined process-the mathematical representations of what's in and how it works and stuff like that. |
| Wheeler: | (..???) |
| Buchsbaum: | That will also be included in there, right? |
| Utley: | We'll put it in the new filing... one of the new filings. |
| Wheeler: | I form my opinion of everything, and we can talk about post solutions but I think Brian wants to get this back on track, but to me there's bad news and there's good news in this. The bad news is, just like anything in life, perhaps we would have liked to have tidied up some things better, like to have had Mr. Joao tidy them up. The good news is considering the state that the corporation was in in the early stages and the variable limited resources that it had, I'm glad that we have an awful lot on record that we do have on record, to be honest with you. |
| Simon: | As long as it's not to the detriment of what we thought we were filing, I have no...I couldn't agree with you more. |
| Wheeler: | But I think I like your approach, and I assume it's your approach, too, in that $I$ assume that you're doing a fairly comprehensive new one, but then you're going to probably... |
| Utley: | Claim priority back to the old one. |
| Wheeler: | Right, but you're also going to do your amendment because now we're finding out that it's not an uncommon procedure and it's not a red flag. |
| Utley: | Two things: the new filing on Wednesday will claim priority all the way back for as much as possible back to March $24^{\text {h }}$ last year. Second, we will look at the March $24^{\text {h }}$ Year 2000 filing and determine how we should amend that to include additional claims and broaden that filing so that it more fully represents the knowledge of the invention as of that time. |
| Bernstein: | Does it claim all the way back? |
| Wheeler: | It'll go all the way back... |
| Boehm: | as long as you don't go outside what was described. |


| Bernstein: | No, the math is just describing the original invention. |
| :---: | :---: |
| Boehm: | We'll, I'll never know the answer to that until it's litigated. |
| Utley: | Due diligence. |
| Bernstein: | Right, but from your perspective here, that's what we're setting up. Correct? |
| Boehm: | We're going to try. |
| Bernstein: | Okay. |
| Boehm: | The question never even gets answered half the time in the real world. I will claim priority back on the document, and then if the examiner doesn't care, nobody cares |
| Bernstein: | It gets through. |
| Boehrn: | It gets through. |
| Wheeler: | Would it be a fair assessment-I'm posing this more as a novice, not as an attorney here-since we're not at IBM and we don't sit down at the very beginning and work out all these equations and all that, that in an invention such as this by a Ma-and-Pa type of inventor, and now since we're getting into the nuts and bolts and really uncovering, in essence, what's behind it, as Brian dissected it as we moved along, but that's all we're doing? I mean, that Ma-and-Fa inventors do that as they go along? They add the flesh to the bones as they go along? |
| Boehm: | Boy, that happens, and we try not...we try to minimize the amount because if the flesh that you have to add is new subject matter and you've already sold your invention a year ago, you're dead. |
| Wheeler: | Well no, Let me at it a different way. It does this, but 1 can't describe how it does this. But now we find out...we tell you what it does, now we're telling you in detail how it does it. |
| Boehm: | Yeah, in terms of we claimed it properly. |
| Wheeler: | So I'm not adding flesh in defense. |
| Simon: | New flesh. |
| Wheeler: | ...new flesh. I've got the box, now I'm disclosing what's in the box including the gears and how it works. |
| Bernstein: | No. |
| Utley: | No. Here's what the big difference is. The original filing claims a process for print film imaging. |



|  | distribution. But of that amount [ ] unless there's nothing to distribute. |
| :---: | :---: |
| Simon: | Not if one of the preferred stockholders has a collateralized position and the others don't. If one of these preferred stockholders... |
| Utley: | There's no stockholders that have a collateralized position. |
| Simon: | That's true. |
| Buchsbaum: | You're talking about the small amount of money, that have any value, it should be reasonable value, and those would be taken out anyway. |
| Simon: | Except that we seem to feel that we have an obligation to those, to protect the other stockholders who...had all good...I think its prudent anybody to ask permission |
| Buchsbaum: | A good way to do it is the way he said to do it, and that's to [?]. |
| Utley: | Will you look it up and see what it's going to take to do it? |
| Wheeler: | I'll coordinate that |
| Utley: | I'm not clear. What are we trying to do? Are we trying to provide for collateral for new money coming in, or are we trying to...? We're not trying to collateralize money which has already been... |
| Simon: | I don't know. Can you handle the old money the same way? I don't think so. |
| Wheeler: | We have to see. We might be able to consider it for the full amount in the view of the fact that if you had enough substantial new consideration, ... |
| Buchsbaum: | The problem is that you may have to go back to Crossbow to do that, and you may be better off just to do it on subsequent money. |
| Simon: | Well, but to ask Don Kane to put up $\$ 10,000$ when he's got $\$ 160,000$ in the... $\$ 135,000$ in the company, and then he only gets $10 \% \ldots \$ 10,000$ worth of consideration...I'd like to protect his whole $\$ 165,000$, which is what he has. |
| Buchsbaum: | The answer is you go back and |
| Utley: | I don't think you can do that because that's equity. It's in comon stock. |
| Bernstein: | It's not equity. It's a loan. |

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Bernstein: Don had the stock prior to his putting up the money. These
                are loans. There's $400,000 that's on the books. Then
                there's another $100,000 besides what he put in originally.
                Sal has a loan on the books of $25,000. Your guy should
                have had a loan on the books for $250,000.
Utley: No, that's equity. Okay.
Simon: At any rate, <tape cuts out[tape does not cut out on my
                                tape]>...While I got Chris here I'm going to take advantage
                                of his being here.
Simon: One of the issues we tried to do when we raised the last $80,000
        that came form Eliot's two friends Anderson and Mitch
        Welsch. [ ]
Bernstein: Ken Anderson.
Simon: It was my knowledge, according to Jerry, that those monies
                                    were to go to Eliot, and then Eliot was theoretically to
                                    loan the money to the company so that Eliot would have a
                                    loan on the books and he would have sold his stock because
                                    Eliot has some personal needs that he needs to accomplish
                                    as soon as we get funded or we get some money in here. I'm
                                    under the understanding again. It could be way off.
Bernstein: How do we work that out, Brian? The 10? A loan?
Utley: Yeah, that's better because otherwise you will get taxed.
Bernstein: Will they loan me $10,000 to pay the taxes?
Simon: Who loaned you?
Bernstein: The company just today?
Utley: So I took that as a loan?
Utley: Yes.
Bernstein: The money went to the company, which spent the money already-the
            stock money-from Ken and Mitch.
Simon: You haven't sold any of your stock?
Bernstein: No.
Simon: You just made an officer's loan.
Wheeler: Right.
Simon: Is that how you handle it?
Simon: You loan the loan back by some method at some point.
Bernstein: Right. Correct.
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| Boehm: |  | The one that's out there? |
| :---: | :---: | :---: |
| Utley: |  | Yes the PCT. Do we need to touch that? |
| Boehm: |  | No, no. There's a PCT and a US. |
| Utley: |  | Right. |
| Boehm: |  | The PCT, we will get a search back. In fact, we should get it in a month or so, and then you' ll decide what you want to do with that, what foreign country and possibly the Us, but he files the same thing basically in the US, and now it's in line in the US. |
| Utley: |  | Right, right. But what I'm saying is if the new filing that we make this week creates priority all the way back and embraces all of the teachings of the prior... |
| Boehm: |  | Zoom and pan stuff. |
| Utley: |  | Zoom and pan stuff, filings, do we need to go and modify and update and amend those earlier filings? |
| Boehm: |  | Those other two. |
| Buchsbaum: | That's | a good question would there be new recommendation? |
| Boehra: |  | It depends on two things. One is how quickly do you want to get the US for the new filing? This is a PCT that we're preparing right now. If we file the US right away with it, then it makes less difference. |
| Bernstein: | Less? |  |
| Boehm: |  | Less difference because he's in line sooner. That's all. It just depends on how soon you want to get your patent. |
| Bernstein: | Well, | we want to go for the sooner. |
| Utley: |  | The sooner the better. |
| Boehm: |  | The sooner the better then let me play with this |
| Bernstein: | Right. |  |
| Boehm: |  | Flus you're gonna get an office action back from the patent office on him... |
| Bernstein: | On that |  |
| Boehm: |  | For free. There's nothing involved. |
| Bernstein: | Right, | but it doesn't claim anything. |
| Boehm: |  | I don't know yet. It claims...he'll get this blasted. It will will be rejected. |
| Bernstein: | Yeah. |  |


| Boehm: | It will be rejected. The question is do we want to fix this, or where are we with the other things? So there's no decisions to be made now on this, it's just that do you want to file a US and a PCT? |
| :---: | :---: |
| Utley: | The answers yes |
| Boehm | Yes |
| Bernstein: | And we do want to fix the original work? |
| Boehm: | We can decide that later. |
| Bernstein: | Nell, why would we leave it unfixed? |
| Boehm: | Because you can't get two patents on the same thing. So if we fix this, you're not going to get it over here. |
| Bernstein: | But then we lose the date. |
| Buchsbaum: | No we don't. |
| Simon: | That's what he's saying. |
| Buchsbaum: | You really don't lose the date. |
| Wheeler: | So were not going to..??? |
| Utley: | Because he's claiming all the way back. |
| Boehm: | We may not. It depends on... |
| Bernstein: | May and less, these are words that scare me. |
| Boehm: | You don't like that, do you? |
| Bernstein: | No, I do not. |
| Boehm: | But I don't think this is the right time to make that decision now. |
| Utley: | What is the right time? |
| Boehm: | When we get some office action back on this patent. And when we hear from the patent office, we'll sit down say do we want to fix this, or do we want to fix this, or have we uncovered some killer Frior Art that blows this whole thing out of the water? You don't want to spend money right now if you can avoid it. |
| Wheeler: | We've never done a search, have we? |
| Boehm: | We did a search...I've done a search on...<Everyone talking at once.> on a dozen patents that really weren't on point. We didn't find any close Prior Art; and all I can tell these... |



| Boehm: | And from your investors because if I was working for them... |
| :---: | :---: |
| Buchsbaum: | Let me put it another way. If you have somebody that will take this company and auction off the technology, okay? As it is existing...as it is unfolding, okay? And as the licenses come along. It's strategy. Some of these people bid on that. What are they really bidding on? It's potentials, right? Basically? |
| Boehm: | Well, no, there's a present value of the technology. If you... |
| Buchsbaum: | Well, not if you don't have patents issued on it. |
| Boehm: | Well, sure there is. Sure there is. If he can get a royalty based on $2 \%$ of their products-or whatever it is-per minute, whether or not it is patented, absolutely. |
| Buchsbaum: | My question is at what point does it become...is the efficacy there significantly enough from the standpoint of others now that would be doing their own review. You know, like, say a firm that would do the option. They'd have their patent lawyers take a look at what you're doing to see if they think it has a real good value. At what point does that come along? Is it six or nine months from now, basically? Is that when that probably would start to unfold as far as having a real relevant potential value? I've been trying to get a general.. |
| Boehm: | I understand your question. I guess I would answer |
| Buchsbaum: | General idea. |
| Boehm: | If your licensees are spending a lot of money. |
| Buchsbaum: | On your technology. |
| Boehm: | On your technology, they're going to have their patent attorneys right now, today, go do a search, and they will have a good indication. They may come up with frior Art that blows you out of the water. They may find nothing. They may not search it. They may say, we don't care about patents; it's the technology. |
| Buchsbaum: | Reality, though, this is not the...more likely six to nine months as some licenses start to unfold here and as things start to come back, and that's when this thing will start to have some relevance more than it does right now? From the standpoint of the... |
| Boehm: | That the patent will have relevance? |
| Buchsbaum: | No, no. The technology has a value that can be created in the marketplace and turned to bidding. |


| Wheeler: | Well, you can look at the technology as almost value added to the company. I mean, the company has worth because of the process and what we can provide and we can build it up. But it'll even astronomical more worth assuming that we have...that it's totally proprietary to ourselves. Now some companies have great technology that's proprietary to themselves, and it doesn't earn them money. For instance, Wang Laboratories went down the tubes. They had the best word processing, and they had the best of everything else. And, of course, a lot of their technology is licensed out there, as $I$ understand it, to VisionAire and to...they did the true ones, and... |
| :---: | :---: |
| Buchsbaum: | It's was also to get to the possible strategy for the company's investors, okay? |
| Utley: | Right. |
| Buchsbaum: | Or it may be at some point a window of huge value placed on this technology where you may take advantage of it. |
| Wheeler: | Well, and to our investors, we have said, and we can continue to say, we are attempting to create a pool of intellectual property and protect it. |
| Buchsbaum: | Okay. |
| Wheeler: | But there can be no assurances that this will withstand the test of time. |
| Boehm: | That is exactly it. And you never want even when it issues. You will get a good comfort level when you have a us patent issued in your hands. |
| Bernstein: | Why? |
| Boehm: | Because you've had an examination. |
| Buchsbaum: | Because you've got some review. |
| Boehm: | Because you have a presumption of validity. |
| Bernstein: | That's why I'd like to get that first one corrected because that's the first one that's going to be examined. |
| Boehm: | No, we've got one...oh, yeah, it is. It's the US. |
| Bernstein: | And therefore I want that to be approved. The investors are going to say... |
| Buchsbaum: | The first one that we're going to be issued will be issued in May. |
| Bernstein: | And the investors are going to say what happened to patent one. |


| Boehm: | $3 / 10$ of 2000 was when it was filed. Typically a year...they'll get around to it within a year. Maybe it'll issue in. 18 months to two years |
| :---: | :---: |
| Buchsbaum: | From right now or from then? |
| Boehm: | From 3/10. |
| Bernstein: | What is the process speed up? If you can show... |
| Boehm: | If you can show somebody's infringing, you can have an expedited examination; but that doesn't always buy you much time, and you really have to get into the patent office the first time, and I'm not sure we can do that. |
| Wheeler: | Wouldn't a good example of one way be that Apple had really great patents, and Microsoft was still able to come in and duplicate it, even though everyone knows they violated the hell out of the patent of Apple. |
| Boehm: | Um, hum. |
| Wheeler: | So I mean you could have a good patent and it could still go down the tubes. But another one I'm thinking of that did stand up was Folaroid had patents and Kodak tried to come in and do everything to distinguish, and wasn't able to and got clobbered, right? And there's probably a lot of every variation in between. |
| Boehm: | Yeah. Wheeler: [Not in transcript this is strange here] |
| Wheeler: | Are those the two extremes? |
| Boehm: | Yeah, |
| Wheeler: | those would be the two extremes. |
| Utley: | Especially when it comes to method patents and software patents. |
| Wheeler: | Yeah, what was the first thing that Brian |
| Boehm: | ...and the more patents you have, the less chances. It's like putting out mine fields...less chances people to get around you. But if the original concept is broad enough and claimed right, Yeah, we can be okay. |
| Boehm: | But what, the test - I guess what you're asking for is when we have that first claim promised, probably within two years of when you filed, which is March 10, 2000, I would probably say |
| Utley <Inaudible | Doug come back, close it out again. comment.> |

Boehm: There were two points. One was the FCT and I got that in correct.

Buchsbaum: Right.

| Boehm: | The second point was everybody was saying you don't destroy documents. Lawyers do destroy documents; and in the patent realm, it is comon practice to get rid of all of our attorney notes, but it depends on what the practice is in your law firm and your corporation. Most patent attorneys who use this practice that I've seen, it happens after it issues. You never do it before. I don't even like to do it then. I like to do it after all the... |
| :---: | :---: |
| Bernstein: | I don't even understand why you're destroying it. If you've got nothing to hide and everything's on the up-and-up. |
| Boehm: | But throw in the concept that I'm leaving the law firm. Let's say I'm leaving the law firm, my notes, who's going to follow up and destroy my notes to benefit you, because I do want them six months from now. Maybe that's what he's doing. |
| Wheeler: | Yeah, he could have done it to protect you. He didn't want them around in the other office. |
| Bernstein: | I don't know. I don't know. I don't even know if he knew he was leaving then. |
| Boehm: | Now it's intentional! |
| Utley: | But I want to comeback were going to file FCT and US on the new one. We're going to wait for the old one to get kicked back; and when it gets kicked back by the examiners, we'll then determine how we want to amend it. Is that what you said? |
| Boehm: | No, I want to say something on that again. I think if you want a patent to pop quickly-if that's the goal, which sounds like it's a good goal-then, no, I think we should amend the claims with a preliminary amendment before the examination. |
| Utley: | A preliminary amendment? |
| Boehm: | A preliminary amendment. |
| Bernstein: | Encompassing everything we can throw in there? |
| Boehm: | Yeah, whatever support there is. But a preliminary amendment on whatever it is on the... |
| Bernstein: | So we're going back to the original |
| Boehm: | So I'll fix the 119 case yeah |

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Bernstein: March 3, 2000, to encompass what we've embraced.
Utley: When will you be in a position to recommend what that
    amendment will look like?
Bernstein: It should look a lot like the one we just did.
Boehm: Yeah, that's...
Bernstein: That's my guess.
Utley: When will you be in a position to...
Boehm: I'd have to...a few days...
Utley: About a week or so?
Boehm: Oh, Yeah, within a week, sure.
Bernstein: Okay. That's good.
<End of meeting.>
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Transcription of Telephone Conference
                                    Conducted August 2, 2000
                                    Participants:
Eliot Participant, Jim Armstrong,
    Brian Utley, Doug Boehm
            Docket 57103-120
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Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified.


|  | what's displayed versus what's pre-packed in; and maybe the whole string of claims wouldn't make sense unless you were talking in terms of pixels or picture elements. Maybe we don't have the word "pixel" in every claim, but my point is that... |
| :---: | :---: |
| Armstrong Bernstein: Is there any way to do it vector based? |  |
| Boehm: | Yeah, there are generic mays...we'll, I'm not sure you want to do it vector based because now we can't draw the line in the sand. |
| Bernstein: | Okay. I was thinking a separate filing if there was a way. |
| Boehm: | Yeah, but I'm thinking of...you brought up a good point. We're thinking pixels or a digitized image. Technically, a photograph is grains. |
| Utley: | Right. |
| Boehm: | Is there a grain-based quality factor that we can tap onto? |
| Utley: | No, not really. I think the closest...the part of that that [varies] is when you do the enlargement of the source photo image. |
| Boehm: | Maybe we should talk data elements. Is there |
| Bernstein: | That's the word I liked versus this...value data, additional data. |
| Boehm: | But this is not...in the product, there is a big difference. |
| Utley: | But because there's a big [batch] of formulas, you can't...<unclear; everyone talking at once.> |
| Bernstein: | I understand that, I understand that. |
| Armst rong: | But we could use data elements with pixels being an exemplary method for using data elements...here's one example of a data element, it's a pixel. |
| Boehm: | The problem with that, though, is somebody could find a piece of prior Art that uses the data for vector based. |
| Bernstein: | Oh, [then we are beat] on vector base? |
| Boehm: | No, if it's Prior Art...if it's done ten years ago...vector based... and you're saying in your spec that your claim language data elements cover is broad enough to cover pixel, vector based, and everything. Your claim now reads on the Prior Art, and your patent would be invalid. It could be interpreted that way. |
| Bernstein: | Brian, were we ever able to do it vector based? |
| Utley: | Well, there are certain things which you can do vector based. |
| Bernstein: | That you can't do pixel based? |
| Jtley: | That you can do pixel based as well as vector based. |
| Bernstein: | So we've got to be careful that they can't cross that same line. |
| Utley: | Certain kind of graphics are done in vector based. For instance, AUTOCAD works on a vector-based system. CAD programs are typically vector based. |
| Boehm: | Does the concept of your invention, of pre-packing the number of picture elements so you can zoom it and pan it, does that have anything to do with vectorbased systems? |
| Armstrong Utley: No. |  |
| Utley: | I think, Doug, it really doesn't. Vector-based systems don't play here, and I don't think the data elements buy you a thing. |
| Boehm: | I think it could buy you trouble. |


| Bernstein: | Okay. |
| :---: | :---: |
| Boehm: | A good point in trying to broaden it, and we'll keep that in mind... |
| Bernstein: | My question is, so does this cover if you could do it on a TV for a set-top box? |
| Boehm: | I thought it did until... |
| Bernstein: | That's what I was after. I didn't know if a pixel was involved in a TV. |
| Utley: | Not in a direct sense. |
| Bernstein: | In the display sense, though? |
| Utley: | No. |
| Bernstein: | Because we make a distinction between... |
| Armstrong: | <Inaudible comment.> |
| Utley: | But I told you that. But you do |
| Bernstein: | Then were covered |
| Utley | Yeah...everything is carried up to a scane convertor which is simply a translation medium to translate from pixels into a raster. |
| Bernstein: | Gotcha. Okay. |
| Utley: | So you can display all of that on a laptop, and then it's direct mapping, pixel to pixel. |
| Bernstein: | Or you can convert it to whatever you want. |
| Utley: | Right. That's right. You can convert it to a NTSC or [PAL] or C-CAM or...HDTV |
| Bernstein: | Or any display. |
| Bernstein: | What about a game? What about a game are we set up to cover a game. |
| Utley: | Yeah |
| Armstrong: | I think if we look through this, You said that it need's to be... |
| Utley: | Any kind of display device. |
| Armstrong: | In it's invention, it's a calculation based on pixels, and we just need to be careful that the way this is worded doesn't preclude us from displaying it on a non-pixel-based system. |
| Bernstein: | And that's what he just said. He said that.. |
| Armstrong: | He said we' re converting the wordage here because we ran into it a number of times. As we all look at it together, let's just be cognizant of that. |
| Boehm: | Absolutely. When we go through, we'll all keep an eve out for it. But when you say a non-pizel-based system is a raster system, I really don't agree with that. A raster is derived from the picture elements, right? |
| Bernstein: | From the pixels. That's what Brian just said. |
| Boehm: | Yeah. |
| Bernstein: | So we can convert the pixels to any display medium we want. |
| Boehm: Bernstein: | ```Yeah, but even if you look at the...when we get to some of the claims, when you look at the resolution of the monitors, they talk about pixels. Well, that's what I'm concerned about a little bit.``` |


| utley: | Because what they' re referencing when they talk about pisels on the monitor, they' re really referencing the scan buffer that scans it out to the monitor, and it's not a representation inherently within the monitor itself. |
| :---: | :---: |
| Boehm: | Oh, okay. |
| Utley: | The monitor has no pixels. But the monitor has a [shatter vast] which, depending on the monitor technology, whether it's a Sony or a non-Sony-a Sony has an aperture grill-but they have a three-color dot matrix which has no direct connection with a bitmap. |
| Boehm: | Oh, really? No association to the pixel elements coming in? |
| Utley: | No, none whatsoever. A standard TV tube...a 17 " TV tube has a dot-spacing at a [4.?] [4.2] inches, and a good display tube has got a lot of the diodes at $.26, .27, .28$ at the higher resolution, but it's where it's visually higher resolution, not necessarily what your scan buffer has. |
| Boehm: | You don't turn the screen...the gun on and off for each pixel. |
| Utley: | Right. It's a continuous beam scan, and you' re modulating the beam. |
| Boehm: | So I think the best we can do is keep that in mind when we talk about the language to converting this over to television display stuff[ ]. |
| Utley: | Yeah, on this page I did have an additional comment. On line 18 and 19 , where it talks about ideal image quality requiring a minirnum bandwidth for transmission. All $I$ was saying here is instead of a limited bandwidth, it works regardless of the bandwidth, but it has less demand on bandwidth. |
| Boehm: | Okay. |
| Bernstein: | Let me ask you this. Let's say [Take bandwidth out of the equation and just say you play our image off your hard drive. It's still cool, so it has all the features we're patenting. Why do I even care about a limited bandwidth? why do I care that that statement be there at all? |
| Boehm: | Do you have any knowledge...I mean, |
| Bernstein: | No, I have no knowledge that in Prior Art you can pull off your hard drive any differently. People did not say to me, "oh, what you did is cool because you can play it over a network." They said, "it's cool, so I'm gonna play it off my hard drive." And by the way, in the end, the file is on your hard drive. Even if you had a 2400 -baud modem, the only difference is you have to wait 11 minutes to get the cool image. The coolness did not decrease by the time it took to download the image. |
| Boehm: | okay, again, we'll keep that in mind. I believe it's covered when we claim the digital image file because $I$ don't think the digital image file claims pertain to a network. Let me... |
| Bernstein: | None of it should pertain to a network really. It's an added benefit that we're able to transnit these rich pictures over a limited bandwidth network, but it is nothing even close to dependent or part of the coolness of the invention. |
| Boehm: | Can I take a counter-position, Eliot? |
| Bernstein: | Sure. |
| Boehm: | The reason that you'd want...first of all, you have to describe the best mode of your invention. There's no question we have to leave network in there. |
| Bernstein: | That's fine. Okay. |
| Boehm: | And the more stuff you leave in there, the better it is for us. |
| Bernstein: | As long as we're not limited to it. |


| Boehm: | The claims are what defines what's limited, but again, if we are so broad brush in...the claims are interpreted in the language in this... |
| :---: | :---: |
| Bernstein: | Well, don't be broad here. If you want to be broad, it can be your hard drive or it could be over a network, it doesn't matter. |
| Boehm: | Right. The problem would be if the digital file that we're claiming in some claim \#29 or whatever, if the wording of that claim says "uploading"...no, it says "transferring data," and that would mean over an Internet, and in the spec we come back and say that means over the Internet or... |
| Bernstein: | . or your hard drive, yes, I agree. That's why I put in some places upload, download. I don't care what you call it, don't limit as to download what I see in some places. |
| Boehm: | Okay, that patent issue is three years down the road you sue your closest competitor. They had a guy that just came out of the print industry, and they have been downloading images in print off of hard drives for photoprocessing applications for the past twenty years. He comes out with one article. Your claim directly reads on it because it's off the hard drive now because you have interpreted that claim to mean off a network. Your claim is dead. |
| Bernstein: | No, but that's not... |
| Boehm: | Then you run to claim two, which is dependent on one, which is going to say, by the way, it's over a network. |
| Bernstein: | But it doesn't have to be. |
| Boehm: | Claim two will have to say that, yeah, because otherwise your patent will fall, Eliot. |
| Bernstein: | Why? |
| Boehm: | What? |
| Bernstein: | What my question is... |
| Boehm: | Why will it fall? |
| Bernstein: | Let's stick to the invention. |
| Utley: | What he's saying is...let me see if $I$ can...claim one is as broad as possible. Now, if something happens to lay[ ] on claim one in the Prior Art, you bypass that by going to claim two, which reads on claim one, which further defines claim one, which takes you out of the concept situation and into [cleaner]. |
| Boehm: | Right. You've narrowed the scope of your part of this technology world-the part that's protected-but you want to make sure that part is over what your business is. |
| Bernstein: | Well, my business is...you see, my business doesn't need to involve a network. I could send somebody a CD of their hotel properties, like Hyatt, and say, "Here, you still have all the cool effects of my digital imaging products..." |
| Utley: | But claim one doesn't say anything about networks. |
| Bernstein: | Okay. That's all I'm saving, Brian. As long as you're not limiting me to a network. |
| Utley: | Claim one doesn't. We used that deliberately. |
| Bernstein: | Okay. |
| Utley: | I'm going to talk about that again when we get to the claims. |
| Boehm: | Let's talk about that when we get there, but again, my point is is you've got to be careful about what you say in the spec because the way claims are 5 |

interpreted is by referring to the spec. When you say "providing a digital image file," that doesn't mean much in the claim. The guy's going to go read the spec, see how you did it, look at your figures, and that's how this language is going to be interpreted. There's no broad brush back there. And you say, "oh, well it means everything," now claim one means everything, it reads on all the old prior art.

| Bernstein: | But it does, Doug, mean the hard drive, the CD |
| :---: | :---: |
| Armstrong: | Let me suggest something else. Let me suggest that what wer re really saying, whether it's over a network or off the hard drive, is that the unique nature of our process results in high-quality images at low-file size, and so whether that low-file size... |
| Utley: | <Inaudible comment.> |
| Boehm: | Well, it's not file size. |
| Armstrong: | Right. It could be huge files. |
| Bernstein: | It could be huge files. |
| Armst rong: | Hold on. Isn't it true that it is a low-file size given the amount of information that you're able to draw from it? |
| Bernstein: | No, it's the large-file size. It's the opposite. You gave them more data. The file size is not relevant, nor is the bandwidth. That is a must-understand. This idea is cool whether it's played off your hard drive, played off the CD, played off the tape back-up, whatever you want to call it, over a network...whether you Fed-ex, this, Doug, because its the same effect whether you send it over the Internet, and that effect is the same as if it is a 2400 -baud modem receiving it or a super-high-speed, as if the only difference between Fed-ex and the Fony Express is three days' wait, but you still are going to have this same package. |
| Utley: | Right. But what you want to do in your claim is make sure that you're not. |
| Bernstein: | Limited. |
| Wtley: | No, make sure that you haven't stated your claim so broadly that what is over here excludes your ability to claim over here. So you parse the claims, one very broadly, and then you keep narrowing it down so that if something happened over here, you've got...the network delivers it to you. |
| Bernstein: | Okay. And if you're saying that limited bandwidth isn't in claim one, then we don't care. |
| Utley: | Right. |
| Bernstein: | But I just wonder why it needs to be here other than to describe what we did. You don't, by the way, need a network to describe what we did. |
| Utley: | So we can strike network. We don't have to. |
| Boehm: | Well, we're not striking it. We can take it from the claims when we get there, but I've got to leave it in as your preferred embodiment. That's important. |
| 凹tley: | Right. We're on page one. |
| Bernstein: | Why? Let me ask you why. |
| Boehm: | Okay, there's something called the best mode... |
| Bernstein: | Okay, let me explain that to you because I do understand that. The best mode of this invention stops as soon as you have the image, whether you ever sent it or not, or played it on your computer for that matter. |
| Boehm: | Okay, Eliot, I don't think you would want to stick to that statement. You're saying right now that your invention is so bloody broad that if somebody had done this before on cDs but never done it on the Internet, and we can go sue them because they' re now doing it on the Internet and your claim is valid, and when you interpret your claim to read "on the Internet," and |

that's all you ever care about, but now we're going to get you up on the...

| Bernstein: | That's not all I ever care about. |
| :---: | :---: |
| Boehm: | You care about stopping your competitors. |
| Bernstein: | No, but I care about putting it on CDs and all those kind of things or using it for any other application. That's the key here, Doug...the file creationthe concept, the invention-stops before it ever hits the network. |
| Armst rong: | What Eliot's really saying is that our invention does not include a delivery system. |
| Boehm: | Right. So in one embodiment, it would. If you wanted to put a picture claim on your business, it's going to be one of our claims that includes a network, that includes pixels, that includes...I want a pixel claim... |
| Bernstein: | That can include. |
| Boehm: | Pardon? |
| Bernstein: | That can include as one of the methods of delivery, but the delivery method could be a network, a hard drive, a CD-ROM, etc. As long as you cover all that, I'm fine. |
| Boehm: | Okay, what I think we're arguing about is the mine field. You want a claim that's broad enough that if it gets blown away, okay it gets blown away; but I want a claim that's narrow enough that there's a less chance of it being blown away by Prior Art sneaking out of the woodwork because we're not experts in this field like Chris Taylor or something that could say, "Oh, you can't do that...here's a reference." That's why I'm a little... |
| Bernstein: | Can't we say that that's an added benefit of what we've done? I mean, I don't want to be confined to a network, that's for certain. |
| Utley: | You're not. |
| Boehm: | Okay. In one claim, you're not; in another claim, I want you to be. |
| Bernstein: | Okay, that's great. That's what I'm saying. AS long as you've got me covered on CDS or DVDs-somewhere in the future-that's fine. |
| Boehm: | Okay. |
| Bernstein: | Um, page 1. |
| Utley: | In fact...I just want to cap this conversation. Wihen you go through the methodology of the creation of the image and the display of the image and the formulas, there's nothing about a network. |
| Bernstein: | Nothing. |
| Utley: | Okay. So all of that gives you total freedom to claim wherever you want to place that...whatever enviroment you want to place that. I did have a thought, Doug, on the display and a way to kind of be a little bit more embracing. |
| Boehm: | Than pixel based you mean? |
| Wtley: | Yeah, instead of saying display monitor, we just say display system, and display system can be defined as anything with a visual user interface, be it a TV or... |
| Boehm: | That sounds...where is that? |
| Utley: | Page 2 , line 6, is the first one. It says "display monitor." If we just say "display system" wherever we have "display monitor"... |
| Boehm: | Okay, not everywhere. This is the background. We're not really talking about our invention yet. The first time we talk about display is describing figure 7 |


|  | 1, which is element 3, is the display, so that's where we'll stick in it's the display...just the word "display." |
| :---: | :---: |
| Utley: | okay. |
| Bernstein: | Yeah, system. Right. |
| Boehm: | So, let's hold that in abeyance. |
| Bernstein: | I had a comment on line 15: "Improved resolution for zooming and/or paning within a single image." |
| Boehm: | This is the field of the invention. That's fine if you want to say that. All this paragraph does is points the examiner in the right art for the search. |
| Bernstein: | Okay, and I would just clarify, too, on that, it's a single image, not a bunch image-the zooming and everything. This is one file that has all these attributes. |
| Boehm: | Right. So it's "and..." |
| Bernstein: | It can be additional files added into it, but those files retain the single image. |
| Utley: | As long as it's understood that a single image embraces fixed images. |
| Boehm: | How about a single window? |
| Bernstein: | How about a single file? |
| Armst rong: | That's probably good. |
| Bernstein: | That's the right terminology. |
| Armet rong : | Line 22 seems to me to be an incomplete sentence. |
| Utley: | It is. |
| Armst rong: | It should say, "It is known that one can view a digital image on a display." |
| Utley: | That's what we talked about yesterday, Doug. |
| Boehm: | Yeah. |
| Utley: | And you had terminology for that. |
| Boehm: | Yeah, let me find that. I know I do, but apparently it's not that handy where did it go. Yeah, here. We're talking about in the Prior Art it is knom. |
| Utley: | Right. |
| Boehm: | And that's the concept. I can fix that sentence by saying. |
| Bernstein: | That "one can" instead of "two." |
| Boehm: | <Speaking as he writes.> "It is known that one can view a digital image on the display screen..." |
| Bernstein: | In other words, it is known in Prior Art or whatever. |
| Boehm: | How about "It is previously known that..."? |
| Bernstein: | Yeah. |
| Boehm: | Okay. "It is known." |
| Armst rong: | "It is known." Period. Previously or now. "It is known that one can view..." |
| Boehm: | "...one can viem a digital image..." and get rid of two..."and zoom and pan within that image." Right? |


| Utley: | Uh, huh. |
| :---: | :---: |
| Boehm: | Boy, at this rate this is going to be a long conversation. |
| Bernstein: | Yeah, it is because we go now to the next page, too, thank God, and where it's circled pixels, let's just be clear. |
| Boehm: | I'm sorry, I've got to back up. "The limited bandwidth network," how are we changing that? |
| utley: | We're going to remove that. |
| Boehm: | We're going to get rid of what? |
| Bernstein: | Well, you don't need it. |
| Armst rong: | Delivered through its display system. "The viewer desires ideal image quality delivered to his display system." |
| Boehm: | Okay. |
| utley: | And then you can go on. "In a network environment..." |
| Bernstein: | This is even more... |
| utley: | Right. And then you say, "In the network environment, it's important to transfer an [idea or image] in a reasonable amount of time." |
| Bernstein: | There you go. That covers everything. Okay, so we go to pixels and pixelization terms, and my question is, and Brian will help me here, when you' re looking at the screen and you zoom, are you seeing pizels? |
| Utley: | You are seeing pixels. |
| Bernstein: | But the screen has no pixels. |
| utley: | No, but pixels are maped into a raster-based generation; but yes, there are pirels. |
| Bernstein: | Okay. |
| Utley: | You see pixels. |
| Bernstein: | Okay. You do? |
| Bernstein: | Yes. There's no pixels but yet you see them? |
| utley: | It's not a pirel-based medium, but the raster presents pizels. |
| Bernstein: | And it presents them distorted? |
| Utley: | It will present them distorted. |
| Bernstein: | Once you magnify them? |
| Bernstein: | Okay. |
| Utley: | It may take more than one raster to represent a pixel. In other words, a pixel is a composite. If it's a very large pixel, it'll take several raster scans to create a pixel. |
| Bernstein: | But you will still see... |
| Utley: | To see a pixel. |
| Bernstein: | And then when you blow it up, you'll still see a distorted... |
| Utley: | Right. |
| Bernstein: | Okay. |


| Armstrong: | This is the first opportunity where if we wanted to, we could say, in line 3 , "...in which the pixels comma (data elements) comprising the image"... |
| :---: | :---: |
| Bernstein: | We can't. |
| utley: | We don't want data elements, we don't want data elements. |
| Bernstein: | Because do you see what's happening? You still are drawing off a pizel base. |
| Boehm: | Pixel, and then this is goofy because in the next paragraph, we define what a pirsel is. we're defining pirelation first, and then next we're defining pixel. Why don't we not define pixel up above...oh, yeah, I have to. "In which the pixels, i.e. picture elements..." How's that? |
| Bernstein: | Yeah. |
| Boehm: | In other words, everybody knows what a pixel is, but we're just throwing it... |
| Utley: | It's a picture element. |
| Bernstein: | Okay, that's fine. |
| Boehm: | "Pixels, i.e., picture elements." |
| Bernstein: | Right. That might even cover $u s$ in other space that we don't even know yet. Okay. Go to page 3... |
| utley: | On line 6, this is an opportunity to introduce the notion of a display system instead of a display monitor. |
| Boehm: | It is... |
| Utley: | Is that a problem to you? |
| Boehm: | It depends on where we have to go with it, Brian. Where do you see us going? Do we need the differences defined later? |
| Bernstein: | You could be very accurate here, Doug, because when this was invented, I ran my computer slash my TV at times. So it was through both displays. Remember, Brian? I brought you over. So I've always beer running through a scan converter, my TV. |
| Boehm: | So where do you want to go with this, Brian? |
| Utley: | I just want to say "display system," and the reason I say that is because if you say display systern, you integrate into the description the scan converter. If you say "display monitor" itself, a display monitor does not contain the scan converter. That's generally housed outside in a set-top box or in the computer hardware itself. |
| Boehm: | Okay, I agree with you that you want to make that distinction; but do we want to make that distinction? We' re still in the [background]. We're describing somebody else's, not ours yet. |
| Utley: | Yeah, you tell us where you think the best place is to put it, Doug. |
| Boehm: | Well, I definitely want to put it when we first represent what display 30 is. |
| utley: | Okay. |
| Boehm: | What I don't know if... |
| Bernstein: | Okay. By the way, here it's true, too, [what he says]. Brian's saying. |
| Boehm: | It really is. |
| Bernstein: | Yeah. In Prior Art, if you play that on your TV, it's still pixelated. It didn't matter if it's a monitor or TV. So Frior Art, no matter how you played it, did that, and that's good to say. |


| Boehm: | In the background here, it's not important. What we do in the background is try and set up that the strawman of the Prior Art had these problems, and then you knock them down with your invention. So whether you set him up with the display or display system and you knock him down, he' ll fall just as hard. I don't think that's going to make a big. |
| :---: | :---: |
| Utley: | That's not a big deal here. |
| Bernstein: | But it has to come somewhere in here. |
| Boehm: | What if we' re making some stupid statement here. Let me read those paragraphs to you real slow here, and then let's make a call on it because; or if this is a good opportunity where we have to figure out the difference between a raster and pixel-based system or scanning lines or anything, if we have to make that...if we have to educate the people in order to interpret the claims later, then now is as good a time to educate them as far as what's the background. I don't think we need to do that. |
| Armstrong: | I personally feel that if we're going to be later using display system to define more broadly how we display things, we might as well refer to Prior Art in the same way since it does include it. |
| Bernstein: | It doesn't hurt. It can't hurt because all it's saying is that Prior Art, no matter what system you played on. Here what you're saying is Prior Art, when you play it on a monitor. |
| Boehm: | I guess just from experience, there's really no right or wrong answer on this, guys; but just from experience, I would tend to disagree with you. Whenever I define a term that I care about, I always define it in the spec, especially if it's not a normal, comon, everyday-type term that you want to talk about in the background because when you're saying that it's the same in the frior Art as it is in your invention, you say that today and you may want to argue that today, but maybe you want to change your mind tomorrow; and when the litigators litigate this, they' re going to wish like hell you never said that it was the same in the prior Art. |
| Bernstein: | Okay. |
| Boehm: | You can point to your spec and say, "voila!" |
| Bernstein: | And say we were working on onr TV... |
| Boehm: | ...our display system, and it's defined as such. |
| Bernstein: | That's fair. |
| Boehm: | And you can't go back to the Prior Art to define it. |
| Bernstein: | Okay. |
| Boehm: | So there's legal ways of doing it. |
| Bernstein: | Okay, so let's see where we insert that correctly. |
| Boehm: | Yeah, I think it'll be later when we're talking about element 30 when he defines the blocks of the system. Let's see... |
| Utley: | On line 14, where you say "represented as a triple..." |
| Boehm: | Yeah? |
| Utley: | I think the correct word is "triplet." |
| Boehm: | Okay, Steve pulled this from something on the Internet, so if you say "triplet," that is right, yeah. Triplet. Good catch. It took five of us to catch that! <Reading ont loud to himself...> Here's the bandwidth. |
| Bernstein: | Yeah, "...thereby speeding the transmission." Now that is true, but it's not necessary. |
| Boehm: | "...decrease the file size..." No, he's got it right here, right? |


| Bernstein: | Well, "...this results in a small source image file size," period. |
| :---: | :---: |
| Boehm: | No. The whole sentence says "plus the teaching in the art..." |
| Bernstein: | Okay, okay, yeah. That's right. |
| Boehm: | No background. We're still setting up the strawman to knock him down. |
| Bernstein: | Gotcha, but we don't need a network or Internet. |
| Utley: | We're not talking about us-we're talking about the other guys. |
| Boehm: | Yeah, Prior Art, and they were compressing the hell out of it and moving information because they knew they had to go through this limited... |
| Bernstein: | No, but let me ask you this. Let's say you just set it up on your computer-you never put it on a network, I'll just give you the same argument-you wanted to display your family photos on your own display system. You built a frame, you put a picture, matched the size to the frame; and voila! It's on your systen, you can't do anything with it. You can't zoom. You haven't commuicated it over a network; you haven't sent it to anybody...but you still can't zoom on it. |
| Boehm: | But you still can zoom and pan, you mean? |
| Bernstein: | You still can't. |
| Boehm: | Oh, you still cannot? |
| Bernstein: | No, because you built the frame wrong. |
| Boehm: | oh! okay, okay. |
| Bernstein: | So I mean you built the image to target wrong. |
| Boehm: | Oh, I see what you're saying. |
| Bernstein: | Yeah. So it didn't matter if you transmitted over any network. |
| Boehm: | Now this will get really hairy...how did you build it wrong? |
| Bernstein: | Well, because you only gave the frame the appropriate size as was necessary to fill the frame. |
| Utley: | You designed the frame to the image. |
| Boehm: | Yeah, so it doesn't magnify at all? |
| Utley: | Right. |
| Bernstein: | Right, whether you had a network involved or not. |
| Boehm: | Okay, and if it's a little bit bigger than the frame, you can still pan but not magnify-but not $z 00 \mathrm{~m}$. |
| Bernstein: | Right, but the teaching in the Prior Art would be to match the frame to the image. |
| Boehm: | Okay. |
| Bernstein: | That's how the world's been working for a long time. |
| Boehm: | I totally agree. Maybe we should throw that...does that say that here? |
| Bernstein: | Well, I gress there are a lot of places where we're going to add it. |
| Boehm: | Well, that's a great line what you just said..."ratch the frame to the image." |
| Bernstein: | You see, that's going to become critical when you say that "two times magnification at least" because the truth is nobody built a picture |

saying, "I'm going to give it a little more edge $s 0$ I get a little more zoom." That's the difference. You built the picture...you had a Erame size...you popped in their image to be that frame size...you created the image for that size. You didn't say, "I'm going to give them an extra pixel so they can zoom a little," or an extra pixel or two, or two times. So one drop, one pixel more than is recuired, is the new out.
Boehm: Or it sits in the frame.

Utley:

Boehm
msoe.edu, and Dr. Chris Taylor is his name, and it'll have a subdirectory for him. Then on his subdirectory, it"ll say, "Go see images Erom my own website," and then he has his own personal thing; and in that, he has pictures of stuff. And he says those pictures, which mere done way before you guyswhen he first got here to Milwakee, I guess is what he said-but he said that there are more pixels there than are needed, and that's just the way it just happened to come out. There was no intent to do it one way or the other wav-he didn't intentionally match the frame size to the image-that's what happened when he did it. But he's not providing scanning and zooming, and...

Bernstein: Well, that's the difference.

Boehn: And, but he is not providing move than two times the pixels.
Bernstein: Well, that doesn't matter [ ] because really we're just saying that our art is based on the fact that we're providing extra data that allows, whether it's one pixel or not. One pixel might give you a zoom factor of . $00004 .$.

Boehm: I see what you're saying...
Bernstein: So why should be ever limit...the object of the invention is to create zoom by giving more data.

Boehtu: Right. Can You zoom, Brian, without going twice the number of pixels?
Ttley: Sure. Well, you can zoom to...what you're saying is you have a target image which is 2 x the window, or 2 x the viewing image, and, $y e s, i t$ can be anything over and above the size of the viewing image. It's just a practical question of does it have any value...

## Boehn: Right.

Utley: $\quad .$. when they have such a limited...
Boehn: Magnification factor.
Bernstein: But we don't know into the future if it will. Somebody could get around us by getting it somehou under a 2 , or, as a matter of fact, what if you only need 1.5 ? why should we limit ourselves because that's not what was created?

| Boehm: | Okay. |
| :---: | :---: |
| Bernstein: | You know what I mean? we didn't pencil it out and say two times is what we need to do this. |
| Boehm: | That's a good...we got that...steve and I must have come up with that two times. |
| Bernstein: | We all came up with it just because the first button on your magnifying glass is two times. |
| Boehm: | Okay. |
| Bernstein: | And we were thinking...here was our thinking...that you were able to click that button on a regular image, and you were still okay-a little fuzzy-but you hadn't blown apart. So we were thinking anything beyond that. But actually as I re-thought that, I said that's not the issue here. If you're designing screen size to match Erame size, it doesn't matter if you give it one drop more. That adds to the zoom capability by some factor... that extra data. But one and half times. What if you only wanted...what if your client says "I've got a picture of my wife, and she's ugly, so I only want a one and a half times magnification on her face." |
| Utley: | Okay, we all agree. |
| Armetrong: | Anything more than one times is the definition. |
| Boehm: | And I think he's got it in claim 1. He scratched out "at least twice" and put in "is greater than." |
| Bernstein: | Right. |
| Utley: | So the right way to say that is that the target image is larger than the viewing image, and you've said it. |
| Boehm: | But just claiming that concept. |
| Bernstein: | Right. |
| Boehm: | No...and providing zoming capability? |
| Bernstein: | Absolutely. |
| Boehm: | Right. |
| utley: | Which is the way you have it worded in claim 1. |
| Bernstein: | I don't care if you built the picture and your Erame size was " $\mathrm{x}^{\prime \prime}$, but that wouldn't achieve anything that we thought was cool. That would just mean you have an oversize picture in a frame and you could move around by grabbing the picture. By the way, that brings me to what made us start thinking about this was your Adobe example. You are grabbing a larger image, but you're moving it around kind of clumsily and it's not achieving what we achieve. Do you follow? Because you' re just grabbing and kind of moving. As a matter of fact, there's a technology that's out... |
| Boehm: | Yeah, but you can zoom, zoom, zoom in there. |
| Bernstein: | Yes, straight in, and then You've got to grab it. |
| Boehm: | Yes. |
| Bernstein: | And move the larger image from that portion around. There's a technology called [Zif X$]$ out today that allows for something similar to that, but yet it's very anmoying that once you're in, you're in and have to grab and move around. It's a much different effect and feel than what you get when you look at our images and grab and move around. You know what I mean? In our image, the whole thing is there pretty much. |
| Armstrong: | And this is a distinction that we begin to make on page three where the ability...our art allows you to, on a single image, once that image is |

received by the system or displayed on the display system, it is complete. You're able to zoom in and pan around on $i t$, and there isn't a requirement for the system to re-draw the image or any section of the image.


| Boehm: | Because now you're getting real technical, and I don't know that you're not doing that. |
| :---: | :---: |
| Utley: | The problem is that as you try to increase the precision of what you're saying, you have to be very careful. |
| Bernstein: | Well, then we've got to take back "... one drawback of this type of system is that each zoom or pan operation requires the domloading..."-domloading is definitely the wrong word-"...of additional data over the network..." |
| Armstrong: | Line 8? |
| Bernstein: | Yeah. That's all wrong. |
| Boehm: | What page are we on? |
| Bernstein: | We're on page 3, line 8: "One drawback of this type of system is that each zoom or pan operation requires the downloading of additional data over the network connection." Well, that's not exactly what we're... |
| Utley: | We're talking about the art...the state-of-the-art now. We're not talking about... |
| Bernstein: | Or mapping the travel? |
| Utley: | We're not talking about our system. |
| Bernstein: | Okay. Then that's fine. |
| Boehm: | That's exactly what the advantage of this system is, isn't it? |
| Utley: | Yeah, exactly. It's not designed to work that way. It's desiqned to be an integral component of the displaying systern. |
| Boehm: | And also we're talking about the Yahoo! Map. |
| Bernstein: | Once again, however, it's not linited...Let me ask you this question because I don't see networks at all, right? Let's just look at the Yahoo! Map program. |
| Armetrong: | I've got it on CD RoM. |
| Bernstein: | No, no, that's okay. I know what we're doing. When you move, whether you move on a network or off the network, it grabs that image, and it's different than what we look like. There's a definite difference of how those two things work. Do you follow me, Doug? So it doesn't matter whether it's on your hard drive drawing the data, over a network drawing the data, what matters is that you perceive a difference between the way that the Yahoo! Map goes and grabs another closer section of the map and you' re stuck there. Now you can't move back without going backwards to that other image or to the left to that other image or to the right to that other image. All these things are broken down into "other image" basically. |
| Boehm: | Right, you' re getting another what you called the hotspot. These are all hotspots. |
| Bernstein: | Right. Which is that technically a new file? |
| Boehm: | That's a new file. |
| Bernstein: | Then maybe that's our differentiation. |
| Utley: | We have to be careful. |
| Bernstein: | Well, we don't go from another file. |
| Utley: | No, but they're going to go... |
| Bernstein: | They may say that's all in one file. Fight. |
| Utley: | So that would be... |


| Boehm: | I think that they are going for another file because whenever you click on another map... |
| :---: | :---: |
| Bernstein: | So Brian's right. So what? Even if they were and there were 8 million files, we could combine it into one and call it one. But then if you just made what we do... |
| Utley: | Wou really have to be very careful because you don't know how they organized and structured that whole mapping system. |
| Bernstein: | You know what you do, Doug? You describe the optic. You say this is what you see with their system, and this is what you see with ours. |
| Boehm: | That's what we're trying to do here. |
| Bernstein: | And let's not let that get too complicated. Let's what we're trying to get explained out over time. |
| Boehm: | You can't. |
| Bernstein: | If somebody wants.... |
| Boehm: | That's the key. That's what our frustration is as patent attorneys. We have to define Your invention in the legal technical words. You can't wave your hands at it. If you do, you won't get a clean street of passage. |
| Bernstein: | You can't say it looks prettier? |
| Boehm: | It won't be upheld in court. |
| Bernstein: | You can't say it looks prettier, huh? |
| Boehm: | No, you can't. And that's what I'm saying. I agree with you...I'd love to say, "when it looks like idea technology..." |
| Bernstein: | Well, explain to me what's happening in my brain, then, on an electrical signal impulse, because there's a definite perception definite between what $I$ see, why I see it differently, and how it relates to what I do, which gives you a completely different spatial representation within an image because of the way that I'm manipulating data. See, I always looked at our technology-and maybe this stupidity might define something here-I always looked that when you take that big image of ours versus one technology where you could...let's say we both have big pictures, okay? Let's just say we both go with the big picture in a small viewing frame. One says you can move the frame or the picture and get a new image of that image, or you can go deeper on it by drawing another whole separate image, okay? Mine, I always looked at it is that it puts the frame in the center; and as you hit zoom, you're sucking in data towards you that's coming from the outside peripheral, not in separate little chunks and new images, but as one image, and it's pulling it into like a vortex, so to speak, and giving you that new data to let you zoom or move. Follow me? |
| Armstrong: | Let me ask a clarifying question of Brian. When we transmit a file to a user, he gets the entire file into a . TMP file? |
| Bernstein: | No, it's just hard drive. |
| Armstrong: | Right onto a hard drive. Now, as he manipulates the image on his screen...as I zoom to level one and then to level two or level three, or I pan within it, what sort of access to that file is made inside the computer, let's say? |
| Utley: | It varies. |
| Armstrong: | Okay, but there is regular access back and forth to data points within the file? |
| Utley: | Yeah. Part of the picture may be residing on the file; part of it may be in active RAM. |
| Bernstein: | Doug? |


| Boehm: | Um, hum? |
| :---: | :---: |
| <utley and Boehm. $>$ | trong continue their conversation in the background as Bernstein continues with |
| Bernstein: | Let me ask you to try and help me define something. Take a frame...take a small piece of paper and make a frame, okay? You ready? You got a square piece of paper? |
| Boehm: | You want me to cut it? Yeah, I've got a sticky yellow pad here. |
| Bernstein: | Perfect. Use that on top of your patent application and put it in the center. In my thinking where I don't understand that, when we do the Prior Art, when we take that frame and we want to see the upper-left corner-now remember, our piece of sticky is in the center-we now want to go to the upper-left corner, we've got to move the frame over the upper-left corner and now we're seeing that part of the paper. |
| Boehm: | Which means your re moving the viewing window over a huge image. |
| Bernstein: | Right. Or, you're moving the image to fit in the frame. |
| Boehm: | Right. |
| Bernstein: | Okay. Now with mine, put that viewing window in the center again; and let's say you want to zoom in or go to the... zoom in, what you're really doing is sucking in this data, aren't you? You'd be almost pulling through the paper through that frame. That's why you have that attached. |
| Boehm: | No, what you're doing is you're scaling the... |
| Utley: | You're scaling the total image. |
| Boehm: | Yeah, the total image specifically or to Eit a reduced frame. |
| Utley: | But they're leaving the image as it is. |
| Bernstein: | Ah, then there is a defining difference. |
| Utley: | I know, that's why you call it zooming. That's why the invention is described the way it is. That's why when I do all the pictures and show all those relationships, that's why it's designed...it's laid out that way. |
| Boehm: | Can we define our zooming in... |
| Utley: | In fact, there's a scaling... |
| Boehm: | ...as a scaling mechanism? |
| Utley: | ...viewing window. |
| Bernstein: | That's the question...can you... |
| Boehm: | Can we define our zooming as the scaling of the image to a different window, which is the nomal way, I think, of zooming and scaling. I don't think of... |
| Utley: | The effect of zooming is to rescale the target image into the viewing window, or some portion of the target image. What you' re doing when you zoom in, you're now scaling the complete target image to a portion of the target image, and then what you're able to do is take that scaled portion and move it around to the entire image, but it's at its given scale level. You don't have to re-compute the for every portion of the image. |
| Boehm: | Right. I think we're fine with what we're doing. I just think we've got to...there's this topic 14 , to be cognizant of as we go through this, to make sure that we distinguish our zooming from hotspot zooming by zooming by grabbing another file. |

Bernstein: Right.

Boehm: Our zoming is scaling.

| Bernstein: | It's also by grabbing another file because it would be our view that that set of hotspots could be combined into a single file, and it definitely could be designed that way. I mean, I could write the file to be that. |
| :---: | :---: |
| Utley: | But it would be another file? |
| Bernstein: | No. I could take all five hotspots and write them into one file. |
| Utley: | So the [ ] will be in one file? |
| Bernstein: | Right. Exactly. |
| Bernstein: | So you've got to be very careful here of what the difference is. It's such a minute, yet it's such a profound difference what we do... |
| UtIey: | But it is another image. |
| Bernstein: | It is another...right. Not another file. That's the difference, right. |
| Boehm: | It's another image? |
| utley: | Yes. |
| Bernstein: | Of course. A hotspot would be second shot of that image at a closer scale...at a closer view...but it could be combined in one file in the end, even though it's two separate images. And the hotspot could drive right through it in that single file source. |
| Boehm: | I'm thinking that if the mechamism for our zoom is to do the scaling kind of on the fly as you're walking around, we never go grab for another file... |
| Bernstein: | Or we never... |
| Boehm: | Hotspot or not-I don't know how many you put in there-you see, what I'm worried about is, guys, don't you have hotspoting on your website? |
| Utley: | No, here's what. |
| Boehm: | Yeah, we've had them. |
| Boehm: | You've had them, right. |
| Bernstein: | Yeah. |
| Boehm: | And that concept of zooming is grabbing another image file. |
| Bernstein: | Well, but it has the same attributes as our current file, so it's just grabbing another enhanced digital image. |
| Utley: | It's grabbing another image, and you don't have to define it as a separate file. Just grabbing another image. Let me tell you what I think differentiates between one of these systems and what we have. We have, if you think of the target image as the user interface, we have an encapsulated image. It is an encapsulated image that is [ ] into a file that is transported as a an encapsulated object, and it is manipulated as an object, and you zoom into that object. It is an object whereas in a mapping system, your object is really the whole map system, whatever that is, and... |
| Boehm: | It's the system application for that. |
| Utley: | Right. What happens with the mapping system is the application will create mapped objects according to what you... |
| $\leqslant$ End Side 1 | ape 1; begin Side 2, Tape 1.> |
| Utley: | ...which are them handled individually as you need them or as you request then. What we're talking about is an encapsulated image which has all these attributes contained within that encapsulation. |


| Boehm: | And that would be true whether or not it's on a hard drive? |
| :---: | :---: |
| Utley: | Right. |
| Boehm: | I guess that's right...yeah. |
| Bernstein: | And then, so we are striking downloading and additional data over the network connection. |
| Utley: | Prior Art doesn't need that either. |
| Bernstein: | Prior Art doesn't need that. To do Yahoo!'s Map, you don't need a network and you don't need more data over that network. You see, you'd never beat this argument. A network is just a hard drive because really in the end all you've done is like added a cable to your hard drive, and all you're talking about is the length of that cable, really. So networks are not applicable really to what we do. They are an added-value benefit that we can get through that cable quicker or whatever, but they are not the key. The network could be considered the cable between your hard drive and the display. You know what I mean, Brian? We don't need any... |
| Utley: | No, but what you want to do is you want to make sure that you specifically address a network environment. |
| Bernstein: | I wholly agree. |
| Utley: | In addition. You absolutely want to make sure that the. |
| Bernstein: | That's huge, as an additional wire, meaning it's got different... |
| Utley: | Then that's the way to approach it. |
| Bernstein: | Okay. You Eollow that, Doug? |
| Boehm: | No. Slow it down. |
| Bernstein: | Okay, it's all based on this. You can do our invention off a hard drive, and it's still cool. The fact that you can transmit it over a fat pipe or a small pipe or FedEx it has no bearing. It does what Brian says: it is more valuable in a network environment because it now has the attributes to give greater, richer data that you didn't think was possible in the shorter time. In the end, you see the network and the limited bandwidth, that never mattered because no matter, let's say your pipe was a toothpick, it would get there by tomorrow. Let's say your pipe is a direct line to the hard drive that's able to suck it up at 10,000 RPM or whatever...what's the term? |
| Boehm: | RPM, yeah. |
| Bernstein: | What's the term? Not R.PM. |
| Boehm: | Bits per second or... |
| Utley: | BPMS. |
| Bernstein: | Is that what you talk about a hard drive? |
| Utley: | Well, RPMs. |
| Bernstein: | Is it? |
| Utley: | Well, yeah. Techically. |
| Boehm: | The data transfer rate... |
| Bernstein: | Yeah, I'm talking about how fast you can access your hard drive as a number that you buy hard drives based on 7200... |
| Utley: | Oh, no, you buy millisecond access time. |


| Bernstein: | Okay. So we're now 20 years into the future, and Brian invented a pipe that can suck down that speed he just said-hard drive speed. Well, there's no difference now, is there? |
| :---: | :---: |
| Boehm: | Sell me on the concept that there's no difference between one hard drive and a network, and you' re going to put the whole network industry out of business if you go there. |
| Bernstein: | No, no, because it's a cabling system. |
| Boehm: | I know, but... |
| Bernstein: | It's just an accessed tour drive. |
| Boehm: | And I think your point, and I think we've been there, that we're going to try and claim the broadest embodiment of your invention to be independent of any network or any hard drive or any... |
| Bernstein: | And Prior Art also doesn't need any downloads or any networks. Prior Art, you can do Yahoo! zoom and pari, and Jim Armstrong just said it a minute ago, "I have it on my hard drive." And the program still operates by moving and grabbing this additional data. It has no network attached to it. He's doing it off his hard drive on a CD. |
| Utley: | I thought we already covered that. |
| Bernstein: | well, I'm just saying one drawloack of this type of system is that...and what it should say, if you want, is one drawback of this type of system in a network environment... |
| Boehm: | I'd be happy to say that, but that says that over the network conmection. The whole paragraph is to describe another example of prior systems. |
| Bernstein: | But those prior systems don't require networks. |
| Armstrong: | Let me suggest that... |
| Utley: | Wait a minute, wait a minute. Come on. The first sentence says, "...over websites." |
| Bernstein: | But all I'm saying is it doesn't have to. |
| utley: | Well, it's describing Prior Art, and this particular example is over web sites. |
| Bernstein: | Ah, versus Web cDs? |
| Utley: | Yeah. |
| Bernstein: | Or Jim's Yahoo! CD. |
| Bernstein: | Okay, then I understand one example. |
| Boehm: | And that's where we're going with the background. We' re spinning our wheels here. Now if you want to add that to clarify, that's fine; but I don't want you to take away the distinguishing features that you have over networks because you may have to go run there some day. |
| Bernstein: | Then do what Brian said: add it as an additional factor. |
| Boehm: | Absolutely, but I guarantee you're going to have to argue this when we go to the foreign countries, like at the European office examiner, they' re going to be really mean and nasty. I will bet you that they will find some very, very close art, and we will have to be throwing in all kinds...and my guess is that we' re going to have to be throwing in all kinds of words that will have to be supported in the spec now to come up with something to survive a European examination if they find anything close, if we're not right that this is totally a broad concept. I'm just trying to... |
| Bernstein: | Okay. |


| Boehm: | We have to have a direction to run, and I need the amo to do that; and I can't run to the network argument if you've either taken it out... |
| :---: | :---: |
| Bernstein: | No, we're not taking it out, we' re just... |
| Boehm: | Or just minimized it. |
| Bernstein: | We're not minimizing it. We' re just saying you don't need it, but in that environment, it is also added value. |
| Utley: | Let me point out one other thing why it's important...even more so. If you take the implementation like a Zif $X$, if it's local on your local system on your hard drive, you wouldn't care whether when you pan you reconstruct the piece that you're moving to. |
| Bernstein: | Sure, that's different from what we do. |
| Utley: | Because it moves very quickly. |
| Bernstein: | But it still looks different from what we do. |
| Utley: | But I'm not talking about that. I'm talking about when you move the magnifier, you reconstruct the piece that's coming into the window, but you would never see that. But over the network where you have elements which extend the response time of the system, it becomes a big deal, and therefore what You've got becomes more valuable...even more valuable over a network. |
| Bernstein: | That's what I'm saying. Okay, I agree with that. Sowe're all hip on that. |
| Boehm: | I think so. |
| Bernstein: | Okay. |
| Bernstein: | All right, let's move on. |
| Boehm: | Where were we? |
| Bernstein: | We're on page... |
| Boehm: | Three, right? |
| Bernstein: | We're through page 3. We're onto page 4. |
| Armst rong: | Oh, and by the way, we also had a change on 21 , if you noticed. |
| Boehm: | Okay, let me run over the changes on page 3. <Reading out loud> "...additional map data" should read just "additional new images and sends it over..." that would be fine with me. Oh, how about "additional data as additional new images"? |
| Bernstein: | "Additional source material"? |
| Boehm: | That would be... |
| Bernstein: | "Additional source data..." |
| Boehm: | "...as additional map images"? |
| Bernstein: | Yeah. |
| Armstrong: | I don't think you need "new images." I think just "source data." |
| Boehm: | But it's really getting a new image. It's getting a new map. You're looking to the file. You're going back every time. |
| Bernstein: | Okay, "additional source data." hell, ours goes back and grabs more source data, too. |


| Boehm: | Exactly. But we've got to be careful. But we're not going getting additional new images. I may have to argue that, so you've got to have that in there. |
| :---: | :---: |
| Bernstein: | Yeah, okay. |
| Boehm: | "...retrieves additional source data..." how about ", e.g.r additional new map images"? |
| Bernstein: | Right. That's fine. |
| Boehm: | Okay, "...and sends it to the user computer." wonderful. Okay. Next change. <br> <Reading out loud.> |
| Bernstein: | We already dealt with that. |
| Boehm: | So what do I do? Scratch it? Do I leave it in there, or what do I do? |
| Bernstein: <br> Boehm: | Well, that's just saying our stuff, so you're still talking about the prior stuff. We're talking prior art. |
| Bernstein: | Okay, so that's scratched. |
| Boehm: | Okay. Next comment... |
| Bernstein: | And that, again, scratch that one. |
| Boehm: | Okay. |
| Bernstein: | Because we' re talking Prior Art here. |
| Boehm: | I'm setting up the strawman, but now we're starting to knock him down. |
| Bernstein: | Okay. Also, "there's a need for a system and method for providing a digital image suitable for suEficient file transfers." I don't care if it's hi, lowi, medium. |
| Utley: | On 21. |
| Bernstein: | 21. |
| Boehm: | It says...I just think that "high-speed file transfers" is a pretty good term of art. |
| Bernstein: | Well, but then somebody will say, "Is that high-speed cable or modem?" |
| Boehm: | Oh, I see what you're saying. |
| Bernstein: | Efficient. |
| Boehm: | Higher speed? |
| Bernstein: | No, we don't care about speed. You could do it at 2400 baud. |
| Boehm: | We don't care. whether... |
| Bernstein: | The other system didn't care either. |
| Boehm: | Okay, that's fine. I'll leave it, then get rid of high... |
| Bernstein: | You're just stuck with whatever speed the guy's got. |
| Boehm: | <Reading out loud.>..."to engage in long and slow conventional contimucus file downloads..." |
| Armstrong: | And that's fine. |
| Boehm: | What's a continuous file versus a regular file? |
| Armstrong: | Get rid of continuous. You don't need it. |


| Bernstein: | Yeah, you don't. What we were trying to say is that additional data there, but we've already got that. |
| :---: | :---: |
| Boehm: | As long as...yeah. And that's why I need that language up top to say, "...additional data," "additional new images..." Somewhere where I can go argue that this is what we meant, and that's what the Frior Art does. Okay. Whew! What's on the bottom? |
| Armst rong: | What we've been talking about. It's all we just discussed. |
| Bernstein: | Forget the top comment. |
| Boehm: | I can't really...it's cut off at the top. |
| Bernstein: | That's fine. It's not relevant here. |
| Boehm: | Okay. |
| Bernstein: | "At least twice greater than..." |
| Boehm: | "At least greater than..." that's good. |
| Armstrong: | Not "at least," just "an image size greater than..." |
| Bernstein: | Right. |
| Boehm: | Yeah, duh! okay. <Laughter> I'll tell you why I'm kind of groggy here now, later. |
| Bernstein: | We were groggy, too. Wie were doing pans 'til 4:00. |
| Boehm: | Til 4:00? |
| Bernstein: | Yes. |
| Boehm: | And then...so how many hours sleep did you get? |
| Bernstein: | None. |
| Armstrong: | Four. |
| Bernstein: | Well, Jimgot four. I got none. |
| Boehm: | Okay, I got ya beat. You got none? |
| Bernstein: | No. |
| Boehm: | I spent the night at $0^{\prime}$ Hare. |
| Bernstein: | Oh! That's my favorite place to sleep on a bench. |
| Boehm: | On the bench. You got it! |
| Bernstein: | I've been there a bunch of times! |
| Boehm: | Yep, I hear you. I think Doug's black cloud follows him when he travels. Every plane I got on was delayed or broken! |
| Bernstein: | It just follows the travel industry. |
| utley: | You should have rented a car and driven home. |
| Bernstein: | I almost...I was thinking about that, but I was worried about falling asleep too. |
| Armstrong: | So we're into line 15, 16. |
| Boehm: | Okay, and that's the one that's scratched. |
| Bernstein: | No, and 15 I would say, "The enhanced digital image file replayed on a client viewing device..." |
| Armstrong: | "...displayed on a client's display system." |
|  | 24 |


| Bernstein: | Yeah. The viewing window having a pre-determined franchise. |
| :---: | :---: |
| BoEhm: | Do that again. On line 15... |
| Armstrong: | "The enhanced digital image file is displayed on a client's displaying system..." |
| Boehm: | Instead of just... |
| Bernstein: | ". ..downloadable..." |
| Boehm: | Oh, I see. "...is displayed." I'm sorry, the same sentence is up above. "...is displayed..." |
| Armstrong: | "...on a client's display system, the viewing window..." and then the rest is fine. And then line 22, get rid of "at least two" and create "greater than." |
| Bernstein: | Where? |
| Armstrong: | Line 22: "...a magnification factor greater than..." wait, what does it say. Hold on. <Reading out loud.> "...a magnification factor..." |
| Boehm: | Oh, "...a magnification factor of at least two..." |
| Bernstein: | No, "...greater than one." |
| Boehm: | Okay. |
| Armstrong: | "...greater than one without pixilization." |
| Bernstein: | We don't mean without pixilization. No. |
| Armst rong: | Yeah, that's right. It should be, "...a magnification factor greater than one." we haven't yet, I don't believe, defined a magnification factor yet, though. |
| Boehm: | No. |
| Armetrong: | It comes later. |
| Utley: | It comes later, Yes. |
| Bernstein: | And you can use, where I was telling you, Doug, where it was built onto a frame size, so therefore there's not additional data to draw from, therefore your zoom is zoomed to expanding the fixed pixel set. |
| Armstrong: | And the next sentence, "The enhanced digital file further includes control data to allow the user to control the magnification factor." The question we had here was it seems as though we might be talking about the applet here. |
| Boehm: | Yes, we're talking about the applet. |
| Bernstein: | Then it's two different files. |
| Utley: | Yeah, but... |
| Boehm: | Yeah, but the file...oh, I see, we' re calling the enhanced digital image file the encapsulated [sloping? full thing?]. |
| Bernstein: | Yeah, and there are two separate files that go to the user. |
| Utley: | But it's encapsulated. It's actually [copied]. They always travel together. |
| Bernstein: | They travel together, separately. |
| Utley: | Right. Then we shift. |
| Armstrong: | They travel together, but they are two separate files. |
| Bernstein: | Virtually $i t ' s$ one, but really it's two. |


| Utley: | And associated with each other. |
| :---: | :---: |
| Armst rong: | But we're calling it "the enhanced digital image file," but that's not necessarily true, Brian? |
| Bernstein: | No, he's saying...here's what the story is, Doug. You got one file above an image, and there's not a single drop of other data in that file. It's called a .JPG...we use it. There's also a file...there's two or three files actually that get downloaded to the computer-or he has it on his system already, it doesn't matter to us-that allow him to zoom and pan. |
| Armst rong: | And those are transmitted simultaneously. |
| Utley: | There is additional data that is required, whether you have a plug-in or not. |
| Armstrong: | Really? |
| Utley: | A plug-in by itself has no information relative to the size of the image, to the number of steps you're going to take to drive into it. |
| Armstrong: | But that's all built in... |
| Utley: | There's another file, like an index file. |
| Bernstein: | Oh, there is. Okay. |
| Utley: | That's what I'm saying. |
| Bernstein: | Okay. I gotcha. The person could have pieces of the file, like the applet, already on his system. But what Brian's saying is there's control data that goes with the image that was based on the image specs that then tells that interface to operate according to a set of assumptions. Right, Brian? |
| Boehm: | Okay. I agree with you. I think what we're... |
| Bernstein: | No, not within the .JPG file. You've got to be very careful. So we're not talking the same thing. Those are additional files. |
| Bernstein: | ```Okay. Look at page 24, claim 1. "A method of dividing a digital image file comprising two elements, a digital image file...having an image file..." and "2. A user interŁace for the digital image Eile."``` |
| Bernstein: | But we don't have to provide that. That could already be on his system. |
| Boehm: | Oh, my gosh. |
| Bernstein: | He has to have one to view the image; we don't have to provide it. |
| Utley: | But he has to have the control data. |
| Bernstein: | He has to have the control data to tell him how to view the picture, and that could one day be part of the .JPG file, I don't know. But today how we do it is as three separate pieces: an applet, a control file that tells him certain things about the .JPG, and a .JPG. Those things come packaged together. Now, a guy might already have the applet on his machine; therefore, I don't need to send him that packet-the user interface. |
| Armstrong: | If that's true, don't we send it anyway? |
| Bernstein: | No. |
| Armstrong: | We don't? |
| utley: | we do. |
| Bernstein: | We don't have to, but like... |
| Utley: | We always do. |


| Boehim: | But that doesn't matter with your infringer. That's how you have to think when we're talking about the claims. |
| :---: | :---: |
| Bernstein: | What do you mean? |
| Boehtu: | Would the infringer on your patent send it? |
| Bernstein: | No. |
| Boeltu: | No, he'd send it to you once on the hard drive... |
| Bernstein: | He'd Fed-ex it to you, and then not... |
| Boelini: | He'd start sending you images, and each time he wouldn't be sending...but each time you would be sending some kind of control data? |
| Armetrong: | Yeah. |
| Boehm: | That's what I'm thinking, and that could be interpreted as the second element of the claim here. |
| Utley: | And that control data really controls the motion of the zooming and panning. |
| Boehti: | Right. |
| Bernstein: | But that could even lie technically on his computer. |
| Boehm: | Right. |
| Utley: | The only case where that would actually work is if you knew that the... |
| Bernstein: | The size of the. JPG. |
| Utley: | The size of the. JPG and the size of the window. |
| Bernstein: | And that could be for medical inaging where they give you the specs and say send me every image of this size, you don't have to send every single little thing. |
| utley: | If that was the only kind of image that everyone wanted. |
| Bernstein: | Okay, we're doing $x$-rays. There's an $X, Y$, and $Z$ size; here's our frame size; we never need to get that control data from you because it's built in. |
| Utley: | <Inaudible comment.> |
| Bernstein: | Okay, but let's say we' re dealing with a bone doctor who takes strictly legs, and it's always the same. I'm just giving you the case. |
| Utley: | I know, I'd <Inaudible comment.> |
| Bernstein: | Right, and I don't want to get around that. |
| Utley: | The fact that there's radiography is a little bit more than one image size. Theoretically, you're right. You could find an application where there's one and only one image size, then you could put everything in the system. |
| Bernstein: | A size. |
| Armstrong: | MRI always have the same size, don't they? |
| Bernstein: | Right-2' $\mathrm{m}^{\prime}$. |
| Boelini: | We thought we had this one nailed down. |
| Armstrong: | In MRIs you also... |
| Bernstein: | You did have it nailed. We're missing...you just want to say "optionally provided." |


| Boehm: | You can't use the word "optionally" in the claim. |
| :---: | :---: |
| Bernstein: | We'll change that rule. |
| Boehm: | No you won't. What we'll do is isn't the user interface provided from somewhere...we don't say where it's provided. |
| Bernstein: | It could be any of four hundred of them. |
| Boehm: | It could be provided from his hard drive. |
| Bernstein: | Absolutely. It could be provided from somebody else's hard drive through a network. |
| Boehm: | Exactly. That's why this covers it because the word "providing" is so broad it doesn't mean that we're sending it. See, we' re providing a file...the digital image..we're fine. |
| Bernstein: | Okay, I see exactly what you just said. |
| Boehm: | We're providing a file for viewing. |
| Bernstein: | Totally. I totally understand. Fell, now we might not be providing the user interface... |
| Armstrong: | Yeah, this still reads that it is "...a method comprising the providing of..." |
| Boehm: | Yeah, you might be doing only step $A$ at a time. |
| Bernstein: | Yeah. |
| Boehm: | Oh, damm. Okay. |
| Bernstein: | Oh, yeah. |
| Boehm: | Okay, that's a good...let's...boy. Let me think about that. What I want to do is make the element $A$ that you're providing a digital image file having this and that, and you're also having control data to work with the user interface for the digital image. |
| Bernstein: | But you might not need the control data. That's what I just said to Brian. What if a client says to you every one of my images is going to be a $2^{\prime} \mathrm{x} 2^{\prime}$, and I want 50 time magnification. You never have to give him control data, you just have to... |
| Boehm: | If we make the second part, $B$, a dependent claim. we can try it. |
| Bernstein: | Do you see what we're saying? |
| Boehm: | Yeah, absolutely. We don't... |
| Bernstein: | And we don't want somebody to get around this. |
| Boehm: | Absolutely. Great broadening work here. We've been through this claim, I thought we had it, everybody agreed to it! And that's where I'm going to run into a problem. I can't re-write this from scratch and get it on file today from working at half-speed here, you know what I mean? |
| Bernstein: <br> Boehm: | Yeah, we've got to change that, though. These claims are not final. |
| Bernstein: | No, I know, I know. |
| Boehm: | We can file the claims as-is without one word. What we need to do is correct and amplify the specification because we can never add to the specification and keep the same priority date. I can go change the wording of the claims as long as that wording and explanation and interpretation is in the spec. |
| Bernstein: | Okay. |


| Boehin: | So I agree with you. This should be a dependent claim for the bottom half of claim one. |
| :---: | :---: |
| Bernstein: | He's going to make that dependent because we don't have to do it but we do. |
| Boehtil: | Right. That's how you do optional. |
| Bernstein: | Yeah. I like that. And theri claim 1. |
| Boelim: | Now does that read on the Prior Art? You provide a digital image file, having an image... |
| Bernstein: | No, that's the invention right there. |
| Boehm: | Right. |
| Bernstein: | That if you ever looked at what I did back there, it was create a bigger picture for a small frame. |
| Armstrong: | Now if we circle back to where this started on page 4 , in the last sentence, that refers to "a digital image file, including control data," which is not correct. |
| Bernstein: | Where? |
| Armstrong: | The last sentence of page 4 . It's not "the enhanced digital image file" that provides that, it's an additional option file... |
| Bernstein: | Element. |
| Armstrong: | Right...that would provide that. |
| Boehm: | Okay. This is the sumary. I can throw the word "optional" in here. So the "enhanced digital image file A..." |
| Armstrong: | "...may be accompanied by..." |
| Boelin: | I think just "...may further include..." most of the time, right? |
| Armstrong: | Well, no, the file itself won't. It may be accompanied by additional files. |
| Bernstein: | It could be in the file. We don't know. One day you could write [ ] that has a header inside it that says, "Here's your information." all bundled into... |
| Armstrong: | But this is an exemplary embodiment, which means that today the enhanced digital image file may be accompanied by an additional file which... |
| Boehm: | How about "associated with"? |
| Armstrong: | That's fine, I think. Brian? "Associated with an additional file containing control data." |
| Utley: | I think you should have a very general statement which will always be true, and then you could say, "The enhanced digital image file is associated with control data which allows the user to control the magnification factor." |
| Armstrong: | And the control data... |
| Bernstein: | That absolutely always has to be there, Brian. |
| Utley: | Yeah, okay. |
| Armstrong: | Otherwise you don't have our invention, so that's the right answer. Did you hear that, Doug? "The enhanced digital image file is associated with control data," and that's the only change right there. Strike "further includes" and replace it with... |
| Boelini: | But I thought you said that once you've associated the first one, you never have to associate the rest of them. |


| Armstrong: | When we said the associated was something that was on the hard drive, so we don't necessarily send it, but it will continue to be associated. |
| :---: | :---: |
| Boehm: | Okay, okay. |
| Utley: | Yeah, it's always associated. The data always has to be there to prevent zooming and panming. |
| Bernstein: | Right, whether it's in the file, out of the file, with three files, nine files, however the hell you want. |
| Armstrong: | So it will finally read, "The enhanced digital image file is associated with control data." |
| Bernstein: | Hold it. "The enhanced digital image file is associated with control data to allow the user to..." |
| Armstrong: | So "is associated with" replaces the two words "further includes". |
| Bernstein: | Beautiful. |
| <Inaudible | ments.> |
| Boehm: | The rest of this is just comments? |
| Armstrong: | Just on this page, and actually we struck this...5, we struck that. You have to put digital later. |
| Boehm: | I'm still on page 4 , guys. With the comments on the bottom, can $I$ scratch them? |
| Armstrong: | No, we took care of that. |
| Boehm: | Yes, I think we've discussed this. |
| Bernstein: | No, that seamless zoom, I love that word because everybody else isn't a seamless zoom, we are. We seamlessly zoom around an image. Everybody else has to grab and give you another frame and stop you and you have to remove to a different image in the picture. we' re seamless. You can just go around and move and go, and it's in a virtual environment really. |
| Boehm: | Now is not the place. |
| Bernstein: | No, I know. I just want you to know. |
| Boehm: | We can if you want. |
| Bernstein: | No. |
| Boehm: | "Seamless..." I like that. Let's... |
| Utley: | Seamless may mean continuous motion and zoming is a step procedure. There's steps. |
| Bernstein: | That's true. |
| Armstrong: | It's not seamless. |
| utley: | The panning is seamless, but the zooming is not. |
| Bernstein: | Right. |
| Boehm: | But, in fact, what I'm trying to get at is Eliot is trying to claim and describe the invention in terms of what the user sees, which is great. As long as you can come up with good words and descriptions, we'll throw that in becanse we may have to mun there if our technical description, which is what I've been trying to do to define the boundaries, fall because some bozo did this before and didn't really make it very public because he didn't know what the hell he was doing. We may have to say, "Oh, yeah, but try putting it on his. It doesn't do what ours does even though we couldn't Eigure out technically distinguish it in our..." |



| Boehm: | Esactly. |
| :---: | :---: |
| Armstrong: | Yeah, I've seen that too. |
| Bernstein: | But that's just trying to give you what we give you in a pre-pack...ours encompasses that without having to need that. See, there's a difference that every engineer in graphics that's ever seen that has said "cool," not "oh, I can go over in Adobe and move around images." |
| Boehm: | That's why I wish I were an expert in this graphics area. I would have figured this out...the difference. |
| Bernstein: | Well, now that you say you're not, I need somebody to step in who can because I think that we should file with what we have here, but this area needs to be absolute, not less kind of vague. Because there is a critical difference. It is something that can be optically seen, so therefore it can be electrically defined. |
| Boehm: | And wer re trying to do that in the video side with Chris Taylor. |
| Bernstein: | Maybe we do that with him on this. You want to ask him? |
| Boehm: | I don't...he's going to have a kid this summer, and $I$ think he's not even going to be around much in August. |
| Bernstein: | Well, maybe he's got somebody. |
| Boehm: | Yeah, maybe he knows of somebody. |
| Bernstein: | Or go over to my old alumi at Madison, their graphics engineer. |
| Boehm: | This is a...in order to protect our butts, we have to do that by september 1, and that's a big thing to do. |
| Bernstein: | Why? I thought we could always go in and amend our claims on this stuff. |
| Boehm: | You can amend the claims as long as it's supported in the spec. Now if we have to get down to the nitty-gritty of the definition of the technical excuse to amend your claims to distinguish over what has been done before...in other words, if we have to limit our claim to the histogram between a range of $X$ frames per second and $Y$ frames per second, that is our invention. If you incurred less than-I don't know what the histogram shows...17.6 frames per second-that is not our invertion, and we may have to go there, to be that narrow to survive if somebody else has done it at 17. |
| Bernstein: | Wow, wait a minute! I hope that's not correct because at lower bandwidth you might have only 17 frames, but you have greater data. But let's get to video later, right? |
| Boehm: | But my point is that you want support in specification, including technical excuse language, because I made need that to put that in the claim to make your patent survive. |
| Bernstein: | Well, let's put something in here that defines this. |
| Boehm: | Yeah. |
| Bernstein: | Something that defines the... |
| Boehm: | But the point is that we can't hire a technical expert to get the... |
| Bernsteir: | Then let's get someone in then we'll get a techrical expert to define later. |
| Boehm: | If it's to be considered new subject matter... |
| Bernstein: | No, it's never a new subject matter because the first image that did this, did this. |
| Boehm: | No, no, no...new subject matter for the document on the day you file it. They don't care about what you did in your basement. The patent office doesn't care. They care about the words and figures that you put on this paper |

when you file it. That's all the patent office cares about. You can't go back...

| Bernstein: | That's not what you told chris the other day. Chris said, "what happens with the Mom-and-Pop inventor who later discovers the equation to what they did?" |
| :---: | :---: |
| Boehm: | Finding who was the first inventor, that's in an interference. The question isn't whether the patent's valid or not in the scope of your claims, the question then is was Mom and Pop doing it a year betore the other guy? But if Mom and Pop didn't describe their invention in the spec, they will never get to an interference or the interference will be blown away because it didn't meet the rule that you have to clearly and distinctly and accurately describe the invention. |
| Bernstein: | Well, we clearly describe it, but we might not know the technical underpinnings, and I've got to go recheck my notes, but I think that's exactly what Chris Wheeler asked you. |
| Boehm: | Absolutely, and I agree with you. We don't need to know the reason why. |
| Bernstein: | But later we can put it in? |
| Boehm: | If it does not add new subject matter. |
| Bernstein: | But this wouldn't be new subject matter, this would just be an explanation of why. |
| Boehm: | Yeah, but if we're up in the fog right now and we are using words that are so broad...let's say our claim said, "Our $z 0 \mathrm{~m}$ and pan works really neat." That's our claim. There's no way we're going to be able to go back and say it really means having a frame rate between 30 and... |
| Bernstein: | Then you know what? Put in the word "seamlessly" because I' ll be able to argue that until the cows come home that there's a difference between what we do and what they do, and somebody will argue out what seamless meant. |
| Boehm: | You won't get the chance to argue. If we put the word "seamlessly" in the claim and it's not supported in the spec, the court will determine by itself without ever talking to you what it thinks "seamlessly" is. |
| Armstrong: | Do we have to then, in order to cover this particular issue, do we have to get into a description of Prior Art and the standard by which zooming and panning is occurring in Prior Art, and then distinguish as clearly as possible in words, how ours is differentiated from it? |
| Boehn: | That's the ideal way to do it, Jim. That's why I'm saying, and if all of us knew that technical underpinnings, this would be a much more [ ] written document... |
| Armstrong: | Is it necessary, Doug, to describe it in terms of technical underpinnings, or can we describe it in terms of a user's observation? |
| Boehm: | You're halfway there. "User's observations" would probably give us sufficient. |
| Utley: | "...allows you to seamlessly pan..." and all the <inaudible comment> |
| Boehm: | The claim will be interpreted by the spec. |
| Bernstein: | That's true. <Responding to Utley above.> |
| Wtley: | Now the only differentiation is the zoom without pixelating. |
| Bernstein: | Okay. I'll agree with that. |
| Boehm: | And then you saw that I went to umpteen degrees to define what the hell pixelation was because that's a word in my claim. Do you see that? |
| Bernstein: | Yeah, that's fine, and I'm going to concede on that because Brian just made a good point. |


| Boehm: | Because [ ] will know the reason why in terms of [ ], but you do have to know enough about what you're doing in order to convey to the average person skilled in the art so he can make and use it and he understands just what the hell it is. |
| :---: | :---: |
| Bernstein: | You see, Brian, that's my question now. That comes back to what's different between our zoom without pixelating versus theirs, but we've already described it in the way we built the frame. |
| Utley: | Right. |
| Bernstein: | That's the difference. |
| Armet rong: | Okay, let's stick with that. |
| Utley: | No, we bounded how you prevent pixelation. |
| Bernstein: | Okay, then that's the key. |
| Utley: | We totally bounded it. |
| Boehm: | Okay, I'm lost now. |
| Bernstein: | We're fine. |
| Boehm: | ..."Seamlessly..." |
| Bernstein: | Forget "seamlessly." |
| Boehm: | Okay. |
| Armstrong: | Page 6...we're off of 6 . |
| Bernstein: | No, on page 5, I only had one more question. Figure 2, just print film is what it's showing...it can be digital, and we talk about that later, correct? |
| Utley: | We separate it now. |
| Bernstein: | That's where I'm confused. |
| Armst rong: | Page 6, "24 to 32-mu lenses.." |
| Bernstein: | Why? we can use any lens. |
| Boehm: | It's a "such as"...it's an example. |
| Armstrong: | Okay. |
| Bernstein: | As long as it includes every lens. |
| Boehm: | Oh, sure. |
| Bernstein: | Okay. |
| Boehm: | And it says, "may include," but then if we ever needed...you see the reason we get so specific on this, Eliot, is because if somebody else just happens to be doing it out there in the world with a 2 mm lens and it doesn't haven anything to do...it doesn't come out looking like yours at all, but it just so happens our claim reads on what the hell he was doing, we can come back and say, "Oh, no, that's not really what we were doing. We really meant this; and if this is important enough, we'll put the words ' 24 to 32 mm' as a dependent claim." |
| Bernstein: | But it's any lens, isn'tit? |
| Boehm: | Yeah. My point is if this 24-32 means anything... |
| Bernstein: | But we can still say any? we are saying "any," but we've defined something. |
| Boehm: | If that was your preferred embodiment, that's the other reason. |


| Bernstein: | Okay, that's fine. |
| :---: | :---: |
| Boehm: | It's not limiting. |
| Armstrong: | As long as it's not limiting. |
| Bernstein: | And then "the image of a scene..." |
| Armstrong: | On 10. |
| Bernstein: | Just strike it...."of a scene." |
| Boehm: | Okay. |
| Bernstein: | "...has utilized an image which is being photographed." |
| Boehm: | Okay. |
| Bernstein: | And then you see "The image may be a print film image, analog image, digital image, negative, TV signals..." Can that be, Brian? |
| Utley: | No, |
| Bernstein: | No? "The camera captures shoot.." |
| Utley: | Well, yes, you can use TV signals to create an image, but you can't enlarge TV signals. |
| Bernstein: | Okay. |
| Utley: | But you can use TV signals to get an image. |
| Bernstein: | Okay. |
| Boehm: | Isn't an image just broader than that? It's what the eye perceives; and once it's digitized, then it's a signal... or it's analogized, once it's captured in some format. So an image isn't really captured. It's a captured image when it's analog or digital or negative or film or something, right? |
| Bernstein: | Um, hum. |
| Boehm: | So a TV signal is already captured. |
| Bernstein: | Gotcha. Okay. |
| Boehm: | So what I'm saying here is the image...that the camera is ntilized to do the capture. |
| Bernstein: | I've got you. I'm set with that point, actually. Okay? |
| Boehm: | And again, this isn't really...as long as you're best-moded in there, we're fine. And we shouldn't use the wishy-washy language "may be." That's not restrictive. |
| Armstrong: | In line 20 , we inserted the word "may" only because it also may not include a developing device. |
| Boehm: | That's fine. The next sentence says that, though. |
| Armstrong: | Oh, it daes? |
| Bernstein: | Jim, I noticed that after the "may" came in. And we definitely talked abont a digital file, although... |
| Boehm: | Then should we leave the "may" ont? Because it will include some...you've got to develop print. I think he was right. |
| Bernstein: | Well, what if it did it all on one system? |
| Utley: | Well, it doesn't matter. It's still developed. Like a Polaroid. |


| Bernstein: | That's what I was thinking was polaroid. |
| :---: | :---: |
| Utley: | That's what I thought, but it does have a developing device. |
| Bernstein: | Yeah, actual images developing device. |
| Utley: | Well, it's self contained. |
| Bernstein: | Right, but it's still... |
| Utley: | Part of the film. |
| Bernstein: | And it's still developing it. |
| Boehm: | I think you better leave the word "may" out. |
| Bernstein: | Yeah, that's what we're talking about. Now my bottom comment is wrong here, but it definitely comes in when we describe a digital image because I'm completely confused by some of the logic there. |
| Boehm: | Where? At the bottom of the page? |
| Bernstein: | Yeah. On a digital image, when we size it, we say we don't make a bigger target frame than we have sourcing for. |
| Utley: | Because as soon as you do, you introduce pixelation. |
| Boehm: | You don't want to enlarge anything unless it's not been digitized yet. |
| <Everyone talking at once.> |  |
| Armstrong: | It's part of the shooting. |
| Utley: | A digital image is a digital image. It has pixels, and it has a height and a width. |
| Bernstein: | And it's just placing them all in the frame. |
| Utley: | You see, you place it into... |
| Bernstein: | We've gone over all the developing and scanning and art Erame, Brian. Right, by picking "I want my limiting size to be four football fields. |
| Boehm: | Exactly! Now you've got the...[ ] source image. <Everyone talking at once.> But you don't...usually you don't enlarge that because if you do... |
| Bernstein: | You start pixelating... |
| Boehm: | ...pixelating, and that's. |
| Utley: | Because you're enlarging pixels. |
| Armetrong: | We just create it large, we don't create it small and enlarge it. |
| Bernstein: | But we don't create it to fit the frame, we create it to blow away the frame. |
| Boehm: | Am I understanding correct, though, that you never enlarge a digital image before you process it? That's not a step for a digital image. You only enlarge a print-film image, correct? |
| Utley: | Right. |
| Bernstein: | But what you do do is set... |
| Armstrong: | Set your...the image that you're taking, your target image, add a size so that when it is taken, it is already at a size that exceeds the view window. |
| Boehm: | I see what you're saying, but I don't call that enlarging, I guess. |
| Bernstein: | Okay. |


| Boehm： | Good，good． |
| :---: | :---: |
| Armstrong： | okay． |
| Bernstein： | But let＇s make this clear because I don＇t understand it still within the verbiage that＇s here． |
| Boehm： | Okay，what line？ |
| Bernstein： | Well，we＇ll get to it．That＇s why I said it＇s there because I started to get confused，and then later we＇ll get into it．．．into the mathematics of it． Just wanted you to be aware of that． |
| Ttley： | Okay，page 7. |
| Armstrong： | Page 7．．． |
| Boehm： | I＇m sorry，I want to back up．Did he get into the enlarger？The only enlarging is on page 6，line 24．＂System can also include［ ］ 16 for enlarging the image which is developed by developing ．．．＂ |
| Bernstein： | Yeah，that＇s fine． |
| Boehm： | 99．9⿳⺈⿴囗口一寸 of the time，this is going to be a photographic enlarging device． |
| Anmstrong： | Right． |
| Boehm： | Right？ |
| Bernstein： | Yeah． |
| Boehm： | You may have to use that word someday．I＇ve got to have it in here． |
| Bernstein： | Okay，yeah，because we wouldn＇t call it a＂pixel－enlarging device．＂ |
| Boehm： | Exactly．＂．．．the image may be photographically enlarged from a print film image，＂ okay？ |
| utley： | We said earlier＂non－digital image source，＂in this section，did we not？ |
| Boehm： | Yeah．I just want to get the word＂photographically＂in there． |
| Armetrong： | Okay． |
| Bernstein： | Yeah，and then．．． |
| Boehm： | ＂．．．be photographically enlarged．．．＂ |
| Bernstein： | Well，it doesn＇t have to be photograph．Is it negative of a photograph．．．？ |
| Boehm： | Okay，what＇s the word then？I think it is． |
| Utley： | Yes，it is． |
| Bernstein： | Yeah，you would say enlarging a negative is a photographic enlargement． |
| Utley： | It＇s your choice whether you enlarge it as a positive or a negative． |
| Boehm： | What we＇re really talking is analog enlargement as opposed to digital． |
| Utley： | Right． |
| Bernstein： | Correct． |
| Utley： | Optical and analog． |
| Boehm： | Optical analog，yeah．＂Optically enlarged＂？No．Yeah．well，photographically．． |
| Utley： | I wouldr＇t be restrictive．．．we＇ll，you don＇t have to be restrictive in that． |
| Boehm： | Right．And photographically is generic． |
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| Bernsteir: | Cool. |
| :---: | :---: |
| Armstrong: | Page 7, line 19. The question here was just to clarify really more for Brian than anybody is are we able to take our digital image and, and $I$ think we talked about this earlier, but send it right to a set-top box or something else. |
| Utley: | Absolutely. |
| Armstrong: | We don't say set-top box, and I think we said earlier set-top box presupposes that it includes a computer element within a set-top box. |
| Wtley: | But Doug, we could externalize that. Fe could make it explicit that there'd be personal computer, laptop computer, so and so, and set-top box...we could include set-top box in that string of definitions. |
| Boehin: | Right, and technically, this is a little bit goofy. The way we're supposed...and maybe he did it, I don't know, but the first time the number 22 appears in the spec, should be the definition. And the numbers, if you noticed, are in order. The number 10 is the first reference number; 12, 14...that's how you find the reference numbers in a well-drafted patent application. |
| Bernstein: | Well, that confused the shit out of me. Where the 10 came from, why it was there... |
| Boehm: | Well, you start at lo...look at page....and this isn't a big deal for you guys, but look at page 5. You want to go straight lo. System 10, boink! system 10 includes camera 12 . We go on $u p 12,14,16$, and on up. |
| Bernstein: | Yeah, I followed that. |
| Boehtin: | So you never need to know where those reference numbers were defined. That's why you define them up front, and that's where he missed it because 22 hasn't been defined yet but he's using it-computer 22 . |
| Armstrong: | I first shows up in line 17? |
| Boelhili: | Exactly. So let's genericize that and define it later. <Reading out loud.> "Alternately, a digital image may be provided from camera 12...may be provided directly..." |
| Armstrong: | ..."to the user." In line 17. |
| Boehm: | Wm, hm. |
| Armstrong: | "...to the user," and then the first reference is in line 21 , where we define computer 22. That's fine. |
| Utley: | That's good. |
| Armstrong: | And then add to that "set-top box." |
| Boehtil | Yeah, down below. |
| Bernstein: | Or TV. |
| Boehtit | Or whatever. We're getting there. Hang on. So it's "...to the user via a communication link..." I'm getting rid of "or cable" because again he hasn't defined 23 yet. 23 will be defined later because he hasn't even introduced 22 yet. That's what happens wher you edit a patent application. |
| Utley: | Doug, how would you take care of the situation where the set-top box may be integrated into the display device? |
| Armstrong: | I think that might be covered in the next thing, where we say, "Computer 22 includes the CPU, a ROM, a RAM, and a display device... |
| Boehtim: | Exactly. |


| Armstrong: | ..."or input device. It also may include any hardware device, peripheral device, or software necessary to perform the Eunctions described herein." |
| :---: | :---: |
| Utley: | Right. |
| Boehm: | Yep. I guess we're there. |
| Armstrong: | That does get us through that. |
| Boehm: | That's the function of computer 22. Processes the digital image file, correct? |
| Bernstein: | Yeah. |
| Boehm: | We're talking about figure 1 still, right? You've got the figures pulled out to the side like I do, right? Sure. |
| Bernstein: | Sure. |
| Boehm: | Yeah, you're supposed to because when you're reading this, you're supposed to have the... |
| Bernstein: | Mine are so bent up, it's not that hard to get to. |
| Boehm: | Wsually the paces are so out of order, you can't find the figures anymore. So "System lo..." see the number $10 ?$ |
| Bernstein: | Yeah. |
| Boehm: | "...includes computer 22 "-that's his box $22-$ "...configured to process computer image file created by the above-mentioned devices." That's the definite of 22. Now we...so it's a processing device, right? |
| Ut1ey: | Right. |
| Boehm: | It processes...right, okay. "Computer 22 may be a personal computer, a laptop computer, a mini-computer, a microprocessor, mainframe computer..." He's going bonkers here..."a network computer..." |
| Bernstein: | That's good. A set-top box? |
| Boehm: | Yeah, we can throw "a set-top box" in there. |
| Bernstein: | You want these words. |
| Boehm: | A toaster in there if you want! |
| Bernstein: | Yeah, a toaster with a display. |
| Boehm: | Right, and a processor. |
| Armstrong: | The following sentence kind of wraps it all up or anything Doug has. |
| Boehm: | Okay, so where am I putting set-top box? |
| Bernstein: | Under... |
| Armstrong: | After one of those things...after... |
| Boehm: | But we haven't shown NTD yet. |
| Bernstein: | Yeah, but set-top box should be TV, too. Well, a set-top box plays through a TV...well, no, it doesn't have to. |
| Armstrong: | Let's put set-top box after server computer... |
| Bernstein: | And TV. . or TV. |
| Utley: | You said set-top box goes between... |
| Armstrong: | The TV. |


| Bernstein: | Or if it does what you said and the TV comes.. |
| :---: | :---: |
| Utley: | But we have to take care of the case where it's built into the.. |
| Bernstein: | Right. TV. |
| Utley: | But then you depend on the CPU, the read-only memory, the RAM. |
| Armstrong: | Does all that need to be in the TV? |
| Utley: | Yeah. |
| Armst rong: | okay. |
| Boehm: | My question is if you define computer, 22, as the thing...oh, my gosh, 22 isn't the user's...is this... |
| Bernstein: | No, isn't that our computer? |
| Boehm: | This is our computer. 26 is the user's computer and display and set-top boz...I mean, 28 and 30, that's what I was thinking about. Where it says later in the next page, and say, if you look at the figure 1,28 and 30 , could be combined to be a set-top bok or a TV or you name it. |
| Utley: | Yeah, user computer. |
| Boehm: | Or a toaster with a display. |
| Utley: | Right. |
| Boehm: | The point is, what is computer 22? That's the one that puts it on the Internet. That's your server. |
| Utley: | Right. |
| Boehm: | So you don't put the set-tops... |
| Utley: | That's the one that processes the source image. It creates the file. |
| Boehm: | It's the digital image file. |
| Utley: | And it may be the server. |
| Boehm: | It may be the server, but no matter what, it would be a computer. To put it on a hard drive or to put it on a CD ROM, right? |
| Utley: | Right. |
| Boehm: | That's what we're getting at here. It's the processor. It's the encoder, isn't it? |
| Utley: | Yes. |
| Bernstein: | Yes. |
| Boehm: | So could it be a personal computer? Sure. It could be any of these he's got. |
| Bernstein: | Yep. |
| Boehm: | So, he's correct so far without changing. |
| Bernstein: | Right. |
| Boehm: | "Computer 22 includes a CPU, a ROM, a RAM, a display device, input device..." I would...he's defining it there. I would say, "...typically includes." |
| Bernstein: | Yeah. |
| Boehm: | Because it may be missing one of those. |
| Bernstein: | Yeah, absolutely. |


| Boehm: | "...typically includes..." blah, blah, blah. Good. "... computer. It may also include any other hardware device..." |
| :---: | :---: |
| Bernstein: | That covers it all. |
| Utley: | I've got to go back to page 7, because we made a change I don't think we should have. |
| Boehm: | I'm still on 7. |
| Utley: | Okay. Line 17. "Computer" is correct-we shouldn't put "user" there. |
| Boehm: | You're absolutely right, but I can't call it computer 22 yet. |
| Utley: | You're defining the processing unit. |
| Boehm: | But the whole point of the paragraph is to say print film versus digital, and this print film is going to be enlarged and scanned. Alternatively, digital file is provided directly without first creating a print image. It doesn't matter where it's housed. |
| Utley: | Right, just say that. |
| Bernstein: | Let me ask you this. Did you ever create a case where the analog camera takes the pictures according to the right specs and puts them on the film that way, or would you have to use larger film Eormat? |
| Utley: | No, you can't. |
| Bernstein: | We can't go that way? |
| Utley: | Well, but we do that with $4 \times 5 s$ and fill. |
| Bernstein: | But it's still 4x5? |
| Utley: | Right. |
| Bernstein: | When you've shot the picture, it's $4 x 5$. You can't tell the camera to shoot this 10 times bigger? |
| Utley: | No, but what you do is you scan it at different density. |
| Bernstein: | And that gets it the bigger... |
| Wtley: | That creates the. |
| Bernstein: | That's where I'm probably getting confused on this digital image thing. Yeah. |
| Armstrong: | What if you just moved....what if you left line 16 and 17 alone and just moved the whole paragraph, beginning with line 21 , in front of that, where you defined computer 22 before it's used in that sentence in line $17 ?$ |
| Boehm: | Because it doesn't really flow there. What you're trying to do in that paragraph, he's says, "If the images obtained are digital...." he's describing the printing device 20 there, and that has to be described... 20 has to go before 22 . That's where he's introducing what 20 is. |
| Armstrong: | Yeah, although with line 15 , the sentence starting with "alternatively," that actually does not talk about printing. |
| Boehm: | Let me read it again. I think you're right. "...if the image is obtained with a digital camera, a print image may first be obtained..." |
| Armstrong: | What he's saying, you took a digital picture and you want to print it and then enlarge it. |
| Boehm: | Yeah, he sends it to a printer, 20. "In this manner, print image can then be enlarged and scanned." |



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Boehm: How about grammatically, Brian?
utley: Pardon?
Boehm: But I'm partially kidding on that, but when you use the phrase "target image
        size," go to...well, you don't have...
Utley: Let's come domn...
Armstrong: Let's come down. Let's say if that's false.
Boehm: My question is the language. You say on line 23, 24..."a target image size TIS has
    a TIW and a TIH." Does that make sense to call the window a TIS or a VWS
    for size? It's the same concept-width times height equals something. You
    want to call it area? That's even clearer.
Utley: Yeah, size and area are interchangeable.
Boehm: Well, maybe we should say that the target image area-TIA. "Having a target image
    width times the height..." That's beautiful.
Utley: They're interchangeable, so it'll work either way.
Boehm: Okay.
Armstrong: Let's just continue.
Utley: Let's say we're defining size as area, size is total number of pixels, which is
    area.
Boehm: My only question would be can I make the...at the top of page l2 where you said,
                "...the viewing image height, gauge, and viewing image width (VIw) within
        the viewing window area..." VW'A?
Bernstein: Yes, that would be very clear.
Boehm: Yeah. You're also consistent with the target image area, but am I doing the wrong
        thing here, Brian, because you used VW earlier. Are you using it
        consistently?
Utley: Yeah.
Armstrong: Yeah.
Utley: Yeah, it's consistent.
Armstrong: See, just continuing with my thought pattern in the [us?] statement, I would just
        reverse the order of these and put VIW.
Utley: It's right there.
Armetrong: Okay, okay.
Utley: So if you pick it up off the computer copies that I sent, it's correct.
Boehm: Oh, okay. Is that what he did? Just plugged it in?
Utley: Yeah, this is what I sent him last Thursday.
Boehm: I see.
Utley: I had written it. This is where it was transcribed.
Boehm: Okay, well clue me in where this started, Brian.
Utley: So you go down to...
Boehm: Is this page ll on vour sheet, or not?
Utley: Page 1 on the aging process.
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Boehm: We're at page ll of the text. The formula starts on figure 7.
Bernstein: Yeah, you've got to go back to 11 and start him at figure 7.
Boehm: Yeah, start me off here so I don't blow this.
Bernstein: Right, he wants to get every term.
Utley: Oh, okay. On page 11, we define the aspect ratio.
Boehtil: Right, which is on page 1 of your new...
Utley: Right, got it.
Boehm: Okay, that's what I wasn't sure. Brian, you still want me to use his text because
    it looks like...
Utley: Yeah, his text is fine.
Boehm: Yeah, it looks like he added words to your...
Utley: Yeah, no, he expanded...
Boelill: He expanded to make it readable.
Utley: Right.
Boehm: I see what you're saying. But the formulas, let's go through each one of the
    formulas and make sure they're correct, right?
Armstrong: That's what I'd like to do now before I run out of time. Brian, down in...You're
        on 12?
Utley: Yeah, right.
Armstrong: On 12 when we, after line 25, when we start talk about these equations, the
        statement here I don't see as being expressed right. Now' I could be wrong,
        but the TIS = the TIW times the TIH, agreed; but that also equals VIS
        times the magnification factor.
Utley: That's correct.
Armstrong: when I re-do this formula, I understand that the magnification factor is VIS/TIS.
Wtley: No. Not true. The magnification factor is TIS/VIS.
Armstrong: Actually I had it the other way-VIS/TIS.
Utley: TIS/VIS.
Armstrong: It's the ratio of the viewing image to the target image?
Utley: Right.
Armstrong: So it would be VIS/TIS.
Utley: What's the ratio?
Armstrong: It's got to be one over the other, so we express it right.
Utley: It's the TIS divided by the VIS.
Armstrong: Okay, let's go to line 19. Then this is stated wrong: "The magnification factor is
        defined as the ratio of the viewing image to the target image." So that
        needs to be reversed.
Boehm: Right, but that's word for word what you had on top of page...
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| Armstrong: | So it's defined as the ratio of the target image to the viewing image. okay, so those need to be reversed, in which case we've got TIS/VIS = MF. If I'm solving for $T S, I^{\prime} v e$ got $T S=V I S$ * ME. Now you're right. So down below is fine. |
| :---: | :---: |
| Boehm: | Yeah, because the target is going to generally greater than the viewing image window. |
| Bernstein: | Yeah, I just couldn't reconcile the Eormulas because of that transposition, that's all. |
| Boehm: | Brian, what are You rationing? The size? The area? |
| Utley: | You're rationing the area. |
| Boehm: | The area. |
| Armstrong: | And I like that clarification because it's. |
| Boehm: | Didn't we way it's the ratio of the areas, or of...you mean the ratio of the viewing image area to the target image area? |
| Utley: | Yeah, because when you magnify, you magnify an area. Magnification [is an aerial?] a function. |
| Boehm: | Excellent. |
| Anmstrong: | So height time width is area, which would be good every time we referred to target image or viewing image, we called it viewing image area or target image area. |
| Utley: | Yeah. The only reason why I picked size instead of area is because we talk about aspect ratio, and you begin to use the $A .$. .the $A$ shows up in a muber of different forms, and therefore I didn't want to confuse you with A in one being $A$, aspect ration, being the same as $A$ in area. That's the reason why I did that. |
| Armstrong: | Okay. |
| Utley: | But we understand that area and size are symonymous. |
| Boehm: | Let's just get rid of the $A$ in aspects and make it sIR. That solves your problem, and then everything else is also... |
| Armst rong : | That's right. |
| Boehm: | ...three digits, right? |
| Utley: | That's okay because that would define aspect ratio as R. |
| Utley: | Yeah, that's fine. |
| Armstrong: | And it goes on line 4 as well. |
| Utley: <br> Armstrong: | Yeah, all the way through. <br> Again, Doug, just in the interest of time, I think what I'd like to do is apply the semantic changes to the text after we've made sure that some of my math questions...that Brian and $I$ are on the same page before $I$ have to leave. |
| Boehm: | And I'm not even sure we'll have to do that because I have to go through it when I do the edits here, and I will do the same thing that you are doing and make sure it makes sense. |
| Armstrong: | Okay. On the top of page 13, then, what $I$ was able to determine from this and confirm with Brian is that the source image aspect ratio is equal to the target image aspect ratio. The question is was this intended because, and we started to have this conversation, is that we may not have...we may crop photographs, we may want to stretch a photograph... |


| Utley: | Let me explain how that works. First of all, in the image sizing progran, you have the ability to change the size of the source image from a standard image. In other words, if you are cropping, you can specify the crop, and it will give you the right dimensions and it will fit it into the viewing window. So a cropped image... |
| :---: | :---: |
| Armet rong: | But it lost data. When you crop, don't you cut away a piece of data? |
| Utley: | It's before you get data. It's while it's still an image. |
| Armstrong: | So it just smushes it in right? |
| Utley: | Yeah. It puts it into the viewing window properly. So you can specify a cropped image source without any problem. But the case that isn't covered yet...there are two cases that aren't covered yet. One is where you digitally crop an image, akay, which you can do. You can get there, but you have to know how to get there. The second is, we have not covered the case of the panorama or stitch images. |
| Bernstein: | But it's all one image in the end. |
| Utley: | I know, but we want to cover the case of the dimensions because it assumes that you always fit the image into the viewing window, but in the case of stitched images, you don't. |
| Bernstein: | Why? |
| Utley: | You let the... |
| Armstrong: | The image flows beyond it. |
| Utley: | The image flows beyond the edges of the viewing window. |
| Armst rong: | It does? |
| Utley: | Yeah. |
| Armstrong: | When you first look at a Hyatt Hotel pool shot, you don't see the whole shot. You see one shot, and then you pan around it. So you're not fitting the whole image into the viewing windowi. |
| Utley: | Right. |
| Bernstein: | We never were. Prior Art does that. |
| Boehm: | On a photo you are. |
| Armst rong: | And a Prior Art also gave you the ability to do that. |
| Utley: | Yeah. |
| Bernstein: | But on a MAC standpoint, he's not creating that formula for fitting a panoramic image... |
| Armst rong: | Into a viewing window because you don't do that. |
| Bernstein: | Gotcha. |
| Boehm: | What do you do in tems of actually doing the math, Brian? |
| Utley: | When I get that built into the image sizing program, what it will do is it will size the panorama into the viewing window vertically, and then it will allow you to pan horizontally across the image. |
| Boehm: | Or vice-versa. |
| Utley: | Theoretically, yes, although we don't have any examples of that. |
| Boehm: | What happens if you wanted to...well, panning bigger, if you want it bigger... |


| Bernstein: | You could do what you're saying and pan vertically and horizontally, we just don't do it. |
| :---: | :---: |
| Utley: | But if you did that, then what I'm saying is you size the image into the viewing window so always see one dimension completely until you start zooming. |
| Boehm: | My suggestion is that we don't...of course, we're not going to worry about that today, we don't have the time to do that. When we get to the end of this, then we'll say, of course, when you stitch images together to do pick your pan, you would first do the small size, and then whatever. |
| Utley: | Right. |
| Boehm: | We'll just handle it because the point of this math is to give us a basis for putting technical definitions into the claims if we run into the problem that we need it during prosecution. |
| Utley: | Not only that, but it's also very helpful when we' re talking to... when we're doing due diligence and we're talking to people about how does it work. This is how we sit down with them and say here's how it works. This is not something that's off the seat of the pants. This is something that follows a disciplined structure. |
| Boehm: | Absolutely, and that buys you credibility. |
| Utley: | Right, and then when we license someone, this is part of the documentation. |
| Boehm: | The biggest thing is is it the best mode? Remember, we have to disclose the best mode of making and using your invention. |
| Utley: | Yeah, and this is the best mode. |
| Bernstein: | This better than blowing up images. |
| Armstrong: | Let me just further throw into this source image aspect ratio equaling the target image aspect ratio for a second. I've got just an example written on the side with those people in a box. If we start with the small image here, which is a 6x8, and we blow it up to an $8 \times 10$...that doesn't matter, we end up with a source image that's $4 \times 5$, and then we just make the target image 4*5, right? |
| Utley: | Right. |
| Armstrong: | So it's not that one is...because the little one is not the source image, it's the bigger one that's the source image after we've done the cropping. |
| Bernstein: | No, that's the target image. |
| Armstrong: | No, that's the source image that goes into the system. Then we create the target image. |
| Bernstein: | No. |
| Armstrong: | Yeah, this is before it goes in. |
| Utley: | If the source image is, say, at $8 \times 10$, what the formula does is tell you how to scan it so that you arrive at the right target image. So if you go down further, it says, "And, by the way, if you follow the program, the program says the right scanned density for this is this many pisels per inch," and that will produce you a digital image which has a magnification factor... |
| Armstrong: | That's the next step. I think I know the answer to this, but in this example, which of these two things is considered the source image? |
| Utley: | The source image is the $8 \times 6$. |
| Armstrong: | The target is the $8 \times 10$ ? |
| Boehm: | We have to define that, guys. |


| Armstrong: | okay, hold on because me've got...let me just follow this math. I just want to understand why $I^{\prime} m$ wrong here. In the source image then, we have an aspect ratio of $3: 4$ on an $8 \times 6$. |
| :---: | :---: |
| Utley: | Because it's a portrait. |
| Armetrong: | It's a landscape. |
| Utley: | Okay. |
| Armstrong: | This is a picture of my kids on the beach, but I want to frame it in a portrait Erame, so I'm going to go in and I'm going to crop the edges and turn it into an $8 \times 10$ and blow it up. |
| Utley: | Where do you crop it? |
| Armetrong: | I'm going to crop it on the scanning program, let's say. I've got a print image, and I'm going to throw it on the scanner. I'm going to throw this on the scanner. I'm going to crop the edges of, blow it up to an $8 \times 10$. |
| Utley: | You don't do that on the scanner. You don't blow it up on the scanner. |
| Armst rong: | Okay, well let's say then $I$ blow it up on a...let's say I blow it up before I scan it. |
| utley: | Right. |
| Armstrong: | And then I crop it. I end up with an $8 \times 10$, which is an aspect ratio of $4: 5$. |
| Utley: | Right. It's not portrait. |
| Armst rong: | It's not portrait? |
| Utley: | Right. $5 \times 4$. |
| Armstrong: | Exactly, right, 5x4. I did that backwards. So now I've got... |
| Utley: | An aspect ratio of 1.25. |
| Armstrong: | Right, I've got a three-quarter aspect ratio for what you're calling my source image. |
| Utley: | No, you've got...I don't know anything about that. All I know is that is this. |
| Armstrong: | This is the source image. That's why I asked you which one was the source. This ends up being the source. <Everyone talking at once.> ...the original picture... |
| Utley: | I don't know anything about that. |
| Bernstein: | Why? |
| Utley: | Because what you put in the scanner, the system considers to be the source image. |
| Armstrong: | Although there is a step here... |
| Bernstein: | Well, this is what I was putting in the scanner. |
| Armstrong: | There is a potential step here is not a part then... |
| Armet rong: | Because then the enlarged image is the source image. |
| Utley: | Right. |
| Armstrong: | Becanse there's a step before digitizing that says we can take an image of any size, create the ultimate source image from that in any dimension yourd like via cropping and enlarging, and then we will end up with what we are calling in these formulas a source image. But we don't talk about this. I don't know if it's important, but it's a step that confused me. |


| Armstrong: | It's not important to this formula; but what I'm wondering if it's important to our process. |
| :---: | :---: |
| Bernstein: | Well, certainly it's part of the process. |
| Utley: | Well, no, if you go back through and you understand this, what your controllables are, what your scan density is, what your aspect ratio is, how you fit it into the viewing window, what your target size is, and if you know all of that, you can determine the trade-off between your ultimate source, whatever that be, I know nothing about that, and what you put in your system. |
| Bernstein: | I recognize that. I'm one step before that whole process, and now we' re taking ourselves outside of the math, and then we can table this and you guys can talk about it later. You just told me that this lower image is the source image. |
| Utley: | Right. |
| Bernstein: | Yet it's not what the client gave me. The client gave me this picture. Greg Manning gave me a baseball card with a whole bunch of header information and said take the header out, give me just the picture of [Newell] Lowell or whatever his name was...just the picture. So, I don't know if we want to include anywhere, if it's important, the step that is our editing of an original image before it becomes what we are calling a source image. That's all. And let's leave it there for right now. |
| Boehtim: | That's the same problem I was confused with, and when I look at Erian's figure to say that the target image is surrounding the viewing image, I get confused as to what he means by the target image. |
| Utley: | Well, the target image is the file |
| Boehtio | What's the word "target" mean? |
| Utley: | Because what you are doing is you create a virtual image into which you zoom and pan. It is a virtual image. |
| Boelitu: | Oh, so you're targeting to the virtual image? |
| Utley: | Yeah. |
| Bernstein: | Or you're targeting that big [ ] . |
| Armstrong: | What you're talk about is semantics, and I had the same conceptual problem on my first read, understanding the difference between target, source, and viewing. |
| Boehtio | And viewing, yeah. |
| Armstrong: | And that's something you guys can talk about, and that's just really a global change in semantics if you decide to do that. But I'd like to move onto the next question I have that's formula driven, and it's the scan density. |
| Wtlev: | Right. It's not in here, it's on the image sizing program. |
| Armstrong: | This? |
| Wtley: | No, the other one that I have where you put in your parameters, and it automatically computes. |
| Boehtio: | You can use an Exel spreadsheet with macros to do that with code. We have not written an application on that yet. |
| Bernstein: | Well, that should be here. |
| Armstrong: | Yeah, because we reference it. We reference... |
| Boehtin: | You reference the program? |


| Bernstein: | We reference the math. |
| :---: | :---: |
| Armstrong: | In line 11, we're talking about how we determine a minimum scan density, and we actually have a formula here, which I don't understand. |
| Utley: | The minimum scan density says that you will scan at that DPI or... |
| Armstrong: | That's height, or is it area? |
| Utley: | No, it's scan density. |
| Armstrong: | Okay, let's look at this formula then. |
| Boehm: | Where are you? what page? |
| Armst rong: | I'm on page 13 , line 13. |
| utley: | It's the ratio of the source image height to the target image height. |
| Armst rong: | Just height? There's no width... |
| utley: | No. |
| Armstrong: | ...in there at all? |
| Utley: | No, because you've got a fixed aspect ratio. The two aspect ratios are the same, therefore it doesn't matter. And if you try to use area, it's a square function, so it doesn't give you the right answer. |
| Armst rong: | And does this end up giving us the dots-per-inch result? |
| Utley: | Yeah. |
| Armst rong: | So if I've got a height, then you're saying we're expressing the height in terms of pixels? |
| Utley: | It can be either in inches or in pixels. Typically it's in inches because when you want a...your scan density is when you're scanning, and you're scanning is in... |
| Armst rong: | Okay, then let's use an example I put here. If we have a source image of an $8 \times 10$, and our target image is going to be...let's fix this and call it an 80x100 so we keep the same aspect ratio... |
| Utley: | Right. |
| Armstrong: | We then end up with an MSD of the height... |
| Utley: | Your target's going to be in pixels. |
| Armstrong: | Okay, well this is what I want to clarify, then, because that doesn't say it. So the target... |
| Utley: | The target is always defined in pixels. It says area in there, but it's always pixels. |
| Armstrong: | Okay. But we don't always use the TIH in a formula in a pixel form. |
| Utley: | You always use it in pisel form. |
| Armstrong: | We do? |
| Utley: | Yeah. That's the only way it's ever expressed. |
| Armstrong: | Even up in these formulas and everything? |
| Utley: | Yeah. |
| Boehm: | Does it have to be in pixel? Can it be units? |


| Utley: | No, it has to be pixels. |
| :---: | :---: |
| Armstrong: | And the viewing image width is always in pixels? |
| Utley: | Yep. |
| Boehm: | Viewing target but not source? |
| Utley: | Well, the source is whatever mediurn the source is in. If it's a $4 \times 5$ piece of film or an $8 \times 10$ enlargement, or whatever. |
| Armst rong: | Well, let's follow this through then. So... |
| Bernstein: | Then that's not a source image. The source image is what you create by forming the $4 \times 5$. |
| Armstrong: | That's something we need to clarify. |
| Boehm: | Exactly. |
| Armstrong: | We need to be able to say the target image in these formulas... or to calculate them, target image heights, widths, and sizes are all... |
| Utley: | Viewing window is in pixels, height in pixels. Okay. Source image generally in inches. I mean, I laid that out. |
| Armetrong: | Okay. It wasn't in this one. But let's just look at this for a second. What would the number of pixels be on something like this if $I^{\prime} v e g o t ~ 80 " x l o 0^{\prime \prime}$ ? what would $80^{\circ}$ be in pixels? |
| Utley: | That's probably around 8,000. |
| Armstrong: | 8,000. So we've got 8,000 pixels divided by the height of the source image is 8 , so we have a scan density of 1,000 . |
| Utley: | Right. |
| Armstrong: | Okay. That works. Fine. Okay, example l. Let's go to example l. Your assumptions, for example, you want to change from this sheet to the next, Brian? |
| Utley: | Right. |
| Boehm: | Are we doing example 1 of the... |
| Armstrong: | On page 13, begimming on line 17. |
| Boehm: | Are there changes, Brian? |
| Armstrong: | Perhaps. We're going to go through it. |
| Utley: | I'll look at it and see. |
| Boehm: | Why don't we just go to the example 1 in your new? |
| Utley: | Okay. |
| Boehm: | Unless it's the furthest. |
| Armstrong: | It's not furthest. Okay. So we've got a source. Everything's the same here. $320 \times 48$ is 400 pixels. Viewing image size is equal to 128 . Target image size is equal to 2560 . Good, we've got the square root in the formula now. The 1789. Target image height is 1431. Minimum scan density, I think is wrong. |
| Utley: | No, it's not. |
| Armstrong: | The minimum scan density is said to be... |
| Utley: | You multiply the minimum scan density... |


| Armstrong: | Right, but let's just do the formula. The minimum scan density is what? Defined as the target image height, which is what? 143l, right? |
| :---: | :---: |
| Utley: | Right. |
| Armstrong: | Okay. You have 1789. |
| Utley: | Oh, I'm sorry. The target image height... you start with the target image width...you can do it either way. It's l43l is the target image height. |
| Armstrong: | Right. That's the formula. So in order to use your formula, it's l43l divided by what? |
| Utley: | By 4. |
| Armstrong: | Not by 5? |
| Utley: | No. |
| Armstrong: | Okay, so that's just a general correction. You actually end up with a very similar answer, mildly different by only, I think. .75, but it is different. oh, no, you don't. You get...wait a minute. <reading to himself> ... is the target image height in example l...it may just be a rounding function... |
| Utley: | It is. |
| Armstrong: | But as we show the math, we should show it consistent with the formula, right? |
| Utlev: | Yeah. What does it say? |
| Armstrong: | 357.75. |
| Utley: | Right. Just round it up to 358. There are no such things as fractions of pixel settings. |
| Armstrong: | 1789/5 = 357.8, so it's slightly different, so that's why. |
| Utley: | It's not different. You can't have a fraction of a pisel. |
| Armstrong: | Don't get upset about this. You have an error in the way you show this, and all I'm doing is pointing out that we can't have it in the patent that way. we have a formula that says it's height divided by...it should be 1431 divided by 4 . We just went over that on the previous page. That's all I'm saying. Either way, we need to express it as 1431...that's all I'm saying. |
| Utley: | I see what you're saying. I understand. |
| Armstrong: | Do you have that, Doug? |
| Boehm: | No, I'm still trying to figure out... |
| Armstrong: | Okay, look on his new sheet. |
| Boehm: | Yeah. |
| Armstrong: | The only correction to his new sheet on page 1 is the second to the last line. "The minimum scan density equals 1431 divided by 4 equals 358 ." That's the only change. |
| Boehm: | Okay. |
| Armstrong: | Now, let's see...did I have anything on this one? |
| Boehm: | Good catch, Jim. Thanks. |
| Bernstein: | On 2, I think we have the same file [ ]. |
| Boehm: | What? |
| Bernstein: | We're best friends for this very reason. I sucked in math. |


| Armstrong: | This one's just the exact same thing on 2 where we're just using the wrong number. We get the same answer, but we're using the wrong number. The minimum scan density, second to the last line, should be 1431 divided by 4 equals 358. |
| :---: | :---: |
| Boehm: | Which is the same... |
| Utlev: | It's the same number. |
| Boehm: | The same fixes as... |
| Armstrong: | Exactly. Same number, it's just the equation is expressed incorrectly. |
| Boehm: | It's the same text...the same change we made to example 1. |
| Armstrong: | Exactly, exactly. In the middle of that example 2 on page 4 , there's a statement that says, "The target image size equals the viewing image size times twenty." I can't find where that relationship is defined in a previous example where we say that the target image size equals the magnification factor times the viewing image size. And if it's not, we need to just put it in because it's obviously right, it's just not stated. |
| Boehm: | On the top of page 2, when you define magnification factor, and you're saying it's a ratio of the viewing image to the behind-the-scenes target image, so it's going to be a less-than-a-one number. See, we're getting targets and viewing screwed up. The teminology, I think, is screwing us up, right? Because weren't you using magnification factors of twenty? That would have a viewing image of twenty sizes larger than the target image. |
| Bernstein: | That's what we found last night. |
| Utley: | No, the magnification factor is the...the target image size is the viewing image size times the magnification factor. |
| Boehm: | Right, how do you define the magnification factor...oh, that's what...the viewing size... |
| Armstrong: | But in the patent pages, do we have that, Brian, somewhere? Because I looked for it, and $I$ couldn't find it. |
| Boehm: | It's page 12, the middle, it's where he defines magnification factor, and it's not where it should be. |
| Armstrong: Boehm: | Right, but I don't see it there. <br> "...ratio of the target..." we're going to call it "...the target image area." |
| utley: | It's down on the bottom. "The target image size is the target image weight times the..." "...which is equal to the..." |
| Armst rong: | There it is. "...viewing image size times magnification factor." |
| Boehm: | Right. |
| Armstrong: | Okay, so that's the one I had a problem with because we inverted those two things, so that explains that. That's fine. Let's move on. Now, did I have anything else on examples? Example $2 . .$. example $2 .$. |
| Boehm: | One more point. When You say the magnification factor, it's really the maximum desired, isn't it? Magnification factor, Brian? |
| Utley: | Yeah. |
| Boehm: | Because magnification factor is any zoom. What you're worried about is the maximum, your deepest, right? |
| utley: | Right. |
| Boehm: | Okay. So I want to make this MME for Maximum Magnification Factor, okay? |
| Armstrong: | Okay. |
| Bernstein: | Where was that? |



| Bernstein: | Doug, you're supposed to be picking up these square root issues. |
| :---: | :---: |
| Boehtu: | Hey, you guys are supposed to be picking this up. Actually, I was hoping you could work closer with Steve than the timeframe we had; but I'm taking the last pass at it here, so I'm going to try and get another pass at it. |
| Bernstein: | Cool. |
| Boehm: | And I am trying to get another pass at it, and I would have done the same number exercise that you've done for us, Jim. |
| Utley: | <As an aside to Jim and Eliot.> Can you meet me in Philadelphia on Friday morning? |
| Armstrong: | I think so. |
| Bernstein: | This Eriday? I don't know. |
| Armstrong: | Well, I need to check my calendar. |
| Bernstein: | Other than just checking? |
| Armstrong: | Yeah. What time? |
| Utley: | Can you piok me up at the airport? |
| Armstrong: | Yeah. |
| ccontinued | kground conversation between Utley and Armstrong.> |
| Bernstein: | Doug, why don't you make these changes? |
| Boelini: | Yeah, I will. |
| Bernstein: | Start with this because I think we can pick up our changes later, can't we? |
| Armstrong: | Well, let me give you a few more that I wasn't real sure about. |
| Boehtu: | Well, what's the...what do you think is the extent? If we go through page by page, you're right, it's going to be forever. |
| Bernstein: | No, I just want to go through my comments real quick. If Brian has any additional, that's fine. |
| Boehm: | Okay, and remember we can change the wording of the claim as long as it's recorded. |
| Bernstein: | No, no, this is the body. These are minor fixes. |
| <Everyone t | ing at once. Shuffling as Amstrong leaves the room.> |
| Boehm: | Thanks, Jim, for leaving. |
| Utley: | <chuckles.> He's going to catch a plane. |
| Boehm: | Hope you had better luck than I did. |
| Utley: | Yeah, really, and the weather here right now is pretty bad. |
| Boehm: | Yeah, that's what it was yesterday. |
| Utley: | Where did you get stranded? |
| Boeltu: | It's a long story. Is now a good time? |
| Utley: | How long is it going to take? |
| Boehtu: | What happened was I left about 6:00. Everybody said it's no problem to catch the 7:25. There was a terrific traffic jam just north of the airport, bumper to bumper for miles, and it got to be $7: 10$ before I was at the airport. I was flying around lost, trying to find the Avis. Got to the bus at 7:15. |

Yelled at the driver and said, "I've got a 7:25 flight. Can we do it?" He goes, "I don't know!" So we got in and tried it. They dropped me off at the United gate. And I dropped up the Avis car without filling it with gas, you know, just get my butt over there. I get up to the drop-off, and thinking, oh, I gotta run, and so I run like crazy to get to the get and find out different. There's a whole bunch of people standing there, you don't have to rum. It's been delayed. So my flight out of Ft . Lauderdale to Chicago was delayed first of all for storms, and then mechanical problems. I got switched all over the place. 7:30, B:30, 9:30, and you wonder what's going on. Half the people bail out and go to Miami and fly out of there, and they keep saying, oh, we don't know when it's coming. I'm thinking, oh, crap, I'm going to miss my $10: 30$ connection in Chicago to go to Milwaukee, so I called and found that there was a bus. There was an 11:30 bus, and I thought, hey, I'm gonna make this, no problem. The stupid plane didn't leave until 10:00, got in Chicago at 12:30, missed the bus, missed the only connection out to Milwaukee. I'm thinking the next one's B:00 in the morning, I'll just go get a hotel, and it should be on the airline, right? Because they made me miss my connection. So I stood in line 45 minutes with other people who had missed their connections to try and get the hotel or the baggage lost or some damn thing. Here it is 1:15, 1:30, and they say... and this guy in line behind me, he's in line for the second time because they sent him in a cab off to a suburban hotel-not the Hotel Hilton, no way-some suburban hotel-sent him out there, he got there and there was no rom, so they brought him back, and now he's in line again! Talk about getting doubly screwed. Anyway, I get up to the counter, and she says, well, we can send you out to Arlington Heights-and I know the area, I grew up around there-a hotel, and we've got to get you a cab, and we'll bring you back, and we'll pay for the hotel. And I'm saying, when's my flight? 8:15. I've got to be in there by 7:30. You're going to get me out there, and I would have gotten maybe three hours of sleep. It was just ridiculous. So I said, what are my other options? I'm pissed. So she turns around and grabs a pillow and a blanket and says here's your other option. Everybody is kind of fuming, but we're all taking it with a grain of salt. The guy next to me says, oh, you get the Hotel o'Hare, and you're headed over to Gate $B-20$ ? That's Suite $B-20$ !

| Bernstein: | And it is. I've done that so many times. Slept there many a night. Slept on my bag. |
| :---: | :---: |
| Boehm: | I got in here this morning, landed at 9:00, and drove to work at 9:30. I haven't been home. |
| Utley: | And you are feeling the same. |
| Boehm: | Oh, yeah, really crunchy! |
| Bernstein: | okay, let me whip through this real quick. Skip the comment on top of page 9. On the botton of 9 , why can't these images from videos be put back into video format and then zoomed on? Why can't you take the images you captured in video, enhance them, and then put the 29 -per-second back in, thereby have zoomable video? And panable? You can because a video is simply 29 images. So if we've captured the ability to do this on an image, we can create video by creating a series of 29 images per second. |

Boehm: Okay.
Bernstein: It can be easily done. Here's the change. On line 21, just add: "A single or all captured frames from the video camera may be further processed as a digital image, and then reassembled back to video." Take all the frames, do...

Utley: What are you going to do with it?
Bernstein: You're going to enlarge them, however you do that once you capture them. If you were just printing them, you could print the images, enlarge them, put them in a viewing window, and run that video through there and let somebody zoom in.

Utley: How do you run the video through a viewing window?

| Bernstein: | Doesn't the video have its own viewing window? |
| :---: | :---: |
| Utley: | You run it through a player. |
| Bernstein: | And the player has a viewing window. |
| Utley: | And the player has a viewing window. |
| Bernstein: | Now, if the image is bigger than the viewing window, you'll be able to...if the picture is bigger than the set viewing image, your ll still be able to... |
| Utley: | The picture will still be in the standard frame size of $320 \times 240$. |
| Bernstein: | They ${ }^{\text {l }}$ be in a frame size, but the picture will be much bigger than 320 x 240 . |
| Utley: | No, the picture can't be bigger than the frame size. |
| Bernstein: | On a video? why? |
| Utley: | Because that's what you cover. |
| Bernstein: | I see what you're saying. But would you be able to take a 20...no...and play thern through...okay, scratch it. |
| Boehm: | All this stuff at the bottom of the page? |
| Bernstein: | No, left side. |
| Boehm: | Just the left side? |
| Bernstein: | And then still make the change on 21: "A single or all captured Erames from the video camera may be further processed." |
| Boehm: | But we're only talking a single frame is processed as a digital inage. |
| Bernstein: | So it won't matter if you do it multiple? |
| Boehm: | Yeah, but you're doing single, multiple times. |
| Bernstein: | Yes, okay, fine. |
| Boehm: | Maybe we should say...no, single is fine. I think... |
| Bernstein: | Is a scanning a digital enlarger to me? To my thinking? |
| Utley: | Yes. |
| Bernstein: | I just don't understand why? |
| Utley: | Yeah, it's a digital...well, yeah, the way it is is you change the scan density. That will give the effect of the enlargement. |
| Boehm: | Is that said here, Erian? |
| Utley: | Yeah. |
| Boehm: | Does that say that in here? That changing the scan density is effectively enlarging it? |
| Utley: | It's enlarging it because you're increasing the number of files. |
| Bernstein: | What you said, sir, because it confuses me! |
| Boehm: | And not only that, we may need it. If we' re practicing that...are we practicing that? |
| Utley: | That was the whole point of going through the magnification factor and creating a scan density because you've got to create a picture large enough to be what you want it to be as a target image. |


| Boehm: | Never mind! |
| :---: | :---: |
| Bernstein: | Okay, but you see it now, right? |
| Boehm: | Yeah, absolutely. I just didn't. |
| Bernstein: | And to me, who doesn't understand the math of all that, it seems very strange that you can take a digital image and it's achieved everything by blowing up... |
| Utley: | Optically. |
| Bernstein: | ...optically. And then you're still putting it in the frame and framing it right until it works in a zoom environment. If I could understand the math, I'd understand that. I understand the theory. |
| Boehm: | Brian, I know what I'm talking about. |
| Utley: | I never questioned that! <Laughter> |
| Boehm: | I do, all the time! No, when I'm thinking enlarging, I'm thinking of analog development enlarging. |
| Utley: | Optically. |
| Boehm: | Optically enlarging, not digitally enlarging. Are you digitally enlarging the photo when you up the scan density? No. |
| Utley: | Let me give you the ... |
| Boehm: | You're upping the scan density. |
| Utley: | Yeah. If you enlarge a photograph, you can set it at a lower scan density than if you don't, then you have to scan at the high density to get the same result. |
| Boehm: | Exactly, That's why I don't think that a scanner is technically an enlarger. |
| Utley: | It performs a function. Because it has a variable scan density... |
| Boehm: | Yeah. |
| Utley: | Enlarging is better [in] the size of the file that is produced. And the size of the file is proportional to the size of the image. |
| Bernstein: | Oh, I see. I see it! It has to be. It effectively does the same thing. |
| Boehm: | Yeah, I guess the scamer can do enlarging, yeah. |
| Utley: | It can produce two different-sized files based on the same photograph being scanned at two different densities. |
| Bernstein: | Which is two different sizes. You see, the brain doesn't think that. You just think 600 versus 900 just means more dots or something. Period. It doesn't mean that you have more area. |
| Wtley: | That's right. |
| Bernstein: | But is it? |
| Utley: | When you have more area, you scan at a lower density. You scan at 200 DPI versus 600 DPI. |
| Bernstein: | But what if there's no scan, no density...the camera does that? |
| Utley: | Then it's fixed by what the camera does. |
| Bernstein: | Can you set the camera to be the enlarger? |
| Utley: | No, you have very little control over that. When you go through the digital example, that's what happens. |


| Bernstein: | What? |
| :---: | :---: |
| Utley: | You say, well, I got this digital picture, and I want to get a 20-times magnification, and you go through the math and it says "stop!" You can't get 20 times; you can only get 11.1 times. |
| Bernstein: | Why? |
| Utley: | Because you can't get more pixels in the target image than you've got in the source. |
| Bernstein: | So hom do youl achieve 20 times? |
| Utley: | You have to have better digital equipment. |
| Bernstein: | Okay, so you can buy a better digital camera that gives you more [pells?] for the shot? |
| Utley: | Right. |
| Bernstein: | And as that comes due, that's going to give us greater magnification. |
| Boehm: | Brian, if you took a normal enlargement on a photo, you will keep enlarging until you get the grain level of the photo. |
| Utley: | Right. |
| Boelini: | On a scanner, you won't keep enlarging...I mean, you're going to hit the grain level of the photo, but it's going to be limited by the scamner. |
| Utley: | Well, yes, there are some limitations. For instance, ... |
| Boehm: | An optical one is never limited by the optics, right? |
| Utley: | Yes, optics have limitations. |
| Boehm: | Okay, but way far... |
| Utley: | But what happens is the scanner can't put detail into a picture where it isn't in the picture. So you can take a snapshot, for instance, and try to scan it at 1000 DPI , but it won't look any better than if it was scanned at 150 DPI because that's all the information there is on the image that you can resolve. In other words, you have been destroyed by the processes that printed it. But if you take a transparency-the original source transparency, whether it be a positive or a negative-you can scan that at a very high density, and you can get every bit of information that there is to be obtained by increasing the scan density assuming that you have a scanner that is capable of that. |
| Boeltu: | Yeah, with no limitations. Yeah, you're right. |
| Bernstein: | So, should we say all of that? |
| Boelini: | The math is saying it, you just have to sit back and think about it. |
| Bernstein: | Okay, that's good. |
| Boelim: | But your re right, it wonld be a good thing to say. If you could... |
| Utley: | That's why the math is there. |
| Boehm: | But Brian, if you could say that in English, it would be even better. If, after we cut the phone call, if you wanted to jot a note... |
| Utley: | My brain doesn't work very well in English. <Laughter> |
| Bernstein: | Do you want English American or English British? Let's define that. Let's go right here and define that because that is important. |


| Boehm: | Okay, so what wer re saying is that by increasing the scan density, it effectively...well, first of all, what is it actually doing? It's more data or pixels per image which lets you magnify deeper or more... |
| :---: | :---: |
| Utley: | Let's back it up. What we want is a large enough...our objective is to get a large enough digital image file to permit the zooming and panning at the desired magnification to take place. There are two ways to obtain that size file. One is by enlarging photographically and scanning at a relatively low density, or by that the source image is of sufficient precision to scan at a high density to create the same size file. |
| Boehn: | But, wait, you don't scan, if it's digital. If you have a digital, you're not... |
| Bernstein: | Wow, you see, you've got to clarify two things: scanning and digitally shooting the photograph with the right specs. |
| Utley: | When you scan, you create a digital file. Alternatively, you have a digital camera which gives you a fixed file size. |
| Bernstein: | Although later in the future, you should be able to do inside the digital camera what you're doing with the scanner, and create, when you get a good enough [pell] count, so to speak, right? |
| Boehm: | But it's technically not scanning, it's the digital files coming directly from the camera is what he was trying to get across. |
| Bernstein: | But it's creating a size. |
| Boehm: | What? |
| Utley: | The end result is to have a file which is sufficiently large...the file of an image when expressed in [pells?] has a large enough area to allow you to zoom into the image and obtain the desired level of magnification. |
| Boehm: | Without pivelating. |
| Utley: | Without pixelating. |
| Boehm: | Okay, that makes perfect sense. Now, when we were talking about a scanner being essentially an enlarger, if you...you see, when $I$ think of a scanner, I think of analog to digital. It's taking optical and digitizing it. It's doing the capturing. |
| Bernstein: | Right, but... |
| Boehm: | On a digital camera, the [CCD] is doing the capturing. |
| Utley: | Which is an array. |
| Boehm: | Which is a scamer. I see what you' re saying. |
| Utley: | Right. It is a scanner. Now, the. |
| Boehm: | The scan density number you're spitting out for your He scanner or for to go buy a new proper... |
| Utlev: | No, You can control the scan density of a scanner. You can determine what your scan ratio is. |
| Boehm: | Of a scanner? |
| Utley: | Yes. |
| Boehm: | But not of a digital camera yet. |
| Utley: | No. |
| Bernstein: | Sure. You can go from 300 DPI to 600 DPI . |
| utley: | Not on digital cameras. |



| Utley: | It's optical. |
| :---: | :---: |
| Bernstein: | If you're compressing by resizing, you've lowered the pixel count. If you're compressing l:l, you haven't. Do you follow? So Erian's saying you might have a large image and the compressor says, what do you really need here? Let's get rid of some color. Do you need all this extra size and that height and width? And if not, it does all that for you. Lowest makes the smallest picture, thereby reducing pizel count and providing a less-thanoptimum enhanced digital image. |
| Boehm: | Help me figure out what that sentence should say. |
| Bernstein: | I'd strike the whole sentence, to be honest with you. |
| Boehm: | That sounds fine with me. Will we ever need the concept that we preserve the number of pixels, at least at the 1:1? |
| Bernstein: | No. |
| Boehm: | Through compression? |
| Bernstein: | No. Compression is optional. Do you know what I mean? |
| Boehm: | Okay, scratch the damn sentence. |
| Bernstein: | Yeah, okay. Good. I see that we can explain all of this. I would just leave it right after "...be set to other compression factors..." |
| Boehm: | Yes, absolutely. That's the end of the sentence, end of the paragraph. |
| Bernstein: | Exactly. That "64 user interface or control data is associated with enhanced digital image file if necessary and may already be on the user computer." |
| Boehm: | You got it. |
| Bernstein: | It's still associated, even if it is on his computer, so that, in this sense, you might be right here, because you still need to associate the control data user interface with the picture. So that's true. |
| Boehm: | So I'll move your comment down to clarify it later. |
| Bernstein: | Okay. And the only other thing is right after you say on line 6: "...graphic user interface on display upon loading..." Forget "downloading" and just put "loading of the image." |
| Boehm: | Okay. |
| Utley: | Doug, I can give you the wording that I used. At the bottom of page 17, in place of that last sentence which spills over it, I said, "The target image dimensions can be set as parameters for compression thus ensuring an optimum enhanced digital image." |
| Bernstein: | One more time? |
| Utley: | "The target image dimensions can be set as parameters for compression, thus ensuring an optimum enhanced digital image." |
| Boehm: | But what are we optimizing? |
| Utley: | File size here. |
| Boehm: | The file size? |
| Utley: | We're getting the maximum image quality and minimum file size. |
| Bernstein: | For what we need to achieve. That's right. That's fine. Because that's here... |
| Boehm: | "...thus ensuring optimum quality and file size"? |


| Bernstein: | You're not ensuring any optimum qualities by compressing. You' re actually decreasing quality at that point. |
| :---: | :---: |
| Boehm: | That's right. So, "...thus ensuring an optimum compressed file size"? |
| Bernstein: | Yes. "...compressed file to image size," right? |
| Utley: | No, file size. |
| Bernstein: | And that does say it, by the way. Okay. Now, go down to line 8: "Image file [ ]. The user interface program is associated with the enhanced digital image file such that the combined"...take "download" out... |
| Boehm: | Okay. |
| Bernstein: | "...the combined file or files..." |
| Boehm: | Well, it's not combined if it's files. |
| Bernstein: | Well, no, it could be three files, or it could be all packaged into the image file someday. We don't know. |
| Boehm: | Well, I've got to define that better when we hit the claims because I'm thinking about not... wie're claiming that wie' re...that the end product is a file, an enhanced file, and then we get lost in the mucky-muck when we say what we are really providing. we're providing $a$. JPG and an applet. |
| Bernstein: | Well, we don't have to provide...they just need to combine somehow. We don't need to provide all the elements. |
| Boehm: | Yeah. The ultimate thing that mia provide may not be a file-an enhanced digital image file-does it have to be a file? |
| Bernstein: | An enhanced digital signal? |
| Boehm: | Data? |
| Bernstein: | Data? |
| Boehm: | Or signal. |
| Bernstein: | Yeah, okay, so make that global change. That's fine. It doesn't have to be a file necessarily. |
| Utley: | No. |
| Boehm: | Well, it would have to be digital data, though. |
| Bernstein: | Right. |
| Boehm: | It doesn't have to be an analog signal. |
| Bernstein: | Right. That's true. |
| Boehm: | So I don't need the word "signal." |
| Bernstein: | But you might not have to save it as a file at some point in life. |
| Boehm: | Well, data incorporates if it's a signal or not because the data would be on the signal, right? |
| Bernstein: | Perfect. |
| Boehm: | So I don't need signal because I don't want to go analog on people. |
| Bernstein: | No, I understand. "The combined files, like computer [ ], will automatically launch the graphic user interface.." It doesn't have to automatically, but it can. |
| Boehm: | Okay, "can." |



| Boehm: | But I usually say "can be" or "is" or "may be," and he doesn't like to say "preferably," but I do. It's just a semantics. |
| :---: | :---: |
| Bernstein: | No problem. Go down to line 19, "...and it should be the result of a download/upload..." |
| Boehm: | What's being... |
| Bernstein: | "...the results of loading the enhanced digital image to a user computer..." would be the right way to say it. |
| Boehm: | Yeah. |
| Bernstein: | So take out the "down" and trim it to "loading." |
| Boehm: | Yeah. The same thing to the network server. If you load it to the computer 22, it's providing it to a network server. I don't like this loading... |
| Bernstein: | Yeah, I don't. It's very tight on us. |
| Boehm: | Yeah, and it infers uploading and downloading. |
| Bernstein: | I agree. |
| Boehm: | But, again, he's right because in the preferred embodiment. |
| Bernstein: | That's fine as long as later we clarify and make sure we're protected on all of these issues. |
| Boehm: | <Reading out loud to himself.> "...but domioad of the enhanced digital image file to a user computer..." |
| Bernstein: | And that's true in the preferred embodiment. |
| Boehm: | But that's for load over the Internet is what he means. |
| Bernstein: | What? |
| Boehm: | He means download off the Internet. |
| Bernstein: | It could be an intranet. It could be... |
| Boehm: | The network. Off the network is what he means. |
| Bernstein: | Right. |
| Boehm: | But isn't it a domload off the network? Because when you put something on a network, you upload through the network and download from the network. So he's right, but... |
| Bernstein: | Yeah, you see the issue. |
| Boehm: | Okay, it doesn't have to be. I agree. |
| Bernstein: | Okay, and I've got that next one covered. Go now to page 20. Okay, we've got a big problem here. "The program loads additional digital image data from the enhanced digital file..." Scratch "image stored in memory, for example hard drives," and just say, "program loads additional digital image data from the enhanced digital file to the display viewing window by providing additional data from the source to the viewing area seamlessly..." or something. Or just make it a period after "...display viewing window." You follow me? |
| Boehm: | Uh, huh. |
| Bernstein: | "...to the user display viewing window." |
| Boehm: | "...viewing window." Period. |
| Bernstein: | Okay, any of that other stuff. Okay. |


| Boehm: | <Reading out loud to himself.> "...the user computer provides the zoom..." Oh, "to provide the zoom to view..."? |
| :---: | :---: |
| Bernstein: | Excuse me? |
| Boehm: | Holy smokes. Wow, the paragraph starts out in figure 4, which is figure 4, take a look at, we're about ready to zoom or we have zoomed. He has, "The user has actuated the zoom buttons to zoom in to the digital image data..." |
| Bernstein: | What line are you on? |
| Boehm: | Page 19 at the bottom. |
| Bernstein: | Line what? |
| Ut1ey: | The bottom part. |
| Boehm: | Figure 4. "The user has actuated zoom buttons 88 to zoon into the digital image." Period. I don't want to say "data," right? |
| Bernstein: | Right. |
| Boehm: | "In response, the user interface program, which is the applet, loads additional digital image data..." Eesh, I don't like the "loads" because that says it might be loading it off the network. |
| Utley: | Yeah, I don't either. |
| Bernstein: | "The digital program..." |
| Boehm: | "Provides"? |
| Bernstein: | Yeah, because it's providing it from the enhanced digital file. |
| Boehm: | "From the enhanced digital inage file..." |
| Bernstein: | Right. "...to a user's display viewing window." |
| Boehm: | And then, "2. Provide a magnified view of the digital image" or "zoomed visual..." I don't like the word "zoomed." |
| Bernstein: | Yeah. |
| Boehm: | What I would like to say is, "Provided additional image data from the enhanced digital image file, without..." I want to make the clarification the re that... |
| Bernstein: | "Without additional ..." |
| Boehm: | "without another download from the network"? |
| Bernstein: | No. |
| Boehm: | We may not need it here if it's there before, but that's my...I may have to... |
| Bernstein: | Put a question mark there because I see it, too. |
| Utley: | Why can't you say...why can't you relate it to your resolution: "Provides additional image resolution data" because you're improving, increasing the resolution of the image. |
| Bernstein: | As you zoom. |
| Utley: | As you are zooming. |
| Bernstein: | That's actually a great way to start the whole damn thing! |
| Boehm: | Now he tells me! |


| Bernstein: | No, but what we've been looking to describe, he just.. |
| :---: | :---: |
| Boehm: | I agree. Steve and I have this battle too because you guvs weren't.. |
| Bernstein: | Struggling. |
| Boehm: | Consistent in the terminology either. No, I agree. If you're talking length times...numbers of pixels, that is resolution. |
| Utley: | So you're providing additional resolution data. |
| Boehm: | That doesn't sound right to me, Brian. |
| Utley: | Well, what you're doing is is you're taking a portion of the image and you're expanding it, really, with additional pixels. So that's additional resolution data. |
| Boehm: | Oh, I see. |
| Bernstein: | Right. |
| Boehm: | What you're saying is it's an adjective...it's digital data that has an image encoded with enhanced resolution. What are you providing? You' re not providing resolution, you' re providing data. |
| Bernstein: | Data evaluation, necessary for the resolution. |
| Utley: | But it's pizel-based data. |
| Boehm: | And since it's pixel-based data, it will... |
| Bernstein: | Or additional data for higher resolutions. |
| Boehm: | Yeah, you're getting warm. |
| Utley: | See, what's happening is that, to put it in discrete terms, you're going from a case where you have many source pisels per viewing window pisel, and you're reducing that ratio as you zoom in. |
| Boehm: | Right, until you hit the pixelation limit. |
| Utley: | Until you hit l:1. |
| Boehm: | Yeah. Okay, let me see if I can patch that up. |
| Utley: | That's why you are providing additional... |
| Boehm: | We've got a lot of clean talking about to do, so let's move on. Line ly now, page 20. |
| Utley: | "...greater than two times." |
| Boehm: | "...It is important that the digital camera is configured to accuire a digital image. In this step, the camera is..." I would say, "...preferably set to the highest resolution." |
| Utley: | I think in principal, that's what we mould intuitively do; but essentially, should be generalize on that? |
| Bernstein: | Yeah, there'll be variations on that. |
| Utley: | Because as techmology evolves... |
| Boehm: | Right. You set the camera to acquire at least enough pisels to magnify... |
| Bernstein: | For the magnification process. |
| Boehm: | But if it's high resolution. |
| Bernstein: | Right, then you get more, even if there's higher resolution available. |
|  | $67$ |


| Utley: | You see, we don't have cameras that give us very much flexibility here today, but tomorrow we'll have more flexibility. |
| :---: | :---: |
| Boehm: | "...In this step..." it's possible the camera is set to acquire? "...at least enough pizels..." |
| Utley: | Well, you notice that the camera [ ]. The camera has a storage device. It always takes the same number of pixels; it's what it stores that counts. |
| Boehm: | Does a user have any clue of what that is? in other words, how would I know that my camera would do a magnification of 20 on this file? |
| Bernstein: | You don't. |
| Utley: | What it tells you is you create a high-resolution picture or a low-resolution picture, and if you set it to high, you'll only get a few pictures for whatever your storage medium is. |
| Bernstein: | But I'll be you later, the camera will come out with a dial-in-your-zoom feature. Boom! It'll size it and appropriately.... |
| Utley: | In fact, they're already saying if you set it at this setting, it's equal to an $8 \times 10$; and if you set it to this setting, it's a 587 . |
| Bernstein: | Which is doing what we're doing. |
| Utley: | That's already happening. |
| Bernstein: | Right, well catch them, because I doubt it was happening in the past. |
| Utley: | It wasn't. |
| Bernstein: | Right. So it's new camera technology that might be infringing already. which, you know' I see it going in the scanner...all these things. |
| Utley: | You know, sooner or later, Brian, if what you said yesterday was correct, that this is all new, the ability to do it, we'll probably get every single facet of imaging that you know have a zoom factor. Why wouldn't you apply it to every facet? |
| Utley: | Um, hm. |
| Bernstein: | Okay. |
| Boehrn: | We could get tripped up, though, since I'm not an expert in the camera art. It might have been done on purpose; and if our claim reads on it, ... |
| Bernstein: | Okay, but what if it was done for a different purpose, and we have a different purpose? |
| Boehm: | If our claim reads on what was done, and it was. |
| Bernstein: | So it wasn't done because I mean. |
| Boehm: | Our camera will already have the capability of providing this file, and our clain says "providing this file," we're in trouble. |
| Bernstein: | So optionally do it. Make it dependent. |
| Boehm: | My secretary is saying we've got to get the checks today. |
| Bernstein: | Okay, but definitely cover that because that's what's going to happen. |
| Boehm: | In the future to set the camera. |
| Bernstein: | No, it's happening. According to Brian, it's happening right now as me speak, so definitely get it in here. |
| Boehm: | "...set the camera, if possible, to the desired magnification or scanned density." |


| Bernstein: | Correct. "...to create that maximum zoom factor." Okay, claim 1, we dealt with. Now "providing" on line 2 , we're going to make this a dependent claim now? |
| :---: | :---: |
| Boehim: | I'm sorry, I lost you again. |
| Bernstein: | I'm on page 24, claim 1, third paragraph of it, "...providing..." |
| Boehim: | Did he skip some more math, Brian? |
| Utley: | Beg your pardon? |
| Boehm: | Did he skip some more math? |
| Utley: | We just skipped over it. We've already done it. |
| Bernstein: | I've never had to grade Jim and his math. Nor Erian's. I've got to tell you, I'm very impressed with Brian's whole grasp of the situation of the math here. |
| Boehim: | Oh, the analysis is great. |
| Bernstein: | It's incredible. It helps me understand it. |
| Boehm: | Are we on 22, Eliot. |
| Bernstein: | We're on 24. |
| Boehm: | On 22, do we not worry about...oh, these aren't your scribbles, okay. I'll play with that. 23? |
| Bernstein: | 24. |
| Boehtir: | 24. Got it. |
| Bernstein: | Get rid of the "...size at least twice..." |
| Boelini: | Yep, we'll do the "...greater than..." |
| Bernstein: | "Providing" on line 8 becomes a dependent claim. |
| Boehtin: | Right. |
| Bernstein: | Why do we have 10 times 100 times? |
| Boehm: | Because if, again, the Prior Art happens to do it at two times but they do it... |
| Bernstein: | Well then you should do $10,11,12 .$. |
| Boehm: | Our claim 1 is gone. |
| Bernstein: | But then you should do $10,11,12,13,14$, up to an infinite number. |
| Boehm: | No, and here's the theory, and this is how dependent claims work, and here's the analysis that we go through. If claim 1 now says "greater than one," if anybody is doing it at greater than one, then claim 1 will fall. It's dead. |
| Bernstein: | Okay. |
| Boehm: | If anybody's done it before, your priority date's before you. Now we move to claim 2. Has anybody done it at least ten times or at least twice will be my next claim. |
| Bernstein: | Right. Ah, that's where you get your "at least twice" in here. |
| Boelim: | Exactly. If the guy didn't do it at least twice, was there a good reason? Did he really not have the same invention? Well, hell, yes, he didn't have the same invention. |
| Bernstein: | That's right. |


| Boehm: | But it just so happened that he did more than one. My claim 1 is dead, and I can now jump to claim 2, which there are at least. |
| :---: | :---: |
| Bernstein: | Got Ya. |
| Boehm: | That's how dependent claims work. That's why I have dependent claims there because you can't modify claims once they' re issued. |
| Bernstein: | Right. |
| Boehm: | The reason why steve had all those goofy 100 s , and 2005 and 3005 in the spec... |
| Bernstein: | Right? |
| Boehm: | Is because during prosecution, we have them in the claims. And if the Prior Art comes out of the woodmork during prosecution, you might have to go say, oh, well, we didn't mean that, we meant above 100 or above 200 . |
| Bernstein: | Gotcha. |
| Boehm: | It's pretty important. |
| Bernstein: | Claim 6, "A single data file to be two files, three files, four files..." |
| Boehm: | Right. |
| Bernstein: | Why? |
| Boehm: | Well, I like that claim. |
| Bernstein: | Well, it could be in the digital image file, I like that, but it could be two, three, or four. |
| Boehm: | But without the claim, |
| Utley: | It says you have now to literally [ ] everything into a single file.. |
| Bernstein: | One file. |
| Utley: | ...and you hold that patent. |
| Bernstein: | Oh. |
| Boehm: | And you see, claim 6... |
| Bernstein: | <Talking in background to Utley.> He's got it in one file. |
| Utley: | Right. |
| Bernstein: | [ ] an image file with an applet built into each. |
| Utley: | I'm on that path. |
| Bernstein: | Beautiful. |
| Utley: | If I had some time to mork on it. |
| Bernstein: | I got it. <Laughter.> Is the method of claim 6-we' re in the user interface-a Java applet? Why limit it to Java? There are other forms that do this. |
| Utley: | It's already in there. |
| Bernstein: | Where? |
| Utley: | It says in the first claim. |
| Bernstein: | Okay, "...providing a..." which is now going to be a dependent claim. |
| Utley: | It's "...providing the user interface continues to display..." |


| Bernstein: | Okay, mhich is dependent on it. |
| :---: | :---: |
| Utley: | It then further says, okay, and it may be a Java applet. |
| Bernstein: | Right. Okay. |
| Boehm: | Which sort of narrows it up. |
| Bernstein: | Right. Okay. There are other things we could nane there-plug-insurance, acrobats. |
| Boehtit | It's only limited if you enforce that claim and if the guy infringes that claim. If it's not a Java applet, this claim won't do you any good. If it is a Java applet that the infringer uses, then you get to not only throw two claims or three claims at him... |
| Bernstein: | What if it's Active X ? |
| utley: | Yeah, Eliot brings up a good point. There's another technology, which is called Active X... |
| Boelinit | wonderful. |
| Utley: | ...which already works with windows, but... |
| Boehtim: | But the idea about...can you genericize that to say it's a data code segment? What's an applet? It's a small applications program, right? |
| Bernstein: | Right. |
| Boehm: | Well, let's say that. |
| Bernstein: | Okay. |
| Boelin: | Or we could just say an applet. |
| Utley: | You could say Active $\mathrm{K} . .$. |
| Bernstein: | Java applet, Active $X$ applet, or other... |
| Utley: | You want a separate claim. Each one should be a separate claim. |
| Bernstein: | Right. |
| Boehnim: | What I have to say is "...when the user interface is one of the following, Java or Active X." |
| Utley: | or "other." |
| Boehm: | No, I can't do "other." |
| Bernstein: | Can you do "other" in a new claim? |
| Boelini: | Nope. The word "other" is invalid in a claim. |
| Utley: | <Inaudible comment.> |
| Bernstein: | In frame l because the [ ] user interface. |
| Boehim: | Yeah, other claims have... |
| Bernstein: | Okay, that's perfectly fine. In that "...Eurther comprising, compressing...." that's optional, right? |
| Utley: | Yeah. |
| Boeliti: | Everything here that's dependent is optional. |
| Bernstein: | okay. "...to a network server..." how about "to a set-top box"? |
| Boehm: | Is that worth a separate dependent... |


| Bernstein: | Absolutely! It's one of the raging things coming down the pipes. |
| :---: | :---: |
| Boehm: | Okay, "...set-top box." Can you give me an example of a today techmology set-top bos that this will work in, and then tell me on figure $1 .$. |
| Utley: | We just happen to know there's development activities going on in a number of different of companies, and they're heading in this direction. |
| Boehm: | Yeah, but your claims have to be buildable by somebody with ordinary skill in the art. Set-top box... |
| Bernstein: | That's just a display system. |
| Utley: | Web TV in an example of a set-top box. |
| Boehm: | Oh, okay, that's right. |
| Utley: | A set-top box that takes streaming information and then presents it on a TV screen. |
| Boehm: | So what's the cable box sitting on my mom's TV? It's just a cable box that goes to the VCR on your TV. That's not a set-top box? |
| Utley: | That is a set-top box. A very simple one. |
| Boehm: | Yeah, it doesn't have a display on it. It uses your TV. |
| Utley: | It uses your $T V$ but the newer ones all have computers in them and memory and can convert different formats. |
| Boehm: | Right, but the set-top bor itself doesn't have a video display. |
| Utley: | No, it uses the TV as the video. |
| Boehm: | Yeah, which is web TV. |
| Bernstein: | So to put in a TV claim. |
| Boehm: | I don't have time to go look at claims right now. We' re going to be crunched to get this on file tonight. |
| Bernstein: | Okay. |
| Boehm: | Okay. So my question, again, can you give me an example...you did, web TV. It's a set manufactured by who? Or is it in there already. |
| Utley: | Microsoft has a web TV. And Dell had a Web TV; they just pulled it off the market. But web TV is a primitive form of what's coming. |
| Boehm: | I know, but you can't patent what's coming unless you can give an example of what you can build today,. |
| Utley: | Web TV. |
| Boehm: | Good. And I wanted to find out where that was in. Do you remember? I'll find it later. |
| Bernstein: | Okay. Page 26, lines... |
| Utley: | I'm not through with this one. |
| Bernstein: | Okay. |
| Utley: | Back to the mid area again. "The advantage of claim 1 is it applies to enhanced digital files which are not compressed." file have to make a provision as a dependent claim for transmitting over a network because the one that provides for transmitting over a network is tied to a compressed file. |
| Boehm: | True, but what steve is doing here in claim 8 , there's the compression hanging on claim 1. Now if you do a compression, you can throw claim 8 and claim 1 |


|  | and them. And if you upload it to a network server, now you can throw claim 9 and 8... |
| :---: | :---: |
| Utley: | As long as you don't. |
| Boehm: | iE it's on a network server, but it would have to be compressed then. Oh, this is the one you talked about before. |
| Utley: | Right. |
| Boehm: | I'm sorry. So you need number 9 dependent on 1. |
| Utley: | Right. |
| Boehm: | Thank you. That's what we talked about yesterday. |
| Bernstein: | That was it? <to utley> Okay. 26, line 6, |
| Boehm: | Of what claim? |
| Bernstein: | 13. |
| Boehm: | Line 6 of claim 13...oh, the "...least twice. |
| Bernstein: | ...greater than." |
| Boehm: | Okay. I don't remember...do we have a picture claim, meaning an independent claim that's fairly narrow that reads on your preferred embodiment in your product? we should because that's maybe what we have to go with because now that we've...here's my thinking... and this, Steve and I discussed this ad nauseam here. We' re going to go with claim l in the EPO. The reason is we're filing a PCT application now and a U.S. Claims are cheap and freenot free, but they're cheap-and they take multiple claims in the FCT and in the U.S. But when we split to Europe, they don't like multiple claims. They will give you one apparatus and one method only if they are related. So we basically pick our best independent claim, but not all the time your broadest, believe it or not because they may find something that is...since you can't...how do I explain this? If you have your best claim... our claim 1 now is kind of reaching over our product that we really know we can...that we' re comfortable with what we can get, and your product with what you can get right now is too narrow because somebody can modify it. What I usually do in Europe is go somewhere in the middle to get something that's broad enough to cover your product but still in a real-world application and not trying to claim the world because you don't get that much leeway to amend in Europe. |
| Bernstein: | Is this to the "...least twice versus greater than one"? |
| Boehm: | If there's little or no chance that an infringer is not going to do at least two, that will buy you... |
| Bernstein: | There are chances that it might be. |
| Boehm: | But what's the chance that Prior Art is going to come out of the woodwork.. |
| Bernstein: | None because... |
| Boehm: | No, there's very good chance that frior Art's going to come out of the woodwork and blow all of it... |
| Bernstein: | Between 1 and 2? |
| Boehm: | It's possible. |
| Bernstein: | Well, that's what I'm saying, if that's the case and somebody else beat us to the punch, we should have a claim that takes us all the way fron "greater than one." You don't want to box me into that statement; just make it as a claim. |
| Boehm: | Okay, so you're saying that we would file the broadest idea in Europe? Well, we' ll discuss that later when Europe rolls around. |


| Bernstein: | Okay. |
| :---: | :---: |
| Boehm: | That was why I did...the practice is to do different claims of varying scope and different formats. |
| Bernstein: | Okay, on 15-claim 15-"moving"...is that panning? |
| Boehm: | Yes. |
| Bernstein: | Okay. [Plurality] Jim didn't like that because it means at least two. |
| Boehm: | Jim didn't like it? |
| Bernstein: | Yeah, that's his note. Yeah, absolutely, and that's what it means. That's the whole point of claim 16, which is dependent on 14 , which is dependent on 13. Are you with me? |
| Bernstein: | Yes. |
| Boehm: | You're down in the mud now, and plurality may be thing that saves your butt. |
| Bernstein: | Okay. |
| Boehm: | Because your product does these, right? |
| Utley: | Yes. |
| Boehm: | Your preferred embodiment product does these. |
| Bernstein: | Okay. Then my only last question was, can you throw something in to cover the game world? That specifically relates to gaming and flight simulation? |
| Boehm: | How would we do this? In figure 1. |
| Bernstein: | You'd be able to now use games differently, and you differently can use flight simulators differently. |
| Boehm: | Okay, look at figure 1, Eliot. |
| Bernstein: | Yep. |
| Boehm: | What changes? Just the definition of what 28 is, right? |
| Bernstein: | Figure 2l, the display monitor, the display systern is what you're saying? |
| Boehm: | No, no, no. Figure 1. What changes when you go to games and flight simulators? |
| Bernstein: | Because in those, the displays become now dependent of parameters of the game or the flight simulator that drive the display window. |
| Boehm: | That's fine, but there's nothing structurally here that changes other than the user computer. It has now the flight program or the game program on it, right? |
| Utlev: | What happens is the user interface actually becones an application-driven interface. |
| Bernstein: | Right. |
| Utley: | That's probably the key difference. And the question was, can we convert that user-driven interface to an application-driven interface? |
| Boehm: | Meaning like a flight simulator program? |
| Bernstein: | Absolutely. |
| Utley: | So that what is displayed is under control of the program and not under control of the user. |


| Bernstein: | Right. Because, you see, you don't want the pilot maybe to be able to do a nosedive. You might want him to be flying along and have a program that says...shock him, make him dive... |
| :---: | :---: |
| Utley: | Well, with all the graphics, that the program knows where he is, and therefore moves the display according to where he is and what he's doing. |
| Bernstein: | Right. |
| Utlev: | So it's... |
| Boehm: | But under the control of the computer application of its own as opposed to. |
| Utlev: | Right. |
| Boehm: | I see. So basically, it's not a network anymore. It basically doesn't fall into figure 1. |
| Utley: | Well, it... |
| Bernstein: | Figure 1 better not tie me to a network. |
| Boehm: | Figure 1 ties you to a network as your preferred embodiment. This'll be probably what's on your... |
| Utley: | It doesn't matter whether it's on a network or not. |
| Bernstein: | Okay, as long as that's true, that's fine. |
| Utley: | What we want is to make sure that what we call the user interface in here can also be a computer-based application interface. |
| Boehm: | Okay, in order to do that, we've got to stick a claim on it or we have to put support in the spec now to do that. So what would we do? All I see that we'd do is... |
| Bernstein: | You could broaden user interface. |
| Boehm: | Yeah, we'll broaden the definition of user interface in the claim. |
| Bernstein: | And then $I^{\prime} d$ throw in a quick claim that says those two things as examples of it. |
| Boehm: | Good idea. |
| Bernstein: | And we'll let you get to re-writing. |
| Boehm: | Hang on a second...don't go away. "...broaden the user interface to have a control of either the server competitor or the user computer." Right? |
| Utlev: | Right. |
| Utley: An | $n$ we do that, that really then lays right on top of that disclosure that made that we had talked about because what one of the items that $I$ wanted to accomplish was to be able to control the display from the computer itself, or from an application, rather than from the user. Remember that? |
| Boehm: | Yeah, sure. And in this example, the flight simulator application program would control the zoom and pan of the display? |
| Bernstein: | Yes. |
| Boehm: | That means you still provided a digital...claim 1 would still say that somebody at sometime provided digital image files for viewing on a computer... |
| Utley: Yes, right. |  |
| Boehm: | So claim 1 would catch that. |
| Utley: <br> Boehm: | Claim 1, as long as the user interface can be expanded to include a... Either a downloaded applet. |


| Utlev: | A program interface. |
| :---: | :---: |
| Boehtin: | Any program. |
| Bernstein: | Right |
| Utley: | Right. And we won't have to say where the program resides. |
| Boehtu: | Yeah. |
| Bernstein: | Yeah, because definitely in this world, gaming wouldn't know where to put it because now multiple users can access one central game. |
| Boelini: | Great thought, guys. |
| Armstrong: | Always save some of it for your last-minute work. |
| Boelini: | Yeah, yeah. well, I've got an hour. That's all. Brian, let's talk about the definition of force target and all that other garbage because I got confused in there, Jim got confused. It's in the specs...Steve did that. Do you know what page it's on because it's all over my desk. |
| Utley: | Yeah, it starts at... |
| Bernstein: | Okay, Doug, I'm done, right? |
| Utley: | Yep. |
| Bernstein: | Can you send me a copy of that? when do you think you'll be sending out a final copy? |
| Boehm: | What is your...it's going to take me hours now. |
| Bernstein: | I know. |
| Boehm: | worse case, $I$ would say $I$ could send you a draft at 8:00...something like that. |
| Bernstein: | Okay, perfect. |
| Boehm: | Now, are you going to be there today? Mail it or what? I could email it to you if you want. That would be easiest. |
| Armstrong: | Then we' re going to need some prints, right? Some signed copies? |
| Boehtio: | No, all you have to do... |
| Bernstein: | Is verbally. |
| Boehm: | All you have to do is [ ] that this is your invention; and when you go to read it the second time and sign the declaration, that you won't make any changes to the spec, and that was your invention the date that we filed it. |
| Utley: | You can send one to me at my home. |
| Bernstein: | And send one to Jim. |
| Boehtin: | But do you guys want another pass tonight. |
| Bernstein: | Another what? |
| Boehm: | Another pass at it. Do you want to re-read it? |
| Bernstein: | I do. I absolutely will re-read the changes. I'll have Jim re-read them, and by 9:30 hopefully we'll be done reading it and be done. |
| Boehtu: | Okay, I'll see what I can do to push this through then. |
| Bernstein: | Cool. Hopefully it'll just be a re-read and we'll say it's right. |

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Boehm: Yeah.
Bernstein: Because if something jumps at us...
Boehm: How do I get it to you? Do you want me to fax it? I want to email it. That's
    quicker for me.
Bernstein: Great, email it.
Boehm: To whom? where? When?
Bernstein: Eliotoiviewitcom, brianguiewitcom, and jmoviewitcom
Utley: No, send it to me at...<end of tape>
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Transcription of Patent Meeting<br>Conducted August 4, 2000<br>Participants:<br>Eliot Bemstein, Jim Armstrong Brian Utley, Steven Becker, Simon Bernstein Docket 57103-120

Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified.

| Armstrong: | Bre me aures of all tiee mportant clates I know you probably are, but are we f BHAB made aware of all of our deadlines and contingencies relative to those deadlines $\downarrow$ that we that we' ne not left with...I was a little surprised that a final pack that's been in the works for a year, and I know you weren't involved for a year, but in the works for a year required that Eliot and I spent the entire night and morning reviewing it in order to get it done. |
| :---: | :---: |
| Bernstein: | What bothered me about that as well is that we'd go through the math, and then suddenly you have a document Brian sent you several days earlier regarding the math that has a bunch of changes in it, and none of that's in there. I mean, I don't understand that. |
| Bersammatroma | :. . was changed from that document anyway. |
| Boehm: | Yeah, it was changed from that document anyway. I was working with Brian, who I thought was the master of that math, but... |
| Bernstein: | But he had sent you an updated $\begin{gathered}\text { math maree days earlier that didn't appear in }\end{gathered}$ the final document that we were trying to... |
| Boehm: | Okay, I don't know. Steve was handing that. I don't know whether...you know, Steve says he did put it in there, but then I don't... |
| Bernstein: | But then we go through the document that we're filing, and it's not there. |
| Boehrn: | Okay, but we were on the third draft when I took it over. You guys had opportunities like crazy to... |
| Bernstein: | But that's the thing. Brian had sent it to you earlier, and it still wasn't appearing in final drafts. |
| Boehm: | If that's true, then something crossed in the email because steve said he put it in there, and maybe there's a piece of the math missing between the crossing the emails. You're right in terms of... |
| Bernstein: | Is Steve there? |
| Boehm: | I don't know. He probably is. |
| Bernstein: | And then my other question is quite a simple question my dad asked about electrical engineers being mathematicians and said, "Didn't they sit and pencil out the math of all this themselves?" |
| Boehm: | Wh, huh. Here's what happened on that. Steve was filling the application. We worked with Brian and you, Eliot, on the application. In some of the letters and emails he said that he doesn't understand the math. |
| Bernstein: | I'm not getting any of those. |
| Boehm: | Huh? |
| Bernstein: | I'm not getting any of those emails. |


| Boehm: | Well, then, talk to Brian because we were corresponding with Brian on that, and I don't know why you weren't getting it if that was the case, and I don't know which letter went to who, blah, blah, blah, but I do know that we mentioned that we didn't understand the math, and we were up to the third draft, if I recall; and you're right, Jim, that it shouldn't have taken...it shouldn't have been last minute and you should have had time to do it. I totally agree, but I can't take total blame for that... |
| :---: | :---: |
| Bernstein: | But wait a minute. Steve has fundemental errors on understanding the math, and yet we're going to file it with him having math problems? |
| Boehm: | It's your duty to either help us to understand. |
| Bernstein: | But then I've got a point. We did help you. We sat on the phone for an entire day, walked through this... |
| Boehm: | The day of the filing you mean? |
| Bernstein: | And if this math is still wrong, I mean, there's something really fumdamentally wrong here. |
| Armstrong: | Let me check it again. |
| Bernstein: | Yeah, let us call you back in a while. Is Steve in today, too? |
| Armst rong: | I didn't get involved until wiednesday. |
| Boehm: | Right. |
| Armst rong: | I' ll tell you one thing, Doug, that you should do as just a matter of course going forward. Eliot being the owner of the company and the person that Brian reports to is any future email correspondence should always be copied to him. That's kind of just a standard practice we all do in the company. |
| Boehm: | To copy? |
| Armstrong: | Yeah. |
| Boehm: | Okay. I didn't know that. |
| Bernstein: | You ask me to review and sign these patents, and you' re not sending me information. what do you mean. |
| Armstrong: | I think had we known that the re was a question of validating Brian's math, Eliot would have brought me in a lot earlier. |
| Bernstein: | I would have brought a mathematician in. I mean, this is ridiculous. |
| Armstrong: | Yeah, I'm just a friend that's good at math, not a mathematician. |
| Boehm: | Right, well. |
| Armstrong: | Go to your meeting. We're going to check theis patert ftato out, and we'll talk to you letter. |
| Boehm: | Well, you've got to talk to Brian, too. |
| Armstrong: | Yeah. |
| Bernstein: | I think because I now seriously have to report a lot of things to a board of people that we're going to have to have a meeting at some point either today or Monday with a few of the key people in the company who are investors, etc., so that they understand what they are investing or not investing in. |
| Eeckexamstrong | : Lon't jump to conclusions. |
| Bernstein: | No, I'm not, but if this is correct, we've got some fundamental things that need to be discussed. |


| Boehm: | If what's correct? |
| :---: | :---: |
| Armstrong: | If he's correct about the math being wrong, but let's check it.. |
| Boehm: | No, I'll bet we could get a good patent if the math is totally wirong. I think we're barking up a tree here that's not a big wall. |
| Bernstein: | But wait a minute. The question is if it still remains wrong and we gave you the right changes, it should have been filed right. All the sudden I'm left with a patent that... |
| Boehm: | Okay, talk to Brian. |
| Bernstein: | I will. |
| Boehm: | Brian gave me the right changes. I filed what Brian gave me. |
| Bernstein: | Okay. |
| Armstrong: | Okay. |
| Boehm: | And I don't mean to...you know...yell out of that, but that's what happened. |
| Bernstein: | That's no problem. I totally hear that. |
| Armet rong: | Thanks, Doug. |
| Boehm: | Okay. Talk to you Monday. |
| <Hang up phon | . $>$ |
| Bernstein: | 8/4/2000. 8:30 Doug Boehm conference call. Jim Armstrong, Eliot Bemstein. Steve, Jim, everybody, I'm taping the conversation, $8 / 4 /$ patent discussion regarding Docket 57103-120 with Brian Utley, Steve Becker, Jim Armstrong, Si Bernstein, and Eliot. Okay, guys. |
| Becker: | [ ], too, if that's all right with everybody. |
| Bernstein: | Yeah, did you get the fax from Jim? |
| Becker: | I haven't received it yet. |
| Armstrong: | It was sent actually to Doug on the "cc" line, but to a machine at 297-4900. |
| Becker: | That's right. It'll go to our central fax department, and I just phoned up there and asked them to deliver that to me when it comes in. |
| Bernstein: | Okay, but you've got the patent in front of you? |
| Becker: | I don't. I don't, but $I$ can get it. |
| Bernstein: | Okay, well, let's do that. |
| Becker: | Okay. I'll need a minute. I've got to go over the Doug's office. |
| Bernstein: | Okay. |
| Armstrong: | The fax is on its way to you now. |
| zensessimon: | It's on the way to me? |
| Armstrong: | Yeah. |
| Peukersimon: | Okay, then I'm going to put you guye on hold... |
| Armstrong: | It's not done yet. |
| Becker: | Well, I've got to go upstairs and get it, so hold on. |
| Armetrong: | Never a dull moment. |


|  |  |
| :---: | :---: |
| Utley: | And I did it again on wednesday night. |
| Bernstein: | And he said to me all these changes were in when I went through them at 11:15 at night with them. That all the math has been changed. I was looking at him and said these haven't been changed. He said, "No, I'm working on a copy that's been changed. I'm going to send it to Brian, and sign off..." So, well, now, again, we're back at this same thing. How do we change things? What effects does it have on us? |
| Utley: | This has no effect. Mathematically, that's. |
| S. Bernstein: | Were those faxed? |
| Bernstein: | \%rates. |
| S.Eermfedmarnetyont Okay. Nine pages. |  |
| Utley: | But obviously this has an effect. |
| Bernstein: | A huge effect because you have completely altered numbers. |
| Utley: | Well, you could explain it; but the only way you could derive this is by having that be the square root. |
| Bernstein: | But this is wrong that he missed this, and isn't that on your current math? Do you have your sheet that you did...current math...that he said he didn't have, had, whatever? Brian, do you have the patent book? |
| Utley: | Yes. |
| Bernstein: | Okay. I need to borrow that. |
| Armstrong: | I would think that in a patent document being as important to us as it is, there's not an acceptable level of error. It's either got to be all right, or it's not acceptable. |
| Bernstein: | Oh, and that's what we heard from Doug this morning. So, I mean it's hard to fathom this. |
| S. Bernstein: | You know what guys? I don't understand. Why doesn't sonebody... take five minutes, and tell me what...because $I$ saty it in a meeting with all the lawyers, and... |
| Bernstein: | Here, Dad, let me give you an example. Is 2,560,000 times . 8 the same as 2,560,000 times 1.25? Yes or no? |
| S. Bernstein: | I doubt it! |
| Bernstein: | Okay, well, that's the flols issue. That's how far off these are. |
| S. Bernstein: | Okay. |
| Becker: | This is Steve. I'm back, and I can't seem to find that file. Doug is out today. You guys may know. So, I don't know how much help I'm going to be. |
| Bernstein: | Okay, well, do you have the fax? Hey, P-Man, you've got the file right the re. Just email it to him. |
| Becker: | Here it is. I've got the fax now. |
| Bernstein: | Ofive- Steve, Doug also mentioned that you had emailed some correspondence to us that you didn't think the math was right earlier? I have no records of any of that. |


| Becker: | No, what I did was I faxed the draft over on Monday night, which incorporated some additional disclosure that Brian had sent. Basically, it was examples. It had the equations set out for both print film and digital examples, and then he had three examples for print film and one example for digital, and I essentially...I exactly basically cut and pasted that into the application. |
| :---: | :---: |
| Bernstein: | Well, the application we got from Doug didn't have any of that on anc raste <br>  Fhis to yo severg deve earlier erdyet towert fherere. |
| WISSTHE SECTUON GO BAXE |  |
| Becker: | I don't really know because at that point Doug was down the re with you guys, and I presumed you wiere reviewing it on like Tuesday and Wednesday. And the Doug said he would take care of just...because we figured there would just be some minor changes after we'd incorporate all of that. |
| Bernstein: | Well, it wasn't incorporated, so there were huge changes. |
| Becker: | Oh. |
| Bernstein: | And it would have been filed completely wrong had it not been for Jim Armstrong reviewing it. Everybody would have nodded off on this and accepted wrong, completely wrong, filings. |
| Becker: | Maybe he should be part of this conversation. |
| Bernstein: | He's on this conversation. |
| Becker: | Oh, good. Hi, Brian. |
| Utley: | Hi, Steve. |
| Bernstein: | Brian's here and Jim Armstrong's here. |
| Becker: | Okay. Wiell, the only link we're missing here is Doug because Doug took the last few steps of incorporating comments and actually filing the application on Wednesday. |
| Bernstein: | Hey, $\overrightarrow{3 B}$... 할-man, forward hin a copy of the final draft, would you? |
| Armstrong: | And that, Steve, I think the most important question to have answered is what are our rights and oblilgations and opportunities relative to correcting this without any ill effects to us? |
| Becker: | Yeah. There's plenty of opportunity essentially. We can file...if there are substantial errors in the application as it was filed, we can simply file a new application as soon as we get those fixed either on Monday or Tuesday or what have you. The goal of filing on mednesday was to maintain priority back to the provisional application, which was filed a year ago. |
| Bernstein: | So, did we lose that if they' re wrong? |
| Becker: | No, because we can only claim priority back to the extent that the subject matter was originally disclosed in the provisional filing of August $2^{\text {nd }}$ of last year, and none of these equations were filed back then. |
| Bernstein: | But the original process was. |
| Becker: | Right. And the original process is try Jyevaryyed in the application. We're just talking about the details of the math examples that are in here. So we haven't lost anything. |
| Bernstein: | Will we lose claiming back to the priority of the original provisional? So we did lose something, or am I incorrect in what I'm hearing. |
| Becker: | Feat, No, we didn't lose...the original provisional can only provide priority for what was originally disclosed, and the math was not originally disclosed, right? |



|  | The claims can be amended as long as they are still fully supported by the matter that's in the specification that's originally filed. Now, if you want to change your claims and they' re not supported by the specification as originally filed, then you have to file a whole new application adding new matter to your specification that will support those claims. |
| :---: | :---: |
| Armetrong: | Does the fact that a direct interpretation of what in general amounts to typos and oversights, but a direct interpretation of that affect our ability to change that supporting matter of that matter? Because if we directly interpret the math in the certain circumstances here, it will bring you to a wrong conclusion if it's a direct interpretation without having to reverse met mexfertengineex what was meant to occur. |
| Becker: | Well, I see. Then we need to get the math right, but it doesn't affect aur priority. Only by a few days essentially. |
| Bernstein: | Well, do we lose the ability to claim priority to what we were trying to claim here... |
| Becker: | No. |
| Bernstein: | ...by that date? So you can go back in and change the matter of this? |
| Becker: | You don't go back and change the matter, you just file a new application which claims priority back to a prior application only for the subject matter that was... |
| Bernstein: | But we missed that application. |
| Becker: | No, we've got it in the form of this continuation, or this PCT, that we filed claiming priority back to that patent application. So we've preserved that chain of priority. |
| Armstrong: | Are you then completely confident that errors that we need to correct right now then are not going to hurt us in any way, shape, or form as being able to claim as part of our invention all of the correct things that we want in there? |
| S. Bernstein: | That's what I heard at that meeting, that we could go back and re-do that at a later date without having any implication. |
| Bernstein: | As long as it wasn't new subject matter. |
| S. Bernstein: | Exactly right. These are just corrections to the... |
| Bernstein: | They' re corrections, they're math, whatever. |
| S. Bernstein: | Okay, but we're not saying this is a new way to get to that. |
| Bernstein: | No. |
| S. Bernstein: | Okay, that's what I heard. That's the notes I took. Eliot, you should have that on the tape recorder so that we know that. |
| Armstrong: | Well, we do, and that would also support, I think, another issue, which is that we now have to go through the refiling of something else which was originally corrected several days ago and was somehow ignored so that this whole refiling shouldn't even cost us anything. |
| Bernstein: | Well, and beyond that, Doug <sic>, what I'd like to really get down to is a letter from you, in writing, explaining all of my, you know, both from the Ray [Joa] patent forward, and I think you need to talk to Doug about it, of what our potential pitfalls are here with these filing errors, what our potential pitfalls are, what it caused to happen with that priority, priority equals, and if there's any harm to us. Because we keep just slipping back by these things. This should have been right. I mean, we have well documented, and Brian's well documented, that these changes were sent, and now we've missed a priority claim to that by not being able to go back and change our last filing. I need to know the liability here. |


| Becker: | You know, I was not there on wednesday night. Erian talked to Doug on this and then made final changes, and then... |
| :---: | :---: |
| Utley: | Yeah, Dong sent me a next-to-last copy, which $I$ went through and there were a number of errors-I have my notes on each one of those at home-and then I reviewed each one of those with Doug, agreed on what they were, and then Doug was going to send me the last copy, which apparently he didn't because I never received it. At that point in time, it was, I guess, about 11:30 or 11:45 our time. |
| Bernstein: | And these were also discussed in great length with him for a whole day on the phone. |
| S. Bernstein: | Yes, well, how about in the... |
| Bernstein: | No, no, Dad, this is separate. But at great length this was discussed, every one of these changes. |
| Becker: | The changes you sent me here, is this Brian's handwriting? |
| Utley: | No, some of it isn't. In't correct. |
| Bernstein: | Well, let's go through it because I'd like to... |
| Armstrong: | Yeah, let's go through it. |
| Becker: | I don't know if that's going to help that much because it's a question of what actually was filed and whether it incorporated the changes that Brian asked for the last minute. |
| Bernstein: | It didn't. |
| Armstrong: | We know that. This is what was filed. |
| Becker: | Brian, didn't you just say that Doug didn't send you the final draft of what was filed? |
| Bernstein: | He did it the next day. |
| Becker: | Oh, he did the next day? |
| Abstaryeners | Ei.r: Yeah, Jim, can you forward that to Steve real quick? |
| Armstrong: | What? |
| Bernstein: | Email it to him...the final draft? |
| Armstrong: | Yeah. |
| Becker: | Well, I'm not going to question.. |
| Bernstein: | Okay, but we need to go through and get the changes acknowledged, accepted, have you put it into the next whatever you' re going to do to solve this, with a letter explaining what we've lost here. |
| Becker: | All right. |
| Bernstein: | Okay. Any liability, potential liability where we're exposed to from this. |
| Becker: | Oh, I wouldn't worry about it. You guys are making a mountain. |
| Bernstein: | Well, you know, I gotta tell you, I worry a lot about it from what Doug told us. So, you know what I mean? You tell me not to worry, but then you tell me it's very important that we're accurate in this filing; and then we' re very inaccurate in the filing, and then we're not supposed to worry. I'll feel much better not worrying with a letter from you explaining why I shouldn't worry. |
| Armstrong: | Steve, what's at your email? |
| Becker: | Sbeckergfoleylaw com. |


| Armstrong: | Sbecker? |
| :---: | :---: |
| Becker: | Yeah, "S" as in Steven, "becker." |
| Armstrong: | Got it. |
| Bernstein: | okay. Let's just go through this with you, Steve, so we can get the next step done. |
| Beaker: | All right. |
| Bernstein: | Which is correcting the issues. Are you with us on page 13 ? |
| Becker: | Right. |
| Bernstein: | Okay. Jim? |
| Armstrong: | On page 13, 1ine 19, the expression of VNH should follow the way we express it in our definitions, which is VIH. Even though the two are equal, let's just follow the way that we have it expressed in our definitions on page 12. |
| Becker: | Oh, I see. Okay. |
| Armst rong: | Then on line 23, each of those expressions is not congruent with the way we've defined them. Despite the fact that we arrive at the same results, it doesn't apply the formula in exactly the same way. So for a reader, it ought to be the same. So for line 23 , it should be the "square root of 2,560,000 times 1.25." |
| Becker: | All right. |
| Armstrong: | Okay. Not "2560 divided by . $8 . ⿱$ |
| Becker: | Okay. |
| Armstrong: | On line 24, it ought to be "1789 divided by 1.25." |
| Becker: | I see. Okay. |
| Armstrong: | Then on line 25, it ought to be "1441 divided by 4." Again, the results are the same; the expressions are not. |
| Utley: | Nom, on that last one, Jim, it's correct. |
| Armetrong: | It's mhat? |
| utley: | The scan density is 1789 divided by 5 . |
| Armstrong: | Okay, hold on. Scan density is defined by us as being... where the heck is it...oh, it's right up above..."target image height..." right up above on line 7..."minimum scan density is target image height," which in this case we just defined to be 1431... |
| Utley: | Where are you reading from? |
| Armstrong: | Line 7 of the same page. Line 7, page 13. So target image height is 1431 divided by the source image height, which is 4, so it should be 1431 divided by 4. |
| Utley: | Well, the...yeah I quess that that equation, "MSD equals TIH/SIH," did not come from my documentation. |
| Pratamandrama | Hold on, let me look at this documentation. I've got it right here, too. |
| Bernstein: | Well, Steve, you have copies of this, too, that were sent to you... |
| Becker: | Right. |


| Bernstein: | .. Of what Brian's looking at, several days ago. So how isn't this stuff flowing Eorward into the patents, especially when we pointed it out two times before filing? I mean, I'm just dumbfounded at this. |
| :---: | :---: |
| Utley: | There was a change, Steve, which you were not involved... |
| Becker: | The proper equations, that $I$ wasn't there the last night when the last changes were put in, so I can't really speak to it. |
| Bernstein: | No, but he sent you his changes several days ago. |
| Utley: | Steve, there was a change that we decided on wit on whednesday afternoon, which was to reflect aspect ratio as width divided by height, which wh I wh made, and that was created by the desire to reflect aspect ratio the way that displays are wh wh expressed as opposed to the way photographic images are expressed. Photographic images are expressed the opposite way, and that's the way the documentation mh whad been originally prepared. But it was thought that it was wh perhaps more consistent with current technology to express it the way that displays are expressed. So I went through and changed... |
| Becker: |  |
| Utley: | Yes. So that caused the equations to be reconstructed to reflect the mhe inverse of what was there before because the affect ratio now is inverted. |
| Becker: | I see. |
| Utley: | And what happened was Doug apparently did not pick up all of those changes, even though I went through them very methodically the last thing thimednesday night when he sent me $h=4 \mathrm{f}$ whis ahost-final draft. |
| Becker: | I see. |
| Utley: | Wh And un, Jim, just for your uh edification, that also affected the MSD shifting from a height to a width orientation. The number is the same, but it changed it from a height to a width. |
| Armetrong: | So what's the correct formula for MSD? |
| Utley: | It's TIW/SIW. |
| Armet rong: | Okay. |
| Bernstein: | So, you made this change with Doug, and it's still wrong in the patent? |
| btisu; | Fictt |
| S. Bernstein: | I'm a little concerned about the proficiency of the legal aspect of this. We sat there for hours, and then Brian stayed late into the night with this guy, and then he comes back and we don't file it right anyway? It seems like there's something wrong here. I mean, ... |
| Bernstein: | I mean this is, yeah... |
| S. Bernstein: | I mean, I'm just budding in because I have little or no knowledge as to what the numbers mean, I'm just listening to a conversation in which I'm hearing is that after four or five hours in a room locked together with lawyers and everybody else, we reach an agreement that those changes will be made. Now, my understanding is Brian stayed and made those changes, and then the lawyer didn't file the changes? what's the sense of that? |
| Bernstein: | These are good points. Let's move forward, Jim. |
| S. Bernstein: | These are points that have to go back to stockholders with money invested. |
| Bernstein: | That's why I've asked Steve to send us a letter of what's happening, what our exposure is, by Monday or Tuesday, explaining how this didn't occur, get in, and what we're going to do to resolve it, and what that resolve initiates in the chain of events. |


|  | Well, the other side of it is this. If after all of this precaution has been taken-and Brian, you can correct me if you think different-but after all of this precaution has been taken, it appears that the fallacy of worrying about it ever gets accomplished. Brian stays, everybody works on it, it's still filed wrong. Now what if Jim Armstrong hadn't caught it. Brian was on a plane today... |
| :---: | :---: |
| Bernstein: | Then none of Brian's changes even sent several days ago even would have even been in there. Math would have been wrong, equations would have been wrong, verbiage would have been wrong. |
| S. Bernstein: | Am I right, Brian, in having this concern? |
| Utley: | G Well, yeah, obviously it's uh clearly $\qquad$ h a major concern because there's nothing more disciplined than the $\qquad$ mathematical expressions. |
| S. Bernstein: | And you're comfortable that what you did, even if some of them were wrong, that we could have later corrected... |
| Bernstein: | No, Dad, we sat here with Brian and Jim and Dolug, and we went through it, and we all agreed it was right, and those changes do not appear. |
| Utley: | No, we... |
| S. Bernstein: | That makes me very nervous. Well, it makes me nervous to the extent that are all of the other patents done right? |
| Bernstein: | Well, that's what I'm...I'm going to start having somebody review all of this. I mean, obviously there's...it opens up a whole can of worms. |
| S. Bernstein: | Well, the other thing that $I$ heard was-and not negatively or anything else-but I heard that perhaps Ray [Joa" did this work and he was either concerned about it being a bit sloppy, blah, blah, blah, blah. What is the excuse for this law firm? |
| Bernstein: | Well, let them write us what's happened here. I mean, I definitely need to see on paper, Steve, some kind of report on this. That it describes what occurred, why it's not reflected in the patent filings, and what our exposures are, and that'll tell us what we're dealing with in firm, etc., liabilities. I mean, we don't know that. |
| Armst rong: | We should continue to look at the changes so that he's copy that reflects everything. |
| S. Bernstein: | Well, even if there is no liability, what I'm still concerned about, even if it can be corrected, it's the exact same position-Brian, am I right?-that we found ourselves in with the last lawyer who did it. Okay, thank God we can make changes, but that isn't the answer. why not just get it right, get it filed... |
| Bernstein: | No, don't just say thank God we can make changes, Dad, because all of that brings additional liability to you. You miss dates, you miss claiming, you miss this and that-words that are very tricky and confusing, and only these guys can understand. So that's why I need it to be put in writing so $I$ can have it analyzed... |
| S. Bernstein: | Absolutely, I want it definitely, because I need to take it...you know, I need to have board member approval... |
| Bernstein: | Oh, I think our board is going to be disastrous with this stuff about several things when we take this to them. And we need to know from the Ray [Joac\} level to the Foley-[Larber] level, how this is going to be cleared up and what the problems were that occurred. |
| S. Bernstein: | Okay, let's get that part in process; and it's unfortunate that Doug's not here because maybe it's something he could explain. |


| Bernstein: | No, I talked to him this morming; and as a matter of fact, he said steve had the math Erom Brian days before and by the time he got it, he thought it was all input correctly, and that was his excuse. |
| :---: | :---: |
| S. Bernstein: | Well, what was he doing here with Brian? |
| Bernstein: | Well, then we spent a whole day with him correcting it all so that it was right; and then by filing time, none of it was right. So, let's go forward. Let's just stay on track. We'll deal with all of these issues on Monday. |
| Utley: | Uh I just say one thing. Wh Fotutately, un i dort facur The most important part of the math is all of the definitions. The examples are examples; but the most important part of the math is the are the definitions. |
| S beckerbemut | etr: Okay, are those right? |
| Armstrong: | No. Well, there's one that's not, we just fomain ont flat 7 of page 13... |
| Bernstein: | Is wrong. |
| Armstrong: | Is wrong. It should read. |
| Bernstein: | ..."[ ] equals TIW/SIf." |
| Utley: | They are mathematically whe equal. Both will give the same results. Eo It's a al consistency question as opposed to an accuracy question. |
| S. Eernstein: | And for a reader, it would probably be easier to be consistent. |
| Wtley: | Absolutely. |
| S. Bernstein: | That's what we want. As long as we' re spending all of this money and everybody's devoting their time to it, we want it to right-as right as you can possibly get it at any rate. |
| Bernstein: | Okay, Dad, let's move forward. |
| Armetrong: | That changes one thing on line 25. The expression on line 25 is now correct as it was typed, so scratch out my handwriting. okay? All the other corrections stand as I explained them earlier. Now, on the last line of this page, that should read: "480 x 320." |
| Utley: | That's correct. |
| Armetrong: | Okay. Then on line 6 of page 14, I think we should consistently state which is width and which number is height becalse it's such an important distinction in the calculations. Wie did it on the previous example, but not on this one. |
| Bernstein: | Maje then is midth hesphe |
| Emetrama | Whathe [\} height ie a |
| Utley: | And that is what we had agreed upon on Wednesday afternoon. |
| Bernstein: | Right fhat chanxes aqum in ambute |
| Armetrong: | Okay. Line 17, again we're just missing that square root symbol in order to make that equation work. Without the square root, it's millions instead of thousands. Now, in line 19, I had originally indicated this was correct; it's now incorrect because of our change in the formula for the density for the maximum scan density. |
| Bernstein: | Steve, are you getting all of these? |
| Becker: | Yep. |
| Armetrong: | This should now read in line 19: "1789 divided by 5 equals 358." |

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Becker: "1789 divided by 5 equals 358?"
Armstrong: Yes.
Becker: All right.
S. Bermstein: Steve, I have a question to ask you.
Becker: Yes.
S. Bermstein: when Jim or Brian or anybody gives you these numbers, are they checked out by
    anybody, or do you just copy what we say and that's it?
Bernstein: No, they definitely don't copy what we say. That's an initial problem here, Dad.
S. Bernstein: Okav, I don't mean to be sarcastic.
Bernstein: No, but they would normally as mathematical people add up the equations.
S. Bernstein: Yeah, because your partner was telling me that most patent lawyers are engineers,
    which would lead me to believe that somebody would say, "well, I better
    check the math to make sure that guys who are not engineers know what the
    hell they're talking about." Is that done by your firm, or is it just
    accepted as gospel what we give you?
Becker: We don't have engineers or technical people check the math that you provide us.
S. Eemstein: Okay, so what we provide you, then, we live and die by?
Becker: Okay. Your job is to get that right.
Bernstein: Right, but what we did give you, you didn't provide in the patent.
S. Bernstein: Okay, we're trying to say the same thing.
Bernstein: Okay.
Armstrong: Let's just get it right.
8. Pegmstein: At this point we're only interested in getting it right.
Ambtronc: Line 27, that should be "36@H" for the height.
Bernstein: Which page?
Armstrong: Line 14, third-to-last line of the page.
Bernstein: Okay.
Armstrong: Now we're onto page 15. Again, we just need that square root symbol as indicated
                        there.
Becker: Okay.
Armstrong: Then there is nothing on the next few pages until we get to page 18, this is an
                important omission for our calculation standpoint, but we need that square
        root symbol.
Becker: Okay.
Armstrong: Then I'm going to skip for a second this discussion on minimum scan density here
        because I want to talk to...go with Brian's comments, too, but on line 10,
        the correct figure is "l.33 equals l.33."
Becker: Okay.
Utley: Yeah, that wasn't picked up from the other...from above, the aspect ratio.
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| Armstrong: | Line 15 , the square root symbol again is missing from that sane equation. And then finally, I don't see why, in this example, or any digital example where we have no scanning to do, why we should even include any reference to minimum scan density because the only application of scanning in a digital world is if we were to print a digital photograph and later scan it, in which case we'd follow the print formulas, not the digital formulas. So, my suggestion here is that we change the sentence, beginning on line one, to end after the word "dimensions"...actually, strike the mords "and minimum scan density" and also to eliminate line 23. Do you agree, Brian, that there's no reason to have that there? |
| :---: | :---: |
| Utley: | It certainly doesn't add anything. Uh It doesn't ut ye subtract anything. |
| Armstrong: | It just added confusion to me as a reader when I thought, "How do I calculate that?" and then realized it's not...we're not scanning anyway. why ask someone to determine something that is not included as a step of the process? So I think if everyone agrees, we should strike the words "...and minimum scan density" in line 1 and 2... |
| Utley: | No, what I would do, I wouldn't do that. what I would do is simply say, "...image size and dimensions" and then add a new sentence which says, "Minimum scan density is not required since we are dealing with a digital image." |
| Armst rong: | That's fine, too. Then let's strike line 23. |
| Utley: | No, I'd leave that in. |
| Armstrong: | It's redundant, but that's okay. Do you see any other problems with the formulas? Did you review all of this again today, Brian? |
| Utley: | Hi Ife fret ar In here not reviewed anything today. I wasn't aware of the |
| Armst rong: | Okay. So that covers my comments on that. |
| Bernstein: | And, steve, do me a favor. When you guys draft this letter, draft it to si and Brian. Okay? I'd like to be cc:'d on... and by the way, I'd like to be cc:'d on any correspondence of anybody to do with the patents. |
| Becker: | Okay. |
| Bernstein: | One last thing. Doug mentioned that you had a file from Brian, a spreadsheet that part of the spreadsheet matter is not incorporated in here. He didn't know why...he couldn't explain why. I was wondering what that matter is, and where is it? Are you aware of that? Because he referred to you. |
| Becker: | Dis nemo me? |
| Bernstein: | Yes. |
| Utley: | Gh mithat's probably the image sizing spreadsheet. |
| Becker: | Image sizing? |
| Utley: | Yeah, I sent you two files on Monday. |
| Becker: | Okay. Actually, you sent three all together. Oh, you sent three emails, and then the last one had two of them. |
| Ttley: | Right, the last one had two files: both the image sizing and the process. |
| Becker: | Oh, you'faye got the macro, and then you've also got the description of the math. Now, what did you want included that wasn't? |
| Bernstein: | Well, Doug said it should have been included, but it wasn't...the rest of that sheet. |
| Becker: | What? |
| Bernstein: | I don't know. Whichever half's missing. |


| Armstrong: | Hold on one second...I don't want to confuse Steve. We do not want you to cut and paste out of those documents into thise patent filing. Those documents do not reflect the way we want to express the math. |
| :---: | :---: |
| Bernstein: | Right, but we might want then in there, B , correctly. |
| Armstrong: | What? |
| Bernstein: | We might want them in thein corsectiv... |
| Armstrong: | yrymerne just went through it. It's now correct. If he employs all of the changes we just all agreed to... |
| Bernstein: | No, but there's another sheet that's not reflected here. |
| Armstrong: | Well, yes, I do want to talk about that. The macro, right? |
| Bernstein: | Right. Can you forward that file to us-the Excel sheet-to Jim, me. |
| Armst rong: | Just have Steve forwarded the whole email back to you. |
| Bernstein: | Well, he doesn't have it in front of him, and Erian's got it right here. |
| Utley: | No, I sent it to you. You were copied on it. |
| Bernstein: | Okay. Let's just get the most up to date...any changes. |
| Becker: | Yeah, Brian, remember, we made a decision not to file the claims directed to your macro-we made that decision last...a week before the... |
| Bernstein: | Why? |
| Becker: | ```Because it was going to involve some additional work, and we didn't have time at that point; and it was all new matter that wasn't going to claim priority to anything, so...``` |
| Bernstein: | Well, what's new matter? If the math is part of describing the invention, then it's not new matter, according to what Doug's told me four times now. |
| Becker: | Well, Eliot, as you recall, you always have to look at the claims of the application, and that defines the scope of your protection. The claims will also define...also have to be supported by the specifications. We were going to direct claims to the idea of using... of having a macro program, which is useful as a tool, to do these calculations in a rather simple process. |
| Bernstein: | Okay, that's fine if you want to just claim a macro. That does it as a simplified process and add that as an additional patent for us, but the underlying math of it should all be applicable to the invention since it's just derived off the invention. |
| Becker: | Yeah, math... |
| Bernstein: | So it's not new matter, it's just an understanding of the matter. I mean, I swear we went through this four times the other day with that conclusion. |
| Becker: | There are two files that Brian sent me. One of them was an Excel spreadsheet having six pages, and all of that material was included in the application in pretty much cut-and-paste format. His pages 2, 3, 4, and 6 were the examples, which I just cut and pasted as soon as I got them from Brian because they defined it all very particularly. |
| Bernstein: | Okay, now you need to get back your record of that because $2,3,4,5$, and 6 that Brian is sitting here showing me, were never in these patents yesterday. So cutting and paste, you must have put them in the wrong document. |
| Utley: | Those are the examples. |
| Bernstein: | $\begin{gathered} \text { But those weren't...that's not what ended up in there. } \\ 15 \end{gathered}$ |


| Utley: | They pulled these pictures out and put them as a $\qquad$ figure sheet on the back, un and then whe we mentered... |
| :---: | :---: |
| Bernstein: | Wrong math. |
| Utley: | ...the formulas in the body of the... |
| Armstrong: | Hey, right. B, are those images...are you looking at the figures? Are all of these figures in the patent application. |
| Utley: | We should be on figure 7 . |
| Bernstein: | Steve, figure 7? |
| Becker: | Okay. |
| Bernstein: | Are you looking at it? |
| Becker: | Not in front of me, but I recall writing it. |
| Bernstein: | Tim, figure 7, what do you see? |
| Armst rong: |  patent application, that I was mailedhit 1. |
| Bernstein: | It's not part of that final patent? |
| Armet rong: | I don't know about that, but it didn't come as part of that word document. |
| Bernstein: | Mat'e mat I just sert yoy, That's supposed to be the final revision of the patent. |
| Becker: | We have to scan the drawings into a word document; so if you just mailed the word document, you probably didn't get any figures yet. |
| Emstronc: | Probably the figures were left off of that zi. |
| Bernstein: | Okay, do you have your patent application? |
| Armstrong: | I've go the one we reviewed on Tuesday dedinesiay. |
| Bernstein: | And what's in there? |
| Armstrong: | All the figures. |
| Bernstein: | Right or wrong? |
| Armstrong: | You know, I don't know. I didn't...Brian, was figure 7 changed at all with the restatement of our aspect ratio? |
| Utley: | Yest There were some additions that I made for clarification purposes. il If you look at the first page of the imaging process, where it says, ali the third box down, it says "viewing image," wh inserted wh "sIR less than DwR" to tie it to the equation above it. And then in the one, the bottom ${ }_{-}$ $\qquad$ it has the expression "sIR greater than BwR," again, $\qquad$ tie it to the equation above it. |
| Armstrong: | Yeah, because those two don't have a distinction, figure 7 as it is now. |
| Utley: | Right. So that simply ties the image to the equation. |
| Armstrong: | So do they have...have you sent them an updated amendment? |
| Utley: | Yeah, that went out ur late mednesday afternoon. |
| Armstrong: | Okay, we've just got to make sure that the corrected figure 7. |
| Bernstein: | Steve, can you fax us the fileg patent? |
| Becker: | No, I can't find it. I guess Doug took care of this Erom.. |



| Armstrong: | Yes, page 22 in my printed on. |
| :---: | :---: |
| Becker: | Okay. |
| Bernstein: | Okay, hold on one second because I want to get my notes. |
| $y+7 \mathrm{ys}$, 5emi | -i: What page is that, Jim? |
| Armst rong: | Rake 2 Y You don't have it, Etane. |
| S. Bermstein: | Because I don't have 22. |
| Armstrong: | Want me to fax it.. email it to you? |
| S. Bermstein: | No, that's okay, he's going to explain it to me. I want to see if I can't understand this. |
| Becker: | Sure. It's very sort claims, seven lines long. It actually defines the scope of the patent protection that we are trying to obtain in this filing. |
| Armstrong: | Who are we waiting for, Eliot? |
| Becker: | I titambelieve so. |
| Bernstein: | Yeal I'm up front. We're waiting for Brian again. |
| Becker: | Let me know when you're ready. |
| Bernstein: | Okay, Steve, Brian stepped out for a minute, but I still want to address this issue. We invent something. I hire a mathematician. The mathematician solves the $X, Y$, and $Z$ of the invention. Does he claim a new patent for himself? |
| Becker: | Probably not. [Inventorship] typically follows with the comeption oz invention. If somebody else figures out how it was done, generally speaking that would. |
| Bernstein: | Well, I want to be very gls aleax on this because Doug's thinking...I don't even know if then the next statement is correct or incorrect, but if a macro was created using the math that comes from the imvention, where does it Eollow? Brian, I just asked him, if I hired a mathematician to do the math, put all of this into a thing, where does this follow. He says the invention, the inventor, etc. The guy you hired to do math wouldn't claim a new patent or a new invention, which is confusing to me because Doug now, as of this morning, told me that you're planing on filing a separate patent as inventor of a macro that just spawns off the math entitled to this invention. So I'm confused, and I want to be very specific on this of what our strategy is here on all of these peripheral pieces. |

$<$ End Side 1 ; begin Side $2>$

Bernstein: Why don't you explain that to me again.
Becker: Can we go ahead with describing the claims?

Bernstein: well, do you want to just finish that real guick, and then we' ll go right back to the claims?

Becker: Okay, now what was the question you posed me, Eliot?

Bernstein: I hired a mathematician to solve for what I did. He comes up with an equation. Where does that equation belong? Does it belong filed as another aatent? What's the inventorship, so to speak? And then, I design Erom that math a macro that solves that math with input fommula. How should we be protecting that the whole way through, because I seem to be very confused about what I'n being told each day.
S. Bermstein: Okay, let him answer the question.

|  | Inventorship follows whoever conceived the invention as claimed, and that's why the claim is so important because when you set Eorth in your claim what it exactly is that you're claiming, you have to ask who conceived of that idea-who was the first one to come up with it. So, typically if somebody really reduces your idea to equations that describe why it works or how it works, typically they would not be named as a co-inventor because they really didn't invent the idea. Now if you wanted to claim a macro which has user-input displays for receiving certain data that can be used by, say, a technician to determine the scan density of a print film image that would allow for the desired enlargement ratios and the desired target image size, that kind of is a separate idea, and that's why we thought it would be useful to claim that as a tool as well. |
| :---: | :---: |
| Bernstein: | Okay, and I understand that part. I don't mind claiming that all day long. |
| Becker: | Brian really was the one that built that and came up with it. It's based on principles that you learned, you know, a few years ago that maybe you didn't understand the math behind them, but certainly, I would think, be named an inventor on that. |
| Utley: | I think that would probably claim both Eliot: and myself as it relates to both aspects. |
| Becker: | Right. But the important thing with the patent office is that it is...the patent office realizes that it is a bit of a grey issue in terms of who conceived what, so the important thing is not to have any deceptive intent. |
| Sowectrexta B. Pemetein: | rong: I think the most important thing is the distinction between inventorship and ownership. As I understand, all of this, every one of the patents that we have filed, all rights, title, and interests are iviewit's, regardless of who the author/inventor is; and any revenue stream derived therefrom are iviewit's, and that's the important thing. Is that true, despite and in light of the [ $\qquad$ ]? <br> well min that e Mry very next question |
| Ematyonas | ```, because we could put anybody as an inventor; but as long as that doesn't entitle them to a disproportionate share of any revenues derived therefrom, then I don't care.``` |
| Becker: | Yeah, inventorship or ownership initially vests in the inventor or inventors who are named in the application; but typically, inventors are under some obligation to assign to a corporate entity, either written or by cause of their employment-and you can get into the issues of shop right...you know, if somebody invented something on the corporate time and then went and. . you know, it wasn't really part of his job description, I know this issue's going to be a little more tricky. But I think in this case... what we do typically as a practice to confirm omership is to have the inventors sign a written assignment document over to whichever corporate entity they want to... |
| S. Bernstein: | But haverit we followed that? |
| Becker: | We've got those documents. I don't think we have them all signed and filed yet. |
| A Bembetma | trong: Let's get them. |
| Utley: | Well, Doug was doing that on Tuesday while he mas here. |
| Becker: | Okay. Did you do some signing of documents, Jim and Eliot? |
| prarstara\% | Yeah. Right. |
| Becker: | Okay, so that's in process. |
| Bernstein: | Okay, and masn't really the intent of my question. The intent of my question is to define, for my understanding, what should claim back to Ray [Joao's] patent, and that means that everything other than a macro shell should define back to the original patent and be filed, corrected, anended, however we get it in to the original patent documents since none of it's |

new matter, it's just an explanation mathematically on every equation of what happens.
S. Bernstein: That's what I heard at the meeting.

Bernstein: And that is exactly what I've heard, repeated; and then this morning, it was completely opposite, and yesterday is was a little opposite-a little-and, you know, I've become very confused about which strategy we're taking, which road, because we decide something, and then it's changed, and we're doing something else, and I'm completely lost.

Becker: I think I can make this very clear for you if you'll give me an opportunity.
Bernstein: I will.
Becker: Let's take a look at claim one. Claim one states that what you're claiming is a method of providing a digital image file for viewing on a user display in a viewing window that has a predetermined size, and the method includes one step. The step is, very broadly stated-so bear with me here-providing a digital image file having a image size comprising a fised number of pizels representative of an image wherein that inage size is greater than that of the viewing window size. Now the broad concept that we're trying to claim here is being the first ones to provide a digital image file that has more data than is needed for the window size. And why are we trying to claim that? Because that allows you to zoon into the image without pixelation, and it allows you to pan around the image to corners that maybe are not shown in the original viewing window. Does everybody understand that?

Bulug. Berneten: I think so.

## Armstrong: Yes.

S. Bernstein: I think we're on the same line.

Becker: Okay. So now the question becomes: Did we support that claim with relevant descriptions in the specifications. And what's our standard? Our standard is that we have to provide enough disclosure in the specifications to enable somebody to make and use that invention as claimed. This person needs to be somebody of ordinary skill in the art-in other words, sonebody who can read this document and maybe has some technical background in imaging or image processing, for example, and can read what we've put in our document and can perform our methods claimed. Okay? Everyone with me so far?

Bernstein: Um, hm.
Becker: So we look back into the document that was filed on fednesday and we say to ourselves, "Did we provide enough information in that docurnent to allow somebody to teach somebody how to make and use a digital inage file that has an image size greater than the viewing window size?* And one might argue that stating the solution in itself almost provides enough information to one of ordinary skill in the art to actually reduce this to practice and to make and use one. However, we've provided not only a description of several different ways of doing it, but also some examples, including math, that should make it abundantly clear to one of ordinary skill in the art how to do it. The test is whether it would require undue experimentation on the part of this fictitious person of ordinary skill in the art to make and use a digital image file having these characteristics. So the question you need to ask yourself with respect to this application is: "okay, maybe there was an error or two in how it was expressed in examples or the number of pixels counted or division here or subtraction there, but was there enough in there to enable somebody, based on those teachings alone and, of course, their background, to make and use an image file having those characteristics?"
okay.
Becker: And I think, based on a reading of it and based on what Jim just walked me through in these corrections that need to be made, that there probably was enough

|  | in there. That there probably is. I mean, we've described in several different ways how to do it with print film images or with digital images. We described in generally, and then we went and described it specifically. |
| :---: | :---: |
| S. Bernstein: | Okay. Can I ask you a question? |
| Bernstein: | Wait, Dad, because that still doesn't answer my question. That answers this issue here. |
| S. Bermstein: | Let him finish with it. |
| Bernstein: | Okay. Are you going to take this back to Ray's original filing on our.. |
| Becker: | Let me do that next, okay? Now, with respect to Ray's original filing on August $2^{\text {nd }}$ of last year, we asked the exact same inquiry when we review the specification that w'e filed on Wednesday: Did Ray's filing back on August $2^{\text {nd }}$ of 1999 provide enough disclosure and enough teaching to enable one of ordinary skill in the art to make this file? |
| Bernstein: | And we have a lot of disputes on that because it doesn't even cover zooming. |
| Becker: | Right, but what it does describe, if I recall correctly, is it does describe that you want to enlarge a print film image to a certain size and then scan it at a high density. Now it doesn't tell what density, it doesn't give a number of pixels, .... |
| Bernstein: | It doesn't talk about zooming in on the image. |
| Becker: | It doesn't tell the number of pixels, but it does show one way of doing it with a print film image. It doesn't talk about digital images...doing it specifically with digital images. It may refer to it generally, I don't know. But that is the inquiry. |
| B. Bremeteina | nstrong: If I hear you correctly, it is less important in the claim to say anything relative to zooming was in the claim to illustrate or to claim that the target image size is larger than the viewing image window because that is, in itself, your ability to have the zoom capability. |
| Becker: | You're right. You can claim it all different kinds of ways. This was one way that we worked out in conjunction with Eliot and Brian two weeks ago. This is one of the ways we worked out claiming the invention. |
| Epantremar | nsturne Because ultimately zooming is simply a feature of the invention. |
| Bernstein: | Okay, hold on one second. Steve? |
| Becker: | Yeah. |
| Bernstein: | When I look at Ray's claim one, "What is claimed: An apparatus for producing a digital image comprising a device for generating a digital signal file from a print film image and a processor for processing said digital signal file and for generating an image file wherein said processor generates a first signal file from said digital signal file, and further wherein said processor processes said first signal file and generates set image file." |
| Becker: | Okay. |
| Bernstein: | Okay, we all agreed that that is completely insane...to describe anything about our invention...whatever. |
| Becker: | I know it's all completely insane; but I think that with the claim that we drafted, ... |
| Bernstein: | Yeah, he missed the point. |
| Becxer: | Okay. |
| Bemstein: | Well, then, the claim we drafted, this was my question. It should be right here, in this claim, in the patent he filed to date back as far as I can to protect our dates, should be changed to the claim we just created. |


| Becker: | Oh, no, this application died on Wednesday, and it doesn't proceed to a patent. A provisional application... |
| :---: | :---: |
| Bernstein: |  Fe- |
| Utley: | This is the one that was filed March $24^{\text {th }}$. |
| Becker: | Oh, okay. |
| Bernstein: | By Ray [Joas]. |
| Utley: | So this was the PCT Eiling on March $24^{\text {th }}$. |
| Becker: | Okay, thanks. |
| Bernstein: | And my question is shouldn't the claims in this patent we just filed be exactly, if not identical, to the one...or should they be transposed to Ray [Joas's]? And it was my understanding from Dolug that for speed and if the patent gets through, etc., that we would rather have it be based on that first patent filing. |
| Becker: | That could be a recommended course of action. |
| Bernstein: | And this is going to get dejected. |
| Utley: | What we discussed on Tuesday...no, on Monday afternoon, was that one of our action items was to go back and review the wh March $24^{\text {th }}$ filing and decide exactly how we were going to integrate into that filing the whe che wh claims that $\qquad$ should be in there vis-a-vis the specification. |
| Becker: | Okay. |
| utley: | That was one of the action items that we wh covered on Monday afternoon. |
| Bernstein: | And now my question further goes to say that once we amend the claims, is there any way to amend the body? |
| Becker: | No. |
| Bernstein: | Even if we' re not adding new subject matter? |
| Becker: | You can amend the body if you don't add new subject matter. |
| Bernstein: | Okay, so we can fix Ray's mess. |
| Becker: | You can't add what we added in this application. |
| Bernstein: | which part? The math is just a description of the old matter, so therefore we should be able to add it. |
| Becker: | It's not supported. It's not suggested in the prior applications. |
| Bernstein: | Oh, it's all suggested because by the nature of the invention it's suggested. |
| Becker: | I think the patent office will never allow us to add all of that matter into the application. |
| Bernstein: | Okay, but we should add as much matter as we feel comfortable with to buff up Ray's original Eiling. |
| Becker: | Sometimes if you change a word or a sentence in a specification... |
| Bernstein: | Yeah? |
| Becker: | The examiner will outright reject it for new matter. |
| Bernstein: | Well, who cares? He's going to reject this for insanity in the first place. I mean, he's going to reject this for "what did you patent? Nothing?" |


[Centrec? Centrack?] to use and distribute your product. So that's well before 9/1; and these are some real critical things that depend on that date, if I'm not mistaken.

| Utley: | What contract? |
| :---: | :---: |
| Bernstein: | [Centrec? Centrack?]. The license agreement was signed on 8/10. |
| Utley: | The only thing we signed was a demo. |
| Bernstein: | A demo license, yeah. well, you were putting it up to comercialize on their siteon a public site. |
| 凹tley: | But there was no charge. |
| Bernstein: | But it's not a question of charge, according to Doug. Correct, Steve? |
| Becker: | I need to have some facts. |
| Bernstein: | Okay. We signed a demo to put up on a company's web site, and we did, our materials for public viewing so that they could identify customer response. |
| Becker: | Oh. When was this? |
| Bernstein: | 8/10. |
| Becker: | Okay. |
| Bernstein: | Now, there were conversations prior to that. |
| Becker: | Well, the upside is that we've got an application on file as of this past wednesday. |
| Bernstein: | well, what about changes? |
| 5 S Eextrei | atrorl: We have to deal with that one year of commercialization. |
| Bernstein: | If we're not wrong, and I hate to preach to a lawyer, but that seems to be my understanding. So I'd like to get what is claimed in this one into Ray [Joac's] immediately, if not, somehow sooner. |
| Armstrong: | Well, hold on, let him answer the question about commercialization. Would that be considered the first date of commercialization or a date of commercialization if there's one prior to it? |
| Bernstein: | There's not, but... |
| Becker: | Again, we have to start with the claimed invention... |
| Armstrong: | This was f foom \& mar imagery that we did for him. |
| Becker: | Okay. And the inquiry is whether or not... |
| Bernstein: | No, it's video, too, B, that we did. |
| Armst rong: | There was video, too? |
| Bernstein: | Sure. |
| Becker: | The inquiry was whether or not the claimed invention was on sale more than one year before the filing date of the application. |
| Utley: | This was a test program to determine feasibility. |
| Becker: | That actually works in our favor. The laws recognize sort of experimental use as sort of being a mitigating factor in some types of public disclosure. Typically i. it's a commercialization use, or to test the commercialization of the imvention, they're less likely to find it to be |


| Bernstein: | Well, then, that's definitely what it was. |
| :---: | :---: |
| Becker: | ...commercial use. |
| Utley: | Is there any difference, Steve, between...we signed an agreement to do that. |
| Becker: | Okay. |
| Utley: | There was no public visibility for another month. So which date will be the reference date? |
| Becker: | Would you call that a sale, that agreement? |
| Utley: | No. |
| Becker: | Okay. |
| Armstrong: | Were we ever paid anything by [Centrec? Centrack?]? |
| Bernstein: | No. |
| Utley: | No. |
| Armstrong: | Never. |
| Becker: | Okay, that certainly works in our favor if it wasn't an actual sale of your product. In that case, you look more at the public disclosure date. |
| Bernstein: | Well, that was the public disclosure date. |
| Utley: | No, that was September. |
| Bernstein: | No, it was this date because...well, whenever you put it up on the site publicly. |
| Becker: | When did you put it up on the site publicly? |
| Utley: | It was in September. It took us awhile to get there. |
| Becker: | Okay. No problem, then, right? |
| Bernstein: | If that's...I'm hanging my hat on a lot of things right there. |
| Utley: | If that's the date of reference. |
| Bernstein: | You know, I want to beat the $8 / 10$ day of signing a license agreement because $I$ don't know how that's going to be construed in court, nor do I care, when I can beat it right now. |
| Becker: | Let me ask the question again, Eliot, do you think that the application that we filed on wednesday does not provide enough information to enable somebody of ordinary skill in the art to practice or to make and use what we claim in claim one? |
| Armstrong: | I could argue it doesn't. |
| Becker: | Go ahead. |
| Armstrong: | I might just simply because the actual deployment of it...or employment of it...does require the correct execution of those formulas; and other than one particular error that is very, very difficult to moderstand unless you have been part of one of these conversations about the formulas. I mean, that you have to reverse-engineer the formulas to find out that the square root in that definition is missing, otherwise you'll end up with target image areas of an enormous size and be totally lost. You'll end up just having a goofy result. I mean, I think it could be argued, that you need to be able to apply the math to create the image. It could be argued that you can conceptually create what it is that we are conceptually defining, but it's more difficult to do that without a precise understanding of the relationship of targets of subject images and viewing windows. |


| Becker: | Well, let me turn it against you, Jim. That's a good analysis. I think it's interesting, but let me turn it against you and say if that's true, then our August 2, l999, filing doesn't provide enough disclosure to enable one of ordinary skill in the art to make this claim. |
| :---: | :---: |
| Bernstein: | On Ray [Joa's]? |
| Becker: | Guracteigit, what he. |
| Bernstein: | Yeah, that's why we want to change it before August $10^{\text {th }}$. |
| Armstrong: | You said the August $2^{\text {nd }}$ filing. This is the one we just did. |
| Bernstein: | No, the March $3^{\text {rd }}$ filing you mean. |
| Utley: | March $24^{\text {th }}$. |
| Bernstein: | March $24^{\text {th }}$, whatever. |
| Becker: | well, I guess I'm going as early as I can, which is why we tried to file on Wednesday...which is why we filed on wednesday, so we could get the priority on the provisional application which, if I recall, read very much like the March 2000 application. |
| ETEmbtedun | rstrone: The one you're referring to is the original provisional from August of 1999. |
| Becker: | Yeah. |
|  | metron: Saying that if my argument holds, we have nothing of solid validity in that particular document. |
| Becker: | No, what I'm telling you is that that document won't provide priority to this claim. In other words, our priority date will be wednesday of this year, not Wednesday of last year... or not... |
| S. Bernstein: | Because that provisional didn't provide somebody with ordinary skill in the art the ability to replicate what we did? |
| Becker: | That's exactly right. |
| Ranctayutue | : March $24^{\text {th }}$ |
| Bernetan | ..isn't that the one we're looking for? |
| Utley: | March $24^{\text {th }}$ ? |
| Bernstein: | Oh, no, that's the... |
| Utley: | We're looking for the August one. |
| Bernstein: <br> $<$ Two separate | No, I'm looking for the provisional this claime to. conversations going on at once; difficult to hear and follow...> |
| Becker: | Let me ask you this... |
| S. Bemstein: | Then that's to say-and maybe I'll question my own logic now-is it enough to say that somebody understands that in the viewing window that you create zoom and then create [ ] ability? |
| Becker: | As long as we just... |
| Armstrong: | That optimized the particular... |
| S. Eemetwinamstrone: And all we did was help to clarify... |  |
| Becker: | I think that's pretty convincing. You know, you don't have to enable all the ways of doing it; you just have to enable essentially one way of doing it. |


| Bernstein: | Okay. Despite all of this, I still want a firm yes or no. |
| :---: | :---: |
| Becker: | I think was actually critically really finally getting to the issue. |
| Bernstein: | No, yeah, we are. |
| Becker: | Away from the rhetoric of accusations and... |
| Bernstein: | Okay, okay, right, but. |
| Becker: | And fear-mongering and calling the investors. I think we've gotten to. |
| Bernstein: | Well, I mean, we've got to deal with things. These are real fears meaning we definitely have real issues. But looking beyond that, which is fine, I've got still an unanswered question: Does Ray [Joad's] set of claims change tomorrow, Monday, whatever, so that we can protect ourselves? Now you've agreed that's a good strategy, Doug's agreed that's a good strategy, but yet I hear no execution strategy, and that's what I want to make 100 s sure that I can get as much of what we've discovered into Ray's incompetent work, and I will call it that, as possible. And your work is far more superior. These are some issues, but, you know, there's issues...it's a large thing to grasp, and we'll get through it. But I want to change what Ray [Joao's] done, and that was my understanding that we're going to take the claims that we've discovered in this application you just filed and put them into that one, and that the worst that's going to happen is that the examiner will approve the earlier one of Ray and yours will fall away, the second one. |
| Armstrong: | Did somebody just join this call? |
| Bernstein: | No. |
| Armstrong: | Did you hear that beep, beep, beep? |
| Becker: | I did. I don't know if anyone has joined. |
| Bernstein: | Si? Si? |
| Armstrong: | Maybe he got off. |
| Bernstein: | Yeah. |
| Armstrong: | Okay. |
| Becker: | Well, let's do this, Eliot. Let's say that... I know you are concerned about the August $10^{\text {th }}$ date, why don't we say that we will make sone amendments to the claims in the prior filings you're referring to, and we'll clean that up as best we can and make sure that we have the claim amendments... |
| Bernstein: | <Aside to utley> This is the one we filed? |
| Utley: | <To Bernstein> That's the provisional. |
| Bernstein: | <To Utley> That's the provisional? |
| Wtley: | <To Bemstein> Right. |
| Armstrong: | What about correcting the math in the one from two days ago? |
| Becker: | Yeah, then again, I don't know what was filed; and again it appears...I really need to consult with Doug on that. |
| Armstrong: | Yeah, but if we're of understanding what we talked about today is what he filed, and I believe that's it, then what do we do to correct that? We should probably correct that by the $10^{\text {th }}$ as well. |
| Becker: | Okay. Right. That actually was more important with the $8 / 10$ date because these changes are considered to be better, then we need to get a filing out by that date. |


| Armstrong: | okay. |
| :---: | :---: |
| Bernstein: | And Steve, just to remind you on this point, I still definitely for a comfort level and to keep accusations at bay, just a letter of what's occurred, what my risks are, and what our strategies for execution are on this filing relating to as well fixing this one as well as relating it to Ray [Joad's]. If you could write that clearly to us, that gives us a lot of comfort level. |
| Becker: | All right. Hopefully what I explained today about priority will help. |
| Bernstein: | Well, this gives it the final touch of you can rest assured, I've got it in writing. That's what I need to comfort me that I've got a strategy, that everybody's on the same page, so to speak, so that page doesn't shift, so that we don't get off that strategy and we all stay focused on that one sheet. So that would be critical. And what is our next due date? Is that on the $10^{\text {th }}$ or the $8^{\text {th }}$ or something, or am $I$ missing... |
| Utley: | Well, the only reason the $10^{\text {th }}$ has any potential bearing is because that's when the test license... |
| Bernstein: | I'd like to beat that here, on this claim; because if we can beat the $10^{\text {th }}$ here on Ray [Joas's] filing, that's what we need to do there, right? |
| Wewnemanetyong | : That's actually not an important date for Ray [Joads] filing. |
| Bernstein: | Yes, it is. |
| Patatametroma | An important date for the Eiling that we did a few [weeks? days?] ago. |
| Bernstein: | No, no, it's the same date. Commercialization is commeroialization, and how it relates is the same here to us. |
| Wemewaymetromo | : okay. |
| Bernstein: | You know what I mean? |
| Becker: | Yeah, I guess I do. |
| Armst rong: | I'll make just one other general comment, Steve. Everyone else knows this, but you don't. I was just brought into this process Tuesday as the first time I've ever reviewed any patents. I've held them for Eliot in the past but never reviewed them; and was probably surprised with what I found was that it was an extremely important and at least, to my understanding, we had very little time to get it right, and we're now paying the price, of course. To the extent that that can be avoided in the future through careful planning, updates, and contingencies, I suggest we have a plan for that. |
| Becker: | Yep. |
| Armstrong: | So. Just an overall comment. |
| Becker: | That's a good comment. I think it's important to get things done as early as possible, and we certainly have tried to do that throughout the process. |
| Bernstein: | Steve, can you do me one last favor? |
| Becker: | Yes? |
| Bernstein: | Shoot over to Jim the three video patents we filed. He's signed a disclosure on it-the one you gave us-encompassing him for all patents. |
| Becker: | All right. Jim, what's your role? |
| Armstrong: | I'm the Director of Sales and Marketing. |
| Bernstein: | But he's also a shareholder. |
| Becker: | okay. |


| Armstrong: | I've been with this since before anybody else. |
| :---: | :---: |
| Becker: | I see. |
| Armstrong: | It was just basically me and Eliot and Guy before anybody else started, but I've never been involved in the patent review. |
| Becker: | Now you want me to send a copy of the filings...the video filings? |
| Bernstein: | Yeah. Can you just fax them to him? |
| Becker: | Sure. Let me make sure I've got this right. Okay. We've got three... no, five applications, about 100 pages. Is that fine? |
| Armstrong: | Yes. |
| Bernstein: | we have four. Sorry. |
| Armstrong: | Are they emailable, or no? |
| Becker: | Yeah, they are emailable. |
| Armstrong: | Let's do that instead. |
| Becker: | But then you don't have the figures. We can email.... |
| Armst rong: | Email those, and then just fax the figures? |
| Becker: | Yeah. |
| Armst rong: | Okay, cool. The fax number is 732-747-5569. Email is jimgiviewit.com. |
| Bernstein: | And there's five video patents now. Correct, Steve? |
| Becker: | I'm looking at my chart here: three US and three corresponding PCT [ ] applications that we wrote, and then there's a PCT video playback-that was the video playback invention- |
| Bernstein: | Right. |
| Becker: | And I think that's all. |
| Bernstein: | Great. Let's get those out to Jim real quick. I'd like him reviewing those by the $8 / 10$ date. Any changes, we're obviously going to try to revert to keep our 8/10 day as onr commercialization day, giving us a little buffer if we're wrong. |
| Becker: | All right. |
| Bernstein: | You know what I mean? I mean because we don't know how people will interpret in the end what [Centrec? Centrack?] was, but to beat it would definitely give us a greater argument. |
| Becker: | Yep. |
| Bernstein: | So, all right, we'll pick this up...you're going to make those changes on this patent, correct? |
| Becker: | I'm going to wait until I speak with Dong. |
| Bernstein: | Okay, great. |
| Becker: | To find out what was actually filed, and then we'll decide how best to proceed with anending that. |
| Armstrong: | Steve, one more clarification. Did you say we have or have not had successful closure on the signing over of inventors' patents to the company? |
| Becker: | I can't speak to that; Doug is working on that. 29 |


| Armstrong: | Okay, will you put that in our list of things to do...or your strategy that that gets completed? |
| :---: | :---: |
| Becker: | Yeah. |
| Bernstein: | Yeah, and $E, ~ I ~ j u s t ~ s i g n e d ~ a s ~ w e l l ~ a s ~ B r i a n ~ a n d ~ J u d e ~ a n d ~ e v e r y b o d y . ~ I t ' s ~ a ~ l a r g e, ~$ thick document, so Doug should have an update, Steve, as to what is exactly signed. I think it was everything, correct? And we've got everybody here. |
| Armstrong: | I've got emails that indicate that that was all done mine months ago. |
| Bernstein: | No, it was, $B$, but then we filed patents; and then we thought the past was done, and now these new ones had to be done, so he came here, there was notaries here...it was, you know, it was a lot, but let's get an update on it. |
| Anetromg : | If fuet want to mee it in muthey |
| Utley: | In addition to that, everyone has individually signed a separate agreement with the company, cheving assigning to the company any intellectual property that's created as a result of their employment. |
| Armstrong: | That I know. The key inventions, I just want to see that they've signed over because that's the value of the company right there. That's what I owin stock in. |
| Bernstein: | Correct. Okay. So let's get an update, and I think we're pretty close. |
| Armstrong: | Okay. |
| Becker: | Eliot, why don't we go through the list of things that you've asked me to do so we can be perfectly clear on this? |
| Bernstein: | Okay. |
| Becker: | The first is to amend Ray's PCT application, at least the claims, so that we have a good filing there, at least based on whatever Ray has in his specification. That's task \#1. |
| Bernstein: | Claims plus any additional language that's not new matter. |
| Becker: | All right. |
| Bernstein: | Okay. |
| Becker: | You want a letter describing the... what was omitted or what was incorrect in this application filed Wednesday and to what extent that may have any bearing on rights. |
| Bernstein: | Correct. |
| Becker: | And also a course of action we feel is necessary to file new applications to amend these, make these corrections, or if there's something we feel we can do in an amendment that would not introduce new matter. |
| Bernstein: | And our strategy going forward on this. By the way, that would mean our strategy as well on the video, correct? Because if there needs to be changes and the date did stick at $8 / 10$, we need to make any changes we find by $8 / 10$, correct? |
| Becker: | Only if the changes are so substantial that they would jeopardize the ability of one skilled in the art to understand. |
| Bernstein: | Okay, so critical errors. Okay. If we find them. |
| Becker: | And that's why I think, you know...and if you're describing in your specifications how to make one, how to do it, provide most of the details. I mean, me've done a very detailed job of ... |


| Bernstein: | No, I agree. I'm not...I agree. I see all that here. |
| :---: | :---: |
| Becker: | Any time whatever we can get out of you guys in terms of describing how it works...that, in there when you describe a claim and there's an error, you know, there's an error in the math, will that dramatically affect and make it so somebody can't practice the invention at all, I don't know. |
| Bernstein: | Right. So if it's critical by 8/10, it should be resolved. Correct? |
| Becker: | With the video application, it doesn't help for us to go back and look at those. You guys go back and look at those and see if there's anything in there that you don't like. |
| Bernstein: | Right. And if we find something in the claim, for example, that we don't like, we need to amend it by $8 / 10$, right? |
| Becker: | No. |
| Bernstein: | Why? |
| Becker: | Because the claims have to be supported by the specification as filed back on those dates, which were sometime in June... |
| Bernstein: | Okay, but let's say all that fits, we also have the comercialization date. |
| Becker: | The commercialization date... |
| Utley: | I though simaudible comment to Bernsteins |
| Bernstein: | So we can go change the claims. |
| Becker: | Typically [ ] prosecutions, as long as they' re still supported by the specifications filed... |
| Bernstein: | Right. So if we find any mistakes, we should change them, correct? In the video patents? |
| Becker: | Yeah, as a general principle, that's a good idea. |
| Bernstein: | okay, good. All right. I think that sums up what we need. Send the letter to Si, myself, and Brian. |
| Becker: | That's not a complete list of what you asked for me to do. |
| Bernstein: | What else have we got? Sorry? |
| Becker: | You've asked me to email to Jim Armstrong the three video applications and the playback application-the one playback application- |
| Bernstein: | Right. |
| Becker: | Now with respect to the video application, we have both PCT and US filings. Do you want us to send both of those? They've essentially identical-in fact, they are identical except the... |
| Bernstein: | No. Just one. |
| Utley: | Send the US. |
| Becker: | ```All right, we'll send the US versions of those two. And we'll fax the figures. And element #4...Item #4 is to provide a written letter to Jim Armstrong regarding the assiqnment status of...``` |
| Bernstein: | Well, that's to everybody. That's to brian, Si, myself, Jim. |
| Becker: | Brian, Si, Eliot, and Jim. |
| Bernstein: | Right. Just giving us the update of where we are. |
| Armstrong: | I think it's helpful to commuicate to the shareholders. |


| Bernstein: | Well, let's get it first, then we'll commuicate at discretion, but I think we're there. |
| :---: | :---: |
| Becker: | Okay, then, in terms of general things going forward: Eliot needs to be cc: d on all correspondence relating to patents. Should we continue our practice of sending things to Brian? |
| Bernstein: | Yeah. |
| Becker: | All right, we'll continue our practice of sending things to Brian and cc:ing Eliot with copies. |
| Bernstein: | Right, and I'd appreciate if all that email comes to iviewit.com. Therefore, I have copied records. |
| Becker: | Are you saying you only want us to correspond with you via email, not letters? Not... |
| Bernstein: | No, but if it is emails, iviewit.com emails because that gives me complete copied records on tape backups. |
| Armstrong: | Dewdon't send anything to any of us at a domain name other than iviewit.com, if you send it in email. |
| Becker: | That's the instructions? |
| Bernstein: | Right. |
| Armstrong: | correct. |
| Becker: | Don't send to any other email address besides one of your names at iviewit.com. |
| Bernstein: | Correct. |
| Becker: | Okay. Anything else in addition to those items? |
| Bernstein: | Nope. Steve, I appreciate your taking the blunt end of this, I really do. |
| Becker: | Well, I just wish you would not... |
| Bernstein: | Well, we freaked out a little bit. You can understand that there's a reason to freak...I'm not just making this up. So based on that, let's try to resolve and move forward. |
| Becker: | Anything else? |
| Bernstein: | Nope. Thanks very much. |


| Comments | Problems with Application |
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| 101 - Here References 112 +113 claims, notitles 0101 - Here references (0118 but letter attached to fax is 117 , whats attached is |  |
|  | This folder disappears from MLGS files, it is referenced as general which then becomes 5865 2 |
|  | Was this assigned MLGS/PR - Fail to disclose inventors - Failure to disclose invention process - Files 3 months |
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|  | Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems |


$\stackrel{25}{3}$

True \& Correct
Inventors
Inventors
Listed On
Application


Eliot Bernstein | Eliot Bernstein + |
| :--- |
| Zakirul Shirajee + |
| Eliot Bosario |

Eliot Bernstein +
Zakirul Shirajee +
Jude Rosario

$\stackrel{\text { ® }}{\stackrel{\text { ® }}{\text { © }}}$
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Eliot Bernstein

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Assignee Type

Fail to correct inventors, fix
missing discloures \& notify
Operating other equipment
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activating others devices

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\begin{tabular}{|c|c|c|c|c|c|c|c|c|c|c|c|c|c|c|}
\hline Application Number \& MLGS \& F\&L \& BLAKELY \& Greenberg \& Status \& Ttile of Invention \& Inventors Listed On Application \& True \& Correct Inventors \& Filing Date \& Priority \& Country \& Assignee Type \& Comments \& Problems with Application \\
\hline \& \& \& P003 \& \& Prov Lapse \& \& Eliot Bernstein \& \& \& \& \& Bernstein to lviewit LLC to IH Assigned 01/06/00 Reel Frame 0105230497 \& \& Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline 60/141,440 \& 5865-4.1 \& \& \& \& \& Apparatus \& Method for Providing and/or transmitting Video Data and/or Information in a Communication Network \& Ellot Bernstein \& Eliot Bernstein + Zakirul Shirajee + Jude Rosario + Jeff (Eliot + Jeff on remote concept using video from EIB + ZS + JR \& 6/29/1999 \& \& \& Not Filed \& \& Remote control camera with video and zoom and cameramounts. Goes missing this invention. Joao has similar patents now. Missing inventors \\
\hline 60/141,440 \& \& 57103/105 \& \& \& Prov US \& \begin{tabular}{l}
Apparatus \& Method for \\
Providing and/or transmitting Video Data and/or Information in a Communication Network
\end{tabular} \& Eliot Bernstein \& \& 6/29/1999 \& \& US \& IH Provisional \& \& Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline \& \& \& P004 \& \& Prov Lapse \&  \& Eliot Bernstein \& \& \& \& \& IHI 01/03/00 Reel Frame 0105230574 \& \& Fail to correct inventors, fix missing discloures \& notify USPTO OED of problems \\
\hline \(60 / 146.726\)
60/146.726 \& 5865-6 \& \& \& \& Prov US \& \begin{tabular}{l}
Apparatus \& \\
Method for \\
Producing \\
Enhanced Digital \\
Images \\
Apparatus \& \\
Method for \\
Producing \\
Enhanced Digital
\end{tabular} \& Eliot Bernstein
Eliot Bernstein \& Eliot Bernstein + Zakirul Shirajee + Jude Rosario \& \(8 / 2 / 1999\)
\(8 / 2 / 1999\) \& \& \& Not Filed \& \& \begin{tabular}{l}
Missing inventors, missing proper disclosure \\
Fail to correct inventors, fix missing discloures \& notify
\end{tabular} \\
\hline 60/146.726 \& \& 57103/106 \& P005 \& \& Prov US

Prov Lapse \& Images \& Eliot Bernstein

Eliot Bernstein \& \& 8/2/1999 \& \& US \& \begin{tabular}{ll}
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Frame \& <br>
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\hline Application Number \& MLGS \& F\&L \& BLAKELY

P017 \& Greenberg \& Status

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Listed On <br>
Application

 \& True \& Correct Inventors \& Filing Date \& Priority Country \& 

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\hline | PCT/USOO/?? |
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| ??? | \& \& 57103/111 \& \& \& 4 PCT Pending \& System \& Method for Streaming an Enhanced Digital Video File \& \[

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\] \& Eliot Bernstein + Zakirul Shirajee + Jude Rosario \& 6/2/2000 \& PCT \& MISSING International \& \& | This becomes limited to streaming and has wrong inventors, even after they meet with inventors |
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\hline PCTNUSOOM? ??? \& \& 57103/112 \& \& \& 2 PCT Pending \& System \& Method for Providing an Enhanced Digital Video File \& Eliot Bernstein

+ Jude Rosario
+ Brian Utley \& Eliot Bernstein + Zakirul Shirajee + Jude Rosario \& 6/2/2000 \& 3/6/1999 PCT \& MISSING International \& \& This is really strange, it is a copy of the above app but we lose Zak and get Jude and Brian instead. The tille here is the correct <br>

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117
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 Apparatus \&
Method for
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Images Apparatus \&
Method for
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Images Eliot Bernstein $0002 / 01 / \varepsilon$

d Eliot Bernstein +
Zakirul Shirajee +



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## Title of Invention Application $\begin{gathered}\text { Inventors } \\ \text { Listed On }\end{gathered}$

| True \& Correct |
| :--- |
| Inventors |


$\begin{array}{ll}\text { System \& Method } & \\ \text { for Video } & \text { Eliot Bernstein } \\ \text { Playback Over a } & + \text { Jeffrey } \\ \text { Network } & \text { Friedstein }\end{array}$

Eliot Bernstein
Eliot Bernstein

+ Jeffrey
Friedstein +
Brian Utley

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# U.S. PROVISIONAL PATENT APPLICATION 

for<br>\section*{ZOOM AND PAN IMAGING} DESIGN TOOL

## Inventors:



Brian G. Utley
1930 SW $8^{\text {th }}$ Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.
disagreement as to ownership of the intellectual property.

By MR. SELZ:
Q. There was a dispute?
A. Yes.
Q. Did you ever advise the owner of Diamond Turf that you were going to patent these intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting those or after?
A. They were never, they were not patented.
Q. Okay. They were not patented. Was the application for patent made?
A. No.
Q. Since your employment with Iviewit.com or Iviewit, yeah, dotcom, LLC, what patents have you taken out in your name, sir?
A. I have not taken out any patents in my name, other than what has been appended to patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held by Iviewit and you're named as a co-inventor; is

[^40]A. Yes.
Q. And Iviewit would be listed as a primary patent holder; is that how it would be?
A. They were assigned to Iviewit.
Q. 'lhey were assigned to Iviewit. Are you aware of any police report that was ever filed involving Mr. Mike Real and yourself?

MR. PRUSASKI: Objection, relevance.

By MR. SELZ:
Q. Go ahead and answer the question, if you can, sir.
A. There was a dispute over the nature of the equipment that $I$ bought from Iviewit as --
Q. Well, that really wasn't my
question. My question was are you aware of a police report? And it's really a yes or no type of answer.

MR. PRUSASKI: Objection, relevance.

THE WITNESS: I believe there was a report.

By MR. SELZ:
Q. Okay. Do you know who filed that report?
A. Iviewit filed that report as far as

A Limited Liabu ti farthens hip including Law Corporations

Telephicne 1310; 207.3800
Factumle (310)820-5988 (310) 820-5270
astz_mall © C 日STE.COM
HWN BETZ COM
in IELLECTUAL PROPEATY LAW
12400 WILSHIFE BOULEVARD
SEventh Floor
LOS ANGELES, CA 90025-1026

August 4, 2001

Othea Offices<br>Austis, TX<br>Sunnryale, CA<br>Costamesa, CA<br>§AN DREOi La JOLLA, CA<br>Portand / Lake oswego, on<br>SEATLE/Klikland, WA<br>Denver/ Ehgleyodo co

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ATTORNEY+ClIENT PRIVILEGED
VIA E-MAlL
(And Confirmation By Mail)

Eliot Bernstein
IvIEWIT.COM, Inc.
505 North Brand Boulevard, Suite 1420
Glendale, California 91203
Re: Powers of Attorney for Six PCT Applications:

| Apparatus and Method for Producing <br> Enhanced Digital Images <br> Serial No. PCT/U500/07772 <br> Our File No. 005707.P009PCT <br> Foley's Reference No. 110 | System and Method for Playing a Digital <br> Video File <br> Serial No. PCT/US00/15406 <br> Our File No. 005707. P012PCT <br> Foley's Reference No. 113 |
| :---: | :---: |
| System and Method for Streaming an Enhanced Digital Video File <br> Serial No. PCT/US00/15408 <br> Our File No. 005707.P010PCT <br> Foley's Reference No. 111 | System and Method for Video Playback Over <br> a Network <br> Serial No. PCT/US00/15602 <br> Our File No. 005707. P016PCT <br> Foley's Reference No. 118 |
| System and Method for Providing an Enhanced Digital Video File Serial No. PCT/US00/15405 Our File No. 005707.P011PCT Foley's Reference No. 112 | System and Method for Providing an Enhanced Digital Image File Serial No. PCT/US00/21211 Our File No. 005707.P018PCT Foley's Reference No. 120 |

Dear Eliot:
Being e-mailed (and enclosed herewith) are six (6) Powers of Attorney for the subject PCT Patent Applications, one Power for each inventor named in any one or more of the PCT patent applications, and one Power for the corporation, Iviewit Holdings, Inc. Three of the Powers reguire your signature, as follows: (i) one by you in your individual capacity; (ii) a second by you in your capacity as designee of the corporation to sign on behalf of Brian Utley (we hope the PCT Office will recognize Utley's having granted a Power of Attorney to his corporate employer); and (iii) a third by you for the corporation in your capacity as its Secretary. Kindly sign where your

## BLAKELY SOKOLOFF TAYLOR $\mathcal{Q}$ ZAFMAN LLP

A LIMITED LIABILTY Paftenehship
Imcludine Law Corporations
Eliot Bernstein lviewit.com, lNC.

August 4, 2001
Page 2 of 2
signature is indicated on the three Powers of Attorney and return the original executed Powers to our office via mail (we need to have each Power with an original signature). Also fax each Power to us at (310) $820-5988$, to expedite the process.

As we discussed, we request that you also forward each of the three remaining Powers to Jude R. Rosario, Jeffrey S. Friedslein and Zakirul A. Shirajee, respectively, for their signatures. Kindly instruct each of them to execute the Powers and to return the originals to our office by mail. In order to expedite the matter, request each of them to fax a copy to us, if possible.

If you have any questions, please feel free to contact my Assistant, Jan Gass. We appreciate your attention to getting the subject Powers executed and returned to us. We will then attend to their filing with the PCT Office.

Best personal regards,
BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN, LLP


Norman Zafman
NZ/jg
Enclosures
cc: Ross Miller (w/Enclosures via E-Mail)

## P.S. to Ross Mitier:

Ross, please attend to getting a Board Resolution appointing Eliot as the corporation's designee for signing the subject Power on behalf of Brian Utiey. We talked about this in the context of giving Eliot comfort; however, the PCT Office may well request such a Resolution (in addition to a copy of Utley's Employment Agreement, which we already have).
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## Facsimile Transmission

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| TO: | PHONE: | FAX \#: |
| :--- | :---: | :---: |
| Brian Utley | $561-999-8899$ | $561-999-8810$ |

From: Barry L. Grossman
Sender's Direct Dial: 4142975724
Date: May 2, 2001
Cllent/Matter No: 057103/0101
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## MESSAGE:



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If there are any problems with this transmission or if you have not received all of the pages, please call (414) 297-5444.

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## FOLEY \& LARDNER

BRUSSELS
CHICAGO
DENVER
DETROT JACKSONVILE LOS ANGELES MADISON MILWAUKEE

FIRSTAR CENTER 777 EAST WSCONSIN AVENUE MILWAUKEE, WISCONSiN 532O2-5367 TELEPHONE (414)271-2400
FACSIMILE (414)297-4900


WRIER'S DIRECT LINE
(414) 297-5724

ORLANDO SACRAMENTO

SAN DIEGO
SAN FRANCISCO
tallahassee
TAMPA
WASHINGTON, D.C. WEST PALM BEACH

May 2, 2001

BY FACSIMILE
President \& COO
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: Provisional Patent Application No. 60/233,341
(Our File No. 57103/0123)
Dear Mr. Utley:
I sent you an e-mail message concerning the referenced application. As stated in that message, on September 18, 2000 a provisional patent application was filed on your behalf. The title of the application is "Zoom and Pan Imaging Design Tool". The application number is $60 / 233,341$. Our file number is $57103 / 123$. Mr. Boehm informed you of the filing of the provisional application in a letter dated September 22.

The application was filed without paying a filing fee in accordance with Patent Office rules that permit this procedure. In my letter of February 26, 2001, I advised you that the filing fee must be paid in order to maintain the pendency of this application. I received no response to my letter.

The time for paying the filing fee may be extended until June 4 at the latest by paying substantial extension fees to the Patent and Trademark Office. If you choose to send in the fees, I am forwarding to you a copy of the form that must be returned with your reply to the Patent Office, the Notice to File Missing Parts.

## Foley \& Lardner

- Mr. Brian G. Utley

Iviewit.com, Inc.
May 2, 2001
Page 2

We will take no further action in this case. Please let us know if you would like us to return this file to you.


| eliot |
| :--- |
| Note |
| There is mo carbon copy on this letter as Foley |
| was requested to provide on all patent matters |
| and it cones after Utley is terminated. Frior to |
| this the Company had no records of this |
| application. |
|  |

APPLICATION NUNIBER $\quad$ FILING/RECEIPT DATE $\quad$ FIRST NAMED APPLICANT $\quad$ ATTORNEY DOCKET NUMBER

# NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION 

FILED UNDER 37 CFR 1.53(c)

Filing Date Granted
An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 50$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ \mathbf{2 0 0}$.

A copy of this notice MUST be returned with the reply.

## Grossman, Barry L.

| From: | Grossman, Barry L. |
| :--- | :--- |
| Sent: | Wednesday, May 02, 2001 4:11 PM |
| To: | 'Brian G. Utley' |
| Subject: | RE: Provisional Patent Application |

On September 18, 2000 a provisional patent application was filed on your behalf. The title of the application is "Zoom and Pan Imaging Design Tool". The application number is $60 / 233,341$. Our file number is $57103 / 123$. Mr. Boehm informed you of the filing of the provisional application in a letter dated September 22. The application was filed without paying a filing fee in accordance with Patent Office rules that permit this procedure. In my letter of February 26, 2001, I advised you that the filing fee must be paid in order to maintain the pendency of this application. I received no response to my letter. The time for paying the filing fee may be extended until June 4 at the latest by paying substantial extension fees to the Patent and Trademark Office.

We will take no further action in this case. Please let us know if you would like us to return this file to you.
Barry Grossman
Foley \& Lardner
ph.: 414-297-5724
e-mail: bgrossman@foleylaw.com
The information transmitted is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking of any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you received this in error, please contact the sender and delete the material from any computer.

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| 191 MEMORY TX |  | 2030\#057103\#0123 | OK | P. 9,9 |

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| Mr. Brian G. Utley (Iviewit,com) | (561) 999-8899 | (561) 999-8810 |

From: Barry Grossman
Sender's Direct Dial: (414)297-5.571
Data: February 26, 2001
Client/Matter No; 57103/123
User ID No: 2030

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| From: | Barry Grossman |
| ---: | :--- |
| Sender's Direct Dial: | $(414) 297-5571$ |
| Date: | February 26, 2001 |
| Client/Matter No: | $57103 / 123$ |
| User ID No: | 2030 |

## MESSAGE:

If there are any problems with this transmission or if you have not received all of the pages, please call (414) 297-5218.

| Operator: | Time Sent: |
| :--- | :---: |
|  | Return Original To: |
|  | JLB |

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|  | Attornets at law | ORLANDO |
| :---: | :---: | :---: |
| BRUSSELS | FIRSTAR CENTEF | SACRAMENTO |
| CHICAGO | 777 EAST WSCONSIN AVENUE | SAN DYEGO |
| DENVER | MILWAUKEE, WISCONSIN 53202-5367 | SAN FRANCISCO |
| DEIROT | TELEPHONE (4 14) $271-2400$ | TALLAHASSEE |
| JACKSONVILLE | FACSIMILE (4\|4) 297.4900 | TAMPA |
| LOS ANGELES |  | WASHINGTON, D.C. |
| MADISON |  | WEST PALM EEACH |
| MILWAUKEE |  |  |
| EMAIL ADDRESS bgrossman@foleylaw.com | VIA FACSLMILE | WRITER'S DIRECT LINE (414) 297-5724 |

February 26, 2001

Mr. Brian G. Utley<br>President \& COO<br>Iviewit.com, Inc.<br>One Boca Place<br>2255 Glades Road, Suite 337 West<br>Boca Raton, Florida 33431

Re: U.S. Patent Application No.: 60/233,341
Filing Date: 8/02/2000
Title: Zoom and Pan Imaging Design Tool
Inventor(s): Utley, Brian G.
Our Ref.: 57103/123

Dear Mr. Utley:
A payment is due to the Patent Office in order to keep the referenced application pending. In order to expedite filing of the application and to defer payment of the filing fee, the referenced application was filed in the Patent Office without paying the filing fee. Patent Office rules permit this procedure. The filing fee is now due. In order to avoid abandonment of the application, we will need to file the executed Declaration with the necessary fees on or before April 04, 2001. If you want to maintain this application, please send us a certified check in the amount of $\$ \mathbf{4 2 0 . 0 0}$ as soon as possible so we can get this taken care of in an orderly fashion.

Because of the long overdue status of your account, we cannot pay the fees on your behalf without advance payment in full. We will require a certified check or if you prefer you may wire transfer the payment. I will send you account information if you want to use a wire transfer.

## Foley \& Lardner

Mr. Brian G. Utley
February 26, 2001
Page 2
If you have any questions regarding this matter, please do not hesitate to contact me.

## cc: Raymond Hersh




| APPLICATION NUMBER | FILIMGRECETPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: | :---: |
| $60 / 233,341$ | $09 / 18 / 2000$ | Brian G. Utley | $57103 / 123$ |

Foley \& Lardner
777 East Wisconsin Avenue Firstar Center Milwaukee, WI 53202-5367


FORMALITIES LETTER

${ }^{\circ} \mathrm{OC} 000000005592300^{*}$

Date Mailed: 12/04/2000

# NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION 

FILED UNDER 37 CFR 1.53(c)
Filing Date Granted

MP
RESPONSEDUEO4FEZ2001 DCLIFEE

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the exterision fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 150 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 50$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ 200$.

A copy of this notice MUST be returned with the reply.

[^41]United States Patent and Trademark Office
COMMISEIONER FOR PATENTS
United States fatent amd Trademark Office
 Firstar Center
Milwaukee, WI 53202-5367

- OCOOOOOOOO5592300*

Date Mailed: 12/04/2000

## NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(c)

## Filing Date Granted

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- The balance due by applicant is $\mathbf{\$ 2 0 0}$.

A copy of this notice MUST be returned with the reply.

Cistomer Service Genter
Initial Patent Examifation Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

| APPLICATION NUMEER | FLLING DATE | GRF ART UNTT | FILFEE REC'D | ATTYDOCKET NO | DRAWINGS | TOT CLAIMS | IND CLAIMS |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| $60 / 233,341$ | $09 / 18 / 2000$ | 0 | $57103 / 123$ | 7 |  |  |  |

# FILING RECEIPT 

Foley \& Lardner
777 East Wisconsin Avenue
||||||||||||||||||||||||||||||||||||||||||||||
Firstar Center
Milwaukee, WI 53202-5367

Receipt is acknowledged of this provisional Patent A.pplication. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


Brian G. Utley, Boca Raton, FL;
Continuing Data as Claimed by Applicant

## Foreign Applications

If Required, Foreign Filing License Granted 12/01/2000


Title Zoom and pan imaging design tool
Preliminary Class

## LICENSE FOR FOREIGN FILING UNDER

## Title 35, United States Code, Section 184

## Title 37, Code of Federal Regulations, 5.11 \& 5.15

## GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15 (b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14 .

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 C.FR 1.53 (d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical cata. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121 128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury ( 31 CFR Parts $500+$ ) and the Department of Energy.

## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181 , the licensee may foreign file the application pursuant to 37 CFR 5.15 (b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "ari" and "the" are not included as the first words in the titie of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFF 1.10 , your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:

## Assistant Commissioner for Patents

Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## PROVISIONAL PATENT APPLICATION TRANSMITTAL

Assistant Commissioner for Patents Box PROVISIONAL PATENT APPLICATION
Washington, D.C. 20231

Sir:
Transmitted herewith for filing under 37 C.F.R. § 1.53(c) is the provisional patent application of:

$\longrightarrow$| Brian G. Utley |
| :--- |
| 1930 S.W. 8th Street |
| Roca Rato, Florida 33486 |

Enclosed are:
[ X ] Specification, Claim (s), Abstract, and Figures pages).
[ ] Assignment of the invention to Iviewit.com, Inc..
[ ] Small Entity statement.

The filing fee is calculated below:

[ ] A check in the amount of $\$ 75.00$ to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,

## Date <br> $\qquad$

FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014
 Respectfully,
Foley \& Lardner

Title: Zoom and Pan Imaging Design Tool Inventor (s): Utiey

Dat. No. $57103 / 123$
Apple. No:: Unknown DAB $19 / 18 / 001$
$1 / 2$

- Transmittal of Patent Application (2 pgs.);
- Patent Application Specification and Figures

Assistant Commissioner for Patents:
Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully,
Foley \& Lardner

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$n$
$n$ $\underset{4}{\infty}$


 L. 57103 FRESS HARD.
You are makng 3 copies. FOR PICKUP OR TRAGKING CALL 1-800-222-1811

# U.S. PROVISIONAL PATENT APPLICATION 

for<br>\section*{ZOOM AND PAN IMAGING} DESIGN TOOL

## Inventors:



Brian G. Utley
1930 SW $8^{\text {th }}$ Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.
attorneys at law

CHICAGO
DENVER JACKSONVILLE LOS ANGELES MADISON MILWAUKEE ORLANDO

Email ADORESS
daboehm@foleylaw.com

## FIRSTAR CENTER

777 EAST WISCONSIN AVENUE
MILWAUKEE, WISCONSIN 53202.5367
TELEPHONE (4 14) $271-2400$
FACSIMILE (414) 297-4900

SACRAMENTO SAN DIEGO SAN FRANCISCO TALLAHASSEE TAMPA WASHINGTON, DC. WEST PALM BEACH

September 22, 2000

Mr. Brian G. Utley
President \& COO
Iviewit.com, Inc.
One Boa Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: U.S. Provisional Patent Application
Title: Zoom and Pan Imaging Using a Digital Camera
Inventors): Utley et al.
Our Ref.: 57103/122
U.S. Provisional Patent Application

Title: Zoom and Pan Imaging Design Tool Inventors): Utley, Brian G. Our Ref.: 57103/123

Dear Brian:

Enclosed for your information and files are copies of the above-referenced patent applications that were filed with the U.S. Patent and Trademark Office on September 18,2000 . As soon as we receive the application numbers and confirmed filing dates, we will forward this information to you.

If you have any questions regarding this application, please do not hesitate to
contact me.


Very truly yours,


Douglas A. Boehm

| Boehm: | Well, then, talk to Brian because we were corresponding with Brian on that, and I don't know why you weren't getting it if that was the case, and I don't know which letter went to who, blah, blah, blah, but I do know that we mentioned that we didn't understand the math, and we were up to the third draft, if I recall; and you're right, Jim, that it shouldn't have taken...it shouldn't have been last minute and you should have had time to do it. I totally agree, but I can't take total blame for that... |
| :---: | :---: |
| Bernstein: | But wait a minute. Steve has fundemental errors on understanding the math, and yet we're going to file it with him having math problems? |
| Boehm: | It's your duty to either help us to understand. |
| Bernstein: | But then I've got a point. We did help you. We sat on the phone for an entire day, walked through this... |
| Boehm: | The day of the filing you mean? |
| Bernstein: | And if this math is still wrong, I mean, there's something really fundamentally wrong here. |
| Armstrong: | Let me check it again. |
| Bernstein: | Yeah, let $u s$ call you back in a while. Is Steve in today, too? |
| Armst rong: | I didn't get involved until miednesday. |
| Boehm: | Right. |
| Armst rong: | I' ll tell you one thing, Doug, that you should do as just a matter of course going forward. Eliot being the owner of the company and the person that Brian reports to is any future email correspondence should always be copied to him. That's kind of just a standard practice we all do in the company. |
| Boehm: | To copy? |
| Armstrong: Boehm: | Yeah. <br> Boenm directed to copy company on <br> okay. I didn't know that. patents and other matters |
| Bernstein: | You ask me to review and sign these patents, and you' re not sending me information. what do you mean. |
| Armstrong: | I think had we known that there was a question of validating Brian's math, Eliot would have brought me in a lot earlier. |
| Bernstein: | I would have brought a mathematician in. I mean, this is ridiculous. |
| Armstrong: | Yeah, I'm just a friend that's good at math, not a mathematician. |
| Boehm: | Right, well. |
| Armstrong: | Go to your meeting. We're going to check theis patert ftato out, and we'll talk to you letter. |
| Boehm: | Well, you've got to talk to Brian, too. |
| Armstrong: | Yeah. |
| Bernstein: | I think because I now seriously have to report a lot of things to a board of people that wie're going to have to have a meeting at some point either today or Monday with a few of the key people in the company who are investors, etc., so that they understand what they are investing or not investing in. |
| Eechexamstrong | : Lion't jump to conclusions. |
| Bernstein: | No, I'm not, but if this is correct, we've got some fundamental things that need to be discussed. |

training that you've had or maybe you -- you did
113 indicate that you had any degree in mathematics. Is that something that you have some experience from from some other portion of your employment or background?
A. I have training and experience in mathema:ics.
Q. I'm sorry.
A. I say I have training and experience in mathematics.
Q. How about in the scaling video invention; is that part of what you've already described?
A. That is readily derived from a mathematical background.
Q. How about the remote control video applications?
A. That's different.
Q. Okay. Now going back to --
A. What --
Q. -- the patent dealing specifically with remote control applications.
A. What I did there was I established the fact that the design point that Eliot had discovered in optimizing the quality of the

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
picture that would be transmitted across the internet at a given speed, I identified that which he had discovered by an ad hoc process; I discovered the structural basis for that optimization.
Q. Okay. So that was something that was outside the scope of what he had already, what Eliot had already discovered?

ת. It really established why it worked.
Q. And is your name on any patent or patent application with regard to that particular technology?
A. It possibly is. I don't recall how many of those my name is on since I didn't keep any of those records.
Q. How about camera zoom applications?
A. Okay. How about camera zoom applications?
Q. Is there any patent or patent application dealing with camera zoom applications?
A. Not specifically. It was, it was determined that there is a correlation between the zoom and pan that had been developed and what is being used in cameras.

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

```
Proskauer Fose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
    development of future cameras or was that simply
    an observation that was made?
    A. It was an observation that current
    camera technology incorporates zoom and pan
    technology.
    Q. Okay. How about any patent or
    patent applications dealing with scales video or
    zoom video imaging applications other than what
    we've already discussed?
    A. Without looking, and I apologize for
    this, without looking at the specific patent
    filings by name and number, I think, you know,
    we're not really going to be able to get much
    further on this discussion.
    Q. Okay.
    A. I don't want to put you off at all,
but I just want to say that to pursue a detailed
questioning in this specific area, I need to be
able to refresh my mind with what is in the
record.
    Q. Okay. And are those documents that
you have in your possession someplace?
A. No.
Q. You don't have any of the paperwork
```

| $\begin{gathered} 05707 \\ \quad \text { TILLE } \\ \hline \end{gathered}$ | IVIEWIT.COM PATENT STATUS REPORT |  |  |  |  | Lapsed Provisional U.S. Patent Applications |  |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | OUR REF. | INYENTOR/ PATENTEE | COUNTR | serial, no. patent no | filedassue DSTE | assignee | remarks |
| Apparatus and Method for Producing Entanced Digitai Images and/or Digital Video Files | P006Z | Elion I. Bernstein | United States | $\begin{aligned} & \text { Serial No } \\ & 60 / 149.737 \end{aligned}$ | Filed $08 / 19 / 49$ | Jyiewil Holdings, hac. <br> Assigned: 01/06/00 Reel/Frame <br> 010523/0506 | Lapsed <br> POI8PCT flled based on this provisional application. |
| Apparatus and Method for Producing Enhanced Video Images and/or Viden Files | P0072 | Eliot I. Bernstein | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 155,404 \end{aligned}$ | Filed $09 / 22 / 99$ | Iviewit Holdings, Inc <br> Assigned: 01/06/00 Reel/Frame 010523/0183 | Lapsed <br> POIOPCT, POIIPCT, POI2PCT, POIGPCT and POISPCT all filed based on this provisional application. |
| Apparatus and Method for Prodecing Enhanced Video Inages and/or Video Files | P008Z | Elio I. Bernstein | United States | $\begin{aligned} & \text { Setias No. } \\ & 60 / 169,559 \end{aligned}$ | Filed 1208/99 | Iviewit Holdings, Inc <br> Assigned: 01/06/00 Rcel/Frame $010523 / 0220$ | Lapsed <br> POIOPCT, POIPCT, POI2PCT and POISPCT all filed based on this provisional application. |
| Zoom and Pan Imaging Using Digital Camera |  |  | United States | $\begin{aligned} & \text { Serial No. } \\ & 60 / 223,344 \end{aligned}$ | $\begin{aligned} & \text { Filed } \\ & 09 / 18 / 00) \end{aligned}$ | Not assigned. | Lapsed |
| Zoom and Pan Imaging Design Tool |  | Brian Uley | United States | Serial No. <br> 60/233,341 | $\begin{aligned} & \text { Filed } \\ & 09 / 18 / 00 \end{aligned}$ | Not assimed. | Lapsed |



| $\begin{array}{l}\text { ellot } \\ \text { Note }\end{array}$ | 319/2004 10:20:04 PM |
| :--- | :--- |
| $\begin{array}{l}\text { This portfolio sheet has two numbers } 122 \text { and they both say assigned to Wiewit. Additionally, it does } \\ \text { not match up with the sheets it was sent with, See next pages. It has Eliot Bernstein on one but } \\ \text { patent filing per BSZT does not. No signature of ElB in files. }\end{array}$ |  |



| 1 | $\begin{array}{\|l\|} \hline \text { Tab } \\ \text { No. } \end{array}$ | F\& Dkt. No. | $\begin{array}{c\|} \hline \text { NLG } \\ \text { Dikt. Mo. } \\ \hline \end{array}$ | $\begin{aligned} & \text { Country } \\ & \text { (Typer) } \end{aligned}$ | Appl. Mo. | Filing Date | Appilcallon Titio | inventor(3) | Asalgnes | Mo. Appl Pgeshth | Priority |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
|  | 1 | 57103162 | 5865-1 | US. (Prowisional) | 60/125,824 | 3/24/1999 | Apperreys and Melhod for Producing Enthanced Dfotial Imapes | 태tot 1. Bernstoin | IViewit Holdinges, Inc. | (15/4 | **A |
|  | 2 | 57503/103 | 5885-3 | U.S. (Prowisichial) | 60/137,297 | 6/3/7999 | Apperatua and Meithod for Proctuchng Entranced Video Imerges | EFot I. Bemastern | Hiewit Holdings. he. | 110 | N/A |
|  | 3 | 67103/104 | 5885-4 | U.S. (Provisional) | 60/137.921 | 6/7/4999 | Apperalus and Mfathod For Playhy Videc FHes Across the internet | Eghot 1. Bemstetn | Niewit Hoddenge, Ince | 14 | NHA |
|  | 4 | 57103/105 | 5885-4.t | U.S. (Provisional) | 60/141,440 | 8120/1999 | Asparalusis and Mothod for Frowiting endior Transmilting Video Dala andifor information in a Commzunicalon Network | Eflot 1 . Bemstein | lyiewit Hoddings, lic. | 25/2 | N/A |
|  | 5 | 57103/106 | 5865-4 | 1.5. (Prewtsional) | 60/146,728 | 9/2/1999 | Apparalus and Mathod for Frockicing Enthenced Digital Imapers | Eli여 I. <br> Bernctein | \|whew Holdinga, ine. | 1004 | N/A |
|  | $6$ | 57103/107 | 5865-5 | U.S. (Provisional\} | 601449,737 | 8/49/1990 | Apparatus and Modhod for Producirg Enhanced Digital irregen and/or Digital Video Files | Elior : Bermatein | lilewith Holdingat, Inc. | 21/4 | NUIA |
|  | 7 | 57103/108 | 5865-7 | US. (Provisionsi) | *01155,404 | 0/22/1899 | Apperalus end Method for Producing Enhanced Video hrapaes andior Vldeo Fllas | Eliol I. Bematon | Iviewit Hoidings, inc. | $29 / 4$ | N/A |
| $1$ | 8 | 57103/109 | 5665-8 | U.S. (Prowitional) | 60/169,559 | 12/6/1999 | Apparatustand Method fire Produchng Erbenced Video Imeges andior Videa Fitos | Elot 1. Bemstinn | Alowit Hottinge, Inc. | $47 / 5$ | N/A |
| $1 / N /$ | 8 | 57103/110 | 5865-40 | PCT <br> (Intorneilorat) | $\begin{gathered} \text { PCTASOO } \\ 07772 \end{gathered}$ | 3/23/2000 | Apparalus and Mathod for Proxucting Entenced Digltad tmaper | Eliod I. <br> Bernstaly | Wrew\|t Haldings, the | 14/4 | 50125,824 |


| $\begin{array}{\|l\|} \hline \text { Tab } \\ \text { No. } \end{array}$ | Dht No. | $\begin{array}{\|c\|} \hline \text { MLG } \\ \text { Dkt Mo. } \\ \hline \end{array}$ | Country (Typa) | Appli Mo. | FIMm Dato | Application Titis | Inwentords) | Assignop | No. Appl. PaEtshin | Priority |
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| 10 | 57103/111 | N/A | PCT <br> (International) | PCT/US00 | 6/2/2000 | Sysken and Melthod for Strearilng an Enhancerd Digtal Video Fla | Bemsieftr, Shirajeo |  | 2913 | $\begin{aligned} & 60 / 137,297 \\ & 60 / 155,404 \\ & 60 / 169,559 \end{aligned}$ |
| 41 | 571031112 | N/A | PCT <br> (Intemational) | PCTMUSDH | 6f2/2000 | Systam and Mathod for Prowidligg an Enhanced Dlgitas Vrdeo File | Bernsten, Ubey. Rosario |  | $33 / 3$ | $\begin{aligned} & 80 / 37,297 \\ & 601+155,404 \\ & 60169,559 \end{aligned}$ |
| 12 | 57103/113 | N/A | PCT <br> (Wnternatlonal) | PCT/Usow | 6/2/2000 | Sysiem and Mathod for Pleying a Digital Video File | Elomabian, Shtrajee |  | 2973 | $\begin{aligned} & 60137,297 \\ & 60155,404 \\ & 60+169,559 \end{aligned}$ |
| 13 | 57103/114 | N/A | U.S. <br> (Non- <br> Provisional) | $0 \times 1$ | 3152000 | System anse Mettord for Straaming an Enhanced Digital Vldeo Flie | Bernstein, thrajee |  | 29/3 | 60137,297 $60 / 455,404$ $60 / 169,559$ $57103 / 111 \mathrm{PCT}$ |
| 14 | 57103/115 | N/A | U.S. <br> (NorProvigiontan | 091 | 855/2000 | Syslam and Mathod for Pleyitu a Dlgital Videc Fife | Bemetain, Shirgioe |  | 297 | $60 / 137,297$ $60 / 155,404$ $60 / 169.559$ $57103 / 1139 \mathrm{CT}$ |
| 15 | $57103 / 116$ | N/A | U.S. <br> (NonProwtional) | 00 | 6/5/2000 | sysbem and Method for Provfling an Enhanced Dlgitat Video Fine | Bernateln, Utioy, Rossitio |  | $33 / 3$ | 601437,297 <br> 601155,404 <br> 601189,559 <br> 57105112 CCF |
| 16 | 57403/118 | N/A | PGT <br> (finterrealional) | PCTHSOOH | 6/7/2000 | Syslem and Meflod for Vkeo Prayback Ovar a ${ }^{2}$ Notwork | Bermstaln, Friedstan. <br>  |  | 24/2 | $\begin{aligned} & 60 / 137,901 \\ & 60 / 141,440 \end{aligned}$ |
| 17 | 57103/1 96 | 5885-1 | U.S. | (08522,721 | 3/10/2000 | Apparifue and Mathod for Producing Enhanced Dirital menes | Bemstatr |  | 15/4 | B1/152,324 |



| $\begin{aligned} & \text { Tab } \\ & \mathrm{No} \end{aligned}$ |  | $\begin{gathered} \text { BLG } \\ \text { DHL } \mathrm{Na} . \end{gathered}$ | Cotentry (Typa) | Appli No. | Filsag Pate | Appliention Title | Invendor(t) | Asplpee |  | Priority |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 18 | 571031122 | $\mathrm{MA}$ | U.S. <br> (Provisional) |  | 91182000 | Zono sud Pan Imaging Cuing a Digtol Camera | Briap 0. Uldey Eliot L Bersutein | Jyictrit <br>  | 96 | N/A |
| 19 | 57109122 | NA | $\begin{gathered} \text { U.S. } \\ \text { (Prarisional } \end{gathered}$ |  | 9718/2000 | Zonm acd Pan limaping Dexign Toch | Brim C. Uthey | \|rfewil Foldiands, Inc. | 112 | N/A |
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| APPLICATION MIMER | FLINGGECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DUET NUMBER |
| :---: | :---: | :---: | :---: |
| $60 / 233,344$ | $09 / 18 / 2000$ | Brian G. Utley | $57103 / 122$ |

Foley \& Lardner Firstar Center 777 East Wisconsin Avenue Milwaukee, WI 53202-5367


# NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION 

FILED UNDER 37 CFR 1.53(c)

RESPONSEDUE $2 \geq M E Z U O I$ DClFEE

Filing Date Granted
An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CPR 1.136 (a).

- The statutory basic filing fee is missing.

Applicant must submit $\$ 150$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16 (e) of $\$ 50$ for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is $\$ 200$.

A copy of this notice MUST be returned with the reply.

United States Patent and Trademark Office
NITE STATES COMMISSIONER FOR PATENTS
NT AND TFADEMARK OFFICE
Wh.SHINCTON, DE, ECEミ1
ww usptogov


Date Mailed: 01/03/2001

## NOTICE TO FILE MISSING PARTS OF PROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(c)

## Filing Date Granted

An application number and filing date have been accorded to this provisional application. The items indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136 (a).

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Applicant must submit $\$ 150$ to complete the basic filing fee andfor file a small entity statement claiming such status (37 CFR 1.27).

- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 50$ for a non-small entity, must be submitted with the missing items identified in this letter.
- Small Entity Statement is missing.
- The balance due by applicant is $\$ 200$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE
Respectfully,
Foley \& Lardner
documents by applying the U.S. Patent and Trademark Office
receipt stamp hereto and mailing this card. Please acknowledge receipt of the above-identified 4sBd S6 semby pue uonemperds vonemadry wered Appli No.: Unknown. $\quad$ DABO (9/18/00)

- Transmittal of Patent Application (2 pgs.); 95
Title: Zoom and Pan Imaging Using a Digital Camera.
Inventoris): Utiey et al.
Qkt.No. $57103 / 122$ $57103 / 122$

Title: Zoom and Pan Imaging Using a Digital Camera Inventor(s): Utley et al.

Dkt. No, 57103/122
Appl. No:: Unknown
DABO $(9 / 18 / 00)$

- Transmittal of Patent Application (2 pgs.);
- Patent Application Specification and Figures ( 95 pgs.);

Assistant Commissioner for Patents:
Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.
ellot
Note

No filing stamps are on this at all as with other applications??

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## PROVISIONAL PATENT APPLICATION TRANSMITTAL

## Assistant Commissioner for Patents

## Box PROVISIONAL PATENT APPLICATION

Washington, D.C. 20231

## Sir:

Transmitted herewith for filing under 37C.F.R. § 1.53(c) is the provisional patent application of:

Brian G. Utley 1930 S.W. 8th Street Boca Raton, Florida 33486<br>Eliot I. Bernstein<br>500 S.E. Mizner Boulevard Boca Raton, Florida 33432-6080

| ellot Note | 3492004 10.39:02 PM |
| :---: | :---: |
| Nosig and B only U patent legit. until $F$ | for Eliot is in the files tes in portfolio that it is here is no stamps from or other proof this is in the Company files BSZT transfer. |

Enclosed are:
[ X ] Specification, Claim(s), Abstract, and Figures( 95 pages).

] Assignment of the invention to lviewit.com, Inc.
[ ] Small Entity statement.

Assignment never filed and small entity never filed.

The filing fee is calculated below:

[ ] A check in the amount of $\$ 75.00$ to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,
Date $\qquad$

FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

# U.S. PROVISIONAL PATENT APPLICATION 

for

## ZOOM AND PAN IMAGING USING A DIGITAL CAMERA

## ellot

Note
No signature in files for Eliot and BSZT and patent office state
Eliat is not listed???

Inventors:

| elot |
| :--- |
| Note |
| No signature in files for Eliot and <br> ES2T and patent office state <br> Eliot is not listed??? |

Brian G. Utley
1930 SW $8^{\text {th }}$ Street
Boca Raton, FLORIDA 33486
Citizenship: U.S.
Eliot I. Bernstein
500 S.E. Mizner Boulevard
Boca Raton, FLORIDA 33432
Citizenship: U.S.

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

Atty. User ID: 0128
Cliont/Matter Code:
57103/101

Foley \& LARDNER
Attorneys at Law

## Sender'e Direct Line:

1414) 297-5718

Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
TELEPHONE (414) 271-2400
FACSIMILE (414) 297-4900

## FACSIMILE TRANSMISSION COVER SHEET

TO:
COMPANY NAME:
COMPANY LOCATION:
COMPANY PHONE NUMBER:
COMPANY FAX NUMBER:
FROM:
DATE:

Mr. Brian G. Utley
Wiewit.com, Inc.
Boca Raton, Florida
TEL: (561) 999-8899
FAX: (561) 999-8810
Douglas A. Boehm
June 6, 2000

TOTAL NUMBER OF PAGES INCLUDING COVER SHEET: 8
If THERE AfR ANY PROELEMS WITH THIS FACSIMILE TAANSMISSION, OR IF YOU have NOT received ALL OF THE PAGES, PLEASE CALL 414/297-6444,
CONRDENTLADTY NOTICE: THE NFODRMATION CONTANED IN THIS FACSIMILE MESSAGE IBINTENDED ONLY FOA THE PERSONAL
AND CONFIDENTAL USE OF THE DESIGNATIT REC[IENTS NAMED ABOV/G. THIS MEBSAGE MAY GE AN ATTORNEY-CLIENT
COMMUNICATION, AND AS SLCH IS PFIVLEGED AND CONFIDENTIAL. IF YHE READEF OF THS MESEAGE IS NOT THE INTENDBC
RECIPIENT OR ANY AGENT RESPONGILLE FDR DELVERING IT TO THE INTENDED FECIPGENT, YOU ARE HEAEEY NOTIFED THAT YOU
have recelved this message in errof, and that any review, dissemination, distrubution, of copming of this
message is strictly prohfelted. If you hava received this message in error, pleage notify us immediately by
TELEPHONE ANO AITTURN THE GRIGINAL MESSAGE TO US EY MAILL THANK YOU.

Brian, Here is your copy of what was just faxed to Mr, Lewis S. Meltzer.
$\qquad$

FRESTAR CENTER 777 EAST WSCONSIN AVENUE MLWAUKEE, WSCONGIN E32O要-53e7 THEPHONE (4 14) 271.2400 FACSIMILE (4|4) 2974900

Yia Facsimile

June 6, 2000

Mr. Lewis S. Meltzer<br>Meltzer, Lippe, Goldstein \& Schlissel, P.C.<br>190 Willis Avenue<br>Mineola, New York 11501

Re: Transfer of IP files for IVIEWIT.COM
Dear Mr. Melzer:
As you may recall, I am the patent attorney at Foley \& Lardner that is currently handling the Iviewit.com IP matters that were previously handled by Ray Joao of your firm.

I recently received the following correspondence from your firm: (1) the original Assigament recorded in the United States Patent and Trademark Office (USPTO) for your Docket No. 5865-8 (U.S. Provisional Patent Application No. 60/169,559); and (2) the USPTO Filing Receipt (copy attached) for your Docket No, $5865-1$ for Application No. $09 / 522,721$. Although I sincerely appreciate your firm's diligence in continuing to forward Iviewit materials to me, this latest correspondence raises some very serious issues with respect to the Iviewlt.com IP matters that were supposed to have been transferred to Foley \& Lardner.

I was not previously told about this U.S. Non-Provisional Application being filed (item 2 above). It does not appear in any of the correspondence previously sent to Foley \& Lardner. This raises the question of exactly what was filed in the U.S. Patent and Trademark Office, since I do not have a copy of any filing papers for this application. Was a U.S. Declaration filed? What specification and claims were filed? Was an Assignment filed for this application? I must have this information in order to take over prosecution of this application.

More importantly, however, this raises the question of whether any other provisional or non-provisional applications bave been filed in the United States or any other country. Both the client, Brian Utley, President of Iviewit.com, and myself have previously asked your firm to transfer all of the Iviewit. com Intellectual Property files to me. (See attached letter to you dated April 28, 2000.) When the files that were sent to me were incomplete, I sent an e-mail (copy atrached) to Dawn Laffin of your firm, asking her to look for other Iviewit matters. I subsequently requested that Nicole, Ray's former secretary,

## Foley \& LARDNER

Mr. Lewis S. Melter
June 6, 2000
Page 2
double-check that there were no other miscellaneous files that were not on the list of applications (also attached). Now I find out that, after three or four separate requests, all of the Iviewt patent matters were not transferred to us.

I formally request that you have your firm's Docket Administration Department review all of Ray Joan's files to ensure that all of the Iviewiticom materials have been transferred to me. Please forward all letters, memorandums, faxes, e-mails, notes, CD's, disks, and other correspondence between Iviewit.com and your firm, and between any third parties and your firm on behalf of Iviewit.com. It is particularly important that I know which patent applications were filed and what correspondence was submitted to the U.S. Patent \& Trademark Office before the expiration of a critical date. Otherwise, the client could possibly lose patent rights. Please confirm that the attached "Iviewit.com Patent Portfolio" table, which lists the patent applications filed for Iviewit.com by your firm, is accurate and complete.

I also request that you contact Ray Joan to confirm which applications were filed in what countries and whether or not Ray has any additional Iviewit correspondence or materials that were not transferred to Foley \& Lardner.

Furthermore, the client requests that I obtain a written confirmation from both you and Ray that all files, materials, and correspondence have been transferred to Foley \& Lardner.

Please confirm receipt of this facsimile and let me know that these matters will be handled promptly and appropriately.

Very truly yours,


Douglas A. Boebm
Enclosure (s)
cc: Mr. Brian Utley, Iviewit.com

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: ASSISTANT SECRETARYAND
COMMSSGNER CF PATENT AND TRADEMARKS
Washington, D.C. 20231

| application nlmmer | FILNG DATE | GRP ART UNTT | FIL FEE REC'D | ATTY.DOCKET.NO | DRAMINGS | CLATME | NDC CLAMS |
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| 09/522,721 | 03/10/2000 | 2722 | 354 | 5865-9 | 4 | 21 | 3 |

Raymond A Joao Esq
Meitzer Lippe Goldstein \& Schlissel PC
The Chancery
190 Willis Avenue
Mineola, NY 11501

Date Mailed: 05/10/2000

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the $U, S$. APPLICATION NUMBER, FILING DATE, NAME OF APFLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Recelpt, plaase write to the Office of initial Patent Examinatlon's Customer Service Cenier. Please provide a copy of this Fling Recelpl with the changes noted therson. If you recelvad a "Notica to File Missing Parta" for this application, pleate submit any corrections to this Filing Recelpt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filling Receipt incorporating the requested corrections (if approprlate).

Applicant(s)
Eliot I Bernstein, Boca Raton, FL;
Continuing Data as Claimed by Applicant
THIS APPLN CLAIMS BENEFIT OF 60/125,824 03/24/1999

Forelgn Applications

If Required, Foreign Filing License Granted 05/09/2000
** SMALL ENTITY **

Title
Apparatus and method for producing enhanced digltal Images
Prellminary Class
356
Data entry by : KING, DORIS
Team : OIPE
Date: 05/10/2000


## Foley \& LARDNER

CHICAGO
DENVER JACKSONVILE LOG ANGELES MA더SON MilWAUKEE ORLANDO

April 28, 2000

Mr. Lewis S. Meltzer
Melter, Lippe, Goldstein \& Schlissel, P.C.
190 Willis Avenue
Mineola, New York 11501
Re: Transfer of IP files for IVIEWIT.COM
Dear Mr. Meltzer:
As you are aware, Mr. Brian Utey, President of IVIEWIT.COM, LLC, has requested in a previous letter that all Intellectual Property files for NVEWTT.COM be transferred from Meltzer, Lippe to Foley \& Lardner. Please have the files forwarded to me at the above address as soon as possible. Upon receipt of the IVIEWIT.COM files, Foley \& Lardner will be responsible for taking action in these matters.

These Intellectual Property files include the eight provisional patent application matters listed on the attached sheet, as well as any other Intellectual Property matters that may be missing from this list. Furthermore, I would sincerely appreciate it if you could include copies on disk of the electronic word processing files for these matters, so the eight patent applications wont have to be retyped. Any electronic word processor format will suffice.

Of course, if any questions or problems arise, please do not hesitate to contact me. I thank you in advance for your cooperation.

Very truly yours,


Douglas A. Boehm
Attachment

cc: Ms. Nicole Pinon, Meltzer, Lippe<br>Mr. Brian Utley, IVIEWIT.COM

## Patent Applications

| Docket No. 5865-1 | Serial No. <br> 60/123,824 | Title <br> Apparams and mechod for producing enhanced digital Irasges | Date Filed <br> d Marcil 24, 1999 | Dare Assigned <br> August 5, 1999 |
| :---: | :---: | :---: | :---: | :---: |
| -5865-3 | 60/137,297 | Apparatus and method for producing enhaneed video images | June 3, 1999 | August 5, 1999 |
| $\checkmark$ 5869-4 | 60/137,291 | Apparatus and method for playing video files across the Internet | June 7, 1999 | August 5, 1999 |
| $\checkmark$ 3865-4.1 | 60/141,440 | Apparaus and method for providing and/or for transmitting video data and/or information in a communicalion network | June 29, 1999 | Not Filed |
| $v^{5865-5}$ | 60/149,737 | Apparatus and melhod for producing enhanced digital images and/or digital video Altes | August 19. 1999 | Not Filed |
| $\checkmark$ - 5865-6 | 60,146,726 | Apparatus and melhod for producing enhanced digital images | August 2, 1999 | Not Filed |
| $\checkmark$ 5865-7 | 60/155,404 | Apparatus and method for producing enhanced video images and/or video files | Seplember 22, 1999 | Not Filed |
| $\checkmark 5865.8$ | 60/169,559 | Apparans and method for producing enhanced video images and/or video files | cember 8, 1999 | Not Filed |

## Boohm, Douglas A.

| From: | Boehm, Douglas A. |
| :--- | :--- |
| Sont: | Tuesday, May og, $20005: 28$ PM |
| Toi: | 'dlaffingmig.oom' |
| subject: | Iviewt.comFiles |

Dawn -
As i mentioned on the telephone this aflemoon, I received your Federal Express package this morning containing the Melizer, Lippe flles for Iviewit.com. The package contained 7 folders corresponding to your dooket numbers 5885-1,3,4,4.1,5,6, and 7. However, the file folder for your docket no. $5865-8$ is miseing. Furthermore, not all of the paperwork for the PCT appllcation (your dooket no. 5865-10) was inciuded in the first file 5865-1 (whioh fo the PCT's parent case), Is there a 5865-10 fle also?

During our phone conversation, you agreed to review your docket and files for 5865-8, 5865-10, and any other 5865 matters for Iviewit.com tomorrow, and forward these files to me right away,

Thanka for your assistance.
-Doug
Douglas A. Boehm
Folay \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
Tel: (414)297-5718
Fax:(414)297-4900
Emall: daboehm@foleylaw.com


 malartal from any compuiar.
IVIEWIT.COM PATENT PORTFOLIO

| $\begin{array}{\|l\|l} \text { Ref. } \\ \text { No. } \end{array}$ | $\begin{gathered} \text { FKiL } \\ \text { Dkt. No. } \end{gathered}$ | $\begin{gathered} \text { MLG } \\ \text { Dkt No. } \end{gathered}$ | Country (Type) | Appl. No. | Flling Date | Application Title | Inventor(s) | Assignee | No. Appl. Pgs/Shts | Comments |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1 | 57103/102 | 5865-1 | $\begin{aligned} & \text { U.S. } \\ & \text { (Provisional) } \end{aligned}$ | 60/125,824 | 3/24/1999 | Apparatus and Method for Producing Enhanced Digital Images | Eliot 1. Bemstein | Iviewit Holdings, Inc. | 15/4 |  |
| 2 | 57103/103 | 5865-3 | U.S. (Provislonal) | 60/137,297 | 6/03/1999 | Apparatus and Method for Producing Enhanced Video Images | Eliot J. Bernstein | Iviewt Holdings, Inc. | 1\% |  |
| 3 | 57103/104 | 5865-4 | U.S. <br> (Provisional) | 60/137,921 | 6607/1999 | Apparatus and Method for Playing Video Files Across the Internet | Eliot 1 Bemstein | Iviewit Holdings, Inc. | 1\% |  |
| 4 | 57103/105 | 5865-4.1 | $\underset{\text { U.S. }}{\text { (Provisional) }}$ | 60/141,440 | 6/29/1999 | Apparatus and Method for Providing andfor Transmitting video Data and/or information in a Communication Network | Eliot 1. Bernstein | Iveewit Holdings, Inc. | 25/2 |  |
| 5 | 57103/106 | 5865-6 | U.S. (Provisional) | 60/146,726 | 8\%2/1999 | Apparatus and Method for Producing Enhanced Digital Images | Eliot I. Bernstein | Jviewit Holdings, Inc. | $18 / 4$ |  |
| 6 | 57103/107 | 5865-5 | U.S. <br> (Provisional) | 60/149,737 | 8/19/1999 | Apparatus and Method for Producing Enhanced Digital Inages and/or Digital Video Files | $\begin{gathered} \text { Eliot I. } \\ \text { Bernstein } \end{gathered}$ | Iviewit Holdings, Inc. | $21 / 4$ |  |
| 7 | 57103/108 | 5865-7 | U.S. (Provisional) | 60/155,404 | 9122/1999 | Apparatus and Method for Producing Enhanced Video Images and/or Video Files | Eliot I. Bernsteln | Ivlewit Holdings, Inc. | $29 / 4$ |  |
| 8 | 57103109 | 5865-8 | U.S. (Provisional) | 60/169,559 | 12/08/1999 | Apparatus and Method for Producing Entranced Video Images anc/or Video Fijes | Eliot 1. Bemstein | Iviewit Holdings, Inc. | 47/5 |  |
| 9 | 57103/110 | 5865-10 | PCT (International) | PCTASSOO/ 07772 | 3/23/2000 | Apparatus and Method for Producing Enhanced Digital Images | Eriot I. Bernstein |  | 14/4 | ins Pricrity 0/125,824 4/99 (1102) |

516.747 .0653
$3 / 9 / 2000$


Ray, there are major missing items in docket's 1+6:

1. Claims do not reference stitching
2. Process is ammended as phonon on the diagram
3. The relationship between the enhanced digital image and the zoom and pan function together with couctrels is not clear
4. The dexiption and claims stop at the production and Loading of the iniage.
5. Object model

## Becker, Steven C.

From:
ent:
To:
Cc:
Subject:

Becker, Steven C.
Monday, July 24, 2000 4:44 PM
Eliot I. Bernstein (E-mail); Brian G. Utley (E-mail)
Boehm, Douglas A.
PCT Patent Application for "Zoom and Pan" tmaging

Re: PCT Patent Application for System and Method for Providing an
Enhanced Digital Image File
Inventor: Bernstein
Our Ref. No.: 57103/120
Brian:
During our brief telephone conversation today, you provided a few comments in response to my letter to you dated Ju 2000. These comments were based on your review of the prior provisional applications, and are summarized below.

1. The step of "enlarging" is not essential for all embodiments of the invention.
2. The aspects of zooming and panning, and the function of the applet must be described in greater detail.
3. The disclosure relating to acquiring a photograph of a film video should be removed. However, the disclosure relat hg to processing one frame of a video according to the process steps of the invention should be retained.
4. In the provisional patent application having our reference number 57103/108, the flowchart in FIG. 2A does not mat in the corresponding description in the specification. Correction is needed
5. Again, in the application for $57103 / 108$, the claims in their current form may not be of the proper scope and should t revised.
6. Yoücommented that the prior-filed PCT applications relating to efinanced video files did not specifically mention , stential applications in radiodraphic images, X-rays, MRIs, etc. 'Regardless of whether these specific applications are whe supported, additional subiect matter cannot be introduced to the prior-filed PCT applications uniess additional patent applications are filed. Please advise if you would like us to file patent applications directed to these specific application.

We discussed the possibility that the provisional applications currenty on fite may not provide sufficient disclosure to support all of the claims we may eventually want to file in the PCT patent application we are currently preparing and, therefore, the sale of images using this process-in sepmer, fesf itiay bar patentabity in some foreign countries. instructed us to proceed with the PCT fliing to preserve whichever foreign filing rights are avaiiable.
Accordingly, comments $1-5$ will be incorporated in the above-referenced PCT patent application questions or comments, please do not hesitate to contact me.

## Steve Becker <br> Foley \& Lardner <br> (414)297-5571

NOTE: The information transmitted in this correspondence is intended only for the person or entity to which it is addressed an may contain confidential and/or privileged material. Any review, retransmission, dissemination or other use of, or taking any action in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you receive thi correspondence in error, please contact the sender and delete the material from any computer.

```
    CORRECTED VERSION - CORRECTED ON 5/14/2003
            Transcription of Telephone Conference
                    Conducted July 31, 2000
                                    Farticipants:
Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum, Brian Utley, Doug Boehrn, Chris Wheeler
```

Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

[^42]
## UNITED STATES PATENT APPLICATION

for

## SYSTEM AND METHOD FOR

## PLAYING A DIGITAL VIDEO FILE



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENTION

## SYSTEM AND METHOD FOR PLAYING A DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S.

Provisional Application No. 60/169,559, filed December 8, 1999 and PCT international Application No. $\qquad$ , filed June 2, 2000.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection le.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

| $\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|\\|$ |
| :--- |
| Bib Data Sheet |
| SERIAL NUMBER <br> 09/587,026 |
| FILING DATE <br> 06/05/2000 <br> RULE |

## APPLICANTS

Eliot I. Bernstein, Boca Raton, FL:
Zakirul A. Shirajee, Baco Raton, FL;

## CONTINUING DATA

THIS APPLN CLAIMS BENEFIT OF 60/137,297 06/03/1999
WHICH CLAIMS BENEFIT OF $60 / 155,404$ 09/22/1999
WHICH CLAIMS BENEFIT OF 60/169,559 12/08/1999
** FOREIGN APPLICATIONS ******************

IF REQUIRED, FOREIGN FILING LICENSE
GRANTED ** 08/04/2000


ADDRESS
Steven C Becker
Foley \& Lardner
Firstar Center
777 East Wisconsin Avenue
Milwaukee ,WI 53202-5367
TITLE
System and method for playing a digital video file

| FILING FEE RECEIVED 474 | FEES: Authority has been given in Paper No. $\qquad$ to charge/credit DEPOSIT ACCOUNT No. $\qquad$ for following: | All Fees |
| :---: | :---: | :---: |
|  |  | 1.16 Fees (Filing) |
|  |  | $\qquad$ time) |
|  |  | 1.18 Fees ( Issue) |
|  |  | $\square$ Other |
|  |  | $\square$ Credit |

## THE U.S. PATENT \& TRADEMARK OFFICE OFFICIAL MAILROOM STAMP AFFIXED HERETO, ACKNOWLEDGES RECEIPT OF THE ITEMS CHECKED BELOW:

Serial No.:
Applicant: Bernstein et al.
Filing Date:
Title: System and Method for Flaying a Digital Video Fib

1. 1 Patent Application


Drawing (s) 3 shade (r)
[1 Check $\qquad$ No.: (Inf. 1 $\qquad$
[ $\triangle 1$ Declaration \& Power of Attorney (Unexecuted)
1.] Extension of Time (duplicate)
| I Preliminary Amendment
[ ] Amendment
1-] Amendment After final Rejection
[ $\div$ Issue Fee (Base and/or Balance)
[ ] Letter to Official Draftsman
[ ] Small Entity Statement
[ ] Assignment/Fee \& Form PTO No. '
[..] Trademark Application
[ $\because$ ] Form PTO No. 1449 \& cited references
Application Transmittal


FOREIGN FILING
FR 6
FER 8
FIR 11
OUSE 2000

FED
05 MY 2001
INITIALS


DATE



## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

## Applicant: Bernstein et al.

Title: $\quad$| System And Method For Playing |
| :--- |
|  |
|  |
| A Digital Video File |

Appl. No.: Unknown
Filing Date: Unknown
Examiner: Unknown


Art Unit: Unknown

## UTILITY PATENT APPLICATION <br> TRANSMITTAL

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231
Sir:

Transmitted herewith for filing under 37 C.F.R. § $1.53(b)$ is the nonprovisional utility patent application of:


| ellof |
| :--- |
| Note |
| Jude Rosariois missing |

Enclosed are:
[ X ] Specification, Claim(s), and Abstract (29 pages).
[ X] Informal drawings (3 sheets, Figures 1-3).
I X ] Unexecuted Declaration and Power of Attorney (4 pages).
[ ] Assignment of the invention to Iviewit.com, Inc..
[ ] Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
] Small Entity statement.
[ ] Information Disclosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed reference(s).

The filing fee is calculated below:

[ ] A check in the amount of $\$ 798.00$ to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5571
Facsimile: (414) 297-4900

Respectfully submitted,


## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe! am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

## System And Method For Playing A Digital Video File

(Attorney Docket No. 57103/115)
the specification of which (check one)
X is attached hereto.

- was filed on $\qquad$ as United States Application Number or PCT International Appiication Number $\qquad$ and was amended on $\qquad$ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one vear prior to the filing date of this United States application:

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application:

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

1 HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\S 119$ (a)-(d) or $\S 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.


1 HEREBY CLAIM the benefit under Title 35, United States Code $\$ 119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Däte |
| :---: | :---: |
|  |  |
|  |  |

1 HEREBY CLAIM the benefit under Title 35 , United States Code, $\$ 120$ of any United States application(s), or $£ 365(c)$ of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, $\$ 112$, 1 acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $\$ 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

| JOHN C. COOPER III | Reg. No. 26,416 |  |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL. S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. 39,282 |  |
| FICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| RICHARD B. O'PLANICK | Reg. No. 29,096 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTERE. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be directed to:
Steven C. Becker
FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin $53202-5367$

Telephone: $\quad$| Facsimile: | (414) $297-5571$ |
| :--- | :--- |
| (414) $297-4900$ |  |

1 UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Name of first inventor | Eliot I. Bernstein |
| :--- | :---: |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432- |
|  | 6080 |

Inventor's signature

| Name of second inventor | Zakirul A. Shirajee |  |
| :--- | :--- | :---: |
| Residence | Boca Raton, Florida |  |
| Citizenship | Bangladesh |  |
| Post Office Address | -3485 Boca Cove Circle, \#708, Boca Raton, Florida |  |
| Inventor's signature |  |  |
| Date |  |  |

## UNITED STATES PATENT APPLICATION

## for <br> SYSTEM AND METHOD FOR

## PLAYING A DIGITAL VIDEO FILE



FOLEY \& LARDNER

## Attorneys at Law

777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202

## TITLE OF THE INVENTION

## SYSTEM AND METHOD FOR PLAYING A DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S.

Provisional Application No. 60/169,559, filed December 8, 1999 and PCT international Application No. $\qquad$ , filed June 2, 2000.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection le.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

## FoLEY \& LARDNER

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DEHVER
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ORLAHDO
EMALL ADDRESS
sbeckergtoleylaw.com

FRSTAR CENTEA 777 EAST WHSCONEIN AVENUE MILWAMMEE, WFTONSIN 53202-53ET TEEPHONE (414)271-2400



SACRAMENTS EAN DIEGO SAN FRANCISCO TALLAHARSEE ТААРРА WASHINGTON. D.C. WEST PALM BEACH

WTRTER; 5 PIREET EINE (414) 297-557l

Mr. Brian G. Utley
President
Iviewit.com, Inc.
Ore Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: U.S. Patent Applicati Tite: System And Inventor(s): Bernste Our Ref.: 57103/11

| eliot Note |  |
| :---: | :---: |
| This sent months after filing for review by inventors of first draft? Dicks VA Bar response said all imentors had time to review and sim. | al Video File |

Dear Brian:
Enclosed please find the final draft of the above-referenced patent application which was filed with the U. S. Patent and Trademark Office on June 5, 2000 as Application No. 09/587,026. I have also enclosed various format papers which require the inventors' signatures.

Please have the inventors thoroughly read the patent application, including the specification, claims, and drawings, to ensure that it provides an accurate and complete description of the invention. The written description should be sufficiently complete to enable someone of ordinary skill in the art to make and use the invention. The application must describe the "best mode" contemplated by the inventors for carrying out the invention, i.e., in order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret. If, after review of the application, the inventors find that anything in the application is incomplete, inaccurate, untrue, or possibly misleading. please contact me immedjately so we can discuss the matter. If any typographical, grammatical, or other minor formal errors are found, please have them noted in a separate memo to me such that I can correct them by amendment at a later date.

If everything appears to be in order, please bave the inventors read, sign, and date the Declaration and Power of Attorney form at the colored tags. By executing this document, they will be verifying the originality of their invention and acknowledging their duty to disclose to the U.S. Patent and Trademark Office any information of which they are

Mr. Brian G. Utley
July 27, 2000
Page 2
aware that could be material to the examination of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or coworker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Note that the Declaration is signed under penalty of perjury, so careful consideration should be paid to its contents before signing.

Each inventor should also read, sign, and date the enclosed Assignment and Agreement, which must be executed in the presence of a Notary Public. By executing the Assignment, they will be acknowledging their obligation to Iviewit Holdings, Inc. by assigning all their rights in the invention to the company.

After the inventors execute the formal! papers, please return the fully executed documents to me as soon as possible. You may keep the copy of the patent application for your records.

Now that the patent application is on file, any device covered by the claims of the application may be marked "Patent Applied For" or "Patent Pending" or an abbreviation thereof. This "Patent Pending" marking is not mandatory, as it only serves as a cautionary warning to would-be competitors that the device may be subject to patent protection in the future. However, this marking should be continued only as long as the application is still pending in the United States Patent and Trademark Office. If the application issues as a patent, the appropriate patent number should replace this marking. If the application should become abandoned and this is the only application pertinent to the device, then the marking should be eliminated entirely.

If you have any questions regarding any of the above, please do not hesitate to contact me.


Very truly yours,


Douglas A. Boehm
Enclosure (s)

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Tradenark Office
Address: COMMISSIONER OF PATENT AND TRADEMARKS Washinglon, D.C. 20231

| APPLICATION NUMBER | FILING/RECEPT DATE | FRRST NAMED APPLICANT | ATTORNE Y DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| 09/587,026 | 06/05/2000 | Ehot I. Bernstein | 57103/115 |

Steven C Becker
Foley \& Lardner
Firstar Center
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367
NOLEY \& LARDNER Date Mailed:

FILED UNDER 37 CFR 1.53(b)
Filing Date Granted
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit $\$ 690$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fee(s) for this application is $\mathbf{\$ 1 0 8}$.

The oath or declaration is unsigned.

- To avoranuaturnititatutming ee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 130$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ 928$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE


Atty. Dkt. No. 57103/115

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicant: Bernstein et al.
Title: $\quad$ System and Method for Playing a Digital Video File

Appl. No.: 09/587,026
Filing Date: 6/05/2000
Examiner: N/A

Art Unit: 2771

CERTIFICATE OF MAILING
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on the date below.


# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION 

Commissioner for Patents
Washington, D.C. 20231

| ellot <br> Note |
| :---: |
| These are signed on duly 31 and sent an 10/300?? |

Attn: BOX MISSING PARTS
Sir:
In response to the Notice to File Missing Parts of Application mailed on August 4, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.

## [ X ] Declaration and Power of Attorney (4 pages) enclosed

[ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
[ X ] Applicant is entitled to Small Entity status
[ X ] Check in the amount of $\$ 474.00$ in payment of $\$ 355.00$ Basic filing fee, \$54.00 additional total claims fee, $\$ 65.00$ late filing fee (37 C.F.R. § $1.16(\mathrm{e})$ ) enclosed
[ ] Please charge Deposit Account No. 06-1447 in the amount of $\qquad$ in payment of surcharge fee (37 C.F.R. § 1.16(e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S$ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Playing A Digital Video File

(Attorney Docket No. 57103/115)
the specification of which (check one)

```
_ is attached hereto.
```

$\qquad$

``` was filed on June 5, 2000 as United States Application Number 09/587,026.
```

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application:

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT 1 believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $\$ 1.56$.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\S 119$ (a)-(d) or $\S 365(\mathrm{~b})$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(\mathrm{a})$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Nurmber | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :--- | :--- | :--- | :--- | :--- |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| U.s. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 137,297$ | June 3, 1999 |
| $60 / 155,404$ | September 22, 1999 |
| $60 / 169,559$ | December 8, 1999 |

1 HEREBY CLAIM the benefit under Title 35, United States Code, $£ 120$ of any United States application(s), or $\$ 365$ (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Titie 35, United States Code, 5 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, \& 1,56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  | PCT/US00/15406 | June 2, 2000 |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM
EDWARD W. BROWN
CHARLES G. CARTER
ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg. No. 35,093
Reg. No. 44,603

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. 39,282 |  |
| RICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. | 32,505 |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. 40,883 |  |

and $I$ request that all correspondence be directed to:
Steven C. Becker
FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin $53202-5367$

Telephone: (414) 297-5571
Facsimile: $\quad$ (414) 297-4900
1 UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


| Name of second inventor | Zakirul A. Shirajee |
| :--- | :--- |
| Residence | Boca Raton, Florida |
| Citizenship | Bangladesh |
| Post Office Address | D485 Boca Cove Circle, \#708, Boca Raton, Florida |
| Inventor's signature | Date |

## U.S. PATENT APPLICATION

for

## SYSTEM AND METHOD FOR PROVIDING AN

 ENHANCED DIGITAL IMAGE FILE

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

The present application is a continuation-in-part of U.S. Patent Application No. 09/522,721, filed March 10, 2000, which claims the benefit of priority from U.S. Provisional Application No. $60 / 125,824$, filed March 24, 1999. The present application also claims the benefit of priority from U.S. Provisional Application Nos. 60/146,726, filed August 2, 1999, 60/149,737, filed August 19, 1999, 60/155,404, filed September 22, 1999, and 60/169,559, filed December 8, 1999.

FIELD OF THE INVENTION
The present invention is directed to a system and a method for producing enhanced digital images and, in particular, to a system and a method for producing enhanced digital images having improved resolution for zooming and/or panning within a single file.

## BACKGROUND OF THE INVENTION

In the field of digital imaging, the primary design challenge is that the viewer desires ideal image quality delivered to the viewer's display system. In a limited-bandwidth network, such as the Internet, it is important to transfer the image data in a reasonable amount of time. However, ideal image quality requires an enormous amount of digital data. Today's networks are not capable of transferring an ideal digital image in a reasonable time.

It is known that one can view a digital image on a display screen and "zoom" (i.e., magnify a portion of an image and


Date Mailed: 09/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16 (e) of $\$ 130$ for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\mathbf{\$ 1 3 0}$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| $09 / 630,939$ | $08 / 02 / 2000$ | Eliot I. Berstein | $57103 / 121$ |

#  

Foley \& Lardner
*OC000000005438065*

## Firstar Cneter

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION 

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted
An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

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- The balance due by applicant is $\$ 130$.

A copy of this notice MUST be returned with the reply.

Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 2 - COPY TO BE RETURNED WITH RESPONSE

United States Patent and Trademark Office
COMMISSIONER FOR PATENTS Unite o States Patent and Trademark Office Washington, D.C. 20231 wwwlisplogor


FILING RECEIPT
Douglas A Boehm Foley \& Lardner


Firstar Cneter
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367

*OC000000005438064*

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the PTO processes the reply to the Notice, the PTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).


# LICENSE FOR FOREIGN FILING UNDER <br> Title 35, United States Code, Section 184 <br> Title 37, Code of Federal Regulations, $5.11 \& 5.15$ 


#### Abstract

GRANTED The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CRF 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15 (a) unless an earlier license has been issued under 37 CFR 5.15 (b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14 .

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 36 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (0)); the Office of Foreign Assets Control, Department of Treasury ( 31 CFR Parts $500+$ ) and the Department of Energy.


## NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, If a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

## PLEASE NOTE the following information about the Filing Receipt:

- The articles such as "a," "an" and "the" are not included as the first words in the titie of an application. They are considered to be unnecessary to the understanding of the title.
- The words "new," "improved," "improvements in" or "relating to" are not included as first words in the title of an application because a patent application, by nature, is a new idea or improvement.
- The title may be truncated if it consists of more than 600 characters (letters and spaces combined).
- The docket number allows a maximum of 25 characters.
- If your application was submitted under 37 CFR 1.10 , your filing date should be the "date in" found on the Express Mail label. If there is a discrepancy, you should submit a request for a corrected Filing Receipt along with a copy of the Express Mail label showing the "date in."
- The title is recorded in sentence case.

Any corrections that may need to be done to your Filing Receipt should be directed to:
Assistant Commissioner for Patents
Office of Initial Patent Examination
Customer Service Center
Washington, DC 20231

Title: System and Method for Providing an Enhanced Digital Image File
Inventor(s): Bernstein et al.
Appl, No.: Unknown
Okt. No. 57103/121
DABO (8/2/00)

- Transmittal of Fatent Application (2 pgs.):
- Patent Application Specification (20 pgs.);
- Informal Drawings ( 7 shets: Figures 1.7): 30
- Check Number $\$ 511344$ for $\$ 888.00$.

Assistant Commissioner for Patents:
Please acknowledge receip
docurnents by applying the $u$. Peipt of the above-identified receipt stamp hereto and mailing this card Trademark Office

Respectiully, Foley \& Lardner



[^43]| Applicant: | Bernstein et al. |  |
| :---: | :---: | :---: |
| Title: | System and Method for Providing an Enhanced Digital Image File | CERTIFICATE OF EXPRESS MALLING <br> I hereby certify thet this corfespondence is being deposited with the United States Postal Service"s "Express Mail Post Office To Addresses service under 37 C.F.R. \& 1.10 on the date indicated below and is addressed to: Assialant Commissioner for Patents. Washington, D.C. 20231. |
| Appl. No.: | Unknown | EL470736521US $8 / 2 / 00$ <br> (Express Mail Lobel Number) [Date of Daposit) |
| Filing Date: | Unknown | Douglas A. Boehm |
| Examiner: | Unknown | Printed Namel <br> Jorglas a Bceham |

## Art Unit: Unknown

## UTILITY PATENT APPLICATION TRANSMITTAL

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231
Sir:
Transmitted herewith for filing under 37 C.F.R. § $1.53(\mathrm{~b})$ is the nonprovisional utility patent application of:

Eliot I. Bernstein<br>Brian G. Utley

Enclosed are:
[ X] Specification, Claim(s), and Abstract ( 30 pages).
[ X ] Informal drawings (7 sheets, Figures 1-7).
$\longrightarrow$ [ X] Unexecuted Declaration and Power of Attorney (4 pages).
$[$ ] Assignment of the invention to lviewit.com, Inc..
[ ] Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
$\longrightarrow$ [ ] Small Entity statement.
[ ] Information Disclosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed reference(s).

The filing fee is calculated below:

[ X ] A check in the amount of $\$ 888.00$ to cover the filing fee is enclosed.
[ ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ $\mathbf{X}$ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S 1.16-1.17$, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Respectfully submitted,


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

# U.S. PATENT APPLICATION 

for

# SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL IMAGE FILE 

Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FLORIDA 33432<br>Citizenship: U.S.<br>Brian G. Utley<br>1930 SW $8^{\text {th }}$ Street<br>Boca Raton, FLORIDA 33486<br>Citizenship: U.S.

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, 1 HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor lif only one inventor is named below) or an original, first, and joint inventor (if plural irventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System and Method for Providing an Enhanced Digital Image File
(Attorney Docket No. 57103/121)
the specification of which (check one)
X is attached hereto.
__ was filed on ___ as United States Application Number or PCT International Application Number ____ and was amended on ____ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before ! (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Requlations, $\mathbf{5 1 . 5 6 .}$

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\$ 119(\mathrm{a})$-(d) or $\$ 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Titie 35, United States Code § 119(e) of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 125,824$ | $3 / 24 / 1999$ |
| $60 / 146,726$ | $8 / 2 / 1999$ |
| $60 / 149,737$ | $8 / 19 / 1999$ |
| $60 / 155,404$ | $9 / 22 / 1999$ |
| $60 / 169,559$ | $12 / 8 / 1999$ |

I HEREBY CLAIM the benefit under Title 35, United States Code, $\$ 120$ of any United States application(s), or \& 365 (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, 5 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37. Code of Federal Regulations, $\$ 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Fling Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
| $09 / 522,721$ |  | $3 / 10 / 2000$ |  |
|  |  |  |  |
|  |  |  |  |

1 HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL S. HUNTER | Reg. No. | 44,787 |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. | 39,282 |
| RICHARD J. MC KENNA | Reg. No. | 35,610 |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| RICHARD B. O'PLANICK | Reg. No. 29,096 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be directed to:

Douglas A. Boehm<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue Milwaukee, Wisconsin 53202-5367<br>Telephone: $\quad(414) 297-5718$<br>Facsimile: (414) 297-4900

1 UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Name of first inventor | Eliot I. Bernstein |
| :--- | :--- |
| Residence | Boca Raton, Fiorida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432- |
|  | 6080 |

Inventor's signature
Date
O-

| Name of second inventor | Brian G. Utley |
| :--- | :--- |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 1930 S.W. 8th Street, Boca Raton, Florida 33486 |
| Inventor's signature |  |
| Date |  |

United States Patent and Trademark Office

Douglas A Boehm
Foley \& Lardner
FORMALITIES LETTER

Firstar Cneter
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367

Date Mailed: 09/29/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted


Delia

An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fest oath or declaration surcharge as set forth in 37 CFR 1.16(e)

- The balance due by applicant is $\mathbf{\$ 1 3 0}$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART 1 - ATTORNEY/APPLICANT COPY

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System and Mathod for Providing an Enhanced Digltal Image File
(Attorney Docket No. 67103/121)
the specification of which (check one)


THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in eny country, before I (we) invented it;

THAT I do not know and do not believe that the same Invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

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THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Titie 37, Code of Federal Regulations, \$1.68.

I HEREBY CLAIM foreign priority benefits under Title 35 , United States Code $\$ 119(a)$-(d) or § 365 (b) of any foreign application(s) for patent or inventor's certificate, or $\$ 365(\mathrm{a})$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international appllcation having a filing date before that of the application on which priority is claimed.

| Prlor Forsign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimedt | Certified <br> Copy <br> Attachod? |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 36, United States Code § $119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provislonal Application Number | Fling Date |
| :---: | :---: |
| $60 / 125,824$ | $3 / 24 / 1999$ |
| $60 / 146,726$ | $8 / 2 / 1999$ |
| $60 / 149,737$ | $8 / 19 / 1999$ |
| $60 / 166,404$ | $9 / 22 / 1999$ |
| $60 / 169,659$ | $12 / 8 / 1999$ |

I HEREBY CLAIM the benefit under Title 35, United States Code, 8120 of any United States application(s), or $\$ 365$ (c) of any PCT Intemational application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT international application in the manner provided by the first paragraph of Titie 35 , United States Code, 5112 , I acknowiedge the duty to disclose information which is materlal to patentability as dafined in Title 37, Code of Federal Regulations, $5 \uparrow .56$ which became available between the filing date of the prior application and the netional or PCT international filing date of this application.

| U.S. Parent <br> Applioatlon Number | PCT Parent <br> Applicatlon Number | Parent <br> Fllng Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
| $09 / 522,721$ |  | $3 / 10 / 2000$ |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

| RUSSELL J. BARRON | Reg, No, | 29,512 |
| :---: | :---: | :---: |
| DAVID J, BATES | Reg. No. | 39,902 |
| STEVEN C. BECKER | Reg. No. | 42,308 |
| DOUGLAS A. BOEHM | Reg. No. | 32,014 |
| EDWARD W, BROWN | Reg. No, | 22,022 |
| CHARLES G. CARTER | Heg. No, | 35,093 |
| ALISTAIR K. CHAN | Reg. No. | 44,603 |
| JOHN C. COOPER Ilk | Reg. No, | 26,416 |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30.844 |
| PAUL S, HUNTER | Reg. No. | 44,787 |
| KATHERINE D. LEE | Reg, No, | 44,865 |
| KEITH D. LINDENBAUM | Reg. No. | 40,365 |
| DAVID G. LUETTGEN | Reg. No, | 39,282 |
| RICHARD J. MC KENNA | Reg. No, | 35,610 |
| JAMES G, MORROW | Reg. No. | 32,505 |
| TODD A, RATHE | Reg, No. | 38,276 |
| MICHAEL D, RECHTIN | Reg. No. | 30,128 |
| CHRISTOPHER M. TUROSKI | Reg. No. | 44,456 |
| JAMES A. WILKE | Reg, No. | 34,279 |
| JOSEPH N. ZIEBERT | Reg. No. | 36,421 |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that aill correspondence be directed to:

Douglas A, Boehm<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue<br>Milwaukee, Wisconsin 53202-6367<br>Telephone: (414) 297-5718<br>Facsimile: (414) 297-4900

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are belisved to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Name of first inventor | Eliot 1. Bernstein |
| :---: | :---: |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432 |
| Inventor's signature |  |
| Date |  |
| Name of second Inventor | Brian G. Utley |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 1930 S.W. 8th Street, Boca Raton, Florida 33486 |
| Inventor's signature |  |
| Date $\qquad$ | $12129100$ |

## DECLABATION AND POWER OF ATTORNFY

As a bolow named inventor，（ HEREBY DECLARE：
THAT my residenae，post office address，and citizenship are as stated below next to my name：

THAT I beliave I am the original，first，and sole inventor if only one inventor is namad below）or an original，first，and joint inventor（if plural inventors are named aalow or in an attached Deciaration）of the subject matter which is claimed and for which a patent is sought an the invention entitied

System and Method for Providing an Enhanced Digital Image Flle
（Atcornoy Docket No． $57103 / 121$ ］＿＿＿＿
the specifioation of which（chack one）
Is attached hereto．
Was filed on 8／2／2000 as United States Appliagtion Number or
PCT Internatlonal Application Number 09／830．939 and was
emended on＿（t applicable）．

THAT I do not know and do not believe that the same invention was ever known of used by others in the United States of Amerlca，or was patented or described in any printed publication in any country，before I（we）Invented it；

THAT I do not know and do not betleve that the aame Invention was patented or described in any pinted publlcation In any country，or in public Use or on sale in the United States of Amerlca，for more then one year prior to the flling date of this United States application：

THAT I do not know and do not believe that the same invention was first patented or made the subject of an Inventor＇s certificate that issuad in any country foreign to the United States of Amerles before the flling date of this United States application if the forelgn application was filed by me（us），or by my（our）legat representatives or assigns，more than twelve months（six months for design patents）prior to the filing date of this United States applioation；

THAT I have reviewed and understand the contents of the above－identified specifieation， Including the claim（s），es amended by any amendment specifically referred to above：

THAT I believe that the above－identifled specification contains a written deseription of the invention，and of the manner and process of making and uaing it，in such full，clear，concisa，and exect tarms as to enable any person akilled in the art to which it pertains，or with which ft is most nearly connected，to make and use the invention，and sete forth the best mode contemplated by me of carrying out the invention；and

Page 1 of 4

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THAT \& acknowiadge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentabillty as defined in Titie 37, Code of Pederal Regulations, 51.56.

I HEREEY CLAlM foreign phority beneftrs under Titla 36, Unized Stetes Code $\$ 119(\mathrm{a})$-(d) ar 5365 (b) of any foreign application(s) for patent or inventor's certficete, of $5365(a)$ of any PCT internetional opplioation which designated at least one country other then the United States of America, listed below and have also identifled below eny foreign application for patent of Inventer's certificate or of any PCT international application having a filing date before that of the application on whioh priority is claimed.


1 HEREBY CLAIM the benefit under Tlile 36, United States Code $\$ 119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Appilcation Number | Fling Deto |
| :---: | :---: |
| $60 / 125,824$ | $3 / 24 / 1999$ |
| $60 / 146,726$ | $8 / 2 / 1999$ |
| $60 / 149,737$ | $8 / 19 / 1999$ |
| $60 / 165,404$ | $9 / 22 / 1999$ |
| $60 / 169,569$ | $12 / 8 / 1999$ |

I HEREBY CLAIM the benefit under Title 36, United States Code, 120 of any United States application(s), or $₹ 365$ (c) of any PCT International application designating the United States of Amarica, listed balow and, insofar as the sutject matter of each of the claims of this application is not diselosed in the prior Unirad States or PCT International application in the manner provided by the first paragraph of Titte 35, United States Code, 5112 , I acknowledge the duty to disclose information which la materied to patentability as defined in Title 37, Code of Federal Regulations, § 1.58 which became available between the filing date of the prior application and the netional or PCT international filling date of thls application.

| U.S, Persit <br> Applioation Number | PCT Parent <br> Appilceatlon Number | Parent <br> Fling Dare | Parant <br> Patant Number |
| :---: | :---: | :---: | :---: |
| $09 / 522,721$ |  | $3 / 10 / 2000$ |  |
|  |  |  | $\vdots$ |

Page 2 of 4

I HEREEY APPOINT the following reglatersd attorneys and egents of the law firm of FOLEY \& LARDNER to have full power to prosocute this application and any cont|nuations, alvisions, relssues, and reexaminations therect, to receive the patent, and to cransact all buainess in the United States Patent and Trademark Office connected therowith:

| RUSSELL J. BARAON | Reg. No. | 29,512 |
| :---: | :---: | :---: |
| DAVID J, BATES | Reg. No. | 39,902 |
| STEVEN C. BECKER | Reg. No. | 42,308 |
| DOUGLAS A, GOEHM | Meg. No. | 32,014 |
| EDWARD W. BROWN | Reg. No. | 22,022 |
| CHARLES G, CARTER | Reg. No. | 35,093 |
| ALISTAIR K, CHAN | Reg, No. | 44,603 |
| JOHN C. COOPEA III | Hag. No . | 26,416 |
| JEFFREY N, COSTAKOS | Reg. No, | 34,144 |
| WILLIAM J. DICK | Heg. No. | 22.205 |
| BARRY L. GROSSMAN | Rag. No. | 30,844 |
| PAUL S. HUNTER | Feng. No. | 44,787 |
| KATHERINE D. LEE | Reg. No. | 44,865 |
| KEITH D. LINDENBAUM | Feg. No. | 40,365 |
| DAVID G. LUETTGEN | Rag. No, | 39,282 |
| AICHARD J. MC KENNA | Reg. No. | 35,610 |
| JAMES G. MORROW | Rog. No. | 32,605 |
| TODD A, RATHE | Reg. No. | 38,276 |
| MICHAEL. D. RECHTIN | Reg. No, | 30,128 |
| CHAISTOPHER M. TUROSKI | Reg. No, | 44,456 |
| JAMES A. WILKE | Reg. No. | 34,279 |
| JJSEPH N. ZIEBERT | Feg. No. | 36,421 |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be dilrected to:
Douglas A. Boehn
FOLEY \& LARDNER
Flrstar Center
777 East Wisconsin Avenue
Milweukee, Wisconsin $53202-5$

| Telephone: | (414) 297.5718 |
| :--- | :--- |
| Facsimile: | (414) $297-4900$ |

1 UNDERSTAND AND AGREE THAT the foragoing attomeys and agents appointed by me to prosecute this application do not parsonally represent me or my legal interesta, but instead represent the interests of the legal owner(s) of the inventlon described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowiodge are true, and that ali statements made on information and beligf are believed to be true; and further that these statements were made with the knowledge that wilifut faise statements and the like so made are punishable by fine or imprisonment, or both, under Seetion 1001 of Title 18 of the United States Code, and that such whliful false atatemente may jeopardize the validity of the application or any patent issuing thereon.

Page 3 of 4
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Residence
Citizenship
Pos: Offioe Address
Inventor's signature Date

Name of second inventor
Residence
Citizenship
Post Office Address
Inventor's signature
Date

Boca Raton, Florida
USA


Brian G. Utiey
$\frac{\text { Boca Raton, Florids }}{\text { USA }}$

| elliot Note | 3201407 $1.45 \times 77 \mathrm{Am}$ |
| :---: | :---: |
| This signature could lave been taken from an any application that I saw and then switched. |  |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In ie the Application of:
Elior I. Bernstein and Brian Utley
Serial No.: 09/630,939
Filed: 08/02/00
For: SYSTEM AND METHOD FOR PROVIDING AN

## ENHANCED DIGITAL IMAGE FILE

Art Unit:
Examiner:

## REVOCATION AND POWER OF ATTORNEY

The Fon. Commissioner of
Patents and Trademarks
Wasinington, D.C. 20231
Dear Sir:
The Applicant of the above-identified Application, hereby revokes all previous powers of stioney given in this Application, and appoints the firm of:

BLAKELY SOKOLOFF TAYLOR \& ZAFMAN LLP, a fim including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bernadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46,149; Gregory D. Caldwell, Reg. No. 39,926; Andrew C. Chen, Reg. No. 43,544; Jae-Hee Choi, Reg. No. 45,288; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Donaa Jo Coningsby, Reg. No. 41,584; Florin A. Corie, Reg. No. 46,244; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. P46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486;.Sanjeet Dutta, Reg. No. P46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Mark C. Fartell, Reg. No. 45,988; George Fountain, Reg. No. 36,374; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; Gecrge W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. Ma 3.772; Sang Hui Kin, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King,

Reg. No. 44,188; Steven Lat, Reg. No. 47,736; George Brian Leavell, Reg. No. 45,436; Samuel S. Lee, Reg. No. 42,791; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181 ; Robert G. Litts, Reg. No. 46,876; Julio Loza, Reg. No. 47,758; Joseph Luz, Reg. No. 43,765 ; Lawrence Lycke, Reg. No. 38,540; Michael J. Mallee, Reg. No. 36,591; Andre L. Maras, under 37 C.F.R. $\S 10.9$ (b); Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493; Chum M. NE, Reg. No. 36,878; Then T. Nguyen, Reg. No. 43,835; Thigh V. Nguyen, Reg. No. 42,034; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Pornova, Reg. No. P45,750; Michael A. Proksch, Reg. No. 43,021; Randol W. Read, Reg. No. 43.876; William F. Ryan, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Res. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Soiroioff, Reg. No. 25,128; Edwin H. Taylor, Reg. No. 25,129; Lance A. Termes, Reg. No, 43,34; John F. Travis, Reg. No. 43,203; Joseph A. Twarowski, Reg. No. 42,191; Kerry D. Wrest. Reg. No. 45,959 ; Mark C. Van Ness, Reg, No. 39,865; Thomas A. Van Zandt, Reg. No. $43.219 ;$ Lester J. Vincent, Reg. No. 31,460; Glenn E. Yon Tersch, Reg. No. 41,364; John Patrick Wace. Reg. No. 40,216; Mark L. Watson, Reg. No. P46,322; Thomas C. Webster, Reg. No. P46, 154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45715 ; and Richard A, Nakashima, Reg. No. 42,023; my patent agents, of BLAKELY SOXOLOFF TAYLOR \& ZAFMAN LLP, with offices located at 12400 Wilshire Boulevard, 7th Floor. Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Please direct all communications concerning this Application to:

Thomas M. Coester, Esq. BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN 12400 Wilshire Boulevard, Seventh Floor Los Angeles, CA 90025<br>(310) 207-3800



Daze: $\qquad$ By: $\qquad$


UNITED STATES PATENT APPLICATION
for

SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE



777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S. Provisional Application No. 60/169,559, filed December 8, 1999, and PCT International Application No. $\qquad$ , filed June 2, 2000.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection (e.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

CHICAGS
OENVEP
JBCKCONVILLE
LOS ANGELES
MADIEON MLILARLKEE
GRLANDE
EMAIL ADCRESS
sheckerg foleylaw com

PAFYTAR CENEEA



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Sent two months after filing for imentor revien, this contradicts statements to $\mathrm{V} / \mathrm{A}$ Ear that inventors had time to revien and change applisations.

CACRARAENTI SAN CIEFO gAM FRANEIEEO TALLAHASSEE TAMPA WASHINGTOH, D.C WEST PALM BEACH

July 27, 2000

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: U.S. Patent Application
Title: System And Method For Providing An Enhanced Digital Video File
Inventor(s): Bernstein et al.
Our Ref.: 57103/116
Dear Brian:

Enclosed please find the final draft of the above-referenced patent application which was filed with the U. S. Patent and Trademark Office on June 5, 2000 as Application No. 09/587,734. I have also enclosed various formal papers which require the inventors' signatures.

Please have the inventors thoroughly read the patent application, including the specification, claims, and drawings, to ensure that it provides an accurate and complete description of the invention. The written description should be sufficiently complete to enable someone of ordinary skill in the art to make and use the invention. The application must describe the "best mode" contemplated by the inventors for carrying out the invention, i.e., in order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret. If, after review of the application, the inventors find that anything in the application is incomplete, inaccurate, untrue, or possibly misteading, please contact me immediacely so we can discuss the matter. If any typographical, grammatical, or other minor formal errors are found, please have them noted in a separate memo to me such that I can correct them by amendment at a later date.

If everything appears to be in order, please tave the inventors read, sign, and date the Declaration and Power of Attorney form at the colored tags. By executing this document, they will be verifying the originality of their invention and acknowledging their

## Foley § LaRDner

Mr. Brian G. Utley
July 27, 2000
Page 2
duty to disclose to the U.S. Patent and Trademark Office any information of which they are aware that could be material to the examination of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or coworker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Note that the Declaration is signed under penalty of perjury, so careful consideration should be paid to its contents before signing.

Each inventor should also read, sign, and date the enclosed Assignment and Agreement, which must be executed in the presence of a Notary Public. By executing the Assignment, they will be acknowledging their obligation to Iviewit Holdings, Inc. by assigning all their rights in the invention to the company.

After the inventors execute the formal papers, please return the fully executed documents to me as soon as possible. You may keep the copy of the patent application for your records.

Now that the patent application is on file, any device covered by the claims of the application may be marked "Patent Applied For" or "Patent Pending" or an abbreviation thereof. This "Patent Pending" marking is not mandatory, as it only serves as a cautionary warning to would-be competitors that the device may be subject to patent protection in the future. However, this marking should be continued only as long as the application is still pending in the United States Patent and Trademark Office. If the application issues as a patent, the appropriate patent number should replace this marking. If the application should become abandoned and this is the only application pertinent to the device, then the marking should be eliminated entirely.

If you have any questions regarding any of the above, please do not hesitate to contact me.

Very truly yours,


Enclosure (s)

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| $09 / 630,939$ | $08 / 02 / 2000$ | Eliot I. Berstein | $57103 / 121$ |

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.534t




Filing Date Granted

An application number and filing date have been accorded to this app Exzt

1 year after filing and 5 months after supposedly signing an oath for Foley, however, are missing. Applicant is given TWO MONTHS from the date or mis Notice withir which to mie all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.

Le mynid abandonment, a ate filinytue or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 130$ for a non-smalrentity, must be submitted with the missing items identified in this letter.

- The balance due by applicant is $\mathbf{\$ 1 3 0}$.

A copy of this notice MUST be returned with the reply.







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E-4) NO FACSIMILE CONNECTION

FOLEY \& LARDNER

## Facsimile Transmission

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## Facsimile Transmission

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| TO： | PHONE： | FAX \＃： |
| :--- | :---: | :---: |
| Brian Utley | （561）999－8899 | （561）999－8810 |

From：Steve Becker
Sender＇s Direct Dial：（414）297－5571
Date：June 6， 2000
Client／Matter No：57103／117～／01
User ID No： 1963

MESSAGE：

## CONFIDENTIAL AND PRIVILEGED

If there are any problems with this transmission or if you have not received all of the pages，please call（414）297－5444．

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## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: PCT International Patent Application
Title: System and Method for Video Playback Over a Network Inventor(s): Bernstein et al.
Our Ref.: 57103/117
Dear Brian:
Enclosed please find the first draft of the above-referenced patent application (last page marked 001.793381 .1 ), which has been prepared in accordance with the previously filed U.S. provisional patent applications (MLG Docket Nos. 5865-4 and 5865-4.1). As you know, a careful and critical review of this draft application by vou and the inventors is imperative to ensure that the you are all satisfied with the content of the application and the proposed claim scope.

I note that Jeff Friedstein is named as a co-inventor on this application pursuant to Eliot Bernstein's instructions. Accordingly, Jeff must review a draft of the application before filing.

I also note that the deadline for filing this application in order to claim priority to all related provisional applications is Wednesday, June 7, 2000. Therefore, we must receive your comments as soon as possible.

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Mr. Brian G. Utley
June 6, 2000
Page 2
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Please have the inventors mark the appropriate changes on this copy of the application, make a copy of the changes, and return the hand-corrected copy to me via facsimile. A revised application incorporating the changes will then be submitted to you for your approval. We will handle execution of the formal papers at a later date.

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Page 3
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## Enclosure(s)


cc: Douglas A. Boehm

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| Note |
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elot
Note
Strange that Foley starts suddenly putting all these disclaimers regarding inventors.

Inventor(s): Bernstein, et al.

# INVENTOR INFORMATION SHEET 

## Sections of a Utility Patent Application

## - Background of the Invention

The Background of the Invention describes the technology that existed before your invention, i.e., the "prior art". This section typically discusses how the need for your invention arose, describes how others attempted to satisfy that need prior to the time of your invention, and points out the deficiencies of the prior art in meeting that need. If you aware of any other prior art that should be mentioned in this background section, please supplement this section and forward any literature that you might have to me.

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- Claims

The claims are the most important part of the patent application. They precisely define the invention and determine the scope of legal protection granted by the patent. The claims must particularly point out and distinctly claim the invention. The claimed subject matter must be distinguishable over that which the prior art suggests to those skilled in this field. Accordingly, the claims should be scrutinized with a view toward protecting your precise
invention and those concepts which could be considered an outgrowth of it, yet not encompassing knowledge from the prior art or obvious extensions thereof. In other words, the scope of the claims must be broad enough to provide that patent protection to which you ate entitled, yet natrow enough to be distinguishable over the prior art. The terminology of the claims must be adequately supported by the description contained in the specification. Please keep in mind that all dependent claims, which are identifiable by the phrase "according to claim $x$ " or "of claim x", are interpreted as containing all of the limitations of the other claims which are referred to by that dependent claim. Thus, the independent claims are the broadest statement of your invention, and the dependent claims provide additional limitations to narrow the scope of your invention. Although the inventive concepts of each set of independent claims are interrelated, please keep in mind that they must be critically distinguished from each other. Each set of claims must stand on its own merit.

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Foley \& Lardner

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America
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VN Viet Nam
YU Yugoslavia
ZA South Africa
ZW Zimbabwe (AP)

## 57103/117

# pCt internationpatent application <br> for 

SYSTEM AND METHOD FOR VIDEO PLAYBACK

## OVER A NETWORK



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENITION <br> SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

 CROSS-REFERENCE TO RELATED APPLICATIONSThis application claims the benefit of U.S. Provisional Application No. 60/137,921, filed June 7, 1999, and U.S. Provisional Application No, 60/141,440, filed June 29, 1999.

FIELD OF THE INVENTION
The present invention relates generally to a system and method for playing a video program over a network. The present invention also relates to a system and method for controlling a video dewir -war $\mathfrak{c}$ network.

## BACKGROUND OF THE INVENTION

The widespread and ever-growing use of communication networks, such as the Internet and other computer-to-computer communication networks, for the dissemination of information, has fueled the need to provide for the transmission of video data over these networks. Currently, the transmission of video data over networks has been less than optimal, given current bandwidth and technology constraints. These constraints have impeded the ability to offer enhanced resolution and/or full motion video data over these networks.

The Internet marketplace is demanding enhanced resolution and high definition streaming video and precise representations of video images, objects, and events. Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file


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June 6, 2000

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Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431


Dear Brian:

Enclosed please find a first draft of the claims of the above-referenced patent application, which have been prepared in accordance with oral disclosure provided to us by Eliot Bernstein yesterday and further in accordance with two former provisional applications (i.e., old docket numbers 5865-4 and 5865-4.1). As you know, a careful and critical review of these claims by you and the inventors is imperative to ensure that the you are all satisfied with the proposed claim scope.

Eliot has informed us that Jeff Friedstein is to be named as a co-inventor of this invention. Accordingly, please provide a copy of these claims and these instructions to Jeff at your earliest opportunity.

During the review, please keep in mind that independent claims $1,12,19,24$, 31 , and 38 are the broadest statements of the invention, and the remaining dependent claims add limitations to further define different embodiments of the invention. Please note that it is the inventors" legal obligations to "read and understand" the contents of the application including the claims. Each inventor will have to sign a declaration attesting that they did so.

If you need any assistance in reviewing the claims, please feel free to contact me.

Mr. Brian G. Utley
June 6, 2000

## Page 2

We are currently drafting the corresponding specification for this application and will forward this to you for your review as soon as possible.

## Enclosure(s)

Very truly yours,
cc: Douglas A. Boehm

WHAT IS CLAIMED IS:

1. A system for video playback over a ne comprising:
a video playback device configured to transmit a video signal from a non-volatile storage medium; and
a computer coupled to the video playback device configured to receive the video signal and to transmit the video signal over a network.
2. The system of claim 1, further comprising a capture device coupled between the video playback device and the computer, the capture device configured to receive the video signal and to generate a digital video signal based on the video signal.
3. The system of claim 2, wherein the computer utilizes video conferencing software to receive the digital video signal, to perform at least one processing step thereon, and to provide the digital video signal over the network.
4. The system of claim 3, wherein the processing step includes configuring the digital video signal for full screen display on a user computer.
5. The system of claim 4, wherein the capture device is configured to capture the video signal at a full screen size.
6. The system of claim 1, wherein the network includes the Internet.


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[^45]
## Foley \& Lardner

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Via Facsimile
June 6,2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Dear $B_{1}$ ian:
PCT International Patent Application
Title: System and Method for Video Playback Over a Network Inventor(s): Bernstein et al.
Our Ref.: 57103/117

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PCT International Patent Application entitled System and Method for Video Playback Over a Network Inventor(s): Bernstein, et al.

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# PCT INTERNATIONAL PATENT APPLICATION 

## for <br> SYSTEM AND METHOD FOR VIDEO PLAYBACK

## OVER A NETWORK



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,921, filed June 7, 1999, and U.S. Provisional Application No. 60/141,440, filed June 29, 1999.

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## FOLEY \& LARDNER

ATTORNEYS Af LAW

## DEMVER <br> JACKSONVILLE <br> LOS ANGELES

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777 EAST WISCONSIN AVENUE
SAN DIEGO

MADISON
ILWALKEE, WISCONSIN 53202-5367
SAN FRANKCISCO
TELEPHONE (4:4) 271-2400
FACSIMILE (4 | 4) $297-4900$

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431


Dear Bian:

Enclosed please find the first draft of the above-referenced patent application (last page marked 001.793381 .1 ), which has been prepared in accordance with the previously filed U.S. provisional patent applications (MLG Docket Nos. 5865-4 and 5865-4.1). As you know, a careful and critical review of this draft application by you and the inventors is imperative to ensure that the you are all satisfied with the content of the application and the proposed claim scope.

I note that Jeff Friedstein is named as a co-inventor on this application pursuant to Eliot Bernstein's instructions. Accordingly, Jeff must review a draft of the appiication before filing.

I also note that the deadline for filing this application in order to claim priority to all related provisional applications is Wednesday, June 7, 2000. Therefore, we must receive your comments as soon as possible.

Please have the inventor(s) thoroughly read the application draft, including the specification, claims, and drawings, to ensure that it provides a complete and accurate description of the invention. The attached "Inventor Information Sheet" provides a brief explanation of the parts of a utility patent application, the duty of disclosure, and inventorship. I would also like you to personally read and comment on this draft.

Mr. Brian G. Utley
June 6, 2000
Page 2
This PCT application incorporates all of the subject matter of U.S. Provisional Patent Application No. 60/137,921, filed June 7, 1999 (MLG Docket No. 5865-4) and U.S. Provisional Patent Application No. 60/141,440, filed June 29, 1999 (MLG Docket No. 58654.1).

You and the inventors should feel free to supplement, correct, or modify any part of the application. In particular, please review the subject matter disclosed in the two above-referenced provisional patent applications. After your review, if you or the inventors feel that any subject matter from these three provisional patent applications should be reflected in the draft PCT application, but is not, please notify me immediately.

The drawings attached to the application are informal sketches that will suffice for purposes of filing. Formal drawings will be prepared at a later date, as they are required for publication of the РСТ application.

During the review, please keep in mind that independent claims $1,12,19,24$, 31 , and 38 are the broadest statements of the invention, and the remaining dependent claims add limitanen to further define different embodiments of the invention. Please note that it is the inverius sizal obligations to "reau and understand" the contents of the application including the claims. Each inventor will have to sign a declaration attesting that they did so.

Please have the inventors mark the appropriate changes on this copy of the application, make a copy of the changes, and return the hand-corrected copy to me via facsimile. A revised application incorporating the changes will then be submitted to you for your approval. We will handle execution of the formal papers at a later date.

Pursuant to your instructions, to preserve foreign filing options, I plan to designate all of the countries for filing under the PCT (see attached list of PCT Contracting States). Note, however, that not all foreign countries are members of the PCT (e.g., Taiwan), so to maintain the benefit of priority to the U.S. applications, we would have to file foreign national applications immediately in those non-PCT countries. This would require up-front translation costs and sufficient time for our foreign associates to prepare and file the applications before June 7, 2000. It is my understanding that you DO NOT want us to file in any countries other than those that are members of the PCT. Please let me know IMMEDIATELY if this understanding is not correct.

Mr. Brian G, Utley
June 6, 2000
Page 3
If you or the inventors have any questions, please do not hesitate to contact me.
I look forward to receiving at least your preliminary comments on the application by tomorrow.

Enclosure(s)
ce: Douglas A. Boehm

# INVENTOR INFORMATION SHEET 

Sections of a Utility Patent Application

- Background of the Invention

The Background of the Invention describes the technology that existed before your invention, i.e., the "prior art". This section typically discusses how the need for your invention arose, describes how others attempted to satisfy that need prior to the time of your invention, and points out the deficiencies of the prior art in meeting that need. If you aware of any other prior art that should be mentioned in this background section, please supplement this section and forward any literature that you might have to me.

- Summary of the Invention

The Summary of the Invention section is merely a brief pa....... $\quad$ of the basic clinion, , long with a statement of the objectives and advantages of the present invention.

- Brief Description of the Drawings

The Brief Description of the Drawings is merely a listing of the figures, and should be self-explanatory.

- Detailed Description of the Preferred Embodiments

The Detailed Description of the Preferred Embodiments section should provide a full, clear, and concise description of your invention so that any person skilled in the art could make or use the invention. Furthermore, the application must describe the "best mode" contemplated by the inventor(s) for carrying out the invention. In order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret.

- Claims

The claims are the most important part of the patent application. They precisely define the invention and determine the scope of legal protection granted by the patent. The claims must particularly point out and distinctly claim the invention. The claimed subject matter must be distinguishable over that which the prior art suggests to those skilled in this field. Accordingly, the claims should be scrusinized with a view toward protecring your precise
invention and those concepts which could be considered an outgrowth of it, yet not encompassing knowledge from the prior art or obvious extensions thereof. In other words, the scope of the claims must be broad enough to provide that patent protection to which you are entitled, yet narrow enough to be distinguishable over the prior art. The terminology of the claims must be adequately supported by the description contained in the specification. Please keep in mind that all dependent claims, which are identifiable by the phrase "according to claim $x$ " or "of claim $x$ ", are interpreted as containing all of the limitations of the other claims which are referred to by that dependent claim. Thus, the independent claims are the broadest statement of your invention, and the dependent claims provide additional limitations to narrow the scope of your invention. Although the inventive concepts of each set of independent claims are interrelated, please keep in mind that they must be critically distinguished from each other. Each set of claims must stand on its own merit.

- Abstract of the Disclosure

The Abstract of the Disclosure section is a very brief description of what the application generally discloses.

- Drawings

The Drawings section should be self-explanatory.

## Duty of Disclosure

All individuals associated with the filing or prosecution of a patent application are under a duty of candor and good faith to the U.S. Patent and Trademark Office. This duty specifically includes a duty to disclose any information known to be material to the patentability of any claim of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or co-worker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Pursuant to this duty of disclosure, we will file an Information Disclosure Statement with the U.S. application listing the patent documents found in any prior art searches, as well as any technical articles mentioned in the disclosure materials. Accordingly, if any additional information relevant to your invention should come to your attention at any time before issuance of the patent, please immediately let us know so we can either include it in the Information Disclosure Statement or submit a Supplemental Information Disclosure Statement.

## Inventorship

The proper inventors would be those people who contributed to the subject matter of the invention as defined in the claims of the application. There may be joint
inventors even though they did not physically work together or at the same time, did not make the same type or amount of contribution, or did not make a contribution to the subject matter of every claim in the patent. It is our understanding that the above-identified persons are to be named as co-inventors of this application. If this is not accurate, please call us to discuss the conception and development of each of the different embodiments of the invention, so that we will be able to confirm your determination of proper inventorship before filing the application.

Foley \& Lardner

AE United Arab Emirates AG Antigua and Barbuda AL Albania AM Armenia (EA) AT Austria (EP)
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Herzegovina
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18 May 2000)(AP)
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YU Yugoslavia
ZA South A frica
ZW Zimbabwe (AP)

# PCT INTERNATIONAL PATENT APPLICATION 

for

## SYSTEM AND METHOD FOR VIDEO PLAYBACK

## OVER A NETWORK



FOLEY \& LARDNER
Attomeys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,921, filed June 7, 1999, and U.S. Provisional Application No. 60/141,440, filed June 29, 1999.

FFELD OF THE INVENTION
The present invention relates generally to a system and method for playing a video program over a network. The present invention also relates to a system and method for controlling a video device over a network.

## BACKGROUND OF THE INVENTION

The widespread and ever-growing use of communication networks, such as the Internet and other computer-to-computer communication networks, for the dissemination of information, has fueled the need to provide for the transmission of video data over these networks. Currently, the transmission of video data over networks has been less than optimal, given current bandwidth and technology constraints. These constraints have impeded the ability to offer enhanced resolution and/or full motion video data over these networks.

The Internet marketplace is demanding enhanced resolution and high definition streaming video and precise representations of video images, objects, and events. Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file

## PCT INTERNATIONAL PATENT APPLICATION

for
SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK

| International Application No:: | PCT/US00 |
| :--- | :--- |
| International Filing Date: | June 2,200 |



FOLEY \& LARDNER

Attorneys at Law
777 E . Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

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| Steven C. Becker |
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1I. [] New international Applicatlon

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B. [ ] There is no prior $\mathrm{U} . \mathrm{S}$. applicalion relaring to his invention.
C. [X] The followinf prior U.S. application(g) contain subjeet mater which is felated to the invernion disclosed in the amached intrimaional application. (NOTE; priority to strese applicaions may or may not be ctaimed on fonn PCT/RO/IOt


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 made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.l. Sere 37 CFR 5.15

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| II-4 | Name | IVIEFIT HOLDINGS, INC, |
| 11-5 | Address: | One Boca place <br> 2255 Glades Road <br> Suite 337 Hest <br> Boca Raton, FL 33431 <br> United States of America |
| [\|-1-9 | State of nalionality | US |
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| III-1-5 | A ddress: | 500 S.E. Miznex Boulevard Boca Raton, FL 33432-6080 United States of America |
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## Foley \＆LARDNER

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EMAIL ADDRESS dabothmofoleylaw．com

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August 23， 2000


Mr．Brian G．Utley
President \＆COO
Iviewit．com，Inc．
One Roca Place
2255 Glades Road，Suite 337 West Bora Raton，Florida 33431

Re：PCT International Patent
Application No．：PCT／US00／15602
Filing Date：6／07／2000
Title：System and Method for Video Playback Over a Network Applicant：Iviewit Holdings，Inc．
Out Ref．$\ddagger \quad 57103 / 118$
Dear Brian： $\square$
Enclosed for your records is a copy of the Response to the Invitation to Correct Defects in the International Application，which was filed with the United States Patent and Trademark Office on August 18，2000，in connection with the above－identified application．

We will keep you informed of all future developments as they occur．Please feel free to contact me with any questions or comments regarding this matter．

Very truly yours，


Douglas A．Boehn
Enclosure（s）


## TRANSMTTTAL LETTER TO THE UNITED STATES RECEIVING OFFICE

| Date | 18 August 2000 |
| :--- | :--- |
| International Application No. | PCT/195001 15602 |
| Attorney Docket No. | $57103 / 118$ |

1. Certification under 37 CFR 1.10 (if applicable)

| EE I67291378 US |
| :---: |
| Express Mail mailing number |


| 18 Augusi 2000 |
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| Date of Deposil |

I hereby cortify that the applicationfoorrespondence atrached hereto is being deposited with the United Stares Pustal Serwice "Express Mail Post Office to Addressec" service under 37 CFR J .10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

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## II. [] New Intemational Application

| TITLE | SYSTEM AND METHOD FOR VIDEO PLAYBACK OVER A NETWORK |
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SCREENING DISCLOSURE INFORMAT1ON: In onder to assist in screening the acompanying international application for purposes of determinite whether a licenge for foreign uansmital shenlid and could be granted and for of her purposer, the following information is supplied. (Nore: check as many boxes as apply):
A. I] The invention digclosed was nor made in the United States.
B. [] There is no prior U.S. application relating to this invention.
C. [] The following jrior $U . S$, apptication(s) contain aubject mater which is related to the imention disclosed in the atached
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| Application no. |  | application no. |  |

D. [ TThe present international applicarion [ ] is identical to [ ] onotaing ]ess subject matter than that fouth in the prive U.S. application(s) idencifjed in paragraph C above.
E. [ ]The present international application [ ] contains anditional subject matter not found in the prior U.S. application(g) identified in paragraph Cabove. The additional subjeet matter is found throughout the application and \| ] DOES NOT ALTER [] MIGFT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have becn made available for intspection by the appropriace defense agenciex under 35 U.S.C. 181 and 37 CFR 5.1. Sce 37 CFR 5.15.
III. [X] Repponse to an Invitation from the ROUS. The following docuntent( 5 ) is(art) enclosed:
A. [X] A Response to the Invitation to Correct Deftets in the Internacienal Application
E. [X] Four Powers of Atcorney (General)
C. [] Replacment pages:

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D. [] Submissidn of Priority Documents
E. I] Fees as specified on attached Fee Caleulation shect form PCTIROILOL annex


| Typed name of signer | Douglas A. Roehra | Signtare |  |
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## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicants | Iviewit Holdings, Inc., et al. |  |
| :--- | :--- | :--- |
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| Application No. | $:$ | PCT/USOO/15602 |
| International | $: \quad 07$ June $2000(07.06 .2000)$ |  |
| Filing Date |  |  |
| Title of <br> Invention | SYSTEM AND METHOD FOR VIDEO PLAYBACK <br> OVER A NETWORK |  |

## RESPONSE TO THE INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

Assistant Conmissioner for Patents<br>ATTN: RO/US

Bor PCT
Washington, D.C. 20231

Sir or Madam:
In response to the Invitation to Correct Defects in the International Application (Form PCT/RO/106) dated 24 July 2000, Applicant respectfully submits four (4) dulyexecuted PCT General Appoinments of Agent, and two (2) sheets of formal drawings, for filing in connection with the above-identified application.

Respectfully submited,

Dated: 18 August 2000
Foley \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The andersigned applicant hereby appoints, individually and collectively,

## FOLEY \& LARDNER

and

| RUSSELL J. BARERON | Rce. No. | 29,512 |
| :---: | :---: | :---: |
| DAYID J. BATES | Ref. Na . | 39,902 |
| STEVEN C. BECKER | Reg. Ma. | 42,308 |
| EDGARA A. BOEHM | Reg. No. | 32,014 |
|  | Kci. No. | 22,022 |
| CHARLES G. CARTER ALISTAR K CHA | Res. No. | 35,093 |
| jOHE C, COOPER $נ$ I | Reg. No. | 44,603 |
| JEFPREY N. COSTAKOS | Reg. No. | 26,416 |
| WILIJAM I, DICK | Reg. No. | 34,144 |
| HARRY L. GROSSMAN | Refe No. | 22,205 |
| PAULS. HUNTER | Reg. No, | 44.787 |
| KATHERNE D. LEE | Beg. No. | 44,865 |
| KETIH D. LINDENBAUM | Reg. No. | 40,365 |
| DAVID G. LUETTGEN | Reg. No. | 39,282 |
| RICHARD J. MC KENTA | Rag. No. | 35,610 |
| JAMES G. MOPROW | Refe Na , | 32,905 |
| RLCHARD B. OPLANICK | Reg. 40. | 29,096 |
| TODD A. RATHE | Reg. No. | 39,276 |
| MLCRAEL D. RBCHIIN | Rep. No. | 30,128 |
| CERHSTOFHER M. TUROSK | Ret. No. | 44,456 |
| TAMES A WILKE | Rep. Mo. | 34,279 |
| JOSEPH N, ZIEBERT | Rep. No. | 35,421 |
| WALTER E. ZDMMERMAM | Rta. No. | 40,983 |

attorbeys at law of Firstar Center, 777 East Wisconsin Aventre, MIwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in connection with any and all international applications fuled by it. and to receive payments on its behalf.

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| Note |
| Utley signature after the |
| filing |



BY:
Title


## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigns applicant bercby appoints, individually and collectively,
FOLEY \& LARDNER
and

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Ref. No. 29,512
Fed. No. 29,900
Bat No. 42,308
Ref. No. 32,014
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Rag. No. 26,46
Ref. N4, $\quad 34,144$
Ref. No. 22,205
Ref. No. 30,844
Rep, No. 44, 787
R en No 4, 4, 46
Rot. No. 40.365
Ref. No. 79,212
Reg. No. $\quad 35,610$
Ref. No. 32,505
Ret. No. 38.276
Ret. No. $30,12 \mathrm{~s}$
State. Nu. 4,456
R4. No. 34,279
Res. No. 35,421
Pe No. $40, \mathrm{EES}$
attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wheconsin $53202-5367$, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in compaction with any and all international applications filed by it, and to receive payments on its behalf.


Eliot I. BERNSTEN

## PATENT COOPRRATION TREATY

## GENERAL APRONTMENT OFAGENS

The undersigned applicant bereby appoints, individailly and collectively,
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and

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## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

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developed image can be enlarged to sizes of tretweten $8^{\prime \prime} \times \theta^{\circ}$ and B"×12", or to any other appropriate size. The developed image is enlarged to provide additional photo information to scanning device

10 78. The developed image can be enlarged many times before the granularity of the image is visible to the human eve. An enlargement magnification capability of up to 1700 times or more may be attelned for most views of scenes. It is, however, recommended that larger enlargement sizes be obtained for smaller developed images. As mentioned, the step of enlarging may not be necessary in all cases leg., where the size of the print film image or developed image is large enghigh to provide sufficient data to scanning devices 18 ).


At step 58 , the enlarged image is scanned by scanning device 18 in order to penerace a bitmap image file or other digital
16 Image file, such as./SPEG, GIF, or_other files. Scanning occurs at a

rout 600 dpi to provide a large number orfincers in thee resulting
 20 one example, a sufficient number of plats ate provided in the enhanced digital image file to allow a user to magnify the digitized image in the viewing window of depiay 30 by a magnification factor ${ }^{〔}$ minot without pixelation.
-of-prictiv are provided to allow the veer to magnify the digitized

According to one exemplary embodiment, the number of pixels provided in the enhanced digital image file is based on a viewing window size and the desired magnification ratio. By

[^46]\[

$$
\begin{aligned}
& \sin \\
& \text { C-204 } \\
& { }^{4} 7 \mathrm{CH}=1 \\
& \text { rote }
\end{aligned}
$$
\]

providing more pixels in the enhanced digital Image file than is required for a full-window view in the viewing window, the user is able to $\mathbf{z o o m}$ and pan within the digital image during viewing without pixelation.


FIG. 7 illustrates relationships between a source image (erg., a scanned print film ímagol, a viewing window leg., a portion
 soufer-matesto be displayed in the viewing window), and a target
 parameters and description are for the purpose of creating large, clear, zoomable end pansble images from a variety of photographic, non-digital source images.
$\xrightarrow{3}$ The source image (si) has a source image hight (sit) and a source image width (six). Thus, the source Image aspect ratio (alar) on be determined as:


$$
40.1 \overline{3} 50, \quad 5 / 40
$$





from the source image (cEil) must ba selected and seeled. The viewing image helght (yin) and viewing inge width (vip) within viewing window (wwheld be determined by comparing the sour oe

Image aspect ratio (alder) to the viewing window aspect rato (war),

SI as shown:
 the veavirie The magnification factor, (mf) is defined as the fatso af amount of zoom available without otusing the image to distort due to few or pixels in the image being displayed than available in the
 (tia) having a target [mage width (tiv) and a target image height (til) can be determined as the magnification factor $\mid \mathrm{mfj}$ times the viewing irene size (wis):


[^47]$T /(s) \mu / \alpha=V / s$

 viewing image is shown in FIG. 7. The relationship between the target image and the viewing window is also shown. A zoom to themaximum level will be shown in the viewing window as illustrated at drawing 120. By panning the viewing window, every portion of the target image may be viewed from esth level of zooming.

To determine the minimum scan density (med) to avoid


Whinow Aspect Ratio:


The Viewing [mage size $=v / s=320 \times 400=128.000$
 The Target image size $=$ vis $\times 20=128,000 \times 20=\Pi \quad T S=\frac{\mathrm{VIS}}{\mathrm{m}^{F}}$ 2,560,000 pixels

The MInimum Scan Density $=1789 / 5=359$ plxels per Inch

$$
1,789 / 4=447.25
$$

The photo scan can be any scan density > 357 pixela per inch greater than \$5y pixels per inch to allow magnification/2oom up to 20 times In a viewing window of $320 \times 240$ pixels. An enhanced 5 digital image the having 2,560,000 pixels provides a sufticient number of pixels for this example.

## EXAMPLE 2

Determine the Target image size and dimensions, and minimum stan density for the following case:

```
H w
Source Image \(=4^{11} \times 5^{n}\)
Desired Magnification Factor \(=20\)
Source image Aspect Ratio \(=4 / 5=0.8\)
```

Define the Viewing Window: assume 360h $\times 400 \mathrm{w}$ pixels
Viewing Window Aspect Ratio $=360 / 400=0.0$

The Source Image Aspect Ratio is < the Viewing Window Aspect Ratio:

$$
\begin{aligned}
& 0.8<0.9 \text { therefore; } \\
& \text { wis }=v w w=400 \text { pixels } \\
& \text { viM }=y \text { y if } \times 0.8=400 \times 0.8=320 \mathrm{plxele} \\
& V W W
\end{aligned}
$$

The VIewing Image size $=$ via $=320 \times 400=128$, 000 pixels
The Target liege size n wis $\times 20=128,000 \times 20=$ 2,560,000 pixels

The Target Image width $=\sqrt{2,560,000 / 0.8}=1789$ pixels

The Target Image height $=1789 \times 0.6=1431$ pixels

The Minimum Scan Density $=1789 / 5=389$ pixels per inch $\quad\left(\begin{array}{ll}\text { O. } / 5 \quad \text { MS F } & =7 / h / 5 / H=1431 / 4=357.75\end{array}\right.$

The photo scan can be any scan density $>307$ pixels per Inch

## EXAMPLE 3

Determine the Target image size and dimensions, and minimum sean density for the following case:


Desired Magnification Factor $=20$
Source Image Aspect Ratio $=5 / 4=1.25$

Define the Viewing Window: assume 360h $\times 400 \mathrm{w}$ pixels VIewing Window Aspect Ratio $=360 / 400=0.9$

The Source Image Aspect Ratio is > the Viewing Window Aspect Ratio:

$$
\begin{aligned}
& 1.26>0.9 \text { therefore: } \\
& \text { wi }=\text { wh }=360 \text { pixels } \\
& \text { vi }=\text { th } / 1.25=360 / 1.25=288 \text { pixels } \\
& \text { vFW }
\end{aligned}
$$

The Viewing Image size $=\mathrm{v} / \mathrm{s}=\mathbf{3 6 0} \times 288=103,680$ pixels The Target Image size $=$ wis $\times 20=103,680 \times 20=2,073,600$ pIxels

The Target Image width $=\quad 2,073,600 / 1.25=1288$ pixels
The Target Image height $=\mathbf{1 2 8 8} \times 1.25=1510$ pixels

The Minimum Scan Density $=1288 / 4=322$ pixels per inch

$$
M B A=+\operatorname{tin} / \sin C t 258 / s=257.6
$$

The photo scan can be any scan density $>\boldsymbol{3} / 1$ pixels per inch
257.6

| To: | Doug Hoehm | Fin: | 414-297-4900 |
| :---: | :---: | :---: | :---: |
| From: | Jim Anmstrong | Dute: | 8/4/00 |
| Rat | iviewit. com, LLC. | Paptes: | 9 (inciuding cover) |

cc: Eliot Berustein, Steve Becker


-Jim
determined by comparing the souree image aspect ratio (sir) to the viewing window aspect ratio (wwr), as shown:
if sir < ww then:

$$
\begin{aligned}
& \text { vih }=\text { vih } \\
& \text { viw }=\text { vih * sir }
\end{aligned}
$$

but if sir $>=v w$ ther:

$$
\begin{aligned}
& \text { viw }=\text { vww } \\
& \text { vih }=\text { viw } / \text { sir }
\end{aligned}
$$

This relationship is illustrated in FIG. 7.
Note that the target image ( t ) is created from the source image (si), by 8caling the image (si) dowt to fit within the viewing window (vw). When the target image (ti) is scaled down by the desired maximum magnification factor (mmf) to fit within the viewing window (ww), the scaled target image is called the viewing image (vi).

The maximum magnification factor (monf) is defined as the ratio of the target image area (ita) to the viewing image area (via). This ratio will detemine the amount of zoom available without causing the image to distort due to pixclation, i.e., when fewer pixels are in the viewing image being displayed than available in the viewing window.
So:
target image area (tia) $=$ tw $\times$ tin
and since

$$
\text { via }=\text { viw } \times \text { vih }
$$

then

To obtain the target ifnage width and height:

$$
\begin{gathered}
\text { tiw }=\text { squareroot (ija * sir) } \\
\text { th }=\text { tiw } / \text { sit }
\end{gathered}
$$

The relationship between the target imege and the viewing image is shown in FIG. 7. The relationship between the larget image
and the viewing window is also shown. A zoom to the maximum level will be shown in the viewing window as illustrated at representation 120 of FIG. 7. By panning the viewing window, every portion of the target image may be viewed from each level of zooming.

To determine the minimum scan density (med) to avoid pixelation at the desired maximum magnification factor (imp):

$$
m s d=\operatorname{tilhsih} .=+1 w / s \dot{N}
$$

## EXAMPLE 1

Determine the Target Image Area and dimensions, and minimum scan density for the following case:

Source Image $=5^{\prime \prime}$ wide $\times 4^{4 \prime}$ high
Desired Magnification Factor $=20$
Source Image Aspect Ratio $=5 / 4=1.25$
Define the Viewing Window: assume $480 \mathrm{w} \times 320 \mathrm{~h}$ pixels

The Source Image Aspect Ratio is < the Viewing Window Aspect Ratio:
$1.25<4.5$ therefore: with

$$
\begin{aligned}
& \text { vi }=\text { why } ; 320 \text { pixels } \\
& \text { ww }=\left(w w^{*}: 1.25=320 * 1.25=400\right. \text { pixels }
\end{aligned}
$$

The Viewing Image Area $=$ xis $=320 \times 400=128,000$ pixels
The Target Image Area $=v i s \times 20=128,000 \times 20=2,560,000$
pixels
$71 \mathrm{~m}^{2} / \sqrt{2560000} \cdots 1.25=1789$

The Minimum Scan Density $=1789 / 5=358$ pixels per inch -
The photo scan can be any scan density > 357 pixels per inch
Thus, a $5 \times 4^{\prime \prime}$ print film image should be scanned at
greater than 357 pixels per inch to allow magnification/zoom up to 20 times in a viewing window of $320 \times 240$ pixels) An eninanced digital
$480 \times 320$
-13-
image file having 2，560，000 pixels provides a sufficient number of pixels for this example．

## EXAMPLE 2

Determine the Target Image Area and dimensions，and minimum scan density for the following case：

Desired Maximum Magnification Factor $=20$
Source Image Aspect Ratio $=5 / 4=1.25$
Define the Viewing Window：assume 400w $\times 350 \mathrm{~h}$ pixels
Viewing Window Aspect Ratio $=400 / 360=1.11$
The Source Image Aspect Ratio is＞the Viewing Window Aspect Ratio：
$1.25>1.11$ therefore：

$$
\begin{aligned}
& \text { viw }=\text { vww }=400 \text { pixels } \\
& \text { vih }=\text { viw } / 1.25=400 / 1.25=320 \text { pixels }
\end{aligned}
$$

The Viewing image Area $=$ via $=400 \times 320=128,000$ pixels
The Target Image Area $=$ via $\times 20=120,000 \times 20=2,560,000$ pixels
$\rightarrow$ The Target Image width $=2,560,000 * 1.25=1789$ pixels $\mathrm{Ti} \mathrm{N}=42,54,4,4 \times 1.25=1784$
The Target Image height $=1789 / 1.25=1431$ pixels
The Minimum Scan Density $=1431 / 4,535$ pixals per inch－icarrect

## EXAMPLE 3

Determine the Target Image Area and dimensions，and minimum scan density for the following case：

Source Image $=4^{4 *}$ wide $\times 5^{\prime \prime}$ hign（portrait orientation）
Desired Magnitication Factor $=20$
Source Image Aspect Ratio $=4 / 5=0.8$
Define the Viewing Window：assume $400 \mathrm{w} \times 36$ w bixels
Viewing Window Aspect Ratio $=400 / 360=1.11$
The Source Image Aspect Ratio is＜the Vewing Window Aspect Ratio：

$$
\begin{aligned}
& 0.8<1.11 \text { therefore: } \\
& \text { vih }=\text { vwh }=360 \text { pixels } \\
& \text { viw }=\text { vih } * 0.8=360 * 0.8=288 \text { pixels }
\end{aligned}
$$

The Viewing Image area $=$ via $=360 \times 288=103,680$ pixels
5 The Target Image area $=$ via $\times 20=103,680 \times 20=2,073,600$ pixals
 The Target Image height $\leq 1280 / 0.8=1610$ pixels The Minimum Scan Density $=1610 / 5=322$ pixels per inch The photo scan can be any scan densily > 321 pixels per inch

Returning now to FIG. 2، at step 60 , the enhanced digital image file is provided to computer 22 in a digitized tomat, i, i., pixelbased, bitmapped, etc. (as opposed to vector graphics based format), such as in either in a bitmap BMP format or a compressed JPEG format. Computer 22 performs a touch-up operation on the scanned image in order to make refinements or enhancements thereto. This touch-up operation is atcomplished by utilizing imaging software. Touch-up steps may inclute cleaning the edges of the image, adjusting lighting, adjusting colors, ete. Adobe PhotoShop soflware, manufactured by Adobe Systems Inc., San Jose, Califomia, can be used as the imaging sotware for touching up the images.

According to one example, multiple images can be stitched together after scanning, and before or after compression, thereby creating a panoramic scene or image, or simply a scene requifing a plurality of photographs. This stitching operation can be performed by utilizing photo stitching sotware such as. for example, Photo Vista software by Live Picture, Live Picture Reality Studio or Live Picture Object Modeler. Stitching may comprise sufficient photos for a 380 degree panoramic image of a scene. If images are stitched, they may be touched-up at step 60 .

At step 62, if desired and if the enhanced digital image file has not yet been compressed (e.g., by scanning device 10 or the touchup software), the image is then converted from a bitmap file format (e.g., BMP) to a compressed file format (e.g. JPEG), Other compression algorithms are contemplated. Adobe Image Ready software is utilized to perform the BNP-to-JPEG file conversion in this exemplary embodiment. The compression is set to a very high compression factor, such as, 70\% to $90 \%$, but may atternatively be set to other compression factors. The target image araa be set as one of the parameters for compression, thus ensuring an optimum compressed file size.

At step 64, user interface or control data is associated with the enhanced digital image file. The user interface data is a program or code segment (e.g., a Java applet) that provides a graphic user interface on display 30 upon loading of the image. The user interface program is associated with the enhanced digital image file such that the combined file or files can automatically launch the graphic user interface. decompress the digital image data, and display at least a portion of the digital image data within a viowing window having a predetermined viewing size on display 30.

The user imterface data may alternatively be a plug-in, applet, or other software program, such as, Photo Vista, Reality Studio, or Object Modeler manufactured by Live Picture Inci, San Francisco, California, or an lpix plug-in manufactured by Internet Pictures Corporation of Oak Ridge, Tennessee. The user interface data may be either associated with the enhanced digital image file such that it is downloaded with the enhanced digital image data, or it may be launched independently from the enhanced digital image data as, for example, an applet or plug-in on user computer 28. If the user interface data is taunched independently of the image data, it may either be first opened by the user before dowtoading the enhanced digital image file, or it may
be automatically opened by the enhanced digital image file, such as, via a script or other code segment within the enhanced digital image file.

Refarting to FIG. 3, an exempiary screen print 80 from display 30 is shown illwstrating the Graphical user interface 82 generated by the user interface program. User interface 82 includes 3 viewing window or frame 84 for displaying the digital image data 88. User intertace $\mathbf{8 2}$ further includes zoom buttons 88 for allowing the user to zoom into and out of digital image data 86. By actuating one of zoom buttons 88 , user interface program resizes digital image data 86 within viewing frame 84. User intertace 82 further includes panning buttons 90 to allow the user to pan up, down, left, and right within image dala 86.

Once the user interface program is associated with the onhanced digital image data, the resulting image is ready for providing to a network server, projection from a projector, display system, posting, or playback, to or from a host computer, a Web server, a Web site, or a Web page. At step E6, the enhanced digital image is uploaded to a network server. In the Instance where the enhanced digital Image is posted to an Intemet Web server, the upload from computer 22 to the respective server can be performed by utilizing file uploading software, such as, Web FTP (file transfer protocol) Pro sotware, manufactured by Ipswitch, Inc., Lexington, Massachusetts.

Refering now to FIGS. 3, 4, and 5, exemplary print screens are shown illustrating the result of an upload or download of the enhanced digitat image file to user computer 28 for display on display 30. In FIG. 3. digitat image data 86 of a collectible stamp inage is shown within a viewing window 84 . Although viewing window 84 is sighly smaller than the full-screen size of display 30 (e.g. $840 \times 480$ pixels in this example), viewing window 84 can altematively be configured for full-screen display, or display in other sizes or resolutions. As shown, digitat Image data 86 shows no sign of pixelation.

In FIG. 4, e user has actuated zoom buttons 88 to zoom-in to the digital image. In response, the user interface program provides additional digital image data from the enhanced digital image file stored in a memory (e.g., a hard driva) of user computer 28, to provide a zoomed view of the digital image. Thus, the view of FIG. 4 also shows litlle sign of pixelation even though the image has been magnified many times.

In FIG. 5, a user has actuated pan buttons 90 to diaplay the lower lett-hand comer of the digital image data within viewing window 84. The user has also actuated zoom buttons 89 to zoom-in to the digital image data. Again, litte pixelation is visible.

As mentioned, the principles described herein are also operable with a digital image taken by a digital camera. Refeming now to FIG. 6, a method 100 of providing an enhanced digital image file utilizing a digital camera is shown. At stap 102, the digital camera is confegured to acquire a dipital image. In this step, the camera is set with a high resolution to acquire at least enough pixels for a magnification of two times the size of the viewnhe window provided on display 30, though higher numbers of pixel data may also be acquired. Again referming to $F[G .7$ and the corresponding description hereinabove, with a digital source image, the maximum magnfication factor (mmif) should not produce a target image larger than the source image in pixels because of the pixel distortion or pixelation effect, i.e., distortion due to fewer pixels in the image being cisplayed than available in the viewing window. Since:
target image area (tia) $=$ tiw $\times$ tih $=$ via $\times$ mmf
then to obtain the target image width and height:

tih $=$ tiw $/ \mathrm{sir}$
If th $>$ sih then set tih $=\sin$ and tiw $=\sin$

Atty. Dkt. No. 57103/120

## EXAMPLE 4

Dotermine the Target lmage size and dimensions, and finimum scan
(densijy for the following case:
Source Image $=1600 \times 1200$ pixets

Detired Magnification Factor $=20$
Source Image Aspect Rato $=1800 / 1200=1.33$
Define the Viewing Window: assume $480 \mathrm{w} \times 360 \mathrm{~h}$ pixels
Viewing Window Aspect Ratio $=480 / 360=1.33$
The Source Image Aspect Ratio is $=$ the Viewing Window Aspect Ratio:

$$
0.75=0.75 \text { herefore, }>1.33=1.33
$$

vih $=$ wh $=360$ pixels
viw $=$ vih * $1.33=360 * 1.33=480$ pixels
The Viewing Image area $=$ via $=400 \times 360=172,800$ pixels
The Target Image area $=$ via $\times 20=172,000 \times 20=3,456,000$ pixels
15-The Target image width $=\sqrt{3,456,000} \times 1,33=2147$ pixals
The Target Image height $=2147 / 1.33=1610$ pixels
But tih of 1610 pixels is > $\mathbf{1 2 0 0}$ pixels therefore:
th $\overline{=} 1200$ pixels
liw $=1800$ pixels
tia $=1200 \times 1800=1,920,000$ pixels
Effective Maximum Magnification Factor $=$ tia $/$ via

$$
=1,920,000 / 172,800=11.1
$$

The Minimum Scan Density = N/A
Steps 104 (touch-up image), 106 (compress file), 108 (associate user interface data), and 110 (upload file) may proceed as described with reference to FIG. 2 in the print fimm image exemplary method.

The above method can be repeated using different depth images or digital photographs for the images in order to create areas of higher resolution or "hot spots" within an image for detailed close-up
camera with you at any of those meetings?
A. I didn't take a camera with me.
Q. Now, going back to the Iviewit
technologies, the heart of the company's property, intellectual property, was there ever any concern expressed to you, as COO and president of the company, concerning the math, the poor math that was submitted to the patent office with errors? Is there anyone who expressed any concern to you about that?

MR. PRUSASKI: Object to the form.
THE WITNESS: There was a dispute as to the consistency of the mathematical representation, not to accuracy.

By MR. SELZ:
Q. Okay. So it wasn't dealing with the accuracy of the math or computational errors; it was dealing with whether or not the math properly applied the processes involved?
A. No. It was, I said, consistency.
Q. Consistency, okay. Well, explain to me what you mean by consistency, then, sir, so I can understand.
A. Well, there may be several different ways of deriving the same number, and it could be

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derived using one form in one place and another form in another place. The result is always the same and both forms are accurate.
Q. But they're not consistent?
A. They are not -- they don't show the same format, but the values and the value derived is always the same. It is mathematically correct.
Q. Okay. So did anyone ever express to you a concern about those particular issues?
A. There was a concern expressed, yes.
Q. By who?
A. By Eliot Bernstein.
Q. How about Murice Buchsbaum, did he ever express any concern to you about the math submitted?
A.. Murice Buchsbaum didn't understand the math.
Q. Okay. So he never, he never expressed any concern to you then?
A. Not on that score.
Q. Did the board of directors ever question you about the patent materials submitted or any problems with the patent submitted to these intellectual property rights?

```
        By MR. SELZ:
```

Q. Did you ever discuss with the board of directors any of the problems with Foley $\&$ Lardner or Meltzer Lippy's work with regard to the patent?
A. I don't recall discussing any problem with respect to Foley \& Lardner's work because I don't recall any problem with Foley \& Lardner's work.
Q. Okay. How about Meltzer Lippy, I think you described yesterday, there were some concerns; were those discussed with the board of directors?
A. The work done with Meltzer Lipper, was done mostly before my time.
Q. What about the part that was done during your time, were you concerned about any of the quality of the work that was performed or any problems you felt might arise from that work?
A. I did not, during that time, discover any problems.

If I may ask the question, I'm puzzling here to understand why this form of
questionjng is relevant to the Proskauer
litigation?
Q. Well, actually, sir, and I don't mean to be impolite in any manner whatsoever, but the role here for you is not really to ask these questions, but rather to answer the questions that are posed. So, although I appreciate your concerns, that's not something really for you to determine, but rather for posing counsel to bring before the court, if these matters should ever be submitted.

So, again, I'm not attempting to be rude or impolite in any manner, but these are the questions we can pose to you and you are duty bound to answer them.

MR. PRUSASKI: I would just say to some extent, because he's not represented here, I think he's got the right to --

MR. SELZ: He doesn't have any right. to object. And you know, Chris, you and I both know, that even if you object to the form of a question or relevancy, the witness still has to answer it.

MR. PRUSASKI: I agree, and I think Mr. Utley knows that he needs to answer the

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questions, but I think because he's not
represented, to some extent, he does have the right to question the relevancy.

MR. SELZ: Well, I mean, he can object kased on the relevancy or you can object based or the relevancy, but he cannot question the validity of what I'm asking based on a relevancy objection.

MR. PRUSASKI: Okay. I just think that his last comment was basically just a lay person's objection to the relevance.

MR. SELZ: That's fine. I mean, you know --

MR. PRUSASKI: And I'd like to
state --

MR. SELZ: I don't even know if he has standing to interpose an objection because he's not a party to the case. So, well, I guess whatever, but the bottom line is we'll proceed so we can hopefully get through this as quickly as possible and release Mr. Utley from his obligations here.

MR. PRUSASKI: Okay. And I'd like to join Mr. Utley with objecting to the relevance of the question too. Go ahead.

MR. SELZ: (No response.)
MR. PRUSASKI: Steve?
MR. SELZ: Yeah, I'm still here.
MR. PRUSASKI: Okay. Go ahead when you're ready. I guess we're done.

MR. SELZ: You're done with your objections. Okay, fine.

MR. BERNSTEIN: Could somebody repeat the last question for me, please.

MR. SELZ: The last question was are you, are, were you aware of any situations according to -- Madam Court Reporter, actually if you could do me a favor, if you read back that last question, I would appreciate it.
(Whereupon, the requested portion
was read back.)
MR. SELZ: That's fine, thank you.
By MR. SELZ:
Q. Did Foley \& Lardner ever discuss with you any potential errors in the patents and any potential liabilities that would arise from those errors?

MR. PRUSASKI: Object to the form.
Assumes facts not in evidence.
MR. SEL.Z: Well, let me start off

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with the basic question then.
By MR. SFiLZ:
Q. Did Foley \& Lardner ever advise you
that there were any errors in the patents?
A. NO.
A. No.
Q. So, then, they never advised you of
any liabilities or any errors that might arise
from any errors because there weren't any; is
that your testimony is today?
A. They never advised me that there
were any errors in the patents.
Q. Okay. Now, with regard to the

Proskauer Rose billing, you had indicated that you had authorized certain payments to be made and Mr. Prusaski had showed you a series of letters sent to you by Chris Wheeler and your responses on a couple of those.

Were those payments ever authorized
by the board of directors of Iviewit?
A. The board of directors normally does not become involved in the administration of accounts receivable and accounts payable.
Q. Okay. Well, you, in your own testimony, sir, indicated that the company was in a cash poor position; is that true?

## Eliot I. Bernstein

From: Eliot I. Bernstein [alps1@bellsouth.net]
Sent: Wednesday, August 09, 2000 11:10 AM
To: Douglas Boehm (E-mail); James F Armstrong (E-mail); Simon L. Bernstein (E-mail); brian@iviewit.com


Doug - As you can imagine I am a bit upset myself with last Friday's conversations but for different reasons. Thave made not one single unfoumded accusation. My remarks were based on facts. If you read the transcript what initated that call was that when we received the patent that was filed, many of our changes were not incorporated. Most of the changes in the math that we spent the entire day with you laboring over were not ineorporated in the patent. The math had fundanemal errers still such as missing satare roots etc. Also, if you liston to the tapes you will find that Brian was also upset that the math that he had sent several days earlier was also not included. Therefore, we seem to see widd accusations as separate itens.

I would have liked to have more time to review the patent nyself but you and Steve were working with Brian and I did mot really have time to review until the week prior to filing when I first received the first draft. This gave me very little time to review prior to filling, so If am undear as te how I could have done things any faster as you said in your letter. Ifigured we had established most of the meat of the invention when you came to our offices several months ago and that the finall patent would have been well thought out, and that the nath would be correct and tested. When I received the first drafi of the filing, again, we had failed to cover zoon without pixelation. When I saw the complexity of the math, I asked ny friend to explain to me. What Jim fond were huge errors that we spent our entire day reviewing wilh you. We agreed to those changes and we trusted that they would be incorporated in our filing. They were not. You now refer iop these as minor changes but while we first reviewed them you called them very significant.

As you articulately pointed out, I am neifher a patent attomey nor an engineer nor a mathematician, so I have hired people II do trust. What was shocking to me as naive as I may be was that these were items that were discussed to full understanding and yet they remained wrong. You canot argue that there is much wrong with my anger since it remains founded in facts. Anger is an associated with fear, and this seemed to put me and my partners in danger locanse of the errors. Thus, I roacted to these fads by asking Steve what liabilities we would now encumber and this is of majur concem to my sharcholders etc. 萑 there were liahilities that arose.

Regarding the patents Ray fled, it was you and Steve that pointed out that there could be problems in Ray's filings. Again, Ire-acted in fear. How can the people we are entrusting to our inventions fail to serve us well? Thus, I allerted those involved and you presented your position on Ray Joad since yot were the one who made the accusations in the first place. After listoning to you we had decided to go back and amend Rays application to clam priority of all matter to that initial date. When we alked with Steve it was unclear if we were sill on that same path and that
was because of the bar date. We revisited the bar date issue and it became nnelear as to whether it was September or August based on Centrack. When we noticed that the math and illustrations were mot based on the stuff Brian had sent you we asked Steve to explain, he had no answer as to why it had been filed without it. Then, if you listen to the tapes, you will see that Brian was very upset that these changes had not been entered. He was shocked and so expresses his emotions on the tape. Have you written hin an angry letter, calling him a widcard that has unfounded accusations.

As to bolding the meeting without you, we were very concerned about the mistakes in the filing and we did try several times to call you. Per Steve and your secretary it was determined that you were totally unreachable by any communication methods. We did not know that this was a foul and had Steve felt uncomfortable he should have passed on the call or brought Bill Dick in.

I have not gone behind your baek and made accusations, I am simply trying to moderstand why the fling was missing the corrections we had worked on, and why Brian's math he had sent days eatier was mot ineluded and what this would expose us to. If the math mistakes were critical, it would have been I who would have had to explain to our board why heir investments may have not been adequately protected. How would you feel in that position?

Since these items that will be corrected are in hact mistakes and not accusations of mistakes, I fail to understand why your retort appears so hostile. Why yon feel the need to attack my personality ele. 'The point of Friday's conversation was to malke changes where change is due and move. forward, together. We asked for a clear and concise letter addressing these and other issues that would help clear up the mistatises elc.

I do understand why you want to seek wrath against me or my company for founded factual mistakes, made on your part. I was expecting an apology from you as to why this occurred in the first place, not a letter aceusing me of any wrong doings. I did nothing wrong. Ifeel that the bill for that fling should instead be reduced for the time and effort that was wasted and will be further wasted fixing the errors.

I am a little confused by the statement; "Since you seem to have a predisposition to sue your patent lawyers, I now have to religiously follow all of our firms practices and procetures for documenting everything I say and do with you." Why were we not doing this all along, as it seems a practice of the firm to protect your clients and why would this sulbeet me to additional rates?

I am also puzeled as to why you chose to write me directly and not include the ofher people involved in his matier. First, Brian was also very upset and puzaled by this. Briam, unlike me, has good knowledge in this arena; he has leeen hired to handle the intellectual property of the firm. If anyone has lailed to minderstand any of the things you lave mentioned, you must confront hin. Brian bas been working with you to develop and cultivate the groperty; if we are in the dark you must contront him. I am naive in this world and this is why I have entrusted both him and you to protect the company. Also, my father was on the phone and Jim Armstrong and neither have felt that I did anything wrong or umjust. Thus, I fect obligated to let then respond to your letter as well.

I am sorry for your feeling that I have accused you of wrong doings. I was just upset with the facts of the matter and may have seemed scared and afraid. Tike both Steve and yourself and do appreciate all you have done. It does not negate the problems though. I am unsure of how you
want to proceed with the firm but I think this need to be handled by all involved parties.
Best regards,
Eliot

- -momoriginal Message man-

From: Boelm, Douglas A.
'To: Eliot Bermstein (viewit)
Sent: 8/9/00 2:46 AM
Sumjeet: PLRSONAL AND CONETHENTHAL
PERSONAL AND CONIIDENTIAL ATTORNE -CLIENT PRULLEGED

Eliot-
I need to discuss something personally with you that is wery important. to our working relationship. Iam sending this viad-mail and only to you, withont copying Hrian or anybody else, so whether yon share it or not is entirely up to you. Please take the time to read and consider the following.

I am very upset with the way you handled the situation at last Friday's teleconference with Steve Becker regarding the latest patent application filings, and 11 am particularly offended by youm exaggerations, accusations, and criticisms of our work. Ilistened to the tape of the teleconference, and I was shocked.

First, you know that 1 am the Foley ${ }^{2}$ Lardner partner responsible for Iviewit work, and Steve Becker is the associate who reports to me. I can't believe that you decided to hold that teleconference Friday with Steve without me being present. That was really low. If you want to fly off the handle and jump to conclusions without talking to Brian first, that's your business. But when it comes to making aceusations about the quality of my work product to my associate, that's my business.

Second, Steve and I have consistently pui $110 \%$ effort into everything we have done for you. Last week, I put in $200 \%$ eflort, flying down to Florida on short notice so you can hold a meeting to fyere out if you were gaing to sue your former patent attorney, having me spending all the next day with your investment bankers, and then spending the night in the O'Hare airport and coming directly to work the very next day to revise and file a patent application for you by midnight. Idon't know very many people that woull have done hat for a client. Now you get all bent out of shape over a few minor math nistakes - which are readily correctable.

Third, during the Friday teleconference, you aceused us of changed strategies, filing delays, and huge mistakes. If there were any strategy changes, they were partly your fanlt -- hecanse yon don't understand what's happening on the IP side of your business, even though we have tried to explain it all to you many times. But that's fime if you trust your people. You have cxechent people working with you, but you simply don't listen to us. Instead, you make wild accusations and inflammatory statements about thing you know nothing about. The delays and the mistakes were also, to a large extent, your fanlt. Had you gothen Jim involved earlier, had you worked closer with Brian to understand the math, had yobspent nore time reviewing the application dralls, then perhaps none of this would have happened. Yon canid just sit back now and blame us. Sorry, but I won't put up with it.

Fourth, you have strained our working relationship. We now have to tape each others' telephone conversations so we can point fingers and threaten to sue each other? What kind of a working relationship is that? I Higured out from day bae that you were a wildcard, but didn't mind that hecause I can relate to wildeards. A lot of brilliant inventors are wildcards. Ihave even heen accased of being a wildeard mysell. Wut just because you're a wildcard doesn't mean you have the right to make unfonaded accusations and cot people ofl st the knees.

I'm afraid this latest episode is going to cost you. Steve Becker won't work on any Iwewit matters any longer for ne. That's going to cost you an additional $\$ 40.00$ per hour in legal fees, mow that Thave to do the work myself. Since you seem to have a predisposition to sue your patent lawyers, I now have to religiously follow all of our firms practices and procedures for documentingeverything I say and do with you. That's yoing to take me extra lime and cost yon extra money. Folley \& Lavdner raises its billing rates on September ist, and I was previously consitering discouming our rates for hiewit as I have done in the past. After all of your accusations, Idon'thave any inclimation to do so. I've also been dragging my fect on providing you with our bills, as a favor to you and brian, since I knew you were cash-strapped. No more. I'm sending your bills as soon as I can. Thave somehow lost my motivation to get into hot water with my firm for such an unappreciative ctient.

The way I see it, you owe us an apology. Steve worked many long, frustrating hours trying to pull an invention out of your head and get it down on paper. Apparently Ray Joa had the same problem. You owe Steve an apology for blaming him, without proof, of cutting and pasting the mathematical formulas into the wrong document, and for accusing him of not copying you on the patent correspondence, and for getting angry and using prolanity at the meeting. No lawyer should have to put up with that kind of abuse from a client -- Het alone a bright young associate like Steve. Fortunately for him, Foley \& Lardner has enough work that he floesn't need to work for me on Iwiewit patent applications for billable hours -30 be's not going to anymore.

I think yon owe me an apology loo, and I consider myself pretty thickeskinned when it comes to these kinds of things. Ihave spent numerous mights and weekends working on your agreements and patent applications in order to satisly your unreasonably short deadlines. Then you accused me in front of everybody - - but behind ny back $-=$ of changing the math without your knowledge, allering numbers, nissing a priority date, not filing the changes everyborly agreed to, missing diagrams from final patent documents, changing filing strategies, and generally providing you with inferior work product. As you can see from my letter explaining the so-called errors, you blew everything out of proportion, and without even talking to Erian or me. Yon got everybody all excited, including your Father, and you're also talking about notifying the stockholders. Notifying them of what? Your unfounded accusations?

In order for me fo contimue working with you, you need to change. Vou need to promise ne that you will act in a civil and professional monner from here on ont. If you don't like the way I'm doing something eall me on it -- don't hold a meeting about it without me. If you don't understand a particular patent strategy, just ask me --instead of accesing me of changing the strategy. If a problem occurs on a team of which you're a member, try to resolve it as a team effort $-=$ dion't distance yourself and blame il on somehody else when you are partly at fautt.

Tou firs bad problems with Ray Joao, so you came to Foley ${ }^{\text {P Lardner. }}$ Now yor have problems with us, and Steve bailed ont. Are yof still going to have problems with me and my work product? Well, you can either work with me to resolve your problems in a civil and professional manner, or you can find another patent haw firm that will pat up with your umreasonable manner and abuse.

Eliot, by spending time on holding meetings to blame your lawyers, you are missing the bigger issues with your technology. Corrections to the math of that last patent application are relatively meaningless. You've got much bigger things you should be worrying about. We have told you alout them before, but I'm not sure you're listening.

Firsi, you don't seem to have a good feel whether or not your technology is patentable. You don't personally have the background to tell whether your technology is new. You don't appreciate that this technology is in a very crowded and fast-paced field, and will be difficult to obtain broad patent coverage. Vou have not performed any technical searches to determine what the state of the art was at the time of your invention. Fou don't know how to help us describe your inwention or distinguish it from the prior art hat we do know about. You just seem to be assuming that everything you did is patentable or can be made so. And if not? Oh, that's casy. Then blame the lawyers.

Second, you essentially argued to Wachovia that it doesn't matter il your competitors are currently using the same or similar techology as

Iviewit, hecause you were the first one to do it. Don't you realize that this argument doesn't fly if you don't have granted patents? When our PCT applications publish within the next six months, most if not all of your trade secrets will be lost. So then you want to go license the technology and know-how? And riewit is a neweoner in the industry? This could be tough. Even if the patents do issue, hut a competifor refuses to accept your technology license, do you have a spare million dollars or two to sue them for patent infringement? Have you thonght about any of this?

Third, I doubt if you have never checked to see if your competitors have patents covering your technology. You may find ont, rather abruptly I'm afraid, that the peopte yon're going to attempt to license may have a better patent portolio then you do. All of a sudden you'll end up being the licensee. Or what's worse, you may have to shut down and re-engineer your business to avoid a multimillion dollar patent infringement lawsuit. 'This is a real risk -m much more of a risk than losing a priority date because a square roo sign was missing from a math formala of an example in one of your patent applications. You should keep things in perspective.

During the Friday feleconference, you say that you don't know why we came up with a potential bar date of September 1,2000 , yet when Erian tried to explain it to you, you refused to listen. Now you independently decide that everything must be done by August 10th. That cannot realisticalfy be acomplished. Furthermere, I don't think it is necessary. Based on owr muderstanding when we were there in May, and based on 故riants comments on Friday, it does not appear that $8 / 10 / 99$ started the one-vear clock. Accorling to both you and Hrian, there was no public diselosure of the invention on that date, and there was no offer for sale of the invention. If you know of contrary facts, please provide then. But I refuse to jump through hoops that you arbitrarily set up jusi because you don't understand the law, or just because you get a kick ouf of seeing lawyers jump through hoops.

I realize that it is not commonplace for oulside counsel to be so blunt and upset with a client, so I apologize for sending this e-mail. However, I felt that you needed to be told these things now, and in a straightorward manmer, and in writing, in order to salvage our relationship. We camot go on working like this. If you don't like the quality of our services or work product, then please fre us and go find yourself mother group of lawyers who will put up with you. Otherwise, if you value our working relationship, yon"ll simply have to change the way you deal with people.

Please let me know what you decide.
--.Doug
$>$ Douglas A. Boehm
$>$ Foley \& Lardner
$>777$ East Wisconsin Avenue
$>$ Mhwauke, Wisconsin ..... 53202
>Tel: (414)297.5718
$>\operatorname{Fax}:(414) 297.4900$
$>$ Email: daboehm@foleylaw.com
$>$$>$ NOTE: The information transmitted in and/or attached to this message>is intended only for the person or entity to which it is addressed and>may contain confidential and/or privileged material. Any review,>retranmission, dissemination, or other use of, or taking ary action in>reliance upon, this information by persons or entities other than the>intended recipient is prohibited. If you received this information in>error, please contact the semder ant delete the materid from any$>$ computer.
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Cellular: 561.212 .9254

## Becker, Steven C.

'rom;
ent:
To:
Cc:
Subject:

Becker, Steven C.<br>Monday, July 24, 2000 4:44 PM<br>Eliot !. Bermstein (E-mail); Brian G. Utley (E-mail)<br>Boehm, Douglas A.<br>PGT Patent Application for "Zoom and Fan" Imatging

Re: PCT Patent Application for System and Method for Providing an Enhanced Digital Image File
Inwentor: Bernstein
Our Ref. No.: 57103/120
Brian:
During oul brief telephone conversation today, you prowided a few comments in response to my letter to you dated July 21, 2000. These comments were based on your review of the prior provishonal applications, and are summarized below.

1. The step of "enlarging" is not essential for ail embodiments of the invention.
2. The aspects of zooming and panning, and the function of the applet must be described in greater detail.
3. The disclosure relating to acquiring a photograph of a film video should be removed. However, the disclosure relating to processing ons frame of a video according to the process steps of the invention should be retained.
4. In the provisional patent application having our reterence number $57103 / 108$, the flowchart in FIG. 2A does not match: the corresponding description in the specification. Correction is needed.
5. Again, in the application for $57103 / 108$, the claims in their current form may not be of the proper scope and should be revised.
\&. You commented that the prior-filed PCT applications relating to enhenced video files did not specifically mention ptential applications in radiographic images, X-rays, MRIs, etc. Regardless of whether these specific applications are supported additional zubject matter cannot be introduced to the pris-filed PCT applications unless acditional patent applications are filed. Please advise if you would like us to file patent applications directed to these specific applications.

We discussed the possibility that the provislonal applications currently on flee may not provide sufficient disclosure to support all of the ciaims we may eventually want to fle in the PCT palent application we are currently preparing, and, therefore, the sale of images using this process in September, 1999 may bar patentability in some foreign countries. You instructed us to proceed with the PCT filing to preserve whichever foreign filing rights are available.

Accordingly, comments 1.5 will be incorporated in the above-referenced PCT patent application. If you have any further questions or comments, please do not hesitate to contact me.

## Steve Becker

Foley \& Lardner
(414)297-5571

NOTE: The information transmitted in this correspondence is intended only for the person or entity to which it is addressed and may contain confidential and/or privileged material, Any review, retransmission, dissemination or other use of, or taking any aclion in reliance upon, this information by persons or entities other than the intended recipient is prohibited. If you receive this correspondence in error, please contact the sender and delete the material from any computer.

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Bernstein et al. |
| :--- | :--- |
| Titie: | System And Method For <br>  <br>  <br>  <br>  <br> Providing An Enhanced Digital <br> Video File |
| Appl. No.: | Unknown |

Art Unit: Unknown

## UTILITY PATENT APPLICATION <br> TRANSMITTAL

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231
Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53 (b) is the nonprovisional utility patent application of:

Eliot I. Bernstein
Brian G. Utley
Jude R. Rosario
Enclosed are:
[ X ] Specification, Claim(s), and Abstract (33 pages).
[X] Informal drawings (3 sheets, Figures 1-3).
[ $X$ ] Unexecuted Declaration and Power of Attorney (4 pages).
[] Assignment of the invention to Iviewit.com, Inc..
[1 Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
[ ] Small Entity statement.
[ ] Information Disciosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed references).

The filing fee is calculated below:

[ ] A check in the amount of $\$ 1,554.00$ to cover the filing fee is enclosed.
[X] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\$ \$ 1.16-1.17$, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Respectfully submitted,

Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5571
Facsimile: (414)297-4900


## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name:

THAT I believe I am the original, first, and sole inventor fif only one inventor is named below) or an original, first, and joint inventor (ii plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Providing An Enhanced Digital Video File
(Attorney Docket No. 57103/116)
the specification of which (check one)
X is attached hereto.
was filed on ___ as United States Application Number or PCT International Application Number $\qquad$ and was amended on $\qquad$ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim $(\mathbf{s})$, as amended by any amendment specifically referred to above;

THAT | believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $£ 1.56$.

1 HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\$ 119(\mathrm{a})$-(d) or $\S 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\S 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.


I HEREBY CLAIM the benefit under Title 35, United States Code $\$ 119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Acplication Number | Filing Date |
| :---: | :---: | :---: |
|  |  |
|  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code, 5120 of any United States application(s), or $\$ 365(c)$ of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, $\$ 112$, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Nurnber | PCT Farent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |

1 HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNEF to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM
EDWARD W. BROWN
CHARLES G. CARTER
ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg. No. 35,093
Reg. No. 44,603

```
JOHN C. COOPER II
JEFFREY N. COSTAKOS
WILLIAM J. DICK
BARRY L. GROSSMAN
PAUL S. HUNTER
KATHERINE D. LEE
KEITH D. LINDENEAUM
DAVID G. LUETTGEN
RICHARD J. MC KENNA
JAMES G. MORROW
RICHARD B. O'PLANICK
TODD A. RATHE
MICHAEL D. RECHTIN
CHRISTOPHER M. TUROSKI
JAMES A. WILKE
JOSEPH N. ZIEBERT
WALTER E. ZIMMERMAN
```

Reg. No. 26,416
Reg. No. 34,144
Reg. No. 22,205
Reg. No. 30,844
Reg. No. 44,787
Reg. No. 44,865
Reg. No. $\quad 40,365$
Reg. No. 39,282
Reg. No. 35,610
Reg. No. 32,505
Reg. No. 29,096
Reg. No. 38,276
Reg. No. 30,128
Reg. No. 44,456
Reg. No. 34,279
Reg. No. 35,421
Reg. No. 40.883
and I request that all correspondence be directed to:

Steven C. Becker<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue<br>Milwaukee, Wisconsin 53202-5367<br>Telephone: (414) 297-5571<br>Facsimile: $\quad$ (414) 297-4900

1 UNDERSTAND AND AGREE THAT the foregoing attomeys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Name of first inventor | Eliot I. Bernstein |
| :--- | :---: |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432- |
|  |  |

Inventor's signature
Date



| APPLICATION NUMBER | FILINGRLCEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| $09 / 587,734$ | $06 / 05 / 2000$ | Eliot I Bernstein | $57103 / 116$ |

Foley \& Lardner
777 E Wisconsin Avenue Milwaukee, WI 53202

# NOTICE TO FILE MISSING PARIS OF NOANPROUSHONAL APPLICATION 

FILED UNDER 37 CFR 1.53(b)
Filing Date Granted
MP RESPONSEDUE $30 \leq E 200$ DCL/FEF

An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR $1.136(a)$.

- The statutory basic filing fee is missing.

Applicant must submit $\$ 690$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fees) for this application is $\$ 864$.
- $\$ 630$ for 35 total claims over 20.
- $\$ 234$ for 3 independent claims over 3
- The oath or declaration is unsigned.

Fo -avoid abandonment a alate filing fec or oath or declaration surcharge as set forth in 37 CF 1.16 (e) of $\$ 130$ for a non-small entity, must be submitted with the missing items identified in this letter

- The balance due by applicant is $\$ 1684$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART I-ATTORNEY/APPLICANT COPY

Title: SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE Inventor(s): Bernstain et al.

Dkt. No. 57103/116
Appl. No.: $09 / 587.734$

- Transmittal of Missing Parts of Patent Application (2 pgs.)
- Executed Declaration and Power of Attorney (4 pgs.):
- Check Number $\$ 523256$ for $\$ 855.00$.


## Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully. Foley \& Lardner

Title: SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE
Inventor(s): Bernstein et al. DKt. No. 57103/116

Appl. No:: 09/587.734 DABO (10/2/00)

- Transmittal of Missing Parts of Patent Application (2 pgs.)
- Executed Declaration and Power of Attorney 14 pgs.);
- Check Number \#523256 for $\$ 855.00$.


## Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully,
Foley \& Lardrier


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicant: Bernstein et al.

| Title: | SYSTEM AND METHOD FOR |
| :--- | :--- |
|  | PROVIDING AN ENHANCED |
|  | DIGITAL VIDEO FILE |

Appl. No.: 09/587,734
Filing Date: 6/05/2000
Examiner: N/A

Art Unit: 2712


# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION 

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS

Sir:
In response to the Notice to File Missing Parts of Application mailed on July 31, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.
[ X ] Executed Declaration and Power of Attorney (4 pages) enclosed
[ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533) enclosed
[ X ] Applicant is entitled to Small Entity status
[ X ] Check in the amount of $\$ 855.00$ in payment of $\$ 355.00$ Basic filing fee, $\$ 315.00$ additional total claims fee, \$120.00 additional independent claims fee, and $\$ 65.00$ late filing fee ( 37 C.F.R. § 1.16 (e) ) enclosed
[ ] Please charge Deposit Account No. 06-1447 in the amount of $\qquad$ in payment of surcharge fee (37 C.F.R. § $1.16(\mathrm{e})$ )

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S$ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed

Atty. Dkt. No. 57103/116
herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

## Respectfully submitted,



FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900

By Vorglavcachehm
Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, 1 HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Systern And Method For Providing An Enhanced Digital Video File
(Attorney Docket No. 57103/116)
the specification of which (check one)

- is attached hereto.
X was filed on June 5,2000 as United States Application Number
$09 / 587,734$.

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1,56$.

I HEREBY CLAIM foreign priority benefits under Titie 35, United States Code $\$ 119$ (a)-(d) or $\$ 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1 HEREBY CLAIM the benefit under Title 35, United States Code $\$ 119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 137,297$ | June 3, 1999 |
| $60 / 155,404$ | September 22.1999 |
| $60 / 169,559$ | December 8,1999 |

1 HEREBY CLAIM the benefit under Title 35, United States Code, $\$ 120$ of any United States application(s), or $\$ 365$ (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35 , United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Farent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  | PCT/US00/15405 | June 2, 2000 |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

> RUSSELL J. BARRON
> DAVID J. BATES
> STEVEN C. BECKER
> DOUGLAS A. BOEHM
> EDWARD W. BROWN
> CHARLES G. CARTER
> ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg, No. 35,093
Reg. No. 44,603

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. 34,144 |  |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. 30,844 |  |
| PAUL S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. 39,282 |  |
| RICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. 40,883 |  |

and I request that all correspondence be directed to:

| Steven C. Becker |
| :--- |
| FOLEY \& LARDNER |
| Firstar Center |
| 777 East Wisconsin Avenue |
| Milwaukee, Wisconsin $53202-5367$ |
|  |
| Telephone: |
| Facsimile: |

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


represented you as an attorney; he never represented you in any case, nothing of that sort?
A. No.
Q. Now, when Mr. Wheeler first introduced you to Iviewit, did he specify, other than what we've already discussed, the purpose for his introduction? Did he talk to anything about a scope of employment or what your purpose would be at the company, other than what you've already described?
A. No. He said he was looking for someone with a technology background who had the potential to run the company.
Q. Now, with regard to Eliot Bernstein, Jude Resario and Zakirul Shirajee, am I pronouncing that correctly?
A. Why don't you spell it.
Q. Let's see, I got Z-A-K-I-R-U-L, last name is $\mathrm{S}-\mathrm{H}-\mathrm{I}-\mathrm{R}-\mathrm{A}-\mathrm{J}-\mathrm{E}-\mathrm{E}$. Do you remember meeting with those gentlemen, Eliot Bernstein and Jude Resario and Zakirul Shirajee?
A. At a later point in time, yes.
Q. Okay. What was the time that you met with them?
A. It was after I agreed to join the company.
Q. Okay. So that was in the latter part or the middle part of $99 ?$
A. That was late August 99.
Q. And what exactly were meetings consisting of when you met with those three gentlemen?
A. Well, Eliot introduced them to me and introduced them as having worked with him on feasibility studies relative to his invention and he indicated that perhaps we should consider them for employment by the company.
Q. Okay. Did he ever mention to you anything of their status as any inventors of any IP or anything of that sort?
A. Well, they were, I believe, they were named on several of the provisional patent filings that had already been made.
Q. If you could, I mean, since you were acting as president of the Iviewit entities, I'm presuming that you're aware of all the inventions or all the intellectual properties for which Iviewit has filed patents; would that be a

# UNITED STATES PATENT APPLICATION 

for

## SYSTEM AND METHOD FOR

## STREAMING AN ENHANCED DIGITAL VIDEO FILE

| elot |
| :--- |
| Note |
| Missing Rosario as inventor. <br> Title is wong. <br>  <br>  <br>  <br>  |



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

CHICASO
DENVER JACKIENWMLLE LOS ANGELES MADISON MNLTHUIGEE ORLANDD

EMAIL ADDRESS
sbeckergtoleylaw cott
mfitar center 777 EAST WISCONSH AVENJUE
 TELEPHOANE (414) 271-2400 FACSIMLE (4 | 4) 270.4000

5ACFAMEFTTS GAN DiEDS SAM FRANCISCO

ThLLAHASSEE
TAMPA
Washinction, D.c WEST PRLM EEACH


Mr. Brian G. Utey
President
Iviewit.com, Inc.
One Boca Place

## ellot Noter

This letter is two months after filing in Dick VA Bar response he states inventors had time to review and cofrect before filing

2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: U.S. Patent Apptication<br>Title: System And Method For Streaming An Enhanced Digita! Video File<br>Inventor(s): Bernstein et al.<br>Our Ref.: 57103/114

Dear Brian:
Enclosed please find the final draft of the above-referenced patent application which was filed with the U. S. Patent and Trademark Office on June 5, 2000, as Application No. 09/587,730. I have also enclosed various formal papers which require the inventors' signatures.

Please have the inventors thoroughly read the patent application, including the specification, claims, and drawings, to ensure that it provides an accurate and complete description of the invention. The written description should be sufficiendy complete to enable someone of ordinary skill in the att to make and use the invention. The application must describe the "best mode" contemplated by the inventors for carrying out the invention, i.e., in order to obtain a valid patent, no important details about the preferred errbodiment of the invention can be withheld as a crade secret. If, after teview of the application, the inventors find that anything in the application is incomplete, inaccurate, untrue, or possibly misleading, please contact me immediarely so we can discuss the matter. If any typographical, grammatical, or other minor formal errors are found, please have them noted in a separate memo to me such that I can correct them by amendment at a later date.

If everything appears to be in order, please have the inventors read, sign, and date the Declaration and Power of Attorney form at the colored tags. By executing this document, they will be verifying the originality of their invention and acknowledging their
duty to disclose to the U.S. Patent and Trademark Office any information of which they are aware that could be material to the examination of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or coworker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Note that the Declaration is signed under penalty of perjury, so careful consideration should be paid to its contents before signing.

Each inventor should also read, sign, and date the enclosed Assignment and Agreement, which must be executed in the presence of a Notary Public. By executing the Assignment, they will be acknowledging their obligation to Iviewit Holdings, Inc. by assigning all their rights in the invention to the company.

After the inventors execute the formal papers, please return the fully executed documents to me as soon as possible. You may keep the copy of the patent application for your records.

Now that the patent application is on file, any device covered by the claims of the application may be marked "Patent Applied For" or "Patent Pending" or an abbreviation thereof. This "Patent Pending" marking is not mandatory, as it only serves as a cautionary warning to would-be competitors that the device may be subject to patent protection in the future. However, this marking should be continued only as long as the application is still pending in the United States Patent and Trademark Office. If the application issues as a patent, the appropriate patent number should replace this marking. If the application should become abandoned and this is the only application pertinent to the device, then the marking should be eliminated entirely.

If you have any questions regarding any of the above, please do not hesitate to contact me.

Very truly yours,


Douglas A. Boehm
Enclosure (s)

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor lif only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Streaming An Enhanced Digital Video File
(Attorney Docket No. 57103/114)
the specification of which (check one)
$X$ is attached hereto.
_ was filed on ___ as United States Application Number or PCT International Application Number $\qquad$ and was amended on $\qquad$ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1.56$.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\S 119(\mathrm{a})$-\{d) or $\$ 365$ (b) of any foreign application(s) for patent or inventor's certificate, or $\S 365$ (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any FCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :--- | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code § $119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
|  |  |
|  |  |

1 HEREBY CLAIM the benefit under Title 35, United States Code, $\$ 120$ of any United States application(s), or $\S 365(c)$ of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, 5112 , lacknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

> RUSSELL J. BARRON
> DAVID J. BATES STEVEN C. BECKER DOUGLAS A. BOEHM EDWARD W. BROWN CHARLES G. CARTER ALISTAIR K. CHAN

| Reg. No. | 29,512 |
| :--- | :--- |
| Reg. No. | 39,902 |
| Reg. No. | 42,308 |
| Reg. No. | 32,014 |
| Reg. No. | 22,022 |
| Reg. No. | 35,093 |
| Reg. No. | 44,603 |

Page 2 of 4

JOHN C. COOPER III JEFFREY N. COSTAKOS<br>WILLIAM J. DICK BARRY L. GROSSMAN PAUL S. HUNTER KATHERINE D. LEE KEITH D. LINDENBAUM DAVID G. LUETTGEN RICHARD J. MC KENNA JAMES G. MORROW RICHARD B. O'PLANICK TODD A. RATHE MICHAEL D. RECHTIN CHRISTOPHER M. TUROSKI<br>JAMES A. WILKE JOSEPH N. ZIEBERT WALTER E. ZIMMERMAN

and I request that all correspondence be directed to:

| Steven C. Becker |
| :--- |
| FOLEY \& LARDNER |
| Firstar Center |
| 777 East Wisconsin Avenue |
| Milwaukee, Wisconsin $53202-5367$ |
|  |
| Telephone: |
| Facsimile: |

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the


Atty. Dkt. No. 57103/114


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Bernstein et al. |
| :--- | :--- |
| Title: | System And Method For <br> Streaming An Enhanced Digital <br> Video File |
| Appl. No.: | Unknown |
| Filing Date: Unknown |  |
| Examiner: | Unknown |
| Art Unit: | Unknown |


| CERTIFICATE OF EXPRESS MAILING |  |
| :---: | :---: |
| I hareby certify that his correspondence is being deposited with the United States Postal Service's "Express Mail Post |  |
|  |  |
| Office To Addressee" service under 37 C.F.R. 51.10 on |  |
| the date indicated below and is addressed to: AssistantCommiasioner for Patents. Weshington, D.C. 20231. |  |
|  |  |
| EL640468345uS | June 5, 2000 |
| (Express Mail Label Number) | \{Date of Deposit) |
| Shirley Miksa |  |
| [Printed Name] |  |
| nulu |  |
| (Sigmeture) |  |

## UTILITY PATENT APPLICATION <br> TRANSMITTAL

## Assistant Commissioner for Patents

Box PATENT APPLICATION
Washington, D.C. 20231
Sir:
Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Eliot I. Bernstein
Zakirul A. Shirajee
Enclosed are:
[ X ] Specification, Claim(s), and Abstract (29 pages).
[ X ] Informal drawings (3 sheets, Figures 1-3).
[ X ] Unexecuted Declaration and Power of Attorney (4 pages).
[ X ] Preliminary Amendment.
[ ] Assignment of the invention to lviewit.com, Inc..
[ ] Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
[ ] Small Entity statement.
[ ] Information Disclosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed reference (s).

The filing fee is calculated below:

: ] A check in the amount of $\$ 816.00$ to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5571
Facsimile: (414)297-4900

Respectfully submitted,


Attorney for Applicant
Registration No. 42,308

Patent Application
Drawing(s) 3 sheets (F)
Check $\$$ No.:
Declaration \& Power of Attorney

DUE DATE:

# UNITED STATES PATENT APPLICATION 

for

## SYSTEM AND METHOD FOR

## STREAMING AN ENHANCED DIGITAL VIDEO FILE

| elot |
| :--- |
| Note |
| Missing Rosario as inventor. <br> Title is wong. <br>  <br>  <br>  <br>  |



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO FILE CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S. Provisional Application No. 60/169,559, filed December 8, 1999, and PCT International Application No. $\qquad$ , filed June 2, 2000.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection (e.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| 09/587,730 | 06/05/2000 | Eliot I. Bernstein | 57103/114 |

## Steven C Becker <br> Foley \& Lardner

Firstar Center
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367
Date Mailed: 08/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fees(s) for this application is $\$ 126$.
$\$ 126$ for 7 total claims overter
- The oath or declaration is unsigned.
- To avoid abandonment, a late filingter or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 130$ foranon-smantentity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ 946$.

A copy of this notice MUST be returned with the reply.


Applicant: Bernstein et al.

| Title: | System And Method For |
| :--- | :--- |
|  | Streaming An Enhanced Digital |
|  | Video File |

Appl. No.: $\quad 09 / 587,730$
Filing Date: 6/05/2000

## CERTIFICATE OF MAILING

 hereby certify that thls correspondence is being deposited with the United States Postal Service with sufficient post的e as First Class Mail in an envelope addressed to: Commisaionar for Patents, Washington, D.C. 20231, on the date below.

Art Unit: 2711

## TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS
Sir:
In response to the Notice to File Missing Parts of Application mailed on August 4, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.
[ X ] Declaration and Power of Attorney (4 pages) enclosed
[ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
[ X ] Applicant is entitled to Small Entity status
[ X ] Check in the amount of $\$ 483.00$ in payment of $\$ 355.00$ Basic filing fee, $\$ 63.00$ additional total claims fee, $\$ 65.00$ late filing fee (37 C.F.R. § $1.16(\mathrm{e})$ ) enclosed
[ ] Please charge Deposit Account No. 06-1447 in the amount of $\qquad$ in payment of surcharge fee (37 C.F.R. § 1.16 (e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S 1.16-1.17$, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed
herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name:

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Streaming An Enhanced Digital Video File
(Attorney Docket No. 57103/114)
the specification of which (check one)
is attached hereto.
Was filed on June 5, 2000 as United States Application Number
$09 / 587,730$.

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before / (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application:

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\$ 119(\mathrm{a})$-(d) or $\S$ 365(b) of any foreign application(s) for patent or inventor's certificate, or $\$ 365$ (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :--- | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code 5 119(e) of any United States provisional application(s) disted below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 137,297$ | June 3, 1999 |
| $60 / 155,404$ | September 22, 1999 |
| $60 / 169,559$ | December 8, 1999 |

I HEREBY CLAIM the benefit under Title 35, United States Code, 5120 of any United States application(s), or $\$ 365$ (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $£ 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  | PCT/US00/15408 | June 2,2000 |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM
EDWARD W. BROWN
CHARLES G. CARTER
ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg. No. 35,093
Reg. No. 44,603

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. | 39,282 |
| RICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be directed to:

Steven C. Becker<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue<br>Milwaukee, Wisconsin 53202-5367<br>Telephone: (414) 297-5571<br>Facsimile: (414) 297-4900

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


| Name of second inventor | Zakirul A. Shirajee |  |
| :--- | :---: | :---: |
| Residence | Boca Raton, Florida |  |
| Citizenship | Bangladesh |  |
| Post Office Address | 9485 Boca Cove Circle, \#708, Boca Raton, Florida |  |
| Inventor's signature |  |  |

# PCT INTERNATIONAL PATENT APPLICATION 

> for

## SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE

777 E, WIsconsin Avenue
Milwaukee, Wisconstn ..... 53202

57103/112

WHAT IS CLAIMED IS:

1. A mathod of providing a streaming video file, comprlsing:
providing digital video data having a capture frame slze of at least 69,300 plxels per frame; and
converting the digital video data to a streaming video fils having a converted frame size of at least 69,300 pixels per trame.
2. The method of claim 1, whersin the capture frame size has an aspect ratic of $4: 3$ and the converted frame size of has an aspect ratio of 4:3.
3. The methed of claim 2, wherein the capturs frame size is at least $304 \times 228$ pixels and the converted frame size is at least $304 \times 228$ pixels.
4. The method of claim 3, wherein the capture frame size Is approximately $320 \times 240$ pixels and the converted frame size is approximately $320 \times 240$ pixels.
5. The methed of claim 1, whereln the step of providing includes capturing a video signal.
6. The method of claim 5 , wherein the step of providing includes digitizing the video signal to generate the digital video data.
7. The method of claim B, wherein the step of providing Includes storing the captured video data as a data file $\ln$ a storage

## Foley \& LARDNER

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CHICAGO
DENVER JACKSONVILLE LOS ANGELES MADISON MILWAUKEE ORLANDO

EMAIL ADDRESS sbecker@foleylaw.com

FIRSTAR CENTER 777 EAST WISCONSIN AVENUE MILWALKEE, WISCONSIN 53202-5367 TELEPHONE (414)271-2400

$$
\text { FACSIMILE }(414) 297-4900
$$

Via Facsimile

June 1, 2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Roca Place
2255 Glades Road, Suite 337 West
Coca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Providing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/111

Re: PCT International Patent Application
Title: System and Method for Providing an Enhanced Digital Video File
Inventors): Bernstein et al.
Our Ref.: 57103/112
Re: PCT International Patent Application
Title: System and Method for Playing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/113
Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and third patent applications is substantially identical to the first application.

As you know, a careful and critical review of these draft patent applications by you and the inventors is imperative to ensure that you are all satisfied with the content of the

Mr. Brian G. Utley
June 1, 2000

## Page 2

application and, in particular, with the proposed claim scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.


Enclosure(s)
cc: Douglas A. Boehm

# PCT INTERNATIONAL PATENT APPLICATION 

## for <br> SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE

Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FL 33432-6080 Citizenship: U.S.<br>Brian 6.utler Zakirul A. Shirajee 9485 Bea Cove Circte \#708 Boca Raton, FL 33428 Citizenship: Bangladesh<br>Jude R. Rosario<br>5580 NW 61 Street<br>Apt \#625<br>Coconut Creek, FL 33073<br>Citizenship: Bangladesh

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202

WHAT IS CLAIMED IS:

1. A method of providing a streaming video file, comprising:
providing digital video data having a capture frame size of at least 69,300 pixels per frame; and
converting the digital video data to a streaming video file having a converted frame size of at least 69,300 pixels per frame.
2. The method of claim 1, wherein the capture frame size has an aspect ratio of $4: 3$ and the converted frame size of has an aspect ratio of $4: 3$.
3. The method of claim 2, wherein the capture frame size is at least $304 \times 228$ pixels and the converted frame size is at least $304 \times 228$ pixels.
4. The method of claim 3, wherein the capture frame size is approximately $320 \times 240$ pixels and the converted frame size is approximately $320 \times 240$ pixels.
5. The method of claim 1, wherein the step of providing includes capturing a video signal.
6. The method of claim 5, wherein the step of providing includes digitizing the video signal to generate the digital video data.
7. The method of claim 6, wherein the step of providing includes storing the captured video data as a data file in a storage

## PCT INTERNATIONAL PATENT APPLICATION

for

## SYSTEM AND METHOD FOR

## PLAYING A DIGITAL VIDEO FILE



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202

## PCT INTERNATIONAL PATENT APPLICATION

for<br>SYSTEM AND METHOD FOR

PLAYING A DIGITAL VIDEO FILE

| eligt |
| :--- |
| Note |
| Never filed and Utley replaces |
| Rosaria, this is probably what the |
| inventors saw wher they signed |
| the application. |
|  |



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## Foley $\& ~ L A R D N E R$

Chicago
DENVER JACKSONVLLE LOS ANGELES MADISON MILWAUKEE ORLANDO

EMAIL ADDRESS sbecker@foleylaw.com

## FIRSTAR CENTER

 777 EAST WISCONSIN AVENUE MILWALKEE, WISCONSIN 53202-5367 TELEPHONE (414)271-2400 FACSIMILE (414)297-4900
## Via Facsimile

SACRAMENTO SAN DIEGO SAN FRANCISCO TALLAhassee TAMPA WASHINGTON, DEC. WEST PALM BEACH

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley

President
Iviewit.com, Inc.
One Roca Place
2255 Glades Road, Suite 337 West
Coca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Providing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/111

Re: PCT International Patent Application
Title: System and Method for Providing an Enhanced Digital Video File
Inventors): Bernstein et al.
Our Ref.: 57103/112
Re: PCT International Patent Application
Title: $\quad$ System and Method for Playing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/113
Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and third patent applications is substantially identical to the first application.

As you know, a careful and critical review of these draft patent applications by you and the inventors is imperative to ensure that you are all satisfied with the content of the

Mr. Brian G. Utley
June 1, 2000

## Page 2

application and, in particular, with the proposed claim scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.


Enclosure(s)
cc: Douglas A. Boehm

# PCT INTERNATIONAL PATENT APPLICATION 

for<br>\section*{SYSTEM AND METHOD FOR}

## PLAYING A DIGITAL VIDEO FILE



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## WHAT IS CLAIMED IS:

1. A method of playing a digital video file, comprising: providing a digital video file in a first storage device; downloading a first portion of the digital video file across a network to a computer having a second storage device;
simultaneously playing the first downloaded portion from the second storage device and downloading a second portion of the digital video file.
2. The method of claim 1 , further comprising capturing a video signal to generate the digital video file.
3. The method of claim 2, further comprising compressing the captured video signal, wherein the digital video file is compressed.
4. The method of claim 3, wherein the digital video file is compressed into an MPEG format.
5. The method of claim 1, wherein the network is the Internet.
6. The method of claim 1 , wherein at least $5 \%$ of digital video file is downloaded before the step of simultaneously playing.
7. The method of claim 1, wherein the second storage device includes a magnetic storage device.
8. The method of claim 7, wherein the second storage device is a hard drive.

From the RECEIVING OFFICE
To:
DOUGLAS A. BOEHM
FOLEY \& LARDNER
777 EAST WISCONSIN AVENUE
33RD FLOOR
MILWAUKEE, WI 532025367

PCT
DOUGLAS A. BOEHM
FOLEY \& LARDNER
77 EAST WISCONSIN AVENUE
INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION
(PCT Articles 3(4)(i) and 14(1) and Rule 26)

I. The applicant is hereby invited, within the time limit indicated above, to correct the defects in the international application as filed, the defects specified on the attached


Annex A
Annex BI (text matter of the international application as filed)
Annex Cl (drawings of the international application as fled)
2. The applicant is hereby invited, within the time limit indicated above, to correct the defects in the translation of the international application fumished under Rule 12.3, the defects specified on the attached


Annex A
Annex B2 (text matter of the translation of the international application)
Annex C2 (drawings of the translation of the international application)
Additional observations (if necessary):

## HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4(a)).

## ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau $\mathbf{X}$ and the International Searching Authority.

| Name and mailing address of the receiving Office | Authorized officer |
| :--- | :--- |
| Assistant Commissioner for Patent | Virginia L. Irby |
| Box PCT | Telephonc No. 703-305-3748 |

Fom PCT/RO/106 (July 1998)

## ANNEXA TO FORM PCT/RO/106

The receiving Office has found the following defects in the international application as filed:

1. As to signature* of the international application (Rulcs 4.15 and 90.4), the request:
a. $\qquad$ is not signed.
b. $\qquad$ is notsigntebyallapplicants.
 is not accompanied by the statement referred to in the check list in Box No. VIII of the request explaining the lack of the signature of an applicant for the designation of the United States of America.
d. $X$ is signed by what apptars to be an agenticommon representative but
$X$ the international application is not accompanied by a power of attomey appointing him. the powerof attorney accompanying the international application was not signed by all the applicants.
e. $X$ other (specijy):

## The signature is also required for the applicant/inventors.

* All applicants must sign, including inventors if they are also applicants (e.g. where the United States of Amcrica is designated).

2. Anstoindications concerning the applicant, the request (tures 4-4 4.5).
a. $\lceil$ does not properly indicate the applicants name (spect)y).
b.does not indicate the applicant's address.
c.does notproperly indicate the applicant's address (specifi):
d.does not indicate the applicant's nationality.
e. $\square$ does not indicate the applicant's residence.
f.other (specify):
3. As to the language of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter (a) and (c) :
a.
the request is not in a language which is both a language accepted by this receiving Office and a language of publication, which is (are):
b.the text matter of the drawings is not in the language in which the intemational application is to be published, which is:
c.the abstract is not in the language in which the international application is to be published. which is:
4. The title of the invention:
a.
$\square$ is not indicated in Box No. I of the request (Rule 4.1(a)).
b.is not indicated at the top of the first sheet of the description (Rule 5.1(a)).
c. as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1 (a)).
5. As to the abstract (Rule 8):
the intemational application does not contain an abstract.
Form PCT/RO/106 (Annex A) (July 1998)

The receiving Office has found that, with regard to the presentation of the drawings of the international appichementrmatit physical requirements are not complied with to the extent that compliance thercwith is necessary for:

1. $\square$ reasonally uniform international publication (Rules 11 and 26.3 (a)(i)) (defects to be speciffea)

## Sheets containing drawings:

a. $\square$ the shects do not admit of direct reproduction.
b. $\square$
the sheets are not free from creases, cracks, folds.
one side of the sheets is nol left unused.
the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable.
the drawings do not commence on a new sheet.
the sheets are not connected as prescribed (Rule $11.4(b)$ ).
the sheets are not A 4 size ( $29.7 \mathrm{~cm} \times 21 \mathrm{~cm}$ ).
the minimum margins on the sheets are nol as prescribed Fig. 2-3
(top: 2.5 cm ; left side: 2.5 cm ; right side: 1.5 cm ; bottom: 1 cm ).

he file reference number indicated on the shcets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets.

the file reference number exceeds the maximum of 12 characters.
the sheets are not free from frames around usable or used surfacts.
the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3,2/3, 3/3). $\mathrm{A} / /$
the sheet numbers are not centered at the top or bottom of the sheets.
the sheet numbers arce in the margin (see h. above for the size of the margins).
the sheets contain allerations/overwritingsinterlineations/too many erasures.
the sheets contain photocopy marks.
Drawings (Rute 11.13):

do not admitefdirect reproduction.
contain unnecessary text matter.
contain words so placed as to prevent translation without interference with lines thereof, are not executed in durable black color; the lines are not uniformly thick and well-defined. Fig. /-3 contain cross-sections not properly hatched.
would not be properly distinguishable in reduced reproduction.
contain scales not represented graphically.
contain numbers, letters and reference lines lacking simplicity and clarity. Fig. $/-3$
contain lines drafted without the aid of drafting instruments.
contain disproportionate elements of a figure not necessary for clarity. contain numbers and letters of height less than 0.32 cm . contain letters not conforming to the Latin, and where customary, Greek alphabets.
contain figures on two or more sheets which form a singic complete figure but which are not able to be assembled without concealingpars thereof.
contain figures which are not properly arranged and clearly separated. contain different figures not numbered in consecutive Arabic numerals. contain different figures not numbered independent of the numbering of the sheets. are not restricted to reference signs mentioned in the description. do not contain reference signs that are mentioned in the description. contain the same fealure denoted by different reference signs. are not arranged in an upright position, clearly separated from one another. are not presented sideways with the top of the figures at the left side of the sheets.
2. $\square$ satisfactory reproduction (Rules 11 and 26.3(b)(i)).

Furtherobservations (ifneccesary):
Shading or solid black objective figure number

## New drawings are required



| International ayplication No. | International filing date | Priority Date Claimed |
| :---: | :---: | :---: |
| PCT/USO0/15406 | 02 JUN 00 | 03 JUN 99 |

C. X In order that U.S. National processing may begin, certain items must be received by the DO/EO/US by the expiration of applicable time limit under

PCT Article 22 or
PCT Article 39
Specifically:

1. U.S. National Fcc
2. Oath or Declaration

3 Copy of Applieation
4. Translation of application

X 5. Amendments under PCT Article 19, if any
$\square$ 6. Translation of PCT Article 19 Amendments, if applicable
7. Scarch Report or PCT Article 17(2) declaration
$\square$ 8. Intemational Preliminary Examination Report and its Annexcs, if any, under PCT Article 36(3)(a), if applicable
$\square$ 9. Translation of Annexs to the International Preliminary Examination Report under PCT Article 36(3)(b), if appliable

THEABOVE CHECKITEMSMUST BE TIMELYRECEIVED TOAVOID ABANDONMENTOFTHEAPPLICATION. [35. USS.C. 371(d)]
D. Further information for the applicant:

This is only a reminder.

UNITED STATES DESIGNATED/ELECTED OFFICE


## Foley \& Lardner

CHICAGO DENVER JACKBONWHLE LOS ANGELES

EMAMADEDRESS

August 11, 2000

Mr. Brian G. Utley<br>President \& COO<br>Iviewit.com, lac.<br>One Boca Place<br>2255 Glades Road, Suite 337 West<br>Boca Raton, Florida 33431

Re: PCT International Patent
Application No.: PCT/US00/15406
Filing Date: $\quad 602 / 2000$
Title: System and Method for Playing a
$\quad$ Digital Video File
Applicant: Iviewitcom
Our Ref: $57103 / 113$

Dear Brian:

Enclosed for your records is a copy of the Response to the Invitation to Correct Defects in the International Application, which was filed with the United States Patent and Trademark Office on August 2, 2000, in connection with the above-identified application.

We will keep you informed of all future developments as they occur. Please feel free to contact me with any questions or comments regarding this matter.

Very truly yours,


Douglas A. Boehm
Enclosure (s)

## TRANSMTITAL LETTER TO THE UNITED STATES RECEIVING OFFICE

| Date | O2 August $2000^{\circ}$ |
| :--- | :--- |
| Intertational Application No. | PCTUSOAH15406 |
| Altoney Docket No. | 5703113 |

I. Certification under 37 CFR 1.10 (if applicable)

| EL 640466596 US |
| :---: |
| Express Mail mailing inumber |


|  | 02 August 2000 |
| :--- | :--- |
|  | Date of Deposit |

I hercby certify that the applicationiconespordence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" serwice under 37 CFR 1.10 on the dale indicated above and is addressed to the Cummissigner of Patents and Trademarks. Washirgton, D.C. 20231

| Signature of perton mailing correspopdence | Shirlay M. Miksia |
| :---: | :---: |

## П. [] Nest InterDational Applicution

| TTTLE | SYSTEM AND METHOLD FOR FLAYING A DIGITAL VIDEO FLLE | Earliest priority date <br> (Day/Month/Year) |
| :--- | :--- | :--- |

SCREENTNG DISCLOSLRE INFORMATION: In order to assigt in serernity the accompanying intentational appligaign firs purpoges of determining whether a license for foreign trantmital should and could be granued and for other pruposes, lhe following informatiun is surplied. (Note: check as miany boxes as apply):
A. 1] The intention disclosed was wot made in the United States.
B. [] There is no prios U.S. application relating to this invention.
C. [] The frilowing prior U.S. application(s) contain subject matlet which is related to the invention disclosed in the attoched inlemational application. (NOTE; prionity to these mponications may of may rat be claimed on form PCT/RO/IOI ('Request) and dius listing does nor constitute a ciam for prianity

| Applicarion ne. |  | application no. |  |
| :--- | :--- | :--- | :--- |
| Application nu. |  | application no. |  |

D. [ TThe presem international ajpplication [] is idertical to [ ] contains less subjert mater than that found in the prior U.S application(s) identifiod in paragraph Cabove.
E. [ TThe present interrational application [ ] emains additional subject mater net found in the priur U.S. application(s) idenlified in paragraph $C$ above. The additional sulyect matter is found throughour the application ath [ ] DOES NOT ALTER [ I MLGHT BE


III. [X] Response to an Invitation from the ROUS. The Following document(s) is(are) enclosed:
A. [X] A Responge to the Inviation to Correct Defects in the Inturnational Applitation
B. [X] Three (3) Powers of Attorncy (Genera)
C. [k] Replaciement pager:

D. [J Submission of Priarity Doctuments
E. [1 Fees as specified on actached Fex Calculation sheet form PCT/ROINO] annex
[Y. [] A Request For Rectification under PCT Rule 91 [ ] A Petition $\quad$ [ A Sequence Listing Diskette
V. [X] Other (pleare Identify): Postcart

The person signing this form is the: $\square$ Applicart
Attorney/Agent (Reg. Nd. 32.014) Common Represethative


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## RESPONSE TO THE INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

Assistant Commissioner for Patents ATTN: RO/LS
Box PCT
Washington, D.C. 20231

Sir or Madam:

In response to the Invitation to Correct Defects in the International Application (Form PCT/RO/106) dated 03 July 2000, Applicant respectfully submits three (3) dulyexecuted PCT General Appointments of Agent, and three (3) sheets of formal drawings, for filing in connection with the above-identified application.

Respectfully submitted,


Dated: 02 August 2000
Foley \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OFAGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of FIrstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin $53202-5367$, telephone (414) $271-2400$ to act on its behalf before the competent International Authorities in connection with any and all international applications filed by it. and to receive payments on its behalf.

Signed this $\qquad$ day of in U.S.A.


FOR: IVIEWIT HOLDINGS, INC.
One Broca Place
2255 Glades Road, Suite 337 West
Dea Rotor, Florida 33431 United States of Attica

BY:

## Title:



## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigoed applicant hereby appoints, irdividually and collectively,

## FOLEY \& LARDNER

apd

| RUSSELIL J, BARRON | Rept. No. | 29,512 |
| :---: | :---: | :---: |
| DAVID J. BATES | Reg. No. | 39,902 |
| STEVEN C. 日ECKER | Rate. No. | 42,308 |
| DOUGLAS A BDEHDM | Reg. No. | 32,014 |
| CHARLES G. CARIER | Rof. No. | 35,093 |
| ALISTARR K. CHAN | Reg, No, | 44,603 |
| JOHN C. COOPER II | Reg. No. | 26,416 |
| JEFRREY N. COSTAKOS | Rep. Nó. | 34,144 |
| WILHAM J. DICK | Flef. No. | 22,205 |
| Bhary L. GrOsskhw | Hop. Mo. | 30,844 |
| PAUL S. HUNTER | Reg. No. | 4,777 |
| EATHERTNE D. LEE | Rers. No. | 44,865 |
| KEITH D, LINDENBAUM | Ret. Mo. | 40,360 |
| DAVID G. LUETTGEN | Reg. No. | 39282 |
| RICHARD I. MC KENNA | Ree. No. | 35,610 |
| JAMES G, MORROW | Hen. No. | 32,905 |
| TODD A. RATHE | Res. No. | 38,276 |
| MICHAEL D. RECHITN | Rey. No. | 30,128 |
| CHRISTOPHER M. TJPOSK | Rep. No. | 44,4,6 |
| JAMES A WILKE | Reg. No. | 34,773 |
| JOSEPH N. ZIEPERT | Rep. No. | 35,421 |
| WhiLTER E. ZOMMERMAN | Rop. No. | 40,883 |

attormys at law of Firstar Center, 777 East Wisconsid Avenue, Milwaulkee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before te competent Intermational Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.


## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before the conxpetent International Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.

Signed this 31 day of $]_{\text {Lh a }} 2000$ at Boca- $R$ atom, in U.S.A.


## DRAFT

## PCT INTERNATIONAL PATENT APPLICATION



## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, and U.S. Provisional Application No. 60/169,559, filed December 8, 1999.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A plug-in to a web browser, such as Rear Proper a Ubesice prater Netscape Navigator, decompresses and plays the data as it is transferred to a user computer over the World-Wide Web, Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a fast. connection and a computer powerful enough to execute the decompression algorithm in real time.

In the field of streaming video, the primary design challenge is that the viewer desires perfect video quality over a


## Foley \& LARDNER

FIASTAG CENTER 777 EAST WISCOMEIN AVENUE MLWAUKEE, W|SCONSIN 53202.5367 TELEPHONE (4|4) $271-2400$ FACSIMILE $441412.97-4900$

August 1, 2000

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Streaming an Enhanced Digital Video File
Applicant: Iviewit Holdings, Inc. Our Ref.: 57103/111

## Dear Brian:

We are pleased to confirm that the above-identified application was filed with the U.S. Receiving Office on June 2, 2000, and accorded Application Number PCT/US00/15408. In accordance with your instructions, all PCT member countries were designated, and the European Patent Office was appointed as the International Searching Authority for this matter. Enclosed for your records is a copy of the application as filed, the transmittal of filing fees, and the related notification from the United States Receiving Office.

Please note that the deadine for entering the national phase of this application is February 3, 2001. National phase may be deferred for another ten months, until December 3, 2001, by filing a Chapter II Demand for International Preliminary Examination. This Demand must be filed by Jamuary 3, 2001. For any country in which we do not meet the national phase deadline or, alternatively, the International Pretiminary Examination deadine, the PCT application will be considered withdrawn.

## PCT INTERNATIONAL PATENT APPLICATION

for

## SYSTEM AND METHOD FOR PROVIDING

## A DIGITAL VIDEO FILE

(2lot | elot |
| :--- |
| Note |
| Never Filed |
|  |
|  |

Inventors: Eliot I. Bernstein
500 S.E. Mizner Boulevard
Boca Raton, FL 33432-6080
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Zakirul A. Shirajee
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Citizenship: Bangladesh
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Attorneys at Law
777 E. Wisconsin Avenue
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(414) 271-2400

## Facsimile Transmission

$\square$

Total \# of Pages $\mathbf{3 1}$ (including this page)

|  | TO: | PHONE: |
| :---: | :---: | :---: |
| Brian Utley | FAX \#: |  |


| From: | Steve Becker |
| ---: | :--- |
| Sender's Direct Dial: | $(414) 297-5571$ |
| Date; | June 2,2000 |
| Client/Matter No: | $57103 / 111$ |
| User in No: | 1963 |

## MESSAGE:

## CONFIDENTIAL AND PRIVILEGED

Brian: Attached is a fourth draft of the patent application (our reference number 57103/111). Please review per the instructions in my prior letter of May 29, 2000. In particular, please ensure that all named inventors (Eliot and Zane) and you read and understand the proposed claim scope. If you have any questions, please contact me. - Steve

If there are any problems with this transmission ar if you have not
received all of the pages, please coll (414) 297-6444,

| Operator: | Time Sort: |
| :--- | :--- |

 CONEIDENTAL USE OE - HE DESIGNATED RECiPIENTS NAMED A DOVE THIS MESSAGE MAY GE AN ATTORNGY-CLHENT
 RECIPIENT OR ANY AGENT RESPONSIELEFOR DEHVERNO IT TO THE INTENDED AECPIENT, YOU ARE HERESY NOTIFIED THAT YOU

 TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US BY MAIL, THANK YOU.

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| chirajee does not get fled on this application as an inventor, only am applicant |  |

## PCT INTERNATIONAL PATENT APPLICATION

## for <br> SYSTEM AND METHOD FOR <br> STREAMING AN ENHANCED DIGITAL VIDEO FILE



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
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(414) 271-2400
(19) World Intellectual Properiy Organization International Bureau
(43) International Publication Date

14 December 2000 (14.12.2000)


PCT

## 

(10) International Publichtion Number WO 00/76220 A1
(51) International Patent Classification ${ }^{\top}$ H04N $7 / 173,7 / 24$
(21) Intemationat APplitation Number: PCT/USOO15409
(22) Interuational Filing Date 2 June 2000 (02.06.2000)
(25) Filing Language:

Enghish

English
(30) Priority Dats:

601137,297 3 Fure 1999 (03.06.1999) Us
601155.404 22 Septmber 1999 (22.09.1999) US 601169.559 \& Decenber 1999 ( 08.12 .1999 ) US
(1) Applicants for all designated Slates excepr USH: TVIEWIT HOLDINGS, INC. [USUS]; One Boca Place, 2255 Glades Ronad, Suik 397 West, Boca Ratom, Fle 33431 (US). SHIRAJEE, Zakirol, A. [BDUS]; 9485 Boca Cove Citcle, 7708, Boca Ralon, FL 33428 (US).
(72) Iquentor; and
(75) laventor/Applicanal for US oniyjt BERNSTEIN, EJiot
 33432-6080 (US).
(74) Agent: FOLEY \& LARDNER; 777 Esst Wisconsin Avenue, 33td Foor, Milwauke, WI 53202-5367 (US).
(81) Delitnated States (national): AE, AG, AL, AM, AT, AU, $A Z, B A, B B, B G, B R, B Y, C A, C H, C N, C R, C U, C Z, D E-$ $D K, D M, D Z, E E, E S, F I, G B, G D, G E, G H, G M, H R, H U$, $\mathrm{ID}_{3} \mathrm{~L}, \mathrm{IN}, \mathrm{IS}, \mathrm{JP}, \mathrm{KE}, \mathrm{KG}, \mathrm{KP}, \mathrm{KR}, \mathrm{KZ}, \mathrm{LG}, \mathrm{LK}, \mathrm{LR}, \mathrm{LS}$, $\mathrm{LT}, \mathrm{LU}, \mathrm{LW}, \mathrm{MA}, \mathrm{MD}, \mathrm{MG}, \mathrm{MK}, \mathrm{MN}, \mathrm{MW}, \mathrm{MX}, \mathrm{MZ}, \mathrm{NO}$, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
(84) Designated Stetes (regional): ARIPO patent (GH, GM,徙, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent ( $\mathrm{AM}, \mathrm{AZ}, \mathrm{BY}, \mathrm{KG}, \mathrm{KZ}, \mathrm{MD}, \mathrm{RU}, \mathrm{TJ}, \mathrm{TM}$ ), Eurcpean patent (AT, BE CH, CY, DE, DK, ES, FI, FR, GB, GR, IP, TT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CO, $\mathrm{Cl}, \mathrm{CM}, \mathrm{GA}, \mathrm{GN}, \mathrm{GW}, \mathrm{ML}, \mathrm{MR}, \mathrm{NE}, \mathrm{SN}, \mathrm{TD}, \mathrm{TG})$.

## Publuthed:

- 倝h imemanional search report.

Before the enpiration of the titue limill for atending the clalms and to be republished' in the event of receipt of antendmentr.
(G4) Title: SYSTEM AND METHOD FOR STREAM NG AN ENHANGED DIGTIAL VIDEO FILE
For two-letiter codes ond other abbervialions, refer to the "Guidathe Noftes on Codes and Abbveviarions" appearing at the beginning ofeach regular issue of ibe PCT Gaxette.

(57) Abstract; A method of sireaming video includes providing a source video signal having a predevermined sourve video parameter, converting the soinse wideo signal to a streaming digial wideo file while maintaining substantialy the same source video
 a fall serven display mode; and playing the sotaming digital video file in the full screen display mode.

## TRANSMITTAL LETTER TO TI INTIED STATES RECEIVING OFFICE

| Date | 02 June 2000 |
| :--- | :--- |
| International Application No. | Not yet ayailable |
| Attorney Docket No. | $57103 / 111$ |

I. Certification under 37 CFR 1.10 (if applicable) EL 640468230 US

Express Mail mailing number

| Date of Deposit 2000 |
| :---: | :---: |
| Dat |

I hereby certify that the applicacion/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Oftice to Addressec" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

II. [X] New International Application

| TITLE | SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO <br> FILE |
| :--- | :--- |$\quad$| Earliest priority date <br> (Day/Month/Year) |
| :--- |
| 03 June 1999 |

SCREENING DISCLOSLRE JNFORMATJON: In order to assist io screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):
A. [] The iovention disclosed was not made in the United States.
B. [ $\}$ There is no prior U.S. application relating to this invention.
C. [X] The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or moy not be claimed on form PCT/RO/IOI (Request) and this listing dies not constitute a claim for prionity)

| Application no. | $60 / 137,297$ | application no. | $60 / 155,404$ |
| :--- | :--- | :--- | :--- |
| Application no. | $60 / 169,559$ | application no. |  |

D. [ ]The presem imernational application [] is identical to [] contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C above.
E. [X]The present international application [X] contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found throughout the application and [ X ] DOES NOT ALTER [ ] MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.
III. [] Comments on the Amendments to the Abstract by the ISA/US under Rule 38. The following document(s) is(are) enclosed:
A. [1 A Response to the Invitation to Correct Defects in the International Application
B. [] A Power of Artorney (General or Regular)
C. [] Replacement pages:

| pages |  | of the request (PCT/RO/101) | pages |  | of the figures |
| :--- | :--- | :--- | :--- | :--- | :--- |
| pages |  | of the description | pages |  | of the |
| pages |  | of the claims |  |  | abstract |

D. [] Submission of Priority Documents
E. [1 Fees as specified on attached Fee Calculation sheet form PCT/RO/101 amex

TV. [] A Request for Rectification under PCT Rule 91 [] A Petition [] A Sequence Listing Diskette V. [ X ] Other (please identify): PCT-EASY Diskete; Fee Calculation Sheer; Check; and Postcard

The person signing this form is the: $\square$ Applicant Attorney/Agent (Reg. No. 32,014) Common Representative


Original (for SUEMISSION) - printed on 02.06.2000 09:09:15 PM

| $\begin{aligned} & \overline{0} \\ & 0-1 \end{aligned}$ | For receiving Office use only International Application No. |  |
| :---: | :---: | :---: |
| 0.2 | Intemational Filing Date |  |
| 0-3 | Name of recelving Office and "PCT International Application" |  |
| $\begin{aligned} & \overline{0-4} \\ & 0-4-1 \end{aligned}$ | Form - PCT/RO/101 PCT Request Prepared using | PCT-EASY Version 2.90 (updated 10.05.2000) |
| 0.5 | Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty |  |
| 0-6 | Receiving Office (specified by the applicant) | United States Patent and Trademark Office (USPTO) (RO/US) |
| 0-7 | Applicant's or agent's file reference | 57103/111 |
| 1 | Title of invention | SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO EILE |
| II | Applicant |  |
| II-1 | This person is: | applicant only |
| $11-2$ | Applicant for | all designated States except US |
| 11-4 | Name | IVIENIT HOLDINGS, INC. |
| 11.5 | Address: | One Boca Place <br> 2255 Glades Road <br> Suite 337 West <br> Boca Raton, FL 33431 <br> United States of America |
| 12.6 | State of nationality |  |
| il-7 | Stale of residence | US |
| II-8 | Telephone No. | $5619998899$ |
| 11-9 | Facsimile No. | 5619998810 |
| $\begin{aligned} & \begin{array}{l} \\|[-1 \\ \\| \mid-1-1 \end{array} \end{aligned}$ | Applicant and/or inventor This person is: | applicant and inventor |
| IIII-1-2 | Applicant for | US only |
| III. -14 | Name (LAST, First) | EERNSTEIN, Eliot, I. |
| 111-1-5 | Address: | 500 S.E. Mizner Boulevard Boca Raton, EL 33432-6080 United States of America |
| III-1-6 | State of nationality | US |
| \|IIT-1-7 | State of residence |  |


|  | $\cdots$ | $\bigcirc$ |
| :---: | :---: | :---: |
| PCT REQUEST |  | $2 / 4$ |
| III.2 | Applicant andior inventor | applicant only <br> all designated States except US SHIRAJEE, Zakirul, A. <br> 9485 Boca Cove Circle, \#708 Boca Raton, FL 33428 United States of America BD |
| 118-2-1 | This person is: |  |
| 111-2-2 | Applicant tor |  |
| 111-2-4 | Name (LAST, First) |  |
| 111-2-5 | Address: |  |
| III-2-6 | Slate of nationality |  |
| 111-2-7 | State of residence |  |
| IV-1 | Agent or common representative; or address for correspondence The person identified below is herebyhas been appointed to act on behalf of the applicant(s) before the competent Intemational Authorities as: | agent |
| \|V.1-1 | Name | FOLEY \& LARDNER |
| \|V-1-2 | Address: | 777 East Wisconsin Avenue 33rd Floor <br> Milwaukee, WI 53202-5367 <br> United States of America |
| \|V-1-3 | Telephone No. | 414 271-2400 |
| IV-1-4 | Facsimile No , | 414 297-4900 |
| IV-1-5 | e-mail | daboehm@foleylaw.com |
| V | Designation of States |  |
| $\mathrm{v}-1$ | Regional Patent (cother kinds of protection or treatment, if any, are specififed betwen parentheses atter the designation(s) concenned) | AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT <br> EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT <br> EP: AT BE CH\&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT <br> OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT |
| V. 2 | National Patent <br> (other kinds of protection or treatment, is any, are specified hetween parentheses atier the designation(s) concerned) | AE AG AL AM AT AU AZ BA BE BG BR BY CA CH\&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW |


| V-5 | Precautionary Designation Statement In addition to the designations made under items $\mathrm{V}-1, \mathrm{~V}-2$ and $\mathrm{V}-3$, the applicant also makes under Rule 4.9 (b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated lunder item V -6 below. The applicant declares that those additional designations are subject to comflimation and that any designation which is not confirmed before the expiration of 15 months from the proiority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. |  |
| :---: | :---: | :---: |
| V. 6 | Exclusion(s) from precautionary designatlons | NONE |
| VI-1 | Priority claim of earlier national application |  |
| VI-1-1 | Filing dale | 03 June 1999 (03.06.1999) |
| V/-1-2 | Number | 60/137,297 |
| V1-1-3 | Country | US |
| V1-2 | Priority claim of earlier national application |  |
| V/-2-1 | Filing date | 22 September 1999 (22.09.1999) |
| VI-2-2 | Number | 60/155,404 |
| Vi-2-3 | Country | US |
| V1.3 | Priority claim of earlier national application |  |
| V\|-3-1 | Filing date | 08 December 1999 (08.12.1999) |
| V/-3-2 | Number | 60/169,559 |
| V1-3.3 | Country | US |
| VI-4 | Priority document request The receiving Office is requested to prepare and transmit to the intemational Bureau a certified copy of the earlier japplication(s) identified above as itern(s): | VI-1, VI-2, VI-3 |
| V4/-1 | International Searching Authority Chosen | European Patent Office (EPO) (ISA/EP) |
| VIII | Chack list | number of sheets $\quad$ electronic file(s) attached |
| VIII-1 | Request | 4 l |
| VIII-2 | Description | 23 |
| VIII-3 | Claims | 5 |
| VIII-4 | Abstract | $1 \quad$abstract57103_111.tx <br> $t$ |
| VII-5 | Drawings | 3 |
| VIII-7 | TOTAL | 36 |
|  | Accompanying Items | paper document( s ) attached ${ }^{\text {a }}$ ( electronic file(s) attached |
| VIII-8 | Fee calculation sheet | $\checkmark$ |
| VIII 16 | PCT-EASY diskette | diskette |
| VIII-17 | Other (specifilid): | Postcard |
| VIII-17 | Other (specified): | Transmittal Sheet |
| VII-18 | Figure of the drawings which should accompany the abstract | 1 |
| VIII-19 | Language of filing of the internationail application | English |

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| $\overline{\text { IX }-1 ~}$ | Signature of applicant or agent | Arsoras Ci Buebm |
| :---: | :---: | :---: |
| x-1-1 | Name | FOLEY \& LARDNER |
| $1 \mathrm{x}-1-2$ | Name of signatory | Douglas A. BOEHM |
| (x-1-3 | Capacily | Agent |

FOR RECEIVING OFFICE USE ONLY

| 10-1 | Date of actual recaipt of the purported international application |  |
| :---: | :---: | :---: |
| 10-2 | Drawings: |  |
| 10-2-1 | Received |  |
| 10-2-2 | Not received |  |
| 10-3 | Corrected date of actual recelpt due to later but tirnely received papers or drawings cornpleting the purported internatlonal application |  |
| 104 | Date of timely receipt of the required corrections under PCT Article 11(2) |  |
| 10-5 | International Searching Authorly | ISA/EP |
| 10-6 | Transmittal of search copy delayed until search fee is paid |  |

## FOR INTERNATIONAL BUREAU USE ONLY

| $11-1$ | Date of receipt of the record copy by <br> the International Bureau |
| :--- | :--- | the International Bureau

Original (for SUBMISSfON) - printed on 02.06.2600 09:09:15 PM
(This sheet is not part of and poes not count as a sheet of the international application)

| 0 | For receiving Office use only |  |
| :--- | :--- | :--- |
| International Application No. |  |  |
| $\mathbf{0 . 2}$ | Date stamp of the receiving Office |  |



## VALIDATION LOG AND REMARKS

Yellow!
The power of attorney or a copy of the general power of attorney will need to be furnished unless all applicants sign the request form.

## PCT-EASY INFORMATION SHEET

(For applicant use only, DO NOT submit this sheet with the international application)

## VALIDATION LOG

Yellow! | Contents |
| :--- |
| The power of attorney or a copy of the general power of attorney will need to be furnished unless all applicants sign |
| the requesi form. |

Before submitting the International Application, please carafully verify that:
-the information contained on printed Request form is correct;
-Box IX of the Request form has been signed;
-all elements of the international application as indicated in Box VIII of the Request form have been attached; and, -the diskette containing the PCT-EASY zip file of the International Application has been enclosed and has been clearly labeled "PCT-EASY", with the applicant's or agent's file reference, and the first applicant's name.

## ATTENTION

DO NOT modify any indications on the Request form printout. The attached PCT-EASY application has been locked. If an error or an omission is discovered at this time, you must copy the submitted application as a template and make the change or conection in a new application (using the submitted application as a template). You may create such a template by copying the submitted application from the "Stored Forms" folder to lhe "New PCT Forms" folder. Open the new ( 0 WO) file created in the "New PCT Forms" folder, correct the enors and proceed with the submission process again.

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| :--- |
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|  |

## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR STREAMING AN

## ENHANCED DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999 , and U.S. Provisional Application No. 60/169,559, filed December 8, 1999.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection (e.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

In the field of streaming video, the primary design challenge is that the viewer desires perfect video quality over a

## Foley \& Lardner

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Via Facsimile
May 30, 2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Providing an Enhanced Digital Video File
Inventor(s): Bernstein et al. Our Ref.: 57103/111

Dear Brian:
Enclosed please find the first draft of the above-referenced patent application (last page marked 001.789397 .1 ), which has been prepared in accordance with the previously filed U.S. provisional patent applications. As you know, a careful and critical review of this draft application by you and the inventors is imperative to ensure that the you are all satisfied with the content of the application and the proposed claim scope.

Please have the inventor(s) thoroughly read the application draft, including the specification, claims, and drawings, to ensure that it provides a complete and accurate description of the invention. The attached "Inventor Information Sheet" provides a brief explanation of the parts of a utility patent application, the duty of disclosure, and inventorship. I would also like you to personally read and comment on this draft.

This PCT application incorporates all of the subject matter of U.S. Provisional Patent Application Number 60/125,824, filed June 3, 1999 (MLG Docket No. 5865-3) and those portions of U.S. Provisional Patent Applications 60/155,404, filed September 22, 1999 (MLG Docket No. 5865-7) and 60/169,559, filed December 8, 1999 (MLG Docket No. 5865-8) that pertain to the video streaming technology (as opposed to the zoom and pan technology). I also added the specific details of your preferred embodiments of the invention at the time of invention (EXAMPLE A) and more recently (EXAMPLE B).

Mr. Brian G. Utley
May 30, 2000
Page 2
As you can see from the question marks appearing on various pages throughout the draft, I had a few questions during the preparation of the application. In addition to addressing these questions, you and the inventors should feel free to supplement, correct, or modify any part of the application. In particular, please review the subject matter disclosed in the three above-referenced provisional patent applications. After your review, if you or the inventors feel that any subject matter from these three provisional patent applications should be reflected in the draft PCT application, but is not, please notify me immediately.

The drawings attached to the application are informal sketches that will suffice for purposes of filing. Formal drawings will be prepared at a later date, as they are required for publication of the PCT application.

During the review, please keep in mind that independent claims 1, 11, and 19 are the broadest statements of the invention, and the remaining dependent claims add limitations to further define different embodiments of the invention. Please note that it is the inventors' legal obligations to "read and understand" the contents of the application including the claims. Each inventor will have to sign a declaration attesting that they did so.

Please have the inventors mark the appropriate changes on this copy of the application, make a copy of the changes, and return the hand-corrected copy to me via facsimile. A revised application incorporating the changes will then be submitted to you for your approval. We will handle execution of the formal papers at a later date.

Pursuant to your instructions, to preserve foreign filing options, I plan to designate all of the countries for filing under the PCT (see attached list of PCT Contracting States). Note, however, that not all foreign countries are members of the PCT (e.g., Taiwan), so to maintain the benefit of priority to the U.S. applications, we would have to file foreign national applications immediately in those non-PCT countries. This would require up-front translation costs and sufficient time for our foreign associates to prepare and file the applications before June 3, 2000. It is my understanding that you DO NOT want us to file in any countries other than those that are members of the PCT. Please let me know IMMEDIATELY if this understanding is not correct.

If you or the inventor(s) have any questions, please do not hesitate to contact me. I look forward to receiving at least your preliminary comments on the application by tomorrow.

Enclosure(s)

cc: Douglas A. Boehm

PCT International Patent Application entitled<br>System and Method for Providing an Enhanced Digital Video File Inventor(s): Bernstein, et al.

# INVENTOR INFORMATION SHEET 

## Sections of a Utility Patent Application

## - Background of the Invention

The Background of the Invention describes the technology that existed before your invention, i.e., the "prior art". This section typically discusses how the need for your invention arose, describes how others attempted to satisfy that need prior to the time of your invention, and points out the deficiencies of the prior art in meeting that need. If you aware of any other prior art that should be mentioned in this background section, please supplement this section and forward any literature that you might have to me.

- Summary of the Invention

The Summary of the Invention section is merely a brief paraphrasing of the basic claims, along with a statement of the objectives and advantages of the present invention.

- Brief Description of the Drawings

The Brief Description of the Drawings is merely a listing of the figures, and should be self-explanatory.

## - Detailed Description of the Preferred Embodiments

The Detailed Description of the Preferred Embodiments section should provide a full, clear, and concise description of your invention so that any person skilled in the art could make or use the invention. Furthermore, the application must describe the "best mode" contemplated by the inventor(s) for carrying out the invention. In order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret.

## - Claims

The claims are the most important part of the patent application. They precisely define the invention and determine the scope of legal protection granted by the patent. The claims must particularly point out and distinctly claim the invention. The claimed subject matter must be distinguishable over that which the prior art suggests to those skilled in this field. Accordingly, the claims should be scrutinized with a view toward protecting your precise
invention and those concepts which could be considered an outgrowth of it, yet not encompassing knowledge from the prior art or obvious extensions thereof. In other words, the scope of the claims must be broad enough to provide that patent protection to which you are entitled, yet narrow enough to be distinguishable over the prior art. The terminology of the claims must be adequately supported by the description contained in the specification. Please keep in mind that all dependent claims, which are identifiable by the phrase "according to claim x " or "of claim x ", are interpreted as containing all of the limitations of the other claims which are referred to by that dependent claim. Thus, the independent claims are the broadest statement of your invention, and the dependent claims provide additional limitations to narrow the scope of your invention. Although the inventive concepts of each set of independent claims are interrelated, please keep in mind that they must be critically distinguished from each other. Each set of claims must stand on its own merit.

- Abstract of the Disclosure

The Abstract of the Disclosure section is a very brief description of what the application generally discloses.

- Drawings

The Drawings section should be self-explanatory.

## Duty of Disclosure

All individuals associated with the filing or prosecution of a patent application are under a duty of candor and good faith to the U.S. Patent and Trademark Office. This duty specifically includes a duty to disclose any information known to be material to the patentability of any claim of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or co-worker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Pursuant to this duty of disclosure, we will file an Information Disclosure Statement with the U.S. application listing the patent documents found in any prior art searches, as well as any technical articles mentioned in the disclosure materials. Accordingly, if any additional information relevant to your invention should come to your attention at any time before issuance of the patent, please immediately let us know so we can either inciude it in the Information Disclosure Statement or submit a Supplemental Information Disclosure Statement.

Inventorship
The proper inventors would be those people who contributed to the subject matter of the invention as defined in the claims of the application. There may be joint

# PCT INTERNATIONAL PATENT APPLICATION 

## for <br> SYSTEM AND METHOD FOR PROVIDING

## A DIGITAL VIDEO FILE

Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FL 33432-6080 Citizenship: U.S.<br>Zakirul A. Shirajee<br>9485 Boca Cove Circle \#708<br>Boca Raton, FL 33428<br>Citizenship: Bangladesh<br>Jude R. Rosario<br>5580 NW 61 Street<br>Apt \#625<br>Coconut Creek, FL 33073<br>Citizenship: Bangladesh

## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## Foley \& LARDNER

Chicago
DENVER JACKSONVILLE LOS ANGELES MADISON MIL WAUKEE ORLANDO

EMAIL ADDRESS sbecker@toleylaw.com

FIRSTAR CENTER 777 EAST WISCONSIN AVENUE MILWALKEE, WISCONSIN 53202-5367 TELEPHONE (414)271-2400 FACSIMILE (414) 297-4900

Via Facsimile

June 1, 2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley

President


Iviewit.com, Inc.
One Roca Place
2255 Glades Road, Suite 337 West
Coca Raton, Florida 33431


Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and third patent applications is substantially identical to the first application.


Mr. Brian G. Utley
June 1, 2000
Page 2
application and, in particular, with the proposed claim scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.

limited-bandwidth network. Perfect video quality requires an enermous amount of digital data. Today's networks are not capable R Relstreamisuide induths vidooknving of transferring real'streaming video te.gn having a real, full-motion frame rate comparable to NTSC (National Television Standards Committee) at 29.97 frames per second (fps), PAL (Phase Alternative Line) at 25 fps , and SECAM (Séquentiel) Couleur Avec


It is known to capture video using a capture device, compress the resulting captured video, store the compressed video, and send the compressed video across the Internet. However, prior attempts have failed to produce high quality streaming video that can be transmitted over the Internet. For example, prior attempts at streaming video have been unable to produce full-screen, real video frame rate video at any acceptable quality.

Several teachings have emerged that attempt to improve the quality and decrease the file size of streaming video. One teaching in the art is to reduce the number of frames per second that are being encoded, from the 29 to 30 fps of standard television to 6 or 7 fps or less for streaming video. While this reduces the amount of data that is being sent, the video appears jittery and corresponding voice appears asynchronous with the jittery video. Another teaching in the art is to capture the video at a small frame size of $160 \times 120$ or less. The small frame size of $160 \times 120$ is the widely used standard in Internet streaming video. Further teachings are directed to reducing the amount of data that is provided prior to compressing to reduce the file size resulting from compression. Other teachings in the art have pointed toward compressing a digital video file as much as possible prior to transmission. Full-screen, full-

# Facsimile Transmission 

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| ---: | :--- |
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## EMAIL ADDRESS

slecker@foleylaw.com

PTRSTAR CENTER
777 EAST WISCONSIN AVENUE MLWAUKEE, WSCONSIN SB2O2-5357

TELEPHONE (414) 271-z400 FACSIMILE (A | 4) 2白7-4BOO

Via Facsimille

## CONFIDENTIAL AND PRIVLLEGED

Mr, Brian G. Utley<br>President<br>Iviewit.com, Inc.<br>One Boca Place<br>2255 Glades Road, Suite 337 West<br>Boca Raton, Florida 33431

Re: PCT International Patent Application
Title: System and Method for Providing a Digital Video File Inventor(s): Bernstein et al.
Our Ref.: 57103/111
Re: PCT International Patent Application
Tite: System and Method for Providing an Enhanced Digital Video
File
Inventor(s): Bernstein et al. Our Ref.: 57103/112

Re: PCT International Patent Application
Title: System and Method for Playing a Digital Video File Inventor(s): Bernstein et al.
Our Ref: 57103/113
Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and thild patent applications is substantially identical to the first application.

As you know, a careful and critical review of these draft patent applications by you and the inventors is imperative to ensure that you are all satisfied with the content of the

## Foley \& Lardner

## Mr. Brian G. Utley

June 1, 2000
Page 2
application and, in particular, with the proposed clain scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.


Enclosure(s)
cc: Douglas A. Boehm

## PCT INTERNATIONAL PATENT APPLICATION

## for <br> SYSTEM AND METHOD FOR PROVIDING

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| Notes |
| On the Company copy of <br> this letter it is has the 111 <br> cover page with all the <br> correct inventors. The <br> copy transterred to our <br> counsel by Foley daes not <br> and the same letter is <br> missing this page. |

## A DIGITAL VIDED FILE

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777 E. WIsconsin Avenue
Milwaukee, Wisconsin ..... 53202







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## FoLEY \＆LARDNER

CHICAGO
DENVER JACKSONvILLE LOS ANGELES MADISON MILWAUKEE ORLANDO

EMAIL ADDREC马
daboebm＠foleylaw．com

## GACFLAMETTTO

 5，MN 멑Gㅇ SAN FRANCISCO TALLAHASSEE TAMPAAugust 11， 2000

Mr．Brian G．Utley
President \＆COO
Iviewit．com，Inc．
One Boa Place
2255 Glades Road，Suite 337 West
Broca Raton，Florida 33431

| Re： | PCT International Patent |
| :--- | :--- |
|  | Application No．：PCT／US00／15408 |
|  | Filing Date：$\quad 6 / 02 / 2000$ |
|  | Title：$\quad$ System and Method for Generating an |
|  | $\quad$ Enhanced Digital Video File |
|  | Applicant：$\quad$ Iveiwit．com |
|  | Our Ref．：$\quad 57103 / 111$ |

Dear Brian：

Enclosed for your records is a copy of the Response to the Invitation to Correct Defects in the International Application，which was filed with the United States Patent and Trademark Office on August 2，2000，in connection with the above－identified application．

We will keep you informed of future developments as they occur．Please feel free to contact me with any questions or comments regarding this matter．

Very truly yours，


Douglas A．Boehm
Enclosure（s）

## TRANSMITTAL LETTER TO THE LNTTED STATES RECEIVING OFFICE

| Date | 02 August 2000 |
| :--- | :--- |
| International Appilcation Nv. | PCT/US00/15408 |
| Attomey Docket No. | $571[3 / 111$ |

I. Certification under 37 CFR 1.10 (If applicable)

| EL 6404665 b2US |
| :---: |
| Express Mail mailing number |


|  | 02 Augurt 20000 |
| :--- | :--- |
|  | Date of Deposit |

I hereby centify that the applicationdcorrespondence attached hereto is being deposited with the Uniterl Sattes Postal Service "Express Mail Post Office to Addressere" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commisgioner of Patenus and Trademarks, Washington, D.C. 20231

| Shandurn. mindsa | Shirley M. Mika |
| :---: | :---: |
| Signature of persort frilitis comespondence | Typed or printed flathe of pereon mailing correspondence |

## II. [ ] New International Application

| TITLE | SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGTAL VIDEO <br> FILE | Earliest priority date <br> (Day/Morah/Year) |
| :--- | :--- | :--- |

SCREENING DISCIOSURE INFORMATION: In order to assist in scrucning the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be gratted and for other purposes, the fothowing information is supplied. (Noce: check as many boxes as apply):
A. [] 'The invertion disclosed was not made in the United States.
B. [] There is mo prior U.S. application relating to this inwention.
C. [] The following prior U.S. applications) contain subject mater which is related to the invention discloged in the attached indernational application. (NOTE: prionity to these applications may or mey not be clamed on form PCT/RO/OI (Requent) and (this listing does not contrisute a chaim for prority)

| Application no. |  | application no. |  |
| :--- | :--- | :--- | :--- |
| Application mo. |  | application no. |  |

D. [ JThe present infernational application [] is vientical to [ ] contains less subject matter than that fourd in the prior U.S. application(s) identifisd in paragraph C abowe.
E. [ The prescnt international application [] condains additional subject matter non found in the prior U.S. application(s) identitied ith paragraph $C$ above. The additional subject mater is found throughout the applicalion and I CONSDERED TD ALTER the general nature of the invention in a manner which would f madte available for inspection by the appropsiate defense agencies under 35 U.S.C. 181 an

## 

$\left\{\begin{array}{l}0 \\ 0\end{array}\right.$
A. [X] A. Response to the Invitation to Correct Defeen in the Imernational Application
B. [X] Thiree (3) Powers of Attorney (Gencral)
F. [X] Replacement pages:

|  |  | of the reapers (ix mentiv) | Pages | 1-3 | of the figures |
| :---: | :---: | :---: | :---: | :---: | :---: |
| Pages |  | of the defcription | pages |  | of tive |
| pages |  | of the claims |  |  | absidal |

D. [] Submission of Priority Documents
E. I] Fees as specified on attached Fee Calculation sheet fomm PCTRO/101 annex


| Typert name of sigher | Douglas A. Boebirt | signature |  |  |
| :--- | :--- | :--- | :--- | :--- |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Iviewit Holdings, Inc., et al.<br>International<br>Application No. : PCT/USOO/15408<br>International<br>Filing Date $\quad: \quad 02$ June $2000(02.06 .2000)$<br>Title of<br>Invention : SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO FILE<br>\section*{RESPONSE TO THE INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION}

Assistant Commissioner for Patents<br>ATTN: ROILS<br>Box PCT<br>Washington, D.C. 20231

Sir or Madam:

In response to the Invitation to Correct Defects in the International Application (For PCT/RO/106) dated 03 July 2000, Applicant respectfully submits three (3) dulyexecuted PCT General Appointments of Agent, and three (3) sheets of formal drawings, for filing in connection with the above-identified application.

Respectfully submitted,

Dated: 02 August 2000
Foley \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## PATENT COOPERATION TREATY

## GENERAL APPONTMENT OF AGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, WIsconsin $53202-5367$, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in connection with any a od all international applications filed by it, and to receive payments on its behalf.



## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigned applicant bercby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on is behalf before the competent International Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.


Eliot 1, BERNSTEIN
500 S.E. Mizner Boulevard
Broca Ration, FL 33432-6080
United States of America

## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OE AGENT

The undersigned applicant hereby appoints, individually and collectively,

## FOLEY \& LARDNER

and

attorneys at law of Firstar Center, 777 Last Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.

Signed this 31 day of Trap 2000 at Broca Baton in U.S.A.


Bock Rato, FL 33428
United States of America


PCT/US00 15408

## PATENT COOPERATION TREATY




3. Further observations if necossary:

Please note that the above applicant for all designated states except US has now been recorded as applicant/inventor for the US only.
4. A copy of this notification has been sent to:





| 1. The following indications appeared on record concerning:$\square$ the applioant the inventor $\square$ the agent $\square$ the common representative |  |  |
| :---: | :---: | :---: |
| Name and Address <br> IVIEWIT HOLDINGS, INC. <br> One Boca Place <br> 2255 Glades Road <br> Suite 337 West <br> Boca Raton, FL 33431 <br> United States of America | State of Nationality US | State of Residence US |
|  | $\begin{aligned} & \text { Telephone No. } \\ & 5619998899 \end{aligned}$ |  |
|  | $\begin{aligned} & \text { Facsimile No. } \\ & 5619998810 \end{aligned}$ |  |
|  | Teleprinter No. |  |
| 2. The International Bureau hereby notifies the applicant that the following change hes been recorded concerning: $\square$ the person the narne <br> X <br> the address the nationality the residence |  |  |
| Name and Address <br> IVIEWIT HOLDINGS, INC. <br> 505 North Brand Boulevard <br> Suite 1420 <br> Glendale, CA 91203 <br> United States of America | State of Nationality US | State of Residence US |
|  | Telaphone No. 5619998899 |  |
|  | Facsimile No. 5619998810 |  |
|  | Teleprinter No. |  |

3. Further observations, if necessary:
4. A copy of this notification has been sent to:

| $X$ | the receiving Office | $\square$ the designated Offices concerned |
| :--- | :--- | :--- |
| $\square$ the International Searohing Authority | the elected Offices concerned |  |
| $\square$ the International Preliminary Examining Authority | $\square$ | ather: |


| The International Bureau of WIPO | Authorized officer |
| :---: | :---: |
| 34, chemin des Colombettes <br> 1211 Geneva 20. Switzerland | Sangeeta JAIYA |
| Facsimile No.: (41-22) 740.14 .35 | Telephone No.; (41-22) 338.83.38 |

$$
\text { Patent an@ PCT File - Retirement/Inar. nation Form } 6 \cdot 3 \cdot 02
$$

Secretary or Attorney - Fill out form to indicare the desired change in status, then give form and file to Docketing.
 Application Abandoned Parent Lapsed for Non-Payment of Annuities Patent Expired Ocher: Give reason $\qquad$

| PCT Applications |
| :--- |
| $\square$ Lapsed |
| $\square$ Entered National Phase |
| $\square$ Other: Give reason |

Client Name: Ivicwit, com
Serial Number PCT/US0 /1540\% OR

Client \& Matter No. OO 5707. P0, PCT
$\square$ Insrrucrions received from Client dated: $\qquad$ Continuation Application under Rule 1.62 filed (for Abandoned Applications)

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Date: $\qquad$ Database Management Assistant Signature: $\qquad$


## EXECUTIVE EMPLOYMENT AGREEMENT

EXECUTIVE EMPLOYMENT AGREEMENT ("Agreement") made as of the 3rd day of August, 1999, by and between iviewit.com LLC, a Delaware limited liability company with an address at 2255 Glades Road, Suite 337 West, Boca Raton, Florida 33431 (the "Company"), and BRIAN G. UTLEY with an address at 1930 Southwest $8^{\text {th }}$ Street, Boca Raton, Florida 33486 (the "Executive").

WHEREAS, the Company desires to employ Executive and to ensure the continued availability to the Company of the Executive's services, and the Executive is willing to accept such employment and render such services, all upon and subject to the terms and conditions contained in this Agreemtut;

NOW, THEREFORE, the Company and the Executive hereby agree as follows with respect to the Executive's employment with the Company:

1. Employment. The Company shall employ the Executive and the Executive shall be employed with the Company, on the terms and conditions hereinafter set forth, for a period commencing as of the date hereof (the "Effective Date") and ending three (3) years after the date hereof, unless sooner terminated pursuant to the provisions of this Agreement. Such period of employment shall be automatically extended for successive one-year terms of employment, uniess either the Company or the Executive notifies the other in writing at least ninety (90) days prior to the end of the chen current term that it or he does not intend to renew such employment, in which case such employment will expire at the end of the then curreat term. All references herein to the "Employment Period" shall refer to both the initial term and any such successive renewal terms. During his employment hereunder, the Execative shall be the President and Chief Operating Officer of each of the Company, uview, com, Inc., a Delaware corporation ("uview") and iviewit LLC, a Delaware limited liability company ("iviewit") (uview and iviewit are collectively referred to herein as the "Affiliates").
2. Exclusive Efforts. The Executive shall devote his full time, best efforts, skills and attention to the business and affairs of the Company and the Affiliates, shal! serve the Company and the Affiliates faithfully and comperently and shall at all times act in the Company's and the Affiliates' best interests. The services to be rendered by Executive during the Employment Period shall be the nombal duties of a person employed as a President and Chief Operating Officer by a corporation in the Company's business, subject at all times to the direction and control of the Company's Board of Directors (the "Board").
3. Compensation and Benefits. The Company shall pay to the Executive, and the Executive agrees to accept, compensation as follows:
a) Subject to the provisions of Section 3(b) below, the Company shall pay to the Executive, and the Executive agrees to accept, an initial base compensation of Fifty Thousand Dollars ( 550,000 ) per year (the "Initial Salary"). All compensation payable to Executive hereunder shall be payable in accordance with the normal payroll policies of the Company and shall be subject to all usual and customary payroll deductions, including all applicable withholding taxcs.
(b) The Initial Salary shall be increased by: (i) Fifty Thousand Dollars ( $\$ 50,000$ ) to One Hundred Thousand Dollars $(\$ 100,000)$ per year on September 3, 1999 (the "Salary Increase Date"), (ii) an additional Fifty Thousand Dollars ( $\$ 50,000$ ) to One Hundred Fifty Thousand Dollars ( $\$ 150,000$ ) per year beginning six ( 6 ) months following the Salary Increase Date, and (iii) an additional One Hundred Thousand Dollars ( $\$ 100,000$ ) to Two Hundred Fifty Thousand Dollars ( $\$ 250,000$ ) per year beginning twelve (12) months following the Salary Increase Date.
(c) In addition to the compensation provided for in Sections 3(a) and 3(b), on the Effective Date, the Company shall cause uview to issue in the Executive's name seventeen thousand one hundred thirty-eight $(17,138)$ shares of uview's Class B Common Stock (the "lnitial Shares"), for the consideration of $\$ .05$ per share. The Executive shall be entitled to receive up to seventeen thousand one hundred thirty-eight $(17,138)$ additional shares of uview's Class B Common Stock at future dates during the Employment Period as determined in the Board's sole discretion, subject to Executive's fulfillment of certain performance standards established, from time to time, by the Board.
(d) The compensation provided for in Sections 3(a) and 3(b) shall be in addition to any pension or fetirement benefiss, hospital and medical, disability, and other benefits, if any, made generally available by the Company, in its sole discretion, to its executive officers.
(e) Executive acknowledges that it is the Company's intent to purchase a "keyman" life insurance policy on the life of Executive for the benefit of the Company (the "Insurance Policy"). Executive agrees to cooperate fully in the acquisicion, modification, amendment or supplement of the Insurance Policy, including submitting to any physical examination and providing any medical information as may be required by the insurer. In the event Executive dies within the Employment Period, the Personal Representative(s) (the "Personal Representatives") of Executive's estate (the "Estate") shall, at the Personal Representatives' sole discretion, elect to surrender to the Company all right, litle and interest in and to the lritial Shares and obtain payment from the Company of the proceeds (the "Proceeds") of the Insurance Policy (the "Election"). The Election shall be in writing and delivered to and received by the Company at its then corporate headquarters within four (4) months from the date of Executive's death. Within seven (7) business days after the Company's dated receipt of the Election, if the Personal Representatives elect to receive the Proceeds, the Personal Representatives shall deliver the Initia! Shares (along with executed stock powers) to the Company at its then corporate headquarters at which time the Company shall issue a cerified or cashier's check payable to the Estate for the full amount of the Proceeds; provided, however, that if at the time of the Election the Company has not received the Proceeds, and if the Personal Representatives elect to receive the Proceeds, the

Company shall notify the Personal Representatives at such time as it receives the Proceeds, and, upon receipt of such notification, the Personal Representatives shall then be required to tender the Initial Shares to the Company at its corporate headquarters within seven (7) days of said notification, upon which the Company shall comply with the provisions of this Section regarding remitrance of the Procseds. If the Personal Representatives fail to comply with the provisions of the preceding sentence, the Company shall, at its sole discretion, notify the Personal Representatives as to whether it will demand the surrender of the Initial Shares (and make the corresponding payment of the insurance proceeds) no tater than nine (9) months from the date of Executive's death or the deadline for filing Executive's Federal estate ax return, whichever occurs later.
(t) The Executive shall be entitled to four (4) weeks paid vacation per year. Such vacation tune allowance shall not cumulatively accne, and any unused vacation time for each year of the Employment Period shall be Forfeited by Executive if not used during each year.
4. Business Expenses. The Executive shali be reimbursed for all usual and reasonable expenses incurted on behalf of the Company and the Affiliates, as applicable, as approved by the Board, in accordance with Company practices and procedures, provided that:
(a) Each such expendiure is of a nature qualifying it as a proper deduction on the federal and state income tax returns of the Company and any of the Affiliates, as applicable, as a business expense and not as deductible compensation to Executive; and
(b) Executive furrishes the Company with adequate documentary evidence required by federal and state statutes and regulations for the substantiation of such expenditures as deductible business expenses of the Company and of any of the Affliates, as applicable, and not as deductible compensation to Executive.

Executive agrees that, if at any time, any payment made to Executive by the Cornpany as a business expense rembursement shall be disallowed in whole or in part as a deductible expense to the Company or any of the Affiliates, as applicable, by the appropriate taxing authorities, Executive shall retmburse the Company or any of the Affiliates, as applicable, to the full extent of such disallowance.

## 5. Ternination.

(a) This Agreement may be immediately terminated by the Company at any time during the Employment Period for cause. In such an event of termination, the Company shail be ooligated only to continue to pay to Executive his compensation, if any, earned up to the effective date of termination. "Cause" for purposes hereof shall mean (i) a breach of any of the provisions of this Agreement by Executive, (ii) conviction for any criminal offense involving a felony or (iii) willful misconduct, gross negligence or malfeasance.
(b) Except as otherwise provided herein, this Agreement and the obligations of the Company hereunder will terminate upon the death or at the Company's option, the disability
of the Executive. For purposes of this Section 5(b), "disability" shall mean that for a period of four consecutive months or six months in any 12-month period the Executive is incapable of substantially fulfilling the duties set forth in Section 2 or hereafter assigned to him because of physical, mental or emotional incapacity resulting from injury, sickness or disease as determined by an independent physician selected by the Company. Upon any such termination upon death or disability, the Company will pay the Executive or his legal representative, as the case may be, his compensation (including the Initial Shares issuable to Executive pursuant to Section 3(c) above), if any, earned through the date of such termination of employment.

## 6. Restrictive Covenants.

(a) Executive acknowledges that his services and responsibilities are unique in character and are of particular significance to the Company and to the Affiliates, that the Company and its Affiliates are competitive businesses and Executive's continued and exclusive service to the Company and the Affiliates under this Agreemert is of a high degree of importance to the Company and the Affiliates. Therefore, during the Employment Period and for a period of two (2) years thereafter (the "Noncompete Period"), Execative shall not, directly or indirectly, as owner, partner, joint venturer, employee, broker, agent, corporate officer, principal, licensor, sharcholder (unless as owner of no more than one percent (1\%) of the issued and outstanding capital stock of such entity if such stock is traded on a major securities exchange) or in any other capacity whatsoever, engage in or have any connection with any business which is "competitive" with the Company or any of its Affiliates, and which operates anywhere in the "Restricted Territory" (as hereinafter defined). For purposes of this Agreement, a business will be deemed to be "competitive" with the Company and its Affiliates if it is engaged in the same business that the Company or any of its Affiliates are engaged in, or contemplates engaging in, including, but not limited to, any business engaged in whole or in part in developing. marketing, and implementing technology that allows products and services to be advertised and marketed via the intemet. In recognition of the world wide access afforded by the intemet, the parties agree that for puposes of this Agreement, "Restricted Territory" shall mean worldwide.
(b) During the Noncompete Period, the Executive shall not:
(i) directly or indirectly, by initiating contact or otherwise, induce, influence, combire or conspire with, or attempt to induce, influence, combine or conspire with, any of the officers, employees or agents of the Company to terminate his, her or its employment or relationship with or to compete against the Company or any of the Affiliates;
(ii) directly or indirectly, by initiating contact or otherwise, divert or attempt to divert any or all of any customers' or suppliers' business with the Company or any of the Affiliates.
(c) If, in any judicial proceedings, a court shall refuse to enforce any of the covenants included in this Section 6 , then such unenforceable covenant shall be amended to relate to such lesser scope, period or geographical area as shall be enforceable. In the event the
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Company or any of its Affiliates should bring any legal action or other proceeding against Executive for enforcement of this Agreement，the calculation of the Noncompete Period shall not include the period of time commencing with the filing of legal action or other proceeding to enforce this Agreement through the date of final judgment or final resolution，including all appeals，if any，of such legal action or other proceeding unless the Company and the Affiliates arc receiving the practical benefits of this Section 5 during such time．The existence of any claim or cause of action by Executive against the Company or any of the Affiliates predicated on this Agreement or otherwise shall not constitute a defense to the enforcement by the Company or any of the Affiliates of these covenants．
（d）Executive hereby acknowledges that the restrictions on his activity as contained in this Agreement are required for the Company＇s reasonable protection and are a material inducement to the Company to enter into this Agreement．Executive hereby agrees that in the event of the violation by him of any of the provisions of this Agreement，the Company and its Affiliates and its or their permitted assigns（which are intended third－pany beneficiaries of these covenants）will be entitied to institute and prosecute proceedings at law or in equity to obtain damages with respect to such violation，to enforce the specific performance of this Agreement by Executive，to enjoin Executive from engaging in any activity in violation hereof，or any combination of the foregoing remedies together with any other remedies available at law or in equity．

## 7．Treatment and Ownership of Confidential fnformation．

（a）The parties hereto acknowledge that Executive shall or may be making use of，acquiring and adding to Confidential Information（as that term is defined in subparagraph（b） below）．Executive covenants and agrees that during the Employment Period and at all times thereafter he shall not，except with the prior written consent of the Company，or except if he is acting during the Employment Period solely for the benefit of the Company or any of the Affiliates in connection with the Company＇s or any of the Affiliates＇business and in accordance with the Company＇s business practices and policies，at any time，disclose，divulge，report，transfer or use， for any purposes whatsoever，any of such Confidential Information，including Confidential Infomation obained，used，acquired or added by，or disclosed to，Executive prior to the date of this Agreement．
（b）For purposes of this Agreement，the term＂Confidential Information＂shall mean all of the following materials and information which Executive receives，conceives or develops or has received，conceived or developed，in whole or in part，in connection with Executive＇s employment with the Company：

The Company＇s and the Affiliates＇materials and information（regardless of the form of such information，including without limitation，in writing，electronic，computerized or other recorded form，oral or visual）concerning，or related in any way to，the Company and the Affiliates＇ or its or their businesses，including without limitation：（i）the contents of any Business Plan， projections or francial or credit information or data relating to the Company or any of its Affiliates； （ii）the contents of any manuals or written materials of the Company or any of its Affiliates；（iii）the

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names and records of actual or prospective clients, customers, suppliers, lenders, financing sources, or related persons; (iv) the terms of various agreements between the Company or the Affiliates and third parties; (v) any data or database, or other information compiled or developed by the Company or its Affiliates; (vi) any computer programs and listings, source codes and/or object codes, file structures, trademarks, trade secrets, patents, patent designs, patent applications, copynights, forms, procedures, processes, training methods, developments, technical information, marketing activities and procedures and methods of operation, together with any other information, data, know-how or knowledge of a confidential or proprietary nature; and (vii) any information of a type described above derived or obtained from the internet or any website of the Company or its Affiliates, including without limitation, the file structure relating to such website or the content of such website.
(c) Executive covenants and agrees that all right, title and interest in any Confidential Information shall be and shall remain the exclusive property of the Company and the Affiliates, as applicable. Executive agrees to promptly disclose to the Company all Confidential Information developed in whole or in part by Executive within the scope of this Agreement and to assign to the Company or any of the Affiliates, as the Company determines in its sole discretion, any right, title or interest Executive may have in such Confidential Information. Executive agrees to tum over to the Company all physical manifestations of the Confidential Information in his possession or under his control at the request of the Company.

## 8. Inventions.

(a) Exccutive agrees to promptly inform and to disclose to the Company, in writing, all inventions, developments, procedures, ideas, innovations, systems, programs, techniques, processes, infomation, discoveries, improvements and modifications which Executive creates (colleclively the "Inventions"), either alone or with others, while in the Company's employ, or while performing services for the Affiliates, whether or not daring working hours, and at all times thereafter if the Inventions:
(i) relate to the present of anticipated business of the Company or any of the Affiliates;
(ii) relate to any actual or demonstrably anticipated research or development work of the Company or any of the Affiliates;
(iii) result from any work performed by the Executive for the Company or any of the Affiliates or customers of either; or
(iv) were invented utilizing the Company's or any of the Affiliates equipment, supplies, facilities, time or any information (whether or not considered Confidential Information) obtained from or useful to the Company or any of the Affiliates.

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(b) Assignment. All of the above-described lnventions, and all rights relating thereto, shall be assigned by virtue of this Agreement and without further action by Executive to the Company and shall be and shall remain the exclusive property of the Company.
(c) Ownership. With respect to each Invention assigned to the Company, Executive hereby grants, uansfers and assigns to the Company all of his rights, title and interest, if any, in any and all written materials (including but not limited to programmed instructional material), pictorial reproductions, drawings and other graphic representations and works of similar nature upon which he may be engaged in, including rights to translation and reproductions in al! forms or formats and the copyrights thereto, if any, and Executive agrees that the Company may copyright said materials in the Company's name and secure renewal, reissues and extensions of such copyrights for such periods of time as the law may permit.
(d) Executive Assistance. During the Eroployment Period and at all times thereafter, the Executive agrees to assist the Company and the Affiliates in obtaining patents or copyrights on any Inventions assigned to the Company that the Company or any or all of the Affiliates, in the Company's sole discretion, seeks to patent or copyright. Executive also agrees to sign all documents, and do all things necessary to obtain such patents or copyrights, to further assign them to the Company or any of the Affiliates, as applicable, and to protect the Company and the Affiliates against infringement by other parties. Executive agrees that such actions will be without compensation, but at no expense to the Executive.
(e) Attorney-in-Fact. Executive irrevocably appoints any Company-selected designee to act as his agent and attorney-in-fact to perform all acts necessary to obtain patents and/or copyrights as required by this Agreement if Executive (i) refuses to perform those acts or (ii) is unavaitable, within the meaning of the United States Patent and Copyright Laws. It is expressly incended by Executive that the foregoing power of atomey is coupled with an interest.
(f) Records. Executive shall keep complete, accurate and authentic information and records on all Inventions in the manner and form reasonably requested by the Company. Such information and records, and all copies thereof, shall be the property of the Company as to any Inventions within the meaning of this Agreement. In addition, Executive agrecs to promptly surrender all such original and copies of such information and records at the request of the Company.
9. Executive Representations and Warranties. The Executive represents and warrants to the Company that he is free of known physical and mental disabilities that would, with or without reasonable accommodations that would not create an undue hardstip for the Company, impair his performance hereunder and he is fully empowered to enter and perform his obligations under this Agreement. Without limiting the generality of the foregoing, Executive represents and wartants that he is under no restrictive covenants to any person or entity that will be violated by his entering into and performing this Agreement. The Executive shall indernnify the Company on demand for and against any and all judgments, losses, claims, damages, expenses and costs (including without limitation all legal fees and costs, even if incident to appeals) incurred or
suffered by the Company as a result of any breach by Executive of this representation and warranty.

10 Binding Effect. Except as herein otherwise provided, this Agreement shall inure to the benefit of and shall be binding upon the parties hereto, their personal representatives, successors, heirs and assigns.
11. Severability. Invalidity or unenforceability of any provision herenf shall in no way affect the validity or enforceability of any other provisions.
12. Governing Law. This Agreement shall be governed and construed in accordance with the lats of the State of Florida.
13. Entire Agreement. This Agreement and the Confidentiality Agreement dated July 9,1999 by and between iviewit, Inc. (together with its direct and indirect subsidiaries and affilates) and Executive contains the entire understanding between the parties and this Agreement may not be changed or modified except by an Agreement in writing signed by all the parties herew.
14. Notice. Any notice required or permitted to be delivered hereunder shall be deemed wo be delivered when either band delivered or deposited in the United States mail, postage prepaid, registered or centifed mail, retum receipt requested, addressed to the parties at the addresses first stated herein, or to such other address as either panty hereto shall from time to time designate to the other party by notice in writing as provided herein.
15. Prevailing Parties. If any legal action or other proceeding is brought for the enforcement of this Agreement, or because of an alleged dispute, breach, default or misrepresentation in conneccion with any provision of this Agreement, the successful or prevailing party or parties shall be entitled to recover reasonable attomeys' fees, sales and use taxes, court costs and all expenses even if not taxable as court costs (including, without limitation, all such fees, taxes, costs and expenses incident to arbitration, appellate, bankruptcy and post-judgment proceedings), incurred in that action or proceeding, in addition to any other relief to which such party or parties may be entitled.
16. Survival. Notwithstanding any termination of this Agreement, the provisions of Sections 6 through 16 shall survive such termination.

IN WTTNESS WHEREOF, this Agreement has been duly signed by the Executive and on behalf of the Company as of the day and year first above written.



## OF A CHANGE

(PCT Rule 92bis. 1 and
Administrative Instructions, Section 422)


If Finaroester, Thomas, M. Blakely, Sokoloff, Taylor \& Zafman 7th Floor
12400 Wiltshire Blvd.
Los Angeles, CA 90025
ETATS-UNIS D'AMERIQUE


3. Further observations, if necessary:
4. A copy of this notification has been sent to:

| $X$ the receiving Office | $\square$ the designated Offices concerned |  |
| :--- | :--- | :--- |
| $\square$ the International Searching Authority | $X$ | the elected offices concerned |
| $\square$ the International Preliminary Examining Authority | $X$ | other: FOLEY \& LARDNER |


| The International Bureau of WhPO <br> 34, chemin does Colombettes <br> 1211 Geneva 20, Switzerland | Authorized officer |
| :---: | :--- |
| Facsimile No.: $(41-22) 740.14 .35$ | Bate GIFFO-SCHMITT |

Form PCT/IB/306 (March 1994)

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    CORRECTED VERSION - CORRECTED ON 5/14/2003
            Transcription of Telephone Conference
                    Conducted July 31, 2000
                                    Farticipants:
Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum, Brian Utley, Doug Boehrn, Chris Wheeler
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Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

[^48]```
Utley: I do have that.
Bernstein: I don't. I've got the provisional and I've got...
Boehm: Everything is on the table
Utley: you should have...the formal.
Bernstein: This one?
Utley: Yes, that's the formal.
Bernstein: Okay.
Simon Bernstein: I just have one question. Does anybody have, or are
    we allowed to get, the files of Ray Joao?
Boehm: I have them.
Wheeler: Do you have all of the work that he had?
Bernstein: No, not all of it.
Utley: What was purported to be in the files?
Bernstein: And he also claimed to us that he destroyed part of his files.
Boehm: And I have some of his files. I have what was purported to
    be all of the fimms' files.
<Inaudible comment.>
Utley: Well, there's a whole history, then, because I tried to get
    complete copies of the files originnally,mandm fournd out
    ulater that not only did he not send us all the files, he
    didn't even mention that there was an extra filing out
    there that we didn't even know about.
Bernstein: This one that's in question.
Boehm: Yep
Simon Bernstein: You have no notes, no data on...?
Boehm: No, I have the application. I have things that you could
    get from the US patent office-that I could get from the US
    patent office. I have very few notes. I do have some
    scribbled Ray Joao's notes, but I think you gave me those
    notes.
Utley: I did. I gave you Bill Dick after Bill yourself[ ] the
    notes that I had.
Bernstein: And Ray's made disclosures to us that he destroyed the documents to protect us, which I don't know what he was thinking.
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| Wheeler: |  | And did he, in front of you, write notes? |
| :---: | :---: | :---: |
| Bernstein: | Tons. | Hundreds |
| Wheeler: |  | And did he then produce them on his computer and type out certain things? |
| Bernstein: | Yes. |  |
| Wheeler: |  | I was under the impression he was doing that with you. |
| Bernstein: | He did |  |
| Wheeler: |  | And did you read those? |
| Bernstein: | I did | I did - now going to that same nature, that's the provisional $I$ think we're talking about... |
| Wheeler: |  | Right. |
| Bernstein: | But he | flew out here aqain with me and Brian and went through this as he went to file this-this is a $3 / 23 / 2000$ file-that also fails to make mention of. |
| Wheeler: |  | So that's the formal file...the formal one? |
| Bernstein: | The for | ormal file. So both also missed the point. |
| Wheeler: |  | I just wanted to know and to put things in proportion, when you read the provisionals, because Brian wasn't with the company right now and then, and when there were all those drafts, because obviously we didn't see them... |
| Bernstein: | Well, | You saw because we gave you all the documents. I'd get a document from Ray and bring it to you so you would have records of everything up to that point because I didn't want to keep them at my house. |
| Wheeler: |  | The final...the final...but I'm not reviewing the patent. I was keep maintaining it as... |
| Bernstein: | Okay, | but you have every record... |
| Wheeler: |  | Everything you gave me we maintain. We don't |
| Simon Berns | Ein: | Any notes should be produced. |
| Wheeler: |  | We don't throw away anything. |
| Bernstein: | Yeah, | I know. |
| Simon Berns | ein: | I know you don't you're very thorough. |
| Wheeler: |  | So, I'd file it away; so if you gave it to me, it's in our archives |

Bernstein: Right.


| Wheeler: | But the zooming and the panning and the scanning element was incorporated in that? |
| :---: | :---: |
| Boehm: | Go ahead, Brian. |
| Utley: | Let me make sure that we say that properly. The provisional filing had a claims section which migrated into the final filing, but Eliot is correct in saying that the provisional does not need a claims section. |
| Boehm: | The provisional never gets examined, so it doesn't need the claims. It just holds your place in line for one year. |
| Bernstein: But | then when I look through this. |
| Simon Bernstein: | Hold on, Eliot, I need to understand this. What you're saying, then, is assuming any negligence on his part, to that point the negligence doesn't become realistically damaging to the company until since he actually made a claim...since he actually made a provisional filing. Which took our place in line. |
| Boehm: | If the provisional filing covered the invention, your place in line is only as good as the subject matter described in accordance with the law. |
| Simon: | Obviously, it should have had the panning and zooming in there. |
| Boehm: | Well, the word "zoom" is in there. |
| Bernstein: But not really to describe what we're doing. |  |
| Boehm: | But do you see what I'm saying? It's only to the amount of subject matter that and attested where the average person skilled in the art could make and use an invention as it's described in this document, and without "undue" experimentation, without inventing it himself. |
| Simon Bernstein: | Right. |
| Boehm: | Now, this provisional application, you throw it...different patent attorneys do different things with it. On one end of the spectrum, you do an invention disclosure. Most big corporations have invention disclosure forms which leads the inventor to write out good disclosures and figures and things, and I've seen people actually file that invention disclosure because if you're coming up on a bar date, you don't have time to write an application or think about what your invention is. All you've got to do is get something on file, and then hope that it will protect...that whatever you had on file covered your invention. |
| Simon Bernstein: Is that what we've done so far? |  |



| Wheeler: | I am asking you whether he did or not? |
| :---: | :---: |
| Boehm: | I'm not clear on what you mean. You can't add additional subject matter after the filing date of an application or you'll lose the right to that filing date. |
| Wheeler: | The provisional? You can't add subject matter to the provisional? |
| Boehm: | To any application...any patent |
| Wheeler: | But if he did describe the zooming, then the zooming element is not an addition in the formal. |
| Boehm: | Right. It's supported. If he described it in the original, you can base claims on it later. |
| Wheeler: | And have we said that the zooming is in the provisional? |
| Bernstein: Nowhe | re that I can see. |
| Simon Bernstein: | Wait. You're the lawyer reading another lawyer's work. Is it in there? |
| Boehm: | Do you have a copy of it? |
| Bernstein: Yeah, | right here. It isn't in there if it bites you. |
| E. Bernstein: | It's not in the filing either. |
| Simon Bernstein: | It's obviously not in the filing if it's not in the provisional. |
| Bernstein: No. |  |
| Simon Bernstein: | Can you make reference to something...let's say he uses the word "zoom". |
| Boehm: | Exactly. I'm pretty sure the word "zoom" is in there, isn't it Eliot? |
| Bernstein: But | hat Doug's saying is that had you written the patent, you would have described the invention as the ability to do this cool zoom that we all...and just said this is the cool part of what we're doing. What Ray's missing in the outline is the ability for you to put a picture on a web page. |
| Wheeler: | He did know that an important element was the fact that when we went in and made it bigger, we didn't pixelate. |
| Bernstein: It didn't pixelate. Not in here at all. |  |
| E. Bernstein: | Not even mention to that concept. |
| Bernstein: Compl | ete failure. It's not. |


| Wheeler: |  | But if said it doesn't distort when we zoom. |
| :---: | :---: | :---: |
| Bernstein: | Nope. | Nothing like that. |
| Wheeler: |  | That's the same thing, isn't it? |
| Bernstein: Y | Yeah, | but he hasn't said anything...he doesn't even tell you |
| Wheeler: |  | What about the panning element, or is that element not patentable? |
| Bernstein: | No, th | at's part of the whole process is to be able to zoom while panning. |
| Wheeler: |  | Here it is. "The above process can be utilized in order to create higher zoom capabilities with each new depth layer of an image..." |
| Bernstein: | No, b1 | ut that's a new depth layer which is bringing in another hotspot image, so it's really a completely different subject. |
| Boehm: |  | Oh. Okay. |
| Boehm: |  | Okay. Where is that? |
| E. Bernstein | : | I read it to, he's very crafty you know. |
| Boehm: |  | "Where the zoom capacity of up to 1700 times or greater may be easily obtained with the [present conventions.]" Are they talking about the hotspot now? |
| Bernstein: | No. |  |
| Boehm: |  | No, it's the general zooming capability. |
| Wheeler: |  | So it's not in addition. |
| Bernstein: | Well, | explain to him where it's missing. |
| Wheeler: |  | You guys didn't put it in the formal...I don't mean you...he didn't put it in the formal one in the depth in that what we want to do it but he could have without it being construed as an addition. |
| Boehm: | Yes. |  |
| Boehm: |  | Well play lawyer on you now<Laughs; cannot understand his comment.> |
| Wheeler: |  | Right - sorry |
| Boehm: |  | Whether or not it's supported is a question that's going to be determined either between you and the examiner...probably not, it's between you and another |


|  | lawyer someday when the case is litigated. The question is And again, the test is: Can the average person skilled in the art-the average designer of this type of software-can he read this document and make and use of your invention without inventing it? That's the test. Now, whether he uses the word "zoom" in here and "magnification" later, that doesn't mater as long as he would have gotten it. If it is so simple to build by reading this, you don't need any subject matter. If you're combining three elements $A, B$, and $C$, and $A, B$, and $C$ are standard in the art, and you tell them these are standard in the art, go combine $A, B$, and $C$, that could be a one-page application. The average person will pick it up and he could. It's a patent test. Are you with me? The more complex it is, the more you want it supported in this text. |
| :---: | :---: |
| Simon Bernstein: | What if it is basically simple, and he just wrote it as basically simple, does that support our position anyway though? |
| Boehm: | Does that support our... Sure |
| Simon Bernstein: | I mean, if we were to litigate against another person that infringes on our... |
| Boehm: | An infringer. |
| Simon Bernstein: | Supportable for the sake of argument? |
| Boehm: | Right. Yes. That is a fair argument |
| Simon Bernstein: | OK so then $I$ don't know that, at least from first blush |
| Bernstein: That' | s the provisional you're reading though, right? |
| Boehm: | Aren't they the same? I think they're identical, aren't they? |
| Boehm: | You can check in his notebook. |
| Boehm: | Are there differences? |
| Bernstein: Where | did you find that piece that you just read? |
| Wheeler: | Is the reason...now continue answering my question...is the reason we came to the formal in March of this year, which I didn't realize that Joao. I thought that we had agreements for doing everything, but apparently Joao filed... |
| Boehm: | For that one, yes. |
| Wheeler: | But he didn't bother telling anybody. |
| Boehm: | That's the one that we didn't find out until way late. |



| Utley: | ...he was going to smash that all together and file it. |
| :---: | :---: |
| Simon Bernstein: | Was that the same time, Brian, that he was leaving the firm? |
| Bernstein: Yeah. |  |
| Simon Bernstein: | So would you say that probably... |
| Utley: | he knew at the time that he probably would be leaving? |
| Utley: | Right. |
| Simon: | But he wanted to get all of this in place so he could do the billing and get that part of it in... |
| Utley: | I don't know that. |
| Boehm: | Just speculating. |
| Eliot Bernstein; | What day did you give him those notes? |
| Simon Bernstein: | I don't ever have to speculate on billing |
| Utley: | I don't have my address book with me... I didn't write the date down, but it was the date that he was here. He came. |
| Wheeler: | He wanted to get it done to take care of you, make sure it was filed for you. |
| Simon Bernstein: | That could be too. One other reason is. |
| Wheeler: | We're just speculating. |
| Wheeler: | And I'm not trying to... <Everyone talking at once.> I thought he was trying to work on our best behalf, but one time or two times that I met him, it seems like he was earnestly trying to help. Who knows? Maybe he was incompetent. I mean we're only suggesting that it would have been incompetence |
| Bernstein: Well, | the fact that it's not in your patents, right up front, this is the invention, is a gross neglect. And the fact that it doesn't say, "this is what the invention is trying to do. This is the feature..." |
| Simon Bernstein: | The point is not whether it's gross neglect or not, it's what the damage is if there is...if, one, gross neglect is of any import; and two, what is the damage? it has caused iviewit. That's what I think we need to ascertain here, and if we can ascertain it. |
| Utley: | How do we fix it? $\quad$ellot <br> Note |
|  | Utiey in deposition states he was <br> 12 unavare of any problems with Joao work |


|  | Of course lets try to fix it, if we can't fix it then we'll worry about... |
| :---: | :---: |
| Eliot Bernstein: | Well $1^{\text {s- }}$ lets fix it |
| <Everyone talking | at once.> |
| Boehm: | Let me go over the procedures so everybody's clear. Again, on one end of the spectrum you file a very sparse, like a one-page provisional application, and it's cheap, and the purpose of the provisional is to get you in line...it is to protect your date. What you're trying to do is get the benefit of your priority date. When you invented it. When you're in line in terms of whose the next guy that invented it. Whose the first inventor? |
| Simon Bernstein: | Someone comes after you the second day after... |
| Boehm: | Who's the first inventor, that's what you're after. |
| Simon: | I understand. I really understand...you don't physically stand... |
| Boehm: | Not physically in line in the patent office is right, not or even in physically in line in order as well. Okay. Oneyear letter, the provisional expires and you have to file a non-provisional patent application, okay? Many times it's identical. If you do a good job up front, you just file that, but you need to put claims on at this time. When I do a provisional, I try, if there is money and time up front, to do it once up front. I even write the claims. As a matter of fact, I don't even like to file provisionals because there's not much of an advantage. If you've got the time and the money up front to do a good job, well then, just file it as a regular application. |
| Simon: | Understand that at the beginning, the time and the money...I mean, the time was certainly available, but the money was a short substance. So it was obvious that Ray would be working in a most expeditious way. |
| Boehm: | Well, that's why the.. |
| Simon: Which | might have short-circuited us because of all of the lack of funds. |
| Wheeler: | Well, that's true because the filing date is 3/24/99 to endorse that...that was very early in the game. |
| Simon: | We did it in your office Chris in your library... in your conference room. The only meeting I had with him was while we were going to file the patent and that was in your office. |
| Boehm: | Okay, 3/24/99 is the provisional application. |
| Bernstein: That | s what I'm saying. Well, Chris, |

Boehm: So even at a year, he filed the second one with claims.

Buchsbaum: Yeah two things happened during the year. One, the Company was doing other things, even though they knew that was coming up, and two, I guess there wasn't a whole lot of money to allocate towards doing that much.

Simon: Here's what we did. We hired Ray Joao on the monies that were raised by the investors; and then when Huizenga was coming in with their money, and when that money came in, we made a company decision that the first and foremost thing was to get the patent filed properly. So the fact that we were going to spend more money and get them completed at that point had already been made.

Simon: Okay, but prior to that, we were working on short forms. Then after that, we started to raise capital, and we always knew that the priority was intellectual property, so were going to make sure that those got done right. Brian's been working on it ever since, and felt comfortable... $\operatorname{I}$ never did feel comfortable with Ray Joao...just an observation.

Boehm: Hrmm...is it all patent attorneys? <Laughter>

Simon: No, no, there's nothing wrong. He came in, he's a nice guy, he tried hard, you know, all the nice things, but his work always appeared sloppy, okay? And that's the only thing I can say. You're a patent attorney, you see what he did. If I'm wrong, then let me know; but to me, it looked like it WaS $a$ mitt $e$ mamphoshod. And then he made some statements that really bothered me, too, that I don't think he should have rade to a client, and that is that he was filing his own patent. <Chuckling.> I mean, horseshit personally,
 that he's an inventor filing his own patent. It really did bother me.
<Everyone talking at once.>

Bernstein: Transmitting video files on a communication network for airlines and...

Simon: It probably meant nothing because $I$ don't think the guy was of the nature to be stealing from us, but $I$ don't know! But I'll tell you this, it did ring a bell. From a pure novice, it made me a little nervous. I asked Eliot why he was dealing with somebody, but we were assured that this was a good firm...

Boehm: Let me look back in my own spiel...here with the provisional. You file a provisional, then within one year, you file a regular application with the claims. You can add claims to it; but if you add subject matter to it-in other words, if the zoom and pan concept wasn't well described, you have lost the benefit of that first phase. Right. Now


| Simon Bernstein: | Before this meeting took place, before we called this meeting, aren't you privy to everything that's been done? |
| :---: | :---: |
| Boehm: | Oh, sure. I have everything. |
| Simon Bernstein: | So when Eliot asked you that question, why can't you answer it? |
| Boehm: | Because there's no...in my opinion, there's no clear-cut answer, yes or no, on the quality of the work product. It's a judgment call. |
| Bernstein: So th | t's an exposure, and what if the judgment is against us? |
| Wheeler: | It's [an examiner] judgment call is what we're saying. |
| Boehm: | The damage? |
| Wheeler: | No, the examiner. <Everyone talking at once.> |
| Wheeler: | Whether the subject matter is new or not |
| Boehm: | The examiner would...hold on...it's... |
| Wheeler: | whose judgment call is it? |
| Boehm: | It could be the examiner's, if he catches it. If it's not caught, and you get it to patent and you litigate the patent, ... at court. Or if the examiner catches it and I want to appeal it to the board of appeals in the patent office, it's their judgment call |
| Wheeler: | Okay, so we go to court and we're fighting over the patent, we would argue that it's supported by the zoom 1700 in our language, and the other side would, say that's baloney that's too broad you didn't describe it enough |
| Boehm: | You didn't have your invention... |
| Bernstein: Then | ou lose. |
| Boehm: | We would lose only if you had a bar date come in there if somebody else invented before you, or if you put something on sale... or if we offered something up for sale. |
| Bernstein: Which | we did. |
| Boehm: | But the offer-for-sale date from our first meeting is not until September. |
| Bernstein: Right |  |
| Boehm: | So the offers for sale won't normally kick off a foreign... |
| Simon Bernstein: | Could you explain to me what offer for sale means? |


| Boehm: | Sure. As soon as you.. you can't get a patent on a product after you've been using it for more than a year. As soon as you publicly disclose your invention, you've got one year in the United States to get a patent on file, okay? Even if you don't publicly disclose it...let's say I've got a method of making [ ] in my factory, but it never gets outside. I'm starting to commercialize it, I'm making money off my invention...the commercialization date a year later is you can't patent it in the U.S. So that's that one-year grace period. |
| :---: | :---: |
| Simon Bernstein: | Aren't we within that period? |
| Boehm: | Yes. As far as we know, yeah. As far as we know. |
| Utley: | Yes-yes we are within that grace period |
| Simon: | Okay, somebody explain to me, what am I doing here? Why am I sitting here? Are we saying that Ray Joao, other than being sloppy, but there's not much damage that could have been done or can be done because we can fix it, which really would make me the happiest to hear that. |
| [not in transcrip perhaps the chan | : FSL look at change above although minor it indicates in text to match new text] |
| Utley: | Can I jump in? Let's just say there are two steps. We're going to make a filing this week; and to the best of our knowledge, we have swept up all this in this filing, and that will be within the comercialization period. The second thing that we're going to do is we're going to look at filing an addendurn to the original formal filing to strengthen the claims - broaden the claims... to the maximum extent that we can. |
| Boehm: | if we need it...if we need it. |
| Boehm: | It'll be a lot of this was swept up into the application. |
| Utley: | What we're trying to do is protect the date day of March 24 |
| Boehm: | The original |
| Utley: | The original date as March the $24^{\text {h }}$, but filing should remain an objective. |
| Simon Bernstein: | Brian, if you broadened the language now, would that be a red flag to the commissioner that you should have done it earlier? Or should we just say that this has always been there? |
| Buchsbaum: | You mean the examiner of the commission |
| Bernstein: We're | not going to be able to say it was in the claim. |
| Simon Bernstein: | What happens when you start those amendments or broaden them is you start to admit that you didn't do it. |


| Boehm: | Um, yes and no. We... I do that all the time. |
| :---: | :---: |
| Simon Bernstein: | It's common then? |
| Bernstein: If the | y do it all the time, then we have to do it. |
| Simon Bernstein: | But not until I feel more comfortable with it. |
| Boehm: | We normally have a search done. The patent examiner will do a patentability search, and he will come back and reject it. The problem is if the claims are too narrow to begin with, he will not come back and reject it, he'll allow it, and boom! Now I can't amend it he's in. [ ], we're done. But I can file an continuation on it. I can keep dragging this out and get broader claims as long as the subject matter is... |
| Wheeler: | So that's why he stated it broadly versus narrowly? |
| Boehm: | No. |
| <Somebody cones in | to the room to take food/and or drink orders.> |
| Boehm: | No, but as far as, doing it broadly, if you're saying to claim it broadly it's our job to claim... as prior art which I doubt the claim is as broad as the [ ] allows... |
| Wheeler: | Right. That's what I'm saying. |
| Boehm: | And this is claimed broadly. |
| Wheeler: | Right. |
| Boehm: | And that's the normal tactic, to claim things broadly, and then wait for the examiner to come back and say, "Oh, you can't get it that broad," and then narrow down your claim. |
| Wheeler: | Okay, so isn't that what he was in part trying to do? That's what he's been saying, yeah. |
| Boehm: | Yeah. |
| Wheeler: | Well, would that not be consistent with how patent attorneys try to do things? |
| Bernstein: Well, | claim one, if you look at their claim one, Chris, that they've written, it identifies... |
| Wheeler: | Who's they? |
| Bernstein: Foley [not in transcrip | \& Lardner. It identifies what you're trying to do. <br> : Stephen note how Dicks name is deleted and Foley's name is screwed up, may indicate who was changing this transcript] |
| Wheeler: | Okay, so maybe it should have been written differently. 18 |



| Utley: | And we should go back and address what amendments we can make to the claims in the filing of March this year and determine within the spec of the filing how broad those claims can be. I mean, that's going to be the test. Within the spec of that filing, how much leverage have we got to broaden those claims so that we do have a priority date which is back about a year ago last March. |
| :---: | :---: |
| Bernstein: | So we want to insert everything going into this one into that one? |
| Utley: | No, it'll be. |
| Utley: | It'll be based upon the preamble, if you will, of what's in here. |
| Boehm: | We do reference it. As a matter of fact, this is the cover page, Brian, of the application we're going to file. |
| Utley: | Yeah, you reference it right there. |
| Bernstein: | But you can add claims to that one that you're referencing that would encompass what we have in today's filing, which is really...we do want it in there. |
| Boehm: | Yes, I can claims to the zoom and pan to get you back to the original date in this one since $I$ clain to this onto his. |
| Bernstein: | Well, we should do both. |
| Boehm: | Well, you can't get two patents on the same invention, so it depends on where we want to go. |
| Bernstein: | Well, we want to definitely get it in on his because it gets us an earlier date. Correct? |
| Boehrn: | No. It's a mess with these dates. What will happen is...nobody will worry about the date unless there's an occurrence, and that occurrence might... it's a major problem. You won't find out about that occurrence until you sue somebody, and then they go search in Australia, and they find a reference that somebody's done this before in the library, and then you worry about the date. Were you before him? |
| Bernstein: | Well, that's what I'm worried about. I'd like to go back to our earliest date. |
| Wheeler: | Can I point out one other thing? I know we look for the word...Eliot looks for the word...I know we look for the word "zoom," but there's also other language in here too. Sometimes we get caught up in a word "zoom," when what is zooming other than enlarging or reducing? And he does have language in here, "when enlarged or reduced, these pixels of the digital image becoming distorted a feature which |


| Wheeler: | typically results in the digital image being fixed to an original size or being available at low magnification, such as, for example, magnification from 200 to 300 times. These digital images are also difficult to enlarge to a full screen without a tremendous amount of distortion present in the end product." <br> I mean, he's describing I mean that's zooming. Reducing and enlarging is zooming. |
| :---: | :---: |
| Bernstein: | But he's not putting it in your claims, that's what he's saying. You see, this is different. |
| Boehm: | But it doesn't matter right now |
| Wheeler: | But it doesn't have to be if you've made mention. The opinion is that it doesn't have to be as long as he's ...if you made mention...if you've gone on record of having described this |
| Boehm: | This is the background that's...problem. He's got... |
| Boehm: | That kind of invention, right, it's got to state.. |
| Wheeler: | Well, I didn't get to that either. |
| Bernstein: | Right. And that's where it's not. |
| Boehm: | I pointed out a couple of things. It's not as.. |
| Bernstein: | Within the claims, the claims I'm reading, you could not. |
| Boehm: | The claims really don't matter. |
| Bernstein: | In the patent? |
| Boehm: | The patent claims on a pending application basically don't matter. |
| Bernstein: | No, the ones he filed. |
| Boehm: | Yeah, they basically don't matter. I can go back and change them. |
| Bernstein: | Okay. Why? So we want to change back to the original one he's filed, put as much language as we can that we have today...oh, it's all supported. Everything you wrote in that new one is supported in this one because it's the same process. |
| Boehm: | That's the ultimate problem that Steve and I-Steve is Becker, the other patent attorney that actually wrote these patents <in audible>-but that's the ultimate problem that we're worried about, and that's the problem that you always worry about unless you first of all have a handle on the invention, inside and outside, and second of all, unless you really have a handle on Prior Art so you know where you 21 |

want to go with this. Then you spend the time and the money to do a good original provisional filing. You've got a pretty good shot that it's supported then. But when you file as, oh, I've got to try and cover this base, and when you do this kind of stuff, there's always going to be a question of what was supported when.



| Wheeler: | I have copies of each one of these. Can I get a copy of your [ ]? |
| :---: | :---: |
| Boehm: | of this? Sure. |
| Wheeler: | I have a copy of each one of these, I believe, or most of thern... |
| Buchsbaum: obligation Boehm: | Can I ask you a question? Your saying everybody that has an to sign is on the list of names in these patents? <br> You preferably don't...well, unless you have the new ones... |
| Wheeler: | I don't have the new ones, but |
| Bernstein: | That's an old one. That's old. |
| Buchsbaum: | You're saying everybody that has an obligation to sign is on the list of names in these patents right, because the company was part because the Company was doing, is that what you're saying? Because I don't even know if everybody has signed because you may due corporate due diligence for financial reasons or if...and they will say has everybody signed off on these patents, and if three people don't...if one person hasn't, he has an obligation to sign? |
| Boehm: | Brian, have you signed? |
| Buchsbaum: | Has everybody signed off on these? Brian? |
| Boehm: | See these tabs [refers to tabs for inventors Bernstein, Shirajee, Friedstein and Rosario to sign] right here? That's what I'm trying to do today. As soon as...I'm going to have people sign, me sign...all the inventors sign. I've got to get a hold of Jeff |
| Bernstein: | I thought we did that when we filed. |
| Boehm: | You only signed one real document, didn't you? Did you actually a declaration? I know you didn't sign an assignment over but you're real clean on it because these are all based on the original filing, which is assigned to iviewit holding already |
| Bernstein: | What's that mean? |
| Boehm: | So all of the other inventors would have a helluva problem trying to say they owned anything. |
| Simon: | Again, this is a little off the subject matter, but I have asked Chris about it before. If something were to happen to iviewit, and it were it went into bankruptcy, what would happen to those patents? How would those patents [ ]? |
| eeler: | It depends on which at iviewit you're talking about |



| Simon Berns | n: ...Board if everybody that was a creditor found, everybody that was a note holder at that point there was no what would you call it - problem |
| :---: | :---: |
| Buchsbaurn: | and that would be protected by the courts anyway usually. The court would see this probably as a you know a fraud |
| Wheeler: | You could have two frauds: fraud of creditors and fraud of shareholders. |
| Simon: | No, Chris I'm not worried about fraud. I'm really concerned with the fact that what we did here, the last loan that we took in, from... |
| Bernstein: | Crossbow. |
| Simon: | No, not from Crossbar |
| Bernstein: | Crossbow. |
| Wheeler: | Crossbow |
| Simon: | ...is secured by the. |
| Wheeler: | .the term of the deal, right. |
| Simon: | And that's perfectly acceptable to me except that everybody else that had loans prior to that at that time should have been considered with the same equity because ...posses able and Chris told me that that was the perfect time to get it done |
| Bernstein: | Yeah, but would Huizenga lose his? |
| Bernstein: | Would Huizenga lose his stake in it to Crossbow? |
| Wheeler: | No, no, no, it wasn't...I said that if there was going to be new considerations from those people, we all could of...?? |
| Simon: | We all could have put in another $\$ 10$. I mean, at the time we did it with Crossbow, we should have made sure that our other people... |
| Bernstein: | Are protected. |
| Utley: | No, no, no. We would have had to issue new contracts out for everyone. |
| Wheeler: | There would have had to have been some material consideration, not just $\$ 10$. It would have been... |
| Simon: | So it would have been $\$ 10,000 .$. |
| Wheeler: | Well, then, you could have...Crossbow, we didn't even talk about Crossbow at that moment, and I said you couldn't go back and just collateralize. You couldn't go back for money that you already put in. But if you put in new |


|  | considerations that you could demand as a condition to be collateral. |
| :---: | :---: |
| Simon: | What we should have done, or what we maybe we still should do to protect our original group of investors, is to have them pony up a few more thousand or whatever you think is legitimate, and amend the contracts to protect them as well. |
| Utley: | That's new subject matter. |
| Simon: | Well, I only brought it up because it had to do with the patents. |
| Utley: | I know but can we finish the patent discussions before we bring up new subject matter. |
| Simon: | You can, but I want to make sure that we do finish. |
| Utley: | No, I aqree with you si. |
| Si: | The problem is that I made claims to certain people like Don Kane, who put op \$100,000, who thinks... |
| Bernstein: | Let's get back to that. No, let's get back to it. It's a definite point. There are people. |
| Buchsbaum: | This is a business issue for later. |
| Bernstein: | No, we're asked by these very people these questions. |
| Boehm: | Did you get your question answered on the.. |
| Buchsbaum: | Yeah, I just wanted to understand...you know, I got an answer. It had to do with the obligations si 1 was trying to understand if somebody does due diligence now with regards to understanding what is there and what has to be done, like those yellow tabs. [Yellow tabs indicate siqnatures of missing inventors] |
| Boehm: | Yeah, but after...I find everybody, we can get guys to sign. |
| Buchsbaum: | We aren't that many. I don't know on that sheet what you have, but I don't think there are that many names. There's what about five names? |
| Buchsbaum: | Therearen't that many...you don't have that many. I don't know on that sheet you have, I don't think there's that many names. |
| Boehm: | No, there's not. |
| Boehm: | So we have everybody but Jeff, if we can get Jude and zak. |
| Buchsbaum: | You just have to get people around and sign. |
| Boehm: | No, that should not be and issue. |


| Buchsbaum: | That might be questions brought up when people do do due diligence. Is everybody else on these? |
| :---: | :---: |
| Bernstein: | That's why we're closing it. Right? |
| Boehm: | We'll record what was in the patent office (..???) can do. |
| Utley: | The other piece that's not in any part of the original filings, which is the reduction of the technology to a disciplined process-the mathematical representations of what's in and how it works and stuff like that. |
| Wheeler: | (..???) |
| Buchsbaum: | That will also be included in there, right? |
| Utley: | We'll put it in the new filing... |
| Wheeler: | I form my opinion of everything, and we can talk about post solutions but I think Brian wants to get this back on track, but to me there's bad news and there's good news in this. The bad news is, just like anything in life, perhaps we would have liked to have tidied up some things better, like to have had Mr. Joao tidy them up. The good news is considering the state that the corporation was in in the early stages and the variable limited resources that it had, I'm glad that we have an awful lot on record that we do have on record, to be honest with you. |
| Simon: | As long as it's not to the detriment of what we thought we were filing, I have no...I couldn't agree with you more. |
| Wheeler: | But I think I like your approach, and I assume it's your approach, too, in that $I$ assume that you're doing a fairly comprehensive new one, but then you're going to probably. |
| Utley: | Claim priority back to the old one. |
| Wheeler: | Right, but you're also going to do your amendment because now we're finding out that it's not an uncommon procedure and it's not a red flag. |
| Utley: | Two things: the new filing on Wednesday will claim priority all the way back for as much as possible back to March $24^{\text {h }}$ last year. Second, we will look at the March $24^{\text {h }}$ Year 2000 filing and determine how we should amend that to include additional claims and broaden that filing so that it more fully represents the knowledge of the invention as of that time. |
| Bernstein: | Does it claim all the way back? |
| Wheeler: | It'll go all the way back. |
| Boehm: | as long as you don't go outside what was described |


| Bernstein: | No, the math is just describing the original invention. |
| :---: | :---: |
| Boehm: | We'll, I'll never know the answer to that until it's litigated. |
| Utley: | Due diligence. |
| Bernstein: | Right, but from your perspective here, that's what we're setting up. Correct? |
| Boehm: | We're going to try. |
| Bernstein: | Okay. |
| Boehm: | The question never even gets answered half the time in the real world. I will claim priority back on the document, and then if the examiner doesn't care, nobody cares |
| Bernstein: | It gets through. |
| Boehm: | It gets through. |
| Wheeler: | Would it be a fair assessment-I'm posing this more as a novice, not as an attorney here-since we're not at IBM and we don't sit down at the very beginning and work out all these equations and all that, that in an invention such as this by a Ma-and-Pa type of inventor, and now since we're getting into the nuts and bolts and really uncovering, in essence, what's behind it, as Brian dissected it as we moved along, but that's all we're doing? I mean, that Ma-and-Fa inventors do that as they go along? They add the flesh to the bones as they go along? |
| Boehm: | Boy, that happens, and we try not...we try to minimize the amount because if the flesh that you have to add is new subject matter and you've already sold your invention a year ago, you're dead. |
| Wheeler: | Well no, Let me at it a different way. It does this, but $I$ can't describe how it does this. But now we find out...we tell you what it does, now we're telling you in detail how it does it. |
| Boehm: | Yeah, in terms of we claimed it properly. |
| Wheeler: | So I'm not adding flesh in defense. |
| Simon: | New flesh. |
| Wheeler: | ...new flesh. I've got the box, now I'm disclosing what's in the box including the gears and how it works. |
| Bernstein: | No. |
| Utley: | No. Here's what the big difference is. The original filing claims a process for print film imaging. |



|  | distribution. But of that amount [ ] unless there's nothing to distribute. |
| :---: | :---: |
| Simon: | Not if one of the preferred stockholders has a collateralized position and the others don't. If one of these preferred stockholders... |
| Utley: | There's no stockholders that have a collateralized position. |
| Simon: | That's true. |
| Buchsbaum: | You're talking about the small amount of money, that have any value, it should be reasonable value, and those would be taken out anyway. |
| Simon: | Except that we seem to feel that we have an obligation to those, to protect the other stockholders who...had all good...I think its prudent anybody to ask permission |
| Buchsbaum: | A good way to do it is the way he said to do it, and that's to [?]. |
| Utley: | Will you look it up and see what it's going to take to do it? |
| Wheeler: | I'll coordinate that |
| Utley: | I'm not clear. What are we trying to do? Are we trying to provide for collateral for new money coming in, or are we trying to...? We're not trying to collateralize money which has already been... |
| Simon: | I don't know. Can you handle the old money the same way? I don't think so. |
| Wheeler: | We have to see. We might be able to consider it for the full amount in the view of the fact that if you had enough substantial new consideration, ... |
| Buchsbaum: | The problem is that you may have to go back to crossbow to do that, and you may be better off just to do it on subsequent money. |
| Simon: | Well, but to ask Don Kane to put up $\$ 10,000$ when he's got $\$ 160,000$ in the... $\$ 135,000$ in the company, and then he only gets $10 \%$... $\$ 10,000$ worth of consideration...I'd like to protect his whole $\$ 165,000$, which is what he has. |
| Buchsbaum: | The answer is you go back and |
| Utley: | I don't think you can do that because that's equity. It's in common stock. |
| rnstein | 's not equity. It's a loan |

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Bernstein: Don had the stock prior to his putting up the money. These
                are loans. There's $400,000 that's on the books. Then
                there's another $100,000 besides what he put in originally.
                Sal has a loan on the books of $25,000. Your guy should
                have had a loan on the books for $250,000.
Utley: No, that's equity. Okay.
Simon: At any rate, <tape cuts out[tape does not cut out on my
                                tape]>...While I got Chris here I'm going to take advantage
                                of his being here.
Simon: One of the issues we tried to do when we raised the last $80,000
        that came form Eliot's two friends Anderson and Mitch
        Welsch. [ ]
Bernstein: Ken Anderson.
Simon: It was my knowledge, according to Jerry, that those monies
                                    were to go to Eliot, and then Eliot was theoretically to
                                    loan the money to the company so that Eliot would have a
                                    loan on the books and he would have sold his stock because
                                    Eliot has some personal needs that he needs to accomplish
                                    as soon as we get funded or we get some money in here. I'm
                                    under the understanding again. It could be way off.
Bernstein: How do we work that out, Brian? The 10? A loan?
Utley: Yeah, that's better because otherwise you will get taxed.
Bernstein: Will they loan me $10,000 to pay the taxes?
Simon: Who loaned you?
Bernstein: The company just today?
Utley: So I took that as a loan?
Utley: Yes.
Bernstein: The money went to the company, which spent the money already-the
            stock money-from Ken and Mitch.
Simon: You haven't sold any of your stock?
Bernstein: No.
Simon: You just made an officer's loan.
Wheeler: Right.
Simon: Is that how you handle it?
Simon: You loan the loan back by some method at some point.
Bernstein: Right. Correct.
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| Boehm: |  | The one that's out there? |
| :---: | :---: | :---: |
| Utley: |  | Yes the PCT. Do we need to touch that? |
| Boehm: |  | No, no. There's a PCT and a US. |
| Utley: |  | Right. |
| Boehm: |  | The PCT, we will get a search back. In fact, we should get it in a month or so, and then you' ll decide what you want to do with that, what foreign country and possibly the Us, but he files the same thing basically in the US, and now it's in line in the US. |
| Utley: |  | Right, right. But what I'm saying is if the new filing that we make this week creates priority all the way back and embraces all of the teachings of the prior... |
| Boehm: |  | Zoom and pan stuff. |
| Utley: |  | Zoom and pan stuff, filings, do we need to go and modify and update and amend those earlier filings? |
| Boehm: |  | Those other two. |
| Buchsbaum: | That's | a good question would there be new recommendation? |
| Boehm: |  | It depends on two things. One is how quickly do you want to get the US for the new filing? This is a PCT that we're preparing right now. If we file the US right away with it, then it makes less difference. |
| Bernstein: | Less? |  |
| Boehm: |  | Less difference because he's in line sooner. That's all. It just depends on how soon you want to get your patent. |
| Bernstein: | Well, | we want to go for the sooner. |
| Utley: |  | The sooner the better. |
| Boehm: |  | The sooner the better then let me play with this |
| Bernstein: | Right. |  |
| Boehm: |  | Flus you're gonna get an office action back from the patent office on him... |
| Bernstein: | On tha |  |
| Boehm: |  | For free. There's nothing involved. |
| Bernstein: | Right, | but it doesn't claim anything. |
| Boehm: |  | I don't know yet. It claims...he'll get this blasted. It will will be rejected. |
| rnstein: | Yeah. |  |


| Boehm: | It will be rejected. The question is do we want to fix this, or where are we with the other things? So there's no decisions to be made now on this, it's just that do you want to file a US and a PCT? |
| :---: | :---: |
| Utley: | The answers yes |
| Boehm | Yes |
| Bernstein: | And we do want to fix the original work? |
| Boehm: | We can decide that later. |
| Bernstein: | Nell, why would we leave it unfixed? |
| Boehm: | Because you can't get two patents on the same thing. So if we fix this, you're not going to get it over here. |
| Bernstein: | But then we lose the date. |
| Buchsbaum: | No we don't. |
| Simon: | That's what he's saying. |
| Buchsbaum: | You really don't lose the date. |
| Wheeler: | So were not going to..??? |
| Utley: | Because he's claiming all the way back. |
| Boehm: | We may not. It depends on... |
| Bernstein: | May and less, these are words that scare me. |
| Boehm: | You don't like that, do you? |
| Bernstein: | No, I do not. |
| Boehm: | But I don't think this is the right time to make that decision now. |
| Utley: | What is the right time? |
| Boehm: | When we get some office action back on this patent. And when we hear from the patent office, we'll sit down say do we want to fix this, or do we want to fix this, or have we uncovered some killer Frior Art that blows this whole thing out of the water? You don't want to spend money right now if you can avoid it. |
| Wheeler: | We've never done a search, have we? |
| Boehm: | We did a search...I've done a search on...<Everyone talking at once.> on a dozen patents that really weren't on point. We didn't find any close Prior Art; and all I can tell these... |



| Boehm: | And from your investors because if $I$ was working for them... |
| :---: | :---: |
| Buchsbaum: | Let me put it another way. If you have somebody that will take this company and auction off the technology, okay? As it is existing...as it is unfolding, okay? And as the licenses come along. It's strategy. Some of these people bid on that. What are they really bidding on? It's potentials, right? Basically? |
| Boehrn: | Well, no, there's a present value of the technology. If you... |
| Buchsbaum: | Well, not if you don't have patents issued on it. |
| Boehm: | Well, sure there is. Sure there is. If he can get a royalty based on $2 \%$ of their products-or whatever it is-per minute, whether or not it is patented, absolutely. |
| Buchsbaum: | My question is at what point does it become...is the efficacy there significantly enough from the standpoint of others now that would be doing their own review. You know, like, say a firm that would do the option. They'd have their patent lawyers take a look at what you're doing to see if they think it has a real good value. At what point does that come along? Is it six or nine months from now, basically? Is that when that probably would start to unfold as far as having a real relevant potential value? I've been trying to get a general.. |
| Boehm: | I understand your question. I guess I would answer. |
| Buchsbaum: | General idea. |
| Boehm: | If your licensees are spending a lot of money. |
| Buchsbaum: | On your technology. |
| Boehm: | On your technology, they're going to have their patent attorneys right now, today, go do a search, and they will have a good indication. They may come up with frior Art that blows you out of the water. They may find nothing. They may not search it. They may say, we don't care about patents; it's the technology. |
| Buchsbaum: | Reality, though, this is not the...more likely six to nine months as some licenses start to unfold here and as things start to come back, and that's when this thing will start to have some relevance more than it does right now? From the standpoint of the... |
| Boehm: | That the patent will have relevance? |
| Buchsbaum: | No, no. The technology has a value that can be created in the marketplace and turned to bidding. |


| Wheeler: | Well, you can look at the technology as almost value added to the company. I mean, the company has worth because of the process and what we can provide and we can build it up. But it'll even astronomical more worth assuming that we have...that it's totally proprietary to ourselves. Now some companies have great technology that's proprietary to themselves, and it doesn't earn them money. For instance, Wang Laboratories went down the tubes. They had the best word processing, and they had the best of everything else. And, of course, a lot of their technology is licensed out there, as $I$ understand it, to VisionAire and to...they did the true ones, and... |
| :---: | :---: |
| Buchsbaum: | It's was also to get to the possible strategy for the company's investors, okay? |
| Utley: | Right. |
| Buchsbaum: | Or it may be at some point a window of huge value placed on this technology where you may take advantage of it. |
| Wheeler: | Well, and to our investors, we have said, and we can continue to say, we are attempting to create a pool of intellectual property and protect it. |
| Buchsbaum: | Okay. |
| Wheeler: | But there can be no assurances that this will withstand the test of time. |
| Boehm: | That is exactly it. And you never want even when it issues. You will get a good comfort level when you have a us patent issued in your hands. |
| Bernstein: | Why? |
| Boehm: | Because you've had an examination. |
| Buchsbaum: | Because you've got some review. |
| Boehm: | Because you have a presumption of validity. |
| Bernstein: | That's why I'd like to get that first one corrected because that's the first one that's going to be examined. |
| Boehm: | No, we've got one...oh, yeah, it is. It's the US. |
| Bernstein: | And therefore I want that to be approved. The investors are going to say... |
| Buchsbaum: | The first one that we're going to be issued will be issued in May. |
| Bernstein: | And the investors are going to say what happened to patent one. |


| Boehm: | $3 / 10$ of 2000 was when it was filed. Typically a year...they'll get around to it within a year. Maybe it'll issue in. 18 months to two years |
| :---: | :---: |
| Buchsbaum: | From right now or from then? |
| Boehm: | From 3/10. |
| Bernstein: | What is the process speed up? If you can show... |
| Boehm: | If you can show somebody's infringing, you can have an expedited examination; but that doesn't always buy you much time, and you really have to get into the patent office the first time, and I'm not sure we can do that. |
| Wheeler: | Wouldn't a good example of one way be that Apple had really great patents, and Microsoft was still able to come in and duplicate it, even though everyone knows they violated the hell out of the patent of Apple. |
| Boehm: | Um, hum. |
| Wheeler: | So I mean you could have a good patent and it could still go down the tubes. But another one I'm thinking of that did stand up was Folaroid had patents and Kodak tifed to come in and do everything to distinguish, and wasn't able to and got clobbered, right? And there's probably a lot of every variation in between. |
| Boehm: | Yeah. Wheeler: [Not in transcript this is strange here] |
| Wheeler: | Are those the two extremes? |
| Boehm: | Yeah, |
| Wheeler: | those would be the two extremes. |
| Utley: | Especially when it comes to method patents and software patents. |
| Wheeler: | Yeah, what was the first thing that Brian |
| Boehm: | ...and the more patents you have, the less chances. It's like putting out mine fields...less chances people to get around you. But if the original concept is broad enough and claimed right, Yeah, we can be okay. |
| Boehm: | But what, the test - I guess what you're asking for is when we have that first claim promised, probably within two years of when you filed, which is March 10, 2000, I would probably say |
| Utley <Inaudible | Doug come back, close it out again. comment.> |

Boehm: There were two points. One was the FCT and I got that in correct.

Buchsbaum: Right.

| Boehm: | The second point was everybody was saying you don't destroy documents. Lawyers do destroy documents; and in the patent realm, it is comon practice to get rid of all of our attorney notes, but it depends on what the practice is in your law firm and your corporation. Most patent attorneys who use this practice that I've seen, it happens after it issues. You never do it before. I don't even like to do it then. I like to do it after all the... |
| :---: | :---: |
| Bernstein: | I don't even understand why you're destroying it. If you've got nothing to hide and everything's on the up-and-up. |
| Boehm: | But throw in the concept that I'm leaving the law firm. Let's say I'm leaving the law firm, my notes, who's going to follow up and destroy my notes to benefit you, because I do want them six months from now. Maybe that's what he's doing. |
| Wheeler: | Yeah, he could have done it to protect you. He didn't want them around in the other office. |
| Bernstein: | I don't know. I don't know. I don't even know if he knew he was leaving then. |
| Boehm: | Now it's intentional! |
| Utley: | But I want to comeback were going to file FCT and US on the new one. We're going to wait for the old one to get kicked back; and when it gets kicked back by the examiners, we'll then determine how we want to amend it. Is that what you said? |
| Boehm: | No, I want to say something on that again. I think if you want a patent to pop quickly-if that's the goal, which sounds like it's a good goal-then, no, I think we should amend the claims with a preliminary amendment before the examination. |
| Utley: | A preliminary amendment? |
| Boehm: | A preliminary amendment. |
| Bernstein: | Encompassing everything we can throw in there? |
| Boehm: | Yeah, whatever support there is. But a preliminary amendment on whatever it is on the... |
| Bernstein: | So we're going back to the original |
| Boehm: | So I'll fix the 119 case yeah |

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Bernstein: March 3, 2000, to encompass what we've embraced.
Utley: When will you be in a position to recommend what that
    amendment will look like?
Bernstein: It should look a lot like the one we just did.
Boehm: Yeah, that's...
Bernstein: That's my guess.
Utley: When will you be in a position to...
Boehm: I'd have to...a few days...
Utley: About a week or so?
Boehm: Oh, Yeah, within a week, sure.
Bernstein: Okay. That's good.
<End of meeting.>
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Transcription of Patent Meeting<br>Conducted August 4, 2000<br>Participants:<br>Eliot Bernstein, Jim Armstrong, Brian Utley, Steven Becker, Simon Bernstein Docket 57103-120

Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified.

| Armstrong: | Bre me aures of all tiee mportant clates I know you probably are, but are we f Briam made aware of all of our deadines and contingencies relative to those deadines $\{$ that we that we're not left with...I was a little surprised that a final pack that's been in the works for a year, and I know you weren't involved for a year, but in the works for a year required that Eliot and I spent the entire night and morning reviewing it in order to get it done. |
| :---: | :---: |
| Bernstein: | What bothered me about that as well is that we'd go through the math, and then suddenly you have a document Brian sent you several days earlier regarding the math that has a bunch of changes in it, and none of that's in there. I mean, I don't understand that. |
| Berserametroma | :. . was changed from that document anyway. |
| Boehm: | Yeah, it was changed from that document anyway. I was working with Brian, who I thought was the master of that math, but... |
| Bernstein: | But he had sent you an updated ar math three days earlier that didn't appear in the final document that we were trying to... |
| Boehm: | Okay, I don't know. Steve was handing that. I don't know whether...you know, Steve says he did put it in there, but then I don't... |
| Bernstein: | But then we go through the document that we're filing, and it's not there. |
| Boehrn: | Okay, but we were on the third draft when I took it over. You quys had opportunities like crazy to... |
| Bernstein: | But that's the thing. Brian had sent it to you earlier, and it still wasn't appearing in final drafts. |
| Boehm: | If that's true, then something crossed in the email because steve said he put it in there, and maybe there's a piece of the math missing between the crossing the emails. You're right in terms of... |
| Bernstein: | Is Steve there? |
| Boehm: | I don't know. He probably is. |
| Bernstein: | And then my other question is quite a simple question my dad asked about electrical engineers being mathematicians and said, "Didn't they sit and pencil out the math of all this themselves?" |
| Boehm: | Wh, huh. Here's what happened on that. Steve was filling the application. We worked with Brian and you, Eliot, on the application. In some of the letters and emails he said that he doesn't understand the math. |
| Bernstein: | I'm not getting any of those. |
| Boehm: | Huh? |
| Bernstein: | I'm not getting any of those emails. |


| Boehm: | Well, then, talk to Brian because we were corresponding with Brian on that, and I don't know why you weren't getting it if that was the case, and I don't know which letter went to who, blah, blah, blah, but I do know that we mentioned that we didn't understand the math, and we were up to the third draft, if I recall; and you're right, Jim, that it shouldn't have taken...it shouldn't have been last minute and you should have had time to do it. I totally agree, but I can't take total blame for that... |
| :---: | :---: |
| Bernstein: | But wait a minute. Steve has fundemental errors on understanding the math, and yet we're going to file it with him having math problems? |
| Boehm: | It's your duty to either help us to understand. |
| Bernstein: | But then I've got a point. We did help you. We sat on the phone for an entire day, walked through this... |
| Boehm: | The day of the filing you mean? |
| Bernstein: | And if this math is still wrong, I mean, there's something really fundamentally wrong here. |
| Armstrong: | Let me check it again. |
| Bernstein: | Yeah, let us call you back in a while. Is Steve in today, too? |
| Armst rong: | I didn't get involved until miednesday. |
| Boehm: | Right. |
| Armst rong: Boehm: | I'll tell you one thing, Doug, that you should do as just a matter of course going forward. Eliot being the owiner of the company and the person that Brian reports to is any future email correspondence should always be copied to him. That's kind of just a standard practice we all do in the company. <br> To copy? |
| Armstrong: | Yeah. |
| Boehm: | Okay. I didn't know that. |
| Bernstein: | You ask me to review and sign these patents, and you' re not sending me information. what do you mean. |
| Armstrong: | I think had we known that there was a question of validating Brian's math, Eliot would have brought me in a lot earlier. |
| Bernstein: | I would have brought a mathematician in. I mean, this is ridiculous. |
| Armstrong: | Yeah, I'm just a friend that's good at math, not a mathematician. |
| Boehm: | Right, well. |
| Armstrong: | Go to your meeting. we're going to check theis patent fatatout, and we'll talk to you letter. |
| Boehm: | Well, you've got to talk to Brian, too. |
| Armstrong: | Yeah. |
| Bernstein: | I think because I now seriously have to report a lot of things to a board of people that wie're going to have to have a meeting at some point either today or Monday with a few of the key people in the company who are investors, etc., so that they understand what they are investing or not investina in. <br> ellot <br> आMOUO4 2.15.24 AM |
| Eecteximstrong | : Note |
| Bernstein: | No, Boehm difected to capy company onpatents and other matters |


| Boehm: | If what's correct? |
| :---: | :---: |
| Armst rong: | If he's correct about the math being wrong, but let's check it.. |
| Boehm: | No, I'll bet we could get a good patent if the math is totally wrong. I think we're barking up a tree here that's not a big wall. |
| Bernstein: | But wait a minute. The question is if it still remains wrong and we gave you the right changes, it should have been filed right. All the sudden I'm left with a patent that... |
| Boehm: | Okay, talk to Brian. |
| Bernstein: | I will. |
| Boehm: | Brian gave me the right changes. I filed what Brian gave me. |
| Bernstein: | Okay. |
| Armst rong: | Okay. |
| Boehm: | And I don't mean to...you know. . yell ont of that, but that's what happened. |
| Bernstein: | That's no problem. I totally hear that. |
| Armst rong: | Thanks, Doug. |
| Boehm: | Okay. Talk to you Monday. |
| <Hang up phon | $>$ |
| Bernstein: | 8/4/2000. 8:30 Doug Boehm conference call. Jim Armstrong, Eliot Bemmstein. Steve, Jim, everybody, I'm taping the conversation, 8/4/ patent discussion regarding Docket 57103-120 with Brian Utley, Steve Becker, Jim Armstrong, Si Bernstein, and Eliot. Okay, guys. |
| Becker: | [ ], too, if that's all right with everybody. |
| Bernstein: | Yeah, did you get the fax from Jim? |
| Becker: | I haven't received it yet. |
| Armst rong: | It was sent actually to Doug on the "co" line, but to a machine at 297-4900. |
| Becker: | That's right. It'll go to our central fax department, and I just phoned up there and asked them to deliver that to me when it comes in. |
| Bernstein: | Okay, but you've got the patent in front of you? |
| Becker: | $I$ don't. I don't, but 1 can get it. |
| Bernstein: | Okay, well, let's do that. |
| $\begin{aligned} & \text { Becker: } \\ & \text { Bernstein: } \end{aligned}$ | Okay. I'll need a minute. I've got to go over the Doug's office. okay. |
| Armstrong: | The fax is on its way to you now. |
| pesxessimon: | It's on the way to me? |
| Armstrong: | Yeah. |
| Becrexsimon: | Okay, then I'm going to put you guys on hold... |
| Armstrong: | It's not done yet. |
| Becker: | Well, I've got to go upstairs and get it, so hold on. |
| Armstrong: | Never a dull moment. |



| Becker: | No, what I did was I faxed the draft over on Monday night, which incorporated some additional disclosure that Brian had sent. Basically, it was examples. It had the equations set out for both print film and digital examples, and then he had three examples for print film and one example for digital, and I essentially...I exactly basically cut and pasted that into the application. |
| :---: | :---: |
| Bernstein: | Well, the application we got from Doug didn't have any of that we and paste becuage mat it had was the old atuf and Erain weforred wo havno sent Fhis to yo zevera deve earlier end yet itwert therere. |
| WISSTHE SECTUON GO BAXE |  |
| Becker: | I don't really know because at that point Doug was down the re with you guys, and I presumed you wiere reviewing it on like Tuesday and Wednesday. And the Doug said he would take care of just...because we figured there would just be some minor changes after we'd incorporate all of that. |
| Bernstein: | Well, it wasn't incorporated, so there were huge changes. |
| Becker: | Oh. |
| Bernstein: | And it would have been filed completely wrong had it not been for Jim Armstrong reviewing it. Everybody would have nodded off on this and accepted wrong, completely wrong, filings. |
| Becker: | Maybe he should be part of this conversation. |
| Bernstein: | He's on this conversation. |
| Becker: | Oh, good. Hi, Brian. |
| Utley: | Hi, Steve. |
| Bernstein: | Brian's here and Jim Armstrong's here. |
| Becker: | Okay. Wiell, the only link we're missing here is Doug because Doug took the last few steps of incorporating comments and actually filing the application on Wednesday. |
| Bernstein: | Hey, FB... 耳ु-man, forward him a copy of the final draft, would you? |
| Armstrong: | And that, Steve, I think the most important question to have answered is what are our rights and oblilgations and opportunities relative to correcting this without any ill effects to us? |
| Becker: | Yeah. There's plenty of opportunity essentially. We can file...if there are substantial errors in the application as it was filed, we can simply file a new application as soon as we get those fixed either on Monday or Tuesday or what have you. The goal of filing on mednesday was to maintain priority back to the provisional application, which was filed a year ago. |
| Bernstein: | So, did we lose that if they' re wrong? |
| Becker: | No, because we can only claim priority back to the extent that the subject matter was originally disclosed in the provisional filing of August $2^{\text {nd }}$ of last year, and none of these equations were filed back then. |
| Bernstein: | But the original process was. |
| Becker: | Right. And the original process is try Jprearyyed in the application. We're just talking about the details of the math examples that are in here. So we haven't lost anything. |
| Bernstein: | Will we lose claiming back to the priority of the original provisional? So we did lose something, or am I incorrect in what I'm hearing. |
| Becker: | Feat, No, we didn't lose...the original provisional can only provide priority for what was originally disclosed, and the math was not originally disclosed, right? |



|  | The claims can be amended as long as they are still fully supported by the matter that's in the specification that's originally filed. Now, if you want to change your claims and they' re not supported by the specification as originally filed, then you have to file a whole new application adding new matter to your specification that will support those claims. |
| :---: | :---: |
| Armet rong: | Does the fact that a direct interpretation of what in general amounts to typos and oversights, but a direct interpretation of that affect our ability to change that supporting matter of that matter? Because if we directly interpret the math in the certain circumstances here, it will bring you to a wrong conclusion if it's a direct interpretation without having to reverse met and |
| Becker: | Well, I see. Then we need to get the math right, but it doesn't affect our priority. Only by a few days essentially. |
| Bernstein: | Well, do we lose the ability to claim priority to what we were trying to claim here... |
| Becker: | No. |
| Bernstein: | ...by that date? So you can go back in and change the matter of this? |
| Becker: | You don't go back and change the matter, you just file a new application which claims priority back to a prior application only for the subject matter that was... |
| Bernstein: | But we missed that application. |
| Becker: | No, we've got it in the form of this continuation, or this PCT, that we filed claiming priority back to that patent application. So we've preserved that chain of priority. |
| Armstrong: | Are you then completely confident that errors that we need to correct right now then are not going to hurt us in any way, shape, or form as being able to claim as part of our invention all of the correct things that we want in there? |
| S. Bernstein: | That's what I heard at that meeting, that we could go back and re-do that at a later date without having any implication. |
| Bernstein: | As long as it wasn't new subject matter. |
| S. Bernstein: | Exactly right. These are just corrections to the... |
| Bernstein: | They' re corrections, they' re math, whatever. |
| S. Bernstein: | Okay, but we're not saying this is a new way to get to that. |
| Bernstein: | No. |
| S. Bernstein: | Okay, that's what I heard. That's the notes I took. Eliot, you should have that on the tape recorder so that we know that. |
| Armstrong: | Well, we do, and that would also support, I think, another issue, which is that we now have to go through the refiling of something else which was originally corrected several days ago and was somehow ignored so that this whole refiling shouldn't even cost $u s$ anything. |
| Bernstein: | Well, and beyond that, Doug <sic>, what I'd like to really get down to is a letter from you, in writing, explaining all of my, you know, both from the Ray [Joa] patent forward, and I think you need to talk to Doug about it, of what our potential pitfalls are here with these filing errors, what our potential pitfalls are, what it caused to happen with that priority, priority equals, and if there's any ham to us. Because we keep just slipping back by these things. This should have been right. I mean, we have well documented, and Brian's well documented, that these changes were sent, and now we've missed a priority claim to that by not being able to go back and change our last filing. I need to know the liability here. |


| Becker: | You know, I kas not there on wednesday night. Erian talked to Doug on this and then made final changes, and then... |
| :---: | :---: |
| Utley: | Yeah, Dong sent me a next-to-last copy, which I went through and there were a number of errors-I have my notes on each one of those at home-and then I reviewed each one of those with Doug, agreed on what they were, and then Doug was going to send me the last copy, which apparently he didn't because I never received it. At that point in time, it was, I guess, about 11:30 or 11:45 our time. |
| Bernstein: | And these were also discussed in great length with him for a whole day on the phone. |
| S. Bernstein: | Yes, well, how about in the.. |
| Bernstein: | No, no, Dad, this is separate. But at great length this was discussed, every one of these changes. |
| Becker: | The changes you sent me here, is this Brian's handwriting? |
| Utley: | No, some of it isn't. Ism't correct. |
| Bernstein: | Well, let's go through it because I'd like to... |
| Armetrong: | Yeah, let's go through it. |
| Becker: | I don't know if that's going to help that much because it's a question of what actually was filed and whether it incorporated the changes that Brian asked for the last minute. |
| Bernstein: | It didn't. |
| Armstrong: | We know that. This is what was filed. |
| Becker: | Brian, didn't you just say that Doug didn't send you the final draft of what was filed? |
| Bernstein: | He did it the next day. |
| Becker: | Oh, he did the next day? |
|  | tei.: Yeah, Jim, can you forward that to Steve real quick? |
| Armstrong: | What? |
| Bernstein: | Email it to him...the final draft? |
| Armstrong: | Yeah. |
| Becker: | Well, I'm not going to question... |
| Bernstein: | Okay, but we need to go through and get the changes acknowledged, accepted, have you put it into the next whatever you' re going to do to solve this, with a letter explaining what we've lost here. |
| Becker: | All right. |
| Bernstein: | Okay. Any liability, potential liability where we' re exposed to from this. |
| Becker: | Oh, I wouldn't worry about it. You guys are making a mountain... |
| Bernstein: | Well, you know, I gotta tell you, I worry a lot about it from what Doug told us. So, you know what I mean? You tell me not to worry, but then you tell me it's very important that we're accurate in this filing; and then we're very inaccurate in the filing, and then we're not supposed to worry. I'll feel much better not worrying with a letter from you explaining why $I$ shouldn't worry. |
| Armstrong: | Steve, what's at your email? |
| Becker: | Sbeckerofoleylaw.com. |


| Armstrong: | Sbecker? |
| :---: | :---: |
| Becker: | Yeah, "S" as in Steven, "becker." |
| Armstrong: | Got it. |
| Bernstein: | Okay. Let's just go through this with you, Steve, so we can get the next step done. |
| Becker: | All right. |
| Bernstein: | Which is correcting the issues. Are you with us on page 13 ? |
| Becker: | Right. |
| Bernstein: | Okay. Jim? |
| Anmstrong: | On page 13, 1ine 19, the expression of $V W H$ should follow the way we express it in our definitions, which is VIH. Even though the two are equal, let's just follow the way that we have it expressed in our definitions on page 12 . |
| Becker: | Oh, I see. Okay. |
| Armstrong: | Then on line 23, each of those expressions is not congruent with the way we've defined them. Despite the fact that we arrive at the same results, it doesn't apply the formula in exactly the same way. So for a reader, it ought to be the same. So for line 23 , it should be the "square root of 2,560,000 times 1.25." |
| Becker: | All right. |
| Armstrong: | Okay. Not "2560 divided by .8." |
| Becker: | Okay. |
| Armstrong: | On line 24, it ought to be "1789 divided by 1.25." |
| Becker: | I see. Okay. |
| Armst rong: | Then on line 25 , it ought to be "1441 divided by 4." Again, the results are the same; the expressions are not. |
| Utley: | Nom, ¢m that last one, Jim, it's correct. |
| Armetrong: | It's what? |
| Utley: | The scan density is 1789 divided by 5. |
| Armstrong: | Okay, hold on. Scan density is defined by us as being... where the heck is it... oh, it's right up above..."target image height..." right up above on line 7..."minimum scan density is target image height," which in this case we just defined to be 1431... |
| Utley: | Where are you reading from? |
| Armstrong: | Line 7 of the same page. Line 7, page 13. So target image height is 1431 divided by the source image height, which is 4, so it should be 1431 divided by 4. |
| Utley: | Well, the... We al I quess that that equation, "MSD equals $T I H / S I H, "$ did not come from my documentation. |
| Pratamamatara | Hold on, let me look at this documentation. I've got it right here, too. |
| Bernstein: | Well, Steve, you have copies of this, too, that were sent to you... |
| Becker: | Right. |


| Bernstein: | .. Of what Brian's looking at, several days ago. So how isn't this stuff flowing Eorward into the patents, especially when we pointed it out two times before filing? I mean, I'm just dumbfounded at this. |
| :---: | :---: |
| Utley: | There was a change, Steve, which you were not involved... |
| Becker: | The proper equations, fixat wasn't there the last night when the last changes were put in, so I can't really speak to it. |
| Bernstein: | No, but he sent you his changes several days ago. |
| Utley: | Steve, there was a change that we decided on wis on whednesday afternoon, which was to reflect aspect ratio as width divided by height, which wh $I$ wh made, and that was created by the desire to reflect aspect ratio the way that displays are wil bh expressed as opposed to the way photographic images are expressed. Photographic images are expressed the opposite way, and that's the way the documentation min whad been originally prepared. But it was thought that it was wh perhaps more consistent with current technology to express it the way that displays are expressed. So I went through and changed... |
| Becker: |  |
| Utley: | Yes. So that caused the equations to be reconstructed to reflect the nin inverse of what was there before because the affect ratio now is inverted. |
| Becker: | I see. |
| Utley: | And what happened was Doug apparently did not pick up all of those changes, even though I went through them very methodically the last thing whednesday night when he sent me fifmen his mhalmost-final draft. |
| Becker: | I see. |
| Utley: | Wh And uh, Jim, just for your uh edification, that also affected the MSD shifting from a height to a width orientation. The mumber is the same, but it changed it from a height to a width. |
| Armetrong: | So what's the correct formula for MSD? |
| Utley: | It's TIW/SIW. |
| Armetrong: | Okay. |
| Bernstein: | So, you made this change with Doug, and it's still wrong in the patent? |
| Utiey; | Fictt |
| S. Bernstein: | I'm a little concerned about the proficiency of the legal aspect of this. We sat there for hours, and then Brian stayed late into the right with this guy, and then he comes back and we don't file it right anyway? It seems like there's something wrong here. I mean, ... |
| Bernstein: | I mean this is, yeah... |
| S. Bermstein: | I mean, I'm just budding in because I have little or no knowledge as to what the numbers mean, $I^{\prime} m$ just listening to a conversation in which I'm hearing is that after four or five hours in a room locked together with lawyers and everybody else, we reach an agreement that those changes will be made. Now, my understanding is Brian stayed and made those changes, and then the lawyer didn't file the changes? what's the sense of that? |
| Bernstein: | These are good points. Let's move forward, Jim. |
| S. Bernstein: | These are points that have to go back to stockholders with money invested. |
| Bernstein: | That's why I've asked Steve to send us a letter of what's happening, what our exposure is, by Monday or Tuesday, explaining how this didn't occur, get in, and what we're going to do to resolve it, and what that resolve initiates in the chain of events. |


| S. | Well, the other side of it is this. If after all of this precaution has been taken-and Brian, you can correct me if you think different-but after all of this precaution has been taken, it appears that the fallacy of worrying about it ever gets accomplished. Brian stays, everybody works on it, it's still filed wrong. Now what if Jim Armstrong hadn't caught it. Brian was on a plane today... |
| :---: | :---: |
| Bernstein: | Then none of Brian's changes even sent several days ago even would have even been in there. Math would have been wrong, equations would have been wrong, verbiage would have been wrong. |
| S. Bermstein: | Am I right, Brian, in having this concern? |
| Utley: | G Well, yeah, obviously it's ma clearly $\qquad$ 1 a major concern because there's nothing more disciplined than the $\qquad$ mathematical expressions. |
| S. Bermstein: | And you're comfortable that what you did, even if some of them were wrong, that we could have later corrected... |
| Bernstein: | No, Dad, we sat here with Brian and Jim and Dollg, and we went through it, and we all agreed it was right, and those changes do not appear. |
| Utley: | No, we...wh wh |
| S. Bermstein: | That makes me very nervous. Well, it makes me nervous to the extent that are all of the other patents done right? |
| Bernstein: | Well, that's what I'm...I'm going to start having somebody review all of this. I mean, obviously there's...it opens up a whole can of worms. |
| S. Bernstein: | Well, the other thing that $I$ heard was-and not negatively or anything else-but I heard that perhaps Ray [Joa" did this work and he was either concerned about it being a bit sloppy, blah, blah, blah, blah. What is the excuse for this law firm? |
| Bernstein: | Well, let them write us what's happened here. I mean, I definitely need to see on paper, steve, some kind of report on this. That it describes what occurred, why it's not reflected in the patent filings, and what our exposures are, and that'll tell us what we're dealing with in firm, etc., liabilities. I mean, we don't know that. |
| Armstrong: | We should continue to look at the changes so that he's copy that reflects everything. |
| S. Bernstein: | Well, even if there is no liability, what I'm still concerned about, even if it can be corrected, it's the exact same position-Brian, am I right?-that we found ourselves in with the last lawyer who did it. Okay, thank God we can make changes, but that isn't the answer. why not just get it right, get it filed... |
| Bernstein: | No, don't just say thank God we can make changes, Dad, because all of that brings additional liability to you. You miss dates, you miss claiming, you miss this and that-words that are very tricky and confusing, and only these guys can understand. So that's why I need it to be put in writing so I can have it analyzed... |
| S. Bermstein: | Absolutely, I want it definitely, because I need to take it...you know, I need to have board member approval... |
| Bernstein: | Oh, I think our board is going to be disastrous with this stuff about several things when we take this to them. And we need to know from the Ray [Joac\} level to the Foley-[Lardrer] level, how this is going to be cleared up and what the problems were that occurred. |
| S. Bermstein: | Okay, let's get that part in process; and it's unfortunate that Doug's not here because maybe it's something he could explain. |


| Bernstein: | No, I talked to him this morming; and as a matter of fact, he said steve had the math Erom Brian days before and by the time he got it, he thought it was all input correctly, and that was his excuse. |
| :---: | :---: |
| S. Bernstein: | Well, what was he doing here with Brian? |
| Bernstein: | Well, then we spent a whole day with him correcting it all so that it was right; and then by filing time, none of it was right. So, let's go forward. Let's just stay on track. We'll deal with all of these issues on Monday. |
| Utley: | Uh I just say one thing. Wh Foxtatately, wh in't jaou The most important part of the math is all of the definitions. The examples are examples; but the most important part of the math $\qquad$ the are the definitions. |
| S beckeasernet | ¢n: Okay, are those right? |
| Armetrong: |  13... |
| Bernstein: | Is wrong. |
| Armstrong: | Is wrong. It should read... |
| Bernstein: | ..."[ ] equals TIW/SIm." |
| Utley: | They are mathematically dia equal. Both will give the same results. Eio It's a al consistency question as opposed to an accuracy question. |
| S. Bernstein: | And for a reader, it would probably be easier to be consistent. |
| Utley: | Absolutely. |
| S. Bernstein: | That's what we want. As long as we're spending all of this money and everybody's devoting their time to it, we want it to right-as right as you can possibly get it at any rate. |
| Bernstein: | Okay, Dad, let's move forward. |
| Armetrong: | That changes one thing on line 25. The expression on line 25 is now correct as it was typed, so scratch ont my handwiriting. Okay? All the other corrections stand as I explained them earlier. Now, on the last line of this page, that should read: "480 x 320. ." |
| Utley: | That's correct. |
| Armetrong: | Okay. Then on line 6 of page 14, I think we should consistently state which is width and which number is height becalse it's such an important distinction in the calculations. wie did it on the previous example, but not on this one. |
| Berneteim: | Tate then is midth heswt |
| amatromes | Whathe [f beigutas a |
| Utley: | And that is what we had agreed upon on Wednesday afternoon. |
| Bernetein: | Qiat fhat chemaes aubur a minute |
| Armetrong: | Okay. Line 17, again we're just missing that square root symbol in order to make that equation work. Without the square root, it's millions instead of thousands. Now, in line 19, I had originally indicated this was correct; it's now incorrect because of our change in the formula for the density for the maximum scan density. |
| Bernstein: | Steve, are you getting all of these? |
| Becker: | Yep. |
| Armstrong: | This should now read in line 19: "1789 divided by 5 equals 358." |

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Becker: "1789 divided by 5 equals 358?"
Armstrong: Yes.
Becker: All right.
S. Bernstein: Steve, I have a question to ask you.
Becker: Yes.
S. Bermstein: when Jim or Brian or anybody gives you these numbers, are they checked out by
    anybody, or do you just copy what we say and that's it?
Bernstein: No, they definitely don't copy what we say. That's an initial problem here, Dad.
S. Bernstein: Okav, I don't mean to be sarcastic.
Bernstein: No, but they would nomally as mathematical people add up the equations.
S. Bernstein: Yeah, because your partner was telling me that most patent lawyers are engineers,
    which would lead me to believe that somebody would say, "well, I better
    check the math to make sure that guys who are not engineers know what the
    hell they're talking about." Is that done by your firm, or is it just
    accepted as gospel what we give you?
Becker: We don't have engineers or technical people check the math that you provide us.
S. Bemstein: Okay, so what we provide you, then, we live and die by?
Becker: Okay. Your job is to get that right.
Bernstein: Right, but what we did give you, you didn't provide in the patent.
S. Bernstein: Okay, we're trying to say the same thing.
Bernstein: Okay.
Armstrong: Let's just get it right.
8. Pegmstein: At this point we're only interested in getting it right.
Ambtronc: Line 27, that should be "36@H" for the height.
Bernstein: Which page?
Armstrong: Line 14, third-to-last line of the page.
Bernstein: Okay.
Armstrong: Now we're onto page 15. Again, we just need that square root symbol as indicated
                        there.
Becker: Okay.
Armstrong: Then there is nothing on the next few pages until we get to page 18, this is an
                important omission for our calculation standpoint, but we need that square
        root symbol.
Becker: Okay.
Armstrong: Then I'm going to skip for a second this discussion on minimum scan density here
                        because I want to talk to...go with Brian's comments, too, but on line 10,
        the correct figure is "l.33 equals l.33."
Becker: Okay.
Utley: Yeah, that wasn't picked up from the other...from above, the aspect ratio.
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| Armstrong: | Line 15 , the square root symbol again is missing from that sance equation. And then finally, I don't see why, in this example, or any digital example where we have no scanning to do, why we should even include any reference to minimum scan density because the only application of scanning in a digital world is if we were to print a digital photograph and later scan it, in which case we'd follow the print formulas, not the digital formulas. So, my suggestion here is that we change the sentence, beginning on line one, to end after the word "dimensions"...actually, strike the words "and minimum scan density" and also to eliminate line 23. Do you agree, Brian, that there's no reason to have that there? |
| :---: | :---: |
| Utley: | It certainly doesn't edd anything. Uh It doesn't ut yr subtract anything. |
| Armetrong: | It just added confusion to me as a reader when I thought, "How do I calculate that?" and then realized it's not...we' re not scanning anyway. why ask someone to determine something that is not included as a step of the process? So I think if everyone agrees, we should strike the words "...and minimum scan density" in line $l$ and $2 .$. |
| Utley: | No, what I would do, I wouldn't do that. What I would do is simply say, "...image size and dimensions" and then add a new sentence which says, "Minimum scan density is not required since we are dealing with a digital image." |
| Armet rong: | That's fine, too. Then let's strike line 23. |
| Utley: | No, I'd leave that in. |
| Armstrong: | It's redundant, but that's okay. Do you see any other problems with the formulas? Did you review all of this again today, Brian? |
| Utley: | Ma Ife Jet wh fre not reviewed anything today. I wasn't aware of the problems until about three minutes ago. |
| Armst rong: | Okay. So that covers my comments on that. |
| Bernstein: | And, Steve, do me a favor. When you guys draft this letter, draft it to si and Brian. Okay? I'd like to be cc:'d on...and by the way, I'd like to be cc:'d on any correspondence of anybady to do with the patents. |
| Becker: | Okay. |
| Bernstein: | One last thing. Doug mentioned that you had a file fron Erian, a spreadsheet that part of the spreadsheet matter is not incorporated in here. He didn't know why...he couldn't explain why. I was wondering what that matter is, and where is it? Are you aware of that? Because he referred to you. |
| Becker: | Dis newo me? |
| Bernstein: | Yes. |
| Utley: | Wh bih That's probably the image sizing spreadsheet. |
| Becker: | Image sizing? |
| Utlev: | Yeah, I sent you two files on Monday. |
| Becker: | Okay. Actually, you sent three all together. Oh, you sent three emails, and then the last one had two of them. |
| Utley: | Right, the last one had two files: both the image sizing and the process. |
| Becker: | Oh, you'fave got the macro, and then you've also got the description of the math. Now, what did you want included that wasn't? |
| Bernstein: | Well, Doug said it should have been included, but it wasn't...the rest of that sheet. |
| Becker: | What? |
| Bernstein: | I don't know. Whichever half's missing. |


| Armstrong: | Hold on one second...I don't want to confuse Steve. We do not want you to cut and paste out of those documents into thige patent filing. Those documents do not reflect the way we want to express the math. |
| :---: | :---: |
| Bernstein: | Right, but we might want them in there, B , correctly. |
| Armstrong: | What? |
| Bernstein: | We might want them jn thejs coryectiv.. |
| Armstrong: | 9. employs all of the changes we just all agreed to... |
| Bernstein: | No, but there's another sheet that's not reflected here. |
| Armstrong: | Well, yes, I do want to talk about that. The macro, right? |
| Bernstein: | Right. Can you forward that file to us-the Excel sheet-to Jim, me. |
| Armst rong: | Just have Steve forwarded the whole email back to you. |
| Bernstein: | Well, he doesn't have it in front of him, and Erian's got it right here. |
| Utley: | No, I sent it to you. You were copied on it. |
| Bernstein: | Okay. Let's just get the most up to date...any changes. |
| Becker: | Yeah, Brian, remember, we made a decision not to file the claims directed to your macro-we made that decision last...a week before the... |
| Bernstein: | Why? |
| Becker: | Because it was going to involve some additional work, and we didn't have time at that point; and it was all new matter that wasn't going to claim priority to anything, so... |
| Bernstein: | Well, what's new matter? If the math is part of describing the invention, then it's not new matter, according to what Doug's told me four times now. |
| Becker: | Well, Eliot, as you recall, you always have to look at the claims of the application, and that defines the scope of your protection. The claims will also define...also have to be supported by the specifications. We were going to direct claims to the idea of using...of having a macro program, which is useful as a tool, to do these calculations in a rather simple process. |
| Bernstein: | Okay, that's fine if you want to just claim a macro. That does it as a simplified process and add that as an additional patent for us, but the underlying math of it should all be applicable to the invention since it's just derived off the invention. |
| Becker: | Yeah, math... |
| Bernstein: | So it's not new matter, it's just an understanding of the matter. I mean, I swear we went through this four times the other day with that conclusion. |
| Becker: | There are two files that Brian sent me. One of them was an Excel spreadsheet having six pages, and all of that material was included in the application in pretty much cut-and-paste format. His pages 2, 3, 4, and 6 were the examples, which I just cut and pasted as soon as I got them from Brian because they defined it all very particularly. |
| Bernstein: | Okay, now you need to get back your record of that because $2,3,4,5$, and 6 that Brian is sitting here showing me, were never in these patents yesterday. So cutting and paste, you must have put them in the wrong document. |
| Utley: | Those are the examples. |
| Bernstein: | But those weren't...that's not what ended up in there. 15 |


| Utley: | They pulled these pictures out and put them as a $\qquad$ figure sheet on the back, un and then whe we mentered... |
| :---: | :---: |
| Bernstein: | Wrong math. |
| Utley: | ...the formulas in the body of the... |
| Armstrong: | Hey, right. B, are those images...are you looking at the figures? Are all of these figures in the patent application. |
| Utley: | We should be on figure 7 . |
| Bernstein: | Steve, figure 7? |
| Becker: | Okay. |
| Bernstein: | Are you looking at it? |
| Becker: | Not in front of me, but I recall writing it. |
| Bernstein: | Jim, figure 7, what do you see? |
| Armstrong: |  patent application, that $I$ was mailedint $\mid$. |
| Bernstein: | It's not part of that Einal patent? |
| Armet rong: | I don't know about that, but it didn't come as part of that word document. |
| Bernstein: | Maste wast I just eert you, fthat's supposed to be the final revision of the patent. |
| Becker: | We have to scan the drawings into a word document; so if you just mailed the word document, you probably didn't get any figures yet. |
| Ematronc: | Probably the figures were left off of that ei.m |
| Bernstein: | Okay, do you have your patent application? |
| Armstrong: | I've go the one we reviewed on Tuesday dedinesiay. |
| Bernstein: | And what's in there? |
| Armst rong : | All the figures. |
| Bernstein: | Right or wrong? |
| Armstrong: | You know, I don't know. I didn't...Brian, was figure 7 changed at all with the restatement of our aspect ratio? |
| Utley: | Yefl There were some additions that I made for clarification purposes. Ur If you look at the first page of the imaging process, where it says, ali the third box down, it says "viewing image," wh inserted wh "SIR less than DwR" to tie it to the equation above it. And then in the one, the bottom $\qquad$ it has the expression "sIR greater than BwR," again, $\qquad$ tie it to the equation above it. |
| Armstrong: | Yeah, because those two don't have a distinction, figure 7 as it is now. |
| Utley: | Right. So that simply ties the image to the equation. |
| Armstrong: | So do they have...have you sent them an updated amendment? |
| Jtley: | Yeah, that went out wh late mednesday afternoon. |
| Armstrong: | Okay, we've just got to make sure that the corrected figure 7. |
| Bernstein: | Steve, can you fax us the filec patent? |
| Becker: | No, I can't find it. I guess Doug took care of this from... |



| Armstrong: | Yes, page 22 in my printed on. |
| :---: | :---: |
| Becker: | Okay. |
| Bernstein: | Okay, hold on one second because I want to get my notes. |
| $y+7 \mathrm{ys}$, 5emi | Ein: What page is that, Jim? |
| Armst rong: | Pase 22 You don't have it, fixab |
| S. Bermstein: | Because I don't have 22 . |
| Armstrong: | Want me to fax it.. email it to you? |
| S. Bermstein: | No, that's okay, he's going to explain it to me. I want to see if I can't understand this. |
| Becker: | Sure. It's very sort claims, seven lines long. It actually defines the scope of the patent protection that we are trying to obtain in this filing. |
| Armstrong: | Who are we waiting for, Eliot? |
| Becker: | I titambelieve so. |
| Bernstein: | Yeal I'm up front. We're waiting for Brian again. |
| Becker: | Let me know when you're ready. |
| Bernstein: | Okay, Steve, Brian stepped out for a minute, but I still want to address this issue. We invent something. I hire a mathematician. The mathematician solves the $X, Y$, and $Z$ of the invention. Does he claim a new patent for himself? |
| Becker: | Probably not. [Inventorship] typically follows with the conception of invention. If somebody else figures out how it was done, generally speaking that would. |
| Bernstein: | Well, I want to be very gls aleax on this because Doug's thinking...I don't even know if then the next statement is correct or incorrect, but if a macro was created using the math that comes from the imvention, where does it Eollow? Brian, I just asked him, if I hired a mathematician to do the math, put all of this into a thing, where does this follow. He says the invention, the inventor, etc. The guy you hired to do math wouldn't claim a new patent or a new invention, which is confusing to me because Doug now, as of this morning, told me that you're planing on filing a separate patent as inventor of a macro that just spawns off the math entitled to this invention. So I'm confused, and I want to be very specific on this of what our strategy is here on all of these peripheral pieces. |

$<$ End Side 1 ; begin Side $2>$

Bernstein: Why don't you explain that to me again.
Becker: Can we go ahead with describing the claims?

Bernstein: well, do you want to just finish that real guick, and then we' ll go right back to the claims?

Becker: Okay, now what was the question you posed me, Eliot?

Bernstein: I hired a mathematician to solve for what I did. He comes up with an equation. Where does that equation belong? Does it belong filed as another aatent? What's the inventorship, so to speak? And then, I design Erom that math a macro that solves that math with input fommula. How should we be protecting that the whole way through, because I seem to be very confused about what I'n being told each day.
S. Bermstein: Okav, let him answer the question.

| Becker: | Inventorship follows whoever conceived the invention as claimed, and that's why the claim is so important because when you set Eorth in your claim what it exactly is that you're claiming, you have to ask who conceived of that idea-who was the first one to come up with it. So, typically if somebody really reduces your idea to equations that describe why it works or how it works, typically they would not be named as a co-inventor because they really didn't invent the idea. Now if you wanted to claim a macro which has user-input displays for receiving certain data that can be used by, say, a technician to detemine the scan density of a print film image that would allow for the desired enlargement ratios and the desired target image size, that kind of is a separate idea, and that's why we thought it would be useful to claim that as a tool as well. |
| :---: | :---: |
| Bernstein: | Okay, and I understand that part. I don't mind claiming that all day long. |
| Becker: | Brian really was the one that built that and came up with it. It's based on principles that you learned, you know, a few years ago that maybe you didn't understand the math behind them, but certainly, I would think, be named an inventor on that. |
| Utley: | I think that would probably claim both Eliot: and myself as it relates to both aspects. |
| Becker: | Right. But the important thing with the patent office is that it is...the patent office realizes that it is a bit of a grey issue in terms of who conceived what, so the important thing is not to have any deceptive intent. |
| $5 \text { Eexretata }$ S. Pematein: | rong: I think the most important thing is the distinction between inventorship and ownership. As I understand, all of this, every one of the patents that we have filed, all rights, title, and interests are iviewit's, regardless of who the author/inventor is; and any revenue stream derived therefrom are iviewit's, and that's the important thing. Is that true, despite and in light of the [ $\qquad$ ]? <br> ael. Hm that': Mry very next question |
| Emetrama: | ```T because we could put anybody as an inventor; but as long as that doesn't entitle them to a disproportionate share of any revenues derived therefrom, theri I don't care.``` |
| Becker: | Yeah, inventorship or ownership initially vests in the inventor or inventors who are named in the application; but typically, inventors are under some obligation to assign to a corporate entity, either written or by cause of their employment-and you can get into the issues of shop right...you know, if somebody invented something on the corporate time and then went and...you know, it wasn't really part of his job description, I know this issue's going to be a little more tricky. But I think in this case... what we do typically as a practice to confirm ownership is to have the inventors sign a written assignment document over to whichever corporate entity they want to... |
| S. Bernstein: | But haverit we followed that? |
| Becker: | We've got those documents. I don't think we have them all signed and filed yet. |
| St bemetexar | mstrong: Let's get them. |
| Utley: | well, Doug was doing that on Tuesday while he mas here. |
| Becker: | Okay. Did you do some signing of documents, Jim and Eliot? |
| perastairs | Yeah. Right. |
| Becker: | Okay, so that's in process. |
| Bernstein: | Okay, and wasn't really the intent of my question. The intent of my question is to define, for my understanding, what should claim back to Ray [Joas's] patent, and that means that everything other than a macro shell should define back to the original patent and be filed, corrected, amended, however we get it in to the original patent documents since none of it's |

new matter, it's just an explanation mathematically on every equation of what happens.
S. Bernstein: That's what I heard at the meeting.

Bernstein: And that is exactly what I've heard, repeated; and then this morning, it was completely opposite, and yesterday is was a little opposite-a little-and, you know, I've become very confused about which strategy we're taking, which road, because we decide something, and then it's changed, and we're doing something else, and I'm completely lost.

Becker: I think I can make this very clear for you if you'll give me an opportunity.
Bernstein: I will.
Becker: Let's take a look at claim one. Claim one states that what you're claiming is a method of providing a digital image file for viewing on a user display in a viewing window that has a predetermined size, and the method includes one step. The step is, very broadly stated-so bear with me here-providing a digital image file having a image size comprising a fixed number of pixels representative of an image wherein that inage size is greater than that of the viewing window size. Now the broad concept that we're trying to claim here is being the first ones to provide a digital image file that has more data than is needed for the window size. And why are we trying to claim that? Because that allows you to zoon into the image without pixelation, and it allows you to pan around the image to corners that maybe are not shown in the original viewing window. Does everybody understand that?
ytho. Pernetein: I think so.
Armstrong: Yes.
S. Bernstein: I think we're on the same line.

Becker: Okay. So now the question becomes: Did we support that claim with relevant descriptions in the specifications. And what's our standard? Our standard is that we have to provide enough disclosure in the specifications to enable somebody to make and use that invention as claimed. This person needs to be somebody of ondinary skill in the art-in other words, sonebody who can read this document and maybe has some technical background in imaging or image processing, for example, and can read what we've put in our document and can perform our methods claimed. Okay? Everyone with me so far?

Bernstein: Um, hm.
Becker: So we look back into the document that was filed on mednesday and we say to ourselves, "Did we provide enough information in that document to allow somebody to teach somebody how to make and use a digital inage file that has an image size greater than the viewing window size?* And one might argue that stating the solution in itself almost provides enough information to one of ordinary skill in the art to actually reduce this to practice and to make and use one. However, we've provided not only a description of several different ways of doing it, but also some examples, including math, that should make it abundantly clear to one of ordinary skill in the art how to do it. The test is whether it would require undue experimentation on the part of this fictitious person of ordinary skill in the art to make and use a digital image file having these characteristics. So the question you need to ask yourself with respect to this application is: "okay, maybe there was an error or two in how it was expressed in examples or the number of pixels counted or division here or subtraction there, but was there enough in there to enable somebody, based on those teachings alone and, of course, their background, to make and use an image file having those characteristics?"
okay.
Becker: And I think, based on a reading of it and based on what Jim just walked me through in these corrections that need to be made, that there probably was enough

|  | in there. That there probably is. I mean, we've described in several different ways how to do it with print film images or with digital images. We described in generally, and then we went and described it specifically. |
| :---: | :---: |
| S. Bernstein: | Okay. Can I ask you a question? |
| Bernstein: | Wait, Dad, because that still doesn't answer my question. That answers this issue here. |
| S. Eernstein: | Let him finish with it. |
| Bernstein: | Okay. Are you going to take this back to Ray's original Eiling on our.. |
| Becker: | Let me do that next, okay? Now, with respect to Ray's original filing on August $2^{\text {nd }}$ of last year, we asked the exact same inquiry when we review the specification that we filed on Wednesday: Did Ray's filing back on August $2^{\text {nd }}$ of 1999 provide enough disclosure and enough teaching to enable one of ordinary skill in the art to make this file? |
| Bernstein: | And we have a lot of disputes on that because it doesn't even cover zooming. |
| Becker: | Right, but what it does describe, if $I$ recall correctly, is it does describe that you want to enlarge a print film image to a certain size and then scan it at a high density. Now it doesn't tell what density, it doesn't give a number of pixels, .... |
| Bernstein: | It doenn't talk about zooming in on the image. |
| Becker: | It doesn't tell the number of pixels, but it does show one way of doing it with a print film image. It doesn't talk about digital images...doing it specifically with digital images. It may refer to it generally, I don't know. But that is the inquiry. |
| S. Prapeteinan | trong: If I hear you correctly, it is less important in the claim to say anything relative to zooming was in the claim to illustrate or to claim that the target image size is larger than the viewing image window because that is, in itself, your ability to have the zoom capability. |
| Becker: | You're right. You can claim it all different kinds of ways. This was one way that we worked out in conjunction with Eliot and Brian two weeks ago. This is one of the ways we worked out claiming the invention. |
|  | nstrona: Because ultimately zoming is simply a feature of the invention. |
| Bernstein: | Okay, hold on one second. Steve? |
| Becker: | Yeah. |
| Bernstein: | When I look at Ray's claim one, "What is claimed: An apparatus for producing a digital image comprising a device for generating a digital signal file from a print film image and a processor for processing said digital signal file and for generating an image file wherein said processor generates a first signal file from said digital signal file, and further wherein said processor processes said first signal file and generates set image file." |
| Becker: | Okay. |
| Bernstein: | Okay, we all agreed that that is completely insane...to describe anything about our invention...whatever. |
| Becker: | I know it's all completely insane; but I think that with the claim that we drafted, ... |
| Bernstein: | Yeah, he missed the point. |
| Eecker: | Okay. |
| Bernstein: | Well, then, the claim we drafted, this was my question. It should be right here, in this claim, in the patent he filed to date back as far as I can to protect our dates, should be changed to the claim we just created. |


| Becker: | Oh, no, this application died on Wednesday, and it doesn't proceed to a patent. A provisional application... |
| :---: | :---: |
| Bernstein: | No, no, this isn't provisional. This is a filed patent. I'm frifowedimet. \#ー |
| Jtley: | This is the one that was filed March $24^{\text {th }}$. |
| Becker: | Oh, okay. |
| Bernstein: | By Ray [Joá]. |
| Utlev: | So this was the PCT Eiling on March $24^{\text {th }}$. |
| Becker: | Okay, thanks. |
| Bernstein: | And my question is shouldn't the claims in this patent we just filed be exactly, if not identical, to the one...or should they be transposed to Ray [Joas's]? And it was my understanding from Doug that for speed and if the patent gets through, etc., that we would rather have it be based on that first patent filing. |
| Becker: | That could be a recommended course of action. |
| Bernstein: | And this is going to get dejected. |
| Utley: | What we discussed on Tuesday...no, on Monday afternoon, was that one of our action items was to go back and review the wh March $24^{\text {th }}$ filing and decide exactly how we were going to integrate into that filing the th the uh claims that $\qquad$ should be in there vis-ávis the specification. |
| Becker: | Okay. |
| utlev: | That was one of the action items that we $\qquad$ I: covered on Monday afternoon. |
| Bernstein: | And now my question further goes to say that once we amend the claims, is there any way to amend the body? |
| Becker: | No. |
| Bernstein: | Even if we' re not adding new subject matter? |
| Becker: | You can amend the body if you don't add new subject matter. |
| Bernstein: | Okay, so we can fix Ray's mess. |
| Becker: | You can't add what we added in this application. |
| Bernstein: | Which part? The math is just a description of the old matter, so therefore we should be able to add it. |
| Becker: | It's not supported. It's not suggested in the prior applications. |
| Bernstein: | Oh, it's all suggested because by the nature of the invention it's suggested. |
| Becker: | I think the patent office will never allow us to add all of that matter into the application. |
| Bernstein: | Okay, but we should add as much matter as we feel comfortable with to buff up Ray's original Eiling. |
| Becker: | Sometimes if you change a word or a sentence in a specification... |
| Bernstein: | Yeah? |
| Becker: | The examiner will outright reject it for new matter. |
| Bernstein: | Well, who cares? He's going to reject this for insanity in the first place. I mean, he's going to reject this for "what did you patent? Nothing?" |


[Centrec? Centrack?] to use and distribute your product. So that's well before 9/1; and these are some real critical things that depend on that date, if I'm not mistaken.

| Utley: | What contract? |
| :---: | :---: |
| Bernstein: | [Centrec? Centrack?]. The license agreement was signed on 8/10. |
| Utley: | The only thing we signed was a demo. |
| Bernstein: | A demo license, Yeah. well, you were putting it up to comercialize on their siteon a public site. |
| 凹tley: | But there was no charge. |
| Bernstein: | But it's not a question of charge, according to Doug. Correct, Steve? |
| Becker: | I need to have some facts. |
| Bernstein: | Okay. We signed a demo to put up on a company's web site, and we did, our materials for public viewing so that they could identify customer response. |
| Becker: | Oh. When was this? |
| Bernstein: | 8/10. |
| Becker: | Okay. |
| Bernstein: | Now, there were conversations prior to that. |
| Becker: | Well, the upside is that we've got an application on file as of this past wednesday. |
| Bernstein: | well, what about changes? |
| 5 S Eextrei | atrysf: We have to deal with that one year of commercialization. |
| Bernstein: | If we're not wrong, and I hate to preach to a lawyer, but that seems to be my understanding. So I'd like to get what is claimed in this one into Ray [Joac's] immediately, if not, somehow sooner. |
| Armstrong: | Well, hold on, let him answer the question about commercialization. would that be considered the first date of commercialization or a date of commercialization if there's one prior to it? |
| Bernstein: | There's not, but... |
| Becker: | Again, we have to start with the claimed invention... |
| Armstrong: | This was feocm a mar imagery that we did for him. |
| Becker: | okay. And the inquiry is whether or not... |
| Bernstein: | No, it's video, too, B, that we did. |
| Armst rong: | There was video, too? |
| Bernstein: | Sure. |
| Becker: | The inquiry was whether or not the claimed invention was on sale more than one year before the filing date of the application. |
| Utley: | This was a test program to determine feasibility. |
| Becker: | That actually works in our favor. The laws recognize sort of experimental use as sort of being a mitigating factor in some types of public disclosure. Typically i.亡 it's a commercialization use, or to test the commercialization of the invention, they' re less likely to find it to be |


| Bernstein: | Well, then, that's definitely what it was. |
| :---: | :---: |
| Becker: | ...commercial use. |
| Utley: | Is there any difference, Steve, between...we signed an agreement to do that. |
| Becker: | Okay. |
| Utley: | There was no public visibility for another month. So which date will be the reference date? |
| Becker: | Would you call that a sale, that agreement? |
| Utley: | No. |
| Becker: | Okay. |
| Armstrong: | Were we ever paid anything by [Centrec? Centrack?]? |
| Bernstein: | No. |
| Utley: | No. |
| Armstrong: | Never. |
| Becker: | Okay, that certainly works in our favor if it wasn't an actual sale of your product. In that case, you look more at the public disclosure date. |
| Bernstein: | Well, that was the public disclosure date. |
| Utley: | No, that was September. |
| Bernstein: | No, it was this date because...well, whenever you put it up on the site publicly. |
| Becker: | When did you put it up on the site publicly? |
| Utley: | It was in September. It took us awhile to get there. |
| Becker: | Okay. No problem, then, right? |
| Bernstein: | If that's...I'm hanging my hat on a lot of things right there. |
| Utley: | If that's the date of reference. |
| Bernstein: | You know, I want to beat the $8 / 10$ day of signing a license agreement because $I$ don't know how that's going to be construed in court, nor do I care, when I can beat it right now. |
| Becker: | Let me ask the question again, Eliot, do you think that the application that we filed on wednesday does not provide enough information to enable somebody of ordinary skill in the art to practice or to make and use what we claim in claim one? |
| Armstrong: | I could argue it doesn't. |
| Becker: | Go ahead. |
| Armstrong: | I might just simply because the actual deployment of it...or employment of it...does require the correct execution of those formulas; and other than one particular error that is very, very difficult to understand unless you have been part of one of these conversations about the formulas. I mean, that you have to reverse-engineer the formulas to find out that the square root in that definition is missing, otherwise you'll end up with target image areas of an enormous size and be totally lost. You'll end up just having a goofy result. I mean, I think it could be argued, that you need to be able to apply the math to create the image. It could be argued that you can conceptually create what it is that we are conceptually defining, but it's more difficult to do that without a precise understanding of the relationship of targets of subject images and viewing windows. |


| Becker: | Well, let me turn it against you, Jim. That's a good analysis. I think it's interesting, but let me turn it against you and say if that's true, then our August 2, l999, filing doesn't provide enough disclosure to enable one of ordinary skill in the art to make this claim. |
| :---: | :---: |
| Bernstein: | On Ray [Joa's]? |
| Becker: | orractaist, what he... |
| Bernstein: | Yeah, that's why we want to change it before August $10^{\text {th }}$. |
| Armstrong: | You said the August $2^{\text {nd }}$ filing. This is the one we just did. |
| Bernstein: | No, the March $3^{\text {rd }}$ filing you mean. |
| Utley: | March $24^{\text {th }}$. |
| Bernstein: | March $24^{\text {th }}$, whatever. |
| Becker: | well, I guess I'm going as early as I can, which is why we tried to file on Wednesday...which is why we filed on wednesday, so we could get the priority on the provisional application which, if I recall, read very much like the March 2000 application. |
| E, Fanstedinn | nstrone: The one you're referring to is the original provisional from August of 1999. |
| Becker: | Yeah. |
|  | matron: Saying that if my argument holds, we have nothing of solid validity in that particular document. |
| Becker: | No, what I'm telling you is that that document won't provide priority to this claim. In other words, our priority date will be wednesday of this year, not Wednesday of last year... or not... |
| S. Bernstein: | Because that provisional didn't provide somebody with ordinary skill in the art the ability to replicate what we did? |
| Becker: | That's exactly right. |
| Ranctayutue | : March $24^{\text {th }}$ |
| Bernetan | ..isn't that the one we're looking for? |
| Utley: | March $24^{\text {th }}$ ? |
| Bernstein: | Oh, no, that's the... |
| Utley: | We're looking for the August one. |
| Bernstein: <br> $<$ Two separate | No, I'm looking for the provisional this claime to. conversations going on at once; difficult to hear and follow...> |
| Becker: | Let me ask you this... |
| S. Bemstein: | Then that's to say-and maybe I'll question my own logic now-is it enough to say that somebody understands that in the viewing window that you create zoom and then create [ ] ability? |
| Becker: | As long as we just... |
| Armstrong: | That optimized the particular... |
| S.-Eemsturametrone: And all we did was help to clarify... |  |
| Becker: | I think that's pretty convincing. You know, you don't have to enable all the ways of doing it; you just have to enable essentially one way of doing it. |


| Bernstein: | Okay. Despite all of this, I still want a firm yes or no. |
| :---: | :---: |
| Becker: | I think was actually critically really finally getting to the issue. |
| Bernstein: | No, yeah, we are. |
| Becker: | Away from the rhetoric of accusations and... |
| Bernstein: | Okay, okay, right, but. |
| Becker: | And fear-mongering and calling the investors. I think we've gotten to. |
| Bernstein: | Well, I mean, we've got to deal with things. These are real fears meaning we definitely have real issues. But looking beyond that, which is fine, I've got still an unanswered question: Does Ray [Joad's] set of claims change tomorrow, Monday, whatever, so that we can protect ourselves? Nowi you've agreed that's a good strategy, Doug's agreed that's a good strategy, but yet I hear no execution strategy, and that's what I want to make 100 s sure that I can get as much of what we've discovered into Ray's incompetent work, and I will call it that, as possible. And your work is far more superior. These are some issues, but, you know, there's issues...it's a large thing to grasp, and we'll get through it. But I want to change what Ray [Joao's] done, and that was my understanding that we're going to take the claims that we've discovered in this application you just filed and put them into that one, and that the worst that's going to happen is that the examiner will approve the earlier one of Ray and yours will fall away, the second one. |
| Armstrong: | Did somebody just join this call? |
| Bernstein: | No. |
| Armstrong: | Did you hear that beep, beep, beep? |
| Becker: | I did. I don't know if anyone has joined. |
| Bernstein: | Si? Si? |
| Armstrong: | Maybe he got off. |
| Bernstein: | Yeah. |
| Armstrong: | Okay. |
| Becker: | Well, let's do this, Eliot. Let's say that... I know you are concerned about the August $10^{\text {th }}$ date, why don't we say that we will make sone amendments to the claims in the prior filings you're referring to, and we'll clean that up as best we can and make sure that we have the claim amendments... |
| Bernstein: | <Aside to utley> This is the one we filed? |
| Utley: | <To Bernstein> That's the provisional. |
| Bernstein: | <To Utley> That's the provisional? |
| Wtley: | <To Bemstein> Right. |
| Armstrong: | What about correcting the math in the one from two days ago? |
| Becker: | Yeah, then again, I don't know what was filed; and again it appears...I really need to consult with Doug on that. |
| Armstrong: | Yeah, but if we're of understanding what we talked about today is what he filed, and I believe that's it, then what do we do to correct that? We should probably correct that by the $10^{\text {th }}$ as well. |
| Becker: | Okay. Right. That actually was more important with the $8 / 10$ date because these changes are considered to be better, then we need to get a filing out by that date. |


| Armstrong: | okay. |
| :---: | :---: |
| Bernstein: | And Steve, just to remind you on this point, I still definitely for a comfort level and to keep accusations at bay, just a letter of what's occurred, what my risks are, and what our strategies for execution are on this filing relating to as well fixing this one as well as relating it to Ray [Joad's]. If you could write that clearly to us, that gives us a lot of comfort level. |
| Becker: | All right. Hopefully what I explained today about priority will help. |
| Bernstein: | Well, this gives it the final touch of you can rest assured, I've got it in writing. That's what I need to comfort me that I've got a strategy, that everybody's on the same page, so to speak, so that page doesn't shift, so that we don't get off that strategy and we all stay focused on that one sheet. So that would be critical. And what is our next due date? Is that on the $10^{\text {th }}$ or the $8^{\text {th }}$ or something, or am $I$ missing... |
| Utley: | Well, the only reason the $10^{\text {th }}$ has any potential bearing is because that's when the test license... |
| Bernstein: | I'd like to beat that here, on this claim; because if we can beat the $10^{\text {th }}$ here on Ray [Joas's] filing, that's what we need to do there, right? |
| Fewernametumo | : That's actually not an important date for Ray [Joao's] filing. |
| Bernstein: | Yes, it is. |
| Patatametroma | An important date for the Eiling that we did a few [weeks? days?] ago. |
| Bernstein: | No, no, it's the same date. Commercialization is commeroialization, and how it relates is the same here to us. |
| Wemewaymetromo | : okay. |
| Bernstein: | You know what I mean? |
| Becker: | Yeah, I guess I do. |
| Armst rong: | I'll make just one other general comment, Steve. Everyone else knows this, but you don't. I was just brought into this process Tuesday as the first time I've ever reviewed any patents. I've held them for Eliot in the past but never reviewed them; and was probably surprised with what I found was that it was an extremely important and at least, to my understanding, we had very little time to get it right, and we're now paying the price, of course. To the extent that that can be avoided in the future through careful planning, updates, and contingencies, I suggest we have a plan for that. |
| Becker: | Yep. |
| Armstrong: | So. Just an overall comment. |
| Becker: | That's a good comment. I think it's important to get things done as early as possible, and we certainly have tried to do that throughout the process. |
| Bernstein: | Steve, can you do me one last favor? |
| Becker: | Yes? |
| Bernstein: | Shoot over to Jim the three video patents we filed. He's signed a disclosure on it-the one you gave us-encompassing him for all patents. |
| Becker: | All right. Jim, what's your role? |
| Armstrong: | I'm the Director of Sales and Marketing. |
| Bernstein: | But he's also a shareholder. |
| Becker: | Okay. |


| Armstrong: | I've been with this since before anybody else. |
| :---: | :---: |
| Becker: | I see. |
| Armstrong: | It was just basically me and Eliot and Guy before anybody else started, but I've never been involved in the patent review. |
| Becker: | Now you want me to send a copy of the filings...the video filings? |
| Bernstein: | Yeah. Can you just fax them to him? |
| Becker: | Sure. Let me make sure I've got this right. Okay. We've got three...no, five applications, about 100 pages. Is that Eine? |
| Armstrong: | Yes. |
| Bernstein: | we have four. Sorry. |
| Armstrong: | Are they emailable, or no? |
| Becker: | Yeah, they are emailable. |
| Armstrong: | Let's do that instead. |
| Becker: | But then you don't have the figures. We can email.... |
| Armst rong: | Email those, and then just fax the figures? |
| Becker: | Yeah. |
| Armst rong: | Okay, cool. The fax number is 732-747-5569. Email is jimgiviewit.com. |
| Bernstein: | And there's five video patents now. Correct, Steve? |
| Becker: | I'm looking at my chart here: three US and three corresponding PCT [ ] applications that we wrote, and then there's a PCT video playback-that was the video playback invention- |
| Bernstein: | Right. |
| Becker: | And I think that's all. |
| Bernstein: | Great. Let's get those out to Jim real quick. I'd like him reviewing those by the $8 / 10$ date. Any changes, we're obviously going to try to revert to keep our 8/10 day as onr commercialization day, giving us a little buffer if we're wrong. |
| Becker: | All right. |
| Bernstein: | You know what I mean? I mean because we don't know how people will interpret in the end what [Centrec? Centrack?] was, but to beat it would definitely give us a greater argument. |
| Becker: | Yep. |
| Bernstein: | So, all right, we'll pick this up...you're going to make those changes on this patent, correct? |
| Becker: | I'm going to wait until I speak with Dong. |
| Bernstein: | Okay, great. |
| Becker: | To find out what was actually filed, and then we'll decide how best to proceed with anending that. |
| Armstrong: | Steve, one more clarification. Did you say we have or have not had successful closure on the signing over of inventors' patents to the company? |
| Becker: | I can't speak to that; Doug is working on that. 29 |


| Armstrong: | Okay, will you put that in our list of things to do...or your strategy that that gets completed? |
| :---: | :---: |
| Becker: | Yeah. |
| Bernstein: | Yeah, and $E, I$ just signed as well as Brian and Jude and everybody. It's a large, thick document, so Doug should have an update, Steve, as to what is exactly signed. I think it was everything, correct? And we've got everybody here. |
| Armstrong: | I've got emails that indicate that that was all done mine months ago. |
| Bernstein: | No, it was, $B$, but then we filed patents; and then we thought the past was done, and now these new ones had to be done, so he came here, there was notaries here...it was, you know, it was a lot, but let's get an update on it. |
| Anetromg : | I fuet mant to see itt in muttro |
| Utley: | In addition to that, everyone has individually signed a separate agreement with the company, cheving assigning to the company any intellectual property that's created as a result of their employment. |
| Armstrong: | That I know. The key inventions, I just want to see that they've signed over because that's the value of the company right there. That's what I owin stock in. |
| Bernstein: | Correct. Okay. So let's get an update, and I think we're pretty close. |
| Armstrong: | Okay. |
| Becker: | Eliot, why don't we go through the list of things that you've asked me to do so we can be perfectly clear on this? |
| Bernstein: | Okay. |
| Becker: | The first is to amend Ray's PCT application, at least the claims, so that we have a good filing there, at least based on whatever Ray has in his specification. That's task \#1. |
| Bernstein: | Claims plus any additional language that's not new matter. |
| Becker: | All right. |
| Bernstein: | Okay. |
| Becker: | You want a letter describing the... what was omitted or what was incorrect in this application filed Wednesday and to what extent that may have any bearing on rights. |
| Bernstein: | Correct. |
| Becker: | And also a course of action we feel is necessary to file new applications to amend these, make these corrections, or if there's something we feel we can do in an amendment that would not introduce new matter. |
| Bernstein: | And our strategy going forward on this. By the way, that would mean our strategy as well on the video, correct? Because if there needs to be changes and the date did stick at $8 / 10$, we need to make any changes we find by $8 / 10$, correct? |
| Becker: | Only if the changes are so substantial that they would jeopardize the ability of one skilled in the art to understand. |
| Bernstein: | Okay, so critical errors. Okay. If we find them. |
| Becker: | And that's why I think, you know...and if you're describing in your specifications how to make one, how to do it, provide most of the details. I mean, me've done a very detailed job of ... |


| Bernstein: | No, I agree. I'm not...I agree. I see all that here. |
| :---: | :---: |
| Becker: | Any time whatever we can get out of you guys in terms of describing how it works...that, in there when you describe a claim and there's an error, you know, there's an error in the math, will that dramatically affect and make it so somebody can't practice the invention at all, I don't know. |
| Bernstein: | Right. So if it's critical by 8/10, it should be resolved. Correct? |
| Becker: | With the video application, it doesn't help for us to go back and look at those. You guys go back and look at those and see if there's anything in there that you don't like. |
| Bernstein: | Right. And if we find something in the claim, for example, that we don't like, we need to amend it by $8 / 10$, right? |
| Becker: | No. |
| Bernstein: | Why? |
| Becker: | Because the claims have to be supported by the specification as filed back on those dates, which were sometime in June... |
| Bernstein: | Okay, but let's say all that fits, we also have the comercialization date. |
| Becker: | The commercialization date... |
| Utley: | I though sinaudible comment to Bernsteins |
| Bernstein: | So we can go change the claims. |
| Becker: | Typically [ ] prosecutions, as long as they' re still supported by the specifications filed... |
| Bernstein: | Right. So if we find any mistakes, we should change them, correct? In the video patents? |
| Becker: | Yeah, as a general principle, that's a good idea. |
| Bernstein: | okay, good. All right. I think that sums up what we need. Send the letter to Si, myself, and Brian. |
| Becker: | That's not a complete list of what you asked for me to do. |
| Bernstein: | What else have we got? Sorry? |
| Becker: | You've asked me to email to Jim Armstrong the three video applications and the playback application-the one playback application- |
| Bernstein: | Right. |
| Becker: | Now with respect to the video application, we have both PCT and US filings. Do you want us to send both of those? They've essentially identical-in fact, they are identical except the... |
| Bernstein: | No. Just one. |
| Utley: | Send the US. |
| Becker: | ```All right, we'll send the US versions of those two. And we'll fax the figures. And element #4...Item #4 is to provide a written letter to Jim Armstrong regarding the assiqnment status of...``` |
| Bernstein: | Well, that's to everybody. That's to brian, Si, myself, Jim. |
| Becker: | Brian, Si, Eliot, and Jim. |
| Bernstein: | Right. Just giving us the update of where we are. |
| Armstrong: | I think it's helpful to commuicate to the shareholders. |


| Bernstein: | Well, let's get it first, then we'll commuicate at discretion, but I think we're there. |
| :---: | :---: |
| Becker: | Okay, then, in terms of general things going forward: Eliot needs to be cc: d on all correspondence relating to patents. Should we continue our practice of sending things to Brian? |
| Bernstein: | Yeah. |
| Becker: | All right, we'll continue our practice of sending things to Brian and cc:ing Eliot with copies. |
| Bernstein: | Right, and I'd appreciate if all that email comes to iviewit.com. Therefore, I have copied records. |
| Becker: | Are you saying you only want us to correspond with you via email, not letters? Not... |
| Bernstein: | No, but if it is emails, iviewit.com emails because that gives me complete copied records on tape backups. |
| Armstrong: | Dewdon't send anything to any of us at a domain name other than iviewit.com, if you send it in email. |
| Becker: | That's the instructions? |
| Bernstein: | Right. |
| Armstrong: | correct. |
| Becker: | Don't send to any other email address besides one of your names at iviewit.com. |
| Bernstein: | Correct. |
| Becker: | Okay. Anything else in addition to those items? |
| Bernstein: | Nope. Steve, I appreciate your taking the blunt end of this, I really do. |
| Becker: | Well, I just wish you would not... |
| Bernstein: | Well, we freaked out a little bit. You can understand that there's a reason to freak...I'm not just making this up. So based on that, let's try to resolve and move forward. |
| Becker: | Anything else? |
| Bernstein: | Nope. Thanks very much. |

represented you as an attorney; he never represented you in any case, nothing of that sort?
A. No.
Q. Now, when Mr. Wheeler first introduced you to Iviewit, did he specify, other than what we've already discussed, the purpose for his introduction? Did he talk to anything about a scope of employment or what your purpose would be at the company, other than what you've already described?
A. No. He said he was looking for someone with a technology background who had the potential to run the company.
Q. Now, with regard to Eliot Bernstein, Jude Resario and Zakirul Shirajee, am I pronouncing that correctly?
A. Why don't you spell it.
Q. Let's see, I got Z-A-K-I-R-U-L, last name is $\mathrm{S}-\mathrm{H}-\mathrm{I}-\mathrm{R}-\mathrm{A}-\mathrm{J}-\mathrm{E}-\mathrm{E}$. Do you remember meeting with those gentlemen, Eliot Bernstein and Jude Resario and Zakirul Shirajee?
A. At a later point in time, yes.
Q. Okay. What was the time that you met with them?
A. It was after I agreed to join the company.
Q. Okay. So that was in the latter part or the middle part of $99 ?$
A. That was late August 99.
Q. And what exactly were meetings consisting of when you met with those three gentlemen?
A. Well, Eliot introduced them to me and introduced them as having worked with him on feasibility studies relative to his invention and he indicated that perhaps we should consider them for employment by the company.
Q. Okay. Did he ever mention to you anything of their status as any inventors of any IP or anything of that sort?
A. Well, they were, I believe, they were named on several of the provisional patent filings that had already been made.
Q. If you could, I mean, since you were acting as president of the Iviewit entities, I'm presuming that you're aware of all the inventions or all the intellectual properties for which Iviewit has filed patents; would that be a

Proskauer Rose vs. Iviewit.com, et al. 8/23/02

A. I think you asked me that yesterday.
Q. I hate to be repetitive, but I'm working from what I got.
A. Okay. That was, that should have been July of 1999.
Q. How about Jude Zach, was he one of the people involved with the development of the Iviewit technologies?

MR. BERNSTEIN: That's two people, Jude and Zach. By MR. SELZ:
Q. I'm sorry, Jude and Zach?
A. That's what $I$ was told.
Q. So, again, that's before your time at Iviewit?
A. Yes.
Q. How about Todd Kloslosy, I think $\mathrm{K}-\mathrm{T}-\mathrm{O}-\mathrm{S}-\mathrm{T}-\mathrm{O}-\mathrm{S}-\mathrm{Y}$, at Web Cast?
A. I don't recall anyone by the name of Todd at Web Cast.

MR. BERNSTEIN: Scott.

By MR. SELZ:
Q. Scott. It's hard with the speaker phone.
A. I'm sorry.


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Page 2
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Boca Raton Police Department
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Assisting Officets

Suspect Hate / Bias Motivated: None (No bias)
WILLIAM KASSER OF IVIEWIT.COM ADVISED THAT 2 DELL MODIFIED COMPUTER/ENCODING MACHINES (MODEL AND SERIAL\# UNKNOWN) ( $\$ 40,000.00$ ), WERE STOLEN FROM THEIR BUSINESS BY THE COMPANYS EX-PRESIDENT AND V.P. OF OPERATIONS.

REPORTING OFFICER NARRATIVE

| Boca Raton Police Department |
| :--- | :--- | :--- |
| Victim |
| IVIEWITCOM, INC. |

ON 06-20-2001 I SPOKE WITH WILLIAM KASSER(CONTROLLER) OF IVIEWIT.COM BY TELEPHONE. KASSER STATED THAT ON 04-27-2001, THE EX-PRESIDENT(BRIAN UTLEY) AND THE V.P. OF OPERATIONS(MICHAEL REALE) FOR THEIR COMPANY, STOLE 2 DELL MODIFIED COMPUTER/ENCODING MACHINES(UNKNOWN MODEL \& SERIAL \#) THAT WERE VALUED AT $\$ 40,000.00$. THE COMPUTERS WERE NAMED "THE BOMBER" AND "THE NITRO".
KASSER ADVISED ME THAT THESE COMPUTERS WERE MODIFIED TO ENCODE VIDEOS AND HAD LARGER DISK DRIVES AND VIDEO ENCODING CARDS INSTALLED. THIS IS WHAT MADE THEM SO VALUABLE. THESE COMPUTERS GENERATED REVENUE FOR THE COMPANY.
KASSER ADVISED ME THAT THEIR COMPANY WAS CLOSING THEIR BOCA OFFICE AT 2255 W. GLADES ROAD AT THE END OF APRIL AND RELOCATING TO CALIFORNLA, AND UTLEY AND REALE WERE BEING TERMINATED AT THAT TIME. ON UTLEY'S LAST DAY, HE HAD ADVISED KASSER THAT HE WAS INTERESTED IN PURCHASING 2 STANDARD DESKTOP COMPUTERS FROM THEM FOR $\$ 1,000.00$ A PIECE. KASSER AGREED, UTLEY GAVE 2 SEPARATE CHECKS FOR $\$ 1,000.00$ A PIECE, AND AT THAT TIME ALL OF THE COMPUTERS WERE BEING BOXED UP TO BE RELOCATED TO CALIFORNIA.
KASSER STATED THAT REALE WAS SUPERVISING THE PACKING OF THE COMPUTERS AND KNEW EXACTLY WHAT CONTENTS WERE IN EACH BOX. ONCE THE BOXES WERE PACKED, REALE GAVE UTLEY THE OKAY TO TAKE 2 BOXES CONTAINING THE MOST VALUABLE COMPUTERS AND NOT THE BOXES WITH THE STANDARD COMPUTERS.
KASSER THEN STATED THAT HE HAD FOUND OUT APPROXIMATELY 3 WEEKS LATER, ONCE THE BOXES HAD ARRIVED IN CALIFORNIA, THAT THE MOST VALUABLE COMPUTERS WERE NOT DELIVERED. AT THAT TIME, KASSER THEN CONTACTED UTLEY AND UTLEY ADMITTED THAT HIMSELF AND REALE HAD TAKEN THE MOST VALUABLE COMPUTERS AND TOLD KASSER THAT THEY WERE ONLY WORTH $\$ 1,000.00$ A PIECE ANYWAY. UTLEY WAS ASKED TO RETURN THESE COMPUTERS AND TAKE THE CORRECT ONES AND HE REFUSED.
I THEN SPOKE WITH ROSS MILLER, WHO IS THE COMPANIES ATTORNEY, WHO ADVISED ME THAT REALE WAS IN CHARGE OF PACKAGING EACH COMPUTER AND WRONGFULLY AND
INTENTIONALLY LET UTLEY TAKE THE MOST VALUABLE COMPUTERS, WITHOUT CONSENT FROM ANYONE ELSE IN THE COMPANY. ROSS ALSO CONFIRMED ALL OF THE ABOVE INFORMATION GIVEN BY KASSER. ROSS ADVISED ME THAT HE HAD BEEN TOLD BY SEVERAL 3RD PARTIES THAT REALE AND UTLEY ADMITTED TO HAVING THE ABOVE STATED EQUIPMENT AND ASKED 3RD PARTIES FOR ASSISTANCE IN OPERATING IT. ROSS HAS A SUSPICION THAT THE EQUIPMENT MAY BE USED TO START A BUSINESS FOR REALE AND UTLEY.

ON 06-20-2001 AT 12:28 HOURS, I CONTACTED UTLEY AT 561-750-6876, WHO ADVISED ME THAT HE DID HAVE THE EQUIPMENT, BUT ADVISED THAT THE DEAL WAS STRAIGHT FORWARD AND HE POINTED OUT TO KASSER EXACTLY WHAT COMPUTERS HE WOULD BE TAKING AND ALL WAS AGREED ON. UTLEY ADVISED THAT HE PADD $\$ 1,000.00$ PER COMPUTER AND THAT IT WAS A GENEROUS OFFER . UTLEY BELIEVES THAT KASSER MUST HAVE DECIDED AFTER THE FACT THAT HE DID NOT RECEIVE ENOUGH MONEY FOR THESE COMPUTERS AND IS EXAGGERATING ABOUT THEIR $\$ 40,000.00$ VALUE.
ON 06-20-2001 AT 13:00 HOURS, I SPOKE WITH REALE AT 561-499-8850, WHO ADVISED ME THAT HE DID NOT HAVE ANY INVOLVEMENT $\mathbb{N}$ ANY COMPUTER THEFT. REALE ADVISED
$\qquad$
Printed By: PNEWELL, RECORDS3 02/27/2002 1035

| REPORTING OFFICER NARRATIVE |  | OCA |
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| Boca Raton Police Department | Offense <br> EMAEZZLEMENT | Date $/$ Time Reperted <br> Wed 06/20/2001 $10: 12$ |
| Victim |  |  |

ME THAT U'TLEY HAD POINTED OUT THE COMPUTERS THAT HE WAS GOING TO PURCHASE FOR $\$ 1,000.00$ EACH AND THAT IS WHAT WAS TAKEN WHEN THEY LEFT THE COMPANY. REALE STATED THAT PAYING $\$ 1,000.00$ FOR EACH OF THE COMPUTERS THAT THEY TOOK WAS A VERY GENEROUS OFFER, DUE TO THERR AGE AND VINTAGE. REALE STATED THAT THE COMPUTERS HAD STANDARD HARDWARE, SO THE VALUE THAT WAS GIVEN BY KASSER WAS WAY ABOVE IT'S FAIR MARKET VALUE. REALE STATED THAT HE HAS MANY YEARS OF EXPERIENCE WITH COMPUTERS AND KNOWS WHAT THEY ARE WORTH. REALE FEELS THAT KASSER`S COMPLAINT IS MOTIVATED BY EMOTIONS AND NOT MONEY.
I ADVISED THE COMPLANANT TO CONTACT THE P.D. IF THERE IS ANY ADDITIONAL.
INFORMATION, AND I WAS ASKED BY KASSER TO PLEASE HAVE SOMEONE CONTACT HIM REGARDING AN INVESTIGATION INTO THIS MATTER.


THE INFORMATION BELOW IS CONFIDENTIAL - FOR USE BY AUTHORIZED PERSONNEL ONLY

| Case Status: | Exceptionally Cleared |  |
| :--- | :--- | :--- |
| Case Mng Status: | Exceptionally Cleared |  |
| Occured: $04 / 27 / 2001$ |  |  |

Contact: Refercnce: Follow Up
$06 / 26 / 2001$ at 14:00 hours I spoke to William Kasser concerning the theft of computers from Iviewit.Com. William Kasser, who is the Controller for Iviewit.Com, verified that all of the information on the original report was accurate. Kasser advised that the Ex-President of the Boca branch of Iviewit.Com, Brian Utley, stole two Hi-tech computers from the Company after he was terminated from his position.

Kasser found out that the hi-tech computers, the "Nitro" and the "Bomber", were missing when he received a phone call from Eliot Bernstien in California. Kasser was told later by Michae! Reale that Brian Utley had the Bomber and the Nitro. Kasser feels that Reale assisted Utley in stealing the computers from the Company when he was packaging the computers.

When Kasser called Utley Kasser asked Utley if he had the bomber and the Nitro. Utley told Kasser that he had the Nitro and Bomber and that he legally purchased the computers from the company for $\$ 1000.00$ each. Kasser was present when Utley asked Ross Miller if he could purchase two of the computers from the Company for $\$ 1,000.00$ each. Kasser told Utley that the deal was for two of the generic computers, not the Nitro and the Bomber. Kasser told me that Utley knew that the Nitro and the Bomber were worth $\$ 40,000.00$. Utley told Kasser that he was not going to return the computers to the Company.

06/27/2001 at 10:30 hours I went to Iviewit. Com and I spoke to Ross Miller concerning this case. According to Miller, Utley approached him on May 3rd while Utley was cleaning out his office. Utley asked Miller if he could purchase his desktop computer and another generic computer from the business. Miller pointed to the generic computers in the general office area and he stated, "your computer and one of those computers". Utley confirmed that he wanted to purchase his office computer and one of the computers Miller was pointing to.

Miller told me that there was no way that Utley could have confused the Bomber and Nitro for two of the generic computers. First of all, the generic computers were still not boxed and sitting on the desks in the general area of the business. The Bomber and Nitro were already boxed and sitting in the hi-tech room, which is separate from the general office area. Second of all, Utley knows that the Bomber and the Nitro are the two most hi-tech computers in the business. Being President of the Company Utley knew that the computers were worth $\$ 40,000.00$. Even if Utley grabbed the wrong computers from the Company he was well aware of what he had when he opened the boxes.

06/29/2001at 11:30 A.M. Detective Ganci and I drove to Utley's house, which is located at 1930 SW 8th Street in Boca Raton. According to Utley he had possession of the Nitro and the Bomber. Utley told me that he purchased the computers from Ross Miller for $\$ 1,000.00$ each. Utley told me that the Nitro and the Bomber were only worth $\$ 1,000.00$ each. Utley told me that the software on the Bomber and the Nitro was outdated and no longer worth $\$ 40,000.00$. Utley told me that the software on the computer might have been worth $\$ 40,000.00$ at one point.

When I asked Utley where the computers were he told me that they were out of the state. Utley then stated, "if the Company gives me $\$ 40,000.00$ for the computers I will subtract that from the lawsuit I am filing against them". I told Utley that I would be filing charges against him for grand theft if he did not return the computers to Miller or Kasser. Utley told me that he would speak to his lawyer and then called me with his decision.

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| Case Status: | Exceptionally Cleared | Offense: EMBEZZLEMENT |
| :--- | :--- | :--- |
| Case Mng Status: | Excepiionally Cleared | Occured: $04 / 27 / 2001$ |

0702/2001 at 08:30 hours I called Utey's Attorney, Bart Houston, after hearing a message on my answering machine from Houston. Houston told me that Utley agreed to return the Bomber and Nitro to Iviewit.Com. Arrangements were made to have Utley bring the computers to the Police Department and give them to William Kasser on 07/13/2001 at 09:30 hours.

07/02/2001 09:45 hours I advised Kasser to come to the Police Department on 07/13/2001 at 09:30 hours to receive the computers from Utley.

07/13/2001 at 09:30 hours I met Kasser and Utley and at the Police Department for the retum of the computers. Kasser handed Utley a check for $\$ 2,000,00$ and Utley gave Kasser the Bomber and the Nitro. When Utiey returned the computers he did not return the monitors. Utley agreed to send Kasser a check for $\$ 200.00$ in the mail for the computer monitors.

Because Utley returned the computers Kasser told me that his Company no longer wanted to press charges against Utley for the theft of the computers. Kasser signed a refusal to prosecute form, which was turned in with the file. Written statements from Kasser and Miller were put into evidence at the Police Department.

## EXHIBITS "OTHER"

DEPOSITIONS IN SEPARATE FILE ON CD DUE TO SIZE
USE THE BOOKMARKS FOR INDIVIDUAL DEPOSITIONS
WACHOVIA LETTERS
UTLEY EXHIBITS

## Foley \& LARDNER

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CONFIDENTIAL

Mr. Scott Bowman

Wachovia Bank


## Dear Mr. Bowman:

Mr. Brian Utley of Iviewit.com requested that we provide you with information regarding the Intellectual Property matters of the company.

Foley \& Lardner has just recently been retained to take over the patent matters from Iviewit.com's previous patent counsel. As discussed below, we have only had the opportunity to provide an initial review of the patent matters as we work toward the preparation and filing of additional patent applications in an effort to provide a comprehensive Intellectual Property position for Iviewit.com. Although we believe that the information and statements contained herein are factually accurate, they are not intended to be any type of representation or opinion as to the scope, strength, or value of Iviewit's Intellectual Property portfolio.

## Technology Overview

In our initial discussions with Iviewit, we concentrated our efforts on two primary inventive technologies: (1) Enhanced Digital Imaging Technique (a.k.a., "Zoom \& Pan"); and (2) Enhanced Video Streaming Technique (a.k.a., "Full-Screen Full-Motion Video"). These two technologies are the subject of numerous patent applications (see below) already on file. Other inventions were also identified and discussed, but there was a greater need to study these first two because of the upcoming dates that must be met to file additional patent applications. We even identified inventive aspects of Iviewit's business technologies that may be appropriate for a "business method" patent. These other inventions will be fully investigated and evaluated in the next few months.

Mr. Scott Bowman
May 11, 2000
Page 2

## Patents

Iviewit.com's previous patent counsel filed eight provisional patent applications in the United States Patent and Trademark Office, and one PCT International patent application in the World Intellectual Property Office.

As you may be aware, a U.S. provisional application for patent is a U.S. national patent application that provides the means to establish an early effective filing date and allows the term "Patent Pending" to be applied. However, a provisional application itself cannot issue into a patent having enforceable rights. A non-provisional application for patent must be filed within 12 months of the provisional application's filing date to claim the benefit of priority. Only the non-provisional application may issue into a patent having enforceable rights.

Provisional applications for patent are not examined on their merits, and there is no requirement to include patent claims in the application. Hence, a provisional patent application (1) provides simplified filing with a lower initial investment for one full year before committing to the higher cost of filing and prosecuting a non-provisional application for patent, (2) establishes an official United States patent application filing date for the invention (to the extent the invention is fully described in the provisional) such that patent rights are not lost, and (3) enables immediate commercial promotion of the invention with greater security against having the invention stolen. However, provisional U.S. patent applications remain confidential in the United States Patent and Trademark Office.

The following eight U.S. provisional applications have been filed for Iviewit:

| F\&L <br> Docket No. | MLG <br> Docket No. | Patent <br> Appl. No. | Appl. <br> Filing Date | Application Title |
| :---: | :---: | :---: | :---: | :---: |
| $57103 / 102$ | $5865-1$ | $60 / 125,824$ | $3 / 24 / 1999$ | Apparatus and Method for <br> Producing Enhanced Digital Images |
| $57103 / 103$ | $5865-3$ | $60 / 137,297$ | $6 / 03 / 1999$ | Apparatus and Method for <br> Producing Enhanced Video Images |
| $57103 / 104$ | $5865-4$ | $60 / 137,921$ | $6 / 07 / 1999$ | Apparatus and Method for Playing <br> Video Files Across the Internet |
| $57103 / 105$ | $5865-4.1$ | $60 / 141,440$ | $6 / 29 / 1999$ | Apparatus and Method for <br> Providing and/or Transmitting <br> Video Data and/or Information in a <br> Communication Network. |
| $57103 / 106$ | $5865-6$ | $60 / 146,726$ | $8 / 02 / 1999$ | Apparatus and Method for <br> Producing Enhanced Digital Images |
| $57103 / 107$ | $5865-5$ | $60 / 149,737$ | $8 / 19 / 1999$ | Apparatus and Method for <br> Producing Enhanced Digital Images <br> and/or Digital Video Files |

Mr. Scott Bowman
May 11,2000
Page 3

| $57103 / 108$ | $5865-7$ | $60 / 155,404$ | $9 / 22 / 1999$ | Apparatus and Method for <br> Producing Enhanced Video Images <br> and/or Video Files |
| :---: | :---: | :---: | :---: | :---: |
| $57103 / 109$ | $5865-8$ | $60 / 169,559$ | $12 / 08 / 1999$ | Apparatus and Method for <br> Producing Enhanced Video Images <br> and/or Video Files |

The first of these eight U.S. provisional applications (Docket No. 57103/102) was filed in the PCT within a year of its filing date (as described below). The remaining seven provisional applications are still pending in the U.S. PTO.

As you may also be aware, a patent application can also be filed as an "international" patent application under the Patent Cooperation Treaty (the "PCT"). By filing one international patent application, the patent applicant can simultaneously seek protection for an invention in each of a large number of countries (the "contracting states") that are "designated" in the PCT application. For example, a PCT application designating the United States may be filed in place of a U.S. non-provisional application to initiate the process for obtaining a United States patent based on the provisional application. As another example, a PCT application designating Europe has the effect of a European patent application among the PCT contracting states that are also party to the European Patent Convention, provided they are each designated for a European patent. The PCT international application is published 18 months after its priority date.

The following PCT International Application has been filed for Iviewit:
$\left.\begin{array}{|c|c|c|c|c|}\hline \text { F\&L } & \text { MLG } & \begin{array}{c}\text { Patent } \\ \text { Docket No. }\end{array} & \begin{array}{c}\text { Appl. } \\ \text { Docket No. }\end{array} & \text { Appl. No. } \\ \text { Filing Date }\end{array}\right]$

This PCT application is the same as, and properly claims priority to, the first U.S. provisional application (Docket No. 57103/102). Therefore, only the subject matter set forth in the first U.S. provisional application will be covered by this PCT application and any patents that result from it.

We should receive the PCT search results in about three months, and the PCT application is scheduled to be published on $9 / 24 / 2000$. This will be the first opportunity for the world to see what patent protection Iviewit has applied for. The United States and all foreign countries were designated in this PCT application, but the actual decision of which countries warrant patent protection still needs to be made.

Mr. Scott Bowman
May 11, 2000
Page 4
As shown from the titles of these patent applications, various combinations of the first two technologies are covered in different applications. However, the first application (and, accordingly, the PCT application) appears to only relate to the first "zoom and pan" technology. The other applications relate to the other technologies, so additional U.S. or PCT or foreign patent applications still need to be filed.

Since a U.S. provisional application is not examined and will never issue as a patent itself, it does not make sense to discuss any "scope of protection" for a provisional application. Patent claims still need to be prepared and a non-provisional application still has to be filed for each invention that warrants it. Furthermore, the patent applicant has the opportunity to amend the claims during the prosecution of the non-provisional application. Since the language of the claims of the patent that are examined and finally granted are the only true measure of the scope of protection of any invention, any attempt to evaluate the scope before the application is actually allowed to issue is premature, and could be misleading to those that don't understand the patent system. Therefore, we cannot comment on the scope of patent protection for any of the Iviewit technologies at this time.

Proper assignments have been executed by the inventor, Eliot Bernstein, and recorded in the United States Patent and Trademark Office for all of the provisional applications. Iviewit Holdings Inc. is the assignee and owner of all the inventions.

We are currently in the process of reviewing all the Iviewit technologies and preparing an appropriate number of non-provisional patent applications to be filed in the next few months.

## Trademarks

The Proskauer, Rose law firm is handling the Trademarks for Iviewit.com. Numerous trademark applications are on file with the United States Patent and Trademark Office. Please contact Mr. Utley for further information regarding this Intellectual Property.

## Copyrights

Since any "original work of authorship" that is "fixed in a tangible medium of expression" is automatically protected by copyright laws, Iviewit already has copyrights in any software that it developed. However, registration of the copyright works in the United States Copyright Office affords stronger copyright protection. We have identified several copyright works at Iviewit that we plan to file applications to register the copyrights in the near future.

## Trade Secrets

Iviewit has developed a significant amount of software, technical information, and know-how, and has taken significant steps to protect this intellectual property. For example,

## Foley \& Lardner

Mr. Scott Bowman
May 11, 2000
Page 5
Iviewit has consistently implemented confidentiality agreements with third parties, development agreements with its consultants, and invention agreements with its employees. We are currently in the process of reviewing Iviewit's trade secret protection efforts to ensure that this Intellectual Property remains protected.

Very truly yours,


# Foley \& Lardner 

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EMAIL ADDRESS daboelum(0foleylaw,com

FRETAR CENTER

# Mabicepalinnons. Mant 

May 12, 2000

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## CONFIDENTIAL

Mr. Scott C, Bowman

Wachovia Securities, Inc.
191 Peachtree Street, NE
Atlanta, Georgia 30303
$\begin{array}{ll}\text { Re: } & \text { Iviewit.com LLC Patent Portfolio } \\ \text { Our Ref.: } & 57103 / 101\end{array}$
ellot $3 / 222004$ 6.47.02 AM
Note

Whote document changes in one day and this is very limited who made changes, Who was this fax sent to.
Do we have Bomman capy?

Dear Mr, Bowman:
Mr . Brian Utley of Iviewit.com has requested that we provide you with certain information regarding the patent portfolio of the company. Please note that this information is confidential and proprietary to Iviewit, since it pertains to technology that is the subject matter of Iviewit's pending patent applications which are maintained in secrecy in the United States Patent and Trademark Office. Therefore, we expect that you will keep this information confidential and not disclose it to anyone outside Wachovia Securities.

Foley \& Lardner has just recently been retained to take over responsibility for the patent matters from Iviewlt's previous patent counsel. As of this date, we have only had the opportunity to provide an initial review of the patent matters as we work toward the preparation and filing of patent applications for Iviewit. Although we believe that the information and statements contained herein are factually accurate, they are not intended to be any type of representation, warranty, or opinion as to the scope, strength, or value of Iviewit's patent portfolio. Nothing contained herein shall be considered as providing you with legal advice or as creating an attomey-client relationship with Wachovia Securities. We recommend that you obtain your own independent legal counsel before acting on this information.

Iviewit's previous patent counsel filed eight provisional patent applications in the United States Patent and Trademark Office (USPTO), and one PCT international patent application in the World Intellectual Property Office (WIPO). As of this date, no patents have been granted on any of these applications, and, to our knowledge, Iviewt has no other granted patents.

## Foley a Lardner

Mr. Scott Bowman
May 12, 2000
Page 3
this PCT application, but the final decision of which countries the application will be filed still needs to be made.

Assignments have been executed by the inventor, Eliot Bernstein, and recorded in the United States Patent and Trademark Office for all eight provisional applications, Iviewit Holdings Inc, is on record as the assignee and owner of all these inventions.

We are currently in the process of reviewing all the Iviewit provisional patent applications and preparing an appropriate number of non-provisional patent applications to be filed in the next few months.

Very truly yours,


Douglas A. Boehm
cc: Mr. Brian G. Utley

## FOLEY \& LARDNER

Mr. Scott Bowman
May 12, 2000
Page 2
The following eight U.S. provisional applications have been filed for Iviewit:

| F\&L <br> Docket No. | MLG <br> Docket No. | Patent <br> Appl. No. | Appl. <br> Filing Date | AppHication Title |
| :---: | :---: | :---: | :---: | :---: |
| $57103 / 102$ | $5865-1$ | $60 / 125,824$ | $3 / 24 / 1999$ | Apparatus and Method for <br> Producing Enhanced Digital Images |
| $57103 / 103$ | $5865-3$ | $60 / 137,297$ | $6 / 03 / 1999$ | Apparatus and Method for <br> Producing Enhanced Video Images |
| $57103 / 104$ | $5865-4$ | $60 / 137,921$ | $6 / 07 / 1999$ | Apparatus and Method for Playing <br> Video Files Across the Internet |
| $57103 / 105$ | $5865-4.1$ | $60 / 141,440$ | $6 / 29 / 1999$ | Apparatus and Method for Providing <br> and/or Transmitting Video Data <br> and/or Information in a <br> Communication Network. |
| $57103 / 106$ | $5865-6$ | $60 / 146,726$ | $8 / 02 / 1999$ | Apparatus and Method for <br> Producing Enhanced Digital Images |
| $57103 / 107$ | $5865-5$ | $60 / 149,737$ | $8 / 19 / 1999$ | Apparatus and Method for <br> Producing Enhanced Digital Images <br> and/or Digital Video Files |
| $57103 / 108$ | $5865-7$ | $60 / 155,404$ | $9 / 22 / 1999$ | Apparatus and Method for <br> Producing Enhanced Video Images <br> and/or Video Files |
| $57103 / 109$ | $5865-8$ | $60 / 169,559$ | $12 / 08 / 1999$ | Apparatus and Method for <br> Producing Enhanced Video Images <br> and/or Video Files |

The first of these eight U.S. provisional applications (Docket No. 57103/102) was filed as an international application (described below) within a year of its filing date. The remaining seven provisional applications are still pending in the USPTO.

The following PCT international application has been filed for Iviewit:

| F\&L | MLG | Patent | Appl. | Application Title |
| :---: | :---: | :---: | :---: | :---: |
| Docket No. | Docket No. | Appl. No. <br> Filing Date |  |  |
| $57103 / 110$ | $5865-10$ | PCT/USO0 <br> 107772 | $3 / 23 / 2000$ | Apparatus and Method for |
|  |  |  |  |  |

This PCT application (Docket No. $57103 / 110$ ) is substantially the same as, and claims priority to, the first U.S. provisional application (Docket No. 57103/102). We should receive the PCT search results in about three months, and the PCT application is scheduled to be published on $9 / 24 / 2000$. The United States and all foreign countries were designated in

## EXHIBIT H

US PATENT 09/587,734

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Bernstein et al. |
| :--- | :--- |
| Titie: | System And Method For <br>  <br>  <br>  <br>  <br> Providing An Enhanced Digital <br> Video File |
| Appl. No.: | Unknown |

Art Unit: Unknown

## UTILITY PATENT APPLICATION <br> TRANSMITTAL

Assistant Commissioner for Patents
Box PATENT APPLICATION
Washington, D.C. 20231
Sir:

Transmitted herewith for filing under 37 C.F.R. § 1.53 (b) is the nonprovisional utility patent application of:

Eliot I. Bernstein
Brian G. Utley
Jude R. Rosario
Enclosed are:
[ X ] Specification, Claim(s), and Abstract (33 pages).
[X] Informal drawings (3 sheets, Figures 1-3).
[ $X$ ] Unexecuted Declaration and Power of Attorney (4 pages).
[] Assignment of the invention to Iviewit.com, Inc..
[1 Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
[ ] Small Entity statement.
[ ] Information Disciosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed references).

The filing fee is calculated below:

[ ] A check in the amount of $\$ 1,554.00$ to cover the filing fee is enclosed.
[X] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\$ \$ 1.16-1.17$, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Respectfully submitted,

Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5571
Facsimile: (414)297-4900


## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name:

THAT I believe I am the original, first, and sole inventor fif only one inventor is named below) or an original, first, and joint inventor (ii plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Providing An Enhanced Digital Video File
(Attorney Docket No. 57103/116)
the specification of which (check one)
X is attached hereto.
was filed on ___ as United States Application Number or PCT International Application Number $\qquad$ and was amended on $\qquad$ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim $(\mathbf{s})$, as amended by any amendment specifically referred to above;

THAT | believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $£ 1.56$.

1 HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\$ 119(\mathrm{a})$-(d) or $\S 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\S 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.


I HEREBY CLAIM the benefit under Title 35, United States Code $\$ 119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Acplication Number | Filing Date |
| :---: | :---: | :---: |
|  |  |
|  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code, 5120 of any United States application(s), or $\$ 365(c)$ of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, $\$ 112$, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, § 1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Nurnber | PCT Farent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
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1 HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNEF to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM
EDWARD W. BROWN
CHARLES G. CARTER
ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg. No. 35,093
Reg. No. 44,603

```
JOHN C. COOPER II
JEFFREY N. COSTAKOS
WILLIAM J. DICK
BARRY L. GROSSMAN
PAUL S. HUNTER
KATHERINE D. LEE
KEITH D. LINDENEAUM
DAVID G. LUETTGEN
RICHARD J. MC KENNA
JAMES G. MORROW
RICHARD B. O'PLANICK
TODD A. RATHE
MICHAEL D. RECHTIN
CHRISTOPHER M. TUROSKI
JAMES A. WILKE
JOSEPH N. ZIEBERT
WALTER E. ZIMMERMAN
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Reg. No. 26,416
Reg. No. 34,144
Reg. No. 22,205
Reg. No. 30,844
Reg. No. 44,787
Reg. No. 44,865
Reg. No. $\quad 40,365$
Reg. No. 39,282
Reg. No. 35,610
Reg. No. 32,505
Reg. No. 29,096
Reg. No. 38,276
Reg. No. 30,128
Reg. No. 44,456
Reg. No. 34,279
Reg. No. 35,421
Reg. No. 40.883
and I request that all correspondence be directed to:

Steven C. Becker<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue<br>Milwaukee, Wisconsin 53202-5367<br>Telephone: (414) 297-5571<br>Facsimile: $\quad$ (414) 297-4900

1 UNDERSTAND AND AGREE THAT the foregoing attomeys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.

| Name of first inventor | Eliot I. Bernstein |
| :--- | :---: |
| Residence | Boca Raton, Florida |
| Citizenship | USA |
| Post Office Address | 500 S.E. Mizner Boulevard, Boca Raton, Florida 33432- |
|  |  |

Inventor's signature
Date



| APPLICATION NUMBER | FILINGRLCEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| $09 / 587,734$ | $06 / 05 / 2000$ | Eliot I Bernstein | $57103 / 116$ |

Foley \& Lardner
777 E Wisconsin Avenue Milwaukee, WI 53202

# NOTICE TO FILE MISSING PARIS OF NOANPROUSHONAL APPLICATION 

FILED UNDER 37 CFR 1.53(b)
Filing Date Granted
MP RESPONSEDUE $30 \leq E 200$ DCL/FEF

An application number and filing date have been accorded to this application. The items) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR $1.136(a)$.

- The statutory basic filing fee is missing.

Applicant must submit $\$ 690$ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fees) for this application is $\$ 864$.
- $\$ 630$ for 35 total claims over 20.
- $\$ 234$ for 3 independent claims over 3
- The oath or declaration is unsigned.

Fo -avoid abandonment a alate filing fec or oath or declaration surcharge as set forth in 37 CF 1.16 (e) of $\$ 130$ for a non-small entity, must be submitted with the missing items identified in this letter

- The balance due by applicant is $\$ 1684$.

A copy of this notice MUST be returned with the reply.


Customer Service Center
Initial Patent Examination Division (703) 308-1202
PART I-ATTORNEY/APPLICANT COPY

Title: SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE Inventor(s): Bernstain et al.

Dkt. No. 57103/116
Appl. No.: $09 / 587.734$

- Transmittal of Missing Parts of Patent Application (2 pgs.)
- Executed Declaration and Power of Attorney (4 pgs.):
- Check Number $\$ 523256$ for $\$ 855.00$.


## Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully. Foley \& Lardner

Title: SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE
Inventor(s): Bernstein et al. DKt. No. 57103/116

Appl. No:: 09/587.734 DABO (10/2/00)

- Transmittal of Missing Parts of Patent Application (2 pgs.)
- Executed Declaration and Power of Attorney 14 pgs.);
- Check Number \#523256 for $\$ 855.00$.


## Commissioner for Patents:

Please acknowledge receipt of the above-identified documents by applying the U.S. Patent and Trademark Office receipt stamp hereto and mailing this card.

Respectfully,
Foley \& Lardrier


IN THE UNITED STATES PATENT AND TRADEMARK OFFICE
Applicant: Bernstein et al.

| Title: | SYSTEM AND METHOD FOR |
| :--- | :--- |
|  | PROVIDING AN ENHANCED |
|  | DIGITAL VIDEO FILE |

Appl. No.: 09/587,734
Filing Date: 6/05/2000
Examiner: N/A

Art Unit: 2712


# TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION 

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS

Sir:
In response to the Notice to File Missing Parts of Application mailed on July 31, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.
[ X ] Executed Declaration and Power of Attorney (4 pages) enclosed
[ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533) enclosed
[ X ] Applicant is entitled to Small Entity status
[ X ] Check in the amount of $\$ 855.00$ in payment of $\$ 355.00$ Basic filing fee, $\$ 315.00$ additional total claims fee, \$120.00 additional independent claims fee, and $\$ 65.00$ late filing fee ( 37 C.F.R. § 1.16 (e) ) enclosed
[ ] Please charge Deposit Account No. 06-1447 in the amount of $\qquad$ in payment of surcharge fee (37 C.F.R. § $1.16(\mathrm{e})$ )

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S$ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed


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JAMESTANNE MAR MEANS
LAN WEISSLER*
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ARTHUR O ARMETRON
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## Via Facsimile 561-241-145

Christoher C. Wheeler, Esq.
Proskauer Rose LLP
2255 Glades Road
Suite 340 West
Roca Ratoon, Florida 33431-7360
$\mathrm{Re}: \quad$ iviewit

## Dear Christopher

I would very much appreciate your sending two Confidentiality Agreements to Mr. Gemal Seede, one addressed individually and one to Netcubator, the company which employs him at address below:

> Mr- Gemal Seede
> Netcubator
> 30 W . Green Street
> Pasadena, California 91105
> Facsimile: $626-449-4395$

Please send the Confidentiality Agreements directly to Mr. Seede, with a copy to my attention. Also please include in your cover letter a statement, similar to the one set forth in the Confidentiality Agreement you sent to Richard Rossman on April 26th, regarding Proskauer's general views on the novel and protectible nature of the patents and technology.


AJE.jon
NELHTTIRSWHEEIARIITR

Christoher C. Wheeler, Esq.
June 9, 1999
Page 2
cc: Mr. Eliot Bernstein Mr. Jeff Freedstein Michele M. Mulrooney, Esq James R. Jackoway, Esq

| PROSKAUER ROSE LLP | 2255 tata Road | NEW YORK LOS AMGELES WASHARK PARIS |
| :---: | :---: | :---: |
|  | Suite 1 West |  |
|  | Boca Raton, FL $33431-7360$ <br> Telephone 551 2417400 |  |
|  | Elsewnera in Florida |  |
|  | $\begin{aligned} & 800.432 .7746 \\ & \text { Fax } 561.241 .7145 \end{aligned}$ |  |
|  | Chrlstopher C . Wheeler Member of the Firm |  |
|  | Direct Dial 561.995 .4702 cwhes\|et:Gproskayer.com |  |

June 8, 1999
Via Fax

Mr. Amre Youness
Mr. Ahmed Alfi
Mr. Frank Khulusi
301 North Lake Avenue, Suite 910
Pasadena, CA 91101
Gentlemen:

At the request of Alan Epstein, I am forwarding the enclosed Confidentiaity Agreements to you. I would appreciate your signing and retuming your Agreement to me.

We have undertaken representation of iviewit, Inc. ("iviewit") and are helping them coordinate their corporate and intellectual property matters. In that regard, we have reviewed their technology and procured patent counsel for them. We believe the iviewit technology is far superior to anything presently available with which we are familiar. iviewit has filed a provisional patent application on a method for providing enhanced digital imsges on telecommunications networks. We are advised by patent counsel that the process appears novel and may be protected by the patent laws. While in all matters of this sort, it is far too early to make any final pronouncements. We do believe that there is an extremely good prospect that iviewit will protect their process which is novel and superior to any other format which we have seen.


Christopher C. Wheeler
CCW/gb
cc: Alan J. Epstein - Via Fax

Christophar C. Whasior Member of the Firm

Dlrect D'al 561.985.4702
cwheeiereproskauer.com

June 8, 1999
Via Fax
Mr. Paul Heeschen
Heeschen \& Associates
450 Newport Center Drive, Suite 450
Newport Beach, CA 92660
Dear Mr. Heeschen:
At the request of Alan Epsteir, I am forwarding the enclosed Conifientiality Agreement to you. I would appreciate your signirgg and returning it to me.

We have undertaken representation of iviewit, Inc. ("iviewit") and are helping them coordinate their corporate and intellectual property matters. In that regard, we have reviewed their technology and procured patent counsel for them. We believe the iviewit technology is far superior to anything presently available with which we are familiar. iviewit has filed a provisional patent application on a method for providing enhanced digital inages on telecommunications networks. We are advised by patent counsel that the process appears novel and may be protected by the patent laws. While in all matrers of this sort, it is far too early to make any final pronouncements. We do believe that there is an extremely good prospect that iviewit will protect their process which is novel and superior to any other format which we have seen.


Christopher C. Wheeler
CCW/gb
cc: Alan J. Epstein - Via Fax

The undersigned acknowledges and agrees that any and all "Proprietary Information" provided by or on behalf of iviewit, Inc., (together with its direct and indirect subsidiaries and affiliates, the "Company"), Simon L. Bernstein, Eliot I. Bemstein, or any officer, director, employee, agent or representative of the Company to the undersigned, or to which the undersigned otherwise gains access to, shall be subject to the terms and conditions of this Agreement. "Proprictary Information" means all materials and information (regardless of the form of such information, including without limitation, in writing, electronic, computerized or other recorded form, oral ar visual) that the undersigned may receive or learn of now or in the future conceming, or related in any way to, the Company or its business, including without limitation: (i) the contents of any Business Plan, projections or financial or credit information or data relating to the Company; (ii) the contents of any manuals or written materials of the Company; (iii) the names and records of actual or prospective clients, customers, suppliers, lenders, financing sources, or related persons; (iv) the terms of various agreements between the Company and third parties; (v) any data or database, or other information compiled or developed by the Company; (vi) any computer programe and listings, source codes and/or object cosdes, file structures, trademarks, trade secrets, patents, patent designs, patent applications, copyrights, foms, prosedures, processes, training methods, developments, technical information, marketing activities and procedures and methods of operation, together with any other information, data, know-how or knowledge of a confidential or proprietary nature; and (vii) any information of a rype described above derived or obtained from the internet or any website of the Company, including without limitation, the file structure relating to such website or the content of such website. Notwithatanding the foregoing, the term "Proprietary Information" does not include information which (i) is already known to the undersigned or in the undersigned's possession (other than that which was furnished to the undersigned by or on behalf of the Company prior to the date of this Agreement), (ii) is or becomes generally available to the public other than as a result of a disclosure by the undersigned, or (iii) becomes available to the undersigned on a non-confidential basis from a source other than the Company or its representatives, provided war such source is not known, after inquiry, to be bound by a confidendiality agreement with, or orher obligation of secrecy to, the Company.

The undersigned acknowledges that the Proprietary Information constitutes valuable, special and unique assets of the Company. The undersigned agrees (a) to receive in trust, and treat as confidential, the Proprietary Information; (b) not to use any of the Proprictary Information for any purpose without the prior written consent of Simon L. Bernstein or Eliot Bernstein; (c) not to disclose any of the Proprietary Information to anyone (other than to such of the undersigned's advisors who have a need to know such Information for the sole purpose of assisting the undersigned in evaluating such Information; provided that the undersigned shall bo liablo for any breach of confidentiality or use by such advisors) without the prior written consent of Siman L. Bemstein or Eliot Bernstein; and (d) not to reproduce, fax, distribute, store, reverse engineer or copy any Proprietary Information in any form without the prior written consent of Simon L. Bernstein or Eliot Bernstein. The undersigned understands that all Proprietary Information is confidential and that all rights, title and interest in the Proprietary Information is and shall remain the exclusive property of the Company, and no license or other rights are being granted to the undersigned by the Company.

The undersigned further agries that the Company shall be entided to equitable relief, including injunction, in the event of any breach of this Confidentiality Agreement, that the granting of such relief will not be opposed and that such relief shall not be the exclusive remedy for such breach. Furthermore, the undersigned agrees to defend and hold harniess the Company from any loss, cost, expense (including attomey's fees and litigation expenses), claim, liability, or damage arising from or related to a breach of this Confidentiality Agreement.

The undersigned has executed this Confidentiality Agrement as of the date set forth below.
iviewit, Inc.
Attention: Eliot I. Bemstein 500 S.E. Mizner Boulevard, Suite 102
Boca Raton, Florida 33432-6080
800.519.0234

IF AN INDIVIDUAL:
(Signature)
(Name - please print)

IF A COMPANY:
(Name of Company)
By:
(Signature)
(Name - please print)

Date:

Atty. Dkt. No. 57103/116
herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

## Respectfully submitted,



FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900

By Vorglavcachehm
Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, 1 HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

Systern And Method For Providing An Enhanced Digital Video File
(Attorney Docket No. 57103/116)
the specification of which (check one)

- is attached hereto.
X was filed on June 5,2000 as United States Application Number
$09 / 587,734$.

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1,56$.

I HEREBY CLAIM foreign priority benefits under Titie 35, United States Code $\$ 119$ (a)-(d) or $\$ 365(b)$ of any foreign application(s) for patent or inventor's certificate, or $\$ 365(a)$ of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :---: | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

1 HEREBY CLAIM the benefit under Title 35, United States Code $\$ 119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 137,297$ | June 3, 1999 |
| $60 / 155,404$ | September 22.1999 |
| $60 / 169,559$ | December 8,1999 |

1 HEREBY CLAIM the benefit under Title 35, United States Code, $\$ 120$ of any United States application(s), or $\$ 365$ (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35 , United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Farent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  | PCT/US00/15405 | June 2, 2000 |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

> RUSSELL J. BARRON
> DAVID J. BATES
> STEVEN C. BECKER
> DOUGLAS A. BOEHM
> EDWARD W. BROWN
> CHARLES G. CARTER
> ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg, No. 35,093
Reg. No. 44,603

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. 34,144 |  |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. 30,844 |  |
| PAUL S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. 39,282 |  |
| RICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. 40,883 |  |

and I request that all correspondence be directed to:

| Steven C. Becker |
| :--- |
| FOLEY \& LARDNER |
| Firstar Center |
| 777 East Wisconsin Avenue |
| Milwaukee, Wisconsin $53202-5367$ |
|  |
| Telephone: |
| Facsimile: |

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


represented you as an attorney; he never represented you in any case, nothing of that sort?
A. No.
Q. Now, when Mr. Wheeler first introduced you to Iviewit, did he specify, other than what we've already discussed, the purpose for his introduction? Did he talk to anything about a scope of employment or what your purpose would be at the company, other than what you've already described?
A. No. He said he was looking for someone with a technology background who had the potential to run the company.
Q. Now, with regard to Eliot Bernstein, Jude Resario and Zakirul Shirajee, am I pronouncing that correctly?
A. Why don't you spell it.
Q. Let's see, I got Z-A-K-I-R-U-L, last name is $\mathrm{S}-\mathrm{H}-\mathrm{I}-\mathrm{R}-\mathrm{A}-\mathrm{J}-\mathrm{E}-\mathrm{E}$. Do you remember meeting with those gentlemen, Eliot Bernstein and Jude Resario and Zakirul Shirajee?
A. At a later point in time, yes.
Q. Okay. What was the time that you met with them?
A. It was after I agreed to join the company.
Q. Okay. So that was in the latter part or the middle part of $99 ?$
A. That was late August 99.
Q. And what exactly were meetings consisting of when you met with those three gentlemen?
A. Well, Eliot introduced them to me and introduced them as having worked with him on feasibility studies relative to his invention and he indicated that perhaps we should consider them for employment by the company.
Q. Okay. Did he ever mention to you anything of their status as any inventors of any IP or anything of that sort?
A. Well, they were, I believe, they were named on several of the provisional patent filings that had already been made.
Q. If you could, I mean, since you were acting as president of the Iviewit entities, I'm presuming that you're aware of all the inventions or all the intellectual properties for which Iviewit has filed patents; would that be a

# UNITED STATES PATENT APPLICATION 

for

## SYSTEM AND METHOD FOR

## STREAMING AN ENHANCED DIGITAL VIDEO FILE

| elot |
| :--- |
| Note |
| Missing Rosario as inventor. <br> Title is wong. <br>  <br>  <br>  <br>  |



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

CHICASO
DENVER JACKIENWMLLE LOS ANGELES MADISON MNLTHUIGEE ORLANDD

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mfitar center 777 EAST WISCONSH AVENJUE
 TELEPHOANE (414) 271-2400 FACSIMLE (4 | 4) 270.4000

5ACFAMEFTTS GAN DiEDS SAM FRANCISCO

ThLLAHASSEE
TAMPA
Washinction, D.c WEST PRLM EEACH


Mr. Brian G. Utey
President
Iviewit.com, Inc.
One Boca Place

## ellot Noter

This letter is two months after filing in Dick VA Bar response he states inventors had time to review and cofrect before filing

2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

Re: U.S. Patent Apptication<br>Title: System And Method For Streaming An Enhanced Digita! Video File<br>Inventor(s): Bernstein et al.<br>Our Ref.: 57103/114

Dear Brian:
Enclosed please find the final draft of the above-referenced patent application which was filed with the U. S. Patent and Trademark Office on June 5, 2000, as Application No. 09/587,730. I have also enclosed various formal papers which require the inventors' signatures.

Please have the inventors thoroughly read the patent application, including the specification, claims, and drawings, to ensure that it provides an accurate and complete description of the invention. The written description should be sufficiendy complete to enable someone of ordinary skill in the att to make and use the invention. The application must describe the "best mode" contemplated by the inventors for carrying out the invention, i.e., in order to obtain a valid patent, no important details about the preferred errbodiment of the invention can be withheld as a crade secret. If, after teview of the application, the inventors find that anything in the application is incomplete, inaccurate, untrue, or possibly misleading, please contact me immediarely so we can discuss the matter. If any typographical, grammatical, or other minor formal errors are found, please have them noted in a separate memo to me such that I can correct them by amendment at a later date.

If everything appears to be in order, please have the inventors read, sign, and date the Declaration and Power of Attorney form at the colored tags. By executing this document, they will be verifying the originality of their invention and acknowledging their
duty to disclose to the U.S. Patent and Trademark Office any information of which they are aware that could be material to the examination of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or coworker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Note that the Declaration is signed under penalty of perjury, so careful consideration should be paid to its contents before signing.

Each inventor should also read, sign, and date the enclosed Assignment and Agreement, which must be executed in the presence of a Notary Public. By executing the Assignment, they will be acknowledging their obligation to Iviewit Holdings, Inc. by assigning all their rights in the invention to the company.

After the inventors execute the formal papers, please return the fully executed documents to me as soon as possible. You may keep the copy of the patent application for your records.

Now that the patent application is on file, any device covered by the claims of the application may be marked "Patent Applied For" or "Patent Pending" or an abbreviation thereof. This "Patent Pending" marking is not mandatory, as it only serves as a cautionary warning to would-be competitors that the device may be subject to patent protection in the future. However, this marking should be continued only as long as the application is still pending in the United States Patent and Trademark Office. If the application issues as a patent, the appropriate patent number should replace this marking. If the application should become abandoned and this is the only application pertinent to the device, then the marking should be eliminated entirely.

If you have any questions regarding any of the above, please do not hesitate to contact me.

Very truly yours,


Douglas A. Boehm
Enclosure (s)

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:
THAT my residence, post office address, and citizenship are as stated below next to my name;

THAT I believe I am the original, first, and sole inventor lif only one inventor is named below) or an original, first, and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Streaming An Enhanced Digital Video File
(Attorney Docket No. 57103/114)
the specification of which (check one)
$X$ is attached hereto.
_ was filed on ___ as United States Application Number or PCT International Application Number $\qquad$ and was amended on $\qquad$ (if applicable).

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before I (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application;

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1.56$.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\S 119(\mathrm{a})$-\{d) or $\$ 365$ (b) of any foreign application(s) for patent or inventor's certificate, or $\S 365$ (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any FCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :--- | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code § $119(\mathrm{e})$ of any United States provisional application(s) listed below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
|  |  |
|  |  |

1 HEREBY CLAIM the benefit under Title 35, United States Code, $\$ 120$ of any United States application(s), or $\S 365(c)$ of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, 5112 , lacknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $\S 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  |  |  |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

> RUSSELL J. BARRON
> DAVID J. BATES STEVEN C. BECKER DOUGLAS A. BOEHM EDWARD W. BROWN CHARLES G. CARTER ALISTAIR K. CHAN

| Reg. No. | 29,512 |
| :--- | :--- |
| Reg. No. | 39,902 |
| Reg. No. | 42,308 |
| Reg. No. | 32,014 |
| Reg. No. | 22,022 |
| Reg. No. | 35,093 |
| Reg. No. | 44,603 |

Page 2 of 4

JOHN C. COOPER III JEFFREY N. COSTAKOS<br>WILLIAM J. DICK BARRY L. GROSSMAN PAUL S. HUNTER KATHERINE D. LEE KEITH D. LINDENBAUM DAVID G. LUETTGEN RICHARD J. MC KENNA JAMES G. MORROW RICHARD B. O'PLANICK TODD A. RATHE MICHAEL D. RECHTIN CHRISTOPHER M. TUROSKI<br>JAMES A. WILKE JOSEPH N. ZIEBERT WALTER E. ZIMMERMAN

and I request that all correspondence be directed to:

| Steven C. Becker |
| :--- |
| FOLEY \& LARDNER |
| Firstar Center |
| 777 East Wisconsin Avenue |
| Milwaukee, Wisconsin $53202-5367$ |
|  |
| Telephone: |
| Facsimile: |

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the


Atty. Dkt. No. 57103/114


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

| Applicant: | Bernstein et al. |
| :--- | :--- |
| Title: | System And Method For <br> Streaming An Enhanced Digital <br> Video File |
| Appl. No.: | Unknown |
| Filing Date: Unknown |  |
| Examiner: | Unknown |
| Art Unit: | Unknown |


| CERTIFICATE OF EXPRESS MAILING |  |
| :---: | :---: |
| I hareby certify that his correspondence is being deposited with the United States Postal Service's "Express Mail Post |  |
|  |  |
| Office To Addressee" service under 37 C.F.R. 51.10 on |  |
| the date indicated below and is addressed to: AssistantCommiasioner for Patents. Weshington, D.C. 20231. |  |
|  |  |
| EL640468345uS | June 5, 2000 |
| (Express Mail Label Number) | \{Date of Deposit) |
| Shirley Miksa |  |
| [Printed Name] |  |
| nulu |  |
| (Sigmeture) |  |

## UTILITY PATENT APPLICATION <br> TRANSMITTAL

## Assistant Commissioner for Patents

Box PATENT APPLICATION
Washington, D.C. 20231
Sir:
Transmitted herewith for filing under 37 C.F.R. § 1.53(b) is the nonprovisional utility patent application of:

Eliot I. Bernstein
Zakirul A. Shirajee
Enclosed are:
[ X ] Specification, Claim(s), and Abstract (29 pages).
[ X ] Informal drawings (3 sheets, Figures 1-3).
[ X ] Unexecuted Declaration and Power of Attorney (4 pages).
[ X ] Preliminary Amendment.
[ ] Assignment of the invention to lviewit.com, Inc..
[ ] Assignment Recordation Cover Sheet.
[ ] Check in the amount of $\$ 40.00$ for Assignment recordation.
[ ] Small Entity statement.
[ ] Information Disclosure Statement.
[ ] Form PTO-1449 with copies of $\qquad$ listed reference (s).

The filing fee is calculated below:

: ] A check in the amount of $\$ 816.00$ to cover the filing fee is enclosed.
[ X ] The required filing fees are not enclosed but will be submitted in response to the Notice to File Missing Parts of Application.
[ ] The Assistant Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Assistant Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Please direct all correspondence to the undersigned attorney or agent at the address indicated below.

Date


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5571
Facsimile: (414)297-4900

Respectfully submitted,


Attorney for Applicant
Registration No. 42,308

Patent Application
Drawing(s) 3 sheets (F)
Check $\$$ No.:
Declaration \& Power of Attorney

DUE DATE:

# UNITED STATES PATENT APPLICATION 

for

## SYSTEM AND METHOD FOR

## STREAMING AN ENHANCED DIGITAL VIDEO FILE

| elot |
| :--- |
| Note |
| Missing Rosario as inventor. <br> Title is wong. <br>  <br>  <br>  <br>  |



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO FILE CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, U.S. Provisional Application No. 60/169,559, filed December 8, 1999, and PCT International Application No. $\qquad$ , filed June 2, 2000.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection (e.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

## EXHIBIT H

US PATENT 09/587,730


UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
Address: COMMISSIONER OF PATENT AND TRADEMARKS
Washington, D.C. 20231

| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
| :---: | :---: | :---: | :---: |
| 09/587,730 | 06/05/2000 | Eliot I. Bernstein | 57103/114 |

## Steven C Becker <br> Foley \& Lardner

Firstar Center
777 East Wisconsin Avenue
Milwaukee, WI 53202-5367
Date Mailed: 08/04/2000

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

Filing Date Granted
An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.

Applicant must submit \$ 690 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

- Total additional claim fees(s) for this application is $\$ 126$.
$\$ 126$ for 7 total claims overter
- The oath or declaration is unsigned.
- To avoid abandonment, a late filingter or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of $\$ 130$ foranon-smantentity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is $\$ 946$.

A copy of this notice MUST be returned with the reply.


Applicant: Bernstein et al.

| Title: | System And Method For |
| :--- | :--- |
|  | Streaming An Enhanced Digital |
|  | Video File |

Appl. No.: $\quad 09 / 587,730$
Filing Date: 6/05/2000

## CERTIFICATE OF MAILING

 hereby certify that thls correspondence is being deposited with the United States Postal Service with sufficient post的e as First Class Mail in an envelope addressed to: Commisaionar for Patents, Washington, D.C. 20231, on the date below.

Art Unit: 2711

## TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION

Commissioner for Patents
Washington, D.C. 20231
Attn: BOX MISSING PARTS
Sir:
In response to the Notice to File Missing Parts of Application mailed on August 4, 2000, in the above-identified application, transmitted herewith are the missing parts needed to complete the filing of the subject patent application.
[ X ] Declaration and Power of Attorney (4 pages) enclosed
[ X ] Copy of Notice to File Missing Parts (Part 2 of Form PTO-1533)
[ X ] Applicant is entitled to Small Entity status
[ X ] Check in the amount of $\$ 483.00$ in payment of $\$ 355.00$ Basic filing fee, $\$ 63.00$ additional total claims fee, $\$ 65.00$ late filing fee (37 C.F.R. § $1.16(\mathrm{e})$ ) enclosed
[ ] Please charge Deposit Account No. 06-1447 in the amount of $\qquad$ in payment of surcharge fee (37 C.F.R. § 1.16 (e))

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 C.F.R. $\S \S 1.16-1.17$, or credit any overpayment, to Deposit Account No. 06-1447. Should no proper payment be enclosed
herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 06-1447.

Respectfully submitted,


FOLEY \& LARDNER
Firstar Center
777 East Wisconsin Avenue Milwaukee, Wisconsin 53202-5367
Telephone: (414) 297-5718
Facsimile: (414) 297-4900


Douglas A. Boehm
Attorney for Applicant
Registration No. 32,014

## DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I HEREBY DECLARE:

THAT my residence, post office address, and citizenship are as stated below next to my name:

THAT I believe I am the original, first, and sole inventor (if only one inventor is named below) or an original, first and joint inventor (if plural inventors are named below or in an attached Declaration) of the subject matter which is claimed and for which a patent is sought on the invention entitled

System And Method For Streaming An Enhanced Digital Video File
(Attorney Docket No. 57103/114)
the specification of which (check one)
is attached hereto.
Was filed on June 5, 2000 as United States Application Number
$09 / 587,730$.

THAT I do not know and do not believe that the same invention was ever known or used by others in the United States of America, or was patented or described in any printed publication in any country, before / (we) invented it;

THAT I do not know and do not believe that the same invention was patented or described in any printed publication in any country, or in public use or on sale in the United States of America, for more than one year prior to the filing date of this United States application:

THAT I do not know and do not believe that the same invention was first patented or made the subject of an inventor's certificate that issued in any country foreign to the United States of America before the filing date of this United States application if the foreign application was filed by me (us), or by my (our) legal representatives or assigns, more than twelve months (six months for design patents) prior to the filing date of this United States application;

THAT I have reviewed and understand the contents of the above-identified specification, including the claim(s), as amended by any amendment specifically referred to above;

THAT I believe that the above-identified specification contains a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the invention, and sets forth the best mode contemplated by me of carrying out the invention; and

THAT I acknowledge the duty to disclose to the U.S. Patent and Trademark Office all information known to me to be material to patentability as defined in Title 37, Code of Federal Regulations, §1.56.

I HEREBY CLAIM foreign priority benefits under Title 35, United States Code $\$ 119(\mathrm{a})$-(d) or $\S$ 365(b) of any foreign application(s) for patent or inventor's certificate, or $\$ 365$ (a) of any PCT international application which designated at least one country other than the United States of America, listed below and have also identified below any foreign application for patent or inventor's certificate or of any PCT international application having a filing date before that of the application on which priority is claimed.

| Prior Foreign <br> Application Number | Country | Foreign Filing Date | Priority <br> Claimed? | Certified <br> Copy <br> Attached? |
| :--- | :---: | :---: | :---: | :---: |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

I HEREBY CLAIM the benefit under Title 35, United States Code 5 119(e) of any United States provisional application(s) disted below.

| U.S. Provisional Application Number | Filing Date |
| :---: | :---: |
| $60 / 137,297$ | June 3, 1999 |
| $60 / 155,404$ | September 22, 1999 |
| $60 / 169,559$ | December 8, 1999 |

I HEREBY CLAIM the benefit under Title 35, United States Code, 5120 of any United States application(s), or $\$ 365$ (c) of any PCT international application designating the United States of America, listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States or PCT International application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose information which is material to patentability as defined in Title 37, Code of Federal Regulations, $£ 1.56$ which became available between the filing date of the prior application and the national or PCT international filing date of this application.

| U.S. Parent <br> Application Number | PCT Parent <br> Application Number | Parent <br> Filing Date | Parent <br> Patent Number |
| :---: | :---: | :---: | :---: |
|  | PCT/US00/15408 | June 2,2000 |  |
|  |  |  |  |
|  |  |  |  |

I HEREBY APPOINT the following registered attorneys and agents of the law firm of FOLEY \& LARDNER to have full power to prosecute this application and any continuations, divisions, reissues, and reexaminations thereof, to receive the patent, and to transact all business in the United States Patent and Trademark Office connected therewith:

RUSSELL J. BARRON
DAVID J. BATES
STEVEN C. BECKER
DOUGLAS A. BOEHM
EDWARD W. BROWN
CHARLES G. CARTER
ALISTAIR K. CHAN

Reg. No. 29,512
Reg. No. 39,902
Reg. No. 42,308
Reg. No. 32,014
Reg. No. 22,022
Reg. No. 35,093
Reg. No. 44,603

| JOHN C. COOPER III | Reg. No. | 26,416 |
| :--- | :--- | :--- |
| JEFFREY N. COSTAKOS | Reg. No. | 34,144 |
| WILLIAM J. DICK | Reg. No. | 22,205 |
| BARRY L. GROSSMAN | Reg. No. | 30,844 |
| PAUL S. HUNTER | Reg. No. 44,787 |  |
| KATHERINE D. LEE | Reg. No. 44,865 |  |
| KEITH D. LINDENBAUM | Reg. No. 40,365 |  |
| DAVID G. LUETTGEN | Reg. No. | 39,282 |
| RICHARD J. MC KENNA | Reg. No. 35,610 |  |
| JAMES G. MORROW | Reg. No. 32,505 |  |
| TODD A. RATHE | Reg. No. 38,276 |  |
| MICHAEL D. RECHTIN | Reg. No. 30,128 |  |
| CHRISTOPHER M. TUROSKI | Reg. No. 44,456 |  |
| JAMES A. WILKE | Reg. No. 34,279 |  |
| JOSEPH N. ZIEBERT | Reg. No. 35,421 |  |
| WALTER E. ZIMMERMAN | Reg. No. | 40,883 |

and I request that all correspondence be directed to:

Steven C. Becker<br>FOLEY \& LARDNER<br>Firstar Center<br>777 East Wisconsin Avenue<br>Milwaukee, Wisconsin 53202-5367<br>Telephone: (414) 297-5571<br>Facsimile: (414) 297-4900

I UNDERSTAND AND AGREE THAT the foregoing attorneys and agents appointed by me to prosecute this application do not personally represent me or my legal interests, but instead represent the interests of the legal owner(s) of the invention described in this application.

I FURTHER DECLARE THAT all statements made herein of my own knowledge are true, and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application or any patent issuing thereon.


| Name of second inventor | Zakirul A. Shirajee |  |
| :--- | :---: | :---: |
| Residence | Boca Raton, Florida |  |
| Citizenship | Bangladesh |  |
| Post Office Address | 9485 Boca Cove Circle, \#708, Boca Raton, Florida |  |
| Inventor's signature |  |  |

## EXHIBIT H

PCT US 0015405

# PCT INTERNATIONAL PATENT APPLICATION 

> for

## SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE

777 E, WIsconsin Avenue
Milwaukee, Wisconstn ..... 53202

57103/112

WHAT IS CLAIMED IS:

1. A mathod of providing a streaming video file, comprlsing:
providing digital video data having a capture frame slze of at least 69,300 plxels per frame; and
converting the digital video data to a streaming video fils having a converted frame size of at least 69,300 pixels per trame.
2. The method of claim 1, whersin the capture frame size has an aspect ratic of $4: 3$ and the converted frame size of has an aspect ratio of 4:3.
3. The methed of claim 2, wherein the capturs frame size is at least $304 \times 228$ pixels and the converted frame size is at least $304 \times 228$ pixels.
4. The method of claim 3, wherein the capture frame size Is approximately $320 \times 240$ pixels and the converted frame size is approximately $320 \times 240$ pixels.
5. The methed of claim 1, whereln the step of providing includes capturing a video signal.
6. The method of claim 5 , wherein the step of providing includes digitizing the video signal to generate the digital video data.
7. The method of claim B, wherein the step of providing Includes storing the captured video data as a data file $\ln$ a storage

## Foley \& LARDNER

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CHICAGO
DENVER JACKSONVILLE LOS ANGELES MADISON MILWAUKEE ORLANDO

EMAIL ADDRESS sbecker@foleylaw.com

FIRSTAR CENTER 777 EAST WISCONSIN AVENUE MILWALKEE, WISCONSIN 53202-5367 TELEPHONE (414)271-2400

$$
\text { FACSIMILE }(414) 297-4900
$$

Via Facsimile

June 1, 2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Roca Place
2255 Glades Road, Suite 337 West
Coca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Providing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/111

Re: PCT International Patent Application
Title: System and Method for Providing an Enhanced Digital Video File
Inventors): Bernstein et al.
Our Ref.: 57103/112
Re: PCT International Patent Application
Title: System and Method for Playing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/113
Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and third patent applications is substantially identical to the first application.

As you know, a careful and critical review of these draft patent applications by you and the inventors is imperative to ensure that you are all satisfied with the content of the

Mr. Brian G. Utley
June 1, 2000

## Page 2

application and, in particular, with the proposed claim scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.


Enclosure(s)
cc: Douglas A. Boehm

# PCT INTERNATIONAL PATENT APPLICATION 

## for <br> SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE

Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FL 33432-6080 Citizenship: U.S.<br>Brian 6.utler Zakirul A. Shirajee 9485 Bea Cove Circte \#708 Boca Raton, FL 33428 Citizenship: Bangladesh<br>Jude R. Rosario<br>5580 NW 61 Street<br>Apt \#625<br>Coconut Creek, FL 33073<br>Citizenship: Bangladesh

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202

$$
: \quad-
$$



PCT INTERNATIONAL PATENT APPLICATION
for

## SYSTEM AND METHOD FOR PROVIDING

## AN ENHANCED DIGITAL VIDEO FILE



Inventors: Eliot I. Bernstein<br>500 S.E. Mizner Boulevard Boa Rato, FL 33432-6080 Citizenship: U.S.

## $\checkmark$ Zakirul A. Shirajee

 9485 Boa Cove Circle \#708Boga Rato, FL 33428 Citizenship: Bangladesh

Jude R. Rosario
5580 NW 61 Street
Apt \#625
Coconut Creek, FL 33073
Citizenship: Bangladesh
FOLEY \& LARDNER
BRIAN
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## EXHIBIT H

PCT US 0015406

## PCT INTERNATIONAL PATENT APPLICATION

## for

## SYSTEM AND METHOD FOR



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202

## PCT INTERNATIONAL PATENT APPLICATION

for<br>SYSTEM AND METHOD FOR

PLAYING A DIGITAL VIDEO FILE

| eligt |
| :--- |
| Note |
| Never filed and Utley replaces |
| Rosaria, this is probably what the |
| inventors saw wher they signed |
| the application. |
|  |



FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## Foley $\& ~ L A R D N E R$

Chicago
DENVER JACKSONVLLE LOS ANGELES MADISON MILWAUKEE ORLANDO

EMAIL ADDRESS sbecker@foleylaw.com

## FIRSTAR CENTER

 777 EAST WISCONSIN AVENUE MILWALKEE, WISCONSIN 53202-5367 TELEPHONE (414)271-2400 FACSIMILE (414)297-4900
## Via Facsimile

SACRAMENTO SAN DIEGO SAN FRANCISCO TALLAhassee TAMPA WASHINGTON, DEC. WEST PALM BEACH

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley

President
Iviewit.com, Inc.
One Roca Place
2255 Glades Road, Suite 337 West
Coca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Providing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/111

Re: PCT International Patent Application
Title: System and Method for Providing an Enhanced Digital Video File
Inventors): Bernstein et al.
Our Ref.: 57103/112
Re: PCT International Patent Application
Title: $\quad$ System and Method for Playing a Digital Video File Inventors): Bernstein et al.
Our Ref.: 57103/113
Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and third patent applications is substantially identical to the first application.

As you know, a careful and critical review of these draft patent applications by you and the inventors is imperative to ensure that you are all satisfied with the content of the

Mr. Brian G. Utley
June 1, 2000

## Page 2

application and, in particular, with the proposed claim scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.


Enclosure(s)
cc: Douglas A. Boehm

# PCT INTERNATIONAL PATENT APPLICATION 

for<br>\section*{SYSTEM AND METHOD FOR}

## PLAYING A DIGITAL VIDEO FILE



## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## WHAT IS CLAIMED IS:

1. A method of playing a digital video file, comprising: providing a digital video file in a first storage device; downloading a first portion of the digital video file across a network to a computer having a second storage device;
simultaneously playing the first downloaded portion from the second storage device and downloading a second portion of the digital video file.
2. The method of claim 1 , further comprising capturing a video signal to generate the digital video file.
3. The method of claim 2, further comprising compressing the captured video signal, wherein the digital video file is compressed.
4. The method of claim 3, wherein the digital video file is compressed into an MPEG format.
5. The method of claim 1, wherein the network is the Internet.
6. The method of claim 1 , wherein at least $5 \%$ of digital video file is downloaded before the step of simultaneously playing.
7. The method of claim 1, wherein the second storage device includes a magnetic storage device.
8. The method of claim 7, wherein the second storage device is a hard drive.

From the RECEIVING OFFICE
To:
DOUGLAS A. BOEHM
FOLEY \& LARDNER
777 EAST WISCONSIN AVENUE
33RD FLOOR
MILWAUKEE, WI 532025367

PCT
DOUGLAS A. BOEHM
FOLEY \& LARDNER
77 EAST WISCONSIN AVENUE
INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION
(PCT Articles 3(4)(i) and 14(1) and Rule 26)

I. The applicant is hereby invited, within the time limit indicated above, to correct the defects in the international application as filed, the defects specified on the attached


Annex A
Annex BI (text matter of the international application as filed)
Annex Cl (drawings of the international application as fled)
2. The applicant is hereby invited, within the time limit indicated above, to correct the defects in the translation of the international application fumished under Rule 12.3, the defects specified on the attached


Annex A
Annex B2 (text matter of the translation of the international application)
Annex C2 (drawings of the translation of the international application)
Additional observations (if necessary):

## HOW TO CORRECT THE DEFECTS?

Correction must be submitted by filing a replacement sheet embodying the correction and a letter accompanying the replacement sheet, which shall draw attention to the difference between the replaced sheet and the replacement sheet. A correction may be stated in a letter only if it is of such a nature that it can be transferred from the letter to the record copy without adversely affecting the clarity and direct reproducibility of the sheet onto which the correction is to be transferred (Rule 26.4(a)).

## ATTENTION

Failure to correct the defects will result in the international application being considered withdrawn by this receiving Office (see Rule 26.5 for further details).

A copy of this invitation and any attachments has been sent to the International Bureau $\mathbf{X}$ and the International Searching Authority.

| Name and mailing address of the receiving Office | Authorized officer |
| :--- | :--- |
| Assistant Commissioner for Patent | Virginia L. Irby |
| Box PCT | Telephonc No. 703-305-3748 |

Fom PCT/RO/106 (July 1998)

## ANNEXA TO FORM PCT/RO/106

The receiving Office has found the following defects in the international application as filed:

1. As to signature* of the international application (Rulcs 4.15 and 90.4), the request:
a. $\qquad$ is not signed.
b. $\qquad$ is notsigntebyallapplicants.
 is not accompanied by the statement referred to in the check list in Box No. VIII of the request explaining the lack of the signature of an applicant for the designation of the United States of America.
d. $X$ is signed by what apptars to be an agenticommon representative but
$X$ the international application is not accompanied by a power of attomey appointing him. the powerof attorney accompanying the international application was not signed by all the applicants.
e. $X$ other (specijy):

## The signature is also required for the applicant/inventors.

* All applicants must sign, including inventors if they are also applicants (e.g. where the United States of Amcrica is designated).

2. Anstoindications concerning the applicant, the request (tures 4-4 4.5).
a. $\lceil$ does not properly indicate the applicants name (spect)y).
b.does not indicate the applicant's address.
c.does notproperly indicate the applicant's address (specifi):
d.does not indicate the applicant's nationality.
e. $\square$ does not indicate the applicant's residence.
f.other (specify):
3. As to the language of certain elements of the international application, other than the description and claims (Rules 12.1(c) and 26.3ter (a) and (c) :
a.
the request is not in a language which is both a language accepted by this receiving Office and a language of publication, which is (are):
b.the text matter of the drawings is not in the language in which the intemational application is to be published, which is:
c.the abstract is not in the language in which the international application is to be published. which is:
4. The title of the invention:
a.
$\square$ is not indicated in Box No. I of the request (Rule 4.1(a)).
b.is not indicated at the top of the first sheet of the description (Rule 5.1(a)).
c. as appearing in Box No. I of the request is not identical with the title heading the description (Rule 5.1 (a)).
5. As to the abstract (Rule 8):
the intemational application does not contain an abstract.
Form PCT/RO/106 (Annex A) (July 1998)

The receiving Office has found that, with regard to the presentation of the drawings of the international appichementrmatit physical requirements are not complied with to the extent that compliance thercwith is necessary for:

1. $\square$ reasonally uniform international publication (Rules 11 and 26.3 (a)(i)) (defects to be speciffea)

## Sheets containing drawings:

a. $\square$ the shects do not admit of direct reproduction.
b. $\square$
the sheets are not free from creases, cracks, folds.
one side of the sheets is nol left unused.
the paper of the sheets is not flexible/strong/white/smooth/non-shiny/durable.
the drawings do not commence on a new sheet.
the sheets are not connected as prescribed (Rule $11.4(b)$ ).
the sheets are not A 4 size ( $29.7 \mathrm{~cm} \times 21 \mathrm{~cm}$ ).
the minimum margins on the sheets are nol as prescribed Fig. 2-3
(top: 2.5 cm ; left side: 2.5 cm ; right side: 1.5 cm ; bottom: 1 cm ).

he file reference number indicated on the shcets does not appear in the left-hand corner of the sheets, within 1.5 cm of the top of the sheets.

the file reference number exceeds the maximum of 12 characters.
the sheets are not free from frames around usable or used surfacts.
the sheets are not numbered in consecutive Arabic numerals (e.g. 1/3,2/3, 3/3). $\mathrm{A} / /$
the sheet numbers are not centered at the top or bottom of the sheets.
the sheet numbers arce in the margin (see h. above for the size of the margins).
the sheets contain allerations/overwritingsinterlineations/too many erasures.
the sheets contain photocopy marks.
Drawings (Rute 11.13):

do not admitefdirect reproduction.
contain unnecessary text matter.
contain words so placed as to prevent translation without interference with lines thereof, are not executed in durable black color; the lines are not uniformly thick and well-defined. Fig. /-3 contain cross-sections not properly hatched.
would not be properly distinguishable in reduced reproduction.
contain scales not represented graphically.
contain numbers, letters and reference lines lacking simplicity and clarity. Fig. $/-3$
contain lines drafted without the aid of drafting instruments.
contain disproportionate elements of a figure not necessary for clarity. contain numbers and letters of height less than 0.32 cm . contain letters not conforming to the Latin, and where customary, Greek alphabets.
contain figures on two or more sheets which form a singic complete figure but which are not able to be assembled without concealingpars thereof.
contain figures which are not properly arranged and clearly separated. contain different figures not numbered in consecutive Arabic numerals. contain different figures not numbered independent of the numbering of the sheets. are not restricted to reference signs mentioned in the description. do not contain reference signs that are mentioned in the description. contain the same fealure denoted by different reference signs. are not arranged in an upright position, clearly separated from one another. are not presented sideways with the top of the figures at the left side of the sheets.
2. $\square$ satisfactory reproduction (Rules 11 and 26.3(b)(i)).

Furtherobservations (ifneccesary):
Shading or solid black objective figure number

## New drawings are required



| International ayplication No. | International filing date | Priority Date Claimed |
| :---: | :---: | :---: |
| PCT/USO0/15406 | 02 JUN 00 | 03 JUN 99 |

C. X In order that U.S. National processing may begin, certain items must be received by the DO/EO/US by the expiration of applicable time limit under

PCT Article 22 or
PCT Article 39
Specifically:

1. U.S. National Fcc
2. Oath or Declaration

3 Copy of Applieation
4. Translation of application

X 5. Amendments under PCT Article 19, if any
$\square$ 6. Translation of PCT Article 19 Amendments, if applicable
7. Scarch Report or PCT Article 17(2) declaration
$\square$ 8. Intemational Preliminary Examination Report and its Annexcs, if any, under PCT Article 36(3)(a), if applicable
$\square$ 9. Translation of Annexs to the International Preliminary Examination Report under PCT Article 36(3)(b), if appliable

THEABOVE CHECKITEMSMUST BE TIMELYRECEIVED TOAVOID ABANDONMENTOFTHEAPPLICATION. [35. USS.C. 371(d)]
D. Further information for the applicant:

This is only a reminder.

UNITED STATES DESIGNATED/ELECTED OFFICE


## Foley \& Lardner

CHICAGO DENVER JACKBONWHLE LOS ANGELES

EMAMADEDRESS

August 11, 2000

Mr. Brian G. Utley<br>President \& COO<br>Iviewit.com, lac.<br>One Boca Place<br>2255 Glades Road, Suite 337 West<br>Boca Raton, Florida 33431

Re: PCT International Patent
Application No.: PCT/US00/15406
Filing Date: $\quad 602 / 2000$
Title: System and Method for Playing a
$\quad$ Digital Video File
Applicant: Iviewitcom
Our Ref: $57103 / 113$

Dear Brian:

Enclosed for your records is a copy of the Response to the Invitation to Correct Defects in the International Application, which was filed with the United States Patent and Trademark Office on August 2, 2000, in connection with the above-identified application.

We will keep you informed of all future developments as they occur. Please feel free to contact me with any questions or comments regarding this matter.

Very truly yours,


Douglas A. Boehm
Enclosure (s)

## TRANSMTITAL LETTER TO THE UNITED STATES RECEIVING OFFICE

| Date | O2 August $2000^{\circ}$ |
| :--- | :--- |
| Intertational Application No. | PCTUSOAH15406 |
| Altoney Docket No. | 5703113 |

I. Certification under 37 CFR 1.10 (if applicable)

| EL 640466596 US |
| :---: |
| Express Mail mailing inumber |


|  | 02 August 2000 |
| :--- | :--- |
|  | Date of Deposit |

I hercby certify that the applicationiconespordence attached hereto is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" serwice under 37 CFR 1.10 on the dale indicated above and is addressed to the Cummissigner of Patents and Trademarks. Washirgton, D.C. 20231

| Signature of perton mailing correspopdence | Shirlay M. Miksia |
| :---: | :---: |

## П. [] Nest InterDational Applicution

| TTTLE | SYSTEM AND METHOLD FOR FLAYING A DIGITAL VIDEO FLLE | Earliest priority date <br> (Day/Month/Year) |
| :--- | :--- | :--- |

SCREENTNG DISCLOSLRE INFORMATION: In order to assigt in serernity the accompanying intentational appligaign firs purpoges of determining whether a license for foreign trantmital should and could be granued and for other pruposes, lhe following informatiun is surplied. (Note: check as miany boxes as apply):
A. 1] The intention disclosed was wot made in the United States.
B. [] There is no prios U.S. application relating to this invention.
C. [] The frilowing prior U.S. application(s) contain subject matlet which is related to the invention disclosed in the attoched inlemational application. (NOTE; prionity to these mponications may of may rat be claimed on form PCT/RO/IOI ('Request) and dius listing does nor constitute a ciam for prianity

| Applicarion ne. |  | application no. |  |
| :--- | :--- | :--- | :--- |
| Application nu. |  | application no. |  |

D. [ TThe presem international ajpplication [] is idertical to [ ] contains less subjert mater than that found in the prior U.S application(s) identifiod in paragraph Cabove.
E. [ TThe present interrational application [ ] emains additional subject mater net found in the priur U.S. application(s) idenlified in paragraph $C$ above. The additional sulyect matter is found throughour the application ath [ ] DOES NOT ALTER [ I MLGHT BE


III. [X] Response to an Invitation from the ROUS. The Following document(s) is(are) enclosed:
A. [X] A Responge to the Inviation to Correct Defects in the Inturnational Applitation
B. [X] Three (3) Powers of Attorncy (Genera)
C. [k] Replaciement pager:

D. [J Submission of Priarity Doctuments
E. [1 Fees as specified on actached Fex Calculation sheet form PCT/ROINO] annex
[Y. [] A Request For Rectification under PCT Rule 91 [ ] A Petition $\quad$ [ A Sequence Listing Diskette
V. [X] Other (pleare Identify): Postcart

The person signing this form is the: $\square$ Applicart
Attorney/Agent (Reg. Nd. 32.014) Common Represethative


## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



## RESPONSE TO THE INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION

Assistant Commissioner for Patents ATTN: RO/LS
Box PCT
Washington, D.C. 20231

Sir or Madam:

In response to the Invitation to Correct Defects in the International Application (Form PCT/RO/106) dated 03 July 2000, Applicant respectfully submits three (3) dulyexecuted PCT General Appointments of Agent, and three (3) sheets of formal drawings, for filing in connection with the above-identified application.

Respectfully submitted,


Dated: 02 August 2000
Foley \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OFAGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of FIrstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin $53202-5367$, telephone (414) $271-2400$ to act on its behalf before the competent International Authorities in connection with any and all international applications filed by it. and to receive payments on its behalf.

Signed this $\qquad$ day of in U.S.A.


FOR: IVIEWIT HOLDINGS, INC.
One Broca Place
2255 Glades Road, Suite 337 West
Dea Rotor, Florida 33431 United States of Attica

BY:

## Title:



## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigoed applicant hereby appoints, irdividually and collectively,

## FOLEY \& LARDNER

apd

| RUSSELIL J, BARRON | Rept. No. | 29,512 |
| :---: | :---: | :---: |
| DAVID J. BATES | Reg. No. | 39,902 |
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| DOUGLAS A BDEHDM | Reg. No. | 32,014 |
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| JOHN C. COOPER II | Reg. No. | 26,416 |
| JEFRREY N. COSTAKOS | Rep. Nó. | 34,144 |
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| PAUL S. HUNTER | Reg. No. | 4,777 |
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| KEITH D, LINDENBAUM | Ret. Mo. | 40,360 |
| DAVID G. LUETTGEN | Reg. No. | 39282 |
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| TODD A. RATHE | Res. No. | 38,276 |
| MICHAEL D. RECHITN | Rey. No. | 30,128 |
| CHRISTOPHER M. TJPOSK | Rep. No. | 44,4,6 |
| JAMES A WILKE | Reg. No. | 34,773 |
| JOSEPH N. ZIEPERT | Rep. No. | 35,421 |
| WhiLTER E. ZOMMERMAN | Rop. No. | 40,883 |

attormys at law of Firstar Center, 777 East Wisconsid Avenue, Milwaulkee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before te competent Intermational Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.


## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before the conxpetent International Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.

Signed this 31 day of $]_{\text {Lh a }} 2000$ at Boca- $R$ atom, in U.S.A.


## DRAFT

## PCT INTERNATIONAL PATENT APPLICATION




## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR PROVIDING AN ENHANCED DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999, and U.S. Provisional Application No. 60/169,559, filed December 8, 1999.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A plug-in to a web browser, such as Rear Proper a Ubesice prater Netscape Navigator, decompresses and plays the data as it is transferred to a user computer over the World-Wide Web, Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a fast. connection and a computer powerful enough to execute the decompression algorithm in real time.

In the field of streaming video, the primary design challenge is that the viewer desires perfect video quality over a

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or Becker selling how they did
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remains off.

## SYSTEM AND METHOD FOR PROVIDING

## A DIGITAL VIDEO FILE



## Foley \& LARDNER

FIASTAG CENTER 777 EAST WISCOMEIN AVENUE MLWAUKEE, W|SCONSIN 53202.5367 TELEPHONE (4|4) $271-2400$ FACSIMILE $441412.97-4900$

August 1, 2000

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Streaming an Enhanced Digital Video File
Applicant: Iviewit Holdings, Inc. Our Ref.: 57103/111

## Dear Brian:

We are pleased to confirm that the above-identified application was filed with the U.S. Receiving Office on June 2, 2000, and accorded Application Number PCT/US00/15408. In accordance with your instructions, all PCT member countries were designated, and the European Patent Office was appointed as the International Searching Authority for this matter. Enclosed for your records is a copy of the application as filed, the transmittal of filing fees, and the related notification from the United States Receiving Office.

Please note that the deadine for entering the national phase of this application is February 3, 2001. National phase may be deferred for another ten months, until December 3, 2001, by filing a Chapter II Demand for International Preliminary Examination. This Demand must be filed by Jamuary 3, 2001. For any country in which we do not meet the national phase deadline or, alternatively, the International Pretiminary Examination deadine, the PCT application will be considered withdrawn.

## EXHIBIT H

PCT US 0015408

## PCT INTERNATIONAL PATENT APPLICATION

for

## SYSTEM AND METHOD FOR PROVIDING

## A DIGITAL VIDEO FILE

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| :--- |
| Note |
| Never Filed |
|  |
|  |

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## Facsimile Transmission

$\square$

Total \# of Pages $\mathbf{3 1}$ (including this page)

|  | TO: | PHONE: |
| :---: | :---: | :---: |
| Brian Utley | FAX \#: |  |


| From: | Steve Becker |
| ---: | :--- |
| Sender's Direct Dial: | $(414) 297-5571$ |
| Date; | June 2,2000 |
| Client/Matter No: | $57103 / 111$ |
| User in No: | 1963 |

## MESSAGE:

## CONFIDENTIAL AND PRIVILEGED

Brian: Attached is a fourth draft of the patent application (our reference number 57103/111). Please review per the instructions in my prior letter of May 29, 2000. In particular, please ensure that all named inventors (Eliot and Zane) and you read and understand the proposed claim scope. If you have any questions, please contact me. - Steve

If there are any problems with this transmission ar if you have not
received all of the pages, please coll (414) 297-6444,

| Operator: | Time Sort: |
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 CONEIDENTAL USE OE - HE DESIGNATED RECiPIENTS NAMED A DOVE THIS MESSAGE MAY GE AN ATTORNGY-CLHENT
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| chirajee does not get fled on this application as an inventor, only am applicant |  |

## PCT INTERNATIONAL PATENT APPLICATION

## for <br> SYSTEM AND METHOD FOR <br> STREAMING AN ENHANCED DIGITAL VIDEO FILE



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777 E. Wisconsin Avenue
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(414) 271-2400
(19) World Intellectual Properiy Organization International Bureau
(43) International Publication Date

14 December 2000 (14.12.2000)


PCT

## 

(10) International Publichtion Number WO 00/76220 A1
(51) International Patent Classification ${ }^{\top}$ H04N $7 / 173,7 / 24$
(21) Intemationat APplitation Number: PCT/USOO15409
(22) Interuational Filing Date 2 June 2000 (02.06.2000)
(25) Filing Language:

Enghish

English
(30) Priority Dats:

601137,297 3 Fure 1999 (03.06.1999) Us
601155.404 22 Septmber 1999 (22.09.1999) US 601169.559 \& Decenber 1999 ( 08.12 .1999 ) US
(1) Applicants for all designated Slates excepr USH: TVIEWIT HOLDINGS, INC. [USUS]; One Boca Place, 2255 Glades Ronad, Suik 397 West, Boca Ratom, Fle 33431 (US). SHIRAJEE, Zakirol, A. [BDUS]; 9485 Boca Cove Citcle, 7708, Boca Ralon, FL 33428 (US).
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(75) laventor/Applicanal for US oniyjt BERNSTEIN, EJiot
 33432-6080 (US).
(74) Agent: FOLEY \& LARDNER; 777 Esst Wisconsin Avenue, 33td Foor, Milwauke, WI 53202-5367 (US).
(81) Delitnated States (national): AE, AG, AL, AM, AT, AU, $A Z, B A, B B, B G, B R, B Y, C A, C H, C N, C R, C U, C Z, D E-$ $D K, D M, D Z, E E, E S, F I, G B, G D, G E, G H, G M, H R, H U$, $\mathrm{ID}_{3} \mathrm{~L}, \mathrm{IN}, \mathrm{IS}, \mathrm{JP}, \mathrm{KE}, \mathrm{KG}, \mathrm{KP}, \mathrm{KR}, \mathrm{KZ}, \mathrm{LG}, \mathrm{LK}, \mathrm{LR}, \mathrm{LS}$, $\mathrm{LT}, \mathrm{LU}, \mathrm{LW}, \mathrm{MA}, \mathrm{MD}, \mathrm{MG}, \mathrm{MK}, \mathrm{MN}, \mathrm{MW}, \mathrm{MX}, \mathrm{MZ}, \mathrm{NO}$, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, TZ, UA, UG, US, UZ, VN, YU, ZA, ZW.
(84) Designated Stetes (regional): ARIPO patent (GH, GM,徙, LS, MW, MZ, SD, SL, SZ, TZ, UG, ZW), Eurasian patent ( $\mathrm{AM}, \mathrm{AZ}, \mathrm{BY}, \mathrm{KG}, \mathrm{KZ}, \mathrm{MD}, \mathrm{RU}, \mathrm{TJ}, \mathrm{TM}$ ), Eurcpean patent (AT, BE CH, CY, DE, DK, ES, FI, FR, GB, GR, IP, TT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CO, $\mathrm{Cl}, \mathrm{CM}, \mathrm{GA}, \mathrm{GN}, \mathrm{GW}, \mathrm{ML}, \mathrm{MR}, \mathrm{NE}, \mathrm{SN}, \mathrm{TD}, \mathrm{TG})$.

## Publuthed:

- 倝h imemanional search report.

Before the enpiration of the titue limill for atending the clalms and to be republished' in the event of receipt of antendmentr.
(G4) Title: SYSTEM AND METHOD FOR STREAM NG AN ENHANGED DIGTIAL VIDEO FILE
For two-letiter codes ond other abbervialions, refer to the "Guidathe Noftes on Codes and Abbveviarions" appearing at the beginning ofeach regular issue of ibe PCT Gaxette.

(57) Abstract; A method of sireaming video includes providing a source video signal having a predevermined sourve video parameter, converting the soinse wideo signal to a streaming digial wideo file while maintaining substantialy the same source video
 a fall serven display mode; and playing the sotaming digital video file in the full screen display mode.

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| $\begin{gathered} \therefore \\ 6 / 8 / 00 \end{gathered}$ | tatent Information Print |  | $\bigcirc$ Page: 1 |
| :---: | :---: | :---: | :---: |
| Docket No | 57103/111 | Attorney | DOUGLAS A. BOEHM $V$ |
| Country | Patent Cooperation Treaty | Agent |  |
| Case Type | REGULAR CASE TYPE | cmlent Divis | IVIEWIT.COM |
| Relation Typ | ORIGINAL OR PARENT CASE | Current Owne | IVIEWIT HOLDINGS INC. |
| Filing Type | PATENT COORPERATION TREA | Drev Own | 1 |
| Filing No |  | Assoclate |  |
| Ag Ref No |  | Oper Grp |  |
| Status | FITED | First Filing | 03JE1999 |
| Sub Stet |  | Sub Stat Dt |  |
| Parent Count | United States | Parent Filin | $03 \mathrm{JE1999}$ |
| Parent No | 60/137297* | Parent Grant |  |
| Total Claims |  | Ind. Claims |  |
| Application | N/A | Application | 02JE2000L |
| Fatent No |  | Grant Dt |  |
| Publication |  | Publication |  |
| Assigned |  | Expiration D |  |
| Conv Type | FIRST FILED CASE | Tax Base Dt |  |
|  |  | Next Tax Dt |  |
| Customer | FLPP | Verified | N |


| Create Dt | 08 JE 2000 | Update User | KAS |
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| Update Dt | $08 J E 2000$ | Update Tm | $5: 44 \mathrm{FM}$ |



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| Act Due Date 03AU200** | DeadLr Dt |  |  |
| Taken Dt |  | Comp Dt | $03 A U 2000$ |

Resp Atty \#1

Resp Atty \#2
Action LETTER OF INSTRUCTION
Act Due Date 03AU2000 DeadLn Dt
Taken Et
Resp Atty \#1

Action DEADLINE FOR INSTRUCTIONS
Act Due Date 20AJ2000 DeadLn Dt
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Action CONFIRM DESIGNATION COUNT
Act Due Date 03SE2000*
Taken It
Resp Atty \#1

Comp Dt
Resp Atty \#2

Resp Atty \#2
DeadLn Dt

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Resp Atty \#2



## TRANSMITTAL LETTER TO TI INTIED STATES RECEIVING OFFICE

| Date | 02 June 2000 |
| :--- | :--- |
| International Application No. | Not yet ayailable |
| Attorney Docket No. | $57103 / 111$ |

I. Certification under 37 CFR 1.10 (if applicable) EL 640468230 US

Express Mail mailing number

| Date of Deposit 2000 |
| :---: | :---: |
| Dat |

I hereby certify that the applicacion/correspondence attached hereto is being deposited with the United States Postal Service "Express Mail Post Oftice to Addressec" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commissioner of Patents and Trademarks, Washington, D.C. 20231

II. [X] New International Application

| TITLE | SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO <br> FILE |
| :--- | :--- |$\quad$| Earliest priority date <br> (Day/Month/Year) |
| :--- |
| 03 June 1999 |

SCREENING DISCLOSLRE JNFORMATJON: In order to assist io screening the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be granted and for other purposes, the following information is supplied. (Note: check as many boxes as apply):
A. [] The iovention disclosed was not made in the United States.
B. [ $\}$ There is no prior U.S. application relating to this invention.
C. [X] The following prior U.S. application(s) contain subject matter which is related to the invention disclosed in the attached international application. (NOTE: priority to these applications may or moy not be claimed on form PCT/RO/IOI (Request) and this listing dies not constitute a claim for prionity)

| Application no. | $60 / 137,297$ | application no. | $60 / 155,404$ |
| :--- | :--- | :--- | :--- |
| Application no. | $60 / 169,559$ | application no. |  |

D. [ ]The presem imernational application [] is identical to [] contains less subject matter than that found in the prior U.S. application(s) identified in paragraph C above.
E. [X]The present international application [X] contains additional subject matter not found in the prior U.S. application(s) identified in paragraph C above. The additional subject matter is found throughout the application and [ X ] DOES NOT ALTER [ ] MIGHT BE CONSIDERED TO ALTER the general nature of the invention in a manner which would require the U.S. application to have been made available for inspection by the appropriate defense agencies under 35 U.S.C. 181 and 37 CFR 5.1. See 37 CFR 5.15.
III. [] Comments on the Amendments to the Abstract by the ISA/US under Rule 38. The following document(s) is(are) enclosed:
A. [1 A Response to the Invitation to Correct Defects in the International Application
B. [] A Power of Artorney (General or Regular)
C. [] Replacement pages:

| pages |  | of the request (PCT/RO/101) | pages |  | of the figures |
| :--- | :--- | :--- | :--- | :--- | :--- |
| pages |  | of the description | pages |  | of the |
| pages |  | of the claims |  |  | abstract |

D. [] Submission of Priority Documents
E. [1 Fees as specified on attached Fee Calculation sheet form PCT/RO/101 amex

TV. [] A Request for Rectification under PCT Rule 91 [] A Petition [] A Sequence Listing Diskette V. [ X ] Other (please identify): PCT-EASY Diskete; Fee Calculation Sheer; Check; and Postcard

The person signing this form is the: $\square$ Applicant Attorney/Agent (Reg. No. 32,014) Common Representative


Original (for SUEMISSION) - printed on 02.06.2000 09:09:15 PM

| $\begin{aligned} & \overline{0} \\ & 0-1 \end{aligned}$ | For receiving Office use only International Application No. |  |
| :---: | :---: | :---: |
| 0.2 | Intemational Filing Date |  |
| 0-3 | Name of recelving Office and "PCT International Application" |  |
| $\begin{aligned} & \overline{0-4} \\ & 0-4-1 \end{aligned}$ | Form - PCT/RO/101 PCT Request Prepared using | PCT-EASY Version 2.90 (updated 10.05.2000) |
| 0.5 | Petition The undersigned requests that the present international application be processed according to the Patent Cooperation Treaty |  |
| 0-6 | Receiving Office (specified by the applicant) | United States Patent and Trademark Office (USPTO) (RO/US) |
| 0-7 | Applicant's or agent's file reference | 57103/111 |
| 1 | Title of invention | SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO EILE |
| II | Applicant |  |
| II-1 | This person is: | applicant only |
| $11-2$ | Applicant for | all designated States except US |
| 11-4 | Name | IVIENIT HOLDINGS, INC. |
| 11.5 | Address: | One Boca Place <br> 2255 Glades Road <br> Suite 337 West <br> Boca Raton, FL 33431 <br> United States of America |
| 12.6 | State of nationality |  |
| il-7 | Stale of residence | US |
| II-8 | Telephone No. | $5619998899$ |
| 11-9 | Facsimile No. | 5619998810 |
| $\begin{aligned} & \begin{array}{l} \\|[-1 \\ \\| \mid-1-1 \end{array} \end{aligned}$ | Applicant and/or inventor This person is: | applicant and inventor |
| IIII-1-2 | Applicant for | US only |
| III. -14 | Name (LAST, First) | EERNSTEIN, Eliot, I. |
| 111-1-5 | Address: | 500 S.E. Mizner Boulevard Boca Raton, EL 33432-6080 United States of America |
| III-1-6 | State of nationality | US |
| \|IIT-1-7 | State of residence |  |


|  | $\cdots$ | $\bigcirc$ |
| :---: | :---: | :---: |
| PCT REQUEST |  | $2 / 4$ |
| III.2 | Applicant andior inventor | applicant only <br> all designated States except US SHIRAJEE, Zakirul, A. <br> 9485 Boca Cove Circle, \#708 Boca Raton, FL 33428 United States of America BD |
| 118-2-1 | This person is: |  |
| 111-2-2 | Applicant tor |  |
| 111-2-4 | Name (LAST, First) |  |
| 111-2-5 | Address: |  |
| III-2-6 | Slate of nationality |  |
| 111-2-7 | State of residence |  |
| IV-1 | Agent or common representative; or address for correspondence The person identified below is herebyhas been appointed to act on behalf of the applicant(s) before the competent Intemational Authorities as: | agent |
| \|V.1-1 | Name | FOLEY \& LARDNER |
| \|V-1-2 | Address: | 777 East Wisconsin Avenue 33rd Floor <br> Milwaukee, WI 53202-5367 <br> United States of America |
| \|V-1-3 | Telephone No. | 414 271-2400 |
| IV-1-4 | Facsimile No , | 414 297-4900 |
| IV-1-5 | e-mail | daboehm@foleylaw.com |
| V | Designation of States |  |
| $\mathrm{v}-1$ | Regional Patent (cother kinds of protection or treatment, if any, are specififed betwen parentheses atter the designation(s) concenned) | AP: GH GM KE LS MW MZ SD SL SZ TZ UG ZW and any other State which is a Contracting State of the Harare Protocol and of the PCT <br> EA: AM AZ BY KG KZ MD RU TJ TM and any other State which is a Contracting State of the Eurasian Patent Convention and of the PCT <br> EP: AT BE CH\&LI CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE and any other State which is a Contracting State of the European Patent Convention and of the PCT <br> OA: BF BJ CF CG CI CM GA GN GW ML MR NE SN TD TG and any other State which is a member State of OAPI and a Contracting State of the PCT |
| V. 2 | National Patent <br> (other kinds of protection or treatment, is any, are specified hetween parentheses atier the designation(s) concerned) | AE AG AL AM AT AU AZ BA BE BG BR BY CA CH\&LI CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL TJ TM TR TT TZ UA UG US UZ VN YU ZA ZW |


| V-5 | Precautionary Designation Statement In addition to the designations made under items $\mathrm{V}-1, \mathrm{~V}-2$ and $\mathrm{V}-3$, the applicant also makes under Rule 4.9 (b) all designations which would be permitted under the PCT except any designation(s) of the State(s) indicated lunder item V -6 below. The applicant declares that those additional designations are subject to comflimation and that any designation which is not confirmed before the expiration of 15 months from the proiority date is to be regarded as withdrawn by the applicant at the expiration of that time limit. |  |
| :---: | :---: | :---: |
| V. 6 | Exclusion(s) from precautionary designatlons | NONE |
| VI-1 | Priority claim of earlier national application |  |
| VI-1-1 | Filing dale | 03 June 1999 (03.06.1999) |
| V/-1-2 | Number | 60/137,297 |
| V1-1-3 | Country | US |
| V1-2 | Priority claim of earlier national application |  |
| V/-2-1 | Filing date | 22 September 1999 (22.09.1999) |
| VI-2-2 | Number | 60/155,404 |
| Vi-2-3 | Country | US |
| V1.3 | Priority claim of earlier national application |  |
| V\|-3-1 | Filing date | 08 December 1999 (08.12.1999) |
| V/-3-2 | Number | 60/169,559 |
| V1-3.3 | Country | US |
| VI-4 | Priority document request The receiving Office is requested to prepare and transmit to the intemational Bureau a certified copy of the earlier japplication(s) identified above as itern(s): | VI-1, VI-2, VI-3 |
| V4/-1 | International Searching Authority Chosen | European Patent Office (EPO) (ISA/EP) |
| VIII | Chack list | number of sheets $\quad$ electronic file(s) attached |
| VIII-1 | Request | 4 l |
| VIII-2 | Description | 23 |
| VIII-3 | Claims | 5 |
| VIII-4 | Abstract | $1 \quad$abstract57103_111.tx <br> $t$ |
| VII-5 | Drawings | 3 |
| VIII-7 | TOTAL | 36 |
|  | Accompanying Items | paper document( s ) attached ${ }^{\text {a }}$ ( electronic file(s) attached |
| VIII-8 | Fee calculation sheet | $\checkmark$ |
| VIII 16 | PCT-EASY diskette | diskette |
| VIII-17 | Other (specifilid): | Postcard |
| VIII-17 | Other (specified): | Transmittal Sheet |
| VII-18 | Figure of the drawings which should accompany the abstract | 1 |
| VIII-19 | Language of filing of the internationail application | English |

Oniginal (for SUBMISSION) - printed on 02.06.2000 09:09:15 PM

| $\overline{\text { IX }-1 ~}$ | Signature of applicant or agent | Arsoras Ci Buebm |
| :---: | :---: | :---: |
| x-1-1 | Name | FOLEY \& LARDNER |
| $1 \mathrm{x}-1-2$ | Name of signatory | Douglas A. BOEHM |
| (x-1-3 | Capacily | Agent |

FOR RECEIVING OFFICE USE ONLY

| 10-1 | Date of actual recaipt of the purported international application |  |
| :---: | :---: | :---: |
| 10-2 | Drawings: |  |
| 10-2-1 | Received |  |
| 10-2-2 | Not received |  |
| 10-3 | Corrected date of actual recelpt due to later but tirnely received papers or drawings cornpleting the purported internatlonal application |  |
| 104 | Date of timely receipt of the required corrections under PCT Article 11(2) |  |
| 10-5 | International Searching Authorly | ISA/EP |
| 10-6 | Transmittal of search copy delayed until search fee is paid |  |

## FOR INTERNATIONAL BUREAU USE ONLY

| $11-1$ | Date of receipt of the record copy by <br> the International Bureau |
| :--- | :--- | the International Bureau

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(This sheet is not part of and poes not count as a sheet of the international application)

| 0 | For receiving Office use only |  |
| :--- | :--- | :--- |
| International Application No. |  |  |
| $\mathbf{0 . 2}$ | Date stamp of the receiving Office |  |



## VALIDATION LOG AND REMARKS

Yellow!
The power of attorney or a copy of the general power of attorney will need to be furnished unless all applicants sign the request form.

## PCT-EASY INFORMATION SHEET

(For applicant use only, DO NOT submit this sheet with the international application)

## VALIDATION LOG

Yellow! | Contents |
| :--- |
| The power of attorney or a copy of the general power of attorney will need to be furnished unless all applicants sign |
| the requesi form. |

Before submitting the International Application, please carafully verify that:
-the information contained on printed Request form is correct;
-Box IX of the Request form has been signed;
-all elements of the international application as indicated in Box VIII of the Request form have been attached; and, -the diskette containing the PCT-EASY zip file of the International Application has been enclosed and has been clearly labeled "PCT-EASY", with the applicant's or agent's file reference, and the first applicant's name.

## ATTENTION

DO NOT modify any indications on the Request form printout. The attached PCT-EASY application has been locked. If an error or an omission is discovered at this time, you must copy the submitted application as a template and make the change or conection in a new application (using the submitted application as a template). You may create such a template by copying the submitted application from the "Stored Forms" folder to lhe "New PCT Forms" folder. Open the new ( 0 WO) file created in the "New PCT Forms" folder, correct the enors and proceed with the submission process again.

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## TITLE OF THE INVENTION

SYSTEM AND METHOD FOR STREAMING AN

## ENHANCED DIGITAL VIDEO FILE

## CROSS-REFERENCE TO RELATED APPLICATIONS

This application claims the benefit of U.S. Provisional Application No. 60/137,297, filed June 3, 1999, U.S. Provisional Application No. 60/155,404, filed September 22, 1999 , and U.S. Provisional Application No. 60/169,559, filed December 8, 1999.

## FIELD OF THE INVENTION

The present invention relates generally to video imaging. More specifically, the present invention relates to a system and method for providing high quality digital video files for streaming across a network.

## BACKGROUND OF THE INVENTION

Streaming video is a technique by which video is played in real time as it is downloaded over the Internet, as opposed to storing it in a local file first. A video player decompresses and plays the data as it is transferred to a user computer over the World-Wide Web. Streaming video avoids the delay entailed in downloading an entire file and then playing it with a plug-in application. Streaming video requires a communications connection (e.g., a network, Internet, etc.) and a computer powerful enough to execute the decompression algorithm in real time.

In the field of streaming video, the primary design challenge is that the viewer desires perfect video quality over a

## Foley \& Lardner

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FIRSTAR CENTER 777 EAST WISCONSIN AVENUE MILWAUKEE, WISCONSIN 53202-5367 TELEPHONE (4 | 4) $271-2400$ FACSIMILE (4 ; 4) 297-4900

Via Facsimile
May 30, 2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place
2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431
Re: PCT International Patent Application
Title: System and Method for Providing an Enhanced Digital Video File
Inventor(s): Bernstein et al. Our Ref.: 57103/111

Dear Brian:
Enclosed please find the first draft of the above-referenced patent application (last page marked 001.789397 .1 ), which has been prepared in accordance with the previously filed U.S. provisional patent applications. As you know, a careful and critical review of this draft application by you and the inventors is imperative to ensure that the you are all satisfied with the content of the application and the proposed claim scope.

Please have the inventor(s) thoroughly read the application draft, including the specification, claims, and drawings, to ensure that it provides a complete and accurate description of the invention. The attached "Inventor Information Sheet" provides a brief explanation of the parts of a utility patent application, the duty of disclosure, and inventorship. I would also like you to personally read and comment on this draft.

This PCT application incorporates all of the subject matter of U.S. Provisional Patent Application Number 60/125,824, filed June 3, 1999 (MLG Docket No. 5865-3) and those portions of U.S. Provisional Patent Applications 60/155,404, filed September 22, 1999 (MLG Docket No. 5865-7) and 60/169,559, filed December 8, 1999 (MLG Docket No. 5865-8) that pertain to the video streaming technology (as opposed to the zoom and pan technology). I also added the specific details of your preferred embodiments of the invention at the time of invention (EXAMPLE A) and more recently (EXAMPLE B).

Mr. Brian G. Utley
May 30, 2000
Page 2
As you can see from the question marks appearing on various pages throughout the draft, I had a few questions during the preparation of the application. In addition to addressing these questions, you and the inventors should feel free to supplement, correct, or modify any part of the application. In particular, please review the subject matter disclosed in the three above-referenced provisional patent applications. After your review, if you or the inventors feel that any subject matter from these three provisional patent applications should be reflected in the draft PCT application, but is not, please notify me immediately.

The drawings attached to the application are informal sketches that will suffice for purposes of filing. Formal drawings will be prepared at a later date, as they are required for publication of the PCT application.

During the review, please keep in mind that independent claims 1, 11, and 19 are the broadest statements of the invention, and the remaining dependent claims add limitations to further define different embodiments of the invention. Please note that it is the inventors' legal obligations to "read and understand" the contents of the application including the claims. Each inventor will have to sign a declaration attesting that they did so.

Please have the inventors mark the appropriate changes on this copy of the application, make a copy of the changes, and return the hand-corrected copy to me via facsimile. A revised application incorporating the changes will then be submitted to you for your approval. We will handle execution of the formal papers at a later date.

Pursuant to your instructions, to preserve foreign filing options, I plan to designate all of the countries for filing under the PCT (see attached list of PCT Contracting States). Note, however, that not all foreign countries are members of the PCT (e.g., Taiwan), so to maintain the benefit of priority to the U.S. applications, we would have to file foreign national applications immediately in those non-PCT countries. This would require up-front translation costs and sufficient time for our foreign associates to prepare and file the applications before June 3, 2000. It is my understanding that you DO NOT want us to file in any countries other than those that are members of the PCT. Please let me know IMMEDIATELY if this understanding is not correct.

If you or the inventor(s) have any questions, please do not hesitate to contact me. I look forward to receiving at least your preliminary comments on the application by tomorrow.

Enclosure(s)

cc: Douglas A. Boehm

PCT International Patent Application entitled<br>System and Method for Providing an Enhanced Digital Video File Inventor(s): Bernstein, et al.

# INVENTOR INFORMATION SHEET 

## Sections of a Utility Patent Application

## - Background of the Invention

The Background of the Invention describes the technology that existed before your invention, i.e., the "prior art". This section typically discusses how the need for your invention arose, describes how others attempted to satisfy that need prior to the time of your invention, and points out the deficiencies of the prior art in meeting that need. If you aware of any other prior art that should be mentioned in this background section, please supplement this section and forward any literature that you might have to me.

- Summary of the Invention

The Summary of the Invention section is merely a brief paraphrasing of the basic claims, along with a statement of the objectives and advantages of the present invention.

- Brief Description of the Drawings

The Brief Description of the Drawings is merely a listing of the figures, and should be self-explanatory.

## - Detailed Description of the Preferred Embodiments

The Detailed Description of the Preferred Embodiments section should provide a full, clear, and concise description of your invention so that any person skilled in the art could make or use the invention. Furthermore, the application must describe the "best mode" contemplated by the inventor(s) for carrying out the invention. In order to obtain a valid patent, no important details about the preferred embodiment of the invention can be withheld as a trade secret.

## - Claims

The claims are the most important part of the patent application. They precisely define the invention and determine the scope of legal protection granted by the patent. The claims must particularly point out and distinctly claim the invention. The claimed subject matter must be distinguishable over that which the prior art suggests to those skilled in this field. Accordingly, the claims should be scrutinized with a view toward protecting your precise
invention and those concepts which could be considered an outgrowth of it, yet not encompassing knowledge from the prior art or obvious extensions thereof. In other words, the scope of the claims must be broad enough to provide that patent protection to which you are entitled, yet narrow enough to be distinguishable over the prior art. The terminology of the claims must be adequately supported by the description contained in the specification. Please keep in mind that all dependent claims, which are identifiable by the phrase "according to claim x " or "of claim x ", are interpreted as containing all of the limitations of the other claims which are referred to by that dependent claim. Thus, the independent claims are the broadest statement of your invention, and the dependent claims provide additional limitations to narrow the scope of your invention. Although the inventive concepts of each set of independent claims are interrelated, please keep in mind that they must be critically distinguished from each other. Each set of claims must stand on its own merit.

- Abstract of the Disclosure

The Abstract of the Disclosure section is a very brief description of what the application generally discloses.

- Drawings

The Drawings section should be self-explanatory.

## Duty of Disclosure

All individuals associated with the filing or prosecution of a patent application are under a duty of candor and good faith to the U.S. Patent and Trademark Office. This duty specifically includes a duty to disclose any information known to be material to the patentability of any claim of the application. Material information could include patents, brochures and other publications (including those authored by a competitor, inventor, or co-worker), published industry standards, as well as information on possible prior uses of the invention, prior sales or offers to sell the invention, prior knowledge of the invention by others, prior invention by another, inventorship conflicts, and the like. This duty of disclosure is an important requirement of the law, and continues throughout the entire prosecution of the patent application until the application issues as a patent. Pursuant to this duty of disclosure, we will file an Information Disclosure Statement with the U.S. application listing the patent documents found in any prior art searches, as well as any technical articles mentioned in the disclosure materials. Accordingly, if any additional information relevant to your invention should come to your attention at any time before issuance of the patent, please immediately let us know so we can either inciude it in the Information Disclosure Statement or submit a Supplemental Information Disclosure Statement.

Inventorship
The proper inventors would be those people who contributed to the subject matter of the invention as defined in the claims of the application. There may be joint

# PCT INTERNATIONAL PATENT APPLICATION 

## for <br> SYSTEM AND METHOD FOR PROVIDING

## A DIGITAL VIDEO FILE

Inventors: Eliot I. Bernstein 500 S.E. Mizner Boulevard Boca Raton, FL 33432-6080 Citizenship: U.S.<br>Zakirul A. Shirajee<br>9485 Boca Cove Circle \#708<br>Boca Raton, FL 33428<br>Citizenship: Bangladesh<br>Jude R. Rosario<br>5580 NW 61 Street<br>Apt \#625<br>Coconut Creek, FL 33073<br>Citizenship: Bangladesh

## FOLEY \& LARDNER

Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## Foley \& LARDNER

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FIRSTAR CENTER 777 EAST WISCONSIN AVENUE MILWALKEE, WISCONSIN 53202-5367 TELEPHONE (414)271-2400 FACSIMILE (414) 297-4900

Via Facsimile

June 1, 2000

## CONFIDENTIAL AND PRIVILEGED

Mr. Brian G. Utley

President


Iviewit.com, Inc.
One Roca Place
2255 Glades Road, Suite 337 West
Coca Raton, Florida 33431


Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and third patent applications is substantially identical to the first application.


Mr. Brian G. Utley
June 1, 2000
Page 2
application and, in particular, with the proposed claim scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.

limited-bandwidth network. Perfect video quality requires an enermous amount of digital data. Today's networks are not capable R Relstreamisuide induths vidooknving of transferring real'streaming video te.gn having a real, full-motion frame rate comparable to NTSC (National Television Standards Committee) at 29.97 frames per second (fps), PAL (Phase Alternative Line) at 25 fps , and SECAM (Séquentiel) Couleur Avec


It is known to capture video using a capture device, compress the resulting captured video, store the compressed video, and send the compressed video across the Internet. However, prior attempts have failed to produce high quality streaming video that can be transmitted over the Internet. For example, prior attempts at streaming video have been unable to produce full-screen, real video frame rate video at any acceptable quality.

Several teachings have emerged that attempt to improve the quality and decrease the file size of streaming video. One teaching in the art is to reduce the number of frames per second that are being encoded, from the 29 to 30 fps of standard television to 6 or 7 fps or less for streaming video. While this reduces the amount of data that is being sent, the video appears jittery and corresponding voice appears asynchronous with the jittery video. Another teaching in the art is to capture the video at a small frame size of $160 \times 120$ or less. The small frame size of $160 \times 120$ is the widely used standard in Internet streaming video. Further teachings are directed to reducing the amount of data that is provided prior to compressing to reduce the file size resulting from compression. Other teachings in the art have pointed toward compressing a digital video file as much as possible prior to transmission. Full-screen, full-

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| Date: | June 1,2000 |
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## MESSAGE:

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## EMAIL ADDRESS

slecker@foleylaw.com

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TELEPHONE (414) 271-z400 FACSIMILE (A | 4) 2白7-4BOO

Via Facsimille

## CONFIDENTIAL AND PRIVLLEGED

Mr, Brian G. Utley<br>President<br>Iviewit.com, Inc.<br>One Boca Place<br>2255 Glades Road, Suite 337 West<br>Boca Raton, Florida 33431

Re: PCT International Patent Application
Title: System and Method for Providing a Digital Video File Inventor(s): Bernstein et al.
Our Ref.: 57103/111
Re: PCT International Patent Application
Tite: System and Method for Providing an Enhanced Digital Video
File
Inventor(s): Bernstein et al. Our Ref.: 57103/112

Re: PCT International Patent Application
Title: System and Method for Playing a Digital Video File Inventor(s): Bernstein et al.
Our Ref: 57103/113
Dear Brian:
Enclosed please find a third draft of the first above-referenced patent application, which has been prepared in accordance with additional comments received from Eliot, Zak, and you today.

I also enclose the claims, front page, and abstract from the second and third above-referenced patent applications. The remainder of the second and thild patent applications is substantially identical to the first application.

As you know, a careful and critical review of these draft patent applications by you and the inventors is imperative to ensure that you are all satisfied with the content of the

## Foley \& Lardner

## Mr. Brian G. Utley

June 1, 2000
Page 2
application and, in particular, with the proposed clain scope. Please follow the instructions in my letter to you dated May 29, 2000 as you review these draft patent applications.

Please phone me at your earliest convenience on Friday, June 2, to provide me with any additional comments you or the inventors may have.


Enclosure(s)
cc: Douglas A. Boehm

| ellot: <br> Note: |
| :--- |
| On the Company copy of <br> this letter it is has the 111 <br> cover page with all the <br> correct inventors. The <br> copy transferred to our <br> counsel by Foley does not <br> and the same letter is <br> missing this page. <br>  |

## PCT INTERNATIONAL PATENT APPLICATION

## for <br> SYSTEM AND METHOD FOR PROVIDING

## A DIGITAL VIDED FILE


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Milwaukee, Wisconsin ..... 53202

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| mlwaukee |  | WASHINGTON, D.C. |
| OfLANDO |  | WEST PALM BEACH |
| EMAIL ADDRESS sbecker@foleylaw.com | Entan | WRTER'S DIRECT LINE <br> (414) 297-5571 |

## August 1, 2000

Mr. Brian G. Utley
President
Iviewit.com, Inc.
One Boca Place

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| :---: | :---: |
| Twamontis after filing |  |

2255 Glades Road, Suite 337 West
Boca Raton, Florida 33431

## Re: PCT International Patent Application <br> Title: System and Method for Streaming an Enhanced Digital Video File

Applicant: Iviewit Holdings, Inc.
Our Ref.: 57103/111
Dear Brian:
We are pleased to confirm that the above-identified application was filed with the U.S. Receiving Office on June 2, 2000, and accorded Application Number PCT/US00/15408. In accordance with your instructions, all PCT member countries were designated, and the European Patent Office was appointed as the International Searching Authority for this matter. Enclosed for your records is a copy of the application as filed, the transmittal of filing fees, and the related notification from the United States Receiving Office.

Please note that the deadline for entering the national phase of this application is February 3, 2001. National phase may be deferred for another ten months, until December 3, 2001, by filing a Chapter II Demand for International Preliminary Examination. This Demand must be filed by January 3, 2001. For any country in which we do not meet the national phase deadline or, alternatively, the International Preliminary Examination deadline, the PCT application will be considered withdrawn.

$$
\text { ESTABLISHED } 184
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## Enclosure(s)


cc: Douglas A. Boehm







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Mr．Brian G．Utley
President \＆COO
Iviewit．com，Inc．
One Roca Place
2255 Glades Road，Suite 337 West

## eliot Nate

Filed two months later．

# Re：PCT International Patent <br> Application No．：PCT／US00／15408 <br> Filing Date：6／02／2000 

Title：System and Method for Generating an Enhanced Digital Video File
Applicant：Iveiwit．com
Our Ref．：57103／111

Dear Brian：
Enclosed for your records is a copy of the Response to the Invitation to Correct Defects in the International Application，which was filed with the United States Patent and Trademark Office on August 2，2000，in connection with the above－identified application．

We will keep you informed of future developments as they occur．Please feel free to contact me with any questions or comments regarding this matter．

Very truly yours，


Douglas A．Boehm
Enclosure（s）

## TRANSMITTAL LETTER TO THE LNTTED STATES RECEIVING OFFICE

| Date | 02 August 2000 |
| :--- | :--- |
| International Appilcation Nv. | PCT/US00/15408 |
| Attomey Docket No. | $571[3 / 111$ |

I. Certification under 37 CFR 1.10 (If applicable)

| EL 6404665 b2US |
| :---: |
| Express Mail mailing number |


|  | 02 Augurt 20000 |
| :--- | :--- |
|  | Date of Deposit |

I hereby centify that the applicationdcorrespondence attached hereto is being deposited with the Uniterl Sattes Postal Service "Express Mail Post Office to Addressere" service under 37 CFR 1.10 on the date indicated above and is addressed to the Commisgioner of Patenus and Trademarks, Washington, D.C. 20231

| Shandurn. mindsa | Shirley M. Mika |
| :---: | :---: |
| Signature of persort frilitis comespondence | Typed or printed flathe of pereon mailing correspondence |

## II. [ ] New International Application

| TITLE | SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGTAL VIDEO <br> FILE | Earliest priority date <br> (Day/Morah/Year) |
| :--- | :--- | :--- |

SCREENING DISCIOSURE INFORMATION: In order to assist in scrucning the accompanying international application for purposes of determining whether a license for foreign transmittal should and could be gratted and for other purposes, the fothowing information is supplied. (Noce: check as many boxes as apply):
A. [] 'The invertion disclosed was not made in the United States.
B. [] There is mo prior U.S. application relating to this inwention.
C. [] The following prior U.S. applications) contain subject mater which is related to the invention discloged in the attached indernational application. (NOTE: prionity to these applications may or mey not be clamed on form PCT/RO/OI (Requent) and (this listing does not contrisute a chaim for prority)

| Application no. |  | application no. |  |
| :--- | :--- | :--- | :--- |
| Application mo. |  | application no. |  |

D. [ JThe present infernational application [] is vientical to [ ] contains less subject matter than that fourd in the prior U.S. application(s) identifisd in paragraph C abowe.
E. [ The prescnt international application [] condains additional subject matter non found in the prior U.S. application(s) identitied ith paragraph $C$ above. The additional subject mater is found throughout the applicalion and I CONSDERED TD ALTER the general nature of the invention in a manner which would f madte available for inspection by the appropsiate defense agencies under 35 U.S.C. 181 an

## 

$\left\{\begin{array}{l}0 \\ 0\end{array}\right.$
A. [X] A. Response to the Invitation to Correct Defeen in the Imernational Application
B. [X] Thiree (3) Powers of Attorney (Gencral)
F. [X] Replacement pages:

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| :---: | :---: | :---: | :---: | :---: | :---: |
| Pages |  | of the defcription | pages |  | of tive |
| pages |  | of the claims |  |  | absidal |

D. [] Submission of Priority Documents
E. I] Fees as specified on attached Fee Calculation sheet fomm PCTRO/101 annex


| Typert name of sigher | Douglas A. Boebirt | signature |  |  |
| :--- | :--- | :--- | :--- | :--- |

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Iviewit Holdings, Inc., et al.<br>International<br>Application No. : PCT/USOO/15408<br>International<br>Filing Date $\quad: \quad 02$ June $2000(02.06 .2000)$<br>Title of<br>Invention : SYSTEM AND METHOD FOR STREAMING AN ENHANCED DIGITAL VIDEO FILE<br>\section*{RESPONSE TO THE INVITATION TO CORRECT DEFECTS IN THE INTERNATIONAL APPLICATION}

Assistant Commissioner for Patents<br>ATTN: ROILS<br>Box PCT<br>Washington, D.C. 20231

Sir or Madam:

In response to the Invitation to Correct Defects in the International Application (For PCT/RO/106) dated 03 July 2000, Applicant respectfully submits three (3) dulyexecuted PCT General Appointments of Agent, and three (3) sheets of formal drawings, for filing in connection with the above-identified application.

Respectfully submitted,

Dated: 02 August 2000
Foley \& Lardner
777 East Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

## PATENT COOPERATION TREATY

## GENERAL APPONTMENT OF AGENT

The undersigned applicant hereby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, WIsconsin $53202-5367$, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in connection with any a od all international applications filed by it, and to receive payments on its behalf.



## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OF AGENT

The undersigned applicant bercby appoints, individually and collectively,
FOLEY \& LARDNER
and

attorneys at law of Firstar Center, 777 East Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on is behalf before the competent International Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.


Eliot 1, BERNSTEIN
500 S.E. Mizner Boulevard
Broca Ration, FL 33432-6080
United States of America

## PATENT COOPERATION TREATY

## GENERAL APPOINTMENT OE AGENT

The undersigned applicant hereby appoints, individually and collectively,

## FOLEY \& LARDNER

and

attorneys at law of Firstar Center, 777 Last Wisconsin Avenue, Milwaukee, Wisconsin 53202-5367, telephone (414) 271-2400 to act on its behalf before the competent International Authorities in connection with any and all international applications filed by it, and to receive payments on its behalf.

Signed this 31 day of Trap 2000 at Broca Baton in U.S.A.


Bock Rato, FL 33428
United States of America

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PCT/US00/15408

## PATENT COOPERATION TREATY



| 1. The following indications appeared on record concerning: <br> X the appliosit $\square$ the inventor the agent | the | representative |
| :---: | :---: | :---: |
| Name and Address <br> SHIRAJEE, Zakirul, A. <br>  <br> - United Statos of America | State of Nationality BD | State of Residence US |
|  | Telophone No. <br> Eacsímile No. |  |
|  | Teleprinter No. |  |


3. Further observations, if necessary:

Please note that the above applicant for all designated states except US has now been recorded as applicant/inventor for the US only.





| 1. The following indications appeared on record concerning:$\square$ the applioant the inventor $\square$ the agent $\square$ the common representative |  |  |
| :---: | :---: | :---: |
| Name and Address <br> IVIEWIT HOLDINGS, INC. <br> One Boca Place <br> 2255 Glades Road <br> Suite 337 West <br> Boca Raton, FL 33431 <br> United States of America | State of Nationality US | State of Residence US |
|  | $\begin{aligned} & \text { Telephone No. } \\ & 5619998899 \end{aligned}$ |  |
|  | $\begin{aligned} & \text { Facsimile No. } \\ & 5619998810 \end{aligned}$ |  |
|  | Teleprinter No. |  |
| 2. The International Bureau hereby notifies the applicant that the following change hes been recorded concerning: $\square$ the person the narne <br> X <br> the address the nationality the residence |  |  |
| Name and Address <br> IVIEWIT HOLDINGS, INC. <br> 505 North Brand Boulevard <br> Suite 1420 <br> Glendale, CA 91203 <br> United States of America | State of Nationality US | State of Residence US |
|  | Telaphone No. 5619998899 |  |
|  | Facsimile No. 5619998810 |  |
|  | Teleprinter No. |  |

3. Further observations, if necessary:
4. A copy of this notification has been sent to:

| $X$ | the receiving Office | $\square$ the designated Offices concerned |
| :--- | :--- | :--- |
| $\square$ the International Searohing Authority | the elected Offices concerned |  |
| $\square$ the International Preliminary Examining Authority | $\square$ | ather: |


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| Facsimile No.: (41-22) 740.14 .35 | Telephone No.; (41-22) 338.83.38 |

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Secretary or Attorney - Fill out form to indicare the desired change in status, then give form and file to Docketing.
 Application Abandoned Parent Lapsed for Non-Payment of Annuities Patent Expired Ocher: Give reason $\qquad$

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| :--- |
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Client Name: Ivicwit, com
Serial Number PCT/US0 /1540\% OR

Client \& Matter No. OO 5707. P0, PCT
$\square$ Insrrucrions received from Client dated: $\qquad$ Continuation Application under Rule 1.62 filed (for Abandoned Applications)

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## EXECUTIVE EMPLOYMENT AGREEMENT

EXECUTIVE EMPLOYMENT AGREEMENT ("Agreement") made as of the 3rd day of August, 1999, by and between iviewit.com LLC, a Delaware limited liability company with an address at 2255 Glades Road, Suite 337 West, Boca Raton, Florida 33431 (the "Company"), and BRIAN G. UTLEY with an address at 1930 Southwest $8^{\text {th }}$ Street, Boca Raton, Florida 33486 (the "Executive").

WHEREAS, the Company desires to employ Executive and to ensure the continued availability to the Company of the Executive's services, and the Executive is willing to accept such employment and render such services, all upon and subject to the terms and conditions contained in this Agreemtut;

NOW, THEREFORE, the Company and the Executive hereby agree as follows with respect to the Executive's employment with the Company:

1. Employment. The Company shall employ the Executive and the Executive shall be employed with the Company, on the terms and conditions hereinafter set forth, for a period commencing as of the date hereof (the "Effective Date") and ending three (3) years after the date hereof, unless sooner terminated pursuant to the provisions of this Agreement. Such period of employment shall be automatically extended for successive one-year terms of employment, uniess either the Company or the Executive notifies the other in writing at least ninety (90) days prior to the end of the chen current term that it or he does not intend to renew such employment, in which case such employment will expire at the end of the then curreat term. All references herein to the "Employment Period" shall refer to both the initial term and any such successive renewal terms. During his employment hereunder, the Execative shall be the President and Chief Operating Officer of each of the Company, uview, com, Inc., a Delaware corporation ("uview") and iviewit LLC, a Delaware limited liability company ("iviewit") (uview and iviewit are collectively referred to herein as the "Affiliates").
2. Exclusive Efforts. The Executive shall devote his full time, best efforts, skills and attention to the business and affairs of the Company and the Affiliates, shal! serve the Company and the Affiliates faithfully and comperently and shall at all times act in the Company's and the Affiliates' best interests. The services to be rendered by Executive during the Employment Period shall be the nombal duties of a person employed as a President and Chief Operating Officer by a corporation in the Company's business, subject at all times to the direction and control of the Company's Board of Directors (the "Board").
3. Compensation and Benefits. The Company shall pay to the Executive, and the Executive agrees to accept, compensation as follows:
a) Subject to the provisions of Section 3(b) below, the Company shall pay to the Executive, and the Executive agrees to accept, an initial base compensation of Fifty Thousand Dollars ( 550,000 ) per year (the "Initial Salary"). All compensation payable to Executive hereunder shall be payable in accordance with the normal payroll policies of the Company and shall be subject to all usual and customary payroll deductions, including all applicable withholding taxcs.
(b) The Initial Salary shall be increased by: (i) Fifty Thousand Dollars ( $\$ 50,000$ ) to One Hundred Thousand Dollars $(\$ 100,000)$ per year on September 3, 1999 (the "Salary Increase Date"), (ii) an additional Fifty Thousand Dollars ( $\$ 50,000$ ) to One Hundred Fifty Thousand Dollars ( $\$ 150,000$ ) per year beginning six ( 6 ) months following the Salary Increase Date, and (iii) an additional One Hundred Thousand Dollars ( $\$ 100,000$ ) to Two Hundred Fifty Thousand Dollars ( $\$ 250,000$ ) per year beginning twelve (12) months following the Salary Increase Date.
(c) In addition to the compensation provided for in Sections 3(a) and 3(b), on the Effective Date, the Company shall cause uview to issue in the Executive's name seventeen thousand one hundred thirty-eight $(17,138)$ shares of uview's Class B Common Stock (the "lnitial Shares"), for the consideration of $\$ .05$ per share. The Executive shall be entitled to receive up to seventeen thousand one hundred thirty-eight $(17,138)$ additional shares of uview's Class B Common Stock at future dates during the Employment Period as determined in the Board's sole discretion, subject to Executive's fulfillment of certain performance standards established, from time to time, by the Board.
(d) The compensation provided for in Sections 3(a) and 3(b) shall be in addition to any pension or fetirement benefiss, hospital and medical, disability, and other benefits, if any, made generally available by the Company, in its sole discretion, to its executive officers.
(e) Executive acknowledges that it is the Company's intent to purchase a "keyman" life insurance policy on the life of Executive for the benefit of the Company (the "Insurance Policy"). Executive agrees to cooperate fully in the acquisicion, modification, amendment or supplement of the Insurance Policy, including submitting to any physical examination and providing any medical information as may be required by the insurer. In the event Executive dies within the Employment Period, the Personal Representative(s) (the "Personal Representatives") of Executive's estate (the "Estate") shall, at the Personal Representatives' sole discretion, elect to surrender to the Company all right, litle and interest in and to the lritial Shares and obtain payment from the Company of the proceeds (the "Proceeds") of the Insurance Policy (the "Election"). The Election shall be in writing and delivered to and received by the Company at its then corporate headquarters within four (4) months from the date of Executive's death. Within seven (7) business days after the Company's dated receipt of the Election, if the Personal Representatives elect to receive the Proceeds, the Personal Representatives shall deliver the Initia! Shares (along with executed stock powers) to the Company at its then corporate headquarters at which time the Company shall issue a cerified or cashier's check payable to the Estate for the full amount of the Proceeds; provided, however, that if at the time of the Election the Company has not received the Proceeds, and if the Personal Representatives elect to receive the Proceeds, the

Company shall notify the Personal Representatives at such time as it receives the Proceeds, and, upon receipt of such notification, the Personal Representatives shall then be required to tender the Initial Shares to the Company at its corporate headquarters within seven (7) days of said notification, upon which the Company shall comply with the provisions of this Section regarding remitrance of the Procseds. If the Personal Representatives fail to comply with the provisions of the preceding sentence, the Company shall, at its sole discretion, notify the Personal Representatives as to whether it will demand the surrender of the Initial Shares (and make the corresponding payment of the insurance proceeds) no tater than nine (9) months from the date of Executive's death or the deadline for filing Executive's Federal estate ax return, whichever occurs later.
(t) The Executive shall be entitled to four (4) weeks paid vacation per year. Such vacation tune allowance shall not cumulatively accne, and any unused vacation time for each year of the Employment Period shall be Forfeited by Executive if not used during each year.
4. Business Expenses. The Executive shali be reimbursed for all usual and reasonable expenses incurted on behalf of the Company and the Affiliates, as applicable, as approved by the Board, in accordance with Company practices and procedures, provided that:
(a) Each such expendiure is of a nature qualifying it as a proper deduction on the federal and state income tax returns of the Company and any of the Affiliates, as applicable, as a business expense and not as deductible compensation to Executive; and
(b) Executive furrishes the Company with adequate documentary evidence required by federal and state statutes and regulations for the substantiation of such expenditures as deductible business expenses of the Company and of any of the Affliates, as applicable, and not as deductible compensation to Executive.

Executive agrees that, if at any time, any payment made to Executive by the Cornpany as a business expense rembursement shall be disallowed in whole or in part as a deductible expense to the Company or any of the Affiliates, as applicable, by the appropriate taxing authorities, Executive shall retmburse the Company or any of the Affiliates, as applicable, to the full extent of such disallowance.

## 5. Ternination.

(a) This Agreement may be immediately terminated by the Company at any time during the Employment Period for cause. In such an event of termination, the Company shail be ooligated only to continue to pay to Executive his compensation, if any, earned up to the effective date of termination. "Cause" for purposes hereof shall mean (i) a breach of any of the provisions of this Agreement by Executive, (ii) conviction for any criminal offense involving a felony or (iii) willful misconduct, gross negligence or malfeasance.
(b) Except as otherwise provided herein, this Agreement and the obligations of the Company hereunder will terminate upon the death or at the Company's option, the disability
of the Executive. For purposes of this Section 5(b), "disability" shall mean that for a period of four consecutive months or six months in any 12-month period the Executive is incapable of substantially fulfilling the duties set forth in Section 2 or hereafter assigned to him because of physical, mental or emotional incapacity resulting from injury, sickness or disease as determined by an independent physician selected by the Company. Upon any such termination upon death or disability, the Company will pay the Executive or his legal representative, as the case may be, his compensation (including the Initial Shares issuable to Executive pursuant to Section 3(c) above), if any, earned through the date of such termination of employment.

## 6. Restrictive Covenants.

(a) Executive acknowledges that his services and responsibilities are unique in character and are of particular significance to the Company and to the Affiliates, that the Company and its Affiliates are competitive businesses and Executive's continued and exclusive service to the Company and the Affiliates under this Agreemert is of a high degree of importance to the Company and the Affiliates. Therefore, during the Employment Period and for a period of two (2) years thereafter (the "Noncompete Period"), Execative shall not, directly or indirectly, as owner, partner, joint venturer, employee, broker, agent, corporate officer, principal, licensor, sharcholder (unless as owner of no more than one percent (1\%) of the issued and outstanding capital stock of such entity if such stock is traded on a major securities exchange) or in any other capacity whatsoever, engage in or have any connection with any business which is "competitive" with the Company or any of its Affiliates, and which operates anywhere in the "Restricted Territory" (as hereinafter defined). For purposes of this Agreement, a business will be deemed to be "competitive" with the Company and its Affiliates if it is engaged in the same business that the Company or any of its Affiliates are engaged in, or contemplates engaging in, including, but not limited to, any business engaged in whole or in part in developing. marketing, and implementing technology that allows products and services to be advertised and marketed via the intemet. In recognition of the world wide access afforded by the intemet, the parties agree that for puposes of this Agreement, "Restricted Territory" shall mean worldwide.
(b) During the Noncompete Period, the Executive shall not:
(i) directly or indirectly, by initiating contact or otherwise, induce, influence, combire or conspire with, or attempt to induce, influence, combine or conspire with, any of the officers, employees or agents of the Company to terminate his, her or its employment or relationship with or to compete against the Company or any of the Affiliates;
(ii) directly or indirectly, by initiating contact or otherwise, divert or attempt to divert any or all of any customers' or suppliers' business with the Company or any of the Affiliates.
(c) If, in any judicial proceedings, a court shall refuse to enforce any of the covenants included in this Section 6 , then such unenforceable covenant shall be amended to relate to such lesser scope, period or geographical area as shall be enforceable. In the event the
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Company or any of its Affiliates should bring any legal action or other proceeding against Executive for enforcement of this Agreement，the calculation of the Noncompete Period shall not include the period of time commencing with the filing of legal action or other proceeding to enforce this Agreement through the date of final judgment or final resolution，including all appeals，if any，of such legal action or other proceeding unless the Company and the Affiliates arc receiving the practical benefits of this Section 5 during such time．The existence of any claim or cause of action by Executive against the Company or any of the Affiliates predicated on this Agreement or otherwise shall not constitute a defense to the enforcement by the Company or any of the Affiliates of these covenants．
（d）Executive hereby acknowledges that the restrictions on his activity as contained in this Agreement are required for the Company＇s reasonable protection and are a material inducement to the Company to enter into this Agreement．Executive hereby agrees that in the event of the violation by him of any of the provisions of this Agreement，the Company and its Affiliates and its or their permitted assigns（which are intended third－pany beneficiaries of these covenants）will be entitied to institute and prosecute proceedings at law or in equity to obtain damages with respect to such violation，to enforce the specific performance of this Agreement by Executive，to enjoin Executive from engaging in any activity in violation hereof，or any combination of the foregoing remedies together with any other remedies available at law or in equity．

## 7．Treatment and Ownership of Confidential fnformation．

（a）The parties hereto acknowledge that Executive shall or may be making use of，acquiring and adding to Confidential Information（as that term is defined in subparagraph（b） below）．Executive covenants and agrees that during the Employment Period and at all times thereafter he shall not，except with the prior written consent of the Company，or except if he is acting during the Employment Period solely for the benefit of the Company or any of the Affiliates in connection with the Company＇s or any of the Affiliates＇business and in accordance with the Company＇s business practices and policies，at any time，disclose，divulge，report，transfer or use， for any purposes whatsoever，any of such Confidential Information，including Confidential Infomation obained，used，acquired or added by，or disclosed to，Executive prior to the date of this Agreement．
（b）For purposes of this Agreement，the term＂Confidential Information＂shall mean all of the following materials and information which Executive receives，conceives or develops or has received，conceived or developed，in whole or in part，in connection with Executive＇s employment with the Company：

The Company＇s and the Affiliates＇materials and information（regardless of the form of such information，including without limitation，in writing，electronic，computerized or other recorded form，oral or visual）concerning，or related in any way to，the Company and the Affiliates＇ or its or their businesses，including without limitation：（i）the contents of any Business Plan， projections or francial or credit information or data relating to the Company or any of its Affiliates； （ii）the contents of any manuals or written materials of the Company or any of its Affiliates；（iii）the

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names and records of actual or prospective clients, customers, suppliers, lenders, financing sources, or related persons; (iv) the terms of various agreements between the Company or the Affiliates and third parties; (v) any data or database, or other information compiled or developed by the Company or its Affiliates; (vi) any computer programs and listings, source codes and/or object codes, file structures, trademarks, trade secrets, patents, patent designs, patent applications, copynights, forms, procedures, processes, training methods, developments, technical information, marketing activities and procedures and methods of operation, together with any other information, data, know-how or knowledge of a confidential or proprietary nature; and (vii) any information of a type described above derived or obtained from the internet or any website of the Company or its Affiliates, including without limitation, the file structure relating to such website or the content of such website.
(c) Executive covenants and agrees that all right, title and interest in any Confidential Information shall be and shall remain the exclusive property of the Company and the Affiliates, as applicable. Executive agrees to promptly disclose to the Company all Confidential Information developed in whole or in part by Executive within the scope of this Agreement and to assign to the Company or any of the Affiliates, as the Company determines in its sole discretion, any right, title or interest Executive may have in such Confidential Information. Executive agrees to tum over to the Company all physical manifestations of the Confidential Information in his possession or under his control at the request of the Company.

## 8. Inventions.

(a) Exccutive agrees to promptly inform and to disclose to the Company, in writing, all inventions, developments, procedures, ideas, innovations, systems, programs, techniques, processes, infomation, discoveries, improvements and modifications which Executive creates (colleclively the "Inventions"), either alone or with others, while in the Company's employ, or while performing services for the Affiliates, whether or not daring working hours, and at all times thereafter if the Inventions:
(i) relate to the present of anticipated business of the Company or any of the Affiliates;
(ii) relate to any actual or demonstrably anticipated research or development work of the Company or any of the Affiliates;
(iii) result from any work performed by the Executive for the Company or any of the Affiliates or customers of either; or
(iv) were invented utilizing the Company's or any of the Affiliates equipment, supplies, facilities, time or any information (whether or not considered Confidential Information) obtained from or useful to the Company or any of the Affiliates.

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(b) Assignment. All of the above-described lnventions, and all rights relating thereto, shall be assigned by virtue of this Agreement and without further action by Executive to the Company and shall be and shall remain the exclusive property of the Company.
(c) Ownership. With respect to each Invention assigned to the Company, Executive hereby grants, uansfers and assigns to the Company all of his rights, title and interest, if any, in any and all written materials (including but not limited to programmed instructional material), pictorial reproductions, drawings and other graphic representations and works of similar nature upon which he may be engaged in, including rights to translation and reproductions in al! forms or formats and the copyrights thereto, if any, and Executive agrees that the Company may copyright said materials in the Company's name and secure renewal, reissues and extensions of such copyrights for such periods of time as the law may permit.
(d) Executive Assistance. During the Eroployment Period and at all times thereafter, the Executive agrees to assist the Company and the Affiliates in obtaining patents or copyrights on any Inventions assigned to the Company that the Company or any or all of the Affiliates, in the Company's sole discretion, seeks to patent or copyright. Executive also agrees to sign all documents, and do all things necessary to obtain such patents or copyrights, to further assign them to the Company or any of the Affiliates, as applicable, and to protect the Company and the Affiliates against infringement by other parties. Executive agrees that such actions will be without compensation, but at no expense to the Executive.
(e) Attorney-in-Fact. Executive irrevocably appoints any Company-selected designee to act as his agent and attorney-in-fact to perform all acts necessary to obtain patents and/or copyrights as required by this Agreement if Executive (i) refuses to perform those acts or (ii) is unavaitable, within the meaning of the United States Patent and Copyright Laws. It is expressly incended by Executive that the foregoing power of atomey is coupled with an interest.
(f) Records. Executive shall keep complete, accurate and authentic information and records on all Inventions in the manner and form reasonably requested by the Company. Such information and records, and all copies thereof, shall be the property of the Company as to any Inventions within the meaning of this Agreement. In addition, Executive agrecs to promptly surrender all such original and copies of such information and records at the request of the Company.
9. Executive Representations and Warranties. The Executive represents and warrants to the Company that he is free of known physical and mental disabilities that would, with or without reasonable accommodations that would not create an undue hardstip for the Company, impair his performance hereunder and he is fully empowered to enter and perform his obligations under this Agreement. Without limiting the generality of the foregoing, Executive represents and wartants that he is under no restrictive covenants to any person or entity that will be violated by his entering into and performing this Agreement. The Executive shall indernnify the Company on demand for and against any and all judgments, losses, claims, damages, expenses and costs (including without limitation all legal fees and costs, even if incident to appeals) incurred or
suffered by the Company as a result of any breach by Executive of this representation and warranty.

10 Binding Effect. Except as herein otherwise provided, this Agreement shall inure to the benefit of and shall be binding upon the parties hereto, their personal representatives, successors, heirs and assigns.
11. Severability. Invalidity or unenforceability of any provision herenf shall in no way affect the validity or enforceability of any other provisions.
12. Governing Law. This Agreement shall be governed and construed in accordance with the lats of the State of Florida.
13. Entire Agreement. This Agreement and the Confidentiality Agreement dated July 9,1999 by and between iviewit, Inc. (together with its direct and indirect subsidiaries and affilates) and Executive contains the entire understanding between the parties and this Agreement may not be changed or modified except by an Agreement in writing signed by all the parties herew.
14. Notice. Any notice required or permitted to be delivered hereunder shall be deemed wo be delivered when either band delivered or deposited in the United States mail, postage prepaid, registered or centifed mail, retum receipt requested, addressed to the parties at the addresses first stated herein, or to such other address as either panty hereto shall from time to time designate to the other party by notice in writing as provided herein.
15. Prevailing Parties. If any legal action or other proceeding is brought for the enforcement of this Agreement, or because of an alleged dispute, breach, default or misrepresentation in conneccion with any provision of this Agreement, the successful or prevailing party or parties shall be entitled to recover reasonable attomeys' fees, sales and use taxes, court costs and all expenses even if not taxable as court costs (including, without limitation, all such fees, taxes, costs and expenses incident to arbitration, appellate, bankruptcy and post-judgment proceedings), incurred in that action or proceeding, in addition to any other relief to which such party or parties may be entitled.
16. Survival. Notwithstanding any termination of this Agreement, the provisions of Sections 6 through 16 shall survive such termination.

IN WTTNESS WHEREOF, this Agreement has been duly signed by the Executive and on behalf of the Company as of the day and year first above written.



## OF A CHANGE

(PCT Rule 92bis. 1 and
Administrative Instructions, Section 422)


If Finaroester, Thomas, M. Blakely, Sokoloff, Taylor \& Zafman 7th Floor
12400 Wiltshire Blvd.
Los Angeles, CA 90025
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3. Further observations, if necessary:
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Form PCT/IB/306 (March 1994)

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    CORRECTED VERSION - CORRECTED ON 5/14/2003
            Transcription of Telephone Conference
                    Conducted July 31, 2000
                                    Farticipants:
Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum, Brian Utley, Doug Boehrn, Chris Wheeler
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Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

[^49]```
Utley: I do have that.
Bernstein: I don't. I've got the provisional and I've got...
Boehm: Everything is on the table
Utley: you should have...the formal.
Bernstein: This one?
Utley: Yes, that's the formal.
Bernstein: Okay.
Simon Bernstein: I just have one question. Does anybody have, or are
    we allowed to get, the files of Ray Joao?
Boehm: I have them.
Wheeler: Do you have all of the work that he had?
Bernstein: No, not all of it.
Utley: What was purported to be in the files?
Bernstein: And he also claimed to us that he destroyed part of his files.
Boehm: And I have some of his files. I have what was purported to
    be all of the fimms' files.
<Inaudible comment.>
Utley: Well, there's a whole history, then, because I tried to get
    complete copies of the files originnally,mandm fournd out
    ulater that not only did he not send us all the files, he
    didn't even mention that there was an extra filing out
    there that we didn't even know about.
Bernstein: This one that's in question.
Boehm: Yep
Simon Bernstein: You have no notes, no data on...?
Boehm: No, I have the application. I have things that you could
    get from the US patent office-that I could get from the US
    patent office. I have very few notes. I do have some
    scribbled Ray Joao's notes, but I think you gave me those
    notes.
Utley: I did. I gave you Bill Dick after Bill yourself[ ] the
    notes that I had.
Bernstein: And Ray's made disclosures to us that he destroyed the documents to protect us, which I don't know what he was thinking.
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| Wheeler: |  | And did he, in front of you, write notes? |
| :---: | :---: | :---: |
| Bernstein: | Tons. | Hundreds |
| Wheeler: |  | And did he then produce them on his computer and type out certain things? |
| Bernstein: | Yes. |  |
| Wheeler: |  | I was under the impression he was doing that with you. |
| Bernstein: | He did |  |
| Wheeler: |  | And did you read those? |
| Bernstein: | I did | I did - now going to that same nature, that's the provisional $I$ think we're talking about... |
| Wheeler: |  | Right. |
| Bernstein: | But he | flew out here aqain with me and Brian and went through this as he went to file this-this is a $3 / 23 / 2000$ file-that also fails to make mention of. |
| Wheeler: |  | So that's the formal file...the formal one? |
| Bernstein: | The for | ormal file. So both also missed the point. |
| Wheeler: |  | I just wanted to know and to put things in proportion, when you read the provisionals, because Brian wasn't with the company right now and then, and when there were all those drafts, because obviously we didn't see them... |
| Bernstein: | Well, | You saw because we gave you all the documents. I'd get a document from Ray and bring it to you so you would have records of everything up to that point because I didn't want to keep them at my house. |
| Wheeler: |  | The final...the final...but I'm not reviewing the patent. I was keep maintaining it as... |
| Bernstein: | Okay, | but you have every record... |
| Wheeler: |  | Everything you gave me we maintain. We don't |
| Simon Berns | Ein: | Any notes should be produced. |
| Wheeler: |  | We don't throw away anything. |
| Bernstein: | Yeah, | I know. |
| Simon Berns | ein: | I know you don't you're very thorough. |
| Wheeler: |  | So, I'd file it away; so if you gave it to me, it's in our archives |

Bernstein: Right.


| Wheeler: | But the zooming and the panning and the scanning element was incorporated in that? |
| :---: | :---: |
| Boehm: | Go ahead, Brian. |
| Utley: | Let me make sure that we say that properly. The provisional filing had a claims section which migrated into the final filing, but Eliot is correct in saying that the provisional does not need a claims section. |
| Boehm: | The provisional never gets examined, so it doesn't need the claims. It just holds your place in line for one year. |
| Bernstein: But | then when I look through this. |
| Simon Bernstein: | Hold on, Eliot, I need to understand this. What you're saying, then, is assuming any negligence on his part, to that point the negligence doesn't become realistically damaging to the company until since he actually made a claim...since he actually made a provisional filing. Which took our place in line. |
| Boehm: | If the provisional filing covered the invention, your place in line is only as good as the subject matter described in accordance with the law. |
| Simon: | Obviously, it should have had the panning and zooming in there. |
| Boehm: | Well, the word "zoom" is in there. |
| Bernstein: But not really to describe what we're doing. |  |
| Boehm: | But do you see what I'm saying? It's only to the amount of subject matter that and attested where the average person skilled in the art could make and use an invention as it's described in this document, and without "undue" experimentation, without inventing it himself. |
| Simon Bernstein: | Right. |
| Boehm: | Now, this provisional application, you throw it...different patent attorneys do different things with it. On one end of the spectrum, you do an invention disclosure. Most big corporations have invention disclosure forms which leads the inventor to write out good disclosures and figures and things, and I've seen people actually file that invention disclosure because if you're coming up on a bar date, you don't have time to write an application or think about what your invention is. All you've got to do is get something on file, and then hope that it will protect...that whatever you had on file covered your invention. |
| Simon Bernstein: Is that what we've done so far? |  |



| Wheeler: | I am asking you whether he did or not? |
| :---: | :---: |
| Boehm: | I'm not clear on what you mean. You can't add additional subject matter after the filing date of an application or you'll lose the right to that filing date. |
| Wheeler: | The provisional? You can't add subject matter to the provisional? |
| Boehm: | To any application...any patent |
| Wheeler: | But if he did describe the zooming, then the zooming element is not an addition in the formal. |
| Boehm: | Right. It's supported. If he described it in the original, you can base claims on it later. |
| Wheeler: | And have we said that the zooming is in the provisional? |
| Bernstein: Nowhe | re that I can see. |
| Simon Bernstein: | Wait. You're the lawyer reading another lawyer's work. Is it in there? |
| Boehm: | Do you have a copy of it? |
| Bernstein: Yeah, | right here. It isn't in there if it bites you. |
| E. Bernstein: | It's not in the filing either. |
| Simon Bernstein: | It's obviously not in the filing if it's not in the provisional. |
| Bernstein: No. |  |
| Simon Bernstein: | Can you make reference to something...let's say he uses the word "zoom". |
| Boehm: | Exactly. I'm pretty sure the word "zoom" is in there, isn't it Eliot? |
| Bernstein: But | hat Doug's saying is that had you written the patent, you would have described the invention as the ability to do this cool zoom that we all...and just said this is the cool part of what we're doing. What Ray's missing in the outline is the ability for you to put a picture on a web page. |
| Wheeler: | He did know that an important element was the fact that when we went in and made it bigger, we didn't pixelate. |
| Bernstein: It didn't pixelate. Not in here at all. |  |
| E. Bernstein: | Not even mention to that concept. |
| Bernstein: Compl | ete failure. It's not. |


| Wheeler: |  | But if said it doesn't distort when we zoom. |
| :---: | :---: | :---: |
| Bernstein: | Nope. | Nothing like that. |
| Wheeler: |  | That's the same thing, isn't it? |
| Bernstein: Y | Yeah, | but he hasn't said anything...he doesn't even tell you |
| Wheeler: |  | What about the panning element, or is that element not patentable? |
| Bernstein: | No, th | at's part of the whole process is to be able to zoom while panning. |
| Wheeler: |  | Here it is. "The above process can be utilized in order to create higher zoom capabilities with each new depth layer of an image..." |
| Bernstein: | No, b1 | ut that's a new depth layer which is bringing in another hotspot image, so it's really a completely different subject. |
| Boehm: |  | Oh. Okay. |
| Boehm: |  | Okay. Where is that? |
| E. Bernstein | : | I read it to, he's very crafty you know. |
| Boehm: |  | "Where the zoom capacity of up to 1700 times or greater may be easily obtained with the [present conventions.]" Are they talking about the hotspot now? |
| Bernstein: | No. |  |
| Boehm: |  | No, it's the general zooming capability. |
| Wheeler: |  | So it's not in addition. |
| Bernstein: | Well, | explain to him where it's missing. |
| Wheeler: |  | You guys didn't put it in the formal...I don't mean you...he didn't put it in the formal one in the depth in that what we want to do it but he could have without it being construed as an addition. |
| Boehm: | Yes. |  |
| Boehm: |  | Well play lawyer on you now<Laughs; cannot understand his comment.> |
| Wheeler: |  | Right - sorry |
| Boehm: |  | Whether or not it's supported is a question that's going to be determined either between you and the examiner...probably not, it's between you and another |


|  | lawyer someday when the case is litigated. The question is And again, the test is: Can the average person skilled in the art-the average designer of this type of software-can he read this document and make and use of your invention without inventing it? That's the test. Now, whether he uses the word "zoom" in here and "magnification" later, that doesn't mater as long as he would have gotten it. If it is so simple to build by reading this, you don't need any subject matter. If you're combining three elements $A, B$, and $C$, and $A, B$, and $C$ are standard in the art, and you tell them these are standard in the art, go combine $A, B$, and $C$, that could be a one-page application. The average person will pick it up and he could. It's a patent test. Are you with me? The more complex it is, the more you want it supported in this text. |
| :---: | :---: |
| Simon Bernstein: | What if it is basically simple, and he just wrote it as basically simple, does that support our position anyway though? |
| Boehm: | Does that support our... Sure |
| Simon Bernstein: | I mean, if we were to litigate against another person that infringes on our... |
| Boehm: | An infringer. |
| Simon Bernstein: | Supportable for the sake of argument? |
| Boehm: | Right. Yes. That is a fair argument |
| Simon Bernstein: | OK so then $I$ don't know that, at least from first blush |
| Bernstein: That' | s the provisional you're reading though, right? |
| Boehm: | Aren't they the same? I think they're identical, aren't they? |
| Boehm: | You can check in his notebook. |
| Boehm: | Are there differences? |
| Bernstein: Where | did you find that piece that you just read? |
| Wheeler: | Is the reason...now continue answering my question...is the reason we came to the formal in March of this year, which I didn't realize that Joao. I thought that we had agreements for doing everything, but apparently Joao filed... |
| Boehm: | For that one, yes. |
| Wheeler: | But he didn't bother telling anybody. |
| Boehm: | That's the one that we didn't find out until way late. |



| Utley: | ...he was going to smash that all together and file it. |
| :---: | :---: |
| Simon Bernstein: | Was that the same time, Brian, that he was leaving the firm? |
| Bernstein: Yeah. |  |
| Simon Bernstein: | So would you say that probably... |
| Utley: | he knew at the time that he probably would be leaving? |
| Utley: | Right. |
| Simon: | But he wanted to get all of this in place so he could do the billing and get that part of it in... |
| Utley: | I don't know that. |
| Boehm: | Just speculating. |
| Eliot Bernstein; | What day did you give him those notes? |
| Simon Bernstein: | I don't ever have to speculate on billing |
| Utley: | I don't have my address book with me... I didn't write the date down, but it was the date that he was here. He came. |
| Wheeler: | He wanted to get it done to take care of you, make sure it was filed for you. |
| Simon Bernstein: | That could be too. One other reason is. |
| Wheeler: | We're just speculating. |
| Wheeler: | And I'm not trying to... <Everyone talking at once.> I thought he was trying to work on our best behalf, but one time or two times that I met him, it seems like he was earnestly trying to help. Who knows? Maybe he was incompetent. I mean we're only suggesting that it would have been incompetence |
| Bernstein: Well, | the fact that it's not in your patents, right up front, this is the invention, is a gross neglect. And the fact that it doesn't say, "this is what the invention is trying to do. This is the feature..." |
| Simon Bernstein: | The point is not whether it's gross neglect or not, it's what the damage is if there is...if, one, gross neglect is of any import; and two, what is the damage? it has caused iviewit. That's what I think we need to ascertain here, and if we can ascertain it. |
| Utley: | How do we fix it? $\quad$ellot <br> Note |
|  | Utiey in deposition states he was <br> 12 unavare of any problems with Joao work |


|  | Of course lets try to fix it, if we can't fix it then we'll worry about... |
| :---: | :---: |
| Eliot Bernstein: | Well $1^{\text {s- }}$ lets fix it |
| <Everyone talking | at once.> |
| Boehm: | Let me go over the procedures so everybody's clear. Again, on one end of the spectrum you file a very sparse, like a one-page provisional application, and it's cheap, and the purpose of the provisional is to get you in line...it is to protect your date. What you're trying to do is get the benefit of your priority date. When you invented it. When you're in line in terms of whose the next guy that invented it. Whose the first inventor? |
| Simon Bernstein: | Someone comes after you the second day after... |
| Boehm: | Who's the first inventor, that's what you're after. |
| Simon: | I understand. I really understand...you don't physically stand... |
| Boehm: | Not physically in line in the patent office is right, not or even in physically in line in order as well. Okay. Oneyear letter, the provisional expires and you have to file a non-provisional patent application, okay? Many times it's identical. If you do a good job up front, you just file that, but you need to put claims on at this time. When I do a provisional, I try, if there is money and time up front, to do it once up front. I even write the claims. As a matter of fact, I don't even like to file provisionals because there's not much of an advantage. If you've got the time and the money up front to do a good job, well then, just file it as a regular application. |
| Simon: | Understand that at the beginning, the time and the money...I mean, the time was certainly available, but the money was a short substance. So it was obvious that Ray would be working in a most expeditious way. |
| Boehm: | Well, that's why the.. |
| Simon: Which | might have short-circuited us because of all of the lack of funds. |
| Wheeler: | Well, that's true because the filing date is 3/24/99 to endorse that...that was very early in the game. |
| Simon: | We did it in your office Chris in your library... in your conference room. The only meeting I had with him was while we were going to file the patent and that was in your office. |
| Boehm: | Okay, 3/24/99 is the provisional application. |
| Bernstein: That | s what I'm saying. Well, Chris, |

Boehm: So even at a year, he filed the second one with claims.

Buchsbaum: Yeah two things happened during the year. One, the Company was doing other things, even though they knew that was coming up, and two, I guess there wasn't a whole lot of money to allocate towards doing that much.

Simon: Here's what we did. We hired Ray Joao on the monies that were raised by the investors; and then when Huizenga was coming in with their money, and when that money came in, we made a company decision that the first and foremost thing was to get the patent filed properly. So the fact that we were going to spend more money and get them completed at that point had already been made.

Simon: Okay, but prior to that, we were working on short forms. Then after that, we started to raise capital, and we always knew that the priority was intellectual property, so were going to make sure that those got done right. Brian's been working on it ever since, and felt comfortable... $\operatorname{I}$ never did feel comfortable with Ray Joao...just an observation.

Boehm: Hrmm...is it all patent attorneys? <Laughter>

Simon: No, no, there's nothing wrong. He came in, he's a nice guy, he tried hard, you know, all the nice things, but his work always appeared sloppy, okay? And that's the only thing I can say. You're a patent attorney, you see what he did. If I'm wrong, then let me know; but to me, it looked like it WaS $a$ mitt $e$ mamphoshod. And then he made some statements that really bothered me, too, that I don't think he should have rade to a client, and that is that he was filing his own patent. <Chuckling.> I mean, horseshit personally,
 that he's an inventor filing his own patent. It really did bother me.
<Everyone talking at once.>

Bernstein: Transmitting video files on a communication network for airlines and...

Simon: It probably meant nothing because $I$ don't think the guy was of the nature to be stealing from us, but $I$ don't know! But I'll tell you this, it did ring a bell. From a pure novice, it made me a little nervous. I asked Eliot why he was dealing with somebody, but we were assured that this was a good firm...

Boehm: Let me look back in my own spiel...here with the provisional. You file a provisional, then within one year, you file a regular application with the claims. You can add claims to it; but if you add subject matter to it-in other words, if the zoom and pan concept wasn't well described, you have lost the benefit of that first phase. Right. Now


| Simon Bernstein: | Before this meeting took place, before we called this meeting, aren't you privy to everything that's been done? |
| :---: | :---: |
| Boehm: | Oh, sure. I have everything. |
| Simon Bernstein: | So when Eliot asked you that question, why can't you answer it? |
| Boehm: | Because there's no...in my opinion, there's no clear-cut answer, yes or no, on the quality of the work product. It's a judgment call. |
| Bernstein: So th | t's an exposure, and what if the judgment is against us? |
| Wheeler: | It's [an examiner] judgment call is what we're saying. |
| Boehm: | The damage? |
| Wheeler: | No, the examiner. <Everyone talking at once.> |
| Wheeler: | Whether the subject matter is new or not |
| Boehm: | The examiner would...hold on...it's... |
| Wheeler: | whose judgment call is it? |
| Boehm: | It could be the examiner's, if he catches it. If it's not caught, and you get it to patent and you litigate the patent, ... at court. Or if the examiner catches it and I want to appeal it to the board of appeals in the patent office, it's their judgment call |
| Wheeler: | Okay, so we go to court and we're fighting over the patent, we would argue that it's supported by the zoom 1700 in our language, and the other side would, say that's baloney that's too broad you didn't describe it enough |
| Boehm: | You didn't have your invention... |
| Bernstein: Then | ou lose. |
| Boehm: | We would lose only if you had a bar date come in there if somebody else invented before you, or if you put something on sale... or if we offered something up for sale. |
| Bernstein: Which | we did. |
| Boehm: | But the offer-for-sale date from our first meeting is not until September. |
| Bernstein: Right |  |
| Boehm: | So the offers for sale won't normally kick off a foreign... |
| Simon Bernstein: | Could you explain to me what offer for sale means? |


| Boehm: | Sure. As soon as you.. you can't get a patent on a product after you've been using it for more than a year. As soon as you publicly disclose your invention, you've got one year in the United States to get a patent on file, okay? Even if you don't publicly disclose it...let's say I've got a method of making [ ] in my factory, but it never gets outside. I'm starting to commercialize it, I'm making money off my invention...the commercialization date a year later is you can't patent it in the U.S. So that's that one-year grace period. |
| :---: | :---: |
| Simon Bernstein: | Aren't we within that period? |
| Boehm: | Yes. As far as we know, yeah. As far as we know. |
| Utley: | Yes-yes we are within that grace period |
| Simon: | Okay, somebody explain to me, what am I doing here? Why am I sitting here? Are we saying that Ray Joao, other than being sloppy, but there's not much damage that could have been done or can be done because we can fix it, which really would make me the happiest to hear that. |
| [not in transcrip perhaps the chan | : FSL look at change above although minor it indicates in text to match new text] |
| Utley: | Can I jump in? Let's just say there are two steps. We're going to make a filing this week; and to the best of our knowledge, we have swept up all this in this filing, and that will be within the comercialization period. The second thing that we're going to do is we're going to look at filing an addendurn to the original formal filing to strengthen the claims - broaden the claims... to the maximum extent that we can. |
| Boehm: | if we need it...if we need it. |
| Boehm: | It'll be a lot of this was swept up into the application. |
| Utley: | What we're trying to do is protect the date day of March 24 |
| Boehm: | The original |
| Utley: | The original date as March the $24^{\text {h }}$, but filing should remain an objective. |
| Simon Bernstein: | Brian, if you broadened the language now, would that be a red flag to the commissioner that you should have done it earlier? Or should we just say that this has always been there? |
| Buchsbaum: | You mean the examiner of the commission |
| Bernstein: We're | not going to be able to say it was in the claim. |
| Simon Bernstein: | What happens when you start those amendments or broaden them is you start to admit that you didn't do it. |


| Boehm: | Um, yes and no. We... I do that all the time. |
| :---: | :---: |
| Simon Bernstein: | It's common then? |
| Bernstein: If the | y do it all the time, then we have to do it. |
| Simon Bernstein: | But not until I feel more comfortable with it. |
| Boehm: | We normally have a search done. The patent examiner will do a patentability search, and he will come back and reject it. The problem is if the claims are too narrow to begin with, he will not come back and reject it, he'll allow it, and boom! Now I can't amend it he's in. [ ], we're done. But I can file an continuation on it. I can keep dragging this out and get broader claims as long as the subject matter is... |
| Wheeler: | So that's why he stated it broadly versus narrowly? |
| Boehm: | No. |
| <Somebody cones in | to the room to take food/and or drink orders.> |
| Boehm: | No, but as far as, doing it broadly, if you're saying to claim it broadly it's our job to claim... as prior art which I doubt the claim is as broad as the [ ] allows... |
| Wheeler: | Right. That's what I'm saying. |
| Boehm: | And this is claimed broadly. |
| Wheeler: | Right. |
| Boehm: | And that's the normal tactic, to claim things broadly, and then wait for the examiner to come back and say, "Oh, you can't get it that broad," and then narrow down your claim. |
| Wheeler: | Okay, so isn't that what he was in part trying to do? That's what he's been saying, yeah. |
| Boehm: | Yeah. |
| Wheeler: | Well, would that not be consistent with how patent attorneys try to do things? |
| Bernstein: Well, | claim one, if you look at their claim one, Chris, that they've written, it identifies... |
| Wheeler: | Who's they? |
| Bernstein: Foley [not in transcrip | \& Lardner. It identifies what you're trying to do. <br> : Stephen note how Dicks name is deleted and Foley's name is screwed up, may indicate who was changing this transcript] |
| Wheeler: | Okay, so maybe it should have been written differently. 18 |



| Utley: | And we should go back and address what amendments we can make to the claims in the filing of March this year and determine within the spec of the filing how broad those claims can be. I mean, that's going to be the test. Within the spec of that filing, how much leverage have we got to broaden those claims so that we do have a priority date which is back about a year ago last March. |
| :---: | :---: |
| Bernstein: | So we want to insert everything going into this one into that one? |
| Utley: | No, it'll be. |
| Utley: | It'll be based upon the preamble, if you will, of what's in here. |
| Boehm: | We do reference it. As a matter of fact, this is the cover page, Brian, of the application we're going to file. |
| Utley: | Yeah, you reference it right there. |
| Bernstein: | But you can add claims to that one that you're referencing that would encompass what we have in today's filing, which is really...we do want it in there. |
| Boehm: | Yes, I can claims to the zoom and pan to get you back to the original date in this one since $I$ clain to this onto his. |
| Bernstein: | Well, we should do both. |
| Boehm: | Well, you can't get two patents on the same invention, so it depends on where we want to go. |
| Bernstein: | Well, we want to definitely get it in on his because it gets us an earlier date. Correct? |
| Boehrn: | No. It's a mess with these dates. What will happen is...nobody will worry about the date unless there's an occurrence, and that occurrence might... it's a major problem. You won't find out about that occurrence until you sue somebody, and then they go search in Australia, and they find a reference that somebody's done this before in the library, and then you worry about the date. Were you before him? |
| Bernstein: | Well, that's what I'm worried about. I'd like to go back to our earliest date. |
| Wheeler: | Can I point out one other thing? I know we look for the word...Eliot looks for the word...I know we look for the word "zoom," but there's also other language in here too. Sometimes we get caught up in a word "zoom," when what is zooming other than enlarging or reducing? And he does have language in here, "when enlarged or reduced, these pixels of the digital image becoming distorted a feature which |


| Wheeler: | typically results in the digital image being fixed to an original size or being available at low magnification, such as, for example, magnification from 200 to 300 times. These digital images are also difficult to enlarge to a full screen without a tremendous amount of distortion present in the end product." <br> I mean, he's describing I mean that's zooming. Reducing and enlarging is zooming. |
| :---: | :---: |
| Bernstein: | But he's not putting it in your claims, that's what he's saying. You see, this is different. |
| Boehm: | But it doesn't matter right now |
| Wheeler: | But it doesn't have to be if you've made mention. The opinion is that it doesn't have to be as long as he's ...if you made mention...if you've gone on record of having described this |
| Boehm: | This is the background that's...problem. He's got... |
| Boehm: | That kind of invention, right, it's got to state.. |
| Wheeler: | Well, I didn't get to that either. |
| Bernstein: | Right. And that's where it's not. |
| Boehm: | I pointed out a couple of things. It's not as.. |
| Bernstein: | Within the claims, the claims I'm reading, you could not. |
| Boehm: | The claims really don't matter. |
| Bernstein: | In the patent? |
| Boehm: | The patent claims on a pending application basically don't matter. |
| Bernstein: | No, the ones he filed. |
| Boehm: | Yeah, they basically don't matter. I can go back and change them. |
| Bernstein: | Okay. Why? So we want to change back to the original one he's filed, put as much language as we can that we have today...oh, it's all supported. Everything you wrote in that new one is supported in this one because it's the same process. |
| Boehm: | That's the ultimate problem that Steve and I-Steve is Becker, the other patent attorney that actually wrote these patents <in audible>-but that's the ultimate problem that we're worried about, and that's the problem that you always worry about unless you first of all have a handle on the invention, inside and outside, and second of all, unless you really have a handle on Prior Art so you know where you 21 |

want to go with this. Then you spend the time and the money to do a good original provisional filing. You've got a pretty good shot that it's supported then. But when you file as, oh, I've got to try and cover this base, and when you do this kind of stuff, there's always going to be a question of what was supported when.



| Wheeler: | I have copies of each one of these. Can I get a copy of your [ ]? |
| :---: | :---: |
| Boehm: | of this? Sure. |
| Wheeler: | I have a copy of each one of these, I believe, or most of thern... |
| Buchsbaum: obligation Boehm: | Can I ask you a question? Your saying everybody that has an to sign is on the list of names in these patents? <br> You preferably don't...well, unless you have the new ones... |
| Wheeler: | I don't have the new ones, but |
| Bernstein: | That's an old one. That's old. |
| Buchsbaum: | You're saying everybody that has an obligation to sign is on the list of names in these patents right, because the company was part because the Company was doing, is that what you're saying? Because I don't even know if everybody has signed because you may due corporate due diligence for financial reasons or if...and they will say has everybody signed off on these patents, and if three people don't...if one person hasn't, he has an obligation to sign? |
| Boehm: | Brian, have you signed? |
| Buchsbaum: | Has everybody signed off on these? Brian? |
| Boehm: | See these tabs [refers to tabs for inventors Bernstein, Shirajee, Friedstein and Rosario to sign] right here? That's what I'm trying to do today. As soon as...I'm going to have people sign, me sign...all the inventors sign. I've got to get a hold of Jeff |
| Bernstein: | I thought we did that when we filed. |
| Boehm: | You only signed one real document, didn't you? Did you actually a declaration? I know you didn't sign an assignment over but you're real clean on it because these are all based on the original filing, which is assigned to iviewit holding already |
| Bernstein: | What's that mean? |
| Boehm: | So all of the other inventors would have a helluva problem trying to say they owned anything. |
| Simon: | Again, this is a little off the subject matter, but I have asked Chris about it before. If something were to happen to iviewit, and it were it went into bankruptcy, what would happen to those patents? How would those patents [ ]? |
| eeler: | It depends on which at iviewit you're talking about |



| Simon Berns | n: ...Board if everybody that was a creditor found, everybody that was a note holder at that point there was no what would you call it - problem |
| :---: | :---: |
| Buchsbaurn: | and that would be protected by the courts anyway usually. The court would see this probably as a you know a fraud |
| Wheeler: | You could have two frauds: fraud of creditors and fraud of shareholders. |
| Simon: | No, Chris I'm not worried about fraud. I'm really concerned with the fact that what we did here, the last loan that we took in, from... |
| Bernstein: | Crossbow. |
| Simon: | No, not from Crossbar |
| Bernstein: | Crossbow. |
| Wheeler: | Crossbow |
| Simon: | ...is secured by the. |
| Wheeler: | .the term of the deal, right. |
| Simon: | And that's perfectly acceptable to me except that everybody else that had loans prior to that at that time should have been considered with the same equity because ...posses able and Chris told me that that was the perfect time to get it done |
| Bernstein: | Yeah, but would Huizenga lose his? |
| Bernstein: | Would Huizenga lose his stake in it to Crossbow? |
| Wheeler: | No, no, no, it wasn't...I said that if there was going to be new considerations from those people, we all could of...?? |
| Simon: | We all could have put in another $\$ 10$. I mean, at the time we did it with Crossbow, we should have made sure that our other people... |
| Bernstein: | Are protected. |
| Utley: | No, no, no. We would have had to issue new contracts out for everyone. |
| Wheeler: | There would have had to have been some material consideration, not just $\$ 10$. It would have been... |
| Simon: | So it would have been $\$ 10,000 .$. |
| Wheeler: | Well, then, you could have...Crossbow, we didn't even talk about Crossbow at that moment, and I said you couldn't go back and just collateralize. You couldn't go back for money that you already put in. But if you put in new |


|  | considerations that you could demand as a condition to be collateral. |
| :---: | :---: |
| Simon: | What we should have done, or what we maybe we still should do to protect our original group of investors, is to have them pony up a few more thousand or whatever you think is legitimate, and amend the contracts to protect them as well. |
| Utley: | That's new subject matter. |
| Simon: | Well, I only brought it up because it had to do with the patents. |
| Utley: | I know but can we finish the patent discussions before we bring up new subject matter. |
| Simon: | You can, but I want to make sure that we do finish. |
| Utley: | No, I aqree with you si. |
| Si: | The problem is that I made claims to certain people like Don Kane, who put op \$100,000, who thinks... |
| Bernstein: | Let's get back to that. No, let's get back to it. It's a definite point. There are people. |
| Buchsbaum: | This is a business issue for later. |
| Bernstein: | No, we're asked by these very people these questions. |
| Boehm: | Did you get your question answered on the.. |
| Buchsbaum: | Yeah, I just wanted to understand...you know, I got an answer. It had to do with the obligations si 1 was trying to understand if somebody does due diligence now with regards to understanding what is there and what has to be done, like those yellow tabs. [Yellow tabs indicate siqnatures of missing inventors] |
| Boehm: | Yeah, but after...I find everybody, we can get guys to sign. |
| Buchsbaum: | We aren't that many. I don't know on that sheet what you have, but I don't think there are that many names. There's what about five names? |
| Buchsbaum: | Therearen't that many...you don't have that many. I don't know on that sheet you have, I don't think there's that many names. |
| Boehm: | No, there's not. |
| Boehm: | So we have everybody but Jeff, if we can get Jude and zak. |
| Buchsbaum: | You just have to get people around and sign. |
| Boehm: | No, that should not be and issue. |


| Buchsbaum: | That might be questions brought up when people do do due diligence. Is everybody else on these? |
| :---: | :---: |
| Bernstein: | That's why we're closing it. Right? |
| Boehm: | We'll record what was in the patent office (..???) can do. |
| Utley: | The other piece that's not in any part of the original filings, which is the reduction of the technology to a disciplined process-the mathematical representations of what's in and how it works and stuff like that. |
| Wheeler: | (..???) |
| Buchsbaum: | That will also be included in there, right? |
| Utley: | We'll put it in the new filing... |
| Wheeler: | I form my opinion of everything, and we can talk about post solutions but I think Brian wants to get this back on track, but to me there's bad news and there's good news in this. The bad news is, just like anything in life, perhaps we would have liked to have tidied up some things better, like to have had Mr. Joao tidy them up. The good news is considering the state that the corporation was in in the early stages and the variable limited resources that it had, I'm glad that we have an awful lot on record that we do have on record, to be honest with you. |
| Simon: | As long as it's not to the detriment of what we thought we were filing, I have no...I couldn't agree with you more. |
| Wheeler: | But I think I like your approach, and I assume it's your approach, too, in that $I$ assume that you're doing a fairly comprehensive new one, but then you're going to probably. |
| Utley: | Claim priority back to the old one. |
| Wheeler: | Right, but you're also going to do your amendment because now we're finding out that it's not an uncommon procedure and it's not a red flag. |
| Utley: | Two things: the new filing on Wednesday will claim priority all the way back for as much as possible back to March $24^{\text {h }}$ last year. Second, we will look at the March $24^{\text {h }}$ Year 2000 filing and determine how we should amend that to include additional claims and broaden that filing so that it more fully represents the knowledge of the invention as of that time. |
| Bernstein: | Does it claim all the way back? |
| Wheeler: | It'll go all the way back. |
| Boehm: | as long as you don't go outside what was described |


| Bernstein: | No, the math is just describing the original invention. |
| :---: | :---: |
| Boehm: | We'll, I'll never know the answer to that until it's litigated. |
| Utley: | Due diligence. |
| Bernstein: | Right, but from your perspective here, that's what we're setting up. Correct? |
| Boehm: | We're going to try. |
| Bernstein: | Okay. |
| Boehm: | The question never even gets answered half the time in the real world. I will claim priority back on the document, and then if the examiner doesn't care, nobody cares |
| Bernstein: | It gets through. |
| Boehm: | It gets through. |
| Wheeler: | Would it be a fair assessment-I'm posing this more as a novice, not as an attorney here-since we're not at IBM and we don't sit down at the very beginning and work out all these equations and all that, that in an invention such as this by a Ma-and-Pa type of inventor, and now since we're getting into the nuts and bolts and really uncovering, in essence, what's behind it, as Brian dissected it as we moved along, but that's all we're doing? I mean, that Ma-and-Fa inventors do that as they go along? They add the flesh to the bones as they go along? |
| Boehm: | Boy, that happens, and we try not...we try to minimize the amount because if the flesh that you have to add is new subject matter and you've already sold your invention a year ago, you're dead. |
| Wheeler: | Well no, Let me at it a different way. It does this, but $I$ can't describe how it does this. But now we find out...we tell you what it does, now we're telling you in detail how it does it. |
| Boehm: | Yeah, in terms of we claimed it properly. |
| Wheeler: | So I'm not adding flesh in defense. |
| Simon: | New flesh. |
| Wheeler: | ...new flesh. I've got the box, now I'm disclosing what's in the box including the gears and how it works. |
| Bernstein: | No. |
| Utley: | No. Here's what the big difference is. The original filing claims a process for print film imaging. |



|  | distribution. But of that amount [ ] unless there's nothing to distribute. |
| :---: | :---: |
| Simon: | Not if one of the preferred stockholders has a collateralized position and the others don't. If one of these preferred stockholders... |
| Utley: | There's no stockholders that have a collateralized position. |
| Simon: | That's true. |
| Buchsbaum: | You're talking about the small amount of money, that have any value, it should be reasonable value, and those would be taken out anyway. |
| Simon: | Except that we seem to feel that we have an obligation to those, to protect the other stockholders who...had all good...I think its prudent anybody to ask permission |
| Buchsbaum: | A good way to do it is the way he said to do it, and that's to [?]. |
| Utley: | Will you look it up and see what it's going to take to do it? |
| Wheeler: | I'll coordinate that |
| Utley: | I'm not clear. What are we trying to do? Are we trying to provide for collateral for new money coming in, or are we trying to...? We're not trying to collateralize money which has already been... |
| Simon: | I don't know. Can you handle the old money the same way? I don't think so. |
| Wheeler: | We have to see. We might be able to consider it for the full amount in the view of the fact that if you had enough substantial new consideration, ... |
| Buchsbaum: | The problem is that you may have to go back to crossbow to do that, and you may be better off just to do it on subsequent money. |
| Simon: | Well, but to ask Don Kane to put up $\$ 10,000$ when he's got $\$ 160,000$ in the... $\$ 135,000$ in the company, and then he only gets $10 \%$... $\$ 10,000$ worth of consideration...I'd like to protect his whole $\$ 165,000$, which is what he has. |
| Buchsbaum: | The answer is you go back and |
| Utley: | I don't think you can do that because that's equity. It's in common stock. |
| rnstein | 's not equity. It's a loan |

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Bernstein: Don had the stock prior to his putting up the money. These
                are loans. There's $400,000 that's on the books. Then
                there's another $100,000 besides what he put in originally.
                Sal has a loan on the books of $25,000. Your guy should
                have had a loan on the books for $250,000.
Utley: No, that's equity. Okay.
Simon: At any rate, <tape cuts out[tape does not cut out on my
                                tape]>...While I got Chris here I'm going to take advantage
                                of his being here.
Simon: One of the issues we tried to do when we raised the last $80,000
        that came form Eliot's two friends Anderson and Mitch
        Welsch. [ ]
Bernstein: Ken Anderson.
Simon: It was my knowledge, according to Jerry, that those monies
                                    were to go to Eliot, and then Eliot was theoretically to
                                    loan the money to the company so that Eliot would have a
                                    loan on the books and he would have sold his stock because
                                    Eliot has some personal needs that he needs to accomplish
                                    as soon as we get funded or we get some money in here. I'm
                                    under the understanding again. It could be way off.
Bernstein: How do we work that out, Brian? The 10? A loan?
Utley: Yeah, that's better because otherwise you will get taxed.
Bernstein: Will they loan me $10,000 to pay the taxes?
Simon: Who loaned you?
Bernstein: The company just today?
Utley: So I took that as a loan?
Utley: Yes.
Bernstein: The money went to the company, which spent the money already-the
            stock money-from Ken and Mitch.
Simon: You haven't sold any of your stock?
Bernstein: No.
Simon: You just made an officer's loan.
Wheeler: Right.
Simon: Is that how you handle it?
Simon: You loan the loan back by some method at some point.
Bernstein: Right. Correct.
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| Boehm: |  | The one that's out there? |
| :---: | :---: | :---: |
| Utley: |  | Yes the PCT. Do we need to touch that? |
| Boehm: |  | No, no. There's a PCT and a US. |
| Utley: |  | Right. |
| Boehm: |  | The PCT, we will get a search back. In fact, we should get it in a month or so, and then you' ll decide what you want to do with that, what foreign country and possibly the Us, but he files the same thing basically in the US, and now it's in line in the US. |
| Utley: |  | Right, right. But what I'm saying is if the new filing that we make this week creates priority all the way back and embraces all of the teachings of the prior... |
| Boehm: |  | Zoom and pan stuff. |
| Utley: |  | Zoom and pan stuff, filings, do we need to go and modify and update and amend those earlier filings? |
| Boehm: |  | Those other two. |
| Buchsbaum: | That's | a good question would there be new recommendation? |
| Boehm: |  | It depends on two things. One is how quickly do you want to get the US for the new filing? This is a PCT that we're preparing right now. If we file the US right away with it, then it makes less difference. |
| Bernstein: | Less? |  |
| Boehm: |  | Less difference because he's in line sooner. That's all. It just depends on how soon you want to get your patent. |
| Bernstein: | Well, | we want to go for the sooner. |
| Utley: |  | The sooner the better. |
| Boehm: |  | The sooner the better then let me play with this |
| Bernstein: | Right. |  |
| Boehm: |  | Flus you're gonna get an office action back from the patent office on him... |
| Bernstein: | On tha |  |
| Boehm: |  | For free. There's nothing involved. |
| Bernstein: | Right, | but it doesn't claim anything. |
| Boehm: |  | I don't know yet. It claims...he'll get this blasted. It will will be rejected. |
| rnstein: | Yeah. |  |


| Boehm: | It will be rejected. The question is do we want to fix this, or where are we with the other things? So there's no decisions to be made now on this, it's just that do you want to file a US and a PCT? |
| :---: | :---: |
| Utley: | The answers yes |
| Boehm | Yes |
| Bernstein: | And we do want to fix the original work? |
| Boehm: | We can decide that later. |
| Bernstein: | Nell, why would we leave it unfixed? |
| Boehm: | Because you can't get two patents on the same thing. So if we fix this, you're not going to get it over here. |
| Bernstein: | But then we lose the date. |
| Buchsbaum: | No we don't. |
| Simon: | That's what he's saying. |
| Buchsbaum: | You really don't lose the date. |
| Wheeler: | So were not going to..??? |
| Utley: | Because he's claiming all the way back. |
| Boehm: | We may not. It depends on... |
| Bernstein: | May and less, these are words that scare me. |
| Boehm: | You don't like that, do you? |
| Bernstein: | No, I do not. |
| Boehm: | But I don't think this is the right time to make that decision now. |
| Utley: | What is the right time? |
| Boehm: | When we get some office action back on this patent. And when we hear from the patent office, we'll sit down say do we want to fix this, or do we want to fix this, or have we uncovered some killer Frior Art that blows this whole thing out of the water? You don't want to spend money right now if you can avoid it. |
| Wheeler: | We've never done a search, have we? |
| Boehm: | We did a search...I've done a search on...<Everyone talking at once.> on a dozen patents that really weren't on point. We didn't find any close Prior Art; and all I can tell these... |



| Boehm: | And from your investors because if $I$ was working for them... |
| :---: | :---: |
| Buchsbaum: | Let me put it another way. If you have somebody that will take this company and auction off the technology, okay? As it is existing...as it is unfolding, okay? And as the licenses come along. It's strategy. Some of these people bid on that. What are they really bidding on? It's potentials, right? Basically? |
| Boehrn: | Well, no, there's a present value of the technology. If you... |
| Buchsbaum: | Well, not if you don't have patents issued on it. |
| Boehm: | Well, sure there is. Sure there is. If he can get a royalty based on $2 \%$ of their products-or whatever it is-per minute, whether or not it is patented, absolutely. |
| Buchsbaum: | My question is at what point does it become...is the efficacy there significantly enough from the standpoint of others now that would be doing their own review. You know, like, say a firm that would do the option. They'd have their patent lawyers take a look at what you're doing to see if they think it has a real good value. At what point does that come along? Is it six or nine months from now, basically? Is that when that probably would start to unfold as far as having a real relevant potential value? I've been trying to get a general.. |
| Boehm: | I understand your question. I guess I would answer. |
| Buchsbaum: | General idea. |
| Boehm: | If your licensees are spending a lot of money. |
| Buchsbaum: | On your technology. |
| Boehm: | On your technology, they're going to have their patent attorneys right now, today, go do a search, and they will have a good indication. They may come up with frior Art that blows you out of the water. They may find nothing. They may not search it. They may say, we don't care about patents; it's the technology. |
| Buchsbaum: | Reality, though, this is not the...more likely six to nine months as some licenses start to unfold here and as things start to come back, and that's when this thing will start to have some relevance more than it does right now? From the standpoint of the... |
| Boehm: | That the patent will have relevance? |
| Buchsbaum: | No, no. The technology has a value that can be created in the marketplace and turned to bidding. |


| Wheeler: | Well, you can look at the technology as almost value added to the company. I mean, the company has worth because of the process and what we can provide and we can build it up. But it'll even astronomical more worth assuming that we have...that it's totally proprietary to ourselves. Now some companies have great technology that's proprietary to themselves, and it doesn't earn them money. For instance, Wang Laboratories went down the tubes. They had the best word processing, and they had the best of everything else. And, of course, a lot of their technology is licensed out there, as $I$ understand it, to VisionAire and to...they did the true ones, and... |
| :---: | :---: |
| Buchsbaum: | It's was also to get to the possible strategy for the company's investors, okay? |
| Utley: | Right. |
| Buchsbaum: | Or it may be at some point a window of huge value placed on this technology where you may take advantage of it. |
| Wheeler: | Well, and to our investors, we have said, and we can continue to say, we are attempting to create a pool of intellectual property and protect it. |
| Buchsbaum: | Okay. |
| Wheeler: | But there can be no assurances that this will withstand the test of time. |
| Boehm: | That is exactly it. And you never want even when it issues. You will get a good comfort level when you have a us patent issued in your hands. |
| Bernstein: | Why? |
| Boehm: | Because you've had an examination. |
| Buchsbaum: | Because you've got some review. |
| Boehm: | Because you have a presumption of validity. |
| Bernstein: | That's why I'd like to get that first one corrected because that's the first one that's going to be examined. |
| Boehm: | No, we've got one...oh, yeah, it is. It's the US. |
| Bernstein: | And therefore I want that to be approved. The investors are going to say... |
| Buchsbaum: | The first one that we're going to be issued will be issued in May. |
| Bernstein: | And the investors are going to say what happened to patent one. |


| Boehm: | $3 / 10$ of 2000 was when it was filed. Typically a year...they'll get around to it within a year. Maybe it'll issue in. 18 months to two years |
| :---: | :---: |
| Buchsbaum: | From right now or from then? |
| Boehm: | From 3/10. |
| Bernstein: | What is the process speed up? If you can show... |
| Boehm: | If you can show somebody's infringing, you can have an expedited examination; but that doesn't always buy you much time, and you really have to get into the patent office the first time, and I'm not sure we can do that. |
| Wheeler: | Wouldn't a good example of one way be that Apple had really great patents, and Microsoft was still able to come in and duplicate it, even though everyone knows they violated the hell out of the patent of Apple. |
| Boehm: | Um, hum. |
| Wheeler: | So I mean you could have a good patent and it could still go down the tubes. But another one I'm thinking of that did stand up was Folaroid had patents and Kodak tifed to come in and do everything to distinguish, and wasn't able to and got clobbered, right? And there's probably a lot of every variation in between. |
| Boehm: | Yeah. Wheeler: [Not in transcript this is strange here] |
| Wheeler: | Are those the two extremes? |
| Boehm: | Yeah, |
| Wheeler: | those would be the two extremes. |
| Utley: | Especially when it comes to method patents and software patents. |
| Wheeler: | Yeah, what was the first thing that Brian |
| Boehm: | ...and the more patents you have, the less chances. It's like putting out mine fields...less chances people to get around you. But if the original concept is broad enough and claimed right, Yeah, we can be okay. |
| Boehm: | But what, the test - I guess what you're asking for is when we have that first claim promised, probably within two years of when you filed, which is March 10, 2000, I would probably say |
| Utley <Inaudible | Doug come back, close it out again. comment.> |

Boehm: There were two points. One was the FCT and I got that in correct.

Buchsbaum: Right.

| Boehm: | The second point was everybody was saying you don't destroy documents. Lawyers do destroy documents; and in the patent realm, it is comon practice to get rid of all of our attorney notes, but it depends on what the practice is in your law firm and your corporation. Most patent attorneys who use this practice that I've seen, it happens after it issues. You never do it before. I don't even like to do it then. I like to do it after all the... |
| :---: | :---: |
| Bernstein: | I don't even understand why you're destroying it. If you've got nothing to hide and everything's on the up-and-up. |
| Boehm: | But throw in the concept that I'm leaving the law firm. Let's say I'm leaving the law firm, my notes, who's going to follow up and destroy my notes to benefit you, because I do want them six months from now. Maybe that's what he's doing. |
| Wheeler: | Yeah, he could have done it to protect you. He didn't want them around in the other office. |
| Bernstein: | I don't know. I don't know. I don't even know if he knew he was leaving then. |
| Boehm: | Now it's intentional! |
| Utley: | But I want to comeback were going to file FCT and US on the new one. We're going to wait for the old one to get kicked back; and when it gets kicked back by the examiners, we'll then determine how we want to amend it. Is that what you said? |
| Boehm: | No, I want to say something on that again. I think if you want a patent to pop quickly-if that's the goal, which sounds like it's a good goal-then, no, I think we should amend the claims with a preliminary amendment before the examination. |
| Utley: | A preliminary amendment? |
| Boehm: | A preliminary amendment. |
| Bernstein: | Encompassing everything we can throw in there? |
| Boehm: | Yeah, whatever support there is. But a preliminary amendment on whatever it is on the... |
| Bernstein: | So we're going back to the original |
| Boehm: | So I'll fix the 119 case yeah |

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Bernstein: March 3, 2000, to encompass what we've embraced.
Utley: When will you be in a position to recommend what that
    amendment will look like?
Bernstein: It should look a lot like the one we just did.
Boehm: Yeah, that's...
Bernstein: That's my guess.
Utley: When will you be in a position to...
Boehm: I'd have to...a few days...
Utley: About a week or so?
Boehm: Oh, Yeah, within a week, sure.
Bernstein: Okay. That's good.
<End of meeting.>
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Transcription of Patent Meeting<br>Conducted August 4, 2000<br>Participants:<br>Eliot Bernstein, Jim Armstrong, Brian Utley, Steven Becker, Simon Bernstein Docket 57103-120

Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified.

| Armstrong: | Bre me aures of all tiee mportant clates I know you probably are, but are we f Briam made aware of all of our deadines and contingencies relative to those deadines $\{$ that we that we're not left with...I was a little surprised that a final pack that's been in the works for a year, and I know you weren't involved for a year, but in the works for a year required that Eliot and I spent the entire night and morning reviewing it in order to get it done. |
| :---: | :---: |
| Bernstein: | What bothered me about that as well is that we'd go through the math, and then suddenly you have a document Brian sent you several days earlier regarding the math that has a bunch of changes in it, and none of that's in there. I mean, I don't understand that. |
| Berserametroma | :. . was changed from that document anyway. |
| Boehm: | Yeah, it was changed from that document anyway. I was working with Brian, who I thought was the master of that math, but... |
| Bernstein: | But he had sent you an updated ar math three days earlier that didn't appear in the final document that we were trying to... |
| Boehm: | Okay, I don't know. Steve was handing that. I don't know whether...you know, Steve says he did put it in there, but then I don't... |
| Bernstein: | But then we go through the document that we're filing, and it's not there. |
| Boehrn: | Okay, but we were on the third draft when I took it over. You quys had opportunities like crazy to... |
| Bernstein: | But that's the thing. Brian had sent it to you earlier, and it still wasn't appearing in final drafts. |
| Boehm: | If that's true, then something crossed in the email because steve said he put it in there, and maybe there's a piece of the math missing between the crossing the emails. You're right in terms of... |
| Bernstein: | Is Steve there? |
| Boehm: | I don't know. He probably is. |
| Bernstein: | And then my other question is quite a simple question my dad asked about electrical engineers being mathematicians and said, "Didn't they sit and pencil out the math of all this themselves?" |
| Boehm: | Wh, huh. Here's what happened on that. Steve was filling the application. We worked with Brian and you, Eliot, on the application. In some of the letters and emails he said that he doesn't understand the math. |
| Bernstein: | I'm not getting any of those. |
| Boehm: | Huh? |
| Bernstein: | I'm not getting any of those emails. |


| Boehm: | Well, then, talk to Brian because we were corresponding with Brian on that, and I don't know why you weren't getting it if that was the case, and I don't know which letter went to who, blah, blah, blah, but I do know that we mentioned that we didn't understand the math, and we were up to the third draft, if I recall; and you're right, Jim, that it shouldn't have taken...it shouldn't have been last minute and you should have had time to do it. I totally agree, but I can't take total blame for that... |
| :---: | :---: |
| Bernstein: | But wait a minute. Steve has fundemental errors on understanding the math, and yet we're going to file it with him having math problems? |
| Boehm: | It's your duty to either help us to understand. |
| Bernstein: | But then I've got a point. We did help you. We sat on the phone for an entire day, walked through this... |
| Boehm: | The day of the filing you mean? |
| Bernstein: | And if this math is still wrong, I mean, there's something really fundamentally wrong here. |
| Armstrong: | Let me check it again. |
| Bernstein: | Yeah, let us call you back in a while. Is Steve in today, too? |
| Armst rong: | I didn't get involved until miednesday. |
| Boehm: | Right. |
| Armst rong: Boehm: | I'll tell you one thing, Doug, that you should do as just a matter of course going forward. Eliot being the owiner of the company and the person that Brian reports to is any future email correspondence should always be copied to him. That's kind of just a standard practice we all do in the company. <br> To copy? |
| Armstrong: | Yeah. |
| Boehm: | Okay. I didn't know that. |
| Bernstein: | You ask me to review and sign these patents, and you' re not sending me information. what do you mean. |
| Armstrong: | I think had we known that there was a question of validating Brian's math, Eliot would have brought me in a lot earlier. |
| Bernstein: | I would have brought a mathematician in. I mean, this is ridiculous. |
| Armstrong: | Yeah, I'm just a friend that's good at math, not a mathematician. |
| Boehm: | Right, well. |
| Armstrong: | Go to your meeting. we're going to check theis patent fatatout, and we'll talk to you letter. |
| Boehm: | Well, you've got to talk to Brian, too. |
| Armstrong: | Yeah. |
| Bernstein: | I think because I now seriously have to report a lot of things to a board of people that wie're going to have to have a meeting at some point either today or Monday with a few of the key people in the company who are investors, etc., so that they understand what they are investing or not investina in. <br> ellot <br> आMOUO4 2.15.24 AM |
| Eecteximstrong | : Note |
| Bernstein: | No, Boehm difected to capy company onpatents and other matters |


| Boehm: | If what's correct? |
| :---: | :---: |
| Armst rong: | If he's correct about the math being wrong, but let's check it.. |
| Boehm: | No, I'll bet we could get a good patent if the math is totally wrong. I think we're barking up a tree here that's not a big wall. |
| Bernstein: | But wait a minute. The question is if it still remains wrong and we gave you the right changes, it should have been filed right. All the sudden I'm left with a patent that... |
| Boehm: | Okay, talk to Brian. |
| Bernstein: | I will. |
| Boehm: | Brian gave me the right changes. I filed what Brian gave me. |
| Bernstein: | Okay. |
| Armst rong: | Okay. |
| Boehm: | And I don't mean to...you know. . yell ont of that, but that's what happened. |
| Bernstein: | That's no problem. I totally hear that. |
| Armst rong: | Thanks, Doug. |
| Boehm: | Okay. Talk to you Monday. |
| <Hang up phon | $>$ |
| Bernstein: | 8/4/2000. 8:30 Doug Boehm conference call. Jim Armstrong, Eliot Bemmstein. Steve, Jim, everybody, I'm taping the conversation, 8/4/ patent discussion regarding Docket 57103-120 with Brian Utley, Steve Becker, Jim Armstrong, Si Bernstein, and Eliot. Okay, guys. |
| Becker: | [ ], too, if that's all right with everybody. |
| Bernstein: | Yeah, did you get the fax from Jim? |
| Becker: | I haven't received it yet. |
| Armst rong: | It was sent actually to Doug on the "co" line, but to a machine at 297-4900. |
| Becker: | That's right. It'll go to our central fax department, and I just phoned up there and asked them to deliver that to me when it comes in. |
| Bernstein: | Okay, but you've got the patent in front of you? |
| Becker: | $I$ don't. I don't, but 1 can get it. |
| Bernstein: | Okay, well, let's do that. |
| $\begin{aligned} & \text { Becker: } \\ & \text { Bernstein: } \end{aligned}$ | Okay. I'll need a minute. I've got to go over the Doug's office. okay. |
| Armstrong: | The fax is on its way to you now. |
| pesxessimon: | It's on the way to me? |
| Armstrong: | Yeah. |
| Becrexsimon: | Okay, then I'm going to put you guys on hold... |
| Armstrong: | It's not done yet. |
| Becker: | Well, I've got to go upstairs and get it, so hold on. |
| Armstrong: | Never a dull moment. |



| Becker: | No, what I did was I faxed the draft over on Monday night, which incorporated some additional disclosure that Brian had sent. Basically, it was examples. It had the equations set out for both print film and digital examples, and then he had three examples for print film and one example for digital, and I essentially...I exactly basically cut and pasted that into the application. |
| :---: | :---: |
| Bernstein: | Well, the application we got from Doug didn't have any of that we and paste becuage mat it had was the old atuf and Erain weforred wo havno sent Fhis to yo zevera deve earlier end yet itwert therere. |
| WISSTHE SECTUON GO BAXE |  |
| Becker: | I don't really know because at that point Doug was down the re with you guys, and I presumed you wiere reviewing it on like Tuesday and Wednesday. And the Doug said he would take care of just...because we figured there would just be some minor changes after we'd incorporate all of that. |
| Bernstein: | Well, it wasn't incorporated, so there were huge changes. |
| Becker: | Oh. |
| Bernstein: | And it would have been filed completely wrong had it not been for Jim Armstrong reviewing it. Everybody would have nodded off on this and accepted wrong, completely wrong, filings. |
| Becker: | Maybe he should be part of this conversation. |
| Bernstein: | He's on this conversation. |
| Becker: | Oh, good. Hi, Brian. |
| Utley: | Hi, Steve. |
| Bernstein: | Brian's here and Jim Armstrong's here. |
| Becker: | Okay. Wiell, the only link we're missing here is Doug because Doug took the last few steps of incorporating comments and actually filing the application on Wednesday. |
| Bernstein: | Hey, FB... 耳ु-man, forward him a copy of the final draft, would you? |
| Armstrong: | And that, Steve, I think the most important question to have answered is what are our rights and oblilgations and opportunities relative to correcting this without any ill effects to us? |
| Becker: | Yeah. There's plenty of opportunity essentially. We can file...if there are substantial errors in the application as it was filed, we can simply file a new application as soon as we get those fixed either on Monday or Tuesday or what have you. The goal of filing on mednesday was to maintain priority back to the provisional application, which was filed a year ago. |
| Bernstein: | So, did we lose that if they' re wrong? |
| Becker: | No, because we can only claim priority back to the extent that the subject matter was originally disclosed in the provisional filing of August $2^{\text {nd }}$ of last year, and none of these equations were filed back then. |
| Bernstein: | But the original process was. |
| Becker: | Right. And the original process is try Jprearyyed in the application. We're just talking about the details of the math examples that are in here. So we haven't lost anything. |
| Bernstein: | Will we lose claiming back to the priority of the original provisional? So we did lose something, or am I incorrect in what I'm hearing. |
| Becker: | Feat, No, we didn't lose...the original provisional can only provide priority for what was originally disclosed, and the math was not originally disclosed, right? |



|  | The claims can be amended as long as they are still fully supported by the matter that's in the specification that's originally filed. Now, if you want to change your claims and they' re not supported by the specification as originally filed, then you have to file a whole new application adding new matter to your specification that will support those claims. |
| :---: | :---: |
| Armet rong: | Does the fact that a direct interpretation of what in general amounts to typos and oversights, but a direct interpretation of that affect our ability to change that supporting matter of that matter? Because if we directly interpret the math in the certain circumstances here, it will bring you to a wrong conclusion if it's a direct interpretation without having to reverse met and |
| Becker: | Well, I see. Then we need to get the math right, but it doesn't affect our priority. Only by a few days essentially. |
| Bernstein: | Well, do we lose the ability to claim priority to what we were trying to claim here... |
| Becker: | No. |
| Bernstein: | ...by that date? So you can go back in and change the matter of this? |
| Becker: | You don't go back and change the matter, you just file a new application which claims priority back to a prior application only for the subject matter that was... |
| Bernstein: | But we missed that application. |
| Becker: | No, we've got it in the form of this continuation, or this PCT, that we filed claiming priority back to that patent application. So we've preserved that chain of priority. |
| Armstrong: | Are you then completely confident that errors that we need to correct right now then are not going to hurt us in any way, shape, or form as being able to claim as part of our invention all of the correct things that we want in there? |
| S. Bernstein: | That's what I heard at that meeting, that we could go back and re-do that at a later date without having any implication. |
| Bernstein: | As long as it wasn't new subject matter. |
| S. Bernstein: | Exactly right. These are just corrections to the... |
| Bernstein: | They' re corrections, they' re math, whatever. |
| S. Bernstein: | Okay, but we're not saying this is a new way to get to that. |
| Bernstein: | No. |
| S. Bernstein: | Okay, that's what I heard. That's the notes I took. Eliot, you should have that on the tape recorder so that we know that. |
| Armstrong: | Well, we do, and that would also support, I think, another issue, which is that we now have to go through the refiling of something else which was originally corrected several days ago and was somehow ignored so that this whole refiling shouldn't even cost $u s$ anything. |
| Bernstein: | Well, and beyond that, Doug <sic>, what I'd like to really get down to is a letter from you, in writing, explaining all of my, you know, both from the Ray [Joa] patent forward, and I think you need to talk to Doug about it, of what our potential pitfalls are here with these filing errors, what our potential pitfalls are, what it caused to happen with that priority, priority equals, and if there's any ham to us. Because we keep just slipping back by these things. This should have been right. I mean, we have well documented, and Brian's well documented, that these changes were sent, and now we've missed a priority claim to that by not being able to go back and change our last filing. I need to know the liability here. |


| Becker: | You know, I kas not there on wednesday night. Erian talked to Doug on this and then made final changes, and then... |
| :---: | :---: |
| Utley: | Yeah, Dong sent me a next-to-last copy, which I went through and there were a number of errors-I have my notes on each one of those at home-and then I reviewed each one of those with Doug, agreed on what they were, and then Doug was going to send me the last copy, which apparently he didn't because I never received it. At that point in time, it was, I guess, about 11:30 or 11:45 our time. |
| Bernstein: | And these were also discussed in great length with him for a whole day on the phone. |
| S. Bernstein: | Yes, well, how about in the.. |
| Bernstein: | No, no, Dad, this is separate. But at great length this was discussed, every one of these changes. |
| Becker: | The changes you sent me here, is this Brian's handwriting? |
| Utley: | No, some of it isn't. Ism't correct. |
| Bernstein: | Well, let's go through it because I'd like to... |
| Armetrong: | Yeah, let's go through it. |
| Becker: | I don't know if that's going to help that much because it's a question of what actually was filed and whether it incorporated the changes that Brian asked for the last minute. |
| Bernstein: | It didn't. |
| Armstrong: | We know that. This is what was filed. |
| Becker: | Brian, didn't you just say that Doug didn't send you the final draft of what was filed? |
| Bernstein: | He did it the next day. |
| Becker: | Oh, he did the next day? |
|  | tei.: Yeah, Jim, can you forward that to Steve real quick? |
| Armstrong: | What? |
| Bernstein: | Email it to him...the final draft? |
| Armstrong: | Yeah. |
| Becker: | Well, I'm not going to question... |
| Bernstein: | Okay, but we need to go through and get the changes acknowledged, accepted, have you put it into the next whatever you' re going to do to solve this, with a letter explaining what we've lost here. |
| Becker: | All right. |
| Bernstein: | Okay. Any liability, potential liability where we' re exposed to from this. |
| Becker: | Oh, I wouldn't worry about it. You guys are making a mountain... |
| Bernstein: | Well, you know, I gotta tell you, I worry a lot about it from what Doug told us. So, you know what I mean? You tell me not to worry, but then you tell me it's very important that we're accurate in this filing; and then we're very inaccurate in the filing, and then we're not supposed to worry. I'll feel much better not worrying with a letter from you explaining why $I$ shouldn't worry. |
| Armstrong: | Steve, what's at your email? |
| Becker: | Sbeckerofoleylaw.com. |


| Armstrong: | Sbecker? |
| :---: | :---: |
| Becker: | Yeah, "S" as in Steven, "becker." |
| Armstrong: | Got it. |
| Bernstein: | Okay. Let's just go through this with you, Steve, so we can get the next step done. |
| Becker: | All right. |
| Bernstein: | Which is correcting the issues. Are you with us on page 13 ? |
| Becker: | Right. |
| Bernstein: | Okay. Jim? |
| Anmstrong: | On page 13, 1ine 19, the expression of $V W H$ should follow the way we express it in our definitions, which is VIH. Even though the two are equal, let's just follow the way that we have it expressed in our definitions on page 12 . |
| Becker: | Oh, I see. Okay. |
| Armstrong: | Then on line 23, each of those expressions is not congruent with the way we've defined them. Despite the fact that we arrive at the same results, it doesn't apply the formula in exactly the same way. So for a reader, it ought to be the same. So for line 23 , it should be the "square root of 2,560,000 times 1.25." |
| Becker: | All right. |
| Armstrong: | Okay. Not "2560 divided by .8." |
| Becker: | Okay. |
| Armstrong: | On line 24, it ought to be "1789 divided by 1.25." |
| Becker: | I see. Okay. |
| Armst rong: | Then on line 25 , it ought to be "1441 divided by 4." Again, the results are the same; the expressions are not. |
| Utley: | Nom, ¢m that last one, Jim, it's correct. |
| Armetrong: | It's what? |
| Utley: | The scan density is 1789 divided by 5. |
| Armstrong: | Okay, hold on. Scan density is defined by us as being... where the heck is it... oh, it's right up above..."target image height..." right up above on line 7..."minimum scan density is target image height," which in this case we just defined to be 1431... |
| Utley: | Where are you reading from? |
| Armstrong: | Line 7 of the same page. Line 7, page 13. So target image height is 1431 divided by the source image height, which is 4, so it should be 1431 divided by 4. |
| Utley: | Well, the... We al I quess that that equation, "MSD equals $T I H / S I H, "$ did not come from my documentation. |
| Pratamamatara | Hold on, let me look at this documentation. I've got it right here, too. |
| Bernstein: | Well, Steve, you have copies of this, too, that were sent to you... |
| Becker: | Right. |


| Bernstein: | .. Of what Brian's looking at, several days ago. So how isn't this stuff flowing Eorward into the patents, especially when we pointed it out two times before filing? I mean, I'm just dumbfounded at this. |
| :---: | :---: |
| Utley: | There was a change, Steve, which you were not involved... |
| Becker: | The proper equations, fixat wasn't there the last night when the last changes were put in, so I can't really speak to it. |
| Bernstein: | No, but he sent you his changes several days ago. |
| Utley: | Steve, there was a change that we decided on wis on whednesday afternoon, which was to reflect aspect ratio as width divided by height, which wh $I$ wh made, and that was created by the desire to reflect aspect ratio the way that displays are wil bh expressed as opposed to the way photographic images are expressed. Photographic images are expressed the opposite way, and that's the way the documentation min whad been originally prepared. But it was thought that it was wh perhaps more consistent with current technology to express it the way that displays are expressed. So I went through and changed... |
| Becker: |  |
| Utley: | Yes. So that caused the equations to be reconstructed to reflect the nin inverse of what was there before because the affect ratio now is inverted. |
| Becker: | I see. |
| Utley: | And what happened was Doug apparently did not pick up all of those changes, even though I went through them very methodically the last thing whednesday night when he sent me fifmen his mhalmost-final draft. |
| Becker: | I see. |
| Utley: | Wh And uh, Jim, just for your uh edification, that also affected the MSD shifting from a height to a width orientation. The mumber is the same, but it changed it from a height to a width. |
| Armetrong: | So what's the correct formula for MSD? |
| Utley: | It's TIW/SIW. |
| Armetrong: | Okay. |
| Bernstein: | So, you made this change with Doug, and it's still wrong in the patent? |
| Utiey; | Fictt |
| S. Bernstein: | I'm a little concerned about the proficiency of the legal aspect of this. We sat there for hours, and then Brian stayed late into the right with this guy, and then he comes back and we don't file it right anyway? It seems like there's something wrong here. I mean, ... |
| Bernstein: | I mean this is, yeah... |
| S. Bermstein: | I mean, I'm just budding in because I have little or no knowledge as to what the numbers mean, $I^{\prime} m$ just listening to a conversation in which I'm hearing is that after four or five hours in a room locked together with lawyers and everybody else, we reach an agreement that those changes will be made. Now, my understanding is Brian stayed and made those changes, and then the lawyer didn't file the changes? what's the sense of that? |
| Bernstein: | These are good points. Let's move forward, Jim. |
| S. Bernstein: | These are points that have to go back to stockholders with money invested. |
| Bernstein: | That's why I've asked Steve to send us a letter of what's happening, what our exposure is, by Monday or Tuesday, explaining how this didn't occur, get in, and what we're going to do to resolve it, and what that resolve initiates in the chain of events. |


| S. | Well, the other side of it is this. If after all of this precaution has been taken-and Brian, you can correct me if you think different-but after all of this precaution has been taken, it appears that the fallacy of worrying about it ever gets accomplished. Brian stays, everybody works on it, it's still filed wrong. Now what if Jim Armstrong hadn't caught it. Brian was on a plane today... |
| :---: | :---: |
| Bernstein: | Then none of Brian's changes even sent several days ago even would have even been in there. Math would have been wrong, equations would have been wrong, verbiage would have been wrong. |
| S. Bermstein: | Am I right, Brian, in having this concern? |
| Utley: | G Well, yeah, obviously it's ma clearly $\qquad$ 1 a major concern because there's nothing more disciplined than the $\qquad$ mathematical expressions. |
| S. Bermstein: | And you're comfortable that what you did, even if some of them were wrong, that we could have later corrected... |
| Bernstein: | No, Dad, we sat here with Brian and Jim and Dollg, and we went through it, and we all agreed it was right, and those changes do not appear. |
| Utley: | No, we...wh wh |
| S. Bermstein: | That makes me very nervous. Well, it makes me nervous to the extent that are all of the other patents done right? |
| Bernstein: | Well, that's what I'm...I'm going to start having somebody review all of this. I mean, obviously there's...it opens up a whole can of worms. |
| S. Bernstein: | Well, the other thing that $I$ heard was-and not negatively or anything else-but I heard that perhaps Ray [Joa" did this work and he was either concerned about it being a bit sloppy, blah, blah, blah, blah. What is the excuse for this law firm? |
| Bernstein: | Well, let them write us what's happened here. I mean, I definitely need to see on paper, steve, some kind of report on this. That it describes what occurred, why it's not reflected in the patent filings, and what our exposures are, and that'll tell us what we're dealing with in firm, etc., liabilities. I mean, we don't know that. |
| Armstrong: | We should continue to look at the changes so that he's copy that reflects everything. |
| S. Bernstein: | Well, even if there is no liability, what I'm still concerned about, even if it can be corrected, it's the exact same position-Brian, am I right?-that we found ourselves in with the last lawyer who did it. Okay, thank God we can make changes, but that isn't the answer. why not just get it right, get it filed... |
| Bernstein: | No, don't just say thank God we can make changes, Dad, because all of that brings additional liability to you. You miss dates, you miss claiming, you miss this and that-words that are very tricky and confusing, and only these guys can understand. So that's why I need it to be put in writing so I can have it analyzed... |
| S. Bermstein: | Absolutely, I want it definitely, because I need to take it...you know, I need to have board member approval... |
| Bernstein: | Oh, I think our board is going to be disastrous with this stuff about several things when we take this to them. And we need to know from the Ray [Joac\} level to the Foley-[Lardrer] level, how this is going to be cleared up and what the problems were that occurred. |
| S. Bermstein: | Okay, let's get that part in process; and it's unfortunate that Doug's not here because maybe it's something he could explain. |


| Bernstein: | No, I talked to him this morming; and as a matter of fact, he said steve had the math Erom Brian days before and by the time he got it, he thought it was all input correctly, and that was his excuse. |
| :---: | :---: |
| S. Bernstein: | Well, what was he doing here with Brian? |
| Bernstein: | Well, then we spent a whole day with him correcting it all so that it was right; and then by filing time, none of it was right. So, let's go forward. Let's just stay on track. We'll deal with all of these issues on Monday. |
| Utley: | Uh I just say one thing. Wh Foxtatately, wh in't jaou The most important part of the math is all of the definitions. The examples are examples; but the most important part of the math $\qquad$ the are the definitions. |
| S beckeasernet | ¢n: Okay, are those right? |
| Armetrong: |  13... |
| Bernstein: | Is wrong. |
| Armstrong: | Is wrong. It should read... |
| Bernstein: | ..."[ ] equals TIW/SIm." |
| Utley: | They are mathematically dia equal. Both will give the same results. Eio It's a al consistency question as opposed to an accuracy question. |
| S. Bernstein: | And for a reader, it would probably be easier to be consistent. |
| Utley: | Absolutely. |
| S. Bernstein: | That's what we want. As long as we're spending all of this money and everybody's devoting their time to it, we want it to right-as right as you can possibly get it at any rate. |
| Bernstein: | Okay, Dad, let's move forward. |
| Armetrong: | That changes one thing on line 25. The expression on line 25 is now correct as it was typed, so scratch ont my handwiriting. Okay? All the other corrections stand as I explained them earlier. Now, on the last line of this page, that should read: "480 x 320. ." |
| Utley: | That's correct. |
| Armetrong: | Okay. Then on line 6 of page 14, I think we should consistently state which is width and which number is height becalse it's such an important distinction in the calculations. wie did it on the previous example, but not on this one. |
| Berneteim: | Tate then is midth heswt |
| amatromes | Whathe [f beigutas a |
| Utley: | And that is what we had agreed upon on Wednesday afternoon. |
| Bernetein: | Qiat fhat chemaes aubur a minute |
| Armetrong: | Okay. Line 17, again we're just missing that square root symbol in order to make that equation work. Without the square root, it's millions instead of thousands. Now, in line 19, I had originally indicated this was correct; it's now incorrect because of our change in the formula for the density for the maximum scan density. |
| Bernstein: | Steve, are you getting all of these? |
| Becker: | Yep. |
| Armstrong: | This should now read in line 19: "1789 divided by 5 equals 358." |

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Becker: "1789 divided by 5 equals 358?"
Armstrong: Yes.
Becker: All right.
S. Bernstein: Steve, I have a question to ask you.
Becker: Yes.
S. Bermstein: when Jim or Brian or anybody gives you these numbers, are they checked out by
    anybody, or do you just copy what we say and that's it?
Bernstein: No, they definitely don't copy what we say. That's an initial problem here, Dad.
S. Bernstein: Okav, I don't mean to be sarcastic.
Bernstein: No, but they would nomally as mathematical people add up the equations.
S. Bernstein: Yeah, because your partner was telling me that most patent lawyers are engineers,
    which would lead me to believe that somebody would say, "well, I better
    check the math to make sure that guys who are not engineers know what the
    hell they're talking about." Is that done by your firm, or is it just
    accepted as gospel what we give you?
Becker: We don't have engineers or technical people check the math that you provide us.
S. Bemstein: Okay, so what we provide you, then, we live and die by?
Becker: Okay. Your job is to get that right.
Bernstein: Right, but what we did give you, you didn't provide in the patent.
S. Bernstein: Okay, we're trying to say the same thing.
Bernstein: Okay.
Armstrong: Let's just get it right.
8. Pegmstein: At this point we're only interested in getting it right.
Ambtronc: Line 27, that should be "36@H" for the height.
Bernstein: Which page?
Armstrong: Line 14, third-to-last line of the page.
Bernstein: Okay.
Armstrong: Now we're onto page 15. Again, we just need that square root symbol as indicated
                        there.
Becker: Okay.
Armstrong: Then there is nothing on the next few pages until we get to page 18, this is an
                important omission for our calculation standpoint, but we need that square
        root symbol.
Becker: Okay.
Armstrong: Then I'm going to skip for a second this discussion on minimum scan density here
                        because I want to talk to...go with Brian's comments, too, but on line 10,
        the correct figure is "l.33 equals l.33."
Becker: Okay.
Utley: Yeah, that wasn't picked up from the other...from above, the aspect ratio.
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| Armstrong: | Line 15 , the square root symbol again is missing from that sance equation. And then finally, I don't see why, in this example, or any digital example where we have no scanning to do, why we should even include any reference to minimum scan density because the only application of scanning in a digital world is if we were to print a digital photograph and later scan it, in which case we'd follow the print formulas, not the digital formulas. So, my suggestion here is that we change the sentence, beginning on line one, to end after the word "dimensions"...actually, strike the words "and minimum scan density" and also to eliminate line 23. Do you agree, Brian, that there's no reason to have that there? |
| :---: | :---: |
| Utley: | It certainly doesn't edd anything. Uh It doesn't ut yr subtract anything. |
| Armetrong: | It just added confusion to me as a reader when I thought, "How do I calculate that?" and then realized it's not...we' re not scanning anyway. why ask someone to determine something that is not included as a step of the process? So I think if everyone agrees, we should strike the words "...and minimum scan density" in line $l$ and $2 .$. |
| Utley: | No, what I would do, I wouldn't do that. What I would do is simply say, "...image size and dimensions" and then add a new sentence which says, "Minimum scan density is not required since we are dealing with a digital image." |
| Armet rong: | That's fine, too. Then let's strike line 23. |
| Utley: | No, I'd leave that in. |
| Armstrong: | It's redundant, but that's okay. Do you see any other problems with the formulas? Did you review all of this again today, Brian? |
| Utley: | Ma Ife Jet wh fre not reviewed anything today. I wasn't aware of the problems until about three minutes ago. |
| Armst rong: | Okay. So that covers my comments on that. |
| Bernstein: | And, Steve, do me a favor. When you guys draft this letter, draft it to si and Brian. Okay? I'd like to be cc:'d on...and by the way, I'd like to be cc:'d on any correspondence of anybady to do with the patents. |
| Becker: | Okay. |
| Bernstein: | One last thing. Doug mentioned that you had a file fron Erian, a spreadsheet that part of the spreadsheet matter is not incorporated in here. He didn't know why...he couldn't explain why. I was wondering what that matter is, and where is it? Are you aware of that? Because he referred to you. |
| Becker: | Dis newo me? |
| Bernstein: | Yes. |
| Utley: | Wh bih That's probably the image sizing spreadsheet. |
| Becker: | Image sizing? |
| Utlev: | Yeah, I sent you two files on Monday. |
| Becker: | Okay. Actually, you sent three all together. Oh, you sent three emails, and then the last one had two of them. |
| Utley: | Right, the last one had two files: both the image sizing and the process. |
| Becker: | Oh, you'fave got the macro, and then you've also got the description of the math. Now, what did you want included that wasn't? |
| Bernstein: | Well, Doug said it should have been included, but it wasn't...the rest of that sheet. |
| Becker: | What? |
| Bernstein: | I don't know. Whichever half's missing. |


| Armstrong: | Hold on one second...I don't want to confuse Steve. We do not want you to cut and paste out of those documents into thige patent filing. Those documents do not reflect the way we want to express the math. |
| :---: | :---: |
| Bernstein: | Right, but we might want them in there, B , correctly. |
| Armstrong: | What? |
| Bernstein: | We might want them jn thejs coryectiv.. |
| Armstrong: | 9. employs all of the changes we just all agreed to... |
| Bernstein: | No, but there's another sheet that's not reflected here. |
| Armstrong: | Well, yes, I do want to talk about that. The macro, right? |
| Bernstein: | Right. Can you forward that file to us-the Excel sheet-to Jim, me. |
| Armst rong: | Just have Steve forwarded the whole email back to you. |
| Bernstein: | Well, he doesn't have it in front of him, and Erian's got it right here. |
| Utley: | No, I sent it to you. You were copied on it. |
| Bernstein: | Okay. Let's just get the most up to date...any changes. |
| Becker: | Yeah, Brian, remember, we made a decision not to file the claims directed to your macro-we made that decision last...a week before the... |
| Bernstein: | Why? |
| Becker: | Because it was going to involve some additional work, and we didn't have time at that point; and it was all new matter that wasn't going to claim priority to anything, so... |
| Bernstein: | Well, what's new matter? If the math is part of describing the invention, then it's not new matter, according to what Doug's told me four times now. |
| Becker: | Well, Eliot, as you recall, you always have to look at the claims of the application, and that defines the scope of your protection. The claims will also define...also have to be supported by the specifications. We were going to direct claims to the idea of using...of having a macro program, which is useful as a tool, to do these calculations in a rather simple process. |
| Bernstein: | Okay, that's fine if you want to just claim a macro. That does it as a simplified process and add that as an additional patent for us, but the underlying math of it should all be applicable to the invention since it's just derived off the invention. |
| Becker: | Yeah, math... |
| Bernstein: | So it's not new matter, it's just an understanding of the matter. I mean, I swear we went through this four times the other day with that conclusion. |
| Becker: | There are two files that Brian sent me. One of them was an Excel spreadsheet having six pages, and all of that material was included in the application in pretty much cut-and-paste format. His pages 2, 3, 4, and 6 were the examples, which I just cut and pasted as soon as I got them from Brian because they defined it all very particularly. |
| Bernstein: | Okay, now you need to get back your record of that because $2,3,4,5$, and 6 that Brian is sitting here showing me, were never in these patents yesterday. So cutting and paste, you must have put them in the wrong document. |
| Utley: | Those are the examples. |
| Bernstein: | But those weren't...that's not what ended up in there. 15 |


| Utley: | They pulled these pictures out and put them as a $\qquad$ figure sheet on the back, un and then whe we mentered... |
| :---: | :---: |
| Bernstein: | Wrong math. |
| Utley: | ...the formulas in the body of the... |
| Armstrong: | Hey, right. B, are those images...are you looking at the figures? Are all of these figures in the patent application. |
| Utley: | We should be on figure 7 . |
| Bernstein: | Steve, figure 7? |
| Becker: | Okay. |
| Bernstein: | Are you looking at it? |
| Becker: | Not in front of me, but I recall writing it. |
| Bernstein: | Jim, figure 7, what do you see? |
| Armstrong: |  patent application, that $I$ was mailedint $\mid$. |
| Bernstein: | It's not part of that Einal patent? |
| Armet rong: | I don't know about that, but it didn't come as part of that word document. |
| Bernstein: | Maste wast I just eert you, fthat's supposed to be the final revision of the patent. |
| Becker: | We have to scan the drawings into a word document; so if you just mailed the word document, you probably didn't get any figures yet. |
| Ematronc: | Probably the figures were left off of that ei.m |
| Bernstein: | Okay, do you have your patent application? |
| Armstrong: | I've go the one we reviewed on Tuesday dedinesiay. |
| Bernstein: | And what's in there? |
| Armst rong : | All the figures. |
| Bernstein: | Right or wrong? |
| Armstrong: | You know, I don't know. I didn't...Brian, was figure 7 changed at all with the restatement of our aspect ratio? |
| Utley: | Yefl There were some additions that I made for clarification purposes. Ur If you look at the first page of the imaging process, where it says, ali the third box down, it says "viewing image," wh inserted wh "SIR less than DwR" to tie it to the equation above it. And then in the one, the bottom $\qquad$ it has the expression "sIR greater than BwR," again, $\qquad$ tie it to the equation above it. |
| Armstrong: | Yeah, because those two don't have a distinction, figure 7 as it is now. |
| Utley: | Right. So that simply ties the image to the equation. |
| Armstrong: | So do they have...have you sent them an updated amendment? |
| Jtley: | Yeah, that went out wh late mednesday afternoon. |
| Armstrong: | Okay, we've just got to make sure that the corrected figure 7. |
| Bernstein: | Steve, can you fax us the filec patent? |
| Becker: | No, I can't find it. I guess Doug took care of this from... |



| Armstrong: | Yes, page 22 in my printed on. |
| :---: | :---: |
| Becker: | Okay. |
| Bernstein: | Okay, hold on one second because I want to get my notes. |
| $y+7 \mathrm{ys}$, 5emi | Ein: What page is that, Jim? |
| Armst rong: | Pase 22 You don't have it, fixab |
| S. Bermstein: | Because I don't have 22 . |
| Armstrong: | Want me to fax it.. email it to you? |
| S. Bermstein: | No, that's okay, he's going to explain it to me. I want to see if I can't understand this. |
| Becker: | Sure. It's very sort claims, seven lines long. It actually defines the scope of the patent protection that we are trying to obtain in this filing. |
| Armstrong: | Who are we waiting for, Eliot? |
| Becker: | I titambelieve so. |
| Bernstein: | Yeal I'm up front. We're waiting for Brian again. |
| Becker: | Let me know when you're ready. |
| Bernstein: | Okay, Steve, Brian stepped out for a minute, but I still want to address this issue. We invent something. I hire a mathematician. The mathematician solves the $X, Y$, and $Z$ of the invention. Does he claim a new patent for himself? |
| Becker: | Probably not. [Inventorship] typically follows with the conception of invention. If somebody else figures out how it was done, generally speaking that would. |
| Bernstein: | Well, I want to be very gls aleax on this because Doug's thinking...I don't even know if then the next statement is correct or incorrect, but if a macro was created using the math that comes from the imvention, where does it Eollow? Brian, I just asked him, if I hired a mathematician to do the math, put all of this into a thing, where does this follow. He says the invention, the inventor, etc. The guy you hired to do math wouldn't claim a new patent or a new invention, which is confusing to me because Doug now, as of this morning, told me that you're planing on filing a separate patent as inventor of a macro that just spawns off the math entitled to this invention. So I'm confused, and I want to be very specific on this of what our strategy is here on all of these peripheral pieces. |

$<$ End Side 1 ; begin Side $2>$

Bernstein: Why don't you explain that to me again.
Becker: Can we go ahead with describing the claims?

Bernstein: well, do you want to just finish that real guick, and then we' ll go right back to the claims?

Becker: Okay, now what was the question you posed me, Eliot?

Bernstein: I hired a mathematician to solve for what I did. He comes up with an equation. Where does that equation belong? Does it belong filed as another aatent? What's the inventorship, so to speak? And then, I design Erom that math a macro that solves that math with input fommula. How should we be protecting that the whole way through, because I seem to be very confused about what I'n being told each day.
S. Bermstein: Okav, let him answer the question.

| Becker: | Inventorship follows whoever conceived the invention as claimed, and that's why the claim is so important because when you set Eorth in your claim what it exactly is that you're claiming, you have to ask who conceived of that idea-who was the first one to come up with it. So, typically if somebody really reduces your idea to equations that describe why it works or how it works, typically they would not be named as a co-inventor because they really didn't invent the idea. Now if you wanted to claim a macro which has user-input displays for receiving certain data that can be used by, say, a technician to detemine the scan density of a print film image that would allow for the desired enlargement ratios and the desired target image size, that kind of is a separate idea, and that's why we thought it would be useful to claim that as a tool as well. |
| :---: | :---: |
| Bernstein: | Okay, and I understand that part. I don't mind claiming that all day long. |
| Becker: | Brian really was the one that built that and came up with it. It's based on principles that you learned, you know, a few years ago that maybe you didn't understand the math behind them, but certainly, I would think, be named an inventor on that. |
| Utley: | I think that would probably claim both Eliot: and myself as it relates to both aspects. |
| Becker: | Right. But the important thing with the patent office is that it is...the patent office realizes that it is a bit of a grey issue in terms of who conceived what, so the important thing is not to have any deceptive intent. |
| $5 \text { Eexretata }$ S. Pematein: | rong: I think the most important thing is the distinction between inventorship and ownership. As I understand, all of this, every one of the patents that we have filed, all rights, title, and interests are iviewit's, regardless of who the author/inventor is; and any revenue stream derived therefrom are iviewit's, and that's the important thing. Is that true, despite and in light of the [ $\qquad$ ]? <br> ael. Hm that': Mry very next question |
| Emetrama: | ```T because we could put anybody as an inventor; but as long as that doesn't entitle them to a disproportionate share of any revenues derived therefrom, theri I don't care.``` |
| Becker: | Yeah, inventorship or ownership initially vests in the inventor or inventors who are named in the application; but typically, inventors are under some obligation to assign to a corporate entity, either written or by cause of their employment-and you can get into the issues of shop right...you know, if somebody invented something on the corporate time and then went and...you know, it wasn't really part of his job description, I know this issue's going to be a little more tricky. But I think in this case... what we do typically as a practice to confirm ownership is to have the inventors sign a written assignment document over to whichever corporate entity they want to... |
| S. Bernstein: | But haverit we followed that? |
| Becker: | We've got those documents. I don't think we have them all signed and filed yet. |
| St bemetexar | mstrong: Let's get them. |
| Utley: | well, Doug was doing that on Tuesday while he mas here. |
| Becker: | Okay. Did you do some signing of documents, Jim and Eliot? |
| perastairs | Yeah. Right. |
| Becker: | Okay, so that's in process. |
| Bernstein: | Okay, and wasn't really the intent of my question. The intent of my question is to define, for my understanding, what should claim back to Ray [Joas's] patent, and that means that everything other than a macro shell should define back to the original patent and be filed, corrected, amended, however we get it in to the original patent documents since none of it's |

new matter, it's just an explanation mathematically on every equation of what happens.
S. Bernstein: That's what I heard at the meeting.

Bernstein: And that is exactly what I've heard, repeated; and then this morning, it was completely opposite, and yesterday is was a little opposite-a little-and, you know, I've become very confused about which strategy we're taking, which road, because we decide something, and then it's changed, and we're doing something else, and I'm completely lost.

Becker: I think I can make this very clear for you if you'll give me an opportunity.
Bernstein: I will.
Becker: Let's take a look at claim one. Claim one states that what you're claiming is a method of providing a digital image file for viewing on a user display in a viewing window that has a predetermined size, and the method includes one step. The step is, very broadly stated-so bear with me here-providing a digital image file having a image size comprising a fixed number of pixels representative of an image wherein that inage size is greater than that of the viewing window size. Now the broad concept that we're trying to claim here is being the first ones to provide a digital image file that has more data than is needed for the window size. And why are we trying to claim that? Because that allows you to zoon into the image without pixelation, and it allows you to pan around the image to corners that maybe are not shown in the original viewing window. Does everybody understand that?
ytho. Pernetein: I think so.
Armstrong: Yes.
S. Bernstein: I think we're on the same line.

Becker: Okay. So now the question becomes: Did we support that claim with relevant descriptions in the specifications. And what's our standard? Our standard is that we have to provide enough disclosure in the specifications to enable somebody to make and use that invention as claimed. This person needs to be somebody of ondinary skill in the art-in other words, sonebody who can read this document and maybe has some technical background in imaging or image processing, for example, and can read what we've put in our document and can perform our methods claimed. Okay? Everyone with me so far?

Bernstein: Um, hm.
Becker: So we look back into the document that was filed on mednesday and we say to ourselves, "Did we provide enough information in that document to allow somebody to teach somebody how to make and use a digital inage file that has an image size greater than the viewing window size?* And one might argue that stating the solution in itself almost provides enough information to one of ordinary skill in the art to actually reduce this to practice and to make and use one. However, we've provided not only a description of several different ways of doing it, but also some examples, including math, that should make it abundantly clear to one of ordinary skill in the art how to do it. The test is whether it would require undue experimentation on the part of this fictitious person of ordinary skill in the art to make and use a digital image file having these characteristics. So the question you need to ask yourself with respect to this application is: "okay, maybe there was an error or two in how it was expressed in examples or the number of pixels counted or division here or subtraction there, but was there enough in there to enable somebody, based on those teachings alone and, of course, their background, to make and use an image file having those characteristics?"
okay.
Becker: And I think, based on a reading of it and based on what Jim just walked me through in these corrections that need to be made, that there probably was enough

|  | in there. That there probably is. I mean, we've described in several different ways how to do it with print film images or with digital images. We described in generally, and then we went and described it specifically. |
| :---: | :---: |
| S. Bernstein: | Okay. Can I ask you a question? |
| Bernstein: | Wait, Dad, because that still doesn't answer my question. That answers this issue here. |
| S. Eernstein: | Let him finish with it. |
| Bernstein: | Okay. Are you going to take this back to Ray's original Eiling on our.. |
| Becker: | Let me do that next, okay? Now, with respect to Ray's original filing on August $2^{\text {nd }}$ of last year, we asked the exact same inquiry when we review the specification that we filed on Wednesday: Did Ray's filing back on August $2^{\text {nd }}$ of 1999 provide enough disclosure and enough teaching to enable one of ordinary skill in the art to make this file? |
| Bernstein: | And we have a lot of disputes on that because it doesn't even cover zooming. |
| Becker: | Right, but what it does describe, if $I$ recall correctly, is it does describe that you want to enlarge a print film image to a certain size and then scan it at a high density. Now it doesn't tell what density, it doesn't give a number of pixels, .... |
| Bernstein: | It doenn't talk about zooming in on the image. |
| Becker: | It doesn't tell the number of pixels, but it does show one way of doing it with a print film image. It doesn't talk about digital images...doing it specifically with digital images. It may refer to it generally, I don't know. But that is the inquiry. |
| S. Prapeteinan | trong: If I hear you correctly, it is less important in the claim to say anything relative to zooming was in the claim to illustrate or to claim that the target image size is larger than the viewing image window because that is, in itself, your ability to have the zoom capability. |
| Becker: | You're right. You can claim it all different kinds of ways. This was one way that we worked out in conjunction with Eliot and Brian two weeks ago. This is one of the ways we worked out claiming the invention. |
|  | nstrona: Because ultimately zoming is simply a feature of the invention. |
| Bernstein: | Okay, hold on one second. Steve? |
| Becker: | Yeah. |
| Bernstein: | When I look at Ray's claim one, "What is claimed: An apparatus for producing a digital image comprising a device for generating a digital signal file from a print film image and a processor for processing said digital signal file and for generating an image file wherein said processor generates a first signal file from said digital signal file, and further wherein said processor processes said first signal file and generates set image file." |
| Becker: | Okay. |
| Bernstein: | Okay, we all agreed that that is completely insane...to describe anything about our invention...whatever. |
| Becker: | I know it's all completely insane; but I think that with the claim that we drafted, ... |
| Bernstein: | Yeah, he missed the point. |
| Eecker: | Okay. |
| Bernstein: | Well, then, the claim we drafted, this was my question. It should be right here, in this claim, in the patent he filed to date back as far as I can to protect our dates, should be changed to the claim we just created. |


| Becker: | Oh, no, this application died on Wednesday, and it doesn't proceed to a patent. A provisional application... |
| :---: | :---: |
| Bernstein: | No, no, this isn't provisional. This is a filed patent. I'm frifowedimet. \#ー |
| Jtley: | This is the one that was filed March $24^{\text {th }}$. |
| Becker: | Oh, okay. |
| Bernstein: | By Ray [Joá]. |
| Utlev: | So this was the PCT Eiling on March $24^{\text {th }}$. |
| Becker: | Okay, thanks. |
| Bernstein: | And my question is shouldn't the claims in this patent we just filed be exactly, if not identical, to the one...or should they be transposed to Ray [Joas's]? And it was my understanding from Doug that for speed and if the patent gets through, etc., that we would rather have it be based on that first patent filing. |
| Becker: | That could be a recommended course of action. |
| Bernstein: | And this is going to get dejected. |
| Utley: | What we discussed on Tuesday...no, on Monday afternoon, was that one of our action items was to go back and review the wh March $24^{\text {th }}$ filing and decide exactly how we were going to integrate into that filing the th the uh claims that $\qquad$ should be in there vis-ávis the specification. |
| Becker: | Okay. |
| utlev: | That was one of the action items that we $\qquad$ I: covered on Monday afternoon. |
| Bernstein: | And now my question further goes to say that once we amend the claims, is there any way to amend the body? |
| Becker: | No. |
| Bernstein: | Even if we' re not adding new subject matter? |
| Becker: | You can amend the body if you don't add new subject matter. |
| Bernstein: | Okay, so we can fix Ray's mess. |
| Becker: | You can't add what we added in this application. |
| Bernstein: | Which part? The math is just a description of the old matter, so therefore we should be able to add it. |
| Becker: | It's not supported. It's not suggested in the prior applications. |
| Bernstein: | Oh, it's all suggested because by the nature of the invention it's suggested. |
| Becker: | I think the patent office will never allow us to add all of that matter into the application. |
| Bernstein: | Okay, but we should add as much matter as we feel comfortable with to buff up Ray's original Eiling. |
| Becker: | Sometimes if you change a word or a sentence in a specification... |
| Bernstein: | Yeah? |
| Becker: | The examiner will outright reject it for new matter. |
| Bernstein: | Well, who cares? He's going to reject this for insanity in the first place. I mean, he's going to reject this for "what did you patent? Nothing?" |


[Centrec? Centrack?] to use and distribute your product. So that's well before 9/1; and these are some real critical things that depend on that date, if I'm not mistaken.

| Utley: | What contract? |
| :---: | :---: |
| Bernstein: | [Centrec? Centrack?]. The license agreement was signed on 8/10. |
| Utley: | The only thing we signed was a demo. |
| Bernstein: | A demo license, Yeah. well, you were putting it up to comercialize on their siteon a public site. |
| 凹tley: | But there was no charge. |
| Bernstein: | But it's not a question of charge, according to Doug. Correct, Steve? |
| Becker: | I need to have some facts. |
| Bernstein: | Okay. We signed a demo to put up on a company's web site, and we did, our materials for public viewing so that they could identify customer response. |
| Becker: | Oh. When was this? |
| Bernstein: | 8/10. |
| Becker: | Okay. |
| Bernstein: | Now, there were conversations prior to that. |
| Becker: | Well, the upside is that we've got an application on file as of this past wednesday. |
| Bernstein: | well, what about changes? |
| 5 S Eextrei | atrysf: We have to deal with that one year of commercialization. |
| Bernstein: | If we're not wrong, and I hate to preach to a lawyer, but that seems to be my understanding. So I'd like to get what is claimed in this one into Ray [Joac's] immediately, if not, somehow sooner. |
| Armstrong: | Well, hold on, let him answer the question about commercialization. would that be considered the first date of commercialization or a date of commercialization if there's one prior to it? |
| Bernstein: | There's not, but... |
| Becker: | Again, we have to start with the claimed invention... |
| Armstrong: | This was feocm a mar imagery that we did for him. |
| Becker: | okay. And the inquiry is whether or not... |
| Bernstein: | No, it's video, too, B, that we did. |
| Armst rong: | There was video, too? |
| Bernstein: | Sure. |
| Becker: | The inquiry was whether or not the claimed invention was on sale more than one year before the filing date of the application. |
| Utley: | This was a test program to determine feasibility. |
| Becker: | That actually works in our favor. The laws recognize sort of experimental use as sort of being a mitigating factor in some types of public disclosure. Typically i.亡 it's a commercialization use, or to test the commercialization of the invention, they' re less likely to find it to be |


| Bernstein: | Well, then, that's definitely what it was. |
| :---: | :---: |
| Becker: | ...commercial use. |
| Utley: | Is there any difference, Steve, between...we signed an agreement to do that. |
| Becker: | Okay. |
| Utley: | There was no public visibility for another month. So which date will be the reference date? |
| Becker: | Would you call that a sale, that agreement? |
| Utley: | No. |
| Becker: | Okay. |
| Armstrong: | Were we ever paid anything by [Centrec? Centrack?]? |
| Bernstein: | No. |
| Utley: | No. |
| Armstrong: | Never. |
| Becker: | Okay, that certainly works in our favor if it wasn't an actual sale of your product. In that case, you look more at the public disclosure date. |
| Bernstein: | Well, that was the public disclosure date. |
| Utley: | No, that was September. |
| Bernstein: | No, it was this date because...well, whenever you put it up on the site publicly. |
| Becker: | When did you put it up on the site publicly? |
| Utley: | It was in September. It took us awhile to get there. |
| Becker: | Okay. No problem, then, right? |
| Bernstein: | If that's...I'm hanging my hat on a lot of things right there. |
| Utley: | If that's the date of reference. |
| Bernstein: | You know, I want to beat the $8 / 10$ day of signing a license agreement because $I$ don't know how that's going to be construed in court, nor do I care, when I can beat it right now. |
| Becker: | Let me ask the question again, Eliot, do you think that the application that we filed on wednesday does not provide enough information to enable somebody of ordinary skill in the art to practice or to make and use what we claim in claim one? |
| Armstrong: | I could argue it doesn't. |
| Becker: | Go ahead. |
| Armstrong: | I might just simply because the actual deployment of it...or employment of it...does require the correct execution of those formulas; and other than one particular error that is very, very difficult to understand unless you have been part of one of these conversations about the formulas. I mean, that you have to reverse-engineer the formulas to find out that the square root in that definition is missing, otherwise you'll end up with target image areas of an enormous size and be totally lost. You'll end up just having a goofy result. I mean, I think it could be argued, that you need to be able to apply the math to create the image. It could be argued that you can conceptually create what it is that we are conceptually defining, but it's more difficult to do that without a precise understanding of the relationship of targets of subject images and viewing windows. |


| Becker: | Well, let me turn it against you, Jim. That's a good analysis. I think it's interesting, but let me turn it against you and say if that's true, then our August 2, l999, filing doesn't provide enough disclosure to enable one of ordinary skill in the art to make this claim. |
| :---: | :---: |
| Bernstein: | On Ray [Joa's]? |
| Becker: | orractaist, what he... |
| Bernstein: | Yeah, that's why we want to change it before August $10^{\text {th }}$. |
| Armstrong: | You said the August $2^{\text {nd }}$ filing. This is the one we just did. |
| Bernstein: | No, the March $3^{\text {rd }}$ filing you mean. |
| Utley: | March $24^{\text {th }}$. |
| Bernstein: | March $24^{\text {th }}$, whatever. |
| Becker: | well, I guess I'm going as early as I can, which is why we tried to file on Wednesday...which is why we filed on wednesday, so we could get the priority on the provisional application which, if I recall, read very much like the March 2000 application. |
| E, Fanstedinn | nstrone: The one you're referring to is the original provisional from August of 1999. |
| Becker: | Yeah. |
|  | matron: Saying that if my argument holds, we have nothing of solid validity in that particular document. |
| Becker: | No, what I'm telling you is that that document won't provide priority to this claim. In other words, our priority date will be wednesday of this year, not Wednesday of last year... or not... |
| S. Bernstein: | Because that provisional didn't provide somebody with ordinary skill in the art the ability to replicate what we did? |
| Becker: | That's exactly right. |
| Ranctayutue | : March $24^{\text {th }}$ |
| Bernetan | ..isn't that the one we're looking for? |
| Utley: | March $24^{\text {th }}$ ? |
| Bernstein: | Oh, no, that's the... |
| Utley: | We're looking for the August one. |
| Bernstein: <br> $<$ Two separate | No, I'm looking for the provisional this claime to. conversations going on at once; difficult to hear and follow...> |
| Becker: | Let me ask you this... |
| S. Bemstein: | Then that's to say-and maybe I'll question my own logic now-is it enough to say that somebody understands that in the viewing window that you create zoom and then create [ ] ability? |
| Becker: | As long as we just... |
| Armstrong: | That optimized the particular... |
| S.-Eemsturametrone: And all we did was help to clarify... |  |
| Becker: | I think that's pretty convincing. You know, you don't have to enable all the ways of doing it; you just have to enable essentially one way of doing it. |


| Bernstein: | Okay. Despite all of this, I still want a firm yes or no. |
| :---: | :---: |
| Becker: | I think was actually critically really finally getting to the issue. |
| Bernstein: | No, yeah, we are. |
| Becker: | Away from the rhetoric of accusations and... |
| Bernstein: | Okay, okay, right, but. |
| Becker: | And fear-mongering and calling the investors. I think we've gotten to. |
| Bernstein: | Well, I mean, we've got to deal with things. These are real fears meaning we definitely have real issues. But looking beyond that, which is fine, I've got still an unanswered question: Does Ray [Joad's] set of claims change tomorrow, Monday, whatever, so that we can protect ourselves? Nowi you've agreed that's a good strategy, Doug's agreed that's a good strategy, but yet I hear no execution strategy, and that's what I want to make 100 s sure that I can get as much of what we've discovered into Ray's incompetent work, and I will call it that, as possible. And your work is far more superior. These are some issues, but, you know, there's issues...it's a large thing to grasp, and we'll get through it. But I want to change what Ray [Joao's] done, and that was my understanding that we're going to take the claims that we've discovered in this application you just filed and put them into that one, and that the worst that's going to happen is that the examiner will approve the earlier one of Ray and yours will fall away, the second one. |
| Armstrong: | Did somebody just join this call? |
| Bernstein: | No. |
| Armstrong: | Did you hear that beep, beep, beep? |
| Becker: | I did. I don't know if anyone has joined. |
| Bernstein: | Si? Si? |
| Armstrong: | Maybe he got off. |
| Bernstein: | Yeah. |
| Armstrong: | Okay. |
| Becker: | Well, let's do this, Eliot. Let's say that... I know you are concerned about the August $10^{\text {th }}$ date, why don't we say that we will make sone amendments to the claims in the prior filings you're referring to, and we'll clean that up as best we can and make sure that we have the claim amendments... |
| Bernstein: | <Aside to utley> This is the one we filed? |
| Utley: | <To Bernstein> That's the provisional. |
| Bernstein: | <To Utley> That's the provisional? |
| Wtley: | <To Bemstein> Right. |
| Armstrong: | What about correcting the math in the one from two days ago? |
| Becker: | Yeah, then again, I don't know what was filed; and again it appears...I really need to consult with Doug on that. |
| Armstrong: | Yeah, but if we're of understanding what we talked about today is what he filed, and I believe that's it, then what do we do to correct that? We should probably correct that by the $10^{\text {th }}$ as well. |
| Becker: | Okay. Right. That actually was more important with the $8 / 10$ date because these changes are considered to be better, then we need to get a filing out by that date. |


| Armstrong: | okay. |
| :---: | :---: |
| Bernstein: | And Steve, just to remind you on this point, I still definitely for a comfort level and to keep accusations at bay, just a letter of what's occurred, what my risks are, and what our strategies for execution are on this filing relating to as well fixing this one as well as relating it to Ray [Joad's]. If you could write that clearly to us, that gives us a lot of comfort level. |
| Becker: | All right. Hopefully what I explained today about priority will help. |
| Bernstein: | Well, this gives it the final touch of you can rest assured, I've got it in writing. That's what I need to comfort me that I've got a strategy, that everybody's on the same page, so to speak, so that page doesn't shift, so that we don't get off that strategy and we all stay focused on that one sheet. So that would be critical. And what is our next due date? Is that on the $10^{\text {th }}$ or the $8^{\text {th }}$ or something, or am $I$ missing... |
| Utley: | Well, the only reason the $10^{\text {th }}$ has any potential bearing is because that's when the test license... |
| Bernstein: | I'd like to beat that here, on this claim; because if we can beat the $10^{\text {th }}$ here on Ray [Joas's] filing, that's what we need to do there, right? |
| Fewernametumo | : That's actually not an important date for Ray [Joao's] filing. |
| Bernstein: | Yes, it is. |
| Patatametroma | An important date for the Eiling that we did a few [weeks? days?] ago. |
| Bernstein: | No, no, it's the same date. Commercialization is commeroialization, and how it relates is the same here to us. |
| Wemewaymetromo | : okay. |
| Bernstein: | You know what I mean? |
| Becker: | Yeah, I guess I do. |
| Armst rong: | I'll make just one other general comment, Steve. Everyone else knows this, but you don't. I was just brought into this process Tuesday as the first time I've ever reviewed any patents. I've held them for Eliot in the past but never reviewed them; and was probably surprised with what I found was that it was an extremely important and at least, to my understanding, we had very little time to get it right, and we're now paying the price, of course. To the extent that that can be avoided in the future through careful planning, updates, and contingencies, I suggest we have a plan for that. |
| Becker: | Yep. |
| Armstrong: | So. Just an overall comment. |
| Becker: | That's a good comment. I think it's important to get things done as early as possible, and we certainly have tried to do that throughout the process. |
| Bernstein: | Steve, can you do me one last favor? |
| Becker: | Yes? |
| Bernstein: | Shoot over to Jim the three video patents we filed. He's signed a disclosure on it-the one you gave us-encompassing him for all patents. |
| Becker: | All right. Jim, what's your role? |
| Armstrong: | I'm the Director of Sales and Marketing. |
| Bernstein: | But he's also a shareholder. |
| Becker: | Okay. |


| Armstrong: | I've been with this since before anybody else. |
| :---: | :---: |
| Becker: | I see. |
| Armstrong: | It was just basically me and Eliot and Guy before anybody else started, but I've never been involved in the patent review. |
| Becker: | Now you want me to send a copy of the filings...the video filings? |
| Bernstein: | Yeah. Can you just fax them to him? |
| Becker: | Sure. Let me make sure I've got this right. Okay. We've got three...no, five applications, about 100 pages. Is that Eine? |
| Armstrong: | Yes. |
| Bernstein: | we have four. Sorry. |
| Armstrong: | Are they emailable, or no? |
| Becker: | Yeah, they are emailable. |
| Armstrong: | Let's do that instead. |
| Becker: | But then you don't have the figures. We can email.... |
| Armst rong: | Email those, and then just fax the figures? |
| Becker: | Yeah. |
| Armst rong: | Okay, cool. The fax number is 732-747-5569. Email is jimgiviewit.com. |
| Bernstein: | And there's five video patents now. Correct, Steve? |
| Becker: | I'm looking at my chart here: three US and three corresponding PCT [ ] applications that we wrote, and then there's a PCT video playback-that was the video playback invention- |
| Bernstein: | Right. |
| Becker: | And I think that's all. |
| Bernstein: | Great. Let's get those out to Jim real quick. I'd like him reviewing those by the $8 / 10$ date. Any changes, we're obviously going to try to revert to keep our 8/10 day as onr commercialization day, giving us a little buffer if we're wrong. |
| Becker: | All right. |
| Bernstein: | You know what I mean? I mean because we don't know how people will interpret in the end what [Centrec? Centrack?] was, but to beat it would definitely give us a greater argument. |
| Becker: | Yep. |
| Bernstein: | So, all right, we'll pick this up...you're going to make those changes on this patent, correct? |
| Becker: | I'm going to wait until I speak with Dong. |
| Bernstein: | Okay, great. |
| Becker: | To find out what was actually filed, and then we'll decide how best to proceed with anending that. |
| Armstrong: | Steve, one more clarification. Did you say we have or have not had successful closure on the signing over of inventors' patents to the company? |
| Becker: | I can't speak to that; Doug is working on that. 29 |


| Armstrong: | Okay, will you put that in our list of things to do...or your strategy that that gets completed? |
| :---: | :---: |
| Becker: | Yeah. |
| Bernstein: | Yeah, and $E, I$ just signed as well as Brian and Jude and everybody. It's a large, thick document, so Doug should have an update, Steve, as to what is exactly signed. I think it was everything, correct? And we've got everybody here. |
| Armstrong: | I've got emails that indicate that that was all done mine months ago. |
| Bernstein: | No, it was, $B$, but then we filed patents; and then we thought the past was done, and now these new ones had to be done, so he came here, there was notaries here...it was, you know, it was a lot, but let's get an update on it. |
| Anetromg : | I fuet mant to see itt in muttro |
| Utley: | In addition to that, everyone has individually signed a separate agreement with the company, cheving assigning to the company any intellectual property that's created as a result of their employment. |
| Armstrong: | That I know. The key inventions, I just want to see that they've signed over because that's the value of the company right there. That's what I owin stock in. |
| Bernstein: | Correct. Okay. So let's get an update, and I think we're pretty close. |
| Armstrong: | Okay. |
| Becker: | Eliot, why don't we go through the list of things that you've asked me to do so we can be perfectly clear on this? |
| Bernstein: | Okay. |
| Becker: | The first is to amend Ray's PCT application, at least the claims, so that we have a good filing there, at least based on whatever Ray has in his specification. That's task \#1. |
| Bernstein: | Claims plus any additional language that's not new matter. |
| Becker: | All right. |
| Bernstein: | Okay. |
| Becker: | You want a letter describing the... what was omitted or what was incorrect in this application filed Wednesday and to what extent that may have any bearing on rights. |
| Bernstein: | Correct. |
| Becker: | And also a course of action we feel is necessary to file new applications to amend these, make these corrections, or if there's something we feel we can do in an amendment that would not introduce new matter. |
| Bernstein: | And our strategy going forward on this. By the way, that would mean our strategy as well on the video, correct? Because if there needs to be changes and the date did stick at $8 / 10$, we need to make any changes we find by $8 / 10$, correct? |
| Becker: | Only if the changes are so substantial that they would jeopardize the ability of one skilled in the art to understand. |
| Bernstein: | Okay, so critical errors. Okay. If we find them. |
| Becker: | And that's why I think, you know...and if you're describing in your specifications how to make one, how to do it, provide most of the details. I mean, me've done a very detailed job of ... |


| Bernstein: | No, I agree. I'm not...I agree. I see all that here. |
| :---: | :---: |
| Becker: | Any time whatever we can get out of you guys in terms of describing how it works...that, in there when you describe a claim and there's an error, you know, there's an error in the math, will that dramatically affect and make it so somebody can't practice the invention at all, I don't know. |
| Bernstein: | Right. So if it's critical by 8/10, it should be resolved. Correct? |
| Becker: | With the video application, it doesn't help for us to go back and look at those. You guys go back and look at those and see if there's anything in there that you don't like. |
| Bernstein: | Right. And if we find something in the claim, for example, that we don't like, we need to amend it by $8 / 10$, right? |
| Becker: | No. |
| Bernstein: | Why? |
| Becker: | Because the claims have to be supported by the specification as filed back on those dates, which were sometime in June... |
| Bernstein: | Okay, but let's say all that fits, we also have the comercialization date. |
| Becker: | The commercialization date... |
| Utley: | I though sinaudible comment to Bernsteins |
| Bernstein: | So we can go change the claims. |
| Becker: | Typically [ ] prosecutions, as long as they' re still supported by the specifications filed... |
| Bernstein: | Right. So if we find any mistakes, we should change them, correct? In the video patents? |
| Becker: | Yeah, as a general principle, that's a good idea. |
| Bernstein: | okay, good. All right. I think that sums up what we need. Send the letter to Si, myself, and Brian. |
| Becker: | That's not a complete list of what you asked for me to do. |
| Bernstein: | What else have we got? Sorry? |
| Becker: | You've asked me to email to Jim Armstrong the three video applications and the playback application-the one playback application- |
| Bernstein: | Right. |
| Becker: | Now with respect to the video application, we have both PCT and US filings. Do you want us to send both of those? They've essentially identical-in fact, they are identical except the... |
| Bernstein: | No. Just one. |
| Utley: | Send the US. |
| Becker: | ```All right, we'll send the US versions of those two. And we'll fax the figures. And element #4...Item #4 is to provide a written letter to Jim Armstrong regarding the assiqnment status of...``` |
| Bernstein: | Well, that's to everybody. That's to brian, Si, myself, Jim. |
| Becker: | Brian, Si, Eliot, and Jim. |
| Bernstein: | Right. Just giving us the update of where we are. |
| Armstrong: | I think it's helpful to commuicate to the shareholders. |


| Bernstein: | Well, let's get it first, then we'll commuicate at discretion, but I think we're there. |
| :---: | :---: |
| Becker: | Okay, then, in terms of general things going forward: Eliot needs to be cc: d on all correspondence relating to patents. Should we continue our practice of sending things to Brian? |
| Bernstein: | Yeah. |
| Becker: | All right, we'll continue our practice of sending things to Brian and cc:ing Eliot with copies. |
| Bernstein: | Right, and I'd appreciate if all that email comes to iviewit.com. Therefore, I have copied records. |
| Becker: | Are you saying you only want us to correspond with you via email, not letters? Not... |
| Bernstein: | No, but if it is emails, iviewit.com emails because that gives me complete copied records on tape backups. |
| Armstrong: | Dewdon't send anything to any of us at a domain name other than iviewit.com, if you send it in email. |
| Becker: | That's the instructions? |
| Bernstein: | Right. |
| Armstrong: | correct. |
| Becker: | Don't send to any other email address besides one of your names at iviewit.com. |
| Bernstein: | Correct. |
| Becker: | Okay. Anything else in addition to those items? |
| Bernstein: | Nope. Steve, I appreciate your taking the blunt end of this, I really do. |
| Becker: | Well, I just wish you would not... |
| Bernstein: | Well, we freaked out a little bit. You can understand that there's a reason to freak...I'm not just making this up. So based on that, let's try to resolve and move forward. |
| Becker: | Anything else? |
| Bernstein: | Nope. Thanks very much. |

represented you as an attorney; he never represented you in any case, nothing of that sort?
A. No.
Q. Now, when Mr. Wheeler first introduced you to Iviewit, did he specify, other than what we've already discussed, the purpose for his introduction? Did he talk to anything about a scope of employment or what your purpose would be at the company, other than what you've already described?
A. No. He said he was looking for someone with a technology background who had the potential to run the company.
Q. Now, with regard to Eliot Bernstein, Jude Resario and Zakirul Shirajee, am I pronouncing that correctly?
A. Why don't you spell it.
Q. Let's see, I got Z-A-K-I-R-U-L, last name is $\mathrm{S}-\mathrm{H}-\mathrm{I}-\mathrm{R}-\mathrm{A}-\mathrm{J}-\mathrm{E}-\mathrm{E}$. Do you remember meeting with those gentlemen, Eliot Bernstein and Jude Resario and Zakirul Shirajee?
A. At a later point in time, yes.
Q. Okay. What was the time that you met with them?
A. It was after I agreed to join the company.
Q. Okay. So that was in the latter part or the middle part of $99 ?$
A. That was late August 99.
Q. And what exactly were meetings consisting of when you met with those three gentlemen?
A. Well, Eliot introduced them to me and introduced them as having worked with him on feasibility studies relative to his invention and he indicated that perhaps we should consider them for employment by the company.
Q. Okay. Did he ever mention to you anything of their status as any inventors of any IP or anything of that sort?
A. Well, they were, I believe, they were named on several of the provisional patent filings that had already been made.
Q. If you could, I mean, since you were acting as president of the Iviewit entities, I'm presuming that you're aware of all the inventions or all the intellectual properties for which Iviewit has filed patents; would that be a

Proskauer Rose vs. Iviewit.com, et al. 8/23/02

A. I think you asked me that yesterday.
Q. I hate to be repetitive, but I'm working from what I got.
A. Okay. That was, that should have been July of 1999.
Q. How about Jude Zach, was he one of the people involved with the development of the Iviewit technologies?

MR. BERNSTEIN: That's two people, Jude and Zach. By MR. SELZ:
Q. I'm sorry, Jude and Zach?
A. That's what $I$ was told.
Q. So, again, that's before your time at Iviewit?
A. Yes.
Q. How about Todd Kloslosy, I think $\mathrm{K}-\mathrm{T}-\mathrm{O}-\mathrm{S}-\mathrm{T}-\mathrm{O}-\mathrm{S}-\mathrm{Y}$, at Web Cast?
A. I don't recall anyone by the name of Todd at Web Cast.

MR. BERNSTEIN: Scott.

By MR. SELZ:
Q. Scott. It's hard with the speaker phone.
A. I'm sorry.

June 7, 20001
To: Ross Miller
From: Bill Kasser
Re: Brian Utley
I spoke by telephone with Brian today regarding the return of the two encoding machines known as the Bomber and the Nitro. When I told Brian that I was looking for the machines he said that they had been sold. When I asked who bought them he said he had. He said that they were the two computers he bought for $\$ 1,000$ each. He said that Mike Reale had chosen them for him. I told him that they were worth more than the $\$ 1,000$ each. He stated that they would not be worth more than $\$ 1,000$ on the open market. I asked Brian to return the computers. He said he would not. He raised the issue of his claims arising out of his termination. I told him that I did not believe that related to the question of the two computers, and did not want to get involved in that argument now. I confirmed that Brian was taking the position that he would not return the computers and ended the call.

As background to the above, $\{$ believed, owing to discussions with Brian and Ross that the two computers that Brian bought were personal computers of the type commonly used in administrative positions in the office. I was under the impression that one of them was the one that Brian used during his employment with iviewit. I believed that Brian was acting inn good faith and was purchasing at a reasonable price what would be surplus machines. I even helped him carry them to his car.

As we now know, these computers had been modified, at considerable cost, for use as encoding machines. Eliot indicated in a conversation yesterday that one of them had cost $\$ 16,000$ and the other $\$ 24,000$. They could be useful in the California operation. More importantly, they represent a sizable investment on the part of iviewit and would not have knowingly sold for $\$ 1,000$ each.

## City of 面ucia Rato

## Ea Protect and serve

$\qquad$
Time：09：45

$$
\text { I, UAM } R \text { KASSER knowingly and of my own free }
$$ will，do hereby request that my police report，reflected under case \＃0 0 － 54580 was handled to my satisfaction but that I do not wish to prosecute in this case＇and request the case be inactivated and not followed up any further．

 will hereby release and absolve and hold free from all harm， liability and damage whatsoever，the Boa Ration Police Services Department，their agent or representative，their directors， officers，employees and agents，individually，collectively and personally．


## RCA RAMON FL 33431 <br> City／State／zip

$\frac{(561) 999-8899}{\text { Area Code／Phone }}$


[^50]

# Incident Report Additional Name List 

| NameCode/f | Name (Last, First, Middle) | Victim of Crime \# | DOB | Age |  | Sex |
| :---: | :---: | :---: | :---: | :---: | :---: | :---: |
| 1) $S B 2$ | UTLEY, BRIAN |  | $\begin{array}{r} 10 / 27 / 1932 \\ \hline \end{array} \quad \begin{array}{cc} W \\ \text { H: } 561-750-6876 \end{array}$ |  |  | $M$ |
| Address | 1930 Sw 8 th St, Boca Raton, FL 33486- |  |  |  |  |  |
| Empl/addr |  |  | B: 561 - | 89-8 | 145 |  |



Suspect Hate / Bias Motivated: None (No bias)
WILLIAM KASSER OF IVIEWIT.COM ADVISED THAT 2 DELL MODIFIED COMPUTER/ENCODING MACHNES (MODEL AND SERLAL\# UNKNOWN) ( $\$ 40,000.00$ ), WERE STOLEN FROM THEIR BUSINESS BY THE COMPANYS EX-PRESIDENT AND V.P. OF OPERATIONS.

REPORTING OFFICER NARRATIVE


ON 06-20-2001 I SPOKE WITH WILLIAM KASSER(CONTROLLER) OF IVIEWIT.COM BY TELEPHONE. KASSER STATED THAT ON 04-27-2001, THE EX-PRESIDENT(BRIAN UTLEY) AND THE V.P. OF OPERATIONS(MICHAEL REALE) FOR THEIR COMPANY, STOLE 2 DELL MODIFIED COMPUTER/ENCODING MACHINES(UNKNOWN MODEL \& SERIAL \#) THAT WERE VALUED AT $\$ 40,000.00$. THE COMPUTERS WERE NAMED "THE BOMBER" AND "THE NITRO".
KASSER ADVISED ME THAT THESE COMPUTERS WERE MODIFIED TO ENCODE VIDEOS AND HAD LARGER DISK DRIVES AND VIDEO ENCODING CARDS INSTALLED. THIS IS WHAT MADE THEM SO VALUABLE. THESE COMPUTERS GENERATED REVENUE FOR THE COMPANY.
KASSER ADVISED ME THAT THEIR COMPANY WAS CLOSING THEIR BOCA OFFICE AT 2255 W . GLADES ROAD AT THE END OF APRIL AND RELOCATING TO CALIFORNIA, AND UTLEY AND REALE WERE BEING TERMINATED AT THAT TIME. ON UTLEY'S LAST DAY, HE HAD ADVISED KASSER THAT HE WAS INTERESTED IN PURCHASING 2 STANDARD DESK TOP COMPUTERS FROM THEM FOR $\$ 1,000,00$ A PIECE. KASSER AGREED, UTLEY GAVE 2 SEPARATE CHECKS FOR $\$ 1,000.00$ A PIECE, AND AT THAT TIME ALL OF THE COMPUTERS WERE BEING BOXED UP TO BE RELOCATED TO CALIFORNIA.
KASSER STATED THAT REALE WAS SUPERVISING THE PACKING OF THE COMPUTERS AND KNEW EXACTLY WHAT CONTENTS WERE IN EACH BOX. ONCE THE BOXES WERE PACKED, REALE GAVE UTLEY THE OKAY TO TAKE 2 BOXES CONTAINING THE MOST VALUABLE COMPUTERS AND NOT THE BOXES WITH THE STANDARD COMPUTERS.
KASSER THEN STATED THAT HE HAD FOUND OUT APPROXIMATELY 3 WEEKS LATER, ONCETHE BOXES HAD ARRIVED IN CALIFORNIA, THAT THE MOST VALUABLE COMPUTERS WERE NOT DELIVERED. AT THAT TIME, KASSER THEN CONTACTED UTLEY AND UTLEY ADMITTED THAT HIMSELF AND REALE HAD TAKEN THE MOST VALUABLE COMPUTERS AND TOLD KASSER THAT THEY WERE ONLY WORTH $\$ 1,000.00$ A PIECE ANYWAY. UTLEY WAS ASKED TO RETURN THES电 COMPUTERS AND TAKE THE CORRECT ONES AND HE REFUSED.
I THEN SPOKE WITH ROSS MILLER, WHO IS THE COMPANIES ATTORNEY, WHO ADVISED ME THAT REALE WAS IN CHARGE OF PACKAGING EACH COMPUTER AND WRONGFULLY AND INTENTIONALLY LET UTLEY TAKE THE MOST VALUABLE COMPUTERS, WITHOUT CONSENT FROM ANYONE ELSE IN THE COMPANY. ROSS ALSO CONFIRMED ALL OF THE ABOVE INFORMATION GIVEN BY KASSER. ROSS ADVISED ME THAT HE HAD BEEN TOLD BY SEVERAL 3RD PARTIES THAT REALE AND UTLEY ADMITTED TO HAVING THE ABOVE STATED EQUIPMENT AND ASKED 3RD PARTIES FOR ASSISTANCE IN OPERATING IT. ROSS HAS A SUSPICION THAT THE EQUIPMENT MAY BE USED TO START A BUSINESS FOR REALE AND UTLEY.
ON 06-20-2001 AT 12:28 HOURS, I CONTACTED UTLEY AT 561-750-6876, WHO ADVISED ME THAT HE DID HAVE THE EQUIPMENT, BUT ADVISED THAT THE DEAL WAS STRAIGHT FORWARD AND HE PONTED OUT TO KASSER EXACTLY WHAT COMPUTERS HE WOULD BE TAKING AND ALL WAS AGREED ON. UTLEY ADVISED THAT HE PAID $\$ 1,000.00$ PER COMPUTER AND THAT IT WAS A GENEROUS OFFER . UTLEY BELIEVES THAT KASSER MUST HAVE DECIDED AFTER THE FACT THAT HE DID NOT RECEIVE ENOUGH MONEY FOR THESE COMPUTERS AND IS EXAGGERATING ABOUT THEIR $\$ 40,000.00$ VALUE.
ON 06-20-2001 AT 13:00 HOURS, I SPOKE WITH REALE AT 561-499-8850, WHO ADVISED ME THAT HE DID NOT HAVE ANY INVOLVEMENT IN ANY COMPUTER THEFT. REALE ADVISED

REPORTING OFFICER NARRATIVE

| Boca Raton Police Department | Offense | 2001-054580 |
| :--- | :--- | ---: |
| Victimı |  |  |
| WIEWTT COM INC | ENSEZZLEMENT | Date / Time Reported |
| Wed 06/20/2001 10:I2 |  |  |

ME THAT UTLEY HAD POINTED OUT THE COMPUTERS THAT HE WAS GOING TO PURCHASE FOR $\$ 1,000.00$ EACH AND THAT IS WHAT WAS TAKEN WHEN THEY LEFT THE COMPANY. REALE STATED THAT PAYING $\$ 1,000.00$ FOR EACH OF THE COMPUTERS THAT THEY TOOK WAS A VERY GENEROUS OFFER, DUE TO THER AGE AND VINTAGE. REALE STATED THAT THE COMPUTERS HAD STANDARD HARDWARE, SO THE VALUE THAT WAS GIVEN BY KASSER WAS WAY ABOVE IT'S FAIR MARKET VALUE. REALE STATED THAT HE HAS MANY YEARS OF EXPERIENCE WITH COMPUTERS AND KNOWS WHAT THEY ARE WORTH. REALE FEELS THAT KASSER'S COMPLAINT IS MOTIVATED BY EMOTIONS AND NOT MONEY.
I ADVISED THE COMPLAINANT TO CONTACT THE P.D. IF THERE IS ANY ADDITIONAL INFORMATION, AND I WAS ASKED BY KASSER TO PLEASE HAVE SOMEONE CONTACT HIM REGARDING AN INVESTIGATION INTO THIS MATTER.
$\qquad$


Investigator: $M E Y E R, S . P$. (528)
Supervisor: (0)
Contact:

Date / Time: 08/08/2001 16:17:26, Wednesday
Supcrvisor Review Date / Time: NOT REVIEWED
Refcrence: Follow Up

06/26/2001 at 14:00 hours I spoke to William Kasser concerning the theft of computers from Iviewit.Com. William Kasser, who is the Controller for Iviewit.Com, verified that all of the information on the original report was accurate. Kasser advised that the Ex-President of the Boca branch of Iviewit.Com, Brian Utley, stole two Hi-tech computers from the Company after he was terminated from his position.

Kasser found out that the hi-tech computers, the "Nitro" and the "Bomber", were missing when he received a phone call from Eliot Bernstien in California. Kasser was told later by Michael Reale that Brian Utley had the Bomber and the Nitro. Kasser feels that Reale assisted Utley in stealing the computers from the Company when he was packaging the computers.

When Kasser called Utley Kasser asked Utley if he had the bomber and the Nitro. Utley told Kasser that he had the Nitro and Bomber and that he legally purchased the computers from the company for $\$ 1000.00$ each. Kasser was present when Utley asked Ross Miller if he could purchase two of the computers from the Company for $\$ 1,000.00$ each. Kasser told Utley that the deal was for two of the generic computers, not the Nitro and the Bomber. Kasser told me that Utley knew that the Nitro and the Bomber were worth $\$ 40,000.00$. Utley told Kasser that he was not going to return the computers to the Company.

06/27/2001 at 10:30 hours I went to Iviewit.Com and I spoke to Ross Miller concerning this case. According to Miller, Utley approached him on May 3rd while Utley was cleaning out his office. Utley asked Miller if he could purchase his desktop computer and another generic computer from the business. Miller pointed to the generic computers in the general office area and he stated, "your computer and one of those computers". Utley confirmed that he wanted to purchase his office computer and one of the computers Miller was pointing to.

Miller told me that there was no way that Utley could have confused the Bomber and Nitro for two of the generic computers. First of all, the generic computers were still not boxed and sitting on the desks in the general area of the business. The Bomber and Nitro were already boxed and sitting in the hi-tech room, which is separate from the general office area. Second of all, Utley knows that the Bomber and the Nitro are the two most hi-tech computers in the business. Being President of the Company Utley knew that the computers were worth $\$ 40,000,00$. Even if Utley grabbed the wrong computers from the Company he was well aware of what he had when he opened the boxes.

06/29/2001at 11:30 A.M. Detective Ganci and I drove to Utley's house, which is located at 1930 SW 8th Street in Boca Raton. According to Utley he had possession of the Nitro and the Bomber. Utley told me that he purchased the computers from Ross Miller for $\$ 1,000.00$ each. Utley told me that the Nitro and the Bomber were only worth $\$ 1,000,00$ each. Utley told me that the software on the Bomber and the Nitro was outdated and no longer worth $\$ 40,000.00$. Utley told me that the software on the computer might have been worth $\$ 40,000.00$ at one point.

When I asked Utley where the computers were he told me that they were out of the state. Utley then stated, "if the Company gives me $\$ 40,000.00$ for the computers I will subtract that from the lawsuit lamf filing against them". I told Utley that I would be filing charges against him for grand theft if he did not retum the computers to Miller or Kasser. Utley told me that he would speak to his lawyer and then called me with his decision.

Offense: EMBEZZLEMENT

07/02/2001 at 08:30 hours I called Utley's Attorney, Bart Houston, after hearing a message on my answering machine from Houston. Houston told me that Utley agreed to return the Bomber and Nitro to Iviewit.Com. Arrangements were made to have Utley bring the computers to the Police Department and give them to William Kasser on 07/13/2001 at 09:30 hours.

07/02/2001 09:45 hours I advised Kasser to come to the Police Department on 07/13/2001 at 09:30 hours to receive the computers from Utley.

07/13/2001 at 09:30 hours I met Kasser and Utley and at the Police Department for the return of the computers. Kasser handed Utley a check for $\$ 2,000.00$ and Utley gave Kasser the Bomber and the Nitro. When Utley returned the computers he did not return the monitors. Utley agreed to send Kasser a check for $\$ 200.00$ in the mail for the computer monitors.

Because Utley returned the computers Kasser told me that his Company no longer wanted to press charges against Utley for the theft of the computers. Kasser signed a refusal to prosecute form, which was turned in with the file. Written statements from Kasser and Miller were put into evidence at the Police Department.

June 7, 20001
To: Ross Miller
From: Bill Kasser

Re: Brian Utley

I spoke by telephone with Brian today regarding the return of the two encoding machines known as the Bomber and the Nitro. When I toid Brian that I was looking for the machines he said that they had been sold. When I asked who bought them he said he had. He said that they were the two computers he bought for $\$ 1,000$ each. He said that Mike Reale had chosen them for him. I told him that they were worth more than the $\$ 1,000$ each. He stated that they would not be worth more than $\$ 1,000$ on the open market. I asked Brian to return the computers. He said he would not. He raised the issue of his claims arising out of his termination. I told him that I did not believe that related to the question of the two computers, and did not want to get involved in that argument now. I confirmed that Brian was taking the position that he would not return the computers and ended the call.

As background to the above, I believed, owing to discussions with Brian and Ross that the two computers that Brian bought were personal computers of the type commonly used in administrative positions in the office. I was under the impression that one of them was the one that Brian used during his employment with iviewit. I believed that Brian was acting inn good faith and was purchasing at a reasonable price what would be surplus machines. I even helped him carry them to his car.

As we now know, these computers had been modified, at considerable cost, for use as encoding machines. Eliot indicated in a conversation yesterday that one of them had cost $\$ 16,000$ and the other $\$ 24,000$. They could be useful in the California operation. More importantly, they represent a sizable investment on the part of iviewit and would not have knowingly sold for $\$ 1,000$ each.

## City of 局ural Paton

## Police Department

## EOProtert and ferne

Case\＃：$\quad 01-54580$
Time： $09: 45$

I．Ulusuan 12 KASSER，knowingly and of my own free will，do hereby request that my police report，reflected under case \＃01－54580 was handled to my satisfaction but that I do not wish to prosecute in this case and request the case be inactivated and not followed up any further．

Therefore，I WINMAR $R-K 4 S S E R$ knowingly of my own free will hereby release and absolve and hold free from all harm， liability and damage whatsoever，the Boa Rato Police Services Department，their agent or representative，their directors， officers，employees and agents，individually，collectively and personally．

$\frac{2253 \text { GLntors RD SIF } 337 \mathrm{~W}}{\text { Address }}$
$\frac{\text { Rect RATVN }}{\text { City／State／Zip }} 33431$
$\frac{(561) 999-8899}{\text { Area Code／Phone }}$

$061-66_{1001}^{10.1}$
$14^{100}$ Bill Maser

- Mike Reale supervised the
packing for the move
- Mike Peale, new what was in what box (Utleytwew the value)
- Maser helped It ley put the computer boxes into his car on cuman eth.
- Utley que Kaiser the fist check for 1000:00 Af the Pry they loadestreboxes -
- Utley haw ed taser the sech

Check for $\$ 1000.00$ on May $8^{t}$

- Kassel has not seen him. Since
- May have told Realign or Mr emp Miller that the two computers were regula desk top computers.
- Kasser had wo retention to sale heate or Alley the Boomer or the Nitro.
- Maser spotíe to Utley = Wiley refussed to return the computer kisser spoke to him aweek before this report was made
- The computers were discoverd missing whew the boxes grimed in california. Around the middle of may
$01-54580$
-California called. Driller that
the Nitro and Bomber were
Missing
- Miller asked tasse to call Whey, because he thoupple that ley may have. fakery then thine healer had told Maser that Utloy hod them
- Utley told bill that he had the.

Boone cine the ito the told Bill that he took then and paid 2000 . (Nasser culled Whey. a couple of days after specifing to create)

- Kassel told him that he would celfond the money or give hin two wort computers.
- \$16,000 Boomer

524,000 Nitro

- Ally was claiming that the Boomer and the nitro were only worth \$8,00000
- Reate and Vilely claimed at the and of the busies. that the company owed them mow ley. $\$ 200,000,00$ claim in the law suite during, Tasers Phone conversation with Gley, Alley ashe firs..

- Laser told Wiley that
the computers find the
Severogice are separate matters

Mass Miller
2255 w Glade \#337w w-561-999-8899 $c=7703108187$ $10 / 13 / 53$
Consultant Cordivate operictions
${ }^{2}$ Computers were in boxes

- Dow ont trow the com

Bomber and rite

- Pes, Since 1999
- Bomber and rita were regular
- Mite
- These computer had a configuration
- Wort ing with Dichore I Prate to get password
- Shay have a law suite pending.

$$
O l-54580
$$

Eliot Berstien, called peale and asked him about the
computers. peal e told him that the Boomerand the Nitro were it Broca

- Ba Peale meaty Laser office on another matter a couple
of days after- the phone call. Reale told Maser that
Utley had the computers
- Meale Knew That Utley had the witro and the Boomer. because the packed.
$10^{30}$ Ross Miller=
- April 27 Th was the final day of work for employees
- May $z^{s t}$ Utley per cane to the business to pack his belongings from his office
- Peale was working w/ the moves packing from April 30 - May
- There was a general lstof inventory = whats iv the box.
- Whey ask Miller on May $3^{\text {rd }}$ he could have $\$$ s office computer and aboddrtronal computer

Miller
$01-54580$

- ted walked to taser wind asked hin about the pificy told of the comp.
- Wiley told miller that be
would buy then for $10000^{00}$
- The only tens that were parked at that time were the high end computers
- Miller and Utter stood in the General Area. Ally accursed that he wanted his of lice computer and one other
basic computer
- Miller pointed to the General computers in the office area and specifically asked hin "You want one of these comprtax which ivcluales the Nowfar,
- Millowewled convitirmed hey beverald fines that the computers wore the standard com put ers.l.
- Bill passer told Miller that the compute was 2000 wen 1000 trot the standard of computers. was a good deal y Wllcy pard \$1000 4ounediciply - the paid 1000 the following monday.
- Miller thaw went to his office - Laser helped continue to pork - Taser helped Wiley carol the
- Nitro and the Isomer were the two main computer
- Recite treen that Alley took the bores, (heal and they were
- Miller heard this Ex=Emloyees who told from Ex-2mloyes
current employee.
- Reate contacted Mott mont an exemplowee to kind ont some codes bor the wit to and bloomer Tony frewden is the current
employee who received a employee who received a
phone call from Peale. phone, call from Peale tony Told, Laser By Email that the codes Mat thew S Mir

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954-916-2062
$$

$\qquad$

- they Gasser and Miller had nothing to do with the pocking of the computers. Debut trow abort the theft of the computer for awhile after. they were delivered to Ealitornin they were more consumed about the server being down the rompulers sat in the boxes.
$10127 / 32$
$127 / 32-3812$
$528-40-7457$ Flow da
$393-112$
3 k .
$1_{1}^{120} 0^{\circ}$ stated
It The company gives me the 40 thousand for the computers I will subtract that from my lawsvite'
$07102101 \quad 0830$
1 call Utley's Attorney Bart Houston after getting a message from hin - Houston told me Gley will return the computers
07102101094 954.7793800
luforned Gasser that the computer will be cetorned.

113101
07111045 compute exchange Q30 $\$ 2000$ check in parting tot

# WRITTEN STATEMENT NO. 1 

## Basic Allegation

Iviewit Holdings, Inc. ("Company") alleges the MISAPPROPRIATION AND CONVERSION OF APPROXIMATELY SIX HUNDRED AND FIFTY FIVE THOUSAND DOLLARS $\mathbf{( \$ 6 5 5 , 0 0 0 )}$ TO ONE MILLION DOLLARS $\mathbf{( \$ 1 , 0 0 0 , 0 0 0 )}$ in
Company funds by the individuals named below, collectively identified by name, address, and telephone number attached herein as Exhibit A which also contains all individuals related to this transaction in any way. Iviewit also claims the destruction of Corporate records and accounting records of the Company, in an attempt to cover-up such misappropriation of Company funds.

## Material Facts

On or about November 2000, the Company's then counsel, a one Christopher C. Wheeler, Esq. ("Wheeler") a Partner in the Boca Ration office of the New York based law firm of Proskauer Rose LLP ("Proskauer") introduced a new investor to the Company for purposes of investigating an investment opportunity in the Company, a one Bruce T. Prolow ("Prolow"), Carl Tiedemann ("Tiedemann"), and their associate a one Craig Smith ("Smith"), collectively of Tiedemann Prolow, LLC ("TP") of New York, N.Y.

Subsequently, later in the fourth quarter of 2000, the Company has knowledge that Prolow, Tiedemann, and Smith are introduced to technical demonstrations of the Company's patent pending technologies, and meet and discuss a possible financial investment with the then executives of the Company, a one Brian G. Utley, President \& Chief Operating Officer ("Utley"), Raymond T. Hersh ("Hersh"), Chief Financial Officer, Michael Reale ("Reale"), Vice President of Operations and Christopher Wheeler, Esq.. Moreover, parole evidence from former Company Board members (Utley, Donald G. Kane II, Gerald R. Lewin CPA, Eliot I. Bernstein, Simon L. Bernstein, Maurice R. Buchsbaum, and Kenneth Anderson) shall indicate that the proposed investment originally consisted of a capital contribution of One Million Dollars ( $\$ 1,000,000$ ) in exchange for Company securities, a true copy draft of which is attached herein as Exhibit B.

Additionally, the Company has knowledge that TP is a minority investor in a distance learning Internet Company known as InternetTrain.com based in New Jersey. Moreover, Bureau of Investigative Operations of Boca Raton Police Department ("BOI") should be apprised that the Company's intellectual property, consisting of video scaling and pan and zoom imaging technologies and combinations thereof (estimated value of the Companies technologies has been appraised at several billion dollars annually), would be critical applications in the TP portfolio company, InternetTrain's, distance learning
environments. Already, after a police investigation (Exhibit C) based on employee statements, Utley and Reale upon being fired for other malfeasances regarding the patents, were found to have been stealing highly proprietary computers to the Distance Learning Company and were ordered by the Boca Raton police department to return such computers to the Company.

Furthermore, in the first quarter of 2001, with regard to TP's proposed investment in the Company, the Company has knowledge that the parties exchanged draft Subscription Agreements consisting of a Convertible Note investment with a detachable Warrant, again for the aforementioned proposed investment $\$ 1,000,000$; later and based on information from the Company's since terminated executives, Utley, Hersh, and Reale, the Company learns that the proposed investment, closed on February 14, 2001 without knowledge of the Company's Board, without approval of the Company's board, and without finalized documentation for viewing by the Company's board or investors (Exhibit D), ultimately comprised of a purported Subscription Agreement consisting of a Convertible Note (never executed) with a principal face amount of Three Hundred and Forty Five Thousand Dollars $(\$ 345,000)$ and a Warrant to purchase One Thousand Seven Hundred and Eighty $(1,780)$ shares of the Company's Class B common stock (assembled and delivered to TP some two months subsequent to the closing, or April 18, 2001, and at time that the aforementioned executives, Utley, Hersh, and Reale had been terminated by the Company), and a wire transfer confirmation from TP, final copies of which are believed to be attached herein as Exhibit E. Furthermore, at this time Mr. Wheeler was requested several times to come to Board meetings to discuss the transaction and circulate the documents, at which point he quit as corporate counsel.

Still further, on or about April 2001, a former Company engineer, Anthony R. Frenden ("Frenden"), attests to viewing a large, silver suitcase filled with cash in the Company's offices in the possession of Reale, and reports that the cash, on information by Reale, was received from Prolow, and the uses of funds, again on information by Reale, was to continue Iviewit operations illegally in other environments, a true copy of Frenden's statement is attached herein, as well as a portion of Zakirul Shirajee's taped testimony, as Exhibit F (notarized statement forthcoming); similarly, a taped conversation with one of the Company's inventor's Zakirul Shirajee corroborates the statement of Frenden, and discussions with the Company's former network administrator, Tammy Raymond shall further corroborate said statement. The employees were asked which computers held the Iviewit processes, how the processes were done and asked to leave the Company to start work at their new Company, following these discussion the computers pointed to by the employees were stolen.

## Conclusion

The Company alleges that the difference of the initial investment discussions with TP of up to $\$ 1$ million scaled down to the final reported funding, purportedly $\$ 345,000$, the difference being up to $\$ 655,000$, and reasonable close to the estimation of Frenden of approximately $\$ 500,000$ in a suit case filled with cash, wherein said approximate $\$ 655,000$ was misappropriated by Utley, Reale, Hersh, in collusion with Prolow and

Wheeler, all for the benefit of Utley, Reale, Hersh, Prolow, and Wheeler in other ventures whether InternetTrain ${ }^{1}$ or pornographic video, thereby to the detriment of the Company and its shareholders. This assumption of the Company is based only on the evidence provided by Tiedemann/Prolow claiming that $\$ 345,000$ was invested, the Company has no transactional documents, no tax returns and no bank statements to support this claim.

Lastly, the Company encourages a full investigation of these allegations by interviewing said individuals of Exhibit A (especially the recount of the events surrounding the transaction by Wheeler, who in recent deposition testimony in a civil litigation matter of no relation to this Written Statement, wherein Wheeler, purportedly, has no knowledge of the events surrounding this transaction as indicated by Exhibit G attached herein), and securing whether by subpoena or court order the following records: the accounting records of the Company's former outside CPA firm, Goldstein Lewin \& Co (since requested by the Company but still not received and further refusal to release remaining records by Gerald Lewin); records of Proskauer (since the subject of a court order to produce said records, but still missing); records of TP pertaining to the transaction; and First Union National Bank of Florida, Account No. 2000006722656; Bank Statements of all 8 Iviewit entities; Tax Returns to show this transaction (also requested from Goldstein Lewin \& Co and still missing); Accounting Records from InternetTrain to assess if the stolen monies were transferred to this Company; Board Meeting Notes and Corporate Records which were held by Proskauer Rose and then according to recent statements by Christopher Wheeler were given to an ex-employee, William Kasser, without Company consent. Further, upon request to turn over corporate documents Mr. Kasser demanded $\$ 250,000$ of which there was no basis for such demand. Upon a letter from Simon Bernstein's counsel to turn over Company property, Mr. Kasser turned over none of the documents purported to be transferred to him by Mr. Wheeler.

[^51]EXHIBIT A
NAMED INDIVIDUALS
a
AIM
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## w

## WhereToLive.com, Inc.

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# LOAN DOCUMENTS - ORIGINAL \$1,000,000 SET DONE 1/23/01 THREE WEEKS PRIOR TO PURPORTED WIRE TRANSFER 

## [DRAFT - FOR DISCUSSION PURPOSES ONLY] <br> iviewit HOLDINGS, INC. SUBSCRIPTION AGREEMENT

iviewit Holdings, Inc., a Delaware corporation (the "Company/iviewit"), and Tiedemann Prolow, LLC (the "Purchaser") hereby agree as follows:

1. Offering. The Company is offering (the "Offering") to Purchaser one (1) Unit consisting of (i) [up to a $\$ 1,000,000$ ] principal amount convertible promissory note (the "Investor Note") and (ii) warrants to purchase up to [ 5,160 ] shares of the Company's Class B Non-Voting Common Stock at [ $\$ 155.00$ ] per share ("Warrants" and together with the Investor Note and the Class B Non-Voting Common Stock (the "Class B Common Stock") issuable upon conversion of the Investor Note or upon exercise of any Warrants, the "Unit"). All terms not otherwise defined herein shall have the same meaning as defined in the Form of Convertible Investor Note and the Form of Warrant, attached to this Subscription Agreement (this "Agreement") as Exhibit "A" (Form of Convertible Investor Note) and Exhibit "B" (Form of Warrant), respectively.
2. Sale and Purchase of the Unit. Subject to the terms and conditions hereof, the Purchaser irrevocably subscribes for one (1) Unit consisting of (i) [up to a $\$ 1,000,000$ ] principal amount convertible promissory note and (ii) warrants to purchase up to $[5,160]$ shares of the Class B Non-Voting Common Stock of the Company at $\$[155.00]$ per share for a total purchase price of $\$[600,000]$ (the "Purchase Price"). The Purchaser acknowledges that prior to the execution hereof, the books and records of the Company, including financial information, have been made available and continue to be available for inspection by the Purchaser at the office of the Company.
3. Payment by Purchaser. Simultaneous with the execution of this Agreement, the Purchaser shall make payment for the Unit by delivering to the Company the Purchase Price in the form of a cashier's check, money order or other immediately available funds (made payable to ["iviewit Holdings, Inc."]), along with a fully executed Subscription Agreement.
4. Acceptance of Subscription. It is understood and agreed that the Company shall have the right, in its sole discretion, to accept or reject this subscription, in whole or in part, and that same shall be deemed to be accepted by the Company only when it is signed by the Company. This subscription may not be terminated or revoked by the Purchaser, except as provided hereafter. In the event this subscription is rejected by the Company, the consideration for this subscription will be returned promptly to the Purchaser without interest and without deduction for any expenses.
5. Closing. Subject to any rights of recission, the closing of the sale and purchase described in Section 2 hereof (the "Closing") shall occur upon the Company's acceptance of Purchaser's subscription to purchase the Unit. The Company may reject Purchaser's subscription, in whole or in part, in its sole discretion and for any reason (or for no reason). Investments are not
binding on the Company until accepted by the Company. The Company will refuse any subscriptions by giving written notice to the Purchaser by personal delivery or first-class mail.

## 6. Representations and Warranties by the Company and Purchaser.

6.1 The Company represents and warrants that it is a corporation validly existing and in good standing under the laws of the State of Delaware with the authority to issue and sell the Unit and to carry out the provisions hereof.
6.2 Purchaser represents, warrants and covenants with the Company and to each officer, director, principal, member, controlling person, employee and agent of the Company that Purchaser is a "accredited investor" as such term is defined in Rule 501 of the Securities Act of 1993, as amended (the "Act") and that:
(a) Investment Suitability. The Purchaser has such knowledge and experience in financial and business matters as to be capable of evaluating the merits and risks of an investment in the Unit;
(b) Degree of Risk. The Purchaser recognizes that the Purchaser's investment in the Unit involves a high degree of risk which may result in the loss of a portion of or the total amount of the Purchaser's investment. The Purchaser acknowledges that the Purchaser has carefully considered all risks incident to the purchase of the Unit, including without limitation, those risks set forth on Exhibit "C" attached hereto, and that the Purchaser has been advised and is fully aware that the business of the Company is highly speculative and involves a high degree of risk.
(c) Information True and Correct. All the information that the Purchaser has furnished to the Company, including without limitation, the information set forth in the Confidential Offeree-Purchaser Questionnaire attached as Exhibit "D" hereto, or which is set forth in this Agreement, is correct and complete as of the date of this Agreement and, if there should be any material change in such information prior to the Closing, Purchaser will immediately furnish the revised and corrected information to the Company.
(d) Applicable Securities Laws. The Purchaser intends that only the state securities laws of the state listed in the residential address of the Purchaser below, together with the federal securities laws, govern this transaction.
(e) Relationship to the Company. Purchaser or its affiliates has a preexisting personal or business relationship with the Company or its respective officers, directors or controlling persons. By reason of Purchaser's business or financial experience, or the business or financial experience of his or her professional advisor who is unaffiliated with and who is not compensated by the Company or any affiliate or selling agent of the Company, directly or indirectly, Purchaser has the capacity and has taken all steps necessary to protect his, her or its own interests in connection with an investment in the Unit. Purchaser has had access to and has been provided with all information, including financial information as the Purchaser may require, has had the
opportunity to obtain any additional information necessary to verify the accuracy of the information contained in such documents and to evaluate the merits and risks of the investment, and has been given the opportunity to meet with officials of the Company and to have said officials answer any questions and the terms and conditions of this particular investment, and all such questions have been answered to the Purchaser's full satisfaction. In reaching the conclusion that the Purchaser desires to acquire the Unit, the Purchaser has carefully evaluated the Purchaser's financial resources and investments and acknowledges that the Purchaser is able to bear the economic risks of this investment.
(f) Purchaser's Liquidity. The Purchaser has adequate means of providing for his, her or its current needs and contingencies and has no need for liquidity in connection with the investment contemplated herein. Purchaser acknowledges that he, she or it must bear the economic risk of investment in the Unit for an indefinite period of time, and that he, she or it could bear a loss of his, her or its entire investment in the Unit without materially impairing his, her or its financial wherewithal. Purchaser's overall commitment to investments which are not readily marketable is not disproportionate to the net worth of the Purchaser, and the Purchaser's investment in the Unit will not cause such overall commitment to become excessive.
(g) Restrictions on Transfer. Purchaser acknowledges and understands that neither the Unit nor any component thereof has been registered under the Act or under any state securities laws and agrees that neither the Unit nor any component thereof can be resold unless it is subsequently registered under the Act and pertinent state securities acts unless an exemption from such registration is available; that the Purchaser agrees not to resell or otherwise dispose of all or any part of the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable), except as permitted by law; and that there is no assurance and it is unlikely that Rule 144 under the Act will be available as a basis for exemption from registration of the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable) in the foreseeable future.
(h) Nondistributive Intent. Purchaser understands that the exemption from registration under the Act upon which the Unit is being offered depends upon, among other things, the bona fide nature of Purchaser's nondistributive intent with respect to the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable) as expressed herein. The Purchaser is purchasing the Unit for investment for the account of the Purchaser, not for the account of any other person, and not with any present intention to resell or otherwise distribute the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable).
(i) Information. The information contained on the signature page hereof and in the Confidential Offeree-Purchaser Questionnaire attached as Exhibit " $D$ " hereto is true and correct. The Purchaser will provide to the Company such additional information as may be reasonably requested by the Company to enable it to satisfy itself as to the knowledge and experience of the Purchaser and the Purchaser's ability to bear the economic risk of an investment in the Unit.
(j) Residency. The Purchaser is incorporated in the state set forth on page 7 hereof and its business is conducted in the state set forth on page 7 hereof.
(k) Rescission Right for Florida Residents. Purchaser hereby intends that his, her or its signature hereon shall constitute an irrevocable subscription for the dollar amount of Unit specified herein. Notwithstanding Section 2 and the prior sentence, the Purchaser, if a Florida resident, shall have the right, pursuant to Section $517.061(11)(\mathrm{a})(5)$ of the Florida Statutes, at any time within three (3) days after the Purchaser first tenders the Purchase Price or the date of Purchaser's execution of this Agreement, whichever is later, to notify the Company, pursuant to the provisions of Section 9, of the Purchaser's intent to cancel this Agreement. In such event, this Agreement shall be canceled and of no further force or effect, and the Company shall promptly cause to be refunded to the Purchaser the Purchase Price paid by the Purchaser for the Unit, without interest or deduction. [This Section may be omitted if Purchaser is not a Florida entity.]
(1) Organization:Authorization. Purchaser is a limited liability company, duly organized, validly existing and in good standing under the laws of the State of New York. Purchaser has the power and authority to execute this Agreement and perform Purchaser's obligations hereunder. The execution, delivery and performance by Purchaser of this Agreement and the transactions contemplated hereby have been approved by all requisite action on the part of Purchaser. This Agreement and the Confidential Offeree-Purchaser Questionnaire attached as Exhibit "D", along with the other documents, instruments and agreements of Purchaser executed in connection herewith have been duly executed and delivered by Purchaser and constitute valid and binding obligations of Purchaser enforceable against Purchaser in accordance with their terms, except to the extent enforcement may be limited by applicable bankruptcy, insolvency, reorganization or other laws of general application affecting creditors' rights and except as enforcement may be limited by general equitable principles.
7. Transfer of Unit.
7.1 Legend. Until the occurrence of one of the events specified in Section 7.3, any certificates representing any component of the Unit (including without limitation, the Class B Common Stock issuable upon conversion of the convertible Investor Note or upon the exercise of a Warrant) shall be stamped or otherwise imprinted with a legend substantially in the following form:

THESE SECURITIES HAVE NOT BEEN REGISTERED OR QUALIFIED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, OR ANY STATE SECURITIES LAWS. NEITHER THESE SECURITIES NOR ANY INTEREST THEREIN MAY BE OFFERED, SOLD, PLEDGED, OR OTHERWISE TRANSFERRED UNLESS THESE SECURITIES ARE FIRST REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, AND/OR QUALIFIED UNDER ALL APPLICABLE STATE SECURITIES LAWS, OR UNTIL THE COMPANY SHALL HAVE RECEIVED AN OPINION OF LEGAL

## COUNSEL, REASONABLY SATISFACTORY TO THE COMPANY, THAT THESE SECURITIES MAY LAWFULLY BE OFFERED, SOLD, PLEDGED, OR OTHERWISE TRANSFERRED WITHOUT SUCH REGISTRATION AND/OR QUALIFICATION IN RELIANCE UPON AN APPLICABLE EXEMPTION.

7.2 Opinion of Counsel. Prior to any transfer or attempted transfer of the Unit (or any component thereof) issued hereunder, or any interest therein, the Purchaser, or, if the Purchaser is not the person proposing such transfer, the holder of the Unit, shall give the Company written notice of the Purchaser's or holder's intention to make such transfer, describing the manner of the intended transfer and the proposed transferee. Promptly after receiving such written notice, the Company shall present copies thereof to counsel for the Company and to any special counsel designated by the Purchaser or by such holder. If in the opinion of each of such counsel the proposed transfer may be effected without registration of the Unit under the applicable federal or state securities laws, the Company, shall immediately notify the Purchaser or such holder of such opinions, whereupon the Unit proposed to be transferred shall be transferred in accordance with the terms of said notice. The Company shall not be required to effect any such transfer prior to the receipt of such favorable opinion(s); provided, however, the Company may waive the requirement that the Purchaser obtain an opinion of counsel, in its sole and absolute discretion. As a condition to such favorable opinion, counsel for the Company may require an investment letter and other appropriate representations to be executed by the proposed transferee. Purchaser agrees to pay the reasonable fees and expenses of special counsel designated by the Purchaser, or of counsel to the Company in the event Company counsel renders such opinion, in connection with any such proposed transfer.
7.3 Removal of Securities Transfer Restrictions. The restrictions imposed by Sections 7.1 and 7.2 herein shall terminate as to the Unit if:
(a) Such Unit shall have been effectively registered under the Act and any applicable state law and sold by the holder thereof in accordance with such registration; or
(b) Written opinions to the effect that such registration is no longer required or necessary under any federal or state law or regulation or governmental authority shall have been received from legal counsel for the Company.
8. Indemnification - Representations of Purchaser. As a material inducement to the Company in permitting Purchaser to purchase the Unit hereby, Purchaser represents and warrants that none of the representations or warranties made by Purchaser herein ("Purchaser Statements") contain any intentionally false or misleading statement. Purchaser shall indemnify the Company to the extent it incurs or suffers any damage, expenses (including, without limitation, attorneys' fees and expenses, even if incident to appeals), loss, claim, judgment or liability resulting from the Company's reliance upon any Purchaser Statement made by Purchaser. In the event Purchaser refuses or fails to indemnify the Company under this Section, the Company may withhold from any distributions or dividends to which Purchaser would otherwise be entitled, an amount sufficient to
satisfy such indemnity obligation as a set-off, without limiting the right of the Company to proceed in any other legal, equitable or contractual remedy directly against Purchaser for the indemnity obligation.
9. Notices. All notices, requests, consents and other communications hereunder shall be in writing (including telex, telefax and other telegraphic communication) and shall be (as elected by the person giving such notice) delivered by messenger or courier service, or mailed first-class postage prepaid registered or certified mail:
(a) If to any holder of the Unit, addressed to such holder at the address set forth below or at the Purchaser or holder's address as shown on the books of the Company or the Purchaser or holder's agent or to such other address as may from time to time be furnished to the Company in writing by any such holder.
(b) If to the Company, addressed to the Company at 2255 Glades Road, Suite 337W, Boca Raton, Florida 33431, Attn: Brian G. Utley, President, or at such other address as may from time to time be furnished to the Purchaser in writing by the Company.

Each such notice shall be deemed delivered and received: (i) on the date delivered if by personal delivery; (ii) on the date of transmission with confirmed answer back if by telex, telefax or other telegraphic method; and (iii) on the date upon which the return receipt is signed or delivery is refused or the notice is designated by the postal authorities as not deliverable, as the case may be, if mailed.
10. Miscellaneous Provisions. This Agreement represents the entire subject matter hereof, and supersedes all other negotiations, understandings and representations (if any) made by and between such parties. All of the terms and provisions of this Agreement, whether so expressed or not, shall be binding upon, inure to the benefit of, and be enforceable by the parties and their respective administrators, personal and other legal representatives, heirs, successors and permitted assigns.

The headings contained in this Agreement are for convenience of reference only, and shall not limit or otherwise affect in any way the meaning or interpretation of this Agreement.

If any part of this Agreement or any other agreement entered into pursuant hereto is contrary to, prohibited by or deemed invalid under applicable law or regulation, such provision shall be inapplicable and deemed omitted to the extent so contrary, prohibited or invalid, but the remainder hereof shall not be invalidated thereby and shall be given full force and effect so far as possible. All agreements, covenants, representations and warranties made herein or otherwise made in writing by any party pursuant hereto shall survive the execution and delivery of this Agreement and the consummation of the transactions contemplated hereby. It is expressly understood that Sections 6 , $7,8,9$ and 10 shall survive the Closing and any subsequent sale or other transfer by the Purchaser of any portion of the Unit (or any securities underlying the Unit).

This Agreement and all transactions contemplated by this Agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of Florida without regard to principles of conflicts of laws. The parties acknowledge that a substantial portion of negotiations, anticipated performance and execution of this Agreement occurred or shall occur in Palm Beach County, Florida, and that, therefore, without limiting the jurisdiction or venue of any other federal or state courts, each of the parties irrevocably and unconditionally: (a) agrees that any suit, action or legal proceeding arising out of or relating to this Agreement may be brought in the courts of record of the State of Florida in Palm Beach County or the District Court of the United States, Southern District of Florida; (b) consents to the jurisdiction of each such court in any suit, action or proceeding; (c) waives any objection which that party may have to the laying of venue of any such suit, action or proceeding in any of such courts; and (d) agrees that service of any court paper may be effected on such party by mail, as provided in this Agreement, or in such other manner as may be provided under applicable laws or court rules in said state.
11. Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

Print or Type Below

Name of Purchaser

Business Address of Purchaser

Amount Subscribed for by Purchaser:
IN WITNESS WHEREOF, the Purchaser hereby executes this Agreement this $\qquad$ day
$\qquad$ 2001.
Purchaser:
TIEDEMANN PROLOW, LLC
By:
Name: $\qquad$
Its: $\qquad$ of

AGREED as to [up to $\$ 1,000,000$ ] for the Unit this $\qquad$ day of $\qquad$ , 2001.
iviewit Holdings, Inc.

By:
Name: Brian G. Utley
Its: President

EXHIBIT "A"
Form of Investor Note

EXHIBIT "B"
Form of Warrant

## EXHIBIT "C" <br> Risk Factors

## [TO BE CAREFULLY REVIEWED AND UPDATED BY IVIEWIT]

The following risk factors should be carefully considered in evaluating us and our business before purchasing the Unit offered hereby. Investment in the Unit involves a high degree of risk and should be regarded as speculative. You should consider investing in the Unit only if you can afford the loss of your entire investment.

## We have a limited operating history.

We are a development stage company and have only begun to market our products in early 2000 and only achieved commercialization in May 2000. Additionally, since our predecessor company was only recently organized in January 1999, we have a very limited operating history available to evaluate our business and prospects. Potential investors should consider our prospects in light of the following risks, expenses and uncertainties that may be encountered by development stage companies, particularly in the new and emerging e-commerce market:

- An evolving and unproven business model,
- Managing a development stage business in a rapidly changing market,
- Attracting customers and maintenance of customer satisfaction,
- Introducing innovative technology,
- Minimizing technical difficulties, system downtime and the effect of Internet brownouts.

In addition to other factors, in order to address these risks we must successfully:

- Develop initial relationships with strategic partners,
- Implement our evolving business model,
- Establish internal accounting systems and controls,
- Create and effect an efficient transaction processing system, and

If we do not successfully manage these risks, as well as other factors that we may encounter as a development stage technology, our business will suffer. We cannot assure you that we will successfully address these risks or other adverse factors or that we will be able to successfully implement our business strategy.

## We have incurred losses and expect to incur substantial net losses for the foreseeable future.

Since commencing our pre-incorporation operations, we have operated at a loss and have incurred net losses of \$ $\qquad$ for the period from inception through December 31, 2000. These figures are based on unaudited financial statements of the consolidated financial statements of iviewit and its subsidiaries (wholly-owned and majority-owned). We expect that operating losses and negative cash flow will continue for the foreseeable future as we must invest in marketing and promotional
activities, technology and development of our operating systems. We cannot be certain when and if we will achieve sufficient revenues in relation to expenses to become profitable. If we are unable to become profitable, an investor could lose his or her entire investment.

Our future profitability depends, in part, on generating and sustaining revenue growth while maintaining reasonable expense levels. Slower revenue growth than we anticipate or operating expenses that exceed our expectations would harm our business. If we achieve profitability, we cannot be certain that we would be able to sustain or increase profitability in the future.

We will need additional capital to fund our business as early as four months following the closing of this Offering.

We require substantial working capital to fund our business and will need more in the future. We will likely experience negative cash flow from operations for the foreseeable future. We expect that the proceeds from this Offering, together with our available funds, should be sufficient to meet our needs for working capital and capital expenditures needs for approximately four months following completion of the Offering, although we may need to raise additional funds prior to such periods. We will need to raise additional funds promptly after such periods through the issuance of equity, equity-related or debt securities. If we are successful in raising additional funds, your stock ownership percentage will be diluted in the near future. If we are unable to obtain adequate additional financing on reasonable terms, our operations will suffer and we may never become profitable. If we are unable to become profitable, you will lose your entire investment. We cannot be certain that adequate additional financing will be available to us or that if available, it will be on terms and conditions advantageous to iviewit.

## This Offering may be integrated with other sales of our securities.

All sales that are part of the same private offering must meet all of the terms and conditions of a private offering pursuant to Regulation D ("Regulation D") of the Securities Act. Generally, offers and sales that are made more than six months before the start of a private offering or made more than six months after completing a private offering will not be considered part of that Regulation D offering, so long as during those six month periods there are no offers or sales of securities by or for iviewit that are of the same or a similar class as those offered or sold under Regulation D. The following factors are considered in determining whether offers and sales should be integrated for the purpose of the exemption under Regulation D:

- Whether the sales are part of a single plan of financing,
- Whether the sales involve issuing the same class of securities,
- Whether the sales have been made at or about the same time,
- Whether the same type of consideration is being received, and
- Whether the sales are made for the same general purpose.

Because we estimate that we will require additional financing within six months from the date of the final closing of this Offering, we must consider the ramifications of undertaking a new private offering within the six month period, in view of the rules concerning integration. To the extent that
the current offering is integrated with any past or future offering and we are unable to rely upon an appropriate exemption from registration under the Securities Act as to the combined offerings, purchasers in the offerings could seek to cause iviewit to make a rescission offer under applicable federal securities laws, or applicable state securities statutes. In the event of rescission, an investor would be entitled to receive repayment of the amount invested, together with interest at a prescribed statutory rate in the state where the investor resides. To the extent that iviewit were required to make such payments to investors, it would have a material adverse impact on us and could result in termination of our operations. Additionally, the Securities and Exchange Commission ("SEC") or certain state securities administrators could seek to take action against iviewit or its officers and directors in connection with any subsequent offerings. The SEC and state securities administrators are generally empowered to issue cease and desist orders and to levy fines, and may also seek injunctive relief. The time and resources we would be required to spend in defending any action by the SEC or such state securities administrators would have a material adverse effect on us and could result in termination of our operations, even if we should ultimately prevail.

## The Purchaser of the Unit will experience substantial dilution.

Based upon the estimated Offering price of [up to $\$ 1,000,000$ ] for the Unit, the purchaser of the Unit will experience an immediate and substantial dilution. Certain of our stockholders, including major stockholders, have dilutive adjustment provisions as well as pre-emptive rights to acquire additional iviewit securities. Additionally, we intend to enter into discussions with various corporate entities for the purpose of developing strategic relationships and obtaining additional capital investments. In connection with these discussions, we may make potentially dilutive issuances of equity securities (or securities convertible into or otherwise exchangeable for equity securities) to corporate entities at significantly lower per share valuations of us than the Offering price per Unit. Issuing such securities may also adversely affect iviewit's ability to obtain additional capital in the future.

## Our management has broad discretion as to the use of the net proceeds from this Offering.

We estimate that we will use $100 \%$ of the net proceeds from this Offering for working capital and general corporate purposes. Our management will have broad discretion as to the specific purposes for which that portion of the net proceeds will be used. Therefore, you have little information as to how our management will use a substantial portion of the net proceeds from this Offering.

## We are materially dependent on our executive officers.

We are materially dependent on the efforts and abilities of Brian G. Utley, our President and Chief Operating Officer and Eliot I. Bernstein, our Vice Chairman, Vice President, Secretary and Treasurer. We have entered into a written three-year employment agreement with Mr. Utley and have an oral employment agreement with Mr. Bernstein. The loss of the services of Mr. Utley or Mr. Bernstein could have a material adverse effect upon our business and future prospects.

We need to attract, retain and motivate skilled personnel and retain our key personnel in order for our business to succeed.

Our ability to develop and market our technical services and products will depend on our ability to attract, retain and motivate highly skilled technical, managerial and marketing personnel. If we are unable to attract and retain the necessary personnel, our systems may not operate efficiently and we may not sufficiently market our products and services. These difficulties could materially and adversely affect our business and results of operations.

Many older personal computers do not have the ability to download our digital and/or video images within a reasonable amount of time.

Currently, only those personal computers with cable modems, DSL, T-1 or ISDN lines have the ability to download our high resolution digital images within a reasonable period of time. These lines are also required to receive high quality streaming videos. Additionally, many older personal computers may not yet have the ability to view full motion video. As such, our technologies and products may not be available to all computer users via the Internet.

To date, we have commenced only limited marketing and sales activities and are uncertain of our market acceptance.

We have recently commenced limited marketing and sales activities relating to our services and have limited financial, personnel and other resources to undertake extensive development, marketing, sales and advertising activities. The need for our products will depend upon consumer demand. Developing market acceptance for our proposed products will require substantial marketing and sales efforts and we will need to spend a significant amount of funds in order to inform consumers about our products and to make our brand name readily recognizable. We cannot assure you that we will be able to penetrate existing traditional markets for our products or that any of our marketing efforts will result in demand for, or market acceptance of, our products.

Our ability to develop and protect software and other intellectual property is uncertain.
Our success will be heavily dependent upon our ability to develop and protect our proprietary software, patents, processes, copyrights, trademarks, trade secrets, know-how, show-how and other proprietary technology and content ("Intellectual Property") necessary to, among other things, construct, operate, maintain and enhance our web site, www.iviewit.com (the "iviewit Site") and its contents, services and features. In moving our business model forward, we will rely on a combination of contractual rights, patents, trade secrets, know-how, trademarks, non-disclosure agreements, licenses and other technical measures to establish and protect our proprietary rights. We are, however, currently in the process of seeking patent and trademark protection for our technology, name and logo. In addition, we intend to enter into license agreements for copyrighted materials that will be made available through our products and services. There can be no assurance that we, or those we may engage on our behalf, will be able to protect our Intellectual Property or that such efforts will be adequate to prevent misappropriation of the technology or independent development by others of products with features based upon, or otherwise similar to, ours.

The development of new technologies for use via the Internet and elsewhere is highly competitive and ever-changing. While we are seeking federal patent, trademark and copyright protection for our Intellectual Property, we cannot assure you that we have senior rights to our Intellectual Property, that we will receive statutory protection under applicable patent, trademark or copyright laws, or that our Intellectual Property is even subject to patent, trademark and/or copyright protection. If we cannot avail our Intellectual Property to such protection, our only protections may be contractual, through our implementing trade secret policies, or through other common law efforts. Nonetheless, we intend to vigorously defend any and all rights we may have, now or in the future, in our Intellectual Property. However, we cannot assure you that we will be successful in pursuing our rights or if we are successful, that it will be timely. In addition, although we believe that any software or other technology or processes we develop will be independently developed and will not infringe on the proprietary rights or trade secrets of others, we cannot assure any investor that any software or other technology or processes we develop will not so infringe or that third parties will not assert infringement claims, trade secret violations, competitive torts or other proprietary rights violations against us in the future. In the case of infringement, we could, under certain circumstances, be required to modify our products or obtain a license. We can make no assurances that we would be able to do either in a timely manner or upon acceptable terms and conditions, and such failure could have a material adverse effect on iviewit. There can be no assurance that we will have the resources to defend or prosecute a patent infringement or other proprietary rights infringement or other causes of action.

## Our business is subject to risks associated with competition in the marketplace.

While we believe that we are currently the leading company developing and producing high-quality, enhanced digital images and video for use on the Internet and the World Wide Web, other companies may have developed, or will develop in the future, similar technologies. To the extent that other companies do enter our market, we cannot assure you that we will be able to compete successfully or that competitive pressures will not damage our business. Our competitors may be larger, may have substantially greater financial, distribution and marketing resources, and may have more established reputations and better brand name recognition than us. In addition, our competitors may be able to secure products on more favorable terms. Some on-line competitors may be able to use the Internet as a marketing medium to reach significant numbers of potential users more effectively than we can.

## Our brand may not attain sufficient recognition.

We believe that establishing, maintaining and enhancing our brand is a critical aspect of our efforts to attract and, ultimately, to expand our on-line traffic. The number of Internet sites that may offer competing services increases the importance of establishing and maintaining brand name recognition. Promotion of the iviewit Site will depend largely on our ability to provide a high-quality on-line experience supported by a high level of customer service, which cannot be assured. To attract and retain on-line users, and to promote and maintain the iviewit Site in response to competitive pressures, we may find it necessary to increase substantially our financial commitment to creating and maintaining a strong brand loyalty among customers. This will require significant expenditures on advertising and marketing. If we are unable to provide high-quality on-line services
or customer support, or we otherwise fail to promote and maintain the iviewit Site, or if we incur excessive expenses in an attempt to promote and maintain the iviewit Site, our business, prospects, financial condition and results of operations would be materially adversely affected.

## Our business depends on continued growth of electronic commerce.

Our future revenues and profits, if any, will depend substantially upon the acceptance and use of the Internet and other on-line services as an effective medium of commerce by our target client. Rapid growth in the use of, and interest in, the Internet and on-line services is a recent phenomenon. Acceptance and use of the Internet and other on-line services may not continue to develop at historical rates and a sufficiently broad base of consumers may not adopt, and continue to use, the Internet and other on-line services as a medium of commerce. Demand and market acceptance for recently introduced services and products over the Internet are subject to a high level of uncertainty and there exist few proven services and products. Our target client has historically used traditional means of commerce to purchase scientific research, equipment, and supplies. For us to be successful, these customers must accept and utilize the iviewit Site to satisfy their needs for such products.

In addition, the Internet may not be accepted as a viable long-term commercial marketplace for a number of other reasons beyond our control, including potentially inadequate development of the necessary network infrastructure or delayed development of enabling technologies and performance improvements. To the extent that the Internet continues to experience significant expansion in the number of users, bandwidth growth requirements, the infrastructure for the Internet may be unable to support the demands placed upon it. In addition, the Internet could lose its viability due to delays in the development or adoption of new standards and protocols required to handle increased levels of Internet activity, or due to increased governmental regulation. Changes in or insufficient availability of telecommunications services to support the Internet also could result in slower response times and adversely affect usage of the Internet generally.

We need to keep up with rapid technological changes that affect electronic commerce.
To become and, ultimately, remain competitive, we must develop and continually enhance and improve the responsiveness, functionality and features of our on-line operations. The Internet and the electronic commerce industry are characterized by:

- Rapid technological change,
- Changes in user and customer requirements and preferences,
- Frequent new product and service introductions embodying new technologies, and
- The emergence of new industry standards and practices.

Our success will depend, in part, on our ability to:

- License leading technologies useful in our business,
- Create and enhance our proposed services,
- Further develop services and technology that address the varied needs of our customers, and
- Respond to technological advances and emerging industry standards and practices on a cost-effective and timely basis.

The development of the iviewit Site and other proprietary technology entails significant technical and business risks. We may not successfully use new technologies effectively or adapt the iviewit Site, proprietary technology and transaction-processing systems, if and when developed, to customer requirements or emerging industry standards. If we are unable, for technical, legal, financial or other reasons, to adapt in a timely manner, in response to changing market conditions or customer requirements, our business, financial condition and results of operations could be seriously harmed.

## Electronic commerce is subject to security risks.

A fundamental requirement of electronic commerce and communications is the secure transmission of confidential information over public networks. We intend to rely on encryption and authentication technology licensed from third parties to provide the security and authentication necessary for secure transmission of confidential information, such as customer credit card numbers. In addition, we intend to maintain an extensive confidential database of customer profiles and transaction information. Advances in computer capabilities, new discoveries in the field of cryptography, or other events or developments may result in a compromise or breach of the methods used by us to protect customer transaction data. If any such compromise of our security were to occur, it could seriously harm our reputation, business, financial condition and results of operations. A party who is able to circumvent the security measures we may put in place could misappropriate proprietary information or cause interruptions in our operations, if and when developed.

We may be required to expend significant capital and other resources to protect against such security breaches or to alleviate problems caused by such breaches. Concerns over the security of the Internet and other on-line transactions and the privacy of users may also inhibit the growth of the Internet and other on-line services, especially as a means of conducting commercial transactions. To the extent that our activities or third-party contractors involve the storage and transmission of proprietary information, such as credit card numbers, security breaches could damage our reputation and expose us to a risk of loss or litigation and possible liability. The security measures we intend to put in place may not prevent security breaches and failure to prevent such security breaches may seriously harm our business, financial condition and results of operations.

## We will depend on communications and service providers to operate our business.

We will depend on communications and service providers to provide our Internet users with access to the iviewit Site. We will also depend on communications and service providers to provide us, our content providers and our customers with uninterrupted service. The iviewit Site could experience disruptions or interruptions in service due to failures by these providers. In addition, our users will depend on Internet service providers and Web site operators for access to the iviewit Site. Each of these groups has experienced significant outages in the past and could experience outages, delays and other difficulties due to system failures unrelated to our systems. These types of occurrences
could cause users to perceive the iviewit Site as not functioning properly and therefore cause them to stop using our services.

## You are unlikely to receive dividends for the foreseeable future.

We have never declared or paid dividends on any shares of our capital stock and do not anticipate paying any dividends in the foreseeable future. We currently intend to retain all available funds and any future earnings for use in the operation and expansion of our business.

## There is no public market for our securities.

None of our common stock or preferred stock have been registered under the Securities Act of 1933, as amended (the "Securities Act"), and, therefore, must be held indefinitely unless it is subsequently registered under the Securities Act or an exemption from the registration requirements of the Securities Act is available. Rule 144 promulgated under the Securities Act, which allows dispositions of unregistered securities under certain circumstances, is not currently available with respect to any of our capital stock and there can be no assurance that it will ever be available. Certificates representing our securities will bear legends with respect to restrictions on subsequent transfers. Consequently, the purchaser of the Unit will not be able to liquidate its investment readily. There is no public market for any of our capital stock, nor can we assure you that one will develop. As a result, the purchaser of the Unit will have to bear the economic risk of its investment for an indefinite period.

## We are relying upon a private offering exemption in order to sell the Shares.

We are offering the Unit under the private offering exemptions from registration available under the Securities Act and the laws of the states in which the Unit will be sold. If we fail to comply with the requirements of these exemptions, investor in this Offering may have the right to rescind its purchase if it wishes. Since complying with exemption rules is highly technical, it is possible that, if the purchaser seeks to rescind its purchase of the Unit, it may succeed. If the purchaser was to successfully rescind its purchase of the Unit, we could face severe financial demands that could materially impact iviewit.

## Arbitrary offering price of the Unit.

The Unit Offering price has been determined by us and is arbitrary since it does not necessarily bear any specific relationship to the assets, book value or our potential earnings or any other recognized criteria of value.

We cannot foresee all risk factors that may affect our business or operations. Moreover, we cannot assure that we will successfully effectuate our business plan. The prospective investor should carefully analyze the risks and merits of investing in the Unit and should take the risk factors discussed above into consideration when making such analysis.

## Exhibit "D" <br> iviewit Holdings, Inc. <br> CONFIDENTIAL OFFEREE-PURCHASER QUESTIONNAIRE

Name(s) of Offeree-Purchaser(s):
(1) $\qquad$

1. Please state your:

E-mail address: $\qquad$
Business address: $\qquad$

Business fax: $\qquad$ ) $\qquad$

Business telephone(s): $\qquad$ _)


Nature of Your Business: $\qquad$
State of Incorporation $\qquad$

Social Security Number(s):
(or, if applicable, Employer
I.D. Number)
(1) $\qquad$
(2)
(2) $\qquad$
2. The undersigned investor is (check the alternative(s), if any, which is(are) applicable):
(a) $\qquad$ ALTERNATIVE ONE: Any director or executive officer of the Company.
(b) $\qquad$ ALTERNATIVE TWO: Any natural person whose individual net worth, or joint net worth with that person's spouse, at the time of his purchase exceeds $\$ 1,000,000$.
(c) ALTERNATIVE THREE: Any natural person who had an individual income in excess of $\$ 200,000$ in each of the two most recent years or joint income with that person's spouse in excess of $\$ 300,000$ in each of those years and has a reasonable expectation of reaching the
same income level in the current year.
(d) $\qquad$ ALTERNATIVE FOUR: Any trust, with total assets in excess of $\$ 5,000,000$, not formed for the specific purpose of acquiring the Unit offered, whose purchase is directed by a sophisticated person who has such knowledge and experience in financial and business matters that he is capable of evaluating the merits and risks of the prospective investment as further described in Rule 506(b)(2)(ii) of the 33 Act.
(e) $\qquad$ ALTERNATIVE FIVE: An organization described in Section 501(c)(3) of the Internal Revenue Code of 1986, as amended, a corporation, limited liability company, Massachusetts or similar business trust, or partnership, in each case not formed for the specific purpose of acquiring the Unit, with total assets in excess of $\$ 5,000,000$.
*Please indicate value of assets:

Year
2000
2001 (expected)

Assets
\$
\$ $\qquad$
(f) $\qquad$ ALTERNATIVE SIX: Any entity in which all of the equity owners are "accredited investors." An "accredited investor" means any person who comes within any of the categories (a) through (e) above, or who you reasonably believe comes within any of the above categories, at the time of the sale of the securities to that person or entity.

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3. Please indicate type of ownership subscribed for:
    Limited Liability Company
            Corporation
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I understand that the Company will be relying on the accuracy and completeness of my responses to the foregoing questions and I represent and warrant to the Company as follows:
(i) The answers to the above questions are complete and correct and may be relied upon by the Company in determining whether the offering in connection with which I have executed this Questionnaire is exempt from registration under the 33 Act and exempt from registration under pertinent state securities laws; and
(ii) I will notify the Company immediately of any material change in any statement made herein that occurs prior to the closing of any purchase of a Unit in the proposed investment.

## Date

By:
Name: $\qquad$
Title:
TIEDEMANN PROLOW, LLC

## EXHIBIT C <br> POLICE REPORT - EMBEZZLEMENT (UTLEY \& REALE)

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


## TVIEWIT

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


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Assistant Staff Counsel
The Florida Bar
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| REPORTING OFFICER NARRATIVE |  |  |
| :--- | :--- | :--- |
| Boca Ration Police Department | OCA <br> Visim <br> IVIEWIT.COM, INC | Ofense <br> EMBEZZLEMENT |

ON 06-20-2001 I SPOKE WITH WILLIAM KASSER(CONTROLLER) OF IVIEWIT.COM BY TELEPHONE, KASSER STATED THAT ON 04-27-2001, THE EX-PRESIDENT(BRIAN UTLEY) AND THE V.P. OF OPERATIONS(MICHAEL REALE) FOR THEIR COMPANY, STOLE 2 DELL MODIFIED
COMPUTER/ENCODING MACHINES(UNKNOWN MODEL \& SERIAL *) THAT WERE VALUED AT $\$ 40,000.00$. THE COMPUTERS WERE NAMED "THE BOMBER" AND "THE NTTRO".
KASSER ADVISED ME THAT THESE COMPUTERS WERE MODIFIED TO ENCODE VIDEOS AND HAD LARGER DISK DRIVES AND VIDEO ENCODING CARDS INSTALLED. THIS IS WHAT MADE THEM SO VALUABLE. THESE COMPUTERS GENERATED REVENUE FOR THE COMPANY.
KASSER ADVISED ME THAT THEIR COMPANY WAS CLOSING THEIR BOCA OFFICE AT 2255 W . GLADES ROAD AT THE END OF APRLL AND RELOCATING TO CALIFORNIA, AND UTLEY AND REALE WERE BEING TERMINATED AT THAT TIME. ON UTLEY'S LAST DAY, HE HAD ADVISED KASSER $\$ 1,000.0$ A $\$ 1,000.00$ A PIECE KASSER AGREED, UTLEY GAVE 2 SEPARATE CHECKS FOR $\$ 1,000.00$ A PIECE, AND AT THAT TIME ALL OF THE COMPUTERS WERE BEING BOXED UP TO BE RELOCATED TO
CALIFORNIA. CALIFORNIA.
KASSER STATED THAT REALE WAS SUPERVISING THE PACKING OF THE COMPUTERS AND KNEW EXACTLY WHAT CONTENTS WERE IN EACH BOX. ONCE THE BOXES WERE PACKED, REALE GAVE UTLEY THE OKAY TO TAKE 2 BOXES CONTAINING THE MOST VALUABLE COMPUTERS AND NOT THE BOXXES WITH THE STANDARD COMPUTERS.
KASSER THEN STATED THAT HE HAD FOUND OUT APPROXIMATELY 3 WEEKS LATER, ONCE THE BOXES HAD ARRIVED IN CALIFORNIA, THAT THE MOST VALUABLE COMPUTERS WERE NOT DELIVERED. AT THAT TIME, KASSER THEN CONTACTED UTLEY AND UTLEY ADMITTED THAT himself and reale had taken the most valuable computers and told kasser that THEY WERE ONLY WORTH $\$ 1,000.00$ A PIECE ANYWAY. UTLEY WAS ASKED TO RETURN THESE COMPUTERS AND TAKE THE CORRECT ONES AND HE REFUSED.
I THEN SPOKE WITH ROSS MILLER, WHO IS THE COMPANIES ATTORNEY, WHO ADVISED ME THAT REALE WAS IN CHARGE OF PACKAGING EACH COMPUIER AND WRONGFULLY AND INTENTIONALLY LET UTLEY TAKE THE MOST VALUABLE COMPUTERS, WITHOUT CONSENT FROM ANYONE ELSE IN THE COMPANY. ROSS ALSO CONFIRMED ALL OF THE ABOVE INFORMATION GIVEN BY KASSER. ROSS ADVISED ME THAT HE HAD BEEN TOLD BY SEVERAL 3RD PARTIES THAT REALE AND UTLEY ADMITTED TO HAVING THE ABOVE STATED EQUIPMENT AND ASKED 3RD PARTIES FOR ASSISTANCE IN OPERATING IT. ROSS HAS A SUSPICION THAT THE EQUIPMENT MAY BE USED TO START A BUSINESS FOR REALE AND UTLEY.
ON 06-20-2001 AT 12:28 HOURS, I CONTACTED UTLEY AT 561-750-6876, WHO ADVISED ME THAT HE DID HAVE THE EQUIPMENT, BUT ADVISED THAT THE DEAL WAS STRAIGHT FORWARD AND HE POINTED OUT TO KASSER EXACTLY WHAT COMPUTERS HE WOULD BE TAKING AND ALL WAS AGREED ON. UTLEY ADVISED THAT HE PAID $\$ 1,000.00$ PER COMPUTER AND THAT IT WAS A GENEROUS OFFER. UTLEY BELIEVES THAT KASSER MUST HAVE DECIDED AFTER THE FACT THAT HE DID NOT RECEIVE ENOUGH MONEY FOR THESE COMPUTERS AND IS EXAGGERATING ABOUT THEIR $540,000.00$ VALUE.
ON 06-20-2001 AT 13:00 HOURS, I SPOKE WITH REALE AT 561-499.8850, WHO ADVISED ME THAT HE DID NOT HAVE ANY INVOLVEMENT IN ANY COMPUTER THEFT. REALE ADVISED

| Reporting Officer: ULLOA. $J$. | Page $\quad 3$ |
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## TVIEWIT

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

| REPORTING OFFICER NARRATIVE |  |  |
| :--- | :--- | :--- |
| Boca Raton Police Department <br> Vietim <br> IVIEWIT.COM, INC. | Ofense <br> EMBEZZLEMENT | Date /Tme Reprted <br> Wed 06/20/2001 10:12 |

ME THAT UTLEY HAD POINIED OUT THE COMPUTERS THAT HE WAS GOING TO PURCHASE FOR
$\$ 1,000.00$ EACH AND THAT IS WHAT WAS TAKEN WHEN THEY LEFT THE COMPANY. REALE STATE
THAT PAYING $\$ 1,000.00$ FOR EACH OF THE COMPUTERS THAT THEY TOOK WAS A VERY GENEROUS OFFER, DUE TO THEIR AGE AND VINTAGE REALE STATED THAT THE COMPUTERS HAD
STANDARD HARDWARE, SO THE VALUE THAT WAS GIVEN BY KASSER WAS WAY ABOVE IT'S FAIR Market Value. reale stated that he has many years of experience with computers and knows what they are worth. reale feels that kasser's complaint is motivated BY EMOTIONS AND NOT MONEY.
I ADVISED THE COMPLANANT TO CONTACT THE P.D. IF THERE IS ANY ADDITIONAL
INFORMATION, AND I WAS ASKED BY KASSER TO PLEASE HAVE SOMEONE CONTACT HIM REGARDING AN INVESTIGATION INTO THIS MATTER.

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

# CASE SUPPLEMENTAL REPORT 

 NOT SUPERVISOR APPROVED| Boca Raton Police Department | OCA : 2001054580 |
| :---: | :---: |
| THE INFORMATION BELOW IS CONFIDENTIAL - FOR USE BY AUTHORIZED PERSONNEL ONLY |  |
| Case Stazus: Exceprionally Cleared | Offense: EMBEZZLEMENT |
| Case Mng Status: Exceptionally Cleared | Occered: 04/27/2001 |
| Investigator: MEYER, S P. (528) Grperviser ( 01 | Date / Time: 08/08/2001 16:17:26, Wedresday |
| Stpervisor: (0) | Supervisor Review Date / Time: NOT REVIEWED |
| Contact: | Reference: Follow Up |

06/26/2001 at 14:00 hours I spoke to William Kasser conceming the theft of computers from Iviewit.Com. William Kasser, who is the Controller for Iviewit.Com, verified that all of the information on the original report was accurate. Kasser advised that the Ex-President of the Boca branch of Iviewit.Com, Brian Utley, stole two Hi-tech computers from the Company after he was terminated from his position.

Kasser found out that the hi-tech computers, the "Nitro" and the "Bomber", were missing when he received a phone call from Eliot Bernstien in California. Kasser was told later by Michael Reale that Brian Utley had the Bomber and the Nitro. Kasser feels that Reale assisted Utley in stealing the computers from the Company when he was packaging the computers.
When Kasser called Utiey Kasser asked Utley if he had the bomber and the Nitro. Utley told Kasser that he had the Nitro and Bomber and that he legally purchased the computers from the company for $\$ 1000.00$ each. Kasser was present when Utley asked Ross Miller if he could purchase two of the computers from the Company for $\$ 1,000.00$ each. Kasser told Utley that the deal was for two of the generic computers, not the Nitro and the Bomber. Kasser told me that Utley knew that the Nitro and the Bomber were worth $\$ 40,000.00$. Utley told Kasser that he was not going to
return the computers to the Company.

06/27/2001 at 10:30 hours I went to Iviewit.Com and I spoke to Ross Miller concerning this case. According to Miller, Utley approached him on May 3rd while Utley was cleaning out his office. Utley asked Miller if he could purchase his desktop computer and another generic computer from the business. Miller pointed to the generic computers in the general office arca and he stated, "your computer and one of those computers". Utley confirmed that he wanted to purchase his office computer and one of the computers Miller was pointing to.
Miller told me that there was no way that Utley could have confused the Bomber and Nitro for two of the generic computers. First of all, the generic computers were still not boxed and sitting on the desks in the general area of the business. The Bomber and Nitro were already boxed and sitting in the hi-tech room, which is separate from the general office area. Second of all, Utiey knows that the Bomber and the Nitro are the two most hi-tech computers in the business. Being President of the Company Utley knew that the computers were worth $\$ 40,000.00$. Even if Utley grabbed the wrong computers from the Company he was well awarc of what he had when he opened the boxes.
06/29/200 lat 11:30 A.M. Detective Ganci and I drove to Utlcy's house, which is located at 1930 SW 8th Street in Boca Raton. According to Utiey he had possession of the Nitro and the Bomber. Utley told me that he purchased the computers from Ross Miller for $\$ 1,000.00$ each. Utley told me that the Nitro and the Bomber were only worth $\$ 1,000.00$ each. Utley told me that the software on the Bomber and the Nitro was outdated and no longer worth $\$ 40,000.00$. Utley told me that the software on the computer might have been worth $\$ 40,000.00$ at one point.
When I asked Utley where the computers were he told me that they were out of the state. Utley then stated, "if the Company gives me $\$ 40,000.00$ for the computers I will subtract that from the lawsuit I am filing against them". I told Utley that I would be filing charges against him for grand theft if he did not return the computers to Miller or Kasser. Utley told me that he would speak to his lawyer and then called me with his decision.

## $r_{\text {_supp }}$ 3

EXHIBIT D

# STATEMENT OF BOARD MEMBER DONALD KANE II, FORMER MANAGING DIRECTOR OF GOLDMAN SACHS REGARDING THE ILLEGAL TRANSFER OF CORPORATE SECURITIES 

-----Original Message-----
From: Donald Kane [mailto:dg_kane@msn.com]
Sent: Sunday, March 18, 2001 6:39 AM
To: Eliot I. Bernstein
Subject: RE: Board meeting on the 3rd
Elliot,
I spoke to Hank yesterday and will do so again today. The company is in a difficult position and the common shareholders risk losing the company to the preferred investors. Here are my thoughts:
2. The company has supposedly accepted money from a new investor group without proper documentation/approval on the terms and conditions with Hank or the board. You need to talk to Alan Epstein about this process. I am very uncomfortable with what I am hearing about management.

## EXHIBIT E

FINAL BELIEVED COPY OF SUBSCRIPTION AGREEMENT, CONVERTIBLE NOTE, WARRANT AND WIRE COPY SUPPLIED BY TIEDEMANN/PROLOW

## EXECUTED ON VARIOUS DATES AFTER THE TRANSACTION DATE PURPORTED TO BE 2/23/01

THE DATES ON THE DOCUMENTS
NOTE - 3/20/01 - NO SIGNATURES AND THE DOCUMENT WAS NEVER SIGNED

WARRANT - 4/27/01 EXECUTED BY A FORMER EMPLOYEE AND NOT PROKSAUER ROSE

SUBSCRIPTION AGREEMENT - 4/18/01 EXECUTED BY A FIRED EMPLOYEE BRIAN G. UTLEY

SUPPOSED WIRE CONFIRMATION DATED 2/23/01 - PROVIDED BY TIEDEMANN/PROLOW

Exhibit B - Tiedemann/Prolow Loan Documents<br>Unsigned at time of transaction Convertible Promissory Note

THIS CONVERTIBLE PROMISSORY NOTE HAS NOT BEEN REGISTERED OR QUALIFIED UNDER THE SIBCURITIES ACT OF 1933, AS AMENDED, OR ANY STATE SECURITIES LAWS. NEITHER THIS (ONVERTIBLE PROMISSORY NOTE NOR ANY INTEREST THEREIN(INCLUDING THE SHARES OF CLASS A NON-VOTING COMMON STOCK INTO WHICH THIS NOTE IS CONVERTIBLE) MAY BE OFFERED, SOLD, II EDGED, OR OTHERWISE TRANSFERRED UNLESS THIS CONVERTIBLE PROMISSORY NOTE IS FIRST REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, AND/OR QUALIFIED UNDER ALL A PPLICABLE STATE SECURITIES LAWS, OR UNTIL THE COMPANY SHALL HAVE RECEIVED AN OPINION OF LEGAL COUNSEL, REASONABLY SATISFACTORY TO THE COMPANY, THAT THIS (ONVERTIBLE PROMISSORY NOTE MAY LAWFULLY BE OFFERED, SOLD, PLEDGED, OR OTHERWISE IRANSFERRED WITHOUT SUCH REGISTRATION AND/OR QUALIFICATION IN RELIANCE UPON AN APPLICABLE EXEMPTION

## iviewit HOLDINGS, INC.

CONVERTIBLE PROMISSORY NOTE
Interest Rate: $10 \%$ per year
Convertible into shares of Class B Non-Voting Common Stock of iviewit HOLDINGS, INC.
at $\$ 387.59$ per Share, subject to adjustment

Preca Raton, Florida
Amount: \$345,000
Dated: $\qquad$ 2001

For value received, iviewit HOLDINGS, INC., a Delaware corporation, whose address is 2255 Glades Road, Suite 37 W , Boca Raton, Florida 33431 ("Company"), promises to pay to Tiedemann Prolow, LLC, a New York limited liability company having an address of 535 Madison Avenue, $37^{\text {th }}$ Floor, New York, NY 10022 ("Payee"), the principal amount of Three Hundred Forty Five Thousand Dollars $(\$ 345,000)$, together with interest from the date hereof at the tate of ten percent ( $10 \%$ ) per year.

Ihis Convertible Promissory Note ("Note") is issued pursuant to a Subscription Agreement (the "Subscription Agreement") between the Company and the Payee, in connection with an offering of a Unit consisting of (i) a $\$ 345,000$ principal amount convertible promissory note and (ii) warrants to purchase up to 1,780 shares of the Class B NonVoting Common Stock of the Company (the "Offering") of which this Note is a part.

Ihis Note is subject to the following terms and conditions:
I Principal and Interest Payments.
a. This Note bears interest from the date hereof at a rate of ten ( $10 \%$ ) per year, which will accrue daily from the date of this Note and be payable on the Due Date (as herein defined), which interest payments may be made in the form of cash, shares of the Company's Class B Non-Voting Common Stock, $\$ .01$ par value ('Class B Common Stock*) or a combination of cash and shares, in the Payee's sole discretion.
b. The entire umpaid principal, together with any accrued but unpaid interest, shall be due and payable inf full on $\qquad$ 2004 [the third anniversary of the date of this Note] (the "Due Date").
c. All computations of interest made or called for herein shall be made on the basis of a 366 -day year for the actual number of days elapsed.
d. All payments due on this Note shall be applied first to accrued interest, and second, to any remainder ill payment of principal.
e. Except as otherwise provided in this Note, all payments of principal and interest on this Note shall be paid in the legal currency of the United States of America.
f. The Company shall be responsible for all applicable Florida documentary stamp taxes, and similar taxes, on this Note.
2. Optional Prepayments. The Company may, without penalty, prepay this Note in whole or in part, at any time upon thirty (30) days prior written notice to the Payee (the 'Company Notice'). Payee shall have twenty (20) days after receipt of the Company Notice to provide the Company with written notice of Payce's intent to convert this Note into fully paid and non-assessable shares of Class B Common Stock, in accordance with, and based upon the applicable conversion rate in effect at any time as, described in Section 3 below, subject to adjustments in accordance with Section 4 below.

## 3. Conversion of Note

a. At any time from the date hereof until eighteen (18) months following the date hereof, the Payee has the right, at the Payee's option (and subject to prepayment in full of the principal balance of this Note pursuant to Section 2 above) to convert the then outstanding principal under this Note, in accordance with provisions hereof, in whole or in part, into fally paid and non-assessable shares of Class B Common Stock, based upon the applicable conversion rate ("Applicable Conversion Rate") as follows: (i) The principal amount plus any accrued but unpaid interest amount of the Note, divided by (ii) Three Hundred Eighty-Seven and 59/100 Dollars (\$387.59), subject to adjustment in accordance with Section 4 below.
b. Before the Payee shall be entited to conver this Note into shares of Class B Common Stock, the Payee shall surrender this Note at the office of the Company and shall give written notice by mail, postage prepaid, to the Company at its principal corporate office, of the election to convert the same in the form attached hereto as Exhibit A. The Company shall, as soon as practicable thereafter, issue and deliver at such office to the Payee of this Note a certificate or certificates (bearing such legends as are required by the Subscription Agreement and applicable state and federal securities laws in the opinion of counsel to the Company) for the number of shares of Class B Common Stock to which the Payee of this Note shall be entitled as aforesaid. Upon a partial conversion of this Note, there shall be countersigned and issued to the Payee hereof a replacement Note in respect of the shares of Class B Common Stock as to which this Note shall net have been converted. Such conversion shall be deemed to have been made immediately prior to the close of business on the date of such surrender of this Note, and the Payee shall be treated for all parposes as the record Payee of such shares of Class B Common Stock as of such date.
c. As promptly as practicable after the conversion of this Note, the Company at its expense will issue and deliver to the Payce of this Note a certificate or certificates for the number of full shares of Class B Common Stock issuable upon such conversion. Payee acknowledges that such shares will not be registered under applicable state and federal securities laws, will constitute restricted securities under such laws and will bear a restrictive legend as to their transferability as more particularly described in the Subscription Agreement.

## 4. Adiustment to Applicable Conversion Rate.

a. Adjustment for Stock Splits and Subdivisions. In the event the Company should atany time or from time to time after the date of issuance hereof fix a record date for the effectuation of a split or subdivision of the outstanding shares of Common Stock or the determination of holders of Common Stock entitled to receive a dividend or other distribution payable in additional shares of Common Stock or other securities or rights convertible into, or entitling the holder thereof to receive directly or indirectly, additional shares of Common Stock (hereinafter referred to as "Common Stock Equivalents") without payment of any consideration by such holder for the additional shares of Common Stock Equivalents (including the additional shares of Common Stock issuable upon conversion or exercise thereof), then, as of such record date (or the date of such dividend distribution, split or subdivision if not record date is fixed), the Conversion Price of this Note shall be appropriately decreased so that the number of shares of Common Stock issuable upon conversion of this Note shall be increased in proportion to such increase of outstanding shares.
e. Except as otherwise provided in this Note, all payments of principal and interest on this Note shall be paid in the legal currency of the United States of America.
f. The Company shall be responsible for all applicable Florida documentary stamp taxes, and similar taxes, on this Note.
2. Optional Prepayments. The Company may, without penalty, prepay this Note in whole or in part, at any time upon thirty (30) days prior written notice to the Payee (the 'Company Notice'). Payee shall have twenty (20) days after receipt of the Company Notice to provide the Company with written notice of Payce's intent to convert this Note into fully paid and non-assessable shares of Class B Common Stock, in accordance with, and based upon the applicable conversion rate in effect at any time as, described in Section 3 below, subject to adjustments in accordance with Section 4 below.

## 3. Conversion of Note

a. At any time from the date hereof until eighteen (18) months following the date hereof, the Payee has the right, at the Payee's option (and subject to prepayment in full of the principal balance of this Note pursuant to Section 2 above) to convert the then outstanding principal under this Note, in accordance with provisions hereof, in whole or in part, into fally paid and non-assessable shares of Class B Common Stock, based upon the applicable conversion rate ("Applicable Conversion Rate") as follows: (i) The principal amount plus any accrued but unpaid interest amount of the Note, divided by (ii) Three Hundred Eighty-Seven and 59/100 Dollars (\$387.59), subject to adjustment in accordance with Section 4 below.
b. Before the Payee shall be entited to conver this Note into shares of Class B Common Stock, the Payee shall surrender this Note at the office of the Company and shall give written notice by mail, postage prepaid, to the Company at its principal corporate office, of the election to convert the same in the form attached hereto as Exhibit A. The Company shall, as soon as practicable thereafter, issue and deliver at such office to the Payee of this Note a certificate or certificates (bearing such legends as are required by the Subscription Agreement and applicable state and federal securities laws in the opinion of counsel to the Company) for the number of shares of Class B Common Stock to which the Payee of this Note shall be entitled as aforesaid. Upon a partial conversion of this Note, there shall be countersigned and issued to the Payee hereof a replacement Note in respect of the shares of Class B Common Stock as to which this Note shall net have been converted. Such conversion shall be deemed to have been made immediately prior to the close of business on the date of such surrender of this Note, and the Payee shall be treated for all parposes as the record Payee of such shares of Class B Common Stock as of such date.
c. As promptly as practicable after the conversion of this Note, the Company at its expense will issue and deliver to the Payce of this Note a certificate or certificates for the number of full shares of Class B Common Stock issuable upon such conversion. Payee acknowledges that such shares will not be registered under applicable state and federal securities laws, will constitute restricted securities under such laws and will bear a restrictive legend as to their transferability as more particularly described in the Subscription Agreement.

## 4. Adiustment to Applicable Conversion Rate.

a. Adjustment for Stock Splits and Subdivisions. In the event the Company should atany time or from time to time after the date of issuance hereof fix a record date for the effectuation of a split or subdivision of the outstanding shares of Common Stock or the determination of holders of Common Stock entitled to receive a dividend or other distribution payable in additional shares of Common Stock or other securities or rights convertible into, or entitling the holder thereof to receive directly or indirectly, additional shares of Common Stock (hereinafter referred to as "Common Stock Equivalents") without payment of any consideration by such holder for the additional shares of Common Stock Equivalents (including the additional shares of Common Stock issuable upon conversion or exercise thereof), then, as of such record date (or the date of such dividend distribution, split or subdivision if not record date is fixed), the Conversion Price of this Note shall be appropriately decreased so that the number of shares of Common Stock issuable upon conversion of this Note shall be increased in proportion to such increase of outstanding shares.
11. WAIVER OF JURY TRIAL THE COMPANY, BY EXECUTION HEREOF. AND THE PAYEE, BY ACCEPTANCE HEREOF, MUTUALLY AND WILLINGLY WAIVE THE RIGHT TO A TRIAL BY JURY OF ANY AND ALL CLAIMS MADE BETWEEN THEM WHETHER NOW EXISTING OR ARISING IN THE FUTURE, INCLUDING WITHOUT LIMITATION, ANY AND ALL CLAIMS, DEFENSES, COUNTERCLAIMS, CROSS CLAIMS, THIRD PARTY CLAIMS AND INTERVENERS'S CLAIMS WHETHER ARISING FROM OR RELATED TO THE NEGOTIATION, EXECUTION AND PERFORMANCE OF THE TRANSACTIONS TO WHICH THIS NOTE RELATES.

IN WITNESS WHEREOF, the Company has caused this Note to be executed on the day and year first above written.
iviewit HOLDINGS, INC.

By:
Brian G. Utley, President

## EXHIBIT 'A"

## INSTRUCTIONS TO CONVERT

The undersigned hereby surrenders the attached $10 \%$ Convertible Promissory Note due $\qquad$ 2004 (the "Note") of iviewit Holdings, Inc., a Delaware corporation ("iviewit'), in the principal amount of $\$ 345,000$ for conversion into shares of iviewit's Class B Non-Voting Common Stock ('Class B Common Stock") in accordance with Section 3 of the Note relating to voluntary conversion, as noted below. Such Note was issued pursuant to that certain Subscription Agreement dated $\qquad$ 2001 with iviewit (the "Subscription Agreement'). The undersigned represents that he/she/it is the beneficial owner and Payee of record of the Note, and that no other person has any lien, security interest or interest of any kind in the Note and that he/she/it has full and legal right to surrender the Note for conversion. The undersigned further renews as to the shares of Class B Common Stock to be issued to the undersigned pursuant to these instructions the representations and warranties set forth in subsections 6.2 (a), (b), (e), (f), (g) and (h) of the Subscription Agreement.
() The Undersigned elects to convert the Note in full including the remaining principal of \$ and accrued interest of \$ $\qquad$ which equals a conversion of \$ $\qquad$ .
( ) The Undersigned elects to convert the Note in part equal to a principal amount of \$ $\qquad$ and accrued interest of $\$$, which equals a conversion of $\$$ $\qquad$ - An identical Note shall be reissued for the amount of remaining principal.

Dated this $\qquad$ day of $\qquad$ _.

In the presence of:

## Witness

## Signature of Note Payee

## Signature guarantec

Signature must be quaranteed by a commercial bank
or member firm of the New York Stock Exchange.

EXHIBIT "B"
Form of Warrant

Warrant Certificate

Note that the warrant although part of the Proskauer Rose documents for this transaction, is never completed with their documents and instead is signed by Raymond Hersh a month after the transaction and sent 2 months later to the investor.


Raymond Hersh
Vice President of Finance
encl. Warrant Certificate for Purchase of Class A Voting Common Stock Private Placement Offering Term Sheet

RH/bb

## WARRANT CERTIFICATE FOR PURCHASE OF CLASS A VOTING COMMON STOCK


#### Abstract

THIS WARRANT AND THE CLASS A VOTING COMMON STOCK PURCHASABLE HEREUNDER HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED OR ANY STATE SECURITIES LAW. NEITHER THIS WARRANT NOR THE CLASS A VOTING COMMON STOCK PURCHASABLE HEREUNDER MAY BE SOLD, OFFERED FOR SALE, PLEDGED OR HYPOTHECATED IN THE ABSENCE OF A REGISTRATION STATEMENT IN EFFECT WITH RESPECT TO SUCH SECURITIES UNDER SUCH ACT AND ANY STATE APPLICABLE STATE SECURITIES LAWS OR AN OPINION OF COUNSEL SATISFACTORY TO THE COMPANY THAT SUCH REGISTRATION IS NOT REQUIRED.


Iviewit Holdings, Inc.
Purchase Warrant for
Class A Voting Common Stock
THIS WARRANT CERTIFICATE certifies that, FOR VALUE RECEIVED Tiedemann/Prolow II LLC (the "Holder"), 535 Madison Avenue, $\mathbf{3 6}^{\text {di }}$ Floor, New York, New York 10022, is entitled, subject to the terms and conditions set forth in this Warrant Certificate for Purchase of Class A Voting Common Stock (this "Warrant"), to purchase from Iviewit Holdings, Inc., a Delaware corporation (the "Company"), one thousand eight hundred eighty $(\mathbf{1 , 8 8 0})$ shares ("Warrant Shares") of Class A Voting Common Stock, $\$ .01$ par value, of the Company ("Class A Common Stock"), commencing on March 21, 2001, and ending at 5:00 p.m., New York time, on March 20, $\mathbf{2 0 0 6}$ (the "Expiration Date"), at an exercise price of one hundred fifty-five dollars (\$155) per Warrant Share (the "Warrant Exercise Price"), such number of Warrant Shares and Warrant Exercise Price being subject to adjustment from time to time as set forth in Section 3 below. This Warrant may not be exercised after 5:00 p.m., NYC time, on the Expiration Date, at which time this Warrant, unless exercised prior thereto, shall thereafter be void.

This Warrant is subject to the following provisions, terms and conditions:

SECTION 1. Warrant Exercise. Except as treated by the Holder under the Cashless Exercise Provision of Paragraph 7 herein, this Warrant may be exercised by the Holder hereof, in whole or in part, by the presentation and surrender of this Warrant with the form of the Election to Purchase Form attached hereto as SCHEDULE A, duly executed, at the principal office of the Company, and upon payment to the Company of the applicable Warrant Exercise Price in cash or by cashier's check payable to the order of the Company. The Warrant Shares so purchased shall be deemed to be issued to the Holder hereof as the record owner of such Warrant Shares as of the close of business on the date on which this Warrant shall have been surrendered and the Warrant Exercise Price per each Warrant Share shall have been paid by the Holder to and received by the Company. Upon the exercise of this Warrant, the issuance of certificates for Warrant Shares shall be made forthwith without charge to the Holder hereof including, without limitation, any tax which may be payable in respect of the issuance thereof, and such certificates shall be issued in the name of, or in such names as may be directed by, the Holder hereof; provided, however, that the Company shall not be required to pay any tax which may be payable in respect of any transfer involved in the issuance and delivery of any such certificates in a name other than that of the Holder and the Company shall not be required to issue or deliver such certificates unless or until the person or persons requesting the issuance thereof shall have paid to the Company the amount of such tax or shall have established to the satisfaction of the Company that such tax has been paid. Upon any partial exercise of this Warrant, there shall be countersigned and issued to the Holder hereof a new Warrant in respect of the Warrant Shares as to which this Warrant shall not have been exercised.

SECTION 2. Reservation of Warrant Shares. The Company covenants and agrees:
(i) That all Warrant Shares which may be issued upon the exercise of the rights represented by this Warrant will, upon issuance, be fully paid and non-assessable and free from all taxes, liens and charges with respect to the issue thereof; and
(ii) That during the period within which the rights represented by this Warrant may be exercised, the Company will at all times have authorized, and reserved for the purpose of issue and delivery upon exercise of the rights evidenced by this Warrant, a sufficient number of shares of Class A Common Stock to provide for the exercise of the rights represented by this Warrant.

## SECTION 3. Reorganization, Reclassification, Consolidation, Merger or Salle.

A. Capital Events. If any reorganization or reclassification of the capital stock of the Company, or any consolidation or merger of the Company with or into a corporation or other entity, or the sale, transfer or other disposition of all or substantially all of its assets to a corporation or other entity (in any instance, a "Capital Event") shall be effected in such a way that holders of any shares of Class A Common Stock shall be entitled to receive shares of stock, securities or assets or cash or other consideration of value with respect to or in exchange for their shares of Class A Common Stock, then,
as a condition of such Capital Event, lawful and adequate provisions shall be made whereby the Holder hereof shall thereafter have the right to purchase and receive upon the basis and upon the terms and conditions specified in this Warrant and in lieu of the Warrant Shares immediately theretofore purchasable and receivable upon the exercise of the rights represented hereby, an amount of the same or similar shares of stock, securities or assets as may have been issued or payable with respect to or in exchange for a number of outstanding shares of Class A Common Stock equal to the number of Warrant Shares immediately theretofore purchasable and receivable upon the exercise of the rights represented hereby as if such exercise occurred immediately prior to the Capital Event.
B. Preservation of Value. In the case of any Capital Event, appropriate provision shall be made with respect to the rights and interests of the Holder of this Warrant to the end that the provisions hereof (including, without limitation, provisions for adjustment of the number of Warrant Shares purchasable and receivable upon the exercise of this Warrant and the Warrant Exercise Price) shall thereafter be applicable, as nearly as may be, in relation to any shares of stock, securities or assets thereafter deliverable upon the exercise of the rights represented hereby.
C. Subdivision or Combination of Shares. If the Company shall at any time (i) subdivide or split its outstanding shares of Class A Common Stock into a greater number of shares or (ii) make a distribution to all holders of Class A Common Stock payable in additional shares of Class A Common Stock or other securities or rights convertible into, or which entitle the holder thereof to receive, directly or indirectly, additional shares of Class A Common Stock without payment of any consideration by such holder therefore, then the number of Warrant Shares subject to issuance upon exercise of this Warrant shall be proportionately increased. If the outstanding shares of Class A Common Stock of the Company shall be combined into a smaller number of shares, the number of Warrant Shares subject to issuance upon exercise of this Warrant shall be proportionately decreased. In any such event, the Warrant Exercise Price in effect immediately prior to such event shall be proportionately adjusted by multiplying it by a fraction, the numerator of which shall be the number of shares of Class A Common Stock outstanding immediately prior to such event and the denominator of which shall be the number of shares of Class A Common Stock outstanding immediately after such event.
D. Dividends. The Company may declare a dividend or make any other distribution upon any class or series of its capital stock without consideration to the Holder hereof.

SECTION 4. Fractional Interests. If any fraction of a Warrant Share is issuable on the exercise of this Warrant, the Company shall be required to and shall issue such fractional Warrant Share on the exercise of this Warrant.

SECTION 5. No Rights as Stockholder. Nothing contained in this Warrant shall be construed as conferring upon the Holder hereof or his transferees any rights as a stockholder of the Company.

## SECTION 6. Registration Rights.

6.1 Piggyback Rights. If at any time prior to the Expiration Date, the Company shall propose to register any of its common stock under the Securities Act of 1933, as amended (the "Securities Act") (other than pursuant to a transaction described under Rule 145 of the Securities Act or registration statements onforms S-4 or S-8 (or their successor forms)), the Company will include in such registration statement such information as is required, and such number of Warrant Shares held by the Holder as may be requested by him, to permit a public offering of the Warrant Shares so requested; provided, however, that in the case of an underwritten offering, if, in the written opinion of the Company's managing underwriter for such offering, the inclusion of the Warrant Shares requested to be registered, when added to the securities being registered by the Company or any other selling security holder(s), would exceed the maximum amount of the Company's securities that can be marketed without otherwise materially and adversely affecting the entire offering, then such managing underwriter may exclude from such offering that portion of the Warrant Shares requested to be so registered, so that the total number of securities to be registered is within the maximum number of shares that, in the opinion of the managing underwriter, may be marketed without otherwise materially and adversely affecting the entire offering. In the event of such a proposed registration, the Company shall furnish the Holder of Warrant Shares with not less than twenty (20) days' written notice prior to the proposed date of filing of such registration statement. Such notice shall continue to be given by the Company to the Holder of Warrant Shares, with respect to subsequent registration statements, until such time as all of the Warrant Shares have been registered or may be sold without registration under the Securities Act or applicable state securities laws and regulations, and without limitation as to volume, pursuant to Rule 144 of the Securities Act. The Holder of Warrant Shares shall exercise the rights provided for in this Section 6.1 by giving written notice to the Company, within fifteen (15) days of receipt of the Company's notice of its intention to file a registration statement. In the event the offering involves an underwritten offering, the Holder shall also execute, and be a party to, the underwriting agreement of the Company.
6.2 Covenants of the Company With Respect to Registration. In connection with any registration under Section 6.1 hereof, the Company covenants and agrees as follows:
(a) The Company shall pay all costs (excluding fees and expenses of counsel to the Holder and any underwriting or selling commissions or other charges of any broker-dealer acting on behalf of the Holder and except to the extent persons other than the Holder have agreed to pay such costs), fees and expenses in connection with all registration statements filed pursuant to Section 6.1 hereof.
(b) The Company will take all necessary action which may be required in qualifying or registering the Warrant Shares included in a registration statement for offering and sale under the securities or blue sky laws of such states as is reasonably requested by the Holder, provided that the Company shall not be obligated to execute or file any general consent to service of process or to qualify as a foreign corporation to do business under the laws of any such jurisdiction.
(c). Nothing contained in this Agreement shall be construed as requiring the Holder to exercise this Warrant prior to the initial filing of any registration statement or the effectiveness thereof; provided that the provisions of Section 6.1 shall be applicable only for Warrant Shares held by the Holder and not with respect to any shares of Class A Common Stock underlying any Warrants not yet exercised.
(d) The Company shall deliver promptly to the Holder who shall have requested in writing the correspondence and memoranda described below and to the managing underwriters, if any, copies of all correspondence between the SEC and the Company, its counsel or auditors and all memoranda relating to discussions with the SEC or its staff with respect to the registration statement and permit the Holder and underwriter to do such investigation, upon reasonable advance notice, with respect to information contained in or omitted from the registration statement as it deems reasonably necessary to comply with applicable securities laws or rules of the NASD. Such investigation shall include access to books, records and properties and opportunities to discuss the business of the Company with its officers and independent auditors, all to such reasonable extent and at such reasonable times and as often as the Holder or underwriter shall reasonably request.
(e) The Company agrees that until all the Warrant Shares have been sold under a registration statement or pursuant to Rule 144 under the Securities Act, it shall use reasonable efforts to keep current in filing all reports, statements and other materials required to befiled with the SEC to permit the Holder of the Warrant Shares to sell such securities under Rule 144.
6.3 Black-Out Periods. The Holder agrees that, upon receipt of any notice from the Company of an "Amendment Event" (as defined below), the Holder will discontinue disposition of Warrant Shares pursuant to the registration statement until the Holder receives copies of the supplemented or amended prospectus which reflects the Amendment Event. If directed by the Company, the Holder will also deliver to the Company all copies, other than any permanent file copies then in the Holder's possession, of the most recent prospectus covering such Warrant Shares. An "Amendment Event" shall mean an event requiring the preparation of a supplement or amendment to the prospectus so that, as thereafter delivered to a purchaser of Warrant Shares, such prospectus would not contain an untrue statement of material fact or omit to state any material fact required to be stated therein or necessary to make the statements therein not misleading in light of the circumstances in which they were made.
6.4 Survival. The rights and obligations set forth in this Section 6 shall survive the exercise and surrender of this Warrant.

SECTION 7. Cashless Exercise. The Holder may, at its election exercised in its sole discretion, exercise this Warrant in whole or in part and, in lieu of making the cash payment otherwise contemplated to be made to the Company upon such exercise in payment of the Exercise Price, elect instead to receive upon such exercise the "Net Number" of shares of Class A Common Stock determined according to the following formula (a "Cashless Exercise"):

$$
\text { Net Number }=(\mathbf{A} \times \mathbf{B})-(\mathbf{A} \times \mathbf{C})
$$

B
For purposes of the foregoing formula:
$A=$ the total number of shares with respect to which this Warrant is then being exercised.

B = the fair market value of the Class A Common Stock on the date immediately preceding the date of the subscription notice.

C = Warrant Exercise Price then in effect at the time of such exercise.

The "fair market value" of the Common Stock shall be the last closing trade price for such security on the Principal Market (as defined below) as reported by Bloomberg Financial Markets ("Bloomberg"), or if the Principal Market begins to operate on an extended hours basis, and does not designate the closing trade price, then the last trade price at 4:00 p.m. Eastern Time as reported by Bloomberg, or, if the foregoing do not apply, the last closing trade price of such security on a National Securities Exchange, in the Over-the-Counter market, on the electronic Bulletin Board for such security as reported by Bloomberg, or, if no last closing trade price is reported for such security by Bloomberg, the last closing ask price of such security as reported by Bloomberg, the average of the lowest ask price and lowest bid price of any market makers for such security as reported in the "pink sheets" by the National Quotation Bureau, Inc. If the "fair market value" cannot be calculated for such security on such date on any of the foregoing bases, the "fair market value" of such security on such date shall be the fair market value as mutually determined by the Company and the Holder.

SECTION 8. Successors. All the covenants and provisions of this Warrant by or for the benefit of the Company or the Holder hereof shall bind and inure to the benefit of their respective permitted successors and assigns hereunder.

SECTION 9. Applicable Law. This Warrant shall be deemed to be a contract made under and construed in accordance with the laws of the State of Florida, without giving effect to any conflicts of laws principles thereof.

SECTION 10. Benefits. This Warrant shall not be construed to give to any person or corporation other than the Company and the Holder hereof any legal or equitable right, remedy or claim under this Warrant, and this Warrant shall be for the sole and exclusive benefit of the Company and the Holder hereof.

SECTION 11. Transferability. No transfer of this Warrant shall be effective unless and until registered on the books of the Company maintained for such purpose, and the Company may treat the registered Holder as the absolute owner of this Warrant for all purposes and the person entitled to exercise the rights represented hereby. No such transfer of this Warrant shall be effective unless the Warrant Shares issuable upon exercise of this Warrant have been registered under the Securities Act or unless the Holder requesting such transfer provides the Company with an opinion of counsel in form reasonably satisfactory to the Company that no such registration statement in respect of such transfer is required under the Securities Act or any rule or regulation promulgated thereunder or any applicable state securities laws. Any transferee of this Warrant, by acceptance thereof, agrees to be bound by all of the terms and conditions of this Warrant.

SECTION 11. Investment Representation and Legend. The Holder, by acceptance of this Warrant, represents and warrants to the Company that the Holder is acquiring this Warrant, and unless at the time of exercise a registration statement under the Securities Act is effective with respect to such shares, upon the exercise hereof the Holder will acquire the Warrant Shares issuable upon such exercise, for investment purposes only and not with a view towards the resale or other distribution thereof.

The Holder, by acceptance of this Warrant, agrees that the Company may affix, unless the Warrant Shares issuable upon exercise of this Warrant are registered at the time of exercise, the following legend to certificates for Warrant Shares upon the exercise of this Warrant:

THE SECURITIES REPRESENTED BY THIS CERTIFICATE HAVE NOT BEEN REGISTERED UNDER THE SECURITIES ACT OF 1933 (THE "SECURITIES ACT"), AND HAVE NOT BEEN REGISTERED UNDER ANY state securities law, and may not be offered, sold, TRANSFERRED, ENCUMBERED OR OTHERWISE DISPOSED OF UNLESS THERE IS AN EFFECTIVE REGISTRATION STATEMENT UNDER THE SECURITIES ACT AND ANY APPLICABLE STATE SECURITIES LAWS RELATING THERETO OR UNLESS, IN THE OPINION OF COUNSEL ACCEPTABLE TO THE COMPANY, SUCH REGISTRATION IS NOT REQUIRED.

IN WITNESS WHEREOF, the Company has duly authorized the issuance of this Warrant as of March 21, 2001.

Iviewit Holdings, Inc.


SCHEDULEA
Iviewit Holdings, Inc.

## ELECTION TO PURCHASE FORM

Iviewit Holdings, Inc.
2255 Glades Road
Suite 337 West
Boca Raton, Florida 33431

The undersigned hereby irrevocably elects to exercise the right of purchase represented by the attached Warrant for, and to purchase thereunder, __ of the Warrant Shares provided for therein (originally, $\qquad$ of the Warrant Shares, and as presently adjusted pursuant to Section 3 thereof, $\qquad$ Warrant Shares). The undersigned requests that a certificate for such Warrant Shares be issued in the name of:
(Please Print Name, Address, and Social Security or Tax Identification Number)
and that such certificatc be delivered to $\qquad$ whose address is $\qquad$ ,

Dated: $\qquad$

Name of Holder:
Address:

Signature:
(signaturc must conform in all respects to the name of the Holder as specified on the face of the Warrant Certificate)

Holder's Social
Security/Tax ID Number: $\qquad$

## Tiedemann/Prolow Subscription Agreement

This document authored by Proskauer Rose's Donald "Rocky" Thompson has problems in that none of the documents that appear to be sent to Mr. Craig Smith were ever sent and the Company has no records or the investor. The subscription agreement is executed days before Mr. Utley was fired when all his signatory powers had been revoked by the Board. The prior documents you can see were dated by other people at various times and did not truly accompany Mr. Thompson's letter. The letter is dated March 2001 and it has a signature from Mr. Utley that is dated April 2001 that was sent with it?

# PROSKAUER ROSE LLP 

| 2255 Glades Road |  |
| :---: | :---: |
|  |  |
| Boca Raton, FL 33431-7360 |  |
| Telephone 551.241.7400 | NEW YCAK |
| Elsewhere in Florida | LOS ANGELS |
| 800.432 .7746 | NEWARK |
| Fax 561.241.7145 | PAals |
| Donald E "Rocky" Thompson, II |  |
| Attomey at Law |  |
| r. |  |

March 20, 2001

## VIA FED EX

Mr. Craig Smith
Tiedemann/Prolow, LLC
535 Madison Avenue
$37^{\text {b }}$ Floor
New York, NY 10022
Re: Subscription Documentation
Dear Craig:
At Ray Hersh's request, please find enclosed a complete set of the Subscription Docurnentation, including the form of Warrant and form of Promissory Note, relating to your firm's investment of $\$ 345,000$ in iviewit. Please sign the Subscription Agreement and fill out the questionnaire, which is included as Exhibit " $D$ ", and return the materials to Ray Hersh's attention at iviewit. Ray will coordinate countersignature by iviewit and the issuance of the actual Note and Warrants relating to your investment. Please call either me or Ray if you have any questions with regard to the enclosed or the foregoing.

DET/jlm
Enclosure
cc: Mr. Ray Hersh
Christopher C. Wheeler, Esq.


## ivicuit HOLDINGS, INC. SUBSCRIPTION AGREEMENT

iviewit Holdings, Inc., a Delaware corporation (the "Company/iviewit"), and Tiedemann/Prolow LLC (the "Purchaser") hereby agree as follows:

1. Offering. The Company is offering (the "Offering") to Purchaser one (1) Unit consisting of (i) a $\$ 345,000$ principal amount convertible promissory note (the "Investor Note") and (ii) warrants to purchase One Thousand Seven Hundred Eighty $(1,780)$ shares of the Company's Class B NonVoting Common Stock at $\$ 155.00$ per share ("Warrants" and together with the Investor Note and the Class B Non-Voting Common Stock (the "Class B Common Stock") issuable upon conversion of the Investor Note or upon exercise of any Warrants, the "Unit"). All terms not otherwise defined herein shall have the same meaning as defined in the Form of Convertible Investor Note and the Form of Warrant, attached to this Subscription Agreement (this "Agreement") as Exhibit "A" (Form of Convertible Investor Note) and Exhibit "B" (Form of Warrant), respectively.
2. Sale and Purchase of the Unit. Subject to the terms and conditions hereof, the Purchaser irrevocably subscribes for one (1) Unit consisting of (i) a $\$ 345,000$ principal amount convertible promissory note and (ii) warrants to purchase up to 1,780 shares of the Class B NonVoting Common Stock of the Company at $\$ 155.00$ per share, for a total purchase price of $\$ 345,000$ (the "Purchase Price"). The Purchaser acknowledges that prior to the execution hereof, the books and records of the Company, including financial information, have been made available and continue to be available for inspection by the Purchaser at the office of the Company.
3. Payment by Purchaser. Simultaneous with the execution of this Agreement, the Purchaser shall make payment for the Unit by delivering to the Company the Purchase Price in the form of a cashier's check, money order or other immediately available funds (made payable to ["ivicwit Holdings, Inc.']), along with a fully executed Subscription Agreement.
4. Acceptance of Subscription. It is understood and agreed that the Company shall have the right, in its sole discretion, to accept or reject this subscription, in whole or in part, and that same shall be deemed to be accepted by the Company only when it is signed by the Company. This subscription may not be terminated or revoked by the Purchaser, except as provided hereafter. In the event this subscription is rejected by the Company, the consideration for this subscription will be returned promptly to the Purchaser without interest and without deduction for any expenses.
5. Closing. Subject to any rights of recission, the closing of the sale and purchase described in Section 2 hereof (the "Closing") shall occur upon the Company's acceptance of Purchaser's subscription to purchase the Unit. The Company may reject Purchaser's subscription, in whole or in part, in its sole discretion and for any reason (or for no reason). Investments are not
binding on the Company until accepted by the Company. The Company will refuse any subscriptions by giving written notice to the Purchaser by personal delivery or first-class mail.

## 6. Representations and Warranties by the Company and Purchaser.

6.1 The Company represents and warrants that it is a corporation validly existing and in good standing under the laws of the State of Delaware with the authority to issue and sell the Unit and to carry out the provisions hereof.
6.2 Purchaser represents, warrants and covenants with the Company and to each officer, director, principal, member, controlling person, employee and agent of the Company that Purchaser is a "accredited investor" as such term is defined in Rule 501 of the Securities Act of 1993, as amended (the "Act") and that:
(a) Investment Suitability. The Purchaser has such knowledge and experience in financial and business matters as to be capable of evaluating the merits and risks of an investment in the Unit;
(b) Degree of Risk. The Purchaser recognizes that the Purchaser's investment in the Unit involves a high degree of risk which may result in the loss of a portion of or the total amount of the Purchaser's investment. The Purchaser acknowledges that the Purchaser has carefully considered all risks incident to the purchase of the Unit, including without limitation, those risks set forth on Exhibit "C" attached hereto, and that the Purchaser has been advised and is fully aware that the business of the Company is highly speculative and involves a high degree of risk.
(c) Information True and Correct. All the information that the Purchaser has furnished to the Company, including without limitation, the information set forth in the Confidential Offeree-Purchaser Questionnaire attached as Exhibit "D" hereto, or which is set forth in this Agreement, is correct and complete as of the date of this Agreement and, if there should be any material change in such information prior to the Closing, Purchaser will immediately furnish the revised and corrected information to the Company.
(d) Applicable Securities Laws. The Purchaser intends that only the state securities laws of the state listed in the residential address of the Purchaser below, together with the federal securities laws, govern this transaction.
(e) Relationship to the Company Purchaser or its affiliates has a preexisting personal or business relationship with the Company or its respective officers, directors or controlling persons. By reason of Purchaser's business or financial experience, or the business or financial experience of his or her professional advisor who is unaffiliated with and who is not compensated by the Company or any affiliate or selling agent of the Company, directly or indirectly, Purchaser has the capacity and has taken all steps necessary to protect his, her or its own interests in connection with an investment in the Unit. Purchaser has had access to and has been provided with all information, including financial information as the Purchaser may require, has had the
opportunity to obtain any additional information necessary to verify the accuracy of the information contained in such documents and to evaluate the merits and risks of the investment, and has been given the opportunity to meet with officials of the Company and to have said officials answer any questions and the terms and conditions of this particular investment, and all such questions have been answered to the Purchaser's full satisfaction. In reaching the conclusion that the Purchaser desires to acquire the Unit, the Purchaser has carefully cvaluated the Purchaser's financial resources and investments and acknowledges that the Purchaser is able to bear the economic risks of this investment.
(f) Purchaser's Liquidity. The Purchaser has adequate means of providing for his, her or its current needs and contingencies and has no need for liquidity in connection with the investment contemplated herein. Purchaser acknowledges that he, she or it must bear the economic risk of investment in the Unit for an indefinite period of time, and that he, she or it could bear a loss of his, her or its entire investment in the Unit without materially impairing his, her or its financial wherewithal. Purchaser's overall commitment to investments which are not readily marketable is not disproportionate to the net worth of the Purchaser, and the Purchaser's investment in the Unit will not cause such overall commitment to become excessive.
(g) Restrictions on Transfer. Purchaser acknowledges and understands that neither the Unit nor any component thereof has been registered under the Act or under any state securities laws and agrees that neither the Unit nor any component thereof can be resold unless it is subsequently registered under the Act and pertinent state securities acts unless an exemption from such registration is available; that the Purchaser agrees not to resell or otherwise dispose of all or any part of the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable), except as permitted by law; and that there is no assurance and it is unlikely that Rule 144 under the Act will be available as a basis for exemption from registration of the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable) in the foreseeable future.
(h) Nondistributive Intent Purchaser understands that the exemption from registration under the Act upon which the Unit is being offered depends upon, among other things, the bona fide nature of Purchaser's nondistributive intent with respect to the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable) as expressed herein. The Purchaser is purchasing the Unit for investment for the account of the Purchaser, not for the account of any other person, and not with any present intention to resell or otherwise distribute the Unit (or any securities into which the Investor Note may be convertible or the Warrants may be exercisable).
(i) Information. The information contained on the signature page hereof and in the Confidential Offeree-Purchaser Questionnaire attached as Exhibit "D" hereto is true and correct. The Purchaser will provide to the Company such additional information as may be reasonably requested by the Company to enable it to satisfy itself as to the knowledge and experience of the Purchaser and the Purchaser's ability to bear the economic risk of an investment in the Unit.
(j) Residency. The Purchaser is incorporated in the state set forth on page 7 hereof and its business is conducted in the state set forth on page 7 hereof.
(k) Rescission Right for Florida Residents. Purchaser hereby intends that his, her or its signature hereon shall constitute an irrevocable subscription for the dollar amount of Unit specified herein. Notwithstanding Section 2 and the prior sentence, the Purchaser, if a Florida resident, shall have the right, pursuant to Section 517.061(11)(a)(5) of the Florida Statutes, at any time within three (3) days after the Purchaser first tenders the Purchase Price or the date of Purchaser's execution of this Agreement, whichever is later, to notify the Company, pursuant to the provisions of Section 9, of the Purchaser's intent to cancel this Agreement. In such event, this Agreement shall be canceled and of no further force or effect, and the Company shall promptly cause to be refunded to the Purchaser the Purchase Price paid by the Purchaser for the Unit, without interest or deduction.
(l) Organization: Authorization. Purchaser is a limited liability company, duly organized, validly existing and in good standing under the laws of the State of New York. Purchaser has the power and authority to exccute this Agreement and perform Purchaser's obligations hercunder. The execution, delivery and performance by Purchaser of this Agreement and the transactions contemplated hereby have been approved by all requisite action on the part of Purchaser. This Agreement and the Confidential Offeree-Purchaser Questionnaire attached as Exhibit "D", along with the other documents, instruments and agreements of Purchaser executed in connection herewith have been duly executed and delivered by Purchaser and constitute valid and binding obligations of Purchaser enforceable against Purchaser in accordance with their terms, except to the extent enforcement may be limited by applicable bankruptcy, insolvency, reorganization or other laws of general application affecting creditors' rights and except as enforcement may be limited by general equitable principles.
7. Transfer of Unit.
7.1 Legend. Until the occurrence of one of the events specified in Section 7.3, any certificates representing any component of the Unit (including without limitation, the Class B Common Stock issuable upon conversion of the convertible Investor Note or upon the exercise of a Warrant) shall be stamped or otherwise imprinted with a legend substantially in the following form:

THESE SECURITIES HAVE NOT BEEN REGISTERED OR QUALIFIED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, OR ANY STATE SECURITIES LAWS. NEITHER THESE SECURITIES NOR ANY INTEREST THEREIN MAY BE OFFERED, SOLD, PLEDGED, OR OTHERWISE TRANSFERRED UNLESS THESE SECURITIES ARE FIRST REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, AND/OR QUALIFIED UNDER ALL APPLICABLE STATE SECURITIES LAWS, OR UNTIL THE COMPANY SHALL HAVE RECEIVED AN OPINION OF LEGAL
(j) Residency. The Purchaser is incorporated in the state set forth on page 7 hereof and its business is conducted in the state set forth on page 7 hereof.
(k) Rescission Right for Florida Residents. Purchaser hereby intends that his, her or its signature hereon shall constitute an irrevocable subscription for the dollar amount of Unit specified herein. Notwithstanding Section 2 and the prior sentence, the Purchaser, if a Florida resident, shall have the right, pursuant to Section 517.061(11)(a)(5) of the Florida Statutes, at any time within three (3) days after the Purchaser first tenders the Purchase Price or the date of Purchaser's execution of this Agreement, whichever is later, to notify the Company, pursuant to the provisions of Section 9, of the Purchaser's intent to cancel this Agreement. In such event, this Agreement shall be canceled and of no further force or effect, and the Company shall promptly cause to be refunded to the Purchaser the Purchase Price paid by the Purchaser for the Unit, without interest or deduction.
(l) Organization: Authorization. Purchaser is a limited liability company, duly organized, validly existing and in good standing under the laws of the State of New York. Purchaser has the power and authority to exccute this Agreement and perform Purchaser's obligations hercunder. The execution, delivery and performance by Purchaser of this Agreement and the transactions contemplated hereby have been approved by all requisite action on the part of Purchaser. This Agreement and the Confidential Offeree-Purchaser Questionnaire attached as Exhibit "D", along with the other documents, instruments and agreements of Purchaser executed in connection herewith have been duly executed and delivered by Purchaser and constitute valid and binding obligations of Purchaser enforceable against Purchaser in accordance with their terms, except to the extent enforcement may be limited by applicable bankruptcy, insolvency, reorganization or other laws of general application affecting creditors' rights and except as enforcement may be limited by general equitable principles.
7. Transfer of Unit.
7.1 Legend. Until the occurrence of one of the events specified in Section 7.3, any certificates representing any component of the Unit (including without limitation, the Class B Common Stock issuable upon conversion of the convertible Investor Note or upon the exercise of a Warrant) shall be stamped or otherwise imprinted with a legend substantially in the following form:

THESE SECURITIES HAVE NOT BEEN REGISTERED OR QUALIFIED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, OR ANY STATE SECURITIES LAWS. NEITHER THESE SECURITIES NOR ANY INTEREST THEREIN MAY BE OFFERED, SOLD, PLEDGED, OR OTHERWISE TRANSFERRED UNLESS THESE SECURITIES ARE FIRST REGISTERED UNDER THE SECURITIES ACT OF 1933, AS AMENDED, AND/OR QUALIFIED UNDER ALL APPLICABLE STATE SECURITIES LAWS, OR UNTIL THE COMPANY SHALL HAVE RECEIVED AN OPINION OF LEGAL
satisfy such indemnity obligation as a set-off, without limiting the right of the Company to proceed in any other legal, equitable or contractual remedy directly against Purchaser for the indemnity obligation.
9. Notices. All notices, requests, consents and other communications hereunder shall be in writing (including telex, telefax and other telegraphic communication) and shall be (as elected by the person giving such notice) delivered by messenger or courier service, or mailed first-class postage prepaid registered or certified mail:
(a) If to any holder of the Unit, addressed to such holder at the address set forth below or at the Purchaser or holder's address as shown on the books of the Company or the Purchaser or holder's agent or to such other address as may from time to time be furnished to the Company in writing by any such holder.
(b) If to the Company, addressed to the Company at 2255 Glades Road, Suite 337W, Boca Raton, Florida 33431, Attn: Brian G. Utley, President, or at such other address as may from time to time be furnished to the Purchaser in writing by the Company.

Each such notice shall be deemed delivered and received: (i) on the date delivered if by personal delivery; (ii) on the date of transmission with confirmed answer back if by telex, telefax or other telegraphic method; and (iii) on the date upon which the return receipt is signed or delivery is refused or the notice is designated by the postal authorities as not deliverable, as the case may be, if mailed.
10. Miscellaneous Provisions. This Agreement represents the entire subject matter hereof, and supersedes all other negotiations, understandings and representations (if any) made by and between such parties. All of the terms and provisions of this Agreement, whether so expressed or not, shall be binding upon, inure to the benefit of, and be enforceable by the parties and their respective administrators, personal and other legal representatives, heirs, successors and permitted assigns.

The headings contained in this Agreement are for convenience of reference only, and shall not limit or otherwise affect in any way the meaning or interpretation of this Agreement.

If any part of this Agreement or any other agreement entered into pursuant hereto is contrary to, prohibited by or deemed invalid under applicable law or regulation, such provision shall be inapplicable and deemed omitted to the extent so contrary, prohibited or invalid, but the remainder hereof shall not be invalidated thereby and shall be given full force and effect so far as possible. All agreements, covenants, representations and warranties made herein or otherwise made in writing by any party pursuant hereto shall survive the execution and delivery of this Agreement and the consummation of the transactions contemplated hereby. It is expressly understood that Sections 6, $7,8,9$ and 10 shall survive the Closing and any subsequent sale or other transfer by the Purchaser of any portion of the Unit (or any securities underlying the Unit).

This Agreement and all transactions contemplated by this Agreement shall be governed by, and construed and enforced in accordance with, the laws of the State of Florida without regard to principles of conflicts of laws. The parties acknowledge that a substantial portion of ncgotiations, anticipated performance and execution of this Agreement occurred or shall occur in Palm Beach County, Florida, and that, therefore, without limiting the jurisdiction or venue of any other federal or state courts, each of the partics irrevocably and unconditionally: (a) agrees that any suit, action or legal proceeding arising out of or relating to this Agreement may be brought in the courts of record of the State of Florida in Palm Beach County or the District Court of the United States, Southern District of Florida; (b) consents to the jurisdiction of each such court in any suit, action or proceeding: (c) waives any objection which that party may have to the laying of venue of any such suit, action or proceeding in any of such courts; and (d) agrees that service of any court paper may be effected on such party by mail, as provided in this Agreement, or in such other manner as may be provided under applicable laws or court rules in said state.
11. Counterparts. This Agreement may be executed in one or more counterparts, each of which shall be deemed an original, but all of which together shall constitute one and the same instrument.

## Print or Type Below

Tiedemann/Prolon, LLC
Name of Purchaser
535 Madison Arenue
NH, NY 10022
Business Address of Purchaser

Amount Subscribed for by Purchaser:

$$
\$ 345,000.00
$$

## [THE REMAINDER OF THIS PAGE IS INTENTIONALLY LEFT BLANK]

IN WITNESS WHEREOF, the Purchaser hereby executes this Agreement this $21^{5+}$ day of March, 2001.
Purchascr:
TIEDEMANN/PROLOW $/$ LLC

iviewit Holdings, Inc.

[INSERT COPY OF TP WIRE TRANSFER CONFIRMATION]

$\therefore 11$


B/O SAME: Y
1.MER REQUEST

| MDTS | 6 BALANCES 7 EDITS |
| :--- | :---: |
| 144.14 .15 .99 | TCPBCA13 |

** TOTAL PAGE. 02 **

## EXHIBIT F

STATEMENT OF FRENDEN \& TAPE FROM SHIRAJEE

Page 1 of 2

Eliot I Bernstein
From: Tony Frenden [t.rex@sbcglobal.net]
Sent: Thursday, May 15, 2003 10:21 PM
To: iviewit@bellsouth.net
Subject: Fw: statement
----- Original Message .-..-
From: Tony Frenden
To: iviewit@worldnet.att.net
Sent: Wednesday, May 14, 2003 11:38 PM
Subject: statement
May 14, 2003

I swear the following to be true:
Upon the closure of the Iviewit office in Boca Raton FL, I was retained for about an extra week by Brian Utley and Mike Reale, assisting in shutting down operations. It was during this time in which Mike Reale entered the video encoding lab, where I was present along with Tammy Raymond, (former Head of IT) and Zakirul Shirajee (former Systems Developer). Reale was smiling broadly as he set down a large silver suitcase onto my computer desk. Upon opening it, he revealed rows and rows of one hundred dollar $(\$ 100)$ bills in U.S. currency, going down as deep as the case. I would estimate the amount to be near a half million dollars. Upon my inquiry of the where the cash came from, Reale said it was from Bruce Prolow. He implied that the money was entrusted to he and Utley to continue Iviewit operations, but to me, it seemed Reale was careful to never explicity state that Prolow authorized this transaction or not.

It is my belief that the suitcase of money was presented to me, in front of Tammy and Zakirul, to convince us that Utley and Reale were the ones reaping benefits from the Iviewit core processes, and if we were smart, we should join them.

A day or two prior to this incident, Mike Reale called me into a private office. He spoke of a new operation he and Utley wanted to embark on which utilizes Iviewit's core processes. The plan consisted of encoding video porn at an ambiguous island location in Puerto Rico. It was known that Eliot Bernstein had made available the option for me to work at the newly forming Iviewit in Glendale, CA. Reale wanted to steer me from going to the West coast operation, and spoke of me receiving a title and large pay raise should I go along with the Puerto Rico porn plan, instead.

Also, on one of these last closure days at the Boca Raton offices, Mike Reale approached me in the lab regarding another issue. He inquired which computers would be best to use, if one were to have the need to process Iviewit's core technologies. He asked me which 3 were the strongest computers to do the job. I had a feeling that he wanted to make off with whichever units I spoke of. I had already begun to make up my mind that I wanted no part of the Puerto Rico porn operation, so I told him about 3 computers I didn't care for. They were called, THE BOMBER, THE REELTIME NITRO, and one more unnamed computer. These were all very powerful and expensive units, but were not necessarily suited to encode video. As expected, these 3 units turned out to be the same ones found in Brian Utley's possession, months later. When the cops returned the items to us, the units

```
contained several new media files, mostly long distance learning applications which
were created well after the Boca offices were closed down.
Anthony Rex Frenden
859 Hollywood Way #374
Burbank CA 91505
```

Incoming mail is certified Virus Free.
Checked by AVG anti-virus system (http://www.grisoft.com).
Version: 6.0.480 / Virus Database: 276 - Release Date: 5/12/2003

## EXHIBIT G

DEPOSITION TESTIMONY OF WHEELER \& PPROSKAUER ROSE BILLINGS FOR TRANSACTION

## TVIEW!T

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


## TVIEW!T

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


KEN SCHPNZER \& ASSOCIATES, INC. (954) 922-2660


94
p.93-94 Deposition Christopher Wheeler

And further from his deposition

## IVIEWIT

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


Now the Company will submit evidence that Mr. Wheeler was fully aware of the Tiedemann/Prolow investment and further that he had without Board approval drafted documentation regarding a proposed merger/acquisition regarding a Tiedemann/Prolow Company with Iviewit. These are the billings from Proskauer regarding these transactions and all overseen by Mr. Wheeler over a several month period and only represent a sample of the total billings for these transactions.

## TVIEWIT

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
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| 09/14/00 C WHEELER | . 75 Arrange for presentation to Mr. Prolow |
| :---: | :---: |
| 09/22/00 C WHEELER | 1.00 Arrange for follow up with potential investor Applestein |
| 09/25/00 C WHEELER | . 50 Follow up on prospective investors |
| 09/26/00.C WHEELER | 1.50 Attend Board meeting; follow up on question of recapitalization, arrange for tranomittal to new investors |
| 09/27/00 C WHEELER | 1.50 Conf with Mr. Assaf; conf with Mr. Bernstein; Conf with Mr. Prolow; conf with Mr. Utley |
| 09/28/00 C WHEELER | 1.00 Call to Mr. Prolow; conf with Mr. Utley |
| 09/28/00 C WHEELER | . 50 Conf with Mr. Prolow |
| 09/28/00 C WHEELER | . 25 Arrange conf call as to financing |
| 09/29/00 C WHEELER | 2.50 Conf with N. Prolow and Nr. Utley; conf. with prolow, Utley, Hersch, Buschbaum, et al re technology; |
| 09/29/00 C WHEELER | . 25 Call from Mr. Prolow |
| 09/29/00 C WHEELER | . 50 Meeting with Mr. Ucley; review of status of potential investment |
| 10/02/00 ¢ WHEELER | . 50 Follow up on conference call; call to Mr. Frolow |
| 10/03/00 C WHEELER | 1.00 Conf with Mr. Utley; conf with Mr. Utley; call to Mr. Prolow |
| 10/03/00 C WHEELER | 2.00 Conf call with Mr. Applestein, Mr. Prolow, Mr. Utley, Mr.Hersh, et. al.; conf with Mr. Hersh |
| 10/03/00 C WHEELER | . 50 cont with auditors |
| 10/03/00 C NHEELER | . 25 Set up conf with investors |
| 10/11/00 C WHEELER | . 50 Conf with Mr. Prolow |
| 10/12/00 C WHEELER | . 50 Conf with Mr. Utley |
| 10/12/00 C WHEELER | . 25 Conf with Mr. Utley |
| 10/13/00 C WHEELER | .50 Conf with Mr. Utley re investors and confidentiality agreement |

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| 10/19/00 C WHEELER | 1.25 Conf with Mr. Prolow re offer; conf with Mr. Utley; conE with Mr. Utler; conf with Mr. prolow |
| :---: | :---: |
| 10/23/00 C WHEELER | . 50 Conf with Mr. Prolow re proposed investor |
| 10/23/00 C WHEELER | . 50 Conference w/B. Utley |
| 10/26/00 C WHEELER | 1.00 Conf with Mx. Prolow; conf with Mr. Utley; conf with Mr. Reed re trademark and copyright matters |
| 10/31/00 C WHEELER | . 50 Conf with Mr. Utley; conf with Mr. Rubenstein; Conf with Mr. Utley re financing |
| 11/01/00 C WHEELER | . 25 Conf with Mr . Utley re financing and re Mr . Rubenstein |
| 11/03/00 C WHEELER | . 50 Call to Mr . Utley; conf with Mr. Utley re funding; |
| 11/03/00 C WHEELER | . 50 Review of stock grant requests |
| 11/05/00 C NHEELER | 1.00 Conf with Mr. Utley; conf with Mr. Prolow re investors |
| 11/06/00 C WHEELER | . 50 Conf with Mr, vtley re investors |
| 11/07/00 C WHEELER | . 75 Conf with Mr, Utley re financing; call to Mr. Aesaf, conf with Mr. Utley re Mr. Rubenctein |
| 11/08/00 J ZAMMAS | . 50 Preparation of receipt for iviewit minute books to be loaned to company; compile minute books for pickup. |
| 11/09/00 C WHEELER. | . 75 Conf with Mr. Utley re funding ; conf with Mr. Assaf re funds; call to Mx. Prolow |
| 11/09/00 C WHEELER | 50 Conf with Mr. Drolow; conf with Mr. Utley |
| 11/10/00 D THOMPSON II | . 50 Telephone conference with Attorney C. Wheeler re bridge financing; Follow-up re same. |
| 11/10/00 C WHEELER | . 50 Conf with Mr. Utley re financing |

11/21/00 C WHEELER . 25 Call from Mr. Prolow
$11 / 22 / 00 \mathrm{C}$ WHEELER .25 Call to Mr . Prolow

11/28/00 C WHEELER . 50 COnE with Mr. Utley re financing

12/01/00 C WHEELER .50 Conf with Mr. Hersh; arrange transmittal of business plan to prospective investors

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| 12/01/00 C WHEELER | . 25 Review of correspondence and documents |
| :---: | :---: |
| 12/01/00 C WHEELER | . 50 Additional review of documents and correspondence |
| 12/08/00 C WHEELER | . 25 Conf as to opinion and bridge laan |
| 12/15/00 C WHEELER | . 25 Conf with Mr. Prolow re financing |
| 12/19/00 C WHEELER | . 25 Call to Mr. Drolow |
| 12/21/00 C WHEELER | R . 50 conf with Mr. Ueley |
| $12 / 22 / 00 \mathrm{C}$ WHEELER | R - 25 Conf with Mr. Ueley |
| 12/29/00 C WHEELER | . 50 Conf with Mr, Prolow re financing |
| $\overline{01 / 05 / 01 ~ C W H E E L E R ~}$ | . 50 Conf with Mr . Prolow re status of new financing |
| 01/00/01 D THOMPSON II | . 25 Conference with Attorney Mara Lerner Robbins re offering. |
| nu innion * ........ |  |
| 01/10/01 C WHESLER I | 1.00 Follow up on term sheet for Tiedemann investment |
| 01/11/01 C WHEELER | . 50 Conf with Mr. Hersh; arrange for follow up on investment by Prolow |
| 01/11/O1 M ROBBINS | 1.75 Inter-office conferonce with $C$. Wheeler re: prolow term sheet. Meeting with D. Thompson re: contents of term cheet; preemptive rights; anti-allution rights. Telephone conference with $R$. Hersh re: Prolow note and warrant orfering. Review Alpino documentation re: anti-dilution and preemptive rights. |
| 01/12/01 C WHEELER | .50 Arrange for follow up on investigation; check on status of documentation for Prolow loan |
| 01/12/01 M ROBBINS | 1.25 Review certificato of doaignation re: anti-dilution provisions. Review investor rights agreement re: preemptive rights. Meeting with R. Hersh re: $\$ 600,000$ private offering. E-mail to D. Thompson re: term sheet. |
| 01/15/O1 D THOMPSON II | . 50 Conference with Attorney Mara Lerner Robbins re investment issues. |
| 01/15/01 C WHEELER | . 50 Follow up on status |

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| 01/16/01 M ROBBINS |  | Preparation of Tiedemann Prolow subscription documents. Telephone conferences with $R$. Hersh. |
| :---: | :---: | :---: |
| 01/17/01 D THOMPSON | $\text { II } 2.00$ | 0 Review and revise Subscription Booklet, Convertible Note and Warrant for Tiedemann offering. |
| 01/17/01 M ROBEINS | $3.50$ | Draft and preparation of Warrant Agreement. <br> Draft and preparation of Convertible Promissory Note. Modifications to Subscription Doouments. Memo to D. Thompson. Telephone conferences with F . Hersh. |
| 01/18/01 D THOMPSON II |  | Conference with Attorney Mara Lerner Robbins re reorganization and stock issuances. |
| 01/18/01 M ROBBINS | $3.50$ | Preparation of subscription agreoment, convertible note and varcant. Neeting with D. Thompson re: comments to Tiedemann investment documents. Inter-office conferences with $G$. Coleman re: risk factors. Meeting with Rocky Thompson re: stock split. Inter-office conference with A . Levy re: stock split. |
| 01/23/01 C WHEELER |  | 50 Meeting with principals of Internet train |
| 01/23/01 C WHEELER |  | 00 Moeting with Mr. Utley and Gayle Coleman |
| 01/23/O1 C WHEELER |  | 0 Conf with Mr. Utley re follow up |
| 01/23/01 M ROBBINS | $1 .$ | Review file re: share exchange with minority iviewit Technologies stockholders. Telephone conference with R. Hersh re: Tiedemann Prolow offering. Meeting with R. Heroh re: same. Modifications to offering documents. |
| 01/23/01 G COLEMAN | $2.00$ | Conference with B. Utley and C. Wheeler. Draft letter of intent. Telephone conferences with B. Utley. Revise letter of intent. Forward same. |
| 01/24/01 C WHEELER |  | 00 Conf with Mr. Utley; revise letter of intent |
| 01/24/01 C WHEELER |  | 00 Revision of document |
| 01/24/01 M ROBBINS | 1. 50 Mc <br> do <br> He <br> ex <br> st | Modifications to Tiedemann Prolow investment documents. Telephone conferences with R . Hersh. Correspondence to 3 . Utley re: draft investment docunents. Review file re: share exchange with minority iviewit Technologies stockholders. Revien nemoranda re: same. |

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| 01/29/01 M ROBBINS | 1.00 Meating with Rocky Thompson re: tax matters relative to share exchange options. Review file re: form of Share Exchange Option Agreement. Review form of Share Exchange Option Agreement . |
| :---: | :---: |
| 01/30/01 D THOMPSON II | I . 25 Review Exchange Agreement. |
| 01/30/01 M ROBBINS 3. | 3.25 Draft and preparation of form of Share Exchange Agreement for minority subsidiary shareholders. Inter-office conference with Jill Zammas re: previous execution of share exchange option agreement. Correspondence to Brian Utley re: execution of share Exchange Option Agreements. Meeting with Chris Wheeler re: Tiedemann subscription documents. Call to Craig Smith. |
| 01/30/01 J ZAMMAS | . 75 Review tlles regarding Share Exchange Agreement for M. Robbins. |
| 02/02/01 D THOMPSON II | . 75 Meeting with Brian Utley re pending projects. |
| 02/02/01 C WHEELER | . 50 Conf with Mr. Prolow |
| 02/02/01 M ROBBINS | . 75 Inter-office conference with Rocky Thompson re: Share Exchange Agreement. Inter-office conference with $A$. Levy re: stock split; short-form merger; gift of E . Bernstein shares. Inter-office conference with J. Zammas re: Iantoni notes. |
| 02/05/01 C WHEELER | 2.50 Meeting as to structure of Internet train acquisition |
| 02/05/01 C WHEELER | .50 Correspondence re intellectual property follow up |
| 02/07/01 D THOMPSON II | 1.75 Review Tiedemann documents; Follow-up re same ${ }_{i}$ Telephone conference with Craig Smith. |
| 02/07/01 A LEVY | . 50 General corporate matters; OC with DET re stock split. |
| 02/09/01 D THOMPSON II 1 | 1.25 Telephone conference with Ray Hersh re Tiedemann Prolow investment ${ }_{i}$ revise docs. |
| 02/0s/C1 A LEVY | . 50 General corporate matters. |
| 02/11/C1 D THOMPSON II | . 50 Review and revise Tiedemann documents. |
| 02/12/C1 D THOMPSON II 1 | 1.75 Review and analysis of anti-dilution protection in connection with Tiedemann purchase. |

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| 03/14/01 D THOMPSON II | .25 Rrepare Acquisition Agreements; Telephone conference with Ray Hersh re same. |
| :---: | :---: |
| 03/14/01 C WHEELER | 1.00 Follow up on acquisition status; conf with Mr. Utley; receipt of note |
| 03/14/01 A LEVY | 1.00 Mtng with B. Utloy and R. Hersh and preparation therefor. |
| 03/15/01 S KAPP | . 75 Conf. with CCW re: rvw of note, rvw note and mark comments for CCW |
| 03/15/01 S KAPP | $.25 \mathrm{~T} / \mathrm{c}$ with B. Utley re: modifications and differences btwn executed note and current note |
| 03/15/01 D THOMPSON II | 5.75 Prepare Aseet Purchase Agreement and begin Plan of Exchange. |
| 03/15/01 D THOMPSON II | . 25 Conference re promissory note with Attorney stuart Kapp. |
| 03/16/01 D THOMPSON I | 4.75 Prepare ITrain Agreements for Agreement and Plan of Exchange. |
| 03/16/01 C WHEELER | 1.00 Conf with Mr. Thompson re preparation of contracts; conf with Mr. Utley re same; conf as to promissory note and transmittal of funds |
| 03/19/01 D THOMPSON II | 2.25 Meeting with Brian Utley and Ray Hersh re op and Internet Train. |
| 03/19/01 D THOMPSON II | 2.75 Review OP and Internet Train cocumentation. |
| 03/19/01 D THOMPSON II | . 25 Revise Tiedemann Prolow documentation. |
| 03/19/01 C WHEELER | 1.00 Review of agreement |
| 03/19/01 J ZAMMAS | 6.00 Work on closing checklist, resolutions and Bills of sale. |

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| 03/20/01 D THOMPSON II | 1.50 Prepare Exhibits to Purchase and Exchange Agreements with paralegal Jill Zammas re closing checklist and documentation. |
| :---: | :---: |
| 03/20/01 D THOMPSON II | . 25 Send out Tiedemann/Prolow documentation. |
| 03/20/01 C WHEELER | . 50 Review of status of acquisition documents |
| 03/20/01 C WHEELER | . 50 Review of Agreement and Plan of Exchange; Review of Agreement for Purchase and Sale of Assets |
| 03/20/01 J ZAMMAS | 3.00 Work on closing checklist and preparation of Assignment and Assumption Agreement. |
| 03/21/01 D THOMPSON II | . 75 Follow-up on ITrain and Original Productions deals. |
| 03/21/01 C WHEELER | . 25 Review of Subscription correspondence to Tiedemann/Prolow |
| 03/27/01 J ZAMMAS | . 25 Have Greg Reed in the NY office perform a federal trademark search on iLearnit. |
| 03/29/01 J ZAMMAS | . 25 Contact Greg Reed in NY office regarding preliminary trademark search on iLearnit. Inc. |
| 03/30/01 J ZAMMAS | . 25 Follow up with Gregg Reed regarding trademark search. |
| 03/30/01 5 GORDON | .75 Preliminary trademark search for ILEARNIT. |

Then later from his deposition we find that he does have knowledge and in fact performed an opinion for Mr. Prolow of course the opinions for investors in Mr. Wheeler's opinions did not need review from patent counsel regarding the patents:

| 14 | A. Must be dealing with additional money from |
| :--- | :--- |
| 15 | Alpine. Or - or it could be a combination thereof, |
| 16 | because on the next page there is discussion of Prolow |
| 17 | and financing. So some of the parties investing money |
| 18 | needed opinions from us. They would be opinions on |
| 19 the corporate status. |  |
| p. 200 Christopher Wheeler deposition |  |

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| C | 1 2 3 4 | Q. Okay. <br> A. And I'm not so sure everyone participated, but to a great extent, many did. <br> 0. $\qquad$ Now, Bruce Prolow was another individual |
| :---: | :---: | :---: |
|  | 5 | who you indicated - Prolow, rather, was an individual |
|  | 6 | you indicated also invested? |
|  | 7 | A. Well, he had a group. I mean, he was a |
|  | 8 | person introduced to the company, but he - he .- I |
|  | 9 | don't know how his money came in. I don't know how it |
|  | 10 | was -- Don't know if it came in from one or two |
|  | 11 | investors or whatever. |
|  | 12 | MR. TRIGGS: Just do this. If this will |
|  | 13 | speed us up, the question was asked I think is |
|  | 14 | something about whether Bruce Prolow put money |
|  | 15 | in. Just - he wants you to answer the question |
|  | 16 | that he's asking, and it will speed us up if youn |
|  | 17 | just answer the question he's asking. |
|  | 18 | A. I don't know. |
|  | 19 | Q. Okay. Do you know how much money came in |
|  | 20 | Erom Mr. Prolow? |
|  | 21 | A. No. |
|  | 22 | Q. Were you involved in preparing the |
|  | 23 | transactional documents with regard to any funding |
|  | 24 | that Mr. Prolow provided to iviewit? |
|  | 25 | A. I can't remember. <br> KEN SCHANZER \& ASSOCIATES, INC. (954) 922-2660 |

# WRITTEN STATEMENT NO. 2 - THEFT OF IVIEWIT INTELLECTUAL PROPERTIES 

Basic Allegation


#### Abstract

Iviewit Holdings, Inc. ("Company") alleges the THEFT OF AND MISAPPROPRIATION OF MULTIMEDIA INVENTIONS THAT THEREBY FURTHER CONSISTS OF FRAUD OF THE UNITED STATES PATENT AND TRADEMARK OFFICE ("USPTO") AND THAT THEREBY CONSISTS OF FRAUD OF THE UNITED STATES POSTAL OFFICE AND THAT THEREBY CONSISTS OF A FRAUD OF THE UNITED STATES FEDERAL BANKING REGULATORY BODY BY THE FOLLOWING INDIVIDUALS: BRIAN UTLEY, CHRISTOPHER WHEELER, KENNETH RUBENSTEIN, WILLIAM DICK, DOUGLAS BOEHM \& STEPHEN BECKER.


All witnesses and perpetrators are collectively identified by name, address, and telephone number attached herein as Exhibit A.

## Material Facts

On our about August 2000, the Company discovers a one Brian G. Utley ("Utley"), then President \& Chief Operating Officer of the Company, adding his name to and directing Company counsel, under the director and oversight of Kenneth Rubenstein a partner of Proskauer Rose, to add his name to a variety of the Company's inventions, wherein, in addition to the fraudulently inserted name of Brian Utley's, non-provisional patent applications were being written and filed with the true inventors missing from the patent applications and pertinent disclosures missing, all to the detriment of the Company and its shareholders. Further, it is found that Mr. Utley with the help of Iviewit counsel steals off with several inventions of Iviewit and writes them in his own name and fails to assign or disclose these inventions to the Company or it's investors, the patents are attached herein as Exhibit B.

Moreover, it should be clear to Bureau of Investigative Operations of Boca Raton Police Department ("BOI") that by virtue of Section 115 of U.S.C. Title 15 (more commonly known as Patent Act) that the violation of the oath of applicant, under the direction and oversight of Rubenstein, in this section, can render the patent invalid at the date of issuance, thereby materially damaging a patent portfolio estimated to be worth billions of dollars in royalties annually. The Company claims that knowingly filing false statements to the patent office constitutes a fraud not only to the Company but the US Patent and Trademark offices, and it is the Company's contention that Mr. Utley acted with patent counsel from Foley and Lardner and Proskauer Rose whom all knowingly acted to deceive the shareholders and the government.

Factually, said inventions were first made in mid 1998, provisional ${ }^{1}$ patent applications were filed in early to mid 1999, and Utley later joined the Company commencing in mid1999. Moreover, to further complete the picture, BOI should note that the Company had prior problems with its patent counsel, Kenneth Rubenstein of Proskauer Rose LLP ("Proskauer") as overseer of Raymond A. Joao formerly of counsel to Meltzer Lippe Goldstein \& Schlissel LLP of Mineola, N.Y., who were found removing some inventors and switching content of the original applications; these allegations, among others, are the subject of criminal conspiracy discussions currently pending in the West Palm Beach office of the Federal Bureau of Investigation.

Furthermore, upon learning of Company's problems with Joao's work, under the direction and oversight of Rubenstein, Utley and the Company's then counsel, a one Christopher C. Wheeler, Esq. ("Wheeler") a Partner in the Boca Raton office of Proskauer recommend a one William J. Dick, Esq. ("Dick") of Foley \& Lardner of Milwaukee, Wis. Still further, to his new task, Dick assembled a team composed of Steven Becker, Esq. ("Becker") and Douglas Boehm, Esq. ("Boehm") to correct the mistakes of Joao and move the patent prosecution process from provisional status to nonprovisional, patent pending status.

Additionally, Foley \& Lardner, under the direction and oversight of Rubenstein, after several meetings with all the inventors wherein said inventors make full disclosures of the technologies, soon complete non-provisional filings, and send those patents for review and signatures. Unfortunately for the Company, and hours before the one-year time deadline for the filing of non-provisional patent applications from provisional filings, Utley presents to the Company's main inventor, Eliot I. Bernstein, only signature pages for the filings scheduled to occur in a few short hours.

Moreover, Bernstein refused the requested signature until which time that he had an opportunity to review and authorize the whole filings. When Utley refused Bernstein's request to review the entire filing, a struggle ensued wherein Bernstein and a one James F. Armstrong physically removed the patent documents from Utley's possession and gave the documents to an executive assistant, a one Jennifer Kluge, to secure copies.

Furthermore, upon receiving the copies from Kluge, Bernstein and Armstrong retire to a local restaurant, and begin their review of the documents; the findings were mindboggling. Mind-boggling in that, the filings completed by Foley \& Lardner, and under the direction and oversight of Rubenstein, and demanded signatures of by Utley, were replete with: (i) different inventors than what was told to the Foley \& Lardner attorneys, Dick, Becker, and Boehm; (ii) incorrect math; (iii) changing of the embodiment of the inventions that severely hamper the value upon subsequent issuance; and (iv) a narrowing of the claimed environments of the inventions. Most notably, Utley adds himself or directs Foley \& Lardner to add his name as an inventor to all the applications, although, even more remarkably, the Company did not employ Utley at such time as they were

[^52]invented, and in each instance he factually drops an inventor or directs Foley \& Lardner, under the oversight of Rubenstein, to drop an inventor to add Utley's name.

Subsequent to discovering these problems, meetings were arranged with the members of Foley \& Lardner, James Armstrong, Christopher Wheeler, William Dick, Simon L. Bernstein, a then director of the Company, and one Maurice Buchsbaum, a then representative of the Company's lead investor, Crossbow Ventures ("Crossbow") of West Palm Beach, Fla., as a means to determine what exactly occurred and how much damage had been caused (Appendix II); investigations were to be handled by Wheeler and Rubenstein as to how to again repair these major errors. While two days of discussions proceed with Foley \& Lardner to correct the patents, they are filed wrongly nonetheless, thereby constituting another alleged fraud on the USPTO by both Foley \& Lardner, as overseen by Rubenstein and Utley.

Still further, in January 2001, Utley flies to California and threatens Bernstein to both destroy the Company and to kill Bernstein should the Company proceed with more investigations of the dealings by and between Utley and Foley \& Lardner with respect to the Company's intellectual property portfolio, further stating that Wheeler and Dick are both members of extremely powerful law firms and that Bernstein should "watch his back" upon returning to his family in Boca Raton, Fla. Mr. Bernstein does not return to Boca Raton and instead is forced by these threats to move his family to a hotel located in Los Angeles, CA for safety, at the advice of investors, management and others aware of the threats made upon his life. Mr. Bernstein does not return for almost 2 years to Boca Raton while he built a case against the perpetrators of these crimes

Moreover, at about this time, May 2001, Iviewit and Crossbow engaged the intellectual property law firm of Blakely Sokoloff Taylor \& Zafman LLP ("BSTZ") of Los Angeles, California and its of counsel a one Norman Zafman to analyze the status of the Company's intellectual property portfolio, as Buchsbaum had informed the Company that Utley might be trying to misappropriate patents for his own gains and that due to the missing inventors, bad math and changed content that investor fraud could and might be claimed by Iviewit's investors, see Buchsbaum comments in the taped transcript call in Appendix II. BSTZ, upon securing the patent files from Foley \& Lardner, began their review, finding Utley had in fact been writing or had directed Foley \& Lardner, under the direction and oversight of Rubenstein, to write patents into his own name, without assignment to the Company, without notifying the Company of their existence thereby perpetrating a fraud on the USPTO through the US Postal services thereby constituting a fraud on the US Post Office and finally constituting theft against the Company and it's investors. The two patents Utley wrote into his own name and sent to his home are the main allegation regarding theft of Company inventions and property, he was aided and abetted in these crimes by; William Dick, Kenneth Rubenstein, Christopher Wheeler, Douglas Boehm and Steven Becker. Once stolen, considerable expenses were incurred by the Company to find such stolen patents and then have them returned to the Company's possession and the Company is still uncertain if this represents all patents misappropriated by Utley, et. al. In fact, Utley denied even these patents when questioned in his deposition.

Further, BSTZ found a clause in the employment agreement of Utley granting the company powers of attorney to assign the misappropriated inventions to the Company, a true copy of those reassignments attached herein as Exhibit D. Further, Foley \& Lardner and Proskauer Rose were fully aware that Mr. Utley was not the inventor of any Iviewit technologies and further was in possession of his employment contract and thereby it is clear that they aided and abetted Mr. Utley in absconding and stealing Iviewit patent inventions, similar to the crime perpetrated against Diamond Turf Lawnmower at Utley's prior employ again with Mr. William Dick of Foley \& Lardner acting as his patent attorney.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that Crossbow Ventures through a one H. Hickman Powell III and Stephen J. Warner begin to cast suspicion over what was occurring in the Boca Raton office and it was apparent that Utley and his management team were beginning to destroy records and steal computers ${ }^{2}$. Crossbow Ventures and the Board then institutes the firing of all Christopher Wheeler referred management, Utley, Reale and Hersh and closes the entire Boca Raton offices.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that, after Utley was introduced to the Company by Wheeler, the Company finds numerous materially false statements in the resume provided by Wheeler and presented to the Board of Directors ("Board") of the Company, including but not limited to, the fact that Utley was terminated by his last employer, a one Monte Friedkin of Diamond Turf Lawnmower for intellectual property misappropriations, wherein the Utley resume presented to the Board is attached herein as Exhibit E.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that regarding a proposed private placement of Company stock by Wachovia Securities, a unit of Wachovia Corp. of Charlotte, N.C., Utley and Wheeler knowingly and willfully insert false statements regarding the background of Utley and the status of the Company's intellectual property portfolio into a private placement memorandum drafted by Wachovia and reviewed, billed for and approved by Proskauer Rose thereby perpetrating a fraud upon a registered financial holding company of the NASD and perpetrating a fraud upon a registered bank holding company of the United States Federal Reserve system.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that in an unrelated litigation by and between the Company and Proskauer, and in the deposition statements of Utley, not only does Utley admit to the problem at Freidkin's company, but claims that Wheeler was fully cognizant of the crimes committed; in diametric opposition to Utley's deposition statements, Wheeler's deposition statement states that he was not aware of Utley's background and past patent malfeasances, all statements of which are attached herein as Exhibit F.

[^53]Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that Rubenstein as overseer of the company's patent portfolio and member of the Advisory Board of the Company noticeably distances himself from the Company and Bernstein upon questioning in his deposition in an unrelated litigation by and between the Company and Proskauer, attached herein as Exhibit G; factually, Rubenstein later walks out of his deposition in the midst of questioning after being confronted with evidence contrary to his statements, further the judge orders Rubenstein back to complete his deposition, which is still pending. Rubenstein, later tries to deny any involvement with the Iviewit companies and patents of which Exhibits contained in Exhibit G will show to be ludicrous and untrue.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that Dick had been the patent attorney involved in the past crimes against Friedkin's company, wherein the Company only learned of this at Utley's deposition statement wherein Utley claimed it was Dick that had been involved in the patent disputes at Diamond Turf Lawnmower, but this never disclosed to the Company by Wheeler, Dick and Utley, perpetrating yet another fraud on investors in Iviewit and Banking institutions underwriting Iviewit's private placement.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that former employees of the Company, ones Anthony R. Frenden and Matthew Mink provide statements that pointedly show that Utley was stealing not only computers but highly proprietary Company intellectual property processes contained on those computers and attempting to bribe Frenden and Mink with the alleged stolen cash of Written Statement No. 1 to give processes to Utley and one Michael A. Reale, former Vice President of Operations of the Company for use with Wheeler and a referred Wheeler investor of the Company, a one Bruce Prolow of Tiedemann Prolow LLC of New York; statements of Frenden and Mink are attached herein as Exhibit H.

Additionally, the Company removes reasonable doubt pertaining to its allegations by stating that with regard to the circumstances surrounding the recent events at Florida Atlantic University, Utley and Wheeler were both members of that Foundation and further that Wheeler has been represented as non-cooperative to a KPMG audit of the Foundation which it is noted that the audit may have been impacted by his refusal to cooperate and that further Mr. Wheeler tried to take a tax deduction on an item he knew as a Board member had never been approved or voted on, pending current investigation by the Florida Law Enforcement Department. Appendix III

Lastly, the Company removes reasonable doubt pertaining to its allegations by stating that with regard to the circumstances surrounding the bankruptcy filing by the Florida Philharmonic organization, Utley and Wheeler were both members of that Board.

Finally, the most concise statement of the entire events surrounding the status of the patent portfolio of the Company is contained in that certain litigation titled Proskauer Rose LLP v. Iviewit.com, Inc. et. al., Case No. CA 01-04671 AB (Circuit Court of the

## iview!t

15th Judicial Circuit in and for Palm Beach County, Florida filed May 2, 2001), the Defendant's Motion for Leave to Amend to Assert Counterclaim for Damages, which is, attached herein as Appendix I. Also enclosed with this complaint is a CD ROM containing the following:

NY Bar complaints; Kenneth Rubenstein and Raymond Joao
FL Bar complaint; Christopher Wheeler
Full Deposition statements in the Florida Litigation referenced above for:
Christopher Wheeler
Brian Utley
Kenneth Rubenstein
Eliot Bernstein
Simon Bernstein
Gerald Lewin
William Kasser
Taped testimony of Zakirul Shirajee
Taped meetings regarding patent errors with Foley and Lardner

## IVIEWIT HOLDINGS, INC.

I swear to the best of my knowledge that the information contained herein is true and correct and that the events described herein are based on the evidence currently in the Companies possession. This statement may be used as evidence in the investigation of the above-mentioned crimes.

Very truly yours,


## Eliot I Bernstein

Founder
I View It Technologies, Inc.
iview!

EXHIBIT A

## a

AIM
Bernstein, Simon L.
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Home: (561) 477-9096
Mobile: (561) 302-2598 or 7
Car: 407-251-919567
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## Armstrong, James F.

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Mobile: (732) 735-2038
Bus Fax: (732) 747-5569
E-mail: jarmstrong1@comcast.net

## b

## Benada Aluminum of Florida Friedkin, Monte

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## Blakely, Sokoloff, Taylor \& Zafman, LLP

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## C

## Crossbow Ventures ${ }^{\text {TM }}$

## Powell, H. Hickman "Hank"

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E-mail 2: Hpowell@cb-ventures.com

## Crossbow Ventures ${ }^{\text {TM }}$ <br> Warner, Stephen J.

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## Emerald Capital Partners, Inc. <br> \section*{Buchsbaum, Maurice}

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## f

## Foley \& Lardner

## Becker, Steven C.

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## Foley \& Lardner

## Boehm, Douglas

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## Foley \& Lardner

## Dick, Bill

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i

## I View It Technologies, Inc.

## Bernstein, Eliot I.

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Bus Fax: (561) 364-5502
E-mail: iviewit@bellsouth.net
E-mail 3: eliot@iviewit.com

## i

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## I View It Technologies, Inc.

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## j

```
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Meltzer, Lippe, Goldstein, Wolf \&
Schlissel, P.C.
```

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Proskauer Rose LLP
Rubenstein, Kenneth

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## Proskauer Rose LLP

Wheeler, Christopher C.

```
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Mobile: (561) 289-4515
Bus Fax: (561) 241-7145
E-mail: cwheeler@proskauer.com
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```


## w

WhereToLive.com, Inc.

## Utley, Brian G.

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## EXHIBIT B

[Insert Utley patents]

The two patents found stolen from Iviewit by Mr. Utley are listed below, these are clear attempts of Fraud on the Company and the US Patent and Trademark offices by the listed perpetrators.


Blakely, Sukololf, Taylor $\&$ Zafman
3
Note that Zoom and Pan Using a Digital Camera does not contain Eliot Bernstein as an inventor but that the document provided herein by Foley and Lardner attempts to insert Mr. Bernstein, although no signature of Mr. Bernstein is provided and he has no knowledge that this was ever presented to him. Note that although this document shows no assignment of the patents, that Mr. Utley claims to have assigned them to Iviewit in his deposition statements.

The following patent applications in Mr. Utley's name were stolen from the Company and were recaptured through assigning them back to the Company. These two patents were neither disclosed by Mr. Utley or the law firms of Proskauer Rose and Foley and Lardner to the Company, investors and were not disclosed to banks seeking to raise funds for the Company. These patents also represent Fraud on the USPTO and were facilitated through the US Postal Services constituting Mail fraud and were sent via fax to Mr. Utley constituting Wire Fraud.

U.S. PROVISIONAL PATENT APPLICATION
for
zoom and pan imaging DESIGN TOOL


FOLEY \& LARDNER
Attorneys at Law
777 E. Wiscensin Avenue Milwaukee, Wisconsin 53202 (414) 271-2400

In this next invention, Utley claimed in his deposition that he was unaware of camera applications of the Iviewit processes and this further shows intent to lie and cover up his thefts. Also, Eliot Bernstein was never aware of this patent filing and never signed for this patent, although records recovered are minimal provided by Foley and Lardner, the Company alleges that Mr. Bernstein's name was disingenuously inserted to attempt to cover up their part in the crime. We respectfully request the Boca Raton PD to attempt to gather the true documents submitted for this application from the patent office.


## U.S. PROVISIONAL PATENT APPLICATION

for
ZOOM AND PAN IMAGING
USING A DIGITAL CAMERA

Inventors:<br>Brian G. Utley<br>1930 SW $8^{\text {th }}$ Street<br>Boca Raton, FLORIDA 33486<br>Citizenship: U.S.<br>Eliot I. Bernstein<br>500 S.E. Mizner Boulevard<br>Boca Raton, FLORIDA 33432<br>Citizenship: U.S.

FOLEY \& LARDNER
Attorneys at Law
777 E. Wisconsin Avenue
Milwaukee, Wisconsin 53202
(414) 271-2400

Other Inventions Claimed by Utley and signed by Utley that were property of Iviewit and not invented by Utley that he attempted to claim as his inventions. The signatures on the following notarized documents are those of Mr. Utley and Martha Mantecon, a former

## iview!t

employee that Mr. Utley had used at his prior employ of Diamond Turf Lawnmower were they (Martha and Utley) attempted to abscond with patent ideas and were fired for these actions.

## Invention of Controllable Image Presentation with Audio and/or text Accompaniment

Object: To control a presentation image by a program within the computer or remotely over a network such that when the program is initiated it will control the presentation image by selecting panaromic and/or zoom parameters in order to focus the attention of the viewer on particular elements of the image. The program may also control an audio track or text box to explain to the viewer details of the image.

The program may be initiated by the viewer or automatically upon selection of the image.

Withess
Date: $\qquad$
运
Inventor
Date: $\qquad$

## Invention of Image Overlay Over the Web To Facilitate Expanded Presentation Facilities

> Object: To create an image overlay for the purpose of further defining the image, superimposing graphics and superimposing text. The overlay is controlled by software on the computer hosting the display device or over a network. The software may be initiated by the operator/viewer or automatically upon selection of the first level image.

This invention also contemplates multiple layers of overlay for complex presentation purposes.


The following deposition statements from Mr. Utley clearly show him to be lying and committing perjury in regards to these issues.




Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)


Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02

```
with that work. 118
    Q. Have you had any discussions or had
    any meetings with Mr. Wheeler after your
    ceasation of employment with Iviewit?
    A. Only of a personal nature.
    Q. And when was the last time you met
    with him?
    A. About three weeks ago?
    Q. And where was that? Was that here
    down it south Florida?
            A. Yes.
            Q. And what was the purpose for your
    trip down here?
            A. Is that, is that -- I have to ask
    this question, I'm not trying avoid it, but is
    that anything to do with this interrogatory?
            Q. Well, it does have to do with the
        person who introduced you to the company so
        certainly it's relevant to find out what your
        relationship is.
            A. Well, let me just say this, that my
        visit to Boca Raton had nothing to do with
        Mr. Wheeler in any event. It was, we got
        together on a social basis as a circumstantial
        opportunity based on being there.
```

    Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)
    

```
Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 
    error?
            A. No. If that happened. I don't
        recall it happening, but if it did, that would be
    the only circumstance under which that would
    happen.
            Q. Well, but you're speculating because
    you don't recall the situation?
            A. I do not recall ever receiving
    anything at home, but if it happened, it would be
    as a point of convenience and not as a point of
    procedure.
```

And Later from his deposition regarding William Dick's involvement with past patent malfeasances at Diamond Turf Lawnmower



```
roskauer Rose vs. Iviewit.com, et al. 8/23/02
    that what it is?

```

    you aware of any police report that was ever
    filed involving Mr. Mike Real and yourself?
    MR. PRUSASKI: Objection, relevance.
    By MR. SELZ:
    Q. Go ahead and answer the question, if
    you can, sir.
    A. There was a dispute over the nature of the equipment that I bought from Iviewit as --
Q. Well, that really wasn't my
question. My question was are you aware of a police report? And it's really a yes or no type of answer.
MR. PRUSASKI: Objection, relevance.
THE WITNESS: I believe there was a
report.
By MR. SELZ:
Q. Okay. Do you know who filed that
report?
A. Iviewit filed that report as far as
"at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

```
iview!

\section*{EXHIBIT C}

\section*{STATEMENT OF BLAKELY SOKOLOFF TAYLOR \& ZAFMAN LLP}

The second patent Utley has in his own name with no assignment to the Company is ZOOM \& PAN IMAGING USING A DIGITAL CAMERA. This summary page was provided to Iviewit's investor Crossbow Ventures by Blakely Sokoloff Zafman and Taylor, and Crossbow then pulled funding on the Company in what appeared to be related to the discovery of such information, investigation pending. As you can see Utley is sole inventor of ideas that were created prior to his employment at iviewit.

Please refer to the footnote in the following document from Blakely Sokoloff Zafman \& Taylor after finding such stolen patents and having to try and re-assign them to the Company.

\section*{BLAKELY SOKOLOFF TAYLOR \(\mathcal{Q}\) ZAFMAN LLLP}

```

h_Curneg Law COFPOFAIKes
Terarngme (310; 207-3000
Facsugem [3tOC,a20 agre
(310) 320-3988
estz_ual Omstz CSH
mww.bstecon

```

\section*{Sonfirmacio Copy}
Intellectual Propefty law
12400 Wishire Bounevaro
Seventh Floor
Log Angeles, Ca \(90025 \cdot 1026\)


\section*{Confidential Communication attorney-Client Privileged}

Eliot Bernstein
Iviewtr.com, INC.
505 North Brand Boulevard, Suite 1420
Glendale, California 91203
Re: Powers of Attomey for Six PCT Applications:
\begin{tabular}{|c|c|}
\hline \begin{tabular}{l}
Apparatus and Method for Producing \\
Enhanced Digital Images \\
Serial No. PCT/USOO/07772 \\
Our File No. 005707 P009PCT \\
Foley's Reference No. 110
\end{tabular} & ```
System and Method for Playing a Digital
Video File
Serial No. PCT/US00/15406
Our File No. 005707.P012PCI
Foley's Reference No. 113
``` \\
\hline \begin{tabular}{l}
System and Method for Strearning an \\
Enhanced Digital Video File \\
Serial No. PCT/USOO/15408 \\
Our File No. 005707. P010PCT \\
Foley's Reference No. 111
\end{tabular} & \begin{tabular}{l}
System and Method for Video Playback Over a Network \\
Serial No. PCT/USO0/15602 \\
Our File No. 005707.P016PCT \\
Foley's Reference No. 118
\end{tabular} \\
\hline \begin{tabular}{l}
System and Method for Providing an Enhanced Digital Video File Serial No. PCT/USOO/15405 Our File No. 005707 P011PCI \\
Foley's Reference No. 112
\end{tabular} & \begin{tabular}{l}
System and Method for Providing an \\
Enhanced Digital Image File \\
Serial No. PCT/US00/21211 \\
Our File No. 005707.P018PCT \\
Foley's Reference No. 120
\end{tabular} \\
\hline
\end{tabular}

Dear Eliot:
Being e-mailed (and enclosed herewith) are six (6) Powers of Attorney for the subject PCT Patent Applications, one Power for each inventor named in any one or more of the PCT patent applications, and one Power for the corporation, Iviewit Holdings, Inc. Three of the Powers reguire your signature, as followe: (i) one by you in your individual capacity; (ii) a second by you in your capacity as designee of the corporation to sign on behalf of Brian Utley (we hope the PCT Office will recogrize Utley's having granted a Power of Attorney to his corporate employer); and (iii) a third by you for the corporation in your capacity as its Secretary. Kindly sign where your

\section*{BLAKELY SOKOLOFF TAYLOR\&ZAFMAN LLP \\ A Leitud Lumuty Partingrese}

Inauting Law Corpopatices
Eliot Bernstein
IVIEWJT.COM, Inc.
August 4, 2001
Page 2 of 2
signature is indicated on the three Powers of Attorney and return the original executed Powers to our office via mail (we need to have each Power with an original signature) Also fax each Power to us at (310) 820-5988, to expedite the process.

As we discussed, we request that you also forward each of the three remaining Powers to Jude R. Rosario, Jeffrey S. Friedstein and Zakirul A. Shirajee, respectively, for their signatures. Kindly instruct each of them to execute the Powers and to return the originals to our office by mail. In order to expedite the matter, request each of them to fax a copy to us, if possible.

If you have any questions, please feel free to contact my Assistant, Jan Cass. We appreciate your attention to getting the subiect Powers executed and returned to us. We will then attend to their filing with the PCT Office.

Best personal regards,
BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN, LLP


NZ/jg
Eлclosures
ce: Ross Miller (w/Enclosures via E-Mail)


Ross, please attend to getting a Board Resolution appointing Eliot as the corporation's designee for signing the subject Power on behalf of Brian Utiey. We talked about this in the context of giving Fliot comfort; however, the PCT Office may well request such a Resolution (in addition to a copy of Utley's Employment Agreement, which we already have)

\section*{EXHIBIT D}

\section*{REASSIGNMENT OF UTLEY PATENTS TO THE COMPANY}
[Insert reassignment document]

\section*{IN THE UNITED STATES PATENT AND TRADEMARK OFFICE}
\begin{tabular}{l} 
In re the Application of: \\
Eliot L. Bernstein and Brian Utley \\
Serial No.: \(09 / 630,939\) \\
Filed: \(08 / 02 / 00\) \\
\begin{tabular}{l} 
For: SYSTEM AND METHOD FOR PROVIDING AN \\
ENHANCED DIGITAL IMAGE FILE
\end{tabular} \\
\\
\hline
\end{tabular}

\section*{REVOCATION AND POWER OF ATTORNEY}

The Hon. Commissioner of
Patents and Trademarks
Washington, D.C. 20231
Dear Sir:
The Applicant of the above-identified Application, hereby revokes all previous powers of attorney given in this Application, and appoints the firm of:

BLAKELY SOKOLOFF TAYLOR \& ZAFMAN LLP,a firm including: William E. Alford, Reg. No. 37,764; Farzad E. Amini, Reg. No. 42,261; William Thomas Babbitt, Reg. No. 39,591; Carol F. Barry, Reg. No. 41,600; Jordan Michael Becker, Reg. No. 39,602; Lisa N. Benado, Reg. No. 39,995; Bradley J. Bereznak, Reg. No. 33,474; Michael A. Bemadicou, Reg. No. 35,934; Roger W. Blakely, Jr., Reg. No. 25,831; R. Alan Burnett, Reg. No. 46, 149; Gregory D. Caldwell, Reg. No. 39,926; Andrew C. Chen, Reg. No. 43,544; Jae-Hee Choi, Reg. No. 45,288; Thomas M. Coester, Reg. No. 39,637; Robert P. Cogan, Reg. No. 25,049; Donna Jo Coningsby, Reg. No. 41,684; Florin A. Corie, Reg. No. 46,244; Dennis M. deGuzman, Reg. No. 41,702; Stephen M. De Klerk, Reg. No. P46,503; Michael Anthony DeSanctis, Reg. No. 39,957; Daniel M. De Vos, Reg. No. 37,813; Justin M. Dillon, Reg. No. 42,486; Sanject Dutta, Reg. No. P46,145; Matthew C. Fagan, Reg. No. 37,542; Tarek N. Fahmi, Reg. No. 41,402; Mark C. Farrell, Reg. No. 45,988; George Fountain, Reg. No. 36,374; James Y. Go, Reg. No. 40,621; James A. Henry, Reg. No. 41,064; Willmore F. Holbrow III, Reg. No. 41,845; Sheryl Sue Holloway, Reg. No. 37,850; George W Hoover II, Reg. No. 32,992; Eric S. Hyman, Reg. No. 30,139; William W. Kidd, Reg. No. 31,772; Sang Hui Kim, Reg. No. 40,450; Walter T. Kim, Reg. No. 42,731; Eric T. King,

\section*{iview!t}

Reg. No. 44,188; Steven Laut, Reg. No. 47,736; George Brian Leavell, Reg. No. 45,436; Samuel S. Lee, Reg. No. 42,791; Gordon R. Lindeen III, Reg. No. 33,192; Jan Carol Little, Reg. No. 41,181; Robert G. Litts, Reg. No. 46,876; Julio Loza, Reg. No. 47,758; Joscph Lutz, Reg. No. 43,765; Lawrence Lycke, Reg. No. 38,540; Michael J. Mallie, Reg. No. 36,591; Andre L. Marais, under 37 C.F.R. § 10.9(b); Raul D. Martinez, Reg. No. 46,904; Paul A. Mendonsa, Reg. No. 42,879; Clive D. Menezes, Reg. No. 45,493 ; Chun M. Ng, Reg. No. 36,878; Thien T. Nguyen, Reg. No. 43,835; Thinh V. Nguyen, Reg. No. 42,034; Daniel E. Ovanezian, Reg. No. 41,236; Kenneth B. Paley, Reg. No. 38,989; Gregg A. Peacock, Reg. No. 45,001; Marina Portnova, Reg. No. P45,750; Michael A. Proksch, Reg. No. 43,021; Randol W. Read, Reg. No. 43,876; William F. Ryann, Reg. 44,313; James H. Salter, Reg. No. 35,668; William W. Schaal, Reg. No. 39,018; James C. Scheller, Reg. No. 31,195; Jeffrey S. Schubert, Reg. No. 43,098; George Simion, Reg. No. P47,089; Maria McCormack Sobrino, Reg. No. 31,639; Stanley W. Sokoloff, Reg. No. 25,128; Edwin H. Taylor, Reg. No. 25,129; Lance A. Termes, Reg. No. 43,184; John F. Travis, Reg. No. 43,203; Joseph A. Twarowski, Reg. No. 42,191; Kerry D. Tweet, Reg. No. 45,959; Mark C. Van Ness, Reg. No. 39,865; Thomas A. Van Zandt, Reg. No. 43,219; Lester J. Vincent, Reg. No. 31,460; Glean E. Von Tersch, Reg. No. 41,364; John Patrick Ward, Reg. No. 40,216; Mark L. Watson, Reg. No. P46.322; Thomas C. Webster, Reg. No. P46,154; and Norman Zafman, Reg. No. 26,250; my patent attorneys, and Firasat Ali, Reg. No. 45,715; and Richard A, Nakashima, Reg. No. 42,023; my patent agents, of BLAKELY SOKOLOFF TAYLOR \& ZAFMAN LLP, with offices located at 12400 Wilshirc Boulevard, 7th Floor, Los Angeles, California 90025, telephone (310) 207-3800, with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected herewith.

Please direct all communications concerning this Application to:
Thomas M. Coester, Esq.
BLAKELY, SOKOLOFF, TAYLOR \& ZAFMAN
12400 Wilshire Boulevard, Seventh Floor
Los Angeles, CA 90025
(310) 207-3800

Date: \(\qquad\)
\(\qquad\) Eliot I. Bernstein

Date: \(\qquad\) By: \(\qquad\)


\section*{EXHIBIT E}

\section*{RESUME OF BRIAN G. UTLEY}
[insert Utley resume]

\author{
1930 SW 8 \({ }^{\text {th }}\) Street \\ Boca Raton, FL 33486
}

\section*{Personal Resume}

\section*{Professional History:}

President, Diamond Turf Equipment, Inc. July, 1995 to July 1999


In 1995 the company was engaged in refurbishing obsolete and run-out golf course maintenance equipment and had annual sales of \(\$ 250 \mathrm{~K}\). Since that time the company has been transformed into a manufacturer of new machines which compete favorably with the best of the market Leaders and an expected revenue for 1999 of \(\$ 6 \mathrm{M}\). The design of the machines was by Brian and was accomplished while pulting together a manufacturing and marketing team capable of supporting the rapid growth of the company.

Per Friedkin he
was fired for patent theft and the company was closed

President, Premier Connections, Inc., November, 1991 to present.
Premier Connections provides consultation and support services in computer and related business management. Customers have included IBM and other small businesses.

IBM, October, 1955 to October, 1991.
Brian retired from IBM as Vice-President and General Manager, IBM Boca Raton.
Prior to his assignment in Boca Raton Brian spent 5 years in Europe as Group Director for PC's and small systems. This responsibility covered all aspects of product management for all European, Middle East and African countries.
In 1983 Brian was appointed General Manager, IBM Biomedical Systems and asked by the IBM President, John Opel, to evaluate develop the long range strategy for this business unit. Brian subsequently reported back to the President that the Business Unit, while quite viable, should be sold to a related business in the medical community. Having received approval to do so, he negotiated a profitable sale for IBM.
Between 1965 and 1983 Brian was the project and systems manager for many major IBM computer systems which earned IBM billions of dollars in revenue. The most notable of these was the \(\mathrm{S} / 38\) and AS 400 , one of IBM's most technology aggressive development programs ever and still one off IBM's most popular systems.
Brian entered the IBM laboratories in 1959 and immediately becarne the most prominent engineer on his first project with many innovative designs. As a result of this he was assigned to the German IBM laboratories to train German engineers in computer technology. He has been awarded a number of patents the most recent of which was granted in 1998.
From his start in October 1955 to the time he entered the laboratories Brian was a customer engineer responsible for maintaining IBM equipment on customer premises. During this time he self taught computer technology and transistor theory and developed the first IBM field course in transistors. This is the accomplishment which led to his assignment in the laboratories.

\section*{Education:}

Having been born in England, he attended Beverley Grammar School and graduated in 1948 at
16. In 1949 he emigrated to the United States and completed his senior year at Ogden High School, Ogden, Utah.
He attended college at Weber College, Ogden, Utah and San Francisco City College completing two years of study.

\section*{Hobbies:}

Brian is a jogger and for 40 years has been an avid glider pilot with many competitive successes.


Wheeler sets up Premier no COI, Utley lies in depo saying Wheeler never did work for him. Wheeler depo says he did it and did not disclose this to Company, lies to Bar of Florida and says he did?????

Wachovia PPM says he was graduate, in his own deposition he says he was not!!

\section*{iview!t}

Utley - Confused about his past
\begin{tabular}{|c|c|}
\hline \(1)\) & Q. In New York. Okay. Now, going back \\
\hline (22) & to something that Mr. Prusaski started but I \\
\hline [13] & dor't think he completed with was some of your \\
\hline (19] & background information about your education. If \\
\hline (15) & you can just tell me from undergraduale anward \\
\hline (16) & what your educational background is, Sir, schools \\
\hline (17) & you attended, years of attendance and degree. \\
\hline (19) & A. Idon't have a degree. \\
\hline (19) & Q. Okay \\
\hline (20) & A. I attended Weaver State Univers \\
\hline (2) & which was then Weaver College, 1950. \\
\hline (12) & Q. Okay. \\
\hline (2) & A. San Fransisco City College, 1957, \\
\hline (20) & 1958. \\
\hline 425 \({ }^{\text {d }}\) & Q. Okay. And you graduated from San \\
\hline & Page 95 \\
\hline \multicolumn{2}{|l|}{(1) Francisco College of did not?} \\
\hline \multicolumn{2}{|l|}{\(\xrightarrow{\text { (2) }} \mathrm{A}\) I don't have a degree.} \\
\hline \multicolumn{2}{|l|}{(3) Q. Okay. So you never completed your} \\
\hline \multicolumn{2}{|l|}{(4) course at San Fransisco then?} \\
\hline \[
\text { (B) }>
\] & A. Right. \\
\hline
\end{tabular}

Here, Utley suddenly becomes graduate of San Francisco college in resume submitted for Wachovia bank OM - Private Placement offer. Here he claims he is a graduate of SF City college!!!

Brian G. Utley, President (67) - For over 30 years, Mr. Utley was responsible for the development and world-wide management of many of IBM's most successful products such as the AS400 and the PC. Entering IBM's executive ranks in the early 1980s, Mr. Utley's impact was felt in all areas of IBM's advanced technology product development, including Biomedical Systems, European Operations, and most importantly, IBM's launch of the Personal Computer. Following the introduction of the PC in the United States, Mr. Utley moved to Europe where he was responsible for a number of IBM's overseas activities including managing the launch of the PC across Europe and the Middle East. His career with IBM culminated with his responsibility as Vice President and General Manager of IBM Boca Raton with a work force of over 6,000 professionals. He is a graduate of San Francisco City College. WHAT IS DEGREE??


Whereas the Company has retained Korn / Ferry to assist in the identification and recruitment of a high impact Chief Executive Officer (preferably from the media or entertainment industry) and Chief Technical Officer, iviewit has assembled a complementary and seasoned, management team with Fortune 100 and early-stage, entrepreneurial experience. This team consists of the following personnel:

Brian G. Utley, President (67) - For over 30 years, Mr. Utley was responsible for the development and world-wide management of many of IBM's most successful products such as the AS400 and the PC. His career with IBM culminated with his responsibility as Vice President and General Manager of IBM Boca Raton with a work force of over 6,000 professionals He is a graduate of San Francisco City College.
Eliot I. Bernstein, Founder and Vice Chairman (37) - Prior to founding iviewit, Mr. Bernstein spent 15 years with SB Lexington where he was President of the West Coast Division creating and developing many innovative, computer-based multi-media marketing tools which remain in use supporting multi-billion dollar service industries. Mr. Bernstein is a graduate of the University of Wisconsin.

Michael A. Reale, Vice President of Operations (60) - Mr. Reale has over 20 years of operations experience, including P\&L, quality, and delivery performance accountability. Most recently, Mr. Reale was the Chief Operating Officer for Boca Research (Nasdaq:BOCI), a manufacturer of personal computer enhancement and Internet thin client products. Mr. Reale received his BA and MBA from Pace University.

Raymond T. Hersh, Vice President of Finance (58) - Mr. Hersh has over 35 years of successful business and operating experience involving financial services, telecommunications, manufacturing, and corporate strategic planning. For over 20 years, Mr. Hersh has operated and grown companies in Florida, and most recently, he was co-founder and President/CEO of New Medical Concepts, Inc., a telecom company specializing in providing healthcare information. Earlier, he spent five years as an Enforcement Attorney with the U. S. Securities and Exchange Commission in New York City where he exited as a Branch Chief. He is a member of the New Jersey and New York Bars. Mr. Hersh received his BA from Lafayette College and his LLB/JD from the University of Pennsylvania.

Kevin J. Lockwood, Vice President of Sales and Business Development (40) - Mr. Lockwood joins iviewit from Cylex Systems where he held the position of Executive Vice President of Sales and assisted in securing three rounds of funding exceeding \(\$ 20\) million. He also held the position of Head of Sales for Acer America, Inc. where he increased sales from a run rate of \(\$ 150\) million annually to over \(\$ 1.5\) billion annually in only a 17 -month time. In addition, Mr. Lockwood successfully launched the Fujitsu P.C. into the U.S. and in the first year amassed revenues of over \(\$ 200\) million. He is a graduate of the University of Maryland with a Bachelor of Science degree in Business Administration.

Guy Iantoni, Vice President of Sales (35) - Prior to joining iviewit in 1999, Mr. Iantoni was Senior Financial Representative with Fidelity Investments. From 1995 to 1997, he served as an Investment Management Consultant to the private client group of Morgan Stanley Dean Witter \& Company, Inc. Mr. Iantoni has developed computer databases and systems to effectively market and target segments in both the financial markets and the healthcare industries. Mr. Iantoni is a graduate of the University of Wisconsin with an advanced degree in Pharmacy.
\begin{tabular}{ll}
\hline Strategic & \begin{tabular}{l} 
iviewit is creating a stable of strategic partners in the areas of technology, R\&D, applications \\
development, and video hosting and delivery. The Company has partnered with key industry \\
leaders to develop precedence in the market. Partners include Greg Manning Auctions, Atlas
\end{tabular} \\
& Entertainment, Medical Online, Digital Island, Burst.com, and Versifi.
\end{tabular}

Wachovia Securities, Inc.
Page 7

\section*{EXHIBIT F}

\section*{DEPOSITION STATEMENTS OF UTLEY\& EVIDENCES OF PERJURED DEPOSITION STATEMENTS}

The first exhibit of statements will illustrate that Mr. Utley and Mr. Wheeler try to deny the involvement of Kenneth Rubenstein and Proskauer Rose in the handling of the patent matters. In this first series it will become apparent that Mr. Utley and Mr. Wheeler perjure themselves in denying that Mr. Rubenstein was an Advisory Board member.

From Utley's deposition we cite:



Now in direct contradiction to this statement from Mr. Utley's deposition you will find in the next correspondence that Mr. Utley sends to Mr. Wheeler and the ENTIRE Board of Directors that he refers to Mr. Rubenstein as an Advisor to the Company.

\section*{iview!t}

Subject: FW: Minutes of the Board Meeting of April 14, 2001
-----Original Message-----
From: Brian G. Utley [mailto:brian@iviewit.com]
Sent: Wednesday, April 18, 2001 11:17 AM
To: Eliot I. Bernstein; 'simon@adelphia.net'; 'kanderson@myCFO.com'; 'dg kane@msn.com';
'glewin@goldsteinlewin.com'; 'hankpow@gate.net'; 'bprolow@tiedemannfunds.com'; Maurice Buchsbaum Cc: 'Christopher C. Wheeler (E-mail)'
Subject: RE: Minutes of the Board Meeting of April 14, 2001
I was advised by Proskau Rose that anyone who was in an active due diligen/e stage and who was reviewing our intellectual property as bart of that due diligence should receive a copy of the examiners opinion. Therefore the opinion was forwarded the same people who have received copies of thatent tilings namely, Warner Brothers and Irell \& Manella? Ken Rubenstein, as our advisor, was also copied. Your father suggested that, because of the importance of our intellectual property, our own Board of Directors should be aware of the current status of our applications. With respect to Irell \& Manella, it is quite likely that we will need to engage them or some other alternative counsel in order to respond to the opinion. I have a copy of Alvear's book if you need it.

\section*{-----Original Message-----}

From: Eliot I. Bernstein
Sent: Tuesday, April 17, 2001 7:07 PM
To: 'simon@adelphia.net'; 'kanderson@myCFO.com'; 'dg_kane@msn.com'; 'glewin@goldsteinlewin.com'; 'hankpow@gate.net'; 'bprolow@tiedemannfunds.com'; Maurice Buchsbaum
Cc: Brian G. Utley; Christopher C. Wheeler (E-mail)
Subject: RE: Minutes of the Board Meeting of April 14, 2001
Brian - several board members asked that you specity which of our attorneys advised you and on what legal references you were cited to disseminate the PCT report. Also, was there some reason that you have recently decided to share patent news of any nature with those involved, prior you had never disclosed to the Board or potential dients anything that was regarding the patents?

I had already discussed with David the examiners report and we had begun to research the reference to Jose Alveraz's book, it does not look particularly relevant to our process.

Also, I find it in poor taste that you are enooding pornography with a 17 year old girl present in the room, this could potentially be a risk to the company, so I ask that all further business relating to pomography be handled outside the office and without iviewit personnel or equipment. Could you please have our attorney's advise on the risks you may be subjecting us to in this matter. These matters were brought to my attention by several of our employees who were offended.

Best,
Eliot
Again, contrary to this Board letter by Mr. Utley he perjures himself in his deposition:


And again, in a letter to Mr. Wheeler before placing Mr. Rubenstein in the business plans as an Advisory Board member, we find the following letter sent by Mr. Utley to Mr. Wheeler:

\section*{MR. UTLEY, REVERSING COURSE AGAIN, ASKING WHAT ROLE TO PROVIDE FOR RESPONDENT}
```

-----Original Message-----
From: Brian G. Utley [mailto:brian@iviewit.com] On Behalf of Brian
Sent: Thursday, January 13, 2000 9:33 AM
To: 'cwheeler@proskauer.com'; 'cowhlaw@aol.com'
Subject: Business Plan
Your name and Ken Rubenstein's name are proposed as members of an iviewit
advisory board. Does this give you a problem?
Brian

```

And here again in the Wachovia Private Placement Memorandum authored and disseminated by Wheeler and Utley, we find he has again perjured his deposition statements in regards to Rubenstein and either is guilty of committing fraud on bank or perjuring his deposition as Rubenstein is clearly listed as an Advisory Board member.

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

Confidential

\section*{II. Investment Highlights}
- Unique processing technologies for video and imaging
iviewit's patent pending processing technologies can create high-definition images with "scan, pan, and zoom" capabilities, high-fidelity audio streams, and full-screen, fullframe rate video for streaming over the Internet. The iviewit video technology is a highly scalable process. The resulting files are approximately \(25 \%\) less than comparable quality files. iviewit 220 Kps streams are equivalent to competitive 300 Kbps streams. The Company's imaging process delivers images that are photo-quality, resistant to pixelation even at magnification levels of \(30+: 1\). Images produced by iviewit's proprietary process are identical in quality regardless of the end-user's Intemet connection speed. File size options are tailored to minimize download times and optimize the end-user's experience.
- Complementary and Seasoned Fortune 100 and Entrepreneurial Management Team
iviewit has assembled a complementary and seasoned management team with Fortune 100 and early-stage, entrepreneurial experience. Management consists of former IBM operations executives who have experience in building video delivery capabilities and of marketing talent from successful venture-backed technology companies. The Company recognizes its strength in operations and product development and recognizes the need to attract a capable, experienced CEO and CTO to accelerate the Company's development iviewit has retained Korn / Ferry to assist in the identification and recruitment of this talent.
- Strong and Experienced Board of Directors and Advisory Board
jviewit's Board of Directors and Advisors consist of several well-established individuals from the technology, entertainment, and financial community. Directors have extensive backgrounds with top-tier firms such as Goldman Sachs, Kidder Peabody, and McKinsey \& Co. Crossbow Ventures has provided \(\$ 3.0\) million in funding and sits on the Board. Technology and entertainment guidance comes from a partner at Armstrong Hirsch Jackoway \& Wertheimer and from Kenneth Rubenstein, the head of the MPEG-2 patent pool.
- Significant Intellectual Property Position and Strategy
iviewit has protected its enabling technologies by filing 6 patent pending applications in both the United States and abroad for its video streaming and imaging capabilities, covering a wide array of enabling technologies. The Company also has two remaining provisional patent pending applications that will be converted to patent pending status within the allowable period. The Company has retained Foley \& Lardner to shepherd its patent development and procurement. In addition, the Company has retained Kenneth Rubenstein of Proskaucr Rose, LLP to oversee its entire patent portfolio. The Company's strategy is to establish market precedence through licensing of trade secrets and know-how.
- Substantial Market Penetration and Growing Customer Acceptance

The Company commercialized its products in May 2000. In just 5 months, iviewit has experienced a \(75 \%\) success rate in obtaining service and licensing customers, securing 17 customers to date - primarily in the entertainment, advertising, and hotel markets. The Company expects to realize approximately \(\$ 400,000\) in revenues by year-end from these customers. High profile customers include Ellen DeGeneres, Z.com (Alanis Morissette), Hyatt Hotels, Gear Magazine, and Hollywood.com. Highly probable for closing by yearend 2000 include Warner Brothers and Greg Manning Collectibles.
- Focused on Media Rich Target Markets - Unlocking the Value of Content

The Company's business strategy is to first target high-profile content owners and distributors as clients to process video and images and to brand those images with iviewit's logo. Secondly, ivicwit plans to co-brand with famous celebrities and

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Investment Management, both based in London. Among his primary areas of expertise are technology research and economic research, including electronics, telecommunications and computer software. Most recently, he was Senior Technology Analyst and Vice President of Southeast Rescarch Partners, Inc. where he worked with leading technology companies. He eamed a bachelor of arts degree at Yale University and a master of busincss administration degree at Stanford University.


\section*{Alan J. Epstein}

Partner, Armstrong Hirsch Jackoway Tyerman \& Wertheimer, P.C.
Mr. Epstein's law practice consists of advising Internet companies on various issues pertaining to the entertainment and sports industries, including the creation, licensing and acquisition of content, the introduction and negotiation of strategic partner relationships, and various other matters relating to the convergence of technology and content. Mr. Epstein also advises his firm's numerous celebrity clients on the exploitation and protection of their name and likeness rights and content on the Internet, as well as merchandising, endorsement and sponsorship deals. Prior to entering the UCLA School of Law, Mr. Epstein was a certified public accountant at Deloitte Haskins \& Sells in Dallas, Texas.

\section*{Kenneth Rubenstein}

Partner, Proskauer Rose LLP
Mr. Rubenstein is a partner at Proskauer Rose LLP law firm and is the patent attorney for iviewit. He is a registered patent attomey before the U.S. Patent \& Trademark Office. Mr. Rubenstein counsels his clients with respect to the validity and infringement of competitors' patents, as well as prosecutes patent applications. For the past several years he has worked on the formation of a patent pool, for MPEG-2 technology, involving large consumer electronics and entertainment companics. He is also a former member of the legal staff at Bell Laboratories. Mr. Rubenstein received his law degree, cum laude, from New York Law School. and his Ph.D. in physics from the Massachusetts Institute of Technology where he also graduated with a B.S. Degree.

Christopher C. Wheeler
Partner, Proskauer Rose LLP
Mr. Wheeler is a member of Proskauer Rose LLP's Corporate Department and as a partner in the Florida office has a versatile transactional practice. He has had extensive experience in real estate and corporate law, institutional lending and workouts, administrative law and industrial revenue bond financing. Moreover, he serves as a strategist and counselor to many clients in handling their other legal and business matters. Mr. Wheeler is well-versed in general corporate law as well as mergers and acquisitions and securities matters. He has guided companics from startup through initial private placements to public offerings. A graduate of Hamilton College and Comell Law School, Mr. Wheeler was a member of the managing Board of Editor of the Comell Law Review.


Arthur Andersen, LLP
Arthur Andersen's vision is to be the partner for success in the New Economy. The firm helps clients find new ways to create, manage and measure value in the rapidly changing global economy. With world-class skills in assurance, tax, consulting and corporate finance, Arthur Andersen has more than 70,000 people in 83 countries that are united by a single worldwide operating structure that fosters inventiveness, knowledge sharing and a focus on client success. Since its beginning in 1913, Arthur Ander sen has realized 86 years of uninterrupted growth, with 1999 revenues over \(\$ 7\) billion. Arthur Andersen is a business unit of Andersen Worldwide.

Proskaner Rose, LLP
This law firm is one of the nation's largest law firms, providing a wide variety of legal services to major corporations and other clients through the United States and around the
iview!t

\section*{DEPOSITION STATEMENTS OF WHEELER \& EVIDENCES OF PERJURED DEPOSITION STATEMENTS}

The first exhibit is a statement circulated by Proskauer Rose for investment to several investment groups, followed by his denial of such claims in his deposition.

\begin{abstract}
April 26, 1999

Mr. Richard Rossman
Lewinter and Rossman
16255 Ventura Blvd., Suite 600
Encino, CA 91436
Re: iviewit, Inc.
Dear Richard:
Under separate cover I have forwarded you a revised Confidentiality Agreement.
年 coordinate their corporate and intellectual property matters. In that regard, we have reviewed their technology and procured patent counsel for them. We belicve the ivicwit technology is far superior to anything presently available with which we are familiar. Iviewit has filed a provisional patent application on a method for providing enhanced digital images on telecommunications networks. We are advised by patent counsel that the process appears novel and may be protected by the patent laws. While in all matters of this sort, it is far to early to make any final pronouncements, we do believe that there is an extremely good prospect that iviewit will protect their process which is novel and superior to any other format which we have seen.
\end{abstract}

Very truly yours,

Christopher C. Whecler
CCW/gb
\begin{tabular}{l||l}
1 & Conpany certificate as Exinibit to opinion, et cetera, \\
2 & et cetera. There were more - I would imagine they \\
4 & were conporate matters. We wouldn't have opined - we \\
4 & never spined to the intellectual property.
\end{tabular}

Wheeler's deposition p.200:


Wheeler's deposition p.102-103:

Further in a letter to secure investment from Wayne Huizenga, Mr. Wheeler again completely contradicts his deposition statements.


July 23, 1999

VIA FAX
Mr. Cris V. Branden
Huizenga Holdings. Inc
450 East Las Olas Blvd, Suite 1500
Ft. Lauderdale, FL 33301
Dear Cris:
Per our discussion, enclosed please find Evaluation Feedback and proposed Confidential Term Sheet. Plesse note the last sentence of the Evaluation Feesback. We view this as a validatica of oar technology and an indication of Rea 3D's intent to move forward

Best regards
Cordially,


CCW/gb

And finally, Mr. Wheeler drafts the following letter for circulation to his Partners at Proskauer Rose regarding the impact of the Iviewit technologies.
iviewlt

Dear Colleagues,
As a firm, we are in a unique position to impact the effectiveness of the Internet and to
profit from the same. The firm of iviewitcom, Inc. is one of my clients and Proskauer,
Rose, LLP. is a \(2.5 \%\) shareholder. I have worked closely with iviewit, for the past 18
months, establishing and fine-tuning their corporate structure. My cbjective with this
letter is to introduce you to this forward-thinking company and to ask for your support
and assistance.
The Internet is quickly evolving from a text-based medium that users have been forced to
read, into a multimedia platform that users can begin to experience. The importance that
this evolation has to e-commeroe has been likened to the impact felt by television when it
was embraced as a marketine and communications tool iviewit's intellectual procerty
positions them as a leader in the sreaming video, streaming audio and virtual imaging
online markets. Their technologies have broad ranging applications for many different
industries including: entertaimment, auctions, education, healtheare and retail.
Because of the extensive applicability of iviewit's products, the vast majority of
Proskater's client relationshims represent poteatial clients foriviewit. Please join me as I
endeavor to introduce my clients to iviewit and, in the process, help those clients to gain a
competitive advantage through the utilization of iviewit's technologies. Please contact
me with any opportunities that you idenlify and I will arrange an introduction to a
member of iviewit's management team. I have enclosed a descriptive flyer from iviewit
and a multimedia CD-ROM that will serve as an introduction to ivicwit. Additional
information can be found at their websik, www, ivicwit.com.
Thank you for your time and attention. I look forward to working toge ther to help this
valued client and to further enhance the value of our equity position in iviewit.
Sincerely,

Christopher C. Wheeler

The next set of deposition and Florida Bar statements by Mr. Wheeler concern his recommendation of Brian Utley, his "best" friend to Iviewit, without disclosing his past patent malfeasances and his prior work for Mr. Utley. What is interesting to note is that first Mr. Wheeler claims to the Florida Bar that he fully disclosed his past dealings with Mr. Utley and then later claims in another statement to the Florida Bar that he did not. His first statement contradicts his deposition testimony.
IV. Misrepresentation of Mr. Utley's background by Mr. Wheeler on a false resume where Utley lies about termination from his prior employer, Monte Friedkin of Diamond Turf, failing to inform the Company that he was involved in patent disputes that led to closure of the Company and his being fired. More shocking is that Utley testifies that Wheeler was "fully cognizant" of such termination and reasons surrounding such termination.

From the response filed by Mr . Wheeler we quote:
B. Miarepresentations: Mr. Bernstein alleges that Mr. Wheeler misrepreseated: (i) Brian Utley's background while recommending him for a pesition with Iviewit, (ii) petent attoracy William Dič's background; (iii) that Raymond Joas was a Prockauer attomey, and (iii) that Keuneth
C. Cooflicts of laterest: Mr. Bernstein alleges that Proskaner: (i) represented other clients with a conflict of interest to lviewit; (ii) friled to disclose the prior representation of Brian Utey; and IV. No Misrepresentations Were Made To Eliot Bernatein

In his complaint, Mr. Bernstein alleges that Mr. Wheeler somehow misrepresented the crodentials of several people to Iviewit, most notably Brian Utley, Iviewit's former President and COO. According to Mr . Bemstain, Mr. Wheeler misrepresented the bsekground of Mr. Utley in order to induce Iviewit to hire him.

\begin{abstract}
It is worth noting that, at the time of his hiring as the President of Iviewit, Mr. Utley was retired from a thirty-seven year career with IBM, serving as the Vice-President and General Manager in charge of the Boca Raton, Forida operations. Contrary to Mr. Bernstein's allegations, Mr. Wheeler merely introduced Mr. Utley to Simon Bernstein and advised him that he first met Mr. Utley in 1990 on a social level and subsequently served with him on the Florida Philharmonic and Florida Atlantic University Fourdation Boards. (Deposition of Christopher Wheeler ("Wheeler dep.") at 113-18, 13120). The introduction was made because Simon Bernstein was looking for someone to run Iviewit and asked Mr. Wheeler for a recommendation. Mr. Wheeler diselosed his social relationship with Mr. Utey to Simon Bernstein and told him that Mr. Utley was the site manager of IBM's Boca Raton office whea they first met in 1990. Id. at \(115-12,117-1\). Mr. Whecler advised Mr. Berastein to explore with Mf. Utley whether be was a good fit for Iviewit. Id. at 115-12. At no point did Mr \(<\) Wheeler suberit any "false resumes" on belalf of Mr. Utley and be is unaware of the existence of any such document.
\end{abstract}

We respectfully submit Mr. Utley's resume as given to Iviewit by Mr. Wheeler for circulation to approve Mr. Utley to the Board and Investors and a confirmation email that Mr. Wheeler was in receipt of Mr. Utley's resume. Further in every business plan that was authored, reviewed, billed for by Proskauer Rose and disseminated by Mr. Wheeler to investors, potential investors, clients, shareholders, potential clients and Wachovia Securities for a Private Placement of 12-20M, Mr. Utley's background was included, with false statements. We ask that the Florida Bar contact Mr. Monte Friedkin (054) \(972-3222 \times 3102\) for testimony that Mr. Utley's statements are false in regard to his past employment. When contacted by Iviewit after Mr. Utley's termination we got a far different story on what happened at Diamond Turf Lawnmower, which is that Mr. Utley had attempted to steal patents which led to his being fired and the Company being closed. Since Mr. Wheeler, Mr. Utley and Mr. Friedkin all sat on the FAU Board together, Mr. Friedkin was confident that Wheeler had full knowledge of the situation, but more telling is that in Mr. Utley's deposition

Lorraine Christine Hoffman, Esq
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)
he claims that Mr. Wheeler was fully "cognizant" of the reasons surrounding his departure. Mr. Wheeler in his deposition is unclear of his knowledge and in the letter submitted to the Bar of Florida he is in complete denial.

1950 S \(W^{2} 8^{2}\) Sreet
Boce Rasom, FL 33485
Porsanal Resume

Professicnal History:
President. Diamocod Turf Equipanent, Inc. July, 1905 to Jaly 1900
In 1995 tie company was cugaged in refurbishing obsekte and tum-out golf course meimeanace eypipment and hod aumual sales of 5250 K . Since that time te company has been transformed inmo a manuficturer of new mashines which compete faverably with the best of tix market
\begin{tabular}{|l|}
\hline \begin{tabular}{l} 
Truth was \\
per Monte \\
Friedkin \\
that Utley \\
was that he \\
was fired \\
for patent \\
theft and \\
Company \\
was shut \\
down!
\end{tabular} \\
\hline
\end{tabular} lesdess and an expeced revenue for 1999 of 56 M . The cessign of the muchines was by Brian and was accouptished wile putting togethor a manufacturing abd marketing teur capuble of supporting the rapid growth of the compary.

Presideat, Prenlier Connecticna, [na., Novenuber, 1991 to presert.


Premial Connections provibes coasslation and support services in cormputer asd related
besiness managemert. Customars have included IBM and ocher small busiaesses.
1BM, Oevber, 3955 to Octaber, 1991.
Brian retied from IBM as Vice-President and General Manager, IBM Beca Ruton. Prior to his assijpmeat in Boca Relon Brian sfout \$ yewn la Emope as Group Director for P'C's and small systems. This resporsibility covered all aspects of prodoct nanazement for all Europeas, Middle East and African countries.
In 1983 Btian was appointel General Marager, IGM Biopnedical Systems and asked by he IBM
Fresitent Jotin Opel, to evaluaue develop the loog range strattgy for this besiness unit. Brian
aldsequently reported bock to the President that the Business Chit phile qaite viable, should be
sold to a rolated businest in the medieal community. Having reseived appronal to do to, be
Eegosiatel a profitalle sale for TBM.
Berween 1965 and 1983 Brim was the projest and systems manage: for many major 13 M
compoler systems which earaed IBM billions of dollars in reveruc. Tho most notaite of these
was the \(\$ 138\) and \(A 5400\), one of IBM's most techinobgy aggressive develonment programs ever and silil one offiBM's most popular syzerms.
Brian emered the IBM labocatcries in 1959 and inmediately bucume the moat preminser
engineer on his first project with many ineovative designs. As a result of this he was assigned to
the Cerman IBM latoramoies to train Gernan ergineers in compter technology. He has been
awarbed a number of patents tho most reount of which was gratied in 1998.
From hisstart in Ocrober 1995 to the time hes enared the labur सuvien Brian was a cusiomen
enginser responsible for maintrining IBM equipmer: on custoner premises. During this time he self taught computei technology and transistor thoory ard developas the fist IBM field course in transissors. This is the accomplishaest which lod to his assignnens in the labserateries.

Efucation:
Hwing teon bom in England, he atteded Beverley Grammer School and graduared is 1948 at
16. In 1949 he cmigrated to the United Stater and cempleted his venicr your as Ogden High

School, Ogda, Utal.
He aftented college at Weber Colloge, Opden, Ltah and San Frameineo City Cellege completing
nuo years of study
Hobdies:

Wachovia PPM says he was graduate, in his own deposition he says he was not!!

Confidential
Page 81 of 722
4/30/2003

And now from Mr. Wheeler's deposition he denies that he knew why Utley was fired from Diamond Turf over patent disputes which Utley claims Wheeler was fully aware of such situation, one of them has perjured themselves. It is further interesting to note that Wheeler again feigns confusion when he knows the owner of Diamond Turf well and sits on the Board of Florida Atlantic University with him and Mr. Utley.



We respectfully submit the following evidence from Mr. Utley's deposition whereby he claims that Mr. Wheeler was fully cognizant of his being fired and the circumstances surrounding them from Diamond Turf:

The Company will now show that Mr. Wheeler was fully aware of the reasons surrounding Mr. Utley departure from his prior employer and failed to disclose this information not only to the Company but to investors and banks, perpetrating a fraud on all parties, in that had anyone known of Utley's past patent problems he would have never been hired. The fraud is material in that it ends up causing similar patent theft problems and the destruction of the Iviewit companies. Once Wachovia and other investors became aware of the patent problems and patent thefts it caused catastrophic damages to the Company, leaving the current patents in a state of unknown damages.
```

    Ikauer Rose vs. Iviewit.com, et al. 8/23/02
    Iresident and COO of Iviewit to Wachovia?
$\lambda$. We shared nondisclosure agreements ind communicated as required in order to onstruct the business plan.
Q. And did they require or request that you provide them with a CV as part of the |usimuss 队lan Le evidence your expertiae.
A. I believe so.
MR. PRUSASKI: Objection to form.
MR, SELZ: I'11 restate the

```

\section*{question.}
```

"Y MR, SELZ:
Q. Did Wachovia Bank request that you Hrovide personal information to then as part of that business plan?
A. Yes.
Q. And did you provide that personal Intormation in the form of a curriculum vitae or 'V'?
A. It was integrated in prior editions
"t the business plan and flowed into the one that
was developed with Wachovia.
Q. Now, when Chris wheeler IIrst
introduced you to Iviewit, was he aware of the
situation at Diamond Tuxf and yourself and

```


Now after reading that Utley claims in his deposition that Wheeler was "fully cognizant" of the reasons for his departure, Mr. Wheeler then claims in his deposition:


Now this next set of deposition and Florida Bar statements by Wheeler and Utley, again exhibit a pattern of lies and deceit, that end up forcing Mr. Wheeler to apologize to the Florida Bar that he lied to them, making his deposition statements perjured. This relates to the fact that Mr. Wheeler failed to disclose his past representations of Utley and that Mr. Utley again lies under deposition stating Wheeler never represented him.
V. Conflicts of interest in representing Mr. Utley to Iviewit and failure to disclose to the Company that a conflict existed between Mr. Utley being represented by Mr. Wheeler and Proskauer Rose in the past and not disclosing such information upon referral of Mr. Utley. Mr. Wheeler had

\section*{iview!t}
started a computer consulting business (Premier Consulting Inc.) that is still in existence and had conflicting clients, i.e. IBM, that was not properly disclosed to Iviewit. Mr. Wheeler's deposition testimony will contradict his statements to the Bar of Florida and represents yet another perjured statement.

\author{
IV. No Misrepresentations Were Made To Eliot Bernstein
}
In his complaint, Mr. Bernstein alleges that Mr. Wheeler somehow misrepeesented the credentials of
several people to Iviewit, most notably Brian Utley, Iviewit's former President and COO. According
to Mr. Bernstein, Mr. Wheeler misrepresented the background of Mr. Utley in order to induce Ivjewit
to hire him.
C. Conflicts of Interest: Mr. Bernstein alleges that Prosicaner: (i) represented other clients
with a conflict of interest to Iviewit; (ii) failed to disclose the prior representation of Brian Utley; and
Y. \(\mathrm{N}_{0}\) Conflicts Of Interest Existed In Proskauer's Representation Of Iviewit
Mr. Bemstein also alleges the existence of a conflict of interest on the parl of Nr . Wheeler based on
\(\rightarrow\) Wis prior representation of Mr. Utley in other matters. At the time Mr. Wheeler introduced Mr. Utley
to Mr. Bermstein, Mr. Wheeler disclosed that Proskaper had previously formed a corporation for Mr.
Utey in approximately 1993. At the time the introduction was made, Mr. Utley was not a curctat
client of the firm. In short, there wis no conflict of interest arising out of Mr . Wheeler merely

And this next part of Mr. Wheeler's deposition is in direct contradiction to his statements to the Floirda Bar in this matter, in that he has claimed to the bar that he notified Iviewit of his involvement with Mr. Utley on a professional basis and in his deposition testimony contrarily denies such, thus constituting perjury.

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The Florida Bar
File No. 2003-51, 109(15C)


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And further evidence from Mr. Utley's deposition as contrasted to Mr. Wheeler's will further show they both commit perjury. From Utley's deposition we submit deposition in which he clearly perjures himself in that Wheeler in the previous testimony says that he did represent Utley:

From Utley's deposition we submit the following contradictory evidence to Wheeler's statements.

\section*{iview!}

\section*{TVIEwIT}

Lorraine Christine Hoffman, Esq
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)
\begin{tabular}{|c|c|}
\hline least nine years before you were introduced to & 108 \\
\hline \multicolumn{2}{|l|}{Iviewit then?} \\
\hline A. Yes. & \\
\hline Q. Did you keep up any communications & \\
\hline \multicolumn{2}{|l|}{with him or talk to him on a regular basia?} \\
\hline A. Nell. we had a mutual friendr as it & \\
\hline \multicolumn{2}{|l|}{turned out, and we were involved in local} \\
\hline \multicolumn{2}{|l|}{philanthropic activities together, 50 we, yes, we} \\
\hline \multicolumn{2}{|l|}{had fairly frequent contact.} \\
\hline O. Okay. Could you say, then, that you & \\
\hline \multicolumn{2}{|l|}{developed a Eriondship of sorts with Mr. Wheoler?} \\
\hline A. Yes. & \\
\hline Q. Other than socially and through your & \\
\hline \multicolumn{2}{|l|}{immediate contact through IBM, did you know} \\
\hline \multicolumn{2}{|l|}{4r: Wheeler in any other setting?} \\
\hline A. No. & \\
\hline O. No other business dealings, no other & \\
\hline \multicolumn{2}{|l|}{repreaentation by yourself of Mr. Wheeler,} \\
\hline \multicolumn{2}{|l|}{nothing of that sort?} \\
\hline A. Well, I don't know how you want to & \\
\hline \multicolumn{2}{|l|}{classify being on the same board. Ne were both} \\
\hline \multicolumn{2}{|l|}{on the philharmonic board. We were both involved} \\
\hline \multicolumn{2}{|l|}{with Community Hospital. I recruited him to} \\
\hline \multicolumn{2}{|l|}{Flarida Atlantic University Foundation Board,} \\
\hline Which I chaired. & \\
\hline
\end{tabular}
"at carl \& Associates (763)591-0535 or (B00)591-9pCA (722)

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)


Finally, on this set of perjured statements by Mr. Wheeler and Mr. Utley, Mr. Wheeler upon being confronted with his contradictions to the Florida Bar, footnotes in his response the following statement that shows clearly that he perjured himself.
\({ }^{2}\) We do note that Iviewit has pointed out a misstatement in our April 7, 2003 submission to you, based on the deposition testimony of Mr. Wheeler taken in the litigation between Proskaver and Iviewit. In his deposition, Mr. Wheeler stated that he did not advise lviewit of the fact that he assisted Mr. Utley. years prior. in forming a corporation for him prior to Mr. Utley's employment with Iviewit. In my letter to you dated April 7, 2003, I erroneously advised you that Mr. Wheeler discussed this representation with Iviewit. Having had a chance to discuss the issue with Mr. Wheeler, I can confirm that his deposition testimony as to that issue is correct. He did not discuss the issue with Iviewit. I apologize for this oversight. Importantly, however, we are unaware of any ethical obligation that would have required Mr. Wheeler to volunteer such information.

These next sets of deposition statements show Mr. Wheeler again committing perjury regarding his knowledge of the Iviewit patent processes in an attempt to claim ignorance and deny his involvement in the patent theft by Mr. Utley and others.

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The Florida Bar
File No. 2003-51, 109(15C)


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File No. 2003-51, 109(15C)


Next in Wheeler's own hand notes of meeting he attended regarding the errors in the Zoom \& Pan technology, you can see at the top of the sheet the words "Zooming \& Panning" clearly written and the meeting was completely about this technology.
iviewit



Also, you will find in Appendix II many references to Zoom and Panning technology and Mr. Wheeler using the terms quite liberally and with full knowledge, contrary to his deposition statements.
iview!T
Further contradiction to his deposition statements regarding knowing of the video technology are more hand written notes by Mr. Wheeler, again referencing patent meetings held at Proskauer's New York offices.
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kerty of Drascravie in
Pay x Drgan vit at Filyph -
Domet 4. 1
+ Docner 1
\(5+6\) are extensins -
Stame "or chens, bane" it ity
andebe ibe was vore ceao-

a viau aide - cnees no qua
ugparat riteo puta
dio nut tul uflutale ible idia-
- comlen't txplain how it kmbed-

Stur loken abool quatim -
qualiz of \(z\) des tbuivitwess"
didil get iste how it cirlor -




\section*{iview!}

Now from a Private Placement memorandum wherein Mr. Wheeler lists himself as an advisor to the Board, bills for review of the plan, and joint authors and disseminates it with Mr. Utley, you will see that Mr. Wheeler was fully aware of the Iviewit technologies.

\section*{TVIEWIT}

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

\section*{TVIEW!T}
I. Executive Summary


2. fall-screen, high definition pictures that have "scan, pan and zoom, and virual trour" capotiticies ot all bandwibhs
high fidelity, andie streanes of berdvidths as low as 56 Kbps and mono streams at high ndelity, mole streans
trandwidhe as low ma 28.8 Khos
iviewit, located in Boca Rrown, Flonida, was formet in 1999 under the luws of the state of Delemare. Oner the past year, iviewit has confined the efficasy and reliabtility of its achnologies, initiated digial imagisg production, establikhed a demonstation uebsite, eveloped an initid key managencrt infisstructure, and hired aa initial seles and prodacion ventI

The Company cuncimues to paraue an aggressive istellectual property strongy. iviewit has probected ise enabling tochaologies by filing 6 paicent pending applications in both the United Ststes and abrcad for its video streaming and imging copobilities, oovering a side ampy of
 application that will be coeverted to patent perding statas within the allowable period. The

\section*{TVIEWIT}

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)
And other evidences throughout the plan of the applications of the technologies:

\begin{abstract}
iviewit plans to leverage its imaging and video technologies into three primary markets: Entertainment, E-commerce, Distance Learaing/E-Leaming. For intellectual property owners, including film studios, record companies, independent film producers, television networks, sports leagues, etc., iviewit's technologies mean that video streaming can finally become a revemue source. Most of these firms have already begun to stream promotional clips over the Internet. Few, if any, have monetized their content.
\end{abstract}
p. 5 Wachovia BP

Currently, the Company is in negotiations with several large, video-content providers regarding licensing its video streaming technologies. iviewit is moving aggressively towards executing two or three landmark licensing agreements in order to facilitate the broader market adoption of its video streaming technology as the industry standard. As the Company continues these negotiations, it anticipates honing its pricing strategy for other comparable, large-content providers.
p. 6 Wachovia BP

And as late as \(12 / 2000\) we are still "retaining" Kenneth Rubenstein per the Wachovia Private Placement. It is interesting to note that in Mr. Rubenstein's statements to the Bar of New York, he denies knowledge of being an advisor to the Board and claims it was done without his knowledge. The plan was sent to Mr. Rubenstein repeatedly and was authored under swom statements as to the accuracy by Mr. Utley and reviewed and billed for by Proskauer Rose.

From Mr. Rubenstein's response to the New York Bar we submit the following statements, which try and minimize the role he played and somehow state that Mr. Bernstein listed Mr. Rubenstein without his permission as an advisor. We submit the entire content of Mr. Rubenstein's response as Exhibit H:

IVIEWIT
Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

Mr. Bernstein's complaint also alleges that Mr. Rubenstein served as a member of Iviewit's advisory board. Although the relevance of this claim is unclear, there is no truth to it. Iviewit apparently listed Mr. Rubenstein as an advisory board member on its website without Mr. Rubenstein's permission. Indeed, Mr. Utley confirmed at his deposition that Mr. Rubenstein was not on Iviewit's advisory board:
Q. Okay. So Rubenstein's sole role, from what you understand, is he referred lviewit to the Meltzer Lippe Law Firm in New York?
A. Yes.
Q. Was he ever part of an advisory board or was be an advisory board member to Iviewit? And we're talking about Mr. Rubenstein.
A. I have never used him as an advisory board member.
Q. Are you aware of whether or not he ever attended any board meetings with the directors of Iviewit?
A. He never attended a board mecting. I've never met the man.

Page 9 - Rubenstein response to New York Bar.

From the Wachovia Private Placement that was distributed again and again by Mr . Wheeler to potential clients and was billed for over and over again by Proskauer, we submit in direct contradiction to Mr. Rubenstein's and Wheeler's bogus claims:

TVIEWIT
Lorraine Christine Hoffman, Esq
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

Conhidential
II. Investment Highlights
* Unigur provessing tectnologios for vileo and ineging
iviewir's patent pending proceasing bechoologies oan create ligh-definition imagos with was, pan, ind zoon capabinises, ligh-rdelity sudis strums, and full-screen, full frame race video for sureaning over the liternet. The wiewi vides sechnoliggy is a highly
cealoble proces. The resulting files are approsinutely \(25 \%\) les than comparable quality files. ivivait 220 K fs streans are equivalent ta crapetitive 300 Kbps streens. The Conupany's imagiag procesa delirers images that are photo-quality, resituan to pixelation even at magnification levels of \(30+: 1\). Images produced by iviewit's proprietry process are identical in quality regardless of the end-user's Inemet comettion speed. File size options art ailored to thininize download tines and optinize the end-uxer"s experience.
- Complomontary and Sowsosed Fantwe 160 asd Entripuenenrial Managewont Feaw
ivievit has assenbled a ecemplemertary and seseoned managemeat tean with Foetuac 100 and enily-sage, extrepectrurial experiense. Management coasiss of former IBM operatioss executives whs have experiense in brilding video delivery capabisies and of macheting talemi frem sucoessfal vesiure-tached itchnology coumpanies. The Company recogrizes iss srength in operations and protuct development asd resognixes the reed to atsuat a capable, experienced CEO and CTO to aceelerate the Company's development wievit has retained Kern / Ferry no assiss in the ifentification and recruinent of this blemt.
- Sirvag and Experienced Beard of Direciers and Adivovy Boand
iviewit's Board of Diectons and Advisors consist of several well-eutabished incividuals from the techaology, estertainmenk, and finutiel community. Diructors theve exwreive tackgrounds with top-tier firms sich as Geldanal Sichs, Kibder Peabody, and McKinsey \& Co. Crousbow Veatures hax pevidod 53.0 million in fanding and sits on the Roand Technology mid cutcraiminent guidance comes foen a parerer at Ammstrong Hirsch lackoway \& Wertbeiner and from Keaneth Rubensevin, the head of the MPEG-2 patent poel.
- Stgmifasent Intellectual Property Postion and Siratrgy
iviewit has protecued its ensbling technologies by filing 6 patent pending applicatioest in both the Uaited Statas and atroed for is video atreaming and imaging capebilities, coveriag a vide anay of enabling iechnologiex. The Conpany also has tao remainiag vithin te allowatble period. The Company tas netaned Foley \& Landrer to shephend its putent developonent and procuremem. In ablition, the Composy has retainad Kenneth Rubenstein of Pioskaver Rose, LIP to evenve is entire patent portiolio. The Company's struegy is westablish market poecedence thecugh licensing of trade secrets and know-hew.
* Subrtantiol Market Penerranion and Girvsing Courtomer Acceppance

The Compary commercialized its prodacss in May 2000. In just 5 monchs, iviewir has experienced a \(75 \%\) soscess rase in otcaining serviee and lioensing cossomers, securing 17 customers to date - primarily in the entertainment, advertisizg, asd hotel markets. The Compary espects to realize approxamarcly \(\$ 400,000\) is revemsen by year-ond froat these Hyall Hotels. Gear Marins, and Hellyw Eller Do eneres, Z.O6m (Alants Morisselte). Hyat Hoble Gear Magszins, and Hollywoodiom. Highly poobatle for closing by ywar-
cud 2000 includd Warner Brothers and Greg Marning Collxtibles. and 2000 indude Warner Brothers and Greg Marning Colloctibles.
- Facnsed on Media Ricie Target Morkets - Vinlocking the Value of Content

The Company's business strmesy ta so first target high-profilh coetent ownens and distribeters as clients to procer vidoo and inages and oo brand thoee images with iviewit's loga. Secradly, ivewit plans to co-krand with famous oelebrities and
Whchovia Securines, INc.
Pago II

IVIEWIT
Lorraine Christine Hoffman, Esq
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)
And further from that certain Private Placement Memorandum:
TVIEWIT
Irvesimeat Managerienc, toth based in Loadoa. Among his primary arcas of expertise ane sechnology research send evceomic reseanch, including electronics, velecommunications and computer mofiware. Most recendy, be wns Senior Techsology Analyst asal Vioe Presilent of Southeast Research Partaers inc. Where he workal with leading lectunology companses. He eamed a becielor of arta degree at Yale Uaiversity and a maser of busiaess adininistration
degree at Stanford Univesity. degree at Stanfond University


Alan 1. Epntein
Partwer, Armstroag Hirsch Jeckoway Tyerman \& Wertheimer, P.C.
Mr. Epalcin's lise practice consists of advising Inemet companies on varivas iseses pertaizing to the enterainnent and sports indewsics, inclading the creation, boersing and acguisition of conterc, the introdactica and negotiation of strabegic pariner relationships, and virnua ofer makers relating to the ccoveganes of technology and coatere. Mr. Epstain also advises his firm's numerocs celebrity clients of the expletiobico and protenticn of their name
 sponsorship deals. Friur io entering the UCLA School of Law, Mr. Epstein was a certified public accourtant at Deloitte Haskins \& Selts in Dallas, Texis

\section*{Kemeth Rubenstein}

Partner, Prockaser Rose LLP
Mr. Rubenstein is a partner at Proskauer Rose LIP Iaw firm and is the potent stomey for
 pubcisicis coansels his clients wit respect to the validity and infrizgenemt of compctitors paients, as well as preseouos patent appicationis. For the pase several years he has worked on and entertsinment coerpanies Ho is ahe a former nember of te logal atef at Bell and entertinneer companies he is she z fomer nenber of te legal saff an Ben Shocl achocl and lis PMD. in pltysics from the Massachusets listitute of Technology where he abo graduated with a B.S. Degree.
Caristophacr C. Wheser
Partner, Proskauer Rase LLP
Mr. Wheder is a menber of Prowkuer Rose LIP'a Copporate Deparmeat and as a partner in the Foridh office tas a vesatile tansactionsl praxsice. Ho has had extersive experiance in real estate and componate low, instinctional lending and werbouk, alninistrative lave and insustral reveau bood fimating. Moreder, he seves is a scalegis unal cursselor io many Leats in hataling beir other kegal and busivess maters. Mr. Whecler is well-versed in
 gided cumpunes fion starthp turough inital private placemens to pubbe offerings, A graduate of Hamiltan Codlge rad Comell Law School, Mr. Whoster was a memier of the mamakinas Board of Bliver of the Comell Lav Revied


Arthur Andersen, LLLP
Arthur Andersen's vision is to be the partner for success in the Nea Economy. The firm belys slisats fird nevs wayn te arnait, mimage and memane valse in the ropidly chonging global econony. With worth-clises skills in msumance, tax, consaling and copporate fitalce, Arther Anderven has mure tasn 70000 peopla in 83 councries that are untod by a aingle worldwide qeosuing structue that bosiers unvenciveness, kDowloger staring and a focus on chieat saccess. Since is begirsing in 1913, Arture Anderven has realasd 86 yetes of urinterropted Wrowth, widh 1999 revenues over \(\$ 7\) bullion. Arthur Anderses is a beaness unst of Andersen Worldwide
Proskauer Rasp, L.L. P
This law flm is one of the awionts largest linw firms, providng a wide variety of legal services to major corpontions and oher climes trough the United Stats and aroend the

\section*{iviewlt}

\section*{TVIEWIT}

Lorraine Christine Hoffman, Esq.
Assistant Staff Counsel
The Florida Bar
File No. 2003-51, 109(15C)

\section*{- Significant Intellectual Property Position and Strategy}
iviewit has protected its enabling technologies by filing 6 patent pending applications in both the United States and abroad for its video streaming and imaging capabilities, covering a wide array of enabling technologies. The Company also has two remaining provisional patent pending applications that will be converted to patent pending status within the allowable period. The Company has retained Foley \& Lardner to shepherd its patent development and procurement. In addition, the Company has retained Kenneth Rubenstein of Proskaner Rose, LLP to oversee its entire patent portfolio. The Company's strategy is to establish market precedence through licensing of trade secrets and know-how.
- Unique processing technologies for video and imaging
iviewit's patent pending processing technologies can create high-definition images with "scan, pan, and zoom" capabilities, high-fidelity audio streams, and full-screen, fullframe rate video for streaming over the Internet. The iviewit video technology is a highly scalable process. The resulting files are approximately \(25 \%\) less than comparable quality files. iviewit 220 Kps strcams are equivalent to competitiive 300 Kbps streams. The Company's imaging process delivers images that are photo-quahity, resistant to pixelation even at magnification levels of \(30+: 1\). Images produced by jviewit's proprietary process are identical in quality regardless of the end-user's Internet conmection speed. File size options are tailored to minimize download times and optimize the end-user's experience.
- Substantial Market Penetration and Growing Customer Acceptance

The Company commercialized its products in May 2000. In just 5 months, iviewit has experienced a \(75 \%\) success rate in obtaining scrvice and licensing customers, securing 17 customers to date - primarily in the entertainment, advertising, and hotel markets. The Company expects to realize approximately \(\$ 400,000\) in revenues by year-end from these customers. High profile customers include Ellen DeGeneres, Z.com (Alanis Morissette), Hyatt Hotels, Gear Magazine, and Hollywood.com. Highly probable for closing by yearend 2000 include Wamer Brothers and Greg Manning Collectibles.
p. 11 Wachovia BP

And this business plan referenced a new technology, a new era for camera's and imaging devices with out pixilation and now commonly referred to as "digital zoom" available on almost every digital camera being produced.

\section*{IVIEWIT}

\section*{Lorraine Christine Hoffman, Esq. \\ Assistant Staff Counsel \\ The Florida Bar \\ File No. 2003-51, 109(15C)}

\section*{3. Digital Cameras and Instrumentation}

The broadness of iviewit's technologies and its applications outside an Internet based environment depict the scope of the pending patents and their uses in other markets. One such application is in the huge and growing market for digitization, instrumentation, and consumer products such as the digital camera market. Recently, iviewit and Eastman Kodak began a series of discussions that are now formative, and an agreement could provide significant revenue as carly as summer 2001 .

The applications for Kodak would follow a logical path to create a value added option that would initially be available on its "high end" digital cameras, and then be led downstream to the broad and sizeable moderately priced digital camera lines. In each case the following would be the applications provided to Eastman Kodak for its new and future camera entries:

\section*{p. 22 Wachovia BP}

Mr. Wheeler is also listed as an advisor to the Board in the Wachovia Private Placement which as will be evidenced he also billed for such review of the plan prior to dissemination.

We cite as evidence:

Finally, Wheeler again under deposition perjures himself, claiming he had no idea of the camera applications for Iviewit's technology.

\section*{iview!t}

We submit from Wheeler's deposition:


EXHIBIT G

\section*{DEPOSITION STATEMENTS OF RUBENSTEIN \& EVIDENCES OF PERJURED DEPOSITION STATEMENTS}

In the opening statement of Mr. Rubenstein he flatly denies any knowledge or involvement with Iviewit and Eliot Bernstein. The denial comes from the fact that upon being requested for deposition, Proskauer Rose stated to Judge Jorge Labarga that he had never heard of or had dealings with Iviewit and thus had no idea why he was being deposed other than harassment.
Q. Do you have any information at all with regard to any of the IViewIt entities?
A. Not at this time, no.
Q. "Not at this time." Did you have any information at any time in the past, sir?
A. Not that I know of right now.
Q. Do you have any files or records
indicating that you had any dealings with --
    and I will go through a list here --
    IViewIt.com, Inc.?
A. Not that I know of.
Q. IViewIt, LLC?
A. Not that I know of.
Q. UViewIt?
A. Not that I know of.
Q. IViewIt, Inc.?
A. Not that I know of.
Q. Have you ever heard of an individual named Eliot Bernstein?
A. I might have.
Q. Well, sir, that's either a "Yes" or "No" question.
A. Like I said, I think he works for IViewIt, and I may have heard his name.

Here Mr. Rubenstein pens a letter to Eliot Bernstein whom he denies knowing above, enclosing several hundred pages of patent applications for Mr. Bernstein to review in writing the patents for Iviewit.


Next Mr. Rubenstein denies knowing of any of the Iviewit inventions and following his statements will come hosts of contradictory evidence to his statements.

Rubenstein Lies About Involvement
page 15
12 Q. Are you familiar with something
Q. Well, sir, this is your testimony at your deposition.
A. That's right, which you are making me do. I consider the deposition nothing but harassment, considering that \(I\) had nothing to do with the company. It's just a form of harassment. that's called "pan and zoom technology"?
A. I am not sure what you mean by
that.
Q. Well, let me start very simply, and say this. Are you familiar with a concept that an image can be enlarged while being transmitted on a narrow bandwidth?
A. I don't know what you are talking about.
Q. Okay. Well, let me go back to this, then, sir. Are you familiar at all with the technology involved with IViewIt. com?
A. No.

Page 9
HE CLEARLY STATES AND LIES AND SAYS HE IS NOT FAMILIAR!
page 75 we see Ken switch stories in direct contradiction of his own testimony as evidence has begun falling,

10 Q. You previously testified that you
11 had never reviewed any of IViewIt's
12 technologies; is that correct?
A. I never testified to that. What I told you is, I don't have any knowledge of it right now.
Q. okay.
A. I don't know whether I reviewed it

18 or not.
p. 75

This is in direct contradiction to his prior dep.
```

                RE| kuvensle|m vepusiliun
    A. Not that I recall.
    Q. Did you ever opine with regard to
    the validity of any patent applied for or
    received by IViewIt.com?
    A. Like I say, I was not in any way
    involved with getting patents for IViewIt.
    Q. What were you involved with, if
        you were, with IViewIt?
            A. The only thing I did for IViewIt [
            is I referred them to another patent lawyer.
    ```
Q. Okay, if you don't have a recollection of reviewing it, but then it's possible that you had; is that correct? MR. PRUSASKI: Anything's
possible. I think we could stipulate to that.
A. Right, I don't think it's possible but -- and I don't think it happened.
Q. Do you have any clearer recollection of it because of this letter?
A. No, I don't have a detailed recollection or any recollection of it at this point in time.

Now a letter from an executive at AOLTW/WB regarding Mr. Rubenstein's opining on the technologies for them.

\title{
LETTER OF MR. COLTER RELYING ON RESPONDENT'S OPINION
}

Subj:iviewit
Date:1/14/2002 9:51:08 PM Pacific Standard Time
From:David.Colterewarnerbros.com (DColter0264)
To:John.calkinse warnerbros.com
CC:CHuck dages@ warnerbros com, Alan.Bell@ warnerbros.com (ABell0648)
Sent on: AOL 6.0 for Windows US sub 10551

John,
In all the review we have done with ivieiwit it seems to boil down to the status of the patents and their inherent value. At that point it is a risk-reward evaluation -- without awarded patents it is difficult to completely assess the value. I would suggest that we consider one other perspective...

Prior to ivieiwit (approx Feb 2000) the video we (WB Online) delivered on the web was QCIF (160x 120) or smaller and was below full frame rale. At the time of our first meeting we also identified On2 along with ivieiwit as two solid players who could deliver full screen full frame rate web video. All who saw it were impressed. Greg and I visited ivieiwit in August and reported back that they had filed patents on scaling techniques that hinged upon a visual 'trick' which allowed the human eye to accept \(320 \times 240\) video scaled to \(640 \times 480\) at 30 fps as close to VHS quality. We checked with Ken Rubenstein and others who provided some solid support for ivieiwit, and Chris Cookson asked Greg and I to continue to work with ivieiwit in an R\&D capacity.

In the fall of 2000 iviewit also met with a number of folks at WB Online (in September and October) and demonstrated their process and techniques to Sam Smith, Houston, Joe Annino and others. Sam contacted ivieiwit a number of times and requested the patents, along with specifics of the ivieiwit process to evaluate what they were doing. I was not part of these meetings, but was aware they had occured, as Jack Scanlon kept me up to date.

When I sat down with Morgan and Houston in March 2001 to see what technology they were using to encode video, it was clear that they were using some of the techniques that would overlap with iviewit's filed process patents (still pending), but it is not clear that these were all learned from iviewit -- we may wish to explore this a little. This meeting was to determine what equipment we would get for our lab at 611 Brand. This same information was also provided to ivieiwit by Morgan as they were establishing the company as an outsourcing facility for encoding our content.

I am aware of several meeting held between ivieiwit and WB Online to share information of techniques and process, and was invited to a few of them.

We all signed ivieiwit's confidentiality agreement. So to the other perspective....
We have an opportunity to establish a license with ivieiwit for a modest fee at this time, and establish a MFN. In good faith we signed the confidentiality agreement, iviewit revealed their processes and techniques, and we now use those techniques in encoding. As we have discussed on a few occasions, these techniques now appear in the public domain to some extent in documentation for Real Producer, WMP Developer Guides, Media Cleaner Pro, etc, but they were not available in 2000 . I would not suggest we learned the techniques completely from iviewit (I actually do not know the answer), but a modest licensing fee may be appropriate and honorable considering our good faith relationship in signing the confidentiality doc.

If we choose to pass at this time the risk is primarily from iviewit's main investor, Crossbow Ventures, gaining control of the IP and approaching WB later for a license -- I do not believe they will be as friendly considering their dealings with ivieiwit and it's employees since Feb of 2001. It is estimated that the patents will be completed in 8-12 months.

\begin{abstract}
As you are all aware I have a personal relationship with Eliot Bernstein, the founder of iviewit, and as a result, I left the evaluations and decisions to Greg, and others, and only assisted iviewit to get to the correct people in WB and AOLTW. I wanted to add this perspective as we consider if there is an option to pursue with iviewit -- they are facing continued financial pressure right now. There are many other threads to our interaction with iviewit and I would be happy to discuss.
\end{abstract}

Thanx.
David

And yet another letter regarding Rubenstein opining on the technologies is sent to the AOLTW Venture fund to secure investment for Iviewit.

\section*{LETTER OF MR. COLTER DESCRIBING RESPONDENT'S INVOLVEMENT}
-----Original Message-----
From: David.Colter \(a\) warnerbros.com [mailto:David.Colter \(a\) warnerbros.com]
Sent: Wednesday, August 01, 2001 10:28 PM
To: HeidiKrauel@aol.com
Cc: HPowell@cb-ventures.com; Eliot@iviewit.com
Subject: Re: Today -- iviewit

Heidi,

Here is the info for Hank Powell from Crossbow Ventures. I have copied him above to make the introduction.
iviewit has undergone a restructuring of their business from an encoding focused business to a technology licensing business focus over the past 4-5 months. They are in the process of establishing a new executive team to handle this 'new' direction and have been working on the new business plan. They have indicated that we should have the revised plan next week.

They currently are finalizing a contract with WB Online to provide encoding services as a hold over from our original collaboration, and as a showease for the technologies and patents.

Their site www.iviewit.com contains good demonstrations of the zooming and video encoding technologies. I have also copied the inventor/founder Eliot Bernstein, who I will ask to provide some specific links on the site to see the best representation of their work and technical capabilities.

Their patents are pending, but have received favorable opinions from people such as Ken Rubenstein on the merit of the patents, as well as thorough review by Greg Thagard and myself.

Let's talk further after you see the business plan and conneet with Hank.

Thanx,
David

Then from Mr. Rubenstein's deposition he states regarding the above letters and conversations leading to them:

Ken Rubenstein Deposition
```

ever seen that E-mail before?
A. Is this an E-mail from David
Colter to Heidi Krauel?
Q. Correct.
MR. PRUSASKI: The one dated
August 1, 2001?
MR. SELZ: Correct.
A. Right, I see the E-mail.
Q. okay.
MR. SELZ: Let's get it marked as
2.
(Deposition Exhibit Defendants' 2,
fax transmittal cover sheet and E-mails,
was marked for identification, as of
this date.)
Q. Sir, do you have any reason to
know why your name is mentioned in that
E-mail?
A. No, because I don't recall giving
any opinions about the patents.

```

\section*{Rubenstein}
Q. And you never, to the best of your recollection, had any discussions with Mr . Thagard with regard to same, either?
A. Like I say, any discussion I might have or might not have had with Mr. Thagard would be privileged.
Q. I am going to put you on hold for Page 79

\section*{iview!t}

Next we find Mr. Wheeler sending over the entire Iviewit patent portfolio for Mr. Rubenstein to review, although, Rubenstein, Wheeler and Utley all deny in their depositions his having ANY involvement with Iviewit.


Next Rubenstein is seen attending patent meetings.

Eliot I Bernstein
\begin{tabular}{ll} 
From: & Eliot I. Bernstein [res0bf4a@verizon.net] \\
Sent: & Monday, July 09, 2001 3:38 PM \\
To: & H. Hickman "Hank" Powell (E-mail); H. Hickman "Hank" Powell (E-mail 2) \\
Subject: & FW: Tuesday Meeting
\end{tabular}
```

-----Original Message-----
From: Christopher Wheeler [mailto:CWHEELER@proskauer.com]
Sent: Friday, May 28, 1999 6:26 AM
To: alps@netline.net
Subject: Tuesday Meeting
** High Priority **
Eliot,
Ken Rubenstein will be available on Tuesday morning sometime between
8:30 and 9 to discuss the patents. We can conference him in after we
start with Joao and ourselves. Have you already made sure that Joao
will be available? Please advise immediately.
Best regards,
Chris

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\section*{iview!t}
time entries. Importantly, in all of the bills submitted to Iviewit, there is not a single time entry for Mr. Rubenstein. The reason for this is simple : he did not provide legal services on behalf of Iviewit. Apparently realizing the significance of this fact, Mr. Bernstein claims without any factual basis that Proskauer improperly altered its billing statements. There is simply no truth to this unsupported accusation, which we find very troubling. The billing statements are attached to this response. Should you have any question whatsoever as to whether the bills are genuine, our billing file is available for your review.

In addition to Proskauer's billing statements, which are devoid of any time entries by Mr. Rubenstein, both Proskauer attorneys and corporate representatives of Iviewit have confirmed under oath that neither Mr. Rubenstein nor Proskauer performed any patent work for Iviewit.
A. Patent Work: Most of Mr. Bernstein's allegations derive from his claim that Mr. Rubenstein mishandled certain patent work. To the contrary, as we show below (see Section II), there is overwhelming testimonial and documentary evidence showing that this allegation is false. Approximately twenty Proskauer attorneys performed legal services for and billed time to Iviewit matters. Mr. Rubenstein wasn't one of them. Of the almost \(\$ 370,000\) owed by Iviewit for legal services rendered by Proskauer, Mr. Rubenstein did not bill a minute of time to the engagement. Further, even ignoring Mr. Rubenstein's lack of involvement, no one else at

Proksauer performed patent work for Iviewit. Iviewit's patent work was handled entirely by patent attorneys at other law firms. Whether there were any errors or omissions with the patent work is immaterial. Proskauer simply did not perform that work.

And from Mr. Rubenstein's deposition we find:
Q. Are you aware, sir, that your name

    Proskauer Rose to IViewIt more than a dozen
    times?

20
A. No, I am not.

\section*{From the Proksauer Rose billings we find quite a different story:}

\section*{iview!}

\section*{BILLINGS OF MR. WHEELER FROM PROSKAUER BOCA RATON, FLA. OFFICE}
\begin{tabular}{|c|c|}
\hline 02/18/99 C WHEELER & RR 25 Conf with Mr. Rubenstein \\
\hline 01/14/99 C WHEELER & 50 Follow up on status on intellectual property review and new incorporation \\
\hline 01/28/99 A GORTZ & .75 Ken Rubenstein cali, of call Eliot Bernstein \(k\) Ken kubenstejn, of mara Robbins re contidentiality agreernent \\
\hline 02/01/99 C WHEELER & . 25 Conf as to status of intellectual property work \\
\hline 02/16/99 C WHEELER & . 25 Conf with Mr. Bernstein; call to Mr. Rubenstein \\
\hline 02/17/99 C WHEELER & . 25 Call to Mr . Rukenstein re patent advice; call with Ms. Coleman re financial advisor \\
\hline 02/18/99 C WHEELER & . 25 Conf with Mr. Rubenstein \\
\hline 03/16/99 M ROBBINS & .50 Inter-office conference with Wheeler re: intellectual property matters. \\
\hline 03/29/99 K HEALY & \(1.25 \mathrm{Te} w / \mathrm{C}\). Wheeler; tca \(\mathrm{w} / \mathrm{Eliot}\) Bernctein re intellectual property protections; to w/Raymond Joao re pacent pending; tcs \(W / E\). Bernstein and Jerry Levin re license business models; review protectability of web-sites \\
\hline 03/31/99 K HEALY & \(.25 \mathrm{Tc} \mathrm{w} / \mathrm{K}\). Rubenstein re Patent advice \\
\hline 04/22/99 K HEALY & . 25 Tc W/R. Joao, e-mail to E. Bernotoin \\
\hline 05/12/99 C WHEELBR 1 & 1.00 Conf with Messrs Bernstein and Lewin: call to R. Joao; transmittal of agreement \\
\hline 05/12/99 C WHEELER & . 50 Conf with Mr. Joao re stock ownership, subsidiary and patent protection \\
\hline 05/12/99 C WHEELER & 2.00 Conf with Joao; meeting with Thompson to arrange for confid. agreements and generic agreements \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline 05/20/99 C WHEELER & . 75 Conf with Mr. Joao \\
\hline 05/20/99 C WHEELER & 2.00 Call to Mr. Lewin; conf with Ken Rubenstein; conf with Mara Lemer; Aumerous conf with Elliot Bernstein \\
\hline 05/20/99 C WHEELER & 1.00 Cone with Mr, Joao \\
\hline 05/25/99 C WHEELER & 11.00 Trip ta orlando ror neeting with Real 3D technology ataff \\
\hline C5/26/S9 C WHEELER & 1.00 Review of patent; set up patent conference; arrange follow up on shares; \\
\hline 05/27/99 C WHEELER & . 50 Conf with Mr. Rubenstein \\
\hline 05/27/99 C WHEELER & 1.50 Overview of Iviewit patent matters and corporate matters \\
\hline 05/28/99 C WHEELER & . 50 Confirmation on Joao meeting \\
\hline 05/28/99 C KHEELER & 2.00 Neeting as to patent issues and managoment matters \\
\hline 05/28/99 C WHEELER & . 50 Conf. w/K. Rubenstein \\
\hline 05/28/99 K healy & . 50 Tcs w/C. Wheeler re IP Issues; review web-site \\
\hline 05/31/99 C WHEELER & 1.00 Review of patent and other materials \\
\hline 05/01/99 C WHEELER. & 4.00 Conf with Mr. Rubenstein; conf with Nr. Lewin; conf with Mr. Healy; conf with Mr. Joap; conf with Mr. Akselrod re patente, tax ramifications, copyright work; \\
\hline 05/01/99 X HEALY & 1.50 Conference call w/E. Eernstein, R. Joao, K. Rubenstein, \(C\). Wheeler, and others re iviewit I.P. 1selues, review od. rom \\
\hline 06/03/99 C WHEELER & 2.00 Call to Mr. Joao; call to Mr. Healy; conf with Mr . Bernstein; review of numerous correspondence: conf with Mr. Lewin \\
\hline 06/04/99 C WHEELER & 2.00 Prep of revised confidentiality agreement; call to Ms. Bibona; conf with Mr. Joao; \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline 06/16/99 C WHEELER & 4.00 Meeting with Mr. Joao and Messrs. Bernstein re patent and other matters \\
\hline 06/23/99 S KAPP & . 50 Conf. with CCW regarding various matters pertaining to structure, patents, confidentiality agreements \\
\hline 06/18/99 C WHEELER & \begin{tabular}{l}
3.50 Review of patents with Mr. Joac; conf with Mr. Lewin re status; conf with Mr. Bernstein; Check of status of new corporate documents \\

\end{tabular} \\
\hline 06/23/99 S KAPP & . 50 Conf. with CCW regarding various matters pertaining to structure, patents, confidentiality agreements \\
\hline 07/28/99 C WHEELER & \begin{tabular}{l}
3.50 Conf with Mr. Lewin; conf with Mr. Buchsbaum; review of corporate status; conf with Mr. \\
Thompson; review of corres. from Mr. Epscein; call to Mr. Joao; conf with Mr. Wilson; conf with Mr. Joao; call to Mr. Lewin
\end{tabular} \\
\hline 07/31/99 C WHEELER & 1.50 Review and organization of various matters involving meetings, venture capital, patents and prospects \\
\hline 08/04/99 S KAPP & . \(25 \mathrm{~T} / \mathrm{c}\) with Ray Joao \\
\hline 09/09/99 K HEALY & . 50 Review files to prepare IP Materials for E. Bernstein and B. Utley \\
\hline 09/10/99 C WHEELER & .25 Arrarge for patents \\
\hline 09/10/99 C WHEELER & \begin{tabular}{l}
2.00 Conf with Mr. Brandon; conf with Mr. Brandon; \\
conf with Mr. Rubenstein, transmittal of materials to Mr. Rubenstein; Call to Mr. Joao
\end{tabular} \\
\hline 09/13/99 C WHEELER & 1.00 Conf with Mr. Brandon; conf with Mr. Joao; \\
\hline 09/13/99 J ZAMMAS & 1.25 Discuss patents with C. Wheeler's secretary; \\
\hline 09/21/99 C WHEELER & . 25 Call to Mr . Utley re patent meeting \\
\hline 09/21/99 C WHEELER & 1.00 Conf with Mr. Utley re patent meeting and status of negotiations; call to Mr. Brandon \\
\hline
\end{tabular}

\section*{iview!t}


Finally, with regard to Mr. Rubenstein's involvement are several statements from Iviewit investors regarding Mr. Rubenstein's involvement with Iviewit that completely contradict his denials of involvement.

\title{
ELECTRONIC MAIL MESSAGE FROM SIMON L. BERNSTEIN, FORMER CHAIRMAN OF THE BOARD OF DIRECTORS OF THE COMPANY
}
```

-----Original Message-----
From: Alyssa Zeiger [mailto:alyssa@lifeinsuranceconcepts.com]
Sent: Friday, May 16, 2003 10:33 AM
Io: 'iviewiteworldnet.att.net'
cc: 'simon@lifeinsuranceconcepts.com'
Subject: FW: response to your letter

```
Eliot,
Here is my account of those questions you of asked for regarding
iviewit Iechnologies, Inc.
1. Not having Wheeler's testimony it's difficult for me to respond to the 1st question. However, Real 3d (Jerry Stanley) was introduced to us and their opinion including the opinion of their engineering staff was that the patents that we showed them were outstanding and extremely valuable. Mr. Stanley told myself, Eliot, Jerry Lewin and Chris wheeler that we were onto something big.
2. The problems that were encountered by Ray Joao's work were that is seened to be incomplete, sloppy and certainly not in a professional manner for which the billings indicated it were. With regard to Eoley and Lardner's work, there work also seemed to be incomplete with regard to accomplishing the patent approvals. It was also noted that including work with Mr. Utley they were writing patents in his name.
3. In the same regard Mr. Utley told me when \(I\) confronted him with this that it was common for the writer to put new patents in his name but assured me that all patents were assigned to iviewit Technologies, Inc. This was passed on to one of the partners at Proskauer Rose and I was assured that this with in proper conduct.
4. With regard to Ken Rubenstein, I was told by Brian utley and Chris Wheeler that he was a partner of Proskauer Rose and that he was in tact overseeing our patent work and it also was mentioned that he advised the board of directors with regard to raising capital.
5. It is my opinion that Hank Powell a partner of Crossbow Ventures and also a member of the board of iviewit Technologies, Inc. violated his fiduciary responsibility as said board member to iviewit Iechnologies, Inc. by recommending iviewit Technologies, Inc. move forward and securing additional loans from crossbow ventures. He also told me that Crossbow had no intention of ever collecting on the notes but in fact it gave further protection of iviewit Technologies, Inc. from any other creditors. It is my opinion that this convinced the board of directors to vote on such loans.
6. With regard to Chris Wheeler's recomendation of Bryan Utley it's my opinion that he knew of the past problems Mr. Utley had with Monte Friedkin and withheld this information to myself and to Eliot.
7. My understanding of the relationship between Mr. Utley and Mr. Wheeler is that they are good friends both socially and professionally. Also they served on many boards together.

I believe this covers the pertinent questions you asked me for. I hope this helps.

\title{
STATEMENT OF GUY IANTONI, FORMER VICE PRESIDENT OF SALES O THE COMPANY
}

June 13, 2003
The following information may be used as my sworn testimony in describing the history and events relating to iviewit (The Company) and its affiliated management and advisors.

As an existing shareholder and personal investor in the Company, I am appalled by the fraud and mismanagement demonstrated by the former President, Brian Utley and lega counsel including: Ravmond Joao, Kenneth Rubenstein, Christopher Wheeler and others. I was an employee of the Company since its inception in 1998 to February 2001. I was personally in meetings where Christopher Wheeler recommended Brian Utley as a strong candidate for the President position at iviewit with his experience at IBM. I was one of the first individuals to witness iviewit's zoom and pan technology as well as fullscreen, full-frame rate video streaming. I recall viewing iviewit's technologies as early as February of 1998. I attended many meetings with the technologies inventors: Eliot Bernstein, Jude Rosario and Zakirul Shir ajee at iviewit's Florida office and witnessed several meetings between the inventors and Raymond Joan. I had discussions with Eliot Bernstein in late 1999 when Eliot expressed his reservations and concerns that the patent work of Raymond Joao, Kenneth Rubenstein and Brian Utley was both incomplete and not representative of the inventors true findings. I was also present later 1999-2000 as William Dick and Foley and Lardner continued the errors in the patents caused by Ravmond Joao and Kenneth Rubenstein.

My personal investment into the Company was largely due to the remarks of attorney Kenneth Rubenstein on a conference call with Fliot Bernstein stating." iviewit's technology will be extremely valuable as part of the MPEG patent pool." I helped author many business plans with Eliot Bernstein, Jim Armstrong, Wachovia Securities and others including Kenneth Rubenstein as a key Company advisor. I attended many face-to-face meetings where Christopher Wheeler both witnessed iviewit's technology and introduced potential clients and investors to the management team. Mr Whepler had hand picked the management team and controlled their actions.

It was abusive the amount of unnecessary legal services gener ated by Christopher Wheeler and Proskauer Rose at such an early stage in the Company's development as we were mislead to believe that these costs would offset revenue by Wheeler/Rubenstein/PR clients and patent pool royalties. I recall the company going through several legal changes including: C-corp, several LLCs, Holding companies, name changes etc. I was stunned to hear that the Company had hundreds of thousands of payables due Proskauer Rose. Brian Utley had primary fiduciary responsibility (or more like irresponsibility) for the use of all investment proceeds, legal services and vendor contracts.

I welcome the opportunity to be personally involved in defending the Company and its assets

Sincerely,
Guy T. Iantoni

\title{
STATEMENT OF JAMES F. ARMSTRONG, FORMER VICE PRESIDENT OF SALES \& MARKETING OF THE COMPANY
}

Wednesday, April 30, 2003
Mr. Eliot I. Bernstein
10158 Stonehenge Circle
\#801
Boynton Beach, FL. 33437-3546
Dear Eliot,
I have spent the past several evenings reviewing the depositions taken from Wheeler, Utley and Rubenstein and I am stunned. The extent of their lies and their orchestrated obfuscation compels me to reduce to writing some of the experiences that I had with these men. Please use this letter and the statements contained herein as my sworn statement of fact in your contimuing effort to expose the truth, punish the evil and reward the deserving.

As a friend of Eliot's, since childhood, I was aware of iviewit from it's beginnings but it was only after learning from Chris Wheeler about Ken Rubenstein's favorable opinion regarding iviewit's video and imaging technologies that I became seriously interested in The company. I resigned trom a lucrative senior management position with Prudential Securities to help Eliot with his "project". Ultimately, I invested over \$20,000 and declined significant career opportunities in order to begin formally working for iviewit in the fall of 1999. Amongst the most egregious of the statements contained in the depositions is that made by Ken Rubenstein when he claims he does not know iviewit or anything about its technologles or processes. Ken is one of the primary reasons why I and many others invested their time and resources in the company. It was the extremely positive opinions of this highly respected attorney, who has direct links to the MPEG patent pool, which compelled so many of us to make the commitments that we made. Mr. Rubenstein is lying in his deposition.

Similarly, Chris Wheeler denies having any role in the patent work performed for iviewit other than referring us to patent counsel that ultimately ripped us off (but that's a different issue). Eliot, you have done a fine job putting together the billing evidence which is irrefutable. Not only did Wheeler play an instrumental and ongoing role in the handling of the patents, he was the primary contact point with Ken Rubenstein. I also remember Chris, in a meeting held at Real 3D, espousing the novelty of iviewit's inventions and discussing the apparent absence of any prior art in this area. In addition, Chris publicly shared Ken Rubenstein's opinion that the iviewit technologies were "novel". It was during this meeting of Intel and Lockheed engineers that a member of Real 3D's senior management, Rosalie Bibona, stated that iviewit's inventions could be worth billions of dollars. Wheeler states in his deposition that he was unfamiliar with any video inventions until sometime after the Real 3D meeting. Mr Wheeler is lying and everyone present at that meeting can testify to that fact. I was at a meeting held at Si Bernstein's house where Eliot Bernstein, Gerry Lewin, Chris Wheeler, Si Bernstein and Hassan Mia were in attendance. This meeting took place prior to the Real 3D meeting and it's purpose was to show Hassan the video streams. It was at this meeting that

Hassan Mia stated "... if what I'm seeing is true, you've found the Holy Grail". The term "Holy Grail" can be found in many early versions of iviewit's business plans.

Let's talk about Brian Utley. This man is a stammering buffoon. Were it not for his resume full of accomplishments and the glowing recommendation of our trusted counsel, he probably never would have passed an initial candidate screening. Unfortunately, we learned too late that many of Brian's accomplishments were fabricated and our trusted advisor, Chris Wheeler, was a liar. I remember a meeting of Eliot, Guy Iantoni, Brian Utley, Mike Reale, Si Bernstein, Chris Wheeler and two investment bankers from Wachovia, Mr. Joe Lee and his associate (I forget his name). Guy and I had prepared a detailed sales forecast that Joe Lee later referred to as the most complete and detailed he'd ever seen. Brian's task was to complete the financials for Joe's review. The work that he presented to Joe Lee was pitiful; it was incomplete, inaccurate and inadequately referenced. In short, it was a disastrous embarrassment. We soon learned that that was the best Utley could deliver. Joe Lee insisted that I complete the financial projections for the business plan and that Utley be removed from the project. This is the sort of talent that our trusted advisor, Chris Wheeler, brought to his client!

From unauthorized patent disclosure to Danny Sokoloff without the protection of an NDA to outright patent sabotage through the use of bad math in patent applications, Utley never failed to disappoint. He was equally inept in corporate matters. I notified Brian on numerous occasions of the firm's responsibility to communicate to shareholders at least once per year and that iviewit was in default on its notes for not having made an interest payment. Like a child, he chose to bury his head in the sand instead of addressing the problem. His exorbitant use of T\&E monies is legend and is only exceeded by his inability to complete a sentence without the excessive use of the word "um".

As they say, "hindsight is 20/20". In this case, it's now clear that Wheeler never had iviewit's interests in mind. He was positioning himself and his friends to benefit from iviewit's inventions and creativity. What makes his crime so heinous is that he masqueraded as our friend.

Sincerely,
James F. Armstrong
126 Buttonwood Drive
Fair Haven, NJ. 07704
732-747-4353
email: imarmstrong@comcast.net

\section*{STATEMENT OF SHAREHOLDER MITCHELL A. WELSCH, CFP}

Date: 12/11/02
Dear Eliot;
I wanted you to know how I feel about all that I have read recently. As a shareholder and someone that has been around this company since the beginning, I don't know how lawyers like Chris Wheeler and law firms like Proskower Rose could allow statements in a business plan that are not true. Therefore, if the business plan were correet then Mr. Utley would have to be lying under oath. In todays world of fair disclosure, this kind of inconsistency makes me outraged. As a shareholder I encourage and would support action taken to bring any wrongdoing to justice. If nothing else, I am unwilling to allow these deceptions to continue. We should pursue action and be compensated for wrongdoing. I know that if Mr. Rubinstein had not been involved with Iviewit it would have significantly affected my decision to contribute funds when I did. His involvement was communicated to me by Mr. Utley, Mr. Wheeler as well as other involved with the company but as legal representation and president of the company they carried the greatest weight. These inconsistencies are unacceptable and criminal in my opinion. What can we do to bring resolution to this situation and whom do we hold accountable?

Sincerely;
Mitchell A. Welsch, CFP
Mitchell A. Welsch CFP

\section*{PASSAGES FROM DEPOSITION OF JERRY LEWIN A PRINCIPAL OF GOLDSTEIN LEWIN, AND THE COMPANY'S FORMER OUTSIDE AUDITOR \({ }^{51}\)}

\begin{tabular}{|c|c|}
\hline \(:\) & discussions were relared to was he doing a good \\
\hline 2 & erough jab ovezseging Ps.ey's ifrm, you know, \\
\hline 3 & handling the patent ar he supnoged .- \\
\hline 4 & Q. Is this Ker Rubeastein? \\
\hline 5 & A. Kat rubensters. That's the guy, yes. \\
\hline 6 & There were diacusgions relatad to Ken Rusemgeein ant \\
\hline 7 & che paterts. That was iz. \\
\hline 8 & Q. Whe had zhose discussions? Who were tae \\
\hline
\end{tabular}



\section*{STATEMENT OF CEO LAMONT}

I met with Mr. Rubenstein in the New York offices of Proskauer Rose LLP on Monday January 7, 2002 at 11:30 A.M. Moreover, the purpose of my visit was three fold: (I) to invite him to REJOIN the Advisory Board along with David Colter, Vice President of Advanced Technology of Warner Bros. and Greg Thagard, formerly of Warner Bros. and left with him a copy of the Company's January 2002 Business Plan, an Advisory Board Member Agreement, and a Warrant Grant to purchase 450 share of the Company as compensation; (II) to begin a series of discussions pointing to the essentiality of the Iviewit patents pending in his role as patent evaluator of the multimedia patent pools known as MPEG 2 and MPEG 4; and (III) to have a face to face discussion as a means to allow me to ask him to speak to Wayne M. Smith, Vice President \& Senior Litigation and Patent Counsel at Wamer Bros. to reiterate his prior statements to Warner Bros. executives and overcome his purported conflict that was previously waived. Much to my surprise, during our discussion, Mr. Rubenstein disavowed any knowledge of the Company's patents pending, at which time I felt a bit of embarrassment. Embarrassed, because, once assuming the CEO position, I had prior knowledge of his speaking to people at Warner Bros., such as, but not limited to David Colter, Greg Thagard, and Chris Cookson, and thought I might have interpreted an incorrect picture of those prior discussions. Lastly, I advised him of my discussions with Warner Bros. pertaining to an Advanced Royalty Agreement ("ARA").

Moreover, in reviewing Company documentation, I came across more instances of business plans naming him as an Advisory Board Member, multiple emails of investors and potential licensees naming Mr. Rubenstein as an individual entirely familiar with the Company's technologies, and parole evidence stating that Mr. Rubenstein, when initially the recipient of the Company's disclosures claimed the technologies were "novel," and that "he had missed that," and that "we had never thought of that," and finally that "this changes everything."

Furthermore, although I became a bit suspicious after the meeting with Mr. Rubenstein, and as the Warner Bros. discussions began to break down due to Mr. Rubenstein's reticence at speaking to Warner Bros., I felt comfortable enough in asking Mr. Rubenstein to place a phone call to Mr. Smith of Warner Bros., for what amounts to the third time, who was the patent attorney assigned the task of reviewing the Company filings for purposes of evaluating the ARA and the AOL Time Warner investment. Mr. Smith had been requesting a conversation with Mr. Rubenstein dating back to December 20, 2001, for the purposes of describing for good or bad his aforementioned knowledge of the Company's patents pending, and that he had formerly described as "novel," on varied occasions to Mr. Colter, Mr. Thagard, and others at Warner Bros. At this point, and based on nearly ten years experience as a technology executive, I suspected that something was wrong in the Company's patent filings, as in my prior experiences, the patent applications or patents issued usually had spoken for themselves, but in this instance, Mr. Smith was seemingly interested in a check of his reading and view of the Company's filings.

Much to my surprise, AGAIN, Mr. Rubenstein, not now disavowing knowledge of the Company's patents pending, refused said request based on conflicts of interest as Warner Bros "is a big client here." Surprised. YET AGAIN, as I was aware of his prior representations to Warner Bros. Where no conticts of interests were stated, at least not to my knowledge and in my review of Company documentation, I may have advised Mr. Rubenstein in still another phone conversation, that his purported conflicts of interest were waived on both sides, but that at least "could Mr. Smith call you [Mr. Rubenstein],"
to which he agreed, however, paraphrasing, "he would not be positive or negative" in that regard. Moreover, he refused to place calls himself much in the same way as he had previously, only this time with anxiety andor anger in his voice. Subsequent to his refusal, Warner Bros. declined the ARA and AOL Time Warner declined an investment in the Company, based on their confusion surrounding the lack of critical elements of the inventions in the Company's patents pending.

Additionally, it appears that Mr. Rubenstein's refusal to again speak affected not only the Warner Bros ARA, the AOL Time Warner investment, but had direct impact on the next discussions with. including but not limited to. SONY Corporation and what was to become Movielink, LLC (a five studio digital download movie service that was to generate licensing revenue for the Company as envisioned by the Company's business plans).

Still further, as my suspicions grew, I consulted with the Company's founder and main inventor, Mr. Bernstein, who contacted Caroline P. Rogers, Esq. to enlist her help is finding a law firm to conduct an independent review of the Company's patents pending. As of April 2002, the Chicago office of Greenherg Traurig LLP submitted their revics at the behest of Ms. Rogers, and advised the Company of the missing critical elements of the Company's inventions that would materlally not support the claims in said filings.

Lastly, much to my dismay, and when viewing the Company's inventions as a direct, competitive threat to, including but not limited to Mr. Rubenstein's MPEG 2 and MPEG 4 patent pools of which Mr. Rubenstein who, by his own admission is counsel to the MPEGLA LLC entity that functions as licensor of those pools, and is, to the best of the Company's knowledge, the patent evaluator who decides the "essentiality" of any patent with a view to admission to those pools, my suspicions grew even stronger.

As a result of discussions on the events with Mr. Bernstein, and by my own hand, I drafted the following letter to Mr . Rubenstein on April 25, 2002, and as evidenced by right clicking the document and choosing "Properties" wherein it evidences the date of creation and the date of modification (despite the WORD document's "update automatically" function), not so much, as it appears as an invitation to engage, but as a mechanism to allow Mr. Rubenstein to "save his soul," as my suspicions of the events surrounding the Company's patent prosecution process from 1998 to 2001, were grave indeed; I have knowledge that this letter, in draft form, was submitted to Mr. Rubenstein in his deposition in the Litigation, where he was given time to read and comment upon its contents:

\section*{IVIEWIT HOLDINGS, INC.}
P. Stephen Lamont

Chicf Executive Officer
Direct Dial: 914-217-0038

\section*{By Flectronic Mail and Facsimile}

June 18, 2003
Kenneth Rubenstein
Partner
Proskauer Rose LLP
1585 Broadway
New York, NY 10036
Re: Iviewit Patents Pending
Dear Ken:
Last we spoke, Wayne Smith of Warner Bros. requested a conversation with you pertaining to Iviewit patents pending, of which you denied indepth knowledge of same and, additionally, stated conflict of interest isuues. Sadly, Iviewit has submitted Return of Property papers and a soon to be issued Cease and Desist letter to Warner Bros. for breach of a Confidentiality Agreement executed in August 2000, and ignorance of a reasonable license agreement to remedy said breach.

In any event, I am writing for another reason as I came across a piece of perplexing information earlier today. I stumbled upon some documentation that named you as an Advisory Board member of the company somewhere between the fall of 1999 and the spring of 2000 .

Moreover, recalling your own words, as I sat in your office earlier in the year, of your present unfamiliarity with the Iviewit techniques and unwillingness to speak on behalf of what I have since heard you describe as "novel" approaches to video perplexes me to a certain extent when I view you as a former Advisory Board member, if you ever held such a designation.

Further, and I should not be relaying this to you, but there are rumors swirling around the company with finger pointing and all from Florida to Los Angeles wherein it catches the jet stream and arrives very soon in New York of alleged breaches of confidentiality pertaining to Iviewit technology, transfers of trade secrets, and, even in certain circumstances, knowing and willful invention fraud by the outright switching of signature

Kenneth Rubenbstein
June 18, 2003
Page 2
pages of patent filings by some earlier patent counsels appointed by the company, including, but not limited to one Mr. Ray Joao, formerly, it is my understanding, of Meltzer, Lippe, Goldstein \& Schlissel, P.C., and an individual that, it is also my understanding, you have worked closely with in the past pertaining to Iviewit and other matters. Moreover, it is also my understanding, that you were the first individual to be presented with the Iviewit proprietary techniques, and passed along the work to your past associate, Mr. Joao, and "reviewed" same prior to, during, and, perhaps, after your transition from the Meltzer firm to Proskauer, and in whatever capacity "reviewed" refers to.

At this juncture in my tenure as Iviewit CEO, I have ordered a full legal audit of the company both from a business perspective and an intellectual property perspective. With the results of said audit nearly complete, the preliminary intellectual property conclusions relayed astound me to the point that I have been told that the Iviewit patents pending are akin to patenting "peanut butter."

Furthermore, I have been told of your past involvement with the Iviewit proprietary techniques, of your conversations about the Iviewit techniques with, including, but not limited to, Greg Thagard, Greg Cookson, and David Colter among others, and your initial conclusion of the novelty of the Iviewit techniques, and I ask myself, "Why, why has past patent counsel failed to patent the inventions as specified by our inventor?" Moreover, I ask myself "Why do the description of the inventions fail to lead one to believe that Iviewit had invented anything at all?"

Still further, I think back to the comments I have heard of your initial reaction to the Iviewit techniques and describing them as "novel," which leads me to the conclusion that in your role as overseer of many patent pools, combined with your description of the novelty of the Iviewit techniques, you had not seen scaling in your review of patents pertaining to the essentiality of any given pool, and I ask my self further, "Why is the Iviewit scaling method now so far reaching and ubiquitous in many, varied patent pools overseen by yourself and others of similar stature?"

As such, I would like to enlist your assistance, if available, to review the conclusions of past and present patent counsel, and to further assist Iviewit in further defining the inventions in any intellectual property arena of our choosing, whether it be by a petition by what process is available at the United States Patent and Trademark Office, or any administrative, state, or federal court of appropriate jurisdiction armed with executed

Kenneth Rubenbstein
June 18, 2003
Page 3
documents, memos, emails, and parole evidence all pointing to fraudulent, or at the least entirely malpractical occurrences regarding the filings of the past Iviewit patents pending.

Lastly, as I mentioned above, I have ordered a full legal and accounting audit of the company many weeks ago, and I expect the completion of same shortly, and I woulc appreciate a response at your earliest convenience.

Best regards,
P. Stephen Lamont

Chief Executive Officer
\begin{tabular}{ll} 
From: & Tony Frenden [t.rex@sbcglobal.net] \\
Sent: & Thursday, May 15, 2003 10:21 PM \\
To: & iviewit@bellsouth.net \\
Subject: Fw: statement
\end{tabular}
--.-- Original Message .-.--
From: Tony Frenden
To: iviewit@worldnet.att.net
Sent: Wednesday, May 14, 2003 11:38 PM
Subject: statement
May 14, 2003

I swear the following to be true:
Upon the closure of the Iviewit office in Boca Raton FL, I was retained for about an extra week by Brian Utley and Mike Reale, assisting in shutting down operations. It was during this time in which Mike Reale entered the video encoding lab, where I was present along with Tammy Raymond, (former Head of IT) and Zakirul Shirajee (former Systems Developer). Reale was smiling broadly as he set down a large silver suitcase onto my computer desk. Upon opening it, he revealed rows and rows of one hundred dollar ( \(\$ 100\) ) bills in U.S. currency, going down as deep as the case. I would estimate the amount to be near a half million dollars. Upon my inquiry of the where the cash came from, Reale said it was from Bruce Prolow. He implied that the money was entrusted to he and Utley to continue Iviewit operations, but to me, it seemed Reale was careful to never explicity state that Prolow authorized this transaction or not.

It is my belief that the suitcase of money was presented to me, in front of Tammy and Zakirul, to convince us that Utley and Reale were the ones reaping benefits from the Iviewit core processes, and if we were smart, we should join them.

A day or two prior to this incident, Mike Reale called me into a private office. He spoke of a new operation he and Utley wanted to embark on which utilizes Iviewit's core processes. The plan consisted of encoding video porn at an ambiguous island location in Puerto Rico. It was known that Eliot Bernstein had made available the option for me to work at the newly forming Iviewit in Glendale, CA. Reale wanted to steer me from going to the West coast operation, and spoke of me receiving a title and large pay raise should I go along with the puerto Rico porn plan, instead.

Also, on one of these last closure days at the Boca Raton offices, Mike Reale approached me in the lab regarding another issue. He inquired which computers would be best to use, if one were to have the need to process Iviewit's core technologies. He asked me which 3 were the strongest computers to do the job. I had a feeling that he wanted to make off with whichever units I spoke of. I had already begun to make up my mind that \(I\) wanted no part of the Puerto Rico porn operation, so I told him about 3 computers I didn't care for. They were called, THE BOMBER, THE REELTIME NITRO, and one more unnamed computer. These were all very powerful and expensive units, but were not necessarily suited to encode video. As expected, these 3 units turned out to be the same ones found in Brian Utley's possession, months later. When the cops returned the items to us, the units

Page 2 of 2
contained several new media files, mostly long distance learning applications which
were created well after the Boca offices were closed down.
Anthony Rex Frenden
859 Hollywood Way \#374
Burbank CA 91505

Incoming mail is certified Virus Free.
Checked by AVG anti-virus system (http://www.grisoft.com).
Version: 6.0.480 / Virus Database: 276 - Release Date: 5/12/2003

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------Original Message-----
From: Eliot I. Bernstein [mailto:res0bf4a@verizon.net]
Sent: Wednesday, June 13, 2001 9:21 PM
To: Ross Miller (E-mail); Ross Miller (E-mail 2); William R. Kasser (E-mail); William R. Kasser (Email 2); Simon L. Bernstein (E-mail)
Subject: Missing Boca Equipment
Please read this email from Matt Mink it clearly indicates that Mike and Brian have iviewit equipment.
------Original Message-----
From: Minkvideo@aol.com [mailto:Minkvideo@aol.com]
Sent: Wednesday, June 13, 2001 4:50 AM
To: tyrexden@yahoo.com
Subject: Re:
Tony,
Everything is good. I finally have my computer back and I am editing again. I am trying a little marketing right now. I have an ad going into a local vendors magazine and I have been meeting and contacting other video companies in my field to let them know that I am available to shoot and edit. I met with Zakirul one day at his school and everything seems to be going well with him too. Mike Reale has contacted me twice too. I guess he has the bomber and the computer I worked on and there is an administration password he can't get by. I couldn't help him there. I guess Tammy won't help him out.

When my computer went down I lost Dreamweaver, Fireworks and my encoders. I didn't have any backups for them. I know better this time. I am backing up everything.

Take care and l'll talk to you soon.
Matt
-----original Message-----
From: Minkvideo@aol.com [mailto:Minkvideo@aol.com]
Sent: Wednesday, May 01, 2002 5:15 PM
To: t.rex3@verizon. net
Subject: Re: from Tony!
speaking of New Jersey....Mike Reale called me after i was let
go....could
have been a few weeks to a month about passcodes to computers and if I
wanted
to go to New Jersey to help set up their new operation with the distance
learning because \(I\) knew the iviewit processes. If you mean stuff like
that
let me know

Matthew
-----Original Message-----
From: Tony Frenden [mailto:tyrex.den@verizon.net]
Sent: Thursday, July 19, 2001 1:39 AM
To: 'Bill Kasser'
Subject: RE: Encoding Machines
Bill,
Both machines were accessed, and used during the time they weren't in our hands. On the Bomber, i didn't find any streaming media files, but it was indicated that the encoding software (to create streaming files) had been used frequently. On the Nitro, i have not yet searched for streaming files, but i did find many images that pertain to the InternetTrane product. These images were to appear as pages within InternetTrane's software. These files were created by someone using the Nitro in early June.

It was shown that both machines were part of a network environment together, while in our absence. The drives of each computer was 'shared' or accessible to the other computer. Bomber's drive was called 'Production', while the Nitro was named "Video". Furthermore, the Bomber recieved an upgrade of its 'operating system' (from Windows NT to Windows 2000) to facillitate its network environment. I don't believe the Windows 2000 upgrade to be legitimate.

A side note reveals that both computers had pirated software installed on them in June or July, and files resulting from them were created as late as July 11, 2001.

If you require further details, let me know.
Tony Frenden
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[INSERT COUNTERCLAIM]

IN THE CIRCUIT COURT OF THE \(15^{\text {TH }}\) JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

PROSKAUER ROSE L.L.P, a New York limited partnership,

Plaintiff,
v.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation.

Defendants.

DEFENDANTS MOTION FOR LEAVE TO AMEND TO ASSERT COUNTERCLAIM FOR DAMAGES

Defendants, IVIEWIT.COM, INC., IVIEWIT HOLDINGS, INC. and IVIEWIT TECHNOLOGIES, INC., by and through their undersigned counsel, hereby move this Court for Leave to Amend their Answer so as to assert a counterclaim in this matter pursuant to Rule 1.170(f) of the Florida Rules of Civil Procedure and as grounds therefore would state as follows:
1. That the Defendants move to amend their answer in this matter so as to include a counterclaim in this matter, which by its nature appears to be a compulsory counterclaim to the extent that the issues arise out of the same nexus of events, as

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justice requires that the counterclaim be tried at the same time as the complaint and ariswer so that all pending issues between the parties may be adjudicated in this action.
2. That as a result of fact that additional evidence in support of the Defendants' ccunterclaims is found in the Plaintiff's own files and records, the Plaintiff will not be prejudiced by the amendment of the Defendants' answer in this matter, nor will this matter be delayed as to the trial of same.
3. Defendants have attached hereto a copy of the proposed counterclaim.

WHEREFORE the Defendants, move this Honorable Court for the entry of an order permitting the Defendants to amend their answer in this matter.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this CA \({ }^{\text {Mk }}\) day of January, 2003 to: Clristopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.


IN THE CIRCUIT COURT OF THE \(15^{\text {h }}\) JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

PROSKAUER ROSE, LLP, a New York limited partnership,

CASE NO.: CA 01-04671 AB
Plaintiff,
vs.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation and, IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants,

\section*{COUNTERCLAIM FOR DAMAGES}

COME NOW the Counter Plaintiffs, IVIEWIT.COM, INC., IVIEWIT
HOLDINGS, INC., IVIEWIT TECHNOLOGIES, INC. and IVIEWIT LLC, hereinafter collectively referred to as "IVIEWIT" or Counter Plaintiffs, and hereby sues Counter Defendant, PROSKAUER ROSE, LLP, hereinafter "PROSKAUER", a New York limited partnership, and alleges as follows:

\section*{GENERAL ALLEGATIONS COMMON TO ALL COUNTS}
1. This is an action for damages in a sum greater than \(\$ 15,000.00\), exclusive

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of interest, taxable costs and attorneys fees.
2. Counter Plaintiff, IVIEWIT.COM, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and the State of California.
3. Counter Plaintiff, IVIEWIT HOLDINGS, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and California.
4. Counter Plaintiff, IVIEWIT TECHNOLOGIES, INC., is a Delaware corporation, formed by PROSKAUER, which at all times relevant hereto was authorized to conduct and conducted business in Palm Beach County Florida and the State of California.
5. IVIEWIT LLC, is a Florida limited liability company, formed by PROSKAUER, which, at all times relevant hereto, was authorized to conduct and conducted business in the Palm Beach County Florida and the State of California.
6. Counter Defendant PROSKAUER ROSE, LLP, (hereinafter
"PROSKAUER") is a New York limited partnership, operating a law office in Boca Raton, Palm Beach County, Florida.

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Boca Raton, Palm Beach County, Florida.
7. BRIAN G. UTLEY, (hereinafter "UTLEY") was at all times relevant hereto a sui juris resident of the State of Florida and who on or about September of 1999 was the president of Counter Plaintiff, IVIEWIT LLC.
8. CHRISTOPHER WHEELER, (hereinafter "WHEELER") is a sui juris individual and resident of Palm Beach County, Florida, who at all times relevant hereto was a partner of PROSKAUER and who provided legal services to the Counter Plaintiffs.
9. KENNETH RUBENSTEIN, (hereinafter "RUBENSTEIN") is a sui juris individual believed to be a resident of the State of New York and who various tinies relevant hereto was initally misrepresented by WHEELER as a partner of PROSKAUER and later became a partner of PROSKAUER, and who provided legal services to the Counter Plaintiffs both while at Meltzer, Lippie, et al., and PFOSKAUER.
10. RAYMOND JOAO, (hereinafter "JOAO") is a sui juris individual believed to be a resident of the State of New York and who at all times relevant hereto was represented to be RUBENSTEIN's associate at PROSKAUER, when in fact JOAO has never been an employee of PROSKAUER but in fact was an employee of Meltzer, Lippie, et al.

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11. That beginning on or about November of 1998, the Counter Plaintiff, IVIEWIT, through it's agent and principal, Eliot I. Bernstein ("Bernstein"), held discussions with WHEELER with regard to PROSKAUER providing legal services to the company involving specific technologies developed by Bernstein and two others, which technologies allowed for:
i) Zooming of digital images and video without degredation to the quality of the digital image due to what is commonly refereed to as "pixilation"; and,
ii) The delivery of digital video using proprietary scaling techniques; and,
iii) A combination of the image zoom techniques and video scaling techniques described above; and,
iv) The remote control of video cameras through communications networks.
12. That Bernstein engaged the services of PROSKAUER to provide legal services to the company to be formed, including corporate formation and governance for a single entity and to obtain multiple patents and oversee US and foreign filings for such technologies including the provisional filings for the technologies as described in Paragraph 11 above, the "Technology", and such

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other activities as were necessary to protect the intellectual property represented by the Technology.
13. That at the time of the engagement of PROSKAUER, Bernstein was advised and otherwise led to believe that WHEELER was the PROSKAUER partner in charge of the account.
14. Upon information and belief, WHEELER, RUBENSTEIN and JOAO upon viewing the technologies developed by Bernstein, and held by IVIEWIT, realized the significance of the technologies, its various applications to communication networks for distributing video data and images and for existing diggital processes, including, but not limited to digital cameras, digital video disks (DVD), digital imaging technologies for medical purposes and digital video, and that WHEELER, RUBENSTEIN and JOAO conspired to undertake and in fact undertook a deliberate course of conduct to deprive Bernstein and IVIEWIT of the beneficial use of such technologies for either the use of third parties, who were other clients of PROSKAUER and WHEELER, or for WHEELER, RUBENSTEIN and JOAO's own financial gain, to the detriment and damage of the Counter Plaintiffs.
15. That WHEELER, who was a close personal friend of UTLEY, recommended to Bernstein and other members of the board of directors of

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IVIEWIT that the IVIEWIT engage the services of UTLEY to act as President of the Iviewit.com, LLC based on his knowledge and ability as to technology issues.
16. That at the time that WHEELER made the recommendation of UTLEY to the board of directors, that WHEELER knew that UTLEY was in a dispute with his former employer, Diamond Turf Products and the fact that UTLEY had misappropriated certain patents on hydro-mechanical systems to the detriment of Diamond Turf Products.
17. Additionally, WHEELER was fully aware of the fact that UTLEY was not the highly qualified "engineer" that UTLEY represented himself to be, and that in fact UTLEY lacked real engineering expertise or even an engineering degree and that UTLEY had been fired from Diamond Turf Products due to his misappropriation of patents.
18. That despite such knowledge, WHEELER never mentioned such facts concerning UTLEY to any representative of IVIEWIT and in fact undertook to "sell" UTLEY as a highly qualified candidate who would be the ideal person to undertake day to day operations of IVIEWIT and work on the patents, acting as a qualified engineer.
19. Additionally, WHEELER continued to assist UTLEY in perpetrating such fraud on both the Board of Directors of IVIEWIT and to third parties,
including Wachovia Bank, by approving a false resume for UTLEY to be included in seeking approval of a private placement for IVIEWIT.
20. That based on the recommendations of WHEELER, as partner of PROSKAUER, the board of directors agreed to engage the services of UTLEY as president.
21. That almost immediately after UTLEY's employment and almost one year after initially providing of services, WHEELER provided a retainer agreement for the providing of services by PROSKAUER to IVIEWIT LLC, addressed to UTLEY, a true and correct copy of such retainer agreement (the "F'etainer") being attached hereto and made a part hereof as Exhibit " \(A\) ". That the services provided were in fact to be paid out of the royalties recovered from the use of the Technology, which was to be included in patent pools overseen by RIJBENSTEIN.
22. That the Retainer by its terms contemplated the providing of corporate and general legal services to IVIEWIT LLC by PROSKAUER and was endorsed by UTLEY on behalf of IVIEWIT LLC, the Board of Directors of IVIEWIT LLC would not have UTLEY authorized to endorse same as it did not include the intellectual property work which PROSKAUER had already undertaken.
23. That prior to the Retainer, PROSKAUER and WHEELER had provided

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legal services to IVIEWIT, including services regarding patent procurement and acted to coordinate such services both internally and with outside counsel, including RUBENSTEIN and JOAO, including times when they were misrejresented as PROSKAUER attorneys.
24. That PROSKAUER billed IVIEWIT for legal services related to corporate, patent, trademark and other work in a sum of approximately \(\$ 800,000.00\).
25. That PROSKAUER billed IVIEWIT for legal service never performed, double-billed by the use of multiple counsel on the same issue, and systematically overcharged for services provided.
26. That summaries of the billing statements provided by PROSKAUER to IVIEWIT are attached hereto and made a part hereof as Exhibit "B".
27. That based on the over-billing by PROSKAUER, IVIEWIT paid a sum in of approximately \(\$ 500,000.00\) plus together with a \(2.5 \%\) interest in IVIEWIT, which sums and interest in IVIEWIT was received and accepted by PF:OSKAUER.
28. That WHEELER, UTLEY, RUBENSTEIN, JOAO and PROSKAUER, conspired to deprive IVIEWIT of its rights to the technologies developed by Bernstein by:

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a) Transferring patents using Foley \& Lardner so as to name UTLEY as the sole holder of multiple patents in his individual name and capacity when in fact they were and arose from the technologies developed by Bernstein and others and held by IVIEWIT prior to UTLEY's employment with IVIEWIT, and
b) Upon discovery of the "lapses" by JOAO, that WHEELER and PROSKAUER referred the patent matters to WILLIAM DICK, of Foley \& Lardner, who was also a close personal friend of UTLEY and who had been involved in the diversion of patents to UTLEY at Diamond Turf Products; and,
c) Failing to list proper inventors of the technologies based on improper legal advise that foreign inventors could not be listed until their irmigration status was adjusted, resulting in the failure of the patents to include their rightful and lawful inventors and the payment by IVIEWIT for unnecessary imımigration work; and,
d) Failing to ensure that the patent applications for the technologies, contained all necessary and pertinent information relevant to the technologies and as required by law; and,
e) Failing to secure trademarks and copyrights and failing to complete trademark and copyright work for the use of proprietary names of IVIEWIT and source code for the Technologies of IVIEWIT as intellectual property, and;

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f) Allowing the infringement of patent rights of IVEIWIT and the intellectual property of IVIEWIT by other clients of PROSKAUER and WHEELER, and;
g) Aiding JOAO in filing patents for IVIEWIT intellectual property by intentionally withholding pertinent information from such patents and not filing same timely, so as to allow JOAO to apply for similar patents in his own name, bcth while acting as counsel for IVIEWIT and subsequently.
29. As a direct and proximate result of the actions of the Counter Defendant, Counter Plaintiffs have been damaged in a sum estimated to be greater than \(\$ 10,000,000,000.00\), based on projections by Gerald Stanley, CEO of Real 3-D (a consortium of Lockheed, Silicone Graphics and Intel) as to the value of the te:hnologies and their applications to current and future uses together with the loss of funding from Crossbow Ventures as a result of such conduct.
30. All conditions precedent to the bringing of this action have occurred or have been waived or excused.

\section*{COUNT 1-LEGAL MALPRACTICE}
31. This is an action for legal malpractice within the jurisdiction of this court.
32. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.

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33. PROSKAUER employed by IVIEWIT for purposes of representing IVIEWIT to obtain multiple patents and oversee foreign filings for such technologies ircluding the provisional filings for the technologies as described in Paragraph 11 aloove.
34. That pursuant to such employment, PROSKAUER owed a duty to ensure that the rights and interests of IVIEWIT were protected.
35. WHEELER, RUBENSTEIN, JOAO and PROSKAUER neglected that reasonable duty of care in the performance of legal services in that they:
a) Failed to take reasonable steps to ensure that the intellectual property of IVIEWIT was protected; and,
b) Failed to complete work regarding copyrights and trademarks; and,
c) Engaged in unnecessary and duplicate corporate and other work resulting in billing for unnecessary legal services believed to be in excess of \(\$ 400,000.00\); and,
d) By redacting information from the billing statements regarding services provided so to as to give the appearance that the services provided by PROSKAUER were limited in nature, when in fact they involved various aspects of intellectual property protection; and,
e) By knowingly representing and agreeing to accept representation of Page 11 of 17
clients in conflict with the interests of IVIEWIT, without either consent or waiver by IVIEWIT.
36. That the negligent actions of PROSKAUER and its partners, WHEELER and RUBENSTEIN, resulted in and was the proximate cause of loss to IVIEWIT.

WHEREFORE, Counter Plaintiff demands judgement for damages against Defendant together with reasonable attorneys fees, court costs, interest and such other ard further relief as this Court deems just and equitable.

\section*{COUNT II-CIVIL CONSPIRACY}
37. This is an action for civil conspiracy within the jurisdiction of this court.
38. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
39. Defendant, PROSKAUER and UTLEY, WHEELER, RUBENSTEIN and JCIAO, jointly conspired to deprive the Counter Plaintiffs of their rights and interest in the Technology.
40. That UTLEY, WHEELER, RUBENSTEIN, JOAO and PROSKAUER with such intent, directed that certain patent rights be put in the name of UTLEY and/or that such patent rights were modified or negligently pursued so as to fail to provide protection of the intellectual property, resulting in the ability of other clients of WHEELER, RUBENSTEIN, JOAO and PROSKAUER to make use of such

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technologies without being liable to IVIEWIT for royalties normally arising from such use.
41. That PROSKAUER, without either consent of the Board of Directors or proper documentation, transferred securities to Tiedemann/Prolow Investment Group, which entity was also referred by WHEELER, who acted as counsel for such unauthorized transaction.
42. That upon the discovery of the above-described events and conspiracy, IVIEWIT's lead investor, Crossbow Ventures, ceased its funding of IVIEWIT.
43. That Crossbow Ventures, which was a referral of WHEELER, took a security interest in the Technology under the guise of protecting IVIEWIT and its shareholders from the actions of UTLEY, based on the filing of an involuntary benkruptcy (which was later withdrawn), and as to WHEELER and PROSKAUER based on the instant law suit, when in fact such conduct was motivated by Crossbow's at:empts to wrongfully detain the interests of IVIEIT in the Technology. Such conduct, upon information and belief, was undertaken with the knowledge and assistance of WHEELER and PROSKAUER.
44. As a direct and proximate result of the conspiracy and acts of PROSKAUER, UTLEY, WHEELER, JOAO and RUBENSTEIN, the Counter Plaintiffs have been damaged.

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WHEREFORE, Counter Plaintiffs demand judgement for damages against Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

\section*{COUNT III- BREACH OF CONTRACT}
45. This is an action for breach of contract within the jurisdiction of this Court.
46. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
47. Defendant, PROSKAUER, breached the contract with Counter Plaintiff, IVIEWIT LLC by failing to provide services billed for pursuant to the billing statements presented to the Counter Plaintiffs and over-billing for services provided.
48. That such actions on the part of PROSKAUER constitute beaches of the contract by and between IVIEWIT LLC and PROSKAUER.
49. That as a direct and proximate result of such conduct on the part of PROSKAUER, IVIEWIT LLC has been damaged by overpayment to PROSKAUER and the failure of PROSKAUER to perform the contracted for legal services.

WHEREFORE, IVIEWIT demands judgement for damages against Counter Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

\section*{COUNT IV-TORTIOUS INTERFERENCE WITH AN ADVANTAGEOUS BUSINESS RELATIONSHIP}
50. This is an action for tortious interference with an advantageous business relationship within the jurisdiction of this Court.
51. Counter Plaintiff re-alleges and hereby incorporates that allegations of Paragraphs 1 through 30 as if fully set forth herein.
52. Counter Plaintiff was engaged in negotiations of technology agreements with both Warner Bros. and AOL/Time Warner as to the possible use of the Technologies of the Counter Plaintiffs and investment in Counter Plaintiffs as a strategic partner.
53. That despite the prior representations of RUBENSTEIN, at a meeting held on or about November 1, 2000, by and between UTLEY, RUBENSTEIN and representatives of Warner Bros. as to the Technology of IVIEWIT and the efficacy, noivelty and unique methodology of the Technology, RUBENSTEIN refused to subsequently make the same statements to representatives of AOL and Warner Bros., taking the position that since Warner Bros./AOL is "now a big client of Proskauer, I can't comment on the technologies of Iviewit." or words to that effect in response to inquiry from Warner Brother/AOL's counsel as to the status and condition of the pending patents on the intellectual property.

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54. That RUBENSTEIN, having served as an advisor to the Board of Directors for IVIEWIT, was aware of the fact that at the time of the making of the statements set forth in Paragraph 50, above, IVIEWIT was in the midst of negotiations with \(\mathrm{AOL} / \mathrm{Warner}\) Bros. as to the possible funding of the operations of IVIEWIT in and sum of between \(\$ 10,000,000.00\) and \(\$ 20,000,000.00\).
55. Further, RUBENSTEIN as a partner of PROSKAUER, and despite his clear prior actions in representing the interests of IVIEWIT, refused to answer questions as to the enforcement of the Technology of IVIEWIT, with the intent and knowledge that such refusal would lead to the cessation of the business relationship by and between IVIEWIT and Warner Bros./AOL and other clients familiar with the Warner Bros/AOL technology group then in negotiations with IVIEWIT, including, but not lirnited to Sony Corporation, Paramount, MGM and Fox.
56. That the actions of RUBENSTEIN were and constituted an intentional and unjustified interference with the relationship by and between IVIEWIT and Wamer Bros./AOL designed to harm such relationship and further motivated by the attempts to "cover-up" the conflict of interest in PROSKAUER's representation of both IVIEWIT and Warner Bros./AOL.
57. That indeed, as a direct and proximate result of the conduct of RUBENSTEIN, Warner Bros./AOL ceased business relations with IVIEWIT to the
damage and detriment of Counter Plaintiffs.
WHEREFORE, Counter Plaintiffs demand judgement for damages against
Counter Defendant together with court costs, interest and such other and further relief as this Court deems just and equitable.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this \(\mathcal{Q}^{\mu}\) day of January, 2003 to: Christopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.
SELZ \& MUVDI SELZ, P.A.
214 Brazilian Avenue, Suite 220
Palm Beach, FL 33480
Tel: ( 561 ) \(820-9409\)
Fax: \((561) 833-9715\)
By:
STEVEN M. SELZ
FBN: 777420
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\section*{APPENDIX II}
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CORRECTED VERSION - CORRECTED ON 5/14/2003 Transcription of Telephone Conference Conducted July 31, 2000
Participants:
Simon Bernstein, Eliot Bernstein, Maurice Buchsbaum, Brian Utley, Doug Boehm, Chris Wheeler
Note: Square brackets [ ] are used to indicate inaudible or indecipherable text. Text found inside brackets indicates transcriptionist's best guess. Since speaker names are not specifically identified, transcriptionist has made an attempt to identify based upon comments made in conversation but cannot guarantee that each speaker has been accurately identified. Note also that this recording has numerous instances of participants speaking at once or carrying on simultaneous side conversations that make it difficult to follow and transcribe the entire line of discussion.

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work is ours. Am I right Chris when we pay for a lawyer and we pay for the work, the work is ours.


\begin{tabular}{|c|c|}
\hline Utley: & It doesn't have claims. \\
\hline Bernstein: B & But then in our claims of our patent, it's not there. This is what you're representing, correct? \\
\hline Wheeler: & So you're saying that it wasn't put in the file, but it was put in the provisional. \\
\hline Boehm: & No, I could see where he's going to argue that it's there. \\
\hline Bernstein: & Let's see. Let's take a look. \\
\hline Wheeler: & ...what the language of the patent claims are that he filed. \\
\hline Bernstein: & Okay, let's see what he. \\
\hline Wheeler: & And this isn't the final decision because I can go back right now and amend those claims. \\
\hline Bernstein: & Wow, yes, but we have elements of exposure that creep in correct? \\
\hline Wheeler: & I'm just telling you the whole thing, then we'll go back. So you did look it over, and there are no claims in the provisional? \\
\hline Boehm: & There are no claims in a provisional. You can file them, but they are never examined. \\
\hline Wheeler: & But the zooming and the panning and the scanning element was incorporated in that? \\
\hline Boehm: & Go ahead, Brian. \\
\hline Utley: I & Let me make sure that we say that properly. The provisional filing had a claims section which migrated into the final filing, but Eliot is correct in saying that the provisional does not need a claims section. \\
\hline Boehm: & The provisional never gets examined, so it doesn't need the claims. It just holds your place in line for one year. But then when I look through this... \\
\hline Simon Bernstei & in: Hold on, Eliot, I need to understand this. What you're saying, then, is assuming any negligence on his part, to that point the negligence doesn't become realistically damaging to the company until since he actually made a claim...since he actually made a provisional filing. Which took our place in line. \\
\hline Boehm: & If the provisional filing covered the invention, your place in line is only as good as the subject matter described in accordance with the law. \\
\hline Simon: & Obviously, it should have had the panning and zooming in there. \\
\hline Boehm: & Well, the word "zoom" is in there. \\
\hline Bernstein: But & But not really to describe what we're doing. \\
\hline Boehm: Butale & But do you see what I'm saying? It's only to the amount of subject matter that and attested where the average person skilled in the art could make and use an invention as it's \\
\hline
\end{tabular}

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described in this document, and without "undue" experimentation, without inventing it himself.


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Boehm: Yes.
Boehm: Well play lawyer on you now<Laughs; cannot understand his comment.>

Wheeler: Right - sorry
Boehm: Whether or not it's supported is a question that's going to be determined either between you and the examiner...probably not, it's between you and another lawyer someday when the case is litigated. The question is And again, the test is: Can the average person skilled in the art-the average

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designer of this type of software-can he read this document and make and use of your invention without inventing it? That's the test. Now, whether he uses the word "zoom" in here and "magnification" later, that doesn't mater as long as he would have gotten it. If it is so simple to build by reading this, you don't need any subject matter. If you're combining three elements A, B, and C, and A, B, and C are standard in the art, and you tell them these are standard in the art, go combine \(A, B\), and \(C\), that could be a onepage application. The average person will pick it up and he could. It's a patent test. Are you with me? The more complex it is, the more you want it supported in this text.
Simon Bernstein: What if it is basically simple, and he just wrote it

\(\quad\)\begin{tabular}{l} 
as basically simple, does that support our position anyway \\
though?
\end{tabular}
Boehm: \(\quad\) Does that support our...Sure...
Simon Bernstein:


I mat infringes on our... if we were to litigate against another person

Boehm: An infringer.
Simon Bernstein: Supportable for the sake of argument?

Boehm: Right. Yes. That is a fair argument

Boehm: For that one, yes.
Wheeler: But he didn't bother telling anybody.

Boehm: That's the one that we didn't find out until way late.

Wheeler: Okay, perhaps the reason that he did that was that was the easiest way to do it and the course of least resistance, and he thought he could go back...is there an amendment procedure?

Boehm: Yeah, there's an amendment procedure.
Wheeler: That he could do it a few months later or something like that?

Utley: We had a conversation before the formal filing, and, in fact, I have my notes here from that conversation.


Boehm: Just speculating.

that, but you need to put claims on at this time. When I do a provisional, I try, if there is money and time up front, to do it once up front. I even write the claims. As a matter of fact, I don't even like to file provisionals because there's not much of an advantage. If you've got the time and the money up front to do a good job, well then, just file it as a regular application.

Simon: Understand that at the beginning, the time and the money...I mean, the time was certainly available, but the money was a short substance. So it was obvious that Ray would be working in a most expeditious way.
Boehm: Well, that's why the..
Simon: Which might have short-circuited us because of all of the lack of funds.
Wheeler: Well, that's true because the filing date is 3/24/99 to endorse that...that was very early in the game.

Simon: We did it in your office Chris in your library...in your conference room. The only meeting \(I\) had with him was while we were going to file the patent and that was in your office.

Boehm: Okay, 3/24/99 is the provisional application.
Bernstein: That's what I'm saying. Well, Chris,
Boehm: So even at a year, he filed the second one with claims.
Buchsbaum: Yeah two things happened during the year. One, the Company was doing other things, even though they knew that was coming up, and two, I guess there wasn't a whole lot of money to allocate towards doing that much.

Simon: Here's what we did. We hired Ray Joao on the monies that were raised by the investors; and then when Huizenga was coming in with their money, and when that money came in, we made a company decision that the first and foremost thing was to get the patent filed properly. So the fact that we were going to spend more money and get them completed at that point had already been made.

Simon: Okay, but prior to that, we were working on short forms. Then after that, we started to raise capital, and we always knew that the priority was intellectual property, so were going to make sure that those got done right. Brian's been working on it ever since, and I felt comfortable...I never did feel comfortable with Ray Joao...just an observation.

Boehm: Hmmm....is it all patent attorneys? <Laughter>
Simon: No, no, there's nothing wrong. He came in, he's a nice guy, he tried hard, you know, all the nice things, but his work always appeared sloppy, okay? And that's the only thing I can say. You're a patent attorney, you see what he did. If I'm wrong, then let me know; but to me, it looked like it was a little slipshod. And then he made some statements that really bothered me, too, that I don't think he should have made to a client, and that is that he was filing his own patent. <Chuckling.> I mean, horseshit personally, I haven't heard of a patent attorney in my life telling me
that he's an inventor filing his own patent. It really did bother me.
<Everyone talking at once.>

Bernstein: Transmitting video files on a communication network for airlines and...

Simon: It probably meant nothing because I don't think the guy was of the nature to be stealing from us, but I don't know! But I'll tell you this, it did ring a bell. From a pure novice, it made me a little nervous. I asked Eliot why he was dealing with somebody, but we were assured that this was a good firm...

Boehm: Let me look back in my own spiel...here with the provisional. You file a provisional, then within one year, you file a regular application with the claims. You can add claims to it; but if you add subject matter to it-in other words, if the zoom and pan concept wasn't well described, you have lost the benefit of that first phase. Right. Now why is that going to hurt you? Two main reasons. One is if you put it on sale-offered it for sale- or you publicly disclosed it, there are certain regulations that say you've got to get something on file, so if you had publicly disclosed it, that would protect...getting the application on file will protect you from losing your date because of public disclosure and offer for sale. I think that's what he was trying to get the earlier dates for.

Simon: Sure.
Boehm: I spoke with Ray when I was trying to get all of these files, and his comments to me were... when we were on the phone-you remember, we were asking him where was this stuff, and he said, well, he kept building on and he learned more it got in there. After I reviewed these applications, I agree that you're learning more as you go along. I'm doing the same thing. So it's kind of a learning curve.

Bernstein: If they ever find a zoom description that adequately makes...especially in the claims...I mean, if you're reading the claims...

Boehm: But Eliot, he's going to say that the claims are of no import right now. All you have to do...

Bernstein: In the filings?

Boehm: In the filings. I can go amend those right now. We can sit down today and re-write them.

Simon: If it can be amended amend it. There's no problems.
Boehm: There's no problems.
Simon Bernstein: There's always maybe a little money that's been duplicated and that's it.

Boehm: Here's the problem, and that's what I want to get across about that. If he's trying to claim zoom and pan and I rewrite the claims to claim zoom and pan, and the examiner says, that's great, but it's new matter


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\begin{tabular}{|c|c|}
\hline Bernst & But you can add claims to that one that you're referencing that would encompass what we have in today's filing, which is really...we do want it in there. \\
\hline Boehm: & Yes, I can claims to the zoom and pan to get you back to the original date in this one since \(I\) claim to this onto his. \\
\hline Bernstein: & Well, we should do both. \\
\hline Boehm: & Well, you can't get two patents on the same invention, so it depends on where we want to go. \\
\hline Bernstein: & Well, we want to definitely get it in on his because it gets us an earlier date. Correct? \\
\hline Boehm: & No. It's a mess with these dates. What will happen is...nobody will worry about the date unless there's an occurrence, and that occurrence might... it's a major problem. You won't find out about that occurrence until you sue somebody, and then they go search in Australia, and they find a reference that somebody's done this before in the library, and then you worry about the date. Were you before him? \\
\hline Bernstein: & Well, that's what \(I^{\prime \prime m}\) worried about. I'd like to go back to our earliest date. \\
\hline Wheeler: & Can I point out one other thing? I know we look for the word...Eliot looks for the word...I know we look for the word "zoom," but there's also other language in here too. Sometimes we get caught up in a word "zoom," when what is zooming other than enlarging or reducing? And he does have language in here, "when enlarged or reduced, these pixels of the digital image becoming distorted a feature which typically results in the digital image being fixed to an original size or being available at low magnification, such as, for example, magnification from 200 to 300 times. These digital images are also difficult to enlarge to a full screen without a tremendous amount of distortion present in the end product." \\
\hline Wheeler: & I mean, he's describing I mean that's zooming. Reducing and enlarging is zooming. \\
\hline Bernstein: & But he's not putting it in your claims, that's what he's saying. You see, this is different. \\
\hline Boehm: & But it doesn't matter right now \\
\hline Wheeler: & But it doesn't have to be if you've made mention. The opinion is that it doesn't have to be as long as he's ...if you made mention...if you've gone on record of having described this \\
\hline Boehm: & This is the background that's...problem. He's got... \\
\hline Boehm: & That kind of invention, right, it's got to state... \\
\hline Wheeler: & Well, I didn't get to that either. \\
\hline Bernstein: & Right. And that's where it's not. \\
\hline Boehm: & I pointed out a couple of things. It's not as... \\
\hline Bernstein: & Within the claims, the claims \(I^{\prime} \mathrm{m}\) reading, you could not \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & The claims really don't matter. \\
\hline Bernstein: & In the patent? \\
\hline Boehm: & The patent claims on a pending application basically don't matter. \\
\hline Bernstein: & No, the ones he filed. \\
\hline Boehm: & Yeah, they basically don't matter. I can go back and change them. \\
\hline Bernstein: & Okay. Why? So we want to change back to the original one he's filed, put as much language as we can that we have today...oh, it's all supported. Everything you wrote in that new one is supported in this one because it's the same process. \\
\hline Boehm: & That's the ultimate problem that Steve and I-Steve is Becker, the other patent attorney that actually wrote these patents <in audible>-but that's the ultimate problem that we're worried about, and that's the problem that you always worry about unless you first of all have a handle on the invention, inside and outside, and second of all, unless you really have a handle on Prior Art so you know where you want to go with this. Then you spend the time and the money to do a good original provisional filing. You've got a pretty good shot that it's supported then. But when you file as, oh, I've got to try and cover this base, and when you do this kind of stuff, there's always going to be a question of what was supported when. \\
\hline Bernstein: & But that's fine. It is supported. \\
\hline Simon Bernstei & in: We're off the subject matter. \\
\hline Bernstein: & So we should definitely claim back to the earlier date? \\
\hline Boehm: & We may get a rejection, or you may find out in litigation five years from now, that none of this was supported. Some court may say that you never talked how to do this because your software wasn't in the patent application. \\
\hline Bernstein: & It is, though. \\
\hline Boehm: & Well, the code isn't. They might say that these broad diagrams and these flowcharts aren't good enough. There's always that risk. \\
\hline Bernstein: & But we're trying to say that if they accept it, we want it to be to the furthest filing date that we can, which is March 3, 2000, and that's where it should lie; and if it's going to get argued let it live or die at that date. \\
\hline Boehm: & That's what we're trying to do right now. \\
\hline Bernstein: & Okay, good. So I'm under the impression from this point that we're going to encompass what we've learned what we're filing even in this other one even into the original one so we can claim back to a March 3 filing date that claims back to our original March patent... \\
\hline Boehm: & March \(24^{\text {th }}\), yeah, all of that will go back toward what is supported in here, in the original. Not supported in ours. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Bernstein: & Okay. And it's all going to be supportable because you're going to be able to pull up an image of the nature that we are discussing, and anybody with an eye can see that you've now done this. \\
\hline Boehm: & <Inaudible comment.> \\
\hline Bernstein: & Well, you're going to be able to show your invention, aren't you? \\
\hline Boehm: & No, no. \\
\hline Bernstein: & You can't? \\
\hline Boehm: & You live or die on what's in the specs. That's why... \\
\hline Bernstein: & Then get it in there. \\
\hline Boehm: & Yeah. \\
\hline Bernstein: & You can't bring it in as evidence what the invention is? \\
\hline Boehm: & Only outside evidence of what the average level of skill in the art is, okay? If somebody says that the flowchart isn't detailed enough, I'm going to go, "Oh, yes it is. Here's 29 programmers who are going to testify and say yeah, I can do that in my sleep with this document." So, there's always going to be a battle about the level of support. \\
\hline Simon: & Maurice and I-that's why I asked him to come in-Maurice and I were talking because neither one of us understands patents or how you file them or invention actually. What we do understand a little bit about is the theory in business; and now that we know that Ray Joao was somewhat sloppy-I'm not suggesting that he's not a fine attorney or anything else-you have been...you have reviewed all these patents that we have, whether there are eight or ten of them... \\
\hline Boehm: & There were eight original filings, and then...eight original filings. \\
\hline Utley: & Okay. And then how many do we have now? \\
\hline Boehm: & Let's look at the chart right now, but it's basically. We've got 17 applications that have been filed. These old ones are dead now because they were provisionals, and we've basically covered all... we pointed out basically covering two, maybe three inventions, so there's not...I mean, if we were to start over, maybe you'd do this with two patents, maybe one patent. So. \\
\hline Simon Bernstei & in: Who owns them? \\
\hline Boehm: & Who owns it? iviewit Holdings, Inc. \\
\hline Utley: & Owns all of them? \\
\hline Boehm: & Except for...<Pause, and then text comes in that doesn't seem to be answering this open question.> \\
\hline ? & Video playback over a network \\
\hline \begin{tabular}{l}
Wheeler: \\
Jeff Friedstei
\end{tabular} & How did he get in? [not in transcript but this refers to in on an invention] \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & An inventor - inventorship. \\
\hline Boehm: & So I've so I've got a document right here for him to sign. If he signs, then \(I\) do a couple of things. \\
\hline Bernstein: & He signed that when you faxed it to him originally. \\
\hline Wheeler: & I have copies of each one of these. Can I get a copy of your [ ]? \\
\hline Boehm: & of this? Sure. \\
\hline Wheeler: & I have a copy of each one of these, I believe, or most of them... \\
\hline Buchsbaum: obligation & Can I ask you a question? Your saying everybody that has an sign is on the list of names in these patents? \\
\hline Boehm: & You preferably don't...well, unless you have the new ones... \\
\hline Wheeler: & I don't have the new ones, but. \\
\hline Bernstein: & That's an old one. That's old. \\
\hline Buchsbaum: & You're saying everybody that has an obligation to sign is on the list of names in these patents right, because the company was part because the Company was doing, is that what you're saying? Because I don't even know if everybody has signed because you may due corporate due diligence for financial reasons or if...and they will say has everybody signed off on these patents, and if three people don't...if one person hasn't, he has an obligation to sign? \\
\hline Boehm: & Brian, have you signed? \\
\hline Buchsbaum: & Has everybody signed off on these? Brian? \\
\hline Boehm: & See these tabs [refers to tabs for inventors Bernstein, Shirajee, Friedstein and Rosario to sign] right here? That's what I'm trying to do today. As soon as...I'm going to have people sign, me sign...all the inventors sign. I've got to get a hold of Jeff \\
\hline Bernstein: & I thought we did that when we filed. \\
\hline Boehm: & You only signed one real document, didn't you? Did you actually a declaration? I know you didn't sign an assignment over but you're real clean on it because these are all based on the original filing , which is assigned to iviewit holding already \\
\hline Bernstein: & What's that mean? \\
\hline Boehm: & So all of the other inventors would have a helluva problem trying to say they owned anything. \\
\hline Simon: Aga & this is a little off the subject matter, but I have asked Chris about it before. If something were to happen to iviewit, and it were it went into bankruptcy, what would happen to those patents? How would those patents [ ]? \\
\hline
\end{tabular}

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\begin{tabular}{|c|c|}
\hline Utley: & I know but can we finish the patent discussions before we bring up new subject matter. \\
\hline Simon: & You can, but I want to make sure that we do finish. \\
\hline Utley: & No, I agree with you Si. \\
\hline Si: & The problem is that \(I\) made claims to certain people like \\
\hline Bernstein: & \begin{tabular}{l}
Don Kane, who put op \(\$ 100,000\), who thinks... \\
Let's get back to that. No, let's get back to it. It's a definite point. There are people.
\end{tabular} \\
\hline Buchsbaum: & This is a business issue for later. \\
\hline Bernstein: & No, we're asked by these very people these questions. \\
\hline Boehm: & Did you get your question answered on the. \\
\hline Buchsbaum: & Yeah, I just wanted to understand.. you know, I got an answer. It had to do with the obligations Si I was trying to understand if somebody does due diligence now with regards to understanding what is there and what has to be done, like those yellow tabs. [Yellow tabs indicate signatures of missing inventors] \\
\hline Boehm: & Yeah, but after...I find everybody, we can get guys to sign. \\
\hline Buchsbaum: & We aren't that many. I don't know on that sheet what you have, but I don't think there are that many names. There's what about five names? \\
\hline Buchsbaum: & Therearen't that many...you don't have that many. I don't know on that sheet you have, I don't think there's that many names. \\
\hline Boehm: & No, there's not. \\
\hline Boehm: & So we have everybody but Jeff, if we can get Jude and Zak. \\
\hline Buchsbaum: & You just have to get people around and sign. \\
\hline Boehm: & No, that should not be and issue. \\
\hline Buchsbaum: & That might be questions brought up when people do do due diligence. Is everybody else on these? \\
\hline Bernstein: & That's why we're closing it. Right? \\
\hline Boehm: & We'll record what was in the patent office(...???) can do. \\
\hline Utley: & The other piece that's not in any part of the original filings, which is the reduction of the technology to a disciplined process-the mathematical representations of what's in and how it works and stuff like that. \\
\hline Wheeler: & (...?? ?) \\
\hline Buchsbaum: & That will also be included in there, right? \\
\hline Utley: & We'll put it in the new filing...one of the new filings. \\
\hline Wheeler: & I form my opinion of everything, and we can talk about post solutions but \(I\) think Brian wants to get this back on track, but to me there's bad news and there's good news in this. The bad news is, just like anything in life, perhaps \\
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\end{tabular}
we would have liked to have tidied up some things better, like to have had Mr. Joao tidy them up. The good news is considering the state that the corporation was in in the early stages and the variable limited resources that it had, I'm glad that we have an awful lot on record that we do have on record, to be honest with you.
\begin{tabular}{|c|c|}
\hline Simon: & As long as it's not to the detriment of what we thought we were filing, I have no...I couldn't agree with you more. \\
\hline Wheeler: & But I think I like your approach, and I assume it's your approach, too, in that \(I\) assume that you're doing a fairly comprehensive new one, but then you're going to probably... \\
\hline Utley: & Claim priority back to the old one. \\
\hline Wheeler: & Right, but you're also going to do your amendment because now we're finding out that it's not an uncommon procedure and it's not a red flag. \\
\hline Utley: & Two things: the new filing on Wednesday will claim priority all the way back for as much as possible back to March \(24^{\text {th }}\) last year. Second, we will look at the March \(24^{\text {th }}\) year 2000 filing and determine how we should amend that to include additional claims and broaden that filing so that it more fully represents the knowledge of the invention as of that time. \\
\hline Bernstein: & Does it claim all the way back? \\
\hline Wheeler: & It'll go all the way back... \\
\hline Boehm: & as long as you don't go outside what was described. \\
\hline Bernstein: & No, the math is just describing the original invention. \\
\hline Boehm: Utley: & We'll, I'll never know the answer to that until it's litigated. Due diligence. \\
\hline Bernstein: & Right, but from your perspective here, that's what we're setting up. Correct? \\
\hline Boehm: & We're going to try. \\
\hline Bernstein: & Okay. \\
\hline Boehm: & The question never even gets answered half the time in the real world. I will claim priority back on the document, and then if the examiner doesn't care, nobody cares \\
\hline Bernstein: & It gets through. \\
\hline Boehm: & It gets through. \\
\hline Wheeler: & Would it be a fair assessment-I'm posing this more as a novice, not as an attorney here-since we're not at IBM and we don't sit down at the very beginning and work out all these equations and all that, that in an invention such as this by a Ma-and-Pa type of inventor, and now since we're getting into the nuts and bolts and really uncovering, in essence, what's behind it, as Brian dissected it as we moved along, but that's all we're doing? I mean, that Ma- \\
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\end{tabular}

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and-Pa inventors do that as they go along? They add the flesh to the bones as they go along?
\begin{tabular}{|c|c|}
\hline Boehm: & Boy, that happens, and we try not...we try to minimize the amount because if the flesh that you have to add is new subject matter and you've already sold your invention a year ago, you're dead. \\
\hline Wheeler: & Well no, Let me at it a different way. It does this, but I can't describe how it does this. But now we find out...we tell you what it does, now we're telling you in detail how it does it. \\
\hline Boehm: & Yeah, in terms of we claimed it properly. \\
\hline Wheeler: & So I'm not adding flesh in defense \\
\hline Simon: & New flesh. \\
\hline Wheeler: & ...new flesh. I've got the box, now I'm disclosing what's in the box including the gears and how it works. \\
\hline Bernstein: & No. \\
\hline Utley: & No. Here's what the big difference is. The original filing claims a process for print film imaging. \\
\hline Bernstein: & Well, that was all stricken, by the way. That's why I'm having a big problem. I was going to get to that next, Brian. \\
\hline Utley: & Okay, good. \\
\hline Bernstein: & But we have discussed with Ray Joao numerous times to take out the references to print images out of this right here. Over the course of the year in the 59,000 modifications back and forth, we continuously pushed him away from the words that I see in this filing, and that's what's so disturbing to me because we sat here when... \\
\hline
\end{tabular}
<End Side 1; begin Side \(2>\)
Buchsbaum: That would be conditional, probably.

Simon: Right, they probably will.
Wheeler: Their not going to want in fact their going to say take it off aren't they

Utley: No Crossbow notes would be converted to equity when someone else comes in.

Si? Of course, and that's gone. And those issues are gone.
Wheeler: Well, Yeah, so that it was the ...it was intelligent way to do it...and I'm not...

Buchsbaum: Crossbow would probably manage the million dollars anyway
Wheeler: By the way, if we did do a deal by which we tried to collateralize it even further, then we'd have to have some sort of provisions as well to get rid of your collateral.

Simon: Yes, of course. As soon as it converts to equity, it's gone.

\begin{tabular}{|c|c|}
\hline Buchsbaum: & The answer is you go back and ... \\
\hline Utley: & I don't think you can do that because that's equity. It's in common stock. \\
\hline Bernstein: & It's not equity. It's a loan. \\
\hline Bernstein: & Don had the stock prior to his putting up the money. These are loans. There's \(\$ 400,000\) that's on the books. Then there's another \(\$ 100,000\) besides what he put in originally. Sal has a loan on the books of \(\$ 25,000\). Your guy should have had a loan on the books for \(\$ 250,000\). \\
\hline Utley: & No, that's equity. Okay. \\
\hline Simon: & At any rate, <tape cuts out [tape does not cut out on my tape]>...While I got Chris here I'm going to take advantage of his being here. \\
\hline Simon: One & the issues we tried to do when we raised the last \(\$ 80,000\) that came form Eliot's two friends Anderson and Mitch Welsch. [ ] \\
\hline Bernstein: & Ken Anderson. \\
\hline Simon: & It was my knowledge, according to Jerry, that those monies were to go to Eliot, and then Eliot was theoretically to loan the money to the company so that Eliot would have a loan on the books and he would have sold his stock because Eliot has some personal needs that he needs to accomplish as soon as we get funded or we get some money in here. I'm under the understanding again. It could be way off. \\
\hline Bernstein: & How do we work that out, Brian? The 10? A loan? \\
\hline Utley: & Yeah, that's better because otherwise you will get taxed. \\
\hline Bernstein: & Will they loan me \$10,000 to pay the taxes? \\
\hline Simon: & Who loaned you? \\
\hline Bernstein: & The company just today? \\
\hline Utley: & So I took that as a loan? \\
\hline Utley: & Yes. \\
\hline Bernstein: & The money went to the company, which spent the money already-the stock money-from Ken and Mitch. \\
\hline Simon: & You haven't sold any of your stock? \\
\hline Bernstein: & No. \\
\hline Simon: & You just made an officer's loan. \\
\hline Wheeler: & Right. \\
\hline Simon: & Is that how you handle it? \\
\hline Simon: & You loan the loan back by some method at some point. \\
\hline Bernstein: & Right. Correct. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Buchsbaum: & That's the way to do that? \\
\hline Utley: & Well, there's no tax impact. \\
\hline Simon: & but he would have had a [ ] gain. \\
\hline Bernstein: & Right. And there were other things at the time...right, things. At the time, the company needed the money and I didn't...not that \(I\) didn't \\
\hline Simon: & Sure, I just wanted to make sure that it was done. I didn't even know ....???that bank account \\
\hline Bernstein: & Not that I didn't. \\
\hline Simon: & Let's finish up. \\
\hline Utley: & Eliot, let me summarize. I want to make sure we have an agreement of this meeting. Let me interject two final two points that we kind of skimmed over. One is you said that we want to go ahead and change the claims to go all the way back on this US, but we have sort of got covered on the one we're filing? The one we're filing is a PCT. It won't pop to the US for 18 or 30 months. Or we could file another PCT and a US, then the claims would hit the US. In other words what I'm saying is it would matter if we do the claims here. We could either fix up the claims here or file a PCT and a parallel US if you want US patent protection sooner. The PCT will split out to US, but not until later. You can file a US anytime... \\
\hline Simon: Let & ask you. You're not a lawyer, what do you recommend? \\
\hline Boehm: & Well, it's more money up front. \\
\hline Simon: How & ch money? A great sum of money? \\
\hline Boehm: & No, it's another grand to file. \\
\hline Simon: For & at we've spent already, let's do it. \\
\hline Bernstein: & And that protects us better? \\
\hline Boehm: & Quicker. You'll get a quicker US patent. It'll get you in line quicker. \\
\hline Utley: & The other point that you're making because in this week's filing we are going to claim all the way back... \\
\hline Boehm: & We're going to claim all the way back but this is what is supported \\
\hline Utley: & Right. So if we claim all the way back to March of last year, do we need to touch the filing that's already in motion? \\
\hline Boehm: & The one that's out there? \\
\hline Utley: & Yes the PCT. Do we need to touch that? \\
\hline Boehm: & No, no. There's a PCT and a US. \\
\hline Utley: & Right. \\
\hline
\end{tabular}
\begin{tabular}{lrl} 
Boehm: & The PCT, we will get a search back. In fact, we should get it in a \\
month or so, and then you'll decide what you want to do
\end{tabular}
\begin{tabular}{|c|c|}
\hline Boehm: & Because you can't get two patents on the same thing. So if we fix this, you're not going to get it over here. \\
\hline Bernstein: & But then we lose the date. \\
\hline Buchsbaum: & No we don't. \\
\hline Simon: & That's what he's saying. \\
\hline Buchsbaum: & You really don't lose the date. \\
\hline Wheeler: & So were not going to...??? \\
\hline Utley: & Because he's claiming all the way back. \\
\hline Boehm: & We may not. It depends on... \\
\hline Bernstein: & May and less, these are words that scare me. \\
\hline Boehm: & You don't like that, do you? \\
\hline Bernstein: & No, I do not. \\
\hline Boehm: & But I don't think this is the right time to make that decision now. \\
\hline Utley: & What is the right time? \\
\hline Boehm: & When we get some office action back on this patent. And when we hear from the patent office, we'll sit down say do we want to fix this, or do we want to fix this, or have we uncovered some killer Prior Art that blows this whole thing out of the water? You don't want to spend money right now if you can avoid it. \\
\hline Wheeler: & We've never done a search, have we? \\
\hline Boehm: & We did a search...I've done a search on...<Everyone talking at once.> on a dozen patents that really weren't on point. We didn't find any close Prior Art; and all I can tell these... \\
\hline Wheeler: & This was on imaging and video? \\
\hline Boehm: & Yeah. \\
\hline Wheeler: & That's incredible. \\
\hline Buchsbaum: & Yeah, it was huge. \\
\hline Bernstein: & If it is found impossible to do these things, why would people be doing them? \\
\hline Boehm: & I want to make...the tape recorders off, right? <Recorder turned off \(>\) \\
\hline Buchsbaum: & What does PCT mean? \\
\hline Boehm: & Patent Cooperation Treaty. It's a formal filing process for filing foreign patents. \\
\hline Buchsbaum: & Oh, that's the thing with the different countries? \\
\hline
\end{tabular}
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Yeah. So we file one application that splits out later to
different countries.

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Buchsbaum: Two years?

Boehm: Yes, but we'll get indicators before that. Our search comes in nine months, which is three months from now for the first one. But, Brian, they're searching this claim; this claim is crap. You're not going to get a good search on it.

Buchsbaum:

Boehm:

Bernstein:

Boehm:

Bernstein:

Boehm:

Bernstein: Right, from the searches.

Boehm: And from your investors because if I was working for them...

Buchsbaum: Let me put it another way. If you have somebody that will take this company and auction off the technology, okay? As it is existing...as it is unfolding, okay? And as the licenses come along. It's strategy. Some of these people bid on that. What are they really bidding on? It's potentials, right? Basically?

Boehm: Well, no, there's a present value of the technology. If you...

Buchsbaum: Well, not if you don't have patents issued on it.

Boehm:
Well, sure there is. Sure there is. If he can get a royalty based on \(2 \%\) of their products-or whatever it is-per minute, whether or not it is patented, absolutely.

Buchsbaum: My question is at what point does it become...is the efficacy there significantly enough from the standpoint of others now that would be doing their own review. You know, like, say a firm that would do the option. They'd have their patent lawyers take a look at what you're doing to see if they think it has a real good value. At what point does that come along? Is it six or nine months from now, basically? Is that when that probably would start to unfold as far as having a real relevant potential value? I've been trying to get a general..
\begin{tabular}{|c|c|}
\hline Boehm: & I understand your question. I guess I would answer \\
\hline Buchsbaum: & General idea. \\
\hline Boehm: & If your licensees are spending a lot of money. \\
\hline Buchsbaum: & On your technology. \\
\hline Boehm: & On your technology, they're going to have their patent attorneys right now, today, go do a search, and they will have a good indication. They may come up with Prior Art that blows you out of the water. They may find nothing. They may not search it. They may say, we don't care about patents; it's the technology. \\
\hline Buchsbaum: & Reality, though, this is not the...more likely six to nine months as some licenses start to unfold here and as things start to come back, and that's when this thing will start to have some relevance more than it does right now? From the standpoint of the... \\
\hline Boehm: & That the patent will have relevance? \\
\hline Buchsbaum: & No, no. The technology has a value that can be created in the marketplace and turned to bidding. \\
\hline Wheeler: & Well, you can look at the technology as almost value added to the company. I mean, the company has worth because of the process and what we can provide and we can build it up. But it'll even astronomical more worth assuming that we have...that it's totally proprietary to ourselves. Now some companies have great technology that's proprietary to themselves, and it doesn't earn them money. For instance, Wang Laboratories went down the tubes. They had the best word processing, and they had the best of everything else. And, of course, a lot of their technology is licensed out there, as I understand it, to VisionAire and to...they did the true ones, and... \\
\hline Buchsbaum: & It's was also to get to the possible strategy for the company's investors, okay? \\
\hline Utley: & Right. \\
\hline Buchsbaum: & Or it may be at some point a window of huge value placed on this technology where you may take advantage of it. \\
\hline Wheeler: & Well, and to our investors, we have said, and we can continue to say, we are attempting to create a pool of intellectual property and protect it. \\
\hline Buchsbaum: & Okay. \\
\hline Wheeler: & But there can be no assurances that this will withstand the test of time. \\
\hline Boehm: & That is exactly it. And you never want even when it issues. You will get a good comfort level when you have a US patent issued in your hands. \\
\hline Bernstein: & Why? \\
\hline Boehm: & Because you've had an examination. \\
\hline
\end{tabular}
\begin{tabular}{|c|c|}
\hline Buchsbaum: & Because you've got some review. \\
\hline Boehm: & Because you have a presumption of validity. \\
\hline Bernstein: & That's why I'd like to get that first one corrected because that's the first one that's going to be examined. \\
\hline Boehm: & No, we've got one...oh, yeah, it is. It's the US. \\
\hline Bernstein: & And therefore I want that to be approved. The investors are going to say... \\
\hline Buchsbaum: & The first one that we're going to be issued will be issued in May. \\
\hline Bernstein: & And the investors are going to say what happened to patent one. \\
\hline Boehm: & \(3 / 10\) of 2000 was when it was filed. Typically a year...they'll get around to it within a year. Maybe it'll issue in. 18 months to two years \\
\hline Buchsbaum: & From right now or from then? \\
\hline Boehm: & From 3/10. \\
\hline Bernstein: & What is the process speed up? If you can show. \\
\hline Boehm: & If you can show somebody's infringing, you can have an expedited examination; but that doesn't always buy you much time, and you really have to get into the patent office the first time, and I'm not sure we can do that. \\
\hline Wheeler: & Wouldn't a good example of one way be that Apple had really great patents, and Microsoft was still able to come in and duplicate it, even though everyone knows they violated the hell out of the patent of Apple. \\
\hline Boehm: & Um, hum. \\
\hline Wheeler: & So I mean you could have a good patent and it could still go down the tubes. But another one I'm thinking of that did stand up was Polaroid had patents and Kodak tried to come in and do everything to distinguish, and wasn't able to and got clobbered, right? And there's probably a lot of every variation in between. \\
\hline Boehm: & Yeah. Wheeler: [Not in transcript this is strange here] \\
\hline Wheeler: & Are those the two extremes? \\
\hline Boehm: & Yeah, \\
\hline Wheeler: & those would be the two extremes. \\
\hline Utley: & Especially when it comes to method patents and software patents. \\
\hline Wheeler: & Yeah, what was the first thing that Brian \\
\hline Boehm: & ...and the more patents you have, the less chances. It's like putting out mine fields...less chances people to get around \\
\hline
\end{tabular}
you. But if the original concept is broad enough and claimed right, Yeah, we can be okay.
\begin{tabular}{|c|c|}
\hline Boehm: & But what, the test - I guess what you're asking for is when we have that first claim promised, probably within two years of when you filed, which is March 10, 2000 , I would probably say \\
\hline \begin{tabular}{l}
Utley \\
<Inaudible
\end{tabular} & Doug come back, close it out again. comment.> \\
\hline Boehm: & There were two points. One was the PCT and I got that in correct. \\
\hline Buchsbaum: & Right. \\
\hline Boehm: & The second point was everybody was saying you don't destroy documents. Lawyers do destroy documents; and in the patent realm, it is common practice to get rid of all of our attorney notes, but it depends on what the practice is in your law firm and your corporation. Most patent attorneys who use this practice that I've seen, it happens after it issues. You never do it before. I don't even like to do it then. I like to do it after all the... \\
\hline Bernstein: & I don't even understand why you're destroying it. If you've got nothing to hide and everything's on the up-and-up. \\
\hline Boehm: & But throw in the concept that \(I^{\prime} m\) leaving the law firm. Let's say I'm leaving the law firm, my notes, who's going to follow up and destroy my notes to benefit you, because I do want them six months from now. Maybe that's what he's doing. \\
\hline Wheeler: & Yeah, he could have done it to protect you. He didn't want them around in the other office. \\
\hline Bernstein: & I don't know. I don't know. I don't even know if he knew he was leaving then. \\
\hline Boehm: & Now it's intentional! \\
\hline Utley: & But I want to comeback were going to file PCT and US on the new one. We're going to wait for the old one to get kicked back; and when it gets kicked back by the examiners, we'll then determine how we want to amend it. Is that what you said? \\
\hline Boehm: & No, I want to say something on that again. I think if you want a patent to pop quickly-if that's the goal, which sounds like it's a good goal-then, no, I think we should amend the claims with a preliminary amendment before the examination. \\
\hline Utley: & A preliminary amendment? \\
\hline Boehm: & A preliminary amendment. \\
\hline Bernstein: & Encompassing everything we can throw in there? \\
\hline Boehm: & Yeah, whatever support there is. But a preliminary amendment on whatever it is on the... \\
\hline Bernstein: & So we're going back to the original \\
\hline Boehm: & So I'll fix the 119 case yeah \\
\hline
\end{tabular}
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Bernstein: March 3, 2000, to encompass what we've embraced.
Utley: When will you be in a position to recommend what that amendment
will look like?
Bernstein: It should look a lot like the one we just did.
Boehm: Yeah, that's...
Bernstein: That's my guess.
Utley: When will you be in a position to...
Boehm: I'd have to...a few days...
Utley: About a week or so?
Boehm: Oh, Yeah, within a week, sure.
Bernstein: Okay. That's good.
<End of meeting.>

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\section*{LOCAL NEWS}

Audit clears FAU Foundation in Corvette scandal despite lack of cooperation Gimelstob chides Guggenheim for not talking to auditors, misstating involvement to authorities

Published Thursday, August 14, 2003 by Brian Bandell

Florida Atlantic University's fund-raising arm didn't approve giving former FAU President Anthony Catanese a Corvette with donated funds, but several people were cited for not participating in an audit conducted by KPMG that was released Wednesday.
FAU officials agreed to audit the \(\$ 42,000\) that former Foundation head Carla Coleman gave to Catanese for the car under the guise of a payment for his wife's interior decorating services, the capital campaign Coleman used to justify her raise and the use of donated funds at the DeSantis Center. KPMG was hired to conduct the reviews.
The results came with a disclaimer from the auditor. While KPMG reviewed law enforcement documents that led to Coleman being charged with official misconduct, the auditing firm had "significant limitations" that could have affected their conclusion.
KPMG didn't receive cooperation from Coleman, Catanese, former FAU Foundation Chairman Howard Guggenheim, interior designers Stephen and Rita Lloyd, or foundation executive committee members William French and Chris Wheeler.
"The above-mentioned individuals may have provided KPMG with pertinent information regarding the circumstances surrounding the alleged gift," KPMG wrote in the report.
The auditor was given the minutes of an April 4, 2002 Foundation meeting where Coleman mentioned a non-specific gift for Catanese, but it wasn't given a copy of the minutes of an April 11, 2002 Foundation executive board meeting where, according to a law enforcement investigation, Catanese said he'd like a Corvette as a gift.
FAU President Frank Brogan said that if KPMG had more access to information and individuals, it wouldn't have changed the conclusion that the FAU Foundation didn't approve the car.
However, the foundation's new chairman, Herb Gimelstob, criticized former chairman Guggenheim for not telling law enforcement officials about his involvement in the Corvette deal and not talking to auditors despite agreeing to the audit. Guggenheim told a Florida Department of Law Enforcement investigator that
he didn't hear anything about the gift after the foundation meeting where Catanese brought it up, but documents and testimony show Guggenheim solicited donations and made his own contribution toward the car.
"The executive board [of the FAU Foundation] will be meeting shortly and if we don't get further cooperation from the former chairman [Guggenheim], we will take the appropriate legal actions," Gimelstob said.
Guggenheim has refused comment to the press on the advice of his lawyer.
Kenneth Lipman, Coleman's attorney, said his client didn't talk to auditors because of the criminal investigation that was taking place at the time. While the FAU Foundation Board didn't formally approve of the Corvette gift, members of the executive committee donated toward it and Guggenheim made calls to find donors, Lipman said.
"Guggenheim is quite happy with the blame being laid at Carla Coleman's feet," he said.
A deposition was scheduled for Sept. 22. in her criminal case after Coleman pled not guilty.

Capital campaign overstated by \(\$ 21\) million
KPMG's audit also found that the FAU Foundation's capital campaign was overstated by \(\$ 21.1\) million due to faulty accounting.
Coleman told FAU's Board of Trustees that her fund-raising campaign, which ran from July 1994 to November 2001, raised \(\$ 220.3\) million. She cited that figure when she requested a raise from \(\$ 141,000\) to \(\$ 185,000\) a year for herself and large raises for several of her co-workers. It was approved despite the concerns of some trustees. The audit determined that the actual total from the capital campaign was \(\$ 199.1\) million.
"We are forever grateful and indebted to the foundation for delivering double what the original goal was," said George Zoley, chairman of the Board of Trustees, noting that the bar was originally set at \(\$ 100\) million. "The adjusted \(\$ 20\) million was from accounting issues related to the designation and appropriation of state funds." Most of the adjusted figure came from state matching funds that were included in the campaign but not received from the Florida Legislature because of a budget shortfall. The largest misstatement was a \(\$ 6\) million state match for a payment to be received upon death of the donor, who died after the capital campaign ended.
Mistakes involving smaller amounts were attributed to errors ranging from a lack of evidence for reported donations to over- or understatements of donation amounts. KPMG didn't find enough documentation to verify 11 deferred gifts worth \(\$ 3.1\) million, a deferred \(\$ 1.5\) gift from an anonymous donor, and a \(\$ 100,000\) gift. In several cases, the auditor determined pledges shouldn't be listed because the estates of the donors couldn't afford to make them.
However, KPMG had no explanation for a \(\$ 6.1\) million "variance" between the original campaign estimate and the revised total. The firm said it wasn't provided with any information or documentation regarding the difference.
FAU President Brogan characterized the problem as "just accounting issues." "The categorization should have been determined prior to beginning the capital
campaign," Brogan said.
FAU Trustee Dr. Frederick Hoffman, a math professor, said the actual shortfalls were "really insignificant." Hoffman thought Coleman's raise was too big, but he doesn't feel that she inflated the results of the capital campaign to generate the raises. "If you're bragging about your fundraising, you're not going to be conservative. I think it was just normal to make it look as good as you can," Hoffman said. "That's enough of an error to say you have to do better, but not enough to accuse them of wrongdoing."

\section*{DeSantis Center cleared}

The audit determined that funds from the DeSantis Center, a film study center started with a donation from Boca Raton businessman, Carl DeSantis, were used appropriately. It was the center's third audit in just over a year.
Anonymous letters accused Zoley, Business Dean Bruce Mallen and FAU General Council Ondina Felipe of misusing funds for trips to the Cannes Film Festival in France, but the audit found that Zoley and Felipe paid most of their expenses. KPMG also determined that other uses of donated funds were consistent with the center's mission.
That wasn't enough for some officials. Gimelstob said he'd put strict controls in place that would require future expenses to be justified beforehand and afterward.
"The [FAU Foundation] executive board still believes some of the expenses were excessive and didn't do enough to benefit the university or its students," Gimelstob said, asking why limos were need for travel to Fort Lauderdale.
Trustee Bruce Warshal called for the mission of the DeSantis Center to be reviewed, but Brogan warned that a fourth audit of the center would be "whipping a dead horse."
That didn't stop trustees Llywd Eccestone and Norman Tripp from demanding that Zoley and Felipe prove that they paid back the university for their trips to France. "It's like a fox in a hen house," Eccelstone said.
Zoley said that a previous audit by FAU's inspector general adequately addressed the issue and cleared them of wrongdoing. KPMG's report showed that Felipe was credited with \(\$ 862\) for lodging and Zoley \(\$ 458\) for admission to the Cannes Film Festival.
Mallen said he invited Zoley to Cannes to foster relationships with local business. Zoley is the chairman and chief executive officer of Boca-based Wackenhut Corrections Corp. After he returned, Zoley donated \(\$ 10,000\) to the center, Mallen said.
Felipe said she participated in legal workshops while at the festival.
"They seem to be focusing in on it over and over again. One has to wonder if something other than the issue at hand is motivating them," Mallen said.

\section*{Send this page to a friend}

\section*{Several officials warned against buying Corvette for ex-FAU president}

\author{
By Jennifer Peltz and Neil Santaniello \\ Staff Writers
}

Posted July 112003
The former head of Florida Atlantic University's fund-raising foundation last summer contrived to buy her boss a sports car despite red flags from foundation and university administrators, a lawyer and one of the foundation's board members, according to investigative documents released Thursday.

The documents sketch prosecutors' case against former FAU foundation chief Carla Coleman, who faces a felony charge of official misconduct. She's accused of funneling the \(\$ 42,000\) price of former FAU President Anthony Catanese's red Corvette through the foundation, which supports the university but is run separately.

The car was intended as a parting gift after Catanese left FAU last July for the private Florida Institute of Technology in Melbourne.

According to sworn statements released Thursday, university finance chief Kenneth Jessell expressed "strong concerns" to Coleman about having the tax-exempt charity give such a gift. After talking with an outside accountant, foundation finance head Diane Freaney suggested Coleman consult a tax lawyer before going ahead with the gift.

Edward Yevoli, an outside lawyer, told investigators he had expressed concerns to another attorney who had consulted him on the foundation's behalf.

When the idea came up at an April 2002 meeting of the foundation's decision-making committee, Freaney told investigators, treasurer Ramon A. Rodriguez vehemently opposed it.
"I didn't think it was appropriate," Rodriguez explained Thursday. "We should be supporting the students and the university."

But Coleman has said, through a lawyer, that she didn't believe she did anything wrong. Some foundation board members and Catanese knew about the arrangements, made with money donated specifically for the gift, according to Coleman's lawyer, Kenneth Lipman.
"She certainly told a number of people she was doing it," Lipman said Thursday. "Nothing was lost, and there was no money taken by her or by anyone ... She honestly believed it was all right."

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PHOTOS

\section*{Evidence} See larger image (Handout)
Jul 11, 2003

For the car See larger image (Handout) Jul 11, 2003

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\section*{iview!}

Then-foundation chairman Howard Guggenheim and current chairman Herbert Gimelstob have said they were unaware of the Corvette gift, which the decision-making committee never voted to approve. And Catanese has said, through a lawyer, that he wasn't aware the gift wasn't proper, despite its circuitous delivery. The money was routed as a consulting fee to Catanese's wife, Sara, through a decorating firm that worked on FAU's presidential manse.

But one of Coleman's deputies, Susan Peirce, told investigators in a sworn statement that Guggenheim had told her he had solicited contributions for a gift for Catanese. According to a memo she acknowledged writing in May 2002, Guggenheim agreed to put in \(\$ 5,000\) of his own. Guggenheim could not be reached Thursday, despite several attempts by phone.

Four other FAU supporters -- Richard Davimos, Christopher Wheeler, William French and William E. Morris -- agreed to put up another \$11,500 among them, according to Peirce's memo.
"All insist[ed] that their donations be gifts that go through the Foundation; want tax benefits," she wrote in the memo, which was released among the investigative documents. In an October letter also released Thursday, Wheeler, a lawyer, specifically asked for a "charitable deduction receipt" for his \$3,000 contribution toward Catanese's gift.

Wheeler, Davimos and Morris could not be reached Thursday. French declined to comment. All are members of the foundation's leadership.

As a tax-exempt charity, the foundation is not supposed to spend money for any non-charitable purpose. Internal Revenue Service officials wouldn't say this week whether the foundation could face any IRS penalties because of the Corvette gift.

Handwritten notes on the memo, which Peirce said were Coleman's, suggest then-vice chairman Gimelstob was contacted about pitching in \(\$ 5,000\). But Gimelstob denied knowing anything about it.
"I never gave money for a car," Gimelstob said Thursday. " ... Maybe she thought she could get something, but it never came about."

The handwritten notes also suggest that foundation investment committee chairman Casey Gunnell was contacted about giving \(\$ 5,000\), and foundation board member Monte Friedkin about \(\$ 1,000\). Gunnell couldn't be reached Thursday. Friedkin has said he gave \(\$ 1,000\) after receiving a letter asking for donations toward
an unspecified gift to Catanese, but didn't learn until recently what the gift was.

The documents released Thursday don't include any statements from Coleman or the Cataneses. Anthony Catanese directed inquiries Friday to lawyer Richard Lubin, who could not be reached.

Decorators Stephen and Rita Lloyd told investigators that Sara Catanese had worked closely with them -- but as a client, not a hired consultant. Nonetheless, they didn't object when Coleman asked them to pay Sara Catanese \(\$ 42,000\), with the foundation reimbursing the firm.

Lloyd acknolwedged that Coleman told him what the money was for. He told investigators he asked whether the transaction was legitimate, and Coleman assured him it was.
"My initial feeling about it was ... this is kind of weird," he told investigators in a sworn statement. " ... [But] I didn't question her further. I mean, we were basically working for the university. And she was like our boss."

But when FAU officials started asking questions in February, the designers called the Cataneses to explain that they were being asked for documentation of Sara Catanese's work.

Anthony Catanese seemed startled to hear about the payment to his wife, Rita Lloyd told investigators. Nonetheless, the Lloyds arrived in their office a few days later to find a handwritten fax from Sara Catanese, listing dates and numbers apparently intended to represent hours she had worked.

The Lloyds struck a deal with prosecutors to avoid prosecution. Coleman, released on \(\$ 4,500\) bond, is awaiting court hearings.

Staff writer Jon Burstein contributed to this report.
Jennifer Peltz can be reached at 561-243-6636 or jpeltz@sunsentinel.com.

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Updated on August 20, 2003
This Afternoon: Partly cloudy with a high of 85

\section*{LOCAL NEWS}

Guggenheim says he was truthful to investigators, FAU officials call for his ouster Gimelstob wants Guggenheim off Foundation board for "lying" to investigators and
not cooperating with audit

Published Saturday, August 16, 2003
by Brian Bandell
Howard Guggenheim, the past chair of Florida Atlantic University's fund-raising foundation, said he was " \(100 \%\) honest" with law enforcement inquiries into the misuse of FAU Foundation funds to buy a Corvette for the school's former president. Meanwhile, FAU officials are calling for him to resign from the Foundation's board for not telling investigators that he raised money for former FAU President Anthony Catanese's car and for not cooperating with an audit ordered by the university. Former fundraising head Carla Coleman is facing charges of official misconduct for directing \(\$ 42,000\) through an interior designer to Catanese so he could buy the car. Catanese has since returned the money and Coleman has pled not guilty.
Guggenheim has raised more than \(\$ 10\) million to benefit the university and personally donated about \(\$ 250,000\), but the Boca Raton stockbroker's actions have recently come under scrutiny.
"How can we have people trust their money with someone who lies to police and doesn't cooperate with investigators?" said Herb Gimelstob, the current FAU
Foundation chair. "We will chat with him and look at the legal ramifications of what we have to do if he doesn't agree."
Gimelstob said the matter would be discussed next week at a Foundation executive board meeting. FAU President Frank Brogan and Board of Trustees Chairman George Zoley are also urging Guggenheim to step down, Gimelstob said.
Brogan confirmed that he spoke with Guggenheim, but he didn't reveal what was discussed.
In a statement issued through his lawyer on Thursday, Guggenheim said he'd decide about resigning at a later date and defended his actions.
"Mr. Guggenheim has fully participated in the investigation at issue and at no time lied, covered-up, or misrepresented the facts to any investigative agency," the statement from Guggenheim's lawyer read.
When a Florida Department of Law Enforcement officer asked Guggenheim whether fundraiser Coleman ever asked him to authorize FAU Foundation funds to purchase the car, Guggenheim responded: "No. That was never discussed with me."
Guggenheim said the topic of a Corvette for Catanese was brought up at a Foundation executive board meeting in April 2002 but no vote was taken. The investigator asked Guggenheim if he had any conversations about how the gift would happen after that meeting and he again said no.
That would appear to contradict what former Associate Vice President for Advancement Susan Peirce told investigators: that Guggenheim called her last year and asked her to help him raise money for a gift for Catanese but she told him she wouldn't help because she opposed the idea.
A document found on Peirce's computer showed that Guggenheim was making calls to solicit donations for a "gift" to Catanese. The May 22, 2002 note was addressed from Peirce to Coleman and cited a total of \(\$ 16,500\) in contributions from foundation board members.
"Mr. Guggenheim's understanding was that the question was asking about 'how' the funds were directed to President Catanese (i.e. through Lloyd Interior Design)," Guggenheim's statement read. "Mr. Guggenheim knew nothing about the way the funds were directed to President Catanese and likewise never had a conversation with anybody about this and therefore when he answered the question 'no' he was honest and accurate."
The statement by Guggenheim admitted that he made calls to raise money for the Corvette but said he had no role in the collection, recording or allocation of the funds. FAU ordered an audit to determine whether the Foundation approved the Corvette gift. Audit firm KPMG determined it did not, but cited Guggenheim for not responding to a request to be interviewed. The auditing firm said its investigation might have turned out differently if Guggenheim, among others, had cooperated. Guggenheim said he didn't meet with the auditors because he was "advised that KPMG had everything they needed including the transcript from Mr. Guggenheim's voluntary interview with the FDLE."

\author{
Selz \& Muvdi Selz, P.A.
}

Attomeys At Law
214 Brazilian Avenue, Suite 220
Palm Beach, FL 33480

Steven M. Selz
Tel: (561) 820-9409
Liliana M. Selz
Fax: (561) 833-9715

\section*{FAX TRANSMITTAL COVER SHEET \\ FAX Number: (561) 364-5502}

Individual \& Firm: ELIOT BERNSTEIN.

From: STEVEN M. SELZ, ESQ.
Date \& Time: 6/4/03 10:00 A.M.
File \# \(\qquad\)
Total number of Pages (INCLUDING this cover sheet) 20
RE: IVIEWIT.COM
Document(s) Attached: INFORMATION ON DEPO OF RUBENSTEIN YOU REQUESTED AND COPY OF LETTER RECEIVED TODAY AS TO WHEELER DEPO.

Comments: AS DISCUSSED- NEED TO KNOW BY THIS FRIDAY WHAT YOU INTEND OR I WILL HAVE TO WITHDRAW-CAN'T AFFORD TO CONTINUE WORK WITHOUT PAYMENT.

A copy or the original of the attached document will not follow unless otherwise noted below. Copy/Original sent by:
_ Regular Mail __ Federal Express __ Courier
PLEASE NOTIFY US IMMEDIATELY OF ANY PROBLEMS WITH THE TRANSMISSION AT (561) 820-9409.
the information contained in this facsimile message is attorney privilege and CONFIDENTIAL INFORMATION INTENDED ONLY FOR THE USE OF THE INDIVIDUAL OR ENTITY named above. if the reader of this message is not the intended recipient, or the employee or agent responsible to deliver it to the intended recipient, you are hereby notified that any dissemination, distribution or copying of this COMMUNICATION IS STRICTLY PROHIBITED. IF YOU HAVE RECEIVED THIS COMMUNICATION IN error, please immediately notify us by telephone and return the original message to us at the above address via the u.s. postal service. This office will reimburse you for all costs associated with the return of this document. Thank you.

PROSKAUER ROSE L.L.P, a New York limited partnership,

Plaintiff,

\section*{v.}

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation.

Defendants.

\section*{DEFENDANTS' MOTION TO COMPEL TAKING OF FOREIGN DEPOSITION AND FOR APPOINTMENT OF A COMMISSIONER}

Defendants, IVIEWIT.COM, INC., IVIEWIT HOLDINGS, INC. and IVIEWIT TECHNOLOGIES, INC., by and through their undersigned counsel, hereby move this Court for an Order requiring Kenneth Rubenstein, Esq. as a partner of the Plaintiff, to submit to the taking of his deposition in New York City, New York and appointing Esquire Deposition Services in New York City, New York, as a Commissioner for the taking of the deposition of Mr. Rubenstein and in support of. this Motion would state:
1. That based on the prior testimony of deponents to this matter and the
personal knowledge of the Defendants corporate representative, Elliot Bernstein, Kenneth Rubenstein was involved directly in the providing of services to the Defendants both prior to his employment with the Plaintiff and subsequently during his employ with the Plaintiff.
2. That Kenneth Rubenstein ("Rubenstein") is an attorney currently employed by the Plaintiff and who works out of the Plaintiff's New York City offices.
3. That the Defendants intend to take the deposition of Rubenstein in New York City, New York, prior to the trial of this matter due to the knowledge of Rubenstein as to the services provided by the Plaintiff to the Defendants; however, counsel for the Plaintiff has refused to make Rubenstein available as set forth in the attached Exhibit "A".
4. That Esquire Deposition Services, located at 216 E. \(45^{\text {th }}\) Street, \(8^{\text {th }}\) Floor, New York City, New York 10017, should be appointed Commissioner to take the deposition of Rubenstein.

WHEREFORE the Defendants, move this Honorable Court for the entry of an order directing that Kenneth Rubenstein be submitted for deposition and permitting the Defendants to take the deposition of Rubenstein in New York and appointing Esquire Deposition Services, located at 216 E. \(44^{\text {th }}\) Street, \(8^{\text {th }}\) Floor, New York City, New York 10017 as Commissioner to take the deposition of Rubenstein.

I HEREBY CERTIFY that a true and correct copy of the foregoing has been provided by U.S. Mail and fax transmission this \(241^{3}\) day of October, 2002 to: Christopher W. Prusaski, Esq., Proskauer Rose, LLP, 2255 Glades Road, Suite 340 W, Boca Raton, FL 33431.

SELZ \& MUVDI SELZ, P.A.
214 Brazilian Avenue, Suite 220
Palm Begch, FL 33480
Tel: (5 1 1) 820-9409
Fax: (5¢1) 833-9715
By:
STEVENM. SELZ
FBN: 777420

\title{
IN THE CIRCUIT COURT OF THE 15TH JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA
}

CASE NO. CA 01-04671 AB
PROSKAUER ROSE LLP, a New York limited liability partnership, Plaintiff,
v.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

\section*{PLAINTIFF'S RESPONSE TO DEFENDANTS' MOTION TO COMPEL TAKING OF FOREIGN DEPOSITION AND FOR THE APPOINTMENT OF A COMMISSIONER AND MOTION FOR PROTECTIVE ORDER}

Plaintiff, Proskauer Rose LLP ("Proskauer"), responds to the Defendants' Motion to Compel Taking of Foreign Deposition and for Appointment of a Commissioner served under certificate of service dated October 24, 2002 (the "Motion") and further moves, pursuant to Rule 1.280 (c) of the Florida Rules of Civil Procedure, for a the entry of a protective order as to the taking of the deposition of Kenneth Rubenstein ("Mr. Rubenstein"), and as grounds states as follows:
1. This is an action by Proskauer to collect unpaid attomey's fees from the Defendants, all former clients of Proskauer.
2. The Defendants' have not alleged, in any pleading, that Proskauer failed to properly perform the work undertaken on their behalf. Notwithstanding Defendants' failure to plead any such allegation, Defendants are now putting forth an eleventh hour attempt to turn this
matter into a malpractice case (and delay the trial of this matter set for the week of December 16, 2002) and are attempting to harass a Proskauer attorney (who lives in New Jersey and works in New York) who never billed any time to the Iviewit matter. \({ }^{1}\)
3. Specifically, Defendants are attempting to compel Mr. Rubenstein, a partncr in Proskauer's New York office, to appear for a deposition. The Motion was filed because Proskauer has refused to produce Mr. Rubenstein for his deposition.
4. The Motion is misleading and misrepresents the discovery in this matter. Citing no particular deposition testimony, Defendants' motion at paragraph 1 states that prior testimony of the deponents in this matter has revealed that Rubenstein was "involved directly in the providing of services to the Defendants. . . " Nothing could be further from the truth.
5. Contrary to the Defendants' baseless statement that Rubenstein was involved in the representation of Proskauer, Brian Utley, Defendants' former President and Chief Operating Officer, testified in his deposition as follows:
- At Elliot Bernstein's request, Rubenstein recommended another law firm to handle Defendants' patent matters (BU:70-4, 23); \({ }^{2}\)
- "Rubenstein was never involved" in any of the work, and Defendants' interrogatory answers stating otherwise are a "misrepresentation." (BU:84-5, 7, 21);
- "[o]ther than referring Iviewit to [outside counsel], Rubenstein never did any work for Iviewit" (BU:121-3);

\footnotetext{
\({ }^{1}\) Proskauer filed a motion in limine directed to the issue of whether the Defendants can put on proof of any alleged wrongdoing by Proskauer, as the defense was never pled in any of the pleadings in this matter. The motion in limine is set for hearing on November 5, 2002.
\({ }^{2}\) The abbreviation "BU__" followed by a page and line number refers to the transcript of the Deposition of Brian Utley dated August 22, 2002.
}

6143/60145-255 BRLIB1/349881 v1
- Utley never met Rubenstein (BU:121-19);
- Rubenstein had no active role with Iviewit (BU:138-11, 24);
- "Rubenstein and Mr. Wheeler, I'll repeat, had nothing to do with the patents and therefore, I object to them being included in the question." (BU:150-9);

Copies of the pages of the transcript of the Deposition of Brian Utley cited above are attached hereto.
6. Defendants' eleventh-hour desire to depose Mr. Rubenstein is nothing more than a blatantly transparent attempt to harass Mr. Rubenstein, who billed no time in the Defendants' representation. Although Defendants plan to take the deposition of Christopher Wheeler, Proskauer's corporate representative, the Defendants' intent to harass Rubenstein is further made clear by the fact that the Defendants have never attempted to take the deposition of any of the myriad of Proskauer attorneys who actually did provide legal services for the Defendants.

WHEREFORE, Proskauer respectfully requests that the Court deny the Defendants' motion to compel Mr. Rubenstein's deposition, enter a protective order consistent with this motion, and grant any further relief that is reasonable and just.

This 25 day of October, 2002.
PROSKAUER ROSE LLP
2255 Glades Road, Suite 340W
Boca Raton, Florida 33431
Telephone: (561) 241-7400
Facsimile: (561) 241-7145


Matthew Triggs
Florida Bar No. 0865745
Christopher Prusaski
Florida Bar No. 0121525

\section*{CERTIFICATE OF SERVICE}

I certify that on October 25, 2002, a copy of the foregoing was furnished by U.S. Mail and facsimile to Steven Selz, Esq., Selz \& Muvdi Selz, P.A., 214 Brazilian Avenue, Suite 220, Palm Beach, FL 33480.


Christopher W. Prusaski

\title{
Matthew Triggs
}

June 13, 2003

\section*{Via U.S. Mail}

Steven M. Selz, Esq.
Selz \& Muvdi Selz, P.A.
214 Brazilian Avenue, Suite 220
Palm Beach, FL 33480
Re: Proskauer Rose LLP v. Iviewit.com, Inc.
Dear Steve:
Notwithstanding the Court's recent order regarding Mr. Rubenstein's deposition, I have enclosed a copy of an affidavit of Mr. Rubenstein through which he answers the questions that he previously declined to answer in his deposition.

Sincerely,


Matthew Triggs
MT/kr
Enclosure

\title{
IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA
}

CASE NO. CA 01-04671 AB
PROSKAUER ROSE LLP, a New York limited liability partnership,

Plaintiff,
v.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

\section*{NOTICE OF FILING AFFIDAVIT OF KENNETH RUBENSTEIN}

Plaintiff, Proskauer Rose LLP, by and through its undersigned counsel, hereby gives notice of the filing of the original Affidavit of Kenneth Rubenstein dated June 10, 2003.

This \(13^{\text {f }}\) day of June, 2003.

> PROSKAUER ROSE LLP Attorneys for Plaintiff One Boca Place, Suite 340 W 2255 Glades Road Boca Raton, Florida 33431 Telephone: Facsimile: (561) \(241-7400\) (561) \(241-7145\)

Matthew Triggs
Florida Bar No. 0865745
Christopher Prusaski
Florida Bar No. 0121525

\section*{CERTIFICATE OF SERVICE}

I HEREBY CERTIFY that on this \(13^{\pi / 2}\) day of June, 2003, a true and correct copy of the foregoing has been furnished by U.S. Mail to Steven M. Selz, Esq., Selz \& Muvdi Selz, P.A., 214 Brazilian Avenue, Suite 220, Palm Beach, Fl 33480.


IN THE CIRCUIT COURT OF THE FIFTEENTH JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

PROSKAUER ROSE LLP, a New
York limited liability partnership,
Plaintiff,
v.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

\section*{AFFIDAVIT OF KENNETH RUBENSTEIN}

\section*{STATE OF NEW YORK ) \\ ) ss \\ COUNTY OF NEW YORK )}

Before me, the undersigned authority, personally appeared Kenneth Rubenstein who, under oath, states as follows:
1. My name is Kenneth Rubenstein. I am over the age of 18 years, I have personal knowledge of the matters set forth herein, and I am competent to testify as to those matters.
2. On November 20, 2002, I was deposed in the matter of Proskauer Rose LLP v.

Iviewit.com, Inc. et. al., pending the in the Fifteenth Judicial Circuit in and for Palm Beach County Florida, Case No. CA01-07671-AB.
3. On page 25 , line 7 of that transcribed testimony, I was asked "Did you have any discussions with Warner Bros. about IViewIt?" My answer to this question is as follows: Answer: I had one communication with Warner Bros. related to Iviewit. Mr. Utley, former CEO of Iviewit, who knew that Proskauer did work for Warner Bros., requested that we help open a channel of communication for Iviewit. I contacted.Greg Thaggard at Warner Bros. and told him that he might be interested in speaking with Iviewit. I also told him that, as both Iviewit and Warner Bros. were clients, I would not get involved in any relationship between Iviewit and Warner Bros.
4. On page 27, line 18, I was asked "Did you ever talk to anyone at Warner Bros. with regarding to IViewIt?" My answer to this question is as follows:

Answer: See my response above contained in paragraph 3.
5. On page 29, line 22, I was asked "When did you represent Warner Bros., sir?" My answer to this question is as follows:

Answer: I started working on projects concerning Warner Bros. starting in about 1996.
6. On page 41, line 6 , I was asked "Could you tell me about the cases that you have been involved with? Just naming the cases." [Requesting names of patent cases he has litigated.] My answer to this question is as follows:

Answer: Some patent cases we worked on are: SMARTS v. Avesta Technologies, Inc.; Hauppauge Computer Works, Inc. v. Advanced Interactive, et al.; Nova v. Sensys and Standard Microsystems v. Datapoint.
7. On page 47, line 7, I was asked "Have you ever discussed IViewIt Technologies with him?" [Chris Cookson] My answer to this question is as follows:

Answer: No.
8. On page 57, line 6, I was asked "Does that committee ever obtain waivers of conflicts from clients?" My answer to this question is as follows:

Answer: Waivers are sometimes obtained.
9. On page 75, line 20, I was asked "So you refuse to answer whether or not you had communicated to those parties with regard to IViewIt; is that correct?" [Warner Bros. and Sony] My answer to this question is as follows:

Answer: I never communicated with Sony about Iviewit. The only communication I had with Warner Bros. related to Iviewit was identified above.

\section*{FURTHER AFFIANT SAYETH NAUGHT.}


Date: \(\frac{\sqrt{ } \text { Jun lo } 2003}{\text { VIRGINIA v. WURTHMAN }}\)
Notary Public, State of New York
No.03-9820204
Qualified in Bronx County
Commission Expires cull 31, 200
Before me, the undersigned notary public, appeared Kenneth Rubenstein, who is personally known to me or produced \(\qquad\) as identification and who did take an oath.


PROSKAUER ROSE L.L.P, a New York limited partnership, Plaintiff, v.

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation.

Defendants.

\section*{ORDER ON DEFENDANTS' MOTION TO APPOINT FOREIGN COMMISSIONER AND TO COMPEL DEPOSITION OF KENNETH RUBENSTEIN}

This matter coming before the Court on the Defendants' Motion to Appoint Foreign Commissioner and to Compel the Taking of Deposition as to Kenneth Rubenstein, Esq. and the Court having heard argument of counsel for both Plaintiff and Defendants and otherwise being advised in the premises and having considered the grounds for the Motion and considered applicable law, it is FOUND,

ORDERED AND ADJUDGED as follows:
1. Defendants' Motion for Appointment of Foreign Commissioner and to
compel the taking of the deposition of Kenneth Rubenstein，Esq．is hereby granted．
2．Esquire Deposition Services，located at 216 E． \(43^{\text {h }}\) Street， \(8^{\text {th }}\) Floor，New York City，New York 10017，is hereby appointed Commissioner to take the deposition of Kenneth Rubenstein，Esq．in this matter，which deposition is to be conducted telephonically at a mutually convenient date for the parties prior to
 DONE AND ORDERED at West Palm Beach，Palm Beach County，
Florida this \(31^{N}\) day of October， 2002.

Copies to：
Steven M．Selz，Esq．
214 Brazilian Ave．，\＃220


Palm Beach，FL 33480
Christopher W．Prusaski，Esq．
Proskauer Rose，LLP
2255 Glades Road，Suite 340 W
Boa Rato，FL 33431
    IN THE CIRCUIT COURT OF THE
        15th JUDICIAL CIRCUIT IN AND
        FOR PALM BEACH COUNTY, FLORIDA
    ---------------------------------X
    PROSKAUER ROSE L.L.P.,
        Plaintiff,
        vs.
        CA 01-04671 AB
    IVIEWIT. COM, INC., a Delaware
        corporation, IVIEWIT HOLDINGS,
        INC., a Delaware corporation,
        and IVIEWIT TECHNOLOGIES,
        INC., a Delaware corporation,
        Defendants.
    -------------------------------
    DEPOSITION OF KENNETH RUBENSTEIN
        New York, New York
        Wednesday, November 20, 2002
    Reported by:
        WENDY D. BOSKIND, RPR
        Job No. 142586

Ken Rubenstein Deposition

November 20, 2002 11:06 a.m.

Deposition of KENNETH RUBENSTEIN, held at the offices of Proskauer Rose LLP, 1585 Broadway, New York, New York, pursuant to Notice and Agreement, telephonically pursuant to a Court Order, before Wendy D. Boskind, a Registered Professional Reporter and Notary Public of the State of New York.

A P P E A R A NCES:

PROSKAUER ROSE LLP
Page 2

\title{
Attorneys for Plaintiff
}

2255 Glades Road
Suite 340 West
Boca Raton, Florida 33431-7360
BY: CHRISTOPHER W. PRUSASKI, ESQ.

SELZ \& MUVDI SELZ, P.A.
Attorneys for Defendants
214 Brazilian Avenue
Suite 220
Palm Beach, Florida 33480
by: STEVEN M. SELZ, ESQ.
(telephonically)

\section*{ALSO PRESENT:}

ELIOT BERNSTEIN, ESQ. (telephonica11y)

1
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K ENNETH R U B ENSTE IN, business address at Proskauer Rose LLP, 1585 Broadway, New York, New York, having first affirmed before the Notary Public, (Wendy D. Boskind), was examined and testified as follows:

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Page 3

Ken Rubenstein Deposition

EXAMINATION BY
MR. SELZ:
Q. Mr. Rubenstein, my name is

Attorney Steve Selz, I represent the Defendants in the case of Proskauer Rose versus IViewIt.com.

I am going to ask you a series of questions in this deposition, and the first thing I need to know is whether or not you have had your deposition taken previously.
A. I have had my deposition taken previous7y.
Q. On how many occasions has that taken place, sir?
A. Several.
Q. "Several", more than a dozen?
A. No.
```

            Rubenstein
    Q. More than five?
    A. No.
    Q. Can you give me an approximate
    number? Two or three?
A. I would say three or four.
Q. Okay, three or four. So you are familiar with the way a deposition works; is that correct, sir?
A. Yes.

```
Q. Ken Rubenstein Deposition you ask me to rephrase it or somehow revise the structure of the question, \(I\) wil1 presume then that you have understood what I have asked you as it is posed.
A. If I think your question is of improper form, unclear, or harassment, I am going to object.
Q. Okay, I believe that would be not for you to do but Mr. Prusaski, as your counse1.
A. I will put any objection I want on the record, in addition to Mr. Prusaski.
Q. So, you are representing yourself?
A. No, I am not, he is representing
```

        Rubenstein
    me, but I am going to put objections on the
        record, if I want to.
    Q. That's fine.
        Now, starting off with, sir, could
    you please state your full name?
A. Kenneth Rubenstein.
Q. "Kenneth Rubenstein." And where is your place of employment currently, Mr. Rubenstein?
A. Proskauer Rose.
Q. Where is that located?
A. $\quad 1585$ Broadway, New York.

```
Q. And how long have you been employed with Proskauer Rose?
A. About four, four-and-a-half years.
Q. Somewhere between 1997 and 1998 was your first date of employment?
A. I think it was in 1998.
Q. Do you remember a month?
A. Possibly June.
Q. June. Where were you employed prior to your employment with Proskauer Rose?
A. I was with a law firm, Meltzer, M-E-L-T-Z-E-R, Lippe, L-I-P-P-E.
```

            Rubenstein
    Q. Meltzer Lippe is located where?
    A. Mineola, New York.
    Q. Do you have an address that you
    can recall?
    A. On willis Avenue, but I don't have
    the address right now.
    Q. Prior to Meltzer Lippe -- and
    approximately what were the dates of your
    employment at Meltzer Lippe?
    A. About 1993 to 1998.
    Q. And what did you do at Meltzer
        Lippe?
            A. I was an attorney.
            Q. Did you have any specialization?
            A. I was a patent attorney.
    ```
                                    Ken Rubenstein Deposition
    Q. Are you still a patent attorney?
    A. Yes.
    Q. Is that your role at Proskauer
Rose currently, is a patent attorney?
    A. Yes.
    Q. Are you a partner of Proskauer
    Rose?
    A. Yes.
    Q. Are you a shareholder of Proskauer
```

Rubenstein
Rose?
A. One or the other, either partner or shareholder.

I think it's a partnership.
Q. It's a partnership. Do you have any ownership interest in the partnership in the sense of obligations that go beyond what some of the other partners have? In other words, do you have an equity share? Do you have any other claims with regard to an interest in Proskauer Rose?
A. I have no idea.
Q. Prior to Meltzer Lippe, where were you employed, sir?
A. Another law firm.
Q. Do you remember the name of that law firm?
A. Marmorek, M-A-R-M-O-R-E-K,

Ken Rubenstein Deposition
Ken Rubenstein Deposition
Guttman, G-U-T-T-M-A-N, \& Rubenstein.
Q. Were you the "Rubenstein" in the
name of the firm?
A. Yes.
Q. And you were a partner in that
firm?

## Rubenstein

A. Yes.
Q. What were the dates of your employment in that firm --
A. Oh --
Q. -- Marmorek Guttman \& Rubenstein.
A. -- probably starting in the Eighties, mid-Eighties, until 1993.
Q. And what was the area of your practice, when you were with Marmorek --
A. $M-A-R-M-O-R-E-K$.

Patent law.
Q. Patent law. And your dates -- you say you left Marmorek Guttman \& Rubenstein and went to Meltzer Lippe and then to Proskauer Rose, but at all times you were a patent 1awyer --
A. Yes.
Q. -- is that a correct statement?
A. Yes.
Q. Is that a correct statement, sir?
A. Yes.
Q. You have to wait until I finish Page 8

## Ken Rubenstein Deposition

the question.
A. No, you are not getting the

```
    Rubenstein
    answers clearly in your head. You should take
    better notes.
            MR. SELZ: Move to strike as
        non-responsive.
            (MOTION TO STRIKE.)
    A. That's fine, move to strike it.
    Q. Sir, during that entire period of
time, then, you were a patent lawyer; is that
a correct statement of fact?
A. Yes.
Q. Are you familiar with something that's called "pan and zoom technology"?
A. I am not sure what you mean by that.
Q. Well, let me start very simply, and say this. Are you familiar with a concept that an image can be enlarged while being transmitted on a narrow bandwidth?
A. I don't know what you are talking about.
Q. Okay. We11, let me go back to this, then, sir. Are you familiar at all with the technology involved with IViewIt.com?
A. No.
```


## Ken Rubenstein Deposition

```
            Rubenstein
    Q. Do you have any information at all
with regard to any of the IViewIt entities?
    A. Not at this time, no.
    Q. "Not at this time." Did you have
any information at any time in the past, sir?
    A. Not that I know of right now.
    Q. Do you have any files or records
    indicating that you had any dealings with --
    and I will go through a list here --
IViewIt.com, Inc.?
    A. Not that I know of.
    Q. IViewIt, LLC?
    A. Not that I know of.
    Q. UViewIt?
    A. Not that I know of.
    Q. IViewIt, Inc.?
    A. Not that I know of.
    Q. Have you ever heard of an
individual named Eliot Bernstein?
    A. I might have.
    Q. Well, sir, that's either a "Yes"
        or "No" question.
            A. Like I said, I think he works for
        IViewIt, and I may have heard his name.
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                                    Ken Rubenstein Deposition
                                    Rubenstein
Q. How about what is called the MPEG Patent Pool, have you heard of that?
A. Yes, I have.
Q. Why don't you te11 me what that is.
A. Decline to answer at this time.
Q. Why do you decline to answer?
A. Irrelevant to this deposition.
Q. I'm sorry, irrelevancy is not an objection that would allow you not to answer, sir.
A. Make a motion to the judge. If he orders me to te11 you about it, I wil1 te11 you.
MR. SELZ: Chris, are you instructing your client not to answer?
MR. PRUSASKI: I am going to put an question for relevancy based on the court's granting of the motion and limiting on the record, and if Mr. Rubenstein declines to answer then he is declining to answer. And, just so I don't have to keep objecting, Mr. Selz, to make this
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Rubenstein
easier, my objection is continuing in nature as to any questions regarding any

Ken Rubenstein Deposition transactions for IViewIt that you are going to ask Mr. Rubenstein if he was involved in based on the court's granting of the motion and limiting.

MR. SELZ: Let me go on the record and say the discovery documents that have been produced by the Defendants -plaintiff in this matter indicate various dealings in which Proskauer Rose was affiliated including dealings with H. Wayne Huizenga, CrossBow Ventures, Wachovia, a number of other entities which are part of the discovery and have been produced by the Plaintiffs pursuant to a valid request for production, so to the extent you are claiming it's subject to any motion and limited, that's fine with regard to the trial, and the discovery you produced on your own pursuant to a request for production which has not been held invalid includes these very matters.

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Rubenstein
A. So why don't you tell me more particularly what you want to know.
MR. PRUSASKI: Mr. Selz, let me just respond to that.
There were never any affirmative
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Page 12

Ken Rubenstein Deposition
defenses asserted by the Defendants in this matter that have anything to do with particular transactions, the defenses involved whether the bills were --

MR. SELZ: Let's go --
MR. PRUSASKI: I get to finish
because --
MR. SELZ: Go ahead and finish.
MR. PRUSASKI: Thank you.
There were never any affirmative defenses asserted by the Defendants in this matter relating to anything other than the amount of the bills. And, so, to the extent that the court granted our motion limiting it, the Defendants can't put any evidence of any particular transactions or alleged wrongdoing by Proskauer on at trial, but to that

Rubenstein
extent I am going to ask Mr. Rubenstein to answer your questions. If I fee1 that they are becoming overreaching, I will make -- or if you are extending too far into what I think is a violation of the court's granting of the motion of limiting, I will make another objection.

Mr. SeLz: And let me go on the
Page 13

Ken Rubenstein Deposition record, the motion of limiting is fine with regard to anything presented at trial. It certainly does not preclude the scope of discovery from including, in a deposition, questions which may 1ead to discoverable evidence concerning the bills and the services that were provided, which is the basis for the affirmative defenses.

MR. PRUSASKI: And I am aware that
you have some latitude with respect to discovery under the rules.

MR. SELZ: And I think we have pretty significant latitude under the rules.

And with regard to your client,

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        Rubenstein
    Mr. Rubenstein, indicating he is
    refusing to answer, I believe you should
    instruct him right now, under florida
    law, he doesn't have the right to refuse
    to answer.
    A. All right, I will answer the
    question.
            MR. PRUSASKI: I just said a
        minute ago we wil1 go ahead.
        A. Anything you want to know about
        the MPEGLA patent pool, that's public
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                                    Ken Rubenstein Deposition
    information, it's is on a web site,
    MPEGLA.com. You should go look at that
web site. Any public information that I am
entitled to tell you is on that web site.
    Q. Well, I am going to ask you, sir,
in this deposition to give me that
information.
A. And I am just telling you to go look at the web site.
MR. SELZ: Let the record show the witness is refusing to respond to a direct question.
A. That is an incorrect
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Rubenstein
characterization of the record.
The record shows that I told you a place where you can get the answer very easily. There is no reason for you to make me sit here and waste my time repeating to you things you can easily read about.
Q. We11, sir, this is your testimony at your deposition.
A. That's right, which you are making me do. I consider the deposition nothing but harassment, considering that $I$ had nothing to do with the company. It's just a form of harassment.

You go read the web site, if you

Ken Rubenstein Deposition
want to know about it.
Q. okay, so you are refusing to answer?
A. I am not refusing.
Q. Other than advising me to go to a web site --
A. I am not refusing to answer. I did answer. Please stop characterizing my testimony. I told you the answer. I told you al1 pub7icly-available information about the

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    Rubenstein
MPEG patent pool can be found at
WWW.MPEGLA.com. You are free to go read it.
Please go read it and you wi11 1earn al1 you
need to know about it.
    Q. So you are not going to tel1 me
what the "MPEG patent pool" is?
    A. I told you you could go read it.
    Q. Okay.
    MR. SELZ: Chris, do you want to
        instruct your witness, or deponent, or
    client, at all in that matter?
    MR. PRUSASKI: Do you have any
    specific questions with respect to
    IViewIt in the MPEG patent pool?
        MR. SELZ: Yes.
    A. All right, so why don't you ask me
those questions.
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                                    Page 16
    Ken Rubenstein Deposition
MR. SELZ: I want Mr. Rubenstein
to first explain to me what the "MPEG patent pool" is, and then I will ask him questions concerning exactly how it relates to IViewIt.

In other words --
A. Okay, I will answer both your

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            Rubenstein
    questions.
    Q. Go ahead.
    A. The "MPEG patent pool" is a
collection of patents owned by a group of
companies related to the MPEG 2 video
compression standard and, as far as I know, it
has nothing whatsoever to do with IViewIt.
    Q. So it has no technology -- the
MPEG patent pool uses no technology in any way
related to any of the IViewIt entities or
their intellectual properties; is that your
testimony?
    A. No, it's not my testimony.
    Q. Okay.
    A. My testimony is, it's a group of
patents chosen according to very specific
criteria related to the MPEG 2 standard and,
to my knowledge, has nothing to do with
IViewIt.
    And please do not characterize my
                                    Page 17
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Ken Rubenstein Deposition
words. Please do not rephrase them. If you
don't know what I said, you can ask the
reporter to read it back. But do not
characterize my testimony.
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        Rubenstein
        MR. SELZ: Again, let the record
    reflect the deponent is not being
    responsive.
    A. I am being very responsive.
Please stop characterizing my testimony. And
please stop putting things on the record that
are incorrect.
Q. Mr. Rubenstein, I am asking you
questions, and I am asking --
A. And you are not listening to the answers very carefully, so -- I don't know how much experience you have taking depositions --
MR. SELZ: Again, let the record
reflect that --
A. Stop interrupting my answers. Do not interrupt me.
Q. Mr. --
A. Do not interrupt me.
Q. Mr. Rubenstein --
A. Let me finish.
Are you going to proceed to continue to interrupt me or not?
Q. If you want to answer the

Ken Rubenstein Deposition questions, I have no problem.

You are unable to keep track of what I am saying.

So, please, if you don't know what I said, ask the reporter to read it back, but please do not characterize my testimony in your own words.
Q. Okay --
A. Just don't do it.
Q. What I am asking you is this. Do any of the members of the MPEG patent pool use any of the technologies of IViewIt?
A. I would have no idea.
Q. Who is the person in charge of the MPEG patent pool, sir?
A. Like I say, I advise you to check their web site if you want to know information about that patent pool.
Q. Well, again --
A. It's not me.
Q. Are you involved with the MPEG patent pool, sir?
A. Yes.
Q. what is your position --

\section*{Ken Rubenstein Deposition}

Rubenstein
A. I am counse1 to MPEG, LLC.
Q. Do you advise the MPEG patent pool
with regard to legal issues?
A. That's privileged information.
Q. Not whether or not you advised them on legal issues.
A. You are asking me -- I am not going to discuss with you anything about anything \(I\) do with any other client in this law firm.
Q. Well, sir, I am not asking you the substance of what you have advised them, I am simply asking you whether or not you advised them.
A. I told you, I am their counsel.
Q. Okay. Have you ever seen any of the intellectual properties or technologies that IViewIt has developed for scaled video?
A. Not that \(I\) recall at this time.
Q. Were you ever involved in any patent applications for scaled video technologies for IViewIt.com?
A. No.
Q. Did you ever review any patent

Rubenstein
application at all for IViewIt --

Ken Rubenstein Deposition
A. Not that I recall.
Q. Did you ever opine with regard to the validity of any patent applied for or received by IViewIt.com?
A. Like I say, I was not in any way involved with getting patents for IViewIt.
Q. What were you involved with, if you were, with IViewIt?
A. The only thing I did for IViewIt is I referred them to another patent lawyer.
Q. And who is that?
A. A guy named Ray Joao.
Q. And where did Mr. Joao work?
A. I believe he was working at the time at my former law firm, Meltzer Lippe.
Q. And what date was this?
A. I don't recall.
Q. So, you were employed by Proskauer
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Rose at this time?

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A. Yes.
Q. And you referred IViewIt to Me7tzer Lippe?
A. I referred IViewIt to Ray Joao,

Rubenstein who I believe was working at Meltzer Lippe at that time.
Q. Who did you speak to at IViewIt, sir?

\section*{Ken Rubenstein Deposition}
A. I don't recall.
Q. Did you keep any notes of your conversation with regard to this referral?
A. No.
Q. Did you speak to Mr. Joao with
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regard to this referra1?

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A. I don't recall.
Q. Why did you refer this matter to Meltzer Lippe?
A. Because it wasn't work I wanted to undertake myself.
Q. And why was that?
A. Because I am not generally in the patent prosecution business, in most cases.
Q. Did you ever meet with any members of the board of directors of IViewIt.com?
A. Not that I know of.
Q. Were you ever involved in any meetings with anyone concerning IViewIt.com?
A. No, not that I know of.
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            Rubenstein
    Q. How about any representative from
    Real 3 D?
    A. Never heard of it.
    Q. How about Warner Bros.?
    A. Warner Bros. is a client here.
    Q. Okay. Did you have any
    discussions with Warner Bros. about IViewIt?
Page 22

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\section*{Ken Rubenstein Deposition}

MR. PRUSASKI: objection.
A. Any --

MR. PRUSASKI: Instruct him not to answer.
(DIRECTION NOT TO ANSWER.)
A. Any conversation I made or had with Warner Bros. would be confidential. I am not saying there was or was not such a conversation, it would be privileged.
Q. I am not asking you for the contents of the conversation, I want to know if there was one.
A. I am not saying -- I don't know if there was one.

And if there was, I wouldn't tell
you about it, anyway.
Q. How about Hollywood.com?
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    Rubenstein
    A. Never heard of it.
    Q. Did you ever have any discussions
    with anyone at Proskauer Rose concerning the
IViewIt Technologies?
A. Not that I recall.
Q. Did you have any discussions with
anyone -- let's say Chris wheeler,
particularly, at Proskauer Rose with regard to
anything at IViewIt?
A. I might have, but I don't reca11
Page 23

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Ken Rubenstein Deposition
anything about it at this time, if I did.
Q. Did you ever counsel anyone at IViewIt concerning any matters regarding the patent or patent applications?
A. Not that I recall.
Q. Did you keep any files yourself with regard to IViewIt and any communications with IViewIt?
A. I don't think so, no.
MR. PRUSASKI: Objection, asked
and answered.
Q. Did you ever play a role as an advisory board member for IViewIt?
A. Not that I know of, no.
anything about it at this time, if I did.
Q. Did you ever counsel anyone at
MR. PRUSASKI: objection, asked
and answered.
A.

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    Rubenstein
    Q. Well, sir, I am a little
confused. You normally would recall that you
would be on a board of directors --
    A. I don't think I was on any such
board.
    To my knowledge, I was on no such
board.
Q. And you never had any communications with any board member from IViewIt; is that a correct characterization --
A. I had a -- probably a phone call or two with Brian Utley. I am not sure if he's a board member or not.

\section*{Ken Rubenstein Deposition}
Q. And what were the contents of your conversation with Mr. Utley?
A. I don't recall.
Q. Did you ever talk to anyone at Warner Bros. with regard to IViewIt?
A. You are asking for privileged information, sorry.
Q. We11, whether or not you had communications --
A. No, you are asking for the content of communications.
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            Rubenstein
    Q. No, I am not asking for the
    content.
    A. Yes, you are.
Q. Please listen to my question. MR. PRUSASKI: Mr. --
Q. The question was, did you ever discuss any matters concerning IViewIt with anyone from warner Bros., period. I am not asking you for the content because, clearly, if you want to assert a claim of privilege on that, and warner Bros. is a client of yours, then you can assert it, but I am asking you whether or not you had any discussions at
a11. I am not asking you for the contents.

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A. I am --

MR. PRUSASKI: Mr. Selz, I am Page 25

Ken Rubenstein Deposition
going to object. I am instructing
Mr. Rubenstein not to answer. It's
privileged attorney/client
communication.
(DIRECTION NOT TO ANSWER.)
MR. SELZ: Not the fact of whether or not he had any discussions --

MR. PRUSASKI: I am not arguing.

Rubenstein
We are not allowed, under the Florida rules, to argue objections. I am instructing him not to answer.

MR. SELZ: I understand.
MR. PRUSASKI: And I can't argue with you.

MR. SELZ: Just so the record is clear, your objection is it's privileged, whether or not he even spoke to Warner Bros.

MR. PRUSASKI: Yes, about IViewIt.
MR. SELZ: About IViewIt.
MR. PRUSASKI: Yes.
Q. Do you know who Greg Thagard is?
A. Yes, I do.
Q. Who is he?
A. He used to work at warner Bros.
Q. He doesn't work with Warner Bros.
anymore; is that correct?
Page 26

\section*{Ken Rubenstein Deposition}
A. Correct.
Q. when did you represent warner Bros., sir?
A. Oh, that's not -- that's privileged information, sorry.

Rubenstein
MR. PRUSASKI: I am going to object for relevancy, and instruct the witness not to answer. It's also
privileged.
(DIRECTION NOT TO ANSWER.)
MR. SELZ: I don't think case law supports the position that when he represented a client --

MR. PRUSASKI: Are we going to argue every time there is an objection?

MR. SELZ: No, no, no.
A. We will litigate out the issue. We will litigate it out. You know, make a motion. We will fight it. We will see who wins.
Q. Mr. Rubenstein again, you know, this is your deposition --
A. I don't --
Q. -- I appreciate the fact that you want to express your opinion. However, Mr. Prusaski can tell you, this is not how depositions are conducted in the state of Page 27

\section*{Ken Rubenstein Deposition}

Florida.
A. Fine. I am not discussing

Rubenstein
anything about Warner Bros. The objection has been put on the record. Let's move on.

MR. PRUSASKI: And, Mr. Selz, just
to make it clear, I am going to instruct the client not to answer any questions about any Proskauer clients under claim of privilege and under claim of harassment and under claim of the fact that you are not allowed to put any of this on at trial.

MR. SELZ: Well --
MR. PRUSASKI: And we can litigate that with Judge Labarga.
Q. Now, I am asking you specifically, sir, with regard to any specific meetings, how about Real 3 D?
A. I never heard of Real 3 D.
Q. You never heard of them, okay. That's what I was going to say.

Are you aware of any meeting that
happened between yourself and any
representatives of IViewIt, other than you have already described?
A. Not that I recall. I may have

\section*{Ken Rubenstein Deposition}
Rubenstein
also had a conversation with Lamont, but I am
not sure.
Q. Lamont, you spoke to Stephen
Lamont?
A. Possibly, yes.
Q. And that was concerning IViewIt?
A. Maybe, yes.
Q. Do you recall what the contents of
that conversation were?
A. No.
Q. How about zackirul shirajee, do
you know who he is?
A. No.
Q. How about Jude Rosario?
A. Don't know who he is.
Q. How about any awareness on your
part of any IViewIt inventions regarding zoom
imaging?
A. I have no knowledge at this point
in time of IViewIt technology.
Q. So you have no knowledge of scaled
nideo? knowledge of what IViewIt technology is at
A. I didn't say that. I said I have

Ken Rubenstein Deposition Rubenstein
this point in time.
Q. Okay, why don't you explain to me "scaled video", to the best of your knowledge.
A. I don't know what you mean by
"scaled video".

Why don't you explain to me what you are talking about.
Q. Well, what does that mean to you? You seemed to indicate earlier in your answer that you had some idea of what \(I\) was talking about.
A. Well, "scaled video" might refer to changing the sizes of video images.
Q. And how is that accomplished?
A. I don't know. At this point in time, \(I\) am sure there is a variety of techniques to do it.
Q. Are you aware of any such techniques that IViewIt was using?
A. No.
Q. Are you aware of any camera zoom applications used in the IViewIt technology?
A. No.
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    Rubenstein
    Q. How about combined scaled video
    zooming video applications?
    ```
A. Not that I know of.

I am not saying they don't or do exist, \(I\) am saying \(I\) don't know.
Q. Of course, it's to the best of your knowledge, sir, I am not expecting you to be on omniscient.

How about game applications?
A. I have no knowledge of what

IViewIt's doing.
Q. How about what they have done in the past?
A. I have no knowledge of what they have done in the past at this point in time.
Q. Is it that you have no knowledge or you can't recall?
A. I don't know if I knew in the past or didn't know in the past, I don't know now.
Q. So, in other words, sir, you have no knowledge as to any technology that IViewIt uses; is that correct?
A. At this point in time, that is correct.
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            Rubenstein
    Q. Did you have such knowledge in the
    past?
A. I don't know whether I did or did not, I don't know now.
Q. So, then, sir, you wouldn't have Page 31

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                                    Ken Rubenstein Deposition
    any ability to know whether or not any of your
clients are using IViewIt technology; is that
correct?
A. I would have no idea.
Q. So it is possible, then, they might be infringing on IViewIt's technologies?
MR. PRUSASKI: Object to the form.
A. What do you mean by "infringing"?
Q. Well, making use of IViewIt technologies without the benefit of royalties or some other kind of licensing.
A. I have no knowledge that IViewIt has any proprietary rights in anything. And I have no knowledge about what IViewIt's technology is. So I have no knowledge about who could be doing what.
Q. If IViewIt had technologies concerning scaled video, let's say, and there was some legally-protected interest in that

```

Rubenstein
technology, as a patent lawyer, would you opine that the use of that by any other third party would require either a licensing or payment of a royalty?
A. I --

MR. PRUSASKI: object to the form.
Q. You may answer the question.
A. I will answer the question. I
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Ken Rubenstein Deposition would not have an answer to that question in the abstract, you are asking for complex legal analysis of a situation where you are only giving a vague hypothetical fact pattern, so it's not possible to give an answer to that question.
Q. We11, let me restate it, then, maybe I can make it clearer for you, sir.
Let's say that IViewIt has technology for camera zoom applications and that technology is patented, and a client of yours is making use of that technology without the benefit of paying either a royalty or a licensing agreement. would there be legal 1iability?
MR. PRUSASKI: Object to the
, (he

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Rubenstein
form.
A. Why don't you explain more clearly what you are trying to say.
Q. I thought I was trying to be clear. Okay, let me try again.

Let's say specifically, and I don't know if this particular entity is a client of yours or not, but Sony used camera zoom applications which were subject to a patent or a patent pending by IViewIt.com, and Sony made use of these technologies without
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            Ken Rubenstein Deposition
    either a licensing agreement or without paying
a royalty. Would Sony be liable for damages
for use of this patented technology to
IViewIt?

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A. Well, Sony's a client of the firm, so I am not going to discuss what kind of advice I might or might not give to Sony in particular circumstances, you are asking for privileged information.
Q. Okay. Then, instead of Sony we wil1 make it company X .
A. Like I say, you are asking for a legal conclusion of mine, how \(I\) might advise a
Ken Rubenstein Deposition either a licensing agreement or without paying a royalty. Would Sony be liable for damages for use of this patented technology to IViewIt?
Rubenstein
client in a particular fact pattern without
knowing the details. In order to answer that
question, I would have to study the patent in
question, the file history of the patent
before The Patent office, the prior art of
record. I might have to look for other prior
art. I would also have to study what the
particular client is doing. I might have to
study what other proprietary rights the
company in question who owns those rights
might have before I would even conceive and
think about answering a question like that.
Q. We are doing this -- obviously,
you have the right to object if it's Sony.

Ken Rubenstein Deposition
what I am saying assuming, arguendo, this is a valid and binding patent intellectual property, that it is on7y enforceable under the patent that's in place, and that there is a clear case of infringement.
A. I answered the question to the best of my ability already. It's on the record.

If you want, we can ask the reporter to read it back.

\section*{Rubenstein}
Q. Your statement to me in response, sir, was that you needed more specifics and that you were unclear, and that you would have to --
A. No, I told you that in order for me -- I am going to repeat this once, just so we are understanding it -- I told you in order to advise a client in a particular situation, I would have to study the patents in question, the file histories of the patents before the U.S. Patent office, I would have to study the prior art of record, I might study other prior art, I would have to study the claims of the patent, I would have to try to understand their scope, I would have to try to understand the technology that someone was trying to apply the patents to, I would try to

Ken Rubenstein Deposition
understand whether there were other
proprietary rights besides patents in question, and before \(I\) could answer the question. I can't answer your question in the abstract, it doesn't have a simple straightforward "Yes" or "No" answer.
Q. We11, assuming that all your

Rubenstein
review of the prior art and your review of the application of the Patent Office and your review of al1 those other documents that you just mentioned indicated that it was a valid and duly-enforceable patented right with regard to a technology that was clearly infringing on that patent right, would your answer remain the same?
A. I answered the question to the best of my ability.
Q. How long have you been a patent lawyer, sir?
A. You know how long, at least --
Q. Go back --
A. -- more than 20 years.
Q. And how many patent cases have you 1itigated?
A. I have litigated a number of them.
Q. How many is "a number of them"?
A. Quite a few.

Page 36
Q. More than 50?
A. Probably not.
Q. More than 20?
A. Maybe.
```

    Rubenstein
    Q. Have any of those patent cases
    dealt with an infringement claim?
    A. They generally deal with
    infringement claims.
    Q. Could you tel1 me about the cases
    that you have been involved with? Just naming
    the cases.
            MR. PRUSASKI: objection.
            Don't answer the question, it's
    privileged.
    (DIRECTION NOT TO ANSWER.)
    MR. SELZ: The name of the cases
    are privileged?
    MR. PRUSASKI: Yes. And it's
    harassment. He is a 20-year patent
    lawyer at one of the largest law firms.
    Why don't we need to go over this?
    MR. SELZ: It seems to me he is
    being very evasive about a lot of these
    things.
        MR. PRUSASKI: I don't think so.
        You are asking a really simple
    question that doesn't have a simple
        Page 37
    ``` answer.

Ken Rubenstein Deposition
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            Rubenstein
            A. Yes, you are asking a question
    that doesn't have a simple "Yes" or "No"
answer.
MR. PRUSASKI: And it is a
hypothetical, and he is not an expert.
Q. Have you ever met with Mr. Chris
Wheeler?
A. I don't think I ever met him, no.
Q. Did you ever speak with him?
A. Possibly, yes.
Q. Do you have any specific
recollection as to when you spoke with him?
A. No.
Q. Have you ever billed any services to IViewIt or any of the IViewIt entities?
A. As far as I know, I have not.
Q. Have you been included on a billing statement for IViewIt --
A. As far as --
Q. -- on Proskauer Rose.
A. As far as I know, I have not.
Q. Did Mr. Wheeler ever consult with you, to the best of your recollection, with regard to any issues concerning IViewIt?

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\section*{Ken Rubenstein Deposition}

Rubenstein
A. He might have, but I would not recall the details at this time.
Q. Would you have taken any contemporaneous notes of those conversations?
A. Probably not.
Q. Would you keep any other records of those conversations?
A. I am not a big note taker of phone conversations, so the answer would be no.
Q. Would those conversations have been reflected in any billing records that you might keep?
A. Like I say, to my knowledge, I never billed any services to IViewIt.
Q. Well, I don't think that was my question.

My question was, sir, if you did have a conversation with Christopher wheeler with regard to IViewIt, would it have been reflected on your billing records?
A. Probably not, because it would have been a minor short conversation.
Q. Did you ever come down to Florida to meet with anyone from IViewIt?

\section*{Rubenstein}
A. No.

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Ken Rubenstein Deposition
Q. Did you ever make any representation to any party that you can recall with regard to IViewIt or its technologies?
A. Not that I recall.

MR. PRUSASKI: object to the form.
Q. Let me rephrase that. Have you ever spoken to any third party with regard to IViewIt's technologies?
A. Not that \(I\) recall at this time.
Q. Did you ever meet with anyone named Stephen Filipek?
A. I don't know who he is.
Q. Were you ever included in any business plan of IViewIt as a consultant or any other representation as being involved with the company?
A. Not that I know of at this time.
Q. If you were included on that business plan as a consultant or advisor to IViewIt, would you have consented to that or would you have had to have consented to that?
A. I don't know whether I would have

\section*{Rubenstein}
had to consent to it or not, and I don't know if I would have consented or not.
Q. Have you ever seen a business plan for IViewIt?

\section*{Ken Rubenstein Deposition}
A. I don't know, I might have. I might not have, I don't know.
Q. How about, did you ever speak to anyone at Brian Utley?
A. I did have one or two phone conversations with him.
Q. With regard to IViewIt?
A. Yes.
Q. And what were the contents of those conversations?
A. I --

MR. PRUSASKI: Asked and answered.
MR. SELZ: I'm sorry.
A. And I will just answer it again, for convenience, \(I\) don't know the details at this point in time.
Q. How about Gerald Stanley?
A. I don't know who he is.
Q. Wayne Smith?
A. I don't think I ever had a

\footnotetext{
Rubenstein conversation with Wayne Smith about IViewIt. And Wayne Smith is a Warner Bros. in-house attorney and, therefore, any conversation I did have with him would be privileged.
Q. How about David Colter?
A. I am not sure who he is. I am just not sure.
}

Ken Rubenstein Deposition

You might refresh my recollection and tell me who he is. I am not sure who he is.
Q. If you are not sure who he is, I will not go any further.

How about a Hassan Miah?
A. I don't know who he is.
Q. How about Doug Che, with Sony?
A. I don't know who he is.
Q. Jerry Pierce, from Paramount Viacom?
A. I don't know who he is.
Q. How about Aden Foley?
A. Don't know who he is.
Q. Chris Cook?
A. I don't know who Chris Cook is.
Q. It's Chris Cookson.
```

            Rubenstein
    A. Any conversation I have had with
    Chris Cookson would be privileged.
    Q. okay. Well, you know who Chris
    Cookson is?
    A. Yes, I do.
    Q. Have you ever discussed IViewIt
    Technologies with him?
    MR. PRUSASKI: Don't answer the
    question.
    I am instructing him not to
                Page 42
    ```

\section*{Ken Rubenstein Deposition}
answer.
(DIRECTION NOT TO ANSWER.)
Q. Did you ever become aware of any problems with Raymond Joao's work as with regard to patents for IViewIt?
A. Not that \(I\) recall at this time.
Q. Does Proskauer Rose maintain patent counse1, other than yourself?
A. There are a number of patent people in the law firm.
Q. Was there any particular reason why IViewIt's patent applications were not handled by Proskauer Rose?
A. We11, like I said, generally, I
```

    Rubenstein
    don't do patent prosecution work, as a general
    matter.
    Q. Did you see anything wrong or faulty with Mr. Joao's work?
A. Like I say, I have no knowledge of his work at this time, and don't recall ever seeing anything faulty with it.
Q. Was there ever a time when Mr. Joao was no longer employed by Meltzer Lippe, to the best of your knowledge?
A. I think he did leave after a certain period of time.
Q. And where did he go to work? Page 43

```

\section*{Ken Rubenstein Deposition}
A. I have no idea.
Q. Do you have any knowledge as to why Mr. Joao 1eft or --
A. No.
Q. If you would just let me finish my question, \(I\) would appreciate it.

MR. PRUSASKI: what was the question?

MR. SELZ: I was going to finish
the question.
Q. Did you have any knowledge as to
```

            Rubenstein
    why Mr. Joao left or whether or not he was
    terminated?
    A. No.
Q. Okay. So you have no knowledge, sir, then, of any of the patent applications for IViewIt.com?
A. Not at this time, no.
Q. How about with regard to any of the trademark or copyright applications?
A. No, none whatsoever.
Q. Have you ever heard of a company called Zeosync, Z-E-O-S-Y-N-C?
A. I am not sure at this time.
Q. You are not sure whether or not you have ever heard of it?
A. Yeah. Yeah, I don't think I know Page 44

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\section*{Ken Rubenstein Deposition}
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Ken Rubenstein Deposition
at this time. I am not sure. what do they
do?
Q. Well, if you don't know what they
do and you don't know who they are, then
that's your answer.
A. All right, that's fine.
Q. Who recommended that IViewIt go to Meltzer Lippe for their patent work?

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```

            Rubenstein
    A. I probably suggested it.
    Q. And was that suggestion
    communicated in writing?
A. Probably not.
Q. And, if you can recall, who did
you communicate with at IViewIt concerning
your recommendation?
A. I don't recal1.
Q. Did you ever meet with Eliot
Bernstein?
I think you might have said that you never met with him before.
A. I don't think I ever met with him.
Q. Okay, and you said you don't know who Jude Rosario is; correct?
A. Correct.
Q. And you don't know who zackirul
Shirajee is; correct?
A. Correct.

## Ken Rubenstein Deposition

Q. How about Jeffrey Friedstein?
A. I don't know who he is.
Q. Are you aware of whether or not

Proskauer Rose accepted any stock from IViewIt?

```
            Rubenstein
    A. I would have no knowledge of that.
    Q. Were you ever asked to evaluate
    for Proskauer Rose the inventions that IViewIt
    had?
    A. Not that I recal1, no.
    Q. Did you ever see a video that led
    you to believe that a company called visual
    Data was infringing upon IViewIt?
    A. I never heard of Visual Data.
    Q. Are you aware of any of the
    billings that Proskauer Rose presented to
    IViewIt for services?
    A. To my knowledge, I have never seen
        any such bill.
    Q. Did you have any discussions with
        any other partner or associate at Proskauer
        Rose concerning the billings to IViewIt?
            A. Not that I could recall.
    Q. okay. When I refer to "IViewIt",
        I mean --
            A. You mean all of those entities.
            Q. Correct.

\section*{Ken Rubenstein Deposition}
A. And the answer is, not that I could recall.
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        Rubenstein
    Q. Did Mr. Wheeler talk with you at
    all about any infringement problems or patent
    rights at IViewIt?
    A. Not that I recall.
    Q. And you earlier testified you have
    never heard of a company called visual Data;
is that correct?
A. Not that I can recall at this
time.
Q. Do you know an individual named
Gerald Lewin?
A. Gerald Lewin?
Q. Yes.
A. You mean the former CEO of Time Warner?
Q. Yes.
A. Well, I know the name, but I don't know him personally.
Q. How about Brian Utley?
A. Well, I told you already I had a few telephone conversations with him.
Q. Other than those telephone conversations, do you know anything of Mr. Utley?

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\section*{Ken Rubenstein Deposition}

\section*{Rubenstein}
A. No.
Q. How about Gerald Stanley, of
Real 3 D ?
A. I never heard of him and never heard of Real 3 D .
Q. You said that earlier. How about Boca Research?
A. Never heard of Boca Research.
Q. How about Wayne Huizenga Jr. or Sr.?
A. Well, I know the name, I don't know them personally.
Q. How about Chris Brandon?
A. Never heard of him.
Q. Robert Henniger?
A. Never heard of him.
Q. Sportsline?
A. Sportsline, S-P-O-R-T-L-I-N-E?
Q. Correct.
A. I never heard of it.
Q. Hollywood.com, I think you testified to earlier.
A. You asked me about that, and I answered it already.

Ken Rubenstein Deposition Rubenstein
Q. Correct.

How about Big E?
A. I never heard of it.
Q. Sensormatic?
A. \(\quad \mathrm{S}-\mathrm{E}-\mathrm{N}-\mathrm{S}-\mathrm{O}-\mathrm{R}-\mathrm{M}-\mathrm{A}-\mathrm{T}-\mathrm{I}-\mathrm{C}\) ?
Q. Right.
A. I never heard of it.
Q. How about Sensormatics? I'm sorry.
A. I don't think I heard of it, either way, to my knowledge right now.
Q. How about CrossBow ventures?
A. Well, I only know about it because it was mentioned in some conversation to me prior to this deposition, but I don't have any knowledge of them, never met with them, never had any dealing with them.
Q. And what conversation was this,
    prior --
A. In preparation for this deposition.
Q. Okay. Do you have any idea who they are?
A. I know they are a venture

\title{
Q. Are they a client of Proskauer
}
Rose?
A. I don't know.
Q. When Proskauer Rose would represent a new client, would a conflict check be run?
A. I think that's the normal procedure of this and most other law firms.
Q. When you were contacted or spoke to Mr . Wheeler with regard to IViewIt.com, did you either request that Mr. Wheeler confirm the conflict check had been run or did you conduct one yourself?
A. I did not conduct one myself because the client came in through Mr. Wheeler and he -- in the normal procedure, it would be up to him to do the conflict check.
Q. Okay, so you relied on the fact that Mr. Wheeler had done one?
A. I relied on the fact that it would be the normal procedure in this law firm for him to have done it.
Q. But you can't tell me whether or
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Rubenstein not today, as you sit here, whether or not one was done.
A. I would say it would be the normal procedure in this law firm for it to be done.
Q. But do you have any personal

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knowledge which would indicate to you directly that a conflict check had been run with regard to IViewIt?
A. Well, the fact is, in this law firm they would not assign a client billing number to the client without a conflict check being done, and I understand the client billing number was assigned, so that means a conflict check was done --
Q. And --
A. -- or would normally have been done.
Q. Normally, but what I am asking you very specifically is, sir, you do not know for a fact whether or not a conflict check was run?
A. Not at this point in time, I do not know.
Q. And if there was a conflict found,

Rubenstein
what would be the normal procedure?
A. It would go to the -- there is a committee that -- in this law firm, that deals with those issues.
Q. Does that committees ever obtain waivers of conflicts from clients?
A. They might.

MR. PRUSASKI: Don't answer the
Page 51
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                                    Ken Rubenstein Deposition
    question, it's privileged.
                            (DIRECTION NOT TO ANSWER.)
    Q. Do you maintain any files or any
    documents concerning IViewIt?
MR. PRUSASKI: Him personally?
MR. SELZ: In his business records
or in his records for Proskauer Rose at
the offices in New York.
A. Not that I know of, no.
Q. Do you know of any patenting of
inventions for IViewIt?
A. Like I say, I was not involved as
their patent counse1, other people served as
their patent counse1.
Q. Are you aware of any of the
particulars of any of those patents?

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Rubenstein
A. I was not --
MR. PRUSASKI: This --
A. I will repeat it again, I was not involved as their patent counsel, other people were. And, at this point in time, I have no knowledge of their patent applications.
MR. PRUSASKI: Mr. Selz, you are
repeating yourself now.
MR. SELZ: I'm sorry, Chris.
MR. PRUSASKI: Eliot needs to type some new questions.

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Page 52
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Ken Rubenstein Deposition
A. Maybe he didn't get a good night's
sleep.
(Pause.)
MR. PRUSASKI: Do you have
anything else?
MR. SELZ: Yes, I do. Just give
me a minute. (Pause.)
Q. Sir, do you have any knowledge or have you reviewed any of the billing statements that Proskauer Rose provided to IViewIt in this matter?
A. No.
MR. PRUSASKI: Objection, asked

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            Rubenstein
    and answered.
    Q. Are you aware of any of the
    services provided by Proskauer Rose to IViewIt
    in this matter?
    A. I have no idea.
    Q. (Pause.)
        MR. PRUSASKI: Do you have
    anything else?
    MR. SELZ: Yes. Just give me a
    couple of minutes, I am just thinking
    through this stuff.
            MR. PRUSASKI: Nothing personal,
    Mr. Selz, but you are really repeating
    yourself at this point.
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                                    Ken Rubenstein Deposition
    MR. SELZ: I am trying not to.
    MR. PRUSASKI: I mean, you asked
    him twice if he has seen the bills
    within like three minutes.
            MR. SELZ: (Pause.)
    Q. Are you aware of any individuals
    involved in the MIT Multimedia Lab?
A. Personally, no, not at this point.
Q. When was the last time you spoke
to Brian Utley? You indicated you had a

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            Rubenstein
    couple of conversations with him. When was
    the last discussion held, that you can recall?
    A. I am not sure.
    Q. Was it more than a year ago?
    A. Probably.
    Q. Do you remember the contents of
    that conversation at all?
    A. No. And you asked me that
    already.
    Q. I know I did. I am trying to help
        to refresh your recollection.
            A. You asked me at least three times
        that question, so now you are at the point of
        wasting my time, so I would appreciate it, if
        you want to ask me some questions, please ask
        me questions you did not ask me already.
            Q. Is there anyone else, other than
    ```
                                    Page 54
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Ken Rubenstein Deposition
Brian Utley at IViewIt, that you ever had any
discussions with?
MR. PRUSASKI: You have asked
that, about five times.
A. You asked me that already.
MR. PRUSASKI: And he said no.
A. And I answered it already. You

```

\section*{Rubenstein}
    wi11 see the transcript, and you wil1 see the
    answer.
Q. okay, fine.

MR. PRUSASKI: Mr. Selz, is your
client sending you questions over the computer?

MR. SELZ: No, no, I have got my notes that \(I\) have made to ask questions, and I am just trying to correspond Mr. Rubenstein's answers with my questions.

MR. PRUSASKI: Are you
communicating with him electronically?
MR. SELZ: No, I am not.
MR. PRUSASKI: Has he been on the
phone the whole time?
MR. SELZ: Yes.
MR. PRUSASKI: He is in
San Diego?
MR. SELZ: Yes.
Page 55

Ken Rubenstein Deposition
MR. PRUSASKI: Let the record reflect he is taking out time --

MR. SELZ: He is sitting in the room next to his wife, waiting for his

Rubenstein
wife to go into labor and go into the hospital and --

MR. PRUSASKI: And he could have sat in the same room a week-and-a-half ago to have his deposition taken. If he is able to appear at depositions on the telephone, he could have had a deposition taken at his house.

MR. SELZ: He can cut out any minute he wants with me, but he can't do it with you, if you have a deposition scheduled.

MR. PRUSASKI: We could have accommodated him just fine.

MR. SELZ: I am going to put you on hold for a minute.
(Pause in proceedings.)
MR. SELZ: okay, Chris, I have been talking to Eliot, he is going to check on his wife, who is in the next room. Let's take a ten-minute break and come right back.

MR. PRUSASKI: okay. I expect you
Page 56 both to have some new questions or I
need to go, because we are both very busy.

MR. SELZ: I understand, so is
everyone.
MR. PRUSASKI: So, it's 12:02, we wil1 see you promptly at 12:12 with new questions.

Do you want to call us back at this number?

MR. SELZ: I wil1 cal1 you back at
this number.
(Recess taken: 12:04 p.m.-
12:16 p.m.)
Q. Did you ever receive a letter from Stephen Lamont with regard to IViewIt technology?
A. A letter from Stephen Lamont?
Q. Yes.
A. Not that \(I\) know of at this time.
Q. okay.

MR. SELZ: Chris, can you give me the fax number there? I wil1 fax you a copy of this letter, for the witness -for the deponent to review.

\section*{Ken Rubenstein Deposition}

Rubenstein
A. I don't know how we are going to orchestrate that.
Q. You have got a fax up there?
A. We do. We've just got to --

MR. PRUSASKI: It's not something
that can be delivered immediately?
THE WITNESS: Right.
MR. SELZ: what I will do is, I
wi11 continue with other questions until
it's delivered.
MR. PRUSASKI: The fax number is
969-2900. And you will need to have it delivered to Mr. Rubenstein's office immediately.
A. It will probably come out in my E-mail, so we will have to have someone print it out.

MR. SELZ: Let me just go and take care of that.

Hold on for a moment.
(Pause in proceedings: 12:17 p.m.-
12:25 p.m.)
MR. SELZ: Okay, we are back on.
A. okay.

\section*{Rubenstein}
Q. okay.

Ken Rubenstein Deposition

Now, Mr. Rubenstein, have you
looked at any of the billing statements that Proskauer Rose produced to IViewIt in this matter at all?
A. Okay, so, number one, you asked me that, I answered it already.

Number two, I would like to note, for the record, that we took a break at 12:02, you were supposed to come back at 12:12, you were late, and the first thing you did, upon coming back, was take another break of about nine or ten minutes so you could send me a fax, which could have been sent here in advance. And you are wasting my time by asking me questions that \(I\) have already answered.
Q. What did you do to prepare for this deposition?
A. I met with my attorney.
Q. Did you review any documents?
A. I reviewed answers to interrogatories briefly that were prepared by Mr. Bernstein.
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        Rubenstein
    Q. Did you review any other
    documents?
A. I reviewed a brief segment of Mr. Utley's deposition -- actually, I did not Page 59

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\section*{Ken Rubenstein Deposition}
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review anything from Mr. Utley's deposition, that's a mistake. I may have discussed it with my attorney, but you are getting into privileged information, so $I$ cannot answer it any further.
Q. So those are the only things that you reviewed?
A. The only thing I looked at was Mr. Bernstein's answers to interrogatories, and I did meet with my attorney.
Q. Are you aware, sir, that your name is referenced in billing statements from Proskauer Rose to IViewIt more than a dozen times?
A. No, I am not.
MR. PRUSASKI: object to the form.
Q. Can you think of any reason, sir, why your name would be mentioned more than a dozen times in billing statements from Proskauer Rose to IViewIt?

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    review anything from Mr. Utley's deposition,
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    review anything from Mr. Utley's deposition,
    that's a mistake. I may have discussed it
    that's a mistake. I may have discussed it
    with my attorney, but you are getting into
    with my attorney, but you are getting into
    privileged information, so I cannot answer it
    privileged information, so I cannot answer it
    any further.
any further.
so those are the only things that
so those are the only things that
A. The only thing I looked at was
A. The only thing I looked at was
Mr. Bernstein's answers to interrogatories,
Mr. Bernstein's answers to interrogatories,
did
did
Q. Are you aware, sir, that your name
Q. Are you aware, sir, that your name
No, I am not.
No, I am not.
Object to the form.
Object to the form.
e would be mentioned more than a
e would be mentioned more than a
Proskauer Rose to IViewIt?

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        Proskauer Rose to IViewIt?
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## Rubenstein

A. I had a few conversations with different people about the company over time, as I have testified.
Q. And you testified that the conversations took place between you and Chris wheeler and you and Brian Utley.
A. Right.

Page 60

## Ken Rubenstein Deposition

Q. Correct?
A. Possibly -- I don't know if there was anyone else.
Q. Do you have any recollection now as to any other conversations?
A. No.
Q. Now, with regard to E-mails, were you aware of any E-mails that you received from anyone concerning IViewIt?
A. I don't know at this point in time.
Q. Do you have records of E-mails that you received?
A. I would not know at this point in time.
Q. Are they normally kept as part of your files?

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        Rubenstein
    A. I don't know at this point in
        time.
    Q. I had asked you previously, sir, whether or not you had any information on Mr. David Colter.
Do you recall that?
A. Yes, and I said I wasn't sure who he was, and I suggested you might want to refresh my recollection, and you declined to do so.
```


## Ken Rubenstein Deposition

Q. Okay. would it refresh your recollection, sir, if I tell you that Mr. Colter was with Warner Bros.?
A. You know, I may have heard the name, but I don't think I ever had any dealings with him, although I am not sure.
Q. But you do have dealings with Warner Bros.; is that correct?
A. Like I said, Warner Bros. is a client.
Q. Right. Would there be any reason why your name would be mentioned in E-mails, that you can think of, from Warner Bros. to someone at AOL?

```
            Rubenstein
        A. I don't know.
        I mean, I do work -- they are part
of the same company, they are clients of the
firm, and so, I can't really discuss it
because of privilege.
Q. Sir, you had indicated earlier you had no idea with regard to any of the intellectual properties or patents for IViewIt; is that correct?
A. Not at this point in time.
Q. Did you ever issue any opinion to anyone as to the validity of those patents?
A. Not that I know of.

\section*{Ken Rubenstein Deposition}
Q. Did you ever provide any information at all with regard to the validity of any of these patents?
A. Not that I know of.
Q. So it's possible that you have in the past but you don't recall?
A. I don't recall having involvement with these patents. I was not the patent counse1.
Q. Now, sir, we have faxed you a copy of a letter. I don't know if you have
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        Rubenstein
    received it.
    A. We don't have it yet.
    Q. Okay, could you find out if that's
    avai1ab7e?
    A. All right. We will put you on
        hold.
    Q. Thank you.
(Pause in proceedings.)
Q. Okay, are you with me?
A. Yes.
Q. Do you have the fax?
A. No, I do not. Like I say, you
should have sent it up here yesterday or in
advance.
Q. That's fine, that's fine. I was
expecting that maybe you would have a better
Page 63

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                                    Ken Rubenstein Deposition
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                                    Ken Rubenstein Deposition
recollection of some of these events, and
recollection of some of these events, and
maybe that was my incorrect presumption,
maybe that was my incorrect presumption,
considering that I guess the communication
considering that I guess the communication
from Stephen Lamont occurred relatively
from Stephen Lamont occurred relatively
recently --
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recently --

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A. Well, when did it occur?
Q. Well, that's what I was going to ask you, first of all, if you can recall.
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Rubenstein
A. Well, you asked me about that, and I told you I may have spoken to him once, but I don't recall the details right now.
Q. Now, with regard to what we talked about earlier was the conflict of interest and whether or not Proskauer Rose's position in representing IViewIt constituted a conflict with other clients, I think you mentioned that you expected Mr. Wheeler to do the conflict check; is that correct?
A. Yes.
Q. Are you aware of any conflict of interest between IViewIt and any of your own clients?
A. No.

MR. PRUSASKI: What's the
relevancy of that, Mr. Selz?
MR. SELZ: I think it goes to
whether or not IViewIt should have been Page 64

## Ken Rubenstein Deposition

represented by Proskauer Rose in the first place.

MR. PRUSASKI: Oh, is that a new theory that you haven't pled?

MR. SELZ: Is that an objection?

Rubenstein
MR. PRUSASKI: Yes, it's objection to relevance.

MR. SELZ: okay, so noted for the record.
Q. Mr. Rubenstein, you had indicated that you are not aware of any conflicts
between IViewIt and any of your other clients;
is that correct?
A. Not at this point in time, no.
Q. Were you aware of any conflicts in the past?
A. Not that I know of.
Q. Would there be any records kept of any conflict check that was run by Mr. Wheeler or any other --
A. I don't know.
Q. Would you let me finish my question, please.
-- Mr. Wheeler or any other partner or associate of your firm.
A. I don't know what records there might be.

Ken Rubenstein Deposition
Q. You indicated there was a conflict committee. Does that conflict committee meet

```
    Rubenstein
    in New York or do they meet in Florida or is
    there any particular location for their
    meetings?
            MR. PRUSASKI: Objection,
        relevance.
            You can answer this question, but
        it's not going to get much further.
        A. I assume they meet in New York.
        Q. Is there any particular reason for
that assumption?
    A. Most of the law firm is in
New York.
    Q. Sir, I am a little confused about
some of your earlier testimony. I had asked
you whether or not you had spoken with any of
your clients concerning IViewIt and its
technology, and your response was to claim
privilege. Is that still the case, you are
claiming privilege with regard to any of those
communications?
                            MR. PRUSASKI: Yes.
    A. Yes.
    Q. Okay. I am going to just say at
this point that you testified that there were
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## Ken Rubenstein Deposition

Rubenstein
only two occasions that you had spoken with
third parties Mr. Utley and Mr. Wheeler that
you can recall with regard to IViewIt; is that
correct?
MR. PRUSASKI: I don't recall that
being his testimony.
A. That's not my testimony.
Q. what was your testimony?
A. we will have to have it read
I don't remember exactly what I said --
Q. okay.
A. in response to which particular
question right now.
Q. well, let me pose a new question,
sir, and I think I have asked you this before,
and I am going to pose it again because I am
unclear now.
parties with regard to IViewIt; is that
correct?
AViewIt.
only two occasions that you had spoken with
third parties Mr. Utley and Mr. Wheeler that
you can recall with regard to IViewIt; is that
correct?
being his testimony.
A. That's not my testimony.
Q. What was your testimony?
A. We will have to have it read
Q. okay.
A. -- in response to which particular
question right now.
Q. Well, let me pose a new question,
sir, and I think I have asked you this before,
and I am going to pose it again because I am
unclear now.
You have communicated with third
parties with regard to IViewIt; is that
correct?
A. Well, what do you mean by "third
Q. People or entities other than
IViewIt.

## Ken Rubenstein Deposition Rubenstein

A. Uh -- I might have, I might not have, I am not sure right now.
Q. And those third parties you are saying are clients of yours, is that why you are asserting a privilege?
A. Well, it depends who you mean by a "third party". You know, "third party" is a vague term.

Why don't you name some particular third parties and I will answer the question, if I have haven't answered it already.
Q. I think you said that you were asserting a privilege with regard to warner Bros., I think you said --
A. Well, Warner Bros. is a client here.
Q. Right. And Sony.
A. Sony is a client here.
Q. Right. So you refuse to answer whether or not you had communicated to those parties with regard to IViewIt; is that correct?
A. Correct, or anything else I might have communicated to them.

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Rubenstein
Q. We11, I am not asking you about anything else, because, really, frankly, sir, Page 68
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that's not only not relevant but, clearly
that would be privileged, but I am asking you
with regard to simply IViewIt --
A. Well, you know, that's our position, our position is that any conversation with those entities is privileged.
Q. okay, and if there was a discussion -- are you saying there was no discussion or are you saying there was a discussion that was privileged?
A. I am not saying there was a discussion, $I$ am not saying there was not a discussion, $I$ am saying it's privileged.
Q. So you can't simply answer no, there was no discussion --
A. I am not saying there was, I am not saying there was not, $I$ am saying it's privileged.

MR. SELZ: I am going to certify that question, we will take it up with Judge Labarga and see what his

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Rubenstein
determination is about that.
(RULING SOUGHT.)
Q. Now, with regard to any other issues concerning IViewIt.com or any IViewIt entities, have you had any communications
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Q. Since 2001.
A. Not that $I$ know of at this time.
Q. Sir, have you ever been involved in setting up corporations for clients?
A. No.

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                                    Ken Rubenstein Deposition
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                                    Ken Rubenstein Deposition
since the filing of this lawsuit with anyone
since the filing of this lawsuit with anyone
concerning IViewIt?
concerning IViewIt?
    A. Well, I don't know when the
    A. Well, I don't know when the
lawsuit was filed.
lawsuit was filed.
    Q. Since 2001, have you had any
    Q. Since 2001, have you had any
    communications with anyone concerning IViewIt
    communications with anyone concerning IViewIt
Technologies or any of the IViewIt entities?
Technologies or any of the IViewIt entities?
    A. Not that I recall at this time.
    A. Not that I recall at this time.
    Q. Have you spoken to Ray Joao with
    Q. Have you spoken to Ray Joao with
regard to it?
regard to it?
        MR. PRUSASKI: Asked and
        MR. PRUSASKI: Asked and
    answered.
    answered.
    A. You asked me about Ray Joao
    A. You asked me about Ray Joao
already.
already.
    Q. Since 2001.
    Q. Since 2001.
    A. Not that I know of at this time.
    A. Not that I know of at this time.
    Q. Sir, have you ever been involved
    Q. Sir, have you ever been involved
in setting up corporations for clients?
in setting up corporations for clients?
    A. No.
```

    A. No.
    ```
```

        Rubenstein
    Q. Have you ever made any
    representations to any company or any entity
with regard to the advisability of setting up
corporations for them?
A. Not that I know of.
Q. Who would you refer that to at
Proskauer Rose if there was --
A. I am not sure, it would depend on

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        Page 70
```

                                    Ken Rubenstein Deposition
    the particular situation.

```
Q. Do you have any idea what Mr. Wheeler's specialization is?
A. No.
Q. Have you ever spoken with him with regard to the legal services he was providing to IViewIt?
A. You asked me that --

MR. PRUSASKI: Asked and answered.
A. -- already and I answered it.
Q. And what was your answer again, sir, "No"?
A. I don't remember the exact question you asked, so I don't remember the exact wording of my answer, what the question
```

was -- but the question was asked and
answered.
Q. Do you have that fax yet?
A. No. We will call my secretary
again.
I will put you on hold.
Q. okay.
(Pause in proceedings.)
A. Okay, the fax is coming, so we are
just going to put you on hold for a minute.
Q. Thank you?

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            Ken Rubenstein Deposition
                (Pause in proceedings: 12:35 p.m.-
    12:41 p.m.)
    A. Al1 right. We have your letter.
    Q. Do you ever recall seeing this
    1etter?
MR. SELZ: Let's get it marked,
first of all, by the court reporter as
Defendants' }1
A. The letter is dated today, and I
never saw it before.
Q. Have you ever seen the contents of
this letter?
A. No. I haven't read the letter

```
    yet.
Q. okay.
A. I note that the letter is two-plus pages long, I haven't read it. The letter is dated today, November 20, 2002, and it's unsigned, so this is a letter you guys, IViewIt, created today.
Q. Well, I think that's a presumption that you are putting into the record, sir.
A. Well, the letter \(I\) have in front of me is dated today.
Q. Let me go ahead.

First of all, 1et's get it marked as Number 1, Defendants' 1.
```

            Ken Rubenstein Deposition
    MR. PRUSASKI: Objection to the
    predicate, he has never seen it before.
            MR. SELZ: Let me ask him a
        question about it first. I haven't even
        examined him on it. Let me -- Chris,
        this is my deposition of him, and I
        appreciate the fact that he wants to get
        this over, but that isn't an excuse for
        him to jump the gun.
            MR. PRUSASKI: I have a right to
    ```
```

    Rubenstein
    make objections as I see fit, and I am
    not taking instructions out of practice
    law from you.
    MR. SELZ: That's fine. I am just
    saying, let me get it marked first.
    (Deposition Exhibit Defendants' 1,
    letter dated, November 20, 2002, with
    fax transmittal cover sheet, was marked
    for identification, as of this date.)
    Q. Mr. Rubenstein, do you have in
    front of you what's been marked as Defendants'
    Number 1? Is that correct?
        A. Yes.
        Q. Okay, could you please read it for
    me?
MR. PRUSASKI: Out loud?
Q. No, to yourself.
Page 73

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                Ken Rubenstein Deposition
    A. We11, I will scan it, but I want to note it's a two-page letter, $I$ have not had an opportunity to study it. So if you ask me questions about the letter, $I$ am going to tell you I have not had an opportunity to study it.
Q. Okay, then I will go through the letter paragraph by paragraph with you to see

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            Rubenstein
    if you recal1 any of it.
            Do you ever recall receiving a
    correspondence from Stephen Lamont?
A. Like I say, I haven't had a chance to study your letter.
Q. I am not talking about this particular --
A. I don't recal1 any correspondence from Stephen Lamont at this point in time.
Q. Do you ever recall a request by Wayne Smith of warner Bros. as to IViewIt's pending patents?
A. No. It might be that somebody at IViewIt asked me to talk to warner Bros. and I declined. That might be the fact.
Q. Are you aware of any confidentiality agreement executed by warner Bros. with regard to IViewIt?

```
A. No.
Q. Have you ever seen any such
```

agreement?

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A. Not that I could recall.
Q. Again, sir, this letter refers to you being on the advisory board of IViewIt
```

Rubenstein between fall of 1999/spring of 2000.

```
A. I was never on any advisory board of IViewIt.
Q. Did Stephen Lamont ever meet with you in person?
A. I think I -- as I testified, I may have had a conversation with him, I don't know if it was in person or not.
Q. You previously testified that you had never reviewed any of IViewIt's technologies; is that correct?
A. I never testified to that. what I told you is, \(I\) don't have any knowledge of it right now.
Q. okay.
A. I don't know whether I reviewed it or not.
Q. So it's possible, then, sir, that you did review it.
A. Like I said, I answered the question. You asked me, I answered it. I don't know whether I reviewed it or not. I have no knowledge of it right now. I was not Page 75

Ken Rubenstein Deposition their patent attorney, I was not involved with
```

            Rubenstein
    their patents.
    Q. Okay, if you don't have a
    recollection of reviewing it, but then it's
    possible that you had; is that correct?
            MR. PRUSASKI: Anything's
    possible. I think we could stipulate to
    that.
    A. Right, I don't think it's possible
    but -- and I don't think it happened.
Q. Do you have any clearer
recollection of it because of this letter?
A. No, I don't have a detailed
recollection or any recollection of it at this
point in time.
Q. And, again, I think you had
testified that you don't know anyone -- Greg
Thagard, you don't know Greg Thagard?
A. I do know Greg Thagard.
Q. Who is Greg Thagard?
A. He used to work at Warner bros.
Q. Does Mr. Thagard, to the best of your knowledge, have any information concerning IViewIt?
A. I don't know at this point in

```

\section*{Ken Rubenstein Deposition}

Rubenstein
time.
Q. What, to the best of your recollection, was Greg Thagard's role with regard to IViewIt?
A. I don't know what he might or might not have done with respect to IViewIt.
Q. Who is Greg Thagard?
A. He is a person who worked at Warner Bros.
Q. Well, what was his position --
A. He was in technical -- in the technology side of the company.
Q. Do you have any idea where Mr . Thagard is currently?
A. No. I believe he left the company.
Q. How about Chris Cookson, did you ever have any conversations with Chris Cookson concerning IViewIt Technologies?
A. Like I say, Chris Cookson works for warner Bros., and any conversations I had with Warner Bros. are privileged. So, I am not saying \(I\) had a conversation, \(I\) am not saying I did not have a conversation, I am

Rubenstein saying you are asking for privileged material. Page 77

\section*{Ken Rubenstein Deposition}
Q. And David Colter?
A. I am not sure I ever had any dealings with him.
Q. And who is David Colter?
A. You asked and I answered that question already.
Q. So you have never seen this correspondence, you don't recall seeing this correspondence from Mr. Lamont; is that correct?

MR. PRUSASKI: It's dated today.
A. It's dated today.

MR. PRUSASKI: It's marked
"Draft". It's impossible for us to have seen it before. And the return address is an empty house in Los Angeles County.
Q. Have you ever seen the contents of this letter before?
A. I have never --

MR. PRUSASKI: He answered these
questions, no?
A. I have never seen the letter

Rubenstein
before.
Q. How about the E-mails that were faxed over to you, as well? There is an E-mail that's dated August 6, 2001. Have you Page 78

Ken Rubenstein Deposition
```

ever seen that E-mail before?

```
A. Is this an E-mail from David Colter to Heidi Krauel?
Q. Correct.

MR. PRUSASKI: The one dated
August 1, 2001?
MR. SELZ: Correct.
A. Right, I see the E-mail.
Q. Okay.

MR. SELZ: Let's get it marked as
2.
(Deposition Exhibit Defendants' 2, fax transmittal cover sheet and E-mails, was marked for identification, as of this date.)
Q. Sir, do you have any reason to know why your name is mentioned in that E-mail?
A. No, because I don't recall giving any opinions about the patents.
```

            Rubenstein
    Q. And you never, to the best of your recollection, had any discussions with Mr. Thagard with regard to same, either?
A. Like I say, any discussion I might have or might not have had with Mr. Thagard would be privileged.
Q. I am going to put you on hold for Page 79

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\section*{Ken Rubenstein Deposition}
just a minute.
(Pause.) later date. under advisement. or not.

8 Subscribed and affirmed
\(\qquad\) day
of \(\qquad\) , 2002.

MR. SELZ: okay, we are back on.
okay, I have got nothing further at this time. However, we are going to have to go to Judge Labarga with regard to your refusal to answer on some of these issues with your claim of privilege, so we may have to come back and conclude with those questions at a

MR. PRUSASKI: Fine.
THE WITNESS: We will take it

We are not committing to come back

MR. SELZ: That's fine.
Ken Rubenstein Deposition

12 2 5

\section*{Ken Rubenstein Deposition} by the witness.

I further certify that I am not related to any of the parties to this action by blood or marriage, and that I am in no way interested in the outcome of this matter.

IN WITNESS WHEREOF, I have hereunto set my hand this 26th day of November, 2002.

WENDY D. BOSKIND, RPR

November 20, 2002
witness
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Ken Rubenstein Deposition

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REASON: \(\qquad\)

\section*{Re: Proskauer Rose LLP v. Iviewit.com, Inc., et al. Case No. CA 01-04671 AB}

Dear Mr. Schanzer:
Please find enclosed an Errata Sheet executed by Christopher C. Wheeler for the transcript of his deposition taken on November 21, 2002.


CWP:km
Enclosure
cc: Steven Selz, Esq. (w/enclosure)

Proskauer Rose LLP v. Iviewlt.com, Inc. et.al., in the Circuit Court of the Fifteenth Judicial Circuit in and for Palm Beach County Florida, Case No. CA 01-0467 AB

I, Christopher C. Wheeler, do hereby declare under the penalties of perjury that the foregoing testimony is true and correct (with the exception of the following changes listed below):


Sworn to and subscribed before me this. \(2^{N D}\) day of May, 2003 by Christopher C.
Wheeler, who is personally known to me and who did take an oath.
Notary Public -- State of Florida
My commission expires:

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IN THE CIRCUIT COURT OF THE 15TH JUDICIAL CIRCUIT, IN AND FOR PALM BEACH COUNTY, FLORIDA

PROSKAUER ROSE LLP, a New York limited liability partnership,

Plaintiff,
vs.
No. CA 01-04671 AB
IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

Palm Beach, Florida
November 21, 2002
10:26 o'clock a.m.

DEPOSITION
OF
CHRISTOPHER C. WHEELER

\section*{Certified Conv}

APPEARANCES:
PROSKAUER ROSE LUP By: MAITHEW TRIGGS, ESQ. Appearing on behalf of the Plaintiff.

SELZ \& MUVDI SELZ, P.A.
BY: STEVEN M. SELZ, ESQ.
Appearing on behalf of the Defendants.
Also Present:
Eliot I. Bernstein (by telephone)

Deposition of CHRISTOPHER C. WHEELER, a witness of lawful age, taken by the Defendants, for purposes of discovery and for use as evidence in the above-entitled cause, pursuant to notice heretofore filed, before KENNEIH A. SCHANZER, Registered Diplomate Reporter and Notary Public, in and for the State of Florida at Large, at 214 Brazilian Avenue, Palm Beach, Florida.
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C. WHEETER
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CHRISTOPHER C. WHEETER
a witness, being first duly sworn in the above-entitled cause, testified under oath as follows: MR. TRIGGS: Before we get going, I do want to put on the record that Mr. Bernstein is attending this deposition by telephone. It's my understanding that he also attended the deposition that we had yesterday by telephone, notwithstanding what was represented to the court, whenever it was, we were in front of the judge last week?

MR. SELZ: Actually --
MR. TRIGGS: Week before. Just want to make sure that it's clear so that we can at the appropriate time deal with the fact that -- And I'm pleased that he's able to spend the time on the phone, pleased he's able to participate in the deposition.

One other thing I'd just like to make clear for the record, and that is, Mr. Bernstein, you are not taping this deposition, are you?

MR. SELZ: Can you hear us?
MR. BERNSTEIN: No, I can't hear anything.

Can you guys speak up?
MR. TRIGGS: Mr. Bernstein, you are not taping this deposition, are you?

MR. BERNSTEIN: No.
MR. TRIGGS: All right.
MR. SELZ: Are you still there?
MR. BERNSTEIN: Yeah. Is the court taping the deposition?

MR. SELZ: Yeah, the court reporter is recording the deposition on transcription.

MR. BERNSTEIN: Okay.
MR. SELZ: Okay. Can you hear us better now?

MR. BERNSTEIN: Yeah. A little bit.
MR. SELZ: While we're going on the record with regard to that particular issue, let me likewise state that we have offered the Plaintiff an opportunity to depose Mr. Bernstein telephonically, and Mr. Prusaski indicated that he was unwilling to do so, and we offered that Mr. Bernstein is at his home on the phone and awaiting the imminent birth of his child. His wife is in the other room, and because of that, as was stated before we went on the record, it may be necessary for him to take breaks on a
regular basis to check on his wife's condition and to make sure that she doesn't need anything.

So with all that in mind, let's move forward.

MR. TRIGGS: And to be clear, absolutely, should we take his deposition, in San Diego or wherever he resides now, if he needed to take a break for his wife's condition, we would have no objection whatsoever to him doing that.

MR. SELZ: I don't think - I don't think that was the concem. It was expressly the OB-GYNs letter, but given all that, let's go forward.

MR. TRIGGS: Yes, we do want to be there and be in the same room with Mr. Bernstein when his deposition is taken.

MR. SELZ: Okay. With all that in mind, let's see if we can proceed.

\section*{DIRECT EXAMINATION}
Q. (By Mr. Selz) Okay. Mr. Wheeler, could you please state your full name for the record?
A. Christopher C. Wheeler.
Q. And Mr. Wheeler, what is your current occupation?
A. I'm an attomey.
Q. And do you practice on your own or do you practice with a firm?
A. I practice with Proskauer Rose.
Q. Do you have a separate P.A. or do you practice as a partner of that?
A. No, I am a partner there.
Q. And which office do you practice out of?
A. I practice -- My office is in the Boca Raton office.
Q. And what's the address of that office?
A. 2255 Glades Road, Boca Raton, Florida.
Q. And how long have you been practicing as an attorney with Proskauer Rose?
A. Since November of 1990.

MR. BERNSTEIN: Can you have him speak up or closer to the phone?
\(\operatorname{MR}\). SELZ: Let's see if I can move this as
close as I can. Put it in the center of the table. That's about as good as I can do.
Q. Okay. So prior to your employment with Proskauer Rose, where were you employed?
A. I was a partner at Gunster Yoakley.
Q. Gunster Yoakley's offices located in Palm Beach or located some place else?
A. Well, I was - I was in both -- For

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sometime I was in their Boca Raton office and for sometime I was in their West Palm office.
Q. And prior to Gunster Yoakley?
A. Prior to Gunster Yoakley I was with - I was - I was with Fleming, O'Bryan and Fleming. Then there was a hiatus of one month until we joined Gunster Yoakley, I was with Wheeler and Kanouse.
Q. When was that with regard to Fleming, O'Bryan and Fleming?
A. From 1972 to 1983.
Q. And then your employment with Gunster

Yoakley was from 1983 to --
A. Right. A month at Wheeler and Kanouse's. It was a changeover. And then we went immediately into Gunster Yoakley from 1983 to 1990.
Q. Okay. Prior to Fleming, O'Bryan and

Fleming?
A. I graduated from law school.
Q. Okay. Are you admitted to practice in any other state other than the State of Florida?
A. No.
Q. Have you taken any specialization or certification?
A. No.
Q. How would you characterize the principal

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practice that you have, what type?
A. Transactional.
Q. Real estate transactional, business transactional?
A. Both.
Q. Do you do any corporate work?
A. Yes.
Q. Establishing corporations, setting up corporations for clients?
A. Yes.
Q. Is that part of your transactional
practice?
A. Yes.
Q. Do you do any intellectual properties work?
A. No.
Q. Do you do any work advising clients with regard to patents or patent rights?
A. No. Only directing them to the -- When they come in, when our corporate clients come in, we direct them to the proper people.
Q. Now, when you say the proper people, you mean the proper people within Proskauer Rose?
A. Sometimes.
Q. And if a client came in with an

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    intellectual property question, such as iviewit
    situation, who would you refer them to?

MR. TRIGGS: Object to the form.
THE WITNESS: Can I answer that?
MR. TRIGGS: Sure. If you can answer the question, fine.
A. I -- It would depend on the -- Normally we would contact our IP people, either in Washington or in New York, and ask their advice as to how it should be handled.
Q. Now, when a new client comes into Proskauer, is there a procedure that you have for establishing a new client file?
A. Yes.
Q. Okay. What is that procedure, sir?
A. We have a business intake file and a - and that's submitted, together with a conflict check.
Q. Okay. Was that done, to the best of your knowledge, with regard to iviewit?
A. To the best of my knowledge, it was.
Q. Okay. When I say iviewit, in this case there are about, I believe, about eight or nine iviewit entities and a uview entity as well. So when I refer to iviewit, generally I'm going to refer to all of those entities consolidated and combined.
A. And to answer your question, iviewit you have to understand, an iviewit didn't exist when it came into our office. The Bemsteins existed, so it was done with respect to the Bernsteins.
Q. So a conflict check was done with regard --
A. Well, to the best of my knowledge, it was. But that would be our procedure.
Q. Okay. Do you know if a conflict check was actually run with regard to the Bernsteins?
A. I don't know exactly, but it would be difficult to open a file in our firm without a conflict check, if not impossible.
Q. Now, as part of the conflict check procedure, is - is an interview conducted to find out what the type of business is going to be operated and potential transactions are going to be involved or is it simply a name conflict that's run?

MR. TRIGGS: Object to the form.
A. It's --
Q. Let me restate it. How does a conflict check work at your offices?
A. The new matter sheet -- A conflict check generally is a check of names.
Q. So it would be more for whether there was

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a transaction involving an existing client and that party previously?
A. It's - it's a complex procedure, but there are a series of questions, and they're answered both with respect -- If it was a corporate entity coming in, you'd view it and you'd take the principals, you'd try to identify the principals, you'd try to identify potential adverse parties in the transaction, and you would list them all in the sheet. But - so it's not solely names.

If you came in and you were a corporation and you wanted me to negotiate a contract with John Doe or John Doe, Inc., across the street, we would try to fill it out as comprehensively as possible.
Q. Okay. Now, with regard to the retainer of Proskauer Rose by iviewit in this particular matter, do you recall when Proskauer Rose started doing work for iviewit?
A. Approximately --

MR. TRIGGS: Just object to form. How are you going to clarify the various iviewit entities, or are you? Are you just going to sump sort of lump it all --
Q. Well, I'm just going to say, when did they start representing any, and that was my statement.

And that's why, unless I specify a certain entity, what I want you to do is answer as if I'm asking with regard to each one of those various entities rather than running through the litany of each one.
A. That's fine. I think approximately January of '99.
Q. And how did iviewit first come to Proskauer, if you recall?
A. They were referred to us, I believe, by Jerry Lewin to my partner, Al Gortz.
Q. And what is Mr. Gortz's area of practice?
A. He's a - what we call personal planning lawyer. Estate planning.
Q. Had he done any work that you know of for any of the principals of iviewit?
A. Now or prior to that time?
Q. Prior to that time.
A. I don't know whether he had done it prior.
Q. How about now?
A. I believe he did -- Well, he did work subsequent for them.
Q. Do you know who he did any work for?
A. He did it for Sy and -- I don't know if he did it for Eliot or not.
Q. Okay. So to the best of your

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recollection, who came in to meet with you the first time from iviewit? The first time you met any representative.
A. To the - to the best of my knowledge, in January I met with Mr. Gortz and both Mr. Bernsteins, and I believe Mr. Lewin as well.
Q. And at that time, what was discussed?
A. The preliminary discussion as to the nature of what their work was going to be, establishment of a corporation, and they were going to prepare a business plan and send it over to us.
Q. Was there any discussion as to Proskauer Rose attempting to assist them in any way with regard to obtaining financing or funding that you can recall?
A. I don't recall that.
Q. How about with regard to any intellectual properties matters?
A. I don't recall that in that meeting.
Q. Was there any discussion as to what the principal product or service that iviewit was going to provide would be?
A. It was a -- There was a discussion. I'm not so sure we - we got our arms around it until a subsequent meeting, but it was a system, a portal -It seemed that Mr. Bernstein was going to set up a
portal, and he had - he had - he had an idea how to set up a better portal, and - by which to present products and - and --

Now, this was a - this was the first discussion, preliminary.
Q. Right.
A. Right.
Q. So the portal --
A. It wasn't - I mean, it wasn't a long discussion that we had, to my recollection.
Q. Okay. And this portal, what was its purpose again?
A. Well, they were going to use

Mr. Bernstein's techniques to demonstrate products on it.
Q. Okay. What was the technique that Mr. Bernstein was going to --

MR. TRIGGS: Let me just object to the
form. I think your question was aimed at asking him what was discussed in the meeting. It's not quite coming out that way, but I'll just object to the form.

MR. SELZ: Okay. I think I already asked him that question.
A. He had a - he believed - a technique by

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Q. Okay. And this technique involved what in particular?

MR. TRIGGS: Object to the form.
Q. What was the technique -- I'll rephrase it. What was the technique that Mr. Bernstein discussed with you?
A. Well, he didn't discuss it at length at that time. So, I mean, we - that remained to be seen.
Q. That was in January of 1999.
A. Right.
Q. Now, subsequent to that initial meeting, was there ever any retainer agreement signed by iviewit?
A. Yes.
Q. Do you know when that took place?
A. It took place a few months later. Perhaps - perhaps by midyear.
Q. By July or thereabouts as you --
A. A written agreement you're talking about?
Q. Right.
A. Right.
Q. Now, at the time this meeting took

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A. I mean, you're saying, was there a retainer, a written fee agreement signed.
Q. Yes.
A. And that was not signed till months later.
Q. Now, what's the --
A. We undertook services earlier.
Q. Okay. Now, you earlier talked about the fact that your firm has a strict policy with regard to conflict checks. Is that correct?
A. As to opening files and conflict checks, yes.
Q. Do they also have a policy with regard to retainer agreements?
A. They do now.
Q. Did they in 1999?
A. I don't recall, but I don't think it was the same policy.
Q. Are there any policies and procedures manuals from that date, around January of 1999, which would cover that topic?
A. I don't know.
Q. Are you -- You're a partner in that law firm, is that correct?
A. Right.

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Q. Are you part of the - or are you privy to shareholders meetings at which intemal policies of the firm are discussed?
A. Absolutely. And there may be a policy letter on it. I just don't recall seeing it. I mean, we definitely have a policy as to it.
Q. Would you characterize that in your experience at Proskauer Rose as usual or unusual that a client was brought in with services without a written retainer agreement?

MR. TRIGGS: Object to form.
A. Presently it would be unusual. At that time it would not be as unusual, and the explanation is, we've tightened up our procedures over the years since that time. So it could have been perfectly conceivable, just by way of clarification, to submit a letter saying we were going to get a written fee agreement and - and there not be proper follow-up, internal follow-up on it for a matter of months at that time, but now there are systems in place where there is follow-up on it.
Q. Okay. Now, the corporate offices of iviewit that we're talking about, where were they located at this point in time?
A. They didn't exist, but --
Q. Subsequent to your meeting. Subsequent to your meeting with the Bernsteins.
A. They didn't exist either. Most of the -I don't know where -- I know Eliot was operating out of his home, and then when they had any serious meetings, it seemed to be out of our offices. They borrowed our conference room or whatever. And I don't know where else they had meetings.
Q. Now, after that initial meeting in January, was there any agreement reached with regard to representation of Proskauer Rose for iviewit?

MR. TRIGGS: Object to the form.
A. After the initial meeting?
Q. Yes.
A. Well, we agreed to undertake certain matters on their behalf and they agreed to pay for them.
Q. Okay. What were the certain matters you agreed to represent them in?

MR. TRIGGS: Object to form. At what
point in time?
MR. SELZ: At the initial meeting.
A. Well, they were going to start a business, and as they brought in their business questions and the formation of their corporation and all the other
ancillary matters as they developed we would undertake to represent them or help them find the proper representation.
Q. And that first step, was that something that you were chiefly responsible for in setting up these corporations?
A. It was not done under my supervision.
Q. Okay. Who exactly did the work?
A. Well, we had combination of lawyers. But I would - and I'd have to go back and look at exactly who did that. But I believe either Mara Lemer or Rocky Thompson. Rocky -- Probably most of it was charged to Rock - the - the charge to Rocky Thompson to oversee the work. He may have had subordinates that handled legwork for him.
Q. Now, who advised the client, in this case, iviewit, or the individuals who were establishing iviewit as to the structuring of these corporations?

MR. TRIGGS: Object to the form.
A. The structuring, I don't know. I would imagine most of the structuring was designed by Mr. Thompson.
Q. You had stated earlier in testimony that part of your work is corporate work.
A. Right.
Q. Setting up corporations. Is it in your opinion -- Strike that. Can you opine to me today why iviewit has approximately eight or nine different entities rather than one corporation in this particular matter?
A. Not without reviewing it, but I can -- I can't opine to it, but I can speculate, but --

MR. TRIGGS: Wait. You are not supposed to be speculating.

THE WITNESS: We're not supposed to speculate. Okay.

MR. TRIGGS: Answer the questions he's asking you.

THE WITNESS: Okay. That's fine.
A. I can't opine to why they have it without having to examine the record closely.
Q. Okay. Now, were you the attorney chiefly responsible for the relations between this client, this particular client, iviewit, the iviewit entities, and Proskauer Rose?
A. On the transactional matters.
Q. On transactional matters.
A. Right.
Q. What other matters was Proskauer Rose representing iviewit with respect to?
A. Well, on the Bernsteins as a whole, it would be Al Gortz on their - on their estate plans and their trusts and everything else.
Q. Okay. Excepting that, because that's dealing with the Bernsteins individually. I'm talking about iviewit and the iviewit entities.
A. Okay. Well, the transactional and all the ancillary matters to the transactional matters I was in charge of, yes.
Q. Were there any other matters that you're aware of that Proskauer Rose represented iviewit in, other than transactional matters?
A. No. But transactional is pretty comprehensive.
Q. Okay. What does transactional matters include, in your definition of that word?
A. Well, as I mentioned at the beginning, when a corporation comes in, they have a whole realm of activities that they - they deal with, and in the case of iviewit, it ran in everything from setting up the corporation to the license agreements, to setting up the web site, to negotiating employment agreements, to - to doing real estate leases, to doing subleases, to helping them in connection with borrowing money. I mean, any - what every business does.

If you're -- In our case, it also involved, when a corporation comes in, they - they do want to take care of some of their intellectual property work, and there was copyright and trademark work that had to be dealt with, which we contacted our trademark and copyright people, and it became clear that - that there was a technology that - that Eliot thought he was developing that had to be addressed, and the question of how to help - how to handle the patent had to be addressed.
Q. And how was the trademark, copyright and patent questions addressed?
A. Well, our counsels were contacted, various counsels who handle various matters like that were contacted in New York, and certain of the matters, the copyright and trademark, were handled internally, which we routinely do. And other matters, in this case the patent matter was referred out to another patent counsel.
Q. And do you remember who that patent counsel was?
A. It was a gentleman named Ray Joao at a firm, Meltzer, et cetera.
Q. Meltzer Lippy?
A. I believe so.

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Q. At the time that matter was referred out, was there another individual who worked on the patent matter as well as Meltzer Lippy, if you're aware of that person? Anyone other than Ray Joao?
A. No, I - by the time I was in the process, the only one I knew of was Ray Joao.
Q. How about Ken Rubenstein?
A. I don't believe Ken --

MR. TRIGGS: Object to form. What's your
question about Ken Rubenstein?
Q. Was he involved in the patent process or any of the proceedings or services provided with regard to the patents?

MR. TRIGGS: Object to the form.
A. No. He - he was -- First of all, I don't believe he worked -- I believe he worked at Proskauer at the time and not at Meltzer - Meltzer Lippy. Is that the name of the firm? And secondly, he - his involvement was only to -- He - he - he obviously is a - one of our patent contacts and his - his --
Q. Now, when you mean he, you mean Ken Rubenstein?
A. Ken Rubenstein.

MR. TRIGGS: Let him answer the question.
You can ask any clarifying questions after he's
done. And Chris, if you can answer the question he's asking you.
A. Okay. So the answer is no, Rubenstein didn't do any patent work on it.
Q. Now, with regard to the services provided to the iviewit entities, you stated previously there is no written retainer agreement for the first part of the relationship, is that correct?
A. Correct.
Q. And you said that went on until about midyear you thought? Midyear 1999. Is that correct?
A. That's correct.
Q. So from January through approximately July there was no written retainer agreement?
A. No written agreement.
Q. Okay. What was the oral agreement, to the best of your recollection?
A. The oral agreement was we - they would come in and request services, we would provide the services, and they would pay for them at our normal hourly rates.
Q. And what was the normal hourly rates?
A. I don't know what they were at that time.
Q. Was there any discussion at that point in time as to what the hourly rates were?
A. I don't recall a discussion, but I'm relatively certain there was.
Q. Did you keep any contemporaneous notes of that meeting?
A. I don't know. I would have to check.
Q. Was there ever any confirming letter sent to the Bernsteins with regard to the initial meeting to set up the iviewit entities?

MR. TRIGGS: Object to form. Confirming
letter regarding what?
MR. SELZ: Regarding the meeting which I think was part of my question with the Bernsteins.
A. I don't know.
Q. If that letter existed, would it be in your files?
A. If that letter existed, I presume it would be in my file.
Q. And do you make it a habit of keeping contemporaneous notes when you have a meeting with a new client?
A. In some cases yes, and in some cases no. It depends on the nature of the meeting.

MR. BERNSTEIN: Can everybody speak up? I can't hear a thing.

MR. SELZ: Okay.
MR. BERNSTEIN: So if you could enunciate, it would be greatly appreciated.
Q. So, with regard to that oral agreement, you said it was that you were providing services at whatever your rates were at that time.
A. Correct.
Q. Was there any discussion, to the best of your recollection, as to a flat fee or a fee of a certain figure to set up a corporation?
A. No.
Q. It was just going to be charged on an hourly basis?
A. Correct.
Q. Is there any policy with regard to Proskauer or with regard to the transactions that you're involved with of charging a flat fee for establishing a corporation?
A. No.
Q. That's always on an hourly basis?
A. No. That's not what you asked. You asked if there is any policy, and the answer is no, there is no policy. It - I don't know if anyone has ever quoted a fixed fee for the establishment of a corporation. It's not our usual practice.
Q. Do you know how much time was spent establishing the corporations for iviewit, the entities?

MR. TRIGGS: Object to the form.
A. No.
Q. How much time do you think would be a reasonable amount of time to establish a corporation in the State of Florida?

MR. TRIGGS: Object to form. What do you mean by establishing a corporation?

MR. SELZ: Okay. Let me be more specific.
Q. What do you think a reasonable time spent, sir, would be for drafting and preparing Articles of Incorporation for a new corporation?
A. It would depend on the complexity of the corporation and what you were doing and how it was being handled.

So I mean, as you and I know, a corporation can be set up for - you can just set up a dummy corporation for a few hundred dollars or less than a thousand dollars. But if you're setting up a complex structure and there is more involved, it could run into many, many thousands of dollars.
Q. Okay. In this particular situation with
iviewit --

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A. But you're presuming that all we were doing was just setting up a corporation, and I mean, the bills speak for themselves and you have copies, so you can see that there is much more involved than just setting up a corporation.
Q. We'll go through the bills, but in the meantime, my question to you goes back to the point of you had answered, said that there was a range, depending on the complexity of the corporation to be set up.
A. Right. I can't give you a ceiling because it could be a highly complex matter for - for a corporation, it could be - there could be a lot more involved, and it would be trying to establish a range in litigation.
Q. Well, let's go back to this particular situation involving iviewit. Were those complex corporations?
A. They weren't supposed to be.
Q. They were supposed to be simple corporations to set up.
A. Right.
Q. Okay. Was that communicated with you at the first meeting with the Bernsteins or was that cormunicated with you at some other time?

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A. In the initial meeting we anticipated setting up a fairly simplified structure. It's just going to be a portal and we'd go from there.
Q. Okay. And the portal was to consist of what entity, if you recall?
A. I don't recall. Probably iviewit Corporation.
Q. And the other entities that were set up, what was the purpose for those, if you know?
A. I don't recall at that time. That developed at a subsequent time. It was not at the initial meeting.
Q. Do you recall what the purpose was for those additional corporations to be formed?

MR. TRIGGS: Object to form.
A. Well, at one --
Q. I'll rephrase it. Were there additional corporations formed after the initial one?
A. Yes.
Q. Do you know what the purpose of those additional corporations was?

MR. TRIGGS: Object to form.
A. Not each and every one.
Q. Okay. Were you advising iviewit with regard to the formation of those corporations?
A. Only in part.
Q. And your advice was to form additional corporations?
A. We reviewed it. Sometimes his advice came from other advisors and sometimes it came from his accountant, so --

MR. TRIGGS: All right. We're going to do
this. Let's actually let Chris answer the question before you jump in with the next one and raise your hand and chop him off. And Chris, just do me favor and just listen to the question he's asking you, and just answer that question.

THE WITNESS: Okay. Fine.
MR. SELZ: That was really a yes or no on that.
Q. So the question was, with regard to the formation of these other corporations, did you give iviewit advice as to the formation of these other corporations? Yes or no.
A. Yes.
Q. And did you advise them to form these other corporations?
A. In some situations, yes; in some situations, no.
Q. Okay. Why don't we be more specific, then. In what situations did you advise them to form new corporations?
A. I don't recall.
Q. Okay. In what situations did you advise them not to form corporations?
A. It wasn't a question of advising them not. It was a question of advising them or talking to them about the advice of other counsel.

So the other counsel or - may have given them advice and we would have been giving them our advice on top of them by way of clarification, whether it was an appropriate thing to do or not.
Q. Okay. This other counsel was intemal within Proskauer Rose or outside Proskauer Rose?
A. No, outside Proskauer Rose.
Q. Now, with regard to --

MR. TRIGGS: Hold on a second. Eliot,
Mr. Bernstein, do you have the ability to mute
on your end? I can hear the typing over the phone. You will be able to hear us and you won't be chopping in and out. You'd probably be able to hear us better if you've got a mute on that end.

MR. SELZ: Can you hear it, Eliot?

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MR. TRIGGS: He might have muted it.
MR. SELZ: I think he probably did.
Q. Okay. Going back to these corporations, so the corporate structure involved was partly based on your advice, is that correct?
A. Yes. The firm's advice.
Q. The firm's advise. And you were effectively the lead counsel on this client file?
A. Absolutely.
Q. Now, sir, you were --

MR. SELZ: Let's get this marked as one.
(Thereupon, said document was marked as
Defendant's Exhibit Number 1 for identification by the reporter.)
Q. Okay. Here, I'll give you this marked copy. That way Matt can take a look at the additional copy I made for him.

Okay, sir. I have shown you --
A. Let me read it.
Q. Sure.

MR. BERNSTEIN: Hey, hello, Steve?
MR. SELZ: Yeah.
MR. BERNSTEIN: Can we take a break?
MR. SELZ: Sure.
MR. BERNSTEIN: Okay. My wife's going to
use the phone real quick.
MR. SELZ: Okay. So we'll take -- Want to take a ten-minute break?

MR. TRIGGS: Sure.
MR. BERNSTEIN: Can we give her legs 15 minutes would probably be --

MR. SELZ: Why don't we make it 20 to be on the safe side. Give you a chance to take care of whatever you have to do. We'll come back on at like 11:22 or so.

MR. BERNSTEIN: Great.
MR. SELZ: Okay?
MR. BERNSTEIN: Thanks so much.
MR. SELZ: Thank you. So we'll take a break.
(Brief recess, after which the proceedings resumed at 11:22 A.M.)

MR. SELZ: Okay. We're back on.
MR. TRIGGS: And Steve, as I mentioned I think when we were on break, perhaps as we were going off break, I have no problem if Eliot takes as long as he needs for any family issues while the deposition is ongoing, but I can't be having these, as it tumed out to be a 25 -minute break repeatedly.

MR. BERNSTEIN: Matter of fact, we left a message, and if she calls back, I might have to break off and have my wife talk to her. The doctor.

MR. SELZ: This was just for the OB-GYN.
MR. BERNSTEIN: Correct.
MR. TRIGGS: Yeah, I'm just saying, I'm here for a deposition. Let's go forward with the deposition.

MR. SELZ: I understand.
MR. TRIGGS: If Eliot needs to do something with his family, I understand that, but that shouldn't stop us from moving forward here.
Q. (By Mr. Selz) Okay. With that noted, let's go back on to what we started with, and that is, I handed you a letter that you were reading. Is that correct, Mr. Wheeler?
A. Right. I read it.
Q. Okay. It's been marked as Defendant's Number 1 for purposes of this deposition. Is this a letter that you penned?
A. Yes.
Q. Okay. That's your signature at the end of the letter?
A. Yes.
Q. And it's dated February 18th, 1999.
A. Right.
Q. Now, there is fax transmittal information on the top of that letter, too?
A. Right.
Q. Do you recognize that fax transmittal information?
A. Yes.
Q. And is that fax transmittal information from Proskauer Rose's office in Boca Raton?
A. It's from our office.
Q. And that letter is addressed to?
A. Eliot.
Q. Eliot Bernstein?
A. Bernstein. Right.
Q. Okay. Now, I want to direct your attention more specifically, sir, to paragraph three. It's actually numbered three --
A. Right.
Q. -- on that first page?
A. Right.
Q. And it talks about a discussion with a Mr. Ken Rubenstein? You -- I'm sorry. Go ahead.
A. You mean a potential discussion.

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Q. Okay.
A. Okay.
Q. So you were contacting Ken Rubenstein?
A. I was trying to.
Q. Did you ever contact Mr. Rubenstein?
A. I can't tell you I did or didn't. I'd
have to see the follow-up.
Q. Would that be reflected in the billing statements that you provided to iviewit?
A. Yes.
Q. Do you know if Mr. Rubenstein's name is mentioned in the billing statements to iviewit --
A. Yes.
Q. -- from Proskauer Rose?
A. Yes. They might answer it -- Right.
Q. Are you aware of whether or not the conference call referenced in that paragraph number three ever took place?
A. No, I'm not.
Q. And it says specifically, and I quote, since there seems to be some confusion as to what Ken needs in order to determine the patentability of your process?
A. Right.
Q. I'm arranging a conference call between
you, me and Ken in which we can discuss it.
A. Right.
Q. So was that statement on your part in this letter precipitated by some specific discussion with Mr. Bernstein?
A. I don't recall.
Q. Did you ever have a discussion with Mr. Bernstein about Proskauer Rose providing an opinion with regard to the patentability of any of these processes?
A. No.
Q. What was the process that was being discussed or was mentioned in your letter or reference to Eliot?

MR. TRIGGS: Object to form.
Q. Let me rephrase it. What process were you referring to in your letter?
A. We were referring to Eliot's technology. Whatever that technology was.
Q. Okay. Well, let's go back to that, to the technology issue, because I think you had provided a little testimony about that before, saying it was a portal?
A. Right.
Q. Okay. Was there anything more specific on
that portal that you can recall?
A. You mean at this stage?
Q. Or at any point now. What he's referring to.
A. It had been described to me as his imaging were large images versus small images.
Q. Okay. And what was unique about that? MR. TRIGGS: Object to the form.
A. It was what was available on the Internet. It was represented to me that what was available on the Internet at that time was small pictures and they couldn't be enlarged without pixilation.
Q. And what is pixilation?

MR. TRIGGS: Object to form.
A. Well, I'm not an expert on this. So I mean, you should ask an expert. But I was told, pixilation was some form of distortion.
Q. So it's your understanding that pixilation is that when an image is enlarged, it distorts?
A. Correct.
Q. And this process, I'm going to use the words that you used, the process that Mr. Bernstein had presented --
A. Right.
Q. -- somehow avoided this problem?

\section*{11}

Line 11 - p 40 Were not pixilated and which were not distorted - reason=error in transcription
A. Right. Purportedly it avoided the problem.
Q. Was it demonstrated to you at any point in time?
A. Not at that time.
Q. How about subsequently?
A. Oh, absolutely.
Q. Okay. And did the process, as
demonstrated, do what it purported to do?
A. I saw large pictures on a screen which were nixilated which were not distorted

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2 Q. Which were not distorted. And they had been enlarged from a small picture or a small --
A. I don't know what they had been enlarged from.

MR. TRIGGS: And, Steve, I'm not going to shut down your line of examination because to do that I'd need to terminate the deposition, but quite frankly, I can't see the relevance at all on this line of inquiry.

MR. SELZ: That's fine.
MR. TRIGGS: I mean, all I'm telling you is, at some point I will have to terminate the deposition and file an appropriate motion if we continue to hit on areas that just appear to be
doing nothing more than harassing at this point. MR. SELZ: Well, with all due respect to your objection, obviously, speaking objections aren't appropriate, certainly in a deposition, but with regard to that, I think it's actually something that's referenced in Mr. Wheeler's own letter.

So I think I certainly have an ability to inquire as to what this process was that he was referencing.

MR. TRIGGS: You're wasting time, is what you're doing.

MR. SELZ: Well, you're certainly entitled to your opinion.
Q. Okay. Now, with regard to this image, was there something also, pan and zoom, or something of that nature, that was demonstrated to you?
A. I'm not familiar with that.
Q. How about something called -- I'm sorry.
A. It wasn't demonstrated at all at this stage.
Q. I'm talking about at any time during your representation of iviewit?
A. Okay. I'm not familiar with the terms, pan and zoom.
Q. How about - how about full-screen video? MR. TRIGGS: Object to form. What about full screen video?
Q. Are you familiar with the term?
A. Not in any technical sense.
Q. Okay. It isn't in your opinion or your knowledge any way related to the process that Mr. Bernstein was involved with?

MR. TRIGGS: Object to the form, foundation.
A. The process was larger pictures than available on - presently available on the Internet, as I understood it.
Q. So it was basically an enlargement of a picture without pixilation. That was your understanding of the process.
A. Right.
Q. That you referred to in your letter.
A. Correct.
Q. Was there any other technology that you were aware of that iviewit had developed?
A. No.
Q. Were there any specific applications that were discussed between iviewit and yourself in the sense of the purpose of these corporations?

MR. TRIGGS: Object to the form.
Q. Let me rephrase it. Were there any specific applications that were discussed with you as to this technology?

MR. TRIGGS: Object to the form. At what point in time?
Q. During your representation of iviewit.
A. Yes. During our representation, there were suggestions that it could be used in various industries or in - in - that - various industries could take advantage of it.
Q. Okay. And when was the first time that was discussed?
A. I don't recall.
Q. Was it prior to the signed retainer agreement, prior to July?
A. Yes, it was prior to the signed retainer agreement.
Q. Was it -- It was after the first meeting is what you're saying.
A. Oh, yes.
Q. Okay.
A. Well, I don't recall -- Yes, it was probab -- I don't know if it was at -- Maybe perhaps examples were thrown out at the first meeting. I
don't recall.
Q. Now, that same paragraph three talks about -- And I'll refer you to the last sentence of that paragraph? Well, actually, let's go to the next sentence after the one I referred you to previously which was, after that discussion, I will also provide you with a proposal as to how we should proceed and what fees and costs will be involved? Do you know if this was ever done?
A. I don't know if it was done.
Q. Where would that proposal have come from; if it was prepared?

MR. TRIGGS: Object to the form.
A. It could have come from me or it could have come from our IP people.
Q. IP meaning intellectual property?
A. Right. Or it could not have come.
Q. Okay. If it - if it was produced in any kind of form, that would exist in the files of Proskauer Rose?
A. I'm sure it would.
Q. How about the last sentence of that same paragraph?
A. What would you like to know?
Q. Well, we will need to give you a more
definite answer and thereafter receive authorization from you as to the expenditure of these monies?
A. Right.
Q. Do you know if that was ever done with regard to the --

MR. TRIGGS: Object to the form. If what was ever done?
Q. (By Mr. Selz) If there was a definite answer?

MR. TRIGGS: As to what?
MR. SELZ: As to whether or not or what the expenses would be of the patent, which is what was referenced I believe earlier on.
A. I'd have to check the file.
Q. Okay. Now, it also references an authorization for expenditures?
A. Right.
Q. Okay. Was that same kind of procedure done with any of the other work for iviewit?

MR. TRIGGS: Object to form.
Q. Well, let me strike the question. I'll rephrase it.

Did you ever seek authorization from
iviewit for any corporate expenditures?
A. Yes.
Q. Okay. And when was that done?
A. Well, this is a long-term of - from time to time when on certain of the copyright expenses I believe we received - called up and said, is it all right to go ahead and spend money on this. That's my recollection. On - because they were - as the letter says, they were watching their dollars.

On the -- And there may have been subsequently, years - years later as - there may have been times where we wanted to make sure that we were authorized to proceed on certain projects where there was a question.
Q. Okay. When you say where there was a question, when would that take place? Do you have any specific examples to give me?
A. No, I don't.

MR. TRIGGS: Object to form.
Q. Do you ever recall personally receiving any authorization from any individual at iviewit or any corporate representative of iviewit for expenditures?

MR. TRIGGS: Object to form. Just by expenditures, are you talking about out-of-pockets or are you talking about performing legal services?

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MR. SELZ: Either one.
A. I received requests all the time for legal services.
Q. Okay. How about with regard to --
A. From many representatives.
Q. Okay. And were there any discussions at those various points of time as to costs of those legal services, how they would be provided?
A. I'm sure at times there were, at times there weren't.
Q. And who specifically did you tialk to?

MR. TRIGGS: Object to form. At what
point in time and for what services?
Q. For any legal services provided for iviewit, from the beginning of your representation to the date you terminated services.
A. Numerous officers.
Q. And could you name those officers for me, please?
A. I can give you - name some.
Q. Okay.
A. Sy Bernstein, Eliot Bemstein, Brian

Utley, Raymond Hersh, Guy Iatona, Tony. Even, I believe, Jim Armstrong, was he from New Jersey?
Q. Sir, have you ever seen the complaint that
has been filed in this action by Proskauer Rose?
A. Yes.

MR. SELZ: Let's get this marked as number two, if we could.
(Thereupon, said document was marked as Defendant's Exhibit Number 2 for identification by the reporter.)
Q. Have you ever seen this document before?
A. Yes.
Q. Did you work on the preparation of this document at all?
A. I don't recall.
Q. When was the first time you saw the complaint?
A. Before it was filed.
Q. That was on or about May 2nd of 2001?
A. Right.
Q. I'd reference you to what's been attached to that complaint as Exhibit Number 1.
A. Right.
Q. Do you recognize that correspondence?
A. Yes.
Q. Is that the retainer, the written retainer agreement you testified to earlier in the deposition?
A. Our engagement agreement.

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Q. Okay. Prior to this, you said there was an oral agreement?
A. Yes.
Q. And this engagement agreement is with what entity?
A. Iviewit LLC.
Q. Are there any other engagement agreements or retainer agreements of any sort other than this one that's in writing?
A. Not to my knowledge.
Q. So this is the only one that references hourly billing rates for services to be provided?

MR. TRIGGS: Object to form. You're talking about in writing, correct?

MR. SELZ: Correct.
A. Right. I should -- Right. The confirmation letters as to subsequent agreements served to supplement this, however.
Q. Well, I guess that's your - your position as plaintiff in this case.

MR. TRIGGS: Let's not argue. If you've
got a question to ask --
MR. SELZ: I will.
MR. TRIGGS: -- ask a question.
MR. SELZ: I will.
Q. With regard to this agreement, sir, it's limited strictly to iviewit \(I W C\), is that correct?
A. It's addressed to iviewit LuC, yes.
Q. Was Brian Utley the president of iviewit ILC at that time, to the best of your knowledge?
A. Yes.
Q. And above the signature line it says iviewit ILC?
A. Right.
Q. Now, at the time that this complaint was filed, sir, were there more entities than iviewit.com, Inc., iviewit Holdings, Inc., and iviewit Technologies, Inc., for which Proskauer Rose had provided services?

MR. IRIGGS: Object to the form. At what point in time?

MR. SELZ: At the time the complaint was filed.

MR. TRIGGS: Still providing at that time or --

MR. SELZ: No.
MR. IRIGGS: Let me just get my objection
out. Are you talking about had they ever provided work for those - for those companies or were there amounts owed still outstanding?

MR. SELZ: I think your objection would properly be an objection to form of the question.

MR. TRIGGS: Yes.
MR. SELZ: Because as you're aware, we're not supposed to have speaking objections in the depositions. At least in theory.
Q. Okay. With regard to --

MR. SELZ: So I'll take it as an objection to form.

MR. TRIGGS: Which is what it was.
Q. With regard to the three named entities in the complaint, at the time this complaint had been filed, which was May of 2002 or 2001, rather, I'm sorry, May of 2001, had Proskauer Rose provided services to any other entities, iviewit entities, other than iviewit.com, Inc., iviewit Holdings, Inc., and iviewit Technologies, Inc.?

MR. TRIGGS: Object to the form.
A. Prior to this time? Yes.
Q. Could you show me where in the attached exhibit to that complaint, which is Exhibit B, it delineates services provided to any of the other entities?

MR. TRIGGS: Object to the form.
A. Can I show you without examining all this? I don't have - I mean --
Q. I want --
A. Can I show you? No, I can not show you.
Q. Well, is there anything in that Exhibit B that you can point out to me that would show or indicate that the services that are being sued on apply to any other entity other than -- They're all titled client name, iviewit.com, Inc., from what I see.

MR. TRIGGS: Object to the form. Same objection as stated previously in terms of basis for it.

THE WITNESS: Do you want me to answer this question?

MR. TRIGGS: Yeah. If you can answer the question, absolutely.
A. Well, I don't have the detail provided beyond this. I mean, I have the - I have the cover pages, but I - I don't have - the detail is not on there.
Q. Okay. So this is not actually a bill, then. Is that what you're telling me?
A. No, it was a bill, but there were also detailed pages, as you know, well know.
Q. So this was what, a summary sheet of a bill?
A. This was the face page of the bill.

MR. TRIGGS: For the record, the reason for attaching the face page rather than the entire bill --

MR. SELZ: Well, it's actually --
MR. TRIGGS: -- is to preserve attorney-client privilege issues when you are filing a complaint against a client.

MR. SELZ: With regard to that, sir, and obviously, you know, if you've got an objection, Matt --

MR. TRIGGS: All I'm saying is, any suggestion that the entire bill is not being put out there for some purpose is just - it's flat out wrong, and I just want to establish why it was the way it was done.

MR. SELZ: You've got an opportunity on cross to elicit whatever testimony you want from him as to whatever was done.

MR. TRIGGS: Thanks, Steve.
MR. SELZ: Appreciate it.
Q. So with regard to this, sir, there is nothing that you can show me on the face of any of
these statements that's attached as an exhibit to the complaint, Exhibit \(B\) to the complaint, which would indicate services provided to any particular entity other than it says client name, iviewit.com, Inc. Is that a true and correct statement?

MR. TRIGGS: Object to form.
A. Yeah.
Q. Now, looking at Count I of the complaint, breach of contract --
A. Okay.
Q. -- okay, it references what's been called the agreement, which is attached as -- And that's the engagement agreement that's attached?

MR. TRIGGS: Object to the form.
Q. Is that correct?
A. Yes.
Q. And that's the agreement which is between Proskauer Rose iviewit and iviewit L工 --
A. Wait, let me read this here.
Q. I apologize. I thought you were done with your answer.
A. Yes, you are correct.
Q. The complaint references -- And I'll refer you to paragraph eight of the complaint?
A. All right.

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Q. It says, Proskauer entered into an agreement with defendants, iviewit.com, Inc., iviewit Holdings, Inc., and iviewit Technologies, Inc.?
A. Right.
Q. Collectively referred to as iviewit?
A. Right. Correct.
Q. But we've already established, sir, isn't it true, that this engagement agreement was only with regard to iviewit ILC?
A. Right. But we entered into an agreement. We didn't say a written agreement.
Q. Okay. You prepare corporate documents all the time. Is that correct, sir?
A. Yes.
Q. You prepare transactional documents all the time. Is that correct?
A. Correct.
Q. And you're familiar with the use of what's called a defined term.
A. Correct.
Q. Where within the agreement it's capitalized so that people can identify what's been referenced?
A. Correct.
Q. I would reference you then to paragraph
18. We're going back to Count I of the complaint. You'll notice that the word agreement is capitalized? And iviewit is another defined term using all three entities?
A. All right.
Q. So, isn't it true, sir, that this exhibit is contrary to the allegations in the complaint on Count I?

MR. TRIGGS: Object to form.
A. I don't know. I'd have to study it.
Q. Well, let's do it right now. The agreement that you have already testified to is between Proskauer Rose and iviewit LLC, is that correct?

MR. TRIGGS: Object to form. What
agreement?
MR. SELZ: The agreement as defined in the complaint.

MR. TRIGGS: Object to form.
Q. I'll restate the question. The agreement as defined in the complaint and attached to the complaint as an exhibit, sir, is between Proskauer Rose LLP and iviewit LLC. Is that a correct statement?

MR. TRIGGS: Object to the form.
A. Let me answer your original question. I don't think it's contrary to the form because we said we entered into a small cap agreement in paragraph eight similar to the one in large initial cap agreement.

So iviewit does refer to the three corporations, but what we're saying is there is an agreement that's similar to the - to the initial cap agreement.
Q. Okay. Show me where in the complaint it says that, sir, because maybe I'm a little confused.
A. On page 8 it says, provided legal services, retained Proskauer on the same terms and conditions as those in engagement agreement between Proskauer and iviewit, LLC herein referred.

So it's the same terms. We're simply referring to the terms and conditions as provided in that agreement.
Q. Okay. Was there ever any writing --
A. No.
Q. Between -- Let me finish my question, if I could.

Was there ever any writing in which any of these other entities, the iviewit.com, Inc., iviewit Holdings, Inc., or iviewit Technologies, Inc., assumed
the obligations under the agreement between Proskauer Rose LIP and iviewit LLC?
A. I don't know.

MR. TRIGGS: Object to the form.
A. I don't know.
Q. So then your understanding of the allegations in the complaint, sir, is that the iviewit entities as referred to in the complaint assumed the obligations under the agreement with iviewit LLC?

MR. TRIGGS: Object to form. Misconstrues testimony.

MR. SELZ: He can correct me if I'm wrong.
A. You'll have to repeat that.
Q. Okay.
A. Let me tell you what my understanding is. It's my understanding that paragraph 18 does not contradict on its face what we had recited in paragraph eight, and that's essentially what you asked me.

Now, as to your question about writings --
Q. Well, actually there are -- I'm sorry, go ahead.
A. As to your question about writings, there - there is, by virtue of the numerous confirmation agreements between myself and - and other
officers of the company, there is reference to I believe at least iviewit.com, Inc., because all future bills were sent - were - and all future bills were sent to them as well, as referenced by this bill. Not that they were improper. The company could have told us they were improper.
Q. Well, how about iviewit Holdings, Inc., and iviewit Technologies, Inc.?

MR. TRIGGS: Object to form. What's the question?

MR. SELZ: With regard to the assumption he's talking about right now. Let me rephrase it.
Q. With regard to the assumption that you just talked about in your testimony, sir, does that also apply to iviewit Holdings, Inc., and iviewit Technologies, Inc.?

MR. TRIGGS: Object to form.
A. Well, I'm not -- I haven't - I don't know of exact writings. I'd have to check our files to see if there are ones by which there are references to Holdings and Technology.
Q. Well, let's go back to the invoices or the face sheets that are attached to the complaint. Are any of those addressed to either iviewit Holdings,

Inc., or iviewit Technologies, Inc.?
A. No, but they're only face sheets.
Q. And what is the address that's on the top of each one of those sheets, sir?
A. Address of the operational company that took care of the affairs for all of these companies.
Q. Were there other entities that services were provided for besides iviewit.com, Inc., iviewit Holdings, Inc., and iviewit Technologies, Inc.?

MR. TRIGGS: Object to form. At what point in time?
Q. From the beginning of Proskauer Rose's representation to the date services were terminated?
A. I believe there were, but I couldn't tell you the exact dates.
Q. Are you familiar with any of the other names of any other entities that were performed by your law firm?
A. Not precisely.
Q. How about uviewit?
A. Well, I could say the word uviewit, but I don't know if it was uviewit.com, Inc., or -- But I mean, that's what I mean by not precisely.
Q. Okay. Are you aware that there are more than three entities, though?
A. I am aware that there - there - through the history there were a number of entities and there were mergers and consolidations, and some went out of existence and some still exist.
Q. Were there Florida corporations formed as well?
A. Not to my knowledge. Or not to my recollection. I don't know.
Q. How about an entity, iviewit Technologies, Inc., a Florida corporation?
A. I would have to go back and refresh myself.
Q. And that would have been formed by either Rocky Thompson or Mara Lerner, if it was?

MR. TRIGGS: Object to form. Speculation.
A. It would probably have been formed under the supervision of Rocky Thompson.
Q. Now, Mr. Wheeler, was there ever an agreement at any point in time that Proskauer Rose would receive or take ownership of stock in iviewit?
A. An agreement?
Q. Yes.
A. No.
Q. Did Proskauer Rose ever take any ownership or stock in iviewit?
A. Yes.
Q. And when did that take place?
A. I'm - I believe in the early months, sometime perhaps in February or March, although it could have been any time before June of ' 99 .
Q. Okay. At any time before June of '99?
A. Right.
Q. And why would it be -- Why would it be before June of 1999?
A. Well, I'm familiar with some reorganizations, and I'm familiar with some papers in that time, and it showed the Proskauer ownership. So I know it occurred before that time.
Q. So was it -- It was close to the inception of the relationship between Proskauer Rose and iviewit?
A. I don't think it was -- I think it was perhaps midway between January and June, but I'd have to check my records to tell you exactly when.
Q. And what was the purpose of Proskauer holding an ownership interest or shares in the corporation?

MR. TRIGGS: Object to form.
A. Eliot wanted to - wanted us to own shares in the corporation. He felt that - that - that
everyone should - that all members of his team should be stakeholders in his company.
Q. Okay. There was no discussion as to whether or not there was any value to those shares?
A. Well, he was hoping there would be value.
Q. There was no discussion as to whether or not those shares had any value at the time?
A. No. I mean, they - I don't think they could be valued at that time. I mean, everyone could try to value something, but no, there was no discussion as to what they were valued at at that time.
Q. And how much interest does Proskauer Rose still hold in the iviewit --
A. We hold what we had at that time, but I don't know what that amounts to because I don't know what transpired in the corporation.
Q. Did you ever receive any communication from anyone at iviewit concerning the billing statements provided by Proskauer to iviewit, at any time during the representation?
A. Yes.
Q. Were there any objections ever raised by anyone to the billing statements?
A. There were questions once raised on one
statement by Brian Utley. We addressed them and clarified them, made some adjustments, and that was it. And that was one occasion. And there was a second occasion by which when we handled the - the the transaction involving - when Alpine - the Alpine Fund came in and we handled that transaction, there was - there was a request by - on - request made more than once to review that bill to - because of the size of the bill.
Q. Do you recall how big the bill was?
A. I think it was in the range of between sixty and \(\$ 70,000\), but I don't recall the exact amount .
Q. Okay. This was with regard to the work done for the Alpine Fund?
A. With regard to the capital coming in from the Alpine Group.
Q. Was there ever any discussion concerning the fact that the payment of bills to Proskauer was contingent on the ability to get funding from outside sources for iviewit?
A. No.
Q. When did iviewit generally pay the bills to Proskauer Rose?

MR. IRIGGS: Object to form. At what

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point in time?
MR. SELZ: At any time during the relationship.

MR. TRIGGS: Object to form. Assumes there is some uniformity.
A. I don't know what you mean.
Q. Did they pay their bills on a monthly basis?
A. Well, at - through the relationship? Sometimes yes. Sometimes no.
Q. Well, the reason why I'm asking is because the allegation of the complaint said payments were made sporadically, or infrequently, I should say.
A. Well, I guess that would be consistent with sometimes yes and sometimes no.
Q. Now, the times when bills were paid, was that related directly to funding being received from third parties?
A. It was related to their ability -- Well, I - I mean, I wasn't controlling the checkbook, so it was related to -- It would be speculation on my part to say when they were paying.
Q. Well, let me see if I understand it, sir. You are the principal attomey on this file, is that correct?
A. That's correct.
Q. Okay. You were the one who was engaging in representing iviewit with regard to transactional matters, is that correct?
A. Correct.
Q. You were the one who was engaged in transactional matters involving funding of the corporation. Is that also a correct statement?
A. Correct.
Q. So were you or were you not aware of when the corporation received funding from third parties?
A. In the instances where we were handling the transactions, I was aware of it.
Q. Are you aware of any transactions that iviewit engaged in to obtain funding that you were not involved in?
A. No.
Q. Do you have any reason to believe there were any transactions outside those that you were involved with in which iviewit received funding from third parties?
A. I don't have any reason to believe it, no.
Q. Okay. So, sir, what I'm asking you is, when iviewit received funding from third parties, did they pay your bill?

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MR. TRIGGS: Objection, asked and answered.
A. When the company had money, they paid they paid some bills. Not my - my bill. There were many bills outstanding. Proskauer's bill.
Q. I'm asking specifically with regard to Proskauer's bill.
A. Would they make payments towards the bill? Yes.
Q. Was that discussed or was that part of a closing statement or any other documentation conceming obtaining financing or funding?
A. I'd have to check the records. I would I would suppose, generally not. You mean, did we deduct it from the proceeds and that type of thing?
Q. Was it reflected -- Right. Was it reflected on the closing statement?
A. I have no recollection of that, but I sincerely doubt it.
Q. Were these funds paid -- Strike that. The funding from third parties, was that paid directly to iviewit or did it go directly to Proskauer's trust account?
A. It would depend on the transaction and what the funding sources required.
Q. Let's go to the funding sources. What transactions do you recall in which funds were received by iviewit from third parties?
A. Well, we received funds from an affiliate of the Huizenga Holdings people. We received funding from Alpine, the Alpine Group, and its affiliates on more than one occasion. We received funding from internal sources. The company would go to their shareholders and request additional funds, and they were paid in. And we received - received funding from a group that had - a group that we could characterize of the Bruce Prolow group. They were affiliated in some way with Bruce Prolow. And then we received -And there were probably other small - I cannot remember if there were separate transactions where they would sell off in private placements stock to certain individuals that those individuals would buy in a portion or something. But I have some recollection that there may have been a few transactions like that where Eliot wanted to sell some - or some friend of the family was buying in for someone else. But I could be wrong about that.
Q. Anything else?
A. Not to - not to my recollection.
Q. Okay. With regard to Huizenga Holdings,
when did that transaction take place?
A. In - late in 1999. Probably around October. I'd have to look. I'd have to look, but approximately October, November.
Q. Okay. And that was shortly after the engagement agreement was signed?
A. Correct.
Q. Now, was that transaction being worked on by your offices on or about the date the engagement agreement was signed?
A. I don't know. I believe -- Let me see. We were - we were in discussions on or about that time.
Q. Okay. Would it be fair to say that the agreement was executed in anticipation of the transaction with Huizenga?
A. No.
Q. So your testimony here is that it was totally independent of any anticipated transaction with Huizenga Holdings?
A. Correct.
Q. At the time that Mr. Utley signed this agreement, were you aware of whether or not he had approval from the Board of Directors of iviewit to enter into this agreement?
A. No.
Q. Did you ever attend any Board of Directors meetings?
A. Yes.
Q. We'll get back to that in a little bit. I want to focus on this funding issue right now, but Huizenga Holdings, how much did they invest, if you could recall?
A. Approximately 500,000.
Q. And that transaction closed on or about October of 1999?
A. Somewhere between September and November, I believe.
Q. Do you recall any meetings with the principals or representatives of Huizenga Holdings with regard to the transaction?
A. Yes.
Q. And when did those meetings take place?
A. Between September and November.
Q. Do you recall who was present as a representative of Huizenga Holdings?
A. Well, there were a series of meetings, so at some times we had Rick Rashon, we had - we had - at Page 70 Line 23 Rick Rochon one time we had Wayne Huizenga, Jr. At one time we had -- At all meetings I believe we had Chris, and his
last name escapes me. But he was -- And Ray Monteleon also we had at least one or two meetings. And then --

You're talking about representatives of Huizenga Holdings, right?
Q. Correct.
A. Right. I can't remember Chris' last name right now. P 71 line 7 Branden - Wheeler bro good friend
Q. Okay. Who else was present at those meetings?
A. Well, there were all different ones, but at one meeting, Eliot, Jerry Lewin and Sy, and I'm not saying -- At various meetings these people were all -Eliot was at at least one, maybe two. Sy was at at least one, maybe two. Jerry Lewin was at least one. And Brian Utley was I believe at two.
Q. And you were present as well?
A. And they may have had meetings without me. Yes, I was present.
Q. Okay.
A. They may have had other meetings without me.
Q. At those meetings that you attended with Huizenga Holdings and the representatives from iviewit, to the best of your recollection, what was
discussed?
A. Well, at the first one they showed - they showed the technology, and at the subsequent meetings we discussed possible ways of getting ownership or Huizenga investing in it. Actually, it was an affiliate of Huizenga Holdings.
Q. Okay. Now, the first meeting where the technology process was demonstrated, what exactly occurred, to the best of your recollection?
A. Eliot took out the - set up his - his screen and whatever and showed them - he had a disc, and it didn't connect directly to the Internet, but it was to be representative of that, and he ran the disc and showed them his product on the screen.
Q. Okay. Did you have any discussions with any of the representatives from Huizenga Holdings as to the process or the product that was demonstrated?

MR. TRIGGS: Object to the form. When?
MR. SELZ: At this meeting.
A. Well, I'm not so sure. What do you mean, as the process?
Q. Well, did you discuss anything concerning the, for lack of a better term I'll call it the invention that Eliot had demonstrated at that meeting?
A. Well, we said this is a technique that

Eliot had developed, a process, that we're in - that we're interested in getting investors to invest in this project and pursue it. And, yes. So I mean, we showed him what it was, but we didn't go into all the details. Eliot's the one who knew all the details.
Q. Was any - excuse me. Was any -- I'm sorry, go ahead.
A. Actually -- Right. We showed them. Right.
Q. Was any representation made as to whether or not the process was patented at that point?
A. No. There was - there was - there was discussion as to what process it was going through at that point, in the patent process.
Q. And what was that discussion?
A. That it was going through Ray Joao and and that certain patents were going through a provisional patent process.
Q. Okay. Was Ken Rubenstein ever mentioned?
A. I don't recall.
Q. Or the MPEG patent pool --
A. I don't recall.
Q. -- or DVD usage or --
A. I don't recall.
Q. Nothing along those lines that you can
recall?
A. No.
Q. Now, with regard to the procedure at this particular meeting, were there minutes kept of that meeting? Was it a meeting of the Board of Directors or was it just an informational meeting? We'll start from the beginning.

MR. TRIGGS: Which question do you want him to answer?

MR. SELZ: That's what I'm going to start with.
Q. Was this a Board of Directors meeting or was it just an informational meeting with Huizenga Holdings?
A. It was just an informational meeting.
Q. Was there any Board of Directors meetings at which the discussions and the outcome of the meeting with Huizenga Holdings was discussed among the board?
A. I don't recall.
Q. Were there any minutes kept of the board meetings, to the best of your recollection?
A. Well, to my recollection, meetings were kept once Brian Utley arrived. Minutes were kept once Brian Utley arrived.

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Q. Prior to that?
A. I don't believe so, but I don't know.
Q. If there are services billed in Proskauer Rose's statements for minutes of meetings and preparation of those, would you presume that minutes were prepared?

MR. TRIGGS: Object to form. Are you going to show him the statement?

MR. SELZ: I'm just asking him the question if there's bills --
A. If there's bills, we prepared minutes, yes.
Q. So the bills accurately reflect the services provided?
A. Right. But the minutes -- Yes.
Q. Do you know if there is any memorialization of that meeting with Huizenga Holdings? If there is any memo that you wrote or any letter that you wrote to any representatives of Huizenga Holdings?
A. I don't know.
Q. Were they represented by counsel at that point?
A. No. Well, they were represented, but counsel wasn't there.
Q. Did you have any cormunication with any counsel for Huizenga Holdings?
A. Ultimately, we did.
Q. And who was that?
A. I don't recall. I think it may have been internal counsel right there on the premises.
Q. So they were in-house counsel?
A. I believe that's who we talked with.

MR. TRIGGS: Steve, again, it's your depo,
but this is the day that you got Mr. Wheeler's deposition without an order from the court prolonging the process. I just don't see how this issue is covering anything remotely close to being relevant to the case.

MR. SELZ: Well, I think all services provided by Proskauer Rose to iviewit are relevant to the case.

MR. TRIGGS: I agree that we're billing for certain work and you're entitled to inquire into that, but that does not give you the right to pull a filibuster in the deposition. You're entitled to ask him questions that are relevant to the case.

All I'm saying is, we are here today. I will not give up Mr. Wheeler again for
deposition without an order from the court. We will take it before Judge Labarga if it gets to that. I'm just giving you notice now so that you can plan your time however you want to plan your time.

MR. SELZ: Well, I can tell you right now, obviously this is covering approximately \(\$ 400,000\) worth of billing by Proskauer Rose over a period of in excess of two years. So there is certainly a substantial amount of information to be gleaned not only from the billing statements, but also from the transactions, the events that occurred in this whole relationship.

So to the extent that you're attempting to limit me to one day of deposition time, I think the notice is pretty clear, continues from day-to-day until completed, and I think that's the way it's proposed under the Rules of Civil Procedure.

MR. TRIGGS: I'm just telling you, you will have a day's worth of time with Mr. Wheeler, absent a ruling from Judge Labarga that gives you more time in what is essentially a collection case.

So you can just plan your time however you
want to plan your time. We'll take it before the judge if it gets to that, and I am absolutely willing to live with whatever Judge Labarga rules.

MR. SELZ: That's fine. And I appreciate everything you are saying now, Matt. I mean, you are certainly entitled to take your position.
Q. So going back to moving this deposition forward, now that - after that first meeting with Huizenga Holdings, was there any contact or cormminication that you can recall between yourself and Ken Rubenstein concerning the meeting or the outcome of the meeting?
A. No. Not that I recall.
Q. Do you recall how many times you spoke to Ken Rubenstein specifically with regard to iviewit or the process or technology that Mr. Bernstein had developed?
A. How many times?
Q. Yes.
A. Very few.
Q. Now, with regard to Huizenga Holdings, after that first meeting, when did the second meeting take place, the best of your recollection?
A. On Huizenga Holdings?
Q. Yes.
A. Well, to the best of my recollection, it was moved ahead. Perhaps within a week or two weeks.
Q. And you prepared the transactional documents for that?
A. Well, there were negotiations. They they had not - they had not decided, but ultimately, yes. Yes, we prepared the documentation.
Q. And you previously -- I'm sorry, go ahead.
A. It changed a number of times, at the request of Huizenga Holdings.
Q. Did it change as to the amount of funding or only as to the terms of the agreement?
A. Well, the amount was never set till the end, so - but it changed as to the format and how they wanted to approach it.

I can't tell you exactly how, but I can recall there being changes from what we had originally started.
Q. Were there ever any - were there any representations made by either yourself or anyone else at any of the meetings you attended with regard to the applications of the process or technology in the meetings with Huizenga Holdings?

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A. With regard to the what?
Q. The meetings, the process or technology at the meetings with Huizenga Holdings?
A. The process or technology. Oh, I see what you're saying.

Well, I mean, they were inquiring. They were doing their own due diligence, so they asked questions of Eliot, and Eliot would respond to them.
Q. Are you aware of any specific inquiries they made to anyone else other than Eliot?
A. I believe they sent a team up. They engaged a patent counsel. My recollection is they engaged a patent counsel who went up - who inquired into the process. And I think the way they inquired was they reviewed it. You know, I don't know what else they did, but they - they did engage someone.
Q. Do you recall who that was?
A. No, but they did their own review.

MR. SELZ: I suggest we take a lunch break
in about another 10 minutes till about 12:30, 1 o'clock. Half an hour lunch break?

MR. TRIGGS: If you can eat that fast here in Palm Beach, that's fine.

MR. SELZ: If you want to take longer, I mean --

THE WITNESS: No, I'd rather do it shorter. You show us where the fast food is.

MR. TRIGGS: It's Chris' show.
MR. SELZ: Yeah, you just go -- There is a place just across the street. Actually, Hamburger Heaven.

MR. TRIGGS: It's Palm Beach's equivalent of fast food?

MR. SELZ: Yes, that or the sub shop down the street. It's the choice of places.
Q. (By Mr. Selz) So the funding for Huizenga came through sometime in October you said; October, November?
A. I'd have to go back to check, but some -It came in the fall of that year.
Q. What was the first payment that iviewit or any of the iviewit companies made for those services, prior to - rendered prior to that date? If you can recall.
A. I don't recall. Payment to us?
Q. Payment to Proskauer.
A. I don't know. I don't know if there was one. I'd have to check.
Q. Well, let's go back to the complaint real quick. The first summary sheet that you're showing
attached to the complaint is dated January 31st, 2000. Looking at Exhibit B.
A. Okay. So what is the nature of your question? I'm sorry.
Q. The nature of my question was --
A. I mean, there were bills before this. There were - there were ones starting in June, I believe, of 1999, and then you will have one of August.

We didn't -- We conmenced services in January. We didn't bill them until June. So I mean, our ledger sheets would show when they made payments.
Q. Okay. Because I'm looking at the same statements again.
A. Okay.
Q. So you got that sheet that shows January 31st, 2000, invoice for eighty-five thousand three fifteen fifty-four?
A. Okay.
Q. And the same date for an additional
\(\$ 1,300\) ? Looks like disbursements and charges?
A. Right. Then the February statement.
Q. Then the February statement. And that
includes prior invoices for -- It's referencing invoice dates from August --

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A. August.
Q. -- September, October, December.
A. Right.
Q. The question I have is, do you know why those invoices or summary sheets are not attached to the complaint in this matter?
A. No.
Q. Do you know if Proskauer Rose is not making any claims for sums due or sums due under those prior invoices?
A. No. I don't know why they're not attached.
Q. Now, the next funding that we talked about was the Alpine Fund?
A. Correct.
Q. When did that take place?
A. Well, I - I think it was in the spring of 2000.
Q. And do you recall the amount of that funding?
A. No, I don't. I think -- I don't.
Q. Did you prepare the transactional
documents for that?
A. Well, they were prepared under my supervision, but we had specific - I mean, it would
have been prepared under Mr. Thompson's supervision as well. So I don't - I don't recall the exact amount. It was more than Huizenga's 500,000. But I can't remember what amount we essentially ended up with.
Q. Okay. There were meetings that you attended with Alpine Fund's representatives? Is that a true and correct statement?
A. I can recall a meeting, but there were not a lot of meetings that I attended with their representative, no.
Q. Do you recall who was present at those meetings?
A. Well, I recall Hank Powell on one, maybe two, or could have been three occasions or something. But a meeting would be a misnomer. Maybe I was in a meeting with him on one occasion, maybe I met him and then he continued on with other people on the others.
Q. When these - when these meetings took place with iviewit with these prospective investors, where did the meeting take place?
A. Well, it depends what period of time you're talking about.
Q. Let's go to Huizenga Holdings.
A. In their offices.
Q. In Huizenga's offices?

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A. Right.
Q. And how about with regard to Alpine?
A. I believe iviewit had - by the spring they had leased their offices, so they were in the iviewit offices. And I don't -- Since I didn't attend them, I don't know if any were held in the Alpine offices.
Q. You didn't attend any of the meetings with Alpine?
A. I - I don't recall attending -- I mean, I recall an initial meeting with Hank Powell, but I don't recall attending meetings with Alpine.
Q. And Iviewit's offices are directly across the hall from Proskauer Rose's office?
A. Right.
Q. Or they were during that time, is that correct?
A. They were from late 1999.
Q. Do you know when they ceased being a tenant in the building where you guys are located?
A. No. It was sometime after this complaint was filed. It was after - it was after May 2nd, 2001.
Q. Now, with regard to Alpine Fund, was there any payment that you can recall that was made to Proskauer by iviewit immediately after the funding of the Alpine transaction?

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A. I believe so.
Q. Was it a substantial payment?

MR. TRIGGS: Object to form.
Q. How much of a payment was it?
A. I don't know.
Q. Was it more than \(\$ 50,000\) ?
A. I don't know.
Q. Was it more than a hundred thousand dollars?
A. I don't know. It may have been a lump sum payment, it may have been in installments. I don't know.
Q. And you say this was approximately the spring - the spring of 2000 you said. Can you show me anywhere in the billing statements where it shows a payment in approximately the spring of 2000?
A. I mean, without the ledgers, I can't tell you what the payments are from.
Q. Okay. Well --
A. I mean, I couldn't tell you even with the ledgers, but I guess we can put two and two together by seeing the dates and whatever. But I can't tell you by looking at this. I could be --

I mean, bills -- You need like a forensic
accountant because bills disappear, so those bills
disappearing could have been attributable to payments made from this or any other way.
Q. Okay. But my question to you, sir, was --
A. I don't recall. I recall funds being available, and I recall receiving a payment.
Q. Okay. But you don't find them reflected that you see anywhere in those billing statements?
A. Well, I can't identify them, if you're asking me that, no.
Q. The reason for my question, sir, is really because you recall a payment being made, but it appears, at least from the face of these statements, which are summaries, and which apparently do show some payments being made, that a payment isn't reflected in the spring of 2000 .
A. What these show are outstanding invoices at the bottom. So if an invoice disappears, the presumption would be - in reading this, the presumption would be that the bill was paid. And then if it doesn't disappear, a partial payment was made against the amount. That's where you show the payment.

For instance, on the 10 - on the bill for - of \(10 / 12 / 99\), which would be presumably for the September services, it shows an amount, \$42,000 owing,
but 28,000 had been paid from some source, so 13,000 is still owing on that.

But obviously if you go back to the statement that you looked at first, let's go back to your one in January - not January, February - as to past due invoices, you show a statement from - you show a statement of \(8 / 24\) where still 40,000 was owing and a statement of \(9 / 25\). By the time you get to this one down in May, you see those have disappeared. So apparently payments had been made.
Q. Was there ever an understanding that bills due and owing Proskauer by iviewit would be paid when funds were received by third parties?

\section*{A. No.}

MR. TRIGGS: Objection. It's already been asked and answered. Try it again.

MR. SELZ: Well, let's break. It's 12:32.
MR. TRIGGS: Let's see if we can get back by 1 o'clock-ish, if possible.

MR. SELZ: Okay.
THE WITNESS: We'll be here.
(Thereupon, a lunch recess was had at 12:32 P.M.)

IN THE CIRCUIT COURT OF THE 15TH JUDICIAL CIRCUIT, IN AND FOR PALM BEACH COUNTY, FLORIDA

PROSKAUER ROSE LLP, a New York limited liability partnership,

Plaintiff,
vs.
No. CA 01-04671 AB
IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

Palm Beach, Florida November 21, 2002 1:21 o'clock p.m.

DEPOSITION
OF
CHRISTOPHER C. WHEELER
APPEARANCES:
PROSKAUER ROSE LLPBy: MATIHEW TRIGGS, ESQ.
Appearing on behalf of the Plaintiff.SELZ \& MUVDI SELZ, P.A.BY: STEVEN M. SELZ, ESQ.
Appearing on behalf of the Defendants.Also Present:Eliot I. Bernstein (by telephone)Deposition of CHRISTOPHER C. WHEETER, a witnessof lawful age, taken by the Defendants, for purposesof discovery and for use as evidence in theabove-entitled cause, pursuant to notice heretoforefiled, before KENNETH A. SCHANZER, RegisteredDiplomate Reporter and Notary Public, in and for theState of Florida at Large, at 214 Brazilian Avenue,Palm Beach, Florida.

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DIRECT EXAMINATION (Continued)
Q. (By Mr. Selz) Okay. Let's go back on. Okay. Mr. Wheeler, I think we finished off going over some of the applications of payments. The questions were dealing with whether or not iviewit made payments when funding was received from third-party sources, and you were referencing me to payments that were reflected on here, and disappearing invoices, ones that went away?
A. Right.
Q. Do you have any idea what the total dollar figure between the statement which shows allegedly unpaid amounts and the total amounts paid by iviewit to Proskauer Rose were?
A. No.
Q. If I told you it was approximately \(\$ 867,000\), would you think that was excessive or do you think that was about right?
A. You're suggesting that's what our total billings were to the client?
Q. Paid and unpaid, according to the allegation.
A. Fees and costs?
Q. Correct.
A. I'd say that could probably be accurate.

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Q. And to your knowledge, how much did iviewit raise in total from third parties for investment purposes?
A. I - I don't - I have not totaled it.
Q. Okay. You said that Huizenga came up with half a million dollars.
A. Right.
Q. And Alpine came up with some number greater than half a million dollars?
A. Well, I don't know. I think that Alpine came through with money two or three times, as I recall.
Q. Okay.
A. So I mean, I don't know if they were up to two million or three million or -- And I don't - I can't recall the amounts that were put in by the shareholders themselves. And I don't know what the final Prolow money was. I think the final Prolow money was perhaps 200 to \(\$ 500,000\) or something else.
Q. Well, I don't mean we've addressed Prolow yet, so --
A. Well, you did ask me the question.
Q. Yeah. I did. You're right. So you don't really have a total that you figured between these amounts?

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A. No, I didn't focus on it.
Q. Okay. Now, the third source of funding that you talked about was internal sources? That was from within, current shareholders of iviewit, is that correct?
A. Right.
Q. What transactional documents or what transactions did you work on for internal purposes?
A. Well, I think additional stock was sold to those people, I believe, or -- I know they all made they were all asked to make loans, so I think debentures, some form of note, some form of Subscription Agreement. So they all -- But I'd have to go back and check the exact details. But they they, quote, loaned money to the company and - and I think a substantial sum came in that way, too.
Q. Okay. When you talked about they, who exactly are you referring to?
A. The existing shareholders.
Q. Who were they, if you can recall at that time?
A. They were - I mean, they were Jerry Lewin and they were Sy Bernstein and they were the other shareholders. You'll have to look at a shareholder's --
Q. Okay.
A. And I'm not so sure everyone participated, but to a great extent, many did.
Q. Now, Bruce Prolow was another individual who you indicated - Prolow, rather, was an individual you indicated also invested?
A. Well, he had a group. I mean, he was a person introduced to the company, but he - he -- I don't know how his money came in. I don't know how it was -- Don't know if it came in from one or two investors or whatever.

MR. TRIGGS: Just do this. If this will speed us up, the question was asked I think is something about whether Bruce Prolow put money in. Just - he wants you to answer the question that he's asking, and it will speed us up if you just answer the question he's asking.
A. I don't know.
Q. Okay. Do you know how much money came in from Mr. Prolow?
A. No.
Q. Were you involved in preparing the transactional documents with regard to any funding that Mr. Prolow provided to iviewit?
A. I can't remember.
Q. And you also indicate, I think there was another source of funding, private placements, that you thought had taken place, is that correct?
A. There may have been some money sold to some individuals. Some - some stock sold to some individuals. Correct.
Q. Were there any transactional documents that your offices prepared with regard to those sales?
A. Oh, yes. I assume we prepared all of them or most of them. Anyone that was properly done. I mean, we'd certainly want to know about it.
Q. How about confidentiality agreements, were those prepared by your offices?
A. Yes. p 96 Line 16-17 Should be "many" of the confidentiality agreements - reason \(=\) clarification
Q. And were those prepared --
A. To my - to the best of my knowledge, most of them that were signed was prepared by my office.
Q. Now, with regard to the confidentiality agreements, those were prepared and signed prior to the initial presentation to these potential investors or is it after the initial presentation, as you recall?

MR. TRIGGS: Object to form.
A. Instructions were - they were to be signed prior to any initial presentation. Now, I'm not - how
the company or its - what the company or its people did, I didn't control. But in most cases, I believe before there was any discussion, any documentation sent out or any presentation, I believe the agreements were secured.
Q. Okay. And you were present at the initial meeting with Alpine. Was there any -- You said there was subsequent funding?
A. I don't know if it was the initial meeting.
Q. I take it back.
A. I said one meeting.
Q. You were at one meeting you said, Hank Powell was at three meetings?
A. I don't know how many they had with Alpine.
Q. Okay.
A. But I can remember on three occasions, on approximately three occasions, meeting Hank Powell. One being a longer meeting, the other two being -- I don't remember them having any substance to them. Although I don't think I was present for the entire meeting.
Q. Did you bill iviewit for your attendance at Board of Directors meetings?
A. Yes.
Q. Did you discuss with them beforehand there would be a charge for your attendance at Board of Directors meetings?
A. I was asked to attend the Board of Directors meeting as an attorney, just like I was asked to do all the other things as an attorney.
Q. Now, who would make that request to you?
A. Sy Bernstein.
Q. Okay. Sy Bernstein made all these requests to you to appear as attomey for --
A. Well, he wasn't there at every meeting, but he made it clear from the very meeting that he wanted me at all meetings, including those, and they called me over, and he made it clear that he wanted me to try to be with Eliot at all meetings where Eliot was making presentations.
Q. Okay. And this was --
A. At the outset -- Go ahead. Started anticipating your question.
Q. No. That's okay. These meetings that you attended, was it from the very outset of iviewit?
A. Well, my - my participation was minimal up to the time of where it started really ramping up. So for the first two months, January, February. But maybe in March it started really ramping up, and then - and then that's - it's probably in that time where Sy made it very clear that he wanted the best of the best and he wanted people to be with Eliot at all times and not to be left alone and whatever.
Q. So this was March 2000 is what we're talking about, or thereabouts?
A. Guessing. Right.
Q. But it wasn't back in September or October of 19 --
A. Oh, no, not at all.
Q. Now, at those -- I'm sorry, go ahead.
A. He set the tone in the Spring.
Q. Okay. So March, April of 2000 is when things started ramping up, according to your recollection?
A. Right. And he set the tone as to how he wanted to be represented on what he considered were very important matters at the time.
Q. Okay. Do you receive billing summaries on a client-by-client basis as part of Proskauer Rose's billing structure?

MR. TRIGGS: Object to the form. What do
you mean?
Q. Generally, do you receive payables and
receivables journals on each client that you are providing services to as a means of tracking your billable time and the progress of a client in payable?
A. We have a series of reports, but I receive a ledger sheet showing work-in-progress, past dues, accounts receivable for all - for - cumulative - for all clients. I mean, on a line by line item. I can get more detail, if I want. I can ask for more detail, but it's not broken out -- It's not a singular client. It's sheets that come in.
Q. Right. I understand what you're saying. So it's a computerized printout showing your entire if I understand this correctly - your entire client list?
A. Right.
Q. And then showing aging reports --
A. Right.
Q. -- on receivables that are due?
A. Right. And we have one for work-in-progress as well, and aged as well.
Q. With the iviewit billing statements, were there ever any discussions about the balances that were due on those clients, since you were - since you were the principal partner in charge of that file? MR. TRIGGS: Object to the form. What do

\section*{you mean?}
Q. Were there any internal discussions within Proskauer about how to handle the past due amounts on the iviewit matters?

MR. TRIGGS: And just to be clear, I'm assuming you're only asking prior to any discussions regarding pursuing a claim --

MR. SELZ: Correct.
MR. TRIGGS: -- against --
MR. SELZ: Correct.
MR. TRIGGS: Iviewit-- iviewit, because that would be covered by --

MR. SELZ: I don't want him to disclose any attomey-client or work product.

MR. TRIGGS: Do you understand the time frame that he's talking about here? Prior to where any decision was made to pursue a claim against iviewit is the way Steve is limiting question.
A. Of course. Yes.
Q. Okay. And what were those discussions involving?
A. Discussion says they - we've generated this much in work-in-progress, we better bill it. We 've generated this much in accounts receivable,
we're going to have to discuss with them how they're going to make arrangements to pay it.
Q. Okay. Were there any specific recormendations that were made that you ever memorialized in any kind of way to iviewit?
A. Well, you have my correspondence and you see that there were many things that we proposed and many agreements that is we had that they felt that they could fulfill. But the --
Q. Now, I'm sorry, I missed this question before. I think I've just got to reach one last come back to these meetings with Alpine and Huizenga, Prolow.

Do you recall the meetings that you were present involving those parties that you made any representations to anyone concerning the product or invention or its viability or economic feasibility or the potential for profit?
A. No.
Q. So you never made any representation to any party with regard to anything conceming the invention or the process or however we're going to describe this particular zoom and pan or enlargement without pixilation?
A. No, no. I mean, what would I have said?

What you see is what you get. Look at - this is what we have, and this is what the company intends to do.
Q. Was there ever any representation made that you can recall that the technology, to the extent that it was going to be protected or was in a soon to be protected form, would be compensated by royalties almost immediately?
A. No.
Q. Was there any discussion with regard to any kind of digital camera usage for the technology that you can recall?
A. Digital camera usage? Not to my knowledge.
Q. Was there ever anything with a Nikon camera that was presented at any board meeting or any meeting with investors?
A. Never heard of it.

MR. TRIGGS: Steve, I'm not -- Again, I'm not going to shut down this line, but how - do me a favor and explain to me how this line of questioning has anything to do with the claims that we've got out there.

MR. SELZ: Well, I think it --
MR. TRIGGS: Whether there was a presentation regarding a Nikon camera? How does
that have anything to do with what we're here about?

MR. SELZ: His involvement with the conduct of the business of the board of directors. If there was a presentation made and he was assisting the company, that's something I guess you're claiming compensation for.

I'm just trying to narrow down times when he was actually there and the times when he was actually making or assisting, I should say, the Board of Directors or the representatives of iviewit with regard to a presentation to potential investors.

MR. TRIGGS: With all due respect, I think you're just pulling a filibuster on topics such as that. I want to just see if we can advance it regarding issues that are relevant to the case.
Q. Now, your earlier testimony, sir, was that the agreement of the iviewit entities, as far as you were concerned, was traveling under this September 8th, 1999, engagement letter, engagement agreement, is that correct?

MR. TRIGGS: Object to the form.
A. I'm not so sure I understand your
question.
Q. Okay. I believe your earlier testimony was that the iviewit entities were responsible for payment to Proskauer Rose pursuant to the terms of the September 8th, 1999, engagement agreement with iviewit LC.
A. Well, I believe they're pursuant to our oral agreements as well, but I believe that - I believe that the oral agreements are - are comprehended by that as well. In other words, I think you can flush out the oral agreements by that agreement as well.
Q. Okay. So what was the -- Then I'm confused. What were the terms of the oral agreements that you testified to earlier?
A. The terms of the oral agreements is we would perform services on an hourly basis as we were asked to perform them.
Q. Okay. And that was --
A. And we started that way, and everyone acted under those agreements.
Q. And that was your understanding? And those preceded this agreement or they came subsequent to? And when I mean the agreement --
A. Well, this memorialized some of the terms
of our agreement. It happened to be addressed to one of the entities, but these memorialized the agreements that we were acting under with all the parties.
Q. Was Brian Utley involved with iviewit or any - or the Bernsteins or any of the other parties prior to September --
A. No, but he was in charge then.
Q. So let me finish the question, if you could.

MR. TRIGGS: No.
A. I thought that was the finish. I'm sorry.
Q. Prior to his signing this agreement on apparently on behalf of iviewit LLC?
A. Well, I don't know when Brian started -MR. TRIGGS: Let him get the whole question out.

THE WITNESS: I thought that was the whole question.
Q. That is the whole question. Okay. Go ahead.
A. Was Brian Utley -- Prior to him signing this agreement, was Brian Utley active with the corporation is what you're saying. Before September 8th, 1999.
Q. Correct.
A. I believe so. I'd have to go back and look and see when he started. The company would know that better than I would.
Q. Who were the oral agreements entered into with? Specifically, what individuals did you meet with to discuss these other oral agreements?
A. With the other representatives and members of the directors, and the Bernsteins primarily because they were the primary shareholders.
Q. Okay. So you met with the Bernsteins, you had an oral agreement with them, and then this - this agreement came subsequently?
A. Yeah. I don't think the Bemsteins deny that we had any agreement with them. The - I mean, Sy has said to me many times that his problem was not his fees weren't the problem; his problem was, he didn't have the cash to pay them and he didn't want to pay our agreements until he had the cash to pay them.
Q. Well, with regard to this, what I'm trying to ascertain is, because part of this complaint has alleged quantum meruit, so the value of the services provided.
A. Right.
Q. And generally quantum meruit in a written contract are mutually exclusive. You can't get one if

with regard to this written agreement which was attached to the prior form of the complaint.

So it's your position from my understanding, sir, that this - these prior oral agreements with the Bernsteins were merged into this 1999 agreement signed by Brian Utley?
A. I didn't say they were merged in.
Q. Okay.
A. I said that I believed we always had the oral agreements, and I expected to be paid, and we had an understanding with them that we were going to be paid. They requested, we continued to render services. And that was far past the september date. I mean, into October, November, December. They didn't stop asking for services on all of their items.

What I am saying is, we attempted to flush out more and memorialize on what basis, at least as to one of the entities, and that's all that - all that was, as to one of the entities, so that everyone understood the basic terms and conditions.

It was signed at that time because a lot of housekeeping items were being taken care of because they finally had management in there. Sy wasn't attending the management day-to-day. Eliot was inventing day-to-day.

So I mean, the great happenstance that they signed some agreement at that time just when Mr. Eliot came, he was attending to a lot of housekeeping details. Not just with us, but many, many, many of them that had been left because they didn't have management until that time.
Q. Okay. Well, I'm just - again --
A. I leave it up to my lawyer to talk whether - pleading in the altemative as to quantum merit or contract.
Q. That's fine. I'm just curious, though, did Proskauer Rose consider this an enforceable agreement?

MR. TRIGGS: Object to form. What are you referring to as this?

MR. SELZ: The Exhibit 1 to the - or
Exhibit A to the initial complaint filed in this matter.

MR. TRIGGS: Object to form.
Q. Let me put it this way. When you signed this engagement agreement on behalf of Proskauer Rose -- And let me strike that. Let me go.

Back. Were you authorized on behalf of Proskauer Rose LLP to sign an engagement agreement with iviewit?
A. Yes.
Q. And is that authorization the basis for your authoring this letter to Brian Utley of iviewit IIC dated September 8th, 1999, and attached to the initial complaint filed in this matter as Exhibit A?

MR. TRIGGS: Object to form. You just
lost me there, Steve.
MR. SELZ: Okay.
THE WITNESS: Yeah, I lost him, too.
Q. Was your authorization to engage -- Was your authorization by Proskauer Rose to sign a retainer agreement or engagement letter on behalf of Proskauer Rose the basis for you sending this letter to Mr. Brian G. Utley at iviewit IUC?

MR. TRIGGS: Object to form.
Q. Again, which is attached to the complaint as Exhibit A.
A. I'm still not sure I understand.
Q. Want me to break it down for you?
A. I still don't understand your question.
Q. My first question to you was were you authorized.
A. I am.
Q. And you said yes. And then I said, was that the reason why or was that the basis for your
being able to send out this letter.
A. Well, it's certainly not the reason why because I'm authorized. Was it the basis for sending out the letter?
Q. You were acting --
A. I can't tell you what the basis was for sending out the letter.
Q. Is it a fair statement then, sir, that when you sent this September 8th, 1999, engagement agreement to Brian Utley, that you had been authorized to do so by Proskauer Rose?
A. Yes.
Q. And that that engagement letter or engagement agreement contained the terms upon which Proskauer Rose was willing to accept representation of iviewit ILC?
A. Yes.
Q. And that it was sent to Mr. Brian G. Utley with the intent that he execute it on behalf of iviewit LIC?
A. Yes.
Q. Now, were there other entities other than iviewit LUC that existed at that time?
A. I don't know. I'd have to go back and see when they existed and when they didn't. Some other

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entities did exist at that time.
Q. Can you explain to me, sir, why none of those other entities were listed on this engagement agreement?
A. No.
Q. Was Mr. Utley your sole contact at this point in time, September 8th, 1999, for this kind of, as you said, housekeeping matters at iviewit?
A. For housekeeping matters. But, no, not sole. We still talked to Sy and Eliot about certain things.
Q. Were any correspondence or engagement agreements similar to this - strike the correspondence - engagement agreements similar to this sent to either Sy Bernstein or Eliot Bernstein at the same time?
A. I don't know.
Q. How long have you known Brian Utley, sir?
A. Known him since approximately 1990.
Q. 1990? And --
A. I mean, around there.
Q. And how do you know Mr. Utley?
A. I knew him socially first. I knew him primarily through a mutual friend, and we sat on some philanthropic organizations' boards. That's how I
know him --
Q. Okay.
A. -- primarily.
Q. And who reconmended Mr. Utley to iviewit?

MR. TRIGGS: Object to form.
THE WITNESS: Shall I answer that
question?
MR. TRIGGS: Sure. If you can.
Q. Let me rephrase it first. Did you recormmend to Sy Bernstein or Eliot Bernstein that they engage Mr. Utley as an employee of iviewit?
A. No, I introduced him.
Q. Okay.
A. And I said -- I introduced him.
Q. And how did you introduce Mr. Utley?
A. Well, Sy was - was saying that he had to get someone to run his company, and as was Jerry Lewin and as was, I think, everyone, although I didn't recall talking to everyone, but - and so he - he had said, if you know anybody, and he didn't say it I'm sure to just me, we're out looking for someone to run the company. Eliot's got to do what he does best over here and we need someone to run the day-to-day affairs, and I think he may have considered even other alternatives. People from his family or whatever.
Q. Okay.
A. So --
Q. So you introduced Mr. Utley to Sy and Eliot?
A. I said to -- I happened to run into Mr. Utley and I said, gee, there's this company run by these nice folks and they have what appears to be something unique, at least as you look at it in - in its field, and would you have any interest, and he said he may, but he'd have to examine it closely and talk to the people and the principals and that.

And I went to Sy Bernstein and I said, I know of a person. I don't know whether he'd have interest or not. He said he may if he meets and discusses it with you. But he'd have to review the technology very closely, and - and I said, do you have any interest. This is who he is. He's a social friend. And he is a - he is a - he - he's well - well respected in town and he's a - he was former site manager of IBM.

So he's an older gentleman, has depth of experience. I know he's had other big jobs at IBM, but I don't know exactly what they have been. You know, you'd have to see if he's a good fit for you and scrutinize him and go from there. He said, yes, I'd
have -- They said, yeah, we'd like to meet him.
MR. TRIGGS: All right. Just to be clear, I think the question that was asked is whether you introduced Brian Utley to Sy Bernstein. That -- So all I'm saying is if you can --

THE WITNESS: The answer is yes.
MR. TRIGGS: -- focus on the question he's asking --

THE WITNESS: Okay. The answer --
MR. IRIGGS: If he wants to ask you a follow-up, he will ask you a follow-up.

MR. SELZ: Exactly. So I don't get blamed for this one, I mean, I understand obviously I've got a - you know, if your client wants to explain, he's entitled to explain.

THE WITNESS: Okay. The answer is yes.
MR. TRIGGS: I think Chris, in all
faimess, is trying to speed up the process by anticipating your follow-up, but just focus on what he's asking you.

MR. SELZ: Thank you.
Q. (By Mr. Selz) Now, when you first knew Mr. Utley back in 1990 he was working for --
A. IBM.
Q. -- IBM in Boca Raton?
A. Uh-huh. He was the site manager, or the equivalent of the title.
Q. And when you introduced him to Sy and Eliot Bernstein, do you know what he was doing at that point in time?
A. He was working at a - and running a - a what could we call it, a company that was manufacturing - developing and manufacturing greens cutting equipment. It's called Diamond Turf, I think. Or something like that.
Q. Do you know if he was terminated from his job at Diamond Turf or did he leave voluntarily?
A. I don't know which.
Q. At the time that he took the job with iviewit, do you know if he was gainfully employed at that point or not?
A. No. I don't know if he was still employed by Diamond Turf or not.
Q. Did you ever see Mr. Utley's resume?
A. I don't recall if he was -- Did I ever see his resume? Not to my recollection.
Q. Did he ever provide you with any background information?
A. He could have, but I don't recall it.
Q. C.V. or anything of that nature to give to
A. I don't recall.
Q. Are you aware of any patents that

Mr. Utley holds?
A. No. No, I'm not.
Q. Have you ever -- I'm sorry, go ahead.
A. I'm not aware of anything other than if he referenced patents in his own deposition, but I didn't - I didn't follow that closely in his deposition.
Q. So you - you read a transcript of his deposition?
A. Yes.
Q. Now, with regard to his - I'll take Mr. Utley's employment by iviewit, have you ever represented Mr. Utley personally in any matters?
A. We formed a corporation for him in - I believe in 1993.
Q. Do you recall the entity, the corporation?
A. I think it was a consulting corporation. We just formed it. I mean, we just formed it. That's guaranteed by Utley that was unrelated to Iviewit and billed separately to Utley - additional
Q. Right. recollection
A. We didn't do any more work for him.
Q. Just formed the consulting corporation?
A. Right.
Q. Did you ever advise anyone at iviewit other than, obviously, Mr. Utley, who knew that you had represented him in the past, that you had represented Mr. Utley at one point?
A. No.
Q. Was there any - any question of any conflict?
A. No.
Q. Was there any employment agreement signed by Mr. Utley between Mr. Utley and iviewit?
A. Yes.
Q. And who prepared the employment agreement?
A. Proskauer.
Q. And did you not think that potentially posed a conflict?
A. No.
Q. And who did you represent in the preparation of that employment agreement?
A. The company. We did not represent Mr. Utley.
Q. So there was no waiver of conflict, no conflict letter, nothing went out with regard to Mr. Utley and iviewit?
A. No.

MR. TRIGGS: Object to form.
Q. Did Proskauer assist Mr. Utley in prosecuting any patents or having any other intellectual properties protected by copyright or trademark?
A. No.
Q. Are you aware of any claims by Diamond Turf that Mr. Utley improperly received intellectual properties or patented them that belonged to Diamond Turf?
A. Aware that --
Q. Mr. Utley is alleged to have improperly received or taken intellectual properties of Diamond Turf.
A. By Diamond Turf? No.
Q. Okay. On the amended complaint --
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Why are exhibits missing??

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MR. SELZ: Let's get this marked.
(Thereupon, said document was marked as
Defendant's Exhibit Number 3 for identification by the reporter.)
Q. All right, sir. We had an earlier discussion regarding the original complaint filed in this action. You now have before you what's been marked as Defendant's Exhibit Number 3, which is docket entry number nine in the court file. It's the
amended complaint. I'm going to reference you to paragraph 15, which is Count I. And paragraph seven, which is the factual background.

Now, the allegations of paragraph seven say prior to the commencement of this action, Proskauer entered into an oral agreement with defendants --
A. Right.
Q. -- to provide legal services on their behalf.

Who were these oral agreements entered into, and which entities were they entered into for? MR. TRIGGS: Object to the form.
Q. Well, let's break it down. With whom did Proskauer enter the oral agreements for services?
A. With officers of - of - of each of the companies.
Q. Okay. Which officers and which companies?
A. Well, whatever officer came in and said I need this work done. I mean, when they requested work, we said, fine, we'll do the work.

I mean, from the very beginning we had an understanding with the Bernsteins that they would be coming in, they would be having work. No one knew what the structures were going to be, but whatever
those structures would be, they'd pay for it.
Q. So it was --
A. I suppose you'd say the Bernsteins, technically.
Q. So my understanding from your testimony just now is that someone came into your office, it was an officer of the corporation --
A. Well, they gave us work. But at the inception of the project, the Bernsteins engaged us, said, fine, let's commence work. We started work. They brought in projects. We accepted the work. We did them. We didn't differentiate between - because of we were changing things to protect them or because certain corporations were set up for tax purposes or for others, we didn't differentiate between them. We - we - when a project had to be done and - and that project came in, we did it.
Q. Did you open up separate files for each one of these separate entities?
A. I'd have to look and see what we did.

You mean, a separate file for iviewit Holdings, Inc., a separate file for iviewit Technologies, Inc.?
Q. Yes.
A. The files may have been opened for - for

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organizational purposes, but not for billing purposes.
Q. So --
A. To retrieve the information on a timely basis so -- But I don't know. The answer may be no. The answer may be just - they may just be all under one big file and still broken down. I'd have to see the filing system.
Q. Now, going back to my question, I'm just trying to get an answer on this particular point. You indicated that you had met with officers and directors, you said Sy and Eliot Bernstein, or some other officers or directors of these entities.
A. Well, they were the initial clients, I mean, before they delegated some of their responsibilities away.
Q. Okay. Now, Sy and Eliot Bernstein when they first came into your offices, you indicated none of these corporate entities had been formed, is that correct?
A. I believe that's correct.
Q. Because your offices were the offices responsible for the initial formation of the iviewit entity, is that correct?
A. Right.
Q. Do you have any idea approximately how

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long after the initial meeting with Sy and Eliot Bernstein these corporations were formed?
A. These meaning these three?
Q. These three, which is iviewit.com, Inc., iviewit Holdings, Inc., iviewit Technologies, Inc.
A. Well, it's a difficult question in that some - there were some name changes. So some of these may have been in existence by a prior name and through merger picked up this name.
Q. Okay.
A. So - but they were formed between January
Q. Jamuary and June of?
A. \(\quad 199\).
Q. Of '99.
A. Right. Now, some may not have been formed - I mean, some were formed sooner rather than later.
Q. So the initial discussions that were had, the oral agreement that's referenced here in Count I, and referencing back to paragraph seven, occurred prior to the formation of these entities. Is that what you're saying?

MR. TRIGGS: Object to form.
A. Yes.

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long after the initial meeting with Sy and Eliot Bernstein these corporations were formed?
A. These meaning these three?
Q. These three, which is iviewit.com, Inc., iviewit Holdings, Inc., iviewit Technologies, Inc.
A. Well, it's a difficult question in that some - there were some name changes. So some of these may have been in existence by a prior name and through merger picked up this name.
Q. Okay.
A. So - but they were formed between January and June.
Q. January and June of?
A. \(\quad 99\).
Q. Of ' 99.
A. Right. Now, some may not have been formed - I mean, some were formed sooner rather than later.
Q. So the initial discussions that were had, the oral agreement that's referenced here in Count I, and referencing back to paragraph seven, occurred prior to the formation of these entities. Is that what you're saying?

MR. TRIGGS: Object to form.
A. Yes.

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Q. Okay. And that's the claim for the contractual basis for Proskauer Rose's --
A. A portion of the claim. But I mean, it was reinforced on a consistent basis because they continued to bring us legal work, and it was the same people who continued to come in and request that the work be done and continued to extract legal services from us, even to the point of forming these corporations. They were advised on a - on a weekly basis as to what was going on. Came in and were part of it. The bills, detailed bills, reflect that.
Q. The first billing statement that's attached to the amended complaint is January 31st, 2000. Do you have any reason to believe there was any balance of fees due and owing prior to this statement?

MR. TRIGGS: Object to form. At what
point in time?
Q. From the inception of any services provided to --

MR. TRIGGS: Same objection.
A. Could you please repeat that?
Q. Sure. Okay. Attached to the amended complaint as part of Exhibit B is a statement dated January 31st, 2000, invoice number 343838, showing a total due of \(\$ 85,315.54\).
A. Right.
Q. Was this invoice submitted or, strike that, was this invoice attached to the amended complaint because it's the first invoice in which monies are due from or alleged to be due from iviewit.com, Inc., to Proskauer Rose?
A. I don't know.
Q. There are apparently earlier invoices, aren't there, sir?
A. It would appear from the February bill that there are earlier invoices.
Q. And the earlier invoices showing a balance or remaining balance due, is that correct?
A. Right. But I don't know. Our ledgers as to what bills were paid and that would speak for itself. I don't - I don't have those.
Q. So those ledgers would reflect how the payments were applied?
A. Correct. As would the correspondence to the company, because when a bill was paid, we would it's our normal practice to send out a letter saying we received a certain amount of money and this is how it's been applied.
Q. And did you do that with regard to the iviewit matters?
A. I'd have to review my correspondence. But that would be our normal protocol.
Q. So if I understand your testimony, sir, your position is that this oral agreement referenced in the factual background, paragraph seven, is something that continued through the formation of these new entities?
A. Yes.
Q. Even though it was entered into prior to the formation of the entities themselves?

MR. TRIGGS: Object to the form.
A. Yes.
Q. If that was the case, sir, then why was it necessary for you to write or, strike that, for you to provide a written engagement letter or why did you feel it was necessary for you to provide a written engagement letter to iviewit LUC which was attached to the initial complaint?
A. I don't remember.
Q. So you felt you had an oral agreement which you felt traveled for all the entities, is that correct?
A. Right.
Q. But then sometime in September, around September 8th, 1999, you determined that it was
necessary to have a written engagement agreement.
A. Well, we determined that we were going to secure a written engagement letter. Right.
Q. And --
A. And I don't remember the exact reasoning other than the fact that perhaps -- I don't know the exact reason. I don't recall the thought process.
Q. So in your mind, was that written engagement agreement superseding the oral agreement?
A. No.
Q. So the oral agreement still stayed in place?
A. Right.
Q. Did the oral agreement no longer apply to iviewit LLC?
A. I didn't think about it.
Q. Well, you must be a detail guy because you do transactional work. So focus on details is important in transactions.
A. Absolutelv.
Q. Is there some reason why you did not focus on that detail in this particular situation?
A. It was an administrative detail that I did not focus on.
Q. Was that your same explanation for why it

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only included one entity?
A. I don't know why it only included one entity.
Q. Is there anyone else in your firm other than yourself who would be responsible for matters concerning the billing or payment on this particular file, the iviewit files?

MR. TRIGGS: Object to form.
Q. Let me strike the question. Did anyone direct you to get an engagement agreement from iviewit LC?
A. No.
Q. And you undertook that on your own authority?
A. Yes.
Q. How long had Mr. Utley been engaged with iviewit at that time?
A. I don't know.
Q. Now, you had earlier testified that there were some questions raised in some of these billing statements, is that correct? One in particular I think you said?

MR. TRIGGS: Form.
A. Right.
Q. Was there ever any --

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A. I think I said two in particular.
Q. And I think you said that had been addressed with the client?
A. The one - the one time that certain entries were - one statement that were raised by Mr. Utley, we sat down, went over them and addressed them and made whatever modifications we felt necessary. Yes.
Q. And what were those modifications that were made?
A. I don't recall.
Q. Was it an adjustment to the amount of the bill?
A. I'm sure it was.
Q. Did anyone else -- Strike that. At these board meetings that you attended for iviewit, were there ever any board members who expressed concern as to the amount of the billing statements by Proskauer?
A. Not in my presence.
Q. No one ever mentioned that they were concerned about the amount of money that was being spent with Proskauer for legal services?
A. You're talking about at the board meetings?
Q. At board meetings.
A. No.
Q. Now, you have known Mr. Utley since 1990 I think you testified to.
A. Right.
Q. Do you know him socially or how do you
know him exactly?
MR. TRIGGS: Object to form. Asked and answered.
A. Socially. Well, I know him socially. Yes.
Q. Are you --
A. Primarily.
Q. Do you serve on any committees together, any boards together, anything of that nature?

MR. TRIGGS: Asked and answered.
A. Not any longer.
Q. Did you in the past?
A. Yeah.
Q. And where was that?
A. Well, we served on the board at the Florida Philharmonic in the early '90s together. And we served on the board of the FAU Foundation, Florida Atlantic University Foundation, in recent history.
Q. What's recent history?
A. Well, he's been on for some time. I have
been on, I don't know, for the last four or five years. But he doesn't serve any longer on that board.
Q. Why doesn't he serve any longer, if you know?
A. Because he's moved.
Q. Moved to?
A. Minnesota.
Q. Minnesota. Okay.

You need some more to drink?
A. No, I'm all set.
Q. Okay. Now, one of the damages alleged in the complaint is titled prejudgment interest. Is there any contractual basis that you can point out to me, any oral agreement, or in the engagement letter, that would provide for prejudgment interest?
A. I can't point it out.

MR. TRIGGS: Object to form.
Q. Okay. Let's restate it. Was there ever any provision in the oral agreement between Proskauer Rose and iviewit with regard to payment of prejudgment interest?
A. Not to my knowledge.
Q. Was that ever memorialized with regard to prejudgment interest in the engagement letter which you penned in September 8th, 1999?
A. Not to my knowledge.
Q. Does the engagement letter say anything about the right to recover attorney's fees, should it be necessary to bring legal action against iviewit for the fees due and owing?
A. No.
Q. Was that ever part of an oral agreement that you have alleged as a basis for the cause of action in this complaint?
A. No.

MR. TRIGGS: Steve?
MR. SELZ: Yeah.
MR. TRIGGS: On that point, I just want to -- I'll say it out of Mr. Wheeler's presence, if you prefer, I leave that to you, but on that subject, as I'm sure you're aware --

Do you want Chris to leave for this little piece? I leave it to you completely.

MR. SELZ: No, you can have him here.
Doesn't matter.
MR. TRIGGS: It's just -- I mean, I think both the complaint and the amended complaint reveal, the basis for fees is not a - by contract. It is by --

MR. SELZ: Right.

MR. TRIGGS: -- 57.105. So why spend the time going over whether it's contained in a written or oral contract. Clearly, if it was, we'd be suing you for it.

MR. SELZ: Well --
MR. TRIGGS: Relating it back to prejudgment interest, it's a legal issue. It's a -- You know.

MR. SELZ: Okay.
Q. I got to refer you back also to Exhibit 2, which is again that engagement letter, second page. I'm going to direct you to the - I guess it's going to be the third full paragraph from the top? It starts, we may from time to time? There is a one sentence paragraph effectively in the middle there.
A. Uh-huh.
Q. Okay. Were there ever any -- Based on that paragraph which says that you can - you may either request or your own initiative provide you with an estimate of fees or costs, was that ever done in any situation concerning the services provided to iviewit?
A. I can't remember, but there may have been in 2000, there may have been an inquiry as to how much do you think this is going to cost, and we would tell
them when they were trying to watch their costs.
Q. Was -- p135 I3 Eliot should be Utley - reason = typo
A. Mr. Eliot was trying to be very
conscientious and watch the costs.
Q. Were you ever told that Mr. Utley was limited or had a legal, a monthly legal budget of \(\$ 5,000 ?\)
A. No.
Q. When you and Mr. Utley met socially, did you ever discuss the business of iviewit?
A. Discuss the business? Sometimes.
Q. Did you ever discuss the situation regarding the attorney's fees?
A. No.
Q. Did you ever discuss anything concerning any services provided by Proskauer Rose to iviewit?
A. Well, in the sense that all his business -- In the sense that we provided services for all of his business, it was obviously yes.
Q. Did anyone ever advise you that iviewit was -- Strike that.

All right, sir. I'm going to direct you to the same question I had with regard to the complaint in this matter, and that is the breach of contract count and the quantum meruit count. And
again my question relates to the fact that both sections, both quantum meruit and a breach of contract, recite the sum of \(\$ 369,460.97\) being due and owing.

MR. TRIGGS: What's your question?
Q. My question is, is it your position that the oral contract or the written contract and the quantum meruit counts are for the same services?

MR. TRIGGS: Object to the form. Also, objection to the extent you're asking a legal conclusion of him.
Q. Let me rephrase it. The breach of contract count, sir, calls for damages of \(\$ 369,460.97\). Is that true and correct?
A. Yes.
Q. What services are those related to? Are they related to the services set forth in Exhibit B to the amended complaint?
A. That was -- They relate to the services for all unpaid invoices which we have - all invoices which remain unpaid.
Q. Okay. And with regard to Count IV, the quantum meruit count?
A. They relate to the same.
Q. They relate to the same services?

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A. (Witness nods.)
Q. Okay. Do you have any reason to believe that those services are distinguishable in any way from one another, the ones under the quantum meruit and the contract count?
A. I don't know the answer to that.
Q. Well, they both reference Exhibit B. Is that -- Is that what you're saying? I don't know.

MR. TRIGGS: You got a question there,
Steve?
MR. SELZ: Yeah, I'm going to come up with
one. Give me a minute while my brain fades.
Q. Okay, sir. So if I understand it, then, the invoices attached to Exhibit \(B\) are the basis for both the quantum meruit count and the breach of contract count, is that correct?
A. Yes.
Q. And there are no other invoices, to your knowledge, which would be claimed under either one of those two counts. Is that also correct?
A. Let me look. Well, I guess I'm confused. Where does it reference in the quantum meruit, Exhibit B?
Q. It doesn't. That's what I'm trying to find out. And maybe I misspoke earlier. I think what

MR. TRIGGS: And again, Steve, I have a little - want to try to speed us along on this part. I'd like to do it without -- Again, I leave it to you on that point. If you want Chris here, fine, if you don't, that's fine, too, but I think I can short circuit your questions regarding quantum meruit versus breach of contract.

THE WITNESS: I can throw away my can here.

MR. TRIGGS: Yeah, why don't we do that. MR. SELZ: Here.

THE WITNESS: I can go to the bathroom.
(Thereupon, the following proceedings were had out of the presence of the witness.)

MR. TRIGGS: Just put this on the record. I'm not taking shots at you. You know we're allowed to plead alternative pleadings.

MR. SELZ: Yeah, I know.
MR. TRIGGS: And if you look at what actually is contained within the quantum meruit claim, it does not rely on any alleged agreement. It just goes paragraphs one to six, and then it picks up with paragraph 33.

MR. SELZ: Right, but it's the same exact amount, 369,460.97.

MR. TRIGGS: All I'm saying is, you know we're allowed to plead alternative theories. We believe we have an enforceable agreement with all the three entities we sued. We also believe, if there is some conclusion to the contrary, we're entitled to be paid the value of the services rendered, and the value of those services is 369,000 and change.

So I'm happy that Chris has stepped out. I don't want you to think that I'm coaching him in that regard. I don't think we're really advancing the process by trying to trip him up on legal theory of --

MR. SELZ: No. I'm just trying to find out what the basis is to make sure there is no misunderstanding on my part, because you didn't reference the Exhibit \(B\) or the services provided.

MR. TRIGGS: I think if you would just look at the - what we proposed as the pretrial stipulation that we were due to have filed yesterday that that would make it clear that we're not trying to double dip and we're not
seeking a total of seven hundred some odd thousand, if that's the question. We're just not doing that. We have no intention of doing that.

MR. SEUZ: Okay.
MR. TRIGGS: So -- Let's see if we can grab him here.
(Informal discussions off the record.)
Q. (By Mr. Selz) Okay. Now, the --

MR. SELZ: This is number five I think we're up to?
(Thereupon, said document was marked as Defendant's Exhibit Number 4 for identification by the reporter.)
Q. (By Mr. Selz) Okay? Mr. Wheeler, with regard to the engagement agreement, that was with iviewit IJC, is that correct?
A. The written engagement agreement.
Q. Correct.
A. Right.
Q. And there was - your earlier testimony was there was no other written engagement agreement with any other entity, is that correct?
A. Not that I'm aware of.

MR. TRIGGS: Object to the form.
Q. Was there any other written engagement agreement with any other iviewit entity?
A. Not that I can recall.

MR. SELZ: See? We can avoid that.
MR. TRIGGS: If you want me to clarify,
I'll clarify, but I don't think that's right.
Q. Okay. So, at this point in time, are you aware of the status of iviewit LLC?
A. No.
Q. Do you have any files or records as to the current status of iviewit LLC?
A. No.
Q. Okay. Are you aware that iviewit ILC has been dissolved?
A. Am I aware of that? No, I'm not.
Q. Okay. What's been presented to you as Defendant's Number 4 is a printout from the Florida Department of State showing a revocation for annual report on iviewit LUC.

Have you seen annual report notices like this or, rather, printouts from the Florida Department of State before?
A. Not in this exact format, but, yes.
Q. Do you have any reason to believe that this is not a true and accurate reflection of the
A. I have no reason to believe that.

MR. BERNSTEIN: Excuse me, what exhibit is that?

MR. SELZ: That's number four.
MR. BERNSTEIN: In which, the complaint?
MR. SELZ: No, no. This is a separate sheet. It's a separate sheet pulled off from the Florida Department of State.

MR. BERNSTEIN: Okay. On the iviewit LUC?
MR. SELZ: LLC. Correct.
MR. BERNSTEIN: No dot-com LLC?
MR. SELZ: Correct.
MR. BERNSTEIN: Okay. Thank you.
Q. So, sir, assuming that this statement is correct and that iviewit LLC is no longer an active and validly existing corporation under the laws of the State of Florida, the con - or the engagement letter between Proskauer Rose and that corporation, iviewit LLC, does that - does that call that into question in your mind?
A. Call what into question?

MR. TRIGGS: Objection to the form.
Q. The engagement agreement and the continuing validity of it. Bear with me.

MR. TRIGGS: Object to the form.
Q. Go ahead.
A. In our amended complaint, I'm not so sure we even are referring to this agreement anymore, are we?
Q. No. You're not.
A. So why would it - why would it change my mind about anything?
Q. That's what I'm asking you, if it does. If it doesn't, then you say no, it doesn't.
A. It doesn't.
Q. Okay.

MR. TRIGGS: Are you suggesting that the Bernsteins should be sued as last directors there?

MR. SELZ: It's up to you guys. Not me.
You choose your causes of action. Not me.
Maybe you want the trial stricken and the matter stricken to amend the --

MR. TRIGGS: Give me justice.
MR. SELZ: In one way or another.
Okay. Okay, now we're up to five.
(Thereupon, said document was marked as Defendant's Exhibit Number 5 for identification by the reporter.)

MR. BERNSTEIN: Can I ask that everybody speak up? It's very hard to hear.

MR. SELZ: Sure, Eliot.
MR. BERNSTEIN: Thank you.
THE WITNESS: Okay.
MR. TRIGGS: Let's just switch here so --
Q. (By Mr. Selz) Okay. This is an invoice or statement dated June 18th, 1999 --
A. Right.
Q. -- to iviewit Corporation.
A. Okay.
Q. Is iviewit Corporation one of the
defendants in this action, sir?
A. I don't believe so.
Q. Now, you had indicated to me that when you initially met with the Bernsteins it was Al Gortz who had referred or been referred the case?
A. Correct.
Q. Okay. I'm showing - here's a - this billing statement which is dated June 18th, 1999, starts out with an entry in January of 1999. Is that the first entry of services, to the best of your recollection, in this matter?
A. I believe so. I can't tell if this is is - if this is page 2 or there's another page that KEN SCHANZER \& ASSOCIATES, INC. (954) 922-2660
should be in here. I suppose the face page is page 1. This is page 2. But to the best of my recollection, that's probably the first entry.
Q. Well, was the name of the entity formed iviewit Corporation?

MR. TRIGGS: Object to the form.
A. I'd have to be - go back and check.
Q. Well, let's go through the -- I don't know if the entries are going to give you any --
A. They don't help me.
Q. Don't help your recollection.
A. No, they don't, except they're corporation documents. Iviewit Corporation formation documents. So iviewit as iviewit.
Q. Well, let's go down to the entry for January 13th, 1999, entry by R. Foster. Who is R. Foster? It's on the first page.
A. R. Foster was a paralegal.
Q. Okay. So his time would be billed at what rate?
A. It would be billed at whatever the rate for paralegals was at that time.
Q. So that entry January 13th, 1999, preparation of Articles of Incorporation, bylaws and organizational minutes for iviewit Corporation --
A. Oh, all right.
Q. -- does that refresh your recollection?
A. Yes.
Q. How about the next entry? I mean, we talked about the fact that you were doing transactional work and involved with the business side. You indicated in your earlier testimony you had nothing to do with regard to the intellectual property side or the transactional side of the whole transaction.
A. That's correct.
Q. I'm looking at an entry dated January 14th, 1999, for a half hour. I'm assuming .5 is a half hour billing increment time?
A. Right.
Q. Follow up on status on intellectual property review and iviewit Corporation new incorporation?
A. Right.
Q. What intellectual property review were you involved with?

MR. TRIGGS: Object to the form.
Q. What did you review in that billing statement in that particular entry, sir? What did you do in that particular entry?

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A. Well, I can't tell you exactly what I did a couple of years ago, but this would reflect that this was logistics. I was -- On the status of the intellectual property review. In other words, how were we going to handle the review of the intellectual property matters. And you can't tell as to what portion of this component relates to that and what portion of that relates to new incorporation. I mean, it was all built into one bundle. But obviously, I was make an inquiry as to how we were going to handle that.
Q. And who were you making that inquiry to?
A. It doesn't say.
Q. The follow up on new corporation, would that have been internal within the firm?
A. Yes.
Q. Do you have any reason to believe the follow-up on the intellectual property would have been made to any other party besides within the firm?
A. Well, it's internal right now because it hadn't been referred out yet.
Q. How about, who is G. Goldman? Is that a member of the firm as well?
A. That was an associate. I'm sorry -- Yes, that was an associate.

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Q. Gregg Goldman?
A. Gregg Goldman.
Q. Okay. I'm referring you to the entry of January 26, 1999.
A. Which one?
Q. January 26, 1999.
A. Right. I don't know Mr. Goldman. I mean, I did not talk to Mr. Goldman, that I can recall.

MR. TRIGGS: Again, Steve, on this topic, I'm not going to instruct Mr. Wheeler not to answer based on relevancy, but you know that this June 18 statement is not an invoice that we contend is unpaid and doesn't form the basis of our claims. So you're again not covering topics that are relevant to the case.

MR. SELZ: Well, I think I'm covering a topic which is relevant. Based on his earlier testimony, there were no issues concerning patents and the only scope of Proskauer's work was simply transactional or with regard to trademark or copyright, which is what his earlier testimony was.

MR. TRIGGS: If your bottom line suggestion is that Proskauer did any improper patent related work, as you know, that subject
was dealt with --
MR. SELZ: Well, but --
MR. TRIGGS: -- by means of a motion in limine.

MR. SELZ: Wait, is this a speaking objection, then?

MR. TRIGGS: No.
MR. SELZ: Okay.
MR. TRIGGS: I'm pointing out the law on this piece and the status of this case.

MR. SELZ: I understand that. But I certainly have a right to inquire as to whether or not he was mistaken in his earlier testimony about the scope of Proskauer's representation of iviewit or not. And I'm not - I can't be limited because of his earlier testimony.

MR. TRIGGS: Look, and like I said, I'm not instructing him not to answer. All I'm telling you is, as I indicated earlier, that I think a day is fair with Mr. Wheeler, and choose your time wisely.
A. Goldman is obviously an associate who worked for us and was, I'm sure, in coordination with Ms. Robbins working on looking at the business plan to see how we should approach, whether there was
something we could get our arms around that could be patented. It hadn't been decided how it was going to be handled yet.
Q. Okay.
A. I mean, identifying whether it was even worthwhile. And obviously they conducted on line Internet search, even seeing if there were -- I'm not exactly familiar with how they - what they do on line on their Internet searches, but they look for conflicts and they look for - look for -- They look they - they research and look for items whether it seems like it's been handled before. But I can't - I can't speak in detail to it because I'm not an expert in that area. So that's obviously more logistics on that.
Q. Okay. How about on the next page, page 3 of that statement, January 28th, 1999, A. Gortz?
A. That's my partner.
Q. Okay. That's Al Gortz?
A. Right.
Q. .75?
A. Right.
Q. Ken Rubenstein call, looks like CF, call from?
A. Conference --
Q. Conference?
A. -- with Mara Robbins regarding the confidentiality agreement. So Mr. Gortz had a conference with Mara Robbins as to the confidentiality agreement. He also had a conference with Eliot Bernstein and Ken Rubenstein, perhaps introducing them.

This again was all at the initial stages, saying this is a new client, we want you to know him, we're probably going to - he's probably going to be in touch with you, and we're going to have some issues to review here.
Q. How about the 02/01/1999, conference as to status of intellectual property work?
A. Well, yeah, that --

MR. TRIGGS: What's the question?
MR. SELZ: I want him to see if he can explain the entry.
Q. What intellectual property work were you talking about in that particular entry?
A. I don't know.
Q. Don't have any recollection of what it was for?
A. No.
Q. Is there any place where there would be a
more complete description of the service provided?
A. No.
Q. How about on \(2 / 16 / 99\), 25 , conference with Mr. Bernstein, call to Mr. Rubenstein. Is that Ken Rubenstein?
A. Yes.
Q. Can you recall what you spoke to Mr. Rubenstein about?
A. No.
Q. I didn't think so. How about the next two entries down, 2/17/99, .25, call to Mr. Rubenstein re: patent advice?
A. Right.

MR. TRIGGS: What's your question?
Q. Do you recall what that entry involves or what - what you would explain to Mr. Rubenstein about with regard to patent advice?
A. It would be logistics, once again.
Q. Now, by logistics you mean --
A. How are we going to handle this. Is is - are you signing it, are we going to refer it out, are we going to - did you receive - did you receive the matter, did you -- But he - he would be definitely a patent person. So the IP there would be patent. Right. But it didn't mean we were dealing with
substantive matters.
Q. Well, to determine logistics, would you have to look at the substantive matters at all?

MR. TRIGGS: Object to form.
Q. If you know. I mean, I'm --

MR. TRIGGS: Are you asking him what he did?
A. I don't know. I don't do IP work.
Q. Sir, with regard to services provided, we talked about corporations and formation of corporations early on, and you testified that obviously the more complex the corporate setup, the more expensive the services would be in establishing a corporation.

Do you consider preparation of an application for an employer identification number, an SS-4, to be a complicated matter?
A. No.
Q. How about preparation of a fictitious name application?
A. No.
Q. How long do you think those should take, respectively?
A. I don't know, but that's a paralegal putting in that time. I don't consider those
unreasonable periods of time.
Q. How about the entry \(2 / 23 / 99\), .25, review of correspondence re: patent matters, do you have any recollection of who that correspondence was from?
A. No. I'd have to see what the correspondence was. But --
Q. How about with regard to the entries on the next page, 2/26/99? L. Gardner, 2.0, prepare proof of publication, file fictitious name application, obtain FEIN number, letter to E. Bernstein regarding FEI number. I understand it's a paralegal, but weren't those some of the same services billed earlier on this billing statement?
A. Right. But she could have been -- One's preparing -- I mean, she could continue with the preparation of it. And we don't know it's the same one.
Q. Now, this --
A. Two hours at \(\$ 75\) or, let's say it was \(\$ 65\).

I don't know what it was at that time. It was \(\$ 60\).
It would be \(\$ 120\).
MR. TRIGGS: Steve, we'll write that one off. You guys cut the check for the balance.

How about that?
Q. How about 3/24/99? .50. Call to

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Mr. Lewin; conference with Mr. Healy regarding copyright; conference with patent counsel.
A. I see it.
Q. Okay?

MR. TRIGGS: What's your question?
Q. Do you have any specific recollection of who that patent counsel was?
A. No.
Q. How about --
A. But I believe it's Ray Joao and myself. I have no recollection, but I believe that's who it is.
Q. How about the entry, 3/31/99?
A. Of?
Q. K. Healy.
A. Uh-huh.
Q. .25, TC with K. Rubenstein re: patent
advice.
A. With Eliot Bernstein.

MR. TRIGGS: What's your question?
A. Oh, K. Rubenstein.
Q. Re: patent advice?

MR. TRIGGS: What's your question?
Q. Do you have any knowledge as to what that entry involves?
A. No. It's not my entry.

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Q. Does it change your earlier testimony that Proskauer Rose was providing any patent advice or any intellectual properties advice to iviewit?
A. No, because if you go up to \(3 / 29 / 99\), the same Guy, Kevin Healy, it shows he had a telephone conference with Raymond Joao regarding patent pending. So chances are he was responding to Ken Rubenstein tell Ken Rubenstein that he got Ray Joao involved.
Q. Well, that's speculation, because you don't know for sure.
A. You're right.

MR. TRIGGS: Steve, you are the one who asked him a question --

MR. SELZ: No, I didn't.
MR. TRIGGS: -- about a billing entry.
That's not his name.
A. You asked me if it changed my mind, and I said no. And I'm telling you the reason why it wouldn't change my mind.
Q. That's fine. How about Real 3D, there is an entry here on 4/30/99, confirm appointment with Real 3D?
A. Right.

MR. TRIGGS: What's the date again?
MR. SELZ: It's 4/30/99.
A. All right.
Q. Who is Real 3D?
A. Real 3D was a corporation that was up in near Orlando. Central Florida. And they were purported to be the - as Jerry Stanley, their president, was purported to be one of the preeminent imaging experts and imaging companies. I don't know if the company would be called imaging company, but he would certainly be called imaging expert in the world.
Q. And there was I guess some sort of a meeting that you were having with them, if you can recall?
A. Well, I can recall very definitely.
Q. Go ahead.
A. What do you want to know about the meeting?
Q. Well, the meeting took place, obviously. When and where did it take place?
A. The company, Sy and his colleagues, Eliot, were reaching out and - and trying to establish contacts that they felt would be useful in many different domains. Whether it be customers who could - they could put on their web site, whether it be financiers who could help them finance their project, or whether it be --

So I made some calls, and through - to some other people I thought who were important in the technology field. They referred me and had - were and the call I made was at 4/20/99 with Mr. Ferguson. They referred me to Mr. Stanley. They were doing a very gracious thing, and said Mr. Stanley, and also opened up the introduction to Jerry Stanley. I did not know him. So I called Stanley. He agreed to come down and look at the iviewit technology.

He came down and set up and met with - and saw the presentation. He was impressed, and he invited Eliot and - and - to come up and make a presentation to his entire staff. And I suppose you must know the rest about Real 3D and whoever they are, so I don't need to go into that.

MR. TRIGGS: Just answer the questions. Just open up this door a little bit.
Q. Did you attend that meeting with Real 3D?
A. I attended both the presentations since I had set it up, and I - I attended the meeting up in Orlando where we went up.
Q. Do you recall when that meeting took place?
A. Yes. Took place on - took place on -- The meeting up there took place on \(5 / 25\).

MR. TRIGGS: Just to be clear for the record, Steve, just so we're both on the same page, he's getting the date by reviewing the -THE WITNESS: The bill.

MR. TRIGGS: -- the bill.
MR. SELZ: That's fine.
Q. That was the eleven hour charged trip to Orlando for meeting with Real 3D?
A. We left in the morning and came back in the night. Drove. By caravan.
Q. Do you have any idea what this \(4 / 26 / 99\)
entry is, 1.0, rewrite iviewit letter?
A. I don't know which one that is.
Q. \(4 / 26 / 99\) ?
A. No, I see the entry, but I don't know which letter that was.
Q. Now, you said you did transactional work.

Do you also do any intellectual properties work at all?
A. No.
Q. Were you involved with reviewing the trademark or any of those other things?
A. No.
Q. Okay. Then I'm going to refer you to an entry on 5/4/99.

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A. Right. It says, review status of trademark. Doesn't say review the trademark.
Q. So all you did was review the status?
A. I reviewed whether it was being followed through on, the logistics, and how it was coming, and it was a follow-up. That's my responsibility.
Q. Okay. I'm going to refer you to entry of 5/11/99.
A. Uh-huh. By who?
Q. J. Zammas.
A. Okay. Paralegal. MR. TRIGGS: What's your question?
Q. Well, do you have any knowledge with regard to what was done for that entry, the preparation of Articles of Incorporation, organizational documents for iviewit.com, Inc.?
A. I assume it means exactly what it says.

That we were preparing Articles of Incorporation.
Q. Did you review any documents for
iviewit.com, Inc.?
A. Did I review any documents?
Q. Yes. Organizational documents for iviewit.com, Inc.?
A. I don't know. I'd have to look through here. Are you talking about me individually?
Q. Yes.
A. Or are you talking about Proskauer?
Q. No, you individually.
A. I - I can't tell. And it wouldn't be -- I can't tell.
Q. Okay. Now, earlier in your testimony you talked about the fact that Proskauer does not do work on a fixed-fee basis or that the fixed-fee basis was not the agreement with regard to any services provided?
A. Well, that's --

MR. TRIGGS: Object to form.
Q. Let me go back. I'll start from the beginning, please.

Your earlier testimony was that the services you provided to iviewit were not on a fixed fee basis; but, rather, were on an hourly basis, is that correct?
A. Yes. The corporate services.
Q. I don't know if that was your testimony or it was just --
A. Well, I'm clarifying it.
Q. Okay. The corporate services were on a fixed-fee basis?
A. Right.

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Q. Were there any services that were provided on a fixed-fee basis?
A. I'm not sure. Perhaps - perhaps some of the copyright stuff may have been done on a fixed-fee basis. Trademark and copyright.
Q. Was there a separate agreement setting forth the fixed-fee basis for that trademark and copyright work?
A. No, but we charged them consistent with our - our overall agreement. We certainly weren't going to charge them differently than we charged anybody else. If it was a fixed fee, we'd charge them.

Copyright and trademark, I'm not totally familiar with them, but they - they are - there are certain items that are charged simply on a fixed-fee basis. Certain searches and whatever.
Q. How about with regard to the entry on 5/17/99?

MR. TRIGGS: What's your question?
MR. SELZ: Let me -- I want to direct him in the right direction first.

MR. TRIGGS: I just want you to ask him a question.

MR. SELZ: Okay.

THE WITNESS: Okay. Whose entry?
Q. Your entry.
A. Okay.
Q. There is - there's actually three entries during that day. Conference on various contracts. Conference with Mr. Bernstein and review of iviewit agreements .

Do you have any recollection of what those services were?
A. No. What was your question?
Q. My question is, do you have any recollection as to what those services were for?
A. I don't have any recollection.
Q. Do you have any recollection of a CD-ROM licensing agreement being part of the transactions or part of the work that you did?
A. A CD-ROM licensing agreement.
Q. Licensing agreement.
A. I don't have any - I don't have any familiarity with it, other than the entry shown as shown for the Silver. Chances are that was something that was handled separate and apart from me.
Q. I know. But you were the lead person on the iviewit files, is that correct?

MR. TRIGGS: Objection, argumentative.

MR. SELZ: No, not argumentative.
A. Right. But it doesn't mean I knew I every detail on everything - every file.
Q. And you met with the board and with the Bernsteins on a regular basis.
A. Not at this stage.
Q. Okay. This is early on.
A. This is early on.
Q. Okay. So these corporations were just being formed at this point?

MR. TRIGGS: Object to form.
A. All of this organizational work was just being done at this time.
Q. Do you have any recollection as to whether or not there was any transactional work that you were involved with with transferring the technology rights or any of the technology agreements from Eliot Bernstein or whoever the inventors were to the corporations?
A. I have - I have recollections of that being done. Correct.
Q. And would you look at the technology agreements to be able to determine what assets were being transferred?
A. I'm not sure I understand.
Q. Okay. Did you look at the technology agreements to determine what assets were going to be transferred from, let's say, Eliot Bernstein to the corporation?

MR. TRIGGS: Object to form.
A. Technology --

MR. TRIGGS: Foundation.
A. -- agreements. I don't know what a technology agreement is. What do you mean?
Q. Technology licensing agreements.
A. Oh.

MR. TRIGGS: What's the question?
MR. SELZ: Did he look at the technology
licensing agreements to determine what assets were being transferred from the individual to the corporation.

MR. TRIGGS: Object to form. Foundation. Whenever you get to it --
A. I'd have to see the technology. I'd have to see the documents that you are talking about to understand your question.

I'm not sure whether I understand -- Are you saying, did I look at the agreements that transferred the - Eliot's rights to the other companies and --
Q. Correct.
A. I - I may not - I may or I may have not looked at the agreements, depending upon who had reviewed them and the level of comfort that we had with the person handling it.

MR. TRIGGS: Steve, when you get to a breaking point, take like a five-minute break.

MR. SELZ: You want to take a five-minute break?

MR. TRIGGS: That's fine with me. Two to five minutes. Whatever you guys want. I want to be quick. I want to get the maximum deposition coverage.

MR. BERNSTEIN: I need about fifteen.
MR. SELZ: How about compromise, say, at ten?

MR. BERNSTEIN: Okay.
(Brief recess.)
Q. (By Mr. Selz) Okay. Who is Hassan Mia?
A. He was -- Hassan Mia?
Q. Yeah. Hassan Mia.
A. He was a friend of Eliot -- Is it Eliot

Cohen?
Q. Yeah.
A. He was a friend of someone's. Eliot or
one of Eliot's contacts who flew in from the West Coast, and he had been purported to be associated with - had sold one of his first high tech companies out and - and then was involved in some way with Real Player or Real Audio or whatever, but my sole contact was when he flew in for the weekend and we met him. Or I believe that's my sole contact. What date is that?
Q. \(5 / 24 / 99\). I suspect that's a weekday.
A. No, I think it was a weekend. They flew in on a weekend. Wait a minute. 4/24. Let me see.
Q. \(\quad 5 / 24\).
A. \(5 / 24\).
Q. Because you've also got a conference that day with - or, rather, D. Thompson had a conference with you regarding confidentiality issues.
A. Okay. Well, maybe Hassan Mia was here twice for longer periods of time. Or I could have talked to Thompson separately.
Q. How about this one for \(5 / 26 / 99\), the 1.0-hour entry?

MR. TRIGGS: What's your question?
Q. It says, review of patent; set up patent conference; arrange follow-up on shares. You reviewed the patent?

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A. Well, I reviewed what I had. We came back -- If you noticed, we went on the trip on \(5 / 25\). At that meeting, for the first time, Eliot displayed to all of us a new product. A video product. And I was driving with his - with his father and with Jerry Lewin in one car and Eliot was in another car, and as we were driving back I said - I asked the question, I said, this new product, is this - what have you done on the patent on this? And Eliot told us all - he was on the phone, he was in another car - he told us all that they hadn't done any work on that yet.

So what I did was, I - Eliot had - as he had the patents, he wanted us to lock them up, so I had them locked up. So I pulled - when we returned, I pulled up the locked up patents out to make sure I had them. So I was reviewing them, and we determined we were going to have to set up a conference to make sure he was covered with Joao and everybody to see what to do in view of this new development.

So reviewing the patent -- There's reviewing the patent and reviewing the patent. If you're saying reviewing it substantively, no. Reviewing it to see that I have what was supposedly the patent in the right thing and what everyone was going to talk about in the conferences, yes.
Q. Okay. Well, maybe I misunderstood part of your earlier testimony. You said you looked at the patents to figure out whether or not - maybe I'm mistaken - they covered the issue and whether or not to bring it to Joao's attention?
A. No, I wasn't looking to see if it covered the issue. I was looking to see if -- I was looking to see what I had in my filing cabinet Eliot had been giving to me and to store away for him. And since we were going to be talking in anticipation of the conference saying I better pull this out, it was a logistical thing, because I had no idea when we started talking to Joao or whatever what he was - what they were going to be referring to, because there was not - whether it was going to be one patent, two patents, three, if some were modifications or whatever. So I was reviewing to see what I had.
Q. Okay. Well, let's go on to the last page, the next page of the bill, I should say.
A. Right.
Q. Which is page 18.
A. Right.
Q. And I'm going to direct you to - actually,
let's see, there is 5/27/99.
A. Right.
Q. It says 1.5, entry for you: Overview of iviewit patent matters and corporate matters?
A. Right.
Q. What did that entail?
A. It would entail sitting down, taking a piece of paper and seeing where we are on each thing; who is doing what on corporate; who is doing -- Now that we've gone to this weekend, now that we've gone to these conferences, now that we see and really giving myself an overview of who is doing what and who is following through and on what patent matters and on what corporate matters. Again, more logistical.
Q. I'm sorry, that's -- The only distinction between that and the entry on \(5 / 26\) is what?

MR. TRIGGS: Object to the form. I think he's testified as to what the entries were. You want him to tell you again?
Q. What's the difference in the entry on \(5 / 26\) which says, review of patents and set up patent conference, and \(5 / 27\), overview of patent matters?
A. Well, first of all, overview, it's overview of patent matters and corporate matters. So I was looking at -- I mean, a portion of it was the patent matters, but a portion of it was the corporate matters. So it's quite distinguishable on that. And
as far as review of the patent, it was actually looking at the real patent documents for the first time to sort them out in anticipation of a conference call we were going to have which resulted from Eliot telling us he had not followed up with Joao in doing the video.

And so, I mean, there were a bunch of documents. So it was organizing, putting them together in anticipation of the whole conference.
Q. Okay. And 5/28/99, D. Thompson II, conference with D. Thompson it appears, according to that?
A. Right. Re: patents and confidentiality agreements?
Q. Correct.

MR. TRIGGS: What's your question?
Q. I don't see an entry for a meeting you had with D. Thompson on that date.
A. I probably missed it. We don't always put down our time.
Q. So it's a freebee. Is that how that one works?
A. I would say that mine is probably subsumed by \(5 / 28\), a meeting as to patent issues and management matter.
Q. And how about 5/31, review of patent and other materials?

MR. TRIGGS: Again, what's your question?
Q. What did that entry entail, if you can recall?
A. I don't recall.

MR. SELZ: Number six?
(Thereupon, said document was marked as Defendant's Exhibit Number 6 for identification by the reporter.)
Q. (By Mr. Selz) During the summer, were there any other discussions that you could recall with Ken Rubenstein regarding the patents or the intellectual properties of iviewit?

MR. TRIGGS: Object to form. During what period of time?
Q. During the period of time that we've discussed for the first bill, which was January 1999 through May '99.
A. What was the question?
Q. Can you recall any other conversations or discussions you had with Ken Rubenstein?
A. Other than as reflected in there?
Q. Other than as are reflected in these billing statements.
A. No.
Q. Do you recall a corporation named I.C.,

Inc.?
A. I.C., Inc.?
Q. Yeah.
A. How do you spell that?
Q. Capital I capital C, Inc.?
A. Where is it?
Q. It's on page four.
A. No.
Q. Do you know if any corporation, I.C.,

Inc., was ever formed?
A. No.
Q. In that same entry, it also says preparation, certificate of cancellation of iviewit LLC? Do you have any knowledge of that?
A. I don't have any recollection of it.
Q. And this is after the September 8th, 1999, engagement letter, is that correct?
A. Correct.
Q. And iviewit LIC was the party that entered that engagement letter with Proskauer Rose, is that correct?

MR. TRIGGS: Objection. You have now covered that issue about five, six times.

MR. SELZ: This is my twelfth.
A. Yes.
Q. Yes?

MR. TRIGGS: Date hasn't changed, Steve.
MR. SELZ: Time hasn't, either.
MR. TRIGGS: Apparently.
Q. Who is Mr. Assaf, A-s-s-a-f?
A. Mr. Assaf.
Q. I'm sorry, Assaf.
A. He was an investor.
Q. Any particular organization that he was with, Mr. Assaf?
A. He was chairman of Sensormatic Electronics Corporation. But he was al - he's also a great philanthropist in town and a - and he's invested in considerable number of corporations. So they asked I - they were constant -- Sy and Jerry and everybody else was constantly asking for new sources, so they asked me to talk to him, so I did --
Q. Okay.
A. -- to see if he had any interest. And he actually did come over once, and I don't know if this is the first time or the second time --
Q. There's an entry --
A. -- or the third time that I talked to him.

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Q. Okay.
A. But on one occasion he did come over and see the product.
Q. There's something here, it says \(1 / 05 / 2000\), C. Wheeler, .5, follow-up on status of lawsuit preparation, review of news articles? Do you recall what that was for?
A. Don't honestly know.
Q. Do you remember a lawsuit that was ever filed by any iviewit of the iviewit entities?
A. No, but I'd have to go back and check my notes to see whether there were.
Q. Would that be normally handled by the litigation department at Proskauer Rose?
A. But this says status of lawsuit preparation. So if one of my large clients comes in and is a corporation and we have a lawsuit, it wouldn't be unusual for me, as I - just to place a call and say, how are we coming on that lawsuit, Matt, how are we coming on that lawsuit, David, or whatever. Review of news articles could have obviously been articles relating to - to this.

Now, as to lawsuit, I don't - I don't - I
don't know. I'd have to go back and check and see what lawsuit we had going or what litigation related
things we had going. It could have been -- At one time, I don't know if - I can't say whether this is the time or not, but there were occasional matters that - that came in that were threatened or whatever.

For instance, Jim -- For instance, the person from New Jersey, Armstrong wanted to get his money back or - and so he threatened a lawsuit, and this could have been a response letter or something else like that.

From time to time there were peripheral litigation matters. I don't know if any of them blasted into full-fledged lawsuits. I don't recall.
Q. Okay. How about on -- Let's see, we've got the ninth page of that billing statement.
A. All right.
Q. \(1 / 11 / 2000,1.0\), conference with Mr. Bernstein regarding patents and infringement. That doesn't sound administrative to me. Could you describe what that activity was?

MR. TRIGGS: Object to the preface as argumentative.

MR. SELZ: I'll retract that.
Q. Could you describe for me what that was dealing with, sir?
A. I can't remember. But Eliot was disturbed
that - at times that people may have been trying to steal his patents. So I believe he came in and talked to me about it.
Q. And the same day there is another conference with Eliot Bernstein for an hour.
A. Right.
Q. And a one-hour conference with Mr. Utley.
A. Right.
Q. And a one-hour conference with Mr. Joao.
A. Right.
Q. And another one-hour conference with Mr. Thompson and Mrs. Robbins regarding work.
A. Right.
Q. Whatever that was.
A. Right.
Q. And another half hour conference with Mr. Lewin regarding patents.
A. Right.
Q. And then another half hour conference with Mr. Bernstein, Mr. Utley, regarding status of patents and corporate setup.
A. Right.
Q. And then you've got another entry for 8.75 hours -- I'm sorry. That's Robbins. I'm sorry.
A. Robbins.

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Q. I'm sorry.
A. You are going to commend me on working so hard.
Q. I was going to say, you got to cut back. So you have - but again, it references -Do you have anything with regard to that - those ones referencing patents, the \(1 / 11 / 2000\) to --
A. I don't recall exactly. So - I mean, it would be speculation. I would believe they're all related, to be honest with you.
Q. Again, I know you have answered this, but I just want to make sure, you don't have any more comprehensive notes as to the services provided, other than what's contained in these billing statements, is that correct? You don't have a handwritten billing statement that has a more complete description of the services?
A. No, no, no.
Q. Or you don't have some interim billing statement and then it's produced or redacted or whatever?
A. No. Here's the name of the person, Cris Branden was his last name, at Huizenga Holdings --
Q. Okay. Thank you.
A. -- that we were concerned about.
Q. Okay. Now, there's a - there's an entry here which is \(1 / 12 / 2000\) with J. Zammas.
A. It's a paralegal.
Q. Paralegal. Right.
A. All right.
Q. Okay. Fax Articles of Amendment for iviewit Holdings, Inc., uview.com, Inc., to CorpAmerica for filing, work on due diligence.
A. \(1 / 11\) is this?
Q. I'm sorry, \(1 / 12\).
A. Wrong page.

MR. TRIGGS: Page 11.
Q. Page 11.
A. Okay.
Q. \(1 / 12\).
A. \(1 / 12\).
Q. J. Zammas.
A. Fax Articles of Amendment for iviewit Holdings, Inc. and uview.com and to CorpAmerica for filing. All right.
Q. Okay. Those entities, uview.com, Inc., and iviewit Holdings, Inc., aren't parties to this action, are they?

MR. TRIGGS: Iviewit Holdings, Inc.?
MR. SELZ: Iviewit -- You've got --
A. Iviewit Holdings, Inc., is.
Q. Is, but uview.com, I'm sorry, isn't.
A. Well, uview.com was, as I recall -- I mean, I have to go back and look at our charts and things like that. But it might have been a predecessor to one of these. That's my point. I mean, there were name changes. As we did mergers and that, just like in any corporate matters, once you effect the merger and make the transfers, then sometimes you change the name again.

So I don't know the answer to that question. Iviewit Holdings, Inc., is definitely a party to this. Uview.com, Inc., I think became something else. I think the name was changed.
Q. How about this entry, January 14th, 2000, page 12. It's under D. Thompson II. .75, conference and analysis with attorneys C. Wheeler and G. Coleman regarding securities and technology issues.
A. Right.
Q. Do you recall what that was involving?

MR. TRIGGS: Object to form.
A. Conference and analysis with attorneys regarding -- Well, I don't know if it was one conference or two. So what -- You talk to Gayle Coleman regarding securities. I don't know if it was
a conference with the two of us or two separate conferences. So, no, I don't recall what it is.
Q. How about on January 14th, 2000, it says conference with Mr. Utley and Mr. Rubenstein?
A. Uh-huh.
Q. Is that Ken Rubenstein?
A. Uh-huh.
Q. You have to say yes or no for the court reporter.
A. Yes. I'm sorry.
Q. Do you have any recollection as to what that conference was about?
A. No.
Q. Was that in person or was that telephonic?
A. Telephonic. I mean, Utley was probably with me in person, and Rubenstein was probably on the line.
Q. Do you have any recollection at all what you discussed?
A. No.
Q. And this was past the initial formation phase of the corporation? This was in January of 2000 already, is that correct?
A. Correct.
Q. What was Investech, if you know?

MR. TRIGGS: Point him to a place in the bill.
A. That was Huizenga's subsidiary. That was the affiliated company. That was his - that's his high tech affiliate. I believe that's correct.
Q. How about - I don't know if you have any information on this, but let me ask it to you. It's on January 14th, 2000, G. Coleman.
A. Uh-huh.
Q. 3.25 entry. It's a telephone conference with Martha re: private offering memorandum; telephone conference with E . Lewin re: audited financial statements; interoffice conference with R . Thompson. Then it goes, preparation of revisions to intellectual property risk factors.
A. Uh-huh.
Q. Interoffice conference with C. Wheeler regarding potential intellectual property infringement.
A. Uh-huh. She was doing a Private Placement Memorandum, so she was explaining how she was approaching it.
Q. Would she prepare some kind of --
A. Well, I mean, it says the disclosure.

There was a private placement they were putting
together. I don't think the private placement ever came to fruition. I think it was called off. I could be wrong. Maybe the document was used. I'd have to go back and check.
Q. When iviewit LLC was dissolved, did you ever make an attempt to get any other signed retainer agreement?
A. No.
Q. Or signed engagement agreement from any of the other entities?
A. No.
Q. Was there any particular reason why you didn't?
A. No.
Q. There's an entry on the next page, page 14, \(1 / 17 / 2000\), G. Coleman. I don't know if you've got any information about this. But it says, conference with \(E\). Lewin regarding financial information; telephone conference with K . Rubenstein regarding potential or possible infringement it says.
A. Uh-huh.
Q. Do you have any information about that at all?
A. No.
Q. Did you --

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A. But since he was doing a securities document, I - I mean, it speaks for itself. She was probably talking to him about doctrinaire matters.
Q. Was there ever a Share Exchange Agreement that was executed with Investech?
A. I'd have to check my files. I - I think there was a Share Exchange Agreement that was executed by virtue of the re -- There was a reorganization approximately about this time, and we needed the cooperation of all the shareholders. So I believe that that's - it was part of that - that, but I have to check to be certain.
Q. How about Crate Investments?
A. Where is - what page?
Q. Page 17. January 26 entry. Conference with Brian Utley - it's not your entry - but regarding Alpine and Crate Investments.
A. Whose entry is it?
Q. D. Thompson.
A. With Alpine and Crate Investments. I'm not familiar with Crate Investments. But there were numerous -- I mean, they had a list of investors they were trying to get to invest, so --
Q. How about the next entry. It says - it's an entry from you, .25, conference as to follow-up on
our money?
A. Okay.
Q. Whose money is that?

MR. TRIGGS: What - what's the date there?
MR. SELZ: January 26, 2000.
A. I don't know what that means.
Q. Was it money for the -- Well, strike that. You already said you don't know.
A. It doesn't sound appropriate. I think it's more of a typo. It must - handwriting. I don't know what our means, so I don't know. It might be something else.

I mean, it doesn't sound like in character.
(Thereupon, a document was marked as
Defendant's Exhibit Number 7 for identification by the reporter.)
Q. Okay. How about the entry on - this is on the third page of this billing statement at the very bottom. It's 3/10/2000. C. Wheeler. 25, conference with B. Utley and M. Robbins regarding preparation of employment agreement.

Do you recall whose employment agreement
that was?
A. I think it was -- No, I don't recall, but

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obviously -- Oh, it was Armstrong's employment agreement. Surrounding items make it clear.
Q. Who is Armstrong?
A. He's a friend of Eliot's from New Jersey who Eliot brought into the company. Right. Jim Armstrong his name was.
Q. Another question with regard to an entry on \(3 / 22 / 2000\). It's on the next page, page 5. It's towards the bottom. .25. Arrange review of confidentiality agreement.

How do you arrange the review of confidentiality agreement?
A. Talk to the person that said you need to do a confidentiality agreement. So - and she did, because on the next entry she had a meeting with Brian Utley regarding confidentiality agreements.
Q. And that took a quarter of an hour, 15 minutes?
A. Well, I had to tell her what was involved and what we were going to be doing and whatever.
Q. What's 3Com NDA, if you know what that is?
A. Where is that?
O. It's กn nane 5 imior on antm, Antan
obviously someone they were working with.
Q. How about under \(3 / 27 / 2000\), .25, follow-up on trademark matters? When you follow up on a matter like that -- Let me go back for a second. When you follow up on a matter like that, what do you do, do you call one of the other people who is working on the trademark and ask them what's going on, basically?

MR. TRIGGS: Object to the form. Are you talking about that particular entry or are you talking about a general policy?

MR. SELZ: Generally.
Q. When your billing statement says follow up on trademark matters, you are not actually doing the trademark matter, you are calling someone who is working on it and asking them what the status is? Is that what that is?
A. Yeah. You can tell from the - again, the surrounding issues. There were trademark issues, and they were talking to me about them and what it probably - I mean, we can only speculate, but there was some follow-up on -- Some question was raised on a trademark matter and I followed up to make sure it was taken care of. Whatever the proper person.

Sometimes it was delegated to a local person who was charged with coordinating with New York
and getting things done. Sometimes, if I knew the person directly in New York who was handling, I could call him directly. It's follow-up. In most cases and in this issue, follow-up would generally mean turning to the person here in Boca Raton who was handling it.
Q. Now, do you know if those trademarks for the iviewit entities were ever completed?
A. Well, I mean, there were - trademarks trademarks and copyrights?
Q. Correct.
A. Were always in different states of - of being processed.
Q. Okay. But you were following up on them to make sure they were completed, presumably, is that right?

MR. TRIGGS: Objection, argumentative.
Q. You were following up on them for what purpose, sir?
A. I was following up to see the status.
Q. Okay. And why would you follow up to check the status?

MR. TRIGGS: Again, are you referring to this specific entry or generally follow up in general?

MR. SELZ: In general.
Q. Follow-up in general, why would you follow-up?

MR. TRIGGS: Object to the form
irrelevant.
A. There can't be a general follow-up. There has to be a follow-up to check on a specific item, where it was or --
Q. Let me rephrase the question, then. With regard to this particular item, what was the purpose of the follow-up?
A. I don't know.
Q. Would it be a fair characterization, sir, to make sure that you followed up to make sure things were completed?
A. No.
Q. Why else would you follow up on a matter?
A. We would follow up to find out what the present status of that copyright or trademark was, because they - if it had been completed, if it had been filed, if it was being challenged or --

I'm not totally conversant with it, but following up is at what stage of the process is it, and so we can report back to the client. You have a valid trademark, you don't have a valid trademark,

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it's going to cost you more money to continue prosecution of this trademark being challenged.

I mean, there could be many different - it could be in the - its status could be in many different categories.
Q. Okay. So it could be at many different stages of the prosecution of a trademark or copyright?
A. Absolutely.
Q. Now --
A. And there seems to be quite a bit of activity with other people involved in the trademark and copyright issues at this time. So obviously something was going on.
Q. I'm going to refer you down to \(3 / 30 / 2000\), the next page.
A. \(3 / 30\) ?
Q. Yes.
A. Okay.
Q. There's two entries. One is . 5 conference with B. Utley re: NBA?
A. Right.
Q. That's Brian Utley?
A. Right.
Q. Do you have any idea what NBA is?
A. Yes, National Basketball Association.

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Q. What was the National Basketball

Association with?
A. He wanted us to see if we could sell the product to the NBA.
Q. And did you undertake that?
A. We placed calls. We represent the NBA, or we do a lot of their work, and we placed calls, but not successfully.
Q. Okay. And that same day there is another entry, .25, conference with \(B\). Utley regarding copyright?
A. Right.
Q. Do you have any recollection of what that entry was dealing with?
A. No. He obviously had a question.
Q. Okay. The last entry on that page.
A. You're right to pick up on that. Right.
Q. Preparation of memo to C. Wheeler re: copyright matters.
A. Right.
Q. Do you recall what that's dealing with?
A. No, but I would imagine it's all related.

It flows.
MR. TRIGGS: While you're marking the next, I'm stepping out for two seconds.

MR. SELZ: Okay.
(Thereupon, a document was marked as Defendant's Exhibit Number 8 for identification by the reporter.)

MR. SELZ: I'm going to hit the bathroom, too, so I'll take a break.
(Brief recess.)
MR. SELZ: Okay. We're back on.
MR. BERNSTEIN: Hello?
MR. SELZ: Eliot, you're there?
MR. BERNSTEIN: Yeah.
MR. SELZ: Okay. Just want to make sure you're still with us.

MR. BERNSIEIN: Yeah.
Q. (By Mr. Selz) Okay. I'm going to refer you to what's been marked as number eight, defendant's number eight. It's a statement dated May 30th, 2000. At that point it shows a bunch of balances on the right-hand side. Remaining balances.
A. Right.
Q. And payment of \(28,525,72\).
A. (Witness nods.)
Q. Are you aware if there are any other payments to that point in time on the invoice?

MR. TRIGGS: Object to the form. As to
what invoice, the 10/12/99 invoice?
Q. (By Mr. Selz) As to any of the amounts reflected on the invoice. This invoice. If there had been any other statements. Because you previously stated that some of the payments would disappear if they're paid off.
A. Right, but I'd have to compare them. I can't tell what's been paid from this. I can tell a payment's been made on 10/12/99.
(Thereupon, Mr. Bernstein was speaking, but was not able to be heard by the reporter.)

THE REPORTER: I'm sorry, I can't hear him.

MR. SELZ: Eliot, he can't -- Eliot, this is not an opportunity for you to be making corment, unfortunately, so --

MR. BERNSTEIN: Oh.
Q. So you said earlier, you testified earlier you got ledger sheets or some other way of ascertaining whether or not there were other payments that were made?
A. Yeah. We keep ledger sheets.
Q. And approximately how much was due and owing from iviewit.com, Inc., at this point to Proskauer Rose, according to this invoice?

MR. TRIGGS: Object to form.
A. According to this invoice?
Q. According to this invoice. The total that was due at that point in time in May of 2000.
A. Well, you'd have to add this 14,000 plus these other columns.
Q. Over \(\$ 300,000\). Would that be a true statement?
A. One hundred, two hundred, three -- Yes, it's over \(\$ 300,000\).
Q. Okay. And that was a year before the lawsuit was filed, approximately. Is that true and correct statement of fact?
A. When was the lawsuit filed?
Q. May of 2001.
A. Okay.
Q. May 2nd, 2001.
A. Okay.
Q. So Proskauer Rose was owed over \(\$ 300,000\) on May 30th, 2000. Did you ever advise iviewit that you would cease doing work for them if they didn't pay their bill?
A. We constantly advised iviewit that they had to make payment arrangements to - or we would cease doing the work. We would be left no choice not
to. We weren't interested in carrying the balances forever.
Q. Were those payment arrangements made?
A. A number of times we entered into payment agreements.
Q. Okay. After this invoice was submitted?
A. I don't know when. I'd have to see the times. It may be before or may be after. And - but it - to suggest that we were --

MR. TRIGGS: Just answer his question.
THE WITNESS: All right. Go ahead.
Q. Okay. Going back to the billing statement itself --
A. Okay.
Q. -- I'm looking at an entry 4/6/2000 --
A. Okay.
Q. -- for three hours; attend board meeting.
A. Correct.
Q. Do you recall what that board meeting was with reference to?
A. No, but there should be minutes on it, which the company prepared.
Q. Okay. 4/12/2000, the next page. .5. Conference with M. Robbins regarding trademark and other issues?
A. Right.

MR. TRIGGS: What's your question?
Q. Do you have any recollection as to what that conference with M. Robbins specifically dealt with?
A. It dealt with the issues on her next entry, five - for five hours and a quarter.
Q. That's dealing with Armstrong employment agreement?
A. Not all of them. But at least the trademark matter.

MR. TRIGGS: You want to talk to Eliot about whatever is beeping in the background there?

MR. SELZ: Eliot?
MR. BERNSTEIN: Yeah.
MR. SELZ: Do you have to take care of something there? Sounds like there's something beeping in the background there.

THE WITNESS: No, I think it's --
MR. BERNSTEIN: I don't hear anything.
MR. SELZ: Okay. It's --
MR. TRIGGS: Sorry.
THE WITNESS: It's a machine out there.
It's a Xerox machine down there.

MR. SELZ: Okay.
Q. Okay. So you got - you want to mute us, Eliot, so that way we don't hear your background sound a little bit?

Was there a web agreement that you're familiar with? Some kind of Internet web agreement that iviewit was involved with?
A. I don't - I don't recall it. I don't recall the details of it.
(Thereupon, a document was marked as Defendant's Exhibit Number 9 for identification by the reporter.)
Q. What is Lineberger?
A. There's a wealthy investor, Jim

Lineberger, who was also affiliated with J. Zammas and his sons, Jamie Lineberger, and we were trying to get them interested in this project.
Q. Did anything ever come of that?
A. No, but the materials - I believe the materials were sent to him.
Q. Well, we talked earlier about the dissolution of iviewit LLC , if you recall.
A. Right.
Q. Okay. And then I'm going to direct your attention to an entry 12/07/2000. It should be 2001,
by the looks of it. No, it's 2000, I'm sorry, because it's a carryover from December. But it's by M. Robbins, last entry, 6.0? Do you recall if iviewit LLC was reinstated or restored?
A. I don't know what was involved in that, to be honest with you. It was more ministerial stuff that they were going through.
Q. Why was it ministerial? What was the --
A. Well, they didn't have to get me involved, obviously, whatever it was. Mara Robbins was overseeing it. It's clear that -- So I don't - I don't know what was involved in that. Rocky Thompson was overseeing it. I just don't know what was going on there.
Q. How about on 12/08, next page, page five, where you have - you've got three entries dealing with --
A. I mean, this is all part of a potential reorganization that was going on at that time and a changing of the corporation. So I don't - it had been determined for tax and other various reasons. Go ahead.
Q. No. 12/08. It says conference with Mr. Hersh re: capitalization; conference as to priorities on projects?
A. Right.
Q. And then it goes conference as to opinion and bridge loan. What sort of opinion, if you can recall, were you referencing in that entry?
A. Well, on some of the matters they needed opinions for the - from the firm on some of the loans. For instance, if you go up and look at 12/08, Rocky was dealing with that issue, so obviously we had - we had a conference about it.
Q. It doesn't - I guess it says conference. Then it says review - next entry - review of additional correspondence re: opinion. It was an opinion with regard to - I would ask you what the contents of the opinion were, if you knew, if you can recall.
A. No, but I - once again, I cannot recall, but I think you can tell by the surrounding entries what was involved and who was working on it, because they were reporting to me.
Q. And would that opinion have included any kind of representation with regard to the intellectual properties or the assets held by iviewit?
A. I doubt it, because if you look at the surrounding entries it says opinion letter provision on outstanding shares. Those types are drafts.

Company Certificate as Exhibit to opinion, et cetera, et cetera. There were more - I would imagine they were corporate matters. We wouldn't have opined - we never opined to the intellectual property.
Q. And then on the next page, 12/13/2000, it says review opinion on iviewit closing? Towards the bottom. Do you have any recollection of what that entry is involving?
A. Well, this was obviously related to with Mr. Bell. So it was additional financing. Mr. Bell was - was representing Alpine.
Q. It says, dealing with the closing with Alpine?
A. Must be dealing with additional money from Alpine. Or - or it could be a combination thereof, because on the next page there is discussion of Prolow and financing. So some of the parties investing money needed opinions from us. They would be opinions on the corporate status.
Q. So they would just be an opinion letter saying the corporation was in good standing. Is that what you're saying?
A. I don't think so. They would probably be more advanced than that. But they would be related to the corporate standing, outstanding shares, that sort of thing.
Q. So representation with regard to --
A. I'd have to see them exactly to tell you.
Q. Now, we talked earlier that there were there were intellectual properties that were involved, and let's see if I have a billing entry.
A. Are we done with this exhibit?
Q. Yeah. We are.

MR. BERNSTEIN: Steve?
MR. SELZ: Yeah.
MR. BERNSTEIN: What was that? I missed the last part.

MR. SELZ: No, I didn't finish it. MR. BERNSTEIN: Okay.
Q. Okay. We started talking about some of these entries. We've got --

Okay. I think we already talked about there were some entries here dealing with intellectual property review and incorporation. We went over that. Let's see.

Do you know if Ken Rubenstein ever billed on any of the matters or any references that he had for any of the work for iviewit?
A. I don't believe he did.
Q. Do you know why that would be the case?

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A. I think his time was minimal on it, and it was --
Q. Back in, let's see, what was this? June of 1999, was - let's see, I'm sorry, January '99 -Here. February of 1999. Was Mr. Rubenstein affiliated or associated with Proskauer Rose? Let's see, which exhibit is that?
A. February of 1999?
Q. Yes.
A. So we started work in January of 1999.
Q. Correct.
A. I believe so.
Q. Let me just double-check my notes. 2/17. There is an entry here, 2/17/99, dealing with telephone call to Mr. Rubenstein regarding patent advice. I think you already said you don't have any specific recollection what was said at that conference, is that correct?
A. Right. But -- Right.
Q. Does Proskauer Rose maintain any kind of records regarding Internet web site visits in correlation to the billing provided to iviewit? In other words, did you keep any kind of log as to time spent doing - other than the billing statements themselves - any kind of log keeping track of how much
time was spent Internet searching for different aspects of the corporate work?

MR. TRIGGS: Object to the form.
MR. SELZ: Okay.
MR. TRIGGS: I have no idea where you're going with that.

MR. SEUZ: It's going to the billing.
Q. When you were - the billing statements themselves were prepared, was there any kind of separate log kept for Internet time spent or anything dealing with the Internet research that I think is referenced in here as well?

MR. TRIGGS: Same objection.
A. I don't know of any separate logs. I don't know how they keep the -- The only Internet logs that I know of are -- The only services that we have are Lexis/Nexis, which is a research.
Q. Right.
A. You're as familiar with how that is kept as I am.
Q. Right.
A. I honestly don't know the mechanism by which they tie in for their searches on copyright and trademark.
Q. Now, with regard to Jay Joao, Ray Joao, was there ever a time when it was represented that Ray Joao was involved with Proskauer, was involved with Proskauer directly, either as a partner or associate or anything of that nature?
A. Not to my knowledge.

MR. TRIGGS: Object to form.
A. To who?
Q. To anyone who attended a board meeting or anything of that nature.
A. Not to my knowledge.
Q. Do you recall any meetings with

Mr. Stanley?
A. Jerry Stanley.
Q. Yes.
A. Yes.
Q. And what were those meetings dealing with, if you can recall?
A. He was Real 3D. He was the expert from Real 3D.
Q. Right. Who came down from Orlando I think you said?
A. Right. He had been out - I contacted him when he was out visiting Intel. Real 3D had been part of General Electric. Had been sold to -- I believe it was part of General Dynamics at that time, and so I

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contacted him, and he was kind enough on one of his journeys in South Florida to stop and see the product.
Q. Okay.
A. I explained that.
Q. Right. At that point in time, can you recall if all the copyright and trademark protections for Iviewit's products were in place?

MR. TRIGGS: Object to form.
A. Well, no. Okay. The -- I'm not the right person to ask that question because I wasn't doing the trademark and copyright. But if - but I - I can tell you that I've already said on the record that when we were driving back from the meeting in Orlando --
Q. Right. The video product.
A. That we discovered that Eliot advised his dad and Jerry Lewin and myself that he had not done anything with Ray Joao on the video.
Q. Okay p205 I19-21 From that standpoint, it is my understanding that the patent work had not trademark work had or had not been completed regarding the video product. Reason -
A. So the answer to your question is, from
that standpoint, after that meeting I knew that he had not put anything in place.
Q. Okay. How about with regard to any of the other aspects of U.S. products?
A. I was not aware.
Q. You were checking on the status of the
copyrights and the trademark?
MR. TRIGGS: Object to form. As to when?
Q. As to when this occurred, back in -- When was it?

MR. TRIGGS: What occurred?
Q. 4/21/99 or thereabouts. The meeting with Mr. Stanley.
A. There were certain times when it's reflected I was checking on the status of copyrights and trademarks.
Q. All right. Was there ever a nondisclosure agreement that you're aware of that Mr. Stanley signed?
A. Yes. I believe they signed -- My recollection is they signed nondisclosure agreements. They signed confidentiality agreements.
Q. Okay. Which is basically, obviously, the same thing, nondisclosure.
A. Correct.
Q. The business plan itself for iviewit, did that include references to the intellectual properties that iviewit held?
A. It depends on what stage you meant the business plan.
Q. Okay. The latest iteration of the

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business plan that was produced or that you were involved with, did it contain representations concerning intellectual properties?
A. We weren't intimately involved in the business plan, so I really don't recall the latest reiteration. No.
Q. Do you know if Ken Rubenstein was ever listed as an advisor to the board of directors or an advisor to iviewit in any documents?

MR. TRIGGS: Object to the form. By whom?
Q. (By Mr. Selz) Do you know if Ken Rubenstein was listed --
A. In any documents?
Q. -- by iviewit or - in any documents that were submitted to any third parties as an advisor or was represented as an advisor to the board?
A. Not - not that I'm aware of.
Q. What was the last business plan for iviewit that you can recall seeing?
A. Well, I don't recall. I don't -- I actually don't recall the last business plan. I mean, the reason is, everything kept on changing so much.
Q. Was there ever any problem with erroneously issued stock or anything of that nature that you're familiar with?

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A. I don't - I have no recollection of it.
Q. Okay. There's an entry here of \(5 / 12 / 99\) just want to reference you to. Conference with Joao; meeting with Thompson to arrange for confidentiality agreements and generic agreements?
A. Uh-huh.
Q. Do you remember what those generic agreements were?
A. Yeah, they were a generic form of a confidentiality agreement so the company could use it without coming back to us each time.
Q. So it was basically like a fill-in-the-blank form?
A. As much as we could do it. Perhaps. There might have been more than one. There might have been the one that you use in this instance, the one that you use in that.
Q. One for a potential investor, one for an employee, one for a different situation than that? Is that what you're referring to?
A. Right. Right.
Q. Do you recall --
A. Well, not exactly an employee. I don't know. There would have been -- My recollection is we were looking at a couple of variations of it for
different type of investors. One for individual investor, one from a corporation who had employees, you know, because we wanted to cover their employees.
Q. Right.
A. That type of thing.
Q. So you wanted to extend it to employees?
A. If you're a big investor and you just had -- That would cover your accountants and your financial advisors and something. One for -- A corporation would be set up differently.
Q. Okay. With regard to the nondisclosure agreements, the confidentiality agreements that we talked about, was that something that went through or was the responsibility of Proskauer Rose with

Mr. Utley? Let me strike that question.
MR. SELZ: I'll try it again.
MR. TRIGGS: Change your question.
MR. SELZ: I'll try it again.
Q. Okay. With regard to the confidentiality agreements, did Mr. Utley undertake to get those signed and return them to Proskauer Rose or did Proskauer Rose transmit them directly to, let's say, the employee or investor and get those back?
A. When?

MR. TRIGGS: Object to the form.
Q. Why don't you tell me if it was both or during --
A. Well, Mr. Utley wasn't there to --
Q. Well, in the beginning, but to the extent that Mr. Utley was there.
A. I don't think there was a set procedure. I think it was - it was our hope, so that we could reduce legal costs, that Mr. Utley or - or under his supervision that - that iviewit really, it wasn't really - iviewit could get their own confidentiality agreements and then would ultimately send them - us copies and we'd be a repository of them.

It was -- I don't think it always worked out that way. I think sometimes, because they were just shorthanded or because of the nature of what they were doing, everything was moving so quickly, they called us and said, by the way, can you get a confidentiality agreement.

Also, you have to understand, not everyone accepted the generic confidentiality agreement. Often - oftentimes they were altered by the other side, so they had to send them to us for our input. And oftentimes larger, more - larger companies, some of the giants, would - had their own.
Q. Talking about something like the NBA or
something like that might have their own?
A. Well, something like, yeah, the NBA or one of the big companies out in Hollywood or something. Or some of the high tech companies had their own very specific ones that they would substitute.
Q. So you'd have to review those, obviously.
A. Unfortunately.
Q. So it didn't really work out with the idea so easily with the generic form?
A. Well, it did for many. There - I know these bills are voluminous, but the - the number of the number of points and contacts which iviewit made in the period of time which they were very active was considerable. I mean, they - they approached a lot of people and talked to a lot of potential investors. So the generic thing served its purpose many times, but not at all times.
Q. Do you know if - if Mr. Thompson, D. Thompson, did anything to evaluate a software agreement or anything of that nature?

First of all, let's start with the more basic question. Who is D. Thompson in your firm?
A. He's a senior counsel in our firm.
Q. Okay. What is his specialization?
A. Corporate law.
Q. Corporate law. Does he have any background in software or intellectual properties?
A. Intellectual properties, I don't know, but software, it would depend on the nature of the agreement.
Q. Okay. So you think he's qualified to prepare a software agreement or a software licensing agreement?
A. Well, he's qualified to prepare it. It doesn't mean that he wouldn't call on other resources within our firm to help him.
Q. Do you have any idea how much total time was spent preparing confidentiality agreements for iviewit?
A. No.
Q. Do you have any idea or do you have any opinion as to what would be a reasonable amount of time to prepare a generic confidentiality agreement?

MR. TRIGGS: Object to the form.
A. No, I don't. I'd have to -- I mean, it would depend on the nature of the agreement and nature of the company and -- I mean, it's a lot of variables.
Q. Okay. Do you have a generic confidentiality agreement?
A. We have a lot of them.
Q. Okay. Have you ever prepared one yourself?
A. Yes.
Q. How long did it take you to prepare that? MR. TRIGGS: Object to form. Which one?
Q. The range. Give me the range of time that it took to prepare the least complicated to the most complicated.
A. I don't even recall. The - the agreement - the generic agreement could take as long as a day, eight hours, to prepare. Depends on the nature of the company.
Q. And those --
A. You're also consulting -- I mean, oftentimes you're consulting with the patent attorney or whatever, making sure it's - suffices for him or -I mean, because it's - that it covers all the bases as far as he's concerned.

You know, don't forget, you're talking about the complexities of - and especially affiliates and -- I mean, have you ever seen it?
Q. Yeah, I have.
A. Okay. So I mean, there is one page ones that could take an hour to prepare and there's 15 page ones that are very complex that could take a couple of days to prepare.
Q. Now, with regard to the confidentiality agreements that we're talking about here, did you draw from existing agreements that the firm already had, that Proskauer Rose already had in their -- I guess you keep a computer system or some kind of storage bank of preexisting forms.

MR. TRIGGS: Object to the form.
Foundation.
Q. Okay. Let me start again, then. Mr. Wheeler, isn't it true that Proskauer Rose has forms from past representation of other clients that it keeps?
A. Sure.
Q. And that it -- Isn't it also true that it uses those as the basis for many times new documents that it produces for other clients?
A. Many times they do.
Q. I'm not saying always, obviously.
A. Right.
Q. But many times.
A. Correct.
Q. In this particular case, the confidentiality agreement that was prepared for iviewit, was that something that was produced from
A. No.
Q. In other words, without reference to past agreements?
A. I don't recall.
Q. Were you personally involved in the preparation of that?
A. In one iteration of it, I was.
Q. Okay. Which iteration?
A. Early in the game, before -- Early in the game.

MR. SELZ: What time you got? Five?
MR. TRIGGS: Five of.
MR. SELZ: Five of five? I've got to go.
MR. TRIGGS: Let me just put on the record that we're prepared to continue on as long as it takes today, tonight, to wrap this up. I want it to be done in one day. Mr. Selz has
indicated he has a commitment. I gave him fair notice that I thought that one day was the appropriate amount of time.

If you need to go, then what I'd like to do is operating under the assumption that a motion would be granted and that this would be limited to one day, let me just ask Mr. Wheeler
a couple of questions and then I think we'll -MR. SELZ: Well, I've got to go. I really, really have to --

MR. TRIGGS: You can stay if you want to stay, if you want to go, go, but my questions are starting now.

MR. SELZ: Okay. Go ahead. How long are you going to be?

MR. TRIGGS: I think I'll be able to get you out of here in a minute or two. Mr. Wheeler --

MR. SELZ: GO ahead.
MR. BERNSTEIN: Are we done with our questions?

MR. SELZ: No, we're not done with our questions yet. I'm saying, I'm letting him do a limited cross.

CROSS EXAMINATION
Q. (By Mr. Triggs) Mr. Wheeler, you were asked questions about Mr. Utley and the negotiation of an employment contract with Mr. Utley. Do you recall that testimony?
A. Yes.
Q. At the time that the employment agreement was being prepared on behalf of iviewit, was Mr. Utley
a Proskauer, an existing Proskauer client?
A. No.
Q. You were also asked a whole series of questions about the entities that have been sued here and about oral arrangements regarding payment and one written agreement concerning payment. Do you recall generally that line of examination?
A. Yes.
Q. In the amended complaint, Proskauer has sued three different iviewit entities; iviewit.com, Inc., iviewit Holdings, Inc., and iviewit Technologies, Inc. Are you aware of that?
A. Yes.
Q. Did those entities request that Proskauer perform legal services?
A. Yes.
Q. Through representatives of those entities?
A. Right.
Q. And did Proskauer perform legal services for those entities?
A. Yes.
Q. And by and large, I understand that Mr. Selz has spent some time hitting on particular invoice entries, but by and large, are those the entities that Proskauer was performing the legal services for?

MR. SELZ: Objection to form.
Q. That's at issue in the amended complaint.
A. Yes.
Q. And also, just to cover what I think is a ministerial issue, but in terms of who was actually being billed, the legal entity that was being billed for the invoices that are attached to the complaint reflect who it was who was being billed on a monthly basis?
A. Iviewit.com, Inc.

MR. TRIGGS: That's all I have.
MR. SELZ: Okay. Okay, Eliot. We're done
for right now. Hello?
MR. BERNSTEIN: Yeah. What does that entail?

MR. SELZ: Well, we're going to go ahead and we're going to renotice, and they're going to probably object and we're going to go in front of Judge Labarga.

MR. BERNSTEIN: Okay.
MR. SELZ: Okay? You got it.
THE WITNESS: Okay. Go.
AND FURTHER DEPONENT SAITH NOT
(Deposition adjourned at 5:03 p.m.)

\section*{WITNESS CERTIFICATE}

I, CHRISTOPHER C. WHEETER, do hereby certify that I have read the foregoing transcript of my deposition given on November 21, 2002; that, together with any additions or corrections attached hereto, it is true and correct.

WITNESS

STATE OF FLORIDA )
COUNTY OF BROWARD )
SUBSCRIBED AND SWORN TO before me
this \(\qquad\) day of \(\qquad\) , 2002, by the witness who has produced a \(\qquad\) as identification and who did not take an additional oath.

NOTARY PUBLIC My Commission expires:

\section*{CERTIFICATE OF OATH}

STATE OF FLORIDA )
COUNTY OF BROWARD )
I, the undersigned authority, certify that CHRISTOPHER C. WHEETER personally appeared before me and was duly sworn.

WITNESS my hand and official seal this and day of January, 2003.


Kenneth A. Schanzer
Commission \# CC 920339
Expires March 20, 2004
Bonded Thru
Atlantic Bonding Co., Inc.

\section*{REPORTER'S DEPOSITION CERTIFICATE}

STATE OF FLORIDA ,
COUNTY OF BROWARD )

I, KENNETH A. SCHANZER, Registered Diplomate Reporter, certify that I was authorized to and did stenographically report the deposition of CHRISTOPHER C. WHEETER; that a review of the transcript was requested; and that the transcript is a true and complete record of my stenographic notes.

I FURTHER CERTIFY that I am not a relative, employee, attorney or counsel of any of the parties, nor am I a relative or employee of any of the parties' attorney or counsel connected with the action, nor am I financially interested in the action.

DATED this 2nd day of January, 2003.


KEN SCHANZER \& ASSOCIATES, INC. 209 N. 20th Avenue
Hollywood, Florida 33020
(954) 922-2660

PROSKAUER ROSE LUP, etc.,
Plaintiff,
vs.
No. CA 01-04671 AB
IVIENIT.COM, INC., etc., et al.,
Defendant.

DATE: January 2, 2003
TO: CHRISTOPHER C. WHEELER C/O MATTHEW TRIGGS, ESQ. 2255 Glades Road, Suite 340 West Boca Raton, FL 33431

The deposition taken in the above entitled cause is now ready for signature. Please call this office to arrange a convenient time to sign same; or if you wish to waive the signing of the deposition, please so advise.

If this deposition has not been signed by January 16, 2003, or prior to the trial of said cause, or the signature thereto waived, we shall consider such delay a waiver of signature and proceed according to the applicable Rules of Civil Procedure.

Very truly yours,


Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02

IN THE CIRCUIT COURT OF THE
FIFTEENTH JUDICIAL CIRCUIT IN AND FOR PALM BEACH COUNTY, FLORIDA

CASE NO. CA 01-04671 AB

PROSKAUER ROSE LLP, a New
York limited liability partnership,
Plaintiff,
-Vs-

IVIEWIT.COM, INC., a Delaware corporation
IVIEWIT HOLDINGS, INC., a Delaware
corporation, and IVIEWIT TECHNOLOGIES,
INC., a Delaware corporation,
Defendants.


TELEPHONIC DEPOSITION.
The following is the telephonic
deposition of BRIAN UTLEY, VOL. I, taken before
Traci R. Sandstrom, Court Reporter, Notary
Public, pursuant to Notice of Taking Deposition,
at 5841 Cedar Lake Road, St. Louis Park,
Minnesota 55416, commencing at approximately 9:00 a.m., August 22, 2002.

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA. (722)

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02

On Behalf of the Plaintiff:
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\section*{EXAMINATION}

By MR. PRUSASKI:
Q. Mr. Utley, my name is Chris

Prusaski, and I'm taking your deposition today in the matter of Proskauer Rose versus Iviewit.com, Inc., et al, which is a matter pending in Palm Beach County Circuit Court.

Have you ever had your deposition taken before?
A. Yes.
Q. How many times?
A. Oh, several. Probably four or five.
Q. Okay. The reason I'm asking is just
to generally give you a background of how depositions, and I think you know. Briefly I'll tell you that if I ask any questions which you

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
    don't understand or for any reason you'd like me
    to rephrase them or re-ask them in a way that's
    more understandable to you, please tell me and
    I'll be happy to do so.
            If you have any need to take a break
    for any reason, just tell me and we'll
    accommodate you any way we can. If you answer a
    question, it's assumed that you understood the
    question. Do you understand that?
            A. I do.
            Q. Okay. Can you spell your name,
please.
                            A. Brian, \(B-R-I-A-N\), G. Utley,
\(\mathrm{U}-\mathrm{T}-\mathrm{L}-\mathrm{E}-\mathrm{Y}\).
    Q. What's your address; sir?
    A. It's 9541 Virgina Avenue South,
    Bloomington, Minnesota, 55438.
    Q. How old are you, Mr. Utley?
            A. 69 .
            Q. And what is your occupation?
            A. I'm retired from IBM.
            Q. How long did you work with IBM?
            A. 37 years.
            Q. And could you give us the benefit of
explaining what your role in that company was.
        president and general manager in charge of Boca
        Raton, Florida operations and represented IBM to
        the state of Florida from a governmental
        position.
Q. What were the years that you worked for that company?
A. 1955 through 52. I'm sorry, through 92.
Q. And what have you done since 1992?
A. I've managed a manufacturing company, was the president of Iviewit.com and was the CEO of another company, InternetTrain.

MR. SELZ: I'm sorry, could you have
the -- is the speaker phone close to the deponent?

MR. PRUSASKI: I'll move it.
MR. SELZ: I'm having trouble
hearing him.
THE WITNESS: I'll try to speak up.
MR. SELZ: Okay, thank you.
By MR. PRUSASKI:
Q. Did you do anything to prepare for this deposition today?
A. No. I've had no conversations regarding this deposition.
Q. Did you review any documents?
A. I did not.
Q. When was the last time you had any contact with anyone from Iviewit? And before we get too far into the deposition, \(I\) just want to tell you that when I say Iviewit, I'm referring to any of the Iviewit entities. If \(I\) want to specify one entity in particular, I'll give you the name of the entity. So when I use the term generally Iviewit, I'm referring to Technologies, Holdings, dotcom, Inc., LLC: do you understand that?
A. Um-hum.
Q. When was the last time you had any
contact with anyone from Iviewit?
A. I had a conversation with Bill

Kasser several months ago.
Q. And what did you discuss?
A. I really -- frankly, I don't recall
the context of the conversation.
Q. Okay. Have you spoke to Si or Eliot Bernstein lately?
A. I have not.
Q. When did you first become involved
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    Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
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    with Iviewit?
involved with Iviewit.
A. I was introduced to Eliot Bernstein by Chris Wheeler for the purpose of reviewing the imaging technology which Eliot had developed and with the potential or possible interest of becoming involved in the company.
Q. What was your experience in the past reviewing imaging technology?
A. Well, as a senior person at IBM and having been involved in developing many IBM products, I had experience in imaging and imaging technologies along the way.
Q. As you understood it, Sir, what was the purpose of the Iviewit companies when you became involved?
A. The purpose of the companies was to continue the evolution of the technologies, which were had provisional patents filed and to commercialize those technologies.
Q. At the time you were approached in July of 99 , which of the Iviewit entities, if at all, had been formed?

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 operating company was Iviewit.com, LLC.
Q. Was that in existence at the time you were first approached about these companies?
A. Yeah.
Q. Were any of these other entities?
A. Yes. It was subordinated to Iviewit, LLC, which in turn was 95 percent owned by U-View, subchapter S corporation.
Q. Where was the company located when you first became involved?
A. I believe the official address of the companies was Eliot Bernstein's home.
Q. Where is that?
A. I don't recall the exact address but in Boca Raton.
Q. What were you told your role in the companies would be?
A. President and COO.
Q. Who made that representation to you?
A. My initial discussion regarding that was with Si Bernstein.
Q. What was his role in the companies to be?
A. He was represented as the chairman
    Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
Q. Did there come a time when you became the president and COO of the companies?
A. Beg your Pardon?
Q. Did there come a time when you actually became the president and COO of the companies?
A. Yes.
Q. When was that?
A. That was in August of 99.
Q. Did the company have any employees at that time?
A. There were, apart from Eliot and myself -- I was the first actual employee of the company -- there were three associates of Eliot who had been involved with him in conducting feasibility work with the technologies, but they were not formally employees of the company at that time.
Q. Which of the Iviewit entities were you the president and COO of?
A. The -- my employment agreement stated Iviewit.com, LLC, but operationally I managed all of the companies.
Q. As the president?

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Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
A. Yes.
Q. Who were the other officers?
A. Si Bernstein, Eliot Bernstein, Jerry Lewin, Chris Wheeler was the representing attorney.
Q. At Proskauer?
A. Yes.
Q. Who were the directors of the companies?
A. Well, those are the board members that I mentioned, the directors.
Q. Okay, thank you. What were your day-to-day responsibilities? Could you describe that, please.
A. Basically to run the company, develop, build the company.
Q. And for how long did you do that?
A. Until April of 2001.
Q. Almost two years?
A. Yes.
Q. Who had decision making authority with respect to the financial matters of the companies?
A. I suppose I did subject to the board approval.

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Q. Do you still have anything to do
with the Iviewit companies today?
A. No.
Q. I'd like to turn the focus of the questioning now to Proskauer Rose. When did Proskauer Rose first become involved with representing the Iviewit companies?
A. As I recall from documents, which were in the company at that time, I believe it was about January of 99. I could be off by a month or so.
Q. . That was prior to your coming aboard?
A. Yes. At least six months before I came on board.
Q. Do you know if Proskauer was still representing the Iviewit entities at the time when you left in April 2001?
A. I'm not aware that there was any action to disengage from Proskauer.
Q. Who made the decision to hire Proskauer?
A. I don't know.
Q. Were any other attorneys or law firms ever used by Iviewit to your knowledge?

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
A. Not as such; although, there was a relationship with a, a personal relationship of Eliot Bernstein with a law firm in Los Angeles. And that law firm did some work for Iviewit, primarily focused on introducing Iviewit to potential customers.

Now, when we speak of law firms, I'm not including patent attorneys.
Q. I want you to include patent attorneys.
A. Well, okay. There was a patent attorney in New York that was referenced by Proskauer for the purpose of handling intellectual property affairs. That firm decided to drop intellectual property activity, and as a result, we hired another firm out of Milwaukee to handle patent affairs.
Q. Do you recall what the name of the firm in New York was?
A. Meltzer something. I frankly don't recall the full name.
Q. Okay. What about the Milwaukee firm?
A. I would have to look that up.
Q. The Milwaukee firm, what did they do

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
A. They filed -- I'll backup.

We had filled through the New York
firm provisional patent applications. The New York, the last act of the New York law firm was to convert one of those to a formal filing. The remaining provisionals were filed, refiled as formal applications by the Milwaukee firm.
Q. Did Proskauer Rose perform patent work for Iviewit?
A. Not as such.
Q. Can you explain what you mean by that.
A. Well, Proskauer did not handle any of the actual patent paperwork, the filings or the actual development of the filing material, but acted as a consultant, if you will, in recommending the New York law firm.
Q. What type of work did Proskauer Rose do for Iviewit?
A. Corporate work.
Q. General corporate work?
A. Um-hum.
Q. Was that the purpose for which Proskauer was hired?
A. As far as \(I\) know, yes.
Q. What was your -- could you explain your role with respect to directing Proskauer to do certain corporate work.
A. Well, of course, we had, we required activity relative to equity, the equity program, the managing of the equity records; the development of the -- excuse me -- of the money raise paperwork, that kind of work was handled by Proskauer. Also Proskauer acted as the keeper, if you will, of the corporate records.
Q. Who at Iviewit was responsible for directing Proskauer to do work?
A. Well, I think the people who actually requested work of Proskauer were either myself or \(\operatorname{Si}\) Bernstein or Eliot Bernstein.
Q. Do you know if Proskauer was initially paid a retainer by Iviewit?
A. I'm not aware that any retainer was paid.
Q. Do you recall the attorneys at Proskauer with whom you had dealings while they represented Iviewit?
A. My dealing were primarily with Chris Wheeler, although a number of staff attorneys

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 were involved.
Q. Who was the person at Iviewit that had primary responsibility to deal with Chris Wheeler?
A. From an organizational point of view, it would be myself.
Q. Could you explain what your current affiliation with the Iviewit entities is right now?
A. I have no affiliation.
Q. Do you have any idea what their current business plan is?
A. I have no idea.
Q. Okay. I would like to talk for a few minutes about the Iviewit entities separately, starting with Iviewit.com, Inc. Can you explain its role and when it was formed, please.
A. It was formed in December of 99. At that time, we went through a complete restruct:uring of Iviewit, converting the U-View subchapter S into a C-corp, which, as I recollect, became Iviewit, Inc.
Q. Iviewit.com, Inc.
A. No.

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\begin{tabular}{|c|c|c|}
\hline \multicolumn{2}{|l|}{Q. Iviewit, Inc.?} & 17 \\
\hline \multicolumn{3}{|l|}{A. Iviewit.com, LLC became subordinated} \\
\hline \multicolumn{3}{|l|}{to Iviewit.com, Inc. Operationally Iviewit.com} \\
\hline \multicolumn{3}{|l|}{replaced Iviewit, LLC but Iviewit, LLC held some} \\
\hline \multicolumn{3}{|l|}{leases in its name and so therefore we retained} \\
\hline \multicolumn{3}{|l|}{that company for the purpose of managing those} \\
\hline \multicolumn{3}{|l|}{leases.} \\
\hline \multicolumn{3}{|l|}{Q. With respect to the reorganization} \\
\hline \multicolumn{3}{|l|}{that we're discussing right now, what law firm or} \\
\hline \multicolumn{3}{|l|}{entity was involved with handling the matters for} \\
\hline \multicolumn{3}{|l|}{this reorganization?} \\
\hline \multicolumn{3}{|l|}{A. Proskauer.} \\
\hline \multicolumn{3}{|l|}{Q. Besides this reorganization} \\
\hline \multicolumn{3}{|l|}{involving Iviewit.com, Inc., were there any other} \\
\hline \multicolumn{3}{|l|}{legal matters that Proskauer did work for this} \\
\hline \multicolumn{3}{|l|}{company?} \\
\hline \multicolumn{3}{|l|}{A. Yes. When we had dealings with our,} \\
\hline \multicolumn{3}{|l|}{in the financial community when we raised money,} \\
\hline \multicolumn{3}{|l|}{Proskauer handled all of the legal matters} \\
\hline \multicolumn{3}{|l|}{relating to the, both the equity and the} \\
\hline \multicolumn{3}{|l|}{promissory note aspects of those raises.} \\
\hline \multicolumn{3}{|c|}{Q. And this was work performed for} \\
\hline \multicolumn{3}{|l|}{Iviewit.com, Inc.?} \\
\hline \multicolumn{3}{|c|}{A. It was actually performed for} \\
\hline \multicolumn{3}{|l|}{Iviewit, Inc.} \\
\hline
\end{tabular}
Q. I'd like to focus on the work that was performed right now for Iviewit.com if you could.
A. Okay. Well, Iviewit.com, Inc. was the operational company. The money that came into Iviewit, Inc. actually flowed directly into Iviewit.com and all disbursements were from Iviewit. com, Inc.
Q. The disbursements for the other Iviewit entities were from Iviewit.com, Inc.; is that correct?
A. Yes.
Q. Who were the principals of Iviewit. com, Inc.?
A. I was the president and coo.
Q. With respect to the work that

Proskauer performed for Iviewit.com, Inc., who from the company directed Proskauer to do the work?
A. Who directed Proskauer to do the work?
Q. Yes, sir.
A. For the financial matters, it was myself. On occasion, Si Bernstein would solicit work, primarily, as I recall, related to the

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stocks. In the very early days, there was a,
mostly before \(I\) arrived on the scene, Si and
Eliot used Proskauer services to arrange for meetings with potential financial sources and also with people who would be able to establish the validity of the technology.
Q. Okay. We were talking about

Iviewit. com Inc. I'd like to shift now to
Iviewit Holdings, Inc.
A. Um-hum.
Q. And can you explain, please, what the purpose of that company is or was.
A. It was established as the transition to a C-corp from U-View, LLC.
Q. Who performed that transition work?
A. Proskauer.
Q. Do you recall when it was formed?
A. December of 99.
Q. Who were the principals of Iviewit

Holdings, Inc.?
A. I was the president and COO.
Q. Besides the transition work to a C-corp from U-View, LLC, what other work did Proskauer do for Iviewit Holdings, Inc.?
A. Well, part of that transition

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involved, as I mentioned earlier, 95 percent of
the equity in Iviewit, LLC was held by U-View.
The other 5 percent was outside of the \(S\)-corp.
And in order to perform the transformation, it was not possible to move the other 5 percent of stock holders directly into Iviewit Holdings because of tax consequences. Therefore, a subordinate company was created, which was Iviewit Technologies, Inc.
Q. And we'll get to that one in just a moment.
A. Okay.
Q. Who paid the bills for the work done for Iviewit Holdings, Inc., the legal work to Proskauer?
A. Checks were issued by Iviewit.com.
Q. Inc.?
A. Inc.
Q. And the legal work performed by

Proskauer for Iviewit.com, Inc., what entity paid the legal bills for that work?
A. Iviewit.com, Inc.
Q. Okay. Let's talk -- you
transitioned us nicely into Iviewit Technologies, Inc., and the same line of questioning, sir, what was its purpose?
A. Twofold. One, it was the point of entry, if you will, for the remaining 5 percent equity holders. Secondly, Iviewit Technologies, Inc. was assigned the intellectual property rights.
Q. What firm performed the legal work for Iviewit Technologies, Inc.?
A. For corporate matters, Proskauer Rose and for intellectual property, our patent attorneys.
Q. Do you remember what company that was?
A. Well, until April of 2000, that was the New York firm, Meltzer, Lipper \& something or other.
Q. Was Foley \& Lardner ever involved?
A. Foley \& Lardner became involved. That's the Milwaukee firm. They became involved in April of 2000.
Q. After Meltzer?
A. Um-hum. Yes.
Q. Why did the company choose to switch Meltzer to Foley \& Lardner?
A. Because Meltzer decided to

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 discontinue intellectual property representation.
Q. In general for all its clients?
A. Yes.
Q. Okay. To your knowledge, did Foley \& Lardner ever stop representing the Iviewit companies?
A. Foley \& Lardner took the position that they would no longer represent Iviewit with new intellectual property work, and eventually, as I recall, did cease doing any work whatsoever.
Q. Why?
A. Basically due to lack of payment.
Q. Of its attorneys fee bills?
A. Yes.
Q. Do you know if those bills were ever resolved by the companies?
A. I don't know.
Q. At the time when you left in April of 2001, were those bills for Foley \& Lardner still outstanding?
A. Yes.
Q. Who were the principals -- getting back to the Iviewit Technologies, who were the principals of that company?
A. The same principals as the other

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Q. You were the president and COO?
A. Yes.

MR. PRUSASKI: Could we go off the record, please. We're going to go off the record for a minute.
(Discussion had off the record.)
(Whereupon, a break was taken from
9:31 to 9:51.)
MR. PRUSASKI: Okay, let's go on the record, please.

By MR. PRUSASKI:
Q. Mr. Utley, before the break I asked you who were the principals of Iviewit Technologies, and I believe you indicated you were the president and COO; is that correct?
A. Yes.
Q. Who at Iviewit directed Proskauer to do work for Iviewit, Technologies Incorporated?
A. I did.
Q. Do you know what the current status of Iviewit Technologies is?
A. No.
Q. And if I asked you this as far as Iviewit Technologies, Inc. before the break, I'm

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sorry, I'm going to ask you again. What entity
paid for the legal bills for Proskauer's work for Iviewit Technologies, Inc.?

MR. SELZ: I think that was asked and answered.

MR. PRUSASKI: I can't remember if I asked it as to this company or not.

MR. SELZ: I think you asked it as
to all the companies, so.
By MR. PRUSASKI:
Q. You can answer the question.
A. The bills of Iviewit Technologies, Inc. were disbursed by Iviewit.com, Inc.
Q. Do you recall what entity paid the Foley \& Lardner bills for Iviewit Technologies, Inc.?
A. Iviewit.com, Inc.
Q. And the same as to the Meltzer firm?
A. Yes.
Q. Do you know if the Meltzer firm's
bills were fully resolved by Iviewit?
A. I believe they were.
Q. Did Iviewit ever have any trouble paying its bills?
A. Yes.
that I had to deal with because we, you know, we very quickly depleted the funding that we had received and therefore required careful management of the available cash.
Q. I'd like to shift our questioning now to Proskauer Rose's representation of the Iviewit entities.

How do you describe the Iviewit -how do you describe Iviewit's satisfaction with Proskauer's services?

MR. SELZ: Object to the form of the question.

THE WITNESS: There were a number of discussions that took place, principally between Si Bernstein and Chris Wheeler over the size of the bills and the number of hours charged to Iviewit. By MR. PRUSASKI:
Q. What was the substance of those conversations?
A. I was not involved directly in those conversations, but from my perspective, there was no outcome from those discussions.
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    bills, if there was problem?
A. They were too large.
Q. Why were they too large?
A. He felt that the, both the hours charged and the rates were excessive.
Q. Did Mr. Bernstein know what Proskauer's rights were at the time Proskauer became involved in representing Iviewit?
A. I have no knowledge.
Q. Did you feel that the rates were too high?
A. I felt that the rates were comparable to a Proskauer Rose type of representation.
Q. Was there ever a time when you were dissatisfied with Proskauer's representation?
A. There were times when I observed that there appeared to be some duplicative effort within the organization.
Q. Did you address that with Chris Wheeler?
A. I mentioned it to Chris and Chris made some changes in assignment.
Q. Were you satisfied with Chris

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Wheeler's changes?
A. Yes.
Q. Do you recall if the bills reflecting Chris Wheeler's changes in assignment were ultimately paid?
A. When I left, the bills had been accruing for a very long time, and so the short answer would be, no.
Q. Why were the bills accruing for a long time at the time you had left?
A. I was directed by Si Bernstein not to disburse funds to resolve the outstanding balances.
Q. Why did he direct you to do that, or to not disburse funds?
A. To preserve cash.
Q. Did it have anything to do with his dissatisfaction with Proskauer's work or was it to preserve cash?

MR. SELZ: Objection; calls for speculation.

THE WITNESS: I can't answer that question. By MR. PRUSASKI:
Q. Was the companies having financial
A. Yes.
Q. Do you believe that's the reason why no further funds were disbursed to Proskauer?
A. Well, of course, since the cash situation was difficult, that was clearly a reason.
Q. Do you have reason to believe --
A. The question --
Q. I'm sorry.
A. The question really arose from the view of what priorities should be applied in disbursing funds.
Q. To creditors?
A. To creditors.
Q. Do you have any other reason to believe that -- I'm sorry, strike that.

Was there any other reason besides the companies' cash situation why funds were not disbursed to Proskauer?
A. There was -- Si Bernstein expressed dissatisfaction with the billings frequently.
Q. I believe you've stated that his dissatisfaction were what now?
A. With the rates charged and the hours

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charged.
Q. Okay. Was this communicated to Chris Wheeler?
A. He communicated that directly to Chris Wheeler.
Q. Do you know if Chris Wheeler ever did anything in response to those communications?
A. Chris, as I understand it, Chris
reviewed the billings and reviewed the charges and responded that they were accurate and consistent with their billing practices.
Q. Had you reviewed the bills?
A. Yes, I saw the bills.
Q. Did you feel that they were consistent?

MR. SELZ: Objection to the form of the question. Consistent to what?

THE WITNESS: I didn't understand that.

By MR. PRUSASKI:
Q. Did you -- I'll re-ask the question. You reviewed the bills?
A. Yes.
Q. Did you believe that they were unreasonable?

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accurate
Q. Did you feel that there were services billed by Proskauer that weren't performed by Proskauer?
A. No.
Q. Did you feel that there were services performed by Proskauer that Proskauer did not have permission of the companies to perform?
A. No.
Q.. Did Si Bernstein frequently complain to creditors about their bills?
A. He primarily focused on Proskauer Rose.
Q. Was there anyone else with Iviewit that concurs with your analysis of Proskauer's bills?
A. I can't answer that.
Q. Did you ever hear Si Bernstein specifically recall any instances where Proskauer billed for work that wasn't performed?
A. No.
Q. Do you recall Si Bernstein specifically complaining about work that

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Proskauer billed that Proskauer didn't have
permission to do?
A. No.
Q. Do you agree with Si Bernstein's analysis of Proskauer's bills?
A. I do not.
Q. How often did Proskauer send bills L. Iviewit?
A. Monthly.
Q. Who received them?
A. I did.
Q. Were they reviewed by you right
away?
A. Yes.
Q. And who else reviewed them?
A. Depending on who was handling the books at the time, the financial analyst.
Q. If there were any problems that you found with the bills, what did you do?
A. I didn't find any problems with the bills.
Q. Okay. Do you know how much Iviewit paid Proskauer in total?
A. I don't.
Q. Who made the decision to pay
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Proskauer's bills?
A. I did.
Q. Was that one of your job duties?
A. Yes.
Q. Did anyone else have the duty at

Iviewit to -- I'm sorry, strike that.
Did anyone else at Iviewit have the responsibility to determine whether the bills would be paid?
A. Well, it was delegated to Raymond Ilersh the last few months of Iviewit.
Q. What were the months and year?
A. Well, Raymond came into the picture, we employed him, as I recall, late 2000, and he continued until the office was closed at the end of April 2001.
Q. Why was the office closed in April of 2001?
A. The business was moved to California operationally.
Q. Did Mr. Hersh ever express to you any objection with respect to Proskauer's bills?
A. He felt that the bills were high.
Q. Did he have any specific reason to
feel that they were high?
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A. He just felt the rates were high.
Q. The rates charged per hour by the attorneys?
A. Yes.
Q. Do you know if the rates charged per hour by attorneys, changed at all during the time that Proskauer represented Iviewit?
A. Actually they went down because in the early phases of the company, most of the work was performed by Chris Wheeler directly, then as the work load increased, it was delegated down to staff members, associates and thereby, the rates were reduced.
Q. Did Si and Eliot Bernstein know this?
A. Yes.
Q. Were Iviewit's bills for -- strike that.

Did Iviewit normally pay its bills to Proskauer on time?
A. No.
Q. Why?
A. Preserving cash.
Q.. Did that have anything to do with

Proskauer?
A. Well, as the largest creditor, it
was the, the action that would have impacted cash reserves the most. And Si Bernstein, again, instructed me not to make those payments.

When we received a funding, I did make payments and we had a number of discussions, that is Si Bernstein and I had a number of discussions over how much those payments should be.
Q. Do you recall any bills specifically withheld for payment because of Iviewit's objections to the bills?
A. No.
Q. At the time when Si Bernstein was complaining to you that he felt Proskauer's bills were too high, was he still directing Proskauer to do work for the companies?
A. Yes.
Q. Same for Eliot Bernstein?
A. Yes.
Q. Do you know if there ever came a
time when Proskauer ever stopped representing Iviewit?
A. No.
Q. Did Iviewit ever fire Proskauer from

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    representing them?
A. I'm not aware of them ever being fired.
Q. Did Proskauer occasionally send letters to Iviewit demanding payment?
A. Yes.
Q. How often?
A. Well, at least once a month.
Q. Did you review the bills that were,
that Proskauer alleged to be overdue?
A. Yes.
Q. Did you feel that the bills were owed?
A. Yes.
Q. Did Iviewit ever enter into any payment arrangements with Proskauer?
A. Yes.
Q. Why was that?
A. I made several agreements with

Proskauer for scheduled payments, but my agreements were usurped by Si Bernstein.
Q. What do you mean usurped?
A. He directed me not to honor those agreements.
Q. Do you feel you bound the company in
those agreements?
A. I did.
Q. Do you feel that Si Bernstein had a right -- did you think the companies -- strike that.

Do you think the company's not
honoring the agreement at the direction of si

Bernstein was justified?
A. No.
Q. Why?
A. We had a responsibility to pay our bills.
Q. Okay.
A. And we had no basis for singling out Proskauer Rose among the creditors.
Q. Do feel Proskauer Rose was singled out?
A. I think as the bills became so large that there was clearly no path to resolving the total bill in the short term, and as the bills continued to accumulate, it became just a larger and larger burden.
Q. Okay. I'm going to ask you a couple of questions that \(I\) didn't at the beginning about Proskauer's particular work. I'm sorry to jump

Do feel that Proskauer ever
committed a malpractice in its representation of
Iviewit?
A. No.
Q. How would you respond to someone else's allegation that they did?

MR. SELZ: Objection to the form of
the question. I think it calls for speculation too. Is this a hypothetical you're asking him, Chris?

By MR. PRUSASKI:
Q. How do you respond to an accusation that Proskauer Rose did bad work for Iviewit with , respect to patents?
A. The only work that Proskauer Rose did was to find a, and recommend "a particular patent attorney in another firm.
Q. Was that done properly?
A. That was done before I became involved with the company.
Q. Okay. Do you know what the status of Iviewit, LLC's bankruptcy is?
A. I believe it's in Chapter 7.
Q. Currently?
A. Yes.
Q. In a bankruptcy proceeding?
A. Yes.

MR. PRUSASKI: Mr. Selz, I'm going
to show the witness some exhibits. The first one is the Amended Complaint, which you have a copy of.

By MR. PRUSASKI:
Q. Mr. Utley, I'm going to show you a document that I'm going to mark Exhibit 1, which is entitled Amended Complaint. I'm going to ask you to look at it and tell me if you've ever seen it before.
(Whereupon, Exhibit No. 1 was marked for identiification.)

THE WITNESS: (Witness complies.)

No.

By MR. PRUSASKI:
Q. Do you recognize the invoices that are attached to the document?
A. They appear to be familiar.
Q. Are those the invoices that

Proskauer Rose sent to Iviewit?
A. As I say, they appear to be
familiar, typical of the invoices that \(I\) was
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receiving.
Q. If you look on the invoices, the first one is dated January 31, 2000. It's about seven pages into the document.
A. Um-hum.
Q. It indicates that the client's name is Iviewit.com, Inc. Were most of the invoices sent to that entity?
A. I believe so. Again, this was, I assume, this was because Iviewit.com, Inc. was the operating company.
Q. If Proskauer did work for Iviewit Technologies or Iviewit Holdings, would the work for those companies be sent, the bills sent to Iviewit.com, Inc.?
A. Yes.
Q. Was that the way that the company wanted the bills to be sent?
A. We did not make any specific request in terms of how the bills should be addressed.
Q. Okay. What were the distinctions -how do you describe the distinction between the different entities as to how they should be billed?
A. We didn't make any distinction
Q. Could you elaborate on that.
A. We didn't make any distinction.
Q. Okay. How many separate bank accounts did the entities have?
A. I don't recall. There were a number of bank accounts that were held, that were in place before \(I\) joined the company and we basically used two accounts when \(I\) was there. One was the checking account and the other was a, a savings account. Both in the name of either dotcom, LLC or dotcom, Inc.

MR. PRUSASKI: Mr. Selz?

MR. SELZ: Yeah.

MR. PRUSASKI: I'm going to show
Mr. Utley the document that's Exhibit 9 of the documents that \(I\) provided you a few days ago.
(Whereupon, Exhibit No. 2 was
marked for identification.)

By MR. PRUSASKI:
Q. Mr. Utley, I'm going show you a document which is a letter dated October 12th, 1999, which we'll mark as Exhibit 2, and I'll ask you to look at that and tell me if you've ever seen it before.
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A. \(\quad\) (Witness complies.) Yes.
Q. \(\quad\) Can you explain what that document
A. \(\quad\) Well, it's a request for additional
payment. At that time, Iviewit had just received
a \(\$ 500,000\) investment from Huizenga Holdings,
H-U-I-Z-E-N-G-A. And I'm not aware that any
payment had been made to Proskauer since services started in, early in the year, January or so in 99. We made an initial payment of 75,000 when we received the \(\$ 500,000\) investment from Huizenga Holdings, and this was a request for an additional 75,000.
Q. Were there points in time where Proskauer didn't bill Iviewit for several months or allowed Iviewit not to pay for several months?
A. I recall reviewing the account prior to the time \(I\) joined the company, and if my memory serves me correctly, it had accrued to \(\$ 285,000\) by the time that \(I\) joined the company. That's a recollection. I'm not aware that any payment had been made.

> I do recall a June statement summarizing the activities over the prior several months.

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A. Yes.
Q. Okay.

MR. PRUSASKI: Mr. Selz, I'm going
show Mr. Utley the Document No. 10 that I gave you a few days ago.
(Whereupon Exhibit No. 3 was marked for identification.)

BY MR. PRUSASKI:
Q. Mr. Utley, I'm going to show you a documernt marked Exhibit 3, which is a letter dated March 9th, 2000 and I'll ask you to look at that and tell me if you've ever seen it before.
A. (Witness complies.) Yes.
Q. Can you explain. what that document is?
f. Well, it's a request for additional payment summarizing the outstanding balance and requesting a specific amount be paid to take care of specific invoices which had been received.
Q. Did you receive the document?
A. This document?
Q. Yes.
A. Yes.
Q. And I don't know if I asked the
previous document, which was Exhibit 2 , did you receive that as well?
A. Yes.
Q. Okay. If you look at the second paragraph, it indicates that there is an outstanding account due of \(\$ 259,494\). Do you know why that much in attorneys fees had accrued?
A. Well, as I mentioned, the outstanding amount by the time that \(I\) came, joined the company was approaching 300,000 . There had been at least two separate filings for, corporate filings. The initial filings were for a C-corp, which was later modified to an S-corp. (Whereupon, deposition interrupted by person entering the conference room.)
THE WITNESS: And in addition to that, Proskauer provided services to Iviewit, introductions, meetings, conference rooms, travel to Orlando to meet with the companies there for technology reviews; and so there was a, there was a lot of activity that took place in the early days of the company where the Proskauer was acting as, basically as an agent for the company. By MR. PRUSASKI:

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Q. At whose request?
A. At Bernstein's request.
Q. And the letter also indicates that we have not taken a retainer on this matter. Can you explain why Iviewit didn't pay a retainer to Proskauer?
A. I was not involved in those discussions. It was prior to my time.

MR. PRUSASKI: Mr. Selz, the next is Document 11.
(Whereupon, Exhibit No. 4 was marked for identification.)

By MR. PRUSASKI:
Q. Mr. Utley, I'm going to show you a document marked Exhibit 4, a letter dated March 24th, 2000. I'm going to ask you to look at the document and tell me if you've ever seen it before.
A. Yes.
Q. Did you receive a copy of this
letter?
A. I did.
Q. Can you describe what it is?
A. It was, it is a letter to Si

Bernstein confirming that \(I\) had made an

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arrangement to make payments on a schedule and it
states that \(S i\) Bernstein had put a hold on the agreement that \(I\) had made to make periodic payments.
Q. Why was a hold put on that agreement?
A. Si Bernstein did not agree with disbursing those funds.
Q. Okay. What ultimately -- how was that ultimately resolved?
A. I don't recall.
Q. Do you know why Si Bernstein had a problem with disbursing those funds?

MR. SELZ: That's asked and
answered.

By MR. PRUSASKI:
Q. Answer the question, please.
A. I think as \(I\) had stated earlier, he had a general feeling that the charges were excessive.
Q. Okay. Were payment arrangements ultimately made with Proskauer after this March 24th, 2000 letter?
A. I believe there were several
arrangements made.

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Q. The bills
A. -- the bills to be translated into equity in Iviewit.
Q. Shares of stock?
A. Yes.
Q. And Proskauer rejected that?
A. Yes. I'm not sure if that came up on this specific occasion, but \(I\) do know that that was one of Si's proposals that he made from time to time.
Q. Do you know if the meeting that this letter references, in that meeting si Bernstein objected to the size of the bills?
A. \(\quad\) I wasn't in the meeting.
Q. Okay.

MR. PRUSASKI: Document 13,

Mr. Selz.
(Whereupon, Exhibit No. 6
was marked for identification.)

By MR. PRUSASKI:
Q. Mr. Utley, the next document I'm going to show you is marked as Exhibit 6. I'll ask you -- it's an April loth, 2000 letter. I'll ask you to look at the document and tell me if you've ever seen it before.

Q. Okay. So at this point, there's been three separate payment arrangements?
A. It's the same payment arrangement

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documented in three separate letters. It was
referenced in the March 24 th letter; it was referenced in the March 31st letter, and it's referenced in the April 10th letter.
Q. Okay. So this payment arrangement that Si ultimately wanted to put a hold on, was eventually agreed to?
A. Not by Si.
Q. By you?
A. By me.
Q. Okay.
A. I made the agreement in the first place.
Q. If you knew that Si had objected to the payment plan, why did you agree to it?
P. He objected to it after I made the agreement.
Q. Okay.

MR. PRUSASKI: Document No. 14,
Mr. Selz.
(Whereupon, Exhibit No. 7
was marked for identification.)
By MR. PRUSASKI:
Q. Mr. Utley, I'm going to show you a document marked Exhibit No. 7. It's a letter or

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Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 a memo dated April 11th, 2000. I'll ask you if 50 you've ever seen it before?
A. Yes.
Q. Is that your signature on the
letter?
A. It is.
Q. And did you deliver it to Chris

Wheeler?
A. I did.
Q. And can you explain what it is.
P.. I'll read it. "Regarding the proposed payment plan, it is our intent to fulfill the agreement."
Q. Is this referencing the \(\$ 25,000--\)
A. Yes.
Q. -- every two weeks payment plan in
the April 10th letter?
A. Yes.
Q. Were any payments made pursuant to
that payment plan?
A. Okay. I don't recall.
Q. Do you feel that this document bound the company?
A. I did.
Q. Okay. Do you recall how much was

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 owing about at that time to Proskauer?
A. I don't.
Q. I'm going to show you a document marked Exhibit 8.

MR. PRUSASKI: Mr. Selz it's No. 15.
MR. SELZ: Okay.
(Whereupon, Exhibit No. 8 was
marked for identification.)
By MR. PRUSASKI:
Q. A letter dated April 19th, 2000. I'll ask you to look at it and tell me if you've ever seen it before.
A. Yes.
Q. Did you receive a copy of it?
A. I did.
Q. Does the -- what does the letter describe?
A. It confirms receipt of my letter confirming the payment plan, the fact that the first payment was made on April the 7 th, and it elaborates that the remaining payments would be due every two weeks. It also expresses an understanding that in the event of a financing or capital investment, the past due balance would be paid on receipt of those funds.

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April 19th, 2000 -- let me ask you that in a non-leading way.

So after the payment arrangement was entered into, what was your understanding as to Iviewit's responsibilities to Proskauer?
A. To continue with the payment plan.
Q. Was that done?
A. I don't recall what the subsequent payment was.
Q. I'm going to show you a document that's marked Exhibit No. 9.

MR. PRUSASKI: Mr. Selz, it's the next document. I'm going in order.

MR. SELZ: Okay.
(Whereupon, Exhibit No. 9
was marked for identification.)
By MR. PRUSASKI:
Q. A letter dated May 15th, 2000. I'll ask you to look at it and tell me if you've ever seen it before.
A. Yes. It recognizes a payment received on April the 27 th and details against which invoices the payment would be applied and includes a reminder that the next payment would

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 be due on May the 15th.
Q. Okay. At the time that you received that letter, did you verify that those amounts were correct?
A. They were, yes.
Q. Okay. Did you feel that they were due and owing at the time?
A. Yes.
(Whereupon, Exhibit No. 10
was marked for identification.)

By MR. ERUSASKI:
Q. I'm going to show you a document marked Exhibit 10, a letter dated May 30th, 2000. I'm going to ask you to look at it and tell me if you've ever seen it before.
A. Yes.
Q. Did you sign that document?
A. No.
Q. Who did?
A. My secretary.
Q. At your request?
A. Yes.
Q. Okay. Was the letter transcribed accurately?
A. Yes.
Q. Okay. And you delivered it to

Mr. Wheeler?
A. Yes.
Q. Can you explain the substance of the letter, please.
A. Well, it says that due to our cash position, that \(I\) was only able to make a partial payment. I did make a partial payment and it reaffirms that normal payments would resume as soon as cash reserves would permit.
Q. Did Si and Eliot Bernstein know that the \(\$ 25,000\) payments were being made?
A. Yes.
Q. What was their position on that?
A. Well, on severai occasions I was
challenged as to why I made those payments.
Q. Was that ever resolved?
A. Resolved after the fact.
Q. Let me ask what -- after they
challenged the payments, were other payments made?
A. Yes. I think we have a record of at least three payments here.
Q. Okay. Since they challenged you on the first payment?

Proskauer Fose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
A. Yes.
Q. Why did you continue making the payments?
A. Well, I continued payments as I could and as I thought was prudent at my discretion.
Q. Did Si and Eliot Bernstein, after they objected to you making the first payment of \(\$ 25,000\), did Si and Eliot Bernstein continue directing Proskauer to do work?
A. Yes.
(Whereupon, Exhibit No. 11
was marked for identification.)
By MR. PRUSASKI:
Q. I'm going to show you a document marked Exhibit 11, which is a letter dated December 29th, 2000. I'll ask you to look at the document and tell me if you've ever seen it before.
A. Yes.
Q. Did you receive a copy of it?
A. I did.
Q. Could you explain the substance of the letter, please.
A. It states that Iviewit will commence

\section*{"roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02} payments of \(\$ 30,000\) starting in December 2000 and on the 15 th of each month thereafter, that the current monthly bills will not exceed \(\$ 5,000\) a month and 5,000 of the \(\$ 30,000\) payment would be applied to current bills and 25,000 to the outstanding balance.
Q. Was this a different payment arrangement than the previous one?
A. Yes.
Q. And why was this payment arrangement entered into?
A. We had received a promissory note funding from crossbow and so we were disbursing funds because of that funding.
Q. And at this point in time, were the Bernstein's still directing Proskauer to do work for the companies?
A. Yes.
(Whereupon, Exhibit No. 12
was marked for identification.)
By MR. PRUSASKI:
Q. The next document is marked Exhibit 12, a letter dated January 4th, 2001. I'm going to ask you to look at this document and tell me if you've ever seen it before.

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A. Yes.
Q. Did you receive this letter?
A. Yes.
Q. And can you explain what the letter, the substance of the letter is, please.
A. Yes. It confirms receipt of $\$ 30,000$ and identifies how it would be applied against the receivables.
Q. Was that payment made pursuant to the arrangement describe in the December 29 th letter?
A. Yes.
Q. The accounts receivable balance of $\$ 66,844.60$ described in the letter, at that time you received the letter, do you know if that money was due and owing Proskauer?
A. I believe it was, yes.
THE WITNESS: Can I take a break?
MR. SELZ: Sure.
(Whereupon, a break was taken from
10:50 to 10:59.)
MR. PRUSASKI: What's my last
question?
(Whereupon, the requested portion was read back.)

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By MR. PRUSASKI:
Q. The next document I'm going to show you is marked Exhibit 13. It's a letter dated January 8th, 2001. I'll ask you to look at this document and tell me if you've ever seen it before.
A. Yes. It's a request for the January payment of \(\$ 30,000\), which had not been made by January the 18 th .
Q. Did you receive this letter from Chris Wheeler?
A. Yes.
Q. Okay. Why wasn't the \(\$ 30,000\) payment due on the fifteenth made?
A. I could only surmise that it was because of insufficient funds.
Q. Iviewit had insufficient funds?
A. Yes.
Q. Do you believe that the payment was due and owning?
A. Yes.
Q. The letter describes in the 4 th sentence, the first clause: "If the payments are
'roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
continually delinquent," do you feel that's an
accurate description by Chris Wheeler of
Iviewit's payment history?
A. Yes.
(Whereupon, Exhibit No. 15 was marked for identification.)

By MR. PRUSASKI:
Q. The next document I'll show you is being marked Exhibit 15, a letter dated March 28th, 2001 consisting of four pages total.

MR. SELZ: Chris, is that 14 or 15?
MR. PRUSASKI: It's 15. The January
18th letter was 14.

THE WITNESS: There's two January
8th letters. These are both the same, 13 and 14.
MR. PRUSASKI: Oh. I'm sorry.
Steve I mismarked. This is going to be 14.
MR. SELZ: Okay. That's what I
thought.
MR. PRUSASKI: Sorry. Thanks for
pointing that out.
MR. SELZ: No problem.
(Whereupon, Exhibit No. 14 was
marked for identification.)
By MR. PRUSASKI:

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}

This is going to be Exhibit 14, a March 28th, 2001 letter consisting of four total pages. I'll ask you to look at it and tell me if you've ever seen it before.
A. Yes.
Q. Did you receive this from Chris

Wheeler?
A. Yes.
Q. Could you describe what it is.
A. It's detailing about standing
invoices and an acknowledgement of \(\$ 7,000\) which has been paid.
Q. Did you review the invoices listed in this letter when you received the letter?
A. They were reviewed by accounts payable.
Q. Were they found to be due and owing?
A. Yes.

MR. SELZ: I didn't catch that. Who reviewed them?

THE WITNESS: Accounts payable.
By MR. PRUSASKI:
Q. Who comprised the accounts payable?
A. Bill Kasser at that time.
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Q. At the time this March 28th, 2001
letter was received, were Si and Eliot Bernstein still directing Proskauer to do work?
A. Yes.
Q. Were you directing Proskauer to do work?
A. I was, although I don't believe at that point in time there was very much work being done.
Q. Why was that?
A. Because of the state of the company.
Q. How do you describe the state of the company at the time of the March 28th, 2001 letter?
7. Well, the company was in the retrenchment mode and we did not -- we did not activate much work.
Q. What do you mean by retrenchment mode?
A. We were in the mode of determining how we would shrink the company and what the operational activity of the company would be between California and Boca.
Q. Was the company experiencing
A. Yes.
(Whereupon, Exhibit No 15 was marked for identification.)

By MR. PRUSASKI:
Q. I'm going to show you a document marked Exhibit 15, a letter dated April 16th, 2001, and ask you to tell me if you've ever seen that document before.
A. I don't recall it specifically but I assume this is a letter that \(I\) did receive. Yeah, I think so.
Q. Can you describe the substance of the letter.
A. It confirms notification of a \(\$ 25,000\) retainer requirement for additional services and requests of Ross Thompson, a senior staff attorney, to prepare a status report of ongoing projects for Mr. Miller, who was brought in to assist in closing the Boca operation.
Q. Is this after you left the company that this letter was sent or before?
A. It was shortly before.
Q. And who was Mr. Miller again?
A. He is an attorney who was brought in

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to basically wind down the Boca operation.
Q. Was he a solo practitioner or a firm attorney?
A. I believe he was an independent
attorney.
Q. What was Mr. Miller's first name?
P. Ross.
Q. Did you ever have conversations with

Mr. Miller about Proskauer's bills?
A. Yes.
Q. And what did you talk about?
A. I told him --

MR. PRUSASKI: Hold on a second.
Steve?
MR. SELZ: Yeah, I think my client got disconnected. I'll have to call him right back.

MR. PRUSASKI: Okay.
MR. SELZ: Okay, Eliot, you're back?
MR. BERNSTEIN: Yep.
MR. SELZ: Okay. Sorry. Okay, go
ahead.
MR. PRUSASKI: I'll re-ask my last question.

MR. SELZ: Thank you.
Q. What did you and Mr. Miller discuss pertaining to Proskauer's bills?
A. We discussed the outstanding balances.
Q. Whose idea was it to bring

Mr. Miller into the company?
A. The board of directors.
Q. Eliot and Si Bernstein included?
A. Yes.
Q. They both concurred with bringing him aboard?
A. Yes.
Q. And what was Mr. Miller's position with respect to Proskauer's bills?
A. I don't recall any specific position.
Q. Do you know if Mr. Miller felt the bills weren't due and owing?

MR. SELZ: Calls for speculation.
THE WITNESS: The direction that he
was given by the board, of which I was a party, was to reach a settlement of all outstanding payables.

By MR. PRUSASKI:
Q. Was the settlement because of

Iviewit's financial condition?
A. Yes.
Q. Okay. Do you know if Mr. Miller ever reached a settlement with Proskauer?
A. I don't.
Q. You don't know?
A. No.
Q. Were you present at all of the meetings of the board of directors for Iviewit?
A. I was through April of 2001.
Q. Were any Proskauer attorneys ever present at any of those board meetings?
A. At many of them, but \(I\) don't specifically recall which board meetings.
Q. Do you know if Si or Eliot Bernstein ever mentioned during those board meetings that Proskauer was billing for work that they didn't have permission to perform?
A. No.
Q. You don't know or you never heard them say that?
A. I never heard them say that.
Q. Okay. Do you know if Si or Eliot Bernstein -- or let me allow my question to
rroskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 encompass anyone present at the meeting. Did
anyone present at the board meetings ever complain or state that Proskauer was billing for work that they didn't do?
A. No.
Q. Did anyone ever complain or state at
any board meetings of Iviewit that Proskauer performed poorly with respect to legal work? A. No.
(Whereupon, Exhibit No. 16 was marked for identification.)

By MR. PRUSASKI:
Q. I'm going to show you a document marked Exhibit 16, which is a letter dated April 16th, 2001, total two pages, ask you to look at this document and tell me if you've ever seen it before.

Oh, wait a second, I'm showing you the same letter as pervious. I'm sorry. I did it again.

MR. PRUSASKI: Hold on a second, Steve. I mismarked another exhibit.

MR. SELZ: Okay.
(Whereupon, Exhibit No. 16 was
re-marked for identification.)
"roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
By MR. PRUSASKI:
Q. The document I wanted to show you, which is going to be marked Exhibit 16 is a letter dated April 16th, 2001, attaching, with a three-page attachment, four pages in total. I'm going to show you that and ask you if you've ever seen it before?
A. Yes.
Q. Did you receive that letter and the attachment?
A. I believe so.
Q. Obviously the letter states that it's attaching a list of outstanding invoices due and owing. Did you or anyone in the company do a reconciliation as to whether these invoices were due?
A. Bill Kasser was requested to review them.
Q. Did Bill Kasser do the reconciliation?
A. As far as I know, he did.
Q. Do you know what his findings were?
A. I did not receive any notice of any discrepancies.
Q. Okay. Were you still with the
A. Yes.
(Whereupon, Exhibit No. 17 was marked for identification.)

By MR. PRUSASKI:
Q. Show you a document marked Exhibit 17, a letter dated April 27th, 2001, consisting of two pages. I'm going to ask you if you've ever seen this document before?
A. Um-hum.
Q. That's a yes?
A. Yes.
Q. And did you receive it?
A. Yes.
Q. And what is the substance of the
letter?
A. It says: Since no payment had been received, effective immediately, representation was going to be terminated and a request was made for payment in full and in the event that is not received or an arrangement not made, the litigation department would be requested to take action.
Q. At the time you received the letter, did you dispute the amount listed in Paragraph 2

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
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of 369,460.97 as due and owing?
A. No.
Q. Why?
A. Well, it had been enumerated on the prior letter of April 16th.
Q. Okay. And no one in accounts -- had anyone in accounts payable given you any indication that this amount was due?
A. No.
Q. How long after you received the letter of Proskauer's terminating representation did you leave the company?
A. Three days.
Q. April 30th?
A. Yeah.
Q. Okay.

MR. PRUSASKI: Steve, I need to
review my notes for a moment.
MR. SELZ: Okay.
(Whereupon, a short break was taken.)

By MR. PRUSASKI:
Q. I'm going to ask you some questions I made notes on during your previous testimony so we're going to move backwards in time and I

[^54]Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 apologize for that.
A. Okay.
Q. Who is Ken Rubenstein?
A. Ken Rubenstein is an intellectual property department head at Proskauer Rose in New York, and he is the person who recommended Meltzer as the patent attorneys to take care of the Iviewit intellectual property matters.
Q. What's your background in IP?
A. Well, I hold a number of patents. I, as general manager of a major IBM facility, an intellectual property department of patent attorneys reported to me. I was involved in promoting $I M^{\prime \prime} s$ intellectual property activities. I sold an IBM company with intellectual. property attributes and a portfolio that went with the company. So I've been involved in various aspects of intellectual property for many years.
Q. Do you believe that one of the reasons why you were brought into Iviewit was because of your intellectual property background?
A. Yes.
Q. Who from Iviewit directed the firm that Rubenstein recommended to do the IP work?
A. Before $I$ joined the company, it was
Q. Was he satisfied with that firm's work?
A. I don't recall any complaint.
Q. Did you have any complaints?
A. No.
Q. Do you know if any intellectual property work for Iviewit was ever mishandled by any law firms?
A. No.
Q. Do you recall Proskauer ever agreeing to accept fixed fees for work, that's fixed fees for doing work for Iviewit?
A. No.
Q. Would you know if they did?
A. Subsequent to my employment, yes.
Q. I asked you if any law firms had mishandled any patent work for Iviewit. Do you know if any law firms failed to do necessary patent work for Iviewit?
A. I'm not aware of any law firms that did not complete their assignments.
Q. Did you oversee, subsequent to the beginning of your employment, the work done by the patent attorneys?

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Let me come back. On the
provisional, the initial provisional filings, on later review, did not cover all aspects of the material that should have been covered; and that was corrected in the formal filings.
Q. By what firm was involved in that?
A. That was Meltzer.
Q. Proskauer never had anything to do with that?
A. No.
Q. Did Proskauer ever submit bills for intellectual property work that they didn't do?
A. No. Not that I'm aware of. The only billings were for trademark registration work that was done.
Q. Was that done correctly?
A. Yes.
Q. Can you explain to what extent Proskauer did trademark registration work?
A. It was handled out of the New York office and Iviewit, as a name for instance, and the graphics representing Iviewit were registered.
Q. Correctly?

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Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
A. Yes.
Q. I'm going to read a statement to you from Iviewit's interrogatory answers. It says:
"At several board meetings, the
billings of Proskauer and the work products were repeatedly questioned by all board members and Chris Wheeler, who attended these meetings and was fully aware of major concerns in the total bills and incompetence of product or failing to produce work results."

Is that an accurate statement?
A. No.
Q. Okay. Based on your previous testimony?
A. Yes.
Q. Another statement I'll read to you from Iviewit's interrogatory answers.
"Gerald Lewin, Murice, Buchsbaum --$\mathrm{B}-\mathrm{U}-\mathrm{C}-\mathrm{H}-\mathrm{S}-\mathrm{B}-\mathrm{A}-\mathrm{U}-\mathrm{M}--$
A. Buchsbaum.
Q. -- Buchsbaum, Brian Utley, Ross Miller, Aden Foley, William Kasser and Larry Mondragon -- sorry, Ms. Court Reporter -- were all commissioned by the board to investigate the billings and inferior work products, especially

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 in relationship to the patent pool errors and missing copyrights."

Is that an accurate statement?
A. Well, some of those names $I$ don't recognize.
Q. Were these people who were commissioned by the board of directors to investigate Proskauer's billings?
A. If I can rephrase that.
Q. Sure.
A. At several meetings, the magnitude of the Proskauer billings was discussed, and Si agreed to discuss those billings with Chris Wheeler, but there were no, there were no details or specifics regarding failure to complete work or work completed but not requested.
Q. What about incompetence of work?
A. That was never an issue.
Q. What about patent pool errors and missing copyrights, was that ever an issue?
A. Patent pool errors were not within the scope of Proskauer work.
Q. What is a patent pool error?
A. A patent pool is something that industry participants will create. For instance

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 and specifically in the MPEG area, there is a
patent pool that Ken Rubenstein is personally involved with relating to licensing the usage of MPEG based intellectual property. And the reason this pool is established is because the licensors basically formed a consortium or a pool for the purpose of granting rights so that people in the industry could practice the collection of intellectual property, which was held across a number of different companies. So they form a pool and the pool collects the royalties and then distributes the royalties across the pool members.
Q. Was there ever patent pool errors?
A. There was no such pool that Iviewit has ever been involved.
Q. So were there any patent pool
errors?
A. There was no patent pool.
Q. Do you have any idea what Eliot

Bernstein is referring to in his interrogatory answers?
A. No.
Q. Were there any missing copyrights?
A. No.

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'roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
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Q. Does Eliot Bernstein have patent or
copyright experience?
A. No.
Q. Does Si?
A. No.
Q. The interrogatory answers refer to correspondence between Ross Miller and Proskauer relating to negotiating settlement to the overstated bill. It was agreed to settle at $\$ 100,000$ but the deal was never consummated. I'll ask you, Mr. Utley, are you aware of any settlement agreements like that?
A. No.
Q. Do you have any idea what

Mr. Bernstein is talking about?
A. No.
Q. Do you know if Mr. Hersh ever entered into any agreements with Proskauer to settle the bills?
A. If he did, it would have been with my knowledge and approval.
Q. Do you know if -- I'm going to refer to another interrogatory answer, which I can't quote because it's not in context. It's responding to a question.
by Attorneys Wheeler or Rubenstein with respect to NDA's for their respective clients or patent pools that they oversaw?
A. I'm not aware of anything.
Q. Did the board ever -- well, I'm going to quote this one because it's important that I do.

We asked Iviewit in Interrogatory No. 15 if they ever complained to or otherwise notified Proskauer Rose that Proskauer allegedly failed to perform any work, and they said yes, and we asked them to explain their communicating to Proskauer about these things; do you understand?
A. I understand.
Q. Okay. The response, and I'll quote it, was there were, quote, demands by the board to limit Mr. Utley and Mr. Wheeler from running up substantial bills without board approval. Mr. Utley was limited in incurring Proskauer or other expense items, especially whereas his friends were concerned, to a maximum of $\$ 5,000$. Mr. Wheeler is aware of this and proceeds to bill far in excess for work Mr. Utley requested

[^55]"roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
without board approval, end quote. Is that an accurate statement?
A. No.
Q. Were there ever demands by the board to limit your authority?
A. Not my authority. There was requests to minimize the amount of work that would be allocated to Proskauer. And the problem that $I$ had was that much of the work that was done by Proskauer was not requested by me but was requested by the Bernstein's.
Q. So how do you characterize the statement that $I$ just read to you?
A. I think it's a misrepresentation..
Q. Did you ever allow Proskauer to perform work without board approval, proper board approval, as I'm referring to this interrogatory answer?
A. Well, day-to-day work does not require board approval.
Q. I asked Iviewit in Interrogatory 17 and 18 to list the particular invoices billed by Proskauer which they believe work was billed but not at Iviewit's request, and they specified some work and $I$ want to run over it with you briefly.

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02

## They refer to Distance Learning, acquisition and

merger work with New Jersey Distance Learning

Company. Does that ring a bell?
A. Um-hum.
Q. Did Proskauer do any work with respect to that?
A. A small amount of work related to the potential structuring of a merger or acquisition on the part of Iviewit.
Q. Who requested Proskauer to do the work?
A. I did.
Q. Did the Bernstein's know that

Proskauer did that work?
A. Yes.
Q. Did they ever complain about it?
A. Si did not. Eliot did.
Q. What did Eliot say about it?
A. Well, he didn't think that we should be involved in distance learning.
Q. Would you describe that as a disagreement among management?
A. Yes.

MR. SELZ: Object to the form of the question.

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
By MR. PRUSASKI:
Q. Another category is setup
corporations: I-Media, I-Learnit, InternetTrain, etc., name searches and trademarks. Did Proskauer do any type of work related to that?
A. I'm not aware that they did.
Q. Trademark applications is another category listed in the interrogatory answers. Do you know if Proskauer did any work related to trademark applications?
A. Yes.
Q. And I believe you previously said the New York office did?
A. Yes.
Q. Who requested that Proskauer do this work?
A. It was started before I joined the company and it continued while $I$ was involved with the company.
Q. So who would have requested it before you joined?
A. It was requested from the

Bernstein's through Chris Wheeler.
Q. Another category where Proskauer
billed for work that it alleges was not performed

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 at Iviewit's request was Christopher Wheeler
attending board meetings. Who requested

Mr. Wheeler to attend Iviewit board meetings?
A. This was a practice that started
before $I$ joined the company.
Q. Was there ever a time where

Christopher Wheeler was asked to stop attending board meetings?
A. No. No. The only exception to his presence was if subject matter would be discussed that he should be excluded from.
Q. Do you think it's unreasonable for him to bill his time for attending a board meeting for his client?
A. No.
Q. Another category is entire corporate organizations and reorganization were all recommended by Proskauer Rose and were to be small incorporation fees for setup of Mr. wheeler's corporate scheme, instead there are mass billings for this work. How do you respond to that statement?
A. Well, the first two occurred before

I joined Iviewit, but my understanding of what transpired is that Proskauer was first directed

| to form a C-corp. Friends of the family -- | 82 |
| :---: | :---: |
| Q. Directed by whom? |  |
| A. By Bernstein's. |  |
| Q. Okay. |  |
| A. Friends of the family in Los |  |
| Angeles, after the fact, strongly recommended |  |
| that it be, the corporate structure be modified |  |
| to an S-corp for tax purposes. So it was |  |
| restructured into an S-corp. That was U-View |  |
| fees. |  |
| Then after I joined the company and |  |
| we began to explore the capital markets, it |  |
| became evident that an S-corp was a handicap and |  |
| for investment purposes, we needed to be a |  |
| C-corp. And so the board made the decision late |  |
| 1999 to convert to a C-corp. |  |
| The problem that we had to deal with |  |
| and that compounded Proskauer's work effort was |  |
| the complexity of the structure that had to be |  |
| migrated that had been created with the $S$-corp |  |
| and the multiple investments in the $s$-corp and |  |
| Iviewit, LLC and preserving tax status for those |  |
| investors in Iviewit, LLC. So we were migrating |  |
| basically three companies into three new |  |
| companies and preserving one company for |  |

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accounting purposes.
Q. In Iviewit's answer to Interrogatory No. 20 in Subparagraph Roman Numeral $X$, they said that several meetings were held with Chris Wheeler and Simon Bernstein, chairman of the board, regarding excessive billings and controlling Mr. Utley and Mr. Wheeler and the billings for personal conferences they held daily. How do you respond to that statement?
A. We didn't hold personal conferences daily, and if we had personal meetings, they were not charged.
Q. Is that a misrepresentation, then?
A. That's a misrepresentation.,
Q. The answer to Interrogatory 20 in

Subparagraph Roman Numeral XI, 11, it says:
"Chris Wheeler agreed to investigate charges that Rubenstein and the name $J-O-A-O$, which $I$ think is Joao.
A. Joao.
Q.JJoao.
A. Yeah.
Q. Were forging and changing patent documents and leaving inventors off patents. Wheeler and Utley suggest using their friend
off, Foley \& Lardner to correct the gross negligence uncovered in Rubenstein/Joao work. How do you respond to that statement?
A. Well, Rubenstein was never involved in any of that work.
Q. Is that a misrepresentation?
A. That's a misrepresentation,
Q. Were there charges by you or anyone at Iviewit that Joao was forging and changing patent documents and leaving inventors off patents?
A. No.
Q. How do you respond to that
statement?
A. That it's inaccurate.
Q. Did you and Mr. Wheeler suggest using, who's described as your friend, at Foley \& Lardner to correct gross negligence in the Rubenstein/Joao work?
A. Well, Rubenstein, as I said, was not involved in the work.
Q. Okay. I'm sorry, I shouldn't have said that, but $I^{\prime} m$ reading again off the interrogatories.
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A. But it is accurate to say that when
we brought in Foley \& Lardner, who was referred to me by a person who was responsible for intellectual property for IBM for the entire Far East and who worked for me as an intellectual property manager, when we brought Foley \& Lardner in, it was to assume control of the portfolio and to bring it up to a level that would be necessary for formal fillings. And in that process, we, you know, we discovered that there were some things that in hindsight should have been treated differently in the original filings.
Q. Was there ever an agreement by Proskauer that they wouldn't bill over $\$ 5,000$ a month or was that an estimate?
A. It was a request by Proskauer to not ask for work that would involve more than $\$ 5,000$ of charges a month.
Q. Were the Bernstein's aware of this request?
A. Yes.
Q. Did they continue to request work in excess of that amount?
A. Well, I think it's very difficult to directly attach a work request to a specific

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amount since it's, there's, the $\$ 5,000$ is the 86
product of a number of work elements, and no single work element would be identified with $\$ 5,000$.
Q. Did Si or Eliot Bernstein ever want to get rid of Proskauer's Iviewit attorneys?

MR. SELZ: Objection to form.

MR. PRUSASKI: I'll rephrase it.
I'll withdraw the question.

By MR. PRUSASKI:
Q. Who s Steven Lamont?
A. I don't know him.
Q. Have you heard his name before?
A. I've heard the name.
Q. Did he ever have anything to do with

Iviewit at the time when you worked there?
A. No: .
Q. He was never present at any board meetings obviously?
A. No:

MR. PRUSASKI: I'm going to review my notes again for a minute.

MR. SELZ: How you doing on time?
MR. PRUSASKI: I'm getting to the
end. I'm going over my notes.

MR. SELZ: Okay. What I would
suggest is how about we see if we can finish you up, we take a lunch break and then I'll do my cross; how does that sound?

MR. PRUSASKI: Sure.

THE WITNESS: No, I can't do that.

MR. PRUSASKI: You're strapped for
time?

THE WITNESS: Right.

MR. SELZ: Okay, well, then we'll
take a five or ten minute break and then we'll
start right into the cross.

MR. PRUSASKI: Okay.

THE WITNESS: Okay.

MR. URUSASKI: (Looking through
documents.)

By MR. PRUSASKI:
Q. I believe you testified earlier that you had had at least a conversation with Chris Wheeler in the past about a discrepancy on a bill when you received it?
A. What I discussed with him was the fact that it appeared that there was redundancy in the work effort, that is, more than one person involved in a particular work effort, so there
Q. Was that only on one bill?
A. It was, it was not on one bill, but on a particular piece of work.
Q. Okay. And was that communication that you had with Mr. Wheeler in writing or oral?
A. No, it was oral.
Q. And did Mr. Wheeler resolve it to your satisfaction?
A. Yes.
Q. You filed an involuntary bankruptcy action against Iviewit.com, LLC?
A. Um-hum.
Q. You have to answer yes or no.
A. Yes.
Q. Why is that?
A. Because I was owed substantial monies by Iviewit which were unresolved.
Q. And that action is pending?
A. That -- there was a judgment, a bankruptcy judgment putting the LLC into a Chapter 7.
Q. Are there any questions that I asked you today that you were unable to answer but you feel that Mr. Hersh could? I'm asking you that another week or so.
A. I think Mr. Hersh handled specific, handled the accounts specifically, and so $I$ think he might provide more detail than I might have been able to provide.
Q. Did Mr. Hersh work at your discretion?
A. Yes.
Q. Do you know where Mr. Hersh lives?
A. He lives, he lives in Boca -- no.

Yes, he lives in Boca.
Q. Is it on Viastel?
A. Yes.
Q. Okay. $\mathrm{V}-\mathrm{I}-\mathrm{A}-\mathrm{S}-\mathrm{T}-\mathrm{E}-\mathrm{L}$. Do you know what Mr. Kasser's role in the Iviewit companies is?
A. Well, when $I$ was there, he was employed as an accountant.
Q. Do you know what he is now?
A. No.
Q. Did Mr. Kasser ever complain to you
that Proskauer was submitting, or Proskauer was claiming monies were due and owing on bills that weren't received by Iviewit?
A. No.
Q. And I believe you testified that he had performed reconciliations at your request of the bills claimed by Proskauer to be due?
A. That was his job.

MR. PRUSASKI: I have no further questions. Can we take a short break, Steve, for the restroom?

MR. SELZ: Yeah. I just need to -give me, like, 15 minutes. I just need to catch something to eat before I pass out over here.

MR. PRUSASKI: Can you stick it out for 15 minutes?

THE WITNESS: Yeah, I suppose.

MR. PRUSASKI: Do you know how long. your cross is going to be?

MR. SELZ: I don't know. I mean, it could be a little bit but it's not going to be huge.

MR. PRUSASKI: Okay.
MR. SELZ: And it will obviously
depend on what comes up during the cross too, but I'll - -

MR. PRUSASKI: We're off the record.
(Whereupon, a break was taken from

By MR. SELZ:
Q. Mr. Utley, you're still under oath.
A. Yes.
Q. Okay. My name is Attorney Steve

Selz. I represent the defendants in this action, Iviewit. And let me start out by saying that I'm going to refer to the Iviewit companies the way that Mr. Prusaski did, that is, when $I$ say Iviewit, I mean the totality of all the companies. If $I$ wish to break it down into a more specific entity, I'll tell you the specific entity we're talking about.
A. okay.
Q. Great. You had indicated in your earlier testimony, Sir, you had had your deposition taken about seven or eight times before, I believe you said.
A. I said four our five.
Q. Four or five. Can you tell me what cases that was in or what that was involving, please.
A. Is that relevant to this deposition?
Q. Well, I have a right to know what

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your experience is with lawsuits, if you're
involved with anything personally concerning

Iviewit, whether or not you've ever given a deposition or not.
A. These were cases of insurance
liability.
Q. Was it personal injury actions, something for you personally or was it something else?
A. $\quad$ was not personally involved in the injury, but there was an injury claim in at least two of the depositions.
Q. How about the other depositions, the four depositions, what were those involving?
A. Oh, I was deposed as a witness in an IBM suit.
Q. What was that suit involving more specifically?
A. It was involving a suit by Data General against IBM.
Q. And was it suit over a contract?
A. It was suit over patent
infringement.
Q. And Data General was alleging patent infringement against IBM?
A. Yes.
Q. And were you an employee of IBM at the time that you gave that testimony?
A. No.
Q. And who were you an employee of at that time?
A. I was deposed as the person responsible for the product which was claimed to be infringing on Data General product patents.
Q. Okay. And you were the person, the IP person, the IP person responsible for that particular product that Data General was claiming was infringing?
A. I was the general manager of that product group.
Q. Okay. Were you named personally in that lawsuit also or just the corporation?
A. Just the corporation.
Q. Do you have any recollection what year that lawsuit took place?
A. Well, I was deposed, it was about five years ago.
Q. About 1997 or thereabouts?
A. Yeah, thereabouts.
Q. Okay. And do you recall what court

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roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 or where the site of that lawsuit was?
A. No.
Q. Was it in the federal court or state court?
A. I don't know.
Q. Was your deposition taken in the Sate of Florida --
A. No.
Q. -- or taken elsewhere?
A. It was taken in New York.
Q. In New York. Okay. Now, going back
to something that Mr. Prusaski started but I don't think he completed with was some of your background information about your education. If you can just tell me from undergraduate onward what your educational background is, Sir, schools you attended, years of attendance and degree.
A. I don't have a degree.
Q. Okay.
A. I attended Weaver State University, which was then Weaver College, 1950.
Q. Okay.
A. San Fransisco City College, 1957,
1958.
Q. Okay. And you graduated from San
A. I don't have a degree.
Q. Okay. So you never completed your course at San Fransisco then?
A. Right.
Q. With regard to your employment experience, you had stated your employment with IBM. What years was that from, Sir?
A. $\quad 1955$ through 1992.
Q. 92. And your first employment with IBM in 1955, what position was that in if you can recall?
A. I was employed as a customer engineer.
Q. All right. And after that, you were promoted to what position?
A. In 1960 I was promoted to development engineer, electrical engineer.
Q. At that point, were you supervising a staff or working with other engineers below you at that point?
A. I was involved in design of a computer.
Q. Were you the leader of any design team or were you just an individual engineer
working as part of a team?
A. I was part of a team.
Q. How about after your role as a design engineer, then, in 1960 , what were you promoted to next?
A. I was promoted to, well, through a variety of steps, up through senior engineer.
Q. Okay.
A. And assumed management responsibility in 1965.
Q. Okay.
A. And assumed product management, product management responsibility in 1970, 1969.
Q. 1969, okay.
A. By that, product management responsibility in IBM parlance was $I$ had worldwide development and profit responsibility for a specific product line.
Q. What product line was that in 1969?
A. That was for IBM Small Scientific and Process Control Systems.
Q. And after that, what was your next promotion or next move in IBM?
A. My next move was in 1974. I assumed responsibility for one of IBM's leading, most

[^57]Q. OkaY.
A. As a systems manager.
Q. I'm sorry, after that?
A. That was, that product became the System 38, one of IBM's cornerstone products.

After that $I$ assumed, was named director of Architecture and Technology for IBM's Systems Division, responsible for the technology direction and architecture for products in five laboratories.
Q. And how many people at that point did you supervise, Sir?
A. Well, I'm supervising the work product of five laboratories.
Q. Okay. So several hundred employees at that point?
A. That would be probably in the range of 1,500 development employees.
Q. And after that?
A. After that, $I$ became the general manager of IBM's Biomedical Business Unit.
Q. Okay. Did you have any particular experience in the biomedical field or was it just a promotion based on your past experience within the corporate structure?
A. It was based upon my past experience.
Q. And how about after that, Sir?
A. I sold that business and then I assumed responsibility for the introduction of the PC in Europe.
Q. Okay. So you sold the biomedical business that IBM had developed as part of some kind of purchase by another entity; is that what happened?
A. Yes.
Q. What entity was that that purchased?
A. I can't reveal that.
Q. Okay. Is there some kind of confidentially that prevents you from disclosing that?
A. Yes.
Q. And what year about was that in?
A. That was in 1984.
Q. Okay. And after that, you said you started another project introducing the PC to Europe, was it?
A. Yes.
Q. And what position did you hold with
A. I was group director for PC programs in Europe.
Q. And how long did that position last?
A. Well, that position grew over time until 1988 when I had, at that time I had responsibility for all of IBM's medium and small systems in Europe, including manufacturing and marketing.
Q. Okay. And that was through 1988 or thereabouts, you said?
A. That was through mid 1988.
Q. After that, what was the next step
in IBM?
A. Then I assumed, responsibility for the personal computer development worldwide based in Boca Raton.
Q. And your employment with IBM ceased, you said, about in 1992?
A. Yes.
Q. And what was the reason for the ceasation of your business relationship with IBM?
A. I retired.
Q. Okay. Now, after IBM, what was your employment or where were you employed?

I had a consulting business.
Q. What was the name of that consulting business?
A. Premier Connections.
Q. Premier Connections?
A. Um-hum.
Q. Okay. Where was that based?
A. That was based in Boca Raton.
Q. What sort of consulting did that business do?
A. Basically the application of computers in business.
Q. Did you actually sell hardware or was it software or was it a combination of that plus consulting?
A. It was consulting.
Q. Okay. So you weren't actually
selling any product; you were consulting with companies about establishing their own computer systems in-house; was that what it was?
A. Yes.
Q. And what was the business location for Premier Consulting?
A. It was my home address in Boca

Raton.
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Q. What's that? 101
A. Beg Pardon?
Q. What was that address, sir?
A. That was 1930 Southwest Eighth

Street.
Q. Okay. And how long did you operate Premier Consulting?
A. I'm still doing it.
Q. Still doing it; it's still active,
okay. Are there any other employees of Premier Consulting other than yourself?
A. No.
Q. Have there ever been any other employees of Premier Consulting other than yourself?
A. No.
Q. Have you ever had any work or did any work for a company called Diamond Turf Lawn Mower?
A. I did.
Q. When was that?
A. That was about 1995 until mid 99.
Q. Were you actually employed by

Diamond Turf Lawn Mower?
A. Yes.

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|  | Okay. You just failed to mention | 102 |
| :---: | :---: | :---: |
| that in your previous |  |  |
|  | I'm sorry, yes. |  |
| Q. | Okay. And what did you do at |  |
| Diamond Turf Lawn Mower? |  |  |
| A. | I was president. |  |
| Q. | You were president. For the full |  |
| four years? |  |  |
| A. | Yes. |  |
| Q. | Okay. |  |
| A. | My recollection is a little hazy. |  |
| It could have been 95,96 when $I$ started. |  |  |
| Q. | Okay. So you were president of this |  |
| company for approximately three to four years? |  |  |
| A. | Yes. |  |
| Q. | And what was your role at Diamond |  |
| Turf Lawn Mower as president; what did you do? |  |  |
| A. | I ran the company. |  |
| Q. | Did you take on the position not |  |
| only of president but also as CFO or anything of |  |  |
| that nature, or you just did strictly like a |  |  |
| chief operating officer; what was your role |  |  |
| exactly? |  |  |
| A. | I suppose you could consider it to |  |
| be a cross between a chief operating officer and |  |  |

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 the chief engineer.
Q. And what did Diamond Turf Law Mower do; what sort of company is that?
P. It produced maintenance equipment for golf courses.
Q. Okay. And were you working also doing engineering for the company as well?
A. Yes.
Q. And that engineering capabilities that you have, was that something you garnered through your employment with IBM or is that something that you had specific knowledge of outside of your employment with IBM?
A. Both.
Q. This was not engineering of electrical components; this was engineering of mechanical systems; is that what this was?
A. Every, virtually every mechanical system has an electrical component.
Q. Okay.
A. And a hydraulic component in this particular case.
Q. And when did you -- when you ceased worked with Diamond Turf Lawn Mower, was that an amicable leaving or was there some problem or did
A. Well, there was a, there was a dispute over intellectual property. There was no intellectual property agreement in my employment agreement and there were certain inventions that I made that we were unable to resolve ownership of.
Q. Okay. So these were inventions that you developed while you were employed by Diamond Turf Lawn Mower?
A. Yes.
Q. Okay. Can you describe those inventions to me.
A. They related to hydro-mechanical equipment.
Q. Okay. What exactly with hydraulic mechanical equipment?
A. How much detail you want me to go in to?
Q. Well, were they related somehow to the operations of the hydraulics of the equipment or were they strictly mechanical?
A. They related to a hydro-mechanical
system, which means that it involves the integration of hydraulics into a mechanically
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operating piece of equipment.
Q. Okay. And that's what all these patents, or were all these patents or were all these inventions, rather, the subject of?
A. Yes. Almost all the equipment that Diamond Turf produced or was involved with was hydro-mechanical.
Q. Are there any current patents or patents pending or applications for patents on these things that you hold?
A. No.
Q. Who holds the patent rights or if there are any patent rights, who has applied for those?
A. I'm not aware of any one.
Q. So you're not aware of any one
making claim to these intellectual properties at this point?
A. No.
Q. When were you first introduced to Iviewit or its products by Mr. Wheeler? I'm assuming that Mr. Wheeler was the one who introduced you to the company.
A. Yes.
Q. And when was the first time that you got introduced to Iviewit by Mr. Wheeler?
A. I believe that was July of 99.
Q. And what exactly did Mr. Wheeler tell you about Iviewit?
A. Well, he, as I recall, he told me that he had a client who had some very interesting intellectual property in the field of computer based graphics and would I have an interest, potential interest in learning more about it with the thought of potentially becoming involved with the company. He told me that he had been asked to assist in organizing the company and he was looking for someone with a technology background who could run the company.
Q. Okay. Did you know Mr. Wheeler prior to him contacting you about Iviewit?
A. I did.
Q. And when did you or how did you first come to know Mr. Wheeler?
A. He -- my first contact with

Mr. Wheeler was when he came to visit me as general manager of IBM Boca Raton on $a$, with $a$ client on a question of adjoining property.
Q. Okay. So he had a question
regarding an adjoining property?

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A. Right.
Q. Was it something dealing with some
real estate that was located next to IBM's
facilities; is that what you're --
A. Yes.
Q. I just want to make sure I understand your answer.
A. Yes.
Q. Okay.
A. At that time $I$ was responsible for about $\$ 4$ million worth of office and development laboratory space.
Q. Okay. And Mr. Wheeler's, one of Mr. Wheeler's clients had some interest in some of this adjoining laboratory space or real estate that you had some kind of control over?
A. I didn't have any control over it, but he wanted to review their plans, you know, from the standpoint of would there be any issue with their plans from the standpoint of IBM.
Q. Okay. And what year was that again that you said you first met Mr. Wheeler?
A. I didn't, but $I$ think it was in 1990.
Q. 1990, okay. So you knew him for at
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least nine years before you were introduced to 108

Iviewit then?
A. Yes.
Q. Did you keep up any communications with him or talk to him on a regular basis?
A. Well, we had a mutual friend, as it turned out, and we were involved in local philanthropic activities together, so we, yes, we had fairly frequent contact.
Q. Okay. Could you say, then, that you developed a friendship of sorts with Mr. Wheeler?
A. Yes.
Q. Other than socially and through your immediate contact through IBM, did you know Mr. Wheeler in any other setting?
A. No.
Q. No other business dealings, no other representation by yourself of Mr. Wheeler, nothing of that sort?
A. Well, I don't know how you want to classify being on the same board. We were both on the philharmonic board. We were both involved with Community Hospital. I recruited him to Florida Atlantic University Foundation Board, which I chaired.

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Q. Okay. Other than that, he never 109 represented you as an attorney; he never represented you in any case, nothing of that sort?
A. No.
Q. Now, when Mr. Wheeler first
introduced you to Iviewit, did he specify, other than what we've already discussed, the purpose for his introduction? Did he talk to anything about a scope of employment or what your purpose would be at the company, other than what you've already described?
A. No. He said he was looking for someone with a technology background who had the potential to run the company.
Q. Now, with regard to Eliot Bernstein, Jude Resario and Zakirul Shirajee, am I pronouncing that correctly?
A. Why don't you spell it.
Q. Let's see, I got $\mathrm{Z}-\mathrm{A}-\mathrm{K}-\mathrm{I}-\mathrm{R}-\mathrm{U}-\mathrm{L}$, last
name is $\mathrm{S}-\mathrm{H}-\mathrm{I}-\mathrm{R}-\mathrm{A}-\mathrm{J}-\mathrm{E}-\mathrm{E}$. Do you remember meeting with those gentlemen, Eliot Bernstein and Jude Resario and Zakirul Shirajee?
A. At a later point in time, yes.
Q. Okay. What was the time that you
A. It was after I agreed to join the company.
Q. Okay. So that was in the latter part or the middle part of $99 ?$
A. That was late August 99.
Q. And what exactly were meetings consisting of when you met with those three gentlemen?
A. Well, Eliot introduced them to me and introduced them as having worked with him on feasibility studies relative to his invention and he indicated that perhaps we should consider them for employment by the company.
Q. Okay. Did he ever mention to you anything of their status as any inventors of any IP or anything of that sort?
A. Well, they were, I believe, they were named on several of the provisional patent filings that had already been made.
Q. If you could, I mean, since you were acting as president of the Iviewit entities, I'm presuming that you're aware of all the inventions or all the intellectual properties for which Iviewit has filed patents; would that be a

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correct statement of fact, up until August, during the term of your employment?
A. Yes.
Q. Okay. If you could, please describe for me those inventions or those intellectual properties for which Iviewit has filed patents or has made application for patents of which you're aware.
A. Well, I have not looked at those patents for a considerable period of time, but in general, the patents fall into two basic categories. One is a patent relating to images and images transmitted over the internet, and the other category is relating to videos which are compressed and transmitted over the internet.

And the point of the patents is, the distinguishing characteristic of the patents is the fidelity with which those images and videos can be received over the internet.
Q. Now, we've got a couple of questions relating to that. So you gave me the two basic categories. How many different patents are involved or how many different applications for patents are involved in these technologies that you've described?

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| A. I think there are about seven. | 112 |
| :---: | :---: | :---: |
| Q. Seven of them. How about something |  |
| to do with zoom and pan imaging; is there |  |
| anything specific with regard to that, that was |  |
| patented or for which a patent was sought? |  |

A. Yes.
Q. Okay. And do you know whose name that patent or patent application is held in?
A. Well, there are two or three of them, as I recall. And on at least one of those, the names of the original members on the provisional applications are included, but on one or two of those, my name is included because of a material contribution which I made in terms of reducing to a mathematical formula the whole process of converting analog images to digital images and scaling those digital images for zooming and panning.
Q. Okay. And you came up with this mathematical formula, that's your contribution to the invention?
A. Yes. And that became integrated into the final formal patent application.
Q. And that -- was that, was that mathematical computation based on any specific

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training that you've had or maybe you -- you did
indicate that you had any degree in mathematics.
Is that something that you have some experience from from some other portion of your employment or background?
A. I have training and experience in mathematics.
Q. I'm sorry.
A. I say I have training and experience in mathematics.
Q. How about in the scaling video invention; is that part of what you've already described?
A. That is readily derived from a mathematical background.
Q. How about the remote control video applications?
A. That's different.
Q. Okay. Now going back to --
A. What --
Q. -- the patent dealing specifically with remote control applications.
A. What I did there was I established the fact that the design point that Eliot had discovered in optimizing the quality of the
picture that would be transmitted across the
internet at a given speed, I identified that which he had discovered by an ad hoc process; I discovered the structural basis for that optimization.
Q. Okay. So that was something that was outside the scope of what he had already, what Eliot had already discovered?
A. It really established why it worked.
Q. And is your name on any patent or patent application with regard to that particular technology?
A. It possibly is. I don't recall how many of those my name is on since $I$ didn't keep any of those records.
Q. How about camera zoom applications?
A. Okay. How about camera zoom applications?
Q. Is there any patent or patent application dealing with camera zoom applications?
A. Not specifically. It was, it was determined that there is a correlation between the zoom and pan that had been developed and what is being used in cameras.

| $Q . \quad$ Okay. And the correlation was for | 115 |
| :---: | :---: | :---: |
| development of future cameras or was that simply |  |
| an observation that was made? |  |

A. It was an observation that current camera technology incorporates zoom and pan technology.
Q. okay. How about any patent or patent applications dealing with scales video or zoom video imaging applications other than what we've already discussed?
A. Without looking, and I apologize for this, without looking at the specific patent filings by name and number, I think, you know, we're not really going to be able to get much further on this discussion.
Q. Okay.
A. I don't want to put you off at all, but $I$ just want to say that to pursue a detailed questioning in this specific area, I need to be able to refresh my mind with what is in the record.
Q. Okay. And are those documents that you have in your possession someplace?
A. No.
Q. You don't have any of the paperwork

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with regard to Iviewit or any of the intellectual
properties we've already discussed?
A. None.
Q. Are there any other patents that you hold in your name other than the ones that we've already discussed?
A. Yes.
Q. What would those be?
A. Well --
Q. The best of your recollection.

Obviously I can't have you guessing but at the same time, if you can recall them, you should be able to provide me with that information?

MR. PRUSASKI: Is that question
asked as to him personally?
MR. SELZ: Yes.
MR. PRUSASKI: All right. Let me
just interpose an objection as to relevance to that line of questioning.

MR. SELZ: Okay.

By MR. SELZ:
Q. You can go ahead and answer the question.
A. Okay. My last formal patent was issued, I believe, in 1997. It was filed by IBM

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722) on, it was a result of some work $I$ did with IBM
that relates to the ability to digitally recognize writing by a stylus on a surface. You may recognize it in palm devices.
Q. Okay. With regard to that, that was obviously prior to your employment with Iviewit; is that correct?
A. Yes.
Q. Has there ever been any things that you've either sought a patent for or applied for a patent since your employment with Iviewit?
A. No.
Q. Are you aware of any copyright, trademark or patent applications for either cable system, set top boxes or anything related that are similar to those of the technology that Iviewit owned or made application for?
A. No.
Q. Do you have any knowledge of any other patent or patent application, intellectual property that might infringe upon patents or applied for patents for Iviewit?
A. No. And just to parenthetically state, I have studiously avoided anything which might appear to be or be in any way connected
Q. Have you had any discussions or had any meetings with Mr. Wheeler after your ceasation of employment with Iviewit?
A. Only of a personal nature.
Q. And when was the last time you met with him?
A. About three weeks ago?
Q. And where was that? Was that here down it south Florida?
A. Yes.
Q. And what was the purpose for your trip down here?
A. Is that, is that -- I have to ask this question, $I$ 'm not trying avoid it, but is that anything to do with this interrogatory?
Q. Well, it does have to do with the person who introduced you to the company so certainly it's relevant to find out what your relationship is.
A. Well, let me just say this, that my visit to Boca Raton had nothing to do with Mr. Wheeler in any event. It was, we got together on a social basis as a circumstantial opportunity based on being there.

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you first met with Mr. Wheeler back in 1999, did
you discuss corporate strategies or strategies
for Iviewit from a patent perspective or
trademark perspective?

MR. PRUSASKI: Object to the form.

By MR. SELZ:
Q. You can go ahead and answer.

MR. PRUSASKI: Just to let you know, you said when you first met with Mr. Wheeler in 99. Is that with respect to Iviewit?

MR. SELZ: Yeah, it's with respect
to Iviewit. That's why I said 99.
MR. PRUSASKI: Okay. Well, it confused me so I'm just clarifying.

MR. SELZ: Okay.
MR. PRUSASKI: I'll withdraw my objection then.

THE WITNESS: Mr. Wheeler has always represented himself to me on these matters as being unskilled and this not being his primary focus our line of business.

By MR. SELZ:
Q. Mr. Wheeler is a real estate attorney; he's not an intellectual property

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)
attorney?
A. Right. He's a corporate attorney.
Q. So he does corporate work, not intellectual property work?
A. That's my understanding.

MR. PRUSASKI: Objection.
By MR. SELZ:
Q. Is it your understanding that Mr. Wheeler primarily is not an intellectual property attorney?
A. That's my understanding.
Q. And he had indicated to you that he did not have experience in that particular area of intellectual property; is that a true and correct statement of fact.
A. That is a correct statement of fact.

That is why I involved in the beginning
Mr. Rubinstein as a consultant on that question.
Q. Okay. And Mr. Rubenstein was with what law firm?
A. Proskauer Rose.
Q. $\quad$ He was with Proskauer Rose in New

York? ,
A. In Newnork.
Q. Hexwisjeduring the wholeterm, he

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 was doing work, Mr. Rubenstein was doing work for

Iviewit?
A. I'm not aware -- other than referring Iviewit to Meltzer, Rubenstein never did any work for Iviewit. "
Q. Okay. So Rubenstein's sole role, from what you understand, is he referred Iviewit to the Meltzer Law Firm in New York?
A. Yes.
Q. Was he ever part of an advisory board member or was he an advisory board member to Iviewit? And we're talking about

Mr. Rubenstein.
A. I have never used him as an advisory board member?
Q. Are you aware of whether or not he ever attended any board meetings with the directors of Iviewit?
A. He never attended a board meeting. I've never met the man.
Q. In regard to meetings with Proskauer Rose, did you have any meetings with Proskauer Rose concerning their retainer by Iviewit?
A. Only in the final weeks of Iviewit's presence in Boca Raton. agreement for a retainer from Proskauer Rose?
A. That's correct.
Q. Mr. Utley, were you working with

Iviewit.com on or about September 8th, 1999?
A. I'm sorry, would you give that date again.
Q. September 8th, 1999.
A. Yes.
Q. Okay. And you were in what position at that point in time?
A. President and COO.
Q. Do you ever recall receiving a letter from Proskauer Rose confirming a retainer of Proskauer Rose by Iviewit?
A. Uuh--
Q. And when say Iviewit here, I mean Iviewit, LLC. I guess that's Iviewit. com, LLC is what it was technically, wasn't it?
A. Yes, I believe that's right. Well, there was both an Iviewit, LLC and an Iviewit.com, LLC.
Q. Okay. And what was the distinction between the two?
A. Iviewit, LLC was the parent company

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
and Iviewit.com, LLC was the operating company. 123
Q. I thought Iviewit.com, Inc. was the operating company?
A. That was as of the end of 1999, December the 31st.
Q. So Iviewit, LLC was the parent company?
A. Yes.
Q. And then all these other companies, all the other Iviewit companies derived their existence from Iviewit, LLC?
A. There was one subsidiary company at that time which was Iviewit.com, LLC.
Q. Okay.
A. There was a holding company, U-view, which represented 95 percent of the stock holders and was an S -corp.
Q. Now, I'm going to try to see if I can jog your memory once. Do you ever recall having any conversations with Mr. Wheeler specifically about a retainer of Proskauer Rose by Iviewit, LLC?
A. Well, Chris has put in front of me a, excuse me, a letter of September the 8 th from Chris Wheeler.

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MR. SELZ: That's fine.
THE WITNESS: Which detailed the engagement agreement between the companies. Maybe it's my problem, but $I$ did not consider this to be a retainer since there were no fees that were escrowed in this relationship. By MR. SELZ:
Q. Okay. But it talks about, and I'll refer to you the second paragraph of that.

MR. SELZ: And we might as well, since he's being examined about it, get it marked as Defendants No. 1.

MR. PRUSASKI: Okay.
(Whereupon, Defendant's Exhibit
No. 1 was marked for identification)
By MR. SELZ:
Q. If you'll note the second paragraph, Sir, what does that talk about specifically; if you could read that for me?
A. Well, he talks about fees.
Q. Right. It talks about the rate that's going to be charged; is that --
A. Yes.
Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 will vary depending on which attorney is going to be been engaged with what particular services.
A. Yes.
Q. And you didn't consider this a retainer or engagement agreement?
A. Well, and I apologize, in my, in my mind, the retainer involved establishing an escrow amount, whereas this, as an engagement agreement, was an agreement to perform work, but there was no escrow involved. So 1 , you know, if I have an incorrect understanding of retainer, I apologize.
Q. I'm not going to bother you about that particular portion. What I'm more concerned with is, look on page 2 , if you could for me, on the second, second paragraph; it's actually only a one-sentence paragraph.
A. The which one?
Q. The second, the second paragraph, the first complete line. It's a single line. It's on the second page.
A. It says we are waiving a retainer at this time?
Q. Correct.

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A. Yes.
Q. Does that address your concerns about whether or not there was some discussion as to a retainer?
A. Well, it says the retainer is being waived. So, again, in my poor English, there is no retainer involved. So this is not a letter of retainment; it's a letter of engagement. But, again, if I have a misunderstanding as to what this all means, then I apologize.
Q. Okay. The engagement agreement, was this -- let me rephrase that.

In your opinion, Sir, was this the contract between Iviewit and Proskauer Rose for the providing of legal services?
A. Oh, yes, no question.
Q. An your time of signing this, you were acting on behalf of Iviewit, LLC; is that correct?
A. Yes.
Q. Okay. And the services that were provided by Proskauer Rose, which are the subject of this litigation, arose from this agreement; is that correct?
A. Well, again, pardon my ignorance,

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but the services began in January of 1999 and
this simply formalized the continuation of those services, but this did not represent the initiation of those services.
Q. Well, let's go back to --

MR. SELZ: If you could, Chris, if you could do me a favor and just hand him Defendant's No. 1?

MR. PRUSASKI: I put all the exhibits in front him now.

MR. SELZ: Thank you.

MR. PRUSASKI: Defendant's or

Plaintiff's?

MR. SELZ: Plaintiff.

MR. PRUSASKI: Okay.

By MR. SELZ:
Q. I want to refer you back to what's been marked as Plaintiff's Exhibit No. 1. That's the Amended Complaint that you testified to earlier. You said you have never, you never seen the complaint, but you testified, I believe, that you had seen the invoices which are attached as Exhibit $A$ to that Amended Complaint; is that correct?
A. Yes.

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Q. Okay. And these are dated when?

These are dated starting January 31st, 2000 ?
A. Well, yes, the first invoice attached is dated January 31st, 2000.
Q. Right. And that postdates this document that's been marked as Defendant's Exhibit No. 1; is that correct?
A. I guess so.
Q. Okay. By about somewhere around four months; is that correct, Sir, from September 99 to January 2000?
A. Yes. Yeah, it's closer to five months than four months I guess, but --
Q. In the meantime, going back to this what's been marked as Defendant's No. 1, was it the intention at the time you signed this retainer, we'll call it, or engagement, that it covered the relationship between Proskauer Rose and Iviewit for the providing of legal services?

MR. PRUSASKI: Object to the form.
THE WITNESS: There's an objection. What --

MR. PRUSASKI: No, please answer, if you will.

THE WITNESS: Oh, okay. Yes, I

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believe it fairly states my understanding of what
the relationship would be.
By MR. SELZ:
Q. And it was intended to cover the representation, the legal representation by Proskauer Rose of the Iviewit entities, correct?
A. Yes.
Q. Now, did Proskauer Rose at any point in time receive either directly or indirectly any interest in any of the Iviewit companies?
A. I believe that the Bernstein's granted a certain number of shares to Proskauer Rose at some point in time $I$ don't recall. It was prior to my involvement with the company, but I believe that there was an allocation of shares to Proskauer Rose by the Bernstein's.
Q. Okay. And that's -- to your knowledge, does Proskauer Rose still hold interest?
A. Yes. As far as I know. I, obviously $I$ haven't been involved from some time.
Q. I'm just asking to the best of your knowledge.
A. Yes.
Q. With regard to the engagement

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 agreement, was that ever the subject of any meeting of the board of directors of Iviewit? In other words, was it ever discussed at any meeting of the board of directors prior to you executing it on or about September 8, 1999 or receiving it on or about September 8th, 1999 to confirm your agreement?
A. I can't answer that from recollection; although, $I$ do know that copies of this agreement were available to the primary board members at that time.
Q. Okay. Well, that really wasn't my question. I wanted to know whether or not you had any recollection of discussions or approval of it. And you're telling me that you can't recall?
A. Well, let me see if I can respond in maybe a tangential way. At the Bernstein's insistence, Chris Wheeler was always invited to and expected to participate in board meetings.
Q. Okay. So he was always invited to board meetings.
A. Right. And there was a longstanding relationship between the Bernstein's and Mr. Wheeler in bringing the, in creating the company and providing services to the company prior to my joining them.
Q. So prior to 1999, September 1999 or August when you joined the company or thereabouts, there was a longstanding relationship between Mr. Wheeler and Iviewit; is that what you were saying?
A. Yes.
Q. How longstanding a relationship was that?
A. As I mentioned earlier, I believe it goes back to about January of 1999.
Q. Okay. So about eight months or so before you joined the company?
A. Yes.
Q. Are you aware of any other agreements that are in writing between Iviewit and Proskauer Rose for the providing of legal services?
A. No.
Q. Were there any other agreements, other than the agreement marked as Defendant's No. 1, which engaged Proskauer Rose to represent Iviewit?
A. I'm not aware of any agreements in

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this form; however, I would just comment that
clearly Proskauer Rose had been engaged by the Bernsten family to perform this work, which amounted to almost $\$ 300,000$ worth of billable work before $I$ joined the company.
Q. Okay. Now, wait. Now, the companies that that work was done for, though, Sir, that was Iviewit, LLC; is that correct?
A. And so it was in -- and Iviewit, Inc. and U-View. LLC.
Q. Okay.
A. All of those.
Q. Iviewit, LLC.
A. Which represented the sum of all the companies involved in Iviewit.
Q. Okay. And these other companies, the Iviewit.com, Inc. the Iviewit Holdings, Inc. and Iviewit Technologies, Inc. were all formed subsequent to September 8th, 1999; is that correct?
A. They were formed subsequent to December the 30th, 1999.
Q. Okay. So they were all formed subsequent to this written agreement September 8 th ?

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Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
iteration of corporate structuring that Proskauer
Rose had gone through and only the first one that
I had been involved with.
Q. Okay. But there were no other agreements, you were not privy to any other agreements between Proskauer Rose and Iviewit with regard to any other agreement for engagement; is that correct?
A. That's correct.
Q. And you were at all times, from August of 1999 through your last involvement in the company, which was, if you recall, what was the last date of your involvement with Iviewit?
A. It was on April the 30th, 2001.
Q. Okay. During that entire period of time, there were no other agreements to your knowledge between Iviewit and Proskauer Rose as to providing legal services; is that a correct statement?
A. No. Because we went through a number of exhibits earlier today which modified these agreements.
Q. Okay. So those were plans for payment of the debt, correct?

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Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 related to services to be performed.
Q. All right. Well, let's go back to those then, Sir. Which one of those are you saying relates to services to be performed?
A. Well, there was agreements that said, that limited the number of services to $\$ 5,000$ a month billable.
Q. Which one was that? That was --
A. That was in March of 2000.
Q. Okay. Well, let's go back to that one and take a look at that one specifically. That was, March 2000 was Plaintiff's No. 5, I believe. (Inaudible).
(Whereupon, the court reporter switches disks.) By MR. PRUSASKI:
Q. Mr. Utley, you talked about a March --
A. Actually, it's December the 29th, 2000.
Q. December the 29th, 2000, okay.
A. Exhibit 11.
Q. Okay. And you were saying something about $\$ 5,000$ being anticipated to be the billing?
A. Yes. 135
Q. But, Sir, doesn't it say it's anticipated your currently monthly billings will not exceed $\$ 5,000$ a month?
A. Yes.
Q. Okay. Is that an agreement that the bills will be $\$ 5,000$ a month, or are you saying that it"s anticipated?
A. Well, it says anticipated.
Q. Okay. This letter really, Sir, doesn't it deal with basically with the repayment of (inaudible) obligations.

MR. SELZ: You cut out completely you got to re-ask that. I didn't hear it.

MR. SELZ: (No response.)
MR. PRUSASKI: Steve?
MR. SELZ: Yeah.
MR. PRUSASKI: We kind of lost you right in the middle of that question.

MR. SELZ: Okay. Can we take a break for two minutes?

MR. PRUSASKI: Yeah.
MR. SELZ: Okay. I'll have to call you back in about -- actually make it five.
(Court reporter asks for question to

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 be repeated.)

MR. SELZ: Okay. Let me just repeat that last question with the answer and then I'll take a break real quick. By MR. SELZ:
Q. Isn't it true, Sir, that this letter deals with the repayment of existing obligations between Proskauer Rose and Iviewit, not some new services, other than that line you talked about, about current bills not to exceed $\$ 5,000$ a month?

MR. PRUSASKI: Object to the form. THE WITNESS: I understood it to be an agreement to limit services to not, not to exceed \$5,000 a month.

By MR. SELZ:
Q. Was your understanding of this
letter?
A. Yes.
Q. Did this specify how much legal service were going to be charged in the sense of an hourly rate?
A. The understanding by implication is that it's at current billing rates.

MR. SELZ: Well, we'll continue in just a couple of minutes. I apologize for the

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delay and I'll be back on in -- if you could give me about five minutes.

MR. PRUSASKI: Are you calling back or what?

MR. SELZ: I'll call back.
MR. PRUSASKI: All right.
(Whereupon, a break was taken from 1:10 to 1:22.)

MR. SELZ: Okay, guys. Madam Court
Reporter, could you read back my last question, please.
(Whereupon, the requested portion was read back.)

MR. SELZ: Okay, thank you.
By MR. SELZ:
Q. Sir, the current billing rates that you referred to in your prior answer, that was under the agreement with Proskauer Rose that's been marked as Defendant's No. 1; is that correct?
A. Yes.
Q. So it refers back to that agreement?
A. Yeah, I presume, as all the billing does.

Now, please note that this engagement agreement refers to the parent company of Iviewit.
Q. Well, let's go to my next question on this whole thing, and that is, with regard to, with regard to the approval by the board of directors, we've talked prior about the board of directors and Ken Rubenstein, was Ken Rubenstein -- you've previously stated that he didn't have any role with regard to the company, no active role?
A. That's correct.
Q. And I hate to bounce back and forth to you about this, he was never, like, an advisor or consultant or anything like that; he was just someone who was Proskauer Rose's person who did work on IP?
A. Yeah, I can't speak to the discussions that may have taken place between Mr. Wheeler and Mr. Rubenstein, but --
Q. I'm not asking you to. I'm just saying from what you know because obviously this deposition testimony is given on your own personal knowledge.
A. Yes. He played no active role in the company other than having directed the company to work with Meltzer and this gentleman

Rolf as the patent attorney.
Q. And that was his totality of his role from what you know?
A. Yes.

Now, let me parenthetically add, that I do understand and know that it was Eliot's desire to see him involved in an advisory role.
Q. Okay.
A. But that was never, that was never consummated.
Q. Okay. Did you ever, want him to act in an advisory role?
A. I did not take any position on that.
Q. Okay. Did you ever represent that he should be in an advisory role?
A. No.
Q. Okay. So you really didn't have any opinion on what Mr. Rubenstein should or should not be doing with Iviewit?
A. Right.
Q. Okay. Did you have any discussions or correspondence at all with Rubenstein and Raymond Joao, I think it is? Is that how you pronounce it, J-O-A-O?

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| A. Did I have any discussion with him | 140 |
| :---: | :---: | :---: | about the patent attorney? Not about the patent attorney, no.

Q. Okay. With regard to Iviewit patents, how about with regard to Iviewit patents?
A. I do recall that I've had at least one conversation with Ken Rubenstein.
Q. Okay. How about with Raymond?
A. I had a number of conversations with

Raymond.
Q. How do you pronounce his last name?
A. Frankly, I don't remember. It's been such a long time.

MR. PRUṢASKI: Joao.
By MR. SELZ:
Q. How about Joao; is that it?
A. Something like that.
Q. Okay. Yeah.
A. It's a Portuguese name.
Q. Right.

MR. PRUSASKI: It's Joao.
THE WITNESS: But, like I say, I do
recall that $I$ had one conversation with Ken
Rubenstein, but I absolutely do not recall the

Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 content of the conversation. It was not anything that was material to what Iviewit did. By MR. SELZ:
Q. How about were there any -- did you keep any notes of any of your meetings with these people at all?
A. Any of my notes are in the company files.
Q. And where are the company files, to the last of your recollection, now?
A. They were all sent to California.
Q. All sent to California. To who out in California, if you know?
A. Ross Miller was responsible for doing that so I think that question should be directed towards him.
Q. And so Ross Miller was the person who, according to your knowledge, had the custody of the corporate documents last?
A. Yes.
Q. Now, you'd said at one time in your earlier testimony that Proskauer was to act as corporate custodian of some kind. Did you mean custodian of records or custodian of the corporate books or custodian of some other

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 information or documents?
A. Well, the, you know, corporate records, such as filings of incorporation and investor related matters, that sort of thing.
Q. Okay. Do you have any knowledge of the work product produced by, by, I'm going to call him Raymond, just for ease of reference, and Foley \& Lardner and if there any problems with that?

I think you testified earlier with regard to Proskauer Rose. I think opposing counsel probably asked you with regard to that too, but I just want try to see if I can flush it out a little bit more.
A. Well, there was the, the provisional patent filings in certain cases appeared to be, I would say, skimpy.
Q. In what sense?
A. Skimpy in terms of details of the invention, descriptions of the invention.
Q. Okay. So in other words, they were not sufficient to be able to possibly protect that property interest or detail the property interest?
A. Well, it made it very important that

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when we came, when it came time to make the formal filings, that we made those filings as robust as possible.
Q. Okay. Now, was Mr. Rubenstein ever a member of another firm other than Proskauer Rose?
A. I have no idea.
Q. Do you know whether or not he was ever involved with Melter Law Firm in New York?
A. Meltzer? I don't know.
Q. Okay. When Mr. Wheeler first discussed with you the possibility of being engaged to act as the president and COO of Iviewit, did he ever talk to you about the fact that Proskauer Rose was not able or was unwillịng to handle the intellectual property side of the possible representation of the business?
A. Not that I recall. But in the back of my mind -- I don't remember who this was with. It could have been Eliot; it could have been Mr. Wheeler -- there was a discussion that the matter was referred outside in order to minimize the cost of processing the intellectual property.
Q. Okay. And so in minimizing the cost -- how much, just out of curiosity, Sir, are
you aware of what the total billing was by 144
Proskauer Rose to the Iviewit entities?
A. Well, I saw the wrap up that was discussed earlier, which was 300-and-some-odd-thousand-dollars.
Q. Well, that's the balance.
A. Yeah.
Q. Was there -- were you aware of what the total was?
A. No, I'm not.
Q. Would it be surprising to you that it's over a half a million dollars?
A. Well, there was -- no --

MR. PRUSASKI: I object to the form.
THE WITNESS: -- because it was
almost $\$ 300,000$ before the company even got going. By MR. SELZ:
Q. Now, from your experience, did you ever -- strike that.

In your experience with companies that you've worked with previously, Diamond Turf and with IBM, did you ever work in engaging attorneys to represent either one of those two entities?
Proskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
attorneys and so $I$ didn't have any involvement
in, you know, in the process of selection and
retaining, but at Diamond Turf we had -- I
retained an attorney for some intellectual
property work.
Q. And what sort of intellectual
property work was that?
A. Well, it's what we discussed earlier
relating to hydro-mechanical equipment.
Q. Right. Okay. And what was the, if you recall, what were the charges that that attorney charged to Diamond Turf for the work that was done?
A. He didn't charge it to Diamond Turf. He charged it to me personally.
Q. And what did he charge to you personally?
A. He charged $\$ 150$ an hour.
Q. $\$ 150$ an hour. What was your total bill with them?
A. I don't recall. It was not great.
Q. Was it in excess of $\$ 1,000$ ?
A. It was around that point.
Q. Well, this is a bill of
approximately 500 times that amount, sir, and you
still consider this billing to be reasonable?
MR. PRUSASKI: Object to the form.
THE wITNESS: well, let me rephrase
it. Almost $\$ 300,000$ of it was billed prior to my
presence at Iviewit. So most of it was actually
accrued prior to the company getting under way,
prior to the first employee being employed by the
company. And over the succeeding almost two
years, it didn't match what was done in the,
before it even got going.
Q. Okay. Well, let's go back to that then. That $\$ 300,000$ plus or minus that was accrued prior to you starting with Iviewit, are you faniliar with the services that were provided for that $\$ 300,000$.
A. Well, I know that there were two levels of incorporation and there was a series of investor and technology related meetings that took place and some other ancillary services such as conference rooms and secretarial support and so on. That's the level of my understanding. I had no understanding below that level.
Q. Okay. So did you ever look at the

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| Q. Which is the |
| :--- | :--- |
| $\$ 300$-and-something-thousand-dollar balance; is |
| that correct, sir? |

MR. PRUSASKI: Object to the form. THE WITNESS: I believe, my recollection, again, it was under 300,000 , around 285 but -By MR. SELZ:
Q. And the 285, just to pick the number that you recall, the $\$ 285,000$ that was a prior balance on the Proskauer Rose bill, you have no way of knowing, then, Sir, whether or not those were charged for any particular services or whether or not those fees were reasonable given the services provided; is that correct?

MR. PRUSASKI: Object to the form.
THE WITNESS: I have no knowledge of the basis of those charges.

By MR. SELZ:
Q. Right. So you can't determine, then, as you sit here today, Sir, whether or not those were reasonable charges for services; is that correct?
A. That is correct.
Q. Now, you'd earlier testified that
you didn't have any problems or there were no
problems with regard to a patent pool. How about with regard to the patent, other than what you've already described, with regard to the patents of Iviewit vis-a-vis Mr. Rubenstein or Wheeler or Raymond, were there any other problems with regard to this collection of patents and patents held by Iviewit or to be held by Iviewit?

MR. BERNSTEIN: Foley \& Lardner.

By MR. SELZ:
Q. Foley \& Lardner, rather?

MR. BERNSTEIN: (Inaudible).

MR. PRUSASKI: That was

Mr. Bernstein saying Foley \& Lardner.

MR. SELZ: Yes, that's what it was.

MR. BERNSTEIN: No, I just wanted
you to include them in the group of people with patent errors so that would include and encompass --

MR. SELZ: Well, no, I'm going to break it down. Don't worry, Eliot, I will take care of the questions and I'll follow up with you.

By MR. SELZ:
Q. Okay. So we're going to start first
with those issues. Are you aware of any other
problem, other than what you've already described, with regard to the patents pending or granted to Iviewit as overseen by Rubenstein, Mr. Wheeler or Raymond, any other problems? MR. PRUSASKI: Object to the form. It assumes facts not in evidence. Go ahead and answer.

THE WITNESS: Well, Rubenstein and Mr. Wheeler, I'll repeat, had nothing to do with the patents and therefore, I object to them being included in the question.

By MR. SELZ:
Q. Well --
A. They're not relevant to the question.
Q. There's no question -- then the way you answer it is by simply saying they weren't involved with any problems and that's how you can answer that. I'm not telling you how to answer; I'm trying to break the question down for you. If you want, I'll rephrase it.

Are you aware of any problems
vis-a-vis the patents by Iviewit with regard to Mr. Rubenstein, other than what you've already
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Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 done as a matter of convenience; that wasn't an error?
A. No. If that happened. I don't recall it happening, but if it did, that would be the only circumstance under which that would happen.
Q. Well, but you're speculating because you don't recall the situation?
A. I do not recall ever receiving anything at home, but if it happened, it would be as a point of convenience and not as a point of procedure.
Q. How about if Foley \& Lardner put your home address rather than the corporation's address on a patent applic̣ation?
A. Well, it's normal in a patent application to put the inventor's personal address on the patent application. That's normal.
Q. That would be your explanation as to why that would appear on the patent application?
A. Absolutely. Every patent ever issue has that. Every patent I've ever had has had my personal address on it, even though it's an IBM patent.
Q. Now, are you aware of any
corporation documents for Iviewit that were ever deposed of or destroyed in any manner?
A. Not on my watch.
Q. And I think you had earlier
testified that to the best of your knowledge, all those documents from Iviewit were sent out to California?
A. Yes.
Q. At the time when you left your employment or were going to leave your employment with Iviewit, is it a true statement that you were commissioned to transfer all the equipment and documents to the new corporate headquarters in Los Angeles?
A. Yes. We were commissioned to package everything up so that it could be shipped out there.
Q. And you obviously accepted this responsibility as part of your job at that point?
A. Yes.
Q. Are you aware of any documents or equipment or any media or anything which was not transferred to the corporate headquarters in Los Angeles and the whereabouts of those documents or

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 equipment, if you know?
A. There are no documents. I made an agreement to purchase some equipment, which I consummated and then subsequently returned because there was a dispute over the, some of the pieces of equipment.
Q. Okay. What equipment was that?
A. A couple of computers.
Q. A couple of computers. And were
there any specific code names for those computers or --
A. I don't -- there were but I don't recall the names.
Q. Does the name Nitro or Bomber ring any bells?
A. Yes, it sounds familiar.
Q. Do you recall why these code names were given?
A. It's not unusual to give code names to computers in a business like that business, like the Iviewit business.
Q. And why is that?
A. It just is a very common practice.
Q. Is there any, again, I don't want to be repetitive, but was there any particular
two computers were given the names Nitro and
Bomber?
A. Well, at their inception, they were reasonably current in the state of the art.
Q. okay. So they were basically quick and they were high-capacity machines and they were desirable; is that what they were?
A. Well, let me position that.
Q. Okay.
A. At the time of their inception, they would be considered to be reasonably current in the state of the art. But we all krow at what rate the technology moves.
Q. Okay. So about three months after they were created, they were no longer state of the art?
A. That's very often the case.
Q. Okay. With regard to William Dick and Foley \& Lardner, do you have any relationship or continue a relationship with either Foley \& Lardner or Mr. Dick?
A. No.
Q. Have you known Mr. Dick in any other setting other than related to Iviewit?
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A. He worked for me at IBM as manager
of the intellectual property department.
Q. And is that why -- or strike that. Did you recommend that Mr. Dick be retained for the intellectual property work for Iviewit?
A. Actually, I used Mr. Dick as a reference or a consultant to determine who Iviewit should consider retaining for its intellectual property work.
Q. And Mr. Dick was subsequently, Foley \& Lardner and Mr. Dick was subsequently employed for that purpose?
A. Mr. Dick was never employed by Iviewit, but Mr. Dick was retained by Foley \& Lardner as a senior staff member because of his broad experience both before the bench and worldwide in intellectual property matters and, and he endorsed Foley \& Lardner as a competent intellectual property company that would handle our affairs. I trusted his judgment.
Q. Now, are you aware of any
relationship between Iviewit and Real 3D?
A. Real 3D were brought into the picture by Mr. Wheeler. They were a resource by
rroskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02
Mr. Wheeler to review the technology and to
determine the ethicacy of the intellectual property.

Subsequently, a contract was let to
Real 3D for the development and maintenance of the, of a web site for Iviewit and some additional work relating to the maintenance of the web site and material on the web site.
Q. Was there any kind of engineering study involved or was any other kind of feasibility study that was undertaken by Real 3D?
A. Nothing documented. There were --

I -- that was, again, was before my time, but that was, there were one or two meetings that took place with presentations by Mr. Eliot, Mr. Bernstein.
Q. Now, was there ever any discussions about limiting your spending authority to $\$ 5,000$ by the board of directors?
A. No.
Q. There was never minutes of any meeting or any other discussion that you're aware of to that fact?
A. No.
Q. How about something involving a
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photocopier for Iviewit, did you sign for that or 158
purchase that at any point in time that you can recall?
A. I signed a lease agreement for it because it was the only way that we could get one installed since Iviewit didn't have credit worthiness to make that equipment.
Q. Okay. And this was at the time that you became president and CFO, or COO rather, of Iviewit?
A. Right.
Q. Do you have any idea what happened to that leased machine or any of its replacements?
A. I really don't.
Q. Well, when you shut down the office
in Boca, was that piece of equipment still on site at that time?
A. Direct that -- that question should be directed at Ross Miller.
Q. Okay. You weren't responsible to take care of that; is that what you're saying?
A. I did not fold the operation up.
Q. Are you aware of any relationship between Real 3D, Nikkon, Kodak or any other

1 roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 camera manufacturers concerning the technology
we're talking about here?
A. Not of the Iviewit technology. I know that there was a relationship between Real 3D and Kodak, which never consummated in any release of product, but other than that, I'm not aware of any. Real 3D was acquired by Intel further down the road.
Q. Right. And so Nikkon never utilized anything with Iviewit technology then?
A. Nikkon utilizes a zoom and pan technology within its cameras.
Q. Okay. But it's not Iviewit's patented technology or anything that there's any patent pending on for Iviewit?
A. It's never been established whether that implementation might potentially infringe on any patent which may issue.
Q. Did you ever represent or discuss with anyone, including Crossbow Ventures, the fact that there might be some question as to Nikkon's use of Iviewit technology?
A. I may - I don't know. I don't
recall. It's possible that $I$ might have mentioned that the camera appeared to use a

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 technology which is very similar to Iviewit's technology.Q. Do you own a Nikkon 990 camera at all, Sir?
A. I do. I also own a Soni digital and I own a Cannon digital, and they all have similar technologies.

MR. PRUSASKI: I object to this line of questioning as to relevance. By MR. SELZ:
Q. How about when we were talking about your employment earlier, Sir, we were talking about the fact that you're now running your own consulting business. Have you ever heard of a gentleman Bruce Prolow or a company InternetTrain?
A. Bruce Prolow is an investor in

Iviewit and a member of the board.
Q. Okay. How about InternetTrain?
A. Yes.
Q. And what was -- do you have any ongoing relationship with either Mr. Prolow or Internet:Train?
A. Not at this time.
Q. When did that relationship cease?

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A. Within the last 60 days. 161
Q. And what was the relationship with him prior to that?
A. I was providing management assistance to InternetTrain.
Q. And why did that relationship cease?
A. Because the company ceased operation.
Q. How about Zeosync?
A. I'm sorry.
Q. How about a company called Zeosync, $\mathrm{Z}-\mathrm{E}-\mathrm{O}-\mathrm{S}-\mathrm{Y}-\mathrm{N}-\mathrm{C}$ ?
A. I'm not familiar with that company.
Q. Do you recall as part of your, the operations of Iviewit, providing a detailed audit to Crossbow, the investor?
A. I'm sorry.
Q. I'm sorry. Do you recall during your operations of Iviewit, providing a detailed audit to Crossbow, the investor?

MR. BERNSTEIN: Could you repeat the question.

MR. SELZ: Hold on a second.
MR. PRUSASKI: Objection as to
relevance.

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MR. SELZ: Could we take a break for
a couple of minutes. My client needs to have his wife call the doctor about their baby so $I$ won't be able to keep him on the line. So we'll take, like, a five minute break.

MR. PRUSASKI: Yeah. How you doing timing wise?

MR. BERNSTEIN: It might take about

15 minutes.

MR. SELZ: It's probably going to
take 10 or 15 minutes for the call. I'm going to be a while still by the looks of it.

MR. PRUSASKI: Well, I mean --
MR. SELZ: What time is it over
there; it's like 2:00 over there?

MR. BERNSTEIN: It's five to two.

THE WITNESS: I think we should reconvene tomorrow afternoon.

MR. PRUSASKI: What's the earliest you can be here tomorrow because he needs a couple more hours?

THE WITNESS: 2:30.
MR. PRUSASKI: How about 2:30

Central Time tomorrow, which is 3:30 in Palm Beach?

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'roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02
MR. SELZ: 3:30, okay, that will
work out.

MR. PRUSASKI: All right. Call the same -- can we use this room?
(Court reporter responds.)

MR. SELZ: You don't usually use
that room?

MR. PRUSASKI: Okay, so we'll agree on the -- get this down. We'll agree to reconvene to finish Mr. Utley's deposition tomorrow at 2:30 Central Time. We'll let Mr. Utley go now and then you and $I$ and the court reporter, Steve, will work out the venue and try and get this conference room again and provide you with a call-in number.

MR. SELZ: Do you have a number for Mr. Utley so in case you need to contact him if there's a change in location, he'll know what's going on?

MR. PRUSASKI: Yes. And I'll give him my cell phone number and ask him to call me tomorrow afternoon to confirm everything.

MR. SELZ: Okay. I appreciate that, Chris.

MR. PRUSASKI: Okay. And I'll work

Proskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 with you to get a call-in number set up for you.

MR. SELZ: Okay. Mr. Utley, thank
you for your patience. I appreciate it.
THE WITNESS: Oh, that's quite all right, Steve.

MR. SELZ: At least we can let you go for now.

MR. PRUSASKI: Thank you very much. THE WITNESS: Thank you.
(Whereupon, the deposition of BRIAN
UTLEY, VOL. I, was adjourned at 2:07 p.m.)
"roskauer Rose, et al. vs Iviewit.Com, Inc., et al. 8/22/02 STATE OF MINNESOTA:

CERTIFICATE COUNTY OF DAKOTA :

BE IT KNOWN, that I, Traci R. Sandstrom, Court Reporter, Notary Public, took the foregoing deposition of BRIAN UTLEY;

That the said witness, before testifying, was by me duly sworn to testify the truth, the whole truth and nothing but the truth relative to said cause;

That the testimony of said witness was recorded in shorthand by me and was reduced to typewriting under my direction;

That the foregoing transcript is a true record of the testimony given by said witness;

That I am not related to any of the parties hereto, nor an employee of them, nor interested in the outcome of the action;

That the cost of the original has been charged to the party who noticed the deposition, and that all parties who ordered copies have been charged at the same rate for such copies;

WITNESS MY HAND AND SEAL this 5th day of September, 2002.

TRACI SANDSTROM, NOTARY PUBLIC
"roskauer Rose, et al. vs Iviewit. Com, Inc., et al. 8/22/02 CORRECTION PAGE

I, BRIAN UTLEY, VOL. I, do hereby certify that I have read the forgoing transcript and found the same to be true and correct except as follows, (noting the page and line number of the change or addition as desired and the reason why):
Page
Line
Correction

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|  |  |  |  |  |
| :---: | :---: | :---: | :---: | :---: |
| dance Report <br> Words: 1,858 | $\begin{aligned} & \text { 131:23; 137:19 } \\ & \mathbf{1 , 5 0 0}[1] \end{aligned}$ | $\begin{aligned} & 96: 13,14,19 \\ & 1970[1] \end{aligned}$ | $\begin{aligned} & \text { 59:10; 60:2; 61:2, } 14 \\ & \text { 29th [4] } \end{aligned}$ | $\begin{aligned} & \text { 5th [1] } \\ & 165: 22 \end{aligned}$ |
| currences: 8,802 | $97: 19$ 10 | $\begin{array}{\|l\|} 96: 13 \\ 1974 \end{array}$ | $\begin{aligned} & 55: 17 ; 57: 10 ; 134: 20,22 \\ & 2: 00[1] \end{aligned}$ | * 6 * |
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| ** ** | 12:09 [1] | 130:5, 6; 131:3, 12; | $\begin{array}{\|l\|} \hline[1] \\ 147: 4 \end{array}$ | * 8 * * |
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Proskauer Rose vs. Iviewit.com, et al. 8/23/02
IN THE CIRCUIT COURT OF THE FOR PALM BEACH COUNTY, FLORIDA

CASE NO. CA 01-04671 AB

PROSKAUER ROSE, LLP, a New
York limited liability partnership,
Plaintiff,
-vs-
IVIEWIT.COM, INC., a Delaware corporation IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

## $G(1) Y$

TELEPHONIC DEPOSITION
The following is the telephonic deposition of BRIAN UTLEY, VOL. II, taken before Traci R. Sandstrom, Court Reporter, Notary Public, pursuant to Notice of Taking Deposition, at 5841 Cedar Lake Road, St. Louis Park, Minnesota 55416, commencing at approximately 2:30 p.m., August 23, 2002.

## APPEARANCES:

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Whereupon, the telephonic deposition
of BRIAN UTLEY, VOL. II, was continued at 2:30
p.m. as follows:

EXAMINATION VIA TELEPHONE
By MR. SELZ:
Q. Mr. Utley, you understand you're still under oath, sir, is that correct?
A. That's correct.
Q. Okay. And you understand this is the continuation of the deposition which took place yesterday, August 22nd; is that correct?
A. I understand that.
Q. Okay. Mr. Utley, since yesterday's deposition, have you spoken $亡$ co anyone with regard to anything concerning Iviewit.com or any other matters which we've addressed in your previous deposition or deposition questions in this matter?
A. No.
Q. Have you consulted anyone or sought preparation from any other source since our questions yesterday?
A. Not a thing.
Q. Okay. Okay. Now, with regard to

Mr. Rubenstein, we had a series of questions we talked about yesterday with regard to him. Do you recall that?
A. I recall that we had some discussion.
Q. Okay. Are you aware of or did you arrange any meetings for any employees of Warner Brothers or any other company with Mr. Rubenstein?
A. No. But I believe that someone from one of the principals on the development side or the engineering side at Warner Brothers was associated with Rubenstein in the MPEG pool.
Q. Okay. And that MPEG pool you're talking about, was that something that Mr. Rubenstein was involved with?
A. Yes.
Q. Okay. And can you explain to me exactly what that MPEG pool was, to the best of your knowledge.
A. Are you going to explain it to me or do you want me to explain it to you?
Q. I want you to explain it to me, please, sir.
A. Well, the MPEG pool, just from
rroskauer Rose vs. Iviewit.com, et al. 8/23/02 casual knowledge; I'm not involved with the MPEG pool, but the MPEG pool is a consortium of patent holders that have established a pooling of those patents for the purposes of licensing others to use that intellectual property. That's my understanding.
Q. Specific inventions or patents which the MPEG pool holds or is currently trying to license to other parties?
A. Yes.
Q. And what would those be?
A. I'm sorry, I don't know those specific patents.
Q. Okay. So you don't know any specific technology which the MPEG pool is attempting to license?
A. Well, I know by name, MPEG 1, MPEG

2, MPEG 3, MPEG4.
Q. But you have no idea what those
technologies are.
A. I didn't say that.
Q. Okay. Well --
A. I thought your question was relative to the MPEG pool and that organization.
Q. Okay.

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A. You didn't ask me the question of 173
did I know anything about the technology.
Q. Well, let me rephrase it then. Do you know anything about the technologies MPEG pool is licensing?
A. I don't know anything about the licenses or what is underneath those licenses. I do know something about the specific technology.
Q. What do you -- tell me about that
then.
A. Well, I don't want to put questions in your mouth, but I think you need to be more explicit.
Q. I will try. Go ahead if you can and answer my question.
A. What do you want to know?
Q. What technologies are involved with the MPEG pool and describe those inventions to the best of your ability.
A. I can't describe the inventions but

I can describe the names of the technology and the rough dimensions of those technologies.
Q. Okay. And would that include a description of the purpose of those technologies?
A. In a commercial sense, yes.
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Q. Okay, very good. Why don't you do
(inaudible) then.
A. The first one was MPEG 1, was developed by a committee in about 1991, or was issued in about 1991. The purpose of that was to formulate a means of compressing video such that it could be played back on a CD ROM.
Q. Okay. So it was a compression technology?
A. They're all compression technologies.
Q. Okay.
A. The next one was MPEG 2, which was formulated to provide a more, more efficient compression technology for the purpose of compressing high-quality video.
Q. Okay.
A. The next one was MPEG 3, commonly known as MP3, which is designed to provide audio compression.
Q. Okay.
A. The last one is MPEG 4, which was designed to further increase the amount of compression which could be achieved for the purpose of transmitting video over low band necessarily restricted to the internet.
Q. Okay. And are any of these, are any of these MPEG products or inventions currently licensed?
A. I have no knowledge of any licensing practices or whatever the situation is.
Q. Do you have any involvement with the MPEG pool at all or any of these inventions at all?
A. None at all.
Q. Going back to Rubenstein and his involvement with the MPEG pool, was he ever approached with regard to any of the technology from lviewit?

MR. PRUSASKI: Objection to form.
MR. SELZ: I'll try to rephrase it
then.
By MR. SELZ:
Q. Did you ever have any discussions with Mr. Rubenstein with regard to the intellectual properties of Iviewit?
A. I can recall that $I$ had discussion that briefed him on where we were in terms of filing patents covering the Iviewit IP, but it
Q. And what was the purpose of that discussion with Mr. Rubenstein?
A. I believe that that was to inform him of where Iviewit was relative to its IP because there was a proposed contact between the Warner Brothers representative on the patent pool and Mr. Rubenstein for the purpose of suggesting that the Iviewit technology was, had some substance.
Q. Had some what?
A. Substance.
Q. Substance, okay. But it wasn't anything more in depth than just advising him that it was in the process of .-
A. It was a single phone call which I made to him.
Q. And about what date was that, if you can recall?
A. Oh, it was in late 2000, fourth quarter 2000.
Q. Did you ever have any other conversations with Mr. Rubenstein, other than the one you've already described?

> A. I don't recall any other
'roskauer Rose vs. Iviewit.com, et al. 8/23/02
conversations, no.
Q. Now, I just want to take a quick step back we had some discussion yesterday concerning the whereabouts of the documents of Iviewit, and if $I$ recall correctly, you had indicated that you had undertaken an effort to pack those and ship them out to California; is that correct?
A. Everything I had was shipped to California, or at least it was put in boxes to be shipped.
Q. And who actually on the staff was going to be responsible for making sure those got shipped?
A. I think I indicated yesterday that those actions were under the control of Mr. Ross Miller.
Q. Okay. Mr. Miller was the one who actually was responsible for that. I think you're correct. I think you told me that yesterday, and I apologize for asking you that repetitive question.

Did you ever see anyone or witness anyone, and again, this might be repetitive, disposing of any documents, shredding any
documents over at the Iviewit offices?
A. No. I don't think we even had a shredder. At least, if we did, I was not aware of it.
Q. Are you familiar with two employees at Iviewit named Mary and Martha?
A. Named who?
Q. Mary --
A. Mary and Martha.
Q. Yes.
A. Yes.
Q. What's Mary's last name, if you can
recall?
A. I don't recall it.
Q. What about Martha?
A. Montecon.
Q. And how do you spell that?
A. I believe it's $\mathrm{M}-\mathrm{A}-\mathrm{N}-\mathrm{T}-\mathrm{E}-\mathrm{C}-\mathrm{O}-\mathrm{N}$.
Q. Martha Montecon, what was her
position at the Iviewit offices?
A. She was my assistant.

- Q. Assistant. And how long was she your assistant, for what period of time, sir?
A. From about October of 99 until

December, about December of 2000.
Q. Did you have anyone else who acted as your assistant during your tenure at Iviewit?
A. Subsequent to Martha, I had a couple of short-term people who were there. I don't even remember their names.
Q. Did you ever instruct anyone at all to shred documents or destroy documents at Iviewit?
A. Never.
Q. Did any of your -- strike that.

Did any of the employees of Iviewit ever file any legal action against the company or against you personally during your tenure there?
A. Not to my knowledge.
Q. And obviously I'm not talking about the Chapter 7 proceeding, the involuntary bankruptcy. I'm just talking about anything else you were aware of.
A. No, I'm not aware of any.
Q. Who's Mr. Monte Freedkin?
A. He was the principal owner of

Diamond Turf Equipment.
Q. Do you have any current relationship
with Mr. Freedkin?
A. I know him. I put him on my board

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at the Florida Atlantic University.
Q. Do you have -- do you communicate
with him on a regular basis or not?
A. No. We have a casual relationship.
Q. When was the last time you spoke
with Mr. Freedkin?
A. Probably saw him two months ago.
Q. Down here in South Florida?
A. Yes.
Q. And was that during your visit in which you saw Mr. Wheeler?
A. I don't believe I saw Mr. Wheeler during that visit.
Q. Okay. And what was your purpose for coming down to Florida that time, to visit Mr. Freedkin or was it for some other purpose?

MR. PRUSASKI: Objection for relevance. By MR. SELZ:
Q. You can go ahead and answer the question.

MR. PRUSASKI: Just to let you know, Mr. Utley, if I make objection, I'm putting it on the record for the purposes of preserving it because the court is not involved in this

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deposition. You have to go ahead and answer the question.

THE WITNESS: It was a personal
visit. I have property in Boca.
By MR. SELZ:
Q. And did you call Mr. Freedkin or did he call you or how did you communicate?
A. We happened to attend the same event.
Q. What event was that?
A. That was the dedication of the president's house at Florida Atlantic University.
Q. Are you still involved with the board of directors at Florida Atlantic University or not?
A. I am.
Q. Who is Michael Real?
A. $\quad H e$ is an ex-employee.
Q. An ex-employee of what company?
A. Iviewit.
Q. Which Iviewit company?
A. I'm sorry.
Q. Which of the Iviewit entities?
A. I don't recall which entity was
specified on his employment agreement.

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA.(722)

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Q. Well, you had earlier testified that
it was Iviewit.com, Inc. that I think you said was the operating entity. I'm just trying to jog your memory here.
A. I said that.
Q. Okay. Do you have any reason to believe that he would be an employee of any of the other Iviewit entities?
A. I don't recall what was, what he specifically was identified with in his employment agreement.
Q. Are you aware of any property that was missing from Iviewit that Mr. Real was alleged to have removed improperly from the corporate headquarters?

MR. PRUSASKI: Objection; relevance.
THE WITNESS: I'm not aware of any
property that was improperly removed.
By MR. SELZ:
Q. Are you aware of any property that was removed by Mr. Real from the corporate headquarters, improperly or otherwise.
A. No.
Q. We talked yesterday about, briefly, and I hate to backtrack like this but
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unfortunately $I$ think I'm going to have to, with
regard to your acting as president of Diamond

Turf. You had spoken to me about a patent
attorney or intellectual property attorney you
had hired while you were there for the
hydro-mechanical systems that you had developed;
is that correct?
A. For some portion of it, yes.
Q. Some portion of it, correct. Do you recall that attorney's name?
A. It was Bill Dick.
Q. Bill Dick, okay. And with regard to Mr. Dick, do you have any continuing relationship with him at all?
A. No. I haven't spoken with him for a long time.
Q. How long is a long time, sir?
A. I don't recall.
Q. Is it more than a year?
A. I don't recall.
Q. If you were to guess, would you say
it would be more than a year or less than a year?
A. I don't recall.
Q. Now, was Mr. Dick ever at any meetings of Iviewit or was his name or any other

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'roskauer Rose vs. Iviewit.com, et al. 8/23/02
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information used with regard to Iviewit or its technologies?
A. I think I mentioned yesterday that he is the person who introduced Iviewit to Foley \& Lardner.
Q. Right. But my question was a little more specific. Was he ever present at any meetings at Iviewit?
A. I can't say with conviction, but I do, $I$ do think he probably came to the offices once, perhaps twice.
Q. What was the purpose of his visits to Iviewit offices?
A. Well, in the first instance, he, he would have come at my invitation to review what our needs were and to determine if Foley \& Lardner was the appropriate solution to our problem.
Q. And what was the problem that you were having? Was it Meltzer Lippy no longer providing IP support?
A. That's correct.
Q. Is that the problem you're
discussing here, sir?
A. Yes.
ascertain whether or not Foley Lardner would be the appropriate substitute counsel for Meltzer Lippy; is that what he was attempting to do?
A. Yes.
Q. Now, with regard to employment of both Meltzer Lippy and Foley \& Lardner, was there some reason why Proskauer Rose was not engaged for any intellectual properties work, to the best of your knowledge?
A. My understanding is that that question had already been raised with Proskauer Rose before $I$ was involved with the company. It was referred to Rubenstein and Rubenstein referred the matter to Meltzer Lipper.
Q. Okay. So it was Ken Rubenstein had an involvement with IP, obviously, because he was involved with all the MPEG patent pools; is that correct?
A. I believe so.
Q. Okay. And Ken Rubenstein was working for what firm at that point in time?
A. Proskauer Rose.
Q. Okay. So Proskauer Rose had an intellectual division, or at least some

Vroskauer Rose vs. Iviewit.com, et al. 8/23/02
involvement with intellectual properties work?
A. I'm not sure what they had in
intellectual property.

MR. PRUSASKI: Objection to the
form.

BY MR. SELZ:
Q. Did you ever make any inquiry with regard to the ability of Proskauer Rose to provide services to Iviewit for intellectual properties work?
A. I was told that Proskauer Rose had referred that matter to Meltzer Lipper.
Q. Okay. To Meltzer Lippy, okay.
A. Lippy.
Q. And you didn't make any othẹr
inquiry into that particular matter then?
A. That is correct.
Q. We had some conversations yesterday
about Raymond Joao; do you recall?
A. Yes.
Q. Okay. Now, with regard to Mr. Joao, what is his position or what is his role with regard to Iviewit?
A. I'm not aware of any role that he has with Iviewit.
'roskauer Rose vs. Iviewit.com, et al. 8/23/02
Q. Are you aware of any patents that he
holds for any of the Iviewit technologies?
A. I'm not aware of anything about

Raymond Joao.
Q. So he's not an inventor of any of these technologies; he's not in any way a contributor to any of these technologies, to the best of your knowledge, then?
A. To the best of my knowledge, that's correct.
Q. Now, you had testified to Mr. Prusaski that there was a time when Wayne Huizenga and his companies were involved to some degree making an investment in Iviewit; is that correct?
A. I'm sorry, I didn't get the beginning of your sentence.
Q. All right. Yesterday when you were under direct examination by Mr. Prusaski over there, he had asked you a question concerning Wayne Huizenga or the Huizenga, one of the Huizenga companies with regard to an investment in Iviewit; is that correct?
A. Yes.
Q. Okay. Were you ever involved in
roskauer Rose vs. Iviewit.com, et al. 8/23/02
that particular investment, I believe it was a half million dollars, Huizenga placed to the company as an investment?

MR. PRUSASKI: Object to the form. MR. SELZ: Let me restate the form of the question then.

By MR. SELZ:
Q. Okay. Did Mr. Huizenga or any of his companies invest half a million dollars in Iviewit?
A. Yes.
Q. Okay. And were you present at any meetings at which any presentation was made to Mr. Huizenga or any of his representatives concerning that investment?
A. Yes.
Q. And what date approximately did those meetings take place on?
A. Those meetings most likely would have taken place September and October of 99.
Q. Okay. And who was present at those meetings?
A. It depends on which meeting.
Q. Okay. Well, let's start with the first meeting. You said the meeting took place
there more than one meeting, sir?
A. I think when someone is going to invest in a company, there will be more than one meeting.
Q. Okay. How many meetings were there?
A. I don't recall.
Q. More than two?
A. Most likely.
Q. So you don't really have a clear recollection of how many meetings there were then?
A. I don't recall precisely how many meetings there were.
Q. Do you have any recollection of who was present at any of those meetings?
A. As matter of course in those meetings, typically there would be myself, Simon Bernstein mostly, Chris Wheeler mostly, Eliot Bernstein mostly, but not necessarily all of them all the time in all the meetings.
Q. Okay. And who else, who from

Huizenga's side or who else at all?
A. The principals of Huizenga Holdings,

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Inc.
Q. Okay. And who are they?
A. I don't recall their names.
Q. Did you ever meet Mr. Huizenga?
A. Not in connection with this transaction.
Q. How about with regard to his son?
A. I never met with Mr. Huizenga with regard to his son.
Q. No, or his son, or his son, not with regard to his son.
A. I have met his son.
Q. When was that?
A. It was in this same time frame.
Q. Okay. So it was part of these meetings that you had or a portion of these meetings that you had?
A. He would be in some of the meetings.
Q. Are you aware of any of the
representatives at Huizenga Holdings being sent to New York to meet with Joao or Mr. Rubenstein regarding the Iviewit patents?
A. They hired an attorney in New York to, as part of their due diligence, to review the intellectual property status. He met with Ray

[^60]Q. Do you remember his name?
A. I don't.
Q. And what was the outcome of those meetings?
A. I don't know. He submitted his report directly to the Huizenga organization. I did not see that report.
Q. Okay. Do you recall where the meetings took place?
A. I've been to so many meetings. I don't precisely know where the meeting took place.
Q. Okay. Are you aware of any infringements of the Iviewit technologies by any of the Huizenga companies or their affiliates?

MR. PRUSASKI: Objection to form.
THE WITNESS: I'm sorry, would you
repeat the question.
By MR. SELZ:
Q. Sure. Are you aware of any
infringement on Iviewit technologies by any of the Huizenga companies or their affiliates?
A. No.

MR. PRUSASKI: Same objection.

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By MR. SELZ:
Q. Just out of curiosity, sir, when
were these Iviewit technologies developed? I mean, I'm just looking for a time frame.
A. I think that question should probably be addressed to the principal inventor.
Q. Who's that?
A. Mr. Bernstein.
Q. Okay. So was this before your time, then, at the company?
A. That's affirmative.
Q. Okay. And you weren't really present when they were inventing it; you weren't yet with the company?
A. That is correct.
Q. Now, I'm going to backtrack just a little bit. I think you had indicated previously about meeting Mr. Wheeler, knowing him socially for a number of years, and then he introduced you to the Iviewit companies; is that correct?
A. He introduced me to Eliot Bernstein and then subsequently to Simon Bernstein.
Q. Okay. And I don't want to repetitive again, but do you recall approximately when that was?
A. I think you asked me that yesterday.
Q. I hate to be repetitive, but I'm working from what I got.
A. Okay. That was, that should have been July of 1999.
Q. How about Jude Zach, was he one of the people involved with the development of the Iviewit technologies?

MR. BERNSTEIN: That's two people, Jude and Zach. By MR. SELZ:
Q. I'm sorry, Jude and Zach?
A. That's what $I$ was told.
Q. So, again, that's before your time at Iviewit?
A. Yes.
Q. How about Todd Kloslosy, I think
$\mathrm{K}-\mathrm{L}-\mathrm{O}-\mathrm{S}-\mathrm{L}-\mathrm{O}-\mathrm{S}-\mathrm{Y}$, at Web Cast?
A. I don't recall anyone by the name of Todd at Web Cast.

MR. BERNSTEIN: Scott.
By MR. SELZ:
Q. Scott. It's hard with the speaker phone.
A. I'm sorry.

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By MR. SELZ:

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Q. Scott Kloslosky, I'm sorry. I'm butchering names again.
A. I recall Scott Kloslosky.
Q. Do you recall having any meetings with Mr. Kloslosky?
A. I did.
Q. And what were those meetings in reference to?
A. The possibility of licensing Iviewit technology.
Q. And do you recall when those meetings took place?
A. Most likely in late 99.
Q. And how many meetings were there, if you can recall?
A. I had one meeting.
Q. One meeting. Are you aware of Web

Cast utilizing any of the Iviewit technology currently?
A. I am not.

MR. PRUSASKI: Objection as to
relevance.
By MR. SELZ:

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Q. And the other investor that you ou
talked about yesterday was a company called Crossbow; is that correct?
A. Yes.
Q. Was there an audit by Crossbow that was done of the Iviewit companies?
A. Would you elaborate on what you mean by audit.
Q. Was there a request or actual review of the corporate finances by any representative or agent of Crossbow?
A. Well, that's different.
Q. Okay. If you could answer my question, please.
A. The, as part of the due diligence, the company submitted its books for review by Crossbow or their representatives.
Q. Were you involved in any
presentation made to Crossbow as part of their due diligences or meetings prior to their investment?
A. I was.
Q.. And what was your presentation with regard to?
A. It -- I'm trying to recall, but most

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likely it would have been a presentation of the Iviewit business plan.
Q. Did you ever make any presentation to Crossbow concerning current infringement on Iviewit technologies by other companies?
A. No.
Q. Did you ever make any representation that Iviewit technologies were already in use in some commercial application?
A. I have not made that allegation or representation.
Q. Not in the form of an allegation, I'm saying as a statement to any other party concerning Crossbow?
A. I have not made that representation.
Q. Do you recall who was present at these meetings with Crossbow during their due diligence?
A. Most likely Eliot Bernstein and Simon Bernstein and Murice Buchsbaum.
Q. And how about representatives of Crossbow's, whose representatives were there, if you can recall?
A. I don't recall.
Q. Did they have more than one person
present at the meeting?
A. Depending on the meeting.
Q. Well, how many meetings were there? We're talking about the due diligence. How many meetings did the due diligence entail?
A. Well, you know, I think there were three separate investment into Iviewit by Crossbow so I don't, I'm not quite clear as to which one you want me to talk about.
Q. Well, let's talk about the first one then.

MR. PRUSASKI: Objection; relevance. By MR. SELZ:
Q. The first one that was done, how much of an investment was being sought at that point from Crossbow?
A. A million dollars.
Q. And the same parties you described earlier were present at the meetings, Eliot Bernstein, Simon Bernstein, Murice Buchsbaum and yourself and some representative of Crossbow who you're unable to recall?
A. Yes.
Q. Okay. And how many meetings did that entail?

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\begin{tabular}{|c|c|c|}
\hline \multicolumn{2}{|l|}{A. I don't recall.} & 198 \\
\hline Q. & How about the second investment, how & \\
\hline \multicolumn{3}{|l|}{much was that second investment?} \\
\hline A. & I don't recall exactly how much it & \\
\hline \multicolumn{3}{|l|}{was.} \\
\hline Q. & And who was present at that meeting, & \\
\hline \multicolumn{3}{|l|}{if you could recall?} \\
\hline A. & It would be the same people. & \\
\hline Q. & About what date was that, if you & \\
\hline
\end{tabular}
A. That'd be the summer of 2000 .
Q. All right. And how about the third, the third investment, how much was that?
A. I believe it was under a million dollars.
Q. And if you can recall, about when did that meeting take place with regard to that third investment?
A. It would be probably in the December/January, December 2001, January 2002 time frame.
Q. January 2002?
A. Approximately.
Q. Do you ever recall having a camera with you at any of those meetings, a digital camera with you at any of those meetings?
A. I didn't take a camera with me.
Q. Now, going back to the Iviewit technologies, the heart of the company's property, intellectual property, was there ever any concern expressed to you, as COO and president of the company, concerning the math, the poor math that was submitted to the patent office with errors? Is there anyone who expressed any concern to you about that?

MR. PRUSASKI: Object to the form.

THE WITNESS: There was a dispute as to the consistency of the mathematical representation, not to accuracy. By MR. SELZ:
Q. Okay. So it wasn't dealing with the accuracy of the math or computational errors; it was dealing with whether or not the math properly applied the processes involved?
A. No. It was, I said, consistency.
Q. Consistency, okay. Well, explain to me what you mean by consistency, then, sir, so \(I\) can understand.
A. Well, there may be several different ways of deriving the same number, and it could be

Proskauer Rose vs. Iviewit.com, et al. 8/23/02 derived using one form in one place and another form in another place. The result is always the same and both forms are accurate.
Q. But they're not consistent?
A. They are not -- they don't show the same format, but the values and the value derived is always the same. It is mathematically correct.
Q. Okay. So did anyone ever express to you a concern about those particular issues?
A. There was a concern expressed, yes.
Q. By who?
A. By Eliot Bernstein.
Q. How about Murice Buchsbaum, did he ever express any concern to you about the math submitted?
A. Murice Buchsbaum didn't understand the math.
Q. Okay. So he never, he never expressed any concern to you then?
A. Not on that score.
Q. Did the board of directors ever question you about the patent materials submitted or any problems with the patent submitted to these intellectual property rights?

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questionjing is relevant to the Proskauer
litigation?
Q. Well, actually, sir, and I don't mean to be impolite in any manner whatsoever, but the role here for you is not really to ask these questions, but rather to answer the questions that are posed. So, although I appreciate your concerns, that's not something really for you to determine, but rather for posing counsel to bring before the court, if these matters should ever be submitted.

So, again, I'm not attempting to be rude or impolite in any manner, but these are the questions we can pose to you and you are duty bound to answer them.

MR. PRUSASKI: I would just say to some extent, because he's not represented here, I think he's got the right to --

MR. SELZ: He doesn't have any right to object. And you know, Chris, you and I both know, that even if you object to the form of a question or relevancy, the witness still has to answer it.

MR. PRUSASKI: I agree, and I think Mr. Utley knows that he needs to answer the

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questions, but \(I\) think because he's not
represented, to some extent, he does have the right to question the relevancy.

MR. SELZ: Well, I mean, he can object based on the relevancy or you can object based on the relevancy, but he cannot question the validity of what I'm asking based on a relevancy objection.

MR. PRUSASKI: Okay. I just think that his last comment was basically just a lay person's objection to the relevance.

MR. SELZ: That's fine. I mean, you
know --

MR. PRUSASKI: And I'd like to
state --
MR. SELZ: I don't even know if he has standing to interpose an objection because he's not a party to the case. So, well, I guess whatever, but the bottom line is we'll proceed so we can hopefully get through this as quickly as possible and release Mr. Utley from his obligations here.

MR. PRUSASKI: Okay. And I'd like
to join Mr. Utley with objecting to the relevance of the question too. Go ahead.

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MR. SELZ: (No response.)
MR. PRUSASKI: Steve?
MR. SELZ: Yeah, I'm still here.
MR. PRUSASKI: Okay. Go ahead when you're ready. I guess we're done.

MR. SELZ: You're done with your objections. Okay, fine.

MR. BERNSTEIN: Could somebody repeat the last question for me, please.

MR. SELZ: The last question was are you, are, were you aware of any situations
according to -- Madam Court Reporter, actually if you could do me a favor, if you read back that last question, I would appreciate it.
(Whereupon, the requested portion
was read back.)
MR. SELZ: That's fine, thank you.
By MR. SELZ:
Q. Did Foley \& Lardner ever discuss with you any potential errors in the patents and any potential liabilities that would arise from those errors?

MR. PRUSASKI: Object to the form. Assumes facts not in evidence.

MR. SELZ: Well, let me start off
with the basic question then.
By MR. SELZ:
Q. Did Foley \& Lardner ever advise you that there were any errors in the patents?
A. No.
Q. So, then, they never advised you of any liabilities or any errors that might arise from any errors because there weren't any; is that your testimony is today?
A. They never advised me that there were any errors in the patents.
Q. Okay. Now, with regard to the Proskauer Rose billing, you had indicated that you had authorized certain payments to be made and Mr. Prusaski had showed you a series of letters sent to you by Chris Wheeler and your responses on a couple of those.

Were those payments ever authorized by the board of directors of Iviewit?
A. The board of directors normally does not become involved in the administration of accounts receivable and accounts payable.
Q. Okay. Well, you, in your own testimony, sir, indicated that the company was in a cash poor position; is that true?

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MR. PRUSASKI: Object to the form.
MR. SELZ: Okay. I'll restate the form. By MR. SELZ:
Q. Your testimony yesterday was that Iviewit did not have sufficient funds to meet its ongoing obligations; is that correct?
A. Yes.
Q. And that meant that decisions as to prioritizing the obligations would have to be made; is that also a correct statement of fact?
A. Yes.
Q. And who would normally, who would normally undertake those decisions?
A. Either the CFO or the CFO in consultation with me.
Q. Okay. And who was the CFo at that point in time?
A. Raymond Hersh.
Q. Now, with regard to that, sir, you never felt it advisable to discuss these matters with the board of directors prior to authorizing these substantial payments?
A. I discussed it with, any specific payment which I felt warranted, I would discuss
with Simon Bernstein. The board was always
updated as to the financial status of the company and we had regular board meetings by conference call where that was discussed.
Q. Okay. So you had regular board meetings in which you discussed accounts payable to Proskauer Rose; is that your testimony?
A. The Proskauer Rose accounts payable was discussed in some of those board meetings.
Q. Was there ever any authorization by the board to allow for some kind of payment agreemer.t between Iviewit and Proskauer Rose?
A. No. The board was never involved in day-to-day operations.
Q. But you discussed the accounts payable at board meetings?
A. As part of an aggregate overall presentation on status.
Q. But you never discussed -- well, strike that.

Did you ever discuss your intentions of entering a payment agreement with Proskauer Rose at any board meeting?
A. I don't recall.
Q. Would that have been reflected in

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\(\qquad\)
MR. PRUSASKI: Object to the form.
By MR. SELZ:
Q. Go ahead and answer, sir.
A. As far as I know, the minutes reflected the discussions that took place in the board meeting.
Q. So in other words, sir, if I went back and reviewed the minutes of the meetings of the board of directors and there was no mention of you discussing payment agreement with Proskauer Rose, would it be fair to say, then, that you never mentioned it at a board of

MR. PRUSASKI: Object to the form.

THE WITNESS: Not necessarily.

By MR. SELZ:
Q. Okay. Well, you just indicated to me that the minutes of the board of directors meetings were accurately and fairly transcribed from what was discussed; is that correct?
A. No.
Q. Okay. Then, go ahead, why don't --
A. If you listen to my words, I said they were representative.
Q. Representative. Okay. So in other words, not everything was transcribed; is that what you're telling me?
A. I think that it's not unusual in board meetings to have a number of discussions which may or may not get transcribed.
Q. Were those meetings of the board of directors ever taped?
A. I'm not aware of any taping.
Q. Would you be surprised to know that
a tape of those meetings existed?
A. Some one may have surreptitiously taped some of the meetings.

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Q. Okay. So your testimony, then, is
that taping the meetings wasn't something that was done in the regular course?
A. That is correct.
Q. The word surreptitiously, it would have to be someone hiding something to tape it; is that what you're implying by your answer?
A. I am.
Q. Now, during your authorization of payments to Proskauer Rose, did you ever have any further discussions as to, with either Si Bernstein or any other member of the board of directors, of mention with regard to continuing those payments?
A. I don't recall the specific conversation.
Q. Now, with regard to the accounts payable situation at Iviewit, how did you -strike that.

Please describe for me the accounts payable team that you selected, who were they and why were they hired in particular?
A. We had a young college graduate of the business school, of an accounting school for a while.

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Q. What was that person's name?
A. Her last name was Lewin. And she left after several months and was replaced by Mary. And --
Q. And that's Mary; what was Mary's last name?
A. I don't recall.
Q. Was it Viadaro?
A. That does not sound familiar.
Q. Okay. And who else, who else was on the team?
A. And then later Mary left and Bill

Kasser came into the picture.
Q. Now, had you ever worked with Mary before at all or any of these other people before?
A. Yes.
Q. And which one of them did you work with before?
A. Mary had worked at Diamond Turf Equipment.
Q. Okay. And did you bring her over directly from Diamond Turf?
A. Yes.
Q. Was there any particular reason why

Iviewit?
A. Based upon her work at Diamond Turf.
Q. And what finally happened with Mary? Was she -- because Bill Kasser obviously came in afterwards. Was Mary let go at one point in time or another?
A. She became ill.
Q. Okay. She became ill in a chronic sense or just --
A. She was -- no. She became ill and was not able to attend work for some substantial period of time.
Q. okay.
A. And at that point in time, we were cutting staff so we cut her position out.
Q. Okay. You cut her out but then you replaced her with Bill Kasser?
A. Later. There was a period where we had, where Raymond Hersh filled in.
Q. Now, so she was basically terminated because she couldn't attend work; is that what you're, the reason for her no longer, for Mary no longer working for Iviewit would have been?
A. We found that we could get along
without her at that particular point in time.
Q. Now, we talked earlier about, or I believe it was on direct examination that you commented that Mr. Bernstein had, Si Bernstein had indicated his concern with regard to Proskauer Rose's bills. Did Mr. Donald Cain or Ken Anderson ever indicate any concerns or complaints about Proskauer's work or their billing?
A. They commented on the magnitude of the bills.
Q. And they commented to you in particular about that fact?
A. Yes.
Q. And what were the, the basis for their statements or what was the substance of their statements to you?
A. Well, the substance of their statements was, in their experience, the magnitude of the billings were significantly larger than in their experience a company of the Iviewit size would have experienced.
Q. Okay. So you're saying that their statements were basically they thought the bills were larger than they should have been for a

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company of Iviewit's size and the work being
generated; is that what they were saying?
A. They said that in their experience, the bills were larger than their experience would indicate for a company of that size.
Q. Okay. And what was their experience, Mr. Cain's experience and Mr. Anderson's experience that they drew from, if you know?
A. I know -- I don't know. Mr. Cain had a background in the private banking and investment industry. Mr. Anderson had experience with Anderson Accounting.
Q. okay. So these were people of backgrounds in, for businesses then?
A. I don't know exactly what they were doing.
Q. Okay. Now, what was their role in Iviewit or at Iviewit, I should say?
A. They were on the board.
Q. Okay. And they communicated these facts to you; is that correct?
A. They communicated those impressions to me.
Q. And you took that to mean, then, in full?
A. I did not make that representation.
Q. Okay. Well, sir, we're talking
about your role as president and chief operating officer of the Iviewit entities. You had already indicated in your testimony that you unilaterally determined to make payments to Proskauer Rose on a payment agreement; is that correct?
A. I made a payment agreement.
Q. All right. And you made it without consulting the board of directors, is what you had indicated in your earlier testimony; is that still correct?
A. I made it in the normal course of my position as president.
Q. But you had received prior to that payment agreement or after that payment agreement, comments from Mr. Cain and Mr. Anderson indicating concerns about the size of Proskauer Rose's bill.
A. We have not discussed the timing when those concerns were expressed.
Q. That's what I'm asking you. Was it before of after your entering into the agreement

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to pay Proskauer Rose's bill?
A. It was after.
Q. So you had already entered an
agreement. About when was this, sir?
A. I believe from the exhibits that we discussed yesterday, the agreement was dated December of the year 2000. And at that time, we had received a significant infusion of cash from Crossbow, and on the basis of that infusion of cash, I prepared a budget. The budget included a, an allocation of funds that \(I\) reviewed with the board and with Mr. Si Bernstein --
Q. Okay. That wasn't --
A. -- it included -- let me finish.
Q. Go ahead.
A. It included the amount that would go to Proskauer on a monthly basis and it was prior to the time that \(I\) entered into that agreement with Mr. Chris Wheeler.
Q. Okay. Now, with regard to the information that you had, because obviously there wasn't just one agreement you had with Proskauer Rose; according to your testimony yesterday, there were at least two separate agreements that you had with them at various times about how the

Mr. Cain and Mr. Anderson prior to that second agreement you made with Proskauer Rose?
A. No.

MR. PRUSASKI: Object to the form.
By MR. SELZ:
Q. So these were still after that as
well?
A. As I recall.
Q. So they were -- okay.

So about what date were those
comments made by Mr. Cain and Mr. Anderson, if you can recall? Was it December of 2000?
A. No. It'd be later in the first quarter of 2001.
Q. First quarter of 2001. How about Gerald Lewin, did Mr. Lewin, ever complain about either the work or the billing that Proskauer Rose had performed for Iviewit?
A. Not to my recollection.
Q. Now, with regard to the hiring and retention of counsel, yesterday had you testified that in your role in \(I B M\), there was staff attorneys and so you really never had to retain an attorney on behalf of the company; is that
A. That's correct.
Q. Okay. And that the first time you retained counsel was regard to, I believe Mr. Dick, with regard to Diamond Turf?
A. It was a, it was a -- he was retained by me personally.
Q. He was retained by you personally. How about with regard to Diamond Turf, did you ever retain counsel during the approximately three-year period you were president of Diamond Turf?
A. No.
Q. You never were involved with retaining anyone?
A. Right.
Q. Did Diamond Turf ever have any intellectual property issues or any patent work that it had done?
A. No.
Q. How about corporate work, did it
have corporate work that needed to done?
A. That was taken care of by

Mr. Freedkin.
Q. So, then, sir, it would be a fair
statement to say that you've never, prior to your
work at Iviewit, you never hired or retained an attorney for purposes of corporation representation; would that be a true and correct statement of fact?
A. Yes.
Q. And so what was your experience and basis for concluding that the work provided by Proskauer Rose was being billed in a reasonable, prudent manner or the services provided were being charged for fairly?
A. My practice was to continue the relationship and the commitments that had already been made prior to my taking the position.
Q. Okay. But you've.stated, sir, that you concluded that the billing provided by Proskauer Rose was proper, that there was nothing improper about it that you saw; is that correct?
A. What I saw was a detail under the billings which accounted for time and material expended in behalf of Iviewit on corporate matters.
Q. And so if I sent to you, then -- so under that, under that philosophy then, sir, if I sent a bill for corporate work to Diamond Turf
provided that to you, you would think that was a legitimate bill, regardless of the quantity of services provided; is that what you're telling me?

MR. PRUSASKI: Object to the form.
THE WITNESS: The billing includes the time spent and material used by each person assigned to Iviewit corporate matters.

By MR. SELZ:
Q. My question to you is, was
distinctly different. That is, if I provided you with a billing statement showing a detailed breakdown -- what you've told me so far in your testimony is that you're presuming correct, without even examining whether or not it's a reasonable amount for the service provided; is that what you're telling me.

MR. PRUSASKI: Object to the form.
THE WITNESS: I'm saying that I
accepted it on the same basis that was accepted prior to my taking the position.

By MR. SELZ:
Q. Okay. So you accepted it at face value that if it was on the billing statement, it

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was due and it was reasonable; is that what you're telling me?
A. I said I accepted it on the same basis that it was accepted prior to my taking the position.
Q. Well, sir, you were acting as the president and COO of this company and you've already testified that you used your judgment entering a payment agreement with Proskauer Rose for the balances due and that you didn't question anything concerning the bills, that you thought they were all proper; was that a correct statement? All the things \(I\) just recited, are those correct statements of your prior testimony?
A. No.
Q. Okay. What's not correct in that statement?
A. The bills were reviewed for substance.
Q. By who?
A. By either myself or my accountant.
Q. And who was, which accountant was that?
A. It would be Raymond Hersh.
Q. Okay.

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A. Or myself.
Q. Okay. And that's the only
inaccuracy in the statement \(I\) just made?
A. And the billing rates had already been in practice before \(I\) assumed responsibility for the company. There was no change.
Q. So you didn't, you didn't undertake to determine whether or not the time spent reasonably correlated to the services provided?
A. I am not an expert in how much time an attorney spends on a particular matter.
Q. So, again, you accepted that as being a fair billing on its face; is that what you're telling me, for the amount of time that was spent?

MR. PRUSASKI: Object to the form. THE WITNESS: I accepted Proskauer Rose as a responsible company with which to do business. By MR. SELZ:
Q. And you correspondingly, then, determined that the entirety of the bill would be paid, or should be paid; is that correct?
A. That is correct.
Q. And you authorized the accounts

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> payable department for Iviewit to issue checks to
> Proskauer Rose in partial payment of those billing statements; is that also correct?
> A. That is correct.
> Q. I think you probably already answered this in part of that answer you gave a couple of questions ago, but did you believe that

Proskauer Rose ever inflated their billing statements to Iviewit?
A. I think we touched on that yesterday, but the answer is, no.
Q. Now, how about with regard to Crossbow Ventures and their investment, did they ever require any assignment by the inventors of the intellectual properties and.the inventions as part of their negotiations?

MR. PRUSASKI: Objection, relevance.
THE WITNESS: There was no
assignment made during my tenure.
By MR. SELZ:
Q. Was there ever any requests or requirement by Crossbow that they be assigned rights in these intellectual properties?
A. I'm not aware of any.
Q. Are you familiar with a gentleman

\section*{named William or Bill Barber?}
A. Yeah, I've met Bill Barber.
Q. Who's Mr. Barber?
A. Was that a question?
Q. Yes, it was. Who is Mr. Barber?
A. He is a businessman, as I understand it, who has connections in finances and in other properties.
Q. Okay. What was his interest in Iviewit and the Iviewit technology?
A. He was potentially interested in using the technology.
Q. For what purpose?
A. I believe that he is involved with sonie adult web sites.
Q. Okay. And did you contact Mr. Barber or did he contact you?
A. Well, I did not contact him.
Q. Okay.
A. I don't recall how he came on the horizon.
Q. Okay. Was -- did the board ever express to you any attitude towards the use of the Iviewit technology by either Mr. Barber or on adult web sites?

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MR. PRUSASKI: Objection, relevance.

MR. SELZ: I think it is relevant. We're talking about whether or not he followed board directions so \(I\) think it's highly relevant.

MR. PRUSASKI: And pursuant to the Rules of Civil Procedures, I'm not going to argue my objections.

MR. SELZ: Of course. I just want to make sure \(I\) got on the record why \(I\) thought it was relevant.

By MR. SELZ:
Q. Go ahead.
A. The board discussed it.
Q. Yes. And what was the outcome of their discussion?
A. The outcome of the discussion and my position was that we would not enter into any agreement that would compromise the credibility of the Iviewit name.
Q. Okay. Was there ever any encoding of any of this adult material at the Iviewit corporate offices or any other facility that Iviewit ran or operated?
A. There was a sample tape that was decoded. We had already done that once before.


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A. Eliot had a number of discussions 227 with them and I believe he brought a tape back once to be encoded and sent it back to them.

MR. SELZ: Let's say we take a break for about ten minutes.

MR. PRUSASKI: How you doing on
time? Let's go off.
(Whereupon, a break was taken from 3:51 to 4:07.)

By MR. SELZ:
Q. We were talking about some technology used at Iviewit, Mr. Utley, and I just wanted to find out, did you ever have a meeting with Alan Epstein concerning the technology?
A. I had several meetings with Alan Epstein.
Q. Was at the Universal Studio premises or was that some place else?
A. Several places.
Q. Okay. Why don't you describe each one of those meetings for me, if you could please, and the date and who was present.

MR. PRUSASKI: Objection, relevance.
THE WITNESS: Well, I don't recall all the meetings. Some were investor related and
some were client related. Mr. Bernstein,
Mr. Eliot Bernstein was involved in all of those meetings.

By MR. SELZ:
Q. Okay. Did any of those take place at, you said one of them or two of them or more of them took place at Universal Studios premises or not?
A. Yes, I think there were, there was at least one meeting at Universal Studios. I think maybe there were even two meetings.
Q. How would you characterize those meetings with Mr. Epstein?
A. Well, Mr. Epstein was the person who made the introduction to the studio.
Q. Okay. Was there any problem that you ran into with Mr. Epstein or any complaint that Mr. Epstein voiced to you about anything?
A. Well, in one meeting at Universal Studios, there was a confrontation between Mr. Eliot Bernstein and the principal
representing Universal Studios.
Q. Okay. And who was that principal
representing Universal Studios?
A. He was the head of their advanced
media program. I don't recall his name.
Q. Was there ever any complaint or problem with regard to yourself and Mr. Epstein?
A. Not that I'm aware of.

MR. BERNSTEIN: (Inaudible).
MR. SELZ: All right, Mr. Pierce.
By MR. SELZ:
Q. Mr. Pierce was the principal?
A. (No response.)
Q. Mr. Utley?
A. Yes.
Q. Was there ever complaints that Mr. Epstein had, particularly against you, yourself?
A. Not that I'm aware of.
Q. How about David Colter, are you familiar with Mr. Colter?
A. Mr. Colter was involved with the development side of Warner Brothers.
Q. Okay. Did Mr. Colter ever request that you never contact anyone else at Warner Brothers other than himself?

MR. PRUSASKI: Objection, relevance.
THE WITNESS: He made a request that
it might be simpler for everyone involved if

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Eliot Bernstein acted as the sole interface.
By MR. SELZ:
Q. Okay. Did he ever specifically request that you not contact any other Warner Brothers' employee other than himself? I believe that was my question to you.
A. No. He -- I'd have to rephrase the question to answer it accurately. He requested that he be the focal point for Warner Brothers.
Q. Okay. And did you comply with his request that he be the focal point for Warner Brothers with regard to any communications between Iviewit and Warner Brothers?
A. I did.
Q. Did you ever try to contact any other Warner Brothers employees?
A. No.
Q. Do you have any reason why Mr. Colter would want you to restrict your communications directly to himself?
A. My understanding was that he had been assigned the responsibility to evaluate the Iviewit technology.
Q. Okay. Do you know if Mr. Colter ever talked to you about your qualifications as an engineer?
A. No.
Q. Or a mathematician?
A. No.

MR. PRUSASKI: Object to the form.
By MR. SELZ:
Q. Are you aware of -- this is a line of questioning we were talking about yesterday. Are you aware of any inventions with zoom and pan as part of their title, something that's similar to what the Iviewit technology is.
A. Would you clarify that question.
Q. Sure. Are you aware, you personally aware of any inventions that used phrases zoom and pan in their title similar; in a manner which is similar to the Iviewit intellectual properties?
A. Are you speaking at large?
Q. At large.
A. Zoom and pan have been around for decades.
Q. Okay. But I'm saying with regard to digital zoom and pan the way that Iviewit technologies operate?
A. I have heard it alleged that digital

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elements of the inventions itself?
A. I didn't discuss any elements of the invention itself.
Q. How about commercial applications for those, for those inventions?
A. Well, that was discussed in my first meetings with Mr. Wheeler and Mr. Eliot Bernstein by them.
Q. Now, I going a little bit back to some of these funding meetings that we talked about earlier in your testimony. After the initial investment by Huizenga Holdings, did Huizenga Holdings make any other investments in Iviewit?

MR. PRUSASKI: Objection, relevance.
THE WITNESS: They did not.
By MR. SELZ:
Q. Do you know the reason why they did not make any further investment in Iviewit?
A. I'm not aware of any factual reason why they did not.
Q. Are you aware of any business reason why they did not?
A. There was an altercation between Si

Bernstein and the president of Huizenga Holdings.
dispute of some kind?
A. Yes.
Q. And do you recall what that dispute involved?
A. Mr. Bernstein took the position that they didn't know what they were doing.
Q. And the representative from Huizenga Holdings, who was that?
A. It was the president at the time.
Q. Do you recall his name?
A. Not offhand.
Q. He took offense to that representation by Mr. Bernstein; is that correct?
A. You might say that. -
Q. Now, with regard to your
authorization of work or the work that was performed by Proskauer Rose during your tenure at Iviewit, what was the corporate structure when you first became affiliated with Iviewit?

MR. PRUSASKI: Object to the form.
THE WITNESS: Well, I'll repeat what
we discussed yesterday.
By MR. SELZ:
Q. Okay.

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\begin{tabular}{|c|c|c|}
\hline A. & The principle component of the & 236 \\
\hline business was & Iview. LLC, or Iviewit. LLC. & \\
\hline Q. & Right. & \\
\hline A. & With a subordinate company & \\
\hline Iviewit.com, & LLC. Iviewit, LLC was 95 percent & \\
\hline owned by U-Vi & ew, LLC and 5 percent owned by & \\
\hline individual sh & areholders. & \\
\hline Q. & Okay. And I think you described & \\
\hline
\end{tabular} Proskauer Rose as one of those shareholders in the entity; is the correct?
A. That is correct.
Q. Okay. Now, going back to Proskauer Rose's bills, how was that interest in U-View, LLC, that 5 percent interest \(I\) believe you said it was?
A. No, I didn't.
Q. Sorry. That interest in the company, was it 5 percent or how much was it percentage wise? Let me take that back.
A. U-View had a 95 percent holding.
Q. Okay.
A. U-View was an S-corp.
Q. All right. Which entity did Proskauer Rose hold an interest in then?
A. Iviewit, LLC.

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Q. Iviewit, LLC was the entity in which Proskauer Rose held an interest; is that correct or not, sir?
A. Yes.
Q. And how much percentage interest did they hold in Iviewit, LLC?
A. I don't recall percentages. Something over 1 percent.
Q. And that was the same entity which the September 8th letter is addressed to, Mr. Brian G. Utley, it's Exhibit No. 1 I'm referring to, Brian G. Utley Iviewit, LLC; is that correct?
A. Yes.
Q. What was your understanding as to why Proskauer Rose held an interest in Iviewit, LLC?
A. I was told by the Bernstein's that it was granted to Proskauer Rose because of the quality of the work that they had performed for the company over the prior six months.
Q. Okay. Now, when was that interest given to Proskauer Rose, do you know?
A. It was prior to my tenure.

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\begin{tabular}{|ccc|}
\hline Q. Okay. So you have no idea when that 239
\end{tabular} was, when that was given?
A. I do not.
Q. Would you consider that additional compensation to Proskauer Rose?

MR. PRUSASKI: Object to the form.
THE WITNESS: I have no judgment on why it was given.

By MR. SELZ:
Q. Was it ever raised as an issue with Proskauer Rose vis-a-vis their billing statements to Iviewit, that is, their interest in the company?
A. I believe, and I am trying to recollect here, \(I\) believe it was asserted that in consideration of the equity granted to Proskauer Rose --
Q. Right.
A. -- that Proskauer Rose should give some consideration back to Iviewit.
Q. Okay. And what consideration was that, if you recall, sir, or if there was any consideration given?
A. I'm not aware of any percise quid pro quo.

MR. PRUSASKI: Object to the form.
THE WITNESS: To my knowledge,
Proskauer Rose never acknowledged that there was a connection between the granting of the equities and their services.

By MR. SELZ:
Q. Okay. But to the best of your knowledge, has Proskauer Rose either relinquished that interest or somehow indicated to Iviewit that it has no further interest in retaining that ownership in Iṿiewit, LLC?
A. That is not what I tried to say.
Q. Okay. What did you try to say then?
A. What I tried to say is, the fact of Iviewit granting to Proskauer Rose an equity interest, had no bearing on the billings.
Q. Okay. So then it was your understanding that this equity interest had no affect on the billing that was done by Proskauer Rose?
A. Yes.
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Q. In other words, it was some kind
bonus given to them that didn't affect the ':ubsequent billing?
A. That's my understanding.
Q. Was that understanding ever
formalized to you in any kind of written
"ommunication or any communication by the board , E directors?
A. I believe that the equity granted to 'roskauer Rose, actually was a personal decision made by Eliot Bernstein and came from his own holdings.
Q. Okay. That's your understanding. lo you know that for a fact or --
A. That is -- no. That is my mnderstanding but, again, that is a recollection.
Q. Who is Jim Armstrong? Do you know Jim Armstrong?
A. Jim Armstrong was a personal friend of Eliot Bernstein's who served as head of sales for a period of time.
Q. Okay. Was there anything that

Mr. Armstrong brought to your attention concerning any of the IP's or any problems with any of those, the mathematics involved or any of

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iskauer Rose vs. Iviewit.com, et al. 8/23/02 that thing, anything of that nature, rather?
A. Mr. Armstrong took issue with the (:onsistency which we discussed earlier.
Q. Okay. And you said that you corrected that or you took steps to correct that?
A. It was not necessary. As I
mentioned earlier, there was, it was not inaccurate, there was no inaccuracy involved. The formulas were correct; they were just two expressions of the same phenomena.
Q. So they were two ways of expressing the same end result, if you will?
A. That is correct.
Q. Was there ever a business plan submitted to Iviewit to Wachovia Bank?

MR. PRUSASKI: Objection, relevance.

MR. SELZ: Wachovia.

MR. PRUSASKI: Wachovia,
\(\mathrm{W}-\mathrm{A}-\mathrm{C}-\mathrm{H}-\mathrm{O}-\mathrm{V}-\mathrm{I}-\mathrm{A}\).

MR. SELZ: Thank you, Chris.

THE WITNESS: There was a business
plan that was developed in conjunction with

Wachovia.

By MR. SELZ:
Q. And did you submit documents as
:kauer Rose vs. Iviewit.com, et al. 8/23/02 president and \(C O O\) of Iviewit to Wachovia?
A. We shared nondisclosure agreements ind communicated as required in order to 'onstruct the business plan.
Q. And did they require or request that you provide them with a CV as part of the business plan to evidence your expertise.
A. I believe so.

MR. PRUSASKI: Objection to form.
MR. SELZ: I'll restate the
question.
By MR. SELZ:
Q. Did Wachovia Bank request that you rrovide personal information to them as part of 'hat business plan?
A. Yes.
Q. And did you provide that personal information in the form of a curriculum vitae or 'V?
A. It was integrated in prior editions of the business plan and flowed into the one that was developed with Wachovia.
Q. Now, when Chris Wheeler first introduced you to Iviewit, was he aware of the situation at Diamond Turf and yourself and
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Mr. Monte Freedkin or what was Mr. Wheeler's
knowledge of your position at Diamond Turf, to the best of your knowledge?

MR. PRUSASKI: Objection to form.
MR. SELZ: Okay. I'll restate the
question. I'm sorry. Getting a little tired.
MR. PRUSASKI: I'm just objecting to
the extent that you're asking him what Chris Wheeler's personal knowledge was.

MR. SELZ: Okay.
By MR. SELZ:
Q. To the extent that you know, what was Chris Wheeler's personal knowledge of that situation?

MR. PRUSASKI: Objection to form.
THE WITNESS: I believe Chris,
Mr. Wheeler was fully cognizant of my relationship to Diamond Turf Equipment and to Mr. Freedkin.

By MR. SELZ:
Q. And he was aware about your departure from that company and that situation?
A. Yes.
Q. Involving your employed and your change of employment when you left Diamond Turf?
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A. Yes.
Q. Other than your retirement at IBM, was there any other reason why you left IBM's employ?
A. No.
Q. Do you have any ongoing dispute with either IBM or Diamond Turf?
A. No.
Q. Going back to the employment of an attorney when you were at Diamond Turf, was there a retainer agreement that you recall signing on behalf of Diamond Turf to employ an attorney there? Or I'll take that back. I think you said that you never employed an attorney there; is that correct?
A. That is correct.
Q. When you hired an attorney personally, did you have a retainer agreement that you signed?
A. No.
Q. Do you have any letter or any other document evidencing the rates to be charged and the services to provided by that attorney?
A. I would have to research that question.
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Q. Okay. Well, when I talk about
retainer, \(I\) mean a letter of an engagement, not just a payment up front of funds; do you understand that is part of the question or not?
A. I do now.
Q. Okay. So when I talk about a retainer agreement, I mean any contract to engage legal services, whether or not there's money paid initially or not. So with regard to that, have you ever signed any retainer agreement with any attorney that you can recall?
A. No.
Q. Have you had any letter of agreement presented to you by any attorney as to fees to be charged and services to be provided?
A. No. Not prior to that time. I have subsequently, but not prior to that time.
Q. And what's changed between the past and current? Why have you now -- have you requested that that be provided to you or is that something that's been provided to you at the, I guess, preemptorally(sic) by the attorney? Have they provided you with a retainer agreement up front or have you requested one?

MR. PRUSASKI: Objection, relevance.
Q. You can go ahead and answer.
A. We negotiated a basis for services which was documented.
Q. Okay. And which attorney did you do that with, sir?
A. Attorney that represented me in the Chapter 7 proceeding.
Q. And that's a Chapter 7 proceeding against Iviewit?
A. Yes.
Q. And which Iviewit entity is that again?
A. I believe that was Iviewit.com, LLC.
Q. That was the entity that had signed your employment agreement \(I\) believe you testified; is that correct?
A. Yes.
Q. What's the current statis of that bankruptcy proceeding?
A. A judgment, I believe, has been
made.
Q. Okay. A judgment has been made by bankruptcy court?
A. I believe so.
Q. And do you know when that judgment
was entered?
A. I don't know the precise date.
Q. Okay. Who prepared your employment agreement with Iviewit?
A. Mr. Wheeler.
Q. Did he ever disclose to Iviewit that he had known you personally for a number of years?

MR. PRUSASKI: Objection to form.
THE WITNESS: That was the basis on
which he made the initial introduction.
By MR. SELZ:
Q. Did Mr. Wheeler ever obtain any waiver of conflict between Iviewit and yourself?
A. Mr. Wheeler never represented me.
Q. Oh. So he represented Iviewit but he never represented you?
A. That's correct.
Q. Was there any specific directions given to Proskauer Rose as to legal services to be provided by either yourself or the board of directors?

MR. PRUSASKI: Objection to form.

THE WITNESS: Would you repeat the

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}

By MR. SELZ:
Q. Sure. Were there ever any specific directions given by either yourself or the board of directors to Proskauer Rose for their legal services to be performed?
A. Yes.
Q. And why don't you tell me who gave those directions, to the best of your recollection, the date those directions were qiven and what the substance of those directions were.

MR. PRUSASKI: Object to form.
THE WITNESS: Well, the board gave directions to change the corporate status of Iviewit. By MR. SELZ:
Q. And when was that, sir?
A. That was in November of 99.
Q. On whose advice?
A. The board.
Q. And the board determined by itself there was going to be a change in the corporate structure?
A. Yes. It was necessary in order to

Proskauer Rose vs. Iviewit.com, et al. 8/23/02 present the company properly to the investment community.
Q. Okay. This was a change in status from an \(S\)-corp to a \(C\)-corp that you're discussing?
A. Yes.
Q. Okay. Did any legal advisor advise the board of directors as to the necessity of that change?
A. That, I believe Mr. Wheeler made that recommendation.
Q. Okay. So the board was following Mr. Wheeler's advice on that particular recommendation then?
A. Yes.
Q. What other directions were given with regard to Proskauer Rose and its representation of Iviewit?
A. Proskauer Rose was requested to development an employee stock purchase plan or stock option plan.
Q. Okay.
A. They were requested to serve as
counsel during the due diligence process of all of the investments made in Iviewit.
Q. Okay.
A. On the part of Huizenga and

Crossbow.
Q. Now, you said that the Proskauer Rose wasn't involved with the intellectual property side of Iviewit; is that correct?
A. That's correct.
Q. Have you ever seen the billing statements that Proskauer Rose provided to Iviewit that you -- you've discussed detailed billing statements. You reviewed those detailed billing statements, sir?
A. Some of them.
Q. What was the first one that you can recall reviewing?
A. I don't recall.
Q. Would it have been on or about July of 1999?
A. I really don't -- no. That was prior to my engagement.
Q. Okay. Did you ever go back and try
to ascertain the source of the prior bills that were the ongoing balance that Iviewit had with Proskauer Rose?

MR. PRUSASKI: Objection to form.

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MR. SELZ: Well, let me restate the 252
question.
By MR. SELZ:
Q. Did you ever go back, sir, and check billing statements prior to your employment, or dating prior to your employment with Iviewit?
A. I did see some of those records.
Q. How many of those records did you look at, if you can recall?
A. I can't recall precisely.
Q. And this was the

300-and-something-thousand-dollar previous balance that you had testified to yesterday?
A. I think I said it was less than 300,000.
Q. 289 or 298. I'm trying to recall exactly what it was. Around 300,000 or thereabouts? Is that approximately what you testified to?
A. Something under 300,000.
Q. Did you attempt, then, to reconcile or somehow substantiate those prior billings by reviewing the billing statements?
A. I did not.
Q. Why did you file a bankruptcy

MR. PRUSASKI: Objection to form.

BY MR. SELZ:
Q. Can you answer that question for me, sir.
A. Iviewit owed me a substantial amount of money.
Q. How much is a substantial amount of money?
A. Approximately \(\$ 300,000\) plus or
rinus.
Q. And how did that \(\$ 300,000\) accrue?
A. It was wages not paid.
Q. Okay. Wages not paid.
A. And termination.
Q. There was termination in what sense? Terminating your employment agreement early; is that what --
A. Yes. I had a three-year contract.
Q. Okay. Anything else?
A. That was it.
Q. Now, I just want to make sure it's
clear from your earlier testimony, you indicated to me that you weren't challenged or you weren't instructed that the Proskauer Rose bills might be
exaggerated or overinflated until after you had agreed to make those payments; is that a correct statement?
A. I never said that \(I\) believed that they were exaggerated or overstated.
Q. No, I didn't say that you did. That other board members or board members advised you that they felt the bills were excessive; is that a correct statement?
A. But that is different from exaggerated or overstated.
Q. Okay. I will rephrase the question, then, sir.

There were boards members who advised you that they were concerned that the Proskauer Rose bills were excessive; is that correct?
A. Yes.
Q. Okay. And you made payments on the Proskauer Rose bill after you were advised by those board members that they felt they payments or the bills were excessive; is that also correct?
A. No.
Q. Okay. There were no payments made

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A. That's correct.
Q. And were you following the
instructions of those board members in ceasing to make payments?
A. The board did not instruct me not pay my bills.
Q. Then why did you cease making the payments?
A. When there was no money.
Q. Was there anyone else other than the board members we've already discussed that expressed concern about Proskauer Rose's bills to you?
A. Well, I think that several people have commented on Proskauer Rose as a premium priced organization.
Q. That's not my question. My question was more specifically orientated, sir, towards anyone who expressed concerns about the amount of billing involved in Proskauer Rose's representation of Iviewit and if any other parties, other than who we've already discussed, have indicated to you that they had concerns or they were surprised or some way expressed any

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opinion to you concerning the amount of Proskauer 256
Rose's billing to Iviewit?
A. Other than the board members?
Q. Other than the board members that we've already discussed.
A. Raymond Hersh has commented on the billing rates.
Q. Okay. Anyone else?
A. No.
Q. How about Mr. Rubenstein from

Hollywood.com?
A. I never discussed those billing rates with him.
Q. Well, did he have any comment to you about jt?
A. No.
Q. No, you never discussed anything with Proskauer Rose's bill with him?
A. No.
Q. You've repeatedly mentioned

Mr. Bernstein, Simon Bernstein as being involved in a lot of these activities. Was he an active member of the company on a daily basis?
A. He maintained an office on the premises, which was paid for by Iviewit.

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Q. Okay. But did he actively
participate in the daily operations of the company?
A. Only to the extent of engaging in frequent discussions as to what the company was doing and where it stood.
Q. Was he part of the daily management team for the company?
A. I wouldn't say he was part of the daily management team, but \(I\) would say that \(I\) did consult with him, because of his availability, on a frequent basis, and we used him in meetings with outside clients or potential investors.
Q. Okay.
A. And I would take advantage of his availability to bounce ideas and thoughts on him and he would do the same with me.
Q. Okay. Are you aware of any agreement between Proskauer Rose and Iviewit concerning the fact that bills would be offset by royalties on any patent pool or royalties from any customers?
A. I am not aware of any discussions of
that form of reimbursement.
Q. No one ever mentioned that to you?

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A. No. 258
Q. Now, are you aware of any billings contained in the Proskauer Rose bill or any other billing statement for legal services in which Ken Rubenstein or Chris Wheeler are listed as providing services with regard to any of the patents or intellectual properties involved, other than trademark work?
A. No.

MR. SELZ: I think I'm almost done. Give me a couple of minutes, guys. I'll call you back in five. I'm just going to talk to Eliot, we got to finish up.

THE WITNESS: Okay.
MR. SELZ: Thanks.
(Whereupon, a break was taken from
\(4: 59\) to \(5: 21)\)

By MR. SELZ:
Q. Mr. Utley?
A. Yes.
Q. We were talking previously about, at one point in time, about Crossbow and an audit that was conducted, or that they were going to get conducted. Did that ever happen? Did Crossbow ever actually audit Iviewit's financial
A. There was an extensive discovery process, due diligence process that was associated with their initial investment and they reviewed all of our books. They reviewed all of our intellectual property. They, it was a, as far as \(I\) know, it was a complete review of Iviewit.
Q. Okay. And was that -- that was the only time they audited was in relation to the due diligence prior to the initial investment?
A. Yes.
Q. There were no other audits?
A. It was not an audit.
Q. Okay. There were no other reviews of the financial records of Iviewit by Crossbow, other than the initial one done as part of their due diligence; is that a correct statement of fact?
A. It is a correct statement, but let me temporize it a little bit in that, two points, first of all, of course, they had a member of their staff sitting on the board. Secondly, we did review the status of the company in some detail each time we approached them for an
roskauer Rose vs. Iviewit.com, et al. 8/23/02 expansion of their investment.
Q. Okay. Did they ever express any concern about financial records not comporting with what had been represented to them or anything of that nature?
A. No.
Q. We had talked about, and I think you mentioned a company called Vulture Ventures at one point in time during your testimony?
A. Yes.
Q. What and who are Vulture Ventures?
A. It was a company or venture company that we were introduced to. I believe it was started by someone who had been involved in the original Lacomizeisic). start up and walked away with a bundle.
Q. Okay. Do you know who that particular person was?
A. No, I don't.
Q. And what happened with Vulture Ventures with regard to Iviewit; was there any involvement by that entity with Iviewit?
A. There were a couple of meetings.
Q. Do you recall who you met with from Vulture Ventures?

MR. SELZ: Objection, relevance to
the previous question.
By MR. SEIZ:
Q. Okay. Go ahead, I'm sorry.
A. I met with their staff members who were involved with technology reviews and reviewing potential investments.
Q. Do you recall any names?
A. No.
Q. Okay. What finally happened? Why
did Crossbow's representative or directors leave that position; do you recall what the circumstances were surrounding that?
A. Well, it occurred just about the same time as I left the company, and I was told it was to avoid a conflict of interest.
Q. Were you told anything other than that?
A. No.
Q. Do you have any knowledge of any
other reason other than that?
A. I don't.
Q. With regard to the billing by

Proskauer Rose, we had talked about the fact that you had reviewed the billing sheet. Are you agreement that was signed with Proskauer Rose prior to the one that is marked as Defendant's Exhibit No. 1 in this deposition?
A. I'm not.
Q. Are you aware of the whereabouts of any of the billing predating your employment with Iviewit.com?
A. I'm not.
Q. Now, when you talked about the Iviewit inventions and the intellectual properties involved, did you ever research the prior art to try to determine whether or not these were truly unique and distinguishable inventions which were patentable?

MR. PRUSASKI: Objection, relevance.
THE WITNESS: We researched the
patent office, issued patents and determined that there were no issued patents which appeared to lay over the claims of the provisional filings. By MR. SELZ:
Q. Okay. And so you -- what was the date of those inventions then?
A. I don't recall. They would precede the filing of the provisionals. The normal

\section*{roskauer Rose vs. Iviewit.com, et al. 8/23/02} process time for patent applications through the patent office varies between two and four years.
Q. Okay. And that was obviously prior to your time at Iviewit?
A. Both before and after. I reviewed the prior art as reflected in patents issued.
Q. Okay. But with regard to these particular inventions at Iviewit, the provisional patents, was that prior to your time or was that after you had already started working with Iviewit?
A. I reviewed the prior art subsequent to my employment but I did review the provisionals prior to.
Q. Prior to your employment?
A. Yes.
Q. Now, we had spoken about Warner Brothers and Mr. Colter and his communications with you. Did you ever send any information to any Warner Brothers' employees regarding Iviewit's intellectual property or any of the products after your discussion with Mr. Colter wherein he requested you only communicate directly with him?
A. Only with him.

\section*{'roskauer Rose vs. Iviewit.com, et al. 8/23/02}

MR. PRUSASKI: Objection, relevance.
By MR. SELZ:
Q. You only sent it to him; you never sent it to anyone else?
A. No.
Q. The work that was done by Proskauer Rose, who was that billed to, if you can recall? A. Which work that was done by Proskauer Rose?
Q. The work that was done by Proskauer Rose, which companies was it billed to or which company was it billed to?
A. Well, if I go back and look at the exhibits, the exhibits indicate that the client's name was Iviewit.com, Inc.
Q. Okay. Is there any other entity that's named on any of those billing statements?
A. I did not see any other entity.

MR. PRUSASKI: Object -- hold on,
Steve. Object to the form. You're talking about invoices that are attached Exhibit 1?

MR. SELZ: He used those, yeah, the witness used those to refresh his recollection I guess.

MR. PRUSASKI: Okay. As long as
we're talking about them because you said billing
statements, which could be something totally
different, I don't know.
MR. SELZ: That's the attached
exhibits to the Amended Complaint in this matter that we're referring to.

MR. PRUSASKI: Okay. Thanks.
By MR. SELZ:
Q. Now, you had referenced Mr. Dick doing some patent work for yourself; is that correct?
A. Yes.
Q. And was that any patents arising from your employment with Diamond Turf?
A. It was arising from the technology and engineering work that \(I\) did, yes.
Q. So the hydro-mechanical work that you had done at Diamond Turf?
A. Yes.
Q. And was there ever a dispute between yourself and the owner of Diamond Turf with regard to the patents involved for that hydro-mechanical work?

MR. PRUSASKI: Objection, relevance and to the form.
disagreement as to ownership of the intellectual property.

By MR. SELZ:
Q. There was a dispute?
A. Yes.
Q. Did you ever advise the owner of Diamond Turf that you were going to patent these intellectual properties under your own name?
A. I did.
Q. Did you do that prior to patenting those or after?
A. They were never, they were not patented.
Q. Okay. They were not patented. Was the application for patent made?
A. No.
Q. Since your employment with

Iviewit.com or Iviewit, yeah, dotcom, LLC, what patents have you taken out in your name, sir?
A. I have not taken out any patents in my name, other than what has been appended to patents filed by Iviewit and assigned to Iviewit.
Q. Okay. So they're all patents held by Iviewit and you're named as a co-inventor; is
A. Yes.
Q. And Iviewit would be listed as a primary patent holder; is that how it would be?
A. They were assigned to Iviewit.
Q. They were assigned to Iviewit. Are you aware of any police report that was ever filed involving Mr. Mike Real and yourself?

MR. PRUSASKI: Objection, relevance. By MR. SELZ:
Q. Go ahead and answer the question, if you can, sir.
A. There was a dispute over the nature of the equipment that \(I\) bought from Iviewit as --
Q. Well, that really wasn't my question. My question was are you aware of a police report? And it's really a yes or no type of answer.

MR. PRUSASKI: Objection, relevance.
THE WITNESS: I believe there was a
report.
By MR. SELZ:
Q. Okay. Do you know who filed that report?
A. Iviewit filed that report as far as
Q. And that report was filed with what police agency, if you know?
A. It was Boca Raton.
Q. And did you receive the notification
from the Boca Raton Police Department as to the filing of that report?
A. I did.
Q. Were you interviewed with regard to that allegation in the police report?
A. I was.
Q. And what was the outcome of that situation?
A. We resolved the dispute by returning the equipment.

MR. SELZ: Okay. I have nothing
further.
MR. PRUSASKI: I have a couple of
redirect questions that \(I\) wrote down during
Mr. Selz's cross, Mr. Utley, and that pertain to his cross examination.

\section*{EXAMINATION}

By MR. PRUSASKI:
Q. Did Proskauer Rose do work for any

Iviewit entities other than Iviewit, LLC?

Pat Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

Proskauer Rose vs. Iviewit.com, et al. 8/23/02
A. Yes. Proskauer did work for all of the entities.
Q. Okay. Yesterday during Mr. Selz's cross examination, you indicated that there was an instance of a provisional patent filing being what you referred to as skimpy. Do you recall that?
A. Yes.
Q. Did Proskauer Rose perform that patent filing?
A. No.
Q. Was it another law firm?
A. It was.
Q. Was that issue resolved?
A. That was, it was resolved in the
formal filing where the formal filing basically filled in the missing blanks, if you will, in the provisional.
Q. Did that provisional patent filing cause a prejudice to the Iviewit companies at all?

MR. SELZ: Objection, calls for a legal conclusion.

THE WITNESS: Yeah, I would be reluctant to try to take a position on that.
Q. All right, fair enough.

You indicated that prior to the beginning of your employment with Iviewit, Iviewit had already incurred what was estimated as just understand \(\$ 300,000\) in fees; was that your testimony?
A. Yes.
Q. Now, the

300-and-some-odd-thousand-dollars that are alleged to be owing in the complaint, is that the same \(\$ 300,000\) in fees that was accrued prior to your arrival?
A. I believe that that is what has accrued since my arrival.
Q. The amount alleged in the complaint?
A. Yes.
Q. There was some talk today during Mr. Selz's cross examination pertaining to the board's consent to certain accounts payable. What is your view, as the president, the former president and COO of the Iviewit companies, what is your view as to the role of the board of directors?

MR. SELZ: Objection, calls for a

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legal conclusion on his part \(I\) believe.
By MR. PRUSASKI:
Q. As the president and COO -- I'll ask the question again.

As the president and COO , what is
your understanding as to the role of the board of directors with respect to the accounts payable?
A. The role of the board of directors is policy making, and the permanent organization is responsible for the day-to-day operations of the company. The role of the board is to protect the interest of the stock holders and set policy.
Q. There was some testimony pertaining to Donald Cain and Ken Anderson discussing the magnitude of Proskauer Rose's billings; do you recall that testimony?
A. Yes.
Q. At the time when Donald Cain and Ken Anderson were commenting about the Proskauer Rose bills, were Eliot and Si Bernstein still directing Proskauer to perform work?
A. It was primarily focused in the last couple of months of operation that \(I\) was involved with, and at that point in time, I believe there was only an insignificant amount of work being

MR. SELZ: Can you please speak up.
I can't hear the questions or the answers.
THE WITNESS: I'm sorry.
MR. SELZ: Can you speak up a little bit.

THE WITNESS: Yes, I'll try to speak
a little louder.
MR. SELZ: Thank you.
By MR. PRUSASKI:
Q. Did the board of directors delegate the authority to you to review and approve the accounts payables?
A. I believe that delegation to have been made by default.
Q. At any time did any board members opine that you should pay Proskauer's bills?
A. Yes.
Q. What board members were those?
A. Well, I received several phone calls
from Eliot Bernstein to make payments to
Proskauer because he wanted to get some work done with respect to the management of his own equity in Iviewit. He wanted to make some distribution.
Q. Would that be personal legal work?


MR. SELZ: I'm sorry for the
interruption, Chris.

By MR. PRUSASKI:
Q. Donald Cain and Ken Anderson, generally what type of comments did they have to make about Proskauer's bills?
A. It was comparative in the sense of what their experience had been with other companies for what appeared to them to be similar work.
Q. So they were stating opinion?
A. Yes.
Q. Did they ever sit down and go over each of the bills in detail?
A. No.
Q. Okay. So they had no factual basis on which to base their opinion, correct?
A. That is correct.

MR. SELZ: Object to the form of the question.

By MR. PRUSASKI:
Q. Did they have any factual basis upon which to base their opinion?

MR. SELZ: Objection, calls for

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THE WITNESS: They had not reviewed and did not review in detail any of the billings received by Iviewit.

By MR. PRUSASKI:
Q. At any time during your employment with Iviewit, did Proskauer Rose's billing rates go up?
A. I'm not aware that they did.
Q. And the Bernstein's hired Proskauer Rose prior to your arrival?
A. Yes.

MR. PRUSASKI: I have no further questions. Thank you, sir. Mr. Selz?

MR. SELZ: I don't have anything on recross.

MR. PRUSASKI: Okay.
THE WITNESS: I'd just like to ask a question. I presume that the only tape recording of this examination was the one made by the court reporter?

MR. SELZ: That's correct from what I know. I mean, I don't have any tape recording device.

MR. BERNSTEIN: I do. I have a full

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tape recording. I was not asked not to have a
tape recording.
MR. PRUSASKI: Well, you didn't ask my permission and you don't have it. And you're recording me across a telephone line, and \(I\) think that's a an illegal wire tape, sir. So you do not have anybody's permission to record this conversation.

MR. SELZ: So technically, Eliot, you don't have any right to do that. He's right about that.

MR. BERNSTEIN: Oh, that's okay if we can obtain such tapings from the court --

MR. SELZ: Exactly, from the court reporter. So what you neied to do is you need to erase those tapes so that we don't have a problern.

MR. BERNSTEIN: Sure.

MR. PRUSASKI: All right, if nobody
has any further questions, the deposition is adjourned. Thank you.

MR. SELZ: Thank you and thank you for your patience.
(Court reporter gets Mr. Selz's
information.)

MR. PRUSASKI: I want to go back on
the record for one second before we finish.
MR. SELZ: Sure.
MR. PRUSASKI: And say, I don't want anything that \(I\) said today to be deemed as a waiver of my right to complain that your client audiotaped this deposition without my permission. And I -- it's apparent he did it without your knowledge, Steve, and I'm sorry to have to put you in that position but I'm pretty upset hearing this at the end of the deposition that your client pretty cavalierly said, I have a full tape recording of this.

MR. SELZ: Well, I think that, you know, he understands now, obviously, that he didn't have permission. I think he misapprehended the fact that he was able to record it because the court reporter was doing so.

THE WITNESS: Well, but we discussed this very specifically at the beginning of the session.

MR. BERNSTEIN: (Inaudible) --
conversation -- (inaudible).
MR. SELZ: Let's make it clear on

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the record that my client is going to -- if you want, Chris, would you rather have him send the copies to me and I can send them to you and you can destroy them; would you be more comfortable with that?

MR. PRUSASKI: I don't know what I want to do at this point, Steve, because personally I'm very upset right now and I don't even know what to do.

MR. SELZ: Okay, well --
MR. PRUSASKI: Because you and I had a conversation before the deposition started --

MR. SELZ: Right.
MR. PRUSASKI: -- were you asked
permission to take a recording of the depo --
MR. SELZ: Right.
MR. PRUSASKI: -- and Mr. Utley and
I both said no.
MR. SELZ: Right. And you and I were on the line but I don't think Mr. Bernstein was on the line at that point.

MR. BERNSTEIN: Nobody ever asked me those questions.

MR. SELZ: He wasn't on the line when we had that conversation, if you would
recall, but you know.

MR. PRUSASKI: Well, you know,
frankly, every time you pick up the phone, if you want to record a phone call, you have to get the permission of the people on the phone. It's not assumed if they don't say you have permission you can't record it; it's the other way around.

MR. SELZ: Well, I know that, look, and obviously you and \(I\) both know the law but that doesn't necessarily mean that everyone does.

MR. PRUSASKI: No.

MR. SELZ: So I'm going to make it clear that my client is going to comply with your request. And what I'm asking you is would you rather him send the tapes to me so that you could personally make sure that they're erased, or would you rather some other means be taking place to avoid a problem?

MR. PRUSASKI: I don't know. I
guess you and \(I\) will discuss it later if this becomes and issue, but \(I\) don't know. Also, is Mr. Bernstein the new corporate rep of Iviewit?

MR. SELZ: I know he's attending
this deposition for purposes of representing
Iviewit. I don't know if he's going to be the

MR. PRUSASKI: Okay. Because I've told you several times we want to get the corporate representative's deposition.

MR. SELZ: I'm going to find out who we're going to supply you as the corporate rep with the most knowledge because obviously that's what you need.

MR. PRUSASKI: Right. But there's interrogatories from February on the record that say that Bill Kasser is the corporate representative and \(I\) think --

MR. SELZ: Mr. Bernstein was the one who answered the most recent set of interrogatories, obviously; because Mr. Kasser's no longer with the company.

MR. PRUSASKI: Okay. So

Mr. Bernstein is the person with the most knowledge from the corporation?

MR. SELZ: At least with regard to that, those interrogatories that were provided, he was the person that was felt had the most knowledge to be able to answer them accurately.

MR. PRUSASKI: Okay. Is he going to present himself in Florida for the deposition

MR. SELZ: I don't know. We're going to find out. I'm going to have to find out who they're going to -- who the corporation is going to present as the corporate rep for the depo.

MR. PRUSASKI: Okay. Can we just agree to resolve that on Monday?

MR. SELZ: Monday, unfortunately, I'm going to be probably unavailable most of the day. Probably, we could probably hook up late in the afternoon.

MR. PRUSASKI: Sounds good. I work late everyday anyway. Okay. All right, gentlemen.

MR. SELZ: Thank you.
MR. PRUSASKI: Goodbye.
MR. SELZ: Goodbye.
(Whereupon, the deposition of BRIAN
UTLEY, VOL. II, was concluded at 6:00 p.m.)

BE IT KNOWN, that I, Traci R. Sandstrom, Court Reporter, Notary Public, took the foregoing deposition of BRIAN UTLEY, VOL. II;

That the said witness, before testifying, was by me duly sworn to testify the truth, the whole truth and nothing but the truth relative to said cause;

That the testimony of said witness was recorded in shorthand by me and was reduced to typewriting under my direction;

That the foregoing transcript is a true record of the testimony given by said witness;

That I am not related to any of the parties hereto, nor an employee of them, nor interested in the outcome of the action;

That the cost of the original has been charged to the party who noticed the deposition, and that all parties who ordered copies have been charged at the same rate for such copies;

WITNESS MY HAND AND SEAL this 6th day of September, 2002.

TRACI SANDSTROM, NOTARY PUBLIC

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\hline & 27 & follo & 234:10 & 272:3 \\
\hline ...'e & fairly [2] & 250:12; 255:3 & funds [ & heard [ \\
\hline - 18, 16, 22 & 209:7; 219: & follows [2] & 206:6; 216:11; 246 : & 231:25 \\
\hline ... \({ }^{\text {Mibit [4] }}\) & familiar [5] & 170:4 & & hearing [1] \\
\hline \(\therefore 14 ; 238: 12 \mathrm{2} 26\) & 178:5; 211:9; 223:25 & foregoing [ & & 277:10 \\
\hline \(\cdots+71\) & 226:12; 229:17 & 282:4, 13 & & heart [1] \\
\hline \(\cdots\) & favor & forgoing & \begin{tabular}{l}
gave [3] \\
223:6; 249:8, 14
\end{tabular} & 199:4 \\
\hline -"5; 264:14; 2 & 204: & 283:3 & 223:6; 249:8, 14 & held [4] \\
\hline …isted [1]
\(\cdots 23\) & februa & fo & & 237:19; 238:3, 17; 266:24 \\
\hline -icis & 280:10 & 175:16; 186:5; 188:4, & gentleman [1] & hereby [1] \\
\hline - "istent
\(\cdots 24\) & fe & 191:17; 196:12; 199:1 & 223:25 & 283:2 \\
\hline ""nans & felt & \[
\begin{aligned}
& 200: 1,2 ; 201: 2,25 ; \\
& 202: 21 ; 204: 23 ; 206: 1,3 ;
\end{aligned}
\] & gentlemen [1] & \[
\begin{aligned}
& \text { hereto } \\
& \text { 282:16 }
\end{aligned}
\] \\
\hline \(\cdots 1\) & 201:21; 206:21, 25; 254:8, & 208:14; 209:2; 217:5; & 28 & hersh [4] \\
\hline end & 21; 280:22 & 220:6, 19; 222:16; 231:5; & & 206:19; 212:20; 221:24 \\
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\hline - \({ }^{\text {n }}\) - & 26 & 257:24; 264:20; 265:25; & & 174:16 \\
\hline 11.22 & fili & 27 & given [12] & highly [ \\
\hline \(\cdots\) - \({ }^{\text {pert }}\) & 175:25; 262:25; 268 : & formal & 238:24; 239:2, 8, & \\
\hline \(\cdots \cdot 10\) & \[
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\] & 269:16 & 240:2, 4; 241:2; 248:21; & hired [6] \\
\hline -"pertise & filings & formalized & 282:14 & 183:5; 190:23; 210:22; \\
\hline & 262:20 & 241:6. & 2 & 219:2; 245:17; 275:1 \\
\hline -plain & filled & format [ & 281:17, 18 & hiring [1] \\
\hline \(\cdots 118\), & 212:20; 269:1 & 200:6 & graduate
\(210: 23\) & 217:21 \\
\hline \(\cdots 21 ; 226: 14\) & finances & former & 210:23 & hold [3] \\
\hline \(\sim\) plicit [1] & 195:10; 224:7 & 270:21 & \[
\begin{aligned}
& \text { granted [3] } \\
& \text { 238:20; 239:16; 241:9 }
\end{aligned}
\] & \[
236: 24 ; 238: 7 ; 264: 1
\] \\
\hline \[
1: 13
\] & financial [4] & forms [1 & 238:20; 239:16; 241:9
granting [2] & holder [1] \\
\hline \begin{tabular}{l}
-xpress [4] \\
"119. 15; 224
\end{tabular} & \[
\begin{aligned}
& \text { 207:2; 258:25; 259:16; } \\
& 260: 3
\end{aligned}
\] & \begin{tabular}{l}
200:3 \\
formula
\end{tabular} & \[
240: 8,19
\] & 267:4 holders \\
\hline -xpressed & & & ess & \[
\text { 172:3; } 271
\] \\
\hline - 6 6, 10; 200:11, & 227:13; 280:5; 281:3 & formula & \[
\left\lvert\, \begin{aligned}
& 183: 21 ; 203: 18 ; 204: 5 \\
& 246: 22 ; 264: 24 ; 279: 20
\end{aligned}\right.
\] & holding [1] \\
\hline \[
\text { -r:23; 255:13, 20, } 25
\] & fine [3] & 174:6 & guys [1] & 236:20 \\
\hline \[
\begin{aligned}
& \text { xpressing [1] } \\
& \text { 12:11 }
\end{aligned}
\] & \begin{tabular}{l}
203:12; 204 \\
finish [3]
\end{tabular} & formulated [1] & \[
\left\lvert\, \begin{aligned}
& \text { guys [1] } \\
& 258: 11
\end{aligned}\right.
\] & holdings [7] \\
\hline \({ }^{2} \times \mathrm{press}\) & 216:14; 258:13; 277:2 & found [ & & 13, 25; 235:9; 241:12 \\
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\hline -xtensive & 185:22; 269:12 & four & & 172:8: 187:2 \\
\hline & first & 263 & 8:2, 9 & hollywood.com [1] \\
\hline -xtent [5] & 174:3; 184:14; 188:25 & fourth & hand [1] & \[
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\] \\
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\hline 2;7.4 & 21 & frame [3] & hands [1] & \\
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\hline \(\underbrace{* *} \mathrm{~F}^{* *}\) & five [1] & & hard [1] & 203:20 \\
\hline 'ace [2] & florida 6\(]\) & freedkin [8] & 193:23 & 224:21 \\
\hline
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XMAX(6/123)
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\] \\
\hline 101:12 & 220 & intentions [1] & 171:16; 172:1; 173:17; & 266:19, 23, 25; 267:3, 5 , \\
\hline 1, /1izenga [20] & increase [1] & 207 & 180:25; 181:13; 185:13, & 6, 14, 25; 268:25; 269:20; \\
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\hline il & 213:7; 214:5; 264:1 & 22; 261:16; 271:1 & 25; 233:8, 13, 20; 235 & 214:1; 226:25; 258:25; \\
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\hline 14924 & 177:6, 15; 192:17; 205:13 & 224:11: 282:16 & 255:21; 256:21; 258:7 & iviewit.com [8] \\
\hline 1,ydro-mechanical & 24; 209:5; 213:5; 215:7 & interface [1] & 260:14; 261:6; 262:12 & 170:16; 182:2; 236:5 \\
\hline & 13; 240:13; 253:23; & 230 & 265:22; 271:23 & 247:14; 253:1; 262:8; \\
\hline 1476; 265:17, 23 & 255:24; 269:4; 270:3 & internet [2] & involvement [7] & 64:15; 266:19 \\
\hline & indicating [1] & 175 & 175:8, 13; 185:17; 186: & iviewit.Ilc [1] \\
\hline & \[
\begin{array}{|l|}
\hline 215: 20 \\
\text { individual }
\end{array}
\] & \[
\begin{aligned}
& \text { interpose [1] } \\
& 203: 17
\end{aligned}
\] & \begin{tabular}{l}
\[
\text { 226:25; 233:15; } 260: 22
\] \\
involving [2]
\end{tabular} & 236:2 \\
\hline 14 [5] & |236:7 & interrogator & \[
244: 24 ; 267: 8
\] & J \\
\hline \[
18
\] & industry [1] & 280:10, 15, 21 & ip [5] & january [3] \\
\hline ' ve [3] & \[
\begin{aligned}
& \text { 214:12 } \\
& \text { inflated [1] }
\end{aligned}
\] & interruption [1]
274:3 & 175:25; 176:5; 184:21;
185:17; 232:25 & 198:20, 22 \\
\hline "11:11; 224:2; 280.2 & 223:8 & interviewed [1] & ip's [1] & jim [3] \\
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\hline [ & 176:4; 273:10 & introduced [5] & issue [5] & joao [5] \\
\hline ihm [3]
\[
17: 23 ; 245: 2,7
\] & information [7] & 184:4; 192:19, 21; 243:24; & 223:1; 239:10; 242:2; & \[
\begin{aligned}
& \text { 186:19, 21; 187:4; 190:21; } \\
& 191: 1
\end{aligned}
\] \\
\hline Hom's [1] & \[
\begin{aligned}
& 184: 1 ; 216: 21 ; 226: 9 ; \\
& \text { 243:14, 18: 263:19; }
\end{aligned}
\] & 260:13 introd & |269:14; 279:21 & jog [1] \\
\hline -153 & \[
\begin{aligned}
& 276: 25 \\
& 276
\end{aligned}
\] & \[
226: 20 ; 228: 15 ; 248: 1
\] & \[
174: 5 ; 262: 18,19 ; 263
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\hline Hea [2] & infringement [2] & inventing [1] & issues [2] & join [1] \\
\hline 1;2:19; 239:1 & 191:22; 196:4 & 192:13 & 200:10; 218:18 & 203:24 \\
\hline Meas [1] & infringements [1] & invention [1] & it'd [1] & jude [3] \\
\hline -Hentified [1] & \[
\begin{aligned}
& \text { 191:15 } \\
& \text { infusion }
\end{aligned}
\] & \begin{tabular}{l}
234:3 \\
inventions
\end{tabular} & \[
\begin{array}{|l|}
217: 14 \\
\text { iview.IIc }
\end{array}
\] & 193:6, 10, 12 judgment [5] \\
\hline - 2120 & \[
216: 8,9
\] & \[
172: 7 ; 173: 18,20 ; 175=
\] & 236:2 & 221:8; 239:7; 247:21, 23; \\
\hline " [3] & initial [7] & 9; 223:15; 231:9, 14; & iviewit [142] & \begin{tabular}{l}
248:1 \\
july [2]
\end{tabular} \\
\hline \begin{tabular}{l}
1 70:3; 281:20; 282:5 \\
III [3]
\end{tabular} & \[
\begin{aligned}
& 233: 3,6: 234: 12 ; 248: 12 \\
& 259: 4,11,17
\end{aligned}
\] & \[
\begin{aligned}
& 234: 1,5 ; 262: 11,15,23 \\
& 263: 8
\end{aligned}
\] & \[
\begin{aligned}
& 175: 15,22,25 ; 176: 5,9 ; \\
& 177: 5 ; 178: 1,6,20 ; 179: 2,
\end{aligned}
\] & \[
\begin{aligned}
& \text { july [2] } \\
& \text { 193:5; 251:17 }
\end{aligned}
\] \\
\hline \({ }^{\prime} 12: 8,9,11\) & initially [1] & inventor [2] & \[
8,11 ; 181: 20,21,23 ;
\] & \\
\hline  & 246:9 & 187:5; 192:6 & 182:8, 13; 183:25; 184:1, & \\
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\hline :10:7 & & & & 193:18 \\
\hline I'npolite [2] & \[
\begin{aligned}
& \text { insigni } \\
& \text { 271:25 }
\end{aligned}
\] & 188:9; 189:5 & 20: 193.8, 15: 194:11 20. & [4] \\
\hline י12:4, 13 & instance [2] & investment [28] & 195:6; 196:2, 5, 8; 197:7; & kasser's [1] \\
\hline  & 184:14; 269:5 & 187:14, 22; 188:1, 3, 15 & 199:3; 205:19; 206:6; & 280:15 \\
\hline & instances [1] & 195:21; 197:7, 15; 198 & 207:12; 210:18; 212:2, 24; & ken [8] \\
\hline \[
39: 18
\] & 23 & ; 214:12; 223:13; & 213:22; 214:19; 215:6 & 185:16, 21; 213:7; 258 \\
\hline limproperly [3] & instruc & 232:7, 19, 20, 22; 233:2, & 217:19; 219:2, 21; 220 & 1:14, 18; 273:15; 274:5 \\
\hline 182:14, 18, 22 & 179:6; & & & loslosky [4] \\
\hline inaccuracy [2] & \[
\left\lvert\, \begin{aligned}
& \text { in } \\
& 25
\end{aligned}\right.
\] & investments & \[
\left\lvert\, \begin{aligned}
& 25: 19,21,23 ; 226: 2 \\
& 227: 12 ; 230: 13,23 ;
\end{aligned}\right.
\] & \[
34: 1,3,5,7
\]
loslosy [1 \\
\hline :72:3; 242:8 & instructions [1 & \[
234: 13 ; 250: 25 ; 261: 7
\] & \[
231: 11,16,23 ; 232: 2
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\hline & integrated [1] & 5:1; 227:25 & 235:19, 20; 236:5, 25; & 192:18 \\
\hline 174:2; 229:5; 277:23, & 243:20 & investors [3] & \[
237: 18 ; 238: 2,7,13,1
\] & knowledge [18] \\
\hline Inc [3] & intellectual & 232:11, 16; 257:13 & 20; 240:13, 1 & 171:20; 172:1; 175 \\
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\hline include [1] & & & 247:10, 12; 248:5, 7, & 13; \\
\hline 173:23 & 218:18; 223:15, 23; & 264:22 & 251:6, 10, 23; 252:6; & 261:20; 277:9; 280:7, 19, \\
\hline Included [3] & \[
231: 16 ; 233: 8,20,25
\] & voluntary [1] & 253:6; 255:22; 256:2, 25; & kodak [1] \\
\hline '16:10, 14, 16 & & 179:16 & 257:19; 259:8, 16; 260:21, & \\
\hline
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\hline - 1 21; 179:5; 190:3 & 204:7; 225:7 & 250:3, 7, 12, 22; 251:1, & ownership & 272:13 \\
\hline & & & & payment [11] \\
\hline - iture [3] & 203:22; 206:7, 10 & \[
19,25 ; 256: 8 ; 257: 1,14
\] & \[
\star * \mathbf{p} * *
\] & \[
206: 25 ; 207: 11,22
\] \\
\hline \({ }^{1} \cdot 1: 260: 5 ; 267: 13\) & ob & \[
18 ; 258: 14 ; 25
\] &  & 208:23; 215:9, 10, 18 \\
\hline - \(\cdot\) cressity & \[
2: 48: 14 ; 276: 13
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\hline - 18 & obviously [ & 262:22; 263:3, 7; 264:16, & \[
p
\] & ments [16] \\
\hline \(\cdots\) & 179:15; 185:17; 21 & 25; 265:7; 2 & & 205:14, 18; 206:23; \\
\hline ' \({ }^{\prime}\) & 216:21; 263:3; 277:15 & 267:23; 268:16; 269:3 & & 210:10, 14; 215:8; 217:1; \\
\hline - \({ }^{\text {agqut }}\) & 279:9; 280 & 274:17; 275:17; 276:12 & & 254:2, 19, 21, 25; \\
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\hline \(\cdots \times \mathrm{rat}\) & 261 & 28 & \[
283: 1,5,7
\] & eople [8] \\
\hline \(\cdots\) & october & ongoing &  & 179:4; 193:7, 9; 198:8; \\
\hline ...nhod & 178:24; 188:20; 189: & 206:7; 245:6; 251:23 & \[
\begin{aligned}
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& 253: 13,14 ; 256: 25 ;
\end{aligned}
\] & 211:15; 214:14; 255:15; \\
\hline ': 19; 2 & offense [1] & operate [1] & \[
273: 12
\] & \[
279: 5
\] \\
\hline -...ıdisclosu & 235:13 & 231:24 &  & percent [6] \\
\hline & of & operated & \[
231: 9,15,20
\] & :5, 6, 14, 18, 20; \(238: 9\) \\
\hline \(\cdots\) & 235:12 & 225:23; 226:15, 16 & \[
\text { 231:9, 15, } 20
\] & percentage [2] \\
\hline & office & operating [2] & & 236:19; 238 \\
\hline ...rimal & 199:9; 256 & 182:3; 215 & 190:15, 24: 195:15, 19: & percentages [1] \\
\hline י.20; 2 & & operation & \[
\begin{aligned}
& 190: 15,24 ; 195: 15,19 ; \\
& 201: 18 ; 207: 17: 223: 6,16
\end{aligned}
\] & 238:8 \\
\hline ...tary & offic & 271:23 & \begin{tabular}{l}
201:18; 207:17; 223:6, 16; \\
231:10; 243:6, 14; 246:4;
\end{tabular} & percise [1] \\
\hline & & operati &  & 239:24 \\
\hline ed & offices & 207:14; 257:2; 271:10 & \[
\begin{aligned}
& 251: 2 ; 257: 7,9 ; 259: 17 \\
& 271: 1: 273: 2
\end{aligned}
\] & perfor \\
\hline  & \[
178: 1,20 ; 184: 10
\] & opine [ & \[
\left\lvert\, \begin{aligned}
& 271: 1 ; 273: 2 \\
& \text { partial [1] }
\end{aligned}\right.
\] & 269:9; 271:21; 273:11 \\
\hline -....ific & 225:22 & \[
27
\] &  & performed [6] \\
\hline \(\cdots\) & 0 & 0 & & 20; 217:19; 235:18; \\
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\hline :15 & oh [4] & opportunity [2] & & period [5] \\
\hline - . 1.119 & 176:20; 23 & 208:5, 7 & \[
\begin{aligned}
& \text { parties [5] } \\
& \text { 172:9; 197:18; 255:23 }
\end{aligned}
\] & 178:23; 212:13. 19 \\
\hline & \[
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\] & option & \[
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\] & 218:11; 241:21 \\
\hline - \(\cdots\). & okay [176] & 250:21 & \[
\left\lvert\, \begin{aligned}
& 282: 15,20 \\
& \text { party [3] }
\end{aligned}\right.
\] & permanent [1] \\
\hline \[
\text { . } \cdot \text { : } 19 ; 199: 25 ; 209: 1
\] & \[
170: 10,14,25 ; 171: 6,1
\] & Order [3] &  & 271:9 \\
\hline \(\because 1\) & & &  & perm \\
\hline & & & 172:2; & \[
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\] \\
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\hline , & 185:16, 21, 24; 186:13 & 17 & & 4; 196:25: 220 : \\
\hline - .'ject & 21; 187:25; 188:8, 12, 21 & & & :14; 260:18; 280:18, \\
\hline \[
\text { "., 1: 199:11; } 20
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\hline \[
\therefore 20,2
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\hline \[
\text { 'थ: } 1 ; 208: 14 ; 209: 2
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\hline \[
1 ;
\] & & 260:15; 282:18 & & rsonal [8] \\
\hline \[
\text { . } 5: 235: 21 ; 237: 23
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\hline \[
\therefore 6 ; 240: 5 ; 249: 13
\] & & 191:4; 225:14, 16; 268:12; & & 244:9, 13; 272:25 \\
\hline \[
{ }^{-1} 19,20 ; 274: 20
\] & & & 266. & 13: 18.7 \\
\hline .. , jecting [2] & \[
\begin{aligned}
& 10,13 ; 210: 1 ; 211: 10,22 \\
& 212: 9,14,17 ; 213: 23 ;
\end{aligned}
\] & \[
2
\] & patents [20] & 9:13; 218:7, 8; 231:13; \\
\hline ' 24; 244:7 & \[
214: 6,14,18,21 ; 215: 4
\] & overall [1] & 172:4, 7, 13; 175:2 & 279:16 \\
\hline ..ryection [36] & \[
216: 13,20 ; 217: 10 ; 218: 3
\] &  & :1; 190:22; 204:20 &  \\
\hline 1 ¢ 16; 180:17, & \[
\begin{aligned}
& 10.15,20 ; 1 \\
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\end{aligned}
\] & rinflated & 62 & \\
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\hline \(\cdots+23 ; 197: 12 ; 203: 8,11\) & \[
22 ; 225: 20 ; 226: 1,5,23
\] & erstate & 6:20, 21, 23, 2 & \[
0: 1
\] \\
\hline - \(323: 17 ; 225: 1 ; 227: 23\) & \[
227: 20 ; 228: 5,16,23
\] &  & patience [1] & henomena [1] \\
\hline \(\therefore\) : \(23 ; 232: 12 ; 234: 15 ;\) & \[
229: 20 ; 230: 3,10,24
\] & wed [1] & 276:23 & \\
\hline \(\therefore 1: 16 ; 243: 9 ; 244: 4,15\) & \[
\left\lvert\, \begin{aligned}
& \angle 29: \angle U ; \\
& 231: 22 ; 232: 5,21 ; \\
& \hline
\end{aligned}\right.
\] &  & pay &  \\
\hline - 25 ; & \[
7,15,23 ; 235: 1,25 ;
\] & ving & 216:1; 255 & \\
\hline \(\because 125 ; 253: 2 ; 261: 1\) & \[
\left\lvert\, \begin{aligned}
& 1,10, \angle 5 ;<30: 1,<0 \\
& 236: 8,12,21 ; 237: 1,9,
\end{aligned}\right.
\] &  & payable & \\
\hline , 16; 264:1; 265:24 & \[
18,25 ; 238: 23 ; 239: 1,21
\] & own & 205:22; 207:6, 8, & 176:16; 193:24; 272:20; \\
\hline \(\cdots, 9,19 ; 269: 22 ; 270: 25\) & \[
240: 1,11,17,21 ; 241: 13
\] &  & 210:18, 21; 223:1; 270:20; & \\
\hline 25 & \[
\left[\begin{array}{l}
24 \\
20
\end{array}\right.
\] & & \[
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\hline
\end{tabular}

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261: 12 ; 269: 25 ; 277: 10
\] & previously [2] & \[
233: 8,20 ; 258: 7 ; 262: 12
\] & \[
23 ; 229: 23 ; 231: 5 ; 232: 12
\] \\
\hline & possibility [3] & 192:17; 258:21 & 266:9 & 234:15; 235:21; 237:12, \\
\hline : : 'ire & 194:11; 226:21; 232:23 & priced & property [17] & 23; 239:6; 240:5; 242:16, \\
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From pick to questioning


From questions to rose

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IN THE CIRQUIT COURT OF THE
15TH JUDICIAL CIRCUIT, IN AND
FOR PALM EEACH COUNTY, FLORIDA

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FROSKAUER ROSE LLP, z New
York limited liability partnerghip,
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Plaintiff,

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V日,
No. CA 01-04671 AB

IVIEWIT COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC, a Delaware cerporation, and IVEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendanta.

> Boca Raton, Florida Beptember 11, 2002 \(11: 00\) o'plock a,m.

DEFOS土TION

OF

GERALD LEWTN

\title{
Certified Copy
}

AEPEARANCES:
PROSKAUER ROSE
by: CHRISTOPHER W. PRUSASKI, ESQ.
Appearing on behalf of the Plaintiff.

SELZ \& MUVDI SELZ, P.A.
by: STEVEN M. SELZ, ESQ.
Appearing by telephone on behalf of the Defendants.

Depogition of GERALD\} LEWIN, a witness of
lawful age, taken by the Plaintiff, for purposes of discovery and for use as evidence irt the abovementitled cause, pursuant to notire heretofore Eiled, before TAMARA EMERIGK-MASCI, Registered Frofesaional Reporter and Notamy Public, in and Eot the \(g\) tate of Fiorida at Large, at 2255 GIades Rogd, Boca Raton, Florida.


Tinereupon:

\section*{GERALD LEWIN}
a witness, being first duiy sworn in the above-entitled cauge, testified under oath ag Ec110ws:

\section*{DIRECT EKAMINATION}
Q. (By Mr. Prusaski) Sir, could you state Your name for the record, please?
A. Gerald R. Lewin = L-e-w-i-n.
Q. Mr. Lewir, my mame is Chrig Prusaski.

You're here being depoged in the case of progkauer Rose versua IVIEWIT.COM, et al. It's a lawnijt that's pending in Circuit Court in Falm Beach County over the payment of attorney's fees.

I'll ask you if you've ever had your depositipn taken before?
A. I've had. Not melating to this case, but I've nad my depogition taker before.
Q. The only reason I aak rhas is just to make sure You know what the ground ruies for a depositior are. I think you're Eamiliaz with those. Everything we say in this room gess taken down by the reporter. You aarit rod your head becalse the reporter aan't take that down accurately. So You have to answer in tha affirnative or nesative
ifs it's a Yes or no.
If I ask you a question you don't
understand, please just simply ask me to repeat it or reword it and \(\ddagger\) will.
A. Okay.
Q. If you answer a question, it's assumed that you understood - understood the question.
A. Okay.
Q. If you need a break or anything, please ask me.
A. Okay.

MR. PRUSASKI: Go off the record for a second. steve, hold on. We lost him.
(Discussion held off the record.)
MR. PRUSASKI: Go back on the record. We lost Mr. Self from the call.

Is Mr, Bernstein on the phone?
MR. SELZ: No, he int.
MR. PRUSASKI: OKay.
Q. (By Mr. Prusaski) Mr. Lewin, what is your address?
A. 7050 Ayrshire Lane - A-Y-r-s-h-i-r-e.
Q. Broca?
A. Yes. 33496 .
Q. What's your occupation, sir?

KEN SCHANZER A ASSOCIATES, INC. (954) 922-2660
A. I'ma CFA.
Q. How long have you been doing thaz?
A. Thirty-one Years.
Q. Do you have your own busimess?
A. I am a principas in a GPA firm.
Q. What's the rame of that company?
A. Golcstein, Lewin and Company.
Q. Other than ©PA, do you hold any other
professional licenses?
A. No.
Q. Did you do anything to prepare for this
deposition today other than receive my subpoena?
A. No.
Q. The reason we asked you to come in for your deposition today is because it's our understanding that you were on the board of directors for the Iviewit companies; is that correct?
A. That's correct.
Q. Now, for the purposes of this deposition, when I use rhe term -- I know that there were numerous Iviewit companies. So when \(I\) use the term Iviewit, I'm referring collectively to all of them. And if \(I\) want to specify a particuaar corporation, like IViewis.com, Inc., I'ly say that.
A. Okay.
Q. Do You understand that?
A. Yes.
Q. Wheri did you first become involved with the iviewit companies?
A. I'll give an approximate date. I would
say about four years ago. Could be a little longer.
I'm not - You know, I'm not certain. Could be five
Yeara. Somewhere around four, five years ago.
Q. Do you recall the year being l998?
A. That would make it four years ago. That soundg right.
Q. Could you describe the eireumatances and how you got involved with the iviewit companies?
A. Yé. I knew Sy Berngtein who was a

 could possibly. be patented, And he asked me whether

F could help trem - introduce him to some - to
attorneys and could possibly get involved myself from an accounting and a business point of view.
Q. Is Mr. Bernstein stili a neighbor of Youra?
A. Yes, he ia.
Q. Are you gocial Eriends with him?
A. Yes. Not on a regular basis, but we are
1. Eriendly when we see each other. And we have a good relationsinip.
Q. Did You introduce Mr. Bernatein to

Proskauer Robe?
A. Yes, I did.

5
7
Q. And what is your history with Proskauer

Rose?
A. I've known Al Gortz, who is one of the partners at froskauez Rose, for probably 21 years. And I've had business relationahip and also a friendly relationship, both, businega and friendly. 50 it's personal and business relationahip.
Q. At the time when you became involved with Iviewit, were you a board member?
A. No.
Q. What was your role?
A. I. would"ayy more of a consultant ard"- I.
would bay accounting - accountant and consultant.
Q. Did you -. Did your firm do the accounting work for Iviewit initially?
A. We dig some accounting work.
Q. What was your role with the company when Proskauer was hired to represent Iviewit?
A. I was not a board member yet. I was the same, consultant.
0. Did You become a board member sometime

\section*{thereafter?}
A. Yé.
Q. Dkay. Do you memember when that was?
A. No.
Q. Do you remember what year it was?
A. No.
Q. Who asked you to be a board member?
A. Sy Bernstein and Eliot Eernstein.
Q. Lo you recall who the other board members
weie?
A. It was Brian Utley, once he got involved with the campany; Sy Bernstein; obviously, Eliot Bernstein. And \(I\) don't remember the individual's name from Croagbow. There was somebody from Crossbow representirg Crossbow, who became a board member. I dor't recall who - You know, \(I\) cor't recall exactly who the othez board members were at this time.
Q. Who was the president of the Iviewit companies?
A. To my knowledge, I think it wag 巨isot 크ngtein.
Q. Is the pregident?
A. I think so.
Q. If I told you Brian Utley was, would you KEN SCHANZER \& ASSOCIATES, INC. (954) 922-2660
change your answer?
A. Eriar Utiey became the president once he was engaged.
Q. Okay.
A. Originaily, it was Eliot.
Q. What was Proskauer hired to do?

MR. SELZ: Objection. Form of the
question.
MR, PRUSASKI: I'll - I'll reask it.
Q. (Ey Mr. Prueagij) What was Iviewit's
intent in hiring Proskauer?
A. Prepare the legal work and introduce them
to possibie investors and perhaps clients of theirs
who could use the technology that Eliot deveioped
that Iviewit owned at that time. Wheeler in deposition states Lewin intro'd him to set up
Q. Do you remember the attorneys from

Froskauer who did work for Iviewit?
A. Yess It was Chria Wheeler was the heag.

And I'm trying to remember. There was a -
Q. Does Rocky Thompson sound familiar?
A. Rocky Thompson. That's the one. Yes,
those were the two.
Q. Do you know if any other law firms were
used by Iviewit besides Proskauer Rose?


KEN SCHANZER \& ASSOCIATES, INC. (954) 922-2660

Lewin confuses the attorneys grossly here and the reason is they are trying to hide Proskauer attorneys doing patent work or else major conflicts arise. Yet Rubenstein and Joao were represented at first as Proskauer attorneys and this is why Proskauer was hired. After we found that this was false Rubenstein did join Proskauer.

\(亡\)

Eor the Iviewit comparies?
A. I regigned \(I\) think approximately a year aģo. Could be a little longer. I'm not sure.
Q. Would you explain the circumstances
behind your resignation?
A. The company was running out of funds and - and at that point it wasn't certain whether the patents were going to be approved. And therefore -And Eliot moved to California. And I felt at that point \(I\) really could, you know, could not contribute anything further, so I resigned.
Q. Do you remember what month and year that was?
A. No.
Q. Wag it in 2001?
A. I think so.
Q. Was it before or after Brian Utiey and Ray Hezah left the company?
A. I think it was right around the same
time. Could have beer a little before or a lictle bit after. I'm not sure. But it was around - around the same sime.
Q. Did Brian Utiey and Ray Hersh leaving tine compenies have anything to do with your decision to lezve?
A. No.
Q. Were you aware of the fact that proskauer Rose filed a lawguit against the Iviewit companies in May of 2001?
A. Yes, I was.
Q. Do you recall how you heard about that?
A. Not really. I recall it - By taiking to somebody. Either it could have been - could have been Eliot or could have been sy, it could have been Al. It wagn't a big discussion. It was just sometow I remember hearing that there was a lawsuit filed against Iviewit.
Q. Did You know it wag for unpaid attorney's fees?
A. I - I think so.
Q. Did it aurprise you?
A. No.

MR. SELZ: Object to the form of the
question.
Q. (By Mr. Pruaaski) Do You'have-any nirear why proskauer!s athornequs. fees haven't been paid. by IWiewit?.,
A. Erom whatomumderstand, there-is a wack

Q. What do You base that understanding on?
A. Whe fact tinat I'th told that there is no funds available to pay any bills.
Q. Who told you that?
A. Who told me tinat? I can't say anybody. You know - You know, it was just general discussions that were going on on the availability of funds in the company. Could have been with Briar. Could have been with \(5 y\) or Eliot. It was just general ciseussions and my knowledge that there was no funds available.
Q. Were these discussions to which you were privy as a board member?
A. I think it could have been after. It could have been after. It could have been before, but \(I\) was aware that the company just was running out of money.
Q. Other tharthemert that youssay the

 by IViewit?
A. No.
Q. Lid you ever hear anyone from the company at ary time cbject oz state that Proskauer die work that \(二 \mathrm{t}\) wasm't authorized to do Eor Eviewit?
MR, SELZ: Objection to form.
Q. 〈By Mr. Frusaski\} You can answex.
A. No.
Q. Are you aware of or did you ever hear anyone in the company state that pro"skader did work improperly for Iviewit?
A. No. Letr s'put it thatway.mNot tory
recollection.
Q. Are you aware or did you ever hear anyone from the company state that Proskauer did work that the Iviewit companies didr't ask them to do?
A. No.
Q. Were you pregent at all the board meetings while you were a board membez?
A. No.
Q. How often did you attend board meetings?
A. I would say I attended them regularly. E
can't say \(I\) attended them all. I would say \(I\) ateemded a majority of them. At the end, there were board meetings via telephone. And I skipped a lot of those meetings.
Q. Was Chris Wheeler typically present at
those meetings?
A. Yes.
O. Do you recall any complaints abovt

Froskauer's bills being discussed as board meetirgs
ふ乞 which you were present?

A，You know，comments were made．They weren＇t－You krow． \(\mathcal{F} \operatorname{don}^{\wedge} \mathrm{t}\) know if you want to वharacterize them as complaintg，but，you know， comments were made like the fees were－you know， there＇s always comments made regarding attorney＇s Eeps．Not in a serious mamner．I don＇t－I dor＇： recall any fees being referred to in a serious man』er．

Q．Feople just historically complain about attorney＇s bills？

A．It was like Ewnry remarkg，you know，like you could have been a few minutes late，it would have coat \(u s\) a little less，you krow，remarks like that generaliy．But not serious remarks and discussions Q．Do You recall ever having diseusaions or hearitg discuagigns amorg principals of rviewit that they weren＇t happy witin Progkauer＇s services？

discussipns were related to was he doing a good
enough job overseeing Foley's Eirm, you know,
handifng the patent or he supposed - -
Q. Is this Ken Rubenstein?
A. Ken Rubenstein. That's the guy, yes.

There were diacusgions telated to Ken Rubengtein and
tha patents. That was it.
Q. Who had thoge disuussions? Who were the people involved?
A. Sy and Eliot generally.
Q. Was Ker Rubengtein supposed to oversee

Foley \& Lardner?
A. I don't know.
Q. Ór was Foley \& Lardner doing the patent

Incorrect - At this time, Foley \& Lardner was not in the picture he refers to Raymond Joao of Meltzer Lippe

wotk, but I think becguge thby were referred by ken
RLberatein, you know, generally that's what happens,

When you refer gomebody, you gort of take 口ォ an
obligation, if these people failyou, then you look
to the person who referied them.
And I think that'g Dasically - At Ehe
enc wher they were not happy with the results of the
Fatert, I think they started questioning whether ken
Ruberastein should have maybe done the work himself.

said -- Well, you said generaily --
A. Eliot felt that nobody did a - did a good
job, but I'm -- You know, Eliot just felt that nodody was doing a good enough job.

Lewin had full knowledge of the technologies, he was present at all technology disclosures, designed business plans and introduced investors.
Q. Did you agree with him?
A. I did not have enough knowledge, inside knowledge of the patents and the technology to either agree or disagree with him.

And you know, his complaining, I carit ever say whether it was rightfully complaining or wrongfully. I can't say bint either because I didn't have erough knowledge to really listen to him and agree or disagree with him.

This seems to be an excuse for blowing off looking into allegations of patent theft, improper assignments and fraud upon the investors.
Q. Did Eliot Eernstein complain about

Proskauer's bilis?
A. Comments were made between Eliot and sy, you know, as normal comments, as \(I\) hear every one of my elients make, complainirg about attorney's fees.


A. No.
Q. At the time Eliot and Sy were complaining
about the biils, were they still directing proskauer to perform work for the Iviewit companies?
A. Yes.

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Q. Wouid you characterize sheir complaining as being that they were unhappy with proskaker?
A. No. No. There was just badically the normal, you know, general statementa, such as 1 can't believe how much attorneys - attorneys charge us and A minute ago he stated that Si \& Eliot were unhappy with the results of the patents that things like that. Rubenstein oversaw and that they may have been improper but that does not count for unhappy with the work??
Q. Did they -- You introduced Proskauer to

Iviewit, correat?
A. Yes.
Q. Dict the gernsteins know going in how much Proskauer's per hour charges were?
A. Yes. And as a matter of fact, before I introduced them, they asked me to introduce a really quality Eirm. And \(I\) told them upfront, I said, if You want to deal with a really quality firm, you will have first rate attorneyg and you will pay the fees accordingly. I saíd, if You want to go to a smaller firm, I can incroduce you to some otrer atcorneys and their fees will be less.

But they said, no, we insist on somebody that has a national name and who are willing -- you know, we're willing to bear the fees that this will carry, So there was a discussion about it upfront.
Q. They wanted Proskauer?
A. They want - Absolutely.
Q. And they knew going in kow much our fees were?
A. They knew the fees and they - - There was a discussion. They said, we know we're going to pay a Iot of fees. We know that the hourly rate is going to be higher than - than we can get at a smaller local firm, but this is exactly what we want.
Q. Did You direct Proskauer to do any work Eot Iviewit?
A. I don't think so. Not that - not that I can recall.
Q. Who Erom Iviewit for the most part directed Froskauer to perform work for the companies?
A. I think it was sy and Eliot to start
with. And then when Brian utley got involved, obviously he was the president, so he was dealing with ehem on a regular basis. But I think it was sy ana Eijot who were the - the ones that were directing the law firm mostly.
Q. As a director - Have you been a director of e corporation before?
A. Yé.
Q. How many times?
A. Three times.
Q. Over the courge of how many years have
you been a director in companies?
A. Ask tint grastion again mote specifically.
Q. How many years of experience do you have being a director of companies?
A. Altogether? Well, are You counting Goldstein tewin anti company also?
Q. Are You a director of that company?
A. Yes.
Q. Do you have regular directors' meetings?
A. Yes.
Q. Then sure.
A. Okay. So you want me to add up all the Years?
Q. Yeah, because I'm going to ask you questions pertaining to what you knew as a director oE Iviewit and F want to establish that you have experience as a company director.
A. okay.
Q. That's where I'm coming from.
A. Okay. Now, Goldstein, Lewin is a lottie different because it'g a closely-held company. IE does have sever partners, but it's ail a clogelyheld company. If you count that, I have 20 years' experience with that company. If you add the other
companies, \(\quad\) have about 15 yearg of experience.
O. As an acoountant, are Ycu familiar with Fiorida corporate statutes?
A. Familiar with them ag Ear as?
Q. Have you read them?
A. No.
Q. Do you know what the corporationg law in Florida is?
A. I know the general. I do not know the

Q. Do You know what Florida reguires you as a dir゙ector of a compary to do?
A. Paraphrase it. I'm not certain I understand your guestion. To do as Ear as what is concerned?
Q. What your role is as a diregtor of a company.
A. I would say I have a general knowledge of it.
Q. Okay. What is your understanding as a direcror of Iviewit as to Erian Utley's role in the company?
A. Briar tiley was basicaily ir charge of was supposed to be in charge of operations. Got involved in the technology, helping to develop the
comparies，I have adout 15 years of experierce．

O．As an accountant，are vou Eamiliar with

Flovida ©orporate statutes？

A．Eamiliar with them ag Ear as？

Q．Have You read them？

A．No．

Q．Do you know what the corporgtiong law in

Florida is？

A．I know the general．I do not know the gресifics．

Q．DO You know what Florida requires You as ョ divedtor of a company to do？

A．Par゙avhrase it．I＇m not certàn I understand your question．To do as far ag waat is Goncerned？

Q．What your role ig as a director of a company．

A．I woula say I have a general knowjedge of it．

Q．Okay．What is your underatanding ag a director of Iviewit as to Brian Utley＇s role inthe company

A．Brian サtley was basiaally in charge of－ was supgosed to be in crarge of operations．Got involvei in the technology，helping to develop tie
technology, wá involved in the patent issuea, dealt with investors and also ceale with potential customers.
Q. What was his role with respece to accounts payable?

Let me ask yau another way. If one of Progkauer's bills came into Iviewit, what was Brian's role with respect to paying that bill?
A. I would say he probably generally did not have the final say.
Q. Who did?
A. Eliot - Probably Eliot and maybe in addition to sy. You know, there were different periods of time where, you know, when - when --

I know froskauer did get some peyments.
So what would happen, there was a time where there was a lot of money available becauge crobbo would put in the funds. And then, probably Brian was able to make payments. Eut when money got tight, I would say Eliot ard Sy would then - and inciuding Brian, woula all sit down and figure out who should get paid, how ard wher. So - - \(\begin{aligned} & \text { Lewin was also making these decisions as the accountant and } \\ & \text { as a Board member }\end{aligned}\) as a Board member.
Q. Were there times that Proskauer wasn't
among those entities that were paid?
A. Probably.

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©. Was it because of lack of funds?
д. I would say in my opinion it was because of lack ef Eunds, Yes.
Q. Are you aware of any instances where

Eliot or Sy told Brian not to pay Froskauer becauge he bad a actual problem with the bills? Best deposition Freudian slip!!!
A. Yロu know, I'm trying to recali evente of
my memory that I'm trying to erase. Youknow, it's
hard sometimes to distinguigh gemeral complaining from sperific - from specific telling somebody sot to pay.

Was \(I\) ever pregent when they told him
don't pay this bill? I don't reaali. Was there general complaining? Yes. As \(\quad\) gtated before, there was always general oomplaining. And that wagré just - You know, it was about everybody's biils.
Q. By the Bernsteins?
A. Yes. Yes. Not - not by - Mot by Brian.
O. Do You know if Brian reviewed Proskouer's
bill
A. I think he did, yes.
Q. Did you ever review them?
A. No. Not that I recall. Iet'g put it

Erat way.
It sounds like he was aware of complaints with the work and the bill of his referral Proskauer and again he did nothing, Earlier he stated you are responsible for your referrals.
Q. What was Ray Hersh's role in the company?

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A. Ray Hersh was originally hired sort of a CFO, but as things developed, I think he became more of a consultant from the financial side. fe wasn't serving exactly as CFO. And then he really became - -

I would say - It was - it was difficult to degcribe his role. He die a little bit of everything. Basicaliy helping out Brian Erom - Erom the fingncial side.
Q. Do You know if Iviewit ever entered into a paymert arrangement with Proskauer?
A. I'm aware they didenter into payment arrangements.
Q. Do you recall why?
A. Yeah, byere was no money available.
Q. Ther゙e wag mo momey avaiłable?
A. That's - that's what my recollection is. They were trying to, you know, to delegate the money. Even when there was money available, they would tyy to adve it Eor future - for future expenses. So if they תad three, four bundred thousand dollars, they knew they would need it for the next four or five months; and therefore, to try to conserve cagh, so Ghe discugaior wag, can we jugt pay a oemtain amourt Eo everybody to keep them going and to continue services?
Q. Did there come a time wher proskauer
stopped Froviding legal services to the Iviewit
Lewin is aware Proskauer sued Iviewit but not aware they stopped services, He was on the Board when they
compan \(\left\lvert\, \begin{aligned} & \text { stopped services and sued us when asked about improper loan transactions they had done. }\end{aligned}\right.\)
A. I do not recall. I don't think so.

Not - not that I'm aware of. Let's put it that way.
Maybe there was, but - -
Q. Do You know if the Bernsteins fired

Proskauer or did Froskawer stop repregenting Iviewit?
A. I'm not aware of either one of them.
Q. What is Iviewit.com, Inc.?
A. IE You want - - It's ome of the
corporations. If you agk me, do I wecall all the struatures of all the companies right row, I do not.
Q. Okay. Do you know who set Ivienit - strike that.

Do you know how Iviewit.com, Inc, was
incorporated?
A. What do You mean how?
Q. Did Froskauer Rose incorporate that
company?
A. I thirk so. I think so. I thimk so.
Q. Do You know if Proskauer Rose dia work

EOE ̇̄iewit.cort, Inc.?
A. Yes.
Q. Dị́ Proskauer Rose do work for Iviewit

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Holdings, Inc.?
A. Yes.
Q. Did they incorporate that company?
A. I think so.
Q. Same question for Iviewit Technologies,

二лс.
A. Yes.
Q. And eroskauer did work for that company
and incorporated it?
A. As Ear as I'maware, Yes.
Q. What was Iviewit, LLC?
A. It wag just a different structure, you
know, At that time, we were trying tofigure out what - what seructure to form, you know, to best serve tine stockholders and share - and the owners. So we kept jumping around sort of.

You know, first we thought we should do
an LLC so we could pass on the loaseg to the This is all incorrect shareholderg. Then we decided to do a corp. Because we were getting outside inve日tors that couldn't be mempers of an LLC.

So it was basically strueture of entities
that wes being jumped arourd.
Q. Anc these decisions on restructuring were made by the Bernsteins?
A. \(\quad\) ifth advice from mygelf ard advice from
attorneys from Proskauer Rose.
Q. Proskauer ultimately did perform the stエucturing work?
A. Yes, Yes.
Q. At the board's requegt?
A. Yes.
Q. Do you have any current afeiliation with
the Iviewit entities?
NOT TRUE - HE AND HIS FAMILY HAVE 5\% FOUNDERS SHARES IN THE COMPANIES
A. No, I do not.
Q. Do you have arything to do with the
bankruptey of Iviewit. com, LIc?
A. I do not.
Q. The three corporations I mentioneq

Iviewit, com, Inc., Iviewit Holdings, Inc, and İviewit
Techmologies, Inc., were you a member of the board of directors for those ertities?
A. I - I'm not gure which one now, There
were so many companjes at that time floating argund.
¥'m not gure anymore which one \(I\) was a member of.
Q. Well, was there a clear diseinction

Detween the comparies? \(\quad \begin{aligned} & \text { There clearly separate the operating companies from the patent companies. }\end{aligned}\)
A. No, no. They were just get up generally
to, you krow, hold the patent or bring in, or give a percentage of the - of the company to entities that
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couldr'r be part of the LLS, such ag I think

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Proskauer Rose got a small percentage of the companies. Anc Ehe only way they could come in was
trrough a c corp. And then - and then there wes
ョnロther law firm in New York that was - -

So it was all structured, but at far as I'm concerned, they were all basically one entity.


A. Because Eliot - That was Elliot's way of incentivizing the people he dealt with to pay more atrention to the - to the - to his company and to possiply introduce him to sources of funding and to. you know, to generate - to introdure him to some busimesaes that could use his product. That was Ellict's way of ircentivizing people.
Q. So it was a gift?
A. It was a gift, Yes. Fe felt that he would - - He felt that there would be extre attentior paid \(=0\) ris business.
Q. Did Proshauer solicit that gift from Eliot?
A. No.
©. And it wasn't - I jugt want to make ciear. It wasn' given an comsiderationfor a legal
A. NO.
Q. Díc you at any time ever finc Proskauer's
billa to be excessive?
A. I can't comment on that.
Q. Why?

This is wholly untrue. He reviewed their bills and his daughter reviewed them while
※. Because I don't know. I haver't reviewed
them, I can't really tell you whether they're excessive or not. I never really - I don't recall reviewing any of them, so \(I\) can't gay whether they were excesaive or not. You know, if r reviewed the bills and \(I\) knew what the work was done exactly bill by bill, I could tell you. But it would only be an opinion also.
Q. Did You ever talk to brian Utiey about Proskauer's bills?
A. Yes.
Q. What did you talk about?
A. Weli, sometimes Chris would call me up and say, Jerry, you know we need to get paid, you know, because we were so much behird.
Q. Chris wheeler?
A. Yes. Anc we are - we are behind. Could
you please talk to somebody so we can get paid?
I wouid sit down and I'c talk to Brian.

And Erian would gay, look, this is our cash flow. We can only pay him do much or we can't pay him. I'll ta:k to sy about it. We'll see how much we can pay him and see if we can come to an understanding to pay part of their bili. That's how it was discussed in Ehat mannex.
Q. Have You ever seen any documents -Strike trat.

Let me - let me go back to somerhing you
just said, Did you ever hear Brian Utley complain about Proskauer's bills?
A. Not Brian Utley.
Q. Who? You qualified Your answer.
A. No, Erian ttley did not complain, no.
0. What about Ray Hersh?
A. You know, I don't recall Ray Hersh
either, I know that Ray - Ray Hersh was involvé in trying eo structure, you know, a payment plar, but I do not recall him specifically saying certain biils were improper or - or -- No. I don't - I don't recall たhat.
Q. Do you reaall which Iviewit entity rormally paid the bills of the Iviewit entities?

MR. SELZ: Objectior. Form of the
quegtion.

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    A.NO.
    0. DQ You know if Proskauer ever gent
    Iviewit letters oemandimg payment on itg bills?
A. Yes.
Q. Have you seen them?
\#.NO.
Q. How do you know that they were gent?
A. There were discussions made. There were
discugsions ehat Pros - you know, trat Proskguer - -
YOu know, Brian would come or Eliot and Sy and say,
look, Proskaluer's making demands. We've got to
Eigure out how, you know, to pay them something or
make an accommodation so they can continue the work.

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Werceradue. ang صwing?
A. YE兑.

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                                MR. SEZZ; ODjection to the form of the
    question.
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    Q. What was your understamdsng with respect
    EO Brian Utley's experience when the company hired
him?
A. Erian Utley worked for IBM, had gogd

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knowledge of computers, supposedly of the internes, had some undergtanding of technology and was involved En some otrer patent processes.
Q. Did you feel that he knew what he was coing when it came to Iviewit's patents?
A. I can't comment on that.
Q. You don't know?
A. I don't know. I have no idea because I was not invojved with the patents at all. I have no Totally false, he had intimate knowledge and understanding of the technology, 90 - was at all disclosure meetings!!
Q. Do you know what an ultrayirus aot is?
A. No.
Q. Are you aware of any things that Brian Utley did that he specifically did not have board approval to do?
A. Not that I'm aware of.
Q. Did you ever hear anyone from the company complain that Brian Utley paid Proskauer's biils without the board's approval?
A. I don't recall. No.
Q. Do you recali ever hearing anyone complain that Brian ttley entered into payment arrangemente on behalf of the company without the board's approval with respect to Proskaver's bilig? A. I don't recall that.
Q. Have you spoken to Eli lot Bernstein
lately?
A. Yes.
Q. When?
A. Eliot called me about a week ago.
Q. Mm-hmm. What did you talk about?
A. Eliot asked me to send him -- We had a
substantial amount of ills also, accounting
services. And he called me from California and he
asked me co send him -- We never got paid. And I
never --
Q. Who? Wait. Who never got paid? Not true-Hehad agreed to
A. Goldstein, Lewin and Company.
Q. For accounting services for Iviewit?
A. Yes. There was some payments made, but
the majority wag not paid. And \(I\) really dropped it
because I felt it was -- I did not want to pursue it.
Felt there was nothing to coliect.
Q. What do they owe Goldstein and Levin?
A. Fifty some thousand dollars.
Q. Mm-hmm. How long have they owed this
money?
A. Abode three years.
Q. Are you surprised?
A. Surprised at what?
Q. They haver't paid you?
A. Well, there's no money available.
Q. Okay.
A. So I don't want to spent the legal
resources trying to collect it. I don't wart to
throw any good money after bad. So --
Q. How many other accounts payable are still out there among Goldstein and Lewir and Proskauer Rose?
A. Oh, I don't know. I don't know. If you want to, I'll just finish what Elliot's call was about.
Q. Please. I'm sorry for interrupting you.
A. That's okay.

He asked me to send him a copy of all our invoices, which I dicn't understand why. I said, Eliot, are you getting ready to pay? I was basically kidding him. He said, no, we don't have money available right now, but \(I\) would like to have a copy of all those invoices. I said, okay. It's ir the storage. We'il have to get it. I cinated with him how his family wag, how he's doing, And that was it.
Q. What did he say how his family is doing?
A. He said the family is well, everytining ia okay. And that was basically it.

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Q．Yeah．

A．Anc then we ordered the information to
get Erom storage，which as soon as we get it，I will
serd it to him．That was it．

Q．Did he disquss the fact that he know you Were going to tegtify in this matters

A．NO．

Q．Have You discussed that testimony with

ョnyone？

A．No．
Q．Do you know if Eliot ig migsing ary
documerts that he needg for this lawsuit？
A．I मave 10 idea This is untrue as the Company had asked for his records and the

Q．Brings up ar intexesting point．winat
happened when－－Why did Iviewit vacate their óffice
aぇ 2255 Glåeg Road？

A．For two reasons．Number one，they wanted
to akift operationa to California；and number two，
they were running out of Eunds bo pay the rent from
This is untrue．The Company closed the Boca office because we found Utley stealing what I understand．patents and Proskauer doing illegal loans with friends of theirs．Lewin was present at the Board meetings when this was done．
Q．Ag a－as a former board member，what
to what do you attribute IViewit＇s runMing out of

「ioney？

A．To the lack of invegtors＇irterest in
Puttimg in additional money．

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Q. Do you know stever Lamont is?
A. The name does not sound faniliar.
Q. Steven Lamont - \(L-a-m-o-n-t\).
A. No: Who:is he?
Q. He's someone that Iviewit brought ir in tine last year to handie things.
A. No.
Q. Have You spoken to Erian Utley or Raymond Hersh recently?
A. Yeg. I spoke Eo Raymond Hersh about - I saw him about three weeks ago. Raymond now works for a financial institution that looks to place logns. He stopped at my office and tried to have - see if I can recommend him any clients that are looking to finance businesses or inventory, receivables anc such.

So that was my meeting with Raymond
Hersh. Iviewic was really noe discussed much arymore.

Did he ask Brian about stolen patents or stolen money or anything else he had become aware of as a Board member, prior to his jumping ship,

Brian Utiey, I spoke to maybe three
monthe ago. Brian \(I\) think moved to Minnesota, something like that. He told me about the illnesg of nis daugheer. Again, Iviewit wasn't really discussed much. Tinat was it. I'm still faienaly with all of Ehen. Including Sy and Eliot.
Now, Foley \& Lardner, were they
originally the patent attorneys?
\begin{tabular}{ll} 
Q. I believe 50. Totally false they come in after 1 year of Joan. \\
\hline
\end{tabular}
the people that it was switched over to. There was a
aw 壬irn that Brian wag familiar with that
specialized in patent work.
This law firm he refers to is Foley \& Lardner that Brian brought in. What Brian forgot to tell Company is that the patent lawyer William Dick was responsible with him for misappropriating patents from his last employer and we found this out after we caught them doing same. Lewin was made aware of this as Board member and although Brian Utley came from his referral Wheeler (Utley best friend of Wheeler) he did nothing to fulfill his fiduciary
Q. Are You dware of any law Eirms besides Progkaver that stopped doing work Eor Iviewit becauge of bill igsues?
A. Yes.
Q. Who are they?
A. I would say probably all the law fimme they did that with.
Q. Evertually stopped - -

A, Yes.
Q. - - working for Iviewit - -
A. Yeg.
Q. - because of ngn-payment?
A. Yes.
Q. Do You know if there are any reasonable defenaes that Iviewit had to actually paying those bills?

MR. SEL它: Objection to the Eorm of tie Glestion.
A. \(=-\)
Q. Other thar not having money did Iviewit have any reagon not to pay those bills?

MR, SELZ: Same objectior, And alsoto
relevanせy.
 وuEstion.
A. They at the end were not happy with the patent work tiat was done at the law firm, If Eiat's the name, Foley \& Lardmer. I'm not sure. You kiows I donit recall mames. But the original patent law Eirm that did the patant work, they were not happy with. And whether they gtopped payments or didn't Pay them or finish paying them, I m not aware.
Q. When you say, you're referring to Eliot
and \(5 y ?\)
A. Yes.
Q. Anyone elge?
A. I would say maimly Eliot and sy,
Q. Was Brian Utley mot happy with Foley

Lardner's work?
A. I'm not sure. It was mostly eifot, mot
\(3 y\).
Q. Did Yoゅ ever hear them complain?
A. Brida, по. I dig rot hear Brian
complair.
Q. I'm sorry. What were you saying, this
was mosely Eliot, nge sy?
A. Mostly Eiiot, not sy, yé. Because I

戸on't know ~- You know, Sy didn't undergtand the
technology and patent either. It was really Elíot,
You know, that understood that.
This denial is absurd again. Lewin knew and understood and used the technologies and was at meetings with many engineers who acclaimed it. He invested in the Company, took founders shares for his family, had his daughter Erika work at the Company, was accountant for the Company and secured investment and clients for the Company. He was intimately involved from day one, and almost every single person Iviewit is complaining against for malfeasances has a tentacle back to Lewin or one of his referrals.
Q. Are you sy'g next door meighbor?
A. No. I'm - I live a ebuple blocks away Éromhin.
Q. Do you know where he is this week?
A. No.
Q. So gokey \& Lardner still hasn't tully
bepr paid; is that what you're saying?

This statement makes no sense here, is it a transcript error??
A. I don't know. I don't know. I really
don't know.
Q. Do You stili refer work to probkauer

Roge?
A. Yea, I do. When the opportunity arises,

I do.
Q. Who are Donald Kane and Ken Andergon?
A. There were people that got irvolved as
far as trying to promote the company. I think one or
Both of them were an the board, if 1 recall now
correctly. I'm not sure. Eut 1 know they got
involved in trying to promote the technology,
incroduce them to venture capitalists. That was it.
O. I'm going to show you a document that we
will mark Plaintife's Exinibit 1.
MR. PRUSASKI: Mr. Sèz, I'm showing the
witness a copy of the Amended second
AEfirmative Defenses that were filed by your

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Predecegsox．
MR．SELZ；Okay．

MR．PRUSASKI：Let me mark this with a gticker as Exhibit 1.
！Thereupon，the above－ref̈erred to
内ம©ument was marked as Exhibit 1 Eoy identifieation．）
Q．\(\quad\) © By Mr．Prusaski）This is a document
thet was filed Dy Iviewit＇s attorneys．I＇m gojng to ask you to look at paragraph number one with me．

It ふays，plaintiff，meaning Proskauer，
has failed to perform work under the termg of any oral agreement between the partieg Eor which it seeks


Ard I＇m going to ask you，sir，if you are aware of any fats or circumitances which tend to suppore that assertion made by Iviewit？

A．Not that I＇m aware of．

Q．I＇m going to ask you to look at paragraph two，whまe＇h saye，gome work which may have beer． Performed on behalf of the defendants was not－which is Ivéwit，was not performed ar the defendart＇g request nor pursuant Eo any agremment．

Ahd I＇ll agk you the same question，are you aware of any iacts or circumgeances that terd to suppert that statement？
A. No, I'm not aware of it.
Q. Mr, Lewin, I'm going to show you a
document which we will mark as Plaintiff's Exhibit

Numbe: 2.
MR. qRUSASKI; Mr. Selz, for your
berefit, it's the March \(28 t h, 2001\) letter to
Brian Utley From Chris Wheeler.
Where are the exhibits??

MR, SELZ: Okay,
(Thereupon, the above-referred to
document was marked as Exhibit 2 for identification.)
Q. (By Mr. Prusaski) And Mr. Lewin, I'll
ask you to look at bhat document and tell me if you have ever seen it before.
A. I do not recall seeing this.
Q. Because you don't recall seeing it, I'm not going to ask you any questions about it.
A. Okay.
Q. I'm going to show you a document marked Plaintiff's Exhibit 3.
\{Thereupor, the above-referred to
cocument wag marked as Exhibit 3 for identification.)
MR. PRUSASKI: Mr. Selz, it's a letter
dated April 16th, 200l, from Proskauer Rose to
Brian utley. This letter attachea as an
exhibit or is an enciogure of three pages of

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whar appears to be a statement.
Q. \{EY Mr. Frusaski\} And I'll ask you, sir,
to look at that document and tell me if you've ever geen it before?
A. \(\mathrm{NO}_{\mathrm{s}}\) I have not geen it.
Q. Do you recell if you worked for or you

Were a board member at the time that that letter appears to have been written, which is April \(16 t h\),
A.

I don't recall.

MR. PRUSASKI; I have no further
quegtiont. Thamk you.
THE WITNESS: You're weloome.

MR. sEIZ: I've got a little bit of stuff On cross.

THE WITNESS: Okay.

GROSS EXAMINATION
Q. \(\quad\) QY MI. Selz〉 Are You with me?
A. Yes.
Q. okay. Mr. Lewin, my name ig attornoy

Steve Selz, I'm representing the Iviewit comparies in Ehig case.
A. Okay.
Q. I'm just going to follow up on a couple of tre isaues that Mr. Prusaskj had asked you
questiong on.
You had indicated that at one point in
Eime, your GRA firm - and when \(I\) mean yours, I meari Goldstein, et al. . had provided CPA services to the Iviewit companies; is that correct?
A. That's correct.
Q. Okay. Lid Mr. Eernsteir ever complain to you about the services your CPA company provided to Iviewit?
A. No.
Q. How about with regard to the - what you testified to earlier, that is, that Iviewit and speciEically Eliot Eernstein and Sy Bernstein, were concernea about about having a nationally prominent law firm handigng the matters for the Iviewit companies?
A. Yé.
Q. Were You privy to any of the discuasions between proskauer Roge's representativeg and Messers. Bernstein and the board members on exactly what the scope of representation was going to be for proskauer Rose?

MR. PRUSASKI: Object to the form.
A. Wher they were originally engased, I was not \({ }^{[ }\)boazd member yet.
Q. Okay. So you weren't privy to thoee discussions when they were actually retained then?
A. I was in many of the meetings wher they were discussing - you know, when they were originally retained, Not as a board member, but basically as an accountant and a consultant.
Q. Okay. To the begt of Your recollection, was there any specific delineation of the types of services that Froskauer Rose was going to provide?
A. I'm not gure I undergtand the question. Basically, they were looking for legal services and they were looking for introductions.
Q. Okay. So there was no discussion they were going to do specifically one type of representation? In other words, they didn't say, we'll only hardle corporate formations and solicitacion of inveators, but we won't do anything else? Nothing like that happened?
A. Nothing like that that I'm aware of, no.
Q. There was never any discussion about
limiting their role with regard to the patenting of the intellectuà properties involved?
A. Well, from what i cnderstand, that was handed to another law £irm.
Q. Okay And you had inciagted thar Ken

Rubenstein for Froskauer Rose had been involved with that portion of the representation for Iviewiz, that \(i s\), to get someone else to handle the patent work?
A. Yes.
Q. Okay. And was Mr. Rubenstein ever at any board meetings that you can recall?
A. I never met him.
Q. Okay. You never had any discussions with him?
A. No.
Q. And You had stated previougly that you hadn'e been to all the board meetings though; is that correct?
A. That's correct.
Q. Okay. Now, you've got over 20 years of experience as a board of director on various corporate boards; is that a correct statement of Eact?
A. That's correct.
Q. And in that \(t i m e\) frame, have you ever been involved with the hiring of a lad firm to represent any corporation or their interegts?
A. Was I direc=ly involved?
Q. Wene you involyed as a member of the board of directorg in doing that in any other
situation，other than Iviewit？

A．Gerierally not．
Q．Okay．When you mean gererally not，was there ever a time when you did？

A．Well，I don＇t mean exactly，you know，was there board approval to hire a law firin and I voted afitrmative？I would say yes．Did I sit down and negotiate it with any law firm specifically to perform gervices？I have negotiated，dut not necessarily ag a board of director．

Q．Okay．Now，with regard to that，you do have some experience in reviewirg legal bills that ヨre provided Eo corporations for services rendered； シs that correct？

A．I＇ve geen them before，yes．
Q．Gkay．And have you ever undertaken to， either in your role as an acoountart or as a member ○f a board of directors，review legal billinga for their reasonableress or their accurate reflection of services provided？

A．No．
Q．Okay．In your－ir your－－In your opinion，as a member of the boara of directora，sir， what would you think would be a reasorable value for゙ the services provided by froskauer Roge to tie

This is false statement. Lewin worked intimately with business plans and investors and Rubenstein is clearly marked as Iviewit patent counsel, overseer of the filings of others. Lewin was selling Rubenstein opinion to people like Huizenga and other investors because of the potential for patent inclusion to media pools for example MPEG \& DVD and royalties this would bring to Company
\begin{tabular}{|c|c|}
\hline 〕 & IViewit companies? \\
\hline 2 & A. I - \(\quad\) can't even start telling you. I \\
\hline \(\overline{3}\) & can't even answer that becauge -- \\
\hline 4 & Q. Do YOu know what Proskuuer Rose di¢ for \\
\hline 5 & the Iviewit comoanies? \\
\hline 6 & A. They did all the legal - - They did all \\
\hline 7 & the legal work. They irtroduced ehom to - - \\
\hline g & Q. They-di-drutwenmerempatent work is whatar \\
\hline 9 & thrinkc--*v \\
\hline 10 & A. They did not do the patert work' \\
\hline 11 & Q. Okay. So they did the corporate \\
\hline 12 & Eotmation? \\
\hline \(\div 3\) & A. They did the corporate Eormations. They \\
\hline 14 & did contracts. \\
\hline 15 & Q. Okay How many contractg were there; do \\
\hline \(\pm 6\) & You rectly \\
\hline 17 & A. No. \\
\hline 18 & O. Was there more than - more than a dozen \\
\hline \(\pm 9\) & contracts? \\
\hline 20 & A. I have no idea. \\
\hline \(2:\) & Q. Okay. Let's go back to the corporations. \\
\hline 22 & Fave you ever had the opportunity in the past to \\
\hline 23 & review biluing statements or gain information or the \\
\hline 24 & Cost of forming a corporation in the state ot \\
\hline \(2 E\) & Delaware? \\
\hline
\end{tabular}
A. No.
Q. Do you know how much the ordinary and customary charge woula be for those derviceg?
A. I would say not really.
Q. Okay, You think more than ten thousand dollars to form a corporation would be reasonable?
A. I - \(\quad\) would - I have no idea what - -
Q. Okay.
A. More Ehan ten thousand - I would say
probably less. I don't know. I would aay probably less Ehan ten thousand for forming a corpozation.
Q. Do you have any idea what the total
bi:ling statements provided to the Iviewit companies by Proskauer Rose were in this matter?

』. Total altogether?
Q. Total altogether?
A. Not really.
Q. Would haif a miliion dollars sound like Eoo oig a number to you?
A. I can't say whether it's too big or too sாall. I really don't know.
Q. Okay Now were You involved directly wish regarc to the approval of paymene of legal bills provided by Proskauer Rose to the Iviewit eompanies?
A. I was not.

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Q. Okay. Were you at any board of
directors meeting in which a vote was taken to approve the payment of any of those bills?
A. I don't recall.

MR. PRUSASKI: Objection. Assumes facts
not in evidence.
Q. (By Mr. self) Let me rephrase it timer.

Sir, you stated that you attended some but not all of the board of directors' meetings; is that correct?
A. That's correct.
Q. Okay. Do you have a - a recollection of the goings on at thoge board of directors' meetings, things that were discussed?
A. Yes, some of them.
Q. Some of them. Okay.

To the best of your recollection, was
there ever any suggestion as to the payment of any specific bill to Proskauer Rose?
A. Not specific. There were just general discussion on how to conserve cash.
Q. Okay. What you testified to earlier. basically, figuring out where they were going to allocate the cash reaources?
A. That was it.
Q. Okay Gut there was no - there was no
specific vote, to the best of your recollection, by the board of directors or discussion saying, pay this invoire to Progkauer; we authorize whoever, Brian Utiey or Raymond Hersh or whoever else it might be, -o cut a check?
A. Not that I recall. Because generally, those ciecisions were made at the board level. They were generaily made by sy and Eliot. And I don't recall. Maybe they were made. Not to my recoilection. There were maybe general discussions, but not specific.
Q. Okay. Now, with regard to the stock that was given by Eliot Bernstein to froskauer Rose --
A. Yes.
Q. -- were you privy to the discussiong concerning that actual providing of the stock?
A. Yes, I was.
Q. Okay. And that was -- When did that take piace?
A. E dor't recali the year, but it was pretty much at the early - at the eariy stages of the relationship.
Q. Okay. Now, was that -- Did that oceur at
a board meeting?
A. I don't even krow if there was a board at
that time，I don＇t even know if－－I＇m not sure if I was on the board at that \(t i m e\).

Q．Okay．You might have just beem simply巴马ting as a cPA／consultant？

A．That＇s exactiy right．
Q．Amd do you remember what year that was？
A．I would say somewhere around 19日，199，
somewhere in there．
Q．Okay．And do You have ary idea what the source of that stork was that was provided to Progkauer Rose？

A．What do you mean the source？

Q．Weíl，if it was from a corporation，the corporation had to be formed；is that eorrect？

A．Ye白．
Q．Okay，And so，thig was after Proskaumr
Rose was retaimed because they formed the corporate entigies；is that correet？

A．Yeg．
Q．Okay．So this wasm＇t part of the


A．It Was mot．Not that－rot that I
recall．I think it was done a little bit later．
Q．Okay．Anc do you remember which entity the stock came from？

A．I think it was Iviewjt．com，Inc，There were somany of thert floating around．It was むifficult．It＇s difficult to recall．

Q．okay．

A．Or maybe tha A 土C．I＇m not aure at that time．

O．Do you recall the purpose for having－－ know you previously indicated that there wag concerng about usirg ar LLC because of limitationg on the types of aharehojders that you could have and other－ and other limitations from that standpoint．

Do you recall why there were upwards of eight entities formed？

MR．PRUSASKI：Objection．Agsumeg Eacts
not in evidence．to ahead．
A．Yeg．Yeg．Some of the entities were

Formed to protect－go we could put the patents in them．Some of the entities were formed to be a managemert company．马ame of the entities were

Eorined
\(\square\)
What happened ig there was a change of strategy．I think oxiginally，we were going to uge an LuC．And ehen we awitched over to a \(C\)－to a \(C\) Gorp．There were some s corps．invoived．It mighe have been an \(S\) ebrpotation．I＇m not sure．

It was just basieally - AII that was
done -- There were two purpoges; number one, set up a
separate company to protect the patent.
Q. Right.
A. That, I recall. And - and number cwo,
the rest were just basically tax strategiea.
Q. Okay, Now, was there - Do You recall
any disputes between Brian Utley and Eliot and sy
Eernstein about any isaues other than the payment of そroskauer's billa?
A. Other than? I mean, there were many
dispure -- You know, when you have a board of
directors and you have management, there's many
disagreementa or how to conduct company policy.
Q. Okay.
A. I mear, there were huncreds of them,
Q. Were there any disagreements concerning

Ehe manner in which the patents had been filed or the
rames under which the patenta had been filed?
A. I don't recall on the naties. I recall
there were maybe disagzeements of - you know, which I don't uncerstand - I do not underatand patents - of
whether papers were prepared this way or that way or
properly or improperly or -- you know, maybe those
were discussions.

\section*{Q. Okay This goes back to the previous}
testimony that you had about Foley \& iardner .-
A. Yes.
Q. -- ard some concerns about how the
patents had been prepared and filed?
A. Yes.
Q. Okay.

Do you recall any other igsues specifically concerning Mr. Utley and the - the patents?
A. Well, Mr. Utley then brought in people that he knew who were supposedly - whose background was patent - patenting technology products. And he's dealt with them previously.

And I think at one point, they stopped servicing us because of - I think we stopped making -- We did not make payments like we were supposed to. And they withdrew.

Again, Eliot was not happy also with the job they were doing at the very end. Because at the end, Eliot basically was not happy with the job arybody was doing because they weren't getting him the results that he was looking for.
Q. They weren't getting him the - The patents weren't getting processed the way they were sroposed to; is that what --

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A. I can't say whether they were cone the way they were supposed to. They were not cone based on -- You know, he was not happy with the -- He expressed his unhappiness.
Q. okay.
A. Whether they were done the way they were supposed to, I have no idea.
Q. Now, with regard to the payment of any of theae billa to prosiauer Rose or to any of these
other partiea, were you directly involved with the
preparation of payments or with regard to the
authorization for payments?
A. No. I was not.

MR. SELZ; Okay. I have nothing further.
REDIRECT EXAMINATION
Q. (By Mr. Fruadaki) The board -- Did the
board vote on paying Proskauer's bills?
A. I don't recall. I don't recall eo that.
Q. Dicl You say that was done more informally between the Bernsteins and utley?
A. Yea.
Q. Now, you said you never baw ken

Rubenstein in a board meeting, right?
A. I don't think so.
Q. And Mr. Selz agked you, well, you haven't
bên to ali the board meetings, have you?
A. No, I have not.
Q. Were you personally aware of any board meetirgs that Rubenstein attended that you dian't attend?
A. No. No, I was not.

MR. PRUSASKE: I have no further questions.

MR. SELZ: Okay. I've got nothing
Eurther.
MR. PRUSASKI: You have the opportunity to waive your reading of the deposition or read the deposition to check it for errors. I believe you've probably been asked that before because youvve done this before. What is your M. O.?

THE WITNESS: I waive it.
MR. SELZ: I'll order a copy. Chris, are you ordering it or no?

MR. PRUSASKI: Yes, Yes.
MR, SELZ: Chris, You're orderirg?
MR. PRisfski: Yes.
MR. SELZ: Then E'll get a copy.
MR. PRUSASKI: All right, steve.
MR. SELZ: Okay. Thanks. (Thereupon, at 12:05 p.m, the deposition was concluded.

CERTIFICATE OF OATH

STATE OF FLORIDA )

COUNTY OF BROWARD )

I, TAMARA EMERICK-MASCI, RPR, the undersigned authority, certify that GERALD LEWIN personal appeared before me and was duly sworn.

WITNESG my hand and official seal this 16th day of september, 2002.



STATE OF FLORIDA ,
COUNTY OF BROWARD )

I, TAMARA EMERICK-MASCI, Registered
Professional Reporter, certify that I was authorized to amd did stenographically report the deposition of GERALD LEW YN; that a review of the transcript was not requested; and that the transcript is a true and complete record of my stenographic notes.

I FURTHER CERTIFY that I am not a relative, employee, attorney or counsel of any of the parties, nor am \(I\) a relative or employee of any of the parties' attorney or counsel connected with the action, nor am I financially interested in the action.

DATED this 1砍, day of September, 2002.

in the circuit court of the 15th dudicial circuit in AND for palm beach county, florida

CASE NO. CA 01-04671 ab
PROSKAUER ROSE, LLP, a New
York limited liability partnership,
Plaintiff,
vs.
IVIEWIT.COM, INC., a Delaware corporation
IVIEWIT HOLDINGS, INC., a Delaware
corporation, and IVIEWIT
technologies, inc., a delaware corporation,

Defendants.

Proskauer Rose, L.L. P.
2255 Glades Road, Suite 340 West
Boca Raton, Florida 33341
August 20, 2002
11:15 a.m.
deposition of william kasser, taken
purguant to the Rules and Notice hereinbefore
filed, before MARY C. BETTIS, Court Reporter
and Notary Public in and for the State of
Florida at Large, at Proskauer Rose, L.L.P.,
2255 Glades Road, Suite 340 West, Boca Raton,
Florida 33341 on Tuesday, August 20, 2002,
commencing at or about 11:15 a.m.

APPEARANCES:

PROSKAUER ROSE, L.L.P.
by CHRISTOPHER \(W\), PRUSASKI, ESQUIRE
by MATTHEW TRIGGS, ESQUIRE
appearing on behalf of the Plaintiff.

SELZ \& MUVDI SELZ, P.A.
by STEVEN M. SELZ, ESQUIRE
appearing on behalf of the Defendants

REPORTED BY:
MARY C. BETTIS, COURT REPORTER
and Notary Public
114 Southwest 10 th Street
Suite C
Fort Lauderdale, Florida 33315

STIPULATION
It is hereby atipulated by and between
counsel for the respective parties and the
witness that reading and signing of the foregoing
deposition and the same are, hereby waived.
AND FURTHER DERONENT SAITH NOT.

1
wITNESS:

I N D E X
PAGE:

WILLIAM KASSER
(Direct Examination by MR. PRUSASKI). . . . . . 4

E-X-H-I-B-I-T-S
PLAINTIFF'S
NUMBER
DESCRIPTION
PAGE42

71

\section*{Thereupon:}

WILLIAM KASSER,
was called as a witness on behalf of the Plaintiff,
and, being first duly sworn, was examined on his oath and testified as follows:

DIRECT EXAMINATION
BY MR. PRUSASKI
Q. Good morning, Mr. Kasser. I'm Chris

Prusaski, and we're here to take your deposition in
the subpoena that we had served on you in the case
of Proskauer Rose, L.L.P. versus Iviewit.com, Inc.,
et al.
Have you ever had your deposition taken
before?
A. Yes.
Q. How many times?
A. I'm uncertain. Probably seven or eight times.
Q. I'm asking you because I just want to make sure you know how the deposition is going to proceed.
A. Yes.
Q. Obviously you do, but just to tell you if

I ask you any questions that you don't understand or
you would like me to rephrase, please don't hesitate
\begin{tabular}{|c|c|}
\hline Page 5 & Page 7 \\
\hline 1 to say so and I will. & 1 A. Hello, Bill Kasser. \\
\hline 2 A. Yes. & 2 (Thereupon, a discussion was held off the \\
\hline 3 Q. Sometimes we ask questions that can be & 3 record.) \\
\hline 4 construed to be vague -- & BY MR. PRUSASKI \\
\hline 5 A. Yes. & Q. We'll return to Iviewit in a few minutes. \\
\hline Q. -- and if you answer a question, it's & A. Yes. \\
\hline 7 assumed that you understood. If you need a break, & 7 Q. Can you give me the benefit of your \\
\hline 8 ask and we will be happy to accommodate you in any & 8 education? \\
\hline 9 way we can. & A. I have a Bachelors in Business \\
\hline 10 Would you please state your full name, & 10 Administration from Point Park College in Pittsburg, \\
\hline 11 please. & 11 Pennsylvania. \\
\hline 12 A. William Richard Kasser. & 12 Q. What year did you receive your B.S.? \\
\hline 13 Q. What is your address, sir? & 13 A. '70. \\
\hline 14 A. 991 Northwest Ninth Street, Boca Raton, & 14 Q. Any other degrees? \\
\hline 15 Florida 33486. & 15 A. No. \\
\hline 16 Q. Your home telephone number? & 16 Q. Do you have any professional licenses? \\
\hline 17 A. Is (561) 347-8390. & 17 A. Only as an insurance agent. \\
\hline 18 Q. Is there any other number that you can be & 18 Q. What years were you involved with Iviewit? \\
\hline 19 reached at? & 19 A. From 2001 into 2002. \\
\hline 20 A. My office number is (561) 750-8796. & 20 Q. What month in 2001? \\
\hline 21 Q. What is your current occupation? & \(21 \quad\) A. February 2001. \\
\hline 22 A. I sell insurance. & 22. Q. And what was the month in 2002? \\
\hline 23 Q. For what company? & 23 A. I don't know. \\
\hline 24 A. AFLAC. & 24 Q. Why? \\
\hline 25 Q. What's your office's address? & 25 A. Because I'm uncertain of my status with \\
\hline Page 6 & Page 8 \\
\hline 1 A. 1355 West Palmetto Park Road, Number 309, & 1 the company. I haven't resigned and I haven't been \\
\hline 2 Boca Raton, 33486. & 2 terminated. \\
\hline 3 Q. How long have you been working with AFLAC? & 3 Q. When was the last time you spoke with \\
\hline 4 A. Six weeks. & 4 anyone affiliated with Iviewit? \\
\hline 5 Q. Can you tell me what you did for work & 5 And before we get into that, I want to \\
\hline 6 prior to that? & 6 just clarify that Iviewit -- When I use the term \\
\hline 7 A. Prior to that, I was -- Prior that, I was & 7 generally, Iviewit means Iviewit.com, Inc., Iviewit \\
\hline 8 associated with Arbitrage International Marketing, & 8 Holdings, Iviewit Technologies. Do you understand? \\
\hline 9 Incorporated. A-R-B-I-T-R-A-G-E, International & A. Yes. \\
\hline 10 Marketing, Incorporated. & 10 Q. Okay. If I want to specify one particular \\
\hline 11 Q. Where are they located? & 11 entity, I will. If I use the term Iviewit, like I \\
\hline 12 A. They are located at 7020 Lion's Head Lane, & 12 said generally, that's what I'm referring to. \\
\hline 13 Boca Raton. & 13 A. Yes. \\
\hline 14 Q. What did you do for them? & 14 Q. So the question was, when was the last \\
\hline 15 A. I was their Chief Financial Officer. & 15 time you spoke with anyone involved with the \\
\hline 16 Q. For how long were you affiliated with & 16 company? \\
\hline 17 Arbitrage? & 17 A. Spoke directly, it's difficult to say. \\
\hline 18 A. Approximately six months. & 18 Probably over a month ago, but I'm not certain. I \\
\hline 19 Q. And what did you do for employment before & 19 don't have the date. \\
\hline 20 that time? & 20. Q. To whom did you speak? \\
\hline 21 A. I was involved with Iviewit Holdings, & 21 A. I would have spoken last -- I believe I \\
\hline 22 Incorporated and its subsidiaries. & 22 spoke with Eliott Bernstein. But again, I'm \\
\hline 23 (Thereupon, Mr. Triggs entered the room.) & 23 uncertain as to the date. \\
\hline 24 BY MR. PRUSASKI & 24. Q. You believe it was sometime in July? \\
\hline 25 Q. This is my colleague, Matt Triggs. & 25 A. 1 suspect so. \\
\hline
\end{tabular}

1 Q. Did you do anything to prepare for your deposition today?
A. No.

4 Q. Since you received the subpoena, you
didn't speak with anyone involved with the company
or review any documents?
A. I have not spoken with anyone involved
with the company.
Q. No document review?
A. I have done no document review.
Q. And today is the first time you met

Mr. Selz?
A. Yes, it is.
Q. What is Elliott Bernstein's role with Iviewit?
A. He is the founder of the company.
Q. What did you speak to Mr. Bernstein about last month?
A. I believe he called me and we spoke just
generally as to how we were each doing.
Q. Business wasn't discussed?
A. Very little.
Q. What about Si Bernstein?
A. Si I have had -- of course Si was -- I
have had conversations with Si probably -- Again,

Page 9
San Diego, in that area.
2 Q. If you heard the street name, would you know it?
4 A. Not currently. I know an address where he 5 used to live, but my understanding is he's left 6 there and I don't know the current address.
\(7 \quad \mathrm{Q}\). When was your last contact with Steven 8 Lamont?
9 A. Steven Lamont sent me an E-mail probably a
0 week and a half ago.
Q. Could you tell me what that was about?
A. The E-mail requested that I return all

Iviewit property that is in my possession.
Q. Did you have anything with you?
A. Did I have anything?
Q. Iviewit property that was returned.

7 A. I have Iviewit property. I didn't return anything.
19 Q. Why not?
20 A. Because Iviewit owes me a substantial amount of money.
Q. How much money?
A. I'm uncertain of the amount.
Q. Over \(\$ 100,000\) ?
A. Yes.
about a month ago would have been the last one.
Q. What is his relationship with Elliott?
A. Si is Elliott's father.
Q. What is Si's role with the Iviewit
companies?
A. Si was Chairman of the Board of Iviewit

Holdings, Incorporated.
Q. Do you know where Si lives?
A. Si lives at 7020 Lion's Head Lane.
Q. Where is that?
A. That's in St. Andrews, here in Boca.
Q. That is the address you gave me for

Arbitrage?
A. Correct.
Q. And Si obviously has something to do with your last employer, prior to AFLAC?
A. Yes.
Q. Where does Elliott Bernstein live?
A. I don't know.
Q. Is he local?
A. No.
Q. Do you know what state?
A. California.
Q. Okay. City?
A. I'm not certain. It may be Escondido or

1 Q. Over 200?
A. Yes.
Q. Can you give me a ballpark?
A. I asked for 250 in my response to Steven Lamont
Q. What is Steven Lamont's current role with the companies?
A. I believe he is Chief Executive Officer.

9 Q. Any specific company, business -- company that he's the CEO of?
A. I believe it's Iviewit Holdings. Maybe all of them, I don't know.
Q. Where does Mr. Lamont live, do you know?
A. In New York, New York state.
Q. Does he live in California at all?
A. I don't know if he does or does not.

Q . What particular property of Iviewit do you still have?
A. I have some old files. I have two
computers and a chair.
Q. As far as the files are concerned, are
they computer files or paper?
A. Both.

24 Q. How many boxes would you say the papers 2.5 would fit in?

1 A. Papers would fit in eight boxes.
Q. Do you have any papers that would be
responsive to Proskauer Rose's lawsuit at all,
4 involving the issues of the unpaid bills?
A. I don't believe so.
Q. What was the last time you spoke with

Brian Utley? U-T-L-E-Y. Hold on. Before we get to
that, when did Mr. Lamont become involved in the
Iviewit Enterprises?
A. Sometime, I believe, in the Fall of 2001.
Q. That was after or before the Proskauer

Rose lawsuit was filed?
A. I'm not certain.
Q. May of 2001 was the lawsuit.
A. Then it's after.
Q. Okay. He was brought in as the CEO of

Iviewit Holdings, is that correct?
A. I believe so.
Q. Okay. We'll get back to Brian Utley. And I'm sorry --
A. Okay.
Q. -- when was the last time you spoke with Mr. Utley?
A. I believe it was in March of 2002.
Q. What about Raymond Hersh?

1 A. He is Raymond Hersh's neighbor.
2 Q. What was the purpose of the Iviewit companies when you approached?
A. The purpose of the companies or the
purpose of the approach?
6 Q. The purpose of the approach.
7 A. The approach. Jeff contacted me. He knew
8 that I was looking for a full-time -- full-time,
9 permanent employment. And he indicated that his
10 neighbor was involved as Chief Financial Officer of
1 what he called at that time a well-funded start up,
12 and he needed an individual in the capacity of
13 controller to work with him, and he put me in touch
14 with Mr. Hersh.
15 Q. What was your role in the Iviewit
6 companies to be when you were approached?
17 A. I was to be Controller.
18 Q. What were your duties as Controller?
19 A. I would be responsible for the accounting
20 of the company.
21 Q. If you could, just describe your
22 day-to-day duties, that would be helpful.
A. Okay. I was to do all the accounting
functions, since it was a small staff, and
additionally I would assist Mr. Hersh in his role as

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A. Raymond Hersh, probably also March.
Q. What did you talk about?
A. We discussed the potential for a
settlement of the involuntary bankruptcy action that
Mr. Utley and Mr. Hersh and another individual brought against Iviewit.
Q. Are you a party to that?
A. No, I am not.
Q. And March was the last time you spoke to
either Mr. Utley or Mr. Hersh?
A. Yes.
Q. What was Mr. Utley's role with the Iviewit companies?
A. He was president.
Q. When did you first become involved with Iviewit?
A. February of 2001.
Q. What were you doing at that time, as far as career?
A. Career, I had just finished a year of trying to establish a consulting firm for myself.
Q. Do you recall who approached you about Iviewit?
A. A friend of mine named Jeff Hahn, H-A-H-N. Q. What was his role in the companies?

Chief Financial Officer.
Q. What type of accounting background did you have?
4 A. Do I have?
5 Q. Yes.
6 A. I have a substantial amount of accounting
7 education in college, and in addition I have worked
8 as a Controller or Chief Financial Officer in a 9 number of smaller businesses.
10 Q. Now, at the time you were approached, were any of the Iviewit entities formed yet, legally?
12 A. They were all formed at that point in time.
Q. That was February of 2001?

15 A. Yes.
16 Q. Do you know what the first company to be formed was?
18 A. I'm not certain.
15 Q. Okay. How many companies were there total 20 at the time you came in, in 2001?
A. I believe there were five that were active at this point in time. Again, I'm operating from memory.
24. Q. That's fine. I appreciate that.

25 A. Could be four.
Q. Could you tell me what they were?
A. Iviewit Holdings, Incorporated; Iviewit

Technologies, Incorporated; Iviewit.com, Incorporated; Iviewit.com, L.L.C.; and there was another one, I believe just Iviewit, Incorporated. Again, I'm uncertain, once we get down past the top three, as to dates and other things.
Q. So listing those companies doesn't jog 9 your memory as to which was the first one that was formed or not?
A. I was not there when they were formed.

They were all formed when I came on board, so I don't know which was formed first.
Q. Where were these companies located, as far the business office?
A. The business office was in this building, 2255 West Glades Road. They were in Suite 337W.
Q. Were there any other addresses that any of these entities operated out of?
A. There was an office in California.
Q. Is that California office still there?
A. No, it is not.
Q. What were the dates when the California office was in operation?
A. The California office, again, operated
from memory and approximating, things it was in --
The office was operating when I came on board in
February, so I believe it had started either in late
2000 or early 2001 , but I can't say for certain when
5 it started. It was there when I got there.
Q. Which particular entity operated out of the California office?
A. There was no real distinction, entity to entity, as to which operated out of where.
Q. Can you explain what you mean by that?
A. Well, the California office was established to -- Mainly, as my -- as I understood it, to do encoding work for Warner Brothers. And in addition to that, to serve as a sales base for the west coast, particularly the Los Angeles area where most of the motion picture industry is located. As far as one entity being housed there and the other entities being housed here, there was no such distinction.
Q. Is there any distinction with respect to paying bills between the companies?
A. All bills were paid by Iviewit.com, Incorporated.
Q. Why is that?
A. That was the operating entity, and that

1 was where the expenses in income were all recorded.
2 Q. Who signed the checks off the Iviewit.com Inc. accounts?
A. When I came on board, Raymond Hersh did.
Q. Was there ever a time when you signed the checks?
A. Yes, there was.
Q. Was it your responsibility to review the bills that came in before you were paying them?
A. When I was signing the checks, yes.
Q. Do you remember what the dates were when you were signing the checks?
13 A. I believe it started in August of 2001.
14 Q. Until what time?
15 A. I still am a signatory on the account.
16 Q. You don't recall signing any checks prior 7 to August 2001?
A. No, I was not on the account prior to 9 that.
20 Q. How many employees did the companies have 21 when you came on board?
22 A. When I came on board -- It would have been
23 approximately 20 , when I came on board.
Q. Were they all located here at 2255 Glades Road?
A. No.
Q. How many were here?
A. Probably 15 here. Again, I'm estimating. One in New York; one in Chicago; and two in California, so it would be 15 or 16 here.
Q. Do you know to this date how many employees the corporations have?
A. I don't know today how many they have.

9 Q. Who were the people responsible for making financial decisions for the companies?
A. When I came on board?
Q. Yes.
A. Brian Utley and Raymond Hersh made financial decisions, as well as, of course, the board of directors.
Q. The board of directors was comprised of

18 A. The board of directors was chaired by Si
9 Bernstein, Simon L. Bernstein.
Q. Any other members?
A. Elliott was on -- Elliott Bernstein was on
22. the board. A number of individuals, who I am unfamiliar with other than by name, were on the 24. board, and I can't remember with certainty those names now.
\begin{tabular}{|c|c|}
\hline Page 21 & Page 23 \\
\hline 1 Q. Do you know who is on the board now? & 1 Q. Do they still represent the Iviewit \\
\hline 2 A. No, I don't. & 2 entities? \\
\hline 3 Q. When we say, on the board, what company & 3 A. No, they don't. \\
\hline 4 are we talking about? & 4 Q. When did they stop representing the \\
\hline 5 A. We are talking about Iviewit Holdings, & 5 Iviewit entities? \\
\hline 6 Incorporated. There were boards of directors for & 6 A. It would have been in the Summer of 2001, \\
\hline 7 the other corporations also, but generally Iviewit & 7 I believe. \\
\hline 8 Holdings, Incorporated being the top dog company. & 8 Q. Do you know why that was that they stopped \\
\hline 9 That was the board that controlled what the other & 9 representing the Iviewit companies? \\
\hline 10 companies did. & 10 A. I'm not certain of all the details of \\
\hline 11 Q. Holdings, Inc. was the company, just to & 11 that. \\
\hline 12 clarify, that controlled the other companies, and & 12 Q. Did it have to do with the bills \\
\hline 13 .com, Inc. was the operating entity? & 13 MR. SELZ: Objection, calls for \\
\hline 14 A. Yes. & 14 speculation. \\
\hline 15 Q. Now, Mr. Utley and Mr. Hersh had financial & 15 BY MR. PRUSASKI \\
\hline 16 decision-making control of all of these companies, & 16 Q. You can answer. \\
\hline 17 is that correct? & 17 A. There was -- There were substantial \\
\hline 18 A. They did make financial decisions for all & 18 amounts of money outstanding. There was also an \\
\hline 19 the companies, the board of directors also exercised & 19 amount of inaction on the part of the firm that was \\
\hline 20 oversight. & 20 unsatisfactory, and the patent work was given to \\
\hline \(21 \quad\) Q. And that was from the time you started & 21 another firm. \\
\hline 22 February 2001? & 22 Q. Did Foley \& Lardner terminate \\
\hline 23 A. Yes. & 23 representation of the Iviewit companies or did \\
\hline 24 Q. And to your knowledge, when did Mr. Utley & 24 someone in Iviewit terminate the representation of \\
\hline 25 and Mr. Hersh not make decisions for the companies? & 25 the Foley \& Lardner? \\
\hline Page 22 & Page 24 \\
\hline 1 A. Mr. Utley and Mr. Hersh were terminated, I & 1 A. I don't know who did the termination. \\
\hline 2 believe, the end of March. & 2 Q. Do you know how much was owed at the time \\
\hline \(3 \quad\) Q. 2001? & 3 the termination stopped? \\
\hline 4 A. Correct. & 4 A. I believe it was in the neighborhood of \\
\hline 5 Q. Why? & 5 \$170,000. \\
\hline 6 A. The Board of Directors terminated them & 6 Q. Do you know if that money has been paid? \\
\hline 7 I'm uncertain of all the details. & 7 A. I don't know if it has or has not. \\
\hline 8 Q. Do you know when Proskauer Rose first & 8 Q. Do you know if Foley \& Lardner sued for \\
\hline 9 became involved with representing Iviewit? & 9 that money? \\
\hline 10 A. I don't know an exact date. It would be & 10 A. To the best of my knowledge -- or to my \\
\hline 11 very early on in the life of the company. & 11 knowledge, they had not sued. I'm not aware of a \\
\hline 12 Q. Do you know if it was '99 or 2000? & 12 lawsuit. \\
\hline 13 A. I don't know for a fact when it was. & 13 Q. Are there any other law firms besides \\
\hline 14 Q. Do you know who made the decision to hire & 14 Foley \& Lardner? \\
\hline 15 Proskauer Rose? & 15 A. That Iviewit has used \\
\hline 16 A. No, I don't. & 16 Q. Yes. \\
\hline 17 Q. Do you have any knowledge if any other law & 17 A. Yes. There was a firm -- I'm not certain \\
\hline 18 firms were used by the Iviewit companies, besides & 18 of the full name of it -- Meltzer, Lippe, L-I-P-P-E, \\
\hline 19 Proskauer? & 19 I believe, and a number of other names in New York, \\
\hline 20 A. Other law firms were used, yes & 20 which I believe also served as patent counsel for a \\
\hline 21 Q. Do you recall who they were, what & 21 while prior to Foley \& Lardner. \\
\hline 22 were? & 22 Q. Prior to Foley \& Lardner, do you remember \\
\hline 23 A. What they were? Foley \& Lardner, their & 23 what the dates were? \\
\hline 24 Milwaukee office was used, did a substantial amount & 24 A. No, I don't. \\
\hline 25 of patent work. & 25 Q. Do you know why Foley \& Lardner was used \\
\hline
\end{tabular}
instead of Meltzer \& Lippe?
A. I don't know why.
Q. Do you know if it was over the bills?
A. I don't know why the decision was made to
move it to Foley \& Lardner.
Q. Do you know what the name of the Iviewit
company that retained Proskauer was?
A. No, I don't.
Q. Do you know what -- for what purpose

Proskauer was hired to represent Iviewit?
(Thereupon, a fire alarm sounded.)
MR. SELZ: Fire alarm.
MR. PRUSASKI: Let's just go off the
record for a second.
(Thereupon, a short recess was taken.)
BY MR. PRUSASKI
Q. Before the break we were discussing former
law firms that were used by Iviewit.
A. Yes.
Q. We left off with Meltzer \& Lippe --
A. Yes.
Q. -- who was brought in, I believe you
indicated, before Foley \& Lardner?
A. They -- Yeah. I believe they were before Foley \& Lardner.

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Q. Do you recall any other firms after Foley \& Lardner?
A. Yes. There is a firm on the west coast that took over the patent work from Foley \& Lardner.
Q. What was the name of that firm?
A. I'm drawing a blank on it right now.
Q. Do you know what city it's located in?
A. It's located in Los Angeles.
Q. If the name of the firm pops in your head
during this deposition, would you please let me know?
A. Yes.
Q. Okay. Thank you. And they handled patent work?
A. They handled patent work.
Q. Were there any firms after the Los Angeles firm?
A. Handling patent work?
Q. Yeah.
A. No. Not -- Let's put it this way, not to the best of my knowledge.
Q. Do you know what the dates when the Los

Angeles firm represented İviewit were?
A. I believe they would have taken over in

25 the Summer of 2001, when Foley \& Lardner -- when the
engagement with Foley \& Lardner was terminated.
2 Q. And you don't recall who terminated that 3 engagement?
4 A. No, I don't.
5 Q. Do you know who terminated the engagement 6 of the Los Angeles firm?
A. I don't know that the engagement of the Los Angeles firm has been terminated.
Q. Do you know of any other firms after the Los Angeles firm that represented Iviewit in any way?
A. In any way? After the -- Well, not after the Los Angeles firm. The Los Angeles firm was engaged to handle patent work. There were other firms that were engaged for other matters.
Q. What were they?
A. There was a firm that is here in Boca, Sachs, Sax \& Klein, that was brought in initially to respond to a letter from an attorney representing Brian Utley, Raymond Hersh, and Mike Reale.
Q. What else did Spencer Sach's office do for Iviewit?
A. They also represented Iviewit in the lawsuit brought by Proskauer.
Q. Do they still represent Iviewit in any

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way?
A. No, they do not.
Q. Do you know why that representation was terminated?
A. Nonpayment of fees.
Q. Have they sued?
A. No.
Q. What other law firms represented Iviewit?
A. Furr \& Cohen.
Q. Is that here in Boca?
A. Yes, they were here in Boca.
Q. What type of work did they do?
A. They are a -- They do bankruptcy work.

Sachs, Sax \& Klein referred --
Q. Excuse me. Come in.
(Thereupon, a discussion was held off the record.)
BY MR. PRUSASKI
Q. You were saying that Furr \& Cohen did some 20 bankruptcy work for Iviewit?
A. Yes. Yeah, Sachs, Sax \& Klein referred 2 Iviewit to Furr \& Cohen when the matter involving 23 Utley and others became a bankruptcy matter.
Q. Do you know who represents Iviewit in the

25 bankruptcy court?

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A. Today?
Q. Yes, sir.
A. No, I don't.
Q. What about when Hersh filed the
involuntary bankruptcy petition?
A. At that time it was Brad Slayberg from Furr \& Cohen.
Q. Do you know if they represent Iviewit now
or is that representation with Furr \& Cohen
terminated?
A. I believe that representation is terminated, yes.
Q. And why do you believe that?
A. The last discussions we had relative to bankruptcy they were concerned because they had not -- The bills had not been paid and they were petitioning to get out of the case.
Q. Do you know if Sachs' office and Furr \& Cohen are considered creditors in the bankruptcy petition?
A. They were not at the time I was involved. I don't know today.
Q. Getting back to Proskauer's representation of Iviewit, do you know why Proskauer was hired by Iviewit?

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A. No. I don't know specifically why, no.
Q. Did you form an opinion or understanding
as to why they were hired after you started working for the companies?
A. The relationship was one of general
legal - corporate legal work, as far as I saw it. I don't know what influenced the decision to retain Proskauer versus another firm.
Q. Besides general legal corporate work, what other types of work did Proskauer do for the Iviewit companies?
A. I am -- I believe there was some patent work early on, but I'm not certain of the nature of it. Knowing again, it was long before my time and it would have been early on in the relationship, so I'm not sure what went on at that point.
Q. It was -- Whatever patent work was done by

Proskauer you are saying was done before
February 2001?
A. Yes.
Q. Do you have any recollection of what that was?
A. No, I don't, other than -- Well, no, I
really don't. It was all -- It all happened long
before my time.

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Q. So to your knowledge, Foley \& Lardner, and

Meltzer \& Lippe, and the Los Angeles firms did the patent work for the Iviewit companies?
A. That's correct.
Q. Who at Iviewit was responsible for directing Proskauer do the work?
A. I believe Brian Utley was.
Q. Would you describe Brian as the main contact between Iviewit and Proskauer?
A. It appeared that way when I came on board, although again various -- various people at Iviewit
would have contact with Proskauer regarding particular items.
Q. Do you recall who the attorneys at Proskauer were that did the work for Iviewit companies?
A. Chris Wheeler was involved, Rocky Thompson -- Was his first name Donald?
Q. Yes.
A. Rocky did some corporate work while I was there. I'm trying to think. And then anyone else would have just been -- Those were the two that I
had spoken to, two attorneys. The rest would have just been names on bills, old bills that I had looked at. So there were a number of -- number of

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attorneys whose names appeared on bills that we had in the file.
Q. Did you look at the bills while you were with Iviewit?
A. Yes, I did.
Q. Did you review the bills before they came in?
A. The ones that came in while I was there, I reviewed.
Q. And at the time you were there was February 2001 --
A. Yes.
Q. -- to the time Proskauer stopped
representing Iviewit?
A. Correct, yes.

16 Q. During that time you reviewed all the Proskauer bills?
A. Yes.

19 Q. Did anyone else review the bills?
20 A. Yes, Raymond Hersh also looked at them and
21 I believe Brian Utley looked at them too.
22 Q. Who made the decision to pay the bills?
23 A. It would have been Raymond or Brian, based
24 on what they looked at on the bills.
25 Q. Did you have any say as to whether the

Page 33
bills were paid or not?
A. At the time I came on board, I wasn't
familiar enough with the work that Proskauer was doing to really enter into that decision.
Q. Do you have any idea how much the Iviewit entities did pay Proskauer?
A. Paid to Proskauer?
Q. Yeah, being the accountant.
A. Difficulty recalling the exact number. I
did know at one time what was paid versus what
was --
Q. Can you recall a ballpark figure?
A. I would say it would be between 500,000 and 1 million.
Q. Who made the decision to pay those bills that were paid?
A. Most of them were paid prior to my coming on board, so I don't know who made the decision.
Q. Who had the financial authority to pay those bills before you came on board?
A. I believe Brian Utley and Raymond Hersh.
Q. Did there ever come a time where

Iviewit -- Strike that.
The current bills that are the subject of the lawsuit we are here on today, do you have any
idea why those bills weren't paid?
A. I believe they were unpaid primarily
because the company didn't have money to pay them.
Q. Are there any other reasons that you are
aware of that the bills were not paid?
A. Not that I'm aware of, no.
Q. Have you ever heard anybody -- Strike that.

What entity paid the checks for the
Proskauer -- wrote the checks for the Proskauer bills?
A. In the period of time that I was there, it
would have been Iviewit.com, Incorporated.
Q. Were the bills sent to Iviewit.com, Incorporated?
A. Were the bills billed?
Q. Yes.
A. Was the name on --
Q. That's a good point you are making. Thank you.
A. Yeah. I don't know. I'm trying to remember now exactly which entity they were billed 3 to. I don't know for certain. Again, I would have to -- would have to go look at them to tell you 25 which one of the entities they were billed to or if

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1 -- money was always tight, money coming from the
2 investors.
3 Q. Do you recall there ever being problems
with the bills when you would receive them?
A. Problems?
Q. Yes.
A. The bills that I received?
Q. Yes.
A. No.
Q. If there were a problem with the bills, who would handle the problem from Iviewit?

MR. SELZ: Object to the form of the question.

THE WITNESS: I'm uncertain. I believe -I'm uncertain as to who would have handled them prior to my getting involved. And I really didn't see any problems, because it was very little activity after I got involved.
BY MR. PRUSASKI
Q. Have you ever seen any documents
indicating that there were past problems with
Proskauer's bills that Iviewit had?
A. Have I seen documents indicating that?
Q. Yes.
A. Yes.

1 what else might have been out there. So this was
not a file -- You know, I cannot say that there
weren't other objections.
Q. So just to clarify that, the invoices that
were attached to the Proskauer lawsuit during the
reconciliation, you determined they were received?
A. Yes.
Q. Let's spend a few minutes talking about the different entities.
A. Yes.
Q. Iviewit.com, Incorporated, we are going to talk about that entity. I believe you indicated that was the operations company?
A. Correct.
Q. Do you recall when it was formed?
A. The middle of 1999 , I believe.
Q. Did Proskauer have anything to do with forming that entity?
A. I believe they were involved in the formation of it, yes. Though I can't say with absolute certainty, but I believe they did.
Q. Do you know if this entity was formed after Proskauer was hired to represent Iviewit?
A. I don't know the timing of what went on 25 when things were -- Again, it was all put together
you saw the letter?
A. It would have been in -- Sometime in the Summer of 2001. I can't give you an exact month,
but it would have been somewhere around then. It
5 was when -- I came across it while I was doing a
6 reconciliation of the bills in reference to the
7 Proskauer lawsuit.
Q. What were the results of that
reconciliation?
A. The results of the reconciliation was I
was able to find support for the amounts that
Proskauer was claiming.
Q. What do you mean by that?
A. In the billing file I was able to find
bills that corresponded to those that Proskauer
indicated were outstanding.
Q. So did that indicate to you that Iviewit had received the bills?
A. Yes.
Q. How many objections were there, total,

21 written to those bills?
A. I believe there was just that one letter.

23 That was the only one I saw. Now, bear in mind that
24 this was a billing file and simply a file of 25 invoices, and it's entirely possible we don't know
Q. What did you see?
A. The only one that I saw was a letter in the Proskauer file from Brian to Chris Wheeler, Brian Utley to Chris Wheeler, objecting to a number of items on a bill.
Q. On one bill?
A. On one bill, yes.
Q. Do you remember anything about that
particular bill?
A. No, I don't.
Q. Now, this file that you reviewed that had
this letter in it --
A. Yes.
Q. -- how many different objections to bills
did you see in it? Was it just the one letter?
A. That really was the only one I saw in that
file.
Q. Do you have that letter?
A. No, I don't.
Q. Where is it?
A. I don't know.
Q. Where did you see it?
A. I saw it in the Iviewit offices when I had
these files.
Q. Do you recall the month and the year when

1 in the middle of -- in the Summer of 1999. At that
time, I was not involved with the company, so I
can't give you details of the -- of what preceded what.
Q. Do you recall who the principals of Iviewit.com, Inc. were?
A. At the time it was formed, I believe --

Again, I know that, of course, Brian Utley and
Elliott Bernstein would have been involved. I'm
uncertain as to what their capacities were. And in
fact, I'm not even absolutely certain that Brian was
around when it was formed. He may have come in
afterwards.
Q. What is the status of Iviewit.com, Inc. now?
A. The status of Iviewit.com, Inc. as to it 17 exists. It probably -- I don't know, specifically, 18 anything about it.
19 Q. Does Mr. Lamont have anything to do with 20 it currently?
21 A. I don't know. Technically, if he does, 22 other than through his relationship with the holding
23 company -- I don't know how all of this is
24 structured today, and what may have taken place, 25 that I'm not aware of.

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Page 43
1 Q. Did Proskauer Rose perform any work for 2 Iviewit.com, Inc.?
A. I suspect they did. Although, without looking at the bills, I can't say for sure which entity. You know, I can't say with absolute certainty that they performed work. You know, again, I don't really know. I know they performed
work generally for the interests that were
represented there. Whether they actually performed
work in that company or not I'm unclear as to.
Q. Do you know who formed Iviewit Holdings, Inc.?
A. I believe it would have been -- Well, it would have been Elliott and Simon Bernstein and some other individuals.
Q. Did Proskauer Rose have anything to do with forming that entity?
A. I'm not certain whether they did or didn't at that time.
Q. Do you know if Proskauer performed any
work for that entity?
A. For Iviewit Holdings?
Q. Yes.
A. Yes, I believe they did.
Q. Do you know what they did?
A. I know they did general corporate work.
Q. Now, the work that was performed for

Iviewit Holdings, Inc., was that billed to
Iviewit.com, Inc.? How did the bills work?
A. My recollection of it is the bills came to the different entities, but again it's only a
recollection. You know, I'm hesitant to say with
absolute certainty that, you know, all bills. Let
me get a glass of water while he's getting that out.
Q. Sure.
(Thereupon, a discussion was held off the record.)
(Whereupon, Plaintiff's Exhibit No. 1 was
marked for identification by the reporter.)
BY MR. PRUSASKI
Q. I'm going to show you a document,

Mr. Kasser, that's marked Plaintiff's Exhibit No. 1,
and I will ask you to take an opportunity to look at that.
A. Okay.

MR. PRUSASKI: I brought copies of
everything for you.
MR. SELZ: Okay. Great.
BY MR. PRUSASKI
Q. I will ask you to look at that and tell me
if you have ever seen it before.
A. Yes, I have.
Q. When did you first see it?
A. Probably shortly after it was served on

5 us, late Summer of 2001.
6 Q. Did you utilize the invoices attached to
this document to perform your reconciliation that
8 you mentioned a little while ago?
9 A. I had already -- Prior to this document 0 coming in, I had already reconciled the account to what Proskauer had claimed, so I just went through
my copy of this to see that the invoices, which are
only the first pages of invoices, corresponded to
what I had.
Q. When you did your reconciliation -- When you performed your reconciliation --
A. Yeah.
Q. -- shortly after the lawsuit was filed --
A. Yeah.
Q. -- who asked you to perform the reconciliation?
A. Ross Miller.
Q. What was his role in the company?
A. Ross Miller was functioning as a consultant to the company at the time, I believe.

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1 Q. When did he come aboard?
2 A. Ross Miller came aboard when the
3 management - Brian Utley, Raymond Hersh, Mike Reale,
4 R-E-A-L-E, and others - were terminated.
5 Q. Where does Mr. Reale live? I forgot to 6 ask you.
7 A. He lives in Delray Beach.
8 Q. Do you know his address, off the top of 9 your head?
10 A. Not off the top of my head.
11 Q. Phone number?
2 A. No, I don't know that either.
13 Q. Wife's name?
14 A. No.
15 Q. Street name?
16 A. No.
17 Q. Okay.
18 A. Michael Reale is all I can tell you.
19 Q. Okay. That's fine. Thank you.
20 Did you -- Who did you tell the results of
21 the reconciliation to?
A. To Mr. Miller.

23 Q. Anyone else?
24 A. I don't recall telling anyone else.
25 Q. Do you recall what you told Mr. Miller
about your investigation?
A. I told Mr. Miller that I had gone through the file of invoices and I had determined and also I had gone through the accounts payable records and determined that the amounts shown, the invoices shown were -- had been recorded into accounts payable, and that we had those invoices in our file, and that they represented invoices that we had received from Proskauer.
Q. Was it your understanding, during the reconciliation process, that you had the complete file at your disposal to use?
A. It was my understanding, yes, that that was the -- Well, it was the invoice file. It was
the accounts payable file, the file into which invoices received would be placed.
Q. And you only found that one letter from Utley of objection?
A. That was stuck in the file.
Q. Okay. Was that where the letter would usually be kept?
A. No.
Q. Where would they usually be kept?
A. My -- Again, my understanding of things, and having come in late in the relationship with

Utley and the others, I suspect Utley -- And again, his secretary being the primary administrative person -- may have had files that would have been shipped to California when they terminated or at least we believed they were shipped to California when Utley was terminated.
Q. Have you ever heard of other letters expressing an objection to the Proskauer bills?
A. I don't recall hearing of other letters.
Q. If you look at Exhibit 1 in the invoices
attached to it -- And you indicated you have seen
this document before. If you look at the invoices,
does that assist your recollection as to how
Proskauer sent the bills?
A. Most of them appear to be addressed to Iviewit.com.
Q. Inc.?
A. Uh-huh.
Q. I believe you indicated that both of the bills that were sent to Iviewit were sent to that entity?
A. Yeah. That was the operating entity again.
Q. Did anyone from Iviewit ever object to Proskauer sending the bills to Iviewit.com, Inc.?

MR. SELZ: Object to the form of the question.

THE WITNESS: I don't know.

\section*{BY MR. PRUSASKI}
Q. I'm sorry. What was your answer?
A. I don't know.
Q. Moving back to what we were talking about
before, I showed the exhibit to Iviewit Holdings, Inc. --
A. Uh-huh.
Q. -- you indicated that Proskauer performed some general corporate work for that entity.
A. Uh-huh.
Q. Do you recall specifically what type of work was performed?
A. Specifically, I don't. Almost all the work had been done before I got there.
Q. Who was making the decisions that

Iviewit -- for Proskauer to perform work for Iviewit
Holdings, Inc.?
A. I believe it would have been Brian Utley.
Q. Do you know what the current status of

Holdings, Inc. is?
A. No, I don't.
Q. You mentioned a little while ago that

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Steven Lamont had something to do with that company?
A. Yes.
Q. Do you have any idea, as you sit here today, what they are doing, what their future
business plans are?
A. No, I don't.
Q. Iviewit Technologies, Inc. --
A. Yes.
Q. -- a few questions about that entity.
A. Okay.
Q. When was it formed, do you know?
A. I believe it was formed in the Summer of 1999. I can't be more specific than that.
Q. Did Proskauer have anything to do with its formation?
A. Again, I don't know.
Q. Do you know if Proskauer performed any
work for Iviewit Technologies, Inc.?
A. I don't know for sure if they did or not.
Q. What is the current status of Iviewit

Technologies, Inc.?
A. I don't know.
Q. Were you satisfied with Proskauer's
representation with the Iviewit companies? A. Again, having come in late in the process,

I can't say one way or another. I can't evaluate the work that they did.
Q. Do you know if others in the company were satisfied with the services?
A. I didn't hear of any objections from the management. Again, I -- The management that was in place at the time, Utley, Hersh, and others. I know
that Elliott Bernstein has voiced some objections.
9 Q. What have you heard from Elliott
10 Bernstein?
11 A. Really nothing, other than knowing that he
12 had concerns about the work. At times it came
13 rather late in the relationship with the company.
14 Really, I don't have any specifics that I can tell
15 you, other than he had some displeasure with the
16 work.
Q. What specifically about the work was he 18 not happy with?
19 A. I can't say. I really can't say. Again, 20 my relationship with Elliott has been limited,
21 particularly of late, and there isn't much I can 22 tell you.
23 Q. Did you hear him make these comments before or after the lawsuit was filed?
A. It would have been after the lawsuit,

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quite a while after really.
Q. Did you -- That was the first time you had ever heard anyone involved with the companies in any
way object to the work Proskauer did?
A. I think there were some concerns that Si

Bernstein may have voiced earlier on as to the
volume of work, amounts of the bills, but that also
would have been around the time of the lawsuit.
Again, bear in mind that I came on board right
around this time. I don't have -- Again, I don't
have a lot of history of the company. If I had been
around since 1999 , I might have known other things,
so a lot of -- I really just don't have a lot of
information as to how people felt in terms of the
lawsuit.
Q. But all these comments, you heard them after the lawsuit was filed?

\section*{A. Correct.}
Q. Is it in Si Bernstein's nature to complain about bills?
A. Maybe. Nobody likes bills.
Q. Did Si Bernstein complain a lot about bills?
A. No, not particularly. Again, most of my relationship with Si Bernstein dealt with other
things other than Proskauer.
Q. Uh-huh. I'm concerned as to whether Si

Bernstein was complaining, if he was complaining,
about the amount of bills or the work as reflected
in the bills?
A. He may have been complaining about both. Again, I know that he did have some discussions with
Ross Miller, that I was not party to, but the
complaints that I heard were more general and
nothing specific.
Q. General as in --
A. No.
Q. -- these bills are too expensive?
A. Yeah. And it may have been that the work wasn't what they wanted.
Q. Well, when you say it may have been --
A. I don't know.
Q. -- are you speculating?
A. Yeah. We did not sit down and go over bill by bill, look at it item by item, and say this item is, you know, something that we object to. We don't think this was any good. This one is too high. It couldn't have taken eight hours to do this type of work. We didn't do any of that. We --
Q. Do you know if Si or Elliott has ever sat

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down and scrutinized these bills after the lawsuit
was filed?
A. I don't know if they have.
Q. Do you know why Proskauer stopped representing Iviewit?
A. I believe it was over the payment of bills.
Q. Is there a time when Iviewit stopped paying Proskauer that you are aware of?
A. It would have been in the -- Sometime in the Spring or early Summer of 2001.
Q. Why did they stop paying Proskauer's bills?

MR. SELZ: Asked and answered.
BY MR. PRUSASKI
Q. You can answer the question.
A. I believe it was because we had no money.
Q. Was there a meeting held to where it was decided that the bills wouldn't be paid?
A. In the Summer of 2001 we were receiving funding in small amounts from our principal investor, and at that time we were making on-account payments to creditors who we were concerned about in hopes of avoiding the problems that would in effect lawsuits and so on, that would make it difficult to
find new investment or bring new investment into the company.
Q. Was Proskauer considered one of those creditors that you were concerned about?
A. Yes.
Q. So who was involved with the decision to stop paying?
A. The decision? Ross Miller would have been, but it was more a decision simply came by default. We had no more money coming in from the investors, so there was nothing we could do.
Q. Was Proskauer sending letters demanding payment at that point in time?
A. Yes.
Q. And they were received by the company?
A. Yeah.
Q. Do you know if anyone in the company ever
sent letters back to Proskauer saying we are not
paying these bills because of this bona fide reason?
MR. SELZ: Objection to the form of the question.

THE WITNESS: I'm not aware of any objection. I know that Ross Miller had discussion with Chris Wheeler, but I'm not -- I was not a party to those discussions.

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BY MR. PRUSASKI
Q. Do you know what was discussed, even though you weren't a party?
A. I believe Ross was trying to negotiate a settlement, and in affect a reduction, try to get a concession from Chris in exchange for payment now, which he would then -- The idea was to have some concessions from the firm here, so that he could go back to the investors and say they had bills outstanding for \(\$ 369,000\).

Ross was hopeful of being able to negotiate some reduced amount that he could go back to the investor and say they are willing to settle for whatever this reduced amount is. The investor would then look at it and pay that amount to make that liability go away, and save the company from the obvious problems the lawsuit would create.
Q. Do you know if Iviewit ever entered into any payment arrangements with Proskauer while Proskauer was representing the company?
A. I don't know if there were any formal payment arrangements made. I do know that there were on-account payments made.
Q. What do you mean by on-account payments?
A. In other words, a payment not of specific
invoices, but simply a payment made to be applied generally to the account. Because again, typically a company would do that if they can't afford to pay all the amounts due, make a good faith payment of a certain amount. And there were times when Iviewit 6 would send say \(\$ 20,000\) to Proskauer, simply a round amount of \(\$ 20,000\).
Q. And that was an on-account payment?
A. Yes.

MR. PRUSASKI: Off the record, please.
(Thereupon, a lunch recess was taken.)
BY MR. PRUSASKI
Q. Mr. Kasser, during the break I made some notes, so I want to touch on a couple of issues --
A. Sure thing.
Q. -- in areas that we have already covered, but questions that I didn't ask.
A. Okay. Very good.
Q. You talked about Ross Miller a little while ago.
A. Yes.
Q. Do you know if he's still affiliated with Iviewit?
A. I believe he is not.
Q. What specifically was his role with the
company?
A. Ross Miller came in at the request of our
lead investor, Cross Bow Ventures, L.L.P., to in
effect run the company after the management had been
terminated.
Q. You are referring to Mr. Utley and

Mr. Hersh and --
8 A. And Mr. Reale and the rest of the
employees had also been terminated --
Q. I'm sorry to interrupt you.
A. No, go ahead.
Q. Do you know where Mr. Miller lives now?
A. I believe Mr. Miller is still in Atlanta,

Georgia, although I can't give you an address off the top of my head.
Q. You don't recall a phone number for him?
A. No, I would have to look it up.
Q. Do you know what his wife's name is?
A. No, I don't.
Q. What company does he work for?

21 A. I don't believe he works for a company. I think he's self-employed. He was at the time he was involved with Iviewit.
Q. We talked about Foley \& Lardner at the beginning of this deposition --
A. Yes.
Q. -- and their work for Iviewit. You indicated, I believe, that they are no longer working for Iviewit --
A. Yes.
Q. -- is that correct?
A. That's correct.
Q. Do you know if the issue of paying of their bills was ever resolved?
A. I don't know if it was ever resolved.
Q. Was it ever compromised or attempted to --

Did the company ever attempt to compromise the bills?
A. Not to my knowledge.
Q. You also mentioned another law firm,

Meltzer Lippe?
A. Yes.
Q. You indicated that they specifically did patent work for the companies?
A. I believe they did. It was already in the life of the company.
Q. Do you know if the company was happy with the services provided by that firm?
A. I don't know.
Q. Were they satisfied with Foley \& Lardner's

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representation?
A. I don't know for a fact that they were or weren't.
Q. You indicated that at the time that

Iviewit stopped paying Proskauer, the primary
principal was the only one funding, is that Cross
Bow Ventures?
A. Yes.
Q. Where are they located?
A. They are in West Palm Beach.
Q. Who are the principals of that company?
A. I don't know the names of all the
individuals. Bruce Shoemaker is one name that comes
to mind. Hank Powell, A. Chickman Powell, III, was
involved with Cross Bow and was the one who we dealt
with at Cross Bow. Hank is no longer with Cross
Bow. You also see Cross Bow referred to as Alpine Venture Capital Partners, which I believe is the funding entity that we dealt with.
Q. Where did the principals of Iviewit or the officers or directors affiliated with Alpine or Cross Bow?
A. Excuse me?

24 Q. Were any of the officers or directors or 25 principals of Iviewit companies --

1 A. Yes.
2 Q. -- affiliated as officers, directors,
stockholders of Alpine or Cross Bow?
A. No. None of the Iviewit people were held in positions with Cross Bow.
Q. Is Cross Bow still funding any of these companies, the Iviewit companies?
A. I don't believe so.
Q. Why don't you believe so?
A. They had stopped funding while I was still involved. They may have come back in for a while, but I doubt it.
Q. Okay.
A. I'm not aware of anything.
Q. We talked about the four different
companies and what roles they were --
A. Yes.
Q. -- affiliated with one another. Can you
tell me specifically what Iviewit Technologies, Inc. does?
A. Iviewit Technologies -- It gets -- It can
get a little confusing here, but let me try to
explain. Approximately -- Iviewit Technologies,
Incorporated was formed in the early days of the life of the company, because Iviewit Holdings,

Incorporated originally was to be a subchapter
s-corporation. And in a subchapter s-corporation,
only natural persons can be shareholders, and there
are some other limitations too as to who can be a
shareholder. Iviewit Technologies was formed as a
wholly owned -- Well, not wholly owned, I'm sorry.
It was formed as a subsidiary of Iviewit Holdings,
Incorporated to allow for the inclusion of investors
or other entities in ownership who would not qualify
as shareholders in subchapter s-corporations.
For currently approximately 92 percent of the stock in Iviewit Technologies is held by Iviewit
Holdings. The other eight percent is held by Proskauer Rose and a firm in California, Armstrong, Hersh, Jackway, Higherman, and Worthiler, I believe. Although, once I get past Armstrong, Hersh, I'm not real sure of the rest of the names. And two individuals who were not U.S. citizens or who were involved in the initial invention, Zack Sirahjee and Jude Rosario.
Q. How do you spell Sirahjee?
A. S-I-R-A-H-J-E-E. Don't hold me to that,

23 it could be wrong. If Zack shows up here some day 4 and complains because his name is spelled wrong, don't come looking for me. That's as close as I can
get. But Zack is one of the people who was involved in technologies. At the time, I believe, of the first infusion of cash from an outside investor it was determined that it would be advisable to bring the outside investor into holdings, rather than into technologies, and therefore the s-corp. was terminated. The outside investor became a shareholder in holdings and the need for technologies disappeared.

There was an abortive effort begun at some point to convert the shares of the shareholders in technologies to holding shares, so that then -- In other words, so it was begun as a share exchange agreement, because it was never completed. But the idea would have been to take the shareholders in technologies and exchange shares of the holdings for the technologies shares and then collapse technologies and just have a straight line from Iviewit Holdings to Iviewit.com, rather than the current line that runs through technologies.

Now, at some point, if it was ever decided that it might be advisable to clean things up, that's something somebody needs to address is to get those shares converted.
Q. Do you know if Proskauer did any work for

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technologies ever?
A. I don't know if Proskauer did any work for technologies specifically. I suspect they did.
Whether they billed it to .com or not -- Again,
there weren't a lot of -- The distinctions were not real well defined.
Q. Did Holdings or Technologies have bank accounts?
A. They had bank accounts. There was little activity in them.
Q. What is little activity?
A. Basically, when I came on board there was \(\$ 100\) in each of their bank accounts.
Q. Which goes to your previous statement about little distinction between the companies?
A. Yeah. The main action was in the

17 Iviewit.com, Inc. account. That's where -- That's
18 where the money came in. That's where it went out.
19 We made an effort when I came on board, although it
20 was pretty late in the game at that point, to try to
21 run the money that - the investment that came in
22 for Iviewit Holdings, run it through the Iviewit
Holdings bank accounts. But that simply was an
24 in-and-out transaction where Iviewit Holdings would receive say \(\$ 100,000\) from the investor as a loan.

1 Iviewit Holdings would have of course signed the note for the loan and the other documentation and then Iviewit Holdings would turn around and lend the money to Iviewit.com, Inc. So again, that really was all Iviewit Holdings was doing there, so the bank account really wasn't, you know, necessary, other than for the facts that we pass money across it to get it down to .com, Inc.
Q. So would it be fair to say that Proskauer Rose was doing most of its work for Iviewit.com, Inc.?

MR. SELZ: Object to the form of the question.

MR. PRUSASKI: Can you specify as to what the objection is?

MR. SELZ: Most of it is work, and as to what time period -- I think the form of the question is vague.
BY MR. PRUSASKI
Q. During the time that Proskauer represented the Iviewit entities, would it be a fair statement to say that Proskauer did the majority of its work for Iviewit.com, Inc.?
A. I really can't say, because I wasn't around for most of the work, so I really don't know
which it related to. Again, you know, work that --
You know, I really don't know what distinctions were
made where and how it was handled previously. So it's hard -- It's difficult really and impossible
for me to say which one of the entities the work was done for specifically back in the days prior to my
coming on board, which is when the vast bulk of the
work was done. Things had pretty much slowed down
once I came on board in terms of Proskauer work.
Q. What is the entity that's now in
bankruptcy?
A. I'm not aware of the status of the bankruptcy case.
Q. Which entity was in the bankruptcy case?
A. The bankruptcy case that was brought by Utley, et al?
Q. Yeah.
A. Okay. They brought their bankruptcy case against Iviewit.com, L.L.C.
Q. Are you aware of any other bankruptcy cases involving the Iviewit entities?
A. I'm not aware of any.
Q. Just the one that was brought by Hersh, Utley?
A. Yes.

1 Q. Is Iviewit.com, L.L.C., to your knowledge, still conducting business?
A. No, it is not.
Q. When did it stop -- I'm sorry.
A. To the best of my knowledge and belief, it's not conducting any business.
Q. What type of business did it conduct?
A. I'm not aware it ever conducted any business to speak of in the -- Going back to the early days of the company, there were -- As I eluded to earlier in the instance of the s-corp. which was later determined and the formation of the technologies, there were things that were done, sort of bits and starts if you will, where I think they didn't know quite what form they wanted things to take, and so L.L.C. came into being early on in the process, but really was not used for very much, other than Brian Utley's employment agreement was with L.L.C. And the lease the sublease in this building, which was with Bank of America, was negotiated and signed on behalf of L.L.C.
Q. Thank you for bringing that up. It's an interesting point.

Why did Iviewit move out of this building of 2255 Glades Road?
A. We moved out of the building because our lease expired October the 25 th. We had moved out prior to that actually, because we had no use for the space anymore.
Q. Are we in 2001?
A. October 25th of 2001, yes, was the 7 expiration of the lease -- or the sublease, if you 8 will.
Q. Did they ever attempt to renew the lease with the management?
A. No.
Q. Was the decision to move out ever have anything to do with the rent not being paid on time?
A. We made the decision to move out because we no longer needed the space.
Q. Where did Iviewit go after 2255 Glades Road?
A. A lot of what was here was shipped to -had already been shipped to California, and so I took some files that were still in the office here and some other items and took them home with me. And there are some boxes of files, as I had said earlier, in my garage. And I also -- We had a refrigerator, a filing cabinet, a couple other pieces of furniture that I rented a storage unit for
at a self-storage place called Boca Storage. And I have since turned the storage unit over to Ted
Bernstein, since he can use it personally. And Ted
has now those items that were in the storage unit.
They are still in the storage unit. He has it now.
Q. During the time that Iviewit occupied the
space at 2255 Glades Road, were all the files kept
here, at that office?
A. I cannot say with absolute certainty they were.
Q. You indicated you performed that
reconciliation in or around May or June of 2001?
A. Yes.
Q. Did you perform them here at 2255 Glades Road?
A. Yes.
Q. Okay. All the files that you needed to conduct that reconciliation were located in that office?
A. The accounts payable files were located
here, and that was, again, what I needed to perform
the reconciliation. Again, I was able to find
invoices for each of the items, detailed invoices
for each of the items that were on the account.
Q. Listed in the complaint?

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1 A. Yeah. If you look at -- I also was able to, of course, track payments that were shown.
You'll see, if you read through, there are some
statements in there that show payments coming in.
Q. If you look at the last page of Exhibit 1,
which is Exhibit B to the amended complaint --
A. Yeah.
Q. -- does that final account total of
\(\$ 369,000\) to your recollection -- Was that the amount that Iviewit --
A. Yes.
Q. -- indicated that it owed when you did the reconciliation?
A. Yes. 369 is the amount.
Q. So Iviewit -- When you did your

6 reconciliation, Iviewit's statements showed there
7 were open invoices for that amount?
A. Right. Yes. Those were the open invoices

19 I was able to find. Yes, sir. In fact -- Well,
20 let's -- I was able to find the open invoices that
1 Proskauer shows here.
22 Q. Are you aware of any instances that
23 Proskauer ever billed for work that wasn't actually 4 performed?
25 A. I'm not aware of any.
Q. You never saw correspondence or documents in Iviewit's files indicating otherwise?
A. Other than that one letter that I spoke of earlier, which disputed some items, I had not seen any other files.
Q. I think you indicated that Brian Utley was the president of Iviewit.
A. Yes.
Q. Which particular companies was he the president of?
A. I believe all of them; Iviewit Holdings, Iviewit Technologies, and Iviewit.com, Inc.
Q. Okay. Other than the Proskauer Rose lawsuit that we are here on today and the
involuntary bankruptcy petition that Brian Utley is involved in --
A. Yes.
Q. -- sir, are you aware of any other court proceedings involving Iviewit or other lawsuits?
A. I'm not aware of any.
Q. Do you have any idea what witnesses

Iviewit plans on having testify at the trial in this case, who they are?
A. No, I'm not.
Q. Do you know if there's any other people
Q. I'm going to show you a document that I want to mark as Plaintiff's Exhibit Number 2.
(Whereupon, Plaintiff's Exhibit No. 2 was marked for identification by the reporter.) BY MR. PRUSASKI
Q. I'm going to ask you to take your time and look at that document and tell me if you have ever seen it before?

While you are looking at that, I will step outside for about 30 seconds. We can go off the record.
A. Okay.
(Thereupon, a discussion was held off the record.)
BY MR. PRUSASKI
Q. Before we talk about Exhibit 2 -- We're
back on the -- I just want to ask you a couple of follow-up questions.
A. Sure.
Q. You indicated that there was an abortive
effort to convert shares in Iviewit Technologies to
Iviewit Holdings that was never completed?
A. That's correct.
Q. Who did the legal work for that?
A. I'm not certain.
that we didn't talk about today or specifically
mention that have any knowledge about this lawsuit
or the claims in the lawsuit?
A. I suspect Elliott Bernstein would have some knowledge of the claims. I don't think we have 6 talked about him.
Q. Do you know what he's planning on testifying about?
A. No, I don't. I don't know that he's
planning on testifying.
Q. I mean, based on your relationship with him, can you tell me what you think that he's planning on testifying about in this case?
A. Anything that I would say would be speculation, because my relationship with Elliott is not that close and has not been very close of late at all. I haven't spoken to him in a while.
Q. Can I ask you the same question for Si Bernstein?
A. Yes. Again, with Si, I don't know of any specific complaints that he may have. We really haven't talked about them, other than just some generalities. Most of my relationship with Si focussed on other things in the insurance business specifically. It had nothing to do with Iviewit.

1 Q. Do you have any reason to believe it was Proskauer?
A. It may have been. It may not have been.

I don't know for a fact that it was or wasn't.
Q. You indicate that the main activity between the companies was at Iviewit.com, Inc. and that the money went in and out of that company?
A. Yes.
Q. Did that ever change?
A. Technically the investment came through Iviewit Holdings. Now, we weren't always real strict about depositing it into the Iviewit Holdings 3 account and then writing a check or doing a transfer 14 out of the Iviewit Holdings account to the 5 Iviewit.com, Inc. account. We did however, and I 16 got it corrected when I came in, so I made certain
17 we had done this end of it properly that at least we
18 accounted for the transfer in terms of loans passing
19 from Iviewit Holdings to Iviewit .com., Inc.
20 In other words, technically the way it
21 would work was investment would come into Iviewit
22 Holdings, that money would then be loaned to
23 Iviewit.com, Inc. Now, often times what really
24 happened was the money was simply received in the
25 Iviewit.com, Inc. bank account, but the loan was
always documented on the books of both, so that all
the -- from an accounting standpoint, all the
investment was received in Iviewit Holdings.
Q. Thank you. What is Iviewit.com, Inc. doing today?
A. I don't know.
Q. What were they doing the last time you knew?
A. Not much really. I know that there wasn't much money around. There wasn't much money in the company, so Steven Lamont was looking for investment trying to find a new investor or investors. So to the extent that there was anything for Iviewit.com, Inc. to do there really -- absent investment -wasn't a whole lot for it to do.
Q. Okay.
A. It's not as though there is a company that makes widgets and the widget plant is opening and widgets are going out the door. So until there is investment there isn't much to do.
Q. Okay. Thank you. Let's. turn our attention to Exhibit No. 2 --
A. Yes, sir.
Q. -- which I believe you have had an
opportunity to look at?
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A. Yes, I have.
Q. Would you look at the last page for me, please?
A. Yes, sir.
Q. Tell me whose signature that is?
A. That's mine.
Q. Do you recall signing this document?
A. Yes, I do.
Q. When is the first time that you saw it?
A. When is the first time that I saw it?

Sometime before the date that we returned it to you.
I don't know the exact date that I first saw it.
Q. January 21, 2002 is indicated on the first
page. Does that refresh your recollection as to an
accurate date?
A. When I first saw it or when I -- That is the date that I believe it was delivered to you. I
don't know the date I first saw it, but it would
have been prior to that.
Q. If you look at your answer to
interrogatory number one --
A. Yes.
Q. -- which indicates: Identify each and every person that participated or assisted in preparing the answers to these interrogatories. And

1 you list yourself as an employee of Iviewit.com, Inc.
A. Yes.
Q. Were you ever a principal of that company?
A. No, I was not.
Q. Did you ever consider yourself an employee
of Iviewit.com, L.L.C.
A. No.
Q. Why not?
A. Because all payments, all activities were done with Iviewit.com, Inc. that was the operating entity, and my belief and the way I wanted it to operate, had things gone on and I could have operated it the way I wanted it, it would have been to have all the operations occurring in Iviewit.com, Inc. That's where all the employees would have been. You don't want employees all over the lot in the different corporations.
Q. Okay. Your response to interrogatory number two you list two people --
A. Yes.
Q. -- who are believed or known by the
defendants to have knowledge concerning the issues in the lawsuit, Mr. Utley and Mr. Hersh.
A. Yes.

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1 Q. I'm going to depose Mr. Utley on Thursday in Minnesota.
A. Okay.
Q. Do you still believe that he is the best
person to talk to pertaining to the invoices?
A. He would have been the one who would have seen them and would have approved payment on them.
He would be a good person to talk to.
Q. What about Mr. Hersh?
A. Mr. Hersh might also be worth talking to.
Q. If you look at your response to
interrogatory number three, identify the
representative of the defendants with the most
knowledge as to the allegations contained in the
amended complaint and the answer to affirmative defenses, and you list yourself.
A. Yes.
Q. Was that your decision or the attorney's decision to name yourself there?
A. We didn't have anybody else at the time
who was available, so that was Eileen Schnall,
S-C-H-N-A-L-L, from -- She was at --
Q. Sachs, Sax \& Klein.
A. -- Sachs, Sax \& Klein at the time.
Q. Do you know today if there is anyone else
that is considered to be the person with the most knowledge to represent the defendants?
A. There may be other people. Again, that also is a decision that could be made by the management of the company, now that Steven is on board or Elliott. There may be other people who are more knowledgable who are around.
Q. What knowledge does Steven have pertaining to the invoices?
A. I don't know what he may have gained from review of the files, if he may have. I don't know if it's reviewed, the files, or not.
Q. But he never was involved with the Iviewit companies when Proskauer was performing legal work?
A. That's correct.
Q. On interrogatory number four and five, we ask if there are any invoices attached to the amended complaint, which is Exhibit 1 that you looked at, which the defendants claim were paid, and you answer yes. And on number five you listed 343838 ?
A. Right.
Q. Do you remember how you came up with that answer?
A. I believe we went back and went through

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the reconciliation and showed that it had been paid.
I think it may have been that an audit in the
application of on-account payment. I would have to
go back and look at it to make sure that's the case.
But it may have been an application in an on-account
payment, because it doesn't effect the balance
that's due. We still agree with the balance or we
were still able to reconcile the balance due, the
369,000. It's just that this invoice had been paid.
Q. Do you know if that's the letter that you saw in the file during the reconciliation. Does this help your memory as to that?
A. No.
Q. It doesn't help. You don't know that?
A. No, I don't know.
Q. If you look at interrogatory number six, we ask: Do the defendants claim that there are any invoices attached to the amended complaint, Exhibit A, that were not received by the defendants?
And the answer was there are invoices that the defendants have no record of receiving. And if you turn to seven, interrogatory seven --
A. Yeah.
Q. -- we ask that you list them. And listed are a number of invoice numbers.

1 A. Right.
2 Q. And could you explain that response?
3 A. I would have to. I'm not certain as to 4 what we did at the time. At the time it would have 5 been I worked with Eileen Schnull and we went 6 through the items that we had. And at the time we felt that was correct. I would have to go back and do some research. In other words, to say why I agree that we were able to come up with these answers that we have here.
Q. Your reconciliation, do you still recall the results of the reconciliation showing that all the invoices were received by the company?
A. I recall -- Yeah, that I was able to show that invoices that made up the claim were received by the company were in the company file, so they were received.
Q. You think that interrogatory seven could be best explained by saying that it was something that was come up with by the attorney?
A. I'm not sure. Again, as I said, I would have to go back and probably sit down and look at all the details that we had that I no longer have available to me, think about what we were doing, and determine why we answered the question this way.

1 I 'm certain if we answered the question this way,
there was a good reason why we did it at the time,
but I don't know right now, without going back and thinking about things, why we did it.
Q. Looking at your response to interrogatory number ten --
A. Uh-huh.

8 Q. -- question -- The question was based on
9 the defendant's third affirmative defense that the
monies that the plaintiff claims are owed are unreasonable and don't bear relation to the value of the services provided. We ask that you identify each invoice attached to the amended complaint as Exhibit A.
A. Yes.
Q. And the response was the invoices attached to the exhibit don't reflect what services were performed --
A. Yeah.
Q. -- so it's not possible for the defense to
identify each invoice. Having said that, I believe I have asked you if you recall there being any bills that you ever saw that didn't bear a relation to the services performed. Do you recall ever seeing any bills?

1 A. That somebody had identified that did not bear relation to services performed?
Q. Yeah. Did you ever see any bills that

Proskauer sent Iviewit that didn't bear relation to
the value of the services performed by Proskauer?
A. Again, since most or almost all of the
bills in question were prior to my coming on board
with Iviewit, I really am not in a position to make
that statement about the bulk of what's there.
Again, that would have had to have been evaluated by
other people and they would have to make that
determination.
Q. Did you run across anything while doing your reconciliation?
A. I mentioned that letter. Again, I had only the accounts payable file to work with, and that contained invoices that had been passed through to the accounts payable clerk for payment, so if there were objections, they were made elsewhere.
Q. Okay. One final question pertaining to --

MR. TRIGGS: Let's take a minute before
you are done, but go ahead and ask your question.
BY MR. PRUSASKI
Q. One final question pertaining to

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interrogatory number seven.
A. Yes.
Q. I just want to clarify that you can't
recall how you and the attorney came up with this
answer, but it's your recollection today that the
reconciliation showed that all the invoices were
received?
A. I was the -- Well, the -- I was able to find invoices, yes, that were consistent with the statements that we received from Proskauer Rose that added up to the \(\$ 369,000\) figure. Now, that said, it's possible that, again, because of on-account payments and other things that there are invoices that may be considered paid by Proskauer Rose that were not intended for payment or by Iviewit or vise-versa. There may be invoices that Iviewit intended to pay, because of the way the money was applied when it came into your firm here, may have been applied to other invoices. So it's -- I can't give you a whole lot of help there.
Q. Okay. But you told Ross Miller, after you performed the reconciliation, that the invoices attached to the lawsuit we do have record of receiving?
A. Yes.

MR. PRUSASKI: Okay. You want to step out for a moment?

MR. TRIGGS: Back in just a second.
(Thereupon, a short break was taken.)
BY MR. PRUSASKI
Q. After the management changed hands in

March of 2001, when I believe you testified that Utley and Hersh were fired --
A. Yes.
Q. -- who was responsible after that point
for looking into whether bills were owed or not?
A. Ross Miller.
Q. Before that, it was Utley and Hersh?
A. Yes.
Q. You testified today that you became involved with the companies in February of 2001?
A. Yes.
Q. You were unable to testify as to
information pertaining to a lot of things before that time.
A. Right.
Q. Would you defer that to Utley?
A. Yes.
Q. Would you defer to Utley on that?
A. He certainly would know more about it than

I do.
Q. What document gathering efforts were you involved in in this lawsuit?
A. I'm trying to remember now what I did.

Really, we didn't have a whole lot of documents to
look at when I came in, other than the accounts
payable files and some files that I created after
that based on what I was able to scrape together or
of things that occurred after I was there. And of
course some basic corporate records like the corporate minute books. There really weren't that
many files that I came across. Most of the files
that were - other than accounts and accounts payable
files that the company had - were, I believe,
shipped to California by Utley when he left. At
least that was what was supposed to have happened to them.
Q. Did Sax's office have the opportunity to go through the California documents?
A. No.
Q. Why?
A. We didn't -- One thing, we couldn't find
any documents in California that were shipped there.
Q. Didn't Bernstein have them?
A. There was a lot of stuff that he did have,
\begin{tabular}{|c|c|}
\hline Page 85 & \\
\hline not & 1 that there were more boxes of comp \\
\hline 2 have been shipped anyway. Again, I don't -- I did & 2 were files, but I don't know the exa \\
\hline 3 not -- I did not examine what was shipped & \\
\hline 4 California -- & 4 benafit of \\
\hline 5 Q. So how m & \\
\hline 6 A. -- so I don't know what went to Califor & 6 Q. Who is in control of the offices \\
\hline 7 a & 7 Californi \\
\hline 8 for is what I have or what I was able to retain & 8 A. The \\
\hline 9 which was stuff that mainly is stuff t & 9 \\
\hline 10 accounting & 10 moved out, but we did move \\
\hline 11 & 11 California at \\
\hline 12 & 12 Fall of \\
\hline 132255 Glades was vaca & 13 Q. What happ \\
\hline 14 & 14 A. I don't know for s \\
\hline 15 Most everything was shipped out, taken out & 15 anything that was in there, other than the inte \\
\hline 16 office here & 16 was that it would all be moved to the garage of the \\
\hline 17 & 17 house \\
\hline 18 management were & 18 time. \\
\hline 19 Q. And where in California were they taken, & 19 \\
\hline & 20 happen \\
\hline 21 & 21 A. I wasn't the \\
\hline 22 & 22 Mr. PRUSASKI: Nothin \\
\hline 23 & 23 Mr. Triggs: Just I think we don't h \\
\hline 24 out? & 24 the interrogatory response yet, so I think \\
\hline 25 A. There were -- Again, since & 25 would just reserve on that, but other than that \\
\hline & 88 \\
\hline  & 1 I think I'm done \\
\hline 2 say how much were files and how much were oth & 2 MR. PRUSASKI: I don't have any other \\
\hline 3 things, because we also shipped almost & 3 questions for y \\
\hline 4 computer equipment and a lot of other stuff that w & THE WITNESS: Oka \\
\hline 5 in the office. So there were 70-plus boxes of & MR. PRUSASKI: I do have the righ \\
\hline 6 various sizes that were packed up and shipped out & 6 you some questions to follow up the questions \\
\hline \(7 \quad\) Q. Can you say that more or less than half of & 7 Mr. Selz asks you. \\
\hline 8 those 70 were files? & ITNESS: \\
\hline 9 A. I would say l & MR. PRUSASKI: Also, we haven't rect \\
\hline 10 Most of it or a lot of it was computer equipme & 10 set of interrogatory answers, and if any \\
\hline 11 Q. So between 20 and 30 would be a good & 11 material comes up in the interrogatory answers, \\
\hline 12 estimate? & 12 Mr . Selz's office will have to resubpoena you \\
\hline 13 A & 13 But of course, I will give you the benefit of a \\
\hline 14 Again, you & 14 telephone call first. \\
\hline 15 speculation. & 15 THE WITNESS: That's what I was going \\
\hline 16 Q. Well, & 16 say, just call me and I will come over here. \\
\hline 17 the -- When we can & 17 MR. SELZ: I have nothing on cross. \\
\hline 18 give ballpark figures, so I will ask you if you wan & 18 MR. PRUSASKI: Okay. \\
\hline 19 to give me a ballpark figure & 19 \\
\hline 20 ballpark figure & 20 (Thereupon, discussions were held off \\
\hline 21 A. No, I didn't in & 21 record.) \\
\hline 22 boxes. I do know that the files were suppos & 22 THE WITN \\
\hline 23 packed and shipped. Now, how much of them -- Which & 23 THE REPORTER: Does anyone need to order, \\
\hline 24 boxes had files in them and which had computers and & 24 Gentlemen? \\
\hline 25 other things is difficult for me to say. I know & \begin{tabular}{l}
25 \\
MR. TRIGGS: I think probably, but let me
\end{tabular} \\
\hline
\end{tabular}
at least ponder it for a little bit.
    Mr. SELZ: If he gets it, we'll get a
copy.
(Thereupon, the deposition was concluded at \(2: 18\) p.m.)

\section*{Page 89}
at least ponder it for a little bit.
Mr. SELZ: If he gets it, we'll get a copy.

\section*{CERTIFICATE}

STATE OF FLORIDA )
) SS. COUNTY OF BROWARD )

I, MARY C. BETTIS, Court Reporter and Notary Public, certify that I was authorized to and did stenographically report the deposition of WILLIAM KASSER; that a review of the transcript IS requested; and that the transcript is a true and complete record of my stenographic notes.

I further certify that I am not a relative, employee, attorney, or counsel of any of the parties, nor am I a relative or employee of any of the parties' attorney or counsel connected with the action, nor am I financially interested in the action.

Dated this 29th day of April, 2003.


MARY C. BETTI
Notary Public

\section*{CERTIFICATE OF OATH}

\section*{STATE OF FLORIDA )}

I, the undersigned authority,
certify that WILLIAM KASSER personally appeared
before me and was duly sworn.
WITNESS my hand and official seal
this 29th day of April, 2003.


MARY C. BETTIS
Notary Public


PROSKAUER VS. IVIEWIT.COM CondenseIt! \({ }^{\text {TM }}\)
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IN THE CIRCIIT COURT OF THE 15 TH JUDICIAL CIRCUIT, IN AND FOR PALM BEACH COUNTY, FLORIDA

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PROSKAUER ROSE LLP, a New York limited liability partnership

Plaintiff,
vs.
No. CA O1-04671 AB

IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware: corporation,

Defendants.

> Boca Rator., Florida November 14,2002 \(2: 00\) o'clck \(^{\prime} \mathrm{c} . \mathrm{m}\).

DEPOSITICN

OF

SIMON L. BERNSTEIN

APPEARANCES:
PROSKAUER ROSE LLP
by: CHRISTOPHER W. PRUSASKI, ESQ.
Appearing on behalf of the Plaintiff.
SELZ \& MUVDI SELZ, P.A.
by: STEVEN M. SELZ, ESQ.
Appearing on behalf of the Defendant.
LAW OFFICES OF STEVEN I. GREENWALD, P.A.
by: STEVEN I. GREENWALD, ESQ.
Appearing on behalf of the Sinon Bernstein.

Deposition of SIMON L. BERNSTEIN, a witness
of lawful age, taken by the \(P\) laintiff, for purposes of discovery and for use as eridence in the above-entitled cause, pursuant to notice heretofore filed, before TAMARA EMERICK-MASCI, Registered Professional Reporter and Notary Public, in and for the State of Florida at Large at 2255 Glades Road, Boca Raton, Florida.


Thereupon:
SIMON L. BERNSTEIN
a witness, being first duly sworn in the above-entitled cause, testifiad under oath as follows: DIRECT EXAMINATION
Q. (By Mr. Prusaski) Good afternoon. Would you state your name, please?
A. Simon L. Bernstein.
Q. Mr. Bernstein, what is your address?
A. 7020 Lions - L-i-o-n-s - Head - H-e-a-d,

Lane, Boca 33496 .
Q. Do you have any other homes?
A. No.
Q. Are you planning on going on any vacation in the next four months?
A. Not that \(I\) know oI.
Q. You're here pursuant to a subpoena that we served on you a couple months ago, right?
A. Correct.
Q. Thank you.

Have you ever had your deposition taken before?
A. I have.
Q. Okay. The reason \(I\) ask is because \(I\) want to make it clear of how the deposition proceeds. If
you answer one of my questions, it's assumed that you understood it. If at any time I ask a question that's not clear to you, please let me know. That's basically your only obligation during this deposition, other than to tell the truth, to ask me to rephrase any questions that aren't clear to you.

If you need to tase a break, if you need to call your wife, as you men=ioned, we will accommodate you.

Those are the only ground rules for the deposition. If you have any Eurther questions, just please ask us.

What is your occupation?
A. I'm retired.
Q. What is the benefit of your educational background, briefly?
A. Two years of college.
Q. When did you graduate college?
A. I didn't.
Q. Back in the \(1960 \mathrm{~s}^{\prime}\) ?
A. Before that. '54? '56? Something like
that.
MR. GREENWALD: 1954 or 1854 ?
Q. (By Mr. Prusaski) What did you spend your career doing for work?
A. Well, I owned a few furniture stores when I was younger. But the most -- But the majority of my adult occupational career was in the life insurance business.
Q. How many years did you spend in that business, sir?
A. Thirty-seven.
Q. Do you hold any p:ofessional licenses?
A. Just an insurance license.
Q. Did you do anything to prepare for your deposition today?
A. I showered.
Q. Did you look at any documents to prepare for the deposition?
A. No.
Q. We're going to tal.k about the Iviewit companies. And for purposes of clarity, if \(I\) use the term Iviewit, I mean all of the Iviewit entities collectively. If \(I\) want to discuss a particular Iviewit entity, I'll make it clear of which one I'm going to talk about. Do you follow me on that?
A. I do. I don't know the companies any better than --
Q. Okay. What was your role with the Iviewit companies?
A. I was the chairmar of the board.
Q. What year did you get involved in the Iviewit companies?
A. I actually got involved with them prior to their being Iviewit companjes. Probably, I believe, 1997. Somewhere in that period of time.
Q. Who are the officers and directors?
A. At what period of time?
Q. Beginning. How akout the time Proskauer
was hired?
A. When they were brought in, there was no board of directors. And there were no officers either that \(I\) can think of.
Q. Who was --
A. Maybe Eliot was the only officer.
Q. Who comprised the initial board of
directors?
A. The initial board, \(I\) believe, was myself, Eliot, Brian Utley. I assumed Chris Wheeler. I later found out that he wasn't, but in the beginning, it was represented that he was. Jerry Lewin. And that might be the original group. I - I don't know. I think that was the early on group.
Q. How long have you known Jerry Lewin?
A. Five, six years.
Q. Was your first experience with Jerry Lewin through Iviewit or did you know him prior to that?
A. I think \(I\) had met him prior to that through an insurance friend ol: mine. At that time, I don't believe he lived in the same community \(I\) do now.
Q. He's your neighbor:?
A. He lives across the street, around the corner, yeah.
Q. When's the last time you saw him?
A. Within the month.
Q. Just passing in the street?
A. At the club.
Q. Oh. You're members of the same country
club?
A. Yes.
Q. Do you consider yourself social friends with Mr. Lewin?
A. Social friends, no.
Q. No. Do you talk to him when you see him at the club?
A. Yes.
Q. Okay. When's the last time you or saw or spoke to Brian Utley?
A. I would assume it was - No, I don't remember. I can't give you ar. exact date.
Q. Have you seen him subsequent to the time when he left Iviewit, which was, I believe, March of 2001 or April - I'm sorry - April 2001?
A. I don't think so.
Q. What about Hersh, Raymond Hersh?
A. Have \(I\) seen him since?
Q. Subsequent to the time you left the
company.
A. I don't believe so.
Q. Haven't spoken to him?
A. I might have. He has some insurance with me.
Q. \(\quad\) Mm-hmm. Still does?
A. Yes.
Q. So you don't specifically recall speaking
to him after March of 2001?
A. No, I don't.
Q. What about Steven Lamont?
A. I never met Steven Lamont.
Q. Have you spoken to him on the phone?
A. On occasion, maybe once or twice.
Q. Do you know what Lamont's role with the

Iviewit companies is now?
A. I believe he was brought in to act as current president. No, I don't know. The answer is no, I don't know.
Q. Do you have any contact or involvement with Iviewit right now?
A. No.
Q. You don't sit as a board member or a principal of those companies?
A. No.
Q. Does your son, Eliot?
A. I don't know. I believe so, but \(I\) don't
know.
Q. Where does Eliot live?
A. In California.
Q. Do you know what city?
A. Escondido.
Q. Mm-hmm. You -- Does he - does he work
other than his involvement with Iviewit?
A. I don't know.
Q. How do you not know what your son does?
A. Does your father know what you do?
Q. Yep.
A. You have a better relationship than \(I\) do.
Q. Okay. Well, I don't mean to patronize you by asking you these questions if your
relationship with your --
A. I don't know. I'm answering your
question.
Q. Let me continue. If your relationship with your son is estranged and you don't know what he does for a living, then \(I\) accept that and respect that answer.
A. It's not estranged. I talk to Eliot on occasion. I don't know what else he's doing other than still acting as the main person in Iviewit.
Q. Does he run that company out of his
house?
A. I don't know.
Q. Is your daughter-in-law pregnant?
A. Yes.
Q. Do you know how - when she's due?
A. Anytime that phone rings.
Q. You're waiting for: a baby any day now?
A. I am. Any day.
Q. Is it a boy or gir:l?
A. They won't tell me that either.
Q. They don't know?
A. I don't think -- Ihey say they don't
know. I don't know.
Q. Have you spoken to her recently?
A. Yes.
Q. Candace?
A. Yes.
Q. When's the last time you talked to her?
A. I think I spoke to her yesterday.
Q. How's she doing physically?
A. She's complaining. She's not -- She's big and waddling around.
Q. Mm-hmm. Does she have any problems? Is everything coming smoothly?
A. I don't know.
Q. You didn't discuss her health at all?
A. No, I don't. She's having a baby.
Q. What time yesterday did you speak to her?
A. If it was yesterday, it was - I think she called the house to see how my wife was - maybe three o'clock, four o'clock.
Q. In the afternoon?
A. I believe so.
Q. Was Eliot home?
A. I don't know.
Q. How many kids do they have?
A. Two.
Q. Other than the pregnancy?
A. Yes.
Q. Okay.
A. Is this all relevant to this or --
Q. Yeah.
A. Okay. I just thought maybe you were
interested in -- \(I\) have five - four other children, If you want to know about them too, but - -
Q. No, only tell me about what \(I\) ask about.
A. Okay. I was just wondering if you were headed in that direction.

\section*{Q. Nope.}

Let's talk about the bills that Iviewit hasn't paid. I'm going to show you a document which is being marked as Plaintiff's Exhibit 1. It's a copy of the Amended Complaint. Take your time. Take a look at the document. Tell me if you've ever seen it before.
(Thereupon, the above-referred to document was marked as Plaintiff's Exhibit 1 for identification.)

MR. PRUSASKI: I'm going to give you two attorneys an extra copy to look at of everything.

MR. GREENWALD: Thank you. I assume, counsel, for the record, these alleged bills are attached to - in the Complaint as exhibits,
are they not?
MR. PRUSASKI: This is the Amended Complaint in its entirety that was filed in the Iawsuit.

MR. GREENWALD: Okay. But -- I
appreciate that.
MR. PRUSASKI: And the bills.

MR. GREENWALD: Ok:ay. They were attached as exhibits?

MR. PRUSASKI: Yes.

MR. GREENWALD: OkaY.
Q. (By Mr. Prusaski) After page 7, you
have a series of bills, and tren you have Exhibit B, which is --
A. Would you --
Q. - a running statement of account.
A. Would you restate the question to me, please?
Q. I'm going to ask you to look at that document and tell me if you've ever seen it before?
A. This document.
Q. Yes.
A. No, I have not.
Q. Attached to that document starting as

Exhibit \(A\), starting after page 7 of the Amended

Complaint are proskauer invoices, which appear to be addressed to Iviewit.
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. Do those documents look familiar to you? A. No.
Q. Have you ever been told that Proskauer

Rose filed a lawsuit against Iviewit to collect unpaid bills?
A. I have.
Q. And when did you first learn that?

MR. GREENWALD: Don't guess. Only if you know.
A. I don't know. I don't remember the date.
Q. Was it shortly after the time the lawsuit
was filed?
A. When was the lawsuit filed?
Q. May of - May of 2001 .
A. I assume it would be right around that time.
Q. Okay. The Amended Complaint alleges that \(\$ 369,460\) are due and owing in unpaid attorney's fees bills. Have you ever had occasion to go through the bills that comprise that amount?
A. I might have.
Q. You don't recall?
A. I don't know the number to be exact, to be 369 . I've gone through the - the bills when when they were brought to me by - by Brian Utley.
Q. When were they brought to you?
A. As the bills were coming in.
Q. Okay.
A. So I, you know, haven't seen them as a as a group. I've seen them individually. And I don't know if they're all here or, you know, if these are correct. I'm just looking at numbers here.
Q. Right. Do you have any knowledge if - if the allegation that Proskauer made in the lawsuit that Iviewit owes that amount, do you have any knowledge if that amount is actually due or not?
A. No.
Q. No knowledge?
A. If that amount is due?
Q. Yes.
A. No, I have no knowledge.
Q. Okay. Have you ever sat down with anyone to determine whether the bills attached to this lawsuit are due and owing?
A. With -- That's attached to this lawsuit?
Q. Yes.
A. As they stand in here?
Q. Yes.
A. No, I have not.
Q. Okay. Has Eliot Bernstein ever told you that the bills attached to the Proskauer/Iviewit lawsuit are not due and owing by Iviewit?
A. Has he told me they weren't due?
Q. They were not.
A. No.
Q. Have you ever discussed this lawsuit with

Eliot Bernstein?
A. Yes.
Q. What have you talked about?
A. The overcharge of the bills, the - you know, the things that happened in the past. That's about it.
Q. Were there overcharges?
A. In my opinion?
Q. Yeah.
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. Well, explain what you mean by there were overcharges.
A. Well, they were \(\ldots\) There were many, many opportunities that Mr. Utley and Mr. Wheeler developed bills for conferences for hours on end for simple matter, such as leases, drafting of corporate
minutes, just things that in ny history as - as a businessman, paying lawyers, were abusive.
Q. When did the abusive charges start?
A. Almost from the first day.
Q. Do you recall how long Proskauer represented Iviewit?
A. Almost from the first day.
Q. No. I mean -- Youl didn't listen to my question. Do you recall how l. 0 ng a period of time Proskauer represented Iviewit"'
A. Oh, I - I - I believe it was probably somewhere in the 2001 .
Q. So it was over two years, right?
A. I imagine.
Q. Okay. And these charges started almost
immediately?
A. They started immediately.
Q. Well, then why did Proskauer continue to represent Iviewit if, in your opinion, Proskauer was overcharging the company?
A. I didn't make those decisions.
Q. Who did?
A. Mr. Utley did.
Q. Was Mr. Utley given -- He was the
president, right?
A. Correct.
Q. And COO?
A. COO.
Q. That was his job to make those decisions,
correct?
A. To - to the understanding that he reported to the board of directors.
Q. Did he report to the board of directors?
A. Correct.
Q. So the board of directors gave Utley authority the make decisions with respect to the continuation of Proskauer's services?
A. With certain limitations.
Q. What were those limitations?
A. After we felt that the company was being overcharged, he was limited to a five thousand dollar a month expenditure. And he E ar exceeded that.
Q. When --
A. I mean, obviously, he far exceeded it because the bills are much more than five thousand dollars a month.
Q. Around what date was the five thousand dollar expenditure?
A. I don't know. It was a board meeting. We had one a month at least.

MR. GREENWALD: Let him finish asking the question.

THE WITNESS: Okay.
Q. (By Mr. Prusaski) Was it in '99?
A. It could have beert.
Q. Okay. So after -. Did you see the bills when they came in?
A. I saw some bills that came in. Those bills that pertained to things that \(I\) would be involved in, such as the lease and stuff like that.
Q. So you're saying that sometime after Proskauer started representing Iviewit, the board limited Utley to five thousand dollars a month in attorney's fees?
A. Yes, that's correct.
Q. And you're saying that Utley exceeded that limitation by allowing Proskauer to bill more than five thousand a month?
A. That's correct.
Q. Did Iviewit continue to allow Proskauer to do work for it for at least a year, year-and-a-half after that?
A. That may be true, I don't know.
Q. Okay.
A. You know, \(I\) wouldn't know what they did.
Q. Did you ever send any letters to -Well, to whom did you speak at Proskauer about the bills? Christopher Wheeler?
A. Chris wheeler.
Q. Was there anyone else?
A. I think Myra.
Q. Myra. Myra Robbins?
A. Myra Robbins, coryect.
Q. okay.
A. Who was constantly working on the account and who also was of the opinion that the billing process was exceedingly costly for Iviewit.
Q. Miss Robbins was of the opinion?
A. That's correct.
Q. What did she tell you?
A. She said that, you know, I'm doing the work. It then goes to somebody else. And then it goes to Chris. And so, we're getting three hourly charges for the same bill.
Q. How soon after Proskauer started doing work for Iviewit did she tell you that?
A. Maybe six months. Maybe a year. I don't know exact dates.
Q. This is '99 then we're talking?
A. Probably.
Q. Did you lodge any complaints to Mr. Wheeler about the bills?
A. I certainly did.
Q. How often?
A. Frequently.
Q. How frequently? You've got to be -
you've got to be more exact tran frequently.
A. Okay. Well, first of all, I lodged the most of my complaints with Mr. Utley, who was supposedly meeting with Mr. Wheeler at all these different times. And then \(I\) have - I went across the hall and spoke to Chris Wheeler two or three times, suggesting that these bills have to stop. They are just out of line because they were not consistent with the - what my understanding of the original arrangement was when Iviewit was formed and stock was given to Proskauer Rose. And it was my understanding that that stock was given in lieu of a postponement of the bills until such time as we got funded. And that's - That was what - You know, those are the complaints \(I\) lodged.
Q. Did you ever send any complaints to anyone in writing about Proskaıer's bills?
A. I might have. I don't think I put them in writing. I might have - I might have had

Mr. Utley - I know that they went in the form of a written memo to the board so that the other members of the board were aware of the fact.

For example, \(I\) think the first one was \(I\) - it came to my attention tha: Chris Wheeler, who I thought was a director of the company, after six or seven meetings, that we were being billed for his appearances at our board meetings. And I said, well, why would a member of the boajed be billing us? And \(I\) later was told that, oh, no, he wasn't a member of the board. He was there as a consultant. And I said, well, you know, it seems strange to me that we have anybody there as a consultant when nobody asked for a consultant.
Q. Who designated Mr. Wheeler as a consultant?
A. That - I was told he was a consultant by Mr. Utley, who was told by Mr. Wheeler.
Q. Other than what you said, Proskauer's excessive billing, were there any other problems with the bills?
A. You mean, as far as the workmanship?
Q. Yes.
A. I couldn't answer that because I
wasn't -- I don't know how it related to the
companies, what workmanship they did, whether it was good work or bad work. I really don't know.
Q. Well, do you know if Proskauer ever billed for work it really didn't do?
A. I don't know that either.
Q. Have you ever heard anybody say that Proskauer billed for work that wasn't done?
A. Not to - Nobody's ever said that to me, no.
Q. You've never heard it?
A. I never heard it fersonally, no.
Q. Did there come a time when Iviewit
stopped paying Proskauer Rose?
A. Not to my knowledge.
Q. Why did Proskauer sue Iviewit?
A. Maybe they stopped paying them. As I said, \(I\) don't know.
Q. When did you stop being a board of directors member of the company?
A. When we dissolved the board of directors.
Q. When was that?
A. I don't know for sure, but \(I\) think it was late 2001. Is this 2002 , right?
Q. The lawsuit was filed in May of 2001 .

Was the board of directors still intact at that time?
A. I don't know. I don't remember.
Q. Well, it's November 2002.
A. Correct.
Q. Was the board of directors in existence a
year ago?
A. I have to find some reference because at that point, all the meetings were held by the phone. And I - -

THE WITNESS: Do you know when?
MR. GREENWALD: Don't -- This is not audience participation. If you don't know, it's okay.
A. I don't know.
Q. Do you know - do you know when -- Do you recall somebody telling you that Proskauer sued Iviewit?
A. Yes.
Q. When?
A. A year ago maybe. I --
Q. Who told you Proskauer sued Iviewit?
A. Probably Eliot.
Q. What was your initial reaction when you
found out a lawsuit was filed? Were you surprised? A. No.
Q. Why weren't you surprised?
A. Because they hadn't been paid.
Q. OkaY.
A. And they had been threatening lawsuit for a long time.
Q. Why hadn't they been paid?
A. Well, first of all, do you want my opinion or you want --
Q. Yeah.
A. This is only an opinion because I don't know.
Q. You were a member of the board. You speak with experience. Why weren't they paid?
A. Again, they were not paid because they were felt to be unjustifiable. They were not paid because the arrangement was supposed to be that when we received the funding, they would - they would be paid. And we had not received the \(\$ 20\) million funding that it was predicated on. And to the best of my knowledge, that's why they weren't paid. And they didn't have the money. That would also be a reason they wouldn't be paid.
Q. Iviewit didn't have the money?
A. Not at that time.
Q. So there were three reasons you just stated. Iviewit didn't have the money, Iviewit did
not receive funding. I guess that's the same as the first, isn't it?
A. Well, there is a lot of reasons. I mean, there could have been money, but it didn't develop.
Q. Proskauer was felt to be unjustifiable?
A. Absolutely.
Q. What do you mean by that?
A. Well, they had been told that their
billings were obsessive [sic.] from the beginning.
Q. Excessive or obsessive?
A. Excessive. And they have been told. You
know, we have tried to have maltiple times of
meetings that - that to arrange some form of a - an understanding that - on these bills. And they never happened. At least that's what Mr. Utley kept saying.
Q. What was -- Mr. Lewin was a board member?
A. Yes, he was.
Q. And he attended the board meetings with you?
A. Yes, he did.
Q. Were these bills ever discussed at the
board meetings?
A. Absolutely.
Q. How often?
A. Quite often in the -- You know.
Q. Did Mr. Lewin ever say that the bills were excessive?
A. Well, I believe tnat - that, you know, we got consent of the board members that they were excessive, so \(I\) suspect that ie participated in that vote, yes.
Q. Did you ever hear him complain that they were excessive?
A. Yes, to me personally.
Q. I'm going to show you Mr. Lewin's
deposition that \(I\) took in this matter. I'm going to ask you to turn to page 14. \([\) 'm using this document to refresh your recollection.

At line 17, my question was, other than the fact that you say the company ran out of money, is there any other reason that you know of why Proskauer's bills weren't paid by Iviewit? His answer was, no. Let's start with that.
A. That's his --

MR. GREENWALD: There is no question.
A. Yeah. What is the question?
Q. That's contrary to what you're telling
me. Isn't it?
MR. GREENWALD: I m going to object
because you're asking the witness to comment upon the testimony of another witness.

MR. PRUSASKI: I'm telling the witness in a deposition that another board member has said the only reason the bills weren't paid was because there was no money. And \(I\) want to know how he feels in response to that.
A. That may be his opinion. It's not mine.
Q. Do you agree with it?
A. Absolutely not.
Q. Well, he was a board member, wasn't he?
A. Yeah.
Q. And you said he often complained?
A. I said he was aware of the complaints
about the bills being excessive.
Q. So was he lying in his deposition?
A. You'll have to ask him.
Q. Okay. Look at the next - the question.
A. Okay.
Q. Did you ever hear anyone from the company at any time object or state that Proskauer did work that it wasn't authorized to do for Iviewit?

Mr. Selz objected to the form. I said, you can answer. Mr. Lewin said, no.
A. That's pretty consistent with what \(I\)
said.
Q. Well --

MR. GREENWALD: There is no question.
Q. (By Mr. Prusaski) Well, you were a member of the company. Didn't you?
A. What?
Q. You were a member of the company?
A. Only as a director.
Q. And you testified that nobody ever complained that Proskauer billed for work that wasn't done, right?
A. I said that they did not.
Q. So that's with consistent with what you said?
A. Exactly.
Q. Page 19, line 19.

MR. GREENWALD: ترet me get there. Hang
on. Line 19, You said.
Q. (By Mr. Prusaski! My question was, but you were never personally aware of any bona fide problems with Proskauer's bills? The answer was, no. That's inconsistent with your testimony, correct?
A. It is.
Q. You don't agree with Mr. Lewin on that?
A. I do not.
Q. You've heard him complain about bona -
A. No.
Q. Let me -- You've heard Mr. Lewin complain
about bona fide problems with Proskauer's bills?
A. I have not heard Mr. Lewin complain. I
heard Mr. Lewin agree that the bills were excessive.
Q. Well, isn't that complaining about the bills?
A. That's your - that's your terms. Not mine.
Q. Okay. You're splitting hairs on that one, aren't you?

Look at page 33, line 14.
MR. GREENWALD: Isine 14, counsel?
MR. PRUSASKI: Yes.
Q. (By Mr. Prusaski) Question, was it your understanding that the bills were due and owing? Mr. Lewin's answer: Yes. Did Iviewit ever object to the payment of any of these bills? Mr. Selz objected. Mr. Lewin said, not that I'm aware of.
A. That's his opinion.
Q. You don't agree with that?
A. Oh, absolutely ncit.
Q. Is he lying?
A. No, I don't know if he's lying. Maybe that's what --
Q. It's not - It's not -
A. Don't argue with me.
Q. It's his opinion based on his position as a director of the company?
A. It may be. I don't know what he was thinking.
Q. Would he have first-hand knowledge to be able to answer the question of whether or not the bills were due and owing?
A. I don't know. He may.
Q. Did Brian Utley ever enter into payment arrangements with Proskauer?
A. I think \(I\) stated that he was authorized to pay them five thousand dollars a month.
Q. And did he?
A. I don't know.
Q. Did Brian Utley act outside the
permission of the board of directors?
A. Many times.
Q. What did he do?
A. \(\quad\) Specifically, what do you want to know?
Q. What did he do? Give me some examples
of - -

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A. He acted outside of the board of directors' approval.
Q. Specifically, how?
A. He went -- He made more arrangements with Mr. Wheeler that we were unaware of. He entered into more legal costs that we were not aware of.
Q. Did he do this along with Mr. Hersh?
A. I have no idea.
Q. What was Mr. Hersh's role in the company?
A. Chief financial officer.
Q. I don't know if I asked you this, so forgive me if I'm asking twice. Other than you say Proskauer billed excessively, were there any other problems with Proskauer's bills?
A. I told you, as far as the quality of the work, \(I\) can't comment on that.
Q. Okay. There was no other problems that you're aware of other than the excessive billing?
A. What problems are you asking me about?
Q. Well, do you recall ever having any discussions or problems with the billings other than they were too high?
A. My personal involvement?
Q. Yeah.
A. No.

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Q. When you spoke to Mr. Wheeler -- You said about three or four times. Did you say about three or four times?
A. Yes, I did.
Q. You came to Mr. Wheeler's office to discuss the bills?
A. Correct.
Q. And what did Mr. Wheeler say in response to your complaints?
A. At different times, he had different answers. He said he would review them. He said that he would work it out with Mr. Utley. He was aware of my displeasure. And that was about it.
Q. Did you ever attempt to fire Proskauer.

Rose?
A. I personally recommended that they be fired, yes.
Q. When?
A. In one of the board meetings. I don't know.
Q. \(\quad 199\) or 2000?
A. '99 probably.
Q. Obviously, that 'Nasn't done because Proskauer represented Iviewi= for a couple years after that?
A. I'm only one member of the board.
Q. Did Mr. Lewin recommend that they be
fired too?
A. I don't know.
Q. Well, you were \(a=\) the board meetings with

Mr. Lewin, right?
A. Ask Mr. Lewin. I don't know.
Q. Well, you were a the board meetings with Mr. Lewin?
A. I was at the board meetings with nine other board members as well.
Q. Do you just not recall Mr. Lewin saying that?
A. I do not recall that he said that.
Q. Okay. Okay. Did any other board members recommend the firing of Proskauer Rose?
A. I believe that Don Cane suggested that we find new counsel.
Q. Because they were too expensive?
A. Because they were too expensive. And basically, I guess that's why. I can't answer a question why a man makes a statement. But yes, I would suspect. Because we were a start-up company and we just couldn't afford those kind of bills. Q. Who made the decision to hire Proskauer?
A. Probably me.
Q. Based on? What did you know about the firm that you hired them?
A. Very little. Mr. Lewin recommended them. And he recommended them under the - under the concept that they would be - that we would give to them stock. And for that stock, they would delay the payment of the bills until such time as we reached our funding.
Q. Was that done?
A. No.
Q. Why not?
A. I don't know.
Q. What - what part of that did - did not occur is basically what \(I\) wanted to ask you?
A. The bills started coming right away. And demands for money were constantly coming in.
Q. Do you recall any particular bills or any particular charges on any bills that you recall as being excessive?
A. I think \(I\) answered that. I think \(I\) told you the lease was one that \(I\) reviewed. And there might have been others. And \(I\) think the corporate setups.
Q. Let me stop you because I don't - I don't
want to have to keep going back. What lease in particular are you referring to?
A. The lease on the space across from Proskauer.
Q. Do you - do you recall anything specific about --
A. Just the amount of hours it took to - to draft the lease.
Q. How many hours; do you remember?
A. Oh, I don't know offhand.
Q. Do you know if that bill was paid?
A. I assume it was.
Q. Mm-hmm. And other than the lease, what charges do you recall being excessive?
A. Well, all the numerous amount of
corporate resolutions and corporate this and corporate that that were coming in for a company that was just a little short of being IBM.
Q. Well, you had one of the former IBM guys, right?
A. Obviously, you're right. Who knew Mr. -Who was brought in and recommended by Chris Wheeler, coincidentally, by the way.
Q. The -- So we have the lease on space, the numerous resolutions. Anything else that you recall
that was excessive?
A. Yes, there was so much that -- There was so much legal work for this small company that it's difficult now to recall what happened three, four years ago specifically.
Q. Did you - did you ever direct any of the Proskauer attorneys to do any work for the companies?
A. Me personally?
Q. Mm-hmm. Yes.
A. Might have in the very beginning when we were just forming the companies and there was no president or no board or anything else.
Q. Did Proskauer Rose ever perform any work for you personally?
A. Yes, sir.
Q. What did they do?
A. Estate planning.
Q. What attorney from Proskauer handled
that?
A. Al Gortz.
Q. Do you remember when that was?
A. 2000 maybe.
Q. Well, if in '99, you thought Proskauer was billing excessively, why did you allow Mr. Gortz to do your personal estate planning?
A. He's got a good reputation.
Q. Did you pay those bills?
A. I did. They were also excessive. And I
called that to his attention as well.
Q. Was that resolved?
A. I just paid the bill.
Q. Wasn't worth getting that far into --
A. I just paid the bill.
Q. All right. Did - did the firm - did the
firm ever do any other personal work for you besides estate planning?
A. Not after that, ri.
Q. Did they do any personal work for you or your immediate family?
A. They did work for: Eliot, I know.
Q. What did they do for him?
A. Estate planning.
Q. That was in 2000 :'
A. Oh, I don't know. No, that was quite early on.
Q. For any of your other siblings?
A. No.
Q. Brothers? Sisters? No other immediate
family members?
A. I don't know. I don't know. I have a
couple of my other children who were investors in Iviewit and \(I\) don't know whether they ever had them do any work.
Q. Have you ever rezommended Proskauer Rose to anyone?
A. In the beginning, I might have. I don't remember.
Q. Who from Iviewit directed Proskauer to do the legal work?
A. Who from Iviewit? Brian Utley.
Q. Did anyone else? Mr. Hersh?
A. Oh, I don't know. I wouldn't think so.
Q. So the president and the \(C O O\) was in
charge of directing the legal work for Proskauer?
A. You know, yeah, I would think so.
Q. okay.
A. I mean, I think everything would have gone through them. So when you say directed somebody to do it, I would imagine he directed it.
Q. Before \(I\) forget, I'm going to jump back, so forgive me. Were there any formal resolutions of the board of directors that 3rian Utley was only permitted to have Proskauer oill up to five thousand dollars a month?
A. I believe it was in the minutes.
Q. Were there any sjgned documents about that?
A. I don't know.
Q. Was it a unanimous resolution of the board of directors?
A. Yes. Well, unantmous. I mean, the vote was -- The vote carried. I don't know whether it was unanimous. I mean, I don't know whether Mr. Utley abstained from voting or not on that particular issue. And \(I\) don't know that everybody was in the board meeting at that particular meeting.
Q. I'm going to show you a document that we will mark as Plaintiff's Exhibit Number 2 .
A. Do you want these back?
Q. Just put them in middle of the table.

I'm going to take them at the end.
(Thereupon, the above-referred to
document was marked as Plaintiff's Exhibit 2 for identification.)
Q. (By Mr. Prusaski) I'm going to ask It's a letter dated March \(28=h, 2001\). I'm going to ask you to take your time and look at that document and tell me if you've ever seen it before.
A. I don't think I've ever seen this, no.
Q. This is a letter from Christopher Wheeler
to Brian Utley --
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. -- regarding \(\$ 344.5\) thousand dollars in
invoices. Do you know whether a reconciliation was ever performed to determine whether these bills are due or not?
A. I do not.
Q. Okay. I'm going to show you a document that's marked Plaintiff's Extibit Number 3. It's a letter dated April 16th, 2001. I'm going to ask you to look at that and tell me if you've ever seen it before?
A. No, I have not.
(Thereupon, the \(\quad\) bove-referred to document was marked: as Plaintiff's Exhibit 3 for identification.)
Q. (By Mr. Prusaski) Do you know if a reconciliation was ever performed to determine whether the three pages of ir.voices attached are due and owing?
A. I do not.
Q. Okay. Have you ever seen this open
invoices account listing befcre?
A. These?
Q. Yes.

MR. GREENWALD: F'or the record, are you referring to the attachment which you marked as 3 ?

MR. PRUSASKI: Exhibit 3.
A. I have not.
Q. So you've never been through the actual bills that Proskauer's attached to its lawsuit to determine whether they're due or not?
A. I don't know what bills are attached to the lawsuit.
Q. Okay. That answers my question.

Let me show you a letter dated March
24th, 2000, which we will mark as Plaintiff's Exhibit Number 4.
(Thereupon, the above-referred to
document was marked as Plaintiff's Exhibit 4 for identification.)
Q. (By Mr. Prusaski; I'll ask you to look at this and tell me if you've ever seen it before.
A. I'm sure I have.
Q. The second sentence of the first paragraph says, Brian and \(I\) have an arrangement whereby Iviewit would pay \(\$ 25,000\) this past Monday, 25,000 on April 3rd, and 50,000 on April 17th.

Do you recall that being an arrangement?
A. You know, I - I recall having seen the letter. I don't have - recall ever agreeing to this being an arrangement, no.
Q. Okay. Did Mr. Utley have the authority
of the company to make that payment arrangement?
A. No.
Q. But he worked for the company for over a year after this letter was delivered, right?
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).

THE REPORTER: Yes?
Q. (By Mr. Prusaski) Why is that?

Yeah. You have to answer yes or no.
A. I didn't fire him. I should have, but I didn't.
Q. Okay. Who fired him?
A. The board.
Q. You were -- Did you attempt to have him fired before that?
A. No.
Q. Why not?
A. I just didn't.
Q. Okay.
A. I wasn't, you know, in charge of that,
so --
Q. Do you know if he responded to this
letter in writing?
A. I do not.
Q. You don't remember or you didn't respond?
A. I don't remember.
Q. Do you know if anybody did?
A. I do not know.
Q. Plaintiff's Exhibit Number 5 is a letter dated March 31st, 2000.
(Thereupon, the above-referred to
document was marked as Plaintiff's Exhibit 5 for identification.)
Q. (By Mr. Prusaski) I'll ask you to look at this document and tell me if you've ever seen it before.
A. Yes.
Q. Do you recall what your proposals were that are being referenced in the first two sentences?
A. I think the -- I believe that \(I\) suggested that - that one, we go back to the original agreement, and that we pay them the bills when we get them - when we get our prope: funding. And - Oh. And the other was that in order to avoid any conflicts, we would give them additional stock equal to the bill.
Q. And that was rejected by Mr. Wheeler?
A. I - You read the letter. That's what the letter says.
Q. Do you know if it was ever in writing that Proskauer wouldn't bill until Iviewit received certain funding?
A. I don't know.
Q. You don't recall ever seeing written documents --
A. No.
Q. . - pertaining to that?
A. No.
Q. Would that have been a verbal agreement?
A. It was a verbal agreement.
Q. Between whom?
A. It was between -. When I asked Mr. Lewin
to find a law firm under those arrangements and he came back with Proskauer, he suggested that that they would postpone the billings, that they would not bill for the stock, but that they would postpone the billing until such time as we got our funding.

And \(I\) agreed at that time that we would proceed on that basis. But it never from the beginning went that way. We started getting bills immediately.
Q. Before \(I\) forget, how much did you pay

Proskauer for your personal estate planning?
A. I don't remember. Twelve, fifteen
thousand.
Q. Okay. Over the course of how long did Mr. Gortz do work for you?
A. How long did it take to get the job done?
Q. Yeah.
A. Three, four months.
Q. Okay.
A. You know, it wasn't constant work. And
then I had the bill -- Then \(I\) had those bills reviewed by other attorneys, who have indicated that it was an excessive amount of money. But that was just my personal --
Q. What were the names of those attorneys? MR. GREENWALD: I'm going to object to
the extent it calls for him to violate the attorney-client privilege and any
communications he may have had.
MR. PRUSASKI: He already told me what
they are. What they said may violate a
privilege. Who they are certainly doesn't.
MR. GREENWALD: That's my objection.
MR. PRUSASKI: Are you instructing the
witness not to answer the question?

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MR. GREENWALD: NO.
Q. (By Mr. Prusaski) Who are the attorneys?
A. My son-in-law was one, David simon.
Q. Where does he work?
A. In Chicago.
Q. Big firm?
A. No, small firm.
Q. Hmm.
A. Does that make it: bad? Hmm?
Q. No.
A. Just wondered what the hmm was.
Q. I didn't always work at a big firm.
A. The other attorney, I don't know. I don't remember. Somebody from the club, but \(I\) don't remember. You know how you sit around and you talk about these things.
Q. And Mr. Simon and this other attorney objected to the amount of Mr. Gortz's billing on your personal estate planning?
A. Just said to me that it seemed high.
Q. I'm going to show you a document marked Plaintiff's Exhibit Number 6. It's a copy of the Answer and Affirmative Defenses filed by Iviewit. Have you ever seen this document before?
A. No.
(Thereupon, the above-referred to document was marked as Plaintiff's Exhibit 6 for identification.)
Q. (By Mr. Prusaski) This is the Answer and

Affirmative Defenses that have been filed in this lawsuit by Iviewit by their prior law firm, Sachs, Sax \& Klein on their behalf.
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. And if you flip through to page four to the affirmative defenses. I realize that you didn't write these, Mr. Bernstein, but \(I\) want to ask you if you know anything about them.

MR. GREENWALD: J.'ll object to form.
I'm - I'm not sure I understand. Would you mind rephrasing that? When you say does he know anything about them?

MR. PRUSASKI: I m going to point out specific defenses and J ' m going to ask your client to read them. And I'm going to ask him if he knows anything about them.

MR. GREENWALD: okay.
Q. (By Mr. Prusaski) If you look at paragraph 42 , it says that, the plaintiff did not provide any services to defendants, and thus, plaintiff would be unjustly enriched if permitted to
make any recovery.
Where it says, plaintiff did not provide any services to defendants, do you know what that means?
A. Not a thing.
Q. Well, the plaintiff did provide services to the defendants, right? They acted as their lawyers --
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. -- for several years. Do you have any other knowledge to support that statement that was filed in the affirmative defenses?
A. No.
Q. Okay. Paragraph 43, the second clause after the comma says that, plaintiff's claims are barred.
A. Where is this?
Q. Paragraph 43 after the comma, the second clause of the sentence says that, plaintiff's claims are barred because plaintiff has already been adequately compensated for its services.

Is that true?
A. In my opinion, it is.
Q. Okay. What do you mean by that?
A. Whatever we paid them was more than a enough. That's just my opinion.
Q. But as far as this lawsuit is concerned, you said you've never been through the bills --
A. No.
Q. -- that we claim are due?
A. You asked me whether it was my opinion, and I'm just telling you that in my opinion, it seems to make sense, whatever we paid them was enough.
Q. Okay. The next cocument I'm going to
show you is an Amended Affirmative Defense. And I'll mark this Plaintiff's 7.
(Thereupon, the above-referred to
document was marked as Plaintiff's Exhibit 7 for identification.)
Q. (By Mr. Prusaski) If you look at paragraphs 1 and 2 on the bottom of the first page First of all, have you ever seen this before?
A. No.
Q. Same as the last one. This is an Amended Affirmative Defense that your - I'm sorry - that Iviewit's attorneys filed in this lawsuit. And I'm going read to defense to you and ask you if you have any first-hand knowledge to support the claim.

Paragraph 1 says, plaintiff has failed to perform work under the terms of any oral agreement between the parties for which it seeks remuneration, for which it seeks payment.
A. I know what it means.
Q. Do you have any knowledge that tends to support that statement?
A. No.
Q. Paragraph 2 says, some work which may have been performed on behalf of the defendants was not performed at the defendant's request nor pursuant to any agreement.

Do you have any knowledge of any information that supports that statement?
A. No.
Q. Do you recall any other law firms that worked for Iviewit?
A. Yes.
Q. Do you remember what those names were?
A. Foley and Lardner.
Q. It's a patent firm, right?
A. Well, it's a law firm that does patent,
yes.
Q. They did the intellectual property work?
A. Some.
Q. Okay.
A. There was a firm before them, Melzer something. Goldstein something. I think there was one other firm after that - J. don't recall who it was - that did patent work in California. But I'm not sure of the name.
Q. Do you know if arly of those firms remain unpaid by Iviewit?
A. Do you want my -. To the best of my knowledge, Foley and Lardner may still remain unpaid. I don't know.
Q. Do you know why they may not have been paid?
A. No.
Q. Were their bills excessive?
A. I don't believe so, no.
Q. Was Iviewit havirg any money problems that rendered it unable to pey foley and Lardner's bills?
A. I don't know.
Q. Did Iviewit ever have any trouble paying its bills, not just to Proskauer, its bills in general?
A. I don't know. I didn't get involved in the day-to-day - There was always a shortage of
money, but we always paid bills.
Q. When you said you didn't get involved in the day-to-day, what do you mean?
A. I wasn't a director or - I mean, I
wasn't an officer or employee of the company.
Q. What was Bill Kasser's role in the company?
A. I believe he was hired by Mr. Hersh to do bookkeeping, pay bills and so on and so forth.
Q. Do you know if he did an adequate job?
A. From what \(I\) know of him, yes.
Q. Do you know if he ever did -- Do you know if he ever performed a reconciliation of the bills that Proskauer attached to the lawsuit to determine whether they were due?
A. No, I don't.
Q. Do you know if anybody did?
A. I thought Utley did, but I don't know.
Q. Do you know what the outcome of Mr. Utley's reconciliation was?
A. No.
Q. Have you spoken to Mr. Kasser lately?
A. Not for a few months.
Q. Yeah?

What -- Well, I guess you've talked to
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him this year, right?

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A. Yes.
Q. You've talked to him after the
dissolution of the board of directors?
A. Yes.
Q. Do you have a -- Are you friends with him or something?
A. No, he worked for me in another capacity for an insurance agency that we started up.
Q. If I tell that you Mr. Kasser testified in his deposition that did he a reconciliation of the bills in the lawsuit and he said that they were due, would you agree with that?
A. No, I wouldn't agree with it. But I wouldn't disagree with it either.
Q. Why wouldn't you disagree with it?
A. Because \(I\) don't know that he has.
Q. Have you ever been on the board of directors of another company besides the Iviewit entities?
A. Been on the board of directors of my own companies.
Q. Yeah.
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. What - what type of companies are those?

Furniture stores?
A. No. Insurance agencies.
Q. Insurance agencies?
A. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
Q. Are you familiar with Florida corporate
law?
A. No.
Q. Okay. What were your day-to-day
responsibilities as a board member?
A. Day-to-day?
Q. \(\quad \mathrm{Mm}-\mathrm{hmm}\).

MR. GREENWALD: Object to the form.
MR. PRUSASKI: What's wrong with the question?

MR. GREENWALD: It assumes that he had day-to-day duties as a board member.
Q. (By Mr. Prusaski) Explain how your job worked as a board member of Iviewit.
A. I would attend board meetings.
Q. How often did that happen?
A. At least once a month.
Q. Did you do anything between those board meetings that had to do with Iviewit?
A. Not directly, no.
Q. Indirectly?
A. My son was involved in the company, so I would have conversations with him.
Q. When?
A. Take --
Q. When would you review Proskauer's bills? During the meetings?
A. During the meetings, prior to the meeting, you know, an hour before, whatever.
Q. Would Mr. Utley attend the board meetings?
A. Oh, yes. That's who \(I\) would review them with.
Q. Did you tell Mr. Utley to tell Proskauer that their billings are excessive?
A. Yes.
Q. What did he say to that?
A. He said he was going to do it.
Q. Did he?
A. Did he? I don't know.
Q. Did he complain to Proskauer?
A. Did he?
Q. I'm asking you if you know?
A. You work for Proskauer. Did he?
Q. I'm asking you if you know. It's your
depo.
A. I have no idea. I assume he did, but I have no idea.
Q. Why do you assume he did?
A. Because he was told to do it.
Q. Did you ever see any documents that were cc'd to you or copied to you from Utley complaining about the bills?
A. No.
Q. Was anything ever done by Proskauer in response to Mr. Utley's complaints?
A. Well, it's obvious there was because you a saw a letter from Chris wheeler to me saying that he wanted to - to do something to - with regard to the bills. And obviously, we made offers to try and make, you know, try to make the bills come in line with what we could pay. And the letters speak for themselves.
Q. Did the board ever direct Utley to write any letters to Proskauer complaining about the amount of the bills?
A. The board made many strong suggestions to Mr. Utley that, to get the bills in order and that he was limited to five thousand dollars a month.
Q. When did you first learn that he wasn't abiding by the five thousand dollar a month?
A. Well, the five thousand dollars a month?
Q. \(\quad \mathrm{Mm}-\mathrm{hmm}\).
A. Probably the month after that that
happened. It's just - It was just like nothing happened, you know. He would do what he wanted.
Q. I'm - I'm - Mr. Bernstein, I'm just
trying to figure out why then Iviewit allowed Proskauer to do its general corporate work for at least another year after that.
A. You'd have to speak to Mr. Ut ley.
Q. Okay. You don't have an answer for that?
A. I don't.
Q. Okay.
A. I wish I did, but \(I\) don't.
Q. Who was responsible for receiving the
bills when they came in from Proskauer?
A. I don't -- Who is responsible?
Q. Yeah. Who was the person in Iviewit whose responsibility was to receive the bills when they came in?
A. I'm sure Chris handed them to Brian.

They were only across the hall.
Q. Oh, they were - they were walked over?
A. As far as \(I\) know. Maybe he mailed them.

I have no idea.
Q. Who was in charge of determining who would pay or whether the bills would be paid?
A. Mr. Utley. Excuse me. Phone call.

MR. GREENWALD: Go off the record for
just a second while he answers the phone.
(Thereupon, a recess was taken.)
Q. (By Mr. Prusaski) Who was the person at Iviewit who determined whether the bills would be paid?
A. Brian Utley.
Q. That was his job?
A. He -- You asked me if that's who determined it. And I answered yes. He was president, COO.
Q. Did the rates of the Proskauer attorneys at the time you hired - the companies hired Proskauer -- Let me start that over.

At the time the companies hired Proskauer, did you know what the rates of the attorneys were?
A. No.
Q. Why not?
A. I didn't ask, I guess.
Q. Didn't you think that was important?
A. Not really, because they were, in my
opinion, my understanding, was they were doing it for the stock. And so, you know, one way or another, if the rates were, whatever they were, I thought they would be charged fairly, be compensated by the stock. Q. So your understanding then, so I'm clear, is that Proskauer was going to perform legal work in exchange for stock?
A. Proskauer was going to perform legal work and - and was given stock so that their bills would be paid at a point when the funding became available. That was my understanding.
Q. Did you ever see a Retainer Agreement that was signed with Proskauer?
A. No. I didn't even know there was one.
Q. Have you seen or spoken to Chris Wheeler since Proskauer stopped doing work for Iviewit?
A. I might have seen him on a social
evening, you know, someplace socially.
Q. Do you know if Iviewit owes any money to Mr. Lewin's firm?
A. I believe it does.
Q. Do you know how much?
A. No.
Q. Fifty thousand?
A. Do you want to pick any other number? I
don't know what it is.
Q. Do you know that -- Do you know why

Mr. Lewin's firm hasn't been paid by Iviewit?
A. No. I suspect that Mr. Lewin hasn't been bothering him.
Q. Been bothering?
A. The firm, Iviewit.
Q. Do you know how long the money's been due?
A. I don't.
Q. You never asked?
A. I don't - didn't ask. And I don't care.
Q. Why don't you care? It's an outstanding
debt of a - -
A. Of what?
Q. -- of a corporation with which you were involved.
A. I also am involved with stock from IBM. I don't give a damn if they ever pay their bills.
Q. I appreciate your candidness. Are you planning to testify at the trial of this matter?
A. Are you asking me if --
Q. Are you planning to testify at the trial of this matter when this case goes to trial I guess in a couple months?
A. If I'm requested, I'll testify.
Q. Do you know what you're going to testify
A. That I'm Simon L. Bernstein and \(I\) live at 7020 Lions Head Lane.
Q. That's it?
A. That's it. And the truth.
Q. Do you know if Proskauer was paid a
retainer?
A. I don't.
Q. You testified that you directed Proskauer to do work at the very beginnting of the relationship with Iviewit?
A. Well, I and the rest of the folks involved, Mr. Wheeler, Mr. Lewin and so on and so forth.
Q. Did you --
A. We agreed that Proskauer would be our firm.
Q. Did you ever call any of the Proskauer attorneys and tell them to do specific work?
A. I don't recall. No, I don't think so.
Q. Okay. That was Mr. Utley?
A. Well, that was prior to Mr. Utley.
Q. Yeah. Who did -- Who directed Proskauer
to do work prior to Mr. Utley coming aboard?
A. There was very little work. We really didn't have anything to do. We were using - we were using the - Mr. Wheeler's facility on occasion for a meeting. And that was about it.
Q. Weren't the --
A. The company wasn't really set up yet.
Q. So the - the Iviewit.com, Inc., Iviewit

Holdings and Technologies?
A. I think Iviewit.com was the only one set up.
Q. Before Mr. Utley came aboard?
A. Yes.
Q. Who directed Proskauer to set that company up?
A. I probably did. Or Mr. Lewin did. One of the two of us.
Q. Or Eliot Bernstein?
A. No, he wouldn't do that.
Q. Why not?
A. Wasn't in the bustiness end of the business.
Q. He was more into the technological
aspects?
A. \(\quad H e\) was the inventor.
Q. Right. And you handled the - You and

Mr. Lewin took care of the business side?
A. For the short interim until Mr. Utley was hired.
Q. Okay. Who brought Mr. Utley in? Was it

Mr. Wheeler?
A. Yes.
Q. Did you find him to be experienced at the time that he came aboard?
A. Well, I found his resume to look quite enchanting, although it didn't - it turned out that his resume was neither complete nor quite factual.
Q. What, what do you mean by that?
A. Well, Mr. Wheeler - I mean, Mr. Utley
worked for another company, which I understand terminated him for practices jnvolved in - in something illegal with patents. I don't know what it was.
Q. He committed a crime?
A. I don't know if it was a crime, but \(I\)
know he was fired from this company for -
Q. Was --
A. -- work.
Q. Was it recently?
A. Prior to Iviewit.
Q. Immediately prior? Within the couple years?
A. Yes.
Q. So we're talking the late '90s?
A. Talking immediately prior to us reviewing him as a candidate.
Q. Do you remember any other particulars about that?
A. I know that he represented - that he was represented as the person who ran the IBM office in Boca and something like 17,000 employees that worked for him, which \(I\) found out later was not true.
Q. What is not true about that?
A. He didn't run it. There were other people who ran it. He worked for them.
Q. So his resume represented him as the person who ran the office when in fact you found out that wasn't true?
A. Either his resume or his description by Chris Wheeler that --
Q. Did you ever confront Mr. Utley with
that?
A. Not until \(I\) found out much later on.
Q. When did you find out?
A. Towards the end -..
Q. Towards?
A. -- of Mr. Utley's; tenure with Iviewit.
Q. Did you ever confiront Mr. Wheeler about
that?
A. I did.
Q. Do you recall when?
A. About the same time.
Q. What did Mr. Wheeler say?
A. I don't recall.
Q. Do you remember what you said to

Mr. Wheeler?
A. Just to the extent that he wasn't who we thought he was. That's all.
Q. Before I forget, you said that

Mr. Wheeler attended board meetings quite often?
A. In the beginning, every one.
Q. Yeah? Do you remember was that the
entire year of '99?
A. I don't remember.
Q. Maybe more? Maybe less?
A. Maybe less.
Q. Can you estimate how many meetings he
attended?
A. I think I said six, but --
Q. Six?
A. It might have been one, seven or eight or maybe five.
Q. Were what you say the excessiveness of Proskauer's bills, were they ever mentioned at those meetings when Mr. Wheeler was in attendance?
A. No. That was early on. That was early on.
Q. It was after Mr. Wheeler was no longer attending board meetings that the excessiveness of Proskauer's bills, you say, was discussed?
A. No, I would assume they started from the beginning. They just weren't as visible.
Q. What did Mr. Wheeler say when you sat down and talked to him in person about the excessiveness of Proskauer's bills? Did he say that he would take care of it?
A. He said he would look into it.
Q. Did you point out the specifics of what you had problems with to him when you met with him?
A. I don't recall.
Q. Okay. Do you know if anybody else from the company did?
A. Meet with him?
Q. Yeah.
A. I was told Brian Utley did, but --
Q. Eliot Bernstein didn't?
A. Not that \(I\) know of.
Q. Would he review the bills? You said it was more the inventor.
A. He might have. He might have reviewed them, but he was more the inventor.
Q. Yeah.
A. He relied on Brian Utley.

MR. PRUSASKI: That's a good place to
end. I have no further questions.
THE WITNESS: Thank you.
MR. GREENWALD: Do you have questions?
MR. SELZ: NO.
MR. GREENWALD: Okay. We will read it.
MR. PRUSASKI: I'll take a copy of it.
THE REPORTER: Steve, do you want a copy?
MR. SELZ: Yes.
MR. GREENWALD: It's exactly 3:15. How
about that?
(Thereupon, at 3:15 p.m., the deposition
was concluded.)

WITNESS CERTIFICATE
I, SIMON L. BERNSTEIN, do hereby certify
that \(I\) have read the foregoing transcript of my
deposition given on November 14, 2002; that, together with any additions or corrections attached hereto, it is true and correct.

WITNESS

SUBSCRIBED AND SWORN TO before me this \(\qquad\)
day of \(\qquad\) , 2002, by the witness who has produced a as identification and who did not take an additional oath.

NতTARY \(\overline{\mathrm{P}} \overline{\mathrm{U}} \overline{\mathrm{B}} \overline{\mathrm{L}} \overline{\mathrm{I}} \overline{\mathrm{C}}\)

My Commission expires:


\section*{REPORTER'S DEPOSITION CERTIFICATE}

STATE OF FLORIDA )
COUNTY OF BROWARD )

I, TAMARA EMERICK-MASCI, Registered Professional Reporter, certify that \(I\) was authorized to and did stenographically report the deposition of SIMON L. BERNSTEIN; that a review of the transcript was requested; and that the transcript is a true and complete record of my stenographic notes.

I FURTHER CERTIFY that. I am not a relative, employee, attorney or counsel of any of the parties, nor am \(I\) a relative or employee of any of the parties attorney or counsel connected with the action, nor am I financially interested in the action.

DATED this \(20 t h\) day of November, 2002.


> KEN SCHANZER \& ASSOCIATES, INC.
> \(209 \mathrm{~N} .20 \mathrm{th} A v e n u e\)
> Hollywood, Florida 33020
> \((954) 922-2660\)

November 20, 2002
To: Simon L. Bernstein
coo Steven I. Greenwald, Esç. 6971 N. Federal Highway
Suite 105
Roca Rato, Florida 33487

Re: Proskauer vs. Iviewit
Deposition of Simon L. Bernstein
The above-referenced deposition taken in the above-entitled cause on November 14,2002 , is now ready for signature. Please come to this office and sign same; or if you wish to waive the signing of the deposition, please so advise.

If this deposition has not been signed by December 4, 2002, or prior to the trial of said cause, or the signature thereto waived, we shall consider such delay a waiver of signature and proceed according to the Florida Rules of Civil Procedure.

If you have any reason which you would
like for me to place on the deposition as to your failure or inability to sign, please advise.


CC: All counsel of record.

IN THE CIRCUIT COURT OF THE
FIFTEENTH JUDICIAL CIRCUIT IN
AND FOR PALM BEACH COUNTY, FLORIDA
CASE NO.: CA 01-04671 AB

PROSKAUER ROSE, LLP, a New York limited liability partnership.

> Plaintiff.
vs.
IVIEWIT.COM, INC., a Delaware corporation, IVIEWIT HOLDINGS, INC., a Delaware corporation, and IVIEWIT TECHNOLOGIES, INC., a Delaware corporation,

Defendants.

> Boca Raton, Florida January 31,2003 \(10: 25\) a.m.

DEPOSITION
OF
ELIOT I. BERNSTEIN
- - - - -

\section*{APPEARANCES:}

PROSKAUER ROSE LLP
BY: CHRISTOPHER W. PRUSASKI, ESQ.
Appearing on behalf of the Plaintiff
SELZ \& MUVDI SELZ, P.A.
BY: STEVEN M. SELZ, ESQ.
Appearing on behalf of the Defendant
- - - -

I N D E X
Direct Examination by Mr. Prusaski
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Cross Examination by Mr. Selz 231
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DEPOSITION OF ELIOT IVAN BERNSTEIN, a witness herein, taken pursuant to the Rules and Notice hereinbefore filed, before ELIZABETH DAVILA SAINT-LOTH, Shorthand Reporter and Notary Public in and for the State of Florida at Large, at Proskauer Rose, LLP, 2255 Glades Road, Suite 340W, Boca Raton. Florida 33433 on the \(31 s t\) day of January. 2003, commencing at 10:25 a.m.

Thereupon:

\section*{ELIOT I. BERNSTEIN,}
a witness, having been first duly sworn in the above-entitled cause, testified under oath as follows:

DIRECT EXAMINATION
BY MR. PRUSASKI:
Q. Good morning, Mr. Bernstein. I'm Chris Prusaski from Proskauer Rose. We've met before.

Can you, for the record, state your full name please.
A. Eliot Ivan Bernstein.
Q. What is your address?
A. 10158 Stonehedge Drive or Stonehedge Circle, Boynton Beach 33437 .
Q. Are you a resident of Florida now?
A. I reside in florida, now.
Q. You recently moved from California?
A. I did.
Q. Okay. What was your address in Escondido before that?
A. 16975 Guejito Road, G-U-E-J-I-T-O Road, Escondido 92027.
Q. Okay. To get a couple of things out of the way before we start, just the rules of the depo -- and I'm sure you're aware of them because I know you've sat through a
couple of them so far in this case.
If, for some reason, I ask a question and you don't understand it, before you answer, please, tell me and I'll try and rephrase it the best \(I\) can.

If you answer a question, it's assumed that you understood the question. And because the stenographer needs to record everything that's said in this room, nods of heads, acknowledging a yes or a no. can't go down on paper.

If you need to take a break for some reason, just tell me; and you and Mr. Selz can take a break, as long as there's no question pending at the time.

What is your current occupation?
A. Inventor.
Q. Do you have an employer?
A. I am not sure.
Q. Do you receive a \(\mathbf{W}-2\) or a paycheck?
A. I receive unemployment compensation.
Q. Based on your employment with what entity?
A. It would have been with Iviewit.com, which is bankrupt -- or partially going through something, I am not sure what that is.
Q. Iviewit.com, Inc.?
A. Yes.
Q. Okay. The Delaware corporation that's a defendant
in this lawsuit?
A. I'd have to see the documents.
Q. I'm not going to mark this as an exhibit.

This is the answer that Mr. Sachs's client
filed -- Mr. Sachs's firm filed. Those are the defendants in this lawsuit.
A. So correct, it was one of the defendants in this lawsuit.
Q. The Iviewit.com. Inc. was your former employer?
A. Correct.
Q. What's your educational background?
A. A B.S. psychology, University of Wisconsin,

Madison.
Q. What year?
A. I don't know.
Q. Was it during the ' 80 s that you graduated?
A. Yeah. Yes.
Q. Do you have any postgraduate education?
A. No.
Q. Do you hold any licenses, like a lawyer. accountant?
A. No.

MR. PRUSASKI: I'm going to show you some documents that we'll mark as Plaintiff's Exhibit 1, composite.
(Whereupon, Plaintiff's Composite
Exhibit 1 was marked for identification.)
Q. I'm going to ask you to look at these documents in general, and tell me if you've seen them before.

MR. SELZ: Thank you.
A. Yeah.
Q. The document on top is a printout of a statement that's attached to the amended complaint as an exhibit.

MR. PRUSASKI: For your benefit, Mr. Selz, each of
the attorneys' bills underneath the statement on top are chronologically the statements that
are referenced in the amended complaint that
total the amount of money that's claimed by
Proskauer.
Q. Were these sent to Iviewit by Proskauer when you worked for Iviewit?
A. I am not sure if this set of documents you've provided for the court was sent to Iviewit at all. It conflicts with some of the bills \(I\) have.

I don't think it's a complete set of all of the bills, you can tell me better on that. So I am not sure why we're having a billing dispute which all of the documents aren't presented to me with all the detail. I think we've asked for that.

So these documents, I would have to say, were
given to, I believe, Brian Utley for, you know, reasons at a time where such documents became public to the company. The board of directors was irate. And it led to the term of .. one of the causes of actions against Mr. Utley's termination was these excessive and insane billings that weren't authorized by the board for things like transferring the company into a Distance Learning company, et cetera, without board approval.

Some of the billings for the stock transfers of Tiedemann/Prolow, which \(I\) think might be included in here, might not, which were done without proper consultation to the board.

You know -- so, you know. I might have seen part of these documents or there might be a lot more of the documents that are not here. And so yeah, I have seen part of these documents is my answer.

MR. SELZ: Can \(I\) make just an observation, Chris,
real quick. I've noticed there's a lot of pages in these documents that have -- are either blank or like have one line or something at the top.

Are these -- is there some reason why
there are blank pages in here that you know of?
MR. PRUSASKI: No, I don't --
MR. SELZ: I'm just curious as to whether
or not these are -- I've never seen -- in the copies of the billing statements that I have and have previously been attached to motions to the court, none of them \(I\) have ever seen have had this one line on the next page kind of view, and it seems pretty consistent throughout. This is what I'm talking about.

MR. PRUSASKI: My understanding is those are the documents that Proskauer sent to Iviewit, the bills.

MR. SELZ: Okay. Okay.
THE WITNESS: Is my office --
MR. PRUSASKI: Thank you for your answer.
You said .- that's the exhibit that we're going to attach to the deposition.

THE WITNESS: Is that an exhibit that was -- did you not just ask me if I've seen these documents in relation to this, or Iviewit.com? Because I've seen them in relation to the lawsuit, but not --

MR. PRUSASKI: To the lawsuit. Good point, and \(I\) appreciate you asking me that.

THE WITNESS: Right.
BY MR. PRUSASKI:
Q. When you were working for Iviewit, at the time

Proskauer was representing Iviewit, did you see the billing statements when they came in?
A. I saw different billing statements that addressed patent patterns, et cetera, that we were paying Proskauer to do, which \(I\) don't find a lot of it in here. I find missing and incomplete billing statements. These were given to Brian Utley.
Q. Well, those are the bills -- those are the bills that we allege in the complaint haven't been paid, and so that's why you're not looking at every bill that Proskauer ever sent to Iviewit.
A. Which ones did you pay? Do you have those?
Q. Well. I'm going to show you those, and we'll get into that later.
A. Okay.
Q. You said some things in your answer that interest me.

Brian Utley, you indicated, received the bills when they came in from Proskauer?
A. Yes. Okay, these bills.
Q. Was it his job to receive and review the bills when they came in from creditors?
A. Yes, it was part of his job.
Q. What was his role with the company?
A. His role was misrepresented to us by Proskauer as
an engineer capable of completing an engineering review: that's what he was first brought on for by Chris, who said that he had the engineering degrees and background to do an analysis for Real 3-D, another person Chris brought into the company. And --
Q. What I asked was what his role is. Was he the president?
A. Well, you're asking what the role was, I'm defining his role.
Q. Well, I'm narrowing it down. Was he the president?
A. Well, at first, he wasn't. At first, he was not.

He was hired on to review the technology for an engineering opinion for Real 3-D. so that was his first assignment.

After the completion of that review. Chris asked that we make him CEO; it was not approved by the board. He was approved for president and C00-- well, actually, he was approved for chief operating officer.

And Chris asked me to resign from president and turn my title over to Brian, which I did; and I took kind of a more passive role in the organization at that point. I became secretary, I believe.

And that was based on Chris's recommendation that he had all of the strengths and qualities of an excellent

CEO/president-type position and had the capabilities as an engineer to work for our company.
Q. Was Brian ultimately the president?
A. Brian was ultimately the president and COO.
Q. Did the board of directors know he was the president and COO?
A. Yes.
Q. What other -- who was the CFO of the company?
A. I don't believe anybody at the time.
Q. Was it Hersh?

Was Mr. Hersh ultimately the CFO?
A. Mr. Hersh was ultimately the CFO, I believe.
Q. Did he review the bills when they came in from Proskauer, Mr. Hersh?
A. Mr. Hersh did review the bills, I believe. I don't know what bills he reviewed.
Q. Did you review them?
A. Are we referring to this set of bills?
Q. No, in general.
A. Did I review bills?
Q. Yes.
A. I thought I was reviewing bills, but I guess Brian had a whole set of bills that nobody had ever seen that him and Chris had designed by themselves that, when it got to the board, obviously it became a huge issue where credible
members of Goldman Sachs and Arthur Andersen's personal financial planning division looked in and said what the hell is going on; why do we have all of these bills and no patents, when we're paying for patents; what are these bills about Distance Learning?

Then, they found out a bunch of other things about Distance Learning that had been going on between Mr. Wheeler and Mr. Utley that have driven these bills to large proportions. Then, they were incensed, if not infuriated. And I believe they put some kind of \(\$ 5,000\) spending limit on these two: but they had still gone way above that \(\$ 5,000\) limit and transacted wild amounts of bills.
Q. So it's Iviewit's position that Mr. Utley was agreeing to pay bills to Proskauer which you claim shouldn't have been paid?
A. They shouldn't have even been billed.
Q. When did you first learn about this?
A. Oh, God. Well, my dad really first started to ask some questions about what the bills were for. And then, there were several board meetings in which the bills became a very large focus of the meeting.

And everybody was concerned that we were being, you know, way overbilled, in light of the fact that we hadn't gotten the royalties promised by Proskauer for their
patent pools. which was kind of the deal we had worked was.
You know, there would be a larger bill to us because you were delaying payment until you got money out of the patent pools after Ken Rubenstein deemed them novel.
Q. Right, and we'll get into that.

But my question was: When did you first become aware of this?
A. I'd have to - I'd have to - I probably would be answering something you are not - I'm not exactly sure of.

MR. SELZ: If you can't answer --
Q. You can't -
A. I am not exactly sure of the exact date.
Q. I'll help you narrow when. Was it last year?
A. No.
Q. Was it the year before that, 2000, 2001?
A. Yeah. No, 2000 is accurate. End of the year.
Q. Well, Proskauer stopped representing Iviewit in about April of 2001, does that date ring a bell?
A. Yes.
Q. That's my understanding of when that happened.
A. Correct, yeah.
Q. Was it before or after that?
A. Before. Just like \(I\) said, it was the end -- it was mid-, end of 2000 that \(I\) became aware of some of the miss -- malfeasances that were happening on not only the
bill, but multiple other issues.
Q. Did you tell your lawyers about that after the lawsuit was filed?
A. Did I -- well, actually, the lawyers I thought were hired for the lawsuit against Proskauer, it wasn't even disclosed to me.

Actually, Wayne Smith of Warner Brothers notified me that \(I\) was being sued by both the involuntarily bankruptcy by Mr. Wheeler's friend. Mr. Utley; and Mr. Wheeler's lawsuit against the company were not disclosed --
Q. Proskauer Rose?
A. -- correct -- were not disclosed to all of the shareholders by the CEO who was put in, which was also a Chris Wheeler referral --
Q. Well, my question was: Did somebody mention it to Spencer Sachs's firm. who was defending Iviewit in the Proskauer lawsuit, about this alleged malfeasance that you were aware about -- aware of?
A. Yes.
Q. Who?
A. A representative brought in -- which we later, through your billings, noticed was a Chris Wheeler referral of Ross Miller. Ross was brought in by the investment firm that Chris Wheeler had also identified, so they put Ross
in.
Ross didn't notify any of the shareholders or board members that we can any evidence of that we were even in these suits. So we found out through -- you know, at the last minute, when Spencer Sachs was declining counsel for us -- and which is kind of why we're late to the game is, we didn't really get notification from our management that was, I guess, friends with Chris, that we were even in these.
Q. Well, who got -- I'm confused. Who got a copy of the lawsuit that Proskauer filed?
A. Ross Miller. Ross Miller.
Q. And is he the one that hired Sachs Sachs \& Klein to defend Iviewit?
A. I would believe so.
Q. Did you know anything about it at the time?
A. Not at that time.
Q. When did you get updated about this?
A. Several months after it was filed, I believe.
Q. Did Ross Miller know about what you are telling me is the alleged malfeasance of Utley?
A. Ross Miller did know about the malfeasances of Utley, correct. He was investigating those.

MR. PRUSASKI: Well, I'll show you a
document that's marked as Plaintiff's Exhibit 2
and Plaintiff's Exhibit 3, two documents.
(Whereupon, Plaintiff's Exhibits 2 and 3
were marked for identification.)
Q. I'll ask you to look at those, and tell me if you've seen them before.

MR. SELZ: Which one are you marking as 2 and which one are you marking as 3 ?

MR. PRUSASKI: The second affirmative defense is 2 ; the answer to the affirmative defense is 3.
A. Yes, I have seen them.
Q. When did you see these documents?
A. After \(I\) was notified that \(I\) had counsel
representing me in a case \(I\) hadn't known about before, so whenever Spencer Sachs and \(I\) had first talked -- whenever Spencer Sachs and \(I\) first spoke.
Q. Have you noticed that the allegations of Utley's alleged malfeasance with the bills aren't anywhere in these documents?
A. Yes, that's because this set of documents was prepared by Bill Kasser on affirmative defenses against -. I believe they were prepared by people like Ross and stuff. I don't --
Q. Well, these were prepared by Sachs's office and signed by Sachs's office. Those aren't the interrogatory
answers.
A. Right. And I don't think I did - - oh, what are these?
Q. Those are the answers and affirmative defenses filed by Iviewit's lawyers after the lawsuit was filed by Proskauer. Those aren't the interrogatory answers, which I think you're talking about, which we'll get to in a moment.
A. Okay.
Q. That's why it's important that, if \(I\) show you a document, you've got to flip through it, and \(I\) don't mind if you do.
A. Yeah, I will. I will. I will.

What's the date on this document?
Q. They're on the end, on the signature.

Have you seen those before?
A. I am going to take a look at them.

I believe I have seen them through this case. I'm not sure, but \(I\) might have seen these in the course of the case.
Q. Are you aware that your comments about Mr. Utley's malfeasance or the officers' malfeasance regarding Proskauer's bills aren't asserted as affirmative defenses in this matter?
A. I don't think anything that \(I\) would have to say was asserted in this because \(I\) don't think I'm part of that
assertion.
Q. Did you ever tell Mr. Selz, after you hired him, about the alleged malfeasance of Mr. Utley pertaining to the bills?
A. Absolutely.
Q. Okay.
A. Of course he was late to -- showing up, so he was retained --
Q. Right. He arrived in the case in July?
A. Right.
Q. Who else has information about -- or who you are planning on having testify at trial as to Mr. Utley's malfeasance pertaining to the bills, besides yourself?
A. I have an objection to that because it could possibly endanger the lives of people who \(I\) would list as --
Q. You have to answer the question.
A. There's no protection of people? I can't plead for people --
Q. No.
A. -- who could be in danger?

MR. SELZ: If you know of someone, you should say
it. If you don't know who they are --
Q. Well, let me ask you -- let me ask you this, and maybe it will make you feel better about your answer: Who
is going to be testifying for Iviewit at trial?
When Mr. Selz is told that he's allowed to put his case on after Proskauer rests at trial, what witnesses are going to be called besides you?
A. I don't know all of them at the moment but, you know, I would expect everybody that would be involved with knowledge of the malfeasances names would be David Culter (ph), Alan Epstein, Michele Mulrooney, James Jackoway, Alan Epstein -- I don't know if you've got that. There would be Maurice Buchsbaum, perhaps: Anthony Frenden, James

Armstrong, Jeff Friedstein, Donald Kane, Ken Anderson, Jim Osterling (ph), Mitchell Welsh.
Q. Mitchell what?
A. Mitchell Welsh.
Q. Okay. So all of the people you've mentioned so far are going to testify on Iviewit's behalf at the trial in April?
A. Would you like me to finish?
Q. No. All of the people you've listed so far are going to --
A. Yes.
Q. -- testify on behalf of Iviewit at the trial in April?
A. Yes.

MR. PRUSASKI: I'll be right back. We are taking
a minute break. I'm going to get the witness and exhibit list that these people aren't on.
(Whereupon, Mr. Prusaski briefly steps out of the room.)

MR. PRUSASKI: Okay. We're on the record.
This is the defendants' exhibit list.
which -- when we go on a break next time I'll copy and we'll appropriately mark it, but we'll
leave this as Exhibit Number 4.
BY MR. PRUSASKI:
Q. I'll ask you to take a look at that, and tell me if you have ever seen it before.

THE WITNESS: This yours?
MR. SELZ: I can't answer any questions you have.
Just go ahead and look at it.
A. It's not the whole --
Q. No. This document that's tabbed.
A. 85 ?

MR. SELZ: Right. Just that one.
A. Have I seen this?
Q. Yes.
A. No. This is the first time I've ever seen this.
Q. Okay. This is the exhibit list that your
attorney -- and witness list that your attorney filed on Iviewit's behalf, and it lists the people who you plan on
calling at trial. And the only people who are going to be allowed to be called at trial for the defendant.

And I'm noticing that none of these people that you just listed are listed here. I know who Raymond Joao is, he's the attorney in New York, and we'll get into him later.

Of the people who are going to testify that you
say as to Brian Utley's malfeasance with the bills. 'which one of those people listed are going to testify to that?
A. None.
Q. What about yourself and your father?
A. You know, I can only speak for myself.
Q. Okay. Do you know if your dad is going to -- your
father, is going to testify at trial?
A. I don't know. I haven't asked him.
Q. You are going to testify, I assume?
A. Correct.
Q. Any of the other people going to testify that you know of as to Brian Utley's malfeasance?
A. On this list?
Q. Yes.
A. No.
Q. Have you spoken to any of the people on that list recently?
A. I spoke to Gerri Lewin maybe two months -- no,
he's not on there. Nobody, he's not on here. Gerri Lewin was the name.

No. I haven't talked to any of these witnesses.
Q. So if you are limited to those witnesses on that list, how are you going to prove this Brian Utley malfeasance at trial?

MR. SELZ: Objection; calls for a legal conclusion.
A. You'll need my lawyer. Talk to my lawyer.
Q. Okay. So you are the only one that's planning on testifying as to the Brian Utley malfeasance?
A. I didn't say that. I did not say that.

I gave you a list of people that \(I\) think will
testify against Mr. Utley.
Q. They can't testify at trial because they're not on that list.
A. We'll see. Things change.
Q. So you are going to try and get those people to testify at trial, even though they're not listed?
A. Many more.
Q. Okay. Good luck, you are going to need it.
A. Okay. Well, we didn't learn about all of the malfeasances until we discovered all of your documents.
Q. Well, you told me -- well, you told me you knew about the malfeasance before the lawsuit was filed.
A. I knew -- you asked me if \(I\) had seen the bills. and \(I\) said that some of the bills started us to investigate some of the malfeasances against Mr. Utley.

The list of malfeasances against Mr. Utley, many of them were discovered, and we'll need additional witnesses after all of this.
Q. What do you mean "all of this"?
A. Well. we were in discovery. We had to rebuild the documents that Mr. Utley and Mr. Wheeler destroyed and never sent to California like they were supposed to.

We had to rebuild through talking to people about what had happened so that we can understand some of the Distance Learning billings and all of these kind of things that we had never seen before, so it took time. And there will be additional witnesses who --
Q. Were you telling your lawyer this when you were learning about it?
A. Well, my lawyer came on and probably filed that with -- one day after Spencer Sachs which - like I said, was hired counseled by Mr. Wheeler's friends, and I think he's got a personal relationship with Mr. Sachs, which might cause some conflict, or I'm not sure. You can ask him.
Q. Do you think Spencer Sachs' firm representing Iviewit was a conflict because Spencer Sachs and Chris

Wheeler were friends?
A. No, because Chris Wheeler recommended Ross Miller to the company.
Q. And Ross Miller hired Sachs' firm?
A. And that wasn't disclosed until we got these documents from you.
Q. So do you - are you intimating that Spencer Sachs didn't represent Iviewit well?
A. I would say that Spencer Sachs didn't represent Iviewit and its shareholders or its board or anything at all. He represented Ross Miller, and that was it.

We did -- we found out that --
Q. How do you know that?
A. Well, I know that because, as Spencer Sachs began to represent Iviewit, he told us that we had a large unpaid bill, I believe. That he had rung up with Mr. Miller, I guess, relating to this suit, I guess. And if we didn't pay, he wasn't going to represent us, which seemed kind of unfair with trial coming up.

So I had no time, I had to get an attorney. I thank the judge gracefully for allowing me the time to do that and prepare a case, which took a lot of time for Mr. Selz, who was fresh on the docket that day because of our counsel, which was hired for the trial --
Q. Hold on. You're getting beyond the scope of my
question.
A. Was I?
Q. Yes.
A. Okay.
Q. Listen to the question.

Well, first of all, who is Ross Miller?
A. Ross Miller was referred to the company by Chris: it's reflected as such in your billing statements.

Ross Miller was also friends with another friend of Chris that controlled the loans in the company, Mr. Hank Powell and Steve Warner of Crossbow Ventures.
Q. What was Mr. Miller's role with Iviewit?
A. He was acting CEO, as they put him in Crossbow: and they were -- you know, the secured creditors picking the management with Chris, I guess. But I didn't know that Chris was involved in that decision until \(I\) reflected on these bills you sent me.
Q. When did Crossbow - When did Crossbow become involved with Iviewit?
A. When ․ well, that's a great question. Ross Miller represented -. I don't know, but he has this representation to Crossbow that was never represented to us by Chris. He was introduced to the company very early on to be a CEO for the company by Chris. And then. more recently --
Q. Do you remember when?
A. I don't. It's in your billing statement.
Q. Well, I'm sure it is, but do you remember.
A. No.
Q. 2000. 2001?
A. No. I would say - I would say 2000, actually. 19 -- it could be -- no. Actually, Ross came in 1999, I believe.
Q. Was Crossbow funding Iviewit?
A. Not at that time.
Q. When did they start funding Iviewit?
A. I don't know the exact date.
Q. Do they own Iviewit now?
A. No.
Q. What's Crossbow's involvement, if at all, with any of the Iviewit entities now?
A. Talk to my counsel, I don't have -- my counsel has answers for that.
Q. Do you?
A. No.
Q. "Talk to my counsel" isn't an appropriate answer if you know the answer.
A. Oh. Then, no, I don't know the exact state of where the Crossbow situation is.
Q. Did Crossbow at one point own any of the Iviewit
entities?
A. Yes.
Q. Did they recently sell some of the Iviewit entities? Because I read a Palm Beach Post article a couple weeks ago, something about that, I don't recall exactly what.
A. I don't know. I didn't read the article.
Q. Nobody showed it to you or told you about it?
A. No.
Q. Did Crossbow at one point own Iviewit?
A. No.
Q. Did they have a controlling or ownership interest
in it?
A. They have an ownership in it, just not a controlling interest --
Q. Were any -- any people from Crossbow at all participating in the mediation on Wednesday?
A. No.
Q. Were you consulting with any people from Crossbow on the telephone at the mediation on Wednesday?
A. No.
Q. Who were you consulting with on the telephone at mediation?
A. My wife.
Q. Were you talking to Mr. Lamont at all?
A. During mediation, no.
Q. During any of the breaks at mediation?
A. Stephen Lamont, no.
Q. The night before?
A. Oh, yes. Every night, before --
Q. But not at all during the mediation?
A. Correct.
Q. Were there any principals or affiliates of Crossbow who you were consulting with at all during mediation?
A. No.
Q. Okay. So Crossbow had nothing to do whatsoever with the mediation in this case?
A. That \(I\) know of at this point, yes.
Q. When did Crossbow part company, as far as a business relationship with Iviewit?
A. Well, when they found, let's see, Brian Utley stealing patents; when they hired Blakely Sokoloff to find that out.
Q. When? When, is the question.
A. Their exact termination --
Q. That's an event; I want you to narrow it down to a date.
A. Somewhere in the - I believe the end of 2001.
Q. And that was the last time that any of the Iviewit
entities had any relationship whatsoever with Crossbow?
A. I didn't say that.
Q. When did Crossbow cease from entirely having any type of relationship with Iviewit, which is what \(I\) think \(I\) asked?
A. A few weeks ago.
Q. Okay. What happened a few weeks ago?
A. Crossbow had proposed a new company. I brought in counsel to set up a new company: we had worked several months to produce a new company. We were said to be \(50 / 50\) owners on the new company.

And then, unilaterally, they withdrew their offer and told us they had an assigned interest in the patents and that they were planning to do a deal with some company in Jacksonville, Distream or something.
Q. Does Crossbow have an interest in the pending Iviewit technology patents?
A. Oh, absolutely.
Q. Does Iviewit itself or you have an interest in the pending patents?
A. They have a secured loan on the pending patents, for which they've claimed assignment for, of which Iviewit finds part of this conspiracy.
Q. Involving Proskauer?
A. Correct.
Q. When did you find out about this?
A. About?
Q. The alleged conspiracy.
A. I'm still finding out about it.
Q. When did you first find out about it?

If you are still finding out about it, fine.
A. Well, a lot of it started with. you know, Brian Utley's resume becoming -- based upon false information. So we learned that we had been hoodwinked into a candidate who didn't qualify for what he was sold to the board by Mr. Wheeler to be.

That, in fact, the credentials Mr. Wheeler provided to such board and board members was misrepresented entirely, as to his past employer, where Mr. Wheeler now is believed to have had full information of Mr. Monte Friedkin's firing Brian.
Q. Okay. Let's talk about .-
A. Excuse me. Did I -- wạs I finished?
Q. Yes. Let's talk about --
A. Can I finish my answer?
Q. Because you weren't answering my question.

MR. SELZ: He's entitled to give an answer.
A. You asked me -- you asked me a very complicated question --
Q. How long is the answer going to be?
A. Can you read me back the question, please?

MR. PRUSASKI: No. Do not read back the question.

MR. SELZ: Chris, he's clearly entitled to give an answer to the best of his ability.
Q. The question was: When did you first find out that Mr. Utley and Proskauer were conspiring with Crossbow?
A. Okay. So \(I\) was explaining --
Q. A date.
A. Oh. Somewhere around 2 of ' 01 or something.
Q. February?
A. Maybe. Around there, possibly .-
Q. That's what I wanted, and I'll try and be more --
A. -- it could be a little earlier.
Q. -- and I'll try and be more clear, if I'm asking for a date or a specific explanation of a time, okay? So we can agree on that.
A. Great.
Q. So it was about February of ' 01 when you first started learning about this alleged conspiracy between Proskauer, Utley and Crossbow?
A. Correct.
Q. And why didn't your attorneys ever plead that as a defense to this bill collection lawsuit?
A. Because they were attorneys not hired by me.
Q. Did you hire Mr. Selz?
A. Yeah.
Q. He never pled that as an affirmative defense.
A. He wasn't up to speed at that point, and he pled to his events --
Q. So you knew about this in 02 of ' 01 , February of 2001?
A. Correct.
Q. And you hired this attorney sitting next to you in July of 2002, a year and a half later?
A. Yes.
Q. And he didn't know about that at the time when he filed these -- when he filed papers in this case?
A. You would have to ask him, but I wouldn't think he could because he had to start reviewing all of the documents before he could confirm that there was actually a conspiracy going on.

He was brought in very late, so it took him a lot of time to review documents that were sent to him overnight, because the counsel representing us prior was Mr. Wheeler's friend. So it's hard to not see the conflict coming there. But Ross Miller .-
Q. Is this conspiracy the basis for the counterclaim documents that you and your attorney filed -. I guess sent me on Tuesday night?
A. Yeah.
Q. When did you first tell your lawyer about this?
A. Which lawyer?
Q. Mr. Selz.
A. Mr. Selz was brought in by another lawyer,

Caroline Rogers.
Q. Right. When did you first tell him about the conspiracy? A date.
A. You would have to defer that to Caroline Rogers who was my acting counsel at the time. And she -
Q. With what firm is Miss Rogers?
A. She's private.
Q. Where is she located?
A. Chicago. She contacted Mr. Selz, so that - I wouldn't know what the first date was.
Q. And she explained everything to Mr. Selz?
A. I am not sure. You would have to talk to him about it.
Q. Did you and Mr. Selz ever have a conversation about this alleged conspiracy?
A. Yes.
Q. After he -- how long after he started representing Iviewit did you have this conversation?
A. I don't recall. I don't recall.
Q. Was it shortly thereafter?
A. I would say it was - I started to explain what was happening piece by piece through sending him multiple documents over time so that he could understand the complexity.

By the way, I had to rebuild all the documents because none of the corporate record was sent to me and all of the computers were locked out. And Mr. Utley stole a bunch of our computers, which he later had to return via police court order or whatever, police order. So it took us a lot of time.
Q. Does -- does July of 2002 ring a bell --
A. It doesn't ring a bell.
Q. -- to you as to when you hired this attorney?
A. I don't recall.
Q. Does it sound accurate?
A. I don't recall.
Q. Okay. Was it last year that you hired this attorney?
A. I don't know.
Q. You don't remember if it was last year, which was less than 30 days ago?
A. I didn't hire this attorney. I didn't hire this attorney, so \(I\) don't know.
Q. This attorney, Mr. Selz.
A. I did not hire him.
Q. Who hired him?
A. Caroline Rogers.
Q. With your consent?
A. Yes.
Q. You consented to the hiring?
A. She has a power of attorney for me.
Q. Okay. How do you spell Caroline Rogers' last
name?
A. Caroline Prochotska, P-R-0-C-H-O-T-S-K-A, Rogers.
Q. Rogers with a \(D\) ?
A. No.
Q. \(\quad R-0-G-E-R-S\) ?
A. Correct.
Q. Do you know her address?
A. I don't.
Q. She's located in the City of Chicago?
A. She is.
Q. She's a solo practitioner?
A. I believe so.

MR. PRUSASKI: I'm taking a one-minute break.
I'll be right back.
(Whereupon, a recess was taken from 11:12
to 11:20 a.m.)

BY MR. PRUSASKI:
Q. Mr. Bernstein, when we left we were talking about

Crossbow. You indicated that Crossbow had pulled out of some sort of venture with Iviewit about two weeks ago; is that correct?
A. Several weeks ago.
Q. Okay. Sometime in January, though?
A. I don't know the exact time. I wasn't --
Q. Okay. You can't remember if it was before or after New Year's?
A. I wasn't involved in the discussions, so I don't know --
Q. Who was involved?
A. Several of my attorneys.
Q. Mr. Selz?
A. No.
Q. What other law firms are representing you?
A. I don't know.
Q. I don't want you to tell me -- I don't want you to tell me what you told your attorneys.
A. I don't know. I don't know.
Q. Well, I don't want you to tell me what you told your attorneys because that's confidential.
A. I don't know.
Q. You don't know what law firms are representing Iviewit?
A. That is correct.
Q. Okay. Who would know?
A. Caroline.
Q. This attorney in Chicago?
A. Yeah.
Q. Okay. Who authorized her to hire these attorneys on your behalf?
A. Me and Stephen Lamont, the acting CEO.
Q. Is he testifying at trial, Mr. Lamont?
A. I would presume he would be additionally required at this point, with the allegations stated set forth.

MR. PRUSASKI: Exhibit 4, okay? Your
copy.
Can I see Exhibit 1, please.
Mr. Bernstein?
The whole thing is Exhibit 1 . Thanks.
I'm going to keep them in the middle of the table because as the deposition progresses,
there are going to be a lot of documents flying
around, and I don't want to lose anything.
Q. So as of this date Crossbow doesn't have anything to do with Iviewit, except it owns some of the pending patent applications?
A. I didn't say that. They have assignment to the pending applications.
Q. Okay.
A. And I don't know what their transaction with their third party is.
Q. Is Iviewit still doing business today?
A. Yeah, I would assume it is.
Q. Okay. Did they lay you off; is that why you are receiving unemployment compensation?
A. The company had no money, and I explained the situation to the unemployment office. And they had counted it as employment; that the company was in involuntarily bankruptcy and had no funds, and that \(I\) was the only person there left, because my prior management hired by Mr. Wheeler had abandoned us -- and abandoned us with no documents or anything. So \(I\) was the only person to act on behalf at the time with the shareholders.
Q. Who are you referring to as the "prior management," Miller or Utley?
A. Prior management would have been -- well, Utley: then Miller; then, Maurice Buchsbaum, Ray Hersh. All your defendants were Chris Wheeler's friends.
Q. I thought -- well, you said Utley abandoned the company?
A. No. I'm just saying that management had all been abandoned.

So Utley's replacement Ross Miller, who was brought in to cover for Utley's malfeasance, as he was
terminated by the board. All of that combined was that Maurice Buchsbaum, who was also referred by Mr. Wheeler and was a director of the company as well as management of the company. Mr. Hersh was also brought in by Mr. Wheeler, abandoned the company. Mr. Kasser, I believe is related to Mr. Wheeler; he abandoned the company.

And so all of the management basically abandoned at different stages of this. So \(I\) was left holding a company with no management, basically. So at that point. I did do things to protect my shareholders, as I uncovered the evidence leading to the conspiracy charges herein.
Q. Don't all of these people you just mentioned claim that Iviewit owed them money -- still owes them money?
A. Yes. Yeah. they're Chris Wheeler's friends.
Q. Okay. But they all claim that Iviewit still owes them money? That's why they left.
A. I don't know what - no. As a matter of fact, I don't know if they still claim.

Mr. Utley filed an involuntarily bankruptcy on the company. I don't think he pursued it; that was as the allegations against him were unveiled.

Mr. Hersh was a part of that involuntarily bankruptcy; he's also another friend of Mr. Wheeler's. And Rigel is a subcontractor of Real 3-D, which was Mr. Wheeler's referral for an engineering study. And Rigel
is a subcontractor of Real \(3-D\) who tried to steal the image output technology once with Mr. Wheeler writing a document that got rejected by Foley \& Lardner.

So the three of them pursued an involuntary against the company. Maurice Buchsbaum took no action against the company --

MR. PRUSASKI: I don't even remember what my question is, do you?

MR. SELZ: Wasn't it: These people were owed money by the company; is that correct?

MR. PRUSASKI: Didn't they claim that they were owed money by --

THE WITNESS: No. You'said do they still claim -- do they still have claims against the company, so I'm answering your question.

MR. PRUSASKI: Okay.
THE WITNESS: Sorry that you can't listen long enough to retain it. But if you have trouble, can't she read it back for us?

MR. PRUSASKI: Are you going to take personal shots at me through this deposition?

THE WITNESS: I haven't taken any personal shot.

MR. PRUSASKI: You're sorry that \(I\) can't listen long enough? That sounds like a
personal shot --
THE WITNESS: You just said I can't -
well, you were actually affronting my answer in the midstream of it -

MR. PRUSASKI: This lawsuit has nothing to do with me personally.

THE WITNESS: -- by saying that you could not remember your own question, that's all.

MR. PRUSASKI: This lawsuit has nothing to do with me personally.

THE WITNESS: I didn't take any shot.
MR. PRUSASKI: Okay. I feel like you did.
THE WITNESS: I explained -- okay, Well, I'm sorry for that.

MR. PRUSASKI: Okay. Thank you.
BY MR. PRUSASKI:
Q. Okay. The next question I'll ask --
A. Did you want me to finish that?
Q. No.
A. No?
Q. I don't think you are -- well, if you asked me if I want you to finish, no. I don't think you're answering the question. If you insist on continuing, please continue.
A. Would you like me to answer the question?
Q. Yeah. Don't all of those people still to date claim that Iviewit owes them money?
A. No, they do not.
Q. Okay. Thank you. You answered the question.

So who is currently running Iviewit; who are the principals of the company?
A. Stephen Lamont and myself.
Q. Okay. But you have no idea who the attorneys representing Iviewit -- and you are a principal-- you have no idea who the attorneys representing Iviewit with the Crossbow deal are?
A. Caroline Prochotska is making the main representation, and she's chosen a team of lawyers from varied firms. And you can find that information out by calling her.
Q. Why did Crossbow pull out of a venture with Iviewit recently?
A. Call Crossbow. I can't make an answer based on --
Q. You do not know the answer to the question?
A. No. They just pulled out and basically violated good faith negotiations midstream and said they were assigning our patent assignments to some other company.
Q. Do you know what company has the assignments right now?
A. Yeah. Distream, I believe. I don't know these
things to be fact.
Q. Distream?
A. Yeah. \(\quad D-I-S-T-R-E-A-M\).
Q. Where are they located?
A. Jacksonville.
Q. What kind of work is that company involved in?
A. I don't know.
Q. Who told you this, your attorney, that Crossbow had assigned its interests in the intellectual property to Distream?
A. Caroline Rogers.
Q. Caroline. The attorney in Chicago?
A. Right.
Q. But you say there are other attorneys representing Iviewit in the negotiations with Crossbow besides Caroline Rogers?
A. Correct.
Q. Other law firms?
A. Law firms.
Q. Are they Florida or Illinois law firms?
A. I don't know all the details about them.
Q. You have never seen any of the bills from them?
A. I've never met them, seen bills, paid bills, or anything.
Q. Who is handling all of that?
A. I don't know.
Q. Miss Rogers?
A. I don't know how she's handling her affairs.
Q. What are your current positions -- what is your current role at the company? Do you have a title?
A. No, not that \(I\) know of,
Q. Are you a president?
A. I would be acting president right now. Stephen Lamont would be acting CEO, but we're not sure because of the damage done by Proskauer to our companies, if they are even our companies.
Q. Where does Mr. Lamont live?
A. New York, I believe.
Q. He's a lawyer by trade, isn't he?
A. I don't know.
Q. You don't know what Mr. Lamont's background is?
A. I believe he graduated Columbia Law School.
Q. What did he do between graduating Columbia Law School and going to work with Iviewit?
A. Worked for a variety of technology companies.
Q. Did you hire him?
A. Yeah.
Q. Okay. So what was the deal with Crossbow before they pulled out?
A. I don't know the parameters.
Q. Well, you say they stepped out of a deal in good faith recently; is that correct?
A. Correct.
Q. You seem to have formed an opinion about that. So what were the facts that led rise for you to have an opinion?
A. I wasn't on most of the calls, so you would have to refer to Miss Rogers.
Q. If the deal had happened, what would have
happened?
A. You would have to refer to Miss Rogers.
Q. You have no idea, sitting here, looking at me in the eye? You are telling me you have no idea what the deal would have been?
A. I knew parameters of different stages of the deal after they had been contemplated and completed, but I wasn't in the daily negotiations of these meetings, so I don't know what the parameters were all throughout or what they would have been at the end and why they did all that. I wasn't in on those calls.
Q. Was Lamont?
A. No.
Q. Just Rogers?
A. Correct.
Q. So you have this lawyer in Chicago running all of
the shots for Iviewit right now, without any input from you or Lamont?

MR. SELZ: Objection to form.
Q. You can answer the question.
A. Yes. I put input to her. I don't know what happens to that input as she negotiates.

Mr. Lamont has asked her to negotiate the legal strategies for the company; she is a lawyer, he is not. And obviously, we needed a lawyer to deal with some of these friends of Mr. Wheeler's who are pursuing all of these legal actions against the company.
Q. Is Crossbow pursuing legal actions against Iviewit?
A. Yes. Obviously they're pursuing assignments against the company's patent portfolio, so I don't know how you would classify that legally.
Q. Have they sued Iviewit?
A. I don't know the technical terms, Miss Rogers would.
Q. You don't know about any lawsuits that Crossbow filed?
A. I don't.
Q. So when Miss Rogers notified you that Crossbow had pulled out of the deal, did she explain why it happened?
A. You know, I don't think I asked for an explanation
because \(I\) just said once a snake, twice a snake, three times always a snake.
Q. Well, the first time they were a snake what happened?
A. The first time they were a snake, they pulled funding when they had promised funding. They interfered with my clients. They interfered with my management.

Then, they conspired to steal technology, I guess, through some people that they flew out here to a company, Zio sync, I believe, or something.
Q. Was Crossbow involved in your alleged conspiracy by Proskauer?
A. Yes.
Q. When did you first learn about that?
A. I'm still learning about it.
Q. When did you first learn about it?
A. Well, I first learned about Crossbow. I believe, conspiracy as they're making assignments.

See, what happened was .- in a board meeting they told the board that they were securing their notes to protect Iviewit's shareholders from Chris Wheeler's lawsuit and Brian Utley's involuntary lawsuit, and that the action was a mechanism using the security to protect the assets of Iviewit from Proskauer and Utley.

So we assigned -- we took a secured interest with

Crossbow based on that claim. They have now called their secured interest as part of an attempt to claim the asset.
Q. When did you first find out --
A. That happened all over through a long period of time, so...
Q. When did you first find out?
A. First \(I\) would have found out, perhaps the end of 2000 and -- no, I don't know the exact answer. I can't recall.
Q. What year?
A. I can't recall.
Q. 2001?
A. I can't recall.
Q. Before 2001?
A. I can't recall.
Q. Was it before the Proskauer lawsuit --
A. No.
Q. -- was filed? It was after?
A. Yeah.
Q. Did you tell your attorneys at the time?
A. Yes.
Q. What did they do about it?
A. Well, my attorney Caroline has been working with people to protect me. Mr. Utley came out after being terminated, and they found patents had been written into
his own name going to his house without assignment to the company, et cetera.

And he came out and basically told me that my life was in danger if \(I\) continued to pursue to be vocal about the fact that, you know, his background was clouded and that these patents were found - well, that malfeasances were occurring is how \(I\) could basically couch that. And he said that him and Chris would bring down the company brick by brick.
Q. Utley said this?
A. Yes.
Q. When was this?
A. This was around end of 2000, in the January period.
Q. So you started learning about a conspiracy around that time?
A. Well, you know, the real -- you know, again, you ask about conspiracies. And you know, with hindsight, I could basically call it a conspiracy. But the real first conspiracies I learned of .. if you're asking for the whole conspiracy, is Ray Joao's work.

Actually, let's go back. It starts really when we found that Ken Rubenstein wasn't a partner with Proskauer at the time he was represented. That was found out by, I believe, Don Kane of Goldman Sachs and Jeff Friedstein of

Goldman Sachs.
Q. Okay. Well, this is getting into an area -
A. You were asking me --
Q. Right.
A. Okay.
Q. This is getting into all of the allegations of the counterclaim specifically which, as of right now, isn't a part of this lawsuit, so I'm not going to depose him on the allegations in the counterclaim, which I don't think you are going to get filed anyway.

If something strange happens and you get it filed, we'll come back and we'll have a very. very long deposition on the allegations of the counterclaim.

Right now, this deposition deals with those bills that we claim aren't paid and your defenses to our claim that they are not paid, okay --

MR. SELZ: Let me just go on to say: The scope of the deposition obviously is within your control. You can ask him anything under the sun you want to ask him about.

You've got the counterclaim in front of you. If you want to ask him questions or the questions relate to whatever he's talking about, where you go with it is up to you.

MR. PRUSASKI: I'm going to ask him dates
of some of these events because they pertain to some of the defenses in the complaint and in the answer to the affirmative defenses.

The allegations of conspiracy, the specifics of those allegations in the papers that you've filed --

THE WITNESS: Who filed?
MR. PRUSASKI: -- less than -- you know, 48 hours ago, the proposed counterclaim. I am not asking those questions because I don't think I'm ever going to have to ask those questions. And if \(I\) do, we'll come back and we'll ask those questions, okay.

So this deposition deals with the bills
that we claim aren't paid in Iviewit's defenses to those bills.

BY MR. PRUSASKI:
Q. Okay. So let's backtrack a little bit. And let's talk about -- you and Mr. Lamont are currently running Iviewit?
A. Correct.
Q. Nobody else?
A. Correct.
Q. Does it have any employees?
A. No.
Q. Where is it located? Do you have an address?
A. In my house.
Q. In your house in Boynton Beach?
A. Correct.
Q. And you moved from Escondido to Boynton, when,

December?
A. Yeah. Approximately.
Q. Like before Christmas?
A. Two weeks. A few weeks ago.
Q. January?
A. I'm Jewish, so I go by the Jewish holidays.
Q. Okay. Christmas is December \(25 t h\).
A. Great.
Q. So a couple of weeks ago you moved from Escondido to Boynton Beach? You permanently reside in Boynton Beach now --
A. Uh-huh.
Q. -- and Iviewit's office is in your house?
A. Correct.
Q. Okay. Where is Mr. Lamont located?
A. In his home in New York.
Q. No employees? Iviewit doesn't have employees?
A. You said other than you and Mr. Lamont --
Q. Yeah. Yeah.
A. -- does Iviewit have any employees?
Q. Correct.
A. No.
Q. Does it have income?
A. No.
Q. Now, after Crossbow pulled out of this venture, which you say isn't in good faith, did that seem to end the income stream for the companies?
A. Yes.
Q. Are there any other deals pending with any other entities out there to fund Iviewit to take the company off? MR. SELZ: Objection to form.
A. Ask me that question again.
Q. Are there any deals pending right now between Iviewit and any other companies or entities besides Crossbow that could result in Iviewit going back into business and making money?
A. Yes. The answer is there are patents pending that if those deals, if you would like to call them deals, close with the patent offices after all of the malfeasances just caused by my legal staff -- if they survive that, those deals are yes, obviously, shy of income revenues. As Chris Wheeler knows, since he sold this to most of his customers.
Q. Now, these patents that are pending, these are different than the patents that are assigned to Crossbow, or does Crossbow have an interest in every single one of
the pending patent applications?
A. You would have to defer that to Caroline Rogers.
Q. You have no idea?
A. It's so screwed up, the patent work that's been performed by Proskauer. Foley and Meltzer Lippe, that it's hard for me to know or follow any of what's going on.
Q. So what is the company doing now?
A. Well, we're positioning ourselves to deal with the malfeasances, get our patents back together and file properly. And if they are not. we're preparing the liability suits against those who have perpetrated such crimes against us.
Q. But if Crossbow has an interest in the patents and you claim that the patents were -- what did you say, screwed up --
A. Yes.
Q. .- by the lawyers?
A. Yes.
Q. Has Crossbow indicated that that's the case?
A. Crossbow's been made aware of that. They hired counsel Blakely Sokoloff Zafman \& Taylor to uncover the patents going into Utley's name, that we were unaware of as a company. So they made decisions based on that to get Utley's patents back into the company name.

They made changes in the patents, based on

Blakely's analysis which things were screwed up; and that's what they did. So I would assume they were aware that there are problems, and they are aware further that there are problems based on the analysis by Greenberg Traurig. I believe. I'm not sure who it is actually, but \(I\) believe it's a partner or something of them. I'm --
Q. Is Crossbow aware of your allegations of malfeasance by Utley pertaining to Utley approving Proskauer's bills?
A. I don't know.
Q. You haven't talked to anybody from the company about that?
A. They are aware of the entire belief that the company was in danger of Brian Utley. They are aware of all allegations the company had up until the point they stopped funding. At that point they issued --
Q. Is there any correspondence from Crossbow anywhere where Crossbow talks about the alleged malfeasance of the former principals of Iviewit?
A. No. They fired them. They actually closed down the office here in Boca Raton, fired all of the employees overnight. Hank Powell was disturbed in a board meeting, which I believe we have notes on or some kind of --
Q. When you say "they," you mean Crossbow?
A. Yeah. Crossbow came to the board meeting. Hank

Powell asked Brian why he hadn't let everybody go and let himself go, like he was supposed to, and send the corporate records to the California offices.

Brian said that -- let me just think what his words were at that time -- that the employees were going to be given furlough; even though it was a board decision that they be let go of immediately as we were finding that money might be -- being stolen from the company.

Property was being requested of our employees to steal for Mr. Utley on his behalf, property that eventually was stolen and transferred to one of the investors that Mr. Wheeler represented.

In your documents -- I think I finished it. Does that answer it basically?
Q. Yeah. But how did Crossbow have the authority to fire all of the employees at the time back in March of 2001?
A. It was a board decision.

And what they were saying was, based on Utley's being caught lying at Paramount Pictures about his background, and that his resume was a lie, and that there were all of these other background allegations going on, that Mr. Utley needed to be terminated.

Part of the problem was that we had a business plan for Wachovia Bank which Mr. Utley unilaterally,
without board approval, turned into a Distance Learning company with the aiding and abetting of Proskauer --
Q. Wait. Wasn't my question --
A. Yes.
Q. Wasn't my question, simply: How did Crossbow have the authority to fire all of the employees?
A. Well, I'm getting to that.
Q. You are talking about like some movie studio.
A. Well, no, I'm getting to it. I'm saying Wachovia Bank -- Wachovia -- you asked --
Q. Please get to it.

Well, the question was: How did Crossbow have the authority to fire the employees?

And the answer was: Well, Crossbow ran the company, they were on the board; or, Crossbow owned the company.
A. It was --
Q. I mean, how did they have the authority to say: I want to fire the employees?
A. Here is what they did. They said that they would not fund the company without Brian Utley and his service group and all of the management that was related to Chris Wheeler being fired and terminated on that date to protect the company and their assets from any further damages against the company. And they also asked the board to take
secure positions on the loans to protect against Proskauer Rose's actions and Brian Utley's actions.
Q. Does Iviewit have any documents from Crossbow that explain their displeasure with the principals of the company?
A. I don't know.
Q. You have never seen any?
A. All of the documents have been destroyed pretty much. We've been left with some documents that are frauded, as well as an incomplete set of records transferred by Mr. Utley who, in a board meeting, was assigned to do such.
Q. Do you recall ever seeing any documents at any time from Crossbow that expressed displeasure with the former principals of Iviewit?
A. Yes. I know that they are aware of and expressed displeasures to third parties that could be called as witnesses for us, and told that they heard that Crossbow was very displeased with what was going on.
Q. Who at Crossbow specifically was very displeased with Utley in particular?
A. Hank Powell. Maurice Buchsbaum.
Q. When is the last time you spoke to Hank Powell?
A. Before he was fired from Crossbow. Maybe - I don't know. I can't recall.
Q. Last year?
A. I can't recall.
Q. Has it been over a year since he was fired?
A. I do not recall.
Q. Okay. I'm going to help you recall.
A. Okay.
Q. Was it last year?
A. I don't know.
Q. Was it before the Proskauer lawsuit?
A. I am not sure.
Q. Was it within the last five years?
A. Yes.
Q. Okay. Was it within the last two years?
A. I don't know.
Q. What circumstances was he fired from Crossbow?
A. Call Crossbow.
Q. You have no idea why Mr. Powell was fired from Crossbow?
A. Perhaps for being involved in this conspiracy to steal my technologies.
Q. Mr. Powell was involved in the conspiracy?
A. I am not sure if Crossbow is involved, although they were referred to us by Chris Wheeler who spearheads the conspiracy. But, you know, you don't find these things out when there's a conspiracy until after the conspiracy is
over.
Q. So Mr. Powell was somehow involved in a conspiracy?
A. Well, Mr. Powell secured the loans of Iviewit. And what happened was, to be quite honest, a member of Warner Brothers was flown out to meet with Crossbow -
Q. Wait a second. Was Buchsbaum - just so I don't get confused --
A. Yeah. You're going to get real confused.
Q. -- was Buchsbaum involved in the conspiracy?
A. Buchsbaum is related to Chris Wheeler, so we're not sure yet 100 percent.

But, you know, under further investigation and more documents being provided to us from you and other people who can rebuild the corporate record, he very well might be part of the conspiracy.
Q. So you think he might be --
A. I did not say that.
Q. Do you think he might be?
A. I don't know --
Q. You don't know what you think?
A. -- and until I get all of the records and documents, I don't know.
Q. You don't know what you think?
A. I know exactly what I think.
Q. Do you have a hunch?
A. I don't make things -- statements like that about people until \(I\) have actual facts to do that.
Q. So these people. Powell and Buchsbaum, might be involved in the --
A. Powell might be.
Q. - let me finish - in the grand conspiracy with Proskauer, yet you threw both of their names out earlier in this deposition as people who are going to testify for you at trial?
A. Perhaps.
Q. Okay. When is the last time you spoke to Buchsbaum?
A. I can't recall.
Q. Was it within five years?
A. Yes.
Q. Are you friends?
A. Yes.
Q. Are you friends with Powell?
A. I don't know the answer to that yet, until the truth comes out in this matter.
Q. If you called them right now and asked them to lunch, would they go with you or would they hang up on you?
A. I wouldn't call them until \(I\) need them as witnesses in this case.
Q. Okay. Where do they live?
A. I don't know.
Q. Florida?
A. I have no idea.
Q. Well, if you might need them as witnesses, you have no idea where they live?
A. Not today.
Q. Okay. What are you planning on doing in a month when you have to go to trial to find them?
A. I wouldn't, my attorney would.
Q. Okay. So these people have knowledge -- these people are former Crossbow principals who may have knowledge --
A. Maurice was a former employee and Hank Powell was a board director of Iviewit as well, so we get that all down.
Q. Okay. But they were on the board of Iviewit?
A. Correct.
Q. But you have no idea where these guys live?
A. Correct, not today. I know where they were whenever I last saw them.
Q. Who hired them to the board of Iviewit?
A. Chris suggested that we put them on the board. And Chris was attending all of the board meetings and controlling the company so, you know --
Q. How was Chris Wheeler controling the company?
A. By putting in all of his friends, which would be basically his friends; Brian Utley, Ray Hersh, Maurice Buchsbaum, Hank Powell, Steve Warner, and pretty much anybody who is on your side of the witness list.
Q. Mr. Lewin?
A. Lewin and wheeler are good friends.
Q. Didn't you originally go to Lewin to find lawyers, and you eventually found Proskauer through Lewin?
A. I didn't, my father did.
Q. How did you know Lewin?
A. I didn't, my father knew him.
Q. Simon Bernstein knew Lewin?
A. Correct.
Q. And when was this, when you were looking for lawyers? Let's go back to the beginning.
A. I can't recall.
Q. Was it .-
A. It was when I discovered the technology.
Q. Late '99 ring a bell?
A. Early '98, mid-'98.
Q. You discovered the technology in early to mid-'98?
A. Correct.
Q. And for my benefit, if you had to explain what the technology is to a person who doesn't have any computer
savvy whatsoever --
A. Yeah.
Q. - how would you do it? Help me out here.
A. Okay. The ability to zoom on images, single image files. without pixilation, without using software programs. So just on a simple - the problem first confronted by us was pixilation.

So for the simple person to understand what the problem was, was we had carried through a bad habit. Since early times you've seen the painting on the wall, the canvas matches the frame.

When you started in computers and you built a picture on the computer, you matched the size of the image to the frame. Therefore, when you went to zoom on such image, you have what was commonly referred to in prior art as pixilation.

Therefore, there wasn't this ability to drive in through a virtual world on a \(2-\mathrm{D}\) image any further than a little bit without -- because you had no further reference data.

So \(I\) simply came up with an idea that you should be well aware of -- you're my patent counsel, and having your patent counsel review but, for your explanation, blow the picture up, as Chris Wheeler has told many people, to the size of the Empire State Building and, then, put it
back in that frame digitally so that you really have a picture that's monstrous behind that frame. And as you go to zoom, you have this unbelievable experience of trues (sic) being in an environment, which Chris boasted about.
Q. How long did it take you to develop that?
A. Oh, my God. How long did it take?
Q. You came up with it around mid-'98, you said?
A. Yes. Yes. And it took me oh, God, over four. five years.
Q. So there came a day when you and your father --
A. Did you want me to finish?
Q. Oh. I thought you were. I'm sorry.
A. No. I also was working on a technology that the holy grail of the Internet was termed by pretty much everybody in the engineering world to be full screen, full frame rate video at low band width -- full frame rate video at 30 frames per second through low band width.

I'm a psychology major, as I told you. So it was very simple to me, once I had a vision of it, why it wasn't working. And the mathematics will never work. but I can see or that most engineers could see; that's why it was the holy grail. But what happened was. I saw it differently.

If you understand interlacing, it's the splitting of a single frame of video, I've worked -- for 50 years, since the television was introduced, they had a band width
problem -- well, I'm going to explain it for a simple guy.
So what you do, so that you don't have jitter -which, if you remember, in the 1950 s type projection what you saw was this jittering going on. It drove your mind and optic center crazy to see this jitter: plus, you started to have audio sync difficulties. So a psychologist recommended interlacing, which is the splitting of the frames sending those two frames down the pipe and, then. rebuilding with a photon gun on the other end -- which is what your TV has been doing since TV.

What \(I\) came up with was this slightly different idea, build the image in a quarter screen, send it in a corner screen, blow it up on the user end, optically fool the mind, a 75 percent savings in band width, which was heralded by Mr. Wheeler in fact, who everybody - as having been the holy grail discovery of the decade, worth billions, as he claimed to everybody who he had invest in the company, as well as many other people which we will be calling in to witness now that we know of the conspiracy, who will testify on direct testimony.
Q. All right. I just wanted to know about the technology, and you're flying off on a tangent.
A. The third is - okay. The third is remote control applications of video, and that would be the bulk of the discoveries that we brought to you.
Q. Okay. So in about March of '98 you had developed this technology?
A. I did, somewhere in '98.
Q. Okay. And you had come to a point where you decided you needed lawyers to facilitate the development of this technology?
A. We've -- we felt that we needed to design a company that would protect the technologies or find the best mechanism. My dad asked Gerri Lewin, Gerri brought in Chris.
Q. What were you doing for work between college and the time that you built this technology; what was your profession?
A. I had invented two -- I had invented two -- well, you asked it. Do you want my entire employment history through that period?
Q. Well, generally, what were you doing?

If I came up to you and said: What have you been doing the last ten years, what would you say?
A. Which ten years?
Q. Between the time you graduated college and the time you hired Proskauer, what were you doing --
A. That was 20 something years. Do you want to know each of my employments throughout the ten years, or would you like .-
Q. Was it in the same profession?
A. No.
Q. What were you doing?
A. Okay.
Q. I don't need to know what employer you were with and how much money you made, what was your job?
A. Okay. I was creating multimedia --
Q. It's not a trick question.
A. It's fine.
Q. It seems like you think it's a trick question, it's not --
A. You've asked me for a 20-year period of my life, what was \(I\) doing for my occupation; I've been doing several things.
Q. Okay.
A. This is the first part of the answer.
A. I had developed some insurance products. I sold and marketed those insurance products; I built the multimedia tools around those products. And I built a paperless environment for the insurance industry using scanning technologies for underwriting, et cetera, which I was in the process, by the way, of working on very heavily at the time of these discoveries, because insurance was categorically one of the things that \(I\) always did.

On the other hand. I moved rock and roll bands
around the world. And then, there might have been various other jobs throughout that that \(I\) did to earn a living.
Q. Was your work in insurance all throughout the 'gos from, let's say, ' 90 to ' 98 when you developed this technology?
A. No.
Q. What else were you doing during the '90s?
A. Rock and roll, freight forwarding and --
Q. What years was that?
A. That was -- I don't recall. Somewhere -
Q. In the early ' 90 s?
A. I can't recall.
Q. Were you doing it at the time when you developed the technology, moving the rock and roll bands?
A. I have been creating the technologies for a long time, but no; not at that particular time, to be specific.
Q. When you worked in insurance products, who were your employers?
A. STP Enterprises and Allianz, SP Lexington Southwest, and \(I\) believe that's about it.
Q. What years did you work for STP Enterprises?
A. I'm not sure of the exact years. About 15 years.
Q. You worked for them for 15 years?
A. Yes.
Q. What year did you leave that company?
A. I don't recall.
Q. Was it during the '90s?
A. Yeah.
Q. You don't remember what year you started?
A. I started in -- when I was a kid, so it's hard to --
Q. Shortly after you graduated from college?
A. No --
Q. What was your first --
A. -- I worked through college, right. I had my -- I was working through my own company, SP Lexington Southwest through --
Q. SP Lexington Southwest was your company?
A. Yes.
Q. What type of business was it in?
A. Insurance.
Q. What was your role with the company; were you the president?
A. I was.
Q. Is that a Florida company?
A. No. It was Wisconsin and California.
Q. Did that company have employees?
A. Not that \(I\) can -- not that \(I\) can recall.
Q. Were you the only employee?
A. Yeah. Maybe.
Q. It was a closely held corporation: you were the president and basically ran the company?
A. Correct.
Q. Okay. Did you pay the bills for that company?
A. Yes.
Q. Did that company ever have lawyers that were hired to represent it?
A. Just in the formation.
Q. Allianz, how do you spell that?
A. Yes. A-L-L-I-A-N-Z.
Q. Was that your company?
A. No.
Q. Why are you laughing at me?
A. I don't know. It's just it's a major public global company, I just thought --
Q. Okay. I've never heard of it.
A. I was laughing in the sense that \(I\) would like to own it.
Q. I guess me too, if it's a major public company, right.

When did you work for them?
A. I don't recall the years.
Q. What was your title and role with them?
A. It was just sales.
Q. What did you sell, insurance?
A. Uh-huh.
Q. Your dad is in insurance, isn't he?
A. Correct.
Q. That rings a bell from his deposition.

Did you ever enter into any ventures
insurance-wise with your father?
A. Yeah. Joint invent products.
Q. Which ultimately led to the technology that we're talking about today?
A. No. Yeah, kind of. But yeah, the two -- not inventions for technology, but inventions for insurance products. So we invented no-load life insurance, which is noncommissioned life insurance, which me and my brother invented. And then, me and my sister and her husband, with my father, invented arbitrage leverage life insurance.
Q. Is that like a type of insurance policy or is that computer technology related to insurance products?
A. Boy you ask a funny question.

Computers are the backbone to the insurance industry, so almost all products are computer centered; meaning, from the actuarial calculations to the underwriting material. The product formulation, it's all very computer intensive.

And I was very concerned about the paper that we were using because our product is a very legal beast. It
involves a lot more legal documentation than just buying a life insurance policy, and it was very costly in paper. So I was in the process of designing for my father and Allstate a paperless environment, where buying insurance could be transacted between the underwriters and the agents and the carrier without paper.

And it was not a concept at the time that you would consider today to be novel, but at that time it was pretty much blowing away even the biggest carriers. Now everybody is doing it, so. But it was in the -- working on the invention of trying to make the transactions less computer savvy. I have been involved with computers for many years.
Q. How long did you run SP Lexington Southwest?
A. I can't recall.
Q. Was it more than five years?
A. Yeah.
Q. Was it around ten years?
A. Could be.
Q. So you were the principal and you ran this closely-held company for --
A. Years.
Q. -- a ballpark figure of ten years?
A. Yes.
Q. Okay. It could be a little less. it could be a
little more?
A. Correct.
Q. Okay. Have you run any other companies besides SP Lexington Southwest and Iviewit?

Were there any other companies before Iviewit that you were the principal of?
A. Not that I can recall.
Q. Since Iviewit was formed, have there been any other non-Iviewit entities that you have been the principal of?
A. No.
Q. So in mid-'98 you have invented a technology that could be revolutionary. And you and Mr. Simon Bernstein decide that you need lawyers, and your father decides to approach Gerald Lewin, the accountant, correct?
A. Correct.
Q. Did you know Mr. Lewin at the time?
A. Yes. I had met him at my dad's club.
Q. They lived in the same neighborhood or still do, right?
A. Yeah, a block or two apart.
Q. Right. So they're social friends from the club. as well as neighbors?
A. Correct.
Q. Okay. And it was your dad's idea to approach

Mr. Lewin?
A. Correct.
Q. You were okay with that?
A. Correct.
Q. Did you participate in the initial meeting with Mr. Lewin regarding finding attorneys?
A. I don't recall.
Q. Who came up with the name Proskauer Rose, was it Mr. Lewin or your father?
A. Yes, Mr. Lewin.
Q. Had you ever heard of Proskauer?
A. Never.
Q. Okay. Did you do any research about the firm at that time?
A. I did.
Q. What did you find out?
A. That they were A rated. That I could trust my inventions with them, if \(I\) were to choose them as my patent counsel --
Q. Okay.
A. -- because Mr. Lewin wanted us to get patent counsel, so he was -- see, I don't -- you asked about that first meeting, no.

So Mr. Lewin was looking for patent counsel and recommended Proskauer, and I did do research at that point
is the answer.
Q. And you learned that they were \(A\) rated -- \(A B\) rated --
A. Yes.
Q. -- and you felt from your research that you could trust Proskauer?
A. Absolutely.
Q. What did Mr. Lewin say about Proskauer?
A. He said Chris Wheeler was a very dear friend, and I could trust him with all of the inventions and processes of which we had discovered. And that - you know, that it would be wise for me to secure his services.
Q. Were there any other lawyers -- I'm sorry. Were there any other law firms that were recommended as well as Proskauer at that time?
A. Yeah. There were other law firms in consideration at the time.
Q. Do you remember which ones they were?
A. Some like Irell and Manella \(I\) was considering. Richard Rossman ( \(p h\) ) had referred a few. So yeah. there were other people that were starting to approach us with law firms.
Q. And Proskauer ultimately won out?
A. Correct.
Q. Why?
A. Ken Rubenstein. Chris came and told us that Ken was with Proskauer. I looked up Ken. He was significantly one of the best, brightest minds in technology that were dealing with what \(I\) had discovered.

So at Mr. Wheeler's behest we brought -- you know.
I believe that Mr. Wheeler made the representation not only that Ken was qualified, but that Ken deemed them novel and unique; that he controlled the patent pools that would eventually use such scaled video and image applications for DVDs, et cetera. I don't know if he was doing DVDs at the point, but that he controlled these patent pools.

So to us it was a very good decision, and we did trust him most definitely.
Q. So we're still in mid -'98, right, you're doing your research on Proskauer?
A. No. Proskauer would be later '98.
Q. Last quarter of '98 we're talking about?
A. Yeah, somewhere around there.
Q. And Rubenstein was with Proskauer then?
A. That's the representation that was made to us.
Q. Did you do any research on that, to see if Rubenstein was with Proskauer?
A. No. Not until later and when somebody notified us - -
Q. I was confused by your last answer.

I was under the assumption that during your research of Proskauer you had learned that Rubenstein was with the company.
A. No. I actually ended up. after Rubenstein had had several conversations with me, being advised by Don Kane of Goldman Sachs and Jeff Friedstein that they had done some research and that Ken Rubenstein was with either one of two firms, a Mineola firm of Meltzer Lippe or some other firm I can't recall the name of but that - after their review. that he was not at Proskauer Rose at such time.

So I respectfully requested \(A l\) Gortz to confirm that he was with the company. And quite to our surprise, he really wasn't with the company. According to Mr. Wheeler, he was in the process of transferring from this Mineola firm. And --
Q. So at the time you were initially looking into Proskauer because Mr. Lewin recommended the firm, you didn't know about Ken Rubenstein?
A. Based on Chris Wheeler's representations.

You asked me if I researched the firm, not the partners. I researched the firm because -
Q. Okay. During your research of the firm you didn't know anything about Ken Rubenstein?
A. Only what Chris Wheeler was telling us.
Q. And Chris Wheeler represented to you that

Rubenstein was with the firm?
A. He represented that he was a partner of this firm. Proskauer Rose.
Q. Verbally or in writing, did he make that representation?
A. Verbally. And then, in writing, I believe we would have to look at some of the verbiage of what he used to make representations to other people even at the time.
Q. No, to you. To you.
A. To me it was, you know, it was verbally -
Q. Okay.
A. -- that we had Ken Rubenstein who opined and was the end pegged centered guy.
Q. Okay. And --
A. We just trusted him, that he was partner. I didn't go check -- I didn't check if you were a partner today.
Q. Fine. So you made the decision to hire Proskauer. And when was your first meeting with Proskauer?
A. I would say with Chris or - Chris as a member of Proskauer --
Q. Yeah.
A. -- somewhere around 11 of '98.
Q. That meeting was with Mr. Wheeler?
A. I believe my dad met with him once prior and,
then, yeah with Mr. -- my father.
Q. When did you ultimately make the decision to hire Proskauer?
A. Right about there.
Q. Yeah?
A. Yeah.
Q. There was an agreement at that meeting with you and your father that Proskauer would represent Iviewit --
A. No. Chris was --
Q. -- at the time, you and your father?
A. No. No.

We had to go through a machination first before he would represent us. Chris Wheeler said that he would have to have Ken review the technology to see if there was a reason to represent uṣ at all.

Ken was going to review and also opine for the two and a half percent stock. And I guess there's a committee here that -- you know, taking stock in my company had to go through, et cetera.

Ken was going to be the opiner (sic) on if they were, quote, novel; and he had to do the research and blah, blah, blah (sic). And then, we would sign formal agreements, which never occurred -- that's why obviously you don't have a retainer either; but there might be one out there from that period, I don't know. I am not sure.
Q. So it was a verbal agreement that Proskauer would represent Iviewit, is that what you are saying?
A. I'm not sure. The corporate record, as I
mentioned, has been destroyed by Mr. Utley, so it's hard to know.
Q. So you are not sure. But whether it was verbal or in writing, your recollection of the events is that it was about November of 1998 when this agreement was made, that Proskauer would handle the representation?
A. No. Like I said. it was at that time that 1 met Mr. Wheeler.

And after that time, we went through a small
machination of -- it might have been a few weeks -- where Ken Rubenstein was interfacing with me, having talks, learning how to download the video from me, checking into the Web site, all of these kind of things, to learn about the technologies on a private and confidential basis of course.

So it might have been -- you know, somewhere around one or two Chris Wheeler wrote a letter saying there are the three steps.
Q. January or February of '99?
A. I'm not - I can't - -
Q. What do you mean by one or two?
A. Somewhere around there.
Q. Oh. So you are referring to the number of the month. I got confused on what you meant.
A. Okay.
Q. January, February of '99?
A. Correct. And after Mr. Rubenstein had so opined that we had novel and unique processes .-
Q. You're going way off the topic again.
A. Okay. I'm sorry.
Q. When is when. And you've said January. February of '99. I'm satisfied with that, that's it.
A. Okay. Okay.

Well. I wasn't sure if you were talking about when a formal arrangement was entered into after you had started providing services for us, which would have been nine months later than that. So I wasn't sure if that's what you wanted. And your answer was .-
Q. No.
A. -- the time that we engaged you formally.
Q. No.
A. Okay.
Q. When did Proskauer start first doing work for you and your father?
A. Right about that time, when Ken started reviewing the patents. I mean -- not the patents, the technologies, excuse me.
Q. When did Proskauer do personal work for you?
A. You know, the -- I don't remember when Al Gortz's bill was. But somehow he billed me a year later for it in '98. but I am not sure that correlates correctly with the personal work of the estate.
Q. In '98?
A. That's --
Q. We're in January, February of 'g9 now, when Proskauer first started doing work for Iviewit.
A. Yeah, right.
Q. Was this work personal work by Al Gortz in '98?
A. Well, Al Gortz billed for it in 'g9 but put a '98 date on it. So I'm not sure why he did that, and it's been confusing to me ever since I've looked at these doctored bills.
Q. So the bill for the personal work that Al Gortz did for you came in '99, but showed that he did the work in ' 98 ?
A. Yes. Take a look at your billing records.
Q. That's not very nice.
A. No. I'm just saying it's in here, if you want to look. I don't know the exact --
Q. All right. The comments -- that's kind of like personal towards me, and I don't even want to do that.
A. Okay.
Q. If I ask you a question: "Why don't you look at your billing records" isn't a very nice thing to say.
A. Sorry. I'm sorry. You're taking things that aren't personal personal.
Q. All right.
A. I just meant that they are contained within the document.
Q. Okay. What type of personal work was it, was it estate planning?
A. Yeah.
Q. You said estate planning for your father as well?
A. I don't know.
Q. Did Proskauer Rose do any personal legal work for anybody else in your family?
A. Not that I'm aware of.
Q. Were you satisfied with Al Gortz's work?
A. I had it reviewed by another estate planner who thought it was terrible, but .-
Q. Who?
A. Michele Mulrooney of Armstrong, Hirsch, Jackoway, Tyerman \& Wertheimer.
Q. Where is that located?
A. In Los Angeles.
Q. Mulrooney, M-U-L-R-O-O-N-E-Y?
A. Correct.
Q. Armstrong?
A. A-R-M-S-T-R-O-N-G.
Q. Yeah, I know. What's the second word?
A. Hirsch, H-I-R-S-C-H.
Q. Third word?
A. Jackoway.
Q. JA C -
A. KO WA Y.
Q. And when did she review this work for you that \(A l\) Gortz did?
A. While he was doing it.
Q. While he was doing it?
A. Yes.
Q. In '99?
A. When he was drafting it, whenever.
Q. What did she say about it?
A. That there were problems that she felt that we needed to address.
Q. Did you pay Proskauer's bill for the work that Al Gortz did for your estate planning?
A. I believe so.
Q. Do you remember how much it was?
A. I don't. I don't think I paid it personally.

I think -- I don't know how it was paid.
Q. But it was -- you claim it was a doctored bill or
the dates were wrong on it?
A. I am claiming that in the doctored bills here that - -
Q. I'm just talking about the bill for the work \(A l\) Gortz did.
A. It's part of this bill here, this whole set. so Q. Okay.
A. -- so when and where he did it is a question of his time line, not mine. Meaning, it wasn't in the '98 billings, but it shows up very strangely in some of the records we have that he starts billing for something in ' 98 when it's already late '99. And \(I\) think that the comment is that it was an error or something. But we'll-I don't have it all here. But --
Q. But you never called or wrote a letter to Al Gortz about that, the problems you had with the bill for the personal services, did you?
A. No. That's after we were ceased doing business with you guys, I spotted some inconsistencies with the billing statements, the --
Q. So the first time you saw the bill - are you saying that the first time you saw the bill that Al Gortz did personal work on was after the lawsuit was filed?
A. Yeah.
Q. Who received the bill for the personal work that

Al Gortz did at the time the bill was sent?
A. I think he gave it to Brian Utley or my father perhaps. I don't know.
Q. Because of the great conspiracy Utley never showed you the bill and you didn't find out until after the lawsuit was filed?
A. No. Like I said, they had came to me and asked me to pay a personal bill for Al Gortz's services, and \(I\) paid it late, you know, at whatever time period. But it wasn't reflected in the bill --
Q. But you paid it --
A. Somebody did. I don't know who did.
Q. -- so it's not a part of this lawsuit, the work that Al Gortz did?
A. It's in this bill.
Q. Where?
A. In '98. 11 of ' 98 I believe, if my memory serves me.
Q. So the work that Al Gortz did on the bill says that he did it in 11 of '98?
A. I believe 50.
Q. Wasn't that around the time that you were looking into Proskauer to do work for Iviewit?
A. If you go by your time line.
Q. Okay. So you're saying --
A. Remember, I have problems with these documents.
Q. You're saying that Mr. Gortz - your testimony is that Mr. Gortz didn't do the work in 'g8, he did it in '99. and that he misrepresented the time on the bill?
A. Correct.
Q. Was there anything else that was wrong with the bill for personal work which you know of?
A. Personal work?
Q. Yes. We're talking about the estate planning work --
A. No.
Q. -- where Mr. Gortz allegedly misrepresented the date.
A. No. Other than that - that it's missing some of. I would assume, are the debt - I would have to review the entire bill, since \(I\) don't have the entire bill from -since the corporate record's been destroyed by Mr. Wheeler's referral Mr. Utley. And you will not provide - -
Q. Who - who destroyed the corporate records for Mr, Gortz's personal work?
A. I would assume Mr. Utley and Mr. Wheeler.
Q. Well, you just said that the documents were destroyed by Mr. Utley and Mr. Wheeler.
A. Correct.
Q. You know for a fact that they were or are you making an assumption, because that's a pretty strong accusation?
A. It could be strong or not.

I'm -- I feel pretty confident that the
document -- corporate record has been, after reviewing your documents especially that were provided by court order -- I feel there's large gaps in the corporate record that have been destroyed both by this firm and Mr. Utley.
Q. Well, they weren't provided by court order. We allowed you to come in and look at them.
A. Well, however you want to view it.
Q. So the personal work that Mr, Gortz did for you, the personal estate planning work that he did in '99 and you claim he wrote '98 on the bill, that's been destroyed?
A. The original bills.
Q. I don't want to talk about Iviewit's work from Proskauer.
A. The original bills have been destroyed.
Q. Let me finish.
A. Oh, okay.
Q. I don't want to talk about Iviewit's work from Proskauer.

I want to talk right now, this question, about the work that Mr. Gortz did for you for estate planning.
A. Yes.
Q. Where are those files?
A. Destroyed.
Q. How do you know that? How do you know they're not on our shelves?
A. Well, I thought they were supposed to be a part of what was here. So if all is here was everything that you have worked on on my behalf --
Q. No, this. The documents that are -
A. Oh, okay. So \(I\) just said, I'm going off the bill here to make my estimate on when Mr. Gortz did his work: although, I don't believe that that's the original bill.
Q. Have you ever heard anyone tell you that Proskauer destroyed any records?
A. Yes.
Q. Who?
A. Several people.
Q. Identify them.
A. Anthony Frenden. Anthony Frenden. And not only records .-
Q. How do you spell Frenden?
A. \(\quad F-R-E-N-F-R-E-N-D-E-N\).
Q. Don't get ahead of yourself.

And who is this guy?
A. He was working for Iviewit at the time at --
Q. He was an employee?
A. Yes.
Q. Where does he live?
A. I believe somewhere in California.
Q. How old is Mr. Frenden; approximately?
A. I don't know. I don't know, 30 maybe.
Q. 30-ish?
A. Yeah.
Q. What did he tell you?
A. He told me that they were locking us out of the computer files, that there was some shredding going on of documents.
Q. By Proskauer?
A. By Utley. By Utley.
Q. I want to talk about who told you Proskauer destroyed files. We'll get to Utley.
A. I said by looking at the documents I thought were supposed to be provided here in completeness and the billing statements you have submitted, I would assume that Proskauer has made destruction of documents that alter the state -- you might be right, they might be sitting on your shelves.
Q. So it's an assumption?
A. Yes, correct.
Q. You do not have any factual basis that Proskauer
in fact destroyed bills; it's an assumption, correct?
A. Correct, yes.
Q. Now, Frenden is the person who told you that Utley was destroying records?
A. Right. And also pointed out that Brian had stole several of our highly proprietary computers with documents. et cetera, inside them. And it was brought in to do an analysis after receiving such computers to determine the files that have been destroyed --
Q. You are going off on this wicked tangent again.
A. Okay.
Q. Just focus, Eliot. Stick with the question.
A. Okay.
Q. Mr. Frenden told you that Utley was destroying --
A. Are you attacking me?
Q. Not at all. You are frustrating me.
A. Okay.
Q. If I sound frustrated, forgive me.
A. Okay.
Q. But to take a deposition, you have to listen to the questions and answer the question.
A. Okay. I'm trying.
Q. I'm not attacking you, you know that.
A. I'll try.
Q. Okay. Please.

Now, Frenden told you that Utley was destroying documents. Did Proskauer have anything to do with that?
A. I am not sure.
Q. You don't know?
A. I don't know.
Q. Who else told you that Utley was destroying documents?
A. Maurice Buchsbaum.
Q. What did he tell you?
A. He told me right as Utley was being terminated.

See, I hadn't come back to get the corporate
records because Utley had come out and threatened my life, so I had my wife and children move --
Q. What did he tell you when he threatened your life?
A. He said: If you continue to expose these issues and pursue a course against me and Proskauer, we will kill you.
Q. Who is "we"?
A. Meaning him, Chris Wheeler and Mike .-
Q. Are you paraphrasing or are you quoting him?
A. I'm quoting him. And we will bring you down brick by brick, your companies.
Q. He said: We will kill you --
A. Yes.
Q. - - and we will bring you down brick by brick?
A. Correct. So I called my wife and moved her into a hotel in California. She packed up overnight to move our children into a hotel. And we so lived in a hotel until we could get adequately --
Q. When was this?
A. We told everybody this.
Q. When was this?
A. This is right around January of 2001.
Q. This is the: We will kill you statement by --
A. Correct. Utley.
Q. -- Mr. Ut ley?
A. Correct.
Q. And Mr. Utley stayed on with the company for four more months after that?
A. Well, I don't know. Roughly. Three or four, as it was unwinding.
Q. Did you call the police?

Did you call the police --
A. I did.
Q. -- and tell them your life was threatened?
A. I did.
Q. Who did you call?
A. The Rancho Paros Verdes Police -- Rancho Palos Verges Police Department.
Q. That's a small town on the peninsula, isn't it?
A. It is.
Q. That's very nice. You lived there?
A. I did.
Q. That's where Mela - 10 Mela?
A. Correct.
Q. Okay. I'm refreshing ṃy recollection.
A. Good job.
Q. Okay. So when I call the Rancho Palos Verdes Police Department later today, after this deposition is over, why don't you tell me what date you called them, so \(I\) can --
A. I also called the FBI.
Q. Okay. Who did you speak to at the FBI?
A. I don't remember. I have --
Q. What office did you call?
A. Long Beach.
Q. Long Beach.
A. I also notified Caroline Prochotska Rogers, Michele Mulrooney, David Culter, and a bunch of the other witnesses we're going to try to bring in to corroborate --
Q. Law enforcement agencies. I wanted law enforcement agencies that \(I\) can get documentary proof from them that you called.
A. Okay, great. The FBI in Long Beach and the Rancho Palos Verdes .-
Q. And what did they do about it, the FBI in Long Beach --
A. Caroline got involved, and she started a series of protection measures to protect my life.
Q. Did she go to court and get a restraining order against Utley?
A. No.
Q. What did Caroline do? What do you mean protection measures?
A. She took a series of things to find out --
Q. This is the attorney in Chicago?
A. Yes.
Q. Do you have her phone number?
A. I don't recall.
Q. Do you have it in a book?
A. Yeah.
Q. Can you give it to your lawyer?

THE WITNESS: Do you have that?
Q. Would you agree, after. the deposition, to give it to your lawyer so I can call her?
A. Sure. You can look it up. Sure.
Q. Thanks. Well, I did. I did on a break. I looked in Martindale.com, and \(I\) couldn't find it.
A. On, really?
Q. So I'll check something else.
A. Okay.
Q. She doesn't have a listing in Martindale.
A. Is Martindale the only source --
Q. No. No, but I'm not done, Mr. Bernstein. I'll find her phone number.
A. Okay. Well, I didn't think you were still --
Q. The Rancho Palos Verdes Police Department, when did you call them, the day that Mr. Utley threatened you?
A. I don't recall.
Q. Was it sometime in January 2001?
A. I don't recall.
Q. Well, you just said --
A. It was after. It was after.
Q. You said the threat was January 2001.
A. Yes. I didn't call them right away, I called friends of mine first.
Q. How long -- how long after did you call the police?
A. A few months.
Q. Why did you wait so lang?
A. Because \(I\) wanted my friends to advise me on what measures to take against such actions of a firm like yourself, through it's referral Brian Utley, making threats against someone's life. And it's a very scary situation.

So you take some time to prepare yourself so that.
in the event that anything happens to you or your children. you will have adequate evidence against those perpetrating such crimes.

So you need to get a lawyer on your side, you need to take mostly secretive measures to transfer the data and documents to such people, without knowledge that it's happening to such people that want to kill you or destroy your companies brick by brick, which \(I\) think is what \(I\) said.
Q. Did Mr. Utley threaten you in person or over the phone?
A. In person.
Q. Do you feel that he had the means to kill you?
A. Well, he was touting Mr. Wheeler and Proskauer as being uncovered at this point for some of these malfeasances, like his background, education. Yeah.
Q. Do you feel that he had the means to kill you, is the question.
A. Yes. With those he was saying he's conspiring with, absolutely.
Q. Who was he conspiring with to kill you?
A. Mr. Wheeler. Mr. Bill Dick of Foley \& Lardner.

These are some major law firms.
Q. So you felt at the time that if Mr. Utley was going to kill you, he was going to do it in conspiracy with

Foley \& Lardner and Proskauer Rose?
A. With members of those firms that he's good friends with.
Q. Foley \& Lardner is a large New York law firm?
A. I believe Wisconsin. But you know from Martindale, so I'm not sure. I don't want to -- if you can check in that source.
Q. You are being condescending and sarcastic.
A. No. I don't know. I mean, you might be right from your research.
Q. I think -- okay. Be careful.
A. Okay.
Q. I think you and I know that you don't want to go there.
A. Okay.
Q. What other law firms were conspiring with wheeler, Utley and Proskauer?
A. Meltzer, Schnitzel \& Gold (ph) --
Q. Meltzer Lippe --
A. Meltzer Lippe Schnitzel \& -- I think Goldstein or something.

MR. SELZ: I have to take a rest room break. It's just going to need -- I just need a minute.
(Whereupon, a recess wạ taken from 12:27
to 12:36 p.m.)

BY MR. PRUSASKI:
Q. When we went on break, we were talking generally about in January 2001 Brian Utley had threatened your life. We were also talking about people who told you that Brian Utley was destroying documents and we were talking about Maurice Buchsbaum. I believe you indicated he was formerly with Crossbow.
A. Correct, and Iviewit.
Q. And an Iviewit board member.
A. And unemployed.
Q. Okay. What did Mr. Buchsbaum tell you about Mr. Utley destroying documents?
A. He told me that we should have a board resolution, which \(I\) believe we did; that Utley had, through the supervision of him and Raymond Hersh. while closing down the Boca office, should send the documents in its entirety to the Los Angeles office, at which point Mr. Hersh became very agitated with the board's decision and said that they needed to keep the records here for some reason, even though the corporate decision was to close down Mr. Utley's organization and move the company to California with all the records and computers.
Q. Did Buchsbaum ever see Utley destroying documents?
A. I'm not sure. You have to ask him.
Q. Did he tell you that he saw him destroying
documents?
A. He told me that he was aware, I believe, that documents were being destroyed of the corporate record and that \(I\) should move them immediately.
Q. Did you verify that the documents were being destroyed?
A. I had conversations that there were several people involved, and that pertained to the corporate record on computer files being locked out, as well as shredded.
Q. Were there any other occasions where Buchsbaum told you that Utley was destroying documents or maybe was destroying documents?
A. Yes. Absolutely he was --
Q. What else happened?
A. -- and I can't recall the date.

Foley \& Lardner's filings of the provisional applications to full formal filings was 48 hours away, so we'll be able to date it that way.

Mr. Utley came to me and asked me to sign blank pages of paper for patents that he had prepared with us for Foley \& Lardner and told me I had to execute them immediately because we only had until midnight to file such documents, and they were too lengthy for me to review.

I demanded a review and said \(I\) wouldn't sign any document without reviewing it first. He refused to turn
over the patents to us. So Jim Armstrong, one of the executives of the company at the time, Jennifer Kluge, a secretary who copied them -- and I grabbed the files from Mr. Utley physically and copied them.

And quite to our surprise, we had found that foley \& Lardner is -- and this is part of why Mr. Utley maintains a threat against us -- that they had changed the patent titles, written in wrong math, had missed the inventions that we had given them, that there were all kinds of problems in the patents they were about to file that we had never seen, including missing the inventors and Mr. Utley turning up as the inventor on inventions when he wasn't even there. Thereby, I think, constituting all kinds of frauds and improprieties against the United States Patent office, filing willful and wrongful patents on behalf of a company.
Q. Did you report that to the U.S. Patent Office?
A. Yes.
Q. When?
A. Stephen Lamont has -- we talked to Harry Motes (ph) in. I believe, one or two conversations.

I believe I called Mr. Motes initially upon discovering and told him, you know, that perhaps my life was in danger, and \(I\) would appreciate it if he held off until \(I\) could get some people to find out if these
allegations were all that they appeared to be.
And then, after Mr. Lamont had reviewed a lot of the documentation surrounding the allegations, he felt comfortable writing a draft letter to Mr. Motes, which he did. And we called Mr. Motes to discuss what the allegations would imply and what our course of action should be.
Q. Mr. Motes is with the United States Patent Office?
A. He is the head of the investigatory body for them. I believe.
Q. Do you remember when you contacted him about the alleged fraud?
A. No, I don't.
Q. Was it after the Proskauer lawsuit?
A. Yes.
Q. So it's between the Proskauer lawsuit filing and now?
A. Yes.
Q. Do you know what happened with the investigation; what the disposition was?
A. Well, he -- no. We called him and counseled him, and he's advised us to write a letter, which we did. And then, we brought in Caroline to further the work.

She has felt that she wanted a full legal audit of the patents of which she's undertaken to get from Greenberg

Traurig.
Q. Traurig.
A. Traurig. And you know, that's -- we now stand -if there were errors caused by Proskauer, Foley or Meltzer due to negligence that we perhaps under, you know, Section 8 of the Constitution, will have to appeal to authorities like Mr. Motes.

But that, if there are ways to correct or fix the mistakes and the errors and omissions and, you know, all of the things werve uncovered through our investigation that point to conspiracy, such as missing patents, patents in our attorneys' own names, all kinds of misrepresentations, et cetera, that -- you know, that we all --
Q. What attorneys' names are on the patents?
A. What law firm?
Q. What attorneys. You said you were complaining to the patent office --
A. Ray Joao has written 70 patents into his own name.

Raymond Joao, who was a misrepresented Proskauer underling of Ken Rubenstein's at the time that Ken was misrepresented as a Proskauer partner. When, in fact, they both worked at one -- Meltzer Lippe Goldstein Schnitzel, out of .- all of New York.
Q. Ray Joao's name -- wrote his name on 70 patents belonging to you?
A. We believe -- after reviewing several of them, we haven't seen them all, although he claims on his own biography right now that he has 70 patents, which prior to --
Q. Where is that biography, on his law firm's Web site?
A. Yes.
Q. So if \(I\) went and found it, it would say that?
A. Yes. And several of them have to deal with things like remote control videoing and --
Q. Are these patents that Crossbow has an interest in?
A. Nobody in our company has even - has an interest in these because we didn't know Ray Joao was filing all of these patents in his own name.

And as we found out Ray Joao's patents were missing pertinent information, we suddenly started seeing a series of public correspondences where Mr. Joao claims he has the technology from remote control wireless video applications for security, which is a major thing we disclosed to Ken Rubenstein in your group --
Q. Has Greenberg Traurig reviewed these patent applications that Joao filed?
A. I'm not sure, I didn't hire them. I don't know what's part or part not of their review.
Q. Has any independent law firm ever opined that these patents were done incorrectly?
A. Which patents?
Q. The patents that Joao filed.
A. Yes. They have actually commented, several people, on the fact that because they appear to look like ideas similar to ours that, in fact, if it pans out under full investigation by federal authorities that Mr. Joao has transacted such malfeasance that, you know, perhaps they'd be -- we'd be able to walk into his shoes or whatever, as well as --
Q. Hold on. Yeah. I'm just trying to focus on this.
A. Okay.
Q. What law firms have --
A. Blakely Sokoloff --
Q. Let me finish the question. The question and the answer have to correspond in the record.
A. Okay.
Q. What law firms have come under -- have made the opinion that Mr. Joao improperly or fraudulently, as you say, filed these patents in his own name?
A. May have fraudulently filed these patents in his own name is Foley \& Lardner originally --
Q. What lawyers at Foley \& Lardner?
A. Doug Beauman (ph), Steve Becker.
Q. Okay. Who else?

What cities are they in, Beauman and Becker?
A. Milwaukee.
Q. Milwaukee, Wisconsin. Okay.
A. Blakely Sokoloff has reviewed the allegations
against Mr. Joao's filing patents in his own name.
Q. Is Mr. Joao involved in the conspiracy that you told me about a little while ago where --
A. Absolutely.
Q. No, let me finish.
A. Yeah.
Q. Was Mr. .- I appreciate that. Let me finish.
A. Okay.
Q. Was Mr. Joao involved in the conspiracy that you told me about a little while ago where Brian Utley threatened to kill you?
A. No. Not that I am aware of.
Q. That was Proskauer, Brian Utley, Meltzer Lippe?
A. No. Proskauer, Brian Utley and Foley \& Lardner. perhaps.

He didn't mention them at the time; but they were all being uncovered for these malfeasances like, you know. filing wrong patents, filing wrong inventors.
Q. Have you feared for your life because of this lawsuit?
A. You bet, every single day.

I've hidden my children off the streets. I'm scared to death to leave my house. My wife is scared to death to leave the house.
Q. Do you think that Proskauer is going to --
A. Well, they've already completed --
Q. Let me finish.
A. Okay.
Q. You'll get your turn, and I'm not going to stop you.
A. That's fine.
Q. Do you think that Proskauer Rose wants you dead?
A. Yes.
Q. Why?
A. Well, the technologies are valued to be worth billions; that, in itself, is a motive.

But more the motive that Proskauer had to be aligned with Utley and Foley was because, when we grabbed those patents from Mr. Utley and they were the wrong things and everything was screwed up and he was on as inventors, and later finding patents in his name - - and his character being questioned because of the bogus resume submitted by Chris Wheeler to the board. With all of these inconsistencies coming of age, meaning -- somebody started to tell me, hey, you better check. I know a guy named

Brian Utley, he ripped off his last employer of patents and he had to close down a \(\$ 3\) milion operation.

So, you know, you're hearing all of these things.
You don't want to jump out and say it all because you want to protect yourself, as \(I\) was saying earlier. So yes, I think that Proskauer Rose has a big interest to seeing me destroyed. And so, therefore, they filed a lawsuit when they know the company has nothing .-
Q. Why did you come here today if you are afraid for your life?
A. I fear no evil.
Q. That's nice.
A. See, you laugh about that.
Q. I'm not laughing.
A. Yes, you laughed.
Q. That doesn't tell me why --
A. I fear no evil.
Q. -- in your mind you agreed to come here for your deposition today if this firm wants you dead.
A. I fear no evil. I fear no evil.
Q. Is Proskauer evil?
A. Yes. Because of these actions, yes.
Q. Do I work for an evil company?
A. Yes, if you are all knowledgeable.

If everybody is unknowledgeable about the actions
of a few individuals. I would hate to see an Arthur Andersen occur.
Q. Who are the few individuals?
A. Well, Chris Wheeler is the main protagonist. I guess Ken Rubenstein, after seeing his deposition, would be another main protagonist.
Q. Al Gortz, is he involved?
A. I don't think so. I don't know.

You know, from that point, I don't know any more of the partners who would be involved.
Q. Has anything happened in your life since this lawsuit was filed that you felt was suspicious that you felt -
A. Yeah, they filed.
Q. -- hold on -- that you felt that any of the litigants in this lawsuit was following you or harassing you or doing anything to you and your family that caused you to be in fear for your safety?
A. I think you'd have to talk to my attorneys about that.

I have felt, like \(I\) told you, very afraid of these things. And yes, they have filed actions against the company to hurt the company, and voluntary bankruptcies that they basically walked away from, not getting their allegations of all of this money we owed them.

Which, by the way, the bankruptcy was hidden from the board and the shareholders for quite some time. while counsel was hired for us by. I believe, either you or Crossbow or the Ross Miller guy. In which, when we called our bankruptcy counsel, he said: Boy, it's good to hear from an Iviewit person. We've been doing all of this work for Crossbow and blah, blah, blah to prepare a bankruptcy for you. We aren't even sure what the hell is going on, but it's good that somebody from Iviewit called us.
Q. Have you had to call the police or law enforcement since this Proskauer lawsuit was filed in May of 2001 ?
A. No.
Q. When you called the police in Rancho --
A. Palos Verdes.
Q. -- thank you -- did they send a patrol car out to your house?
A. They did.
Q. An officer met with you?
A. Correct.
Q. Did he do a report?
A. He did.
Q. Did anything come of that?
A. No.
Q. Why? He didn't believe you?
A. No. Not at all. He believed every word of it. I
even showed him evidence of it.
Q. What evidence?
A. Evidence that's being presented in this case.
Q. No, no. You called the police because Brian Utley threatened to kill you?
A. Yes.
Q. In Ranchos Palos Verdes --
A. Yes. He asked why --
Q. A policeman came to your house --
A. He asked me why.
Q. -- and you showed him evidence of the death threat?
A. No. I showed him evidence of why Mr. Utley had threatened me. He believed based on the evidence that I was in deep shit.
Q. Okay. But there was no evidence like a tape or a witness who said Brian Utley threatened to kill this man?
A. Don't worry. I believe those are there. Those witnesses will be there.
Q. There were witnesses present when Utley said: I am going to kill you?
A. I am not sure at this time.
Q. Well, you said there are witnesses who are going to be present.
A. There are going to be witnesses present to the
fact that Mr. Utley made threats on my life.
Q. Who are they?
A. People from Crossbow ventures, David Culter.
Q. Were they there when Utley threatened you?
A. No.
Q. Well, then, how can they be witnesses when he threatened you --
A. Well, but there might be people from a restaurant that happened to be sitting at tables across -. I don't know. We'll have to do some discovery.
Q. This happened at a restaurant?
A. It did.
Q. What restaurant?
A. I don't recall the name. China Palace, or something on \(-a^{-}\)across the street from the Warner Brothers' office we had.
Q. That's where Utley threatened to kill you?
A. Correct.
Q. It was just the two of you at the table?
A. Correct.
Q. Have you looked for these people at the restaurant who might have seen this?
A. I don't have the resource right now because, you know, they pretty much destroyed the company.
Q. China Palace?
A. Yes.
Q. When you lived in California did you -- did you ever live in -- you lived in LA County for a while?
A. No.
Q. Rancho Palos Verdes is not LA County?
A. Yes.
Q. All right. Did you go looking for this restaurant to verify the name of it after the death threat was made?
A. Yeah. I believe so.
Q. It was a Chinese restaurant?
A. Correct. It was the last time me and Utley saw each other.

It was the last time I saw my parents until I recently moved back. It's the last time I talked to most of my friends. I went into basic seclusion and hiding my family.

I moved my wife and children out of town overnight, put them into a hotel with no house. We left my condominium here abandoned. And we did that because we were scared for our children, and we are still scared today for our children. And based on the evidence --
Q. Why?
A. -- I think people like Mr. Selz are scared and Miss Prochotska Rogers are scared. And they have valid reason to be because this is uncovering a can of worms that
is huge; meaning, you know, there are all kinds of problems here.

So obviously, you worry for your life, especially when somebody comes and makes threats on it; and then, carries through on half of the threats. I mean, they have destroyed the company brick by brick --
Q. Proskauer?
A. -- helping with their friends.
Q. "They." "They" who?
A. The conspiracy group of Mr. Wheeler's friends.
Q. Mr. Wheeler. Mr. Utley?
A. Mr. Dick, Maurice Buchṣbaum, perhaps; members of Crossbow, perhaps.
Q. Foley \& Lardner?
A. Foley \& Lardner through Bill Dick.
Q. These are the people who conspired to destroy you --
A. Destroy the company.
Q. \(\quad\) - and kill you?
A. Well, I - Mr. Utley only made claim to

Mr. Wheeler and himself.
Q. During the death threat?
A. Yes.
Q. Utley said Wheeler and I will kill you?
A. Yeah, basically. We will kill you.
Q. Oh. I was under the assumption that you said it was just Utley.
A. No.
Q. So he included others in the death threat?
A. Yeah, I believe.

Can we read it back from the record?
MR. PRUSASKI: No, it's a hassle.
THE WITNESS: Is it a hassle to read it back?

MR. SELZ: You can go back and look for it.
THE WITNESS: Yeah. Can we?
MR. PRUSASKI: At a break, later, we can do that, if you want.

THE WITNESS: Okay.
MR. PRUSASKI: It takes a long time when the court reporter is taking it down. When it's typed out, it's easier. Because right now it's in code form -- you know about that.

THE WITNESS: Right.
A. Mr. Utley and Mr. Wheeler are best friends; a relationship that wasn't first actually represented, I think, poses a huge conflict of interest.

But nonetheless, what was represented to us, we later found to be, you know, that they have seen much more than just casual acquaintances, but best friends.
Q. Do you think your lawyer fears for his life?
A. I asked him that the other day.
Q. What did he say?
A. He said he fears nobody. He doesn't care that you are big. He doesn't care how big you are, he is not afraid of you.
Q. Do you think he is?
A. Yeah.
Q. You think he's in fear for his life because of this lawsuit?
A. Yes. I think it has run across his mind that he is sitting on a can of worms that could lead to the destruction of three large law firms.

I would be a little concerned. You'd have to ask Mr. Selz his opinion.
Q. That's fair. You think your lawyer in Chicago fears for her life because of this?

MR. SELZ: Objection; calls for speculation.
A. Yeah. Okay.
Q. Do you think your lawyer fears for her life in Chicago because of this?
A. Yes. No. Let me qualify that.
Q. All right.
A. Yes, she feels that action could be taken against her, and that's why she remains secretive for a long time.

But I asked her that the other day, should she remain and go away and not be involved in my life, as I came to confront you folks; and that I didn't want to get anybody ancillary to get hurt, including Mr. Selz and Miss Rogers.

And she said: I am not worried; I would do it for the truth. I would do it for all of the right reasons and nobody is going to scare me from getting up there and, you know, presenting our case. So I think she's scared, but she's going to do it.
Q. Has anyone else told you that they are in fear for their life because of the Proskauer, Foley, Brian Utley conspiracy group?
A. No.
Q. Your wife is afraid for her life?
A. Yes.
Q. And for the lives of your children?
A. Correct.
Q. Why did you move back to close proximity of Proskauer Rose, if you are in fear for your life of Proskauer?
A. I study the art of war, so deception and distance are often key tactics to warfare.

Somebody made a threat on me in their home ground. so I left their home ground to a ground where I have many
legal friends. People to help me protect myself.
Q. Here?
A. No, California. I don't know shit here.

So -- and that's why I'm scared here. And I was scared for collateral damage to people like my parents, and whatnot, so \(I\) broke ties with them, hardly talked to them over the last year and a half, didn't let them see their grandchildren, never flew back here, okay.

Now, as I am prepared to wage war and have my evidence and guns in lie. I have no fear of --
Q. When you say "guns," are you speaking metaphorically?
A. Yes, of course.
Q. Okay.
A. And so, it's best to be here so that \(I\) can present my case, and \(I\) am not worried about you anymore kiling me too much.
Q. Proskauer?
A. Proskauer, because now I think you've realized that there's a lot of people behind it that you didn't see coming, or you weren't paying attention and suddenly you've got a case.
Q. This conspiracy that we're talking about, that you are in fear of and you're fighting .-
A. Yes.
Q. - this forms the basis of your malpractice action against Proskauer?
A. Part of it.

MR. PRUSASKI: Are you doing okay
timewise?
THE WITNESS: What time is it?
MR. SELZ: It's 1:00.
THE WITNESS: I told you, I will go --
MR. PRUSASKI: Let's go off the record.
(Whereupon, a discussion was held off the
record.)
BY MR. PRUSASKI:
Q. When you called the FBI, when Brian Utley threatened your life, did they make a report?
A. I don't know.
Q. Did you get anything in the mail afterwards or the case number or anything?
A. No. No.
Q. Do you remember the name of the agent?
A. I don't.
Q. Did they send somebody to your house, or did you go there?
A. No, they didn't.
Q. It was all over the telephone?
A. Yes. And then, I contacted Caroline who knew FBI
agents in Chicago. She said she would handle future correspondence, if necessary, with the FBI, and that she had somebody in Chicago that could help us.
Q. Has the U.S. Attorney's office, to your knowledge, pursued any sort of action --
A. Caroline would be handling all of those -- I don't know.
Q. Wait. I've got to finish the question, I'm not -I know you're eager to answer the question, and I appreciate that.
A. Okay.
Q. But because she's taking it down, it's not a normal conversation between two people where a ittle interruption is okay.
A. I know. She asked me. Okay. Sorry.
Q. That's all right.
A. Sorry, Miss Court Reporter.

MR. SELZ: And the best thing to do is to let him totally finish the question and he'll let you totally finish the answer and, that way, the record is clear. And not only that but, also, sometimes he might ask you something in a way that you don't anticipate, so interrupting the question won't necessarily get the answer - -

THE WITNESS: It's just something that the
average human being doesn't communicate like that for their whole life.

MR. PRUSASKI: Right.
THE WITNESS: So we are not as in awareness of the rules of this form of proceeding where we can't cross-box, so it's a little difficult since we're used to regular communication.

MR. PRUSASKI: Right. You've got to --
THE WITNESS: So you can keep asking me.
And I'll ask that the court reporter, if she has any problems, to ask me. I'm sorry for .-

MR. PRUSASKI: Okay. But you are okay with not taking a lunch break.

THE WITNESS: I'm okay. Like I said --
MR. PRUSASKI: You don't need to eat?
THE WITNESS: I don't need anything. I need to protect my children. So whatever time that takes, \(I\) am here.

BY MR. PRUSASKI:
Q. What steps have you taken to protect your
children?
A. I moved them out of town overnight. They disappeared from my family, haven't been back here until recently to go through these trials against you.

And we have been in hiding on a ranch and running around towns and trying to fend for our lives as, you know, all of these actions were unfolding which were so complicated that nobody would have been able to deal with.

At the same time, we were fearing for our lives and trying to keep our kids going to school and trying to live normal lives, when we felt pressures and noticed that the documents were destroyed and they noticed all the .see, what happened was, after Utley's threat, we looked at the records. And all of a sudden. Blakely Sokoloff found patents going out with his own name -- in his own name for inventions he couldn't have invented because he wasn't there.

And we found all kinds of things that were scary; loans transacted without proper documentation. All kinds of things that will be presented under the conspiracy case to whichever court this lands up in.

And the bottom line is, you know, that is further and further, as evidence was uncovered, my wife said wow, this isn't just a threat, this is now real; they are filing actions against us overnight.

Mr. Wheeler is filing for a bill when he knows the company doesn't have any money. What does he want, my blood? What are you after?

You know, normally, a law firm that takes two and
a half percent stock interest in patents that are told to them to be worth billions would wait until the patents to expire and, then, decide that they're going to sue. But what are you suing a company that you know has nothing, know has no assets?

You are just doing it as an action to harm me.
And you know - you know I don't have -. Mr. Wheeler knows darn well that the funding was pulled on the company --
Q. We don't know that the company doesn't have assets, though.
A. Yes, he does.
Q. What does he know?
A. He knows all the assets in the company. He was doing the books with Mr. Utley.
Q. And they're all gone.
A. And they're all gone, right. That's right.

They sent me a bunch of fragmented computers that we're all locked out of on passwords. And then they -Mr. Utley stole computers to Mr. Bruce Prolow, Chris Wheeler's friend out in New Jersey, a company they had referred him to that they had secretly been planning a merger and acquisition.

But when Brian brought this Distance Learning company in to the board, the board threw him out, said get out of this company. Brian, you are in deep trouble
because you transacted money with Mr. Wheeler on behalf of Iviewit without board approval; you are being terminated; we want you to let go of all of the employees and transfer the corporate records. That was the board's decision at that point.

And believe me, as that's all happening, and all of these things are being uncovered, you really do fear for your life and your wife, as she learns those things, which you try to protect her from learning, fears for her life and fears for her kids' life.
Q. Why do you come here and -- you have been here about three days now to review the files?
A. Yean.
Q. Why do you come here and spend the day here when you fear for your life?

Why don't you have Kinko's just come and pick the files up and copy them for you?
A. I fear no evil, A. okay; I expressed that on the record before.
Q. Yes.
A. And I feel that that would be your debt to the firm, to make any action now that you are aware that there are many people involved who have reviewed the case, reviewed the evidences against you; that that would be foolish, right? I fear nothing walking in here today.

Yesterday. when I didn't have enough people having reviewed the evidence against the people who have perpetrated such frauds. I was real scared.

I only had a few people who believed what had occurred occurred, and they told me specific legal steps to take to protect myself, which we did. And now, they all feel comfortable, I believe, after the review of such documents and evidence to, A, file lawsuits on the company's behalf against the perpetrators and, B, whatever: but now it's public, there's no stopping it.

You know, if I died tomorrow from a hiccup,
perhaps, everybody would look back here.
Q. At Proskauer?
A. Absolutely.
Q. And think that --
A. Chris Wheeler.
Q. -- that they orchestrated an accidental death?
A. Correct. Or something, or purposely done.
Q. Over the last year or two, when you have been afraid of these law firms conspiring to kill you, how in your mind did you think it would happen if they tried to kill you?

MR. SELZ: Objection to form, calls for speculation.
Q. In your mind, how did you think it would happen?
A. I anticipate all options.

Should \(I\) be drinking the coffee? Just kidding.
That was a joke; I'm just trying to lighten it up here. Believe me, I have been living in a lot more stress - -
Q. It's hard for me to joke about this, for reasons I'm sure you understand.
A. It's hard for me to joke about this, as you can understand; but \(I\) was trying to make light because I saw stress in you.
Q. In me?
A. Yes. Sorry.

And maybe -- you know. I don't know this Mr. Prusaski, maybe you are not aware of all of this, I don't know. Okay. If you are not, this is probably the first time you are hearing this, and \(I\) hope that you fear for me, too.

You want to know some of the other reasons why Mr. Utley was into this position?
Q. Sure.
A. Okay. You know, at a meeting at Paramount Pictures, it was found that he was lying. He was incapable of producing math answers. He exposed that he did not have an engineering degree to a top engineer.

By the time I had left the lot of

Paramount/Viacom, I got a phone call from the top of Warner Brothers technology team asking me what had happened in this meeting with Mr. Pierce.

I said that Brian Utley was exposed as a fraud; that he didn't have an engineering degree as he had been selling to everybody. That he had fumbled on math equations that the gentleman asked him. it was the biggest joke - meeting of my life.

He asked me to never have Brian Utley contact any other employee other than him at Warner Brothers; of which much shortly, further after, he was trying to smear the company at this point throughout a bunch of correspondence to the Warner Brother Group to try to hurt the company. Kind of like Ken Rubenstein retracting his statements that he had made prior to them about Iviewit's technology. At this point, he stops making representations for Iviewit: kind of weird.

Nonetheless. Mr. Utley was being exposed on the patent side through Foley \& Lardner. There were some meetings with -- we had conversations with Mr. Wheeler involved in, that were exposing that there were frauds perhaps.

Remember, at this time \(I\) just grabbed some documents and found, wow, there's lots of math errors in these patents. Brian Utley is misnamed, invention titles
have changed from what we agreed on, blah, blah, blah.
We have meetings to correct such things and still.
in the end. Foley filed the wrong patent, and all of these things were exposed. And there were a lot of reasons for people to want to protect their interests through the death of the guy who was going to tell the story, and that's me.

So you know what, I ran and hid while I could tell
the story to some qualified lawyers, showed them the evidence that we were uncovering, built back the corporate record. get new witnesses based on what we were uncovering over this time period; meaning, now, the witness list should grow tremendously because we've had time to break into the files we were locked out of.

We have had time to re-assemble corporate record by going to board members and whatnot, and asking them to reconstruct their records, send us their records, et cetera. So as the evidence is coming to us -- still is coming to us, in fact, from your evidence, I am even more -- if \(I\) had seen this, I'd be more scared at the time.

Meaning, from what \(I\) see here, you have -. a lot of the documents weren't -- well, I was under the impression the judge ordered all documents to be here that I had requested in my request. If you are telling me that's wrong --

THE WITNESS: That is wrong, Steve?

MR. SELZ: Yes.
THE WITNESS: What did he order?
MR, SELZ: Well, basically we have access to the files from the corporate representation, Iviewit representation --

THE WITNESS: Were they all here in this conference room? Okay. Then, from what I've seen --

MR. PRUSASKI: From the corporate representation, not from the personal representation, because that's between you and this firm personally.

THE WITNESS: No, I don't care about personal. Right. That's right. I am not asking for those either.

So you are saying to me that all of the documents were here --

MR. PRUSASKI: From the entire file, as Proskauer keeps it, from the Iviewit representation.

THE WITNESS: Then I'm really scared - I would have been really scared, because \(I\) would say destruction of documents has occurred and there were a lot of things missing.

BY MR. PRUSASKI:
Q. Why?
A. Information on the patents that Ray Joao --
Q. We haven't gone through the whole file.
A. I have, pretty much.
Q. I thought you told my paralegal two days ago that you were going to need several more days to look at the whole file.
A. No, I didn't say that. I said I would need several more days to photocopy the whole file.
Q. But you've looked at the whole file?
A. I've looked at the whole file, and I looked for certain pieces of information.
Q. What do you think happened to the patent documents that you can't find in the file?
A. I'm going to leave that so \(\operatorname{c}\) can ask Mr. Wheeler those questions. I mean, if you're saying everything is here, I don't know what happened to them, they're missing.
Q. You are not going to ask Mr. Wheeler those questions; you asked. You took his deposition.
A. No. I don't think we finished, but...
Q. All right. Well. that's a bone of contention that you can take up with the judge.
A. Right. We will.
Q. We think you did.
A. Okay.
Q. But you are planning on asking Mr. Wheeler where certain missing documents were?
A. Yeah.
Q. Okay. What else was missing? The patent documents --
A. Tapes.
Q. What type of tapes?
A. Tapes of patent conversations.
Q. Speaking of tapes, what did you do with the tape of the Brian Utley deposition that you made from your house in California?
A. I don't recall.
Q. Did you make that tape?
A. Yes, I did.
Q. All right. Why did you tape the deposition?
A. Because I was very busy at the time. I was kind of on the phone; kind of, I believe, helping my wife through something, and so 1 kept the tape so \(\operatorname{I}\) could play it back. And I figured the court reporter had a tape, so it was fine.
Q. Do you know differently now?
A. No. I didn't understand why it wasn't fine with you then.
Q. Well, it's against the law to tape somebody over the telephone across state lines without their permission.
A. I thought we had all agreed that it was being taped.
Q. No.
A. Okay. Was the court reporter taping it?
Q. Yes, but she's allowed to because she's an officer of the court as a court reporter.
A. Okay. Well, you know, I'm not a lawyer so I don't know that much law --
Q. Can you and I agree that you will safeguard that tape?
A. Yes.
Q. And not let anyone hear it?
A. Correct.
Q. And ultimately, if we decide that we would like you to destroy it, you would do that for us?
A. Correct.
Q. Thank you. All right. So info on patents --
A. I may have destroyed it, actually, so is that okay?
Q. You don't remember if you did or not?
A. I don't.

THE WITNESS: Did you tell me? I can't ask Steve any questions, but - -
A. I don't recall. I might have.

MR. SELZ: And obviously, I couldn't
advise you as to what \(I\) had advised you with regard to the legality or illegality of taping those things, issues --
Q. So information on patents was missing from the file, that you've noticed over the last week; tapes of patent conversations were missing from the Proskauer file. What else?
A. Billing records, the full billing statements from, you know, all the partners with notes. I didn't see a lot of the billing statements.
Q. What else?
A. I am not sure. I haven't finished reviewing all of my images of the documents.
Q. I thought you said you went through the whole file.
A. I did. I glanced at it; but \(I\) will have more time to go through it. I photographed a lot of it.

And as soon as I'm done reviewing my photographs and copies of such records, I will make my full analysis, after my attorneys have reviewed such, of what's missing and what's not.
Q. So as you sit here right now, you can tell me that there are three categories of items missing; and those are the information on certain patents, the tapes of patent conversations and the full billing statements with notes --
A. And the information that's given --
Q. -- and that's subject to being enlarged by you, as you continue to --
A. Unless you've said there was stuff missing from the conference room, here; like you said earlier that you had stuff on your shelves.

Nobody told me to go look at shelves. I was here to look at the documents pertaining to my company --
Q. We've provided the entire file to you for the representation of the Iviewit companies.
A. So there is nothing on the shelves out there --
Q. Not that I'm aware of.
A. -- so I can go back on the record and make my statements that things are absolutely missing.
Q. Yeah.
A. Oh, yeah. Okay, Yes.
Q. I am just asking you what - I am not expecting you to know what's on our shelves. I'm expecting you --
A. Is there more on the shelves?
Q. I don't know. I'm expecting you to tell me if you noticed anything missing from the table.
A. Yes.
Q. All right. And what types of patent conversation tapes were there?
A. Well, we came to your offices with -- let's see.

Who was here?
What happened was, Chris Wheeler took us to Real 3-D telling us we had video patents. I did not believe him on the way up there.

Ken Rubenstein and Ray Joao were supposed to be -since this was the defining meeting of our lives with Intel, Solkin Graphics (ph) and Lockheed - one of my patent counsels was supposed to be representing us, either Ken or Ray, and neither of them are unavailable.

They were both unreachable by any form of communication, I think is what they were -- told to us. We tried, for the entire trip up to orlando, to get a pinion because Chris wanted me to expose --
Q. No. But the tapes, though.
A. This is the tape. Hold on. I'm getting to the tapes.
Q. You are getting to the tapes?
A. Yeah.
Q. Are you sure?
A. So there was - it turns out that, as we go into the meeting, Chris still can't ascertain from his counsel if there are patents on a video that he wants me to disclose.

Although he says under NDA I am protected. I would not disclose to the Real 3-D people the video process
because Chris could not with certainty determine - like he had told everybody that was on that meeting that - from our side, that there were such patents.

I wanted to see such patents because we had already found some malfeasances with Ray Joao's work through Wayne Huizenga's attorney, Steven Filopak (ph). So I needed to know --
Q. Stop there.
A. Yes.
Q. Steven Filopak .-
A. Yes.
Q. \(\quad\) - is Huizenga's attorney?
A. Correct.
Q. How do you spell Filopak?
A. I don't know, look it up.
Q. PH or F ?
A. I don't know.
Q. I've got to know what letter to look under.

MR. SELZ: Either \(F\) or \(P\).
A. F.

MR. SELZ: Do the \(F\) or \(P\), probably.
Q. All right. All right. All right.
A. Chris should know.
Q. You guys are a rough crowd. Filopak -
A. We're a rough crowd?

MR. SELZ: I think -- my guess would probably be the \(P\).

THE WITNESS: We're a gentle crowd, just unprovoked.
Q. And Steven Filopak, Huizenga's attorney, you are saying knew about malfeasances committed by whom?
A. Well, he went to the Proskauer Rose New York office and met with, I believe, Ray Joao and Ken Rubenstein on our behalf. Although, it's not present in Ken Rubenstein's records, which might be part of the reason why I feel the bills are bogus.
Q. How do you know what Ken Rubenstein's records say?
A. Well, under deposition. I believe he explained -and I've got your part of the billing record and part of what I've recovered from our files, records to show that Ken is not a billing partner anywhere in fact, on any of the bills provided, although his name is mentioned all over the bills. He doesn't -- he's the only free lawyer \(I\) have ever hired.

He attends a lot of conferences with interoffice. He's the only partner that's ever consulted that doesn't list his name as a partner billing for my company.
Q. Well, I asked you: How do you know that

Rubenstein didn't take these notes?
A. He said he didn't in his deposition.
Q. Did you see him taking notes?
A. No. I was here, and he was in New York.
Q. No, not during the depo.

I mean, if you're saying that he doesn't have notes --
A. They aren't part --
Q. -- do you know somebody who originally saw him take notes?
A. They aren't part of your -- yeah. He was teling me in court he was taking notes; he was learning my processes always. So, you know, I would assume he has a lot of records, and that's part of what's missing in the corporate record as well as in your billing.

He's never bills as a partner, although he's consulted constantly. He's the only Proskauer partner not listed as a partner billing inside the records, although he's mentioned 20,30 times.
Q. Why do you think he never bills --
A. Well. I think he had problems right from the start. The fact that he wasn't with Proskauer would have made it a big problem to put him in as a Proskauer partner in the original bills, where he shows up a lot.
Q. Did you find out when he joined Proskauer?
A. We had asked him; he couldn't recall.
Q. Who did?
A. We did, in the deposition. He gave a six-month window of opportunity. So, no, we don't know the exact time.

Do you happen to know? I'm just wondering.
Q. No.
A. Okay. Just wondering. Phenomenal.
Q. Did you find out when he joined?
A. We do not know exactly.

We know that, at the time he was represented as a Proskauer partner, he was listed at other law firms. And he was not at any of the Proskauer Rose New York offices where he later turned up.
Q. And this is when you first met - -
A. And Mr. Wheeler told us that he wasn't.
Q. When you first met Chris Wheeler?
A. Correct.
Q. In late'98?
A. Correct.
Q. And you actually said you had conversations with Rubenstein in late ' 98 , when you represented --
A. '98, '99, correct.
Q. Early'99?
A. Correct.
Q. When you represented he was a Proskauer partner --
A. Correct.
Q. -- when in fact he was.an attorney with a completely other law firm?
A. And by the way, his underling, Ray Joao, was also represented as his underling for Proskauer, and he turned out to also be at Meltzer Lippe. At which point, when it was discovered, Chris Wheeler had to sign a retainer with a new law firm, which we were all confused about.

The board asked about liability issues to Mr. Wheeler. And \(I\) believe he said jokingly stated that we would now have two law firms with deep pockets to sue if anything got screwed up, because everybody was worried about what was going on. Why are you representing lawyers that aren't lawyers at your firm?

So he said they were in the transitional phase or something, but it was different from what we were originally told.
Q. So Filopak will testify that there was wrongdoing by whom?
A. I don't know.

He went to a meeting at the offices of Proskauer Rose with Ray Joao and Ken Rubenstein to review the patents on behalf of Wayne Huizenga.

We got a call from Chris Brandon (ph) that Wayne Huizenga's patent review panned out to be that there was -I think he said tuna fish in the patents, and not the
actual subject of my inventions, which started an investigation headed by Chris Wheeler into the work performed by Meltzer Lippe, who it had now turned into -we had to take a retainer.

By the way, Chris is referring legal counsel for me to protect my patent. Why would he refer a law firm in New York City, far away from the client, if it he wasn't he represented that these were your New York partners handling the patents for us.
Q. Did he ever represent the bill of patent lawyers in his office in Boca?
A. No.
Q. What other attorneys -- before I forget, what other attorneys in Boca Raton represented Iviewit, besides Mr. Wheeler, at Proskauer? Was Rocky Thomson involved?
A. Yeah.
Q. What can you tell me --
A. Mara Lerner mountain top (sic).

Rocky was at the taped meeting.
Q. Mara Lerner Robbins?
A. Yeah. Actually -- so if you wanted to ask me any other questions, we came back from Real 3-D without patents, as Mr. Wheeler and Mr. Rubenstein and Mr. Joao had represented.

And in fact, when we asked Ray Joao to send us
such video patent, he said he hadn't filed it yet. And Mr. Wheeler had been asking me to disclose under NDA my processes to his friend at Real 3-D, Jerry Stanley (ph); so I \(d i d n^{\prime} t\).

We wasted a lot of everybody's time schlepping around all of these people to Orlando where we couldn't disclose the video process. So what had to happen is we brought in the original inventors again, Zacearul Sarozi ( ph ) -- which we later found out aren't even on these inventions, which is quite absurd.

Jude Rosario and myself are to come to Chris's office because he's going to appear for Ray and he's going to make sure everything is -- Ken is opining on everything; we're going to be okay, don't worry. He assures the board that we are at no risk; that the video is in protection state because -- as matter of fact, Ken Rubenstein represents that the patents are safe because in .. they're - \({ }^{\prime} t^{\prime}\) s first to invent.

So even if Ray had failed to file timely, and we're months later. they should have been filed - even if he had done that, that it would be based on the first two in fact.

Well, what Mr. Rubenstein failed to represent to us was that that's only true in the United States. That in foreign lands they must have -- they might have just
subjected the company to tremendous liabilities, amongst the other liabilities that we're finding out.

So Mr. Huizenga refused further investment based on that, not based on whatever -- whatever nonsense was claimed to have been the basis of it. I think it was something about my father being -- I can't remember, but it was something you guys said about my father being in a fight with Mr. Huizenga, but Mr. Utley made that representation in his deposition.
Q. Mara Lerner Robbins and Rocky Thomson and Chris Wheeler --
A. Wait. Wait. So we come here to do a taping -because you want to know where that tape is, and so do I -
Q. Is it a quick answer?
A. Nothing is quick here. I mean these are complicated --
Q. All right. If you can try - if you can try and condense it --
A. Okay. You asked me what tape; so the tape is a tape --
Q. Audio or video?
A. Audio, and the audiotape was given to Gloria Burfeld (ph). But what it was for was the inventors disclosing the patents via teleconference to Ray Joao and Ken Rubenstein so that they can, thus, secure as
provisional or pending or whatever apps they were supposed to do for the video disclosure that they should have done prior to us ever going even into Real 3-D, where Chris wanted us to present to his friend under NDA versus under patent. That was interesting.

Rocky Thomson put us into a room and, at one point, we found Gerri Lewin's cell phone actively connected -- I mean, people going: Gerri, we can't hear anything.
Q. Wait. I'm confused. Şomebody was eavesdropping on you?
A. Yes --
Q. Who?
A. -- on Mr. Lewin's cell phone. So we ran out of the office, and we told Rocky Thomson .-
Q. Who is "we"?
A. Me and Zaccarul Sarozi, who -- Jude wasn't there yet, he came later. But we told him hey, we're out of here, man, these guys are .-
Q. When was this? Give me an approximate date so I can - -
A. June of '99, roughly.

It's in your notes. If you look carefully, you'll
find that Gloria did receive a tape at such .-
Q. Okay.
A. -- and when we asked your staff about my state at that point, they'll say: He was real worried that things were going on. And \(I\) think it's in the tape, actually.
Q. Well, why did you continue to let Proskauer represent you for over a year after that?
A. Well, you see the real question --
Q. June of '99?
A. June of '99. But the real question - -
Q. Two years?
A. Yes.

The real question was Chris blamed it on Ray Joao. who he had now signed a Meltzer Lippe thing with. He, then, had Utley review Ray Joao's work. And Brian Utley said it was inferior: Foley \& Lardner said it was inferior. and so we got rid of Ray.

And we were thinking that it was going to be Ken and his group. But Ken made representations several times that he didn't have a group that does patent prosecution. whatever.

And Brian and Chris brought in Mr. Bill Dick. And they forgot to tell us that Mr. Dick and Mr. Utley had been involved in patent malfeasance at his prior employer.
Q. Did Rocky Thomson have anything to do with the conspiracy that you have been telling me about?
A. Like \(I\) said, there are so many - certain people
that I've mentioned already. Other people I won't make representations until \(I\) have full statements --
Q. Wheeler and Rubenstein you can confirm are part of the --
A. Absolutely.
Q. -- as a part of the --
A. Conspiracy.

MR. SELZ: Let him finish his question.
THE WITNESS: Oh, sorry.
Q. - conspiracy to run you out of business and/or potentially kill you; but you don't know if Rocky Thomson is involved with that?
A. No.
Q. What about Mara Robbins?
A. No.
Q. Any other .- what other associates here at the Boca Raton office?
A. Not a single one can I - until \(I\) have all of the evidence in my hand on the other people and hear their statements, can \(I\) make decisions based on if they are part of it or not.

Meaning, I won't know Mara Robbins' position until
I ask her certain questions through this trial.
Q. What other associates in the Boca office between Rocky Thomson and Mara Robbins worked on Iviewit's file?
A. God, I don't know. But \(I\) think every one of them according to the billings. I mean, there wasn't anybody who wasn't working --
Q. Were you objecting to the number of -
A. I didn't even know there were meetings. They were mostly in our office.

MR. SELZ: Wait. Let him finish his question.
THE WITNESS: Okay, Sorry.
Q. Were you objecting, when you got these bills, and you noticed that there were attorneys that you didn't recognize; was that ever the case?
A. No. There were billings we didn't recognize.

I mean, it's mostly this interoffice calling each other about issues, so it's hard to track that that occurred. I never -. like I said, if this set of billing documents is - got rich as far as I'm concerned - is a bunch of interoffice billings between your own group of people.

It doesn't represent what truthfully happened, patent meetings, et cetera; Ken Rubenstein's time, et cetera. And it's a bunch of garbage.

So what \(I\) see there is a billing record that I would like to verify with your employees under, you know. sworn statements and see if they're willing to back all of that. And then, I'll make my decision if they're involved
in part of the conspiracy; if 1 have evidence to show -show them as part of the conspiracy. The ones that I have mentioned \(I\) have evidence to support conspiracy.
Q. Wheeler and Rubenstein?
A. Correct.
Q. What evidence is that? What you've told me already?
A. Other than -- there is evidence. I think I've submitted it to the court.
Q. Okay. You've submitted all of the evidence you have --
A. I didn't say "all."
Q. Are you holding back anything?
A. No. Yes.
Q. What?
A. I would assume to say to you I'm holding back a lot of evidence, as we try to repair the drives that were damaged on the transfer; as we are trying to build back the corporate record that was destroyed and not sent to California properly, the corporate books, et cetera.

This is a very monumental task, to shift through 38 computers, try to break back into your server. I haven't been able to log into my domain since Mr. Utley transferred the computers, of which he gave specific orders for people to lock us out of those files. So --
Q. Are you good -. are you talented as far as re-creating computer files that have been erased? I mean, you seem to be pretty computer --
A. You know, I've been spending 24 hours a day for MR. SELZ: Let him finish his question.

THE WITNESS: Sorry. Sorry.
Q. Go ahead. It's all right, Eliot. Go ahead.
A. I have been spending 24 hours a day trying to get it back together so the truth can be told.

And I have been building it day by day. And people are submitting documents to me at different times. When they recover their files, when they get
correspondences, they send them off to me. We're trying to
locate some of the other witnesses of this to get more documents from them.

But basically, the entire corporate record, as directed by the board, was not transferred by Mr. Utley, who said - made representation that he would get the entire Wheeler file, et cetera, because we knew - I think, you know, once Brian was gone it only took you guys two or three days to quit and send out -- send somebody a letter -- it wasn't me, but sent a letter that said you quit the services.
Q. When Iviewit was being represented by Proskauer -
A. Yeah.
Q. -- were there times when you were calling anyone at Proskauer or sending letters to anyone at Proskauer complaining about the bills?
A. I wasn't dealing with the bills.
Q. Okay.
A. I mean, you think I'm like the bill guy.
Q. Okay. No, I don't think anything. I just want to know --
A. What? I heard my board members complaining --
Q. Let me finish.
A. Okay.
Q. I want you to give me some insight into who was getting the bills, who was making the decisions to pay them and who was complaining about them, if at all; can you tell me that?
A. Yeah. At first there was nobody complaining because nobody was seeing them, except Brian Utley and Chris Wheeler, best friends.

Then, as soon as we started to see them, Si started complaining. Buchsbaum had complaints --
Q. Are there written complaints or calls?
A. Yeah. People were asking all about the claims. and it's written on the bills that there's problems.
Q. Where are those notes written on the bills, those complaints?
A. They are part of evidence somewhere.
Q. Have you seen them in our files?
A. I have seen them in our files.
Q. Because we've asked for them, and I never saw them before.
A. What?
Q. The written complaints that you are talking about to
A. Buried in there somewhere.

THE WITNESS: Did you bring him a CD?
MR. SELZ: Yes.
THE WITNESS: So you got it.
MR. SELZ: The compact disk that he sent me, that was the one that we sent out --

MR. PRUSASKI: The one you sent to me about four months ago?

THE WITNESS: Yes.
MR. PRUSASKI: That just had a couple of icons on it.

MR. SELZ: It didn't have any contents on it?

MR. PRUSASKI: No. Our IT people looked at it and said there's a couple of icons.

THE WITNESS: Well, you know, your IT
problems are apparent all over the place.

Because you can't open most files according to Chris Wheeler's notes. He can't read half the things in the world. "Scrambled Word documents" he writes back on his notes. So. you know, I don't know. I submit to you.

BY MR. PRUSASKI:
Q. So you were writing -- you were hand writing objections on bills?
A. No. My father was.
Q. He was. And you saw them?
A. I saw them, yeah. I saw them.
Q. I think Brian Utley testified that there were never any written objections to the bills.
A. No. Brian Utley in fact testified that there were objections to the bill, and he was aware that board members and my father had complaints about billing, overbilling, et cetera.
Q. When did this overbilling complaint start?
A. Oh, my God. Don Kane went berserk --
Q. No. When did it start? We'll get into Don Kane. When did it start?
A. I don't know exactly when it started, but my dad formed a committee to start reviewing.

And we put a limiting motion on Brian at the board that he couldn't bill more than 5,000 month or something
with Proskauer because word was catching on that Brian said: Can \(I\) have a check for all of these moneys. and I've made deals with Proskauer to pay them hundreds of thousands of dollars of our cash when, in fact, we ve given you stock graciously, because of your statements that we would be receiving patent royalties from Ken's pools, and that would be the way to offset these bills, et cetera. And we had no idea.

Then. Mr. Utley came in and said he wanted to start paying all this money weekly to Proskauer; people said no, letters were written and, then, the board got very upset.
Q. Why did you - I'm confused as to why Iviewit just continued to let Proskauer do work for months and months, if not years, after they thought that proskauer was overbilling?
A. Well, my dad was trying to set up meetings with Chris to negotiate and settle and find out what was the matter -
Q. Why didn't - Why didn't you fire the lawyers that were allegedly overbilling you?
A. Well, you know, because we felt very insecure about that. Because you had so much knowledge of our patents and we were seeing so many of your clients in Ken's patents pools utilizing our products, that we felt that
that would be a severe thing versus getting some of these issues corrected.

It wasn't until we saw some of the more apparent, large scope scams, like that Mr. Wheeler had submitted a bogus resume on Mr. Utley.
Q. Who do you think made that resume?
A. Chris Wheeler.
Q. You think Chris sat there at his computer and just drafted a bogus resume to dupe you into believing that Utley had these qualifications?
A. Yes. And then, in fact, he created another one with Mr. Utley for a Wachovia business plan which claims completely the opposite of what they claimed in the first resume. You should take a look at those. too.

And that was approved and authored by Mr. Wheeler, and billed for it as such for business plan reviews.
Q. Where are the hard copies of these written objections that are on Proskauer's bills that Simon Bernstein sent?

THE WITNESS: Do you have them?
Q. Because I never got them. And this is - this could be significant. We've asked for them, and we've never got them. And they are not listed as exhibits by the defendant; so do you know where they are?
A. You know, I can look for them. But as I've said.
a lot of our documents have been destroyed. So I passed most of the corporate record --
Q. Do you think Utley destroyed these documents?
A. Oh, absolutely. He's destroyed his own -- so many documents that it's not funny.
Q. But \(I\) thought your attorney just said \(I\) got them on a disk.
A. He does because part of what I did was, as I was hearing from people like Maurice Buchsbaum that documents were being destroyed. I started to have people grab as much as they could, so some of the documents we have.

And I would assume they are on the disk. Have you reviewed the disk? You're saying that the disk has no weight other than icon?
Q. There was a CDR that was given to us .-
A. Yeah, right.
Q. -- and our IT department told us there was just a few icons on it. And they gave me a printout of what was on it, it was nothing.
A. How much data was on it?
Q. I sent a letter back to your attorney saying that this was all we found on the disk, and here is a printout, and \(I\) didn't get a response. So I assume that was the case.
A. Well, I had assumed I had submitted them with the
\(C D\), so that's the case.
Q. What do you think happened?
A. I have no idea. Maybe the mail.

Maybe you don't have a good IT department, which you've had several problems opening files such as simple Word documents, according to Mr. Wheeler's notes.
Q. Okay. So there were written complaints by Simon Bernstein to the bills?
A. Correct. There were board meetings with complaints as well.
Q. And what -- were there Proskauer attorneys present at the board meetings?
A. Absolutely. And Chris --
Q. Who?
A. Chris Wheeler.
Q. And what would he say in response to the complaints about the bill?
A. He was going to negotiate and review and, you know, check on the items; and that was just at the end, when he was first questioned about it. And then, the board asked him to step out during those conversations; that he was the subject of investigating why these bills were even occurring.
Q. Were the bills ever -- I'm sorry. Strike that.
A. Nobody saw the bills. They were --
Q. Did Proskauer Rose ever take any action to correct the bills based on the alleged complaints by simon Bernstein?
A. According to letters I've seen by Mr. Utley in the documents I've submitted, yes.
Q. Okay. So let's recap for a second. Just to make sure we're clear.

You never submitted written objections to the bills, but your father did, correct?
A. I wasn't reviewing them; he was, correct.
Q. Correct?
A. Correct.
Q. You don't know, as we sit here right now, where the written objections to the bills are, correct?
A. They're in a box probably somewhere in the transfer of my stuff from LA to California.
Q. Right. But you have to -- when I ask you a question, you can't answer with assumptions. That's always dangerous. You have to answer with facts.

Do you, as you sit here right now, know where these written objections to Proskauer's bills are that your father submitted to Proskauer?
A. Yes.
Q. Where are they?
A. Caroline Rogers would have a copy.
Q. The lawyer in Chicago?
A. Correct.
Q. Have you ever given these documents to Mr. Selz?
A. Yeah.
Q. So he has a copy, too?
A. Yeah.
Q. Okay. So Caroline Rogers and your attorney sitting next to you has a copy of all of these documents that Si Bernstein sent to Proskauer complaining about the bills?
A. Correct.
Q. When did you give them to Mr. --
A. As a matter of fact --
Q. When did you give the documents to Mr. Selz?
A. I don't recall.
Q. Who else from Iviewit sent written objections to Proskauer about Proskauer's bills, besides Simon Bernstein?
A. I believe Bill Kasser.
Q. \(\quad K-A-S-S-E-R\).
A. Right. And I believe Ross Miller would have documents. I may even have copies of those I thought I submitted to this court, but I'm not sure. They might have come after, as \(I\) was building this.
Q. Where -- as you sit here right now, do you know where the written objections by Bill Kasser and Ross Miller
are located? Where are those documents located?
A. No.
Q. You do not know?
A. I do not know.
Q. Did you give them to your attorney sitting next to you?
A. I do not know, but Bill Kasser was asked to give back all of the corporate --

MR. SELZ: He asked you -- he asked you a specific question.
A. Did I what?
Q. Did you give them to Mr. Selz?
A. Yes.
Q. But you just answered that, as you sit here, you don't know where they are --
A. Wait. Which documents? Sorry, I wasn't listening.

Mr. Kasser's documents -- I'm sorry.
Q. Don't get ahead of yourself.
A. I'm sorry. I was a little bit confused on that question --
Q. Listen to the question.
A. Yes.
Q. You know that Simon Bernstein's written objections are at Mr. Selz's office --
A. Correct.
Q. -- and Miss Rogers office?
A. Correct.
Q. Now, do you know where Bill Kasser and Ross Miller's written objections to Proskauer's bills are located?

MR. SELZ: Asked and answered. I think he said he doesn't know where they're located.
A. No.
Q. You do not know where they're located?
A. I have belief where they may be located. I don't know.
Q. Where do you think they're located?
A. At Bill Kasser's house. He's now high-jacked the rest of our corporate records.
Q. Did you ever give those documents by Bill Kasser and Ross Miller, those written objections, to Mr. Selz?
A. I don't know.
Q. Besides Bill Kasser. Ross Miller and Simon Bernstein, who else submitted written objections to Proskauer?
A. Written?
Q. To the bills --
A. To Proskauer.
Q. Written objections to the bills.
A. To Proskauer, nobody else that \(I\) know of.
Q. That's it? Those three individuals that we've discussed .-
A. That \(I\) currently know of.
Q. -- are the only people who you've seen documents from objecting to Proskauer's bills, correct?
A. Correct.
Q. Okay, Now, you were the technology part of the company. You didn't really handle the finances of the company, is that what you were saying earlier?
A. Correct.
Q. So the bills that would come in from the creditor. it wasn't your job to review the bills. It was your job to handle the technology, correct?
A. Part -- yeah. You know, yes. Well, initially I was looking at the bills, until Mr. Utley was brought in by Mr. Wheeler.
Q. Now, when you were first looking at the bills before Mr. Utley came in, was there a problem with Proskauer's bills, before Mr. Utley came aboard?
A. No. No. We were paying them. We had paid some of them. Everything was kind of being worked on until Mr. Utley came and, then, it was in his charge.
Q. And after Mr. Utley came aboard, that's when, in your testimony today, Proskauer's billing problems started?
A. Yeah. Oh, yeah.
Q. And you didn't find this out until after the fact because of the conspiracy between Utley and Proskauer?
A. And the conflicts, obviously.
Q. And the conflicts of interest that you --
A. Correct. Between Chris and Brian and the company, that I don't think we have a waiver of conflict on.

So Chris basically worked with Brian to do the bills. We were unaware mainly of what was going on. And when we became aware of it, all of the board members had a cow about it.

And there were actions taken to reduce any further possibility of them racking up these insane legal bills. And spending limits were put on Mr. Utley. And we were all very afraid that -- you know, in fact, they were meeting every day. They lived in each other's offices.

I mean, Mr. Wheeler lived in our offices with Mr. Utley. There's a hundred people that will tell you that, and Mr. Utley lived in your office across the hall. So they basically spent most of their days together, as far as I could see.

You know, I'm sure there's billing records to the contrary of what I'm saying, but they spent a whole lot of time together every day. They traveled together, everything else.

So the bill is flowing between those two. As you'll note on your billings and your records and the statements that you provided in your complaint, most of those letters are addressed to Brian Utley with very few other people being sent any of the correspondence.
Q. Well, he was the president, wasn't he?
A. Yeah. But if he had a conflict, he certainly should have been showing it to the other -- and the board had already told him not to spend over \(\$ 5,000\); so he shouldn't have been approving these things, like shifting the company from a technology to a Distance Learning company and billing up lots of legal bills doing such. I mean, a clear violation of protecting the client.

I mean, these things -- you know. shifting the company focus would have had to take up board approval. Billing bills to do a shift and create merger and acquisition documents to transfer stock of the company you would figure it would have to go through a board kind of procedure. And none of that was happening, as well as none of the bills were being shared. So we didn't know, you know, what they were up to. Mr. Wheeler and Mr. Utley.

And now, looking at some of the documents we're quite blown away.
Q. When the bills started coming to you before Utley was a part of the company, were you the president?
A. Was I - yeah.
Q. Were they addressed to Mr. Eliot Bernstein. president?
A. I don't know. Are they there?
Q. I don't think so.
A. Do you have any records of any bills being sent to anybody prior to Mr. Utley?
Q. Well, if you don't know, just say "I don't know."
A. Well, I would say that based on my observations of all of the documents that \(I\) can see today, there are really no letters --

MR. SELZ: Eliot, it's what you know.
THE WITNESS: Yeah, what \(I\) know.
MR. SELZ: Answer the question from what you know.
A. No.
Q. You don't remember how they were addressed? If you don't remember, say so.
A. I don't remember receiving any other than \(A l\) Gortz's personal bill.
Q. Well, you said just ten minutes ago that you, before Utley came aboard, were the person who received the Proskauer bills and there was no problem with them.
A. I saw bills. I'm just'clarifying your question for a second --
Q. Please.
A. I did see bills, I believe.

What I'm trying to say is I don't see those letters and correspondences any more in the corporate record. You are providing letters that \(I\) had at one point, that \(I\) thought \(I\) would find in your files that aren't there any more; that's why I'm concerned about the records.

And what you've provided to the court isn't any letters to me prior to Mr. Utley, those are all destroyed it appears; so that's where \(I\) was heading.
Q. There were letters to you from Proskauer before Mr. Utley came aboard?
A. I believe so. They might have been to my father, too.
Q. And you can't find them anywhere in the file that we provided?
A. No.
Q. You've looked in the whole file and you can't find them?
A. Yeah. I've looked in a lot of files --
Q. There's about five or six feet of correspondence in that file and you can't find those letters in there? You're shaking your head no.
A. No is the answer.
Q. What happened to those letters that Proskauer sent
you before Mr. Utley came aboard; you think they were destroyed by Proskauer?

MR. SELZ: Objection, calls for speculation.
Q. You can speculate all you want. We're doing a lot of speculating today.
A. Yeah, I would --
Q. Tell me what you think happened, please.
A. I would think that part of those records have been destroyed.
Q. By Proskauer?
A. By Proskauer and Mr. Utley.
Q. Why?
A. To cover up the tracks of what really happened with this company and its technologies, to try to hide that you were our technology attorneys.
Q. Just another part of the grand conspiracy --
A. Correct.
Q. -- that forms the basis of your lawsuit?
A. Correct.
Q. Counterclaím.
A. It doesn't form the basis. There's many pieces of evidence which will show the conspiracy and how it unfolded. This is just part of covering up your tracks.
Q. But you don't have any first -
A. Oh, I --
Q. Well, let me finish. You don't have any first-hand knowledge, as you sit here today, that Proskauer ever destroyed one document pertaining to Iviewit, do you? These are assumptions.
A. Did I actually --

MR. SELZ: No. What he's asking you is: Did you
see anyone destroy any documents?
Q. Did you see anyone destroy --

MR. PRUSASKI: I liked my question.
Q. You don't know -- do you have any first-hand knowledge, as you sit here today, that Proskauer ever destroyed a single document relating to Iviewit?

And "first-hand knowledge" is: Do you have any
first-hand knowledge? Did you see it or hear it yourself?
A. Unless there's information on the table --
Q. It's a yes or no answer.
A. Unless there's information that was on this table, that's in shelves that you've claimed is not on shelves but might be on shelves -- based on what I've seen here through your documents --
Q. Your answer is no because you don't want to answer it --
A. No. My answer is yes.
Q. You do have first-hand knowledge?
A. Based on if I've looked at all of the documents
that you say you provided here, that there are no missing documents on shelves that \(I\) should be -- have been looking at, yes. documents are missing.
Q. I asked you if you have first-hand knowledge of whether Proskauer destroyed --
A. Well, that would be destroyed. If they're not here --
Q. No. Don't split my question --
A. Well, you told me there was nothing that was on shelves are missing; the documents are here.
Q. All right. Let's take it one step at a time.
A. Right.
Q. Did you ever see with your eyes anyone at Proskauer destroying any documents pertaining to Iviewit?
A. No.
Q. Did anyone ever tell you that they saw anyone at Proskauer destroying documents relating to Iviewit?
A. No.

MR. PRUSASKI: Okay. I need to eat lunch.
It is now 1:50, and we're going to break
until 2:30 p.m.
The court reporter needs to eat, and so do I.
(Whereupon, a discussion was held off the record.)
(Whereupon, a luncheon recess was taken
from 1:50 p.m. to 2:56.)
(Whereupon, the deposition of Eliot I.
Bernstein resumed at 2:56 p.m.)
(Whereupon, Plaintiff's Exhibits 4 through
9 were marked for identification.)
DIRECT EXAMINATION (Continued)
BY MR. PRUSASKI:
Q. Okay. We're back on the record.

We took a lunch break. And to let you know,
you're still under oath. Mr. Bernstein.
Before we broke for lunch, we were talking about
documents that you indicated you had given to your lawyer,
Mr. Selz, that contained written objections by simon Bernstein to Proskauer's bills.

MR. PRUSASKI: Mr. Selz, have we received all of the documents in your possession in response to the request for production?

MR. SELZ: I believe so. I believe so.
If there are any others, obviously we have to do a supplementary for any documents that we've received.

I'm going to have to review my file,
because I know that we did receive some supplemental documents from Caroline Prochotska

Rogers --
MR. PRUSASKI: The Chicago attorney.
MR. SELZ: -- recently.
MR. PRUSASKI: Okay. Well, can we have an answer to that next week, because we are approaching calendar.

MR. SELZ: Most certainly. Most certainly.

Yeah. Obviously, if there are any documents that we have in our possession that we've received recently that are responsive to any request for production, we will respond --

MR. PRUSASKI: Right. Because we had certainly asked, right after this lawsuit was filed, for any documents containing any objections, and I'm concerned that we don't have them, if they exist.

BY MR. PRUSASKI:
Q. Mr. Bernstein, if you would. look at the document that \(I\) previously gave you that's marked as Plaintiff's Exhibit 3, which is Iviewit's answer and affirmative defenses that is dated November 2nd, 2001.

If you would, go to page 4, where the affirmative
defenses start, if you look at the first affirmative defense it says: Plaintiff's amended complaint fails to
state a cause of action upon which relief can be granted in that defendants herein were not parties to any contract or agreement with plaintiff --
A. Where's that? Just so we're on the same page? I missed something .

MR. SELZ: Page 4.
THE WITNESS: Page 4, not paragraph 4.
Q. Paragraph 39.
A. Okay.
Q. - Plaintiff's amended complaint fails to state a cause of action upon which relief can be granted in that defendants herein were not parties to any contract or agreement with plaintiff and plaintiff's allegations are in direct conflict with the relevant written documents.

Do you have any factual knowledge to support that statement?
A. I am not sure. I didn't -- I don't think I did these defenses.
Q. Okay.
A. I think they were done by Mr. Kent or Kasser.

Can I ask for an explanation of what it means?
Q. No. If you don't know; that's okay.
A. Let me just try to read it for a second.

MR. SELZ: Do you know?
THE WITNESS: NO, I don't know.

MR. SELZ: If you don't know, you don't know.
THE WITNESS: I don't know.
Q. Okay. Paragraph 40 says: Plaintiff has failed to meet all conditions precedent to the bringing of this action against defendants.

Do you have any factual basis as the corporate representative to explain what that means?
A. No.
Q. Paragraph 41 states: The moneys that plaintiff claims are owed are unreasonable and do not bear a relation to the value of the services provided. Thus, plaintiff's recovery herein, if any, should be reduced accordingly.

Do you have any factual knowledge to support that defense by Iviewit?
A. Yes.
Q. What is that?
A. Lots of evidence about the patents and the copyright work which was failed to be performed, which might have jeopardized our copyright position with the U.S. Copyright Office.

The Distance Learning stuff that was billed without board approval, the transaction of Bruce Prolow's stock without -- or securities without board approval. If that's what this is saying. I mean, I --
Q. It sounds like that would be a malpractice
defense, what you're saying, wouldn't it?
A. I don't know.

MR. SELZ: Objection, legal conclusion.
Q. You can answer the question.
A. I don't know, I'm not a lawyer.
Q. Well, it says there - it basically says the bills are unreasonable and the amounts don't bear a relation to the value of the services which, to me, tends to indicate that you are complaining that the bills were overstated.
A. I did not do these. These were done by a referral of Mr. Wheeler's. who brought in counsel that is friendly with Mr. Wheeler, that were done by Mr. Kasser, who later turned out to be a witness for you.

MR. SELZ: Wait a minute. Just answer the question.

THE WITNESS: Okay.
A. Then, I don't know. You know. I'm basing my answer based on the knowledge I have after getting involved and reviewing all of the documents.

This was put together by somebody that now appears on -- for you --

MR. SELZ: No.
THE WITNESS: Okay.
Q. If you look at paragraph 47 on page 5 which states: The plaintiff's claims should be dismissed because
plaintiff has failed to join an indispensable party, namely, Iviewit LLP,

Are you very fluent in the different corporate entities of Iviewit, is that something that you dealt with?
A. No. I've been trying to figure it out for now -as long as \(I\) got involved in trying to figure out what was going on and what was misrepresented to us.
Q. So do you -- can you talk about the difference between Iviewit, LLP and Iviewit.com, Inc. as opposed to Iviewit Technologies?
A. No.
Q. Why; because you handled the technology and that was more of the business side?
A. No. What happened was Chris Wheeler represented to the board that \(I\) and the other inventors should assign our interests and our patents to an entity that he was going to create.

Now, there were a lot of people, including your own attorneys, patent attorneys, that were counseled on us that said that inventors should license to a company.

Mr. Wheeler wanted the company to own the patents against the advice of people, such as Don Kane from Goldman Sachs, Arthur Andersen representatives, Armstrong Hirsch representatives. And the only person who thought that this corporate scheme, as he called it -- that would protect the
patents as much as they were going to be protected if we had kept them in our names, was this corporate scheme Mr. Wheeler devised that would be two or three companies.

He wasn't really going to bill us for it because it was for Mr. Huizenga, he thought, would want this this way and might not make the investment based on that. So he decided to create a scheme against everybody's advice. And he was asked about issues such as bankruptcies and lawsuits against company Holtzman's (ph) patents.

So Mr. Wheeler created a complex scheme of
companies that nobody who is involved with the company that I know of understands, has knowledge to all of what was happening to the different entities that were being set up by Mr. Utley and Mr. Wheeler without, in several instances, board approval: so no.

I mean, it's so complicated that, you know, it's hard for a regular inventor-kind of guy to figure out.
Q. Well, if you take Iviewit.com, Inc., did Proskauer establish that company?
A. Yeah. Chris had mentioned that it was a company to be formed that any and all lawsuits would be brought against; that the patent company, companies, whatever he was designing, were protected and shielded from lawsuits of which, I think, you guys are suing some of the patent companies, which would make him the first guy to sue his
own scheme to protect the patents; but hey, you know, whatever.

He assured everybody that the companies with the patents wouldn't be involved in any lawsuits at Iviewit.com where all the bills were, like yours, was the only entity that had any exposure; and that, through his scheme, we were so-called protected from anybody doing what you are doing actually.
Q. When was Iviewit.com, Inc. formed?
A. You have to ask Chris Wheeler that.
Q. You don't know?
A. No.
Q. Do you know who the principals of Iviewit.com, Inc. were, was that you?
A. No. I don't know.
Q. Were you one of the principals, do you remember?
A. I don't know.
Q. Do you know if Proskauer performed any work for Iviewit.com, Inc.?
A. If that's the company -- you know, I mean, it's so confusing. There's Iviewit.com, Iviewit.com, Inc., Iviewit.com, LLC, so I don't know.
Q. Okay. There were so many companies that you can't really speak intelligently about the differences between the companies?
A. No. I can speak about the differences that were told to us that were supposed to be represented.

Iviewit.com was supposed to be an operating company; but now, there appears to be several Iviewit.coms We didn't know about that, but \(I\) guess that's part of this overbilling.
Q. What was Iviewit, LLC?
A. I don't know. Ask - I don't know.
Q. Well, have you seen our retainer agreement in this matter?
A. No. I've seen the one you've provided to the Court. That didn't occur until nine months after the companies were formed.
Q. Right. Do you know why?
A. No.
Q. I'm going to show you a document being marked as Plaintiff's Exhibit 5, a copy of a letter dated September 8, 1999. I'll ask you to look at it and tell me if you have ever seen it before.
A. Yes. I have seen it in this matter.
Q. Is that the document that you were just talking about that was signed nine months after --
A. Correct.
Q. Now, do you notice that it's signed by Brian Utley, president, Iviewit, LLC?
A. Yeah.
Q. Was that the operating entity at the time?
A. No.
Q. What was?
A. Like I said, I don't know for sure. But \(I\) was represented that Iviewit.com. Inc. was the only one that would be entering into engagements or anything else for services with any professional fees.

This would have never passed for ratification, if it was presented to the board; but it seems to appear to have only been presented to Mr. Utley.
Q. You never saw this before the lawsuit was filed?
A. No.
Q. When did you first see it?
A. When the lawsuit was filed.
Q. So this document was signed without board approval?
A. I don't even think that's a real document that was part of anything at this company that was told - that was sent to us --

MR. SELZ: Answer the question.
A. No.
Q. Well, you think this was fabricated?
A. Yes.
Q. After the lawsuit was filed?
A. Yes.
Q. By whom?
A. Perhaps before. By Mr. Wheeler and Mr. Utley.
Q. So you're saying, although this letter is dated September 8th, 1999, it bears actually a stamp that was fabricated?
A. Sure.
Q. Do you have any knowledge --
A. I believe.
Q. It's a hunch?
A. It's a hunch.
Q. Okay. You are skeptical that it was actually signed on or around this date that's listed on there?
A. Right. Exactly.
Q. Why?
A. Well, because it's with the wrong company. I would assume, from what we were represented by Mr. Wheeler.

And it's so far after services began being performed by 50 partners of Proskauer, that it seems almost - almost ludicrous that this document is signed months after you engaged to do work with us, instead of like proper lawyers who engage with me that we sign a retainer agreement.
Q. Have you seen any other documents in this case that you think were fabricated by Proskauer?
A. Yes.
Q. Do you remember what they were?
A. Yeah, documents back and forth between Ray Joao; faxes, particularly.
Q. Anything in particular that you remember about them that \(I\) can identify them by
A. Yeah. Ray Joao's faxes, take a look at them.
Q. All of them?
A. Well, he only billed for one; but there's about 47 that go between and, yeah, most of them look like frauded documents.
Q. Okay. Can you tell with your eyes that they're fraudulent, or is there something --
A. I've gone over them --
Q. -- physically wrong with them, or are you concerned that the contents seem to be fraudulent?
A. Physically what's wrong with them is that most of them are missing headers and proper footers and proper date and time stamps between the correspondences between Mr. Joao and Mr. Wheeler: that Mr. Joao's documents provided are not provided for in his billings, he never billed for such faxes. And that they bare false signatures in some cases, I mean, so...
Q. Do you know what Iviewit Technologies is?
A. One of Chris Wheeler's companies.
Q. Do you remember what the purpose of the company was?
A. No, I don't.
Q. When it was formed?
A. Nobody knows.
Q. No?
A. Not that we know of, but most corporate people are confused about all of these companies.
Q. Would it be a fair statement that Proskauer did form all of the Iviewit companies?
A. I don't know.
Q. Okay. Do you know if Proskauer --
A. Well, if they formed them -- I'm not sure if I know of all the Iviewit companies yet.
Q. You are still finding some?
A. Yeah. I guess there was some formed with Proskauer on this Distance Learning stuff that \(I\) was unaware of as well, and \(I\) think the board was unaware of; so I did see some in your documents that we don't have record of.
Q. Iviewit Holdings, Inc., do you remember that company?
A. You know, it was told to me that - that \(I\) believe was the company that - and I have to check my notes -that held the patents. But according to current patent
counsel, who's reviewed it, I think they're confused as to who holds the patents.
Q. Who is current patent counsel?
A. I don't know. You would have to defer that to Caroline Rogers.
Q. Greenberg Traurig? It's not Greenberg Traurig?
A. They've reviewed it --
Q. Yeah.
A. -- but there was some other firm, too, that I can't remember the name.
Q. Caroline Rogers has power of attorney?
A. Yes.
Q. Over the companies or over you?
A. Me.
Q. Personally?
A. Yeah.
Q. Did you grant it to her?
A. I did.
Q. OKay.
A. I was afraid, in the event that \(I\) got killed, that somebody would have proper authority to take actions to defend me.
Q. Killed as a result of the --
A. Of this.
Q. -- comspiracy --
A. Correct.
Q. -- between Proskauer --
A. Correct.
Q. -- Utley --
A. Correct.
Q. - Foley \& Lardner?
A. Correct.
Q. Who else?
A. Ray Joao.
Q. Ray Joao.
A. We're not sure if he's in the conspiracy to kill.

Foley \& Lardner were -- I'm sure, that they are in the conspiracy to kill. The only two who have conspired -and one is hearsay, Mr. Wheeler -- is Mr. Utley, using Mr. Wheeler as his threat. As best friends. I just took it as to be a reasonable assumption that it could be the truth. Mr. Wheeler has not done such himself -- has not done such himself.
Q. Physically threatened to kill you?
A. He has never threatened to kill me. Mr. Utley made the threat on behalf of both of them.
Q. Do you remember anything about the Iviewit bank accounts during the time Proskauer was representing Iviewit?
A. Yeah. I remember that it appeared that some of
the checks were being signed by people not authorized on the accounts --
Q. Like who?
A. - one of Mr. Wheeler's reports, I believe Ray Hersh.
Q. Wasn't he the CFO?
A. Yeah. but I don't think he was an authorized signator on the account. I am not sure. We'll check, but --
Q. You are not sure?
A. No. But it had to do with checks going to Proskauer over the amount that Brian was billing .supposed to be billing.

I think, in this document that was labeled Exhibit 5, there was a \(\$ 5,000\) or something -- or is it this letter?
Q. No.
A. Some letter we have he was supposed to have owed \(\$ 5,000 \quad-\)
Q. Okay.
A. And so it became questionable why checks were being written to Proskauer that -- instead of my signature or Si's signature, which were required over 5,000, why Brian and Hersh were on those checks.
Q. What did you do about it, when you found out there
were checks being signed by someone who you felt wasn't a signator?
A. It was already too late, the companies were disbanded. You know, all of this was -- it was already over. they were gone. So \(I\) didn't find out until after that this was happening, as with most of the claims.

You know. I was a trusting guy. I trusted my attorneys. I trusted the management referrals they had brought in to us.

And it wasn't until all kinds of documents started to come up. like Blakely Sokoloff uncovering documents and all of this weird stuff; then, we got the corporate record that we were locked out of our files. I mean, it all became suspicious then, but we didn't have all the answers.
Q. Do you know if checks were ever written off of one entity's accounts to pay another entity's bills?
A. No.
Q. You don't know?
A. No. I didn't handle the checks.
Q. Who did?
A. Brian Utley, Gerri Lewin, Ray Hersh.
Q. As a board member, did Gerri Lewin have hands-on day-to-day operation with the company?
A. In the beginning, him and Chris, yeah, every day.
Q. Was Gerri Lewin part of the conspiracy?
A. Gerri just referred me to Chris.

I have told you who I have documented evidence against. I am not going to bring people in until \(I\) have all of their statements, have a chance to talk to them about certain documents that have been found. So 1 can't answer that question today based on the current set - I ve asked Gerri to give me his complete set of records so that I can make that assertion.

Anything that has been referred or came from Chris Wheeler may be perhaps involved. And other than Chris and Brian and that -- I won't say that there aren't other people involved in the conspiracy that \(I\) can find but, certainly, those are two that \(I\) have evidence on them.

At your firm, I don't know if the rest of your partners are involved or even know about any of this - and Rubenstein, by the way.
Q. Did Iviewit having trouble paying its bills when Proskauer was its lawyers?
A. Well, that's a weird question.

I mean, you know, we weren't - Utley started to say we needed to pay all of these bills and, you know. that's part of why si freaked out, was because he was upset that Proskauer had take two and a half percent stock, that they were going to delay billing.

There wasn't - that when fundings would be due,
or we'd get fundings, we would pay some of the bills; and that he was delaying and, you know, blah until we got these royalties from Ken Rubenstein. And then, it was his big payout. according to what he had heard from his sources. Real 3-D. et cetera, was the billions of dollars of value to the technologies and his two and a half percent stock.

And he kept telling everybody that there was billing and don't worry about the excess billing, and the triple billing, and the triple billing between my office. people calling, don't worry about any of that because it's going to come out of our patent royalties from Mr. Rubenstein.
Q. Wheeler said that?
A. Many times.
Q. Who else was present when he said that?
A. Oh, many -- all of the board members. So he sold all of their stocks.

And Kenny Rubenstein, he got -- would put him on the board -- advisory board based on Chris Wheeler's recommendation.
Q. Was Gerri Lewin present at the board meetings when Chris Wheeler made those comments?
A. Yes.
Q. Gerri - were you on the telephone during Gerri Lewin's deposition?
A. No.
Q. He testified in his deposition that the only reason the bills weren't paid was because there wasn't any money?
A. Well, then, we might have a problem with him being involved with the conspiracy.
Q. Were you on the telephone when Mr. Hersh's deposition was being taken?
A. No.
Q. He said the same thing.
A. Another Mr. Wheeler referral, management referral.

I would assume that all of these people that are friends of Chris Wheeler aren't going to testify against him as being part of the conspiracy, but we'll see.

That's why I said, we'll have to get a chance to talk to them and look in their eyes. I mean, you know and that - you know, as this unfolds. And then. I'll make, you know, the determination if we should take actions against Mr. Lewin and if he was so involved.
Q. Have you reviewed any bills from Proskauer that have entries for attorney time for services that were never actually performed?
A. Yeah.
Q. Can you give me an example?
A. Distance Learning.
Q. Okay. Tell me about that.
A. They were never - oh - that were never performed? Sorry, I missed that. I'm sorry.

Let me re-answer.
MR. SELZ: objection to form.
A. Right. Yes.

And the question is answered unequivocally yes.
Copyrights are not on file with the U.S. Copyright Office, and they were billed for it in these bills that you provided, which exposes the company to such tremendous liability that I -- you know.
Q. Proskauer Rose?
A. Of course.

You failed to file my copyrights. I hope you've got those on the shelf somewhere, by the way, because that would be good to know. I didn't see any in the documents you provided me to come in here and copy. If not, maybe they were destroyed, I don't know.

Do you have such documents. Mr. Prusaski .-
MR. SELZ: No.
THE WITNESS: I can't ask him that?
MR. SELZ: No.
MR. PRUSASKI: Just bear with me for a moment.

MR. SELZ: No problem.
(Pause.)
BY MR. PRUSASKI:
Q. I'm going to show you a document marked as Plaintiff's Exhibit Number 6. It's a letter dated March \(24 t h, 2000\).

I'll ask you to look at it, take a moment to read it: and tell me if you have ever seen it before.
A. Yeah. I have seen it in this case, and I am not sure if I've seen it prior to or a similar document, but go ahead. Yeah.
Q. Do you recall seeing it on or around March 24 th of 2000?
A. I don't recall. I'd have to check in my notes.
Q. Is this document something you think may have been fabricated after the fact?
A. Anything might have been but, you know, let's just assume it's good for now.
Q. Do you recall what the first paragraph is discussing in payment -- repayment arrangement of \(\$ 25,000\) and 50,000?
A. No. Those were arrangements made by Brian without board approval. Part of what became the subject of the board's discussions; that Brian not be making deals with Chris Wheeler, his good friend, on bills that most of us had never seen the details or backups or anything else on.
Q. It indicates -- in paragraph 2, it says: "I am
advised that you have put a hold on this arrangement pending a meeting which you wish to have with us."

Were you present at that meeting?
A. No.
Q. Was your father there?
A. I can't answer for him.
Q. Okay. You don't recall if Simon went to that meeting or not?
A. I don't recall.
Q. Do you recall what the outcome of the meeting was, with respect to the payment arrangement?
A. Yeah. That there was to be no payment arrangements, it wasn't satisfactory; that Brian should be limited on the spending, and that there might be problems with what's going on with the billing between Brian and Chris running up bills that were, you know, massive.
Q. Okay. But Proskauer continued to represent Iviewit for 14 months after the date of this letter, so I'm just curious as to what arrangement was made.
A. Well, Brian kept saying that things were being arranged and done, and not to worry; that, you know, the patent for royalties were going to be pre-paid in advance by Mr. Rubenstein and his -- and Peg and DVD (ph) pools and whatever else he's involved in. And all of the clients of
yours that were using our technologies under non-disclosures, and we were finding them everywhere, seeing the hurt. Our technology pop up at everybody we signed an NDA with you about, like visual Data, et cetera, et cetera, whole host of names.

So Chris kept making a representation that don't worry, the bill -- you know, in light of if the company makes billions from my royalties from my clients. Intel. Solkin Graphics. Lockheed, to Real 3-D and, you know, then, what is a bill of a few million dollars.

And you know what, to be quite honest, if those were the things that came back to this company, like we should be entitled to on our own inventions, and we even had proper patents, like they were supposed to cure, and copyrights, then we don't have any problem.
Q. The question - the question pertained to: Why did Proskauer continue to represent Iviewit for 14 months after the date of this letter and --
A. Continues --
Q. -- if Brian Utley -- if Brian Utley was making deals that you were aware of that were against board approval -- without board approval? Sorry.
A. Okay, because the deals were redacted. We didn't follow approval on the deals because .-
Q. Why didn't you get rid of Utley right then and
there, I mean. in March of 2000?
A. Because Chris came in -
Q. You are telling -- hold on. In March of 2000 you are telling me that the board of directors was aware that Utley was making deals that you didn't agree with and without your approval, why wasn't it until 13 months later that he was canned?
A. I am not sure this document is an actual representation or that the dates are right, or any of that.
Q. Assume it is.
A. Okay. I'll assume it is. That's what I was assuming from the start, that your story is being sold by these documents.

So, if that's the case, when we were presented with documented things or undocumented that Mr. Utley and Mr. Wheeler were working a bill and made arrangements. people on the board became very concerned. People hadn't seen the bills. They requested the bills, they were analyzing bills.

As a matter of fact, we brought in so many people in to analyze your bill and negotiate settlements with you because of its outrageousness. But at the time that this was happening, in March of 2000, Chris kept saying. don't - you know, it's not a worry, okay, so we were just making a payment arrangement in the event that \(I\) got
funding from this guy or that guy and blah, and don't worry, Si and \(I\) will talk and everything will be fine, but who cares if it's building up, we're going to make a bundle on this patent stuff from Ken Rubenstein, so don't you worry, this is nothing compared to what's coming.

That was a constant representation to the board and everybody involved in the company and all of the shareholders and everybody who ever invested in the company, were all based on Mr. Wheeler's statements like that. He was the one out selling it to everybody.
Q. That's not consistent with what the letter says, is it?
A. This letter is between Brian and Chris. And again, you have --
Q. It's between your father and Chris.
A. Well, I don't know if my father received it, so I don't know.
Q. Assuming he did --
A. Yeah.
Q. \(\quad-\quad\) it's a letter that's addressed to \(5 i-\)
A. And then, si started problems up that the bills were too high. He might have started reviewing at this point, I don't know; but that's. when those documents with his comments on them will come into - I think you should review them, but --
Q. All right.
A. He started to say this isn't right.

And by the way, as you know, he wants a meeting, Si, with Chris, according to this letter, in which they're going to discuss some of these billing issues. It wasn't like, oh, okay, Brian made a deal, take it; it's like there's problems, we need to meet. In fact --

MR. PRUSASKI: Look at this one.
Plaintiff's Number 7.
Q. It's a letter dated March 31st of 2000. Take a look at it, read it, and tell me if you have ever seen it before, while I get a cup of coffee.
(Pause.)
A. I think I've seen it in the case. I am not sure. It looks similar maybe to document \(I\) have seen.
Q. This letter marked Exhibit 7 is dated a week after the previous letter, correct?
A. Yes.
Q. And in it it references a meeting that was had between Simon and Chris Wheeler, correct?
A. Correct.
Q. Which, I guess, is the meeting you were talking about your father was requesting?
A. Yeah.
Q. In the letter it indicates that Chris Wheeler has
rejected Simon Bernstein's proposed resolutions to settle the past due accounts. It seems to be inconsistent with what you are telling me about Chris Wheeler saying don't worry about the bills, pay them when you get funding.

Chris Wheeler is still sending letters to your father indicating to just pay the bills.
A. Chris Wheeler is meeting with my father in this letter, according to what \(I\) can see, I don't know how you take your read on it, saying -- Si was arguing that the bills were -- something was wrong with them, and Chris isn't accepting his proposal and wants - demands payments.

Si was very upset that based on Chris's prior statements to the board of directors about how the bills would be paid and when they would be paid out of Ken's royalty streams, et cetera, and your client uses up my technology; that he was obviously upset that Chris was starting to demand now that his best friend was controling the checkbook money.
Q. Probably.
A. Yeah.
Q. This is 14 months before Proskauer terminated the representation.
A. Because they obviously stopped demanding the money and getting money. Why didn't you stop billing that day? They didn't.

MR. SELZ: You can't --
Q. I am not a material witness.
A. I'm sorry. Proskauer didn't stop billing that day.

I mean, why did Chris Wheeler continue to provide services for 14 months if he wasn't getting paid?
Q. Apparently, the answer to your question, because there were periodic payments being made, according to the payment plan.
A. There was no payment plan that ever was accepted by our company. Mr. Utley made several attempts to make agreements with Mr. Wheeler, which we all felt was a conflict of interest, and that's what happened.
Q. Well, there are documents signed by Mr. Utley, as president of Iviewit, entering into payment plans with Proskauer, are you aware of that?
A. Yes. And I am aware that we made a representation for Mr. Utley to be our president based on inconsistent things in his resume provided to the board by Mr. Wheeler.
Q. So for that reason, those documents signed by --
A. All could be frauds.
Q. -- Utley --
A. All could be.
Q. -- are not binding by Iviewit?
A. Including the documents Mr. Utley signed where he
signed patents into his own name, didn't assign a charter company, et cetera, yes. I would say that Mr. Utley has a habit of signing documents that are frauds.
Q. Do you realize that you are the only person that's going to testify at trial on behalf of the defendants who is going to state that?
A. Really? Monte Friedkin of Diamond Teflon Marble will (ph), and saw Utley, and will come in and testify.
Q. He is not a witness at this trial.
A. He will be.
Q. Well, how are you going to convince the judge to let witnesses not --
A. I'll let my counsel -- I'll let my counsel answer how we approach that --
Q. Okay. It's a stretch. You're limited to the witnesses you listed on your witness list.
A. In this matter, in this court.

MR. SELZ: Is that a question or is that a
statement?
MR. PRUSASKI: Yeah, actually, he's asking me questions.
A. In this matter. in this court.
Q. There's going to be other matters.
A. I don't know. You would have to talk to counsel.
Q. Okay. Let me show you a letter dated April 10.
2000. I'm marking it as Plaintiff's Exhibit 8 .

I'll ask you to look at it and read it, and tell
me if you have ever seen it before.
A. No. Other than in this case.
Q. You have seen it in this case?
A. I have, as part of the court record.
Q. Did you receive a copy of this at or about the time --
A. Never.
Q. Do you see yourself cc'd on the bottom of it?
A. Yeah. I see two different type fonts for me and

Al Gortz on the cc line. I am not sure if that's a typical typewriting mistake or - but to me it appears that it could have been. It says cc -
Q. Do you see your name on the bottom of it?
A. I do, but \(I\) never saw the document.
Q. So it wasn't delivered to you?
A. No.
Q. So what is the cc, a fabrication?
A. Yes.
Q. Okay. Do you think this letter was fabricated after the fact by Proskauer?
A. I don't know.
Q. It indicates receipt of a \(\$ 25,000\) payment from Iviewit. Do you recall that payment being made?
A. I didn't handle the checkbook. I don't recall it.

No, I don't recall.
Q. You didn't handle the checkbook?
A. And I don't recall. I don't know.
Q. Now that you are looking at this letter - I withdraw the question.
A. I'm looking at this letter, by the way --
Q. I withdrew the question.
A. Sorry.
Q. I get to decide the questions.

Plaintiff's Exhibit Number 9 is a memo dated
4/11/2000. Please look at it and tell me if you have ever seen it before.

MR. PRUSASKI: This is your copy, Steve.
MR. SELZ: Sure.
A. Yeah.
Q. You're listed on the cc's. This was never cc'd to you?
A. No.
Q. This references a proposed payment plan wherein Mr. Utley is saying that it is our intent to fulfill the agreement. Do you know what he's talking about?
A. I have no idea.
Q. Okay.
A. I don't know why he cc'd me either.
Q. Assuming there was - assuming this letter did reach Chris Wheeler from Brian Utley --
A. Yeah.
Q. -- did Mr. Utley have permission of the company to enter into a repayment agreement?
A. No.
Q. Did he have to receive permission from the board before he could do such things?
A. I would believe so.
Q. Do you know? "I would believe so" is a guess. Do you know specifically --
A. Yes, because if it was based on \(\cdots\) yes. If it's based on the last document, a \(\$ 25,000\) check needed to be approved, you know, yeah. He wasn't authorized to approve those kind of amounts, and make deals on behalf of the company on those amounts.

I'll look at whatever you want.
(Whereupon, witness speaks with his counsel.)
(Whereupon, Plaintiff's Exhibit 10 was marked for identification.)
Q. I'll hand you a document marked Plaintiff's Exhibit Number 10, a letter dated March 28, 2001 consisting of four pages.

I'll ask you to look at the document and tell me if you have ever seen it before.
A. Possibly in this matter.
Q. Do you recall -- have you had enough time to look at it?
A. I will in just a second.
Q. Let me know when you are ready.
A. This is a document between Mr. Wheeler and

Mr. Utley, with \(A l\) Gortz being the only person cc'd.
Q. I'm aware of that.
A. Okay.
Q. I'm asking if you have ever seen it before.
A. Before this matter?
Q. Yeah.
A. No.
Q. You have never seen it before right now?
A. Before this matter? This --
Q. Right now.
A. I've said, I think I've seen it in the records of this proceeding. Prior to that. I never saw this.
Q. The records -- after the lawsuit was filed?
A. Yeah.
Q. Do you recall seeing it at or around March 28 th of 2001?
A. No.
Q. Do you know if you've ever heard your father talk about the receipt of this letter?
A. No.
Q. Based on seeing this letter prior to today, do you know whether or not the statements in this letter that \(\$ 344,519\) were due at the time this letter was written?
A. No idea.
Q. You have no idea?
A. No.
Q. Do you know if Mr. Kasser did an accounting or a reconciliation of these bills?
A. Yes. I mean, for -- Ross Miller told us that there were -- gross negligence and inconsistencies that were in the bills, but that's after this, buddy.
Q. You were on --
A. No. This is as bills -- started to review what we were starting to get a hold of, the documents. And yeah, he had problems, he reported such. And now I'm confused as to why that never got part of this record, but --
Q. Were you on the phone during Mr. Kasser's deposition?
A. No.
Q. Okay. I thought you were.
A. No.
Q. I specifically recall him telling me that you did a reconciliation of these bills and you found them all to be due and owing.
A. Well, that's interesting. I have e-mails and correspondences from him that will possibly say different. as soon as \(I\) can get his records.
Q. Do you have any idea why he would have testified inconsistent with those --
A. Yeah. He's Chris Wheeler's referral'd friend.
Q. Bill Kasser?
A. Yeah, I think. I'm pretty sure he was hired in by one of the group of people that are Mr. Wheeler's referrals.
Q. So he has motive? You're saying he has motivation .-
A. Absolutely.
Q. -- because he's Mr. Wheeler's friend?
A. Absolutely. And he's locked us out of corporate records. more destruction of our documents. So I'm sure you deposed him in your favor; that's how we'll know.
Q. But you have documents from Kasser saying that he did a reconciliation of the bills and that the bills weren't due and owing?
A. I may. I have to check the records.
Q. Would you check and give them to your lawyer. because we're entitled to copies of bills because we've asked for them and I don't have them.
A. You know, you're going to have to do something
probably because we can't get them from Mr. Kasser who has hijacked them now.
Q. Well, you need to check and see if you have them.
A. Well, he's holding as ransom part of my corporate records.
Q. Well, you just said you don't know if you have them or not -
A. I don't, but the company might have those records, but Mr. Kasser refuses to give us the records.
Q. I just asked you: Do you have those records, and you said I don't know.
A. I was answering on behalf -- well, that's true. I don't know.

Mr. Kasser should have records of his files and the corporate files, but he's refusing to give them -- give the files to us.
Q. But you, Eliot Bernstein, don't have them, possession of the documents from Kasser that are inconsistent with his deposition testimony?
A. I am not sure. I'll have to check my notes.
Q. Would you?
A. Yeah.
Q. And if you do, you'll turn them over to your lawyer?
A. If I haven't already. Which lawyer?
Q. The one sitting next to you.
A. Okay.
Q. The only lawyer \(I\) care about, the one defending you in this lawsuit.

This document is marked Plaintiff's Exhibit 11. It's a letter dated April 16, 2001 containing a three-page attachment. Please look at it and tell me if you have ever seen it before.
(Whereupon, Plaintiff's Exhibit 11 was marked for identification.)
A. It's not addressed to me. It's between Brian and Chris again.
Q. We are all aware of what it says --
A. No.
Q. -- but just tell me if you have ever seen it before. You are a principal of --
A. Part of this .-
Q. The reason \(I\) ask is because you're a principal of the company, and it's not unusual for principals to get copies of letters.
A. Right. But if you are not cc'd, then it's hard to get a copy; but that's okay. No. I haven't seen this. other than in this case.
Q. Do you have any idea whether the bills that are attached to this letter totaling \(369,460.97\) were actually
due on April 16th, 2001?
A. No. No.
Q. You have no idea?
A. No. And actually, on some of these invoices we started to look them up at the end, here, and \(I\) believe \(\ldots\) let's see, that for example. \(4 / 6\) through 4/11. it looks like, we had bills for over \(\$ 5,000\) roughly.

Again, that would - you know. I don't know. I think the letter we've tried to lock up into is over \(\$ 4.000\) a month without board approval or some kind of approval.
Q. But you just put the statement "I don't know" at the end of it. So I mean -
A. I'm just saying based on looking at these..
Q. Are you filibustering, or are you telling me you don't know?
A. No. No, I looked at these as part of the case. So then, when I looked at them, I found all of these inconsistences, like billings for Distance Learning, things that the company had no records of, and so on.
Q. So you can specifically look at this list of bills on Exhibit 11 and point out specific bills for me right now that you have a problem with?
A. No.
Q. Okay. Well, that answers my question.
A. Okay.
Q. I think -- you know, you can't make assumptions and guesses in depositions, it's going to get you into trouble; and I think your attorney would agree.
A. Okay.
Q. Exhibit 12 is a letter dated April 27th, 2001, it's three pages long.
(Whereupon, Plaintiff's Exhibit 12 was marked for identification.)
A. Yes.
Q. Have you seen this document before?
A. As part of this case.
Q. You did not receive it on or around April 27 th --
A. Never.
Q. No one within the company gave you a copy?
A. No.
Q. Is it a fair statement to make that the letter is basicaliy Proskauer informing Iviewit that they're terminating representation?
A. It appears --

MR. SELZ: Objection. The letter speaks for itself.
Q. You can answer the question. Is that a fair statement?
A. No. It's a statement that Chris Wheeler is informing Brian Utley.
Q. I said: Is it a fair statement that Proskauer is informing Iviewit that they're terminating its representation?
A. I'm saying that Iviewit never saw this, and that Brian Utley saw it.
Q. Well, who was Iviewit? Brian Utley is the president?
A. At this time, I think Brian Utley was being fired.
Q. Was he fired as of April \(27 t h\) ?
A. I don't know, I'd have to check my notes.
Q. So you just made a statement that you have no idea if it's true or not?
A. He was on his way out the door on 4/27/2001 with allegations against him --
Q. Was he still the president of the company, on 4/27/01?
A. I don't think so. I'd have to check my notes.
Q. You don't think so?
A. No.
Q. Why not?
A. But I'll check my notes. What?
Q. Why not? Why don't you think so?
A. Well, because he was being relieved of his duties and he was terminating the company. This might have happened according to your time line at some point there,
but I have to check my notes.
Q. Did Wheeler -- did Christopher Wheeler know on 4/27/01, when this letter was written, that Utley was being fired?
A. It would certainly justify his reason for writing it, but I don't know.
Q. Thank you.
A. But yes, I would assume. Yeah.
Q. You would assume? Didn't we just have an agreement --
A. I wasn't going --
Q. \(\quad\) - that you would stop assuming?
A. No, but you said I should. I know my lawyer --

MR. PRUSASKI: Would you like to tell him that he shouldn't assume?
A. You didn't let me finish the statement of what my assumption was, but that's okay.
Q. Thank you for correcting me again.

Did Mr. Wheeler know on \(4 / 27 / 01\) that Mr. Utley was being fired?
A. I don't know. I'll check my records.
Q. Do you know why Proskauer terminated its representation of Iviewit?
A. I believe because Mr. Utley was under, now. investigation for a lot of allegations.

Mr. Wheeler was now coming into a problem of one of the board members had questioned why stock was transacted on behalf of the company without any board member seeing the documents or anything. And, you know, it was Chris Wheeler's friend, Mr. Prolow, was involved in that transfer.
Q. But if it's your theory that Iviewit - if it's your theory that Proskauer was involved in a conspiracy to take over Iviewit or take its technology and make money --
A. Proskauer, I didn't say -- if I can -- I believe that Proskauer Partners, right, okay.
Q. Proskauer Partners. Proskauer partners were in a conspiracy to, according to you, steal Iviewit's technology and make a fortune from that technology, wouldn't it be inconsistent with that conspiracy to terminate its representation?

Why not resume control of the company by
representing it? I'm asking you how you feel about that; because it seems to me that, according to your conspiracy theory, that this is inconsistent.
A. Okay. Well, you know -- and it's a little tough to follow until you really get your hands around it all, but you will.

What happened, if you're at this point, was that the board got rid of Utley. There were allegations that
nobody wanted to fully put their finger on, although there was need and time to start investigating the allegations, like: Were patents missing from the corporate record; why were patent documents changed; what was going on needed to be ascertained?

Once Mr. Utley was fired by the board, you can see that the actions of Mr. Wheeler are to follow and leave the company immediately after that: which, you have to ask yourself, why a guy who took two and a half percent stock, knew the company didn't have money, according to your own statements, was pressuring the company for money here. when he knew the balance sheet because he was controling it with Brian, demanding payment when he knew there was no money, and that it was supposed to come out of his royalty streams and his clients.

So at this point, Chris Wheeler ran from the company. I don't think I got that letter or any board member that \(I\) know of has this letter, or any other member of management that wasn't referred by Brian Utley and Chris Wheeler has that letter.

As you can see, none of these letters are
addressed to anybody except Brian and Chris basically.
Q. Who should they have been addressed to?
A. Sure. You know, Si is questioning the bills with his name on it. This is a termination letter: so it should
have gone to the board, shouldn't have it? And instead, oh, it goes to Ross Miller, Chris Wheeler's friend, one of the first guys he introduced the company to, as conservatory.

So, once again, he's replaced Utley with another friend, Mr. Miller, and he's got that same conflict going, I guess; I would call it conflict of interest, I guess.

But - and then, the only other people he cc'd aren't any of the board members of Iviewit, where he's quitting and resigning services and everything else, but \(A l\) Gortz; Kenneth Hilton, I've never heard of, and Matt Triggs. It seems kind of funny to me, but you make the call.

Wouldn't you normally think you would notify the company?
Q. Let me show you a document marked Plaintiff's Exhibit Number 13. I'll ask you to look at this document and tell me if you have ever seen it before.
(Whereupon, Plaintiff's Exhibit 13 was marked for identification.)
A. Yes.
Q. Is that your signature on the last page? That's a bad copy, but \(I\) am sure you can identify it.
A. I can't, but it appears to be.
Q. State of California, County of San Diego and a
notary seal, does that jog your recollection as to your signature page?
A. Correct.
Q. Okay. So you signed this, the originals?
A. I can't see that very well, but yeah.
Q. Do you remember signing the originals?
A. I remember signing the interrogatories.
Q. If you look at the second to the last page, that's Mr. Selz's handwriting, isn't it? "Iviewit LLC was the party that retained the plaintiff, not any of the other parties."
A. I don't know Mr. Selz's handwriting.
Q. Did he write that?
A. Who?
Q. Did you write that?
A. No.
Q. Okay. Was that written on there when you signed these or after?
A. I don't recall.
Q. Look at page 7 of 18 . Did you prepare these on your computer, by the way, these answers?
A. I prepared them, I believe, on a computer.
Q. Okay. So you typed these answers out on a
keyboard and printed them and signed them?
A. Yes, I believe so - or someone. Yeah. I don't
know. I'd have to -- I don't know.
Q. These were served back in September. You don't remember if you prepared these? It's pretty substantial.
A. Well, you asked if I typed them out, printed them out, et cetera. I don't know that, it could have been somebody else.
Q. Do you know where you were when these answers were written?
A. I believe at Mela in Rancho Palos Verdes.
Q. Were you sitting at your computer composing these responses -- or a computer composing these responses?
A. At times.
Q. Okay. Did other people help you?
A. Yes.
Q. Who?
A. I can't recall. I'll check my notes.
Q. Well, page 1 says: Identify each and every person who participated or assisted in the preparation of these interrogatories, and the answer is Eliot Bernstein. Now you are telling me there is somebody else?
A. Yeah, there might have been. Yeah, my wife definitely.
Q. So why didn't you list her?
A. I thought it meant who prepared the content, not the actual print document type thing. You asked me who --
Q. No. Who prepared the content?
A. That's not what you asked me. You said --
Q. All right, fine. Who prepared the content?

Let's start over then.
A. Okay. Let's -- so we're talking just strictly the contents?
Q. Content.
A. Yes. I did.
Q. Nobody else?
A. I don't believe so.
Q. Look at question 19 on page 7. It says -- the second clause says: Did the defendants ever complain to or otherwise notify Proskauer Rose that the plaintiff allegedly performed work which was not performed at defendants' request; answer yes.

Do you see that?
A. Yes.
Q. Okay. And if -- 20 says: If you answered interrogatory 19 in the affirmative, please explain as to each instance where defendants complained to or notified Proskauer Rose, the date when the communication occurred, and you list some letters.

I've been trying to find the communications from Iviewit to Proskauer that you told me about complaining about the bills. Do you see them in here?
A. Well, I see one. It fully emphasizes that at this time that \(I\) was doing the interrogatories. I was trying to rebuild corporate records from -- locked out of computers, missing computers that were stolen by Mr. Utley. I had to get all of these things together. I had to go through all of the documents.

So, as you will see, it also says that \(I\) would need to ascertain these statements that -- especially the one you just asked me, after I see your documents.
Q. What about the letters you told me about earlier? Your father had written some complaints about the bills -
A. Yes.
Q. \(\quad-\quad\) and \(M r\). Buchsbaum had written some complaints about the bills. Did you indicate that in your answers here?
A. No, but it's indicated in your letters you submitted earlier, that there were issues regarding the bill. But I - have I submitted these?
Q. I don't understand what you mean.
A. Have I submitted these?
Q. The question was - you told me .-
A. Yeah, I did submit them.
Q. Let me finish. You're interrupting, and it's driving me crazy.
A. I'm okay.
Q. You told me earlier in the deposition that Buchsbaum and your father had sent to Proskauer written objections to the bills and that you think you gave them to your attorney and he's going to check to see if they exist, because they were due to me a long time ago and \(I\) don't have them.

Did you identify those written objections here in your answer to number 20?
A. I believe so.
Q. Where?
A. I believe I -- did I reference them?

Wait. Restate that question, please.
Q. You were asked to identify if there were any written objections to Proskauer's bills. Did you identify in here what you told me earlier in the deposition?
A. In question 20, yeah. 2/29/2000 letter from Wheeler to Utley regarding disputed billings --
Q. \(\quad 2 / 29 / 2000\) ?
A. Yeah, regarding disputed billings.

In fact, Utley's testimony in his deposition says
there he was brought in and made aware that there were problems with the overbilling --
Q. But I asked you if you identified in here the written objections from Iviewit to Proskauer, not letters written from Proskauer .-
A. Let's start all over again because \(I\) 'm confused. Where do I -- where do you want me to identify that statement, that you're trying --
Q. I'm trying to get to the bottom of where all of the documents are of where Iviewit complained to Proskauer about the bills.
A. They should be submitted.
Q. Are there any of those listed here?
A. Okay. Now, ask me the question again, please.
Q. Are there any letters from Iviewit to Proskauer objecting to the bills that you identified here in these interrogatory answers?
A. Yes, I make mention of them here.
Q. What page are you looking at?
A. \(\quad 9\) of 18. Several correspondences between Simon Bernstein and Chris Wheeler regarding erroneous billings were in writing and oral --
Q. What paragraph? MR. SELZ: C1.
A. \(\quad \subset 1\).
Q. Several correspondences between Simon Bernstein and Chris Wheeler regarding erroneous billings were in writing and oral. Those were the ones you identified as to having turned over to your attorney Mr. Selz?
A. Correct.
Q. Okay. Were there correspondences between Buchsbaum, is that what you mean by paragraph 5?
A. I don't think \(I\) ever said that they were in writing, but they had conversation.
Q. Yes, you did. I specifically asked you to identify in writing the documents.
A. Okay. I don't know, but I know that he had correspondence.
Q. Okay. Were there any other besides Simon Bernstein and Mr. Buchsbaum in writing, any other complaints or objections --
A. About the bills?
Q. Yes.
A. Sure. Stephen Lamont made objections.
Q. But I thought Lamont wasn't on until -- on board with Iviewit until well after the lawsuit was filed.
A. When this was made --
Q. I only care about the lawsuit.
A. Well, this is after the lawsuit, right?
Q. That's correct. Well, no.
A. This is much after. This is --

MR. SELZ: He's asking if there are any complaints before the lawsuit was filed, is what he's asking, not after the lawsuit was filed.

THE WITNESS: Yeah. There were other
written complaints, or oral?
MR. SELZ: Yes, other written complaints.
THE WITNESS: Okay. I don't know. I
can't find it.
Q. You just remember Buchsbaum and Simon Bernstein, correct?
A. I don't - I don't recall Buchsbaum in writing. Although, I thought \(I\) saw documents relating to his work on the bill with Proskauer. I'll theck the record. My record that \(I\) have had to reconstruct.
Q. Well, let's clarify -
A. Okay.
Q. -- because now you're testifying inconsistently with earlier --
A. Okay.
Q. -- so I am going to give you an opportunity to clarify and to finalize your anṣwer.
A. Okay.
Q. The only documents that you saw that object to Proskauer's bills that are in writing are written by simon Bernstein, correct?
A. No. I forgot. Brian Utley also wrote correspondences --

MR. SELZ: Objecting to the billing?
THE WITNESS: To the billing.
Q. You have seen those?
A. Yeah.
Q. Where are those?
A. I believe in the corporate record that I still have part of, and that should be submitted in this.
Q. So your attorney has them?
A. Yeah.
Q. Do you remember the substance of any of those letters or how many letters there were from utley?
A. I don't. But the substance with Utley was corresponding with Wheeler that he was negotiating some of these bill issues, blah, and, you know, that they were over -. that we had been double-billed or something. whatever. And he was talking to wheeler about reducing hours, et cetera.
Q. If Utley was in a conspiracy with Wheeler, so you say, why would he write letters objecting about Proskauer's bills? It doesn't seem very consistent with your theory.
A. You know, I can't speculate on that. I don't know what their story is or not.
Q. Very inconsistent with a conspiracy theory, isn't it?
A. I don't know. Slip-ups occur.

Sometimes you have to look like a good guy when you are a bad guy just so - you know, how that plays out
in a conspiracy. So while Mr. Utley was trying to sell us that he was working for the company, in fact, he was working to the detriment of the company.

He wasn't going to come out and say hi, I'm ripping you guys off with Chris Wheeler; do you mind? That's how conspiracies work, Mr. Prusaski, I think.
Q. Did you sign checks on behalf of Iviewit when Proskauer represented Iviewit?
A. Yeah, I think so.
Q. You think so?
A. Prior to Mr. Utley, yes.
Q. After Mr. Utley came aboard, did you sign checks?
A. I might have cosigned checks. I might have been asked to sign a few checks by other employees.
Q. When Mr. Utley was the president of Iviewit, what were your day-to-day responsibilities?
A. Inventing, selling the technology to various investors and whatnot.
Q. Did you direct Proskauer to do any work?
A. Sure.
Q. \(\quad 0 n\) a day-to-day basis?
A. No. Not even close.
Q. Who did?
A. Brian Utley.
Q. Brian Utley.
A. All work was requested by Mr. Utley almost after his joining the company.
Q. When did he come aboard, how many months after Proskauer started representing the Bernsteins?
A. Well, if you go according to the statements and the depositions. Mr. Utley came aboard according to his own words in 9 of '99; but he was actually active in transcribing documents in July of 1999 from my record and, you know, it might just be another mistake.
Q. So it was from January, to give you the benefit of the doubt, July of '99 who was directing Proskauer to do work; was that Simon Eliot Bernstein?
A. I'd have to see the billing statements from that period to make those statements.
Q. Who were the principals at that point?
A. Oh, there were a lot of principals; there was Gerri Lewin, Si, me. Chris was, you know, representing all of the board meetings and the company in that regard.

There was Ken on the advisory board, I think. coming on at that period. You had Don Kane.
Q. Have you ever sued Brian Utley? Have you ever sued Brian Utley?
A. Not yet.
Q. Why? He's been gone for years.
A. Not yet.
Q. You're planning on it?
A. I don't know. I would have to check with counsel.
Q. Does Iviewit owe Foley \& Lardner money right now?
A. I don't know. We go from lawsuit...
Q. Do you personally or Iviewit owe Gerri Lewin money?
A. I don't know.
Q. How do you not know if you owe Gerri Lewin money or not?
A. I don't know.
Q. I know that \(I\) don't own Gerri Lewin money, because I know who I owe money to and who I don't.
A. Have you had a long protracted relationship with Mr. Lewin?
Q. No.
A. Okay. Well, I have.
Q. But I've had long protracted --
A. But I know --
Q. Okay.
A. -- I've asked for credit billing records, he's provided inconsistent, not full billing records. He, I believe, is trying to get those records for me to ascertain such issues.
Q. Do you know which entity Proskauer's invoices were addressed to?
A. I assume Iviewit.com.
Q. You are assuming. You are assuming.

Do you remember? Do you remember?
A. I would have to check all of the documents. I don't think \(I\) can provide it as requested by the Court, all of the invoices of the relationship. I think there are some missing of your invoices provided for this case, so it's very hard to tell now.
Q. Do you remember right now who they were addressed to, the invoices that Proskauer sent?
A. From the ones that I've looked at that you have presented the Court in regards to this case. Iviewit.com, I believe, the operating company.
Q. It was the operating company?
A. I believe.
Q. Iviewit.com, Inc.
A. As represented by Mr. Wheeler.
Q. Did you ever complain to anybody at Proskauer during the representation that Proskauer was addressing the bills to the wrong entity?
A. I don't think \(I\) saw that from most of these bills.
Q. Did you -- listen to my question.
A. Yeah.
Q. It's simple. Did you ever complain to anyone at Proskauer during Proskauer's representation that the bills
were addressed to the wrong entity, yes or no?
A. I may have.
Q. Yes or no?
A. I may have.
Q. You may have?
A. I'd have to check my notes.
Q. You don't remember is the answer, correct?
A. I may have.
Q. Do you remember?
A. I don't recall now --
Q. Okay.
A. -. if I recollect.
Q. If you don't recall, you don't recall, that's not a dangerous answer.
A. Okay. I'm fine with that.
Q. It's safe in the respect that it's honest.
A. Okay. I don't recall.
Q. So your answer is I don't recall?
A. Right.
Q. Thank you.

Do you know if anybody did?
A. I don't recall.
Q. Are you on any medication?
A. No.
Q. Are you under the care of any physicians?
A. No.
Q. When is the last time you took a prescription medication?
A. Several years ago almost.
Q. Do you see any physicians or psychiatrists or psychologists?
A. No.
Q. Have you ever?
A. Yes.
Q. When was the last time you saw a therapist or a psychiatrist or a psychologist?
A. I saw one the other day.
Q. For a professional appointment, or did you run into them at the mall?
A. For a consultation.
Q. Okay. Have you ever been adjudged incompetent?
A. Never.
Q. Have you ever been arrested?
A. No. Not that \(I\) can recall.
Q. Institutionalized?
A. Not - not that \(I\) can recall.
Q. You hesitated for a second.
A. I did. I just was recollecting - you know. I had a car accident, so I -- part of my memory has been jogged from hitting a car carrier at 90 miles an hour, so it takes
me a little bit to go historically beyond that point.
Q. I'm sorry to hear about that.
A. Thank you.
Q. Do you have memory problems because of the car accident?
A. I had memory problems.
Q. When was the accident?
A. God, I have problems with things like that. It's in the ' 80 s .
Q. Mid-, early, late?
A. Early.
Q. What type of memory problems did you have? Do they continue to this day?
A. No. In fact. I improved my memory greatly through exercise.
Q. Okay, So you are not dealing with any negative results memory-wise as a result of the accident today, right? If anything, you have improved it?
A. Correct.

MR. PRUSASKI: Okay. No further questions.
Would you like to cross?
MR. SELZ: I think \(I\) have a couple of cross. Let's take a quick break then.
(Whereupon, a recess was taken from 4:11
to 4:19 p.m.)

BY MR. SELZ:
Q. Okay. Mr. Bernstein, plaintiff has presented us with what's been marked as plaintiff's number 7. It's a letter dated March 31st, 2000. With regard to that letter, I reference you to the last sentence of that letter.
A. Yes.
Q. Do you see that?
A. I do.
Q. What does that sentence read exactly?
A. I would appreciate your reviewing this with your board of directors for their approval and beginning payment forthwith.
Q. Okay. To the best of your knowledge, was there ever any board approval for any payment plan between Iviewit.com, Inc. or any of the other Iviewit entities and Proskauer Rose --
A. Absolutely not.
Q. Okay. Let me finish my sentence.
-- that was approved by the board of directors?
Was there any plan approved by the board of
directors for any re-payment or payment plan?
A. I don't believe so. I'll check my notes.
Q. Were there ever minutes of the meetings of the board of directors kept?
A. They said that they were kept and destroyed.
Q. Okay. Are you aware of any document which would evidence an approval by the board of directors and any payment plan from any of the Iviewit entities to Proskauer Rose?
A. No.
Q. With regard to the proposed counterclaim that's been filed in this action --

MR. PRUSASKI: Where are my documents --
MR. SELZ: They are there, right there, back in that pile. Let's get this marked as Defendant's Number 1 .
(Whereupon, Defendants' Exhibit 1 was marked for identification.)
Q. Have you seen that document before, sir?
A. Yes.
Q. Okay. Are you familiar with the allegations contained in that counterclaim for damages?
A. Yes.
Q. To the best of your knowledge, are those allegations true and correct?
A. Yes.

MR. SELZ: Okay. I've got nothing further.
MR. PRUSASKI: Once again. I'm reserving
my right to ask questions about this
counterclaim because it's not a counterclaim yet. It's a pending motion to amend.

If the judge allows you to assert a counterclaim, we'll be back here to talk about this document. One redirect question.

REDIRECT
BY MR. PRUSASKI:
Q. You said that, sir, the documents -- the records of the board of directors meetings were destroyed; is that correct?
A. Most of them.
Q. By whom were they destroyed?
A. I believe Mr. Utley and Mr. Wheeler -- Mr. Utley and Wheeler who both kept the board notes. Mr. Wheeler keeping them with Mr. Utley, but we can't find any of them.
Q. You said, in response to your attorney's questions, that the notes were destroyed, the records of the board of directors meetings were destroyed. And now you are telling me you think they were --
A. Some notes were recovered from the computers that we were locked out of, and the data was restored through Data Recovery. But out of the corporate records, yes, they're gone.
Q. They were destroyed, then?
A. They weren't transferred with the corporate record by Mr. Utley.
Q. But you said they were destroyed. Were they or weren't they?
A. Yeah, I believe they were.
Q. You believe they were. You don't know if they were, you think they were.
A. To the best of my knowledge, they were destroyed, of certain board meetings.
Q. What evidence do you have to say they were destroyed?
A. They're missing.
Q. That's evidence that they were destroyed?

How do you know they're not in somebody's drawer:
does that make them destroyed?
A. Yeah. They're not part of the corporate record anymore that the corporation has. And I have asked, you know, to have them provided here, which I didn't see. And so yeah, you know, as far as I know, destruction would mean missing from the company's records.
Q. Oh. So missing equals destroyed?
A. Yes, in this instance it does.
Q. Okay. So whenever you said "destroyed" in this deposition, you meant missing?
A. Probably. If you're saying do you have -- yeah.
Q. That was --
A. I want to think through that answer.
Q. You were on the right track. What you just started to say was on the right track.
A. I want to answer that, because the documents -the corporate record was supposed to be transferred in its entirety to the corporation in California by Mr. Utley, and such documents in their entirety and computers containing such documents in their entirety were not transferred to the corporation timely or at all.

Then, it is the company's position, I guess that we have to take, is that we are not in possession of our own records because the people who were supposed to transfer them did not transfer the documents to the company as directed by the board of directors.
Q. So that's your evidence that Mr. Wheeler destroyed the minutes of the board of directors meetings, the fact that they never showed up when they were supposed to show up to -- by Utley?
A. And they're not here --
Q. So Wheeler destroyed them?
A. - for many of the meetings -- for many of the meetings that Chris was keeping notes for, yes, I did not find them here. So no, they might not be destroyed, they might be on the other shelves.
Q. Well, you are singing a completely different tune than you were two minutes ago.
A. No. I'm just saying that if they were part of the work that was on this table, and that they were part of the corporate record that was transferred, many of the minutes of the board meeting are destroyed at this point. We do not have them, possession of them --
Q. That means they're destroyed?
A. - - and the people in charge of them are not presenting them to us, so they might be in hiding from us. Okay. But I - to me, they company, they're destroyed, gone, not existent. They're not part of our corporate record.
Q. So when you said "destroyed" about documents in this deposition, you meant that you didn't have them; you don't know for a fact that they were actually destroyed? Do you know what destroyed means?
A. Well, to us it means --
Q. What does destroy mean to you?
A. Okay. To the company, we asked for ․
Q. I don't care about the company. I want to know what Simon Bernstein thinks -. Eliot Bernstein thinks destroyed means.
A. Missing from your records, not provided when requested. Missing documents would, to me, represent a
destruction of documents.
Also, on the destruction side of documents, is the locking out of computer domains and files with the intent so that documents --
Q. All I asked you is what destroyed means to you.
A. Well, I'm explaining. You know, are the board notes and damaged hard drives or stolen computers that were taken to New Jersey by Mr. Utley; could be, but I'm not --
Q. See, when you say Mr. Wheeler destroyed documents. most people would frame an image of a man going over to a shredding machine and putting documents in a shredding machine. But you're saying it means something completely different --
A. No. I'm saying that unless they ended up -- we just went through this a little while ago in the deposition, but you said all of the documents were here at this table.
Q. Did you say in that counterclaim that Proskauer destroyed documents?
A. I am not sure. I believe so. Do we -MR. SELZ: I don't think so.

MR. PRUSASKI: Let me see it.
A. No.
Q. Why didn't you say it in there if it happened?
A. I was busy listing the key things.
Q. Destroying documents by a law firm isn't very key to you?
A. I've notified my counsel that that occurred long ago, so he might have overlooked it in filing the claim to get it on based on the evidence --
Q. Okay. So you're still sticking to your story that Proskauer destroyed documents?
A. I'm -- because they're missing, in my interpretation --
Q. Because he's missing them - you let your attorney know, but you didn't put it in the counterclaim?
A. Well, he has a lot of it, you know. Yes, I would say --
Q. So you are still sticking to your story that Proskauer destroyed documents --
A. In my interpretation --
Q. -- but you have no personal knowledge of whether they did?
A. -- of the word destruction, because they are not present in any records that the company can now get, yes, they have been, since Mr. Wheeler was keeping records of them.
Q. So destroyed means missing to you?
A. Yes.

MR. PRUSASKI: Right. Why don't you go buy a
dictionary? There's a Barnes \& Noble down the street.

I have no further questions. Thank you.
THE WITNESS: Okay.
Does he want to read or waive?
MR. SELZ: He'll read. Hold the transcript.
(Thereupon, the deposition was concluded at 4:29 p.m.)

AND FURTHER THE DEPONENT SAITH NOT

STATE OF FLORIDA
COUNTY OF BROWARD

SUBSCRIBED AND SWORN to before
me this \(\qquad\) day of \(\qquad\) . 2003, at Broward County, Florida.
Notary Public, State of Florida

\section*{CERTIFICATE OF OATH}

STATE OF FLORIDA
COUNTY OF BROWARD

I, the undersigned authority, certify that
ELIOT I. BERNSTEIN personally appeared before me and was duly sworn.
day of /h/areh . 2003.


\section*{CERTIFICATE}

STATE OF FLORIDA
COUNTY OF BROWARD

I, ELIZABETH DAVILA SAINT-LOTH, shorthand
Reporter, certify that \(I\) was authorized to and did stenographically report the deposition of ELIOT I.

BERNSTEIN; that a review of the transcript was requested; and that the transcript is a true and complete record of my stenographic notes.

I FURTHER CERTIFY that \(I\) am not a relative, employee, attorney, or counsel of any of the parties, nor am I a relative or employee of any of the parties'
attorneys or counsel connected with the action, nor am \(I\) financially interested in the action.

Dated this
 2003.
 Shorthand Reporter My Commission \# DD 072032 Expires: November 15, 2005
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\hline Versus & [3] 128:17 188:12 187:19 & [84] 2:18 3:3 8:12 8:18 8:23 20: \\
\hline [2] 145:4 165:1 & Welsh & 1 20:13 20:24 40:13 40:17 40: \\
\hline Vla & [2] 19:12 19:14 & 22 41:2 41:7 41:11 41:13 51:7 \\
\hline
\end{tabular}


TO: \(\quad\) SELZ \& MUVDI SELZ, PA.
214 Brazilian Avenue, Suite 210
Palm Beach, Florida 33480
IN RE: Proskauer Rose v. Iviewit.com
CASE NO.: CA 01-04671 AB

Dear Mr. Selz,

The deposition of ELIOT I. BERNSTEIN in the above -styled cause on January 31, 2003. is now ready for signature of the witness. Please have the witness come to this office and sign the same; or, if you wish to waive the signature of the deposition. please so advise.

If the deposition has not been signed by April 21 . 2003, or the signature thereto waived, we shall consider such a delay a refusal to sign under Rule 1. 310(e) of the Florida Rules of Civil Procedure.

If you have any reason which you would like for me to place on the deposition as to the witness failure to sign the same, please advise.

Very truly yours,
KEN SCHANZER \& ASSOCIATES
209 North 20 th Avenue
Hollywood, FL 33020


Dated: March 17, 2003
cc: Counsel of Record

MR. SELZ: Yes.
THE WITNESS: What did he order?
MR, SELZ: Well, basically we have access to the files from the corporate representation, Iviewit representation --

THE WITNESS: Were they all here in this conference room? Okay. Then, from what I've seen --

MR. PRUSASKI: From the corporate representation, not from the personal representation, because that's between you and this firm personally.

THE WITNESS: No, I don't care about personal. Right. That's right. I am not asking for those either.

So you are saying to me that all of the documents were here --

MR. PRUSASKI: From the entire file, as Proskauer keeps it, from the Iviewit representation.

THE WITNESS: Then I'm really scared - I would have been really scared, because \(I\) would say destruction of documents has occurred and there were a lot of things missing.

BY MR. PRUSASKI:```


[^0]:    THE COMPANY'S END WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS a means to try any civil, criminal, or patent rdle violations through THE MECHANISM OF THE VIRGINIA BAR.

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[^4]:    1 William J. Dick, Declaration of William J. Dick in Response to the Complaint From P. Stephen Lamont of Iviewit Holdings, Inc., VSB Docket \#04-052-1366, 6 (January 8, 2004).

[^5]:    ${ }^{2}$ Supra Note 1 at 4.
    ${ }^{3}$ Supra Note 1 at 2

[^6]:    ${ }^{4}$ Supra Note 1 at 4.

[^7]:    ${ }^{5}$ It was represented by Becker of Foley that Boehm was fired for filing patents with knowingly wrong content and became the scapegoat for Foley after an August 4, 2000 meeting in which the main topic of discussion was how errors that were found a day before filing on patent applications never seen by the Company, and found in Utley's possession, the errors of which constituted errors in inventors, content, and math of the inventions.

[^8]:    ${ }^{7}$ Supra Note 1 at 5.

[^9]:    ${ }^{8} 35$ U.S.C. § 112 requires both that the applicant discloses to one skilled in the art how to make and how to use the claimed invention.
    ${ }^{9}$ Supra Note 1 at 17.

[^10]:    ${ }^{10} \mathrm{Ibid}$.
    ${ }^{11}$ Ibid.

[^11]:    ${ }^{12}$ Ibid.
    THE COMPANY'S END WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS a means to try any civil, criminal, or patent rule violations through THE MECHANISM OF THE VIRGINIA BAR.

[^12]:    ${ }^{13}$ Supra Note 1 at 3
    THE COMPANY'S END WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS a means to try any civil, criminal, or patent rule violations through THE MECHANISM OF THE VIRGINIA BAR.

[^13]:    ${ }^{14}$ Supra Note 1 at 5.
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[^15]:    ${ }^{15}$ Supra Note 1 at 7.
    ${ }^{16}$ Thus failing the "enablement" requirement of 35 U.S.C. § 812 , resulting in a failed patent application

[^16]:    THE COMPANY'S END WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS a means to try any civil, criminal, or patent rule violations through THE MECHANISM OF THE VIRGINIA BAR.

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[^19]:    ${ }^{17}$ Supra Note 1 at 34

[^20]:    ${ }^{18}$ Supra Note 1 at 37

[^21]:    THE COMPANY'S END WITH RESPECT TO THE COMPLAINT IS CHARGING THE RESPONDENT WITH THE PROFESSIONAL MISCONDUCTS CITED HEREIN, AND NOT AS a means to try any civil, criminal, or patent rdle violations through THE MECHANISM OF THE VIRGINIA BAR.

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[^25]:    ${ }^{19}$ Supra Note 1 at 34
    ${ }^{20}$ Supra Note 1 at 37.

[^26]:    ${ }^{21}$ Supra Note 1 at 34.
    ${ }^{22}$ Supra Note 1 at 37.
    ${ }^{23}$ Supra Note 1 at 34.
    ${ }^{24}$ Supra Note 1 at 37.

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[^29]:    CONFIDENTIALITY NOTICE：THE INFORMATION CONTAINED IN THIS FACSHMLE MESSAGE IS INTENDED OAL Y FOR THE PEROONAK AKIA CONFIDENTAL USE OF THE DESGMATED RECIPMENTS NAMED ABOVE THIS MESSAGE MAY EE AN ATTOFNEY－CLENT COMMUNICATION，AND AS SUCH IS PRIVILEGED AND CONFIDENTIAL．IF THE READER OF THIS MESSAGE IS NOT THE INTENEED RECIPIENT OR ANY AGENT RESPONSIBLE FOR DELIVERING IT TO THE INTENDED RECIPIENT，YOU ARE HEAEBY NOTJFIED THAT YOU HAVE RECEIVED THIS DOCUMENT IN ERROR，AND THAT ANY REVIEW，DISSEMANATION，DISTRIBUTION OR COPYING OF THIS MESSAGE IS STRICTLY PROHIBITED，IF YOU HAVE RECEIVED THIS COMMUNICATION IN ERROR，PLEASE NOTIFY US IMMEDIATELY BY TELEPHONE AND RETURN THE ORIGINAL MESSAGE TO US GY MAIL．THANK YOU．

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[^31]:    cc: Assistant Commissioner for Patents (RO/US)/with Attachment Via Fax ( 17033053230 )

[^32]:    001.026359 .0

[^33]:    $r 134=\sqrt{3}=\sqrt{3}+1$
    $001 . \tan 3 \mathrm{gs}$.

[^34]:    'at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

[^35]:    Arad
    Customer Service Center
    Initial Patent Examination Division (703) 308-1202
    PART 1 - ATTORNEY/APPLICANT COPY

[^36]:    ${ }^{25}$ Define provisional
    ${ }^{26}$ Define non-provisional

[^37]:    
    
    

[^38]:    This message in intended only for the use of the individual or entity to which it is addressed and may contain infomation that is privileged, confidertial or exempt from disclosure under applicable Federal or State law. If the reader of the message is not the intended recipient or the employee or agent responsible for deliveriog the message to the intended recipient, you are hereby notitied that any dissemination, distribution or copying of this communication is strictly prohibited.

    If you have received this communication in error, please notify us immediately by telephone and retum the original message to us at the above address via regular U.S. mail.

[^39]:    Utley:
    <begins midstream>...status of the original digital image filings, and basically the fact that the original filings do not cover the full subject matter of the imaging technology; and to wit, one of the omissions, in particular in reading the claims section of the provisional and the formal filing, relates to the zooming and panning capability that is inherent in the technology. This has become a topic due to the fact that we are currently in the second phase of filing imaging patent protection which is driven by the provisionals that were filed later last year, between August and December of last year. So the concern that were expressed by Eliot in reviewing this is that this omission of the zooming and panning capability was attributable to a failure, for whatever reason, on the part of Ray Joao, the patent attorney of record, in constructing and putting together the provisional and formal filing<tape cuts out here> did I say it is that right Eliot
    E Bernstein I believe so
    Utley Is that your understanding
    E Bernstein Correct
    Utley The purpose of this meeting is to review the facts and I think there are two particular points that are
    ...that are important to moving ahead. The first is: "Given that the filings are what they are, and given what we know about the filing which is scheduled to take place this week on Wednesday, What means do we have to correct the situation; and given whatever corrections we find, what then is the impact or exposure to iviewit based upon what actions we can take. Then, lastly, what, if any, recourse might iviewit have vi sa vi the omissions in the original filings Are there any other issues, Doug?
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[^50]:    forma\release

[^51]:    ${ }^{1}$ At this juncture, the Company encourages BOI to cross reference Boca Raton Police Department Case No. 2001-054580 pertaining to the theft of proprietary equipment by Utley, Hersh, and Reale.

[^52]:    ${ }^{1}$ BOI should be apprised that provisional filings are a low cost way to "time stamp" an invention in the US patent system, and that a more formal non-provisional filing with claims attached are what those in the industry commonly refer to as a patent application.

[^53]:    ${ }^{2}$ At this juncture, the Company encourages BOI to cross reference Boca Raton Police Department Case No. 2001-054580 pertaining to the theft proprietary equipment by Utley and others.

[^54]:    'at Carl \& Associates (763)591-0535 or (800)591-9PCA (722)

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